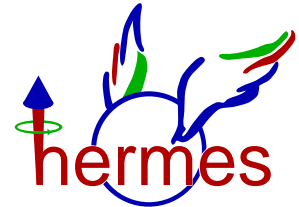


Latest HERMES Results on the Helicity Substructure of the Nucleon



Riccardo Fabbri
on behalf of the *HERMES* Collaboration



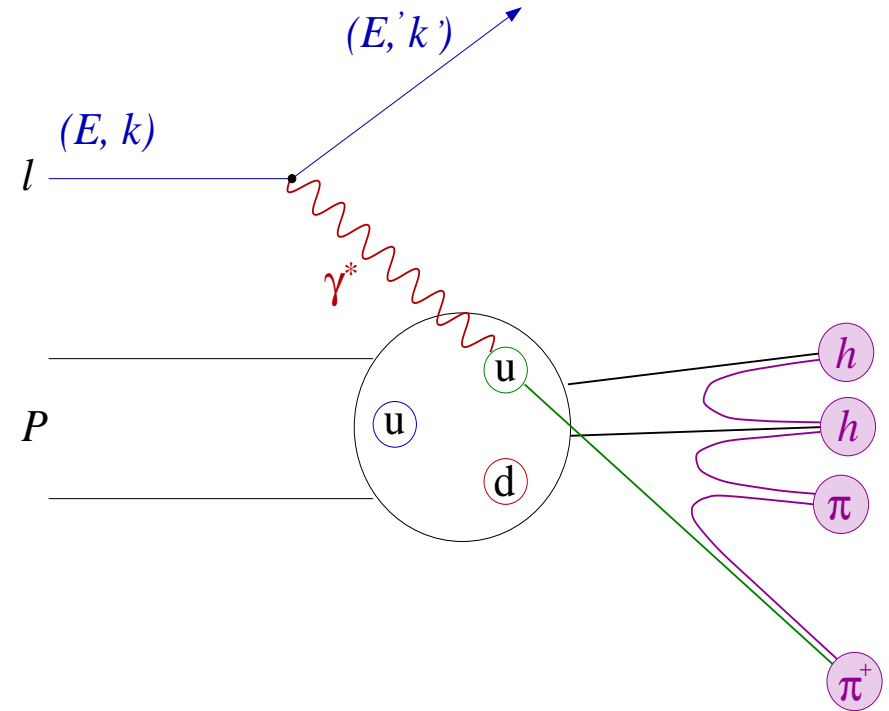
HADRON07

Frascati, 11 October 2007

-
- ❖ Spin Structure of the Proton
 - ❖ The HERMES Experiment
 - ❖ Inclusive Spin Structure Function g_1
 - ❖ Gluon Polarization
 - ❖ Summary and Outlook
-

Spin Structure of the Proton

- ❖ Proton structure investigated via short-wavelength virtual photons emitted by incident high energetic leptons: as in Deep Inelastic Scattering

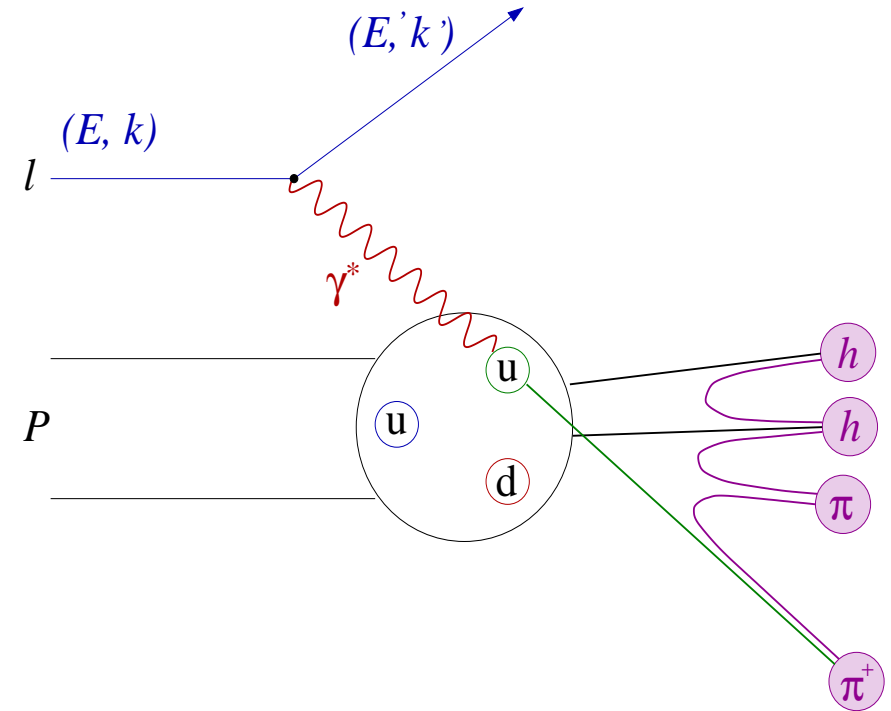


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❖ Polarized DIS gives access to polarization of proton constituents

$$S_z^p = \frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + L_z^q + L_z^g$$



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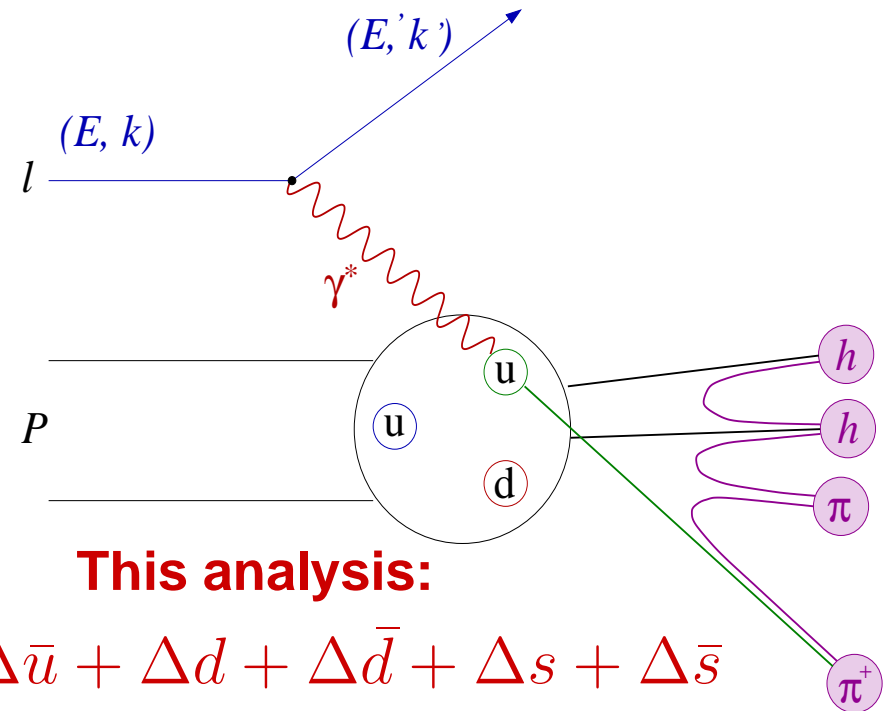
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$$S_z^p = \frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + L_z^q + L_z^g$$

⇒ all quarks spin: $\Delta\Sigma = \Delta u + \Delta\bar{u} + \Delta d + \Delta\bar{d} + \Delta s + \Delta\bar{s}$

⇒ strange-quark spin: $\Delta S = \Delta s + \Delta\bar{s}$

⇒ gluon spin: ΔG



This analysis:

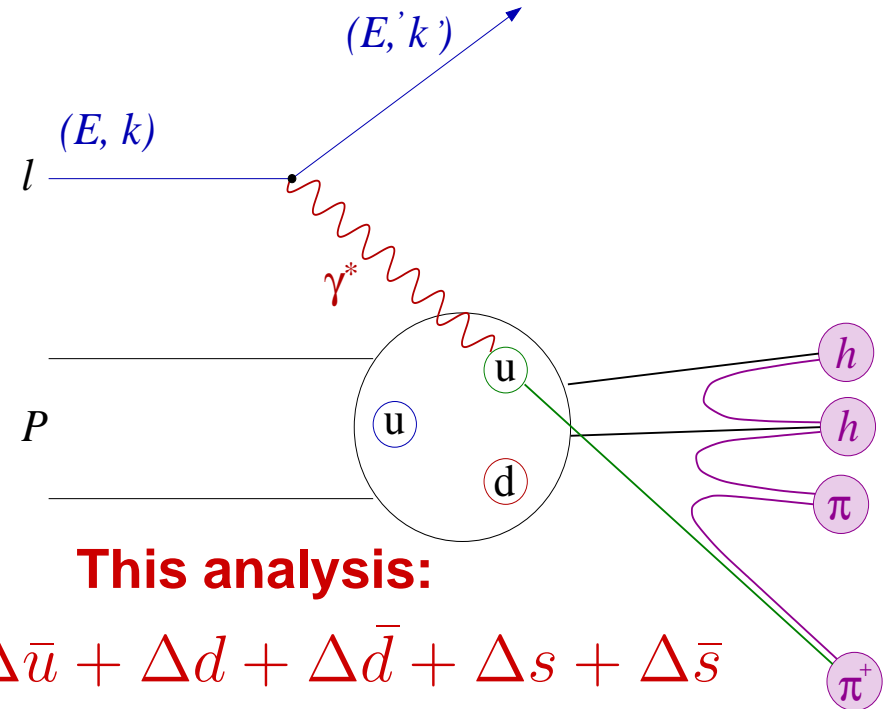
RUN I data: 1996-2000

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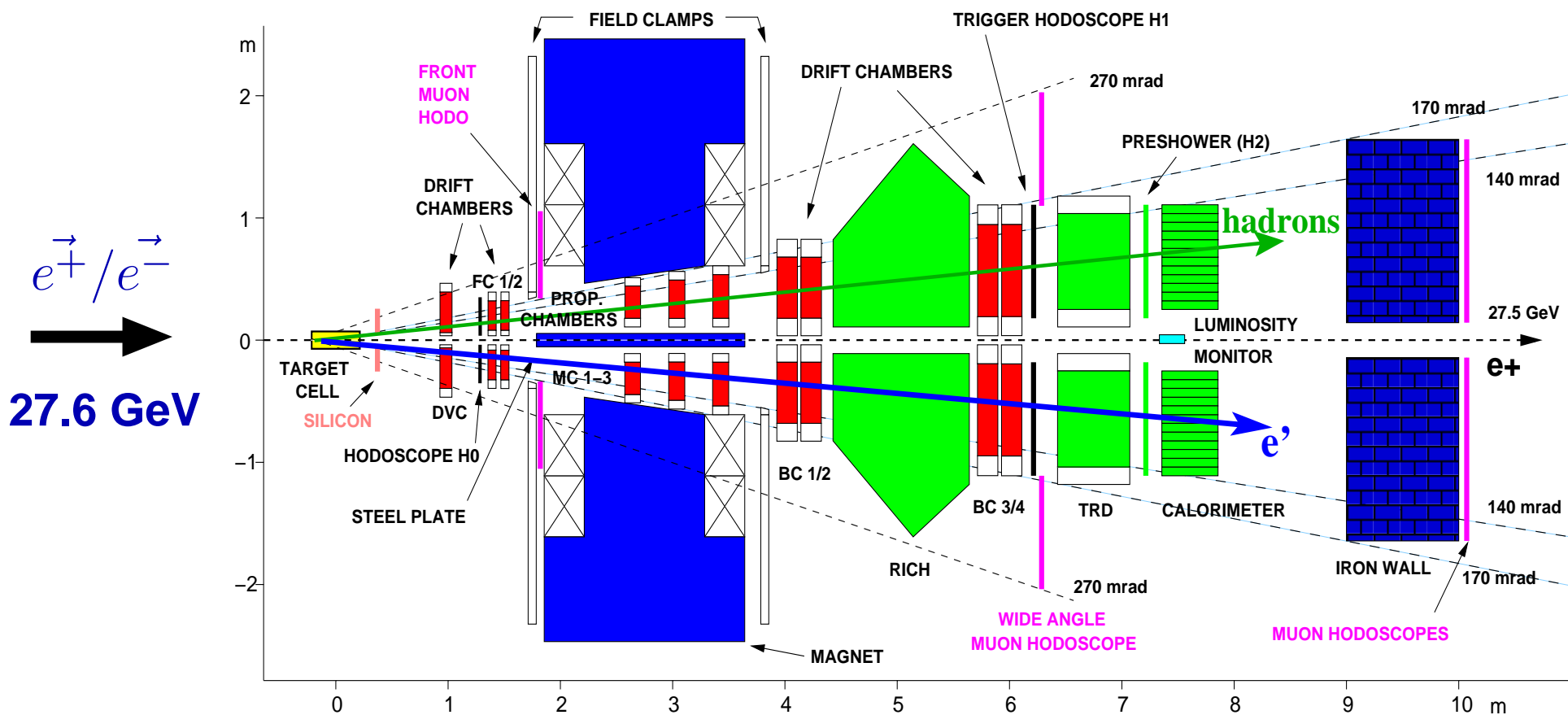
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- ❖ Relevant variables used in this analysis:

$$\begin{aligned} \rightarrow Q^2 &= -q^2 = -(k - k')^2 & \rightarrow x &\stackrel{lab}{=} \frac{Q^2}{2m_P \cdot \nu} \\ \rightarrow \nu &\stackrel{lab}{=} E - E' & \rightarrow z &\stackrel{lab}{=} E_{had}/\nu \end{aligned}$$

The HERMES Experiment at DESY



- ❖ Gas storage target cell: longitudinally polarized H & D with $P_L \approx 80\%$
- ❖ Forward spectrometer: $40 \text{ mrad} < \theta < 220 \text{ mrad}$
- ❖ Tracking chambers: $\Rightarrow \delta p/p \approx 2\%, \delta\theta \leq 1 \text{ mrad}$
- ❖ PIDs: e/h separation efficiency $> 98\%$, $\pi^\pm/K^\pm/p$ ID: $2 < p < 15 \text{ GeV}$

Inclusive Spin Structure Function g_1

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❖ Polarized \mathcal{SF} g_1 as a tool to investigate quark longit. polarization

\Rightarrow polarized counterpart of unpolarized F_1 :

$$F_1(x, Q^2) = \frac{1}{2} \sum_q e_q^2 [q(x, Q^2) + \bar{q}(x, Q^2)], \quad q(x): \text{quark number density}$$

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Kinematic factors

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world data parameterizations

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❖ g_1 can be measured through measured inclusive A_{\parallel} asymmetry!

$g_1^{p,d}$ HERMES Results and World Data

$$\vec{e}^{\pm} p^{\Rightarrow} \rightarrow e^{\pm} X$$

$$\vec{e}^{\pm} d^{\Rightarrow} \rightarrow e^{\pm} X$$

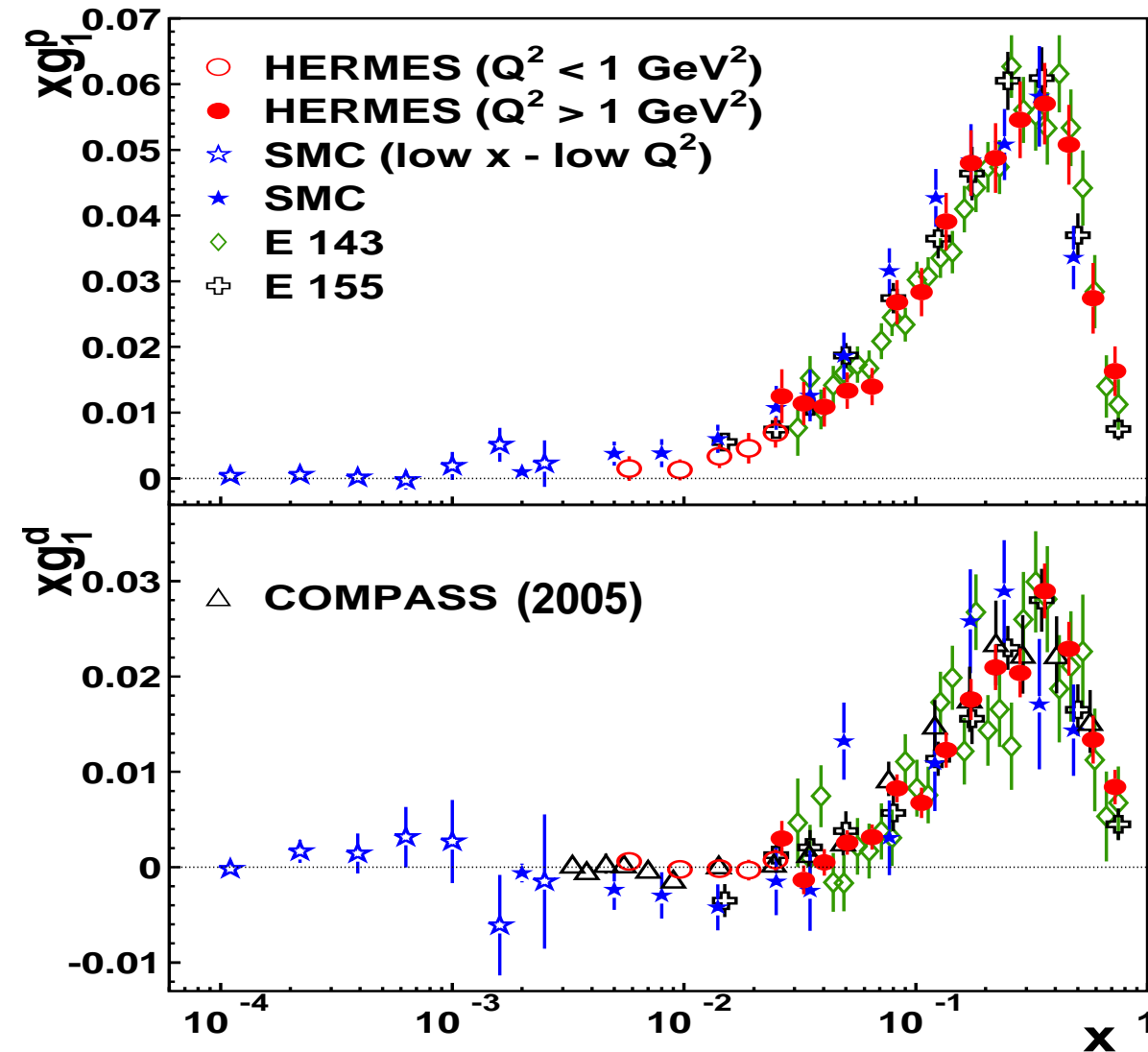
FINAL RESULTS

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FINAL RESULTS



◆ Stat. & syst. uncertainties added in quadrature

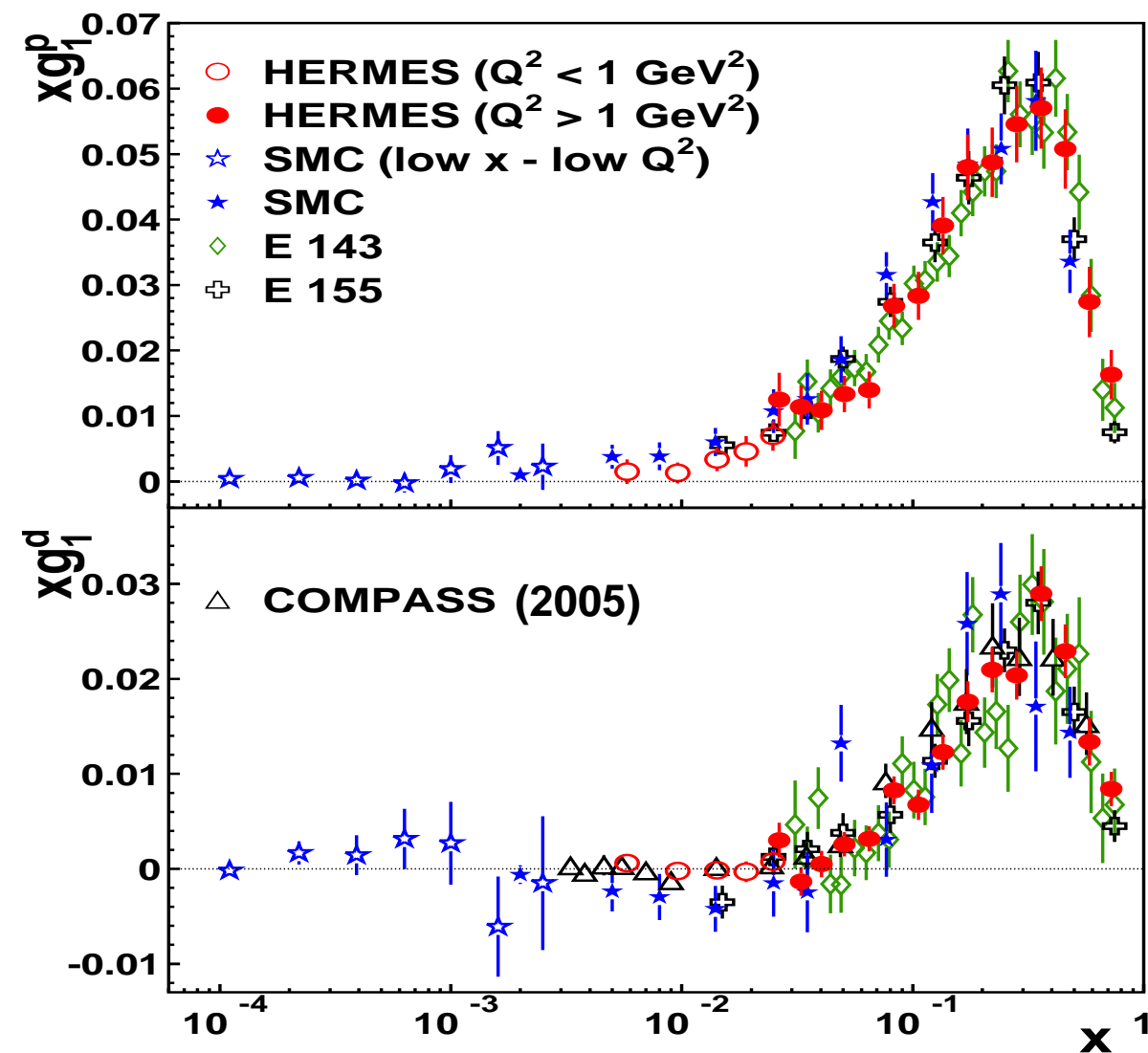
◆ Stat. uncert. – diagonal elements of covariance matrix from Rad.Corr. & Detector smearing unfolding

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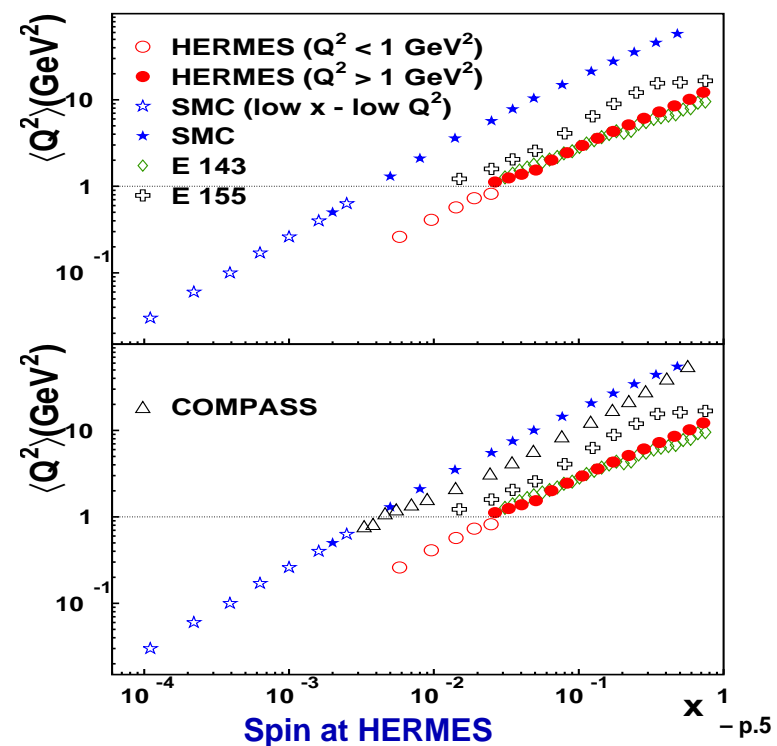
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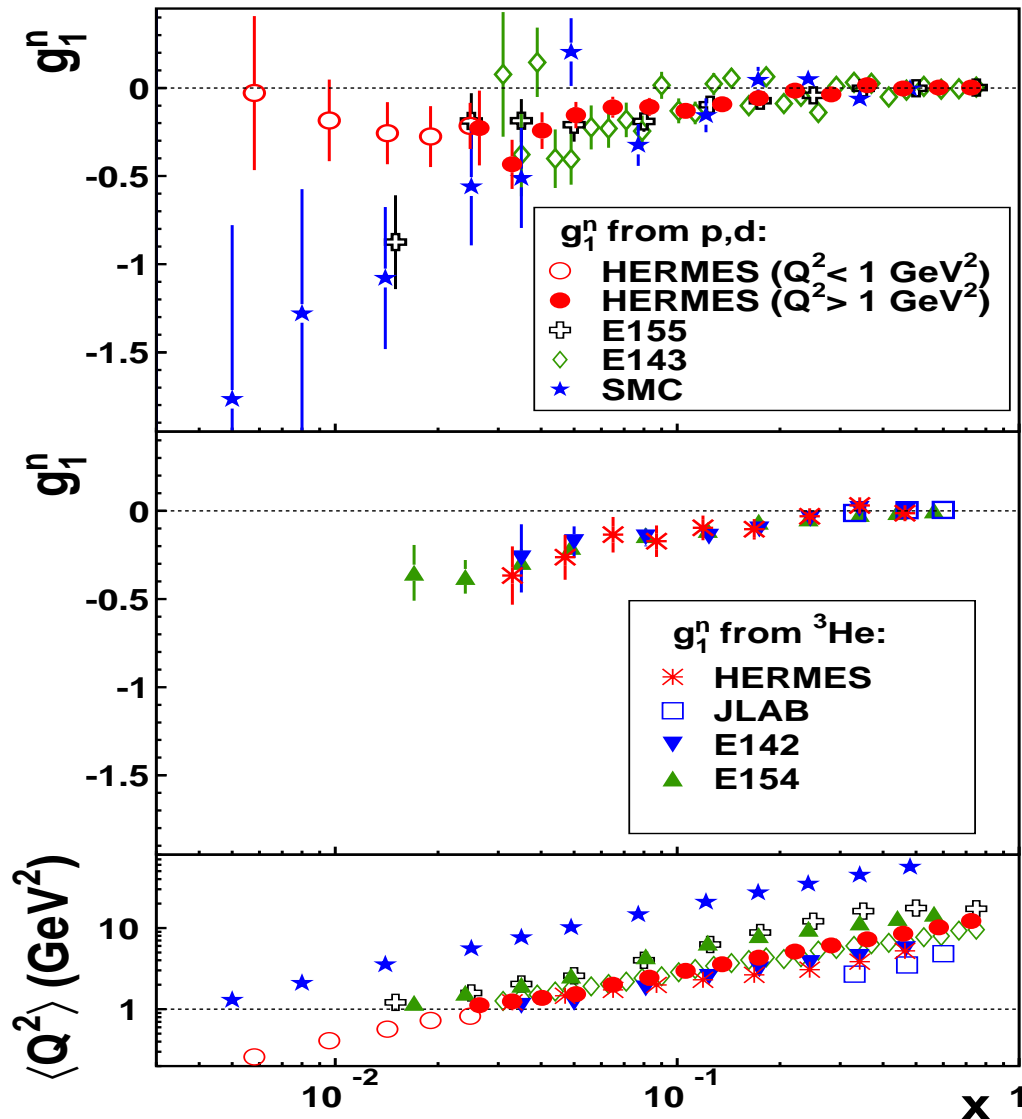
Extraction of g_1 for Neutron

✦ Using extracted g_1 from proton and deuterium targets:

$$g_1^d = \frac{1}{2} \left(1 - \frac{3}{2} \omega_D \right) (g_1^p + g_1^n)$$

⇒ **D-state correction:**

$$\omega_D = 0.05 \pm 0.01$$



Most precise extraction of g_1^n
(in intermediate x -range)

Quark Helicity Extraction

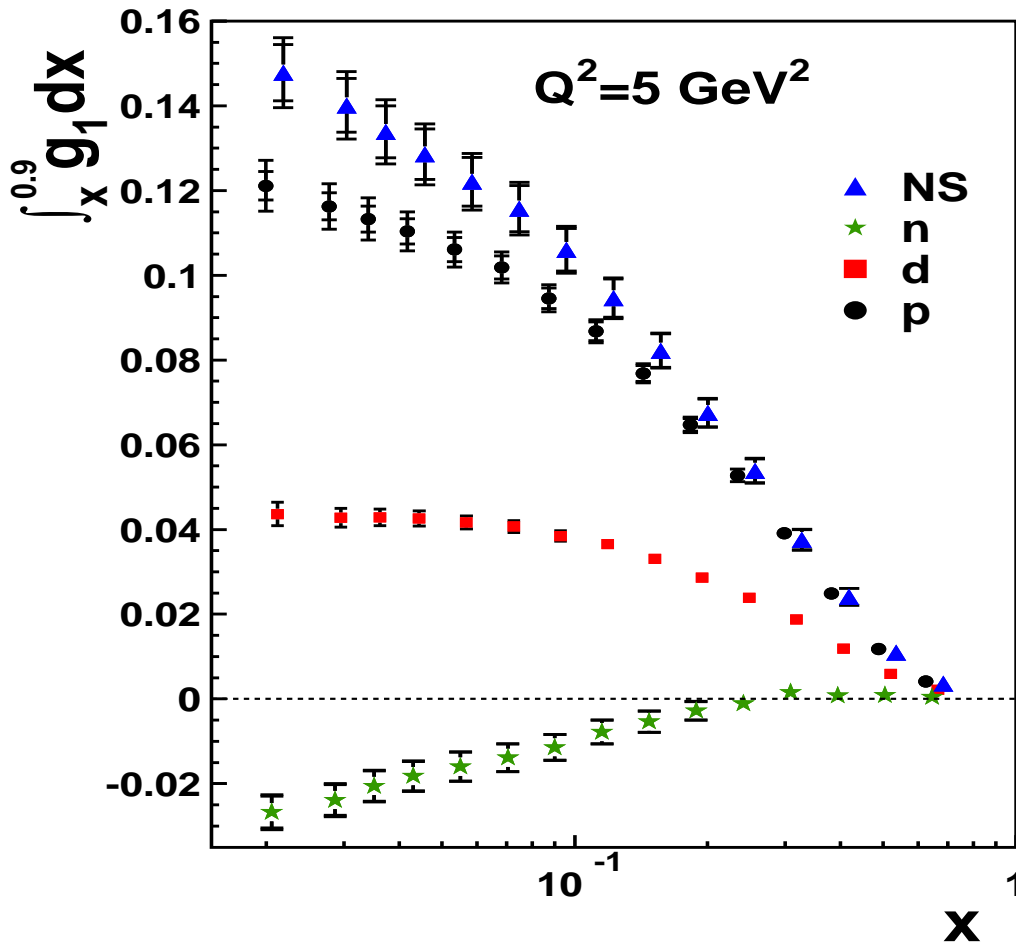
Quark Helicity Extraction

- ❖ **Ellis-Jaffe integrals:** $\Gamma_1^{p,n}(Q^2) = \int_0^1 g_1^{p,n}(x, Q^2) dx$
 $\Rightarrow g_1(x, Q^2)$ **points evolved to common $Q_0^2 = 5 \text{ GeV}^2$**

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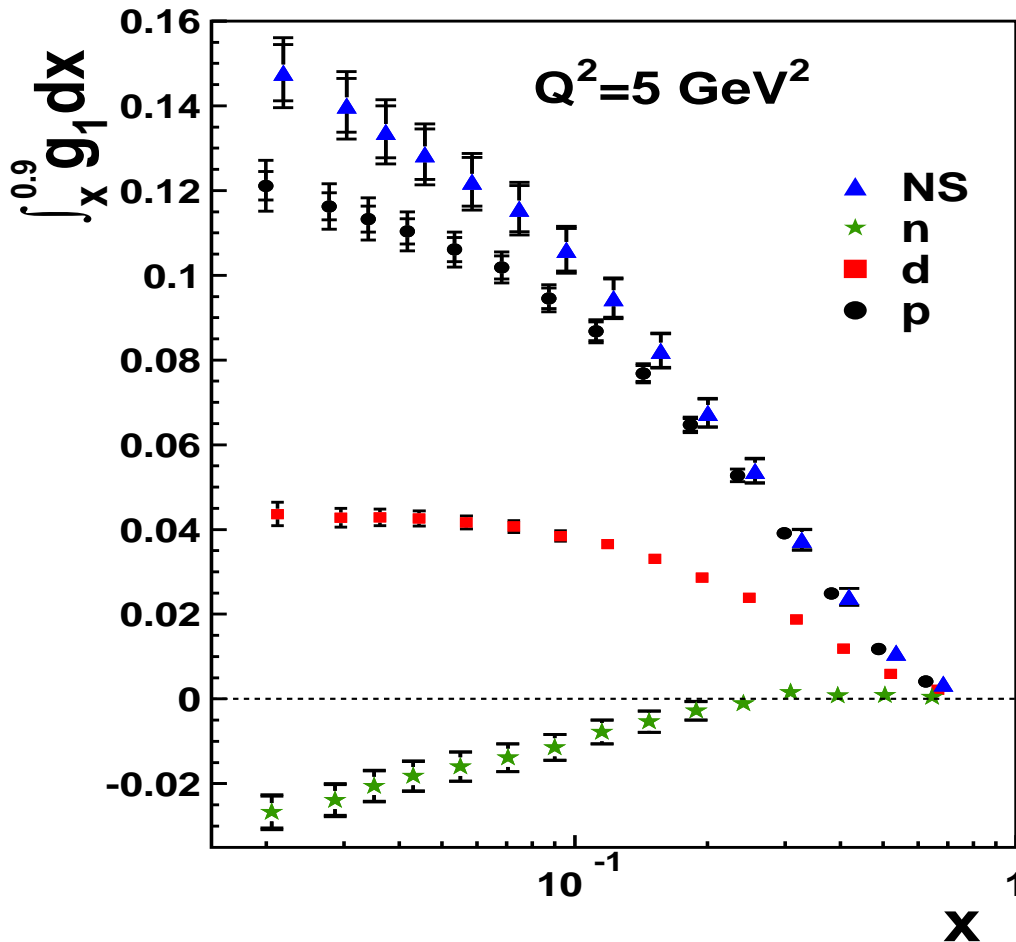
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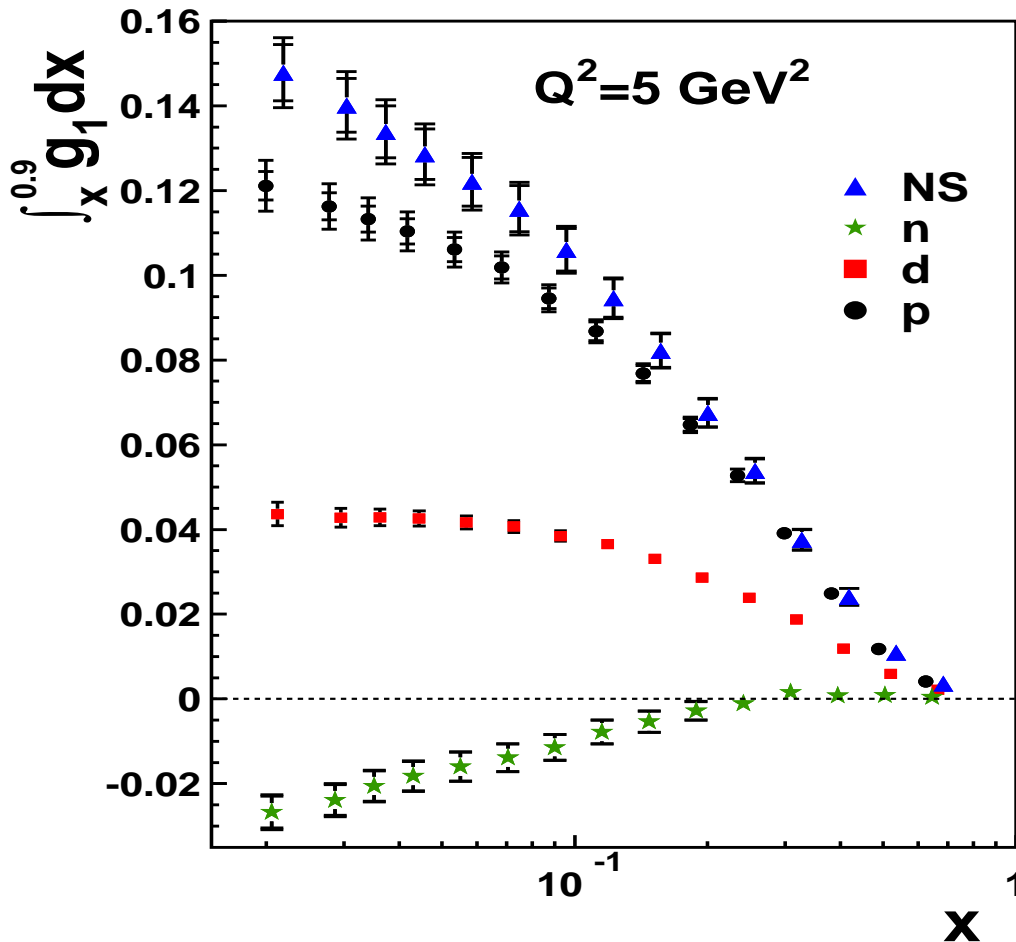
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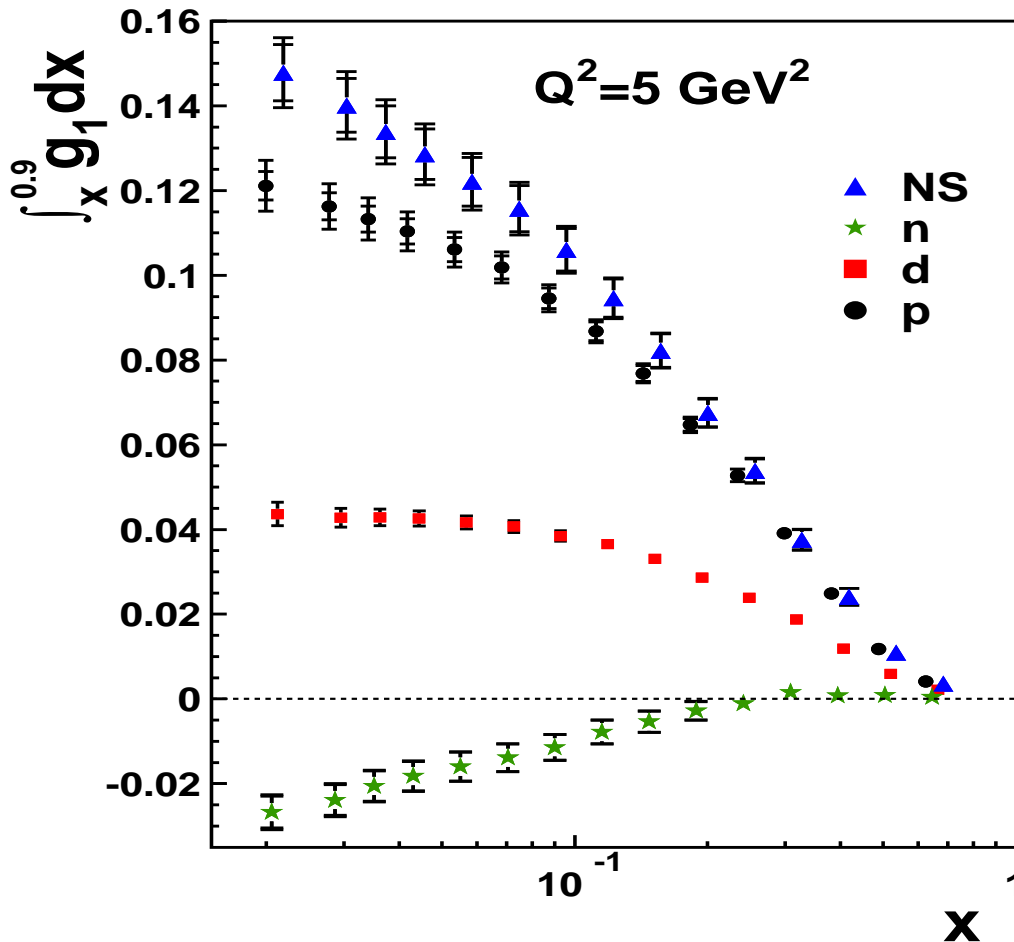
Only 1 ext. input from hyperon

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✗ **Precise measurement of $\Delta\Sigma$:**

$$\Delta\Sigma = 0.330 \pm 0.025(exp) \pm 0.011(theo.) \pm 0.028(evol.)$$

at NNLO ($\mathcal{O}(\alpha_S^2)$)

Extraction of the Strange-Quark Helicity

❖ Using g_1 data + observed Γ_1^d saturation:

$$\Rightarrow \Delta s + \Delta \bar{s} = 1/3 \cdot (a_8 - a_0)$$

$$\Rightarrow \Delta s + \Delta \bar{s} = -0.085 \pm 0.008 \pm 0.0013 \pm 0.009$$

$$[Q^2 = 5.0 \text{ GeV}^2]$$

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\Rightarrow HERMES 5-flavour extraction of quark polarization [PRD71 012003 (2005)

$\Rightarrow \Delta u/u, \Delta d/d, \Delta \bar{u}/\bar{u}, \Delta \bar{d}/\bar{d}, \Delta s/s$; only assumption: $\Delta \bar{s}/\bar{s} = 0$

\Rightarrow CTEQ5L PDFs; JETSET tuned to HERMES multiplicities

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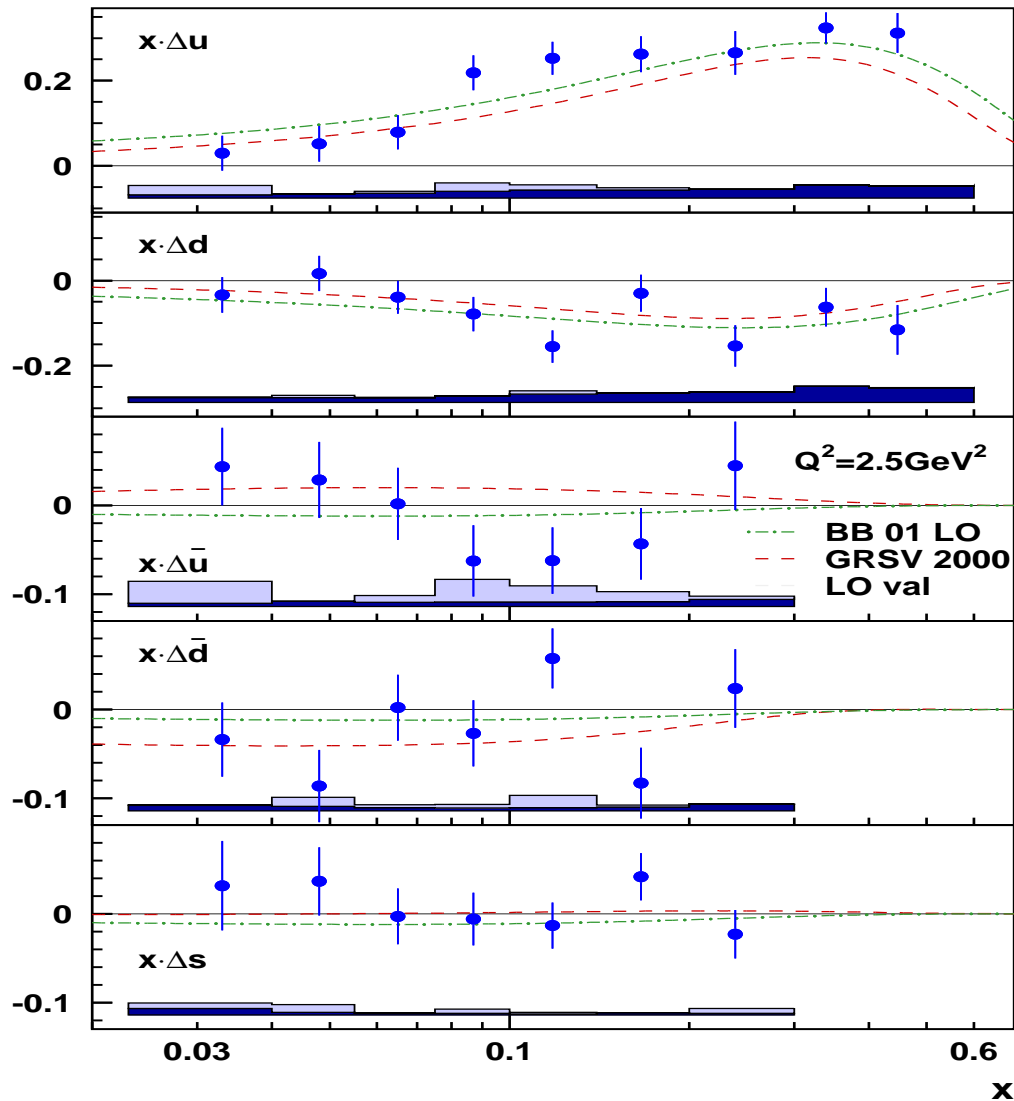
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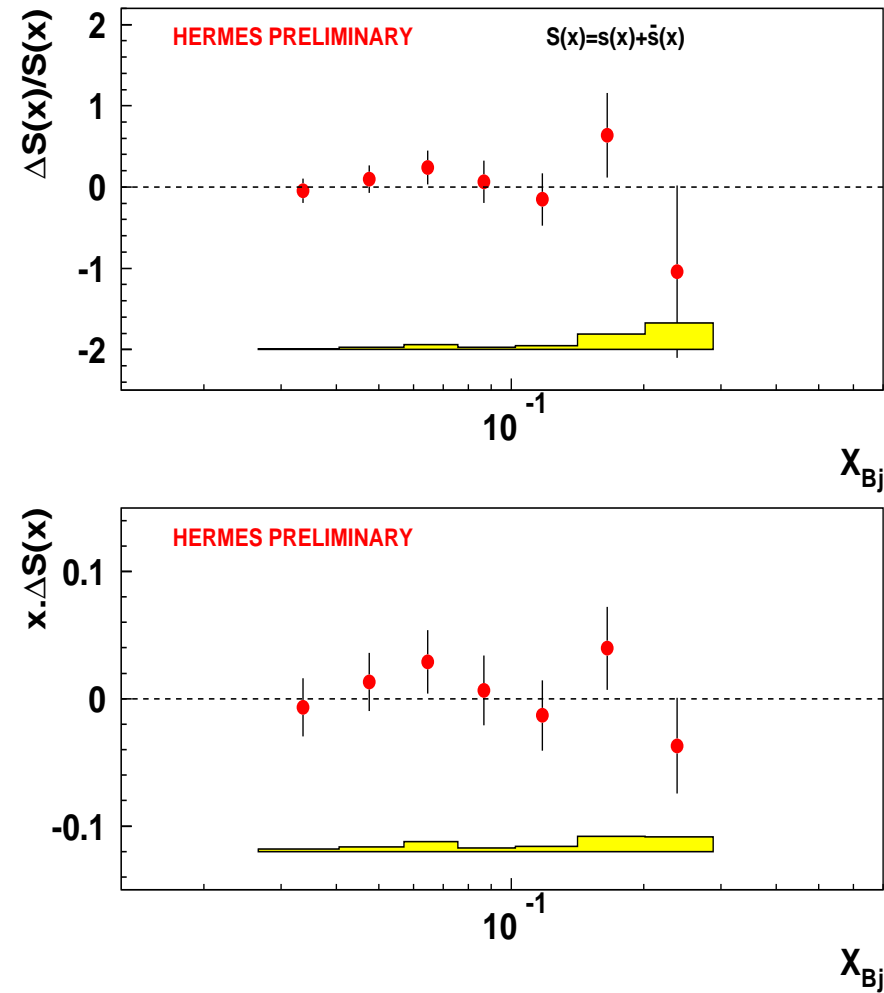
❖ How to reconcile strange quark results between g_1 analysis and semi-inclusive analyses? \Rightarrow **substantial negative polarization at small x ?**

ΔS from Different HERMES Analyses

From 'Purity' analysis:



From 'Isoscalar' analysis:

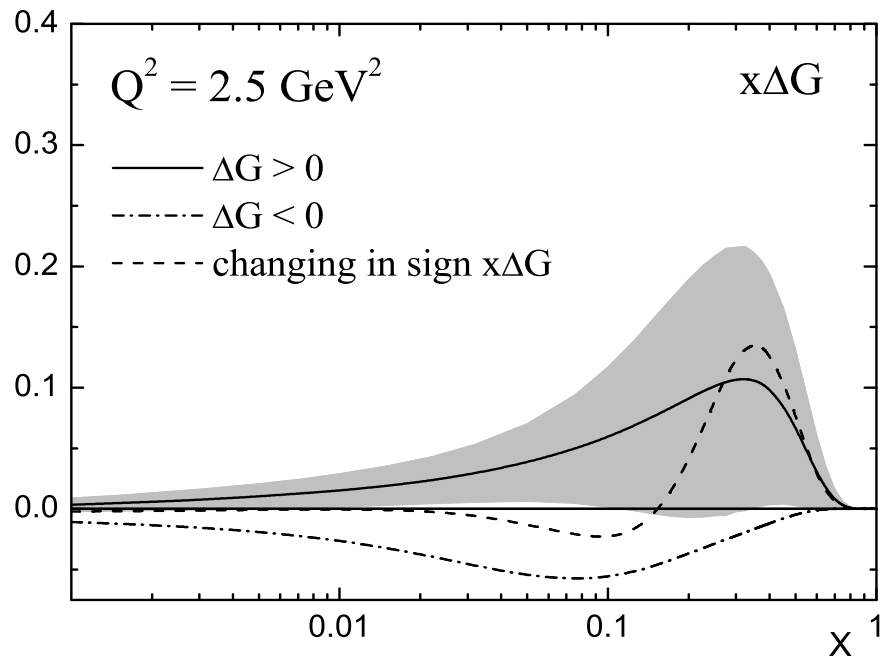


Gluon Polarization

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- ❖ Poorly known so far
- ❖ Different extraction methods used

— pQCD fits to g_1 data:
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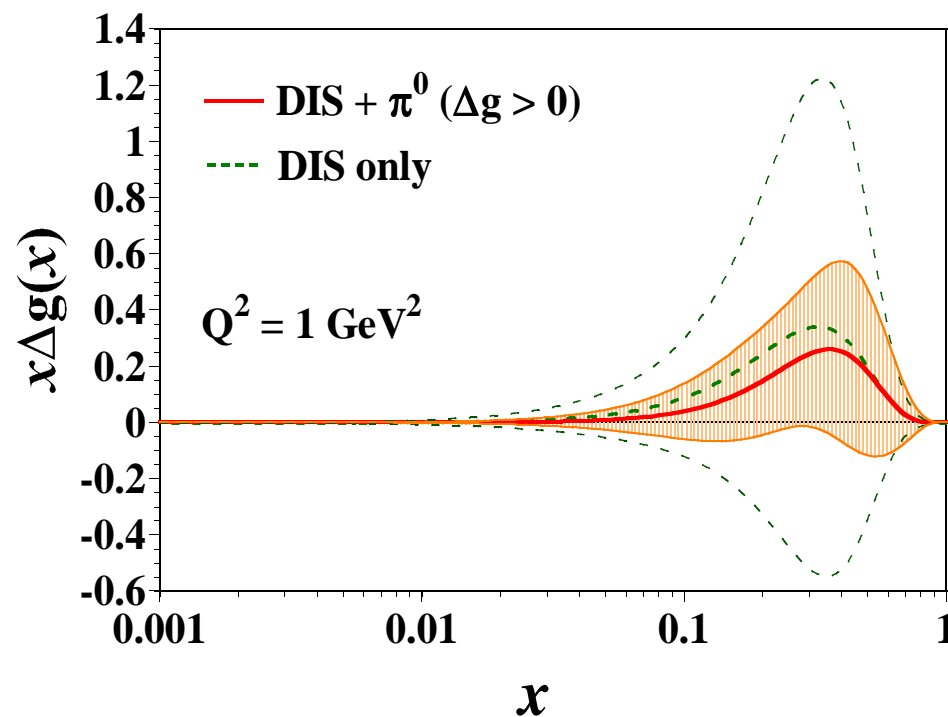
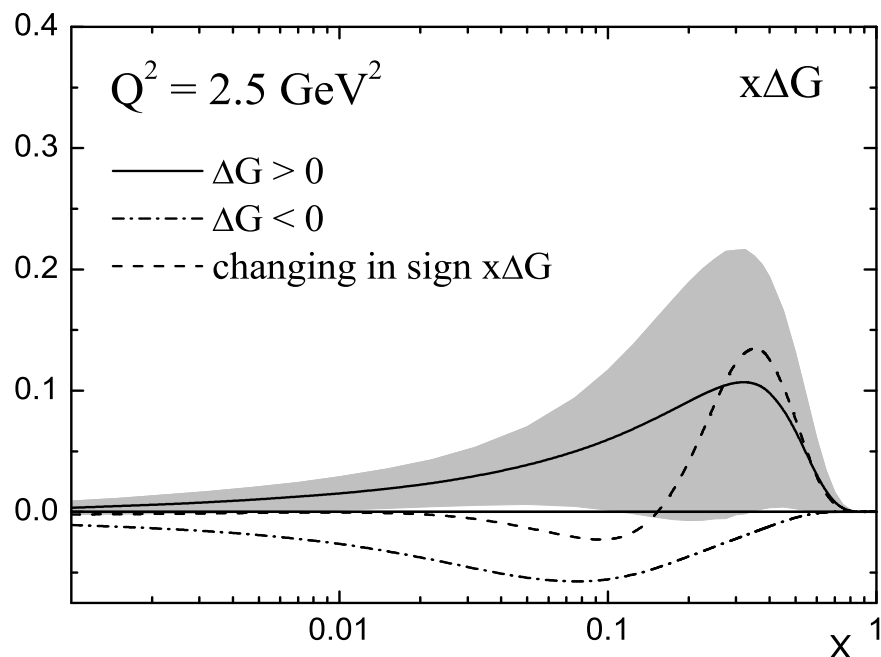
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[Note: w/o CLAS + new COMPASS data]



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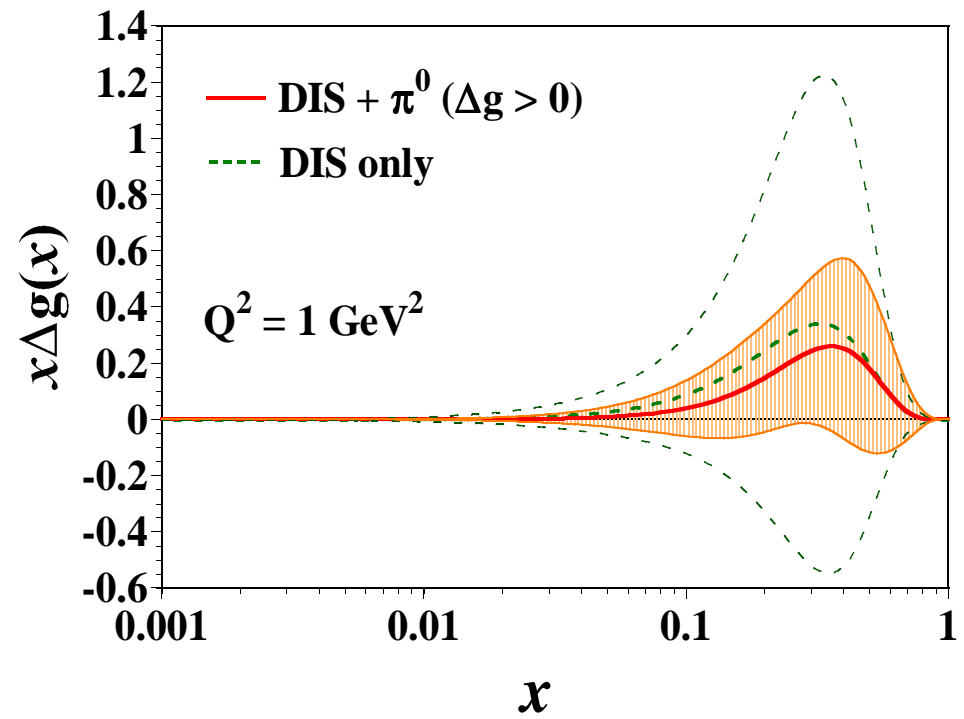
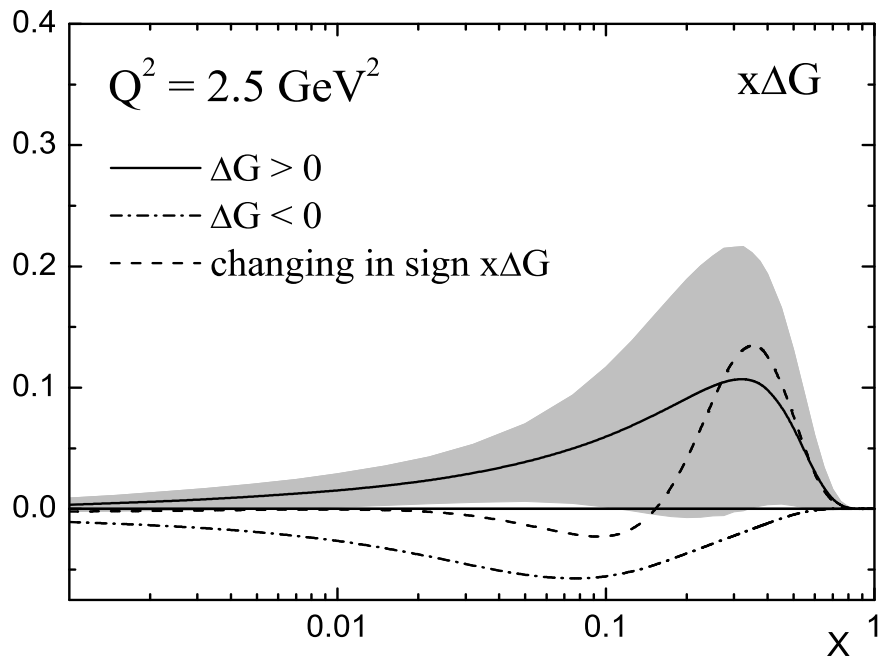
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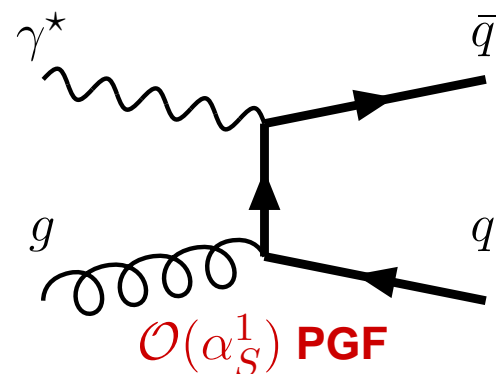
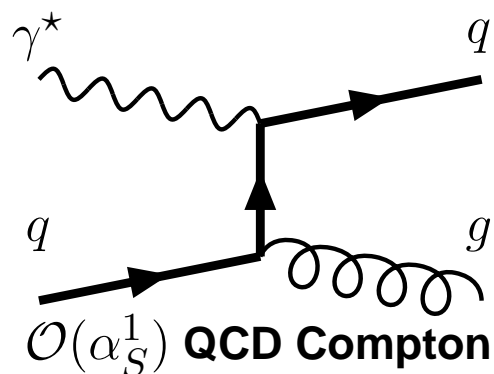
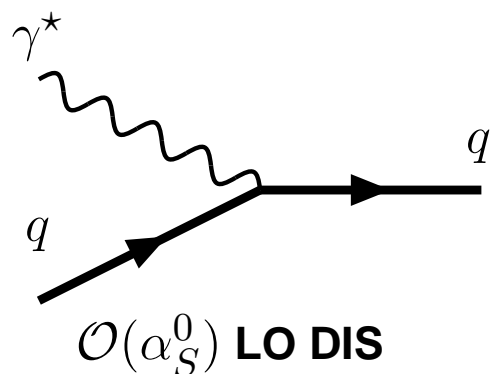


❖ Or

Gluon Polarization

- ❖ Alternatively: access spin observables with direct gluon contribution as in charged hadron prod. in polarized lepton scattering (at NLO)

Examples of subprocesses involved:

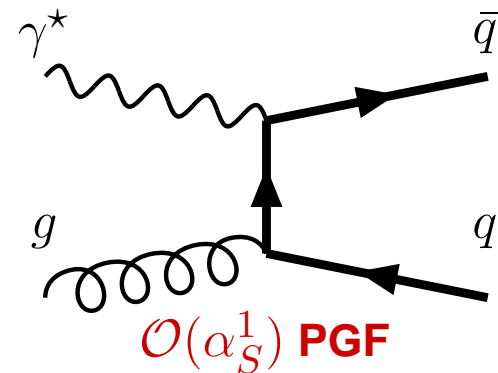
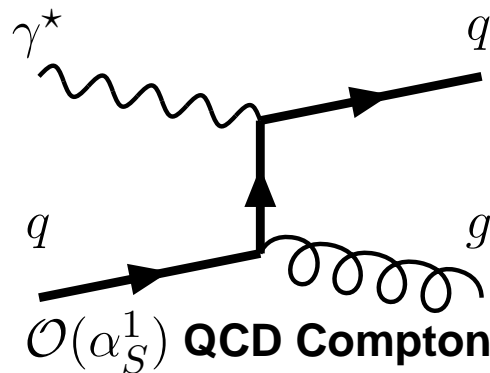
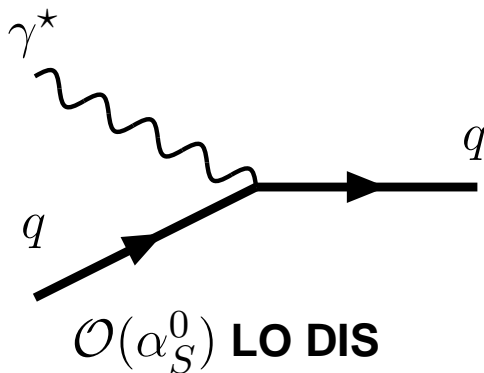


... + channels involving γ^* hadronic wave function

Gluon Polarization

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Examples of subprocesses involved:



... + channels involving γ^* hadronic wave function

- ❖ Choose proper process to enhance gluon contribution

– detection of high- p_T hadrons \Rightarrow **this analysis**

Enhancing gluon contribution

❖ Consider the process with veto on scattered lepton in acceptance:

$$\vec{e} + \vec{p} \rightarrow h^{\pm} X \qquad \vec{e} + \vec{d} \rightarrow h^{\pm} X$$

⇒ most of our data

⇒ p_T defined with respect to beam direction

Enhancing gluon contribution

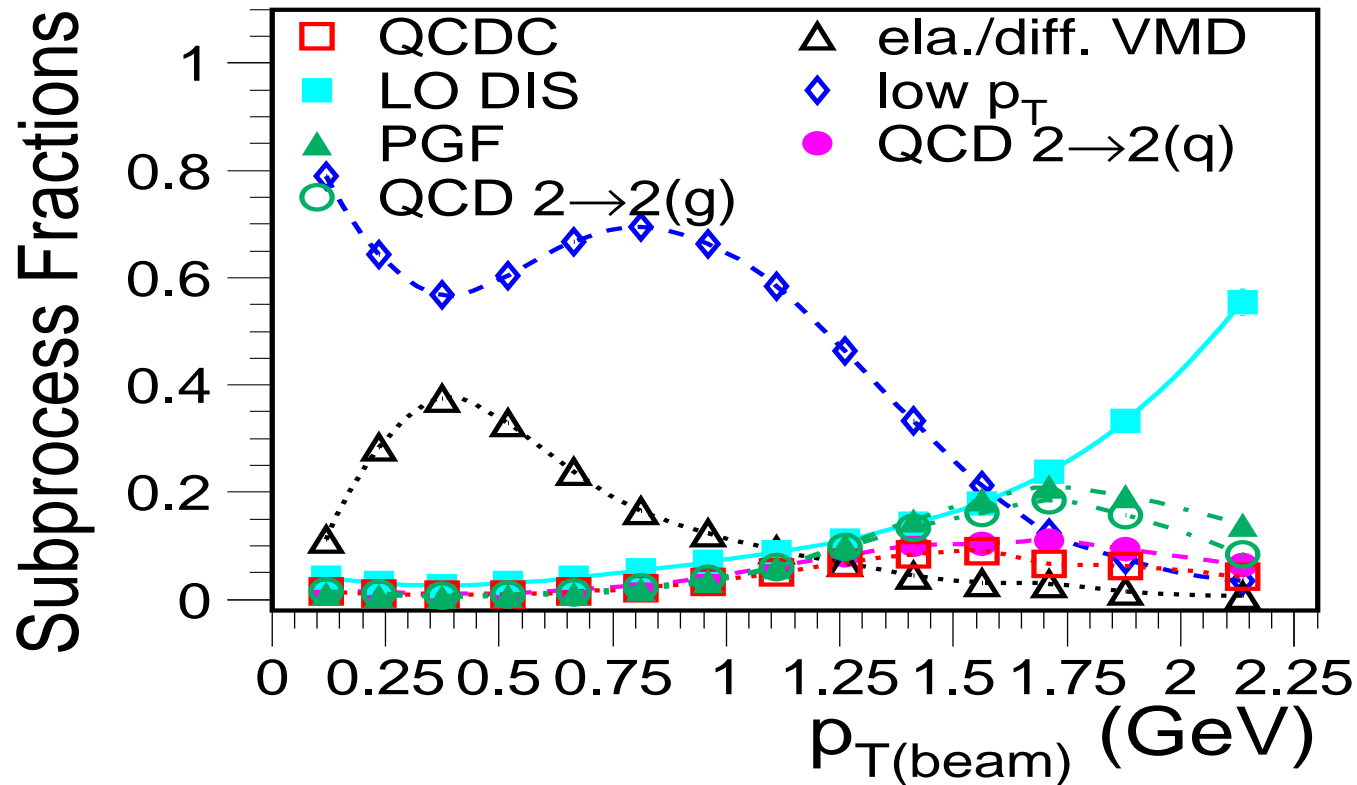
❖ Consider the process with veto on scattered lepton in acceptance:

$$\vec{e} + \vec{p} \rightarrow h^\pm X \quad \quad \vec{e} + \vec{d} \rightarrow h^\pm X$$

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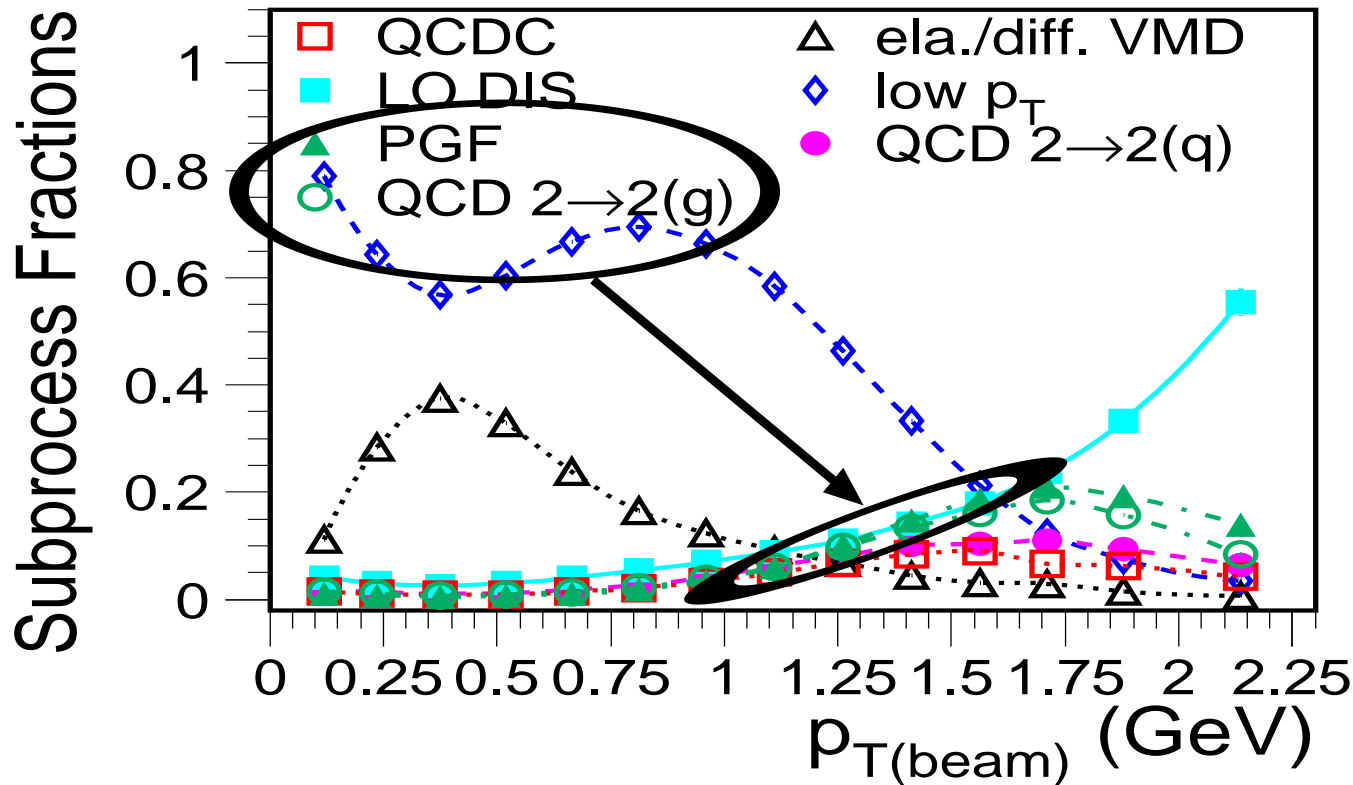
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- ❖ Gluon tagging contributions enhanced at high p_T values

Measured Observable

❖ Consider the experimental asymmetry

$$A_{\parallel} = \frac{N_h^{\vec{\leftarrow}} L^{\vec{\rightarrow}} - N_h^{\vec{\rightarrow}} L^{\vec{\leftarrow}}}{N_h^{\vec{\leftarrow}} L_P^{\vec{\rightarrow}} + N_h^{\vec{\rightarrow}} L_P^{\vec{\leftarrow}}}$$

$\Rightarrow N_h^{\vec{\leftarrow}} (N_h^{\vec{\rightarrow}})$: Nr. hadrons with beam/target helicity (anti)aligned

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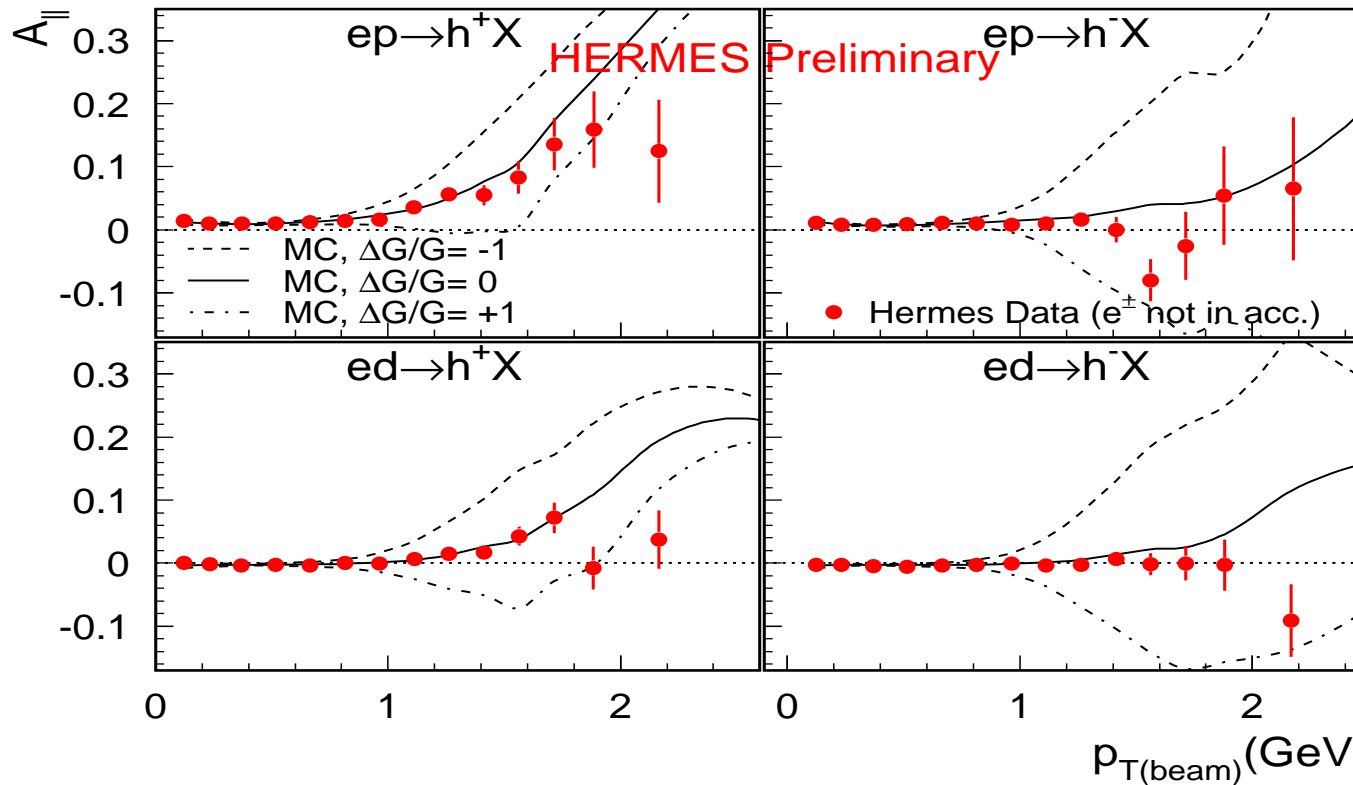
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❖ Systematics dominated by beam/target pol.uncertainty: 3.9%

Extraction of the Gluon Polarization

- ❖ Extracted asymmetry expressed in terms of asymmetry by contributing i subprocesses (DIS, PGF, QCDC, soft & hard VM)

$$A_{\parallel}(p_T) = \sum_{i \in B_g} R_i(p_T) \cdot \langle A_{\parallel}^i \rangle(p_T) + \sum_{i \in S_g} R_i(p_T) \cdot \langle A_{\parallel}^i \rangle(p_T)$$

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PYTHIA events
 qq, qg, \dots xsec asym.
 \Rightarrow calculable
 γ pol/unpol PDF
 nucleon pol/unpol PDF

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II: Fit Method (functional form for $\frac{\Delta g(x)}{g(x)}$ plugged into A_{\parallel}^{Sg} and then fit to $A_{\parallel}^{meas.}$)

↓ for syst.studies ↓

$$\frac{\Delta g(x)}{g(x)} = x(1 + p_1(1 - x)^2) \quad \text{and} \quad x(1 + p_1(1 - x)^2) + p_2(1 - x)^3$$

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LO Model-depend.
 $\frac{\Delta g(x)}{g(x)}$ **extraction**

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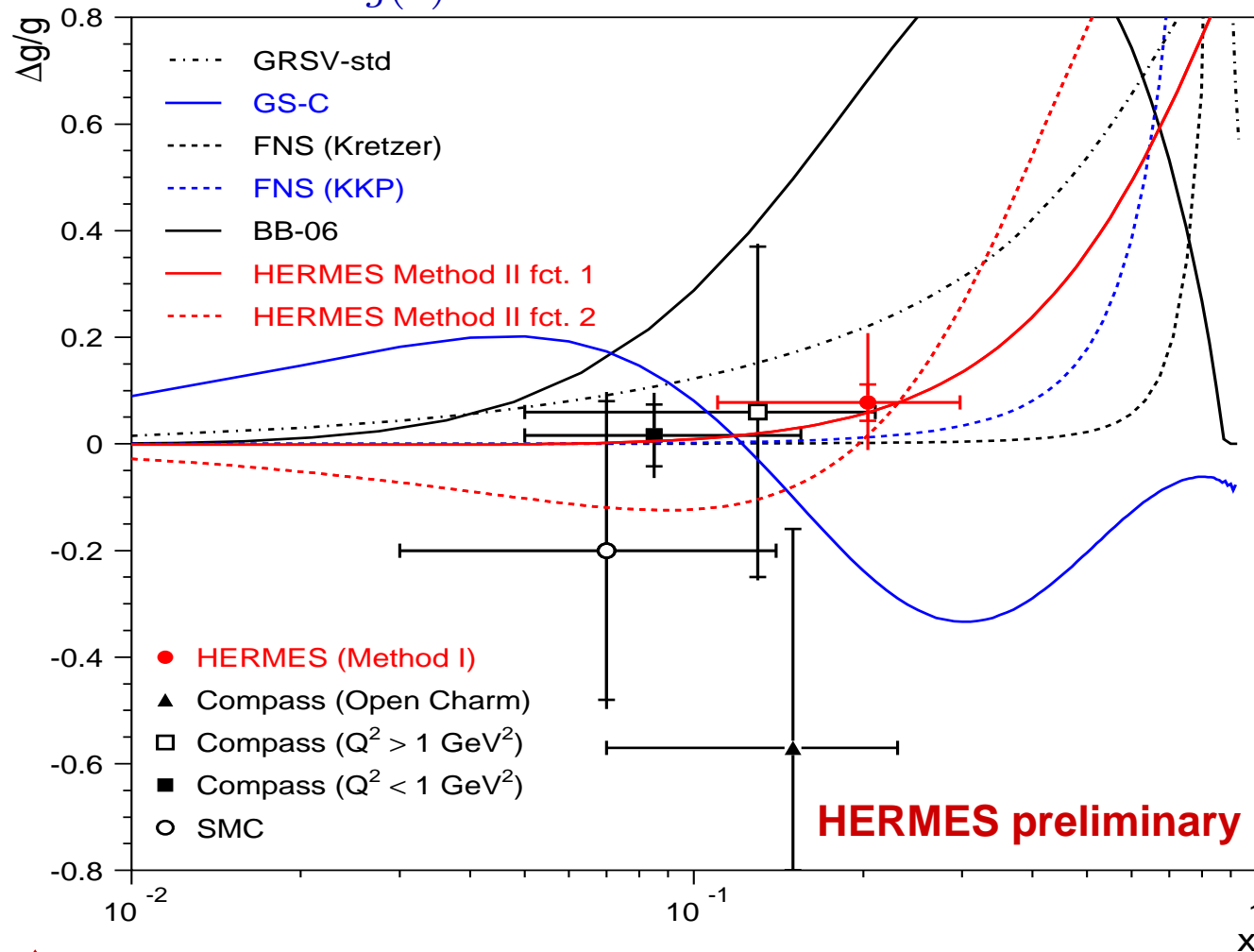
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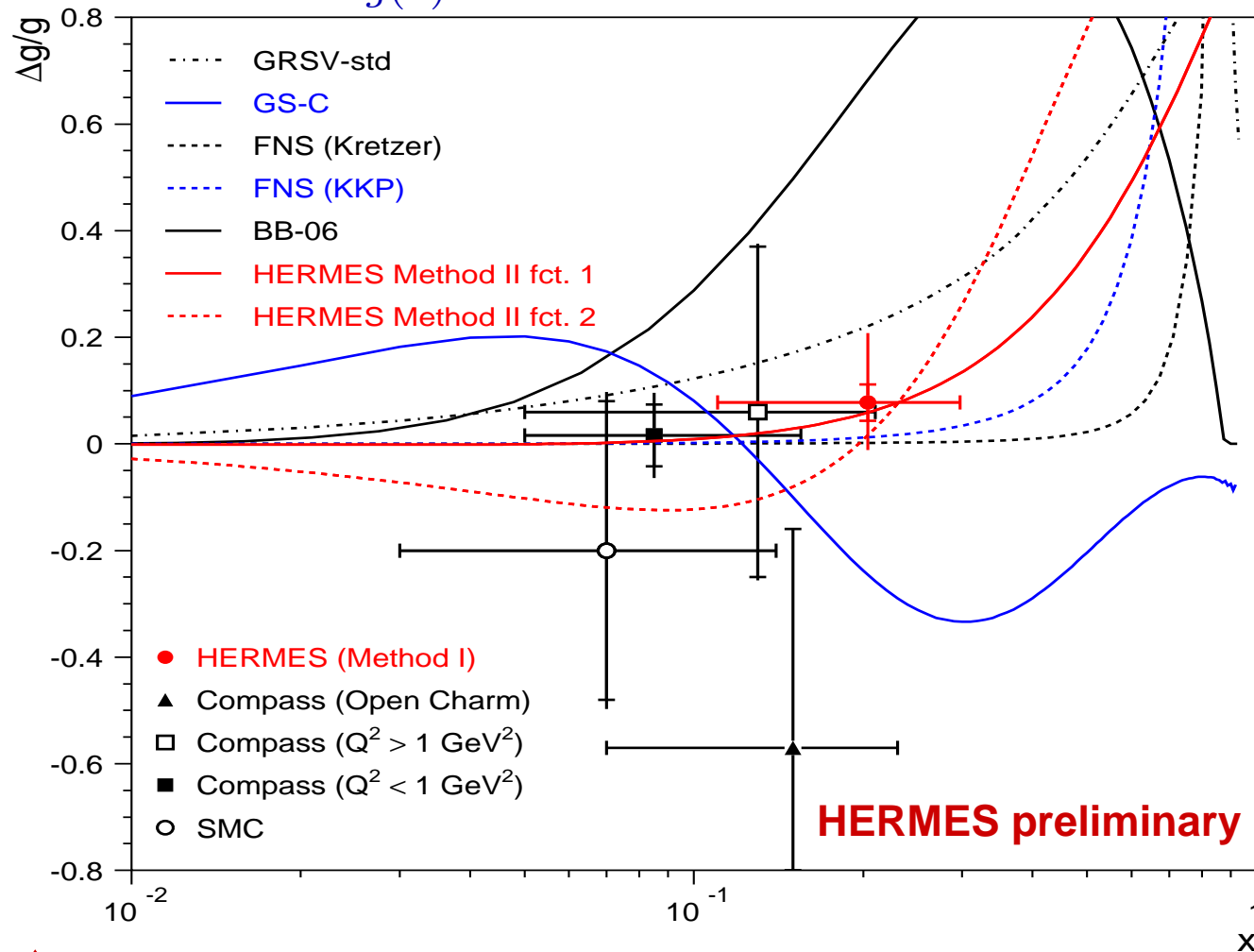


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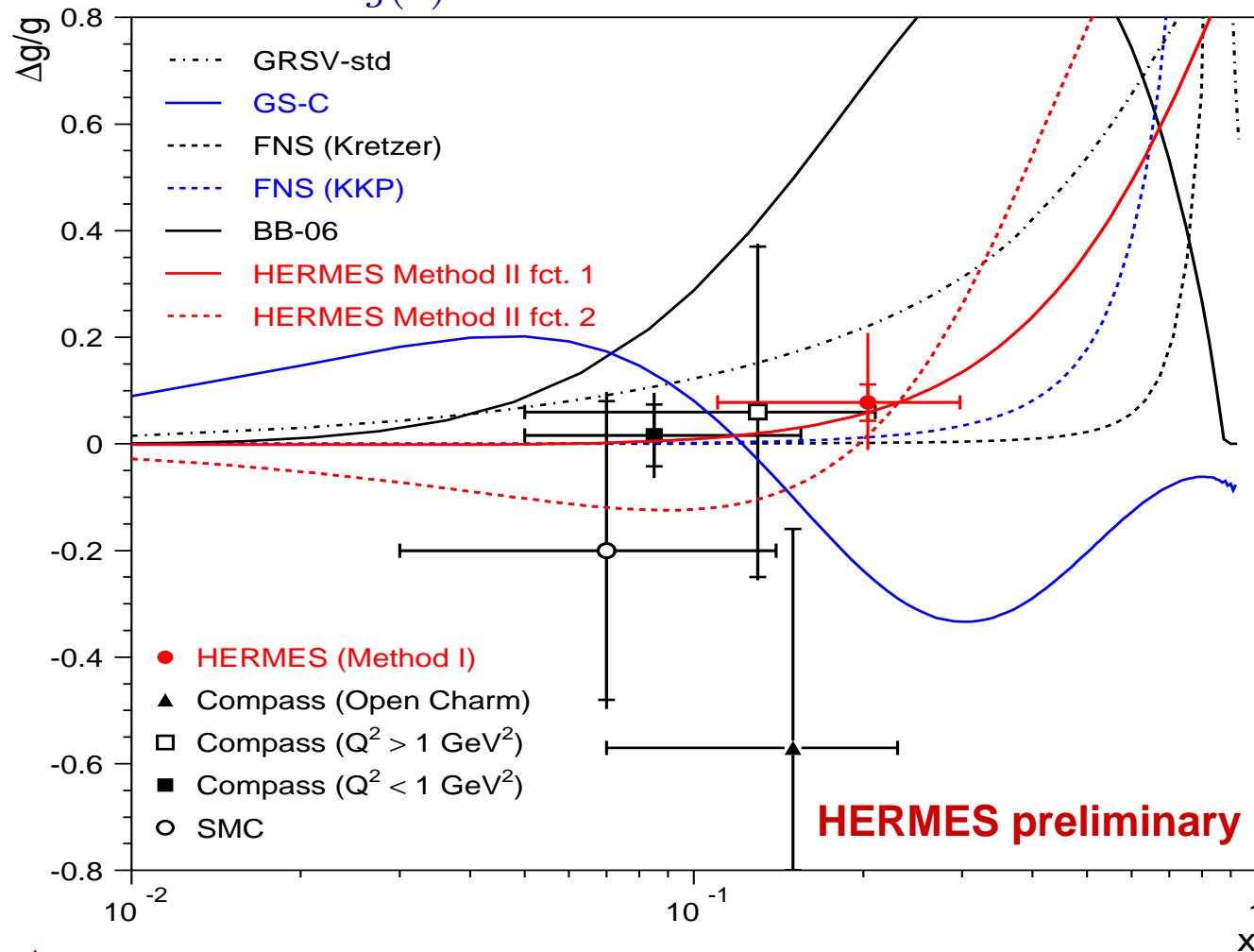
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— **Model Systematics:**
 \times diff. γ & N pdf param.
 \times PYTHIA par. change
 \times scale factor varied by factors 1/2 and 2

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⇒ deuteron data are among most precise so far

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❖ Finalization of the ΔS & ΔG analyses for publication is on-going

BackUp Slides

The Purity Method

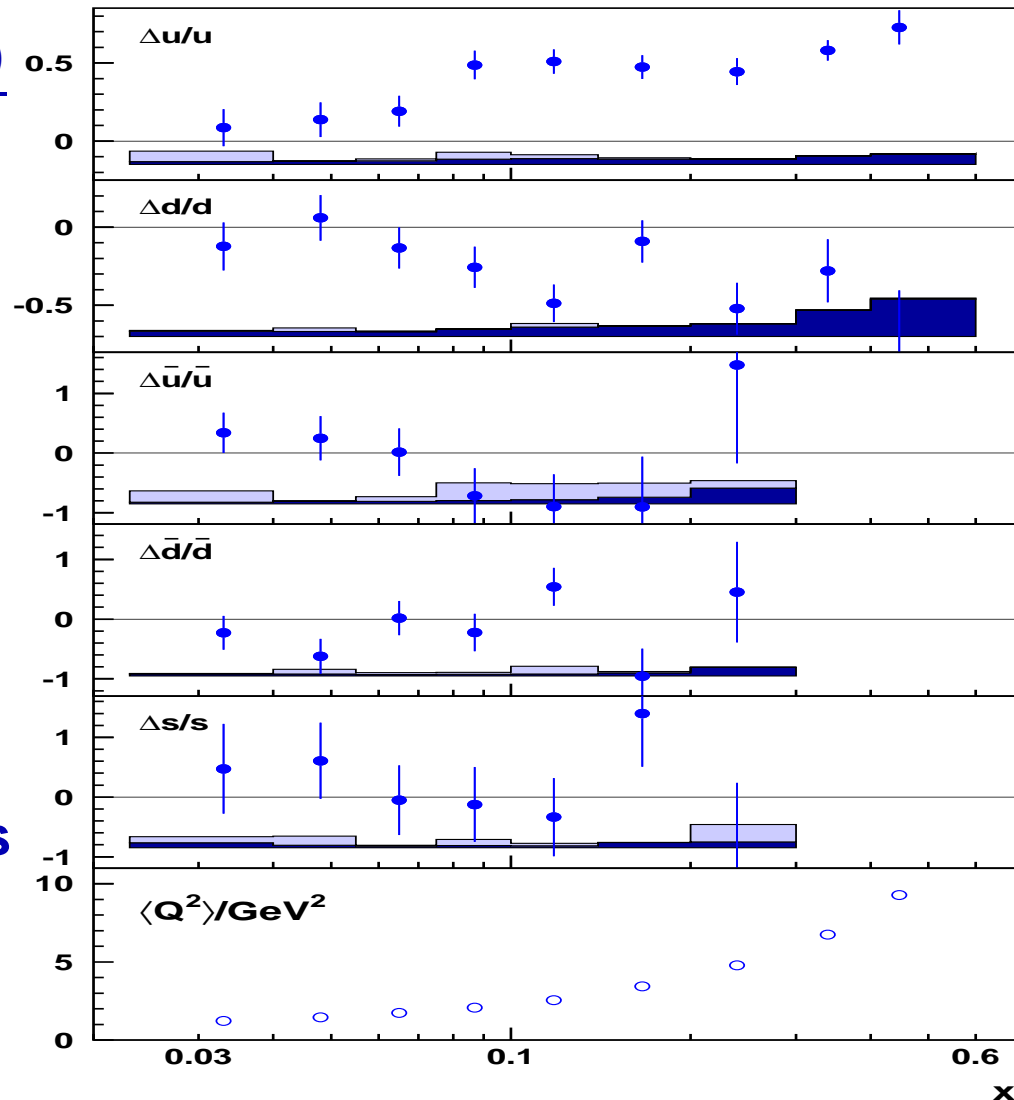
- ❖ Measure cross-section asymmetry A_1 for different identified hadrons

$$A_1^h(x, Q^2, z) = \frac{\sum_q e_q^2 \Delta q(x, Q^2) D_q^h(Q^2, z)}{\sum_q e_q^2 q(x, Q^2) D_q^h(Q^2, z)}$$

$$= \sum_q \mathcal{P}_q^h(x, Q^2, z) \frac{\Delta q(x, Q^2)}{q(x, Q^2)}$$

$$\mathcal{P}_q^h(x, Q^2, z) = \frac{e_q^2 q(x, Q^2) D_q^h(Q^2, z)}{\sum_q e_q^2 q(x, Q^2) D_q^h(Q^2, z)}$$

- ❖ Extract purities in the frame of LUND fragmentation model
- Tune to π, K HERMES multiplicities
- ❖ Extract quarks polarization



Isoscalar Method Formalism: I

$$\vec{e}^{\pm} d^{\Rightarrow} \rightarrow e^{\pm} X \quad \vec{e}^{\pm} d^{\Rightarrow} \rightarrow e^{\pm} K^{\pm} X$$

❖ Measure inclusive asymmetry A_1 and kaons asymmetry $A_1^{K^{\pm}}$

$$A_1 = \frac{\sigma_{1/2} - \sigma_{3/2}}{\sigma_{1/2} + \sigma_{3/2}}$$

$$A_1^K = \frac{\sigma_{1/2}^K - \sigma_{3/2}^K}{\sigma_{1/2}^K + \sigma_{3/2}^K}$$

❖ After some algebra, asymmetries expressed in terms of PDFs and fragmentation functions

$$A_1(x, z) = \frac{5\Delta Q(x) + 2\Delta S(x)}{5Q(x) + 2S(x)} \quad A_1^K(x, z) = \frac{\Delta Q(x)\mathcal{D}_Q^K(z) + 2\Delta S(x)\mathcal{D}_S^K(z)}{Q(x)\mathcal{D}_Q^K(z) + 2S(x)\mathcal{D}_S^K(z)}$$

$$\Rightarrow \mathcal{D}_Q^K = 4 \cdot (\mathcal{D}_u^{K^+} + \mathcal{D}_u^{K^-}) + (\mathcal{D}_d^{K^+} + \mathcal{D}_d^{K^-}) \quad \mathcal{D}_S^K = (\mathcal{D}_s^{K^+} + \mathcal{D}_s^{K^-})$$

$$\Rightarrow \mathcal{D}_q^{K^{\pm}} = \text{probability that struck quark } q \text{ fragments into detected } K^{\pm}$$

$$\Rightarrow \text{Charge conjug. invariance assumed: } (\mathcal{D}_q^{K^+} + \mathcal{D}_q^{K^-}) = (\mathcal{D}_{\bar{q}}^{K^+} + \mathcal{D}_{\bar{q}}^{K^-})$$

$$\Rightarrow \Delta Q(x) \equiv \Delta u + \Delta d + \Delta \bar{u} + \Delta \bar{d} \quad \Delta S(x) \equiv \Delta s + \Delta \bar{s}$$

Isoscalar Method Formalism: II

$$A_1(x, z) = \frac{5\Delta Q(x) + 2\Delta S(x)}{5Q(x) + 2S(x)} \quad A_1^K(x, z) = \frac{\Delta Q(x)\mathcal{D}_Q^K(z) + 2\Delta S(x)\mathcal{D}_S^K(z)}{Q(x)\mathcal{D}_Q^K(z) + 2S(x)\mathcal{D}_S^K(z)}$$

❖ Taking $S(x)$ & $Q(x)$ from CTEQ6L, we are left with 2 Eqs & 4 unknowns

⇒ additional information needed

❖ Fragmentation functions obtained directly from unpolarized DIS data

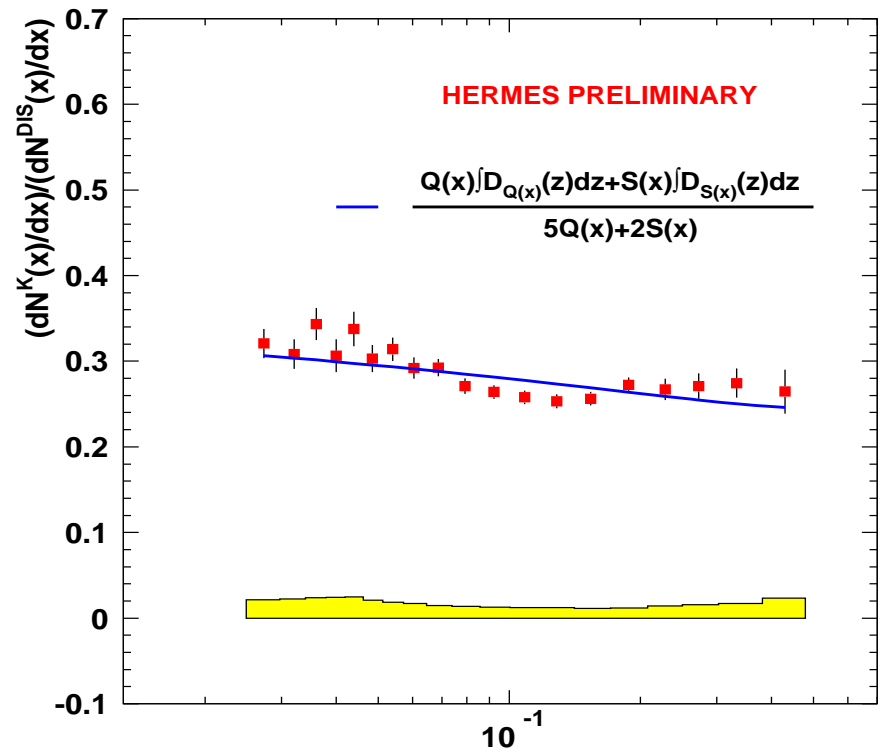
$$\frac{dN^{K\pm}(x)/dx}{dN^{DIS}(x)/dx} = \frac{Q(x) \int \mathcal{D}_Q^K(z) dz + S(x) \int \mathcal{D}_S^K(z) dz}{5Q(x) + S(x)}$$

❖ CTEQ6 LO used in fit to extract fragm. function constants

$$\Rightarrow \int \mathcal{D}_Q^K(z) dz = 1.20 \pm 0.06 \pm 0.03$$

$$\Rightarrow \int \mathcal{D}_S^K(z) dz = 2.86 \pm 0.72 \pm 0.30$$

$$\Rightarrow \text{at } 0.2 < z < 0.8$$



ΔG : subprocess asymmetries

Average asymmetry of i -subprocess

$$\langle A_{\parallel}^i \rangle = \frac{1}{N^i} \sum_k^{N^i} a_{\parallel,k}^i \cdot \frac{\Delta f_k^\gamma}{f_k^\gamma} \cdot \frac{\Delta f_k^N}{f_k^N}$$

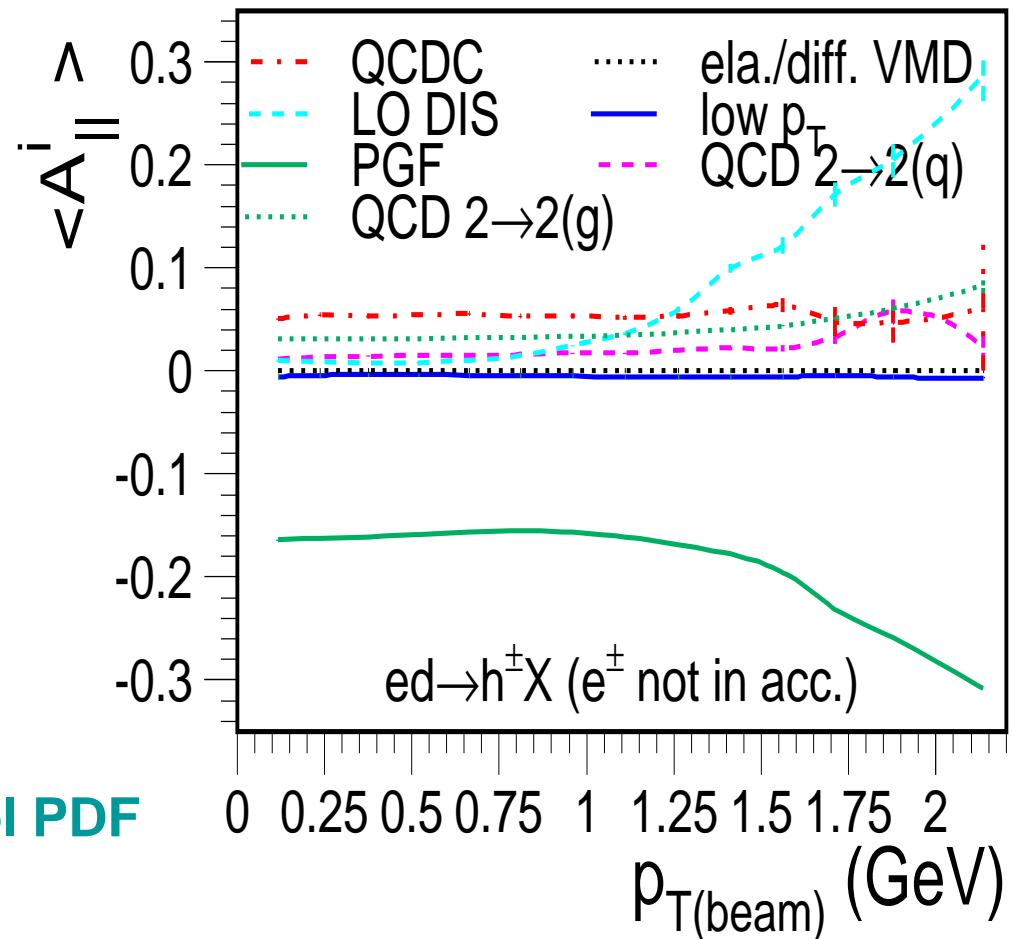
PYTHIA events

qq, qg, \dots xsec asym.

\Rightarrow calculable

γ pol/unpol PDF

nucleon pol/unpol PDF



ΔG : Model Systematics

PDF parameterizations (for cross-section calculation):

Type	Standard	Alternative
Unpolarized N PDFs	CTEQ5L	GRV98
Unpolarized γ PDFs	SS	GRS

PDF parameterizations (for subprocess asymmetry calculation):

Type	Standard	Alternative
Unpolarized N PDFs	GRV98	GRV94/CTEQ5L
Unpolarized γ PDFs	GRS	GRS
Polarized N PDFs	GRSV	GS-B, BB-06
Polarized γ PDFs:	1/2(max-min) scenario of GRS	max/min scenario of GRS

Pythia parameters:

Type	Standard	Alternative
PARP(91/99): initial γ/N parton k_T	0.4 GeV	1σ from χ_{min}^2
PARJ(21): fragmenting parton p_T	0.4 GeV	1σ from χ_{min}^2
PARP(90): cutoff for switching on soft VMD	0.16	0.14-0.18