

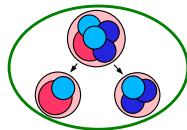
# A SEARCH FOR AN EXOTIC $\Theta^+$ BARYON IN INCLUSIVE NEUTRINO-NUCLEON INTERACTIONS IN THE NOMAD EXPERIMENT

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(on behalf of the NOMAD Collaboration)

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<sup>b</sup> JINR, Dubna, Russia

XII International Conference on Hadron Spectroscopy  
Frascati 2007



## 1 INTRODUCTION

- Motivation of searches
- Experimental review
- NOMAD experiment

## 2 ANALYSIS TOOLS

- Particles identification
- What we can get from NOMAD data
- The Background Estimation
- Invariant Mass Resolution
- "Sensitive" analysis strategy
- Statistical analysis
- Results

## 3 CONCLUSIONS

# OUTLINE

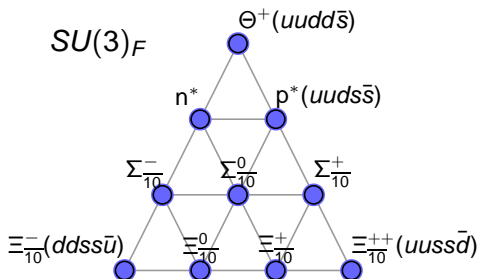
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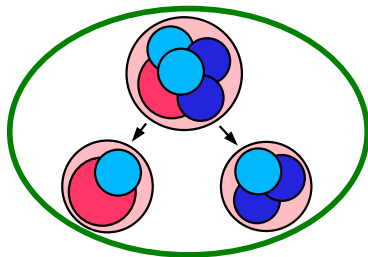
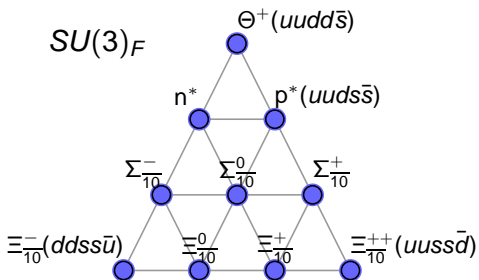


### QUARK MODEL

- 1  $M_\Theta \simeq 2m_u + 2m_d + m_s \simeq 4 \cdot 300 \text{ MeV} + 500 \text{ MeV} = 1700 \text{ MeV}$
- 2  $\Gamma_\Theta \simeq \Gamma_\Delta \simeq 100 \text{ MeV}$

### SOLITON MODEL (1997 DIAKONOV ET AL.)

- 1 Low Mass:  
 $M_\Theta = 1530 \text{ MeV}$
- 2 Narrow Width:  
 $\Gamma_\Theta \lesssim 15 \text{ MeV}$

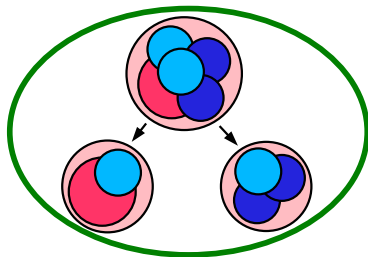
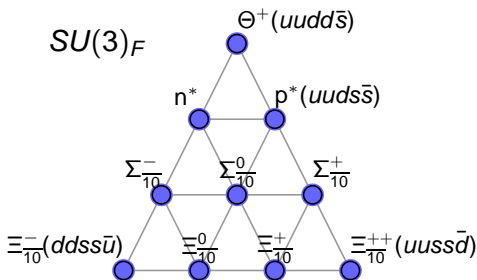


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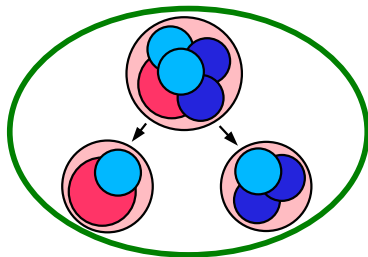
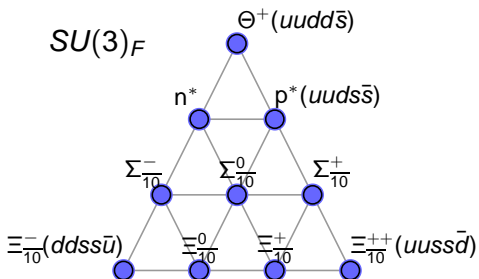


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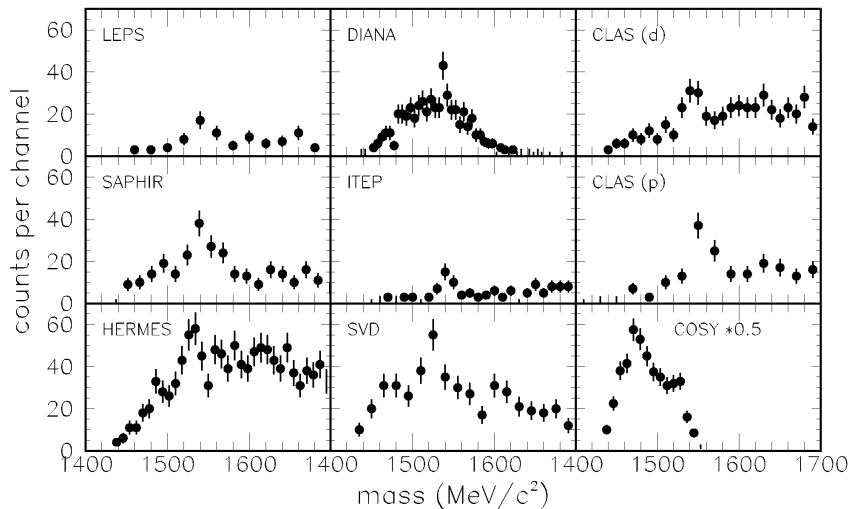
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# EXOTIC BARYONS

Minimum quark content:  $\Theta^+ = uud d \bar{s}$ ,  $\Phi^{--} = ssd d \bar{u}$ ,  $\Phi^+ = ssu u \bar{d}$ .

**$\Theta(1540)^+$**

$$I(J^P) = 0(?^?)$$

It is difficult to deny a place in the Summary Tables for a state that six experiments claim to have seen. Nevertheless, we believe it reasonable to have some reservations about the existence of this state on the basis of the present evidence.

Mass  $m = 1539.2 \pm 1.6$  MeV

Full width  $\Gamma = 0.90 \pm 0.30$  MeV

$NK$  is the only strong decay mode allowed for a strangeness  $S=+1$  resonance of this mass.

**$\Theta(1540)^+$  DECAY MODES**

Fraction ( $\Gamma_i/\Gamma$ )

$p$  (MeV/c)

# NEGATIVE RESULTS OF SEARCHES FOR $\Theta^+$

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L3	$\gamma\gamma \rightarrow \theta\bar{\theta}$	PHENIX	$AuAu \rightarrow PX$
SELEX	$(\pi, p, \Sigma)p \rightarrow PX$	SPHINX	$pC(N) \rightarrow \theta^+C(N)$

- ① High Statistics and Excellent Mass Resolution
- ② No signal



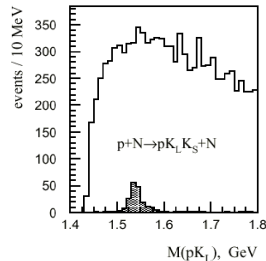
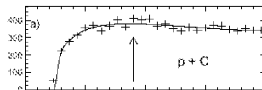
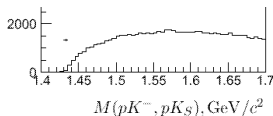
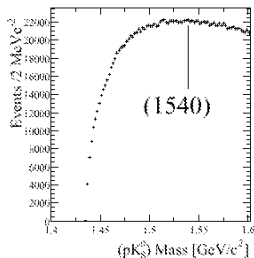
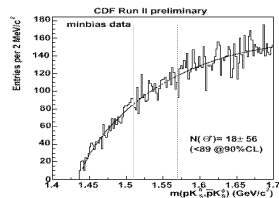
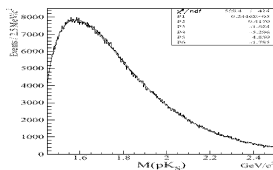
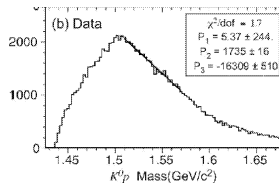
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$\Theta(1540)^+$  $I(J^P) = 0(?)^?$  Status: \*\*

A REVIEW GOES HERE – Check our WWW List of Reviews

 $\Theta(1540)^+$  MASS

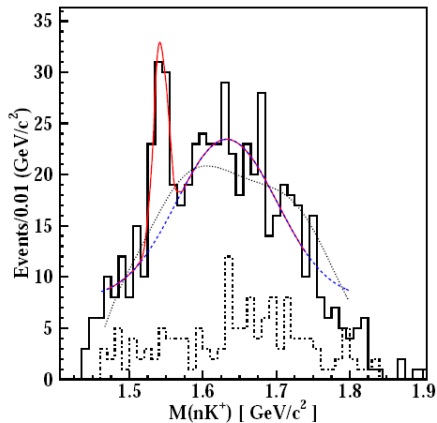
As is done through the *Review*, papers are listed by year, with the latest year first, and within each year they are listed alphabetically. NAKANO 03 was the earliest paper.

Since our 2004 edition, there have been several new claimed sightings of the  $\Theta(1540)^+$  (see entries below marked with bars to the right), but there have also been several searches with negative results:

- ANTIPOV 04 (SPHINX Collab.) in  $pN \rightarrow (nK^+, pK_S^0, \text{ or } pK_L^0) \bar{K}^0 N$  in proton-carbon reactions at 70 GeV/c;
- BAI 04 $\bar{L}$  (BES Collab.) in  $J/\psi$  and  $\psi(2S)$  decays;
- SCHAELE 04 (ALEPH Collab.) in  $Z$  decays;
- ABT 04A (HERA-B Collab.) in  $p$  nucleus reactions at midrapidity and  $\sqrt{s}=41.6$  GeV;
- LONGO 04 (HyperCP Collab.) in interactions of a high-energy beam of  $\pi^+$ ,  $K^+$ ,  $p$ , and charged hyperons with tungsten.

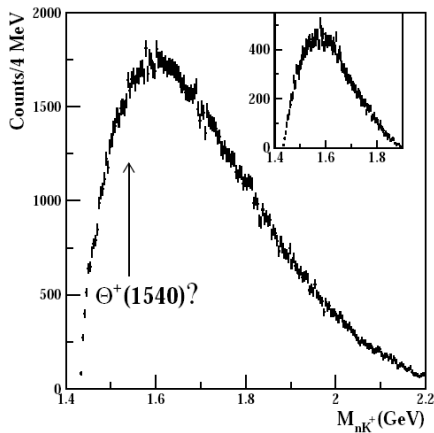
In general, these experiments with negative results have many more events than do the experiments with positive results. (Against this, however, it may be argued that the recent negative results are often from experiments with different reactions or at different energies from the experiments with positive results.)

Furthermore, the  $\Theta(1540)^+$  finds almost no support from the claimed observations of other pentaquarks, the  $\Phi(1860)$  and the  $\Theta_c(3100)$ , for which the evidence is very weak. (See the Listings following the  $\Theta(1540)^+$ .) Thus we have reduced the status of the  $\Theta(1540)^+$  to two stars.



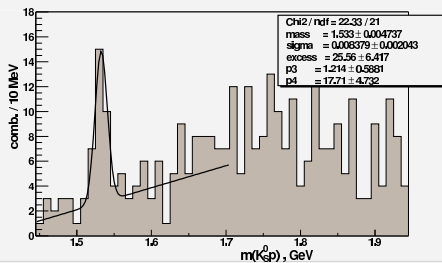
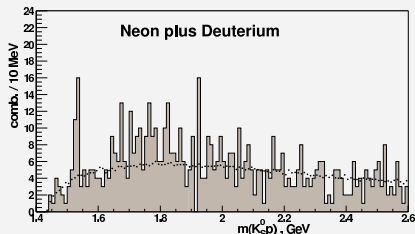
CLAS Results 2003

$\Theta^+$  were previously reported.



CLAS Results 2005

# ANALYSIS OF ITEP GROUP ON $\nu N$ IN BUBBLE CHAMBER



## THEY PUBLISHED

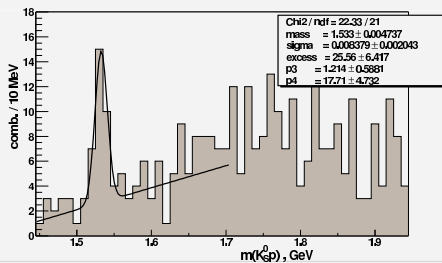
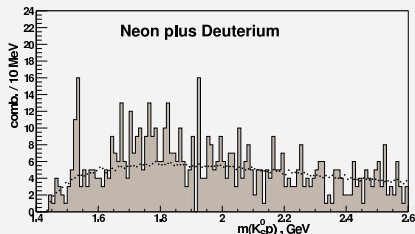
- 1 Signal and Background  
Events:  $S = 19$ ,  $B = 8$
- 2 Significance:  $6.7\sigma$
- 3 Appearance:  $N_\Theta = C \cdot 10^{-3} N_\nu$

## NOTATIONS

- 1  $\sqrt{19}/\sqrt{8} = 6.7$
- 2  $\sqrt{19}/\sqrt{8 + 19} = 3.7$
- 3  $2 \cdot (\sqrt{19 + 8} - \sqrt{8}) = 4.7$

We have **1500k** neutrino events  
instead of **113k** of the ITEP group.

# ANALYSIS OF ITEP GROUP ON $\nu N$ IN BUBBLE CHAMBER



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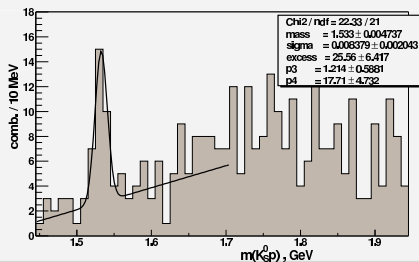
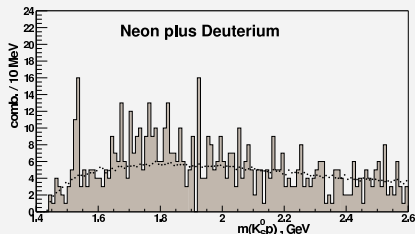
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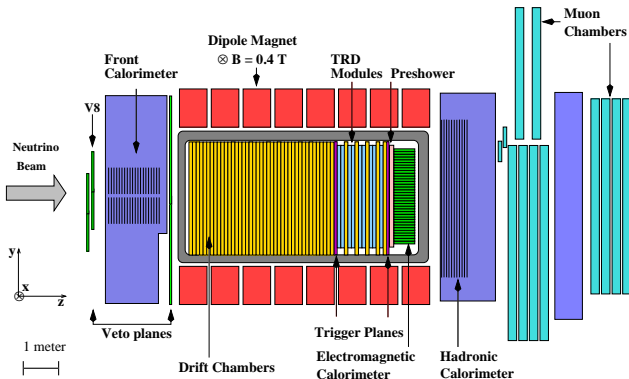
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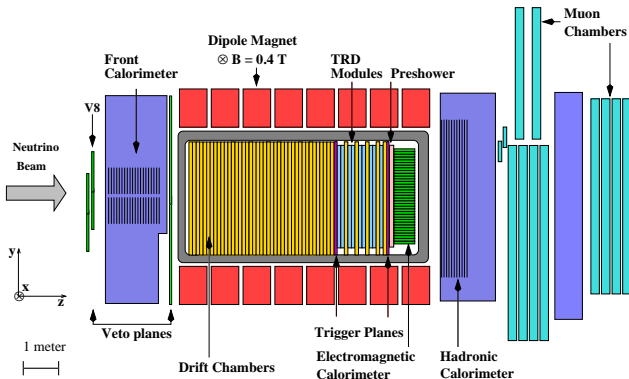
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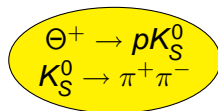
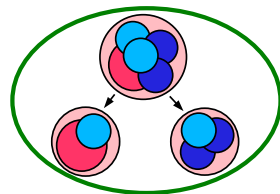
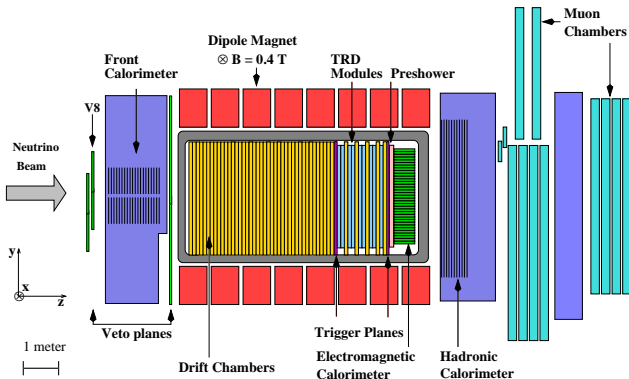




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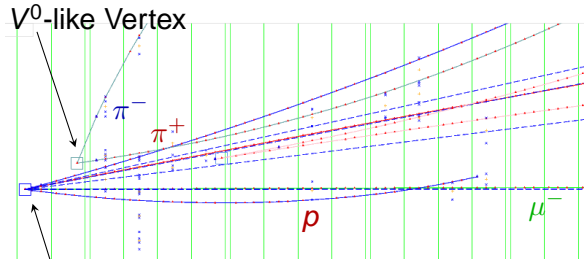
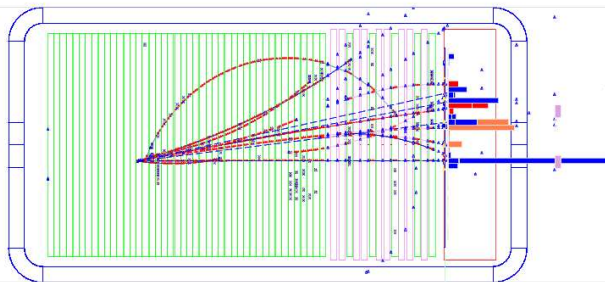


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# $\Theta^+$ CANDIDATE



Primary Vertex

Event's information:

- $E_\nu = 106.9\text{GeV}$
- $E_\mu = 73.1\text{GeV}$
- $p_p = 492\text{MeV}/c$
- $p_K = 764\text{MeV}/c$
- $p_{\pi^+} = 581\text{MeV}/c$
- $p_{\pi^-} = 284\text{MeV}/c$
- $M_{inv}(pK) = 1535\text{MeV}/c^2$

# OUTLINE

## 1 INTRODUCTION

- Motivation of searches
- Experimental review
- NOMAD experiment

## 2 ANALYSIS TOOLS

- Particles identification
- What we can get from NOMAD data
- The Background Estimation
- Invariant Mass Resolution
- "Sensitive" analysis strategy
- Statistical analysis
- Results

## 3 CONCLUSIONS

# "BLIND ANALYSIS" STRATEGY

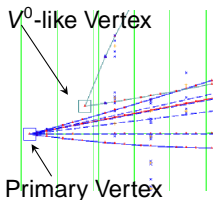
## "BLIND" ANALYSIS

- 1 Particles identification
- 2 Estimation of Signal events from NOMAD data
- 3 The Background calculation
- 4 Invariant Mass Resolution
- 5 Finding of selection criteria to most sensitive for  $\Theta^+$  search
- 6 Statistical analysis

## "OPENING THE BOX"

- 1 The Results.
- 2 Conclusions, Discussions ...

# $K_S^0$ IDENTIFICATION FROM THE KINEMATIC FIT



## KINEMATIC FIT PROCEDURE

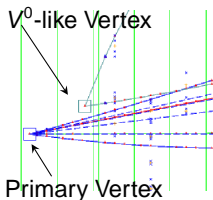
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Reconstructed  $K_S^0 \rightarrow \pi^+\pi^-$  invariant mass distribution in the  $\nu_\mu$  CC (left) and  $\nu_\mu$  NC (right) sets of the data.

## WE IDENTIFY

- 1  $\sim 23.6 \text{ k}K_S^0$  (both in CC and NC);
- 2  $M = 497.9 \text{ MeV}/c^2$ ;
- 3  $\sigma = 9.5 \text{ MeV}/c^2$ ;
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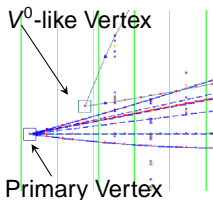


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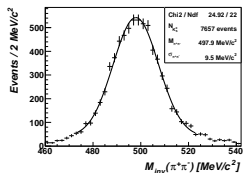
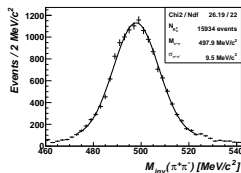
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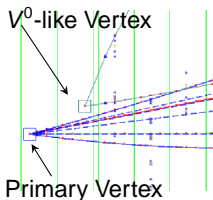
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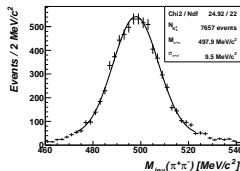
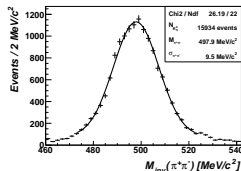


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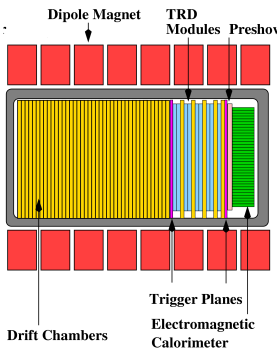
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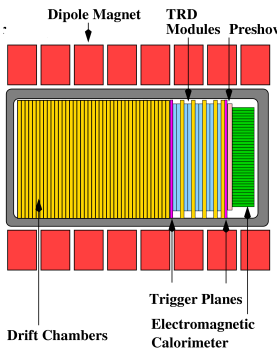
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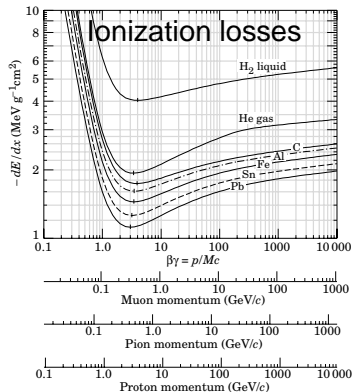


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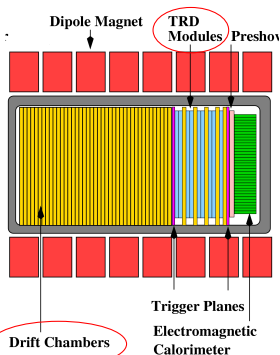
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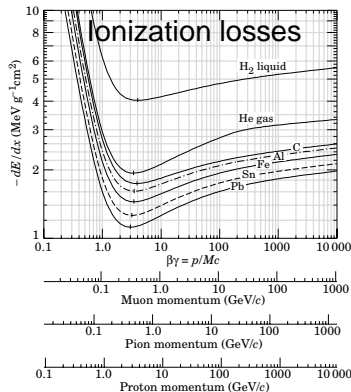
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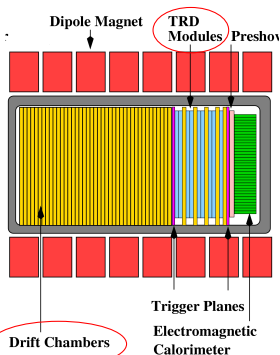
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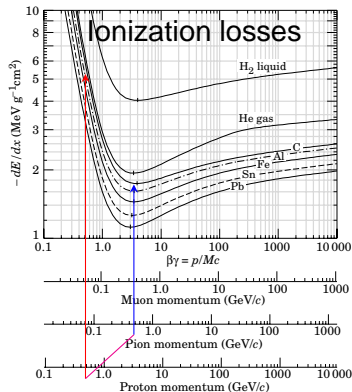
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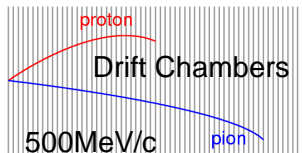
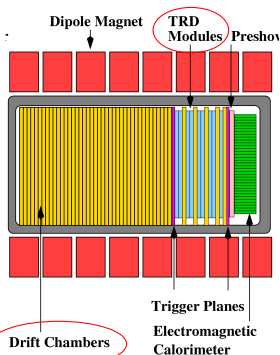
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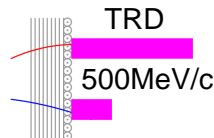
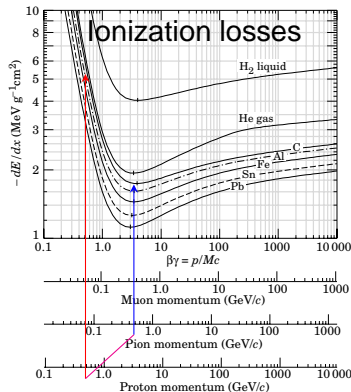
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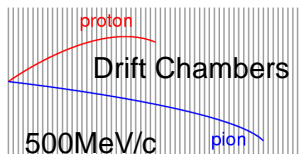
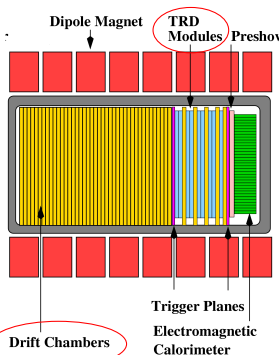
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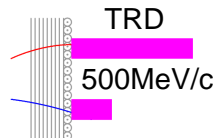
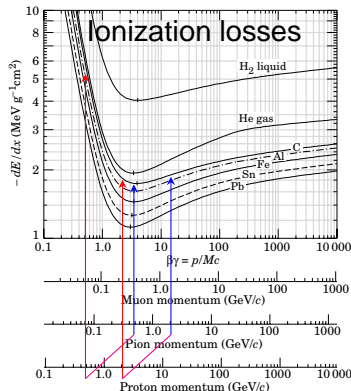
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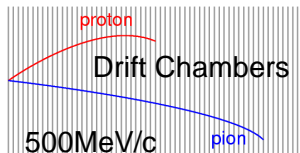
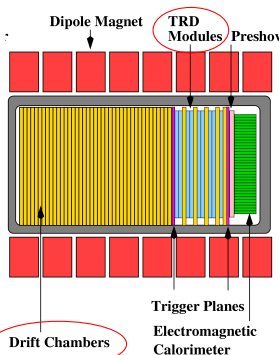


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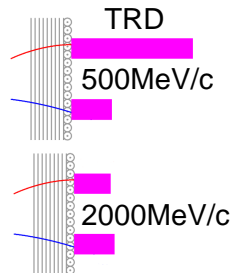
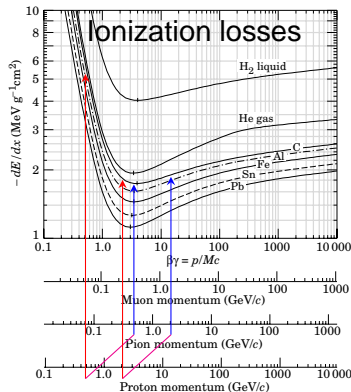




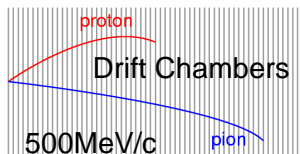
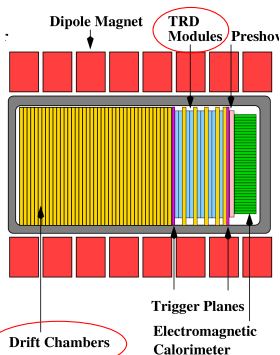
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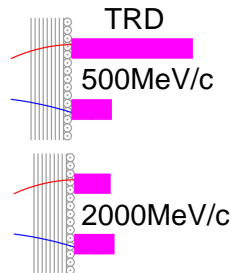
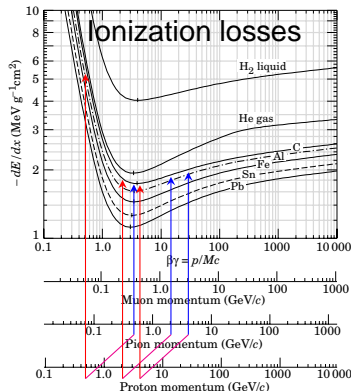
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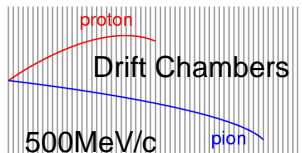
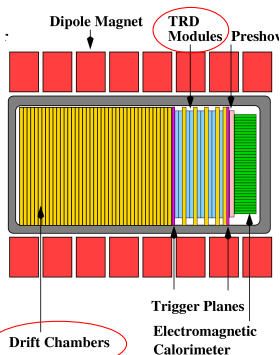
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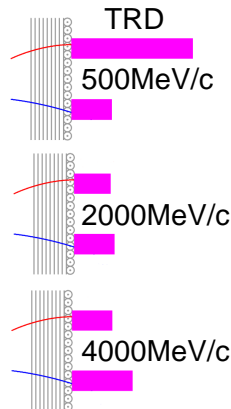
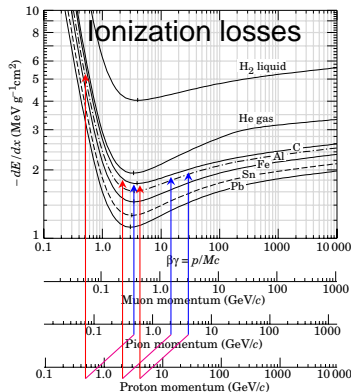
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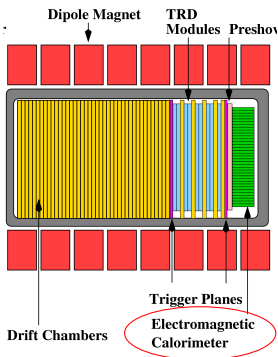
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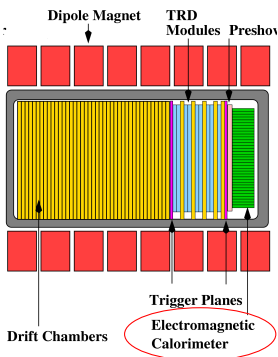


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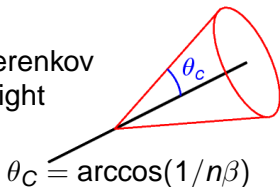
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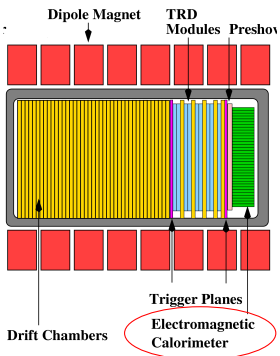


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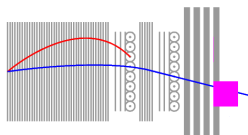
Cherenkov light



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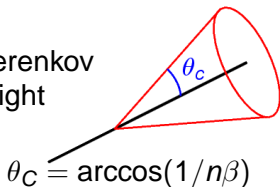


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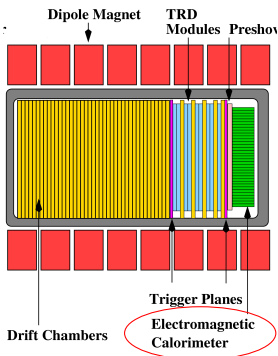


500MeV/c

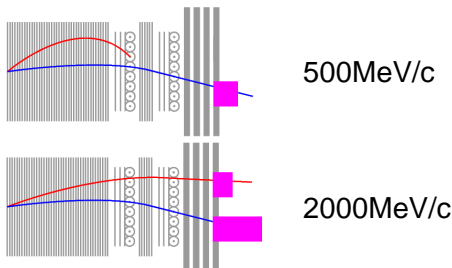
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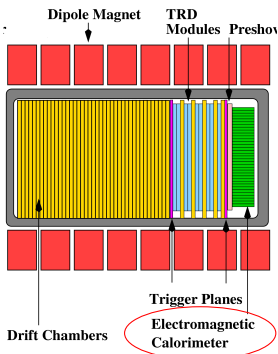
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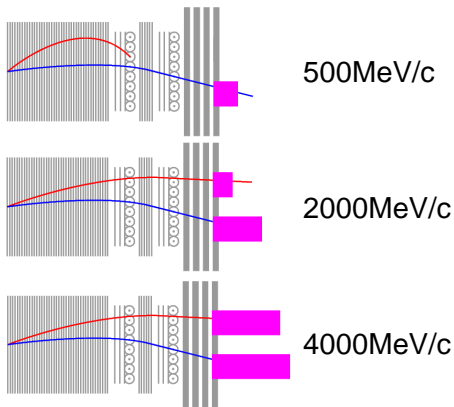
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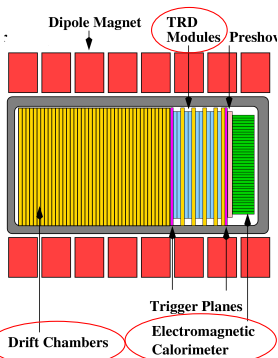


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# PROTON IDENTIFICATION BY LIKELIHOOD FUNCTIONS



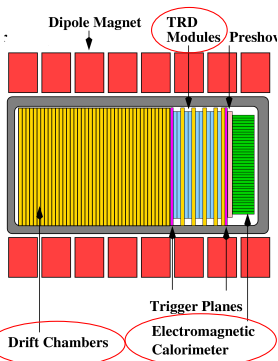
## CLEANEST PROTON SAMPLE IS GOTTEN

- 1 The Drift Chambers (DC): at a given momentum protons range out faster than pions, usable for  $p < 1000 \text{ MeV/c}$ ;
- 2 The Transition Radiation Detector (TRD): at  $0.4 < p < 1.6 \text{ GeV/c}$  proton ionization loss larger than that of pions;
- 3 The Electromagnetic Calorimeter (ECAL): difference of Cherenkov light emitted by protons and pions: useful for  $1 < p < 3 \text{ GeV/c}$ .

## LIKELIHOOD FUNCTIONS

- 1 We constructed three Likelihood functions for three detectors:  
 $Lh_{DC}(L, p)$ ,  $Lh_{TRD}(\langle \epsilon \rangle, p)$ ,  $Lh_{ECAL}(\epsilon, p)$

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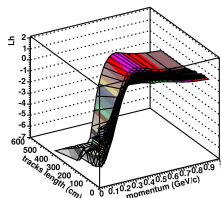
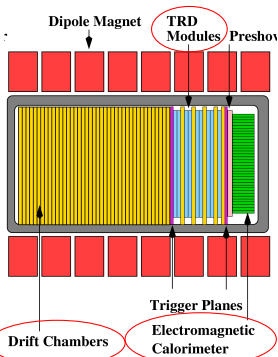
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## COMPARISON WITH ITEP GROUP RESULTS

Experiment	WA21	WA25	WA59	E180	E632
Chamber	BEBC	BEBC	BEBC	15' B.C.	15' B.C.
Fill	Hydrogen	Deuterium	Neon-H <sub>2</sub>	Neon-H <sub>2</sub>	Neon-H <sub>2</sub>
<b>Neutrinos:</b>					
Mean $E_\nu$ , GeV	48.8	51.8	56.8	52.2	136.8
Mean momentum of detected $K_S^0$ , GeV	5.7	5.7	4.5	3.4	7.7
All measured CC events	18746	26323	9753	882	5621
CC events with $K_S^0$	1050	1279	561	21	587
CC events with $K_S^0$ and identified protons	82 (78)	307 (128)	193 (193)	9 (8)	229 (157)
<b>Antineutrinos:</b>					
Mean $E_\nu$ , GeV	37.5	37.9	39.5	33.8	110.0
Mean momentum of detected $K_S^0$ , GeV	4.2	4.2	3.5	3.4	7.6
All measured CC events	13155	16314	15693	5927	1190
CC events with $K_S^0$	702	761	631	231	123
CC events with $K_S^0$ and identified protons	45 (43)	116 (57)	185 (185)	56 (54)	49 (28)

	ITEP	NOMAD
$N_{\nu_\mu}$	113k	1500k
$N_{K_S^0}$	5946	23591
$N_{pK_S^0}$	1271	12k
$N_{\Theta^+}$	19	$\simeq 200$

## WE STUDIED THIS BACKGROUND IN THREE DIFFERENT WAYS:

1 MC events contain no  $\Theta^+$ .

This requires a large sample of MC events to reduce statistical fluctuations and depends on a used model.

2 Fake-pair technique: combinations protons and  $K_S^0$ 's from different events in the data.

It reproduces data distributions such as a resolution, an efficiency, an acceptance. But it also can bias the data. We solved this problem paying special attention that ...

3 A polynomial fit to the  $M$  distribution of the data themselves, excluding the  $\Theta^+$  mass region, can also be used to describe the background for the  $\Theta^+$  search. It requires at least some signal events and guessed function.

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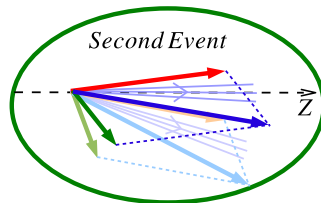
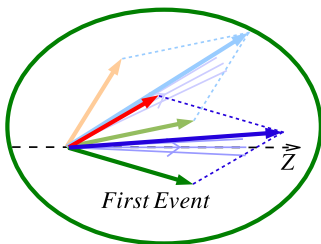
$$M_{inv}^2 = m_1^2 + m_2^2 + 2(\mathbf{E}_1 \mathbf{E}_2 - \mathbf{p}_1 \mathbf{p}_2 \cos\theta)$$

## WE TAKE INTO ACCOUNT

- ➊ Magnitude and direction of the hadronic jet momentum
- ➋ Momentum and Angular distributions of decays particles
- ➌ Multiplicity of decays particles

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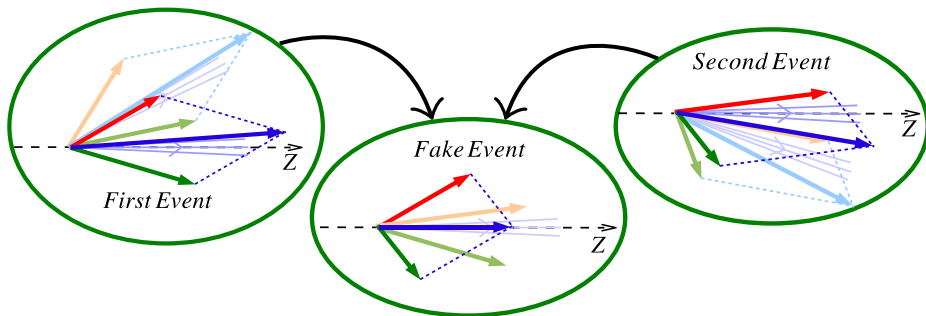
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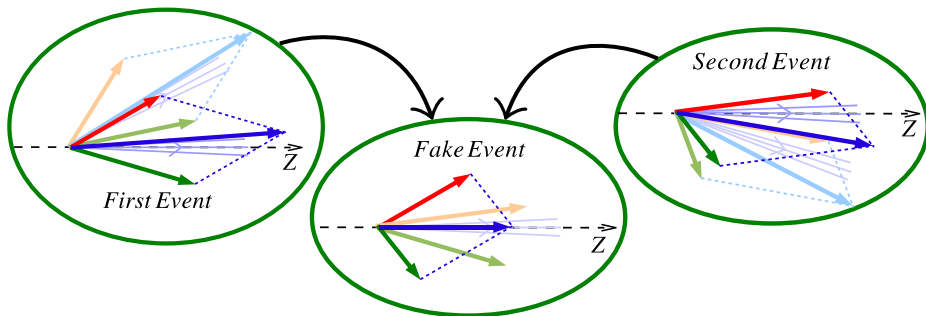


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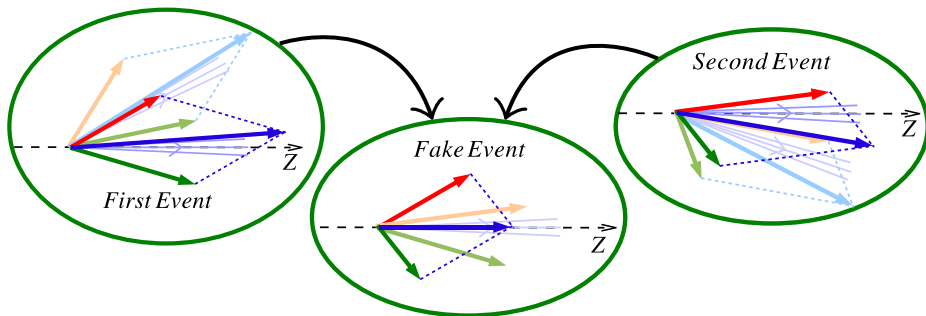


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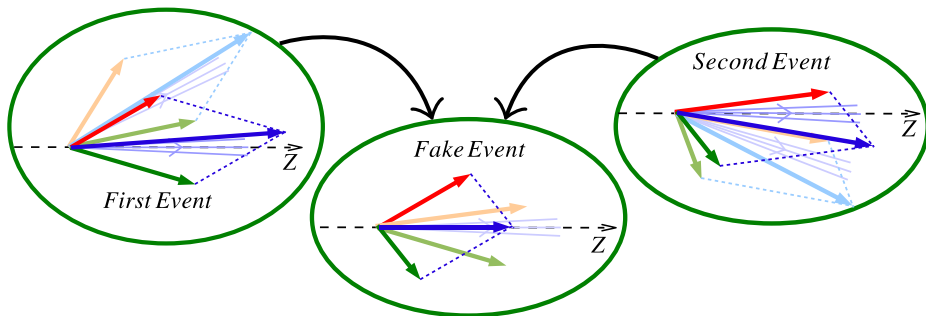


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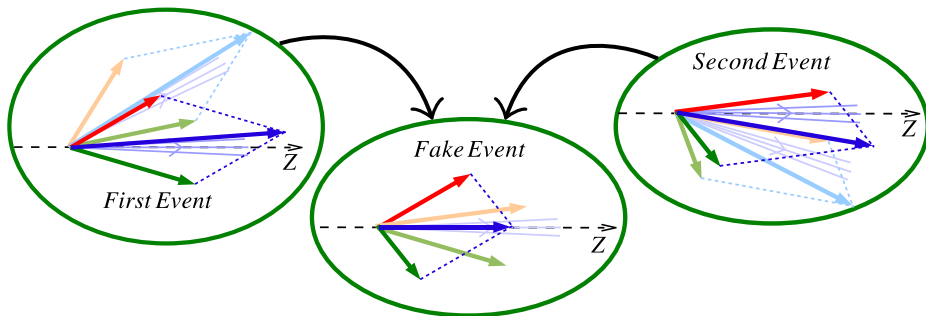


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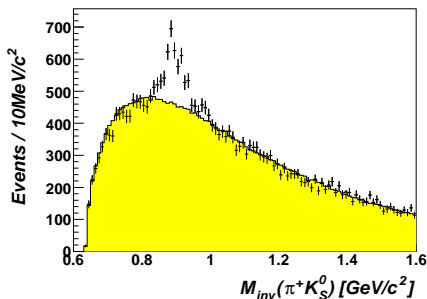
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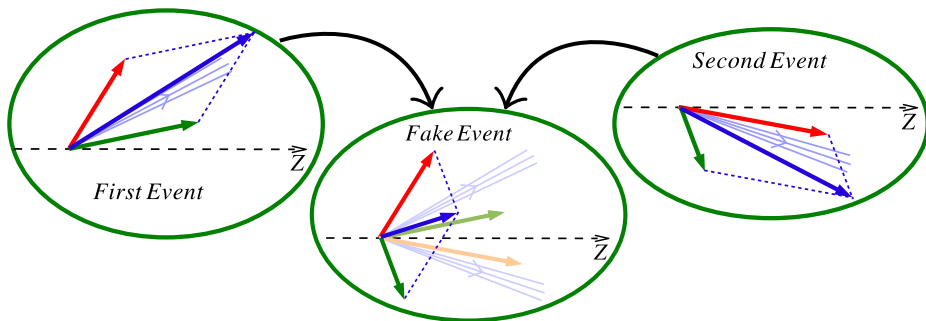
CHECK ON  $K^* \rightarrow \pi^+ K^0$  RESONANCE

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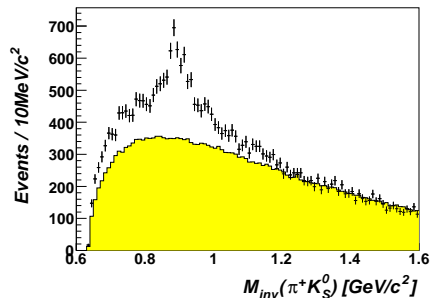
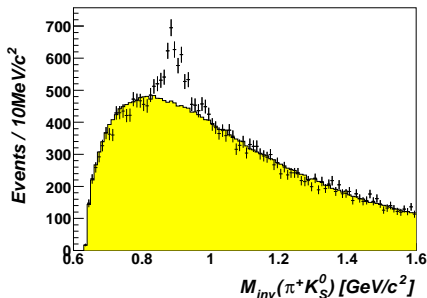
IF NOT CONSIDERED THE FOLLOWING:

- ❶ Hadronic jet momentum
- ❷ Momentum and Angular distributions of Decays Particles

# FAKE PAIR TECHNIQUE

## CHECK ON $K^* \rightarrow \pi^+ K^0$ RESONANCE

- 1 Good agreement with the Background
- 2 Underestimation at low values of Invariant Mass



Rotation needed!!!

Verify background also with :  $\Lambda \rightarrow p\pi^-$ ,  $K_S^0 \rightarrow \pi^+\pi^-$  decaying at

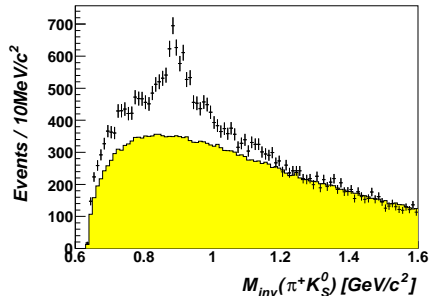
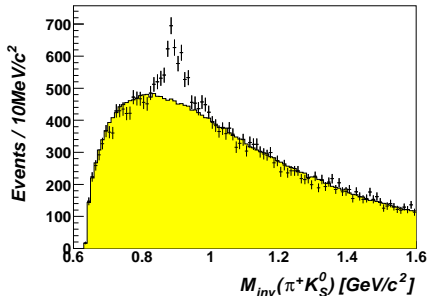
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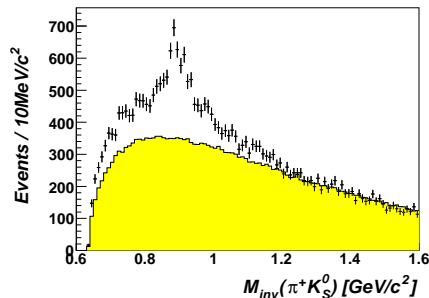
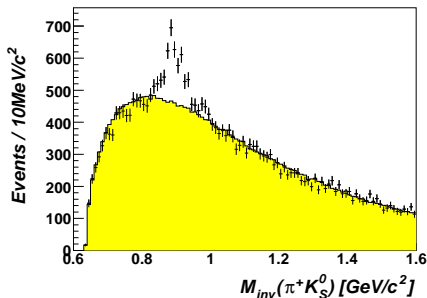
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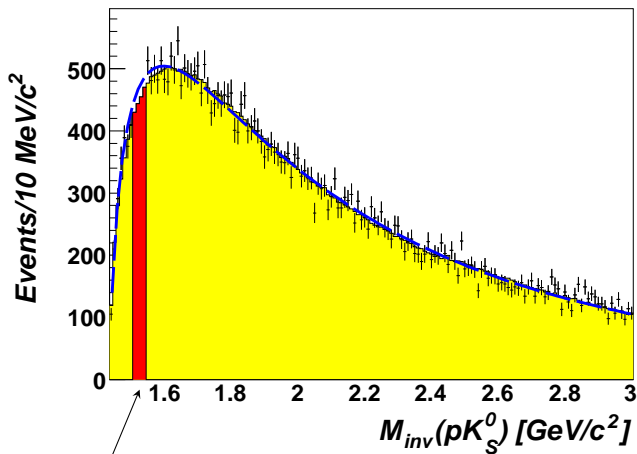
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# THE BACKGROUND IN THE DATA



$1510 < M(pK_S^0) < 1550 \text{ MeV}/c^2$  ( $\Theta^+$ -region) excluded

## WE CALCULATED

1 Simulated and Reconstructed invariant mass ("A"):  $\sigma(M_{inv}^{gen} - M_{inv}^{rec})$

2 using of resolution on measured decays particles momenta ("B"):

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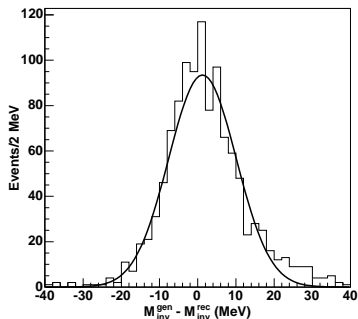
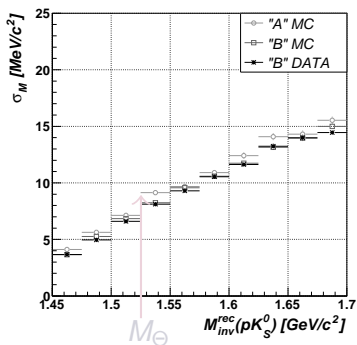
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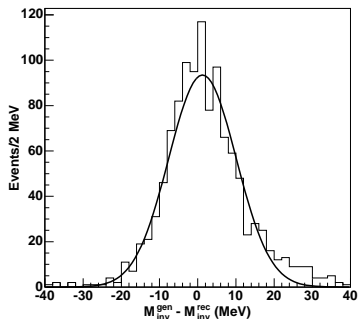
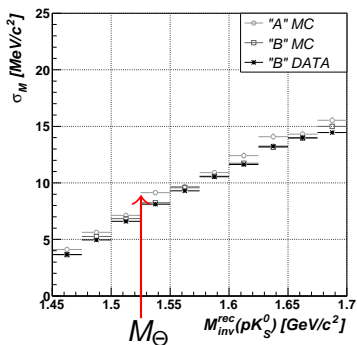
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# AN EXAMPLE

## CLEANEST SAMPLE

The idea behind this approach to find such rare process like  $\Theta^+ \rightarrow pK_S^0$  one has to provide first the cleanest samples of both kaons and protons.

## "SENSETIVE" SAMPLE

The idea behind this approach to find such identification criteria which maximize the sensitivity to the  $\Theta^+$  signal relying on the statistical basis.

Sample	No identification	Cleanest	Sensitive
$N_S$	500	80	300
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Significance $N_S/\sqrt{N_B}$	$5\sigma$	$4\sigma$	$6\sigma$

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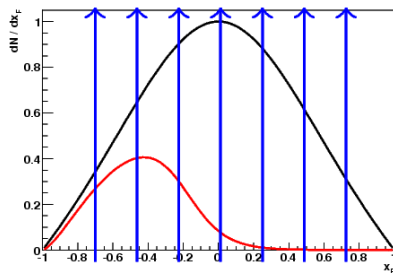
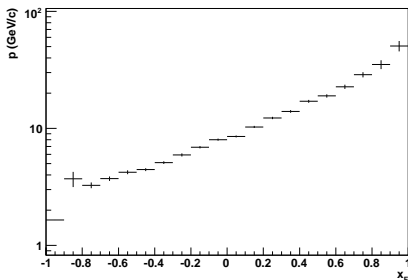
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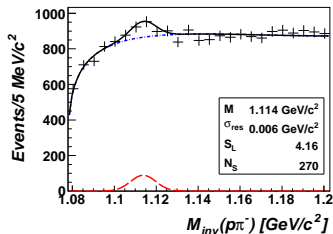
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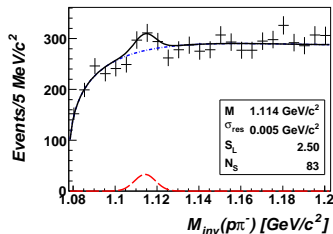


Check on  $\Lambda \rightarrow p\pi^-$  invariant mass distributions.  $-0.6 < x_F < -0.3$

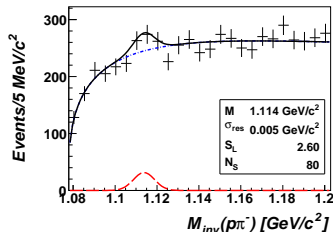
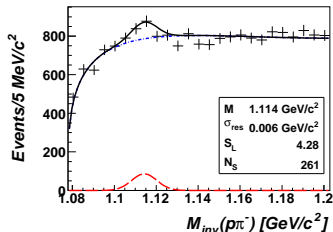


MC

No proton identification



DATA



"Sensitive" proton sample

## SIGNIFICANCE CALCULATION

- 1 Split the data points into 10 intervals: 5 mass intervals with proton decay cosine in the  $\Theta^+$  rest frame belonging to the interval  $[-1, -0.5)$  and other 5 mass intervals with proton decay cosine in the  $\Theta^+$  rest frame belonging to the interval  $[-0.5, 1]$

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$$\ln L_B = \sum_{i=1}^{10} (-b_i + n_i \cdot \ln b_i)$$

$$\ln L_{B+S} = \sum_{i=1}^{10} (-b_i - s_i + n_i \cdot \ln (b_i + s_i))$$

$b_i$ ,  $s_i$ ,  $n_i$  are the number of predicted background and signal events and observed data events in the  $i$ -th bin

- 3 Compute the signal statistical significance as:

$$S_L = \sqrt{2(\ln L_{B+S} - \ln L_B)}$$

- 4 Find the resonance mass position  $M$  and Breit-Wigner width  $\Gamma$  and number of signal events  $N_s$  yielding maximum of  $S_L$
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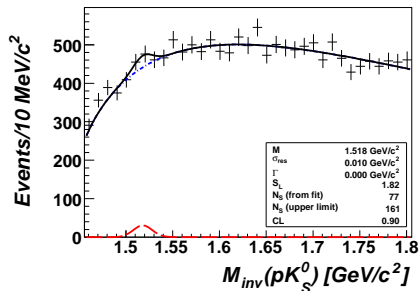
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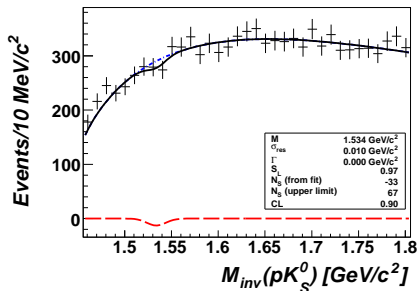


# "OPENING THE BOX"

$\Theta^+ \rightarrow pK_S^0$  invariant mass distributions.  $-1.0 < x_F < 1.0$



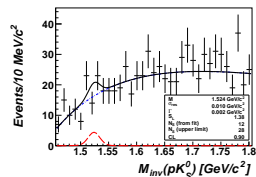
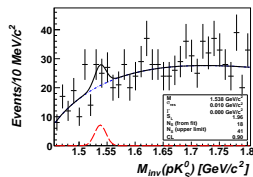
No proton identification



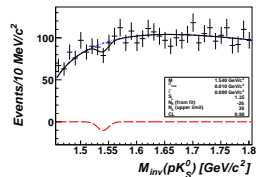
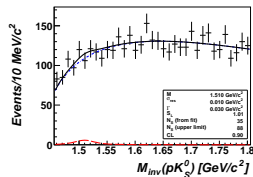
"Sensitive" proton sample

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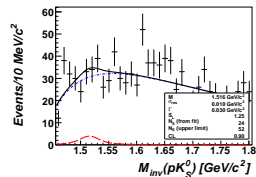
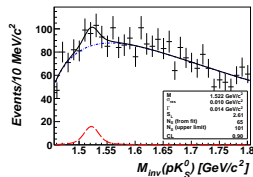
$$-1.0 < x_F < -0.6$$



$$-0.3 < x_F < 0.0$$



$$0.4 < x_F < 1.0$$

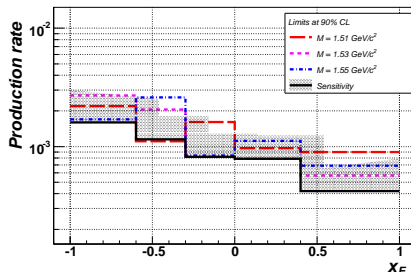


No proton identification

"Sensitive" proton sample

# $\Theta^+$ PRODUCTION

$x_F$ interval	$[-1, -0.6]$	$(-0.6, -0.3)$	$(-0.3, 0)$	$(0, 0.4)$	$(0.4, 1]$	all
<b>no ID</b>						
$N_s$ (fit)	18	26	35	30	65	77
SL	1.96	1.49	1.01	1.18	2.61	1.82
$N_s^{up}$	41	61	88	81	101	161
$R^{up}$	<i>3.84</i>	<i>2.18</i>	<i>1.74</i>	<i>1.97</i>	<i>0.89</i>	<i>4.96</i>
<b>optimal ID</b>						
$N_s$ (fit)	12	29	-26	-34	24	-33
SL	1.38	1.72	1.35	1.85	1.25	0.97
$N_s^{up}$	28	68	39	36	52	67
$R^{up}$	<i>2.80</i>	<i>2.60</i>	<i>0.84</i>	<i>0.79</i>	<i>1.00</i>	<i>2.19</i>



We observed no  $\Theta^+$

Upper limit (90%CL)

in the full  $x_F$  interval:

$2.13 \cdot 10^{-3}$  to  $\nu_\mu N$ -interaction

# A POTENTIAL $\Theta^+$ SIGNAL

## $x_F$ DISTRIBUTION

- 1 Use the background from the two side-bands:  $1460 < M < 1500$ ,  
 $1580 < M < 1650 \text{ MeV}/c^2$ ;
- 2 Normalize the average of the two side-band distributions to the expected number of background events build with fake pairs in the signal region:  
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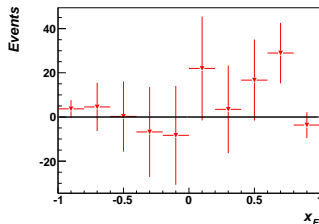
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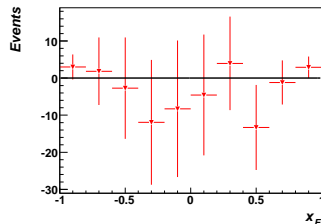
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"Sensitive" proton sample



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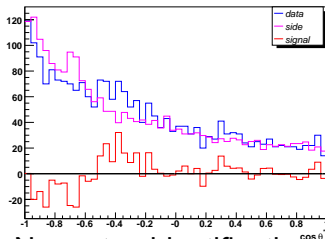
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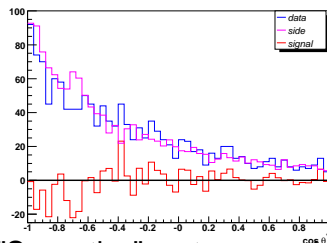
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# OUTLINE

## 1 INTRODUCTION

- Motivation of searches
- Experimental review
- NOMAD experiment

## 2 ANALYSIS TOOLS

- Particles identification
- What we can get from NOMAD data
- The Background Estimation
- Invariant Mass Resolution
- "Sensitive" analysis strategy
- Statistical analysis
- Results

## 3 CONCLUSIONS

## SUMMARY

- 1 Searched blindly for  $\Theta^+$  decaying into proton plus neutral kaon pair in the NOMAD data both in the CC and NC.
- 2 A procedure using fake-pairs to estimate the background has been developed. This method has been carefully tested using  $K^*$  resonance,  $\Lambda$  and  $K_S^0$  particles. The predicted background is in good agreement with the data in the full  $pK_S^0$  invariant mass interval excluding the signal region.
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- 4 The proton identification criteria was tuned to maximize sensitivity to the signal.
- 5 Unknowledge of the  $\Theta^+$  production mechanism (lack of MC for  $\Theta^+$ ) implies that we have to tune the proton identification parameters in different bins of  $x_F$  and  $\cos \theta^*$ .
- 6 We have NO pentaquark  $\Theta^+$  at NOMAD.
- 7 Upper limit (90%CL) was found equal  $2.13 \cdot 10^{-3}$  to  $\nu_\mu N$ -interaction.
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