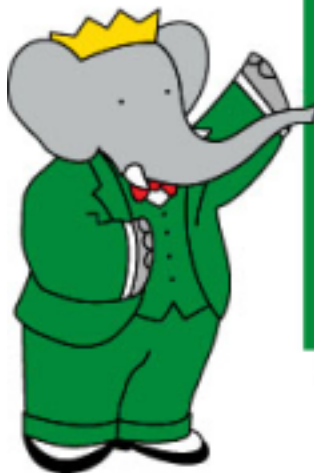


Semileptonic Decays at

BABAR™

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XIIth International Conference on Hadron Spectroscopy

8-13 October 2007, Laboratori Nazionali di Frascati



Semileptonic Decays at BaBar

- $|V_{ub}|$ from $B \rightarrow X_u l \nu$ decays
- Isospin violation in $B \rightarrow X_u l \nu$ decays
- $B^- \rightarrow D^{*0} e \nu$
- $B \rightarrow D/D^*/D^{**} l \nu$
- $B \rightarrow D^{(*)} \tau \nu$
- Conclusions

See also Kerstin Tackmann's talk on Friday morning for more about $|V_{cb}|$ and SL decays (and a whole lot more)

Experimental Techniques

B-factory operation: $e^+e^- \rightarrow Y(4S) \rightarrow B^+B^-$ or $B^0\bar{B}^0$ ($\sim 50\%$ each)

Untagged analysis: Reconstruct $X+l$ from one $B \rightarrow Xl\nu$ decay

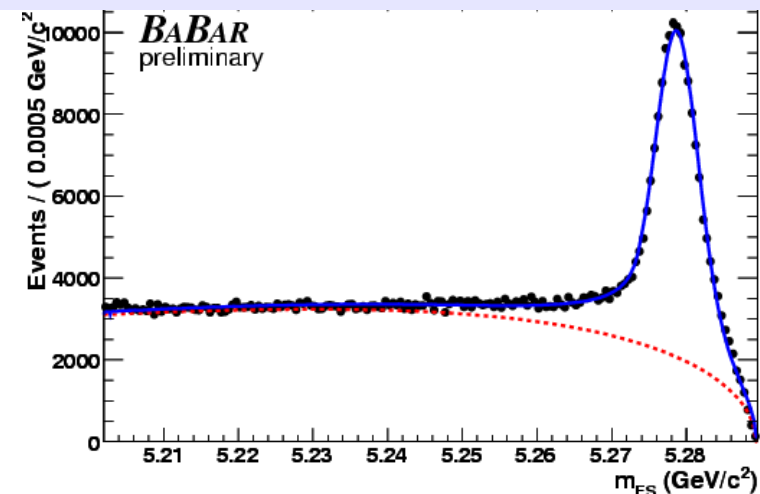
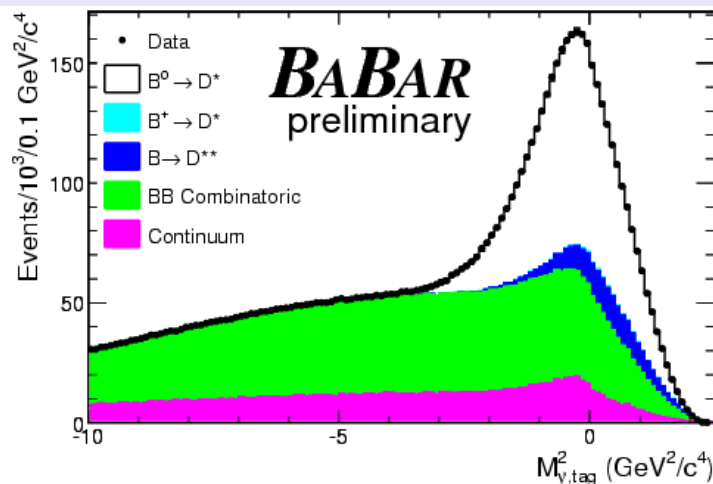
“Tagged” analyses provide kinematic constraints, BG suppression for the other B

- $B^0 \rightarrow D^{*-} l \nu$ **partial reco**

- e or μ , π_s from $D^{*-} \rightarrow D^0 \pi_s$
- Calculate $M_{\nu, \text{tag}}^2$ assuming D^0 was produced parallel to π_s

- $B_{\text{tag}} \rightarrow D^{(*)} Y^\pm$ **Full reconstruction**

- $Y^\pm \in \pi^\pm, \pi^0, K^\pm, K_s$
- over 1000 modes, $\varepsilon = 0.3 - 0.5\%$
- Determines momentum, charge and flavor of the other B



Inclusive $B \rightarrow X_u \ell \nu$ Measurement

- Precision measurement of $|V_{ub}|$ is one of the main goals of the B -factory program
 - Along with β , $|V_{ub}|$ helps to determine the apex of the unitarity triangle
- Can measure $|V_{ub}|$ by studying exclusive modes $B \rightarrow \pi \ell \nu$, $\rho \ell \nu$, etc..., or the inclusive mode $B \rightarrow X_u \ell \nu$
 - Challenging because background from $B \rightarrow X_c \ell \nu$ is ~ 50 x larger
 - Need to select regions of phase space where charm BG is suppressed

$$\Gamma(B \rightarrow X_u \ell \nu) = \frac{G_F^2 |V_{ub}|^2 m_b^5}{192 \pi^3} \left[1 + \mathcal{O}(\alpha_s) + \mathcal{O}(1/m_b^2) + \dots \right]$$

OPE calculation $\sim 5\%$ uncertainty

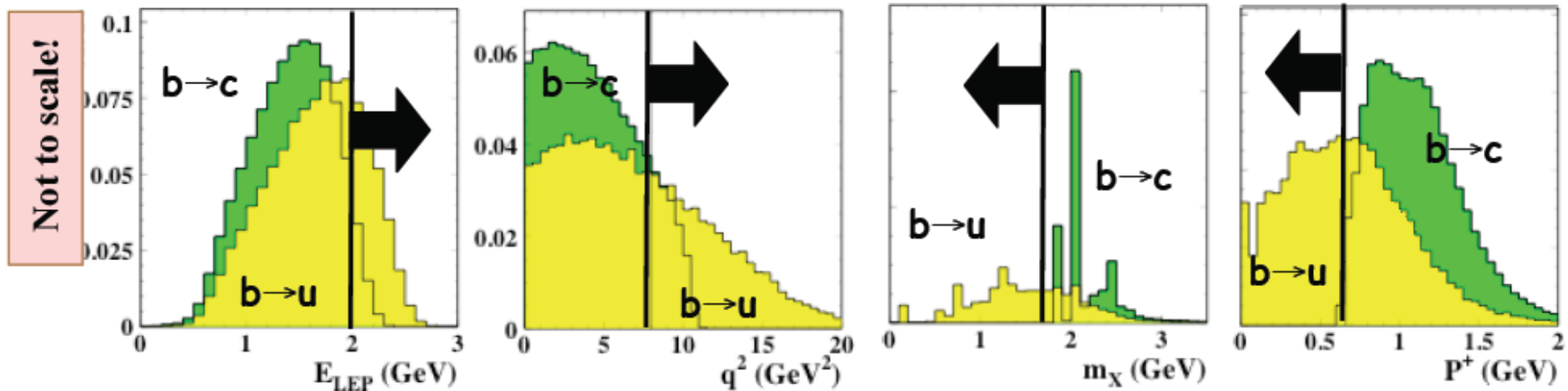
Need b -quark mass to extract $|V_{ub}|$

More details in K. Tackmann's talk

$B \rightarrow X_u \ell \nu$ Signal Reconstruction

- Reconstruct $B_{\text{tag}} + \text{lepton}$
- Assign all remaining particles in event to the X_u system

Use kinematics to distinguish X_u signal from X_c background ($m_c \gg m_u$)



- E_{LEP} = lepton energy
- q^2 = momentum transfer squared = $(p_B - p_X)^2 = (p_l + p_\nu)^2$
- m_X = mass of the hadronic system
- $P^+ = E_X - |p_X|$ = light-cone component of X momentum

$B \rightarrow X_u \ell \nu$ Signal Fits

Separate fits to 3 discriminating variables

Signal fit

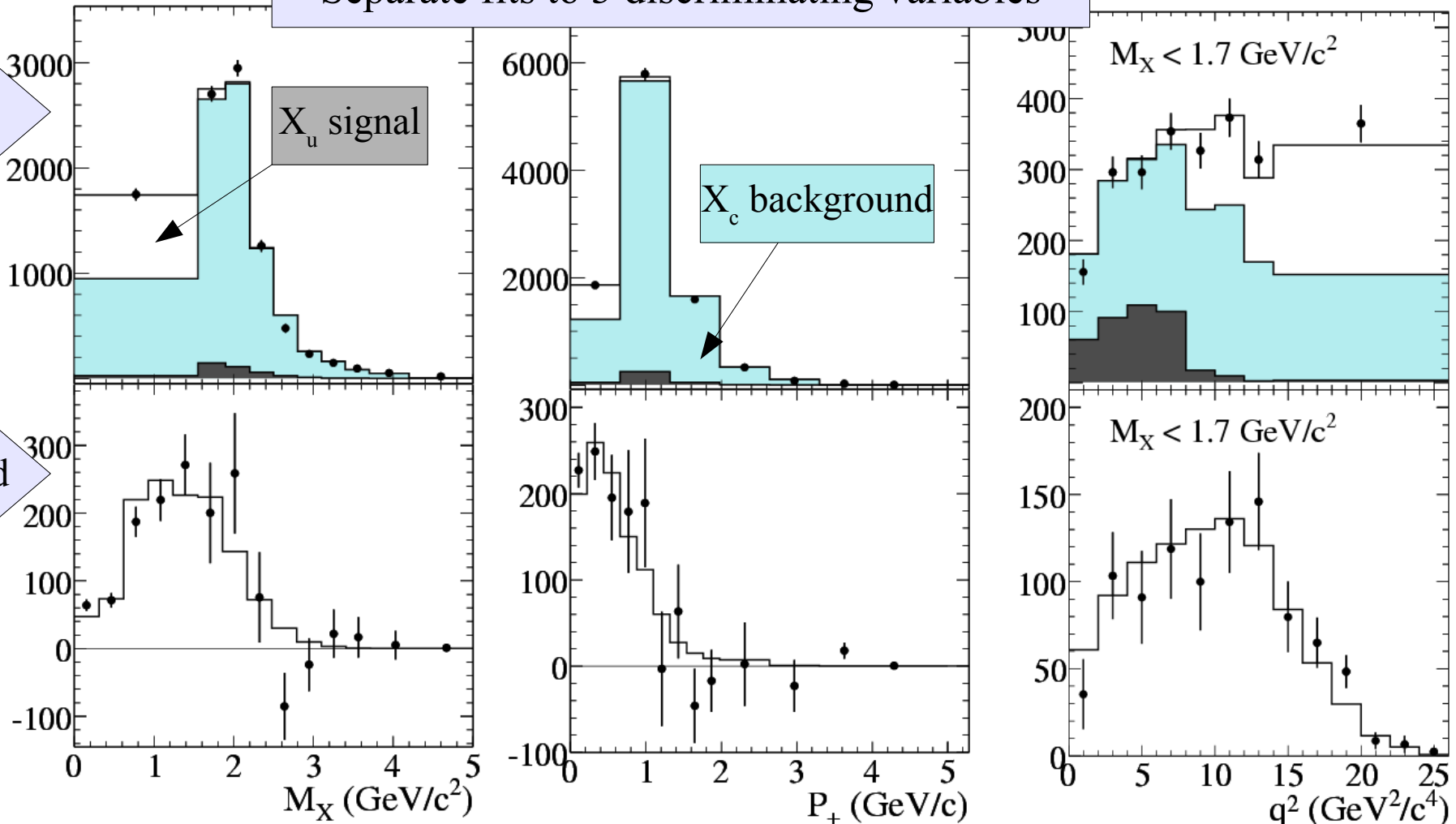
X_u signal

X_c background

$M_X < 1.7 \text{ GeV}/c^2$

$M_X < 1.7 \text{ GeV}/c^2$

BG subtracted



Branching Fractions and $|V_{ub}|$

	$\Delta\mathcal{B}(\bar{B} \rightarrow X_u \ell \bar{\nu}) (10^{-3})$	$ V_{ub} (10^{-3})$
$M_X < 1.55 \text{ GeV}/c^2$	$1.18 \pm 0.09 \pm 0.07 \pm 0.01$	$4.27 \pm 0.16 \pm 0.13 \pm 0.30$ $4.56 \pm 0.17 \pm 0.14 \pm 0.32$
$P_+ < 0.66 \text{ GeV}/c^2$	$0.95 \pm 0.10 \pm 0.08 \pm 0.01$	$3.88 \pm 0.19 \pm 0.16 \pm 0.28$ $3.99 \pm 0.20 \pm 0.16 \pm 0.24$
$M_X < 1.7 \text{ GeV}/c^2$		$4.48 \pm 0.22 \pm 0.19 \pm 0.30$ ³⁰
$q^2 > 8.0 \text{ GeV}^2/c^4$	$0.76 \pm 0.08 \pm 0.07 \pm 0.02$	$4.53 \pm 0.22 \pm 0.19 \pm 0.25$ ^{nn. (m_X, q^2)} $4.81 \pm 0.23 \pm 0.20 \pm 0.36$ ²⁶

Extraction of $|V_{ub}|$ depends on theoretical framework

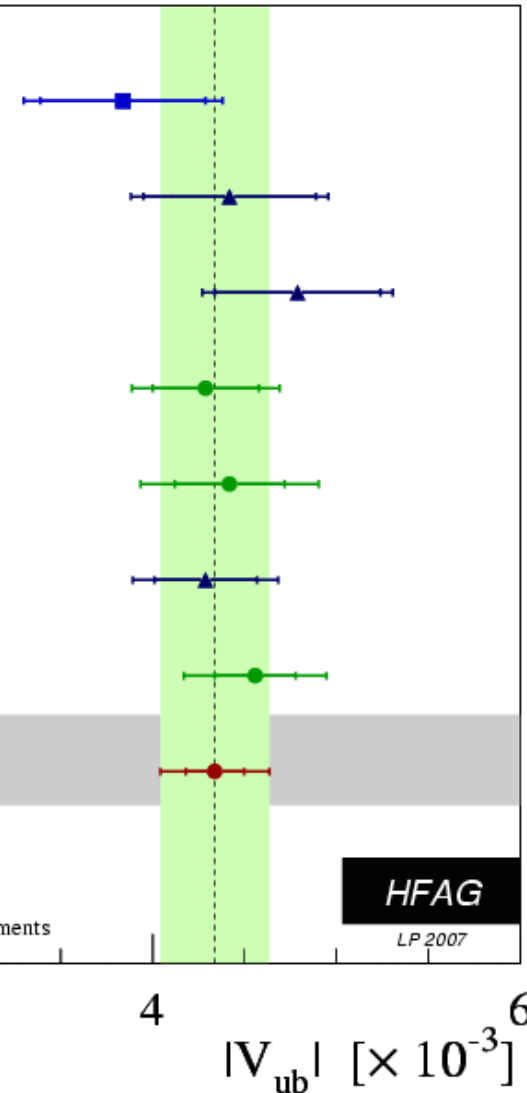
383M BB pairs

Dominant systematic errors due to MC statistics and reconstruction efficiency

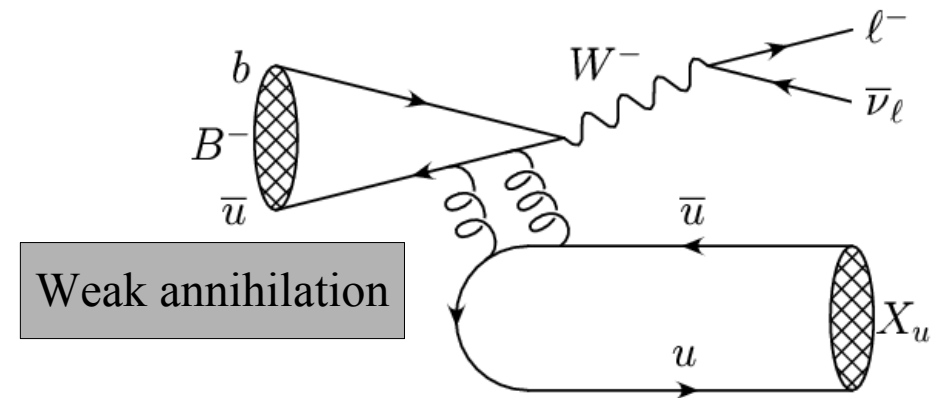
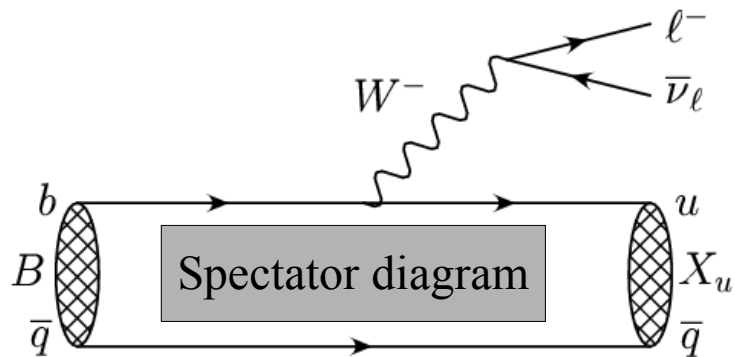
arXiv:0708.3702
[hep-ex]
submitted to PRL

HFAG comparison of $|V_{ub}|$ in DGE framework

$4.79 \pm 0.45 \pm 0.26$
BABAR (E_e)
 $4.29 \pm 0.29 \pm 0.28$
BABAR (E_e, s_h^{max})
 $4.42 \pm 0.30 \pm 0.38$
BELLE m_X
 $4.29 \pm 0.28 \pm 0.28$
BABAR m_X
 $4.56 \pm 0.22 \pm 0.32$
Average +/- exp +/- (mb,theory)
 $4.34 \pm 0.16 \pm 0.25$
 $\chi^2/\text{dof} = 2.3/6$ (CL = 89 %)
 Dressed Gluon Exponentiation (DGE)
 JHEP 0601:097,2006
 m_b input from $b \rightarrow c \ell \nu$ and $b \rightarrow s \gamma$ moments



Isospin Violation in $B \rightarrow X_u l \nu$



- Weak annihilation only occurs for charged B decays

– Expected to be \sim few % of the total $B \rightarrow X_u l \nu$ rate

- Up to 30% in some regions of phase space

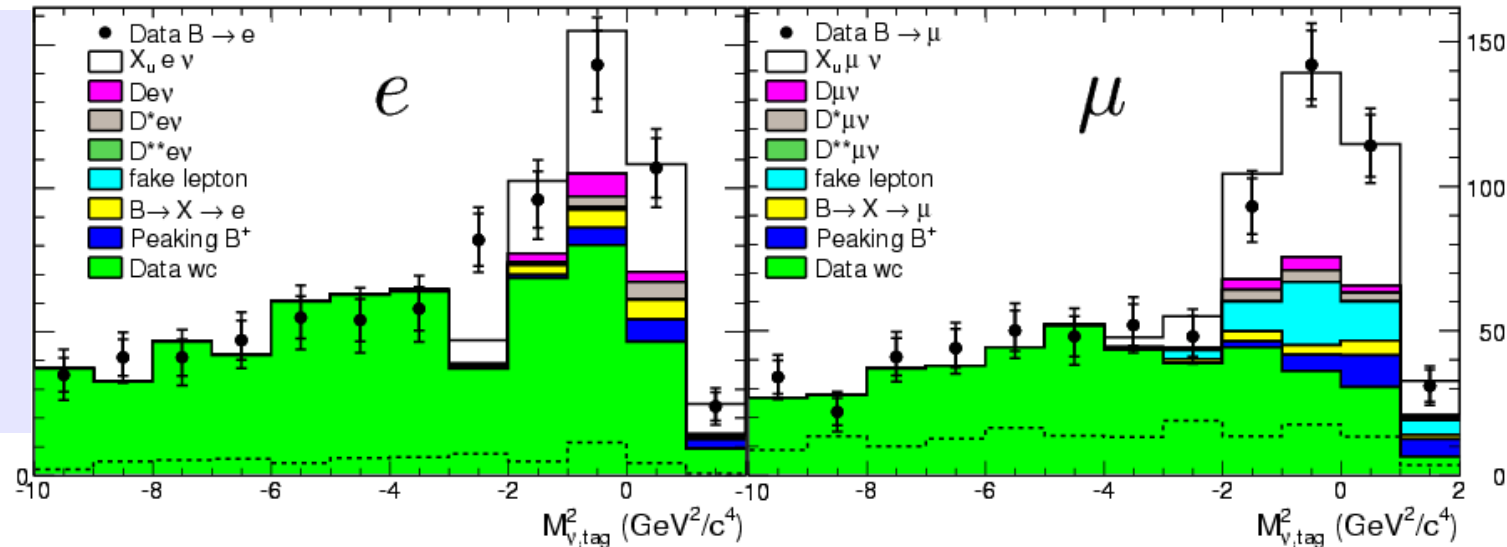
see, i.e., Leibovich,
Ligeti, and Wise,
PLB539 242.

- Tag $B^0 \bar{B}^0$ events by partially reconstructing one B meson as $B^0 \rightarrow D^{*-} l \nu$
- Compare B^0 results to untagged (mix of $B^+ B^-$, $B^0 \bar{B}^0$) to look for isospin breaking

Isospin Violation Results

Fit $M_{\nu, \text{tag}}^2$ to determine
signal yield

- 3 overlapping
momentum
intervals



ΔP_ℓ	$\Delta \mathcal{B}(B) \cdot 10^4$	$\Delta \mathcal{B}(B^0) \cdot 10^4$	$R^{+ / 0}$
2.2 – 2.6 GeV/c	$2.31 \pm 0.10 \pm 0.18$	$2.62 \pm 0.33 \pm 0.16$	$0.71 \pm 0.22 \pm 0.16$
2.3 – 2.6 GeV/c	$1.46 \pm 0.06 \pm 0.10$	$1.30 \pm 0.21 \pm 0.07$	$1.18 \pm 0.35 \pm 0.17$
2.4 – 2.6 GeV/c	$0.75 \pm 0.04 \pm 0.06$	$0.76 \pm 0.15 \pm 0.05$	$0.91 \pm 0.37 \pm 0.18$

Untagged BaBar measurement
PR **D73** 012006 (2006)

$$\frac{|\Gamma_{WA}|}{\Gamma_u} < \frac{3.8 \%}{f_{WA}(2.3 - 2.6)}, \quad \text{at 90\% C.L.}$$

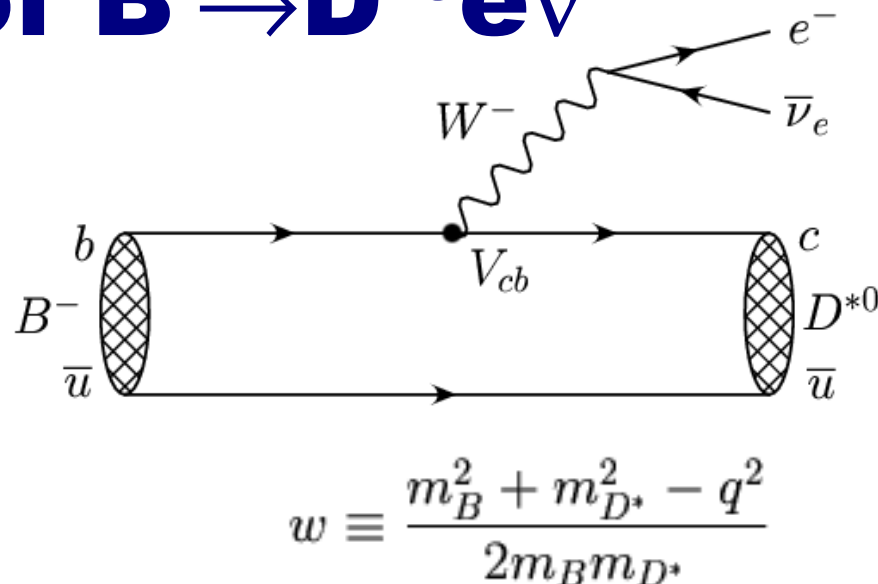
Compatible with CLEO
result $(\Gamma_{WA}/\Gamma_u) < 7.4\%$
PRL **96** 121801

Measurement of $B^- \rightarrow D^{*0} e \nu$

$$\frac{d\Gamma}{dw}(B \rightarrow D^* \ell \nu) = \frac{G_F^2 |V_{cb}|^2}{48\pi^3} \mathcal{F}^2(w) \mathcal{G}(w)$$

Form factor

Phase space



- $B \rightarrow D^* \ell \nu$ has been extensively studied, but discrepancies still exist:
 - CLEO's BF for $D^{*0} \ell \nu$ much larger than that of $D^{*+} \ell \nu$ (including factor τ^+/τ^0)
 - Form factor slope ρ^2 is not quite consistent
- Goal: measure BF, $d\Gamma/dw$, $|V_{cb}|$ in the D^{*0} channel
 - Main experimental systematic error (low-momentum π^0 efficiency) is distinct from D^{*+} analysis
 - Independent measurement will help to resolve these discrepancies

$B^- \rightarrow D^{*0} e \nu$ – Signal Fit

- Binned maximum likelihood fit to:

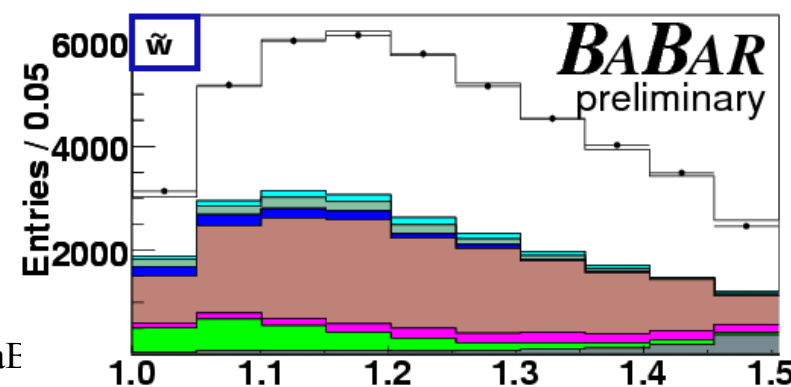
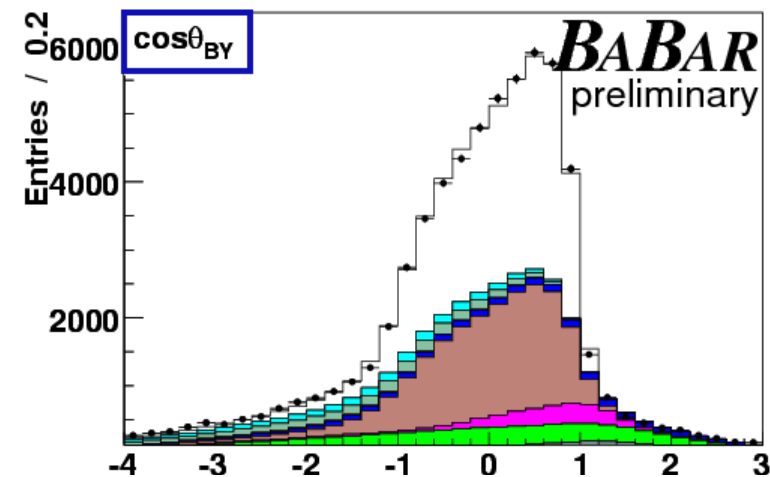
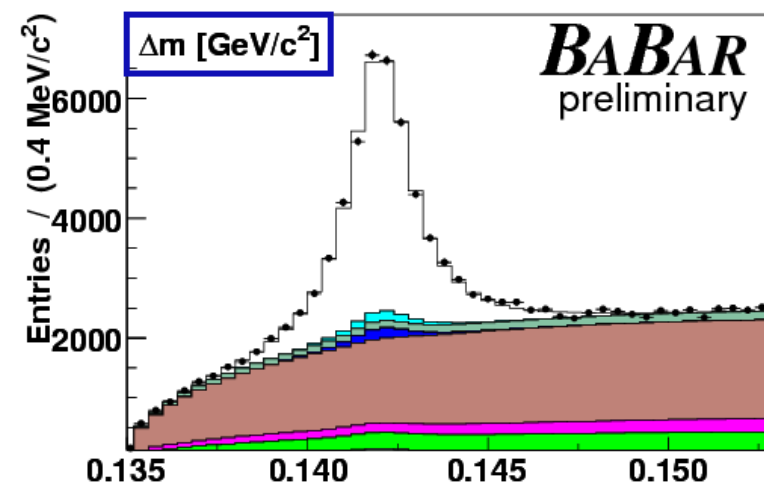
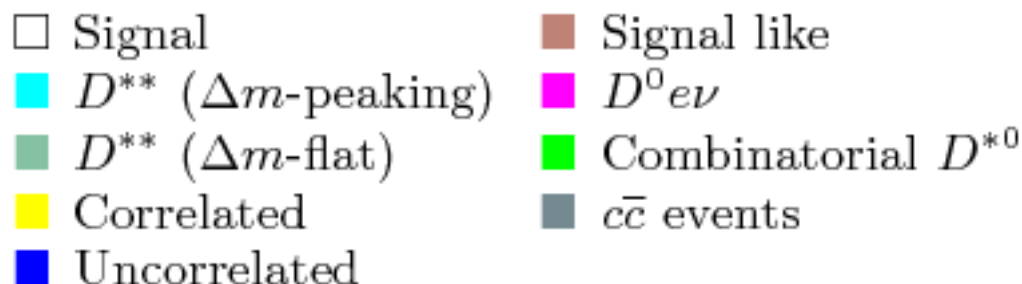
$$\Delta m \equiv m(D^{*0}) - m(D^0)$$

$$\cos \theta_{BY} \equiv \frac{2E_B E_Y - m_B^2 - m_Y^2}{2p_B p_Y}$$

$$\tilde{w} \approx w \equiv \frac{m_B^2 + m_{D^*}^2 - q^2}{2m_B m_{D^*}}$$

$$Y \equiv D^{*0} l$$

226M BB pairs
Fit 23500 ± 330 signal events



$B^- \rightarrow D^{*0} e \bar{\nu}$ – Results

arXiv:0707.2655
[hep-ex]

Take $F(1)$
from theory
to get $|V_{cb}|$

$$\mathcal{F}(1) \cdot |V_{cb}| = (36.3 \pm 0.6 \pm 1.4) \times 10^{-3}$$

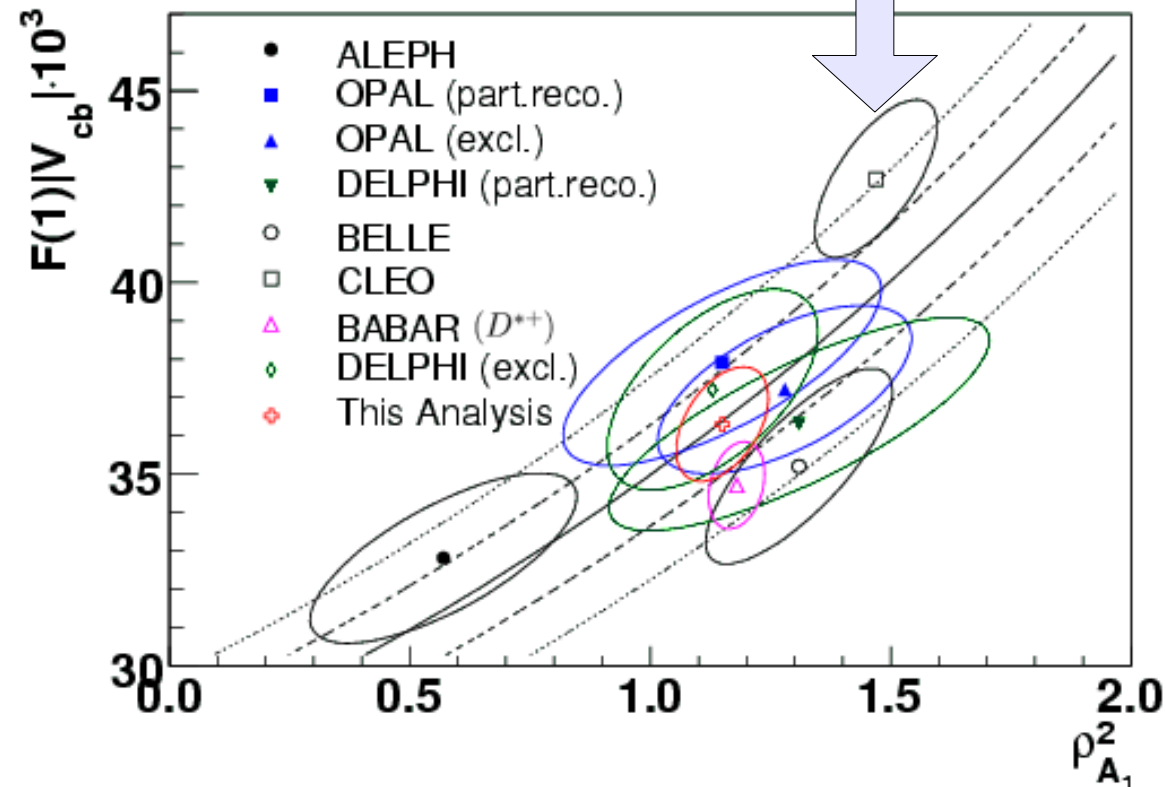
$$\rho_{A_1}^2 = 1.15 \pm 0.06 \pm 0.08$$

$$\mathcal{B}(B^- \rightarrow D^{*0} e^- \bar{\nu}_e) = (5.71 \pm 0.08 \pm 0.41)\%$$

Only one previous
 D^{*0} result

• Main systematic uncertainties

- π^0 efficiency
- BF ($D^{*0} \rightarrow D^0 \pi^0$)
- Form factor ratios R1, R2

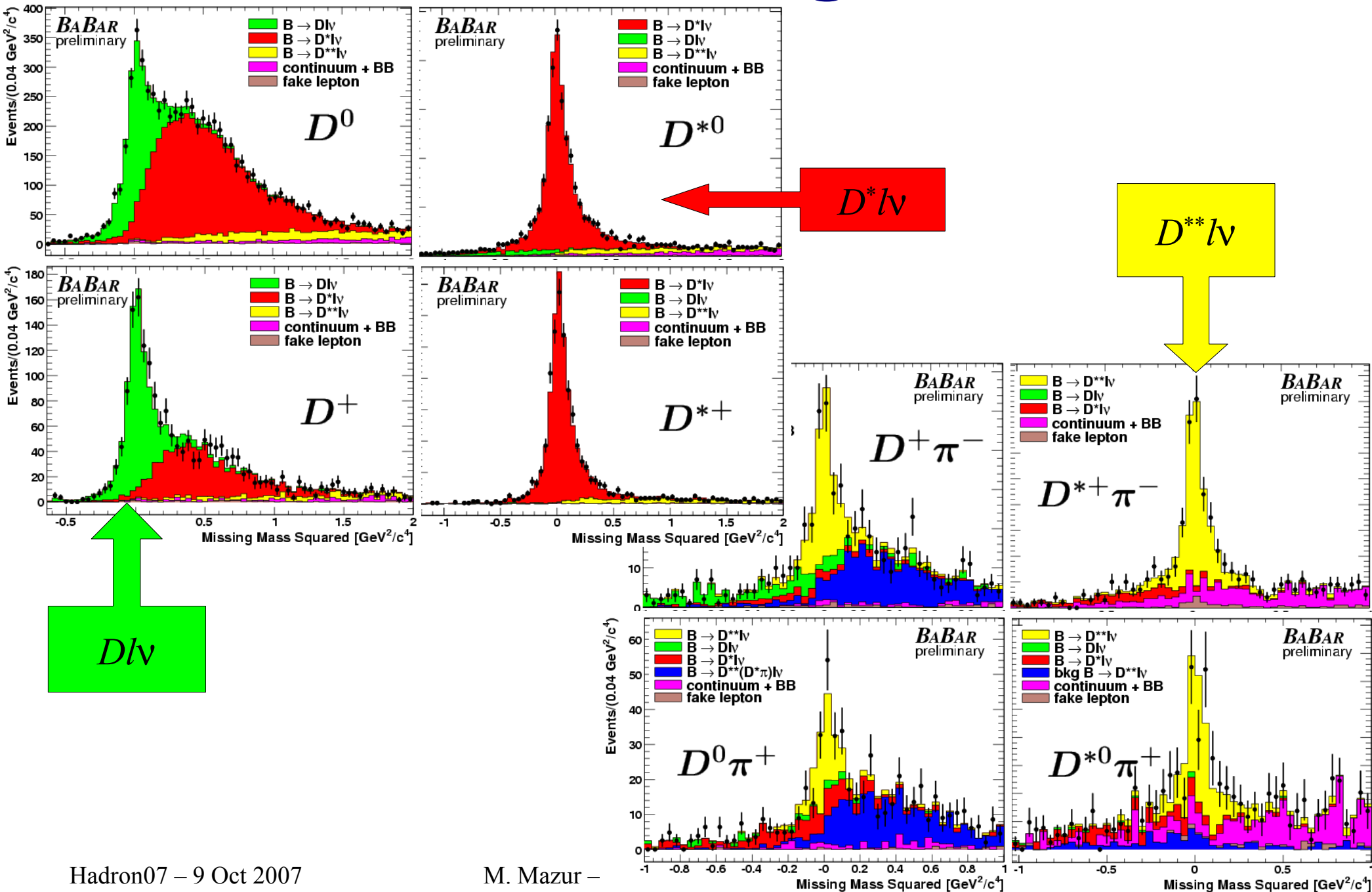


$$\mathbf{B} \rightarrow \mathbf{D/D^*/D^{**}} \mathbf{l} \nu$$

- Try to understand the composition of exclusive states in $B \rightarrow X_c l \nu$
 - Further help to resolve D^{*0}/D^{*+} isospin puzzle
 - Understand role of D^{**} decays in saturating inclusive semileptonic rate
- Experimental approach
 - Fully reconstruct B_{tag}
 - Reconstruct lepton (e or μ) in the recoil
 - Reconstruct D^0, D^+, D^{*0}, D^{*+} , as well as $D^{(*)}\pi^\pm$ in the recoil
 - Extract signals by fitting m_{miss}^2

$$m_{\text{miss}}^2 = (p(\Upsilon(4S)) - p(B_{\text{tag}}) - p(D^{(*)}(\pi)) - p(\ell))^2$$

$B \rightarrow D/D^*/D^{**} l \nu$ Signal Fits



$B \rightarrow D/D^*/D^{**} l \nu$ Results

arXiv:0708.1738
[hep-ex]

$$\mathcal{B}(B^- \rightarrow D^0 \ell^- \bar{\nu}_\ell) = (2.33 \pm 0.09_{stat.} \pm 0.09_{syst.})\%$$

$$\mathcal{B}(B^- \rightarrow D^{*0} \ell^- \bar{\nu}_\ell) = (5.83 \pm 0.15_{stat.} \pm 0.30_{syst.})\%$$

$$\mathcal{B}(\bar{B}^0 \rightarrow D^+ \ell^- \bar{\nu}_\ell) = (2.21 \pm 0.11_{stat.} \pm 0.12_{syst.})\%$$

$$\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \ell^- \bar{\nu}_\ell) = (5.49 \pm 0.16_{stat.} \pm 0.25_{syst.})\%$$

$$\mathcal{B}(B^- \rightarrow D^+ \pi^- \ell^- \bar{\nu}_\ell) = (0.42 \pm 0.06_{stat.} \pm 0.03_{syst.})\%$$

$$\mathcal{B}(B^- \rightarrow D^{*+} \pi^- \ell^- \bar{\nu}_\ell) = (0.59 \pm 0.05_{stat.} \pm 0.04_{syst.})\%$$

$$\mathcal{B}(\bar{B}^0 \rightarrow D^0 \pi^+ \ell^- \bar{\nu}_\ell) = (0.43 \pm 0.08_{stat.} \pm 0.03_{syst.})\%$$

$$\mathcal{B}(\bar{B}^0 \rightarrow D^{*0} \pi^+ \ell^- \bar{\nu}_\ell) = (0.48 \pm 0.08_{stat.} \pm 0.04_{syst.})\%$$

378M BB pairs

$D^{*0} l \nu$ BF consistent
with untagged result
($5.71 \pm 0.08 \pm 0.41$)%

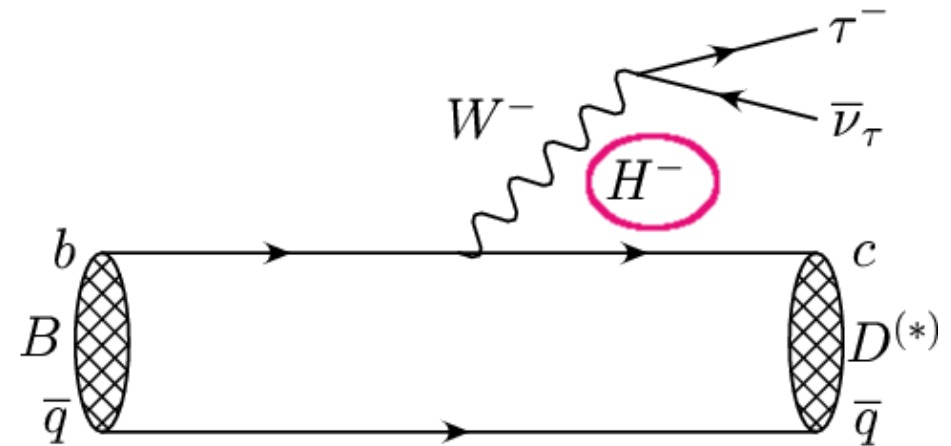
All results compatible
with isospin symmetry

Most precise individual branching fraction measurements

Dominant systematic errors due to $D^{(*)}$ BFs, B_{tag} selection,
and charged-track reconstruction

Measurement of $B \rightarrow D^{(*)} \tau \nu$

- Large τ mass gives sensitivity to new physics at tree level
 - Charged Higgs boson



- Very challenging: $\tau \rightarrow e \nu \nu$, $\mu \nu \nu$ decay produces additional ν 's
- Simultaneous measurement of $D^0 \tau \nu$, $D^{*0} \tau \nu$, $D^+ \tau \nu$, $D^{*+} \tau \nu$
 - B_{tag} , $D/D^* + \text{lepton in recoil}$
 - Look for events with **large** m_{miss}^2 – signal events have 3ν
 - Use $m_{\text{miss}}^2 \sim 0$ region to normalize signals

$B \rightarrow D^{(*)} \tau \nu$ – Signal Fit

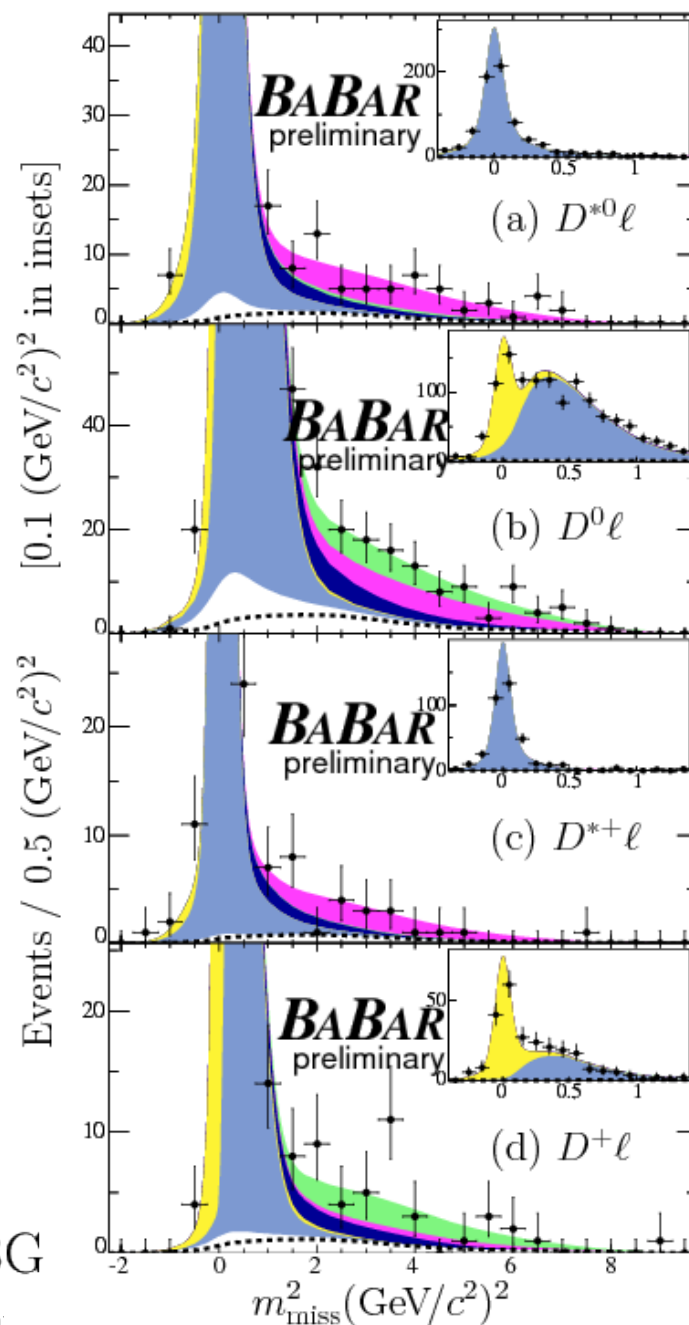
- Unbinned maximum likelihood fit:
 - Two discriminating variables, m_{miss}^2 and lepton momentum
 - Simultaneous fit to 4 $D^{(*)}$ channels
 - Constrain $D^{**} l \nu$ background with independent data control sample

- Measure $R \equiv \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(B \rightarrow D^{(*)} \ell^- \bar{\nu}_\ell)}$

232M BB pairs

Fit 66.9 ± 18.9 $D \tau \nu$ signal

101.4 ± 19.1 $D^* \tau \nu$ signal



$B \rightarrow D^{(*)} \tau \nu$ – Results

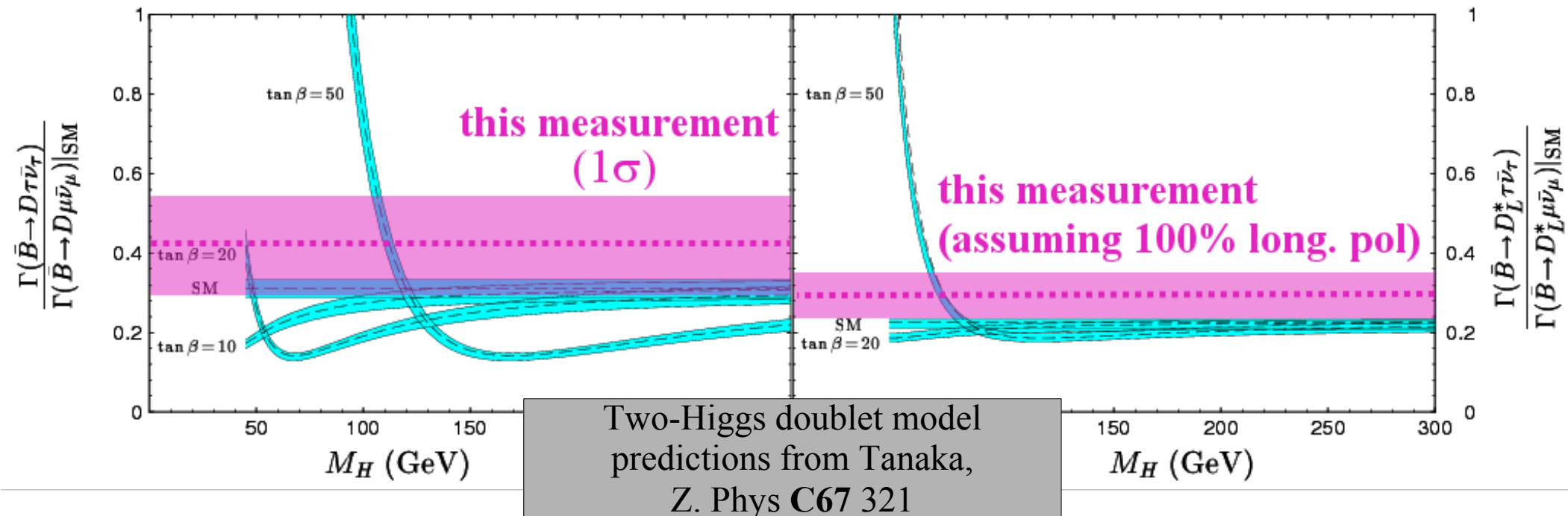
arXiv:0709.1698
[hep-ex]
submitted to PRL

Mode	R [%]	\mathcal{B} [%]
$B \rightarrow D \tau^- \bar{\nu}_\tau$	$41.6 \pm 11.7 \pm 5.2$	$0.86 \pm 0.24 \pm 0.11 \pm 0.06$
$B \rightarrow D^* \tau^- \bar{\nu}_\tau$	$29.7 \pm 5.6 \pm 1.8$	$1.62 \pm 0.31 \pm 0.10 \pm 0.05$

3.6σ
 6.2σ

Main systematic errors from PDF parameterization, combinatoric BG model

$D^* \tau \nu$ consistent with Belle result
Other modes studied for the first time



Summary

- $|V_{ub}|$ from $B \rightarrow X_u l \nu$ decays
 - Tests of the CKM mechanism for CP violation
 - Current precision on $|V_{ub}| \sim 8\%$ (previous BaBar measurement was $\sim 13\%$)
 - Limit on WA contribution will allow greater precision in $|V_{ub}|$ extraction
- $B^- \rightarrow D^{*0} e \nu$ and $B \rightarrow D/D^*/D^{**} l \nu$
 - New precise measurements of $|V_{cb}|$, ρ^2 , and BFs help resolve discrepancies
 - Working towards understanding all of the contributions to the inclusive rate
- $B \rightarrow D^{(*)} \tau \nu$
 - First evidence for $D \tau \nu$ and observation of $D^* \tau \nu$
 - Study of τ modes can provide limits on H^\pm

FINE

Backup: Systematic Errors

$|V_{ub}|$

	Detector	Shape function	$B(\bar{B} \rightarrow X_u \ell \bar{\nu})$ $X_u = \pi, \rho, \dots$	Gluon splitting	$B(\bar{B} \rightarrow X_c \ell \bar{\nu})$	$B \rightarrow D^* \ell^- \bar{\nu}$ form factors	$B(D)$	m_{ES} fit	Monte Carlo statistics	Total
	M_X	1.92	0.90	2.08	1.62	0.87	0.21	0.44	3.71	6.07
	P_+	3.88	1.31	2.22	1.47	2.80	0.39	0.73	3.98	8.38
	M_X, q^2	3.83	2.43	2.71	1.02	1.17	0.55	0.79	5.17	8.81

ΔP_ℓ lepton momentum range	2.2-2.6	2.3-2.6	2.4-2.6
Statistical	12.6	16.1	19.3
Systematics	6.1	5.	6.4
Monte Carlo statistics	2.8	3.4	5.0
Peaking B^+	2.5	1.1	1.2
N_B^0	1.3	1.3	1.3
B movement	0.4	1.0	1.5
Event Selection	1.0	1.1	1.9
PID	1.3	1.5	1.4
Radiation	1.1	1.2	1.3
$J/\psi, \psi'$ bkg	0.5	0.2	0.2
Fake lepton	2.3	2.9	1.7
$B \rightarrow D \ell \nu$	1.9	0.0	0.0
$B \rightarrow D^* \ell \nu$	2.4	0.5	0.0
$B \rightarrow D^{**} \ell \nu$	0.1	0.0	0.0
X_u composition	0.7	0.4	0.4
$s\bar{s}$ pair production	1.2	0.5	0.3

Isospin

	$\Delta V/V$	$\Delta \rho^2/\rho^2$	$\Delta \mathcal{B}/\mathcal{B}$
tracking efficiency (ϵ_{tr})	1.2	-	2.4
p_T dependence of ϵ_{tr}	0.3	0.5	0.2
particle ID efficiency	0.9	2.0	1.6
extrapolated π^0 efficiency (ϵ_{π^0})	1.8	-	3.6
p_{π^0} dependence of ϵ_{π^0}	1.0	3.5	0.4
Δm shape of D^{**} background	0.1	0.1	0.2
shape parameters	1.0	2.5	0.6
number of $B\bar{B}$ events	0.6	-	1.1
off-peak luminosity	0.1	0.4	<0.1
total internal	2.8	4.8	4.8
$R_1(1)$ and $R_2(1)$	0.1	4.7	0.3
$B(\Upsilon(4S) \rightarrow B^+ B^-)$	0.8	-	1.6
$B(D^{*0} \rightarrow D^0 \pi^0)$	2.3	-	4.7
$B(D^0 \rightarrow K^- \pi^+)$	0.9	-	1.8
B^- life time	0.3	-	-
D^{**} decay fractions	0.3	0.7	0.3
number of D^{*0} in $c\bar{c}$ events	0.2	0.7	<0.1
total external	2.6	4.8	5.3
total	3.9	6.8	7.2

$D^{*0} ev$

Backup: Systematic Errors

	Systematic uncertainty on $\Gamma(\bar{B} \rightarrow D^{(*)}\ell^-\bar{\nu}_\ell)/\Gamma(\bar{B} \rightarrow X\ell^-\bar{\nu}_\ell)$			
	$B^- \rightarrow D^0\ell^-\bar{\nu}_\ell$	$B^- \rightarrow D^{*0}\ell^-\bar{\nu}_\ell$	$\bar{B}^0 \rightarrow D^+\ell^-\bar{\nu}_\ell$	$\bar{B}^0 \rightarrow D^{*+}\ell^-\bar{\nu}_\ell$
Tracking efficiency	1.4	1.2	1.4	1.5
Neutral reconstruction	0.7	1.9	0.5	1.1
lepton ID	0.5	0.4	0.5	0.6
Soft particle efficiency	-	1.3	-	1.2
Monte Carlo corrections				
Conversion and Dalitz decay background	0.04	0.07	0.06	0.05
Cascade $\bar{B} \rightarrow X \rightarrow \ell^-$ decay background	0.6	0.6	1.0	1.0
$\bar{B}^0 - B^-$ cross-feed	0.2	0.3	0.2	0.3
Form factors	0.4	0.8	0.4	0.8
$D^{(*)}$ branching fractions	2.3	3.5	4.1	2.7
$\bar{B} \rightarrow X\ell^-\bar{\nu}_\ell$ branching fraction	1.9	1.9	1.9	1.9
B_{tag} selection	0.9	1.7	1.8	1.3
Fit technique				
$\bar{B} \rightarrow X\ell^-\bar{\nu}_\ell$ yield	0.5	0.5	0.9	0.9
$\bar{B} \rightarrow D^{(*)}\ell^-\bar{\nu}_\ell$ yield	0.6	0.4	1.2	0.4
Total systematic error	3.7	5.2	5.4	4.5

	Systematic uncertainty on $\Gamma(\bar{B} \rightarrow D^{(*)}\pi\ell^-\bar{\nu}_\ell)/\Gamma(\bar{B} \rightarrow X\ell^-\bar{\nu}_\ell)$			
	$B^- \rightarrow D^+\pi^-\ell^-\bar{\nu}_\ell$	$B^- \rightarrow D^{*+}\pi^-\ell^-\bar{\nu}_\ell$	$\bar{B}^0 \rightarrow D^0\pi^+\ell^-\bar{\nu}_\ell$	$\bar{B}^0 \rightarrow D^{*0}\pi^+\ell^-\bar{\nu}_\ell$
Tracking efficiency	1.8	2.7	1.5	1.7
Neutral reconstruction	1.7	1.8	1.1	1.8
lepton ID	2.3	3.0	2.6	1.8
Soft particle efficiency	-	1.2	-	1.3
Monte Carlo corrections				
Conversion and Dalitz decay background	0.15	0.4	0.05	0.2
Cascade $\bar{B} \rightarrow X \rightarrow \ell^-$ decay background	0.6	0.6	1.0	1.0
$\bar{B}^0 - B^-$ cross-feed	0.2	0.3	0.2	0.3
Form factors	0.4	0.8	0.4	0.8
$D^{(*)}$ branching fractions	4.2	2.9	2.5	4.4
$\bar{B} \rightarrow X\ell^-\bar{\nu}_\ell$ branching fraction	1.9	1.9	1.9	1.9
B_{tag} selection	5.0	4.3	4.0	5.6
Fit technique				
$\bar{B} \rightarrow X\ell^-\bar{\nu}_\ell$ yield	0.5	0.5	0.9	0.9
$\bar{B} \rightarrow D^{(*)}\pi\ell^-\bar{\nu}_\ell$ yield	1.2	0.9	1.8	1.5
Total systematic error	7.7	7.3	6.4	8.4

Backup: $D^{(*)}\tau\nu$ Signal Fit

Fit projections to lepton momentum spectrum at low m^2_{miss} (left) and high m^2_{miss} (right)

