

# Leptonic decays at BaBar

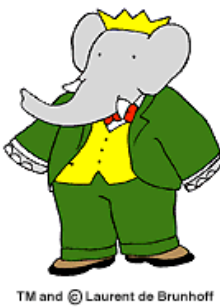
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LNF 8-13 October 2007



# outline

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- $B \rightarrow \tau \nu$

- Semileptonic tag analysis

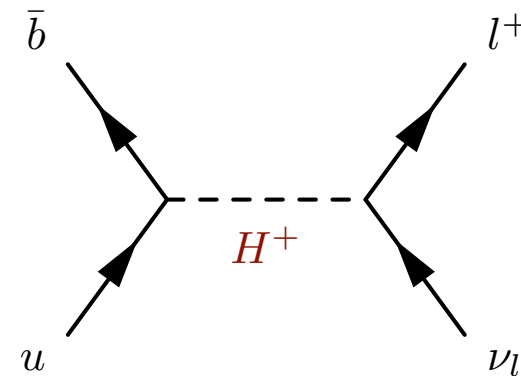
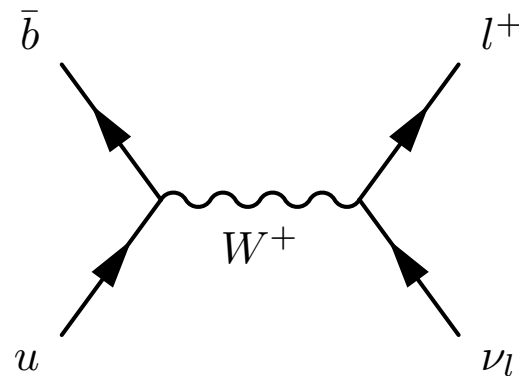
- Hadronic tag analysis

- $B \rightarrow K \tau \mu$

- $B \rightarrow l^+ l^- \gamma$

- $B \rightarrow l^+ l^-$

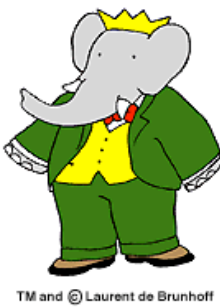
# B → τν



$$\mathcal{B}(B \rightarrow l\nu)_{\text{SM}} = \frac{G_F^2 m_B}{8\pi} m_l^2 \left(1 - \frac{m_l^2}{m_B^2}\right)^2 f_B^2 |V_{ub}|^2 \tau_B$$

$$\mathcal{B}(B \rightarrow l\nu) = \mathcal{B}(B \rightarrow l\nu)_{\text{SM}} \times \left(1 - \tan^2 \beta \frac{m_{B^\pm}^2}{m_{H^\pm}^2}\right)^2$$

- The Branching Ratio depends on the choice of  $V_{ub}$  and  $f_B$ 
  - using the values  $|V_{ub}| = (4.31 \pm 0.30) \times 10^{-3}$   $f_B = 0.216 \pm 0.022$  GeV we get, in the SM  $\mathcal{B}(B \rightarrow \tau\nu) = (1.6 \pm 0.4) \times 10^{-4}$
- Assuming the SM and using the experimental value of  $V_{ub}$ , we can calculate  $f_B$  from the BR
- Amplitude mediated by charged Higgs in 2HDM ⇒ shift in the BR (W.Hou, Phys. Rev.D 48, 2342 (1992))
- If we use given values of  $f_B$  and  $V_{ub}$ , we can constrain the  $(m_H, \tan\beta)$  parameter space
- UTfit collaboration ([www.utfit.org](http://www.utfit.org)) predicts  $(0.85 \pm 0.14) \times 10^{-4}$  using all experimental constraints and determining  $f_B$  indirectly



# $B \rightarrow \tau \nu$ : experimental

- Largest BF among the pure leptonic B decays
  - $B \rightarrow \mu \nu$  and  $B \rightarrow e \nu$  are easier but SM rate is very low
- about 71 % of the total  $\tau$  decays
- many neutrinos in the signal decays
- weak kinematical constraints
  - need a clean environment
  - reconstruct the other B in the event

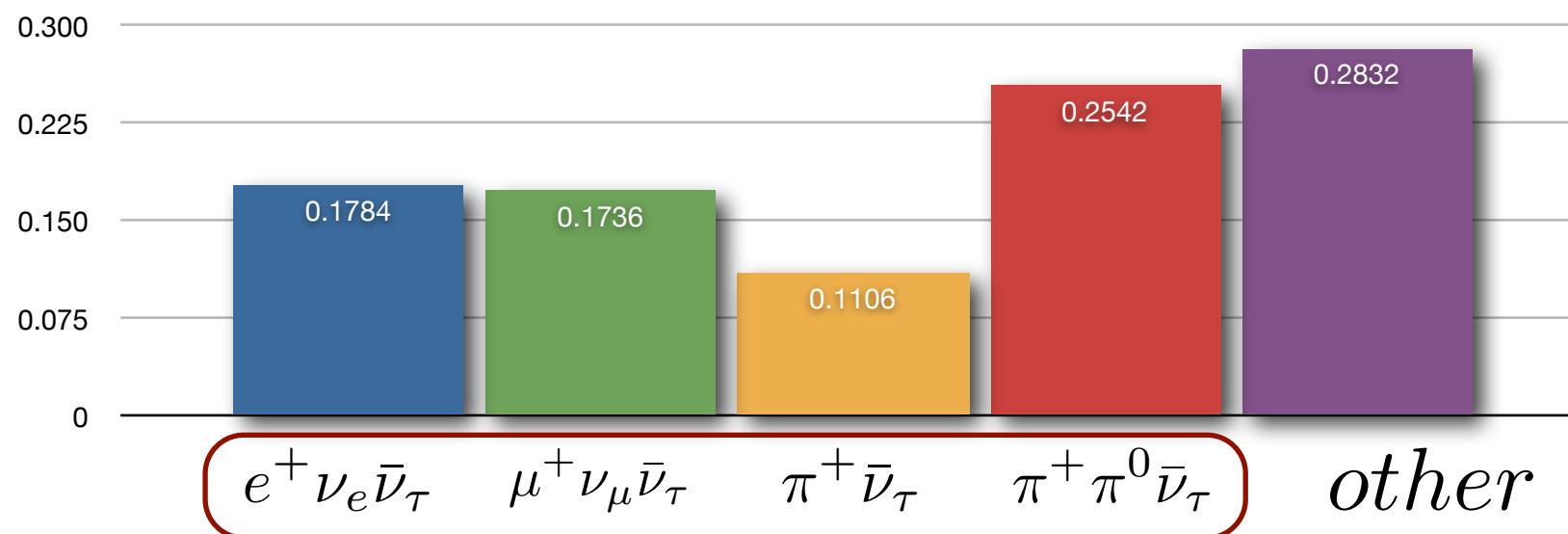
## Standard Model

$$\mathcal{B}(B \rightarrow \tau \nu) \sim 10^{-4}$$

$$\mathcal{B}(B \rightarrow \mu \nu) \sim 10^{-7}$$

$$\mathcal{B}(B \rightarrow e \nu) \sim 10^{-10}$$

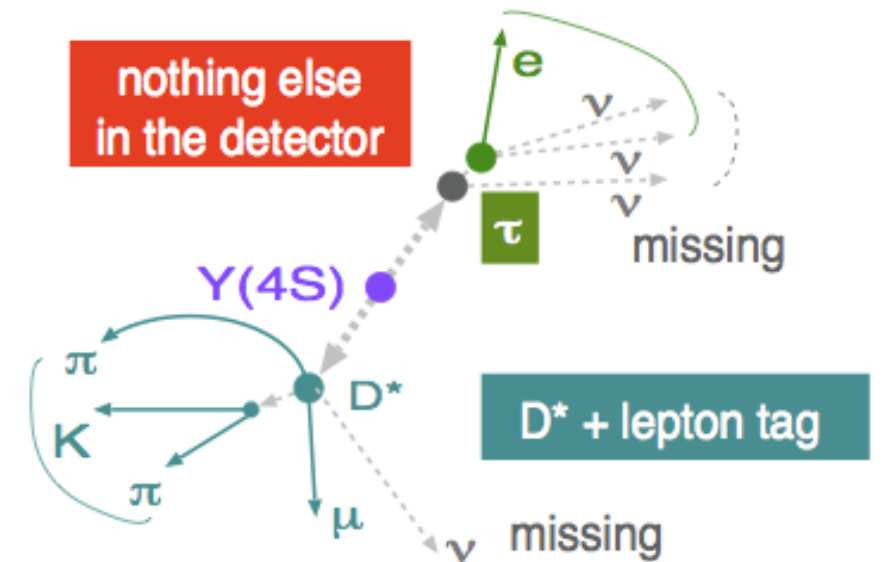
## Branching fractions of the $\tau$ decays



# B → τ ν: tag methods

## ■ Semileptonic tag:

- $B^\pm \rightarrow D^{(*)0} l \nu$  ( $l=e, \mu$ )
- High semileptonic BFs
- only partial reconstruction because of neutrino
- higher statistics but lower purity w.r.t. other method

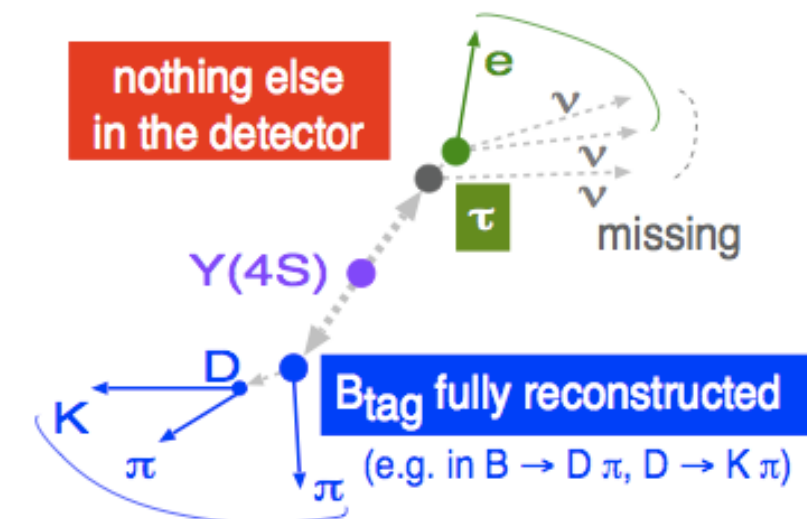


## ■ Hadronic tag:

- $B^\pm \rightarrow D^{(*)0} n_1 \pi^\pm, n_2 K^\pm, n_3 K_s, n_4 \pi^0$  ( $n_1 + n_2 \leq 5, n_3 \leq 2, n_4 \leq 2$ )
- full reconstruction of B decays
- use beam energy constraints to build discriminating variables

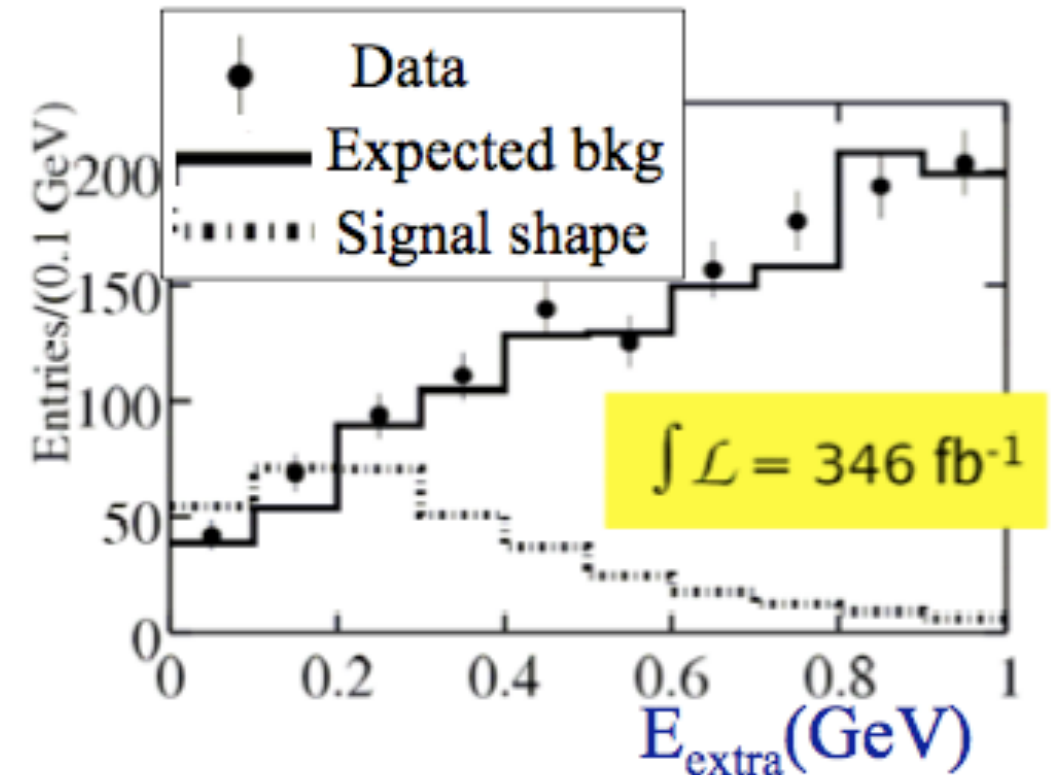
$$m_{ES} \equiv \sqrt{(s/2 + \mathbf{p}_0 \cdot \mathbf{p}_B)^2 / E_0^2 - p_B^2}$$

$$\Delta E \equiv E_B^* - \sqrt{s}/2$$



# B → τν: semileptonic tag

- Search based on 346 fb<sup>-1</sup>
- Tag efficiency = 0.66 %
- look at E<sub>EXTRA</sub> = ∑E<sub>i</sub> of tracks and clusters not assigned to a particle
- different signal region for E<sub>EXTRA</sub> depending on the decay mode of τ: E<sub>EXTRA</sub> < [0.25 ÷ 0.48] GeV
- Tag efficiency and E<sub>EXTRA</sub> model validated also with double-tag events
- Expected background evaluated from sideband of E<sub>EXTRA</sub>



τ decay mode	Expected background events	Observed events in on-resonance data
$\tau^+ \rightarrow e^+ \nu \bar{\nu}$	$44.3 \pm 5.2$	59
$\tau^+ \rightarrow \mu^+ \nu \bar{\nu}$	$39.8 \pm 4.4$	43
$\tau^+ \rightarrow \pi^+ \bar{\nu}$	$120.3 \pm 10.2$	125
$\tau^+ \rightarrow \pi^+ \pi^0 \bar{\nu}$	$17.3 \pm 3.3$	18
All modes	$221.7 \pm 12.7$	245

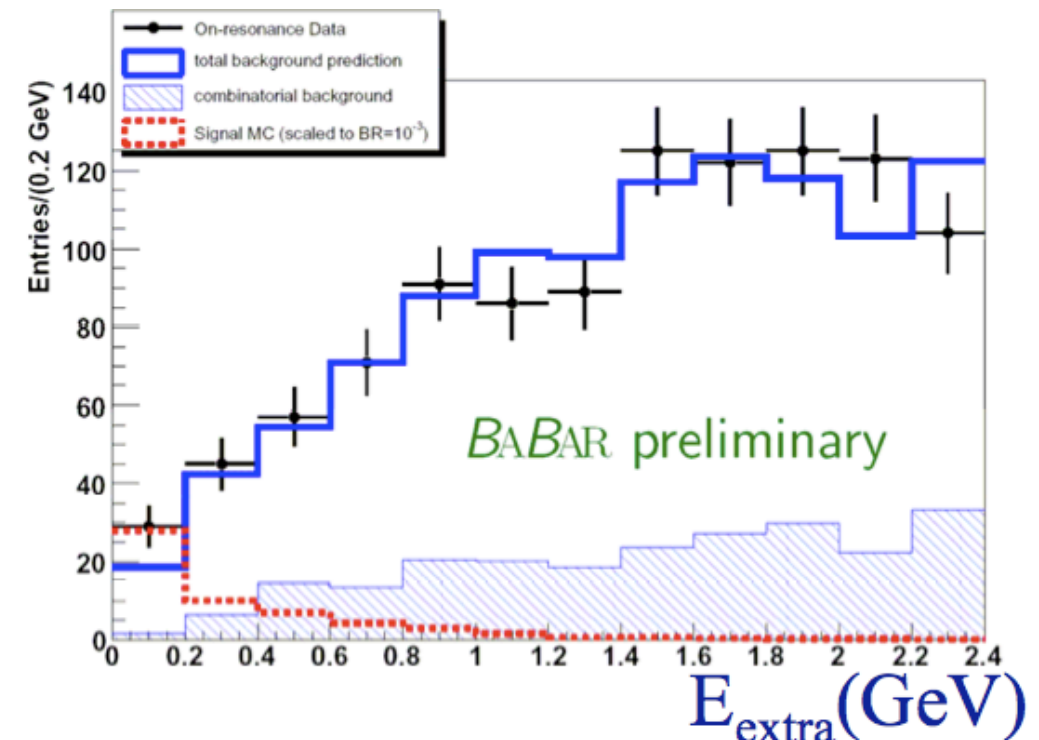
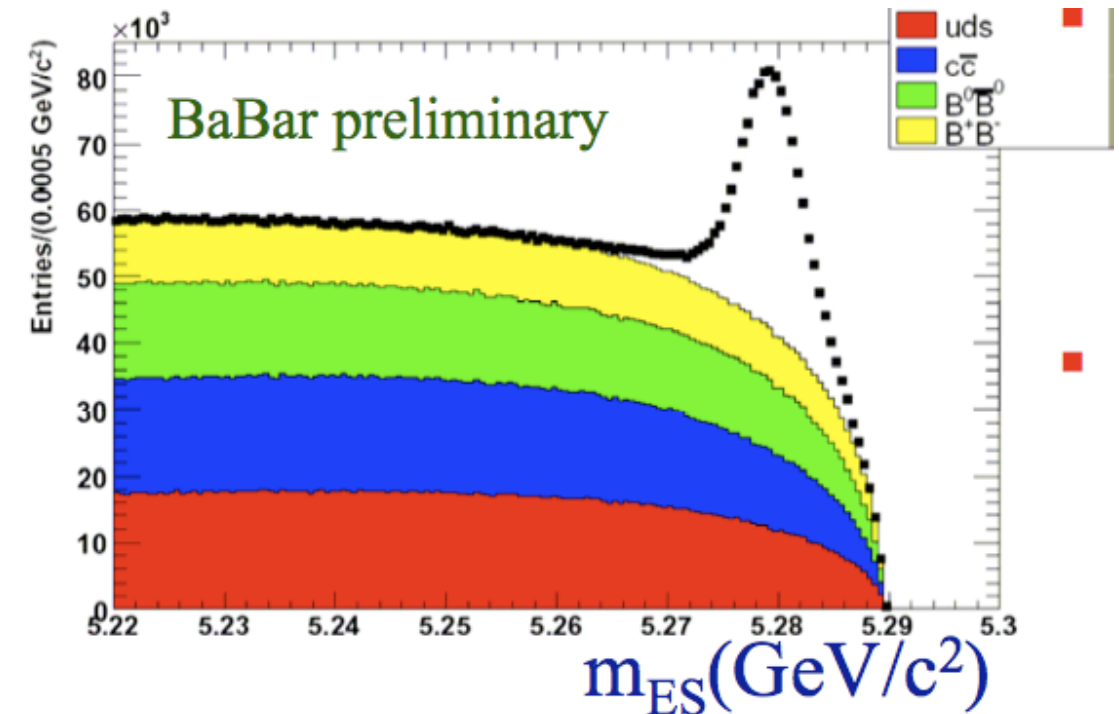
*Phys. Rev. D, 76, 052002 (2007)*

# B → τν: hadronic tag

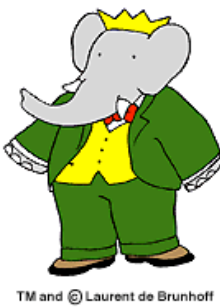
- Search based on 346 fb<sup>-1</sup>
- Tag efficiency = 0.34 %
- m<sub>ES</sub> used to discriminate combinatorial background
- Mode dependent selection
- Veto on extra charged tracks
- Particle identification
- E<sub>EXTRA</sub> = ∑ E<sub>i</sub> (neutral clusters) in the range E<sub>EXTRA</sub> < [0.1 ÷ 0.29] GeV

τ decay mode	Expected background	Observed
τ <sup>+</sup> → e <sup>+</sup> νν̄	1.47 ± 1.37	4
τ <sup>+</sup> → μ <sup>+</sup> νν̄	1.78 ± 0.97	5
τ <sup>+</sup> → π <sup>+</sup> ν̄	6.79 ± 2.11	10
τ <sup>+</sup> → π <sup>+</sup> π <sup>0</sup> ν̄	4.23 ± 1.39	5
All modes	14.27 ± 3.03	24

- Submitted to PRD - RC [arXiv:0708.2260](https://arxiv.org/abs/0708.2260)







# $B \rightarrow \tau \nu$ : Branching Fraction

- Define likelihood and likelihood ratio
- $s_i$  and  $b_i$  are the expected signal and background events
- $s_i$  function of BF
- $n_i$  observed events
- $Q_{min}$  gives the BF
- $\sqrt{-Q}$  is the significance

$$\mathcal{L}(s + b) = \prod_{i=1}^4 \frac{e^{-(s_i + b_i)} (s_i + b_i)^{n_i}}{n_i}$$

$$Q(\mathcal{B}) = -2 \ln (\mathcal{L}(s + b) / \mathcal{L}(b))$$

$$s_i = N_B \times \epsilon_i \times \mathcal{B}$$

$$\mathcal{B}(semilep) = (0.9 \pm 0.6 \pm 0.1) \times 10^{-4} [1.3\sigma]$$

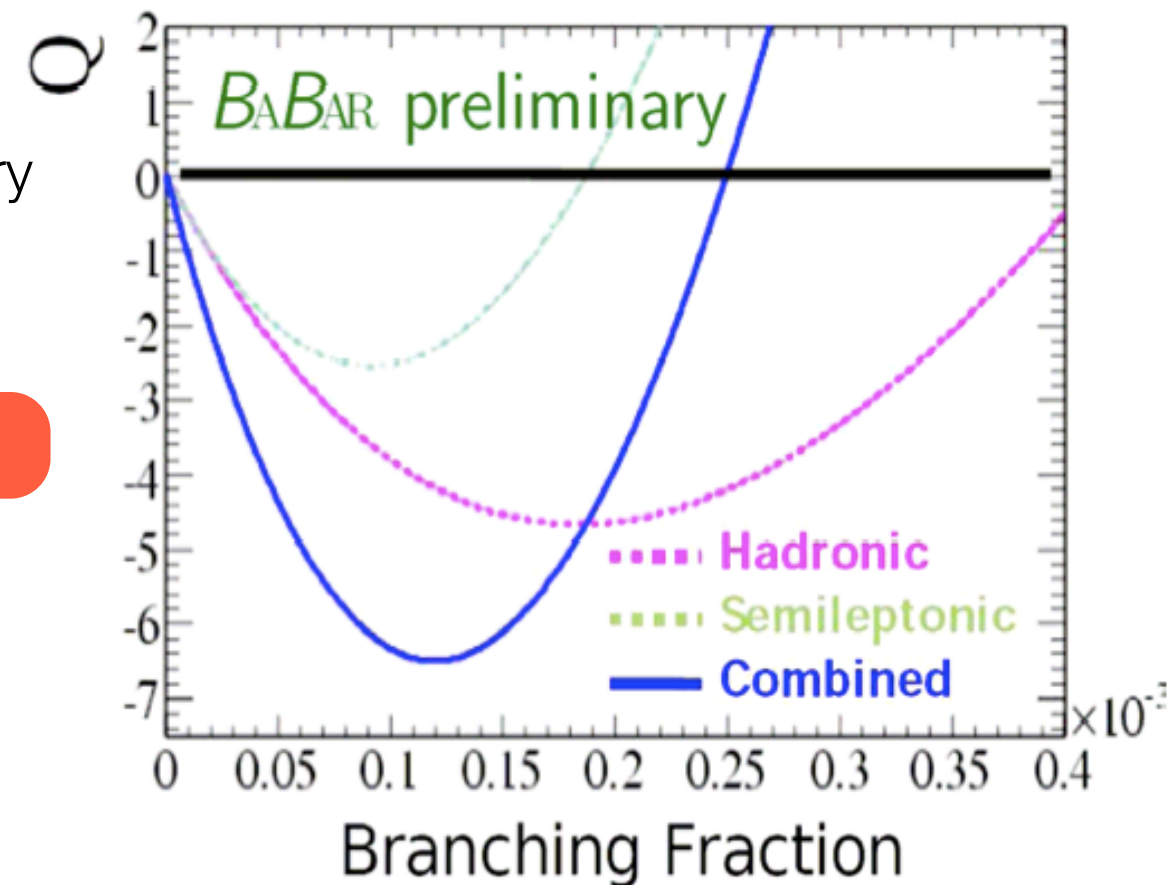
$$\mathcal{B}(hadr) = (1.8_{-0.9}^{+1.0} \pm 0.3) \times 10^{-4} [2.2\sigma] \quad \text{Preliminary}$$

Preliminary

$$\mathcal{B}(B \rightarrow \tau \nu) = (1.2 \pm 0.4^{stat} \pm 0.3^{bkg} \pm 0.2^{eff}) \times 10^{-4} [2.6\sigma]$$

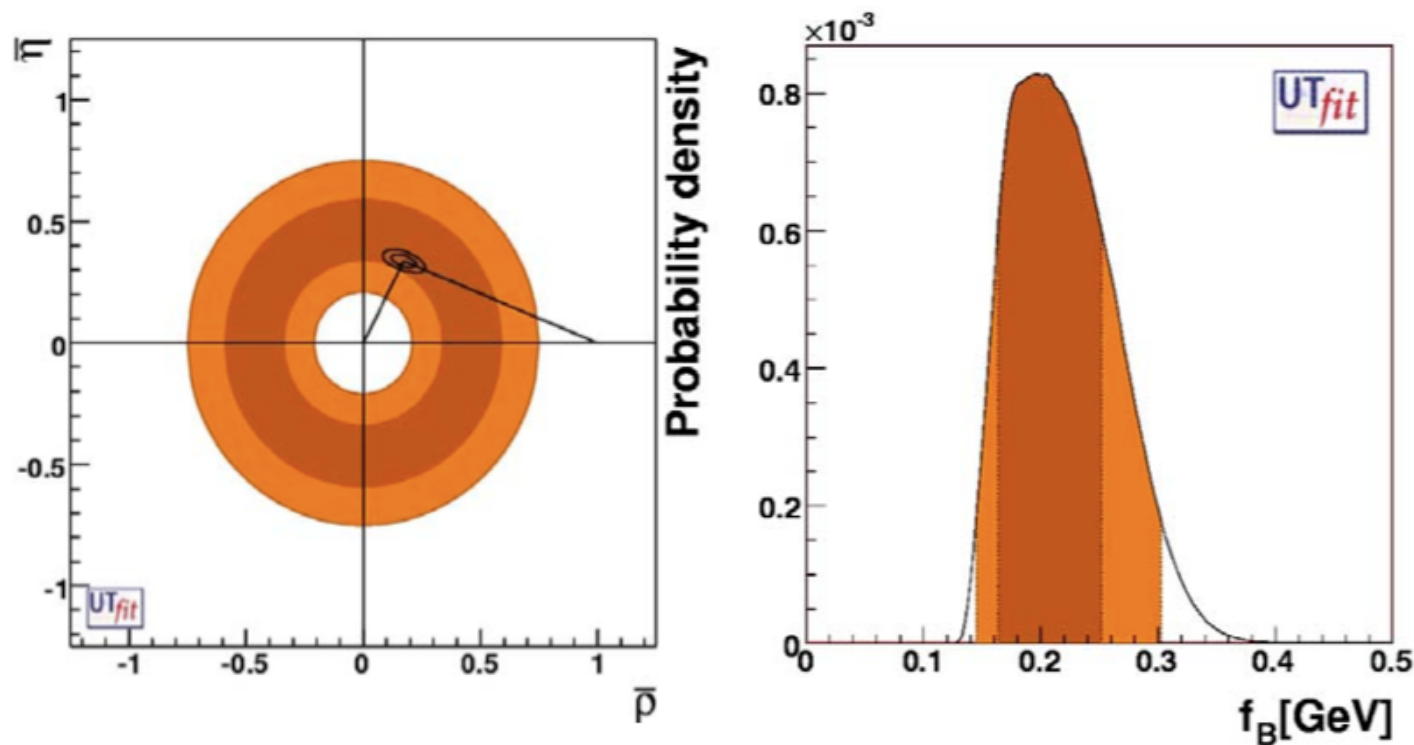
$$f_B \cdot |V_{ub}| = (7.2_{-2.8}^{+2.0} \pm 0.2) \times 10^{-4} \text{GeV(semilep)}$$

$$f_B \cdot |V_{ub}| = (10.1_{-2.5}^{+2.3+1.2}) \times 10^{-4} \text{GeV(hadr)}$$





# B → τν: Results



■ BaBar-only UTfit constraint on  $R_B$  and  $f_B$  PDFs

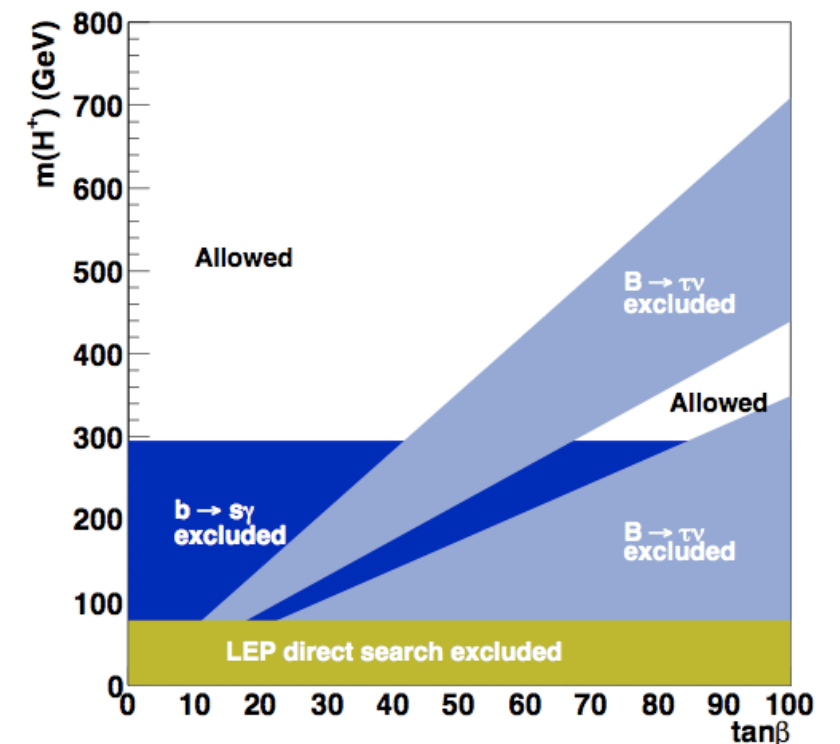
■ 68 % probability

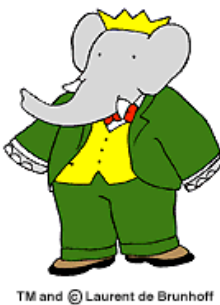
■ 95 % probability

■ See [www.utfit.org](http://www.utfit.org)

$$\mathcal{B}(B \rightarrow l\nu) = \mathcal{B}(B \rightarrow l\nu)_{SM} \times \left(1 - \tan^2 \beta \frac{m_{B^\pm}^2}{m_{H^\pm}^2}\right)^2$$

- The ratio  $m_H/\tan\beta$  will be measured when  $B/B_{SM}$  different from 1
- Constraint on the parameter space shown in the plot
- BaBar + Belle combined
  - Belle: PRL 97, 251802 (2006)





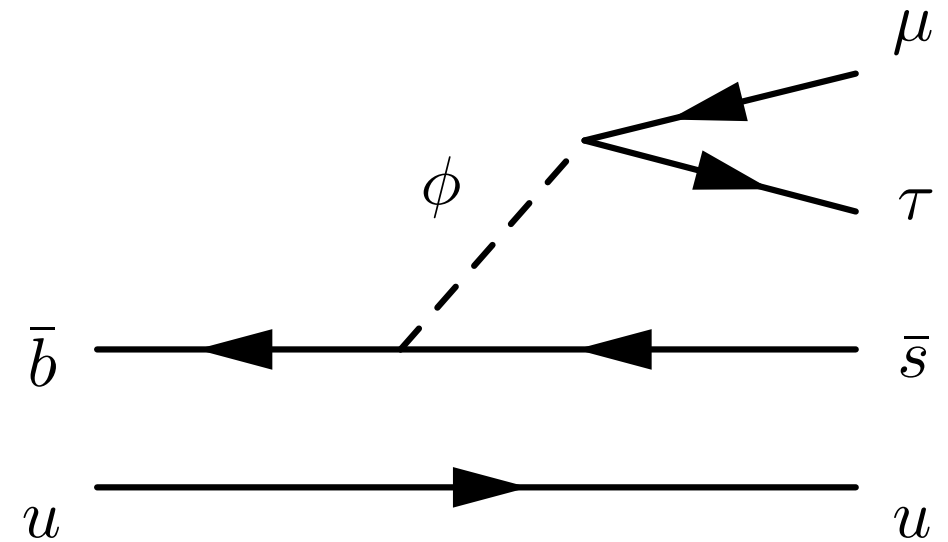
# $B \rightarrow K \tau \mu$

- Forbidden in the Standard Model
  - Lepton flavor violation
  - $b \rightarrow s$  flavor changing neutral current
- Permitted in new physics models
  - NP models with extended Higgs sector may introduce tree-level FCNC mediated by new scalar particle
  - See Sher and Yuan, Phys. Rev. D 44, 1461 (1991)
  - Yukawa couplings proportional to

$$\eta_{ij}^{leptons} = \sqrt{m_i m_j} / m_\tau \quad \eta_{ij}^{quarks} = \sqrt{m_i m_j} / m_b$$

- Transitions involving second and third generations of quarks and leptons are favored in this framework in Grand Unified Theories

$$\eta_{ee} = 0.0003 \quad \eta_{e\mu} = 0.004 \quad \eta_{e\tau} = 0.02 \quad \eta_{\mu\mu} = 0.06 \quad \eta_{\mu\tau} = 0.24$$



# B → Kτμ analysis

- First search ever done
- Search based on 346 fb<sup>-1</sup>
- Look for signal on the recoil of hadronic tag B
- 1-prong τ decay modes (e, μ, π) studied
  - they define three different decay channels
  - signal mode composed of three tracks
- τ four momentum determined using kinematics:

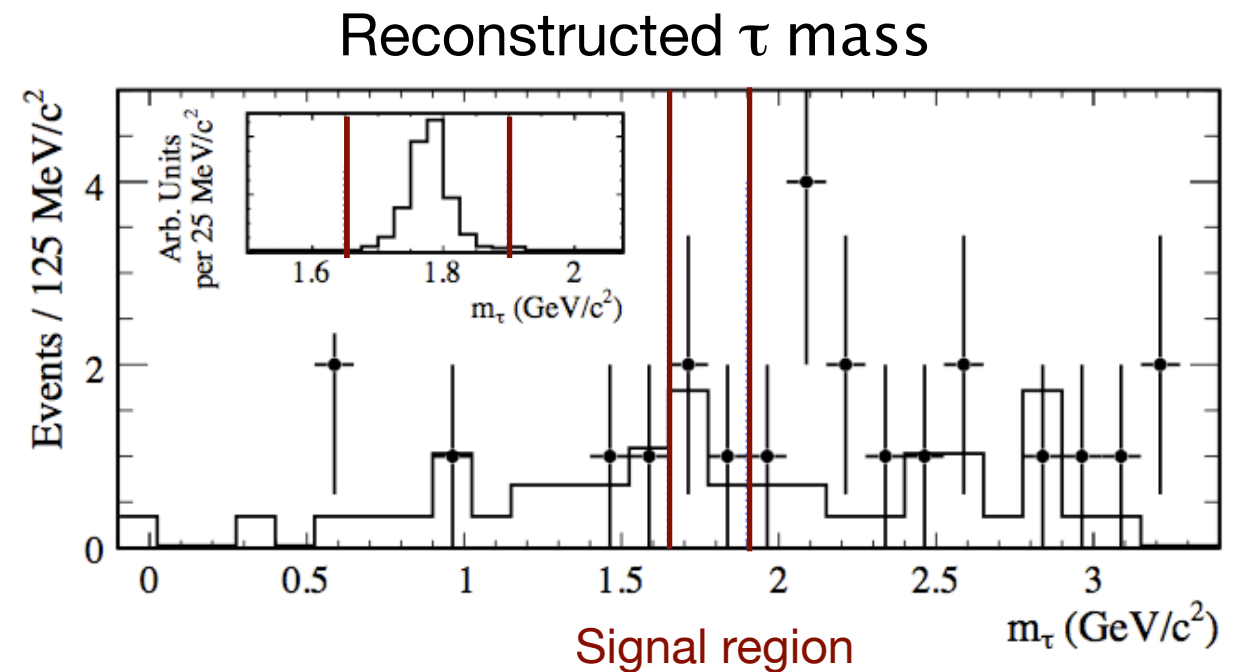
$$\vec{p}_\tau = \vec{p}_{B_{sig}} - \vec{p}_K - \vec{p}_\mu$$

$$E_\tau = E_{beam} - E_K - E_\mu$$

- B → D<sup>0</sup>(Kπ)μν data samples has the same final state
  - removed with cut on invariant mass
- No evidence of signal

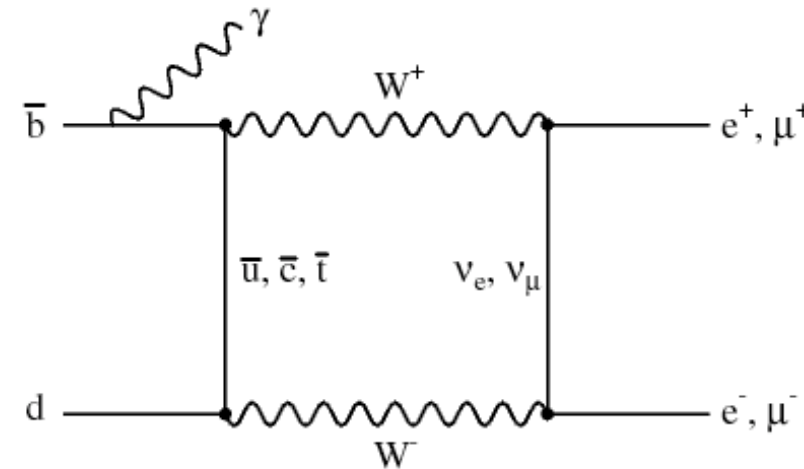
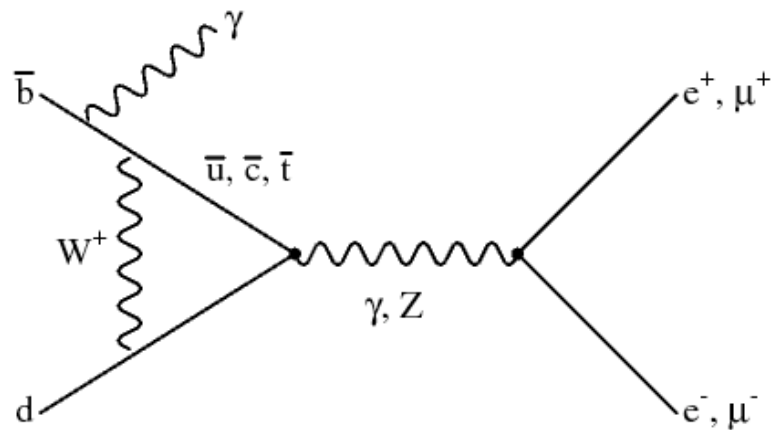
$$\mathcal{B}(B \rightarrow K\tau\mu) < 7.7 \times 10^{-5} @ 90\% \text{ C.L.}$$

- Accepted by PRL *arXiv:0708.1303*



Channel	Sig.events	Exp. bkg
electron	1	$0.5 \pm 0.3$
muon	0	$0.6 \pm 0.3$
pion	2	$1.8 \pm 0.6$

$$B \rightarrow l^+ l^- \gamma$$



- FCNC processes
  - suppressed in the SM,  $BR \sim 10^{-10}$
  - Can be enhanced by new physics
- First search ever done
- Search done on  $292 \text{ fb}^{-1}$
- Construct  $B^0$  candidates from two leptons (electrons or muons) and a photon
- Constrain  $B^0$  candidate to be consistent with the production at the  $Y(4S)$  using  $m_{ES}$  and  $\Delta E$

# B → l<sup>+</sup>l<sup>-</sup>γ analysis

## ■ Main backgrounds:

### ■ ISR High order QCD

- Rejected by requiring tracks and photon within fiducial region of the detector and by requiring high tracks and clusters multiplicity

### ■ Lepton from J/ψ or γ from π<sup>0</sup>

- Rejected by cut on invariant mass

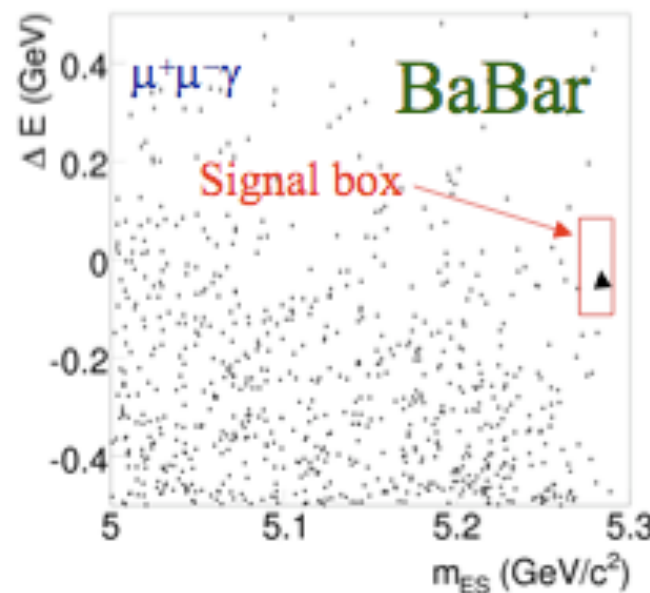
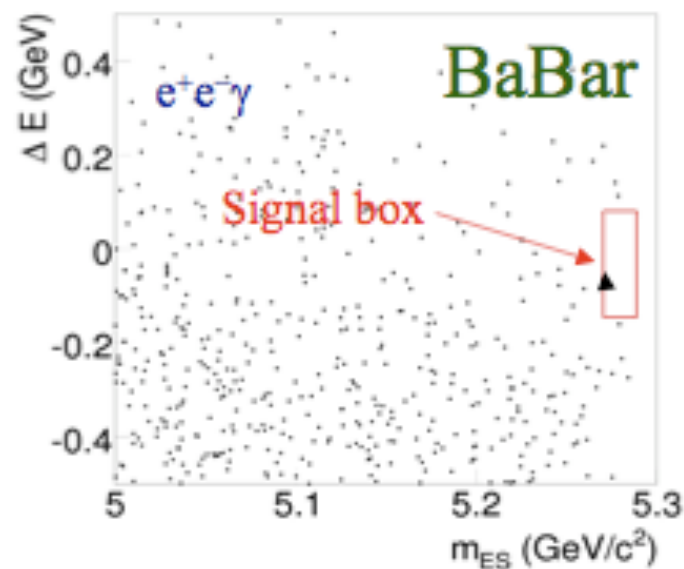
### ■ Continuum

- Rejected by cut on topological variables

Mode	$n_{obs}$	$n_{bg}^{exp}$	$\epsilon_{sig}$ (%)
$e^+e^-\gamma$	1	$1.75 \pm 1.38 \pm 0.36$	$7.4 \pm 0.3$
$\mu^+\mu^-\gamma$	1	$2.66 \pm 1.40 \pm 1.58$	$5.2 \pm 0.3$

## ■ Distributions of ΔE vs m<sub>ES</sub> shown for the two decays

- the triangle is the only event in the signal region

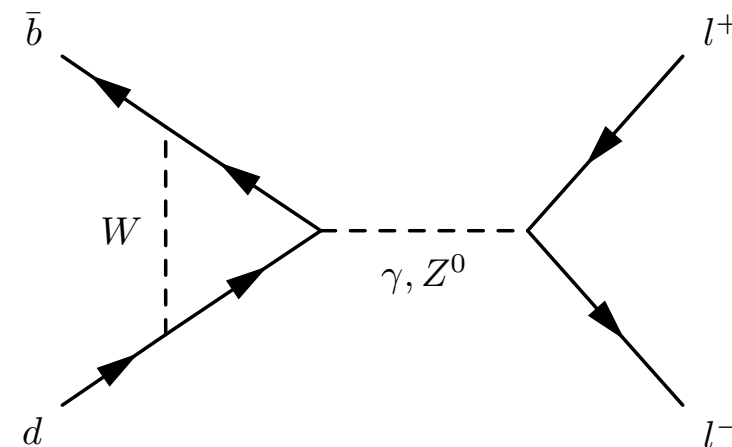
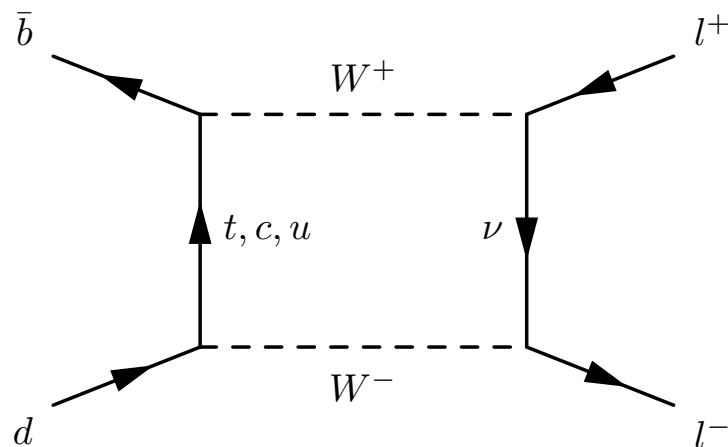


$$\mathcal{B}(B \rightarrow e^+e^-\gamma) < 1.2 \times 10^{-7} \text{ 90\% C.L.}$$

$$\mathcal{B}(B \rightarrow \mu^+\mu^-\gamma) < 1.6 \times 10^{-7} \text{ 90\% C.L.}$$

- Submitted to PRD-RC [arXiv:0706.2870](https://arxiv.org/abs/0706.2870)

# B → l<sup>+</sup>l<sup>-</sup>



$$\mathcal{B}(B \rightarrow ll') = \tau(B_d) \frac{G_F^2}{\pi} \left( \frac{\alpha}{4\pi \sin^2 \Theta_W} \right)^2 F_{B_d}^2 m_l^2 m_{B_d}^2 \sqrt{1 - 4m_l^2/m_{B_d}^2} |V_{tb}^* V_{td}|^2 Y^2(x_t)$$

- b → d transition
- very suppressed in the SM
- Search done on 347 fb<sup>-1</sup>

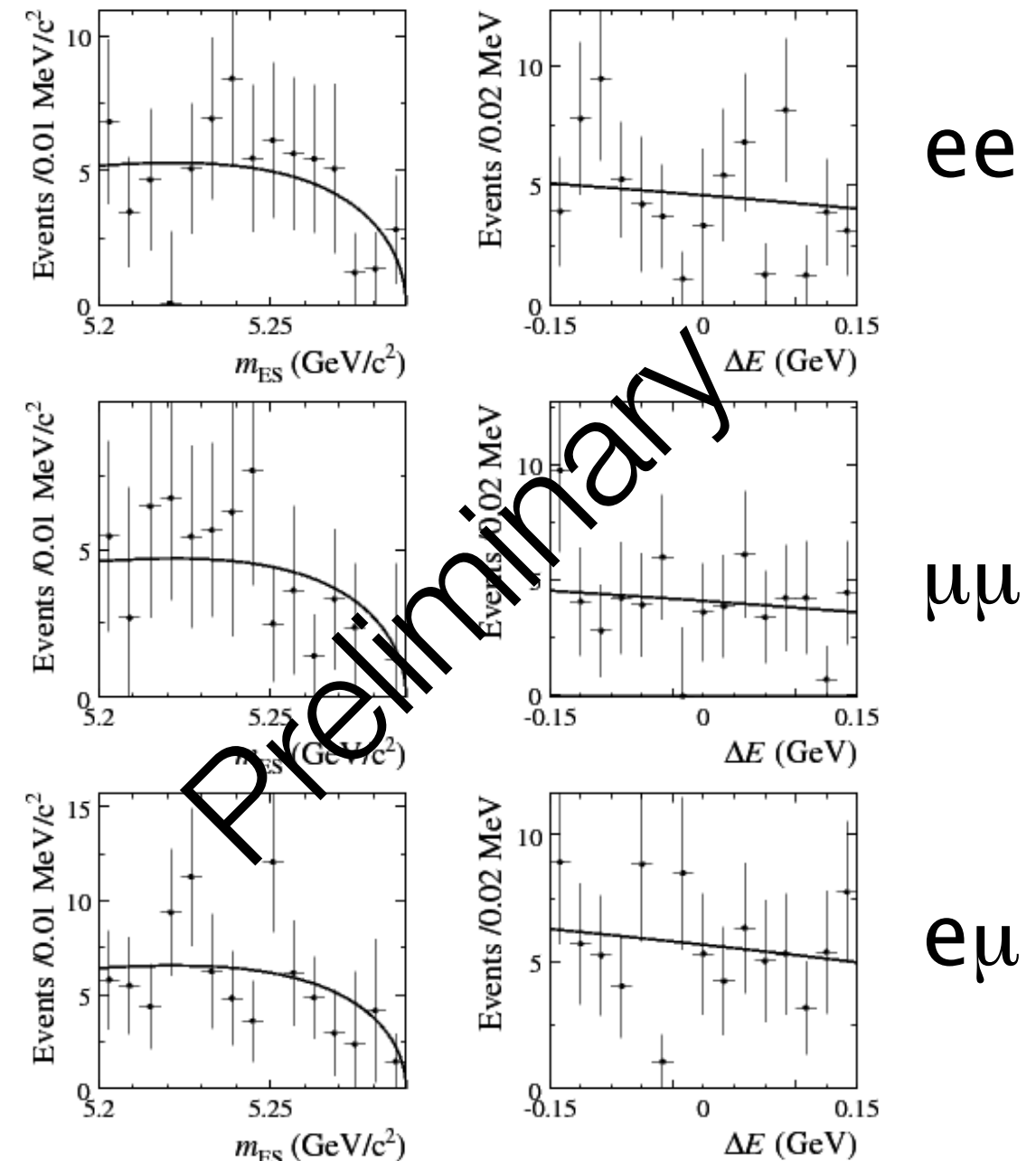
Decay mode	$B^0 \rightarrow e^+ e^-$	$B^0 \rightarrow \mu^+ \mu^-$	$B^0 \rightarrow e^\pm \mu^\mp$
SM prediction	$1.9 \times 10^{-15}$	$8.0 \times 10^{-11}$	0

- ee, μμ and the LFV channel eμ studied
- very sensitive to SM extensions, for example MFV scenarios
  - Buras et al. Nucl. Phys. B 726, 252 (2005)



# $B \rightarrow l^+ l'^-$ analysis

- Look for two charged tracks
- Main backgrounds: pions, kaons and continuum
- Pions and kaons removed with PID selectors
- Three independent Maximum Likelihood fits to distinguish from continuum events
- Background PDF distributions evaluated from fit to  $\pi h$  ( $h=\pi, K$ )
  - not enough statistics to see the difference between leptonic and hadronic bkg
  - negligible bias introduced
- background sPlot distributions for  $m_{ES}$  and  $\Delta E$  shown in the picture

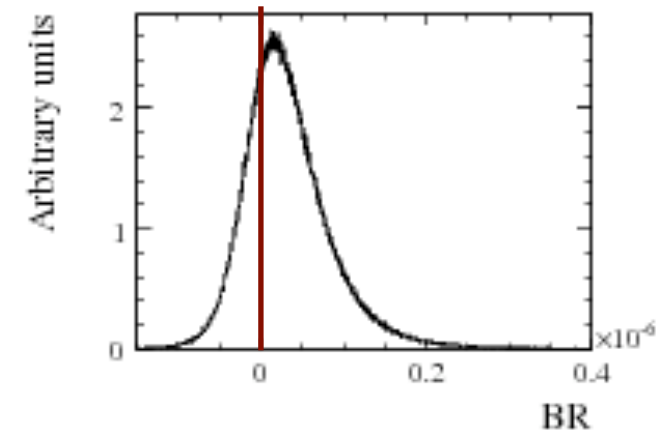


# B → l<sup>+</sup>l<sup>'-</sup> results

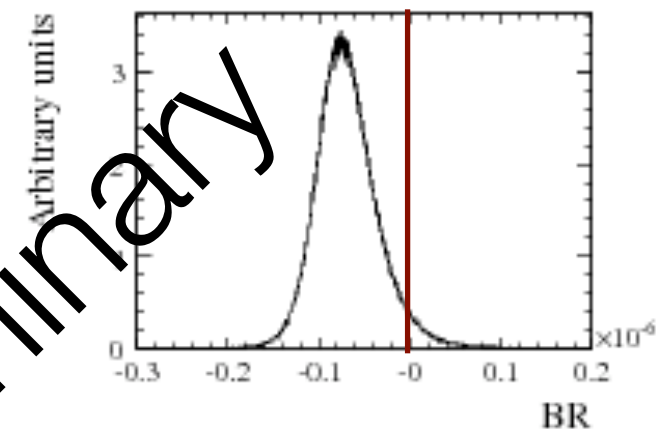
- UL(BF) estimated with Bayesian approach by integrating the likelihood distribution
- No evidence of signal

$$\int_0^{UL(BR)} dBR_{ll} \mathcal{L}(BR_{ll}) = 0.90 \int_0^\infty dBR_{ll} \mathcal{L}(BR_{ll})$$

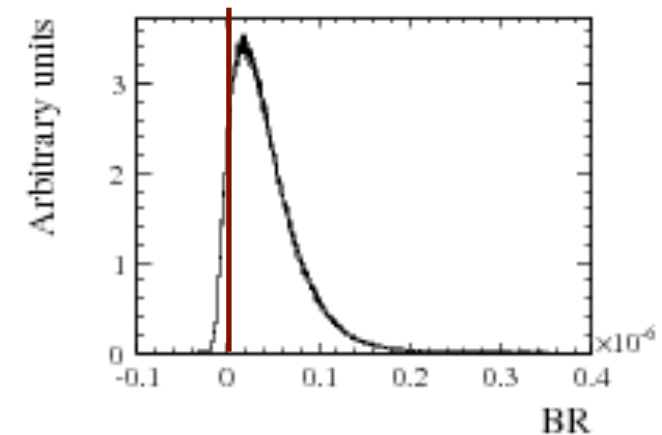
	$\epsilon_{ll'}(\%)$	$N_{ll'}$	$UL(BR) \times 10^{-8}$
$B^0 \rightarrow e^+e^-$	$16.6 \pm 0.3$	$0.6 \pm 2.1$	11.3
$B^0 \rightarrow \mu^+\mu^-$	$15.7 \pm 0.2$	$-4.9 \pm 1.4$	5.2
$B^0 \rightarrow e^\pm\mu^\mp$	$17.1 \pm 0.2$	$1.1 \pm 1.8$	9.2



ee

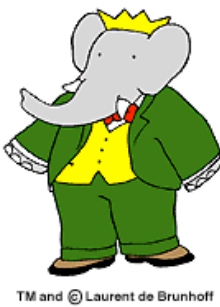


μμ



eμ

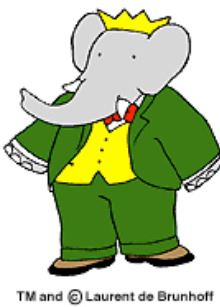
Preliminary



# Summary

- BaBar very active in Leptonic decays
- we presented the following results:
  - $B \rightarrow \tau \nu$ 
    - $\mathcal{B}(B \rightarrow \tau \nu) = (1.2 \pm 0.4^{stat} \pm 0.3^{bkg} \pm 0.2^{eff}) \times 10^{-4} [2.6\sigma]$
    - Set constraint on new physics parameters
  - $B \rightarrow K \tau \mu$ 
    - First search ever done
    - No evidence of signal
    - $\mathcal{B}(B \rightarrow K \tau \mu) < 7.7 \times 10^{-5} @ 90\% \text{ C.L.}$
  - $B \rightarrow l l \gamma$ 
    - First search ever done
    - $\mathcal{B}(B \rightarrow e^+ e^- \gamma) < 1.2 \times 10^{-7} \text{ 90\% C.L.}$
    - $\mathcal{B}(B \rightarrow \mu^+ \mu^- \gamma) < 1.6 \times 10^{-7} \text{ 90\% C.L.}$
  - $B \rightarrow l l$ 
    - $\mathcal{B}(B \rightarrow e^+ e^-) < 11.3 \times 10^{-8}$
    - $\mathcal{B}(B \rightarrow \mu^+ \mu^-) < 5.2 \times 10^{-8}$
    - $\mathcal{B}(B \rightarrow e^\pm \mu^\mp) < 9.2 \times 10^{-8}$

Backup slides



# Combined BaBar + Belle $B \rightarrow \tau \nu$

- BaBar combined: (preliminary)

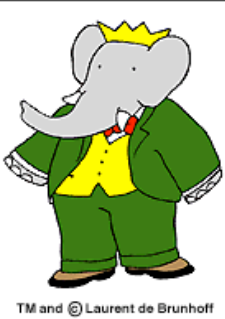
- $\mathcal{B}(B \rightarrow \tau \nu) = (1.2 \pm 0.4^{stat} \pm 0.3^{bkg} \pm 0.2^{eff}) \times 10^{-4} [2.6\sigma]$

- Belle: PRL 97, 251802 (2006)

- $\mathcal{B}(B \rightarrow \tau \nu) = (1.79_{-0.49-0.51}^{+0.56+0.46}) \times 10^{-4}$

- BaBar + Belle:

- $\mathcal{B}(B \rightarrow \tau \nu) = (1.41 \pm 0.43) \times 10^{-4}$



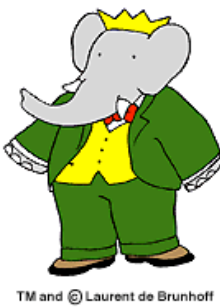
# B → τ ν

Selection criteria					Systematics				
mode	$e^+$	$\mu^+$	$\pi^+$	$\pi^+\pi^0$	$\tau$ decay mode	$e^+\nu\bar{\nu}$	$\mu^+\nu\bar{\nu}$	$\pi^+\bar{\nu}$	$\pi^+\pi^0\bar{\nu}$
$M_{\text{miss}}(\text{GeV}/c^2)$	[4.6, 6.7]	[3.2, 6.1]	$\geq 1.6$	$\leq 4.6$	Tracking	0.5	0.5	0.5	0.5
$p_{\text{signal}}^*(\text{GeV}/c)$	$\leq 1.5$	–	$\geq 1.6$	$\geq 1.7$	Particle Identification	2.5	3.1	0.8	1.5
$R_{\text{cont}}$	[2.78, 4.0]	$> 2.74$	$> 2.84$	$> 2.94$	$\pi^0$	–	–	–	2.9
$E_{\text{extra}}(\text{GeV})$	$< 0.31$	$< 0.26$	$< 0.48$	$< 0.25$	EMC $K_L^0$	–	–	3.8	–
Efficiency (%)	$4.2 \pm 0.1$	$2.4 \pm 0.1$	$4.9 \pm 0.1$	$1.2 \pm 0.1$	IFR $K_L^0$			3.3	
					$E_{\text{extra}}$			3.4	
					signal $B$			5.5	
					tag $B$			3.6	
					$N_{B\bar{B}}$			1.1	
					Total			6.6	

Selection criteria				
Variable	$\tau^+ \rightarrow e^+\nu\bar{\nu}$	$\tau^+ \rightarrow \mu^+\nu\bar{\nu}$	$\tau^+ \rightarrow \pi^+\bar{\nu}$	$\tau^+ \rightarrow \pi^+\pi^0\bar{\nu}$
$E_{\text{extra}}(\text{GeV})$	$< 0.160$	$< 0.100$	$< 0.230$	$< 0.290$
$\pi^0$ multiplicity	0	0	$\leq 2$	n.a.
Track multiplicity	1	1	$\leq 2$	1
$ \cos\theta_{TB}^* $	$\leq 0.9$	$\leq 0.9$	$\leq 0.7$	$\leq 0.7$
$p_{\text{trk}}^*(\text{GeV}/c)$	$< 1.25$	$< 1.85$	$> 1.5$	n.a.
$\cos\theta_{\text{miss}}^*$	$< 0.9$	n.a.	$< 0.5$	$< 0.55$
$p_{\pi^+\pi^0}^*(\text{GeV}/c)$	n.a.	n.a.	n.a.	$> 1.5$
$\rho$ quality	n.a.	n.a.	n.a.	$< 2.0$
$E_{\pi^0}(\text{GeV})$	n.a.	n.a.	n.a.	$> 0.250$
Efficiency(%)	3.1±0.2	1.7±0.1	2.9±0.2	2.2±0.2

% Contributions to the systematic uncertainty on the BF due to signal selection efficiency	
MC statistics	3.6
Particle Identification	2.0
$\pi^0$	0.6
Tracking	5.5
$E_{\text{extra}}$	15
Total	16.5





# $B \rightarrow K\tau\mu$ background

- Example of semileptonic background

$$\begin{aligned} B^- &\rightarrow D^0 \mu^- \bar{\nu} \\ D^0 &\rightarrow K^- \pi^+ \\ D^0 &\rightarrow K^- l^+ \nu \end{aligned}$$

$$\begin{aligned} B^- &\rightarrow K^- \tau^+ \mu^- \\ \tau^+ &\rightarrow \pi^+ \bar{\nu} \\ \tau^+ &\rightarrow l^+ \nu \bar{\nu} \end{aligned}$$

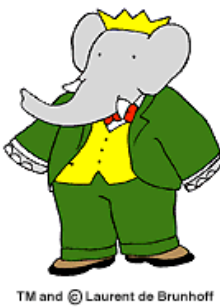
- Kill almost all of this background by cutting on the invariant mass of K + (opposite charge track) to be greater than D mass

- To normalize signal BF

$$\mathcal{B}_{K\tau\mu} = \left( \frac{N_{K\tau\mu}}{N_{D\mu\nu}} \right) \left( \frac{\epsilon_{\text{tag}}^{D\mu\nu}}{\epsilon_{\text{tag}}^{K\tau\mu}} \right) \left( \frac{\epsilon_{D\mu\nu}}{\epsilon_{K\tau\mu}} \right) \mathcal{B}_{D\mu\nu}$$

Labels and arrows in the diagram:  
- **Signal branching fraction** points to  $\mathcal{B}_{K\tau\mu}$   
- **Signal yield from cut-and-count** points to  $N_{K\tau\mu}$   
- **Control sample yield from fit** points to  $N_{D\mu\nu}$   
- **Ratio of  $B_{\text{tag}}$  efficiencies** points to  $\left( \frac{\epsilon_{\text{tag}}^{D\mu\nu}}{\epsilon_{\text{tag}}^{K\tau\mu}} \right)$   
- **Ratio of signal-side efficiencies** points to  $\left( \frac{\epsilon_{D\mu\nu}}{\epsilon_{K\tau\mu}} \right)$

- Expected background from  $m_\tau$  sideband (signal/sideband ratio from MC)



# $B \rightarrow l^+ l^- \gamma$ background

- background prediction taken from data
- signal to sideband ratio extrapolated from Upper and Lower sidebands

