

Momentum space dipole amplitude for DIS and inclusive hadron production

M. B. Gay Ducati

beatrix.gay@ufrgs.br

High Energy Phenomenology Group

Instituto de Física

Universidade Federal do Rio Grande do Sul

Porto Alegre, Brazil

<http://www.if.ufrgs.br/gfpae>

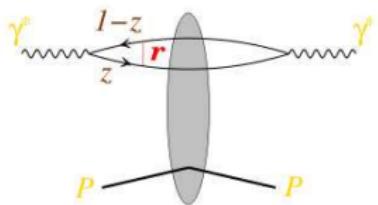


Outline

- ▶ Motivation
 - ⇒ Saturation and geometric scaling
 - ⇒ Dipole formalism
- ▶ Modeling scattering amplitudes
 - ⇒ Traveling wave method
 - ⇒ Momentum space AGBS model
- ▶ Simultaneous fit of AGBS to HERA and RHIC data: hybrid formalism
- ▶ LHC predictions: k_t factorization
- ▶ Summary

Saturation and Dipole Frame

- ▶ e-P scattering at HERA: strong rise of the gluon dist. function for small- x
 - Untamed rising should violate unitarity
 - For $Q^2 \leq Q_s^2(x)$, parton recombination should happen
 - Semihard scale from pQCD $Q_s(x)$: Saturation scale
- ▶ **Dipole frame** is convenient to investigations on small- x



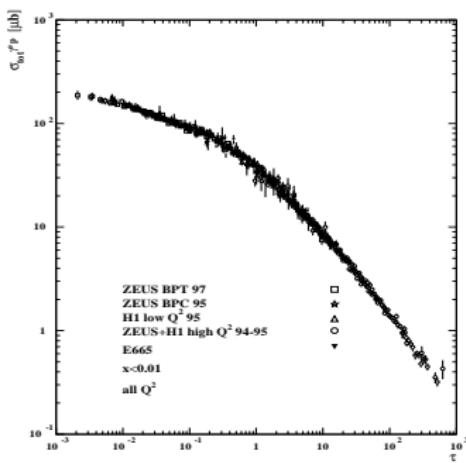
z: longitudinal photon momentum fraction carried by the quark
 r: transverse size of the pair $q\bar{q}$

- γ^* splits into the $q\bar{q}$ pair and the cross section factorizes as
 [Nikolaev & Zakharov '90; Mueller '94]

$$\sigma_{L,T}^{\gamma^* P}(x, Q^2) = \int_0^1 dz \int d^2 \mathbf{r} |\Psi_{L,T}(z, \mathbf{r}; Q^2)|^2 \sigma_{dip}(\mathbf{r} = |\mathbf{r}|, x) \quad (1)$$

σ_{dip} and geometric scaling

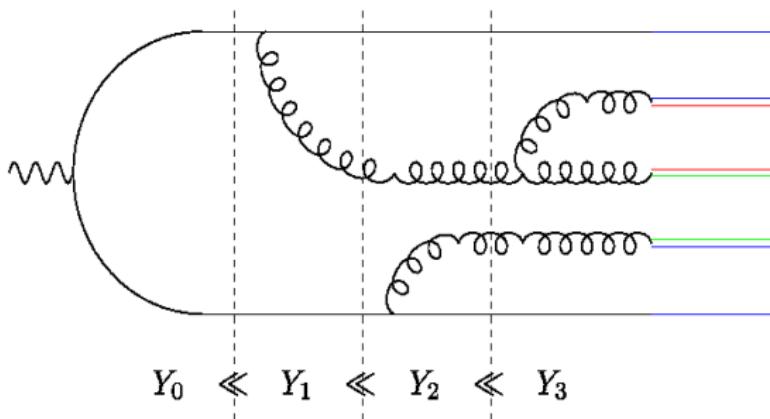
- ▶ σ_{dip} includes the target non-perturbative terms (proton) and satisfies the pQCD properties
 - Color transparency: $\sigma_{dip}(r, x) \propto r^2$
 - Black disc limit: $r \leq 1/Q_s(x)$, $\sigma_{dip}(r, x) \sim \pi R_h^2$
- ▶ σ_{dip} models describe the geometric scaling observed in the DIS at HERA
[\[Stasto, Golec-Biernat and Kwiecinski '2001\]](#)



- $\tau = Q^2/Q_s^2(x)$
- $\sigma_{dip}(x, Q^2) \Rightarrow \sigma_{dip}(\tau)$
- Scaling behavior is quite model independent
- Stands as a strong evidence of the saturation phenomena
- Also holds outside the saturation region (geometric scaling window)

Dipole formalism

- ▶ Considers the energy evolution ($Y = \ln(1/x)$) of the pair $q\bar{q}$



- ▶ Large N_c limit: gluon $\equiv q\bar{q}$
- ▶ $\sigma_{dip}(r, Y) = \int d^2\mathbf{b} \mathcal{N}(r, \mathbf{b}, Y) \approx \pi R_{target}^2 \mathcal{N}(r, Y)$
- ▶ $\mathcal{N}(r, Y)$ describes the interaction through gluon cascade (\equiv non abelian phases \rightarrow Wilson lines)

Dipole evolution

► Balitsky-JIMWLK hierarchy $\mathcal{M}(x, y, z) = \frac{(x-y)^2}{(x-z)^2(y-z)^2} \quad \bar{\alpha} = \alpha_s N_c / \pi$

$$\frac{\partial}{\partial Y} \langle \mathcal{N}(x, y) \rangle_Y = \frac{\bar{\alpha}}{2\pi} \int d^2 z \mathcal{M}(x, y, z)$$

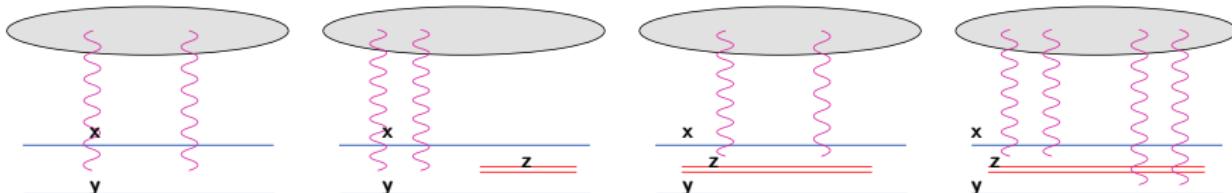
$$\times \left\{ -\langle \mathcal{N}(x, y) \rangle_Y + \langle \mathcal{N}(x, z) \rangle_Y + \langle \mathcal{N}(z, y) \rangle_Y - \langle \mathcal{N}(x, z) \mathcal{N}(z, y) \rangle_Y \right\}$$

⋮

► Mean field: $\langle \mathcal{N}(x, z) \mathcal{N}(y, z) \rangle_Y \approx \langle \mathcal{N}(x, z) \rangle_Y \langle \mathcal{N}(y, z) \rangle_Y \Rightarrow$
Balitsky-Kovchegov (BK) equation

$$\frac{\partial}{\partial Y} \langle \mathcal{N}(x, y) \rangle_Y = \frac{\bar{\alpha}}{2\pi} \int d^2 z \mathcal{M}(x, y, z)$$

$$\times \left\{ -\langle \mathcal{N}(x, y) \rangle_Y + \langle \mathcal{N}(x, z) \rangle_Y + \langle \mathcal{N}(z, y) \rangle_Y - \langle \mathcal{N}(x, z) \rangle_Y \langle \mathcal{N}(z, y) \rangle_Y \right\}$$



HEQCD amplitudes and traveling waves

- ▶ There is no analytical solution to BK equation
Asymptotic forms obtained through the mapping:
QCD \Rightarrow Reaction-diffusion processes
- ▶ Geometric scaling of the BK amplitudes seen as traveling waves
- ▶ In momentum space BK equation reads

$$\partial_Y \textcolor{orange}{N}_Y = \bar{\alpha} \chi(-\partial_L) \textcolor{orange}{N}_Y - \bar{\alpha} \textcolor{orange}{N}_Y^2,$$

where

$$\chi(\gamma) = 2\psi(1) - \psi(\gamma) - \psi(1 - \gamma)$$

is the **BFKL** kernel and $L = \log(\textcolor{red}{k}^2/k_0^2)$, with k_0 denoting a soft scale.

- ▶ change of variables: $t \sim \bar{\alpha} Y$, $x \sim L$ e $u \sim N$, **BK \rightarrow FKPP** [Munier e Peschanski '2004]

$$\partial_t u(x, t) = \partial_x^2 u(x, t) + u(x, t) - u^2(x, t)$$

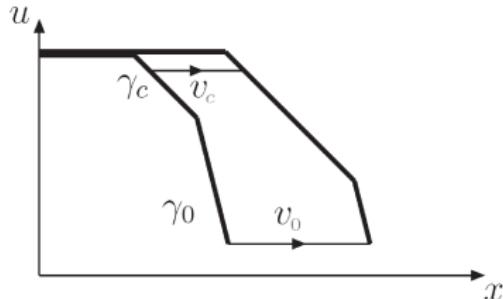
- ▶ Dynamics essentially determined by the linear part (BFKL)
- ▶ **FKPP** admits *traveling wave solutions*: $u(x, t) \xrightarrow{t \rightarrow \infty} u(x - v_c t)$
("geometric scaling")

HEQCD amplitudes and traveling waves

- TW connects $u = 0$ unstable and $u = 1$ stable fixed points.

- $\gamma_0 > \gamma_c$
- $u(x, t) = \int_{c-i\infty}^{c+i\infty} \frac{d\gamma}{2i\pi} u_0(\gamma) e^{-\gamma(x_{wf}+vt)+\omega(\gamma)t}$

$$v_c = \frac{\omega(\gamma_c)}{\gamma_c} = \partial_\gamma \omega(\gamma)|_{\gamma_c}$$



- In QCD variables : $\omega(\gamma_c) = \chi(\gamma_c) \Rightarrow \gamma_c = 0.6275$
- TW translates into geometric scaling of BK amplitudes [Munier e Peschanski '2004]

$$N_Y(k) \stackrel{k \gg Q_s}{\approx} \left(\frac{k^2}{Q_s^2(Y)} \right)^{-\gamma_c} \log \left(\frac{k^2}{Q_s^2(Y)} \right) \exp \left[-\frac{\log^2(k^2/Q_s^2(Y))}{2\bar{\alpha}\chi''(\gamma_c)Y} \right],$$

$$Q_s^2(Y) = Q_0^2 \exp \left(\lambda Y - \frac{3}{2\gamma_c} \log Y \right), \quad \lambda = \bar{\alpha} v_c = \bar{\alpha} \chi(\gamma_c)/\gamma_c$$

- Geometric scaling window

$$\log(k^2/Q_s^2(Y)) \lesssim \sqrt{2\bar{\alpha}\chi''(\gamma_c)Y}$$

AGBS model for σ_{dip}

- ▶ Parametrization in momentum space for the dipole-proton scattering amplitude [Amaral, MBGD, Betemps and Soyez '2007]
 - The model uses the traveling wave BK solutions for the large L (dilute) region
 - A Fourier transform of a Theta function models the saturated region

$$N(k) \stackrel{k \ll Q_s}{=} c - \log \left(\frac{k}{Q_s(Y)} \right)$$

- The AGBS model interpolates between the two behaviors through ($\rho \equiv \ln(k^2/k_0^2)$ and $\rho_s \equiv \ln(k_0^2/Q_s^2)$):

$$N^{\text{AGBS}}(\rho, Y) = L_F \left(1 - e^{-N_{\text{dil}}} \right),$$

where

$$N_{\text{dil}} = \exp \left[-\gamma_c (\rho - \rho_s) - \frac{\mathcal{L}^2 - \log^2(2)}{2\bar{\alpha}\chi''(\gamma_c)Y} \right],$$

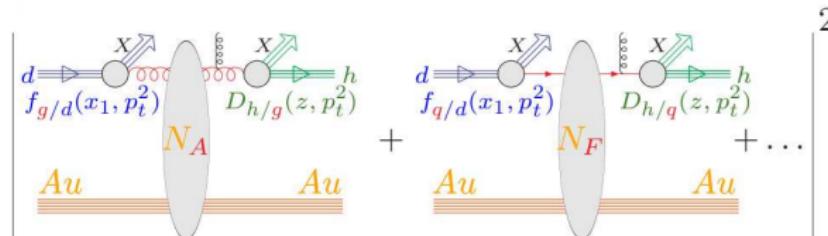
$$\mathcal{L} = \ln \left[1 + e^{(\rho - \rho_s)} \right] \quad \text{with} \quad Q_s^2(Y) = k_0^2 e^{\lambda Y},$$

and

$$L_F = 1 + \ln \left[e^{\frac{1}{2}(\rho - \rho_s)} + e^{-\frac{1}{2}(\rho - \rho_s)} \right]$$

Hadron Production from the CGC

- ▶ DGLAP evolved projectile and target as a CGC (“Hybrid formalism”)
- ▶ d-Au scattering at LO accuracy ($d + Au \rightarrow h + X$)



- Amplitude: sums diagrams of **parton-nucleus (CGC)** interaction
- $|\text{Amplitude}|^2$: only 2-point functions (**dipoles**) $N_{A,F}$ enter the cross section [Dumitru, Hayashigaki and Jalilian-Marian '2006]

$$\frac{dN_h(d \text{ } Au \rightarrow h(p_t, y_h) X)}{dy_h d^2 p_t} = \frac{K(y_h)}{(2\pi)^2} \int_{x_F}^1 dx_1 \frac{x_1}{x_F} \left[f_{q/p}(x_1, p_t^2) N_F(q_t, x_2) D_{h/q}(x_F/x_1, p_t^2) \right. \\ \left. + f_{g/p}(x_1, p_t^2) N_A(q_t, x_2) D_{h/g}(x_F/x_1, p_t^2) \right]$$

Simultaneous fit to HERA and RHIC

[Basso, MBGD and de Oliveira '2011]

- ▶ The AGBS model was simultaneously fitted to the last HERA (combined H1 and ZEUS) and RHIC minimum-bias (BRAHMS and STAR) data.
 - DIS was investigated through the proton structure function in momentum space

$$F_2(x, Q^2) = \frac{Q^2 R_p^2 N_c}{4\pi^2} \int_0^\infty \frac{dk}{k} \int_0^1 dz |\tilde{\Psi}_{L,T}(z, k; Q^2)|^2 N(k, Y) \quad (2)$$

where the photon wave function is now expressed in momentum space

- Hadron collisions were described by AGBS through the inclusive hadron yield

$$\frac{dN_h(d Au \rightarrow h(p_t, y_h) X)}{dy_h d^2 p_t} = \frac{K(y_h)}{(2\pi)^2} \int_{x_F}^1 dx_1 \frac{x_1}{x_F} \left[f_{q/p}(x_1, p_t^2) N_F(q_t, x_2) D_{h/q}(x_F/x_1, p_t^2) + f_{g/p}(x_1, p_t^2) N_A(q_t, x_2) D_{h/g}(x_F/x_1, p_t^2) \right] \quad (3)$$

Results: fit to HERA

- Before proceed with the simultaneous fit, the AGBS model was fitted to the last H1 and ZEUS combined data [[JHEP 0110 109 \(2010\)](#)]

- Fixed parameters: $\gamma_c = 0.6275$ from the LO BFKL and $\bar{\alpha} = 0.2$
- Free parameters: k_0^2 , $\chi''(\gamma_c)$, λ and R_p
- Kinematic range:

$$\begin{cases} x \leq 0.01, \text{ small-}x \\ 0.1 \leq Q^2 \leq 150 \text{ GeV}^2 \end{cases}$$

- Only light quarks were considered, with mass $m_{u,d,s} = 140$ MeV

$\chi^2/\text{d.o.f}$	$k_0^2 (\times 10^{-3})$	λ	$\chi''(\gamma_c)$	$R(\text{GeV}^{-1})$
0.903	1.129 ± 0.024	0.165 ± 0.002	7.488 ± 0.081	5.491 ± 0.039

Results: simultaneous fit to HERA and RHIC

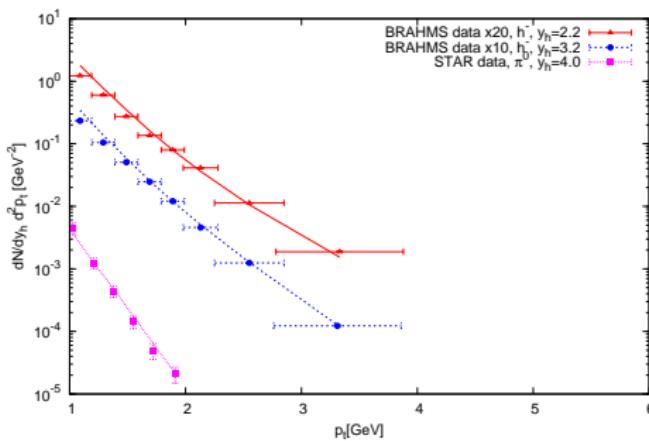
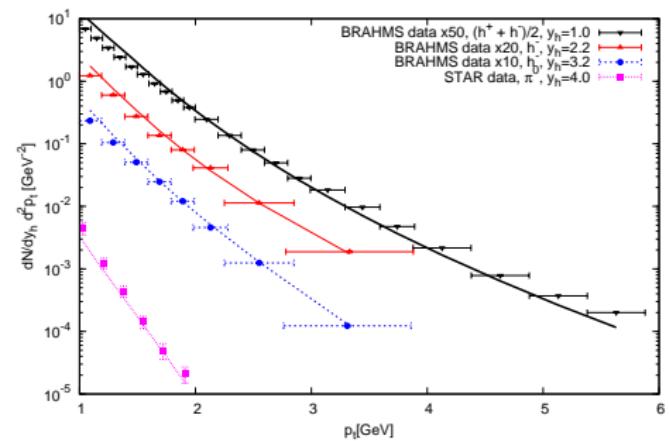
- ▶ Simultaneous fit: In addition to the H1 and ZEUS combined data, the model was fitted simultaneously to the BRAHMS [Phys. Rev. Lett. 93, 242303 (2004)] and STAR [Phys. Rev. Lett. 97, 152302 (2006)] data on inclusive hadron production
 - Fixed parameters: $\gamma_c = 0.6275$ from the LO BFKL and $\bar{\alpha} = 0.2$
 - Also fixed: $K_{y_h=4} = 0.7$ from DHJ and BUW LO models
 - Free parameters: k_0^2 , $\chi''(\gamma_c)$, λ , R_p and $K(y_h)$
 - CTEQ06 PDF and KKP fragmentation functions at scale of $P_t \geq 1$ GeV
 - Kinematic range:

$$\text{HERA} \left\{ \begin{array}{l} x \leq 0.01, \text{ (small-}x\text{)} \\ 0.1 \leq Q^2 \leq 150 \text{ GeV}^2 \end{array} \right.$$

$$\text{RHIC} \left\{ \begin{array}{l} P_t \geq 1 \text{ GeV,} \\ 2.2 \leq y_h \leq 4.0 \text{ (small-}x\text{)} \\ 1.0 \leq y_h \leq 4.0 \text{ (mid-rapidity test)} \end{array} \right.$$

- Only light quarks were considered, with masses $m_{u,d,s} = 140$ MeV
- $Q_{s,A}^2 = A_{\text{eff}}^{1/3} Q_{s,p}^2$
- $A_{\text{eff}} = 18.5$ for d-Au collisions

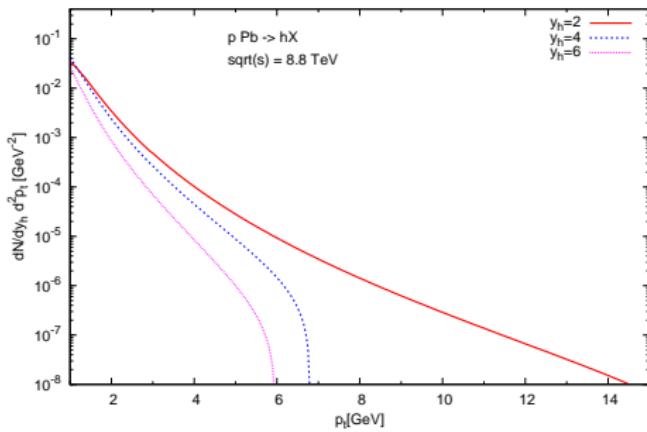
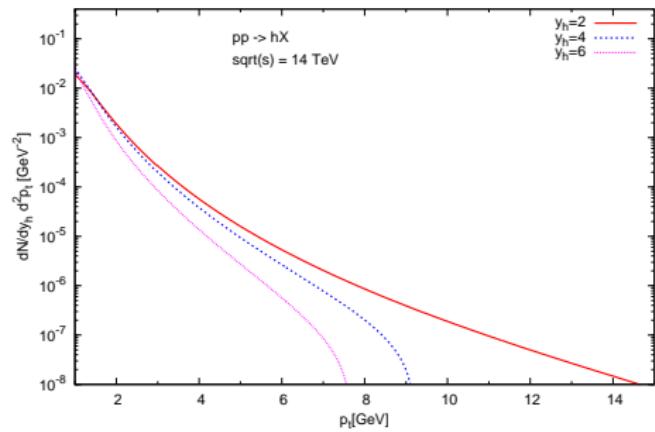
Results: simultaneous fit to HERA and RHIC ($d + Au$)



$\chi^2/\text{d.o.f.}$	$k_0^2 (\times 10^{-3})$	λ	$\chi''(\gamma_c)$	$R(\text{GeV}^{-1})$	$K(y_h = 1.0)$	$K(y_h = 2.2)$	$K(y_h = 3.2)$
0.799	2.760 ± 0.130	0.190 ± 0.003	5.285 ± 0.123	4.174 ± 0.053	—	2.816 ± 0.110	2.390 ± 0.098
1.056	1.660 ± 0.137	0.186 ± 0.003	6.698 ± 0.223	4.695 ± 0.112	6.172 ± 0.379	3.783 ± 0.259	3.256 ± 0.226

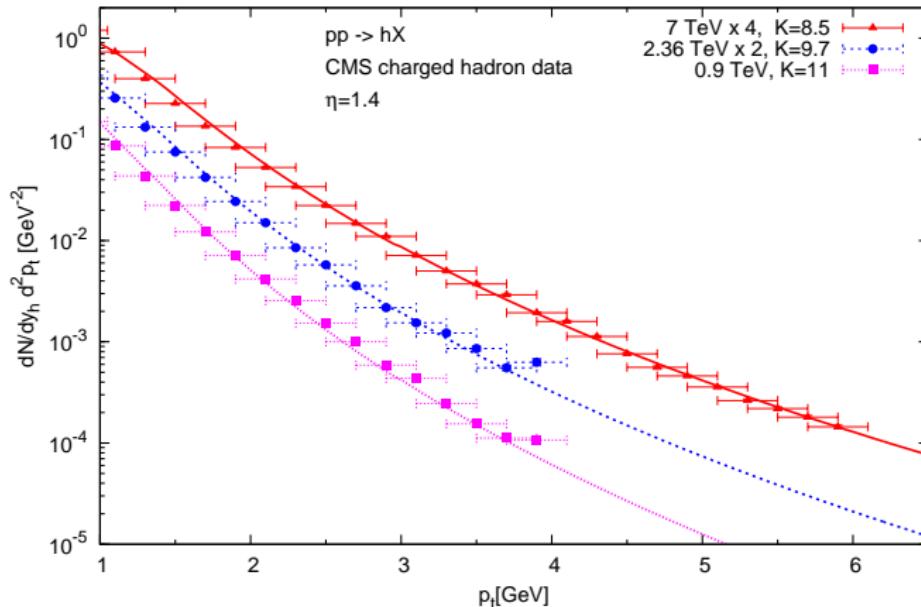
Results: Predictions for LHC

- Parameters from the fit of the forward RHIC data ($y_h \geq 2.2$)



- Suppression on the hadron yield at forward rapidities (stronger on $p + Pb$ collisions)

Results: Predictions for LHC (CMS $p + p$)



- ▶ Geometric scaling violations present at LHC energies? ([AGBS](#), DHJ x BUW)
- ▶ Large K factors due to the inclusion of central rapidity data \Rightarrow not described with AGBS + hybrid formalism

k_t -factorization

- ▶ Both colliding hadrons treated in the same way
(emphasize p_t dependence)
 $\eta \sim 0 \rightarrow k_t^1 \approx k_t^2$ $\eta_{\text{forward}} \rightarrow k_t^1 \ll k_t^2$
- ▶ The fit was also used to describe the LHC data within the k_t -factorization formalism [Braun '2000, Kovchegov and Tuchin '2003]

$$\frac{d\sigma^{A+B \rightarrow g}}{dy d^2 p_t d^2 R} = K \frac{2}{C_F p_t^2} \int^{p_t} \frac{d^2 k_t}{4} \\ \times \int d^2 b \alpha_s(Q) \varphi \left(\frac{|p_t + k_t|}{2}, x_1; b \right) \varphi \left(\frac{|p_t - k_t|}{2}, x_2; R - b \right),$$

where $x_{1,2} = (p_t/\sqrt{s})e^{\pm y}$ are momentum fractions of the incoming gluons and $C_F = (N_c^2 - 1)/2N_c$ is the Casimir for the fundamental representation.

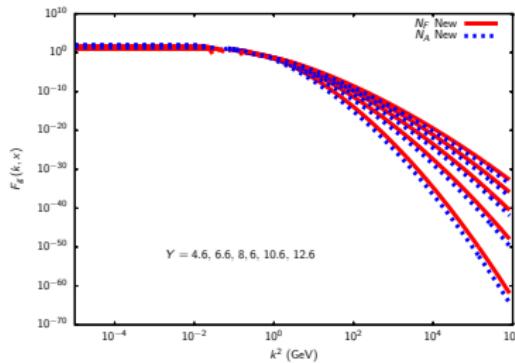
- ▶ In the large N_c limit, the unintegrated gluon distribution in either of the two colliding hadrons can be related to the dipole scattering amplitude through [Braun '2000]

$$\varphi(k, x; b) = \frac{N_c S_t}{2\pi^2 \alpha_s(k)} k^2 F_g(k, x; b).$$

k_t -factorization

$$F_g(k, x; b) = \frac{1}{2\pi} (\nabla_k^2 N_G(k, x; b) + \delta(k))$$

Spike in the low k region.
 Common feature of the BK amplitudes [Stašto, Xiao and Zaslavsky '2012]



- ▶ The charged hadron yield reads

$$\frac{dN_{ch}}{d\eta d^2 p_t} = \frac{h(\eta)}{\sigma_{nsd}} \int d^2 R \int \frac{dz}{z^2} \frac{d\sigma^{A+B \rightarrow g}}{dy d^2 p_t d^2 R} D_h(z = p_t/k_t)$$

where D_h denotes de fragmentation functions and h is the Jacobian relating y to η

$$y(\eta, p_t, m) = \frac{1}{2} \ln \left[\frac{\sqrt{m^2 + p_t^2 \cosh^2 \eta} + p_t \sinh \eta}{\sqrt{m^2 + p_t^2 \cosh^2 \eta} - p_t \sinh \eta} \right]$$

- ▶ σ_{nsd} describes the interaction area and is model dependent.

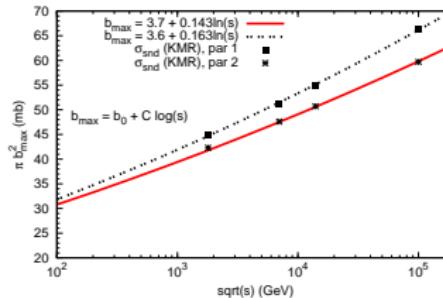
k_t -factorization

[E. Basso, MBGD and de Oliveira]

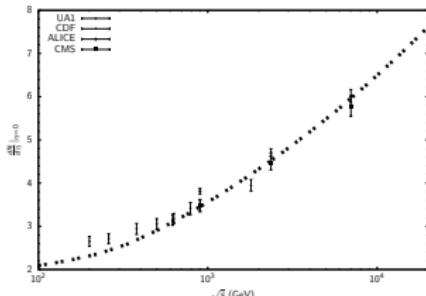
- ▶ Energy normalization model for σ_{nsd} that fits the KMR model [Khoze, Martin and Ryskin '2011']

$$\sigma_{\text{nsd}} \rightarrow \pi b_{\max}^2,$$

$$b_{\max} = b_0 + C \log(s).$$



- ▶ Also use central rapidity produced hadrons to fix a and b

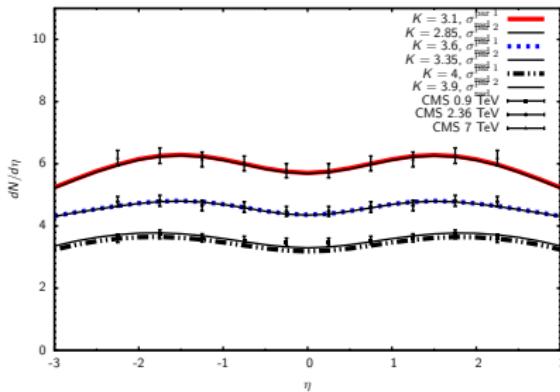
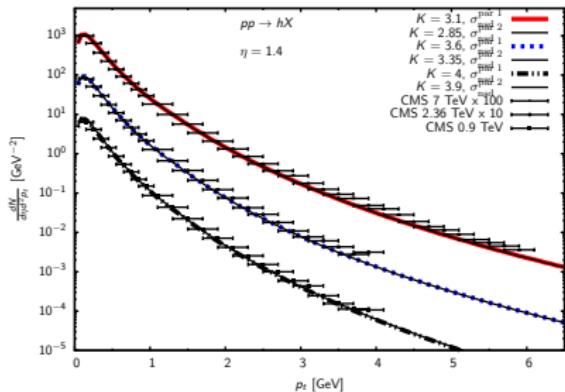


$$b_{\max} = 0.1 + 0.198 \log(s)$$

Results $p + p$ at LHC

- ▶ Parameters from the fit of the forward RHIC data ($y_h \geq 2.2$)
- ▶ Using σ_{nsd} form KMR model
- ▶ Large- x effects ($\beta = 0.4$ and $\lambda_0 = 0 - 0.2$, $x_0 = 0.01$) [Gelis, Stašto and Venugopalan '2006]

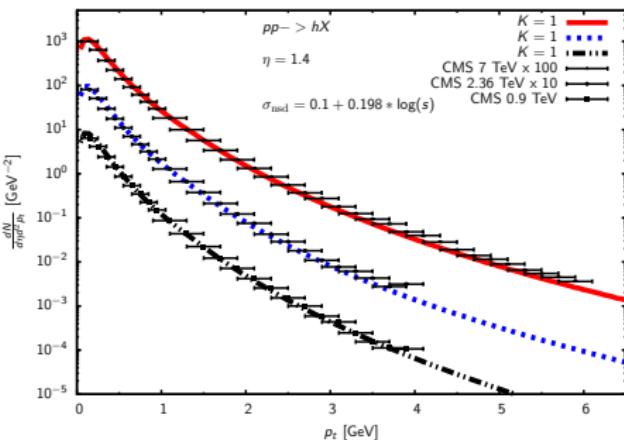
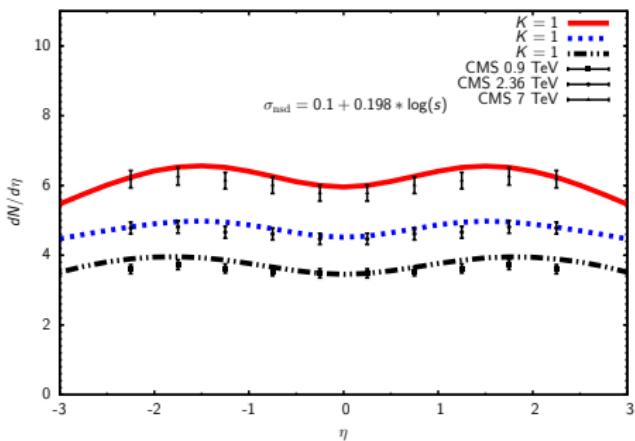
$$\varphi(k, x; b) = \left(\frac{1-x}{1-x_0} \right)^\beta \left(\frac{x_0}{x} \right)^{\lambda_0} \varphi(k, x_0; b)$$



- ▶ K factors smaller compared with hybrid formalism

Results $p + p$ at LHC

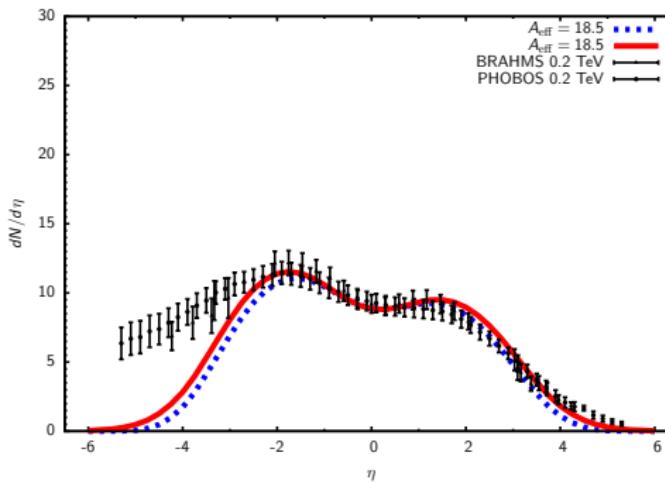
- ▶ σ_{nsd} from central rapidity data



▶ $K \sim 1$

Results $p + A$

- $Q_s^A = A_{\text{eff}}^{1/3} Q_s^p$, $A_{\text{eff}} = 18.5$ for gold target



- d fragmentation region: x_2 small \rightarrow small- x effects of the nuclear target included
- Au fragmentation region: x_2 large \rightarrow Must include EMC, Fermi contributions

Summary

- ▶ We employed the traveling wave method to describe both DIS and inclusive hadron production using a hybrid formalism factorization (DGLAP + small- x)
 - ⇒ Momentum space model for the scattering amplitude – AGBS
 - ⇒ Good fit in the forward rapidity ($y_h > 2$) region of the hadron yield
- ▶ Predictions for LHC
 - ⇒ Hadron suppression in the forward region for $p + Pb$ collisions
 - ⇒ Good description of the LHC CMS data for $p + p$ collisions (though large K)
- ▶ k_t -factorization
 - ⇒ Better description at $\eta \sim 0$ ($p + p$) compare to hybrid formalism
 - ⇒ Improved shape of nuclear targets to better describe pA collisions.
- ▶ Useful observables to constrain the model parameters
 - ⇒ EM probes – prompt photons, dileptons

Backup Slides

simultaneous fit to HERA and RHIC

- ▶ AGBS amplitude is not Glauber like: $N \sim 1 - e^{-\Omega(x, Q_s)}$
- ▶ Large N_c limit: adjoint amplitude gotten from the fundamental one through

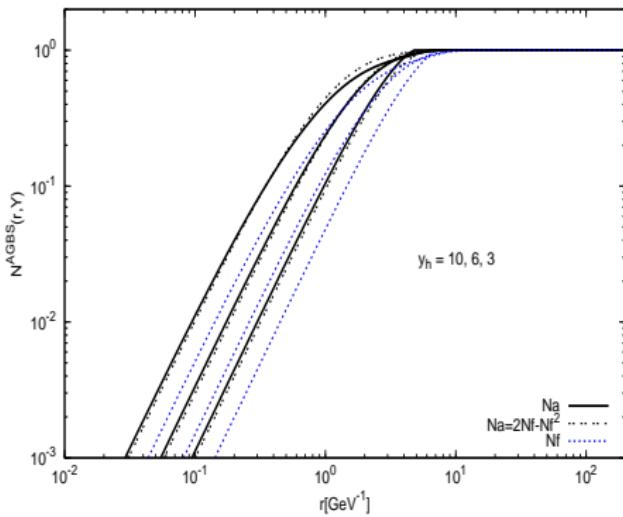
$$N_A(r, Y) = 2N_F(r, Y) - N_F^2(r, Y),$$

Problem?

- Analytical form of $N_F^2(r, Y)$ in momentum space
- Hankel Transform does not obey the convolution theorem

Solution

- DIS: amplitude does not includes the factor C_F/C_A , even though it is a N_F one (quarks)
- Simultaneous fit: rescaling of N_F through
 $Q_s^2 \rightarrow (C_A/C_F)Q_s^2 = (9/4)Q_s^2$ to



DHJ and BUW amplitude models

- ▶ Glauber like amplitudes

$$N_A(r_t, x_2) = 1 - \exp \left[-\frac{1}{4} (r_t^2 Q_s^2(x_2))^{\gamma(y_h, r_t)} \right] \quad (4)$$

- ▶ Saturation scales:

$$Q_s^2(x_2) = Q_0^2 A_{\text{eff}}^{1/3} (x_0/x_2)^\lambda, \quad \lambda = 0.3, \quad x_0 = 3 \cdot 10^{-4} \quad (5)$$

- ▶ DHJ anomalous dimension [Dumitru, Hayashigaki and Jalilian-Marian '2006]

$$\gamma(q_t, x_2) = \gamma_s + (1 - \gamma_s) \frac{\log(q_t^2/Q_s^2(x_2))}{\lambda y + d\sqrt{y} + \log(q_t^2/Q_s^2(x_2))} \quad y = \log 1/x(x_2)$$

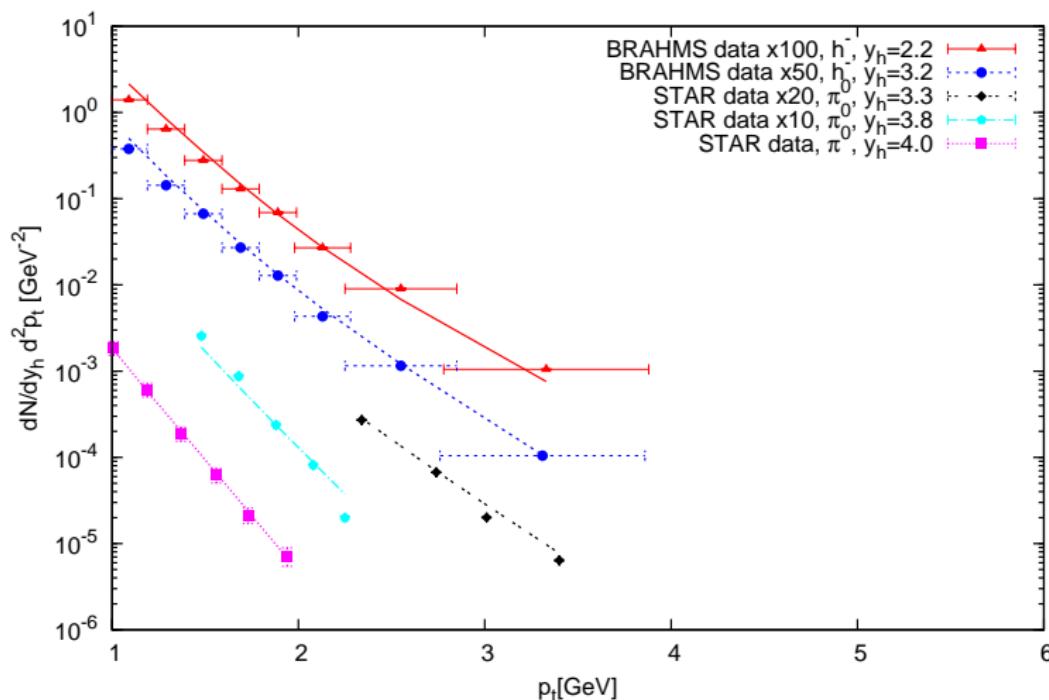
▶ Violation of geometric scaling

- ▶ BUW anomalous dimension [Boer, Utermann and Wessels '2008]

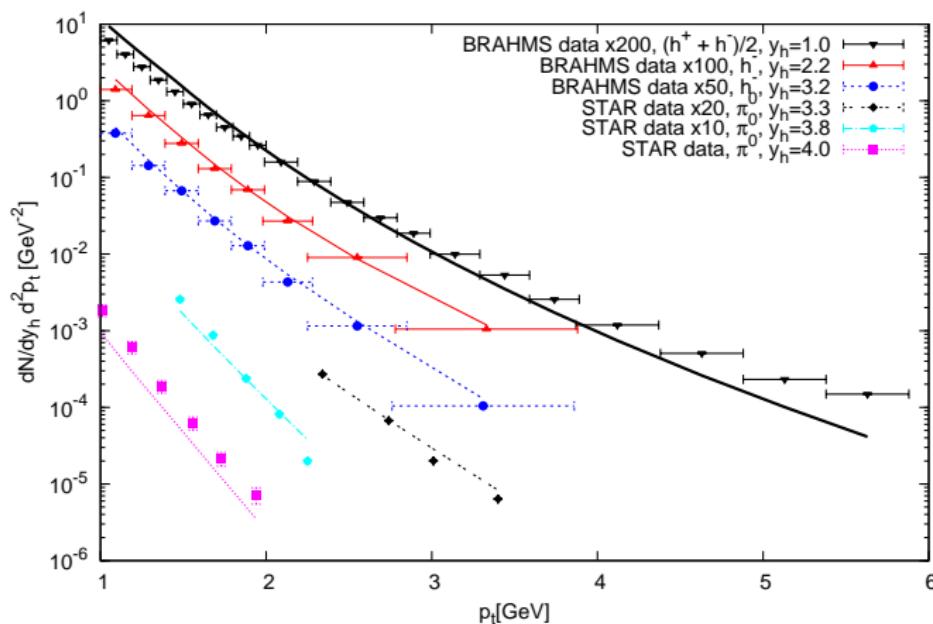
$$\gamma(w) = \gamma_1 + (1 - \gamma_1) \frac{(w^a - 1)}{(w^a - 1) + b} \quad w = q_t^2/Q_s^2(x_2) \quad (6)$$

▶ Geometric scaling preserved

Results: simultaneous fit to HERA and RHIC ($p + p$)



Results: simultaneous fit to HERA and RHIC ($p + p$)



- Model does not fit the mid-rapidity data