

# Recent results from low-x and forward physics at HERA



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( on behalf of the H1 Collaboration )

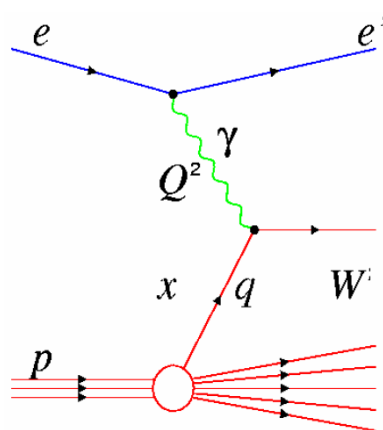


- QCD tests with the hadronic final states
- Azimuthal correlation of forward jets in DIS
- Dijet production in diffractive DIS with a leading proton
- Summary

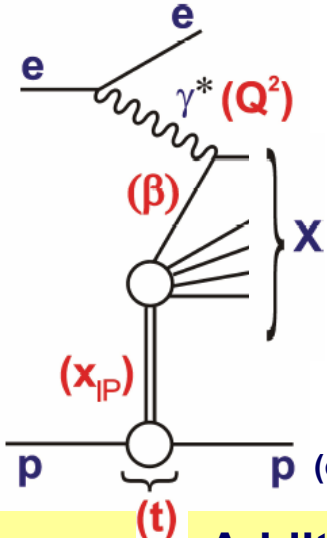
**Diffraction 2012**

**Puerto del Carmen, September 10-15, 2012**

# Deep inelastic ep scattering



# Diffractive DIS



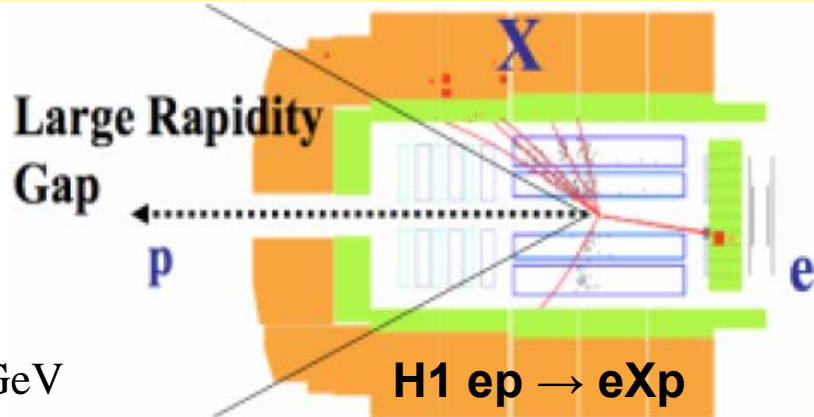
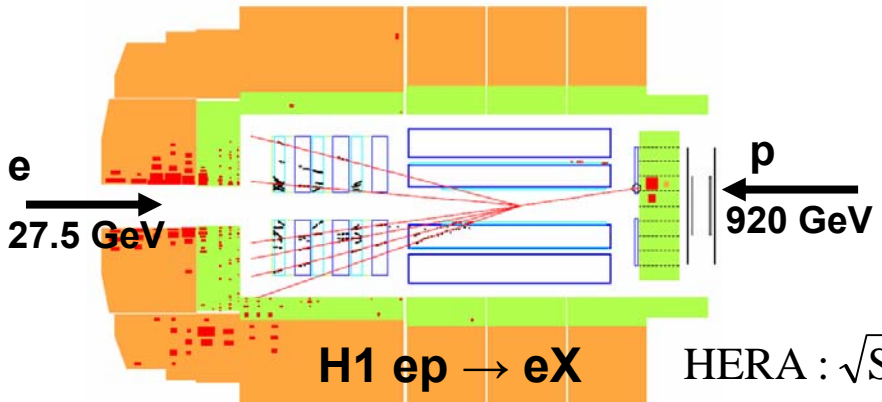
~10% of DIS events at HERA have no activity in the forward direction (Large Rapidity Gap)  
 → exchange of a colourless object, called Pomeron (IP)

## Standard DIS variables :

- $Q^2$**  virtuality of the exchanged boson
- $x$**  in QPM fraction of proton momentum carried by struck quark
- $y = Q^2 / xs$**  inelasticity

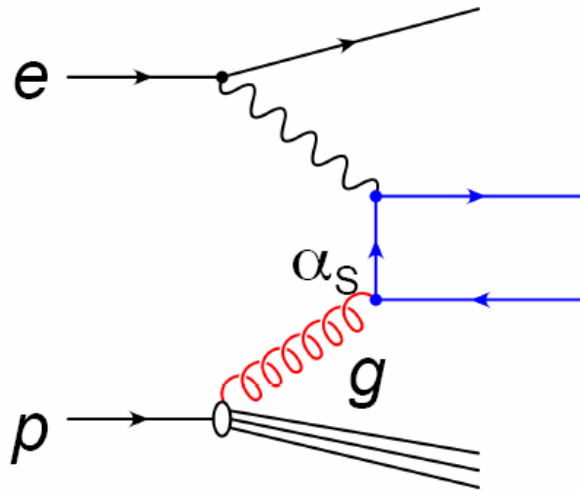
## Additional variables for DDIS :

- $x_{IP}$**  p-momentum fraction carried by IP
- $\beta$**  IP-momentum fraction carried by struck quark
- $t$**  4-momentum transfer at proton vertex



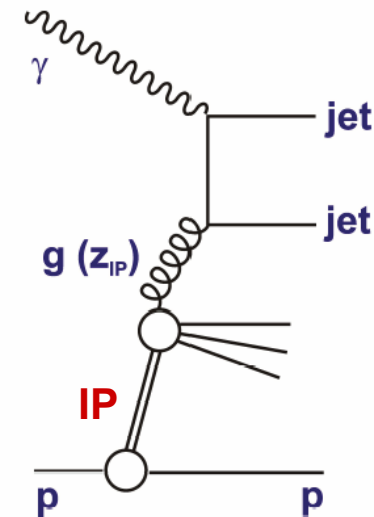
# Measurements of the hadronic final states

Measurements of the HFS in DIS and diffractive DIS are complementary to inclusive studies



- Information on the gluon density in the proton
- Determination of  $\alpha_s$
- Search for effects of parton dynamics beyond the standard DGLAP approach

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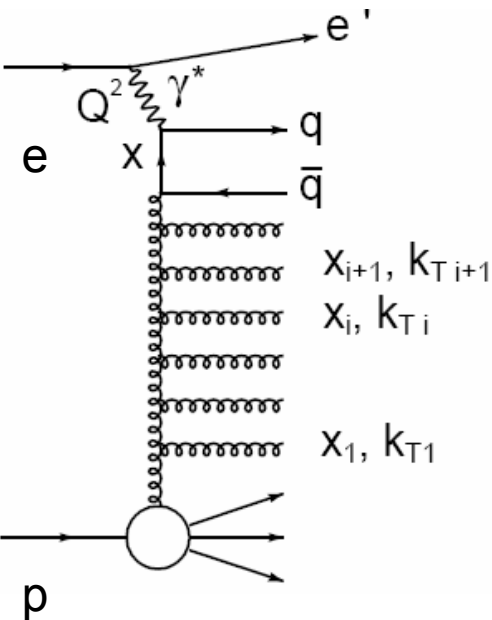
- Tests of QCD (inspired) models of diffraction
- Diffractive dijets - direct sensitivity to the gluon component of the Pomeron
- Search for physics beyond DGLAP parton evolution

...

# QCD dynamics at low Bjorken-x

**HERA : DIS at low Bjorken-x down to  $10^{-5}$   $\rightarrow$  energy in  $\gamma^*p$  cms is large ( $W_{\gamma^*p} \approx Q^2 / x$ )**

- long gluon cascades exchanged between the proton and the photon
- pQCD – multiparton emissions described only with approximations :

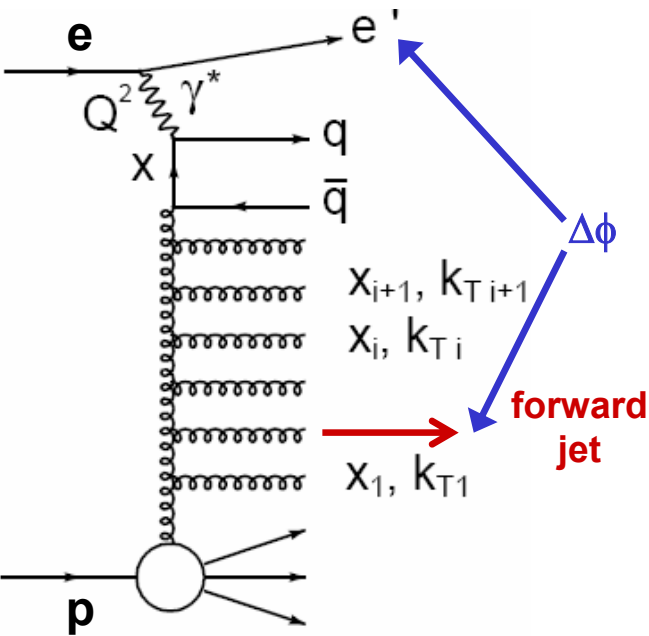


- **DGLAP** evolution: resums terms  $\sim (\alpha_s \ln Q^2)^n$   
Assumes strong ordering of parton  $k_T$
- **BFKL** evolution: resums terms  $\sim (\alpha_s \ln(1/x))^n$   
No ordering in  $k_T$ , strong ordering in  $x_i$   
Transition from DGLAP to BFKL scheme expected at low  $x$
- **CCFM** evolution: emitted partons are ordered in angles  
reproduces DGLAP at large  $x$  and BFKL at  $x \rightarrow 0$

**Search at HERA for effects of parton dynamics beyond the standard DGLAP approach**

- **Strong rise of the proton structure function  $F_2(x, Q^2)$  with decreasing  $x$**   
– well described by NLO DGLAP over a large range of  $Q^2$   
 $F_2$  measurement too inclusive to discriminate between different QCD evolution schemes
- **Look at hadronic final states** – reflecting kinematics, structure of gluon emissions

# Forward jets in DIS



## Mueller – Navelet jets in DIS (1990) :

**BFKL – more hard partons emitted close to the proton**  
**Study high transverse momentum and high energy jets produced close to the proton ( forward region in LAB )**

**Suppress standard DGLAP evolution in  $Q^2$  :**

$$p_{T, \text{fwdjet}}^2 \approx Q^2$$

**Enhance BFKL evolution in  $x$  :**

$$x_{\text{fwdjet}} = E_{\text{fwdjet}} / E_p \gg x_{\text{Bjorken}}$$

**Data**  
**selection**  
 ~14000  
 forward jet  
 events

**H1 experiment, HERA data (2000) with 38.2 pb<sup>-1</sup>**

$$0.1 < y < 0.75, < Q^2 < 85 \text{ GeV}^2, 0.0001 < x < 0.004$$

**Jets reconstructed in the Breit frame and boosted to LAB, all cuts in LAB**

$$p_{T, \text{fwdjet}} > 6 \text{ GeV}, 1.73 < \eta_{\text{fwdjet}} < 2.79$$

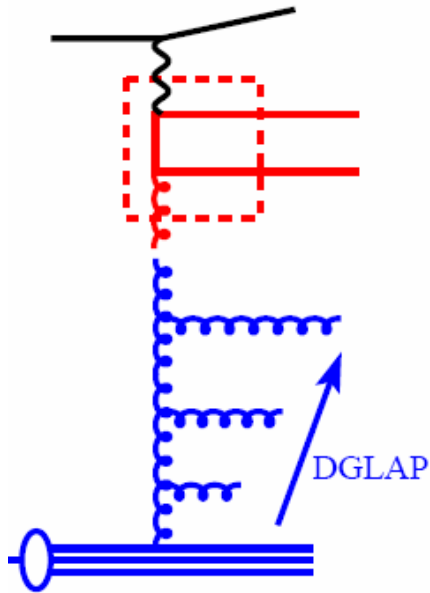
$$x_{\text{fwdjet}} = E_{\text{fwdjet}} / E_p > 0.035, 0.5 < p_{T, \text{fwdjet}}^2 / Q^2 < 6.0$$

**Measurement of the azimuthal angle difference  $\Delta\phi$  between the scattered positron and the forward jet as a function of the rapidity distance  $Y$  between them.**

# Low x phenomenology : Monte Carlo models with different QCD dynamics

## RAPGAP - DGLAP

LO QCD matrix elements  
+ HO modelled by leading  
log parton showers

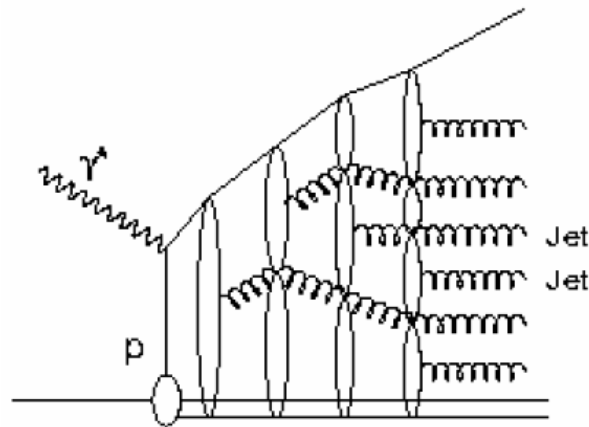


Single DGLAP ladder with  
strong ordering in  $k_T$

## ARIADNE Colour Dipole Model

CDM: QCD radiation from  
the colour dipole formed  
by the struck quark and  
the proton remnant.

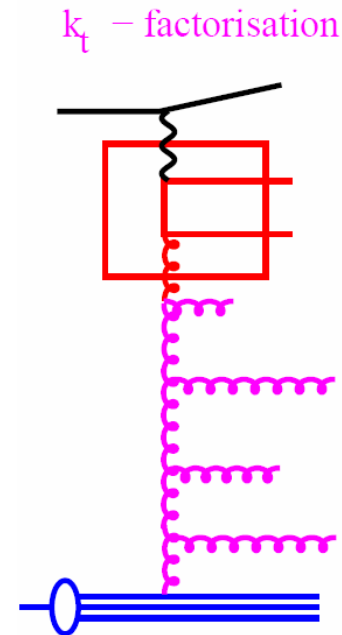
Chain of independently  
radiating dipoles formed  
by the emitted gluons.



BFKL- like Monte Carlo :  
random walk in  $k_T$

## CASCADE - CCFM

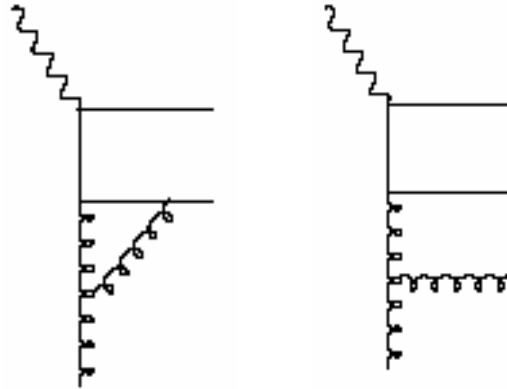
Off-shell QCD ME  
+ parton emissions based  
on the CCFM equation



Angular ordering of parton  
emissions

# Low x phenomenology : fixed order NLO DGLAP calculations

Forward jet cross sections – comparison with the predictions of pQCD at NLO ( $\alpha_s^2$ ) accuracy



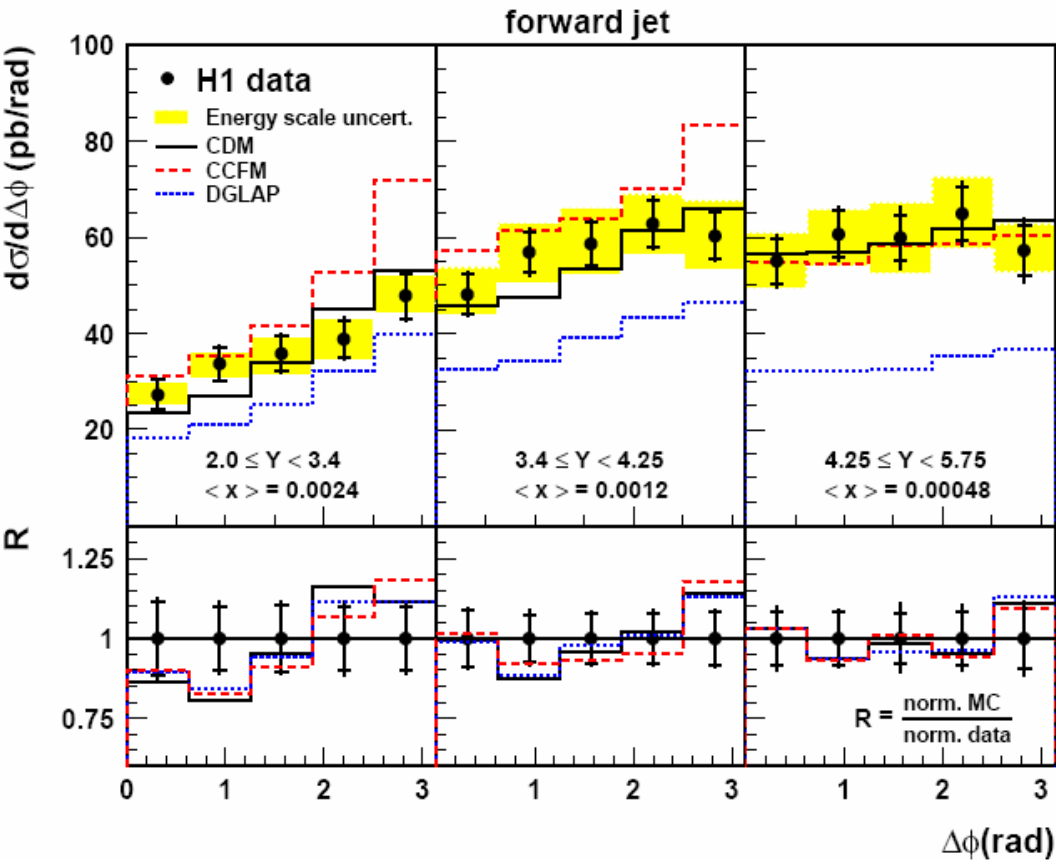
- Forward jet analysis – reconstruction of jets in the Breit frame → at least dijet topology

**NLOJET ++** program ( Nagy & Trocsanyi, 2001 ) :  
dijet production at parton level in DIS at **NLO** ( $\alpha_s^2$ )

- PDF : CTEQ6.6,  $\alpha_s(M_Z) = 0.118$
- parton level cross sections corrected for hadronisation effects using the RAPGAP model

# Forward jet azimuthal correlations

At higher  $Y$  corresponding to lower  $x$  the forward jet is more decorrelated from the scattered positron



Eur. Phys. J. C72 (2012) 1910

**Cross sections best described by BFKL-like model CDM**

- DGLAP predictions below the data
- CCFM (set A0) as good description as CDM at large  $Y$

**The shape of  $\Delta\phi$  distributions well described by all MC models**

$Y = \ln(x_{\text{fwdjet}} / x)$  rapidity distance between the most forward jet and the scattered positron

$$R = \left( \frac{1}{\sigma^{\text{MC}}} \frac{d\sigma^{\text{MC}}}{d\Delta\phi} \right) / \left( \frac{1}{\sigma^{\text{data}}} \frac{d\sigma^{\text{data}}}{d\Delta\phi} \right)$$

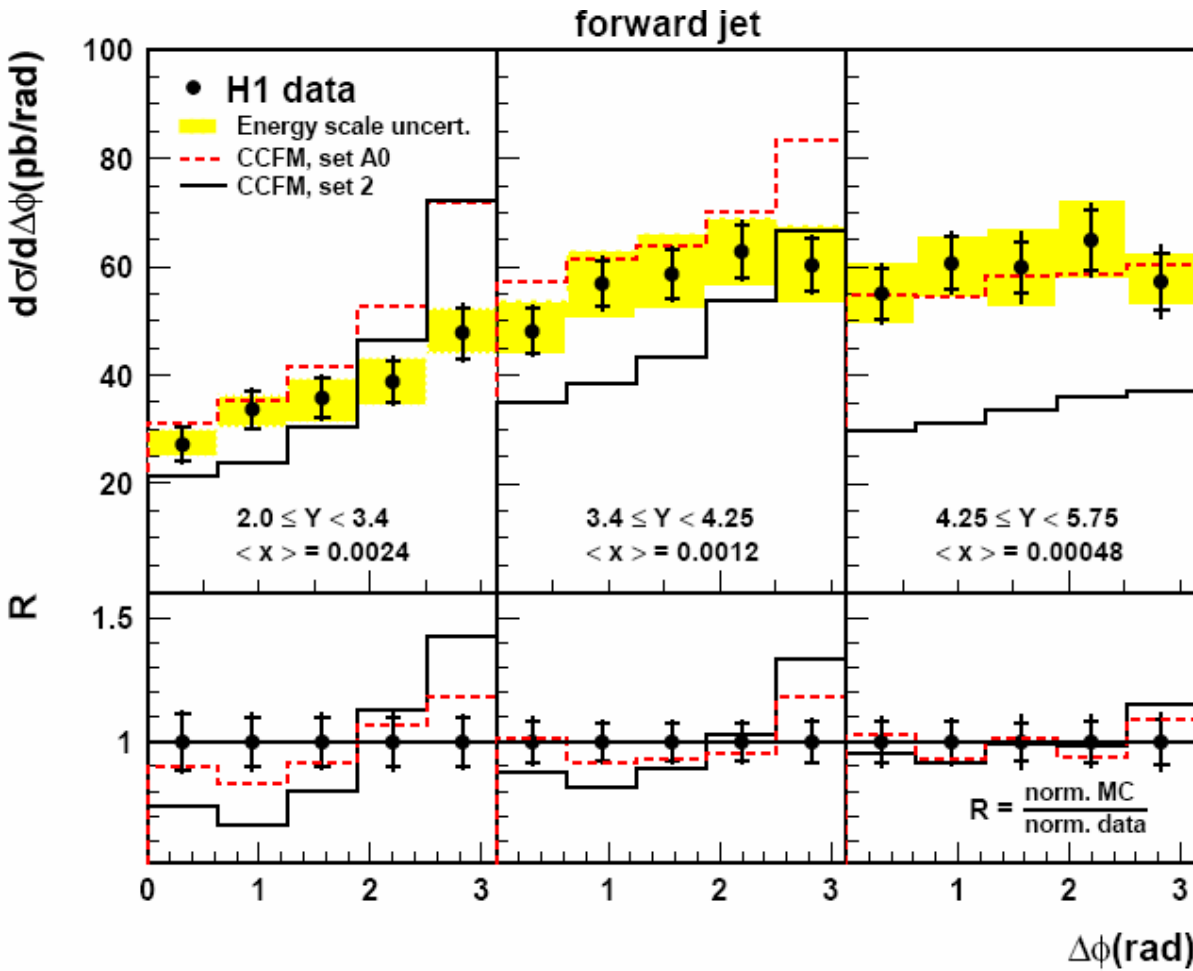


# Forward jet azimuthal correlations

Different splitting functions used in unintegrated gluon density function (uPDF):

set A0 – only singular terms of the gluon splitting function

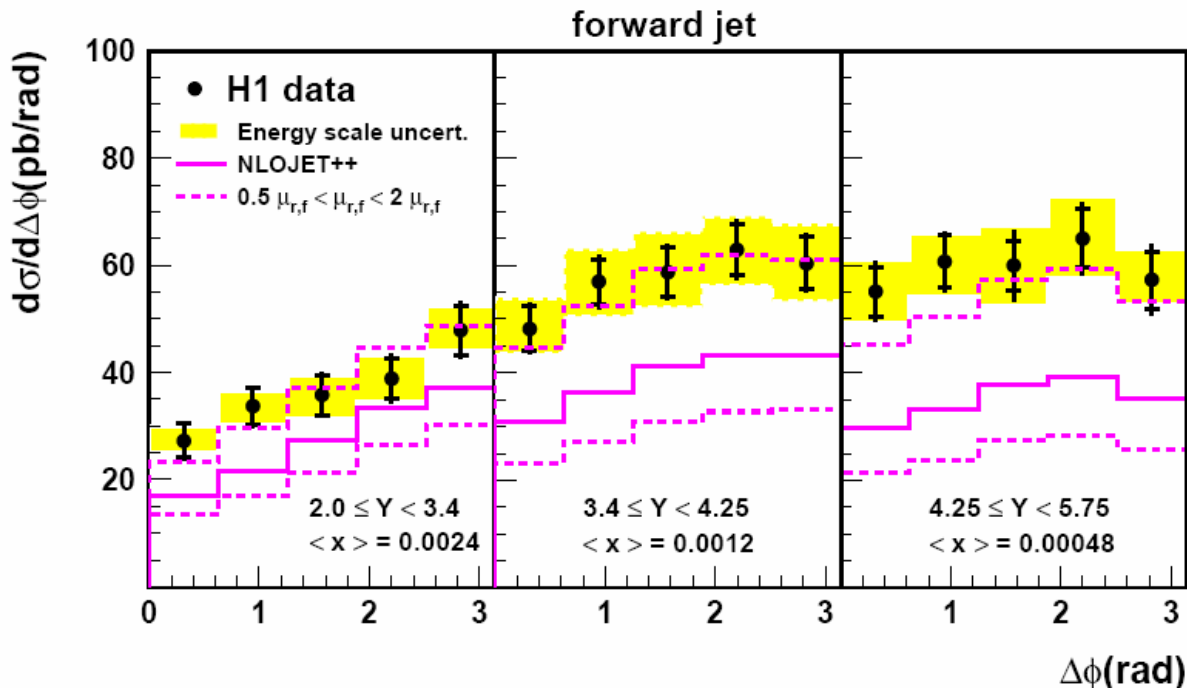
set 2 – includes also non-singular terms



- Cross sections strongly depend on uPDF
- Shape of  $\Delta\phi$  distributions
  - at low Y shows sensitivity to uPDF
  - well described by the set A0

Predictions of the CCFM model depend on the choice of uPDF

## Comparison to NLO ( $O(\alpha_s^2)$ ) predictions



### NLO predictions

- shape of  $\Delta\phi$  distributions described, but central value too low
- large scale uncertainty ( of up to 50% ) indicates importance of higher orders

### NLOJET++

PDF : CTEQ6.6,  $\alpha_s(M_Z)=0.118$

renormalisation and factorisation scales :

$$\mu_r^2 = \mu_f^2 = (p_{T, \text{fwdjet}}^2 + Q^2) / 2$$

theoretical uncertainty : factor 2 or  $\frac{1}{2}$  applied to  $\mu_r$  and  $\mu_f$  scales simultaneously

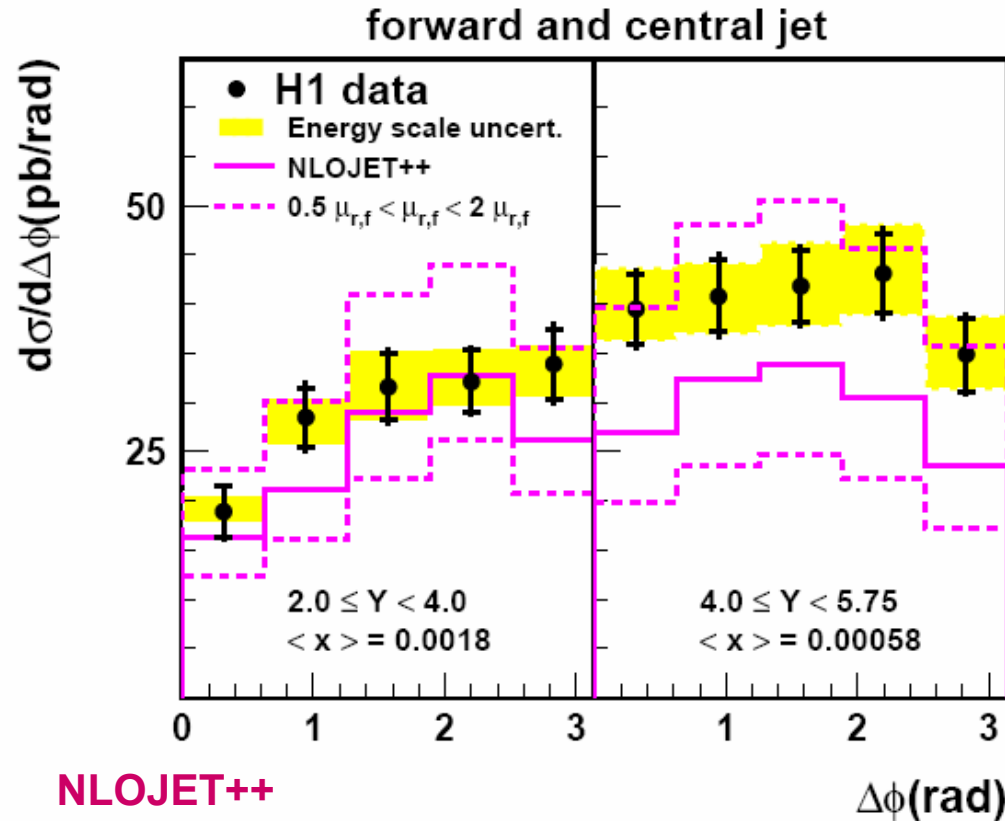
# Forward and central jet cross sections $d\sigma / d\Delta\phi$

- Subsample of events with forward jet + additional central jet

$$p_{T,\text{cenjet}} > 4 \text{ GeV}, \quad -1 < \eta_{\text{cenjet}} < 1$$

$$\Delta\eta = \eta_{\text{fwdjet}} - \eta_{\text{cenjet}} > 2 \text{ ( enhance radiation between the forward and central jet )}$$

- $\Delta\phi$  still between the forward jet and the scattered positron



## NLO ( $O(\alpha_s^2)$ ) predictions

- at low Y reasonable description of the data
- at high Y, central value too small but still within theory uncertainty
- large scale uncertainty ( of up to 40% ) indicates importance of higher order contributions

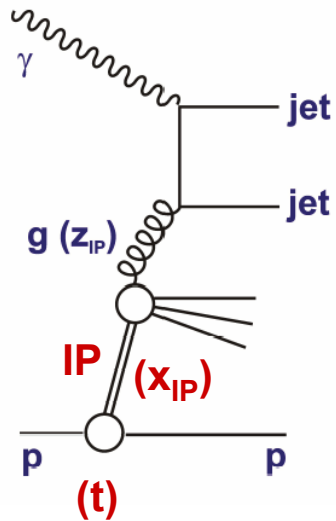
## NLOJET++

PDF : CTEQ6.6,  $\alpha_s(M_Z)=0.118$

$$\mu_r^2 = \mu_f^2 = (\langle p_T \rangle^2 + Q^2) / 2$$

$$\langle p_T \rangle = 0.5 (p_{T,\text{fwdjet}} + p_{T,\text{cenjet}})$$

# Dijets in diffractive DIS with a leading proton



$$e p \rightarrow e jj X' p$$

at least 2 jets + outgoing proton  
measured in  
**the H1 Forward Proton Spectrometer**  
( no background from proton dissociation )



## FPS @ H1

- 2 horizontal Roman Pot stations at 61m and 80 m
- Scintillating fibres with PMTs + trigger tiles

- Acceptance :

$$x_{IP} = 1 - E_{p'}/E_p \text{ up to } 0.1$$

$$0.1 \text{ GeV}^2 < |t| < 0.7 \text{ GeV}^2$$

**QCD hard scattering collinear factorisation** at fixed  $x_{IP}$  and  $t$  ( proved by Collins 1998 ) :

$$d\sigma^{ep \rightarrow eXp}(\beta, Q^2, x_{IP}, t) = \Sigma f_i^D(\beta, Q^2, x_{IP}, t) \otimes d\sigma^{ei}(\beta, Q^2)$$

$f_i^D$  – diffractive PDFs (DPDFs), DGLAP evolution in  $Q^2$

**Proton vertex factorisation:** separate  $(x_{IP}, t)$  from  $(\beta, Q^2)$  dependences

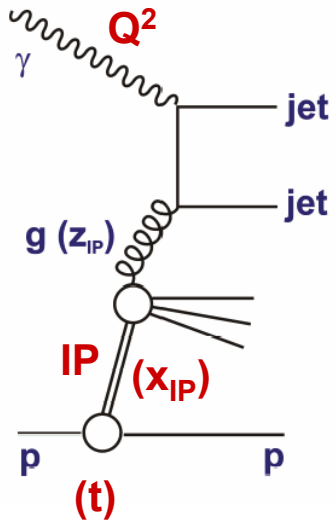
$$f_i^D(\beta, Q^2, x_{IP}, t) = f_{IP/p}(x_{IP}, t) \cdot f_i^{IP}(\beta, Q^2)$$

No QCD basis,  
consistent with experimental data

Pomeron flux  
(Regge form)

Pomeron  
structure function

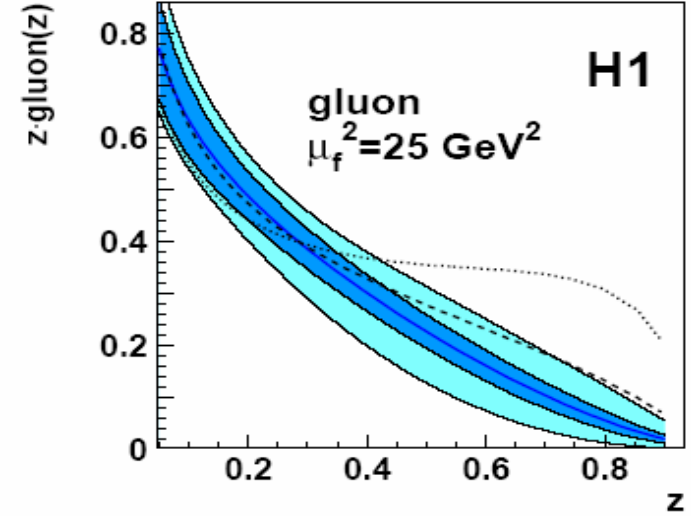
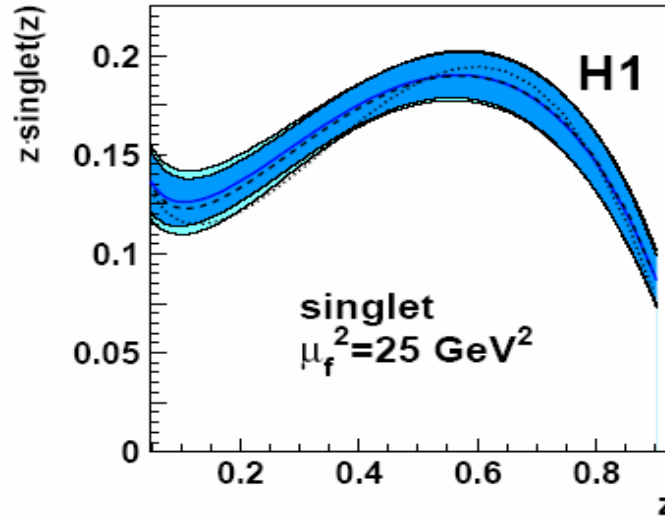
# Diffractive PDFs



- Diffractive PDFs extracted from
  - measurements of inclusive DDIS  $\rightarrow$  H1 2006 DPDF Fit B (diffractive gluon density weakly constrained at high  $z_{IP}$ )
  - combined fit to diffractive inclusive and dijet cross sections  $\rightarrow$  H1 2007 Jets DPDF (diffractive dijets constrain  $g(z_{IP})$  at high  $z_{IP}$ )
- The photon virtuality  $Q^2$  and the high transverse momentum of jets provide a hard scale for pQCD calculations (program NLOJET++ modified for diffraction)

$z_{IP}$  = momentum fraction parton / IP

- H1 2007 Jets DPDF
- exp. uncertainty
- exp. + theo. uncertainty
- ⋯ H1 2006 DPDF fit A
- ⋯ H1 2006 DPDF fit B



# Diffraction final states

**Resolved Pomeron model** ( Ingelman & Schlein )  
based on QCD and proton vertex factorisation.

( RAPGAP generator, IP + Reggeon trajectories, DPDF H1 2006 Fit B )

**2 Gluon Pomeron model** ( J. Bartels et al. )

Interaction of IP modeled as colourless pair of gluons with  $q\bar{q}$  or  $q\bar{q}g$  configurations emerging from the photon.

( RAPGAP, unintegrated PDF – set A0 )

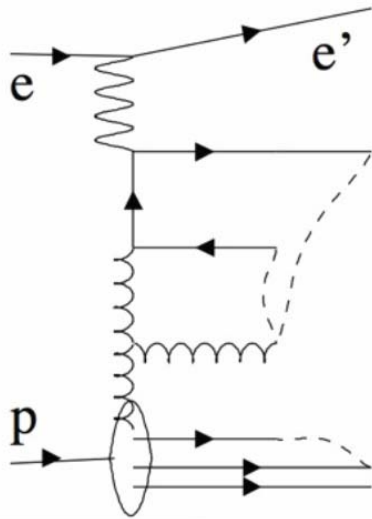
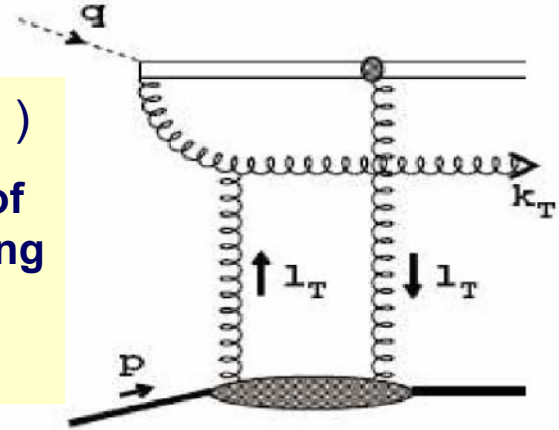
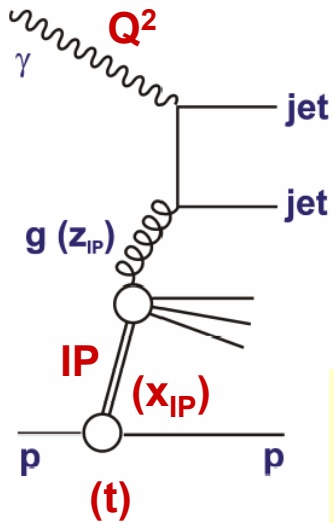
**Soft Colour Interaction ( SCI )**

( Edin, Ingelman & Rathsman )

Non-diffractive DIS with subsequent colour rearrangement between the partons in the final state.

Suppression of long strings ( SCI + GAL )

( LEPTO generator, PDF CTEQ6L )



# H1 FPS – 2 central jets in diffractive DIS

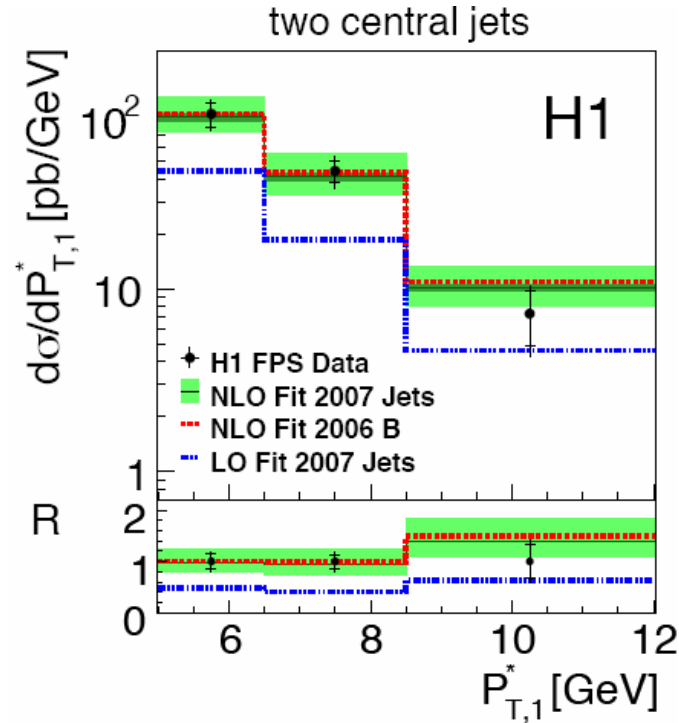
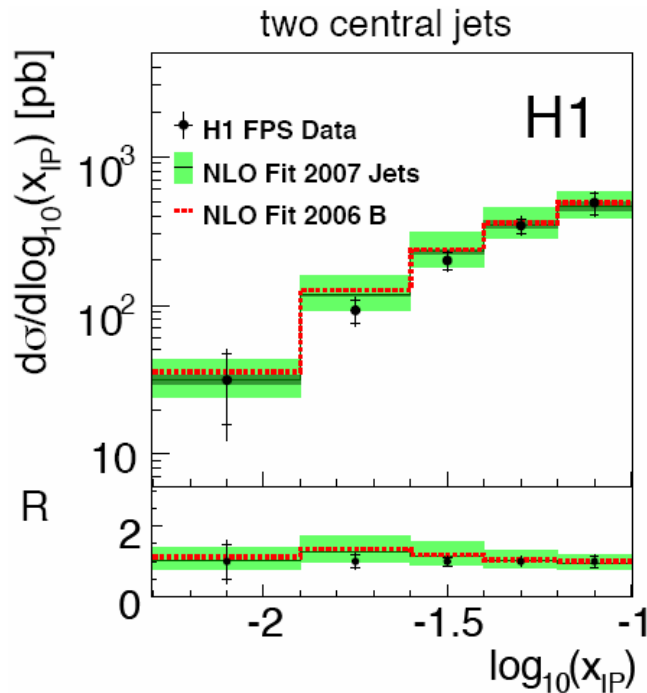
Eur. Phys. J. C72 (2012) 1970

HERA data (2005-2007) 156.6 pb<sup>-1</sup>

$4 < Q^2 < 110 \text{ GeV}^2$ ,  $0.05 < y < 0.7$ ,  $x_{\text{IP}} < 0.1$ ,  $|t| < 1 \text{ GeV}^2$

$p_{T,1}^* > 5 \text{ GeV}$ ,  $p_{T,2}^* > 4 \text{ GeV}$ ,  $-1 < \eta_{1,2} < 2.5$

transverse momenta of jets in hcms



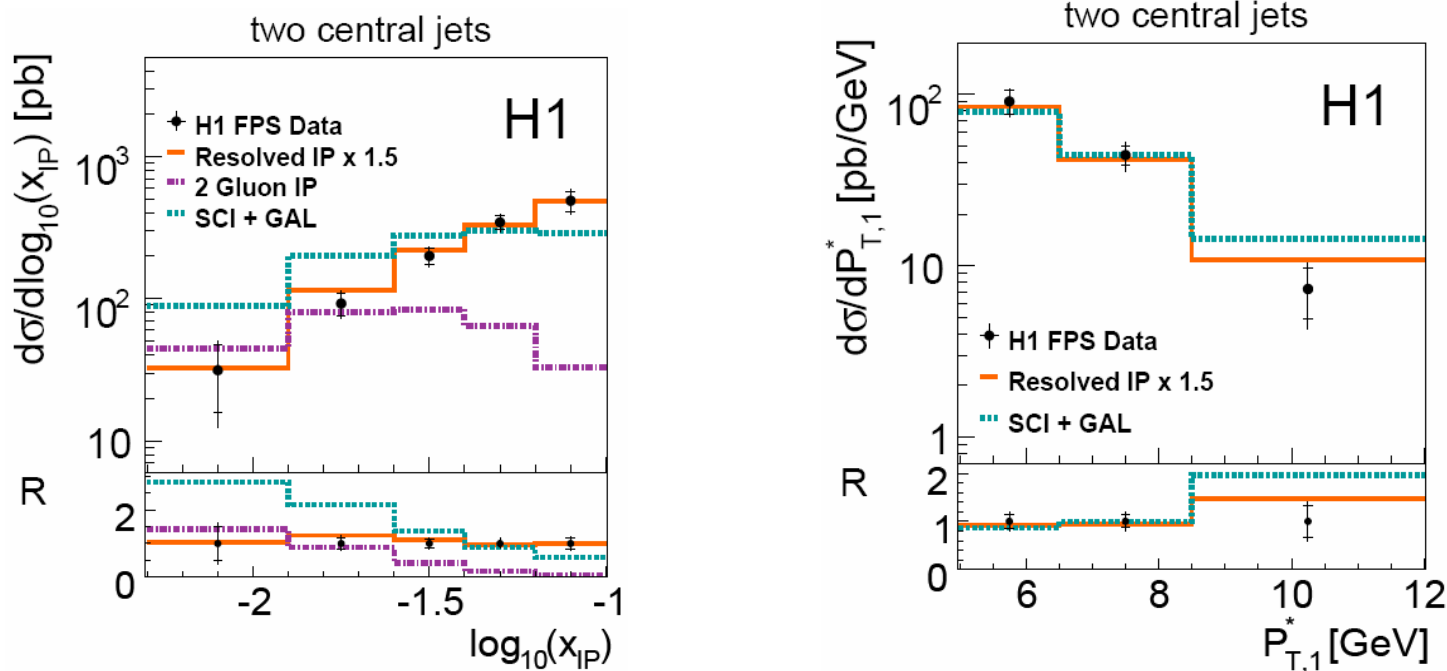
$$R = \frac{\text{prediction}}{\text{data}}$$

**NLO QCD predictions based on the DGLAP approach with DPDF sets**

**H1 2006 Fit B and H1 2007 Jets describe the dijet cross sections within errors.**

# Diffractive dijets – comparison with MC models

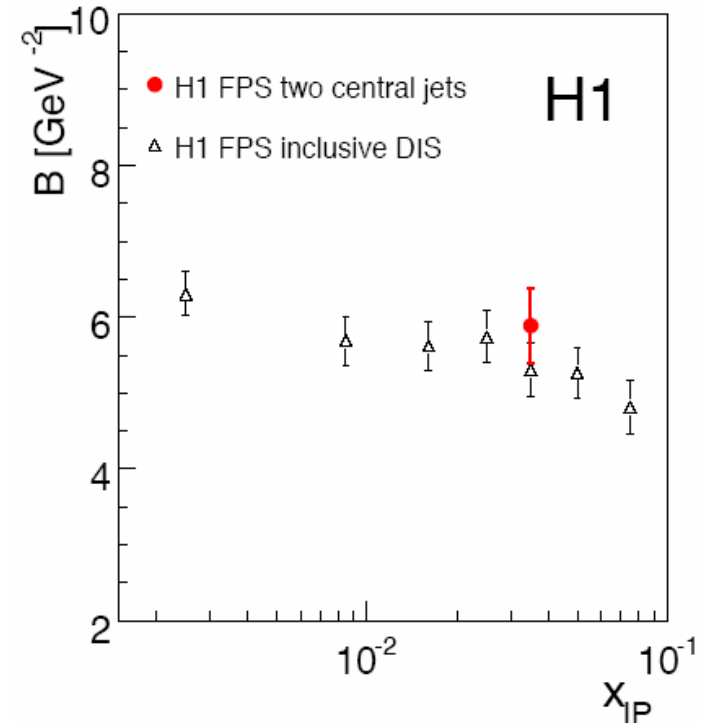
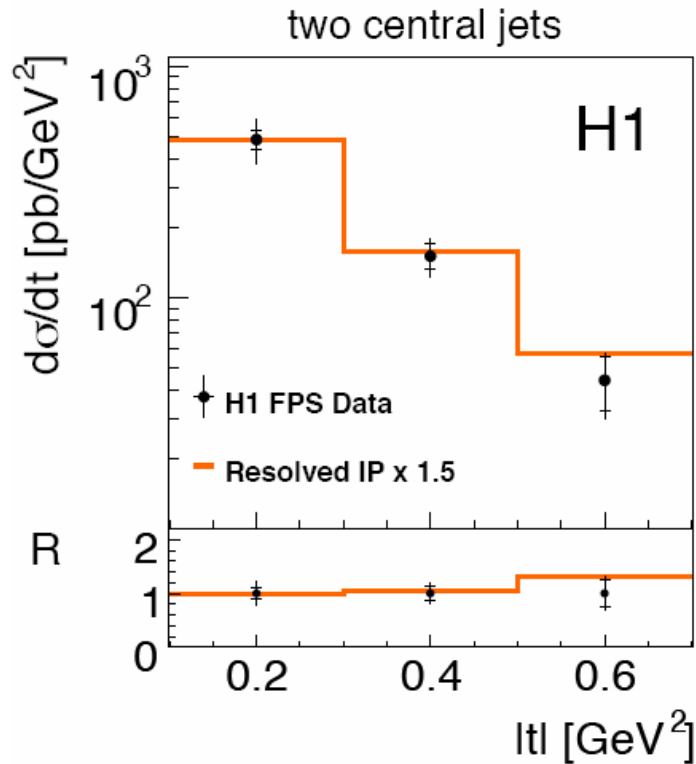
MC models based on leading order matrix elements and parton showers



- Resolved IP model describes the shapes but underestimate the dijet cross section ( factor 1.5 ).
- SCI + GAL and 2 Gluon IP models fail to describe the shape of the distributions of the diffractive variables.
- SCI + GAL describes reasonably well the cross section as a function of the jet variables.



# Proton vertex factorisation



Regge motivated fit  $\exp(Bt)$

→  $B = 5.89 \pm 0.50 \text{ GeV}^{-2}$

$t$  slope consistent with the value measured in inclusive diffractive DIS with a leading proton in the final state

**Confirmation of the proton vertex factorisation hypothesis for diffractive dijet production**

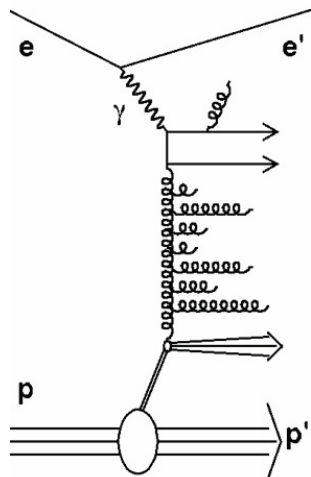
# Diffractive forward jets

search for physics  
beyond DGLAP

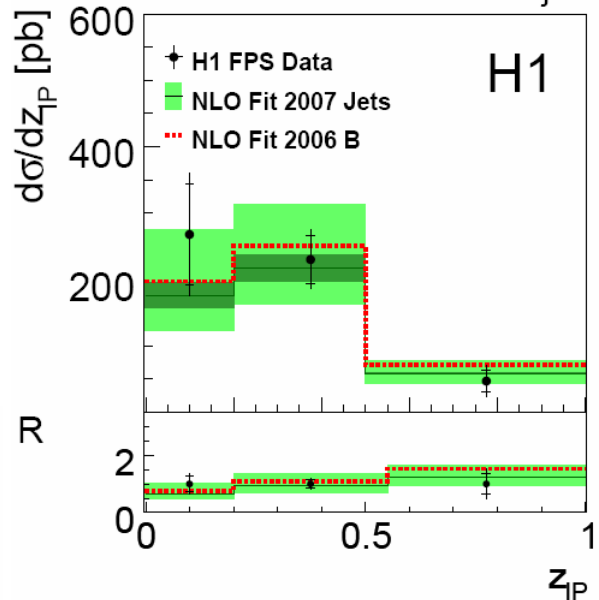
one central + one forward jet

$4 < Q^2 < 110 \text{ GeV}^2$ ,  $0.05 < y < 0.7$ ,  $x_{\text{IP}} < 0.1$ ,  $|t| < 1 \text{ GeV}^2$

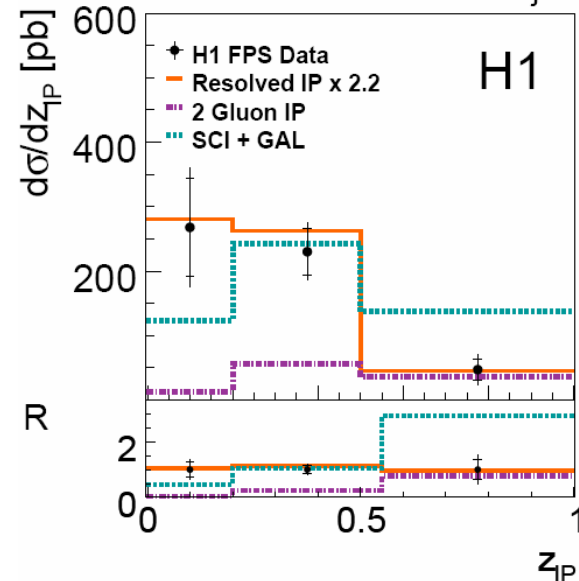
$p_{T,c}^*, p_{T,f}^* > 3.5 \text{ GeV}$ ,  $M_{jj} > 12 \text{ GeV}$ ,  $-1 < \eta_c < 2.5$ ,  $1 < \eta_f < 2.8$ ,  $\eta_f > \eta_c$



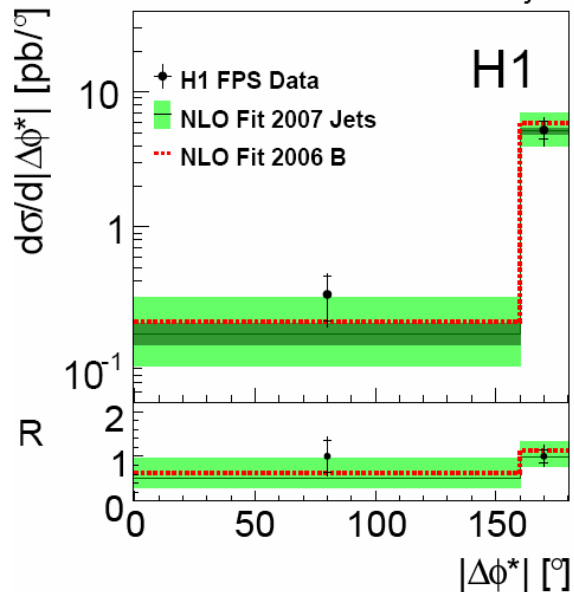
one central + one forward jet



one central + one forward jet



one central + one forward jet



**No sign for deviations from DGLAP.**

**The shapes of measured distributions  
well described only by the Resolved IP model.  
( too low in normalisation )**

## Azimuthal correlation of forward jets in DIS

- Cross sections as a function of  $\Delta\phi$  and rapidity separation between the forward jet and the scattered positron are best described by the BFKL – like model CDM
- The shape of  $\Delta\phi$  distributions is well described by LO MC models with different QCD evolution schemes
- NLO DGLAP predictions are in general below the data, but still in agreement with the large theoretical uncertainties

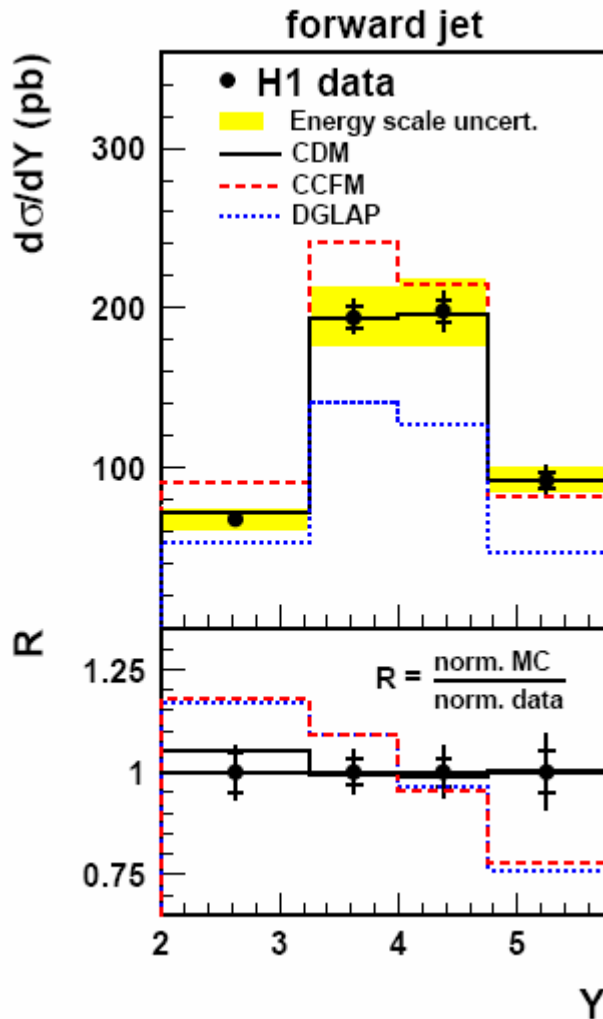
## Diffraction dijet measurement with a leading proton in the H1 FPS

- NLO DGLAP predictions using DPDFs describe the data within errors
- No deviation from DGLAP in the accessible phase space
- Confirmation of the proton vertex factorisation hypothesis
- LO MC models do not describe the data satisfactorily

The data are in general more precise than NLO QCD predictions → challenge for QCD

**backup**

# Forward jet cross section $d\sigma / dY$



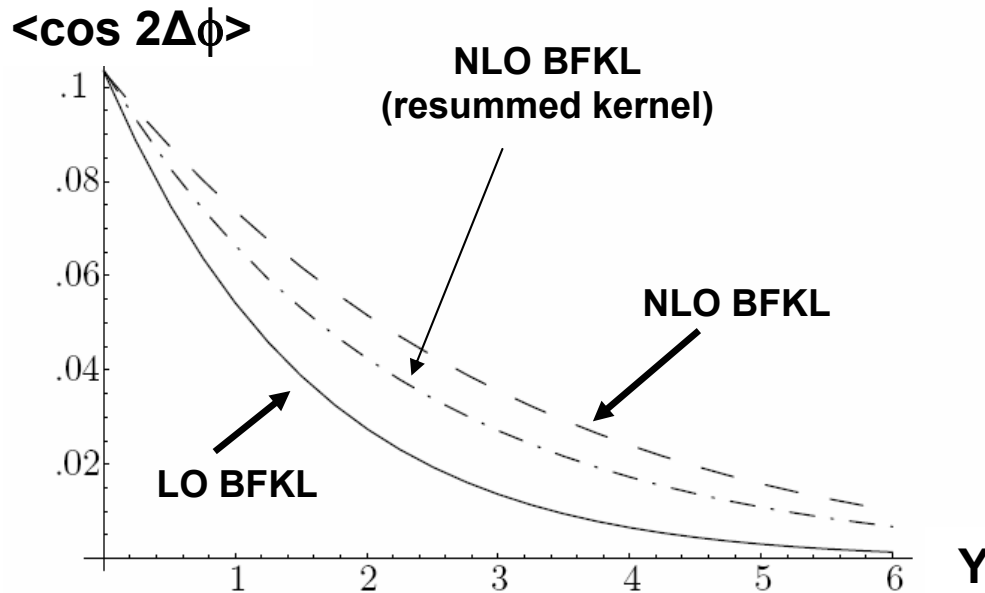
- **BFKL-like model CDM describes the data best**
- **DGLAP too low, especially at large Y**
- **CCFM predictions too high at low x, but describe the data at large Y**

$Y = \ln(x_{\text{jet}} / x)$  rapidity separation between the most forward jet and the scattered positron

# Forward jet production at NLO BFKL

S. Vera and F. Schwennsen, Phys. Rev. D77 (2008) 014001

**BFKL kernel at NLO accuracy**, jet vertex & photon impact factor using LO approximation



**Results**  
for forward jets with ZEUS cuts

$$20 < Q^2 < 100 \text{ GeV}^2$$

$$0.05 < y < 0.7$$

$$4 \cdot 10^{-4} < x_{Bj} < 5 \cdot 10^{-3}$$

$$0.5 < p_t^2 / Q^2 < 2.0$$

$$\Delta\phi = \phi_{el} - \phi_{fwdjet}$$

$Y = \ln(x_{jet} / x_{BJ})$  – evolution length  
in BFKL formalism

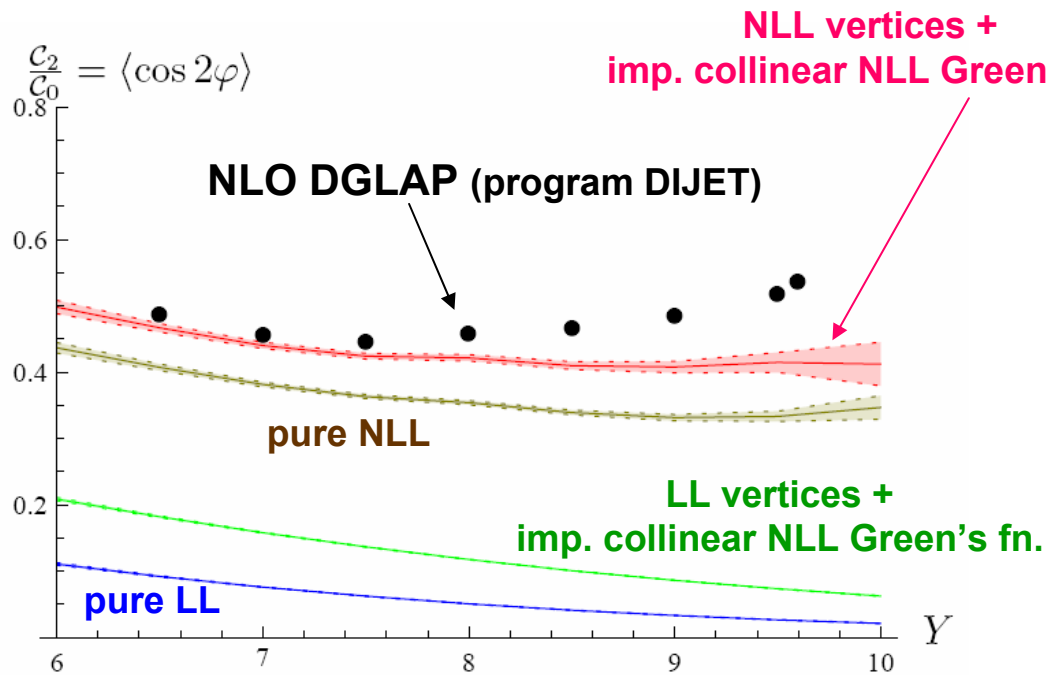
- The forward jet is more decorrelated from the scattered lepton for larger rapidity difference  $Y$  (center of mass energy)
- The azimuthal angle correlations increase when HO corrections are included for a fixed value of  $Y$

Colferai, Schwennsen, Szymanowski & Wallon,  
**JHEP 12(2010)026**

next-to-leading corrections to the Green's function and to the Mueller-Navelet vertices

LHC  $\sqrt{S} = 14$  TeV,  $p_{T,jet1} = 35$  GeV,  $p_{T,jet2} = 50$  GeV

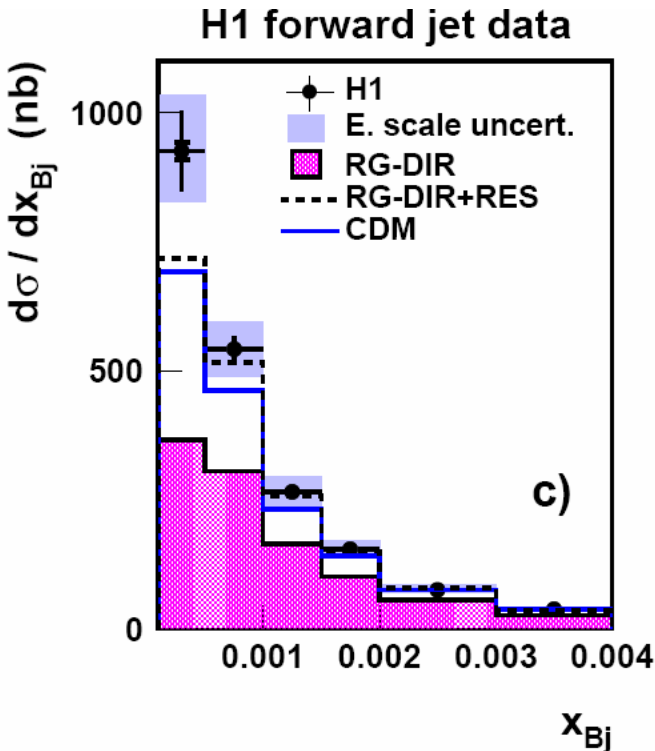
Azimuthal correlation  $\langle \cos 2\phi \rangle = \langle \cos(2 \cdot (\phi_{jet1} - \phi_{jet2} - \pi)) \rangle$



- importance of NLL vertex corrections
- no significant difference between NLL BFKL and NLO DGLAP

H1 measurements  $\rightarrow$   
 the electron-forward jet decorrelation in  
 DIS does not discriminate between  
 different evolution schemes

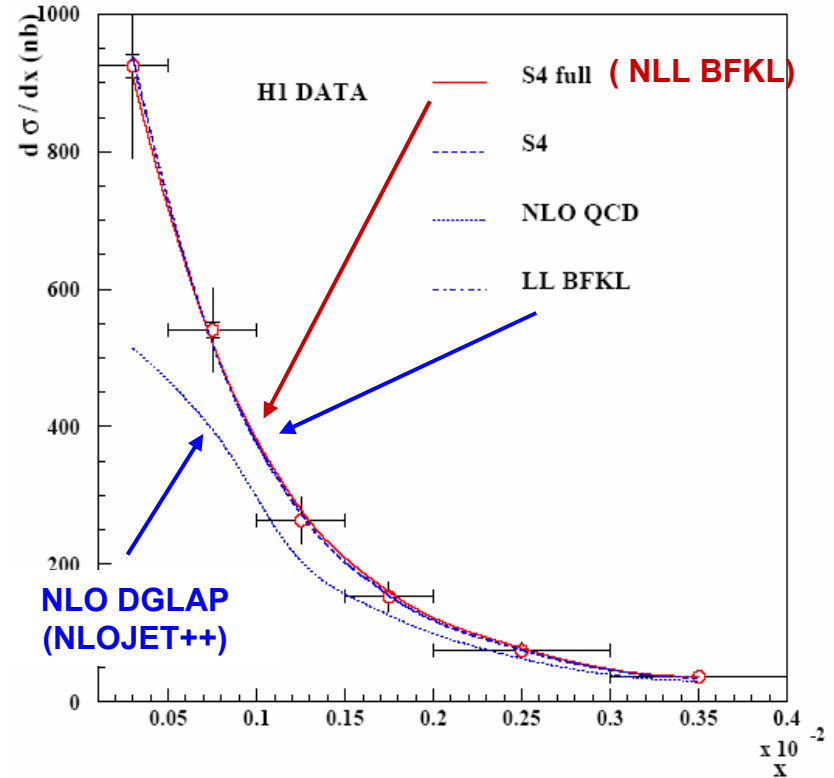
H1 data : Eur. Phys. J. C46 (2006)27



LO DGLAP (RG-DIR) below the data

**CDM model and DGLAP resolved photon model (RG-DIR+RES) closest to the data, however the data are still below predictions at low  $x$**

**BFKL calculations**  
 Kepka, Royon, Marquet & Peschanski  
 Phys. Lett. B665 (2007) 236



NLO DGLAP below the data at low  $x$

**Difference between LL-BFKL and NLL-BFKL ( NLL BFKL kernel + free normalisation parameter ) is very small**