



Progress report on machine learning techniques for \overline{He} search in cosmic rays

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NAIA v1.2.0

Event selection (1):

RTI

Good RTI
Outside SAA
Livetime > 0.5
Zenith $< 40^\circ$

TRIGGER

Physical trigger

TOF β

$N. \beta$ clusters ≥ 4
 $\beta > 0.3$
 $\chi_{TIME}^2 < 10$

TRACK

$N. \text{ tracks} \leq 2$
L2 XY hit
Y pattern: ≥ 5 between L3-L8
X pattern: ≥ 4 , L3||L4, L5||L6, L7||L8

TRACKER

Z Inner Tracker $\in [1.7, 2.4]$
Inner fiducial volume
 χ_Y^2 (inner) < 10
 χ_X^2 (inner) < 10

Event selection (2):

TOF charge

Q upper TOF $\in [1.5, 3.0]$
 Q lower TOF ≥ 1.5

RIGIDITY

R_{INNER} signs coherence
 $|R_{INNER}| > 1.2 \text{ GV}$

Inner Upper Half = R_{UH}
 Inner Lower Half = R_{LH}
 Inner span rig. = R_{INNER}

 $(R_{inner} > 0) \rightarrow (R_{UH} > 0, R_{LH} > 0)$
 $(R_{inner} < 0) \rightarrow (R_{UH} < 0, R_{LH} < 0)$

RICH (if present)

$\beta_{RICH} < 1$
 $|\beta_{RICH} - \beta_{TOF}| \leq 0.06$
 Ring hits ≥ 4

TOF β

IF $\beta_{TOF} \geq 0.96$ require RICH

Total number of events

	$R_{INNER} > 0$	$R_{INNER} < 0$
ISS-data (12.5 y)	2.7×10^7 (prescaled, 1/100)	0.64×10^5
$^4\text{He MC}$	3.7×10^7 (prescaled, 1/100)	6.7×10^5
$^3\text{He MC}$	0.07×10^7 (prescaled, 1/100)	0.89×10^5

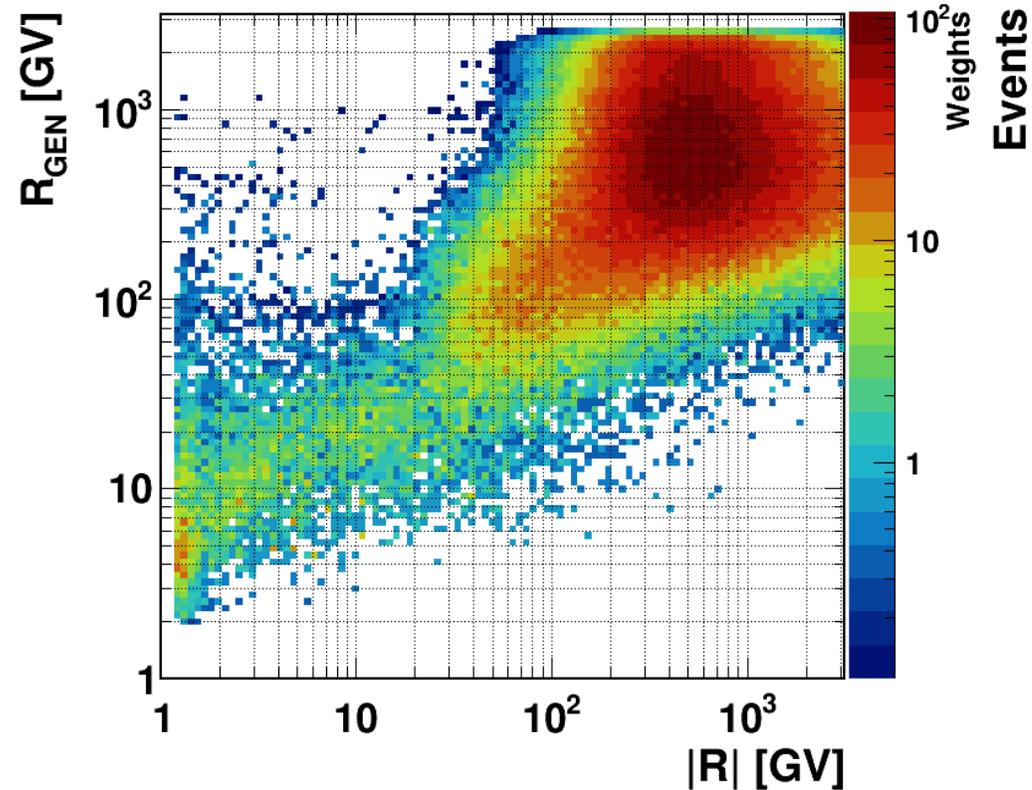
Expected background

Three **charge confusion sources** are known and expected:

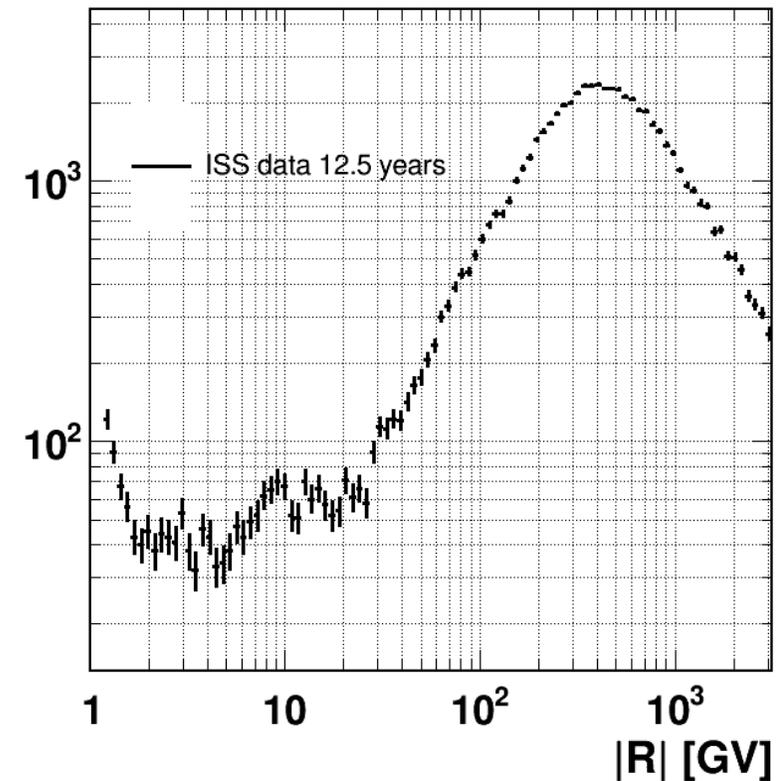
- **Spillover:**
 - Stochastic process, (tracker resolution).
 - Dominant at $R \gtrsim MDR^*$.
- Interactions inside the detector:
 - ${}^4\text{He} \rightarrow {}^3\text{He}$ inelastic interaction
 - Large angle scattering
 - Relevant at $R \text{ [GV]} \in [1, MDR]$

*Maximum Detectable Rigidity

Monte Carlo confusion matrix
MC ${}^4\text{He}$, ${}^3\text{He}$.B1315 ($R_{INNER} < 0$)



ISS-data, without geomagnetic cutoff ($R_{INNER} < 0$)



Analysis strategy on ${}^4\text{He}$, ${}^3\text{He}$ Monte Carlo

- **Two networks:** one classifier (CL_{MC}) and one autoencoder (AE_{MC}).
 - CL_{MC} and AE_{MC} are trained on ${}^4\text{He}$, ${}^3\text{He}$ MC (R>0), tested on MC (R<0).
 - Performances study and debugging.
 - **Networks trained on MC are not used on ISS data:** avoiding data-MC disagreement effects.
 - **Estimate background contribution** due to charged confused He.
-

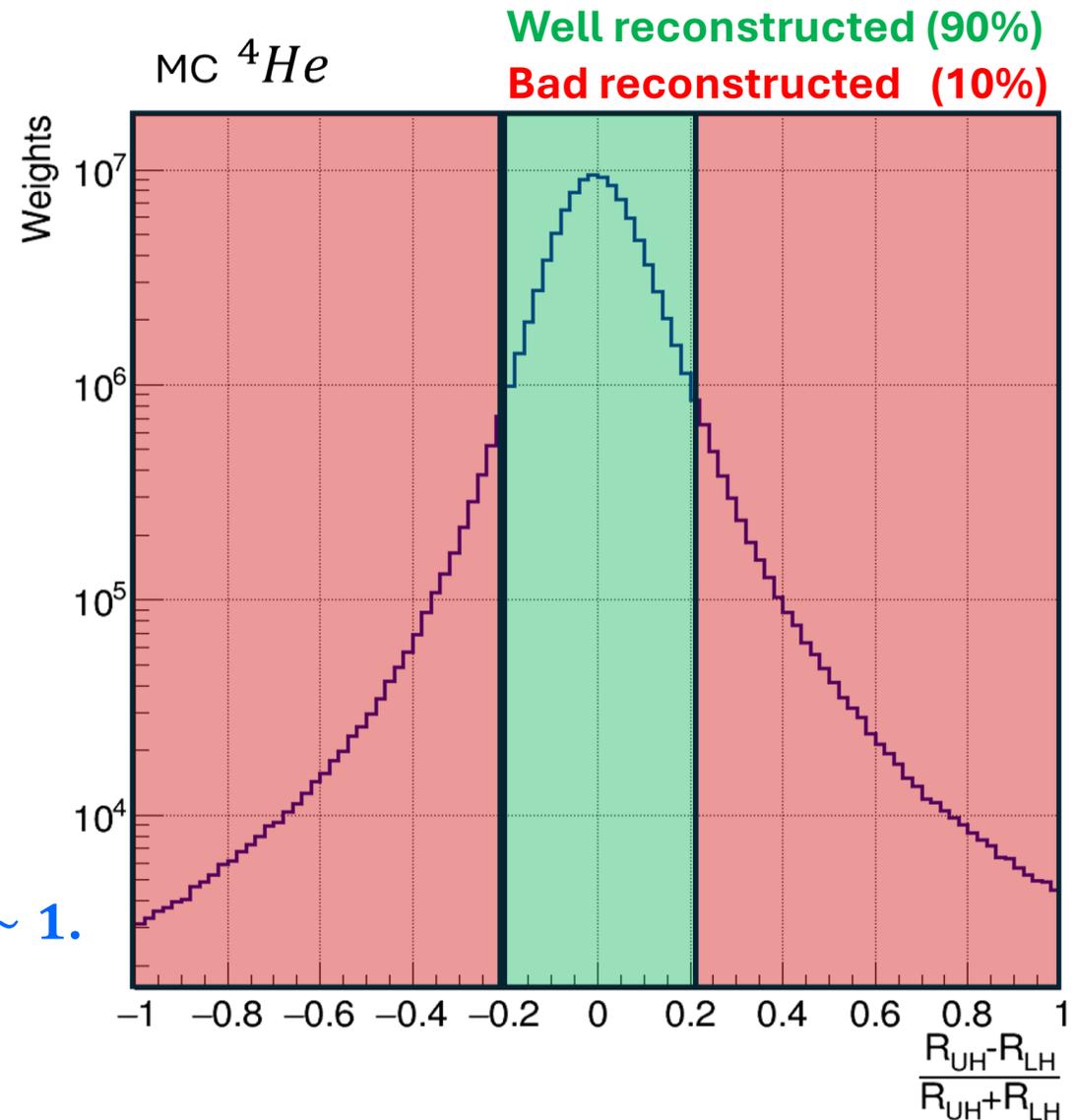
Analysis strategy on ISS data

- **Two networks:** one classifier (CL_{ISS}) and one autoencoder (AE_{ISS}).
 - CL_{ISS} and AE_{ISS} are trained on ISS data (R>0), tested on ISS (R<0).
- **Models trained on ISS** are used to **identify possible \overline{He} candidates**.
 - Number of candidates as function of the selection on the network's outputs.
- **The reconstructed mass** of the remaining events **is used as final check**.

Supervised classifier

- Goal: **distinguish well-reconstructed rigidities from poorly reconstructed ones.**
- The majority of $R < 0$ events are poorly reconstructed.
- Define a data-driven label:
 - **Based on*** $\frac{R_{UH}-R_{LH}}{R_{UH}+R_{LH}}$
 - sensitive to spillover.
- **Reliable \overline{He} candidates should have a (CL_{ISS}) score ~ 1 .**

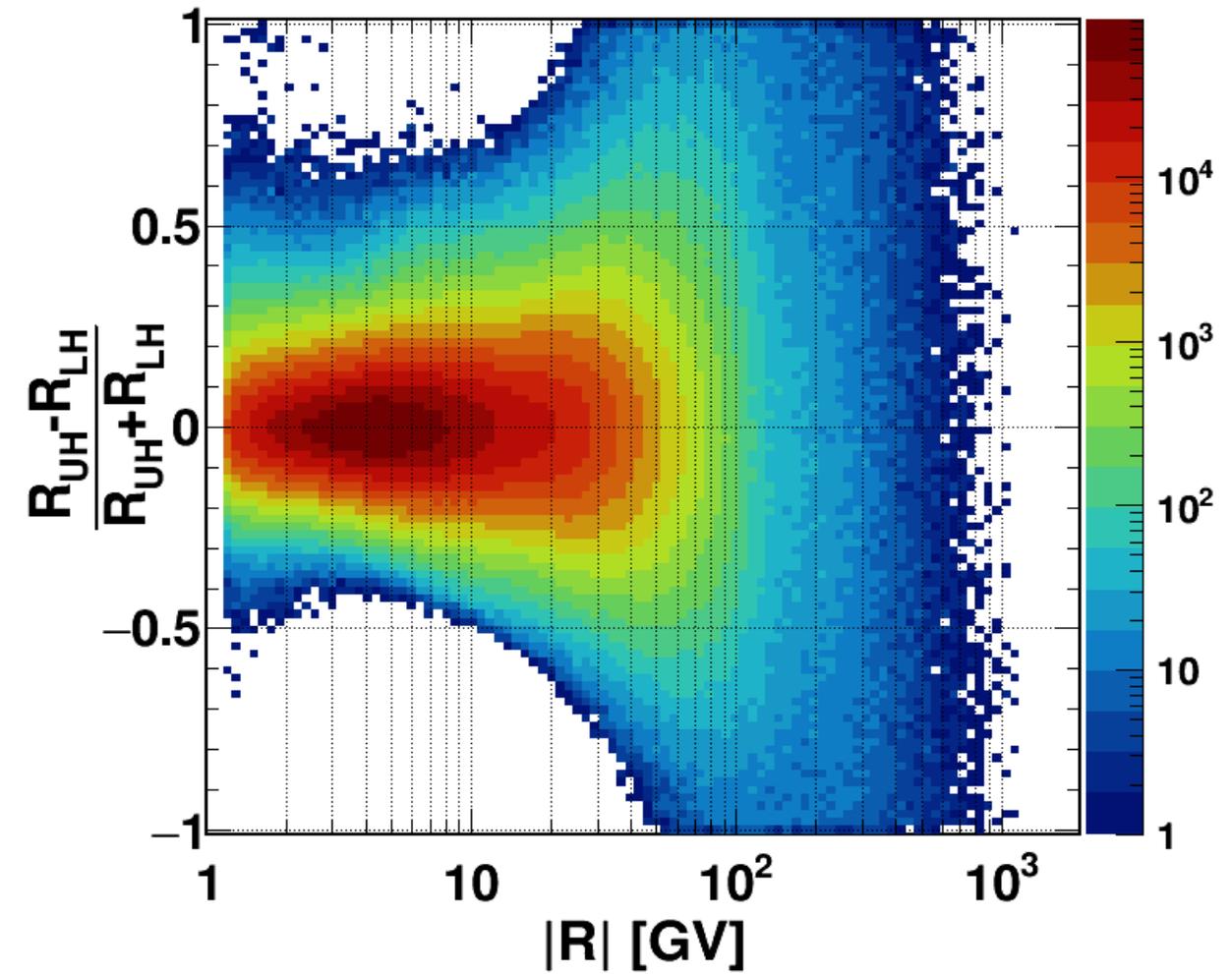
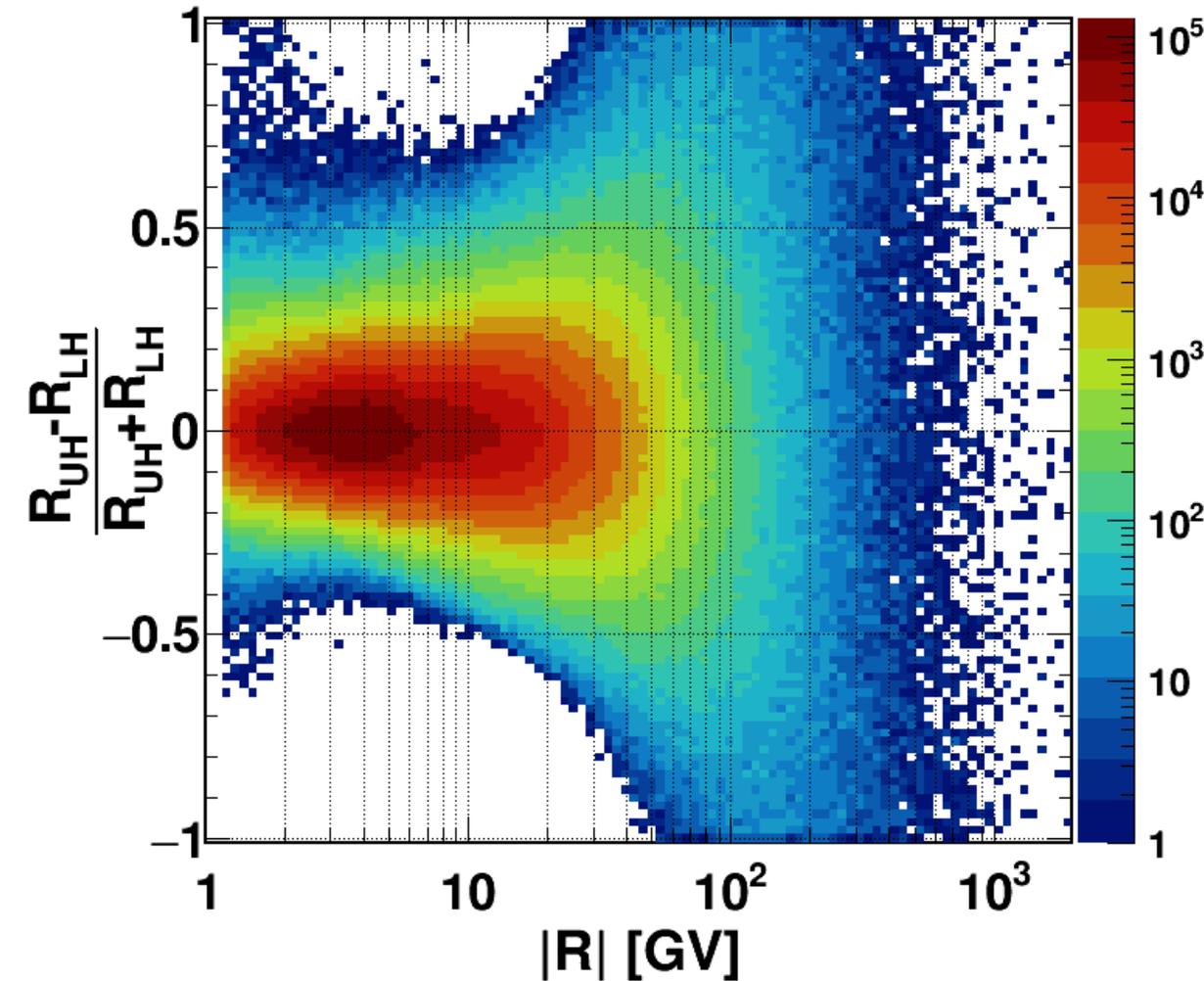
* R_{UH} = Inner Upper Half
 R_{LH} = Inner Lower Half



Classifier label dependency from Rigidity ($R > 0$)

ISS data $R_{INNER} > 0$

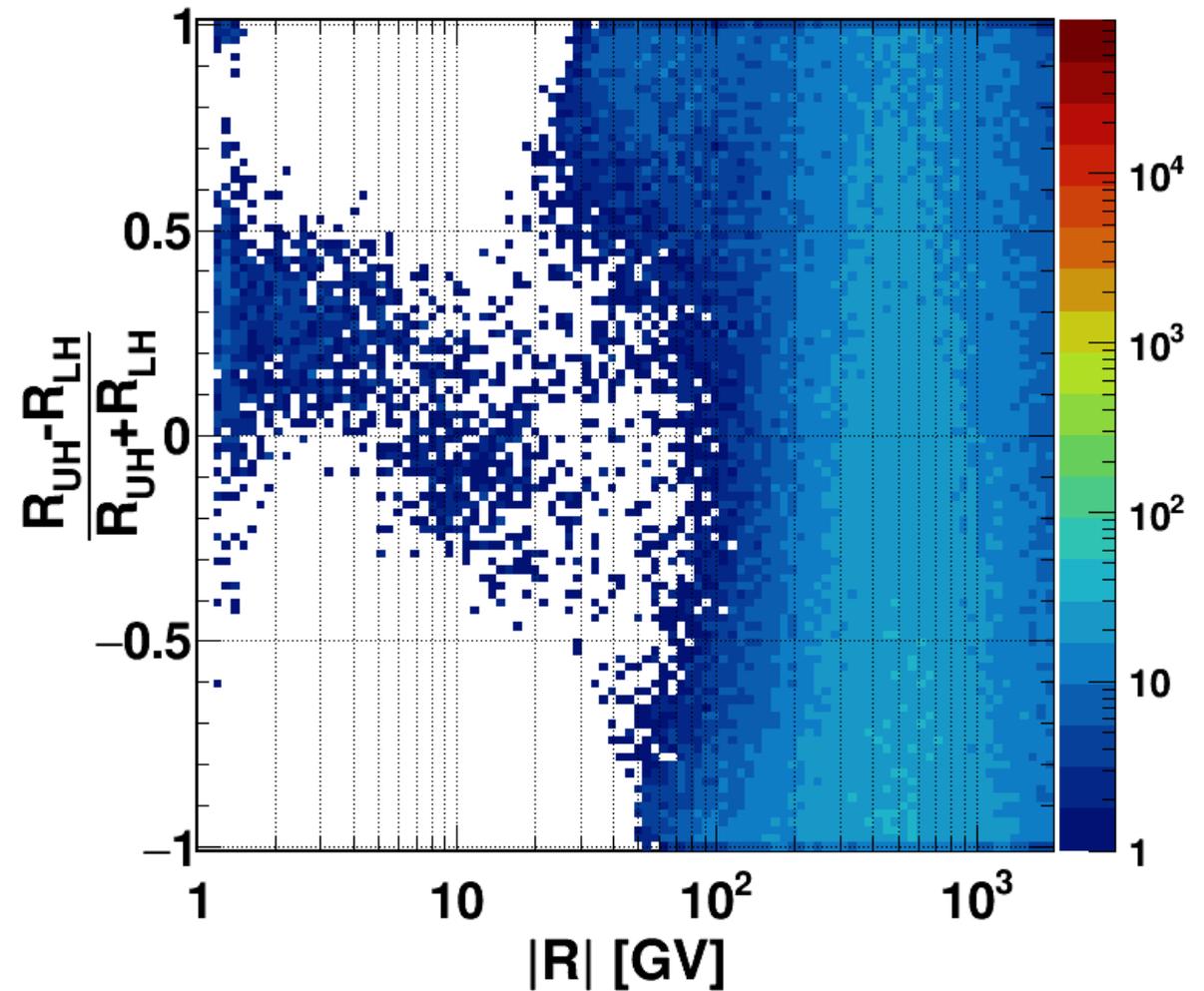
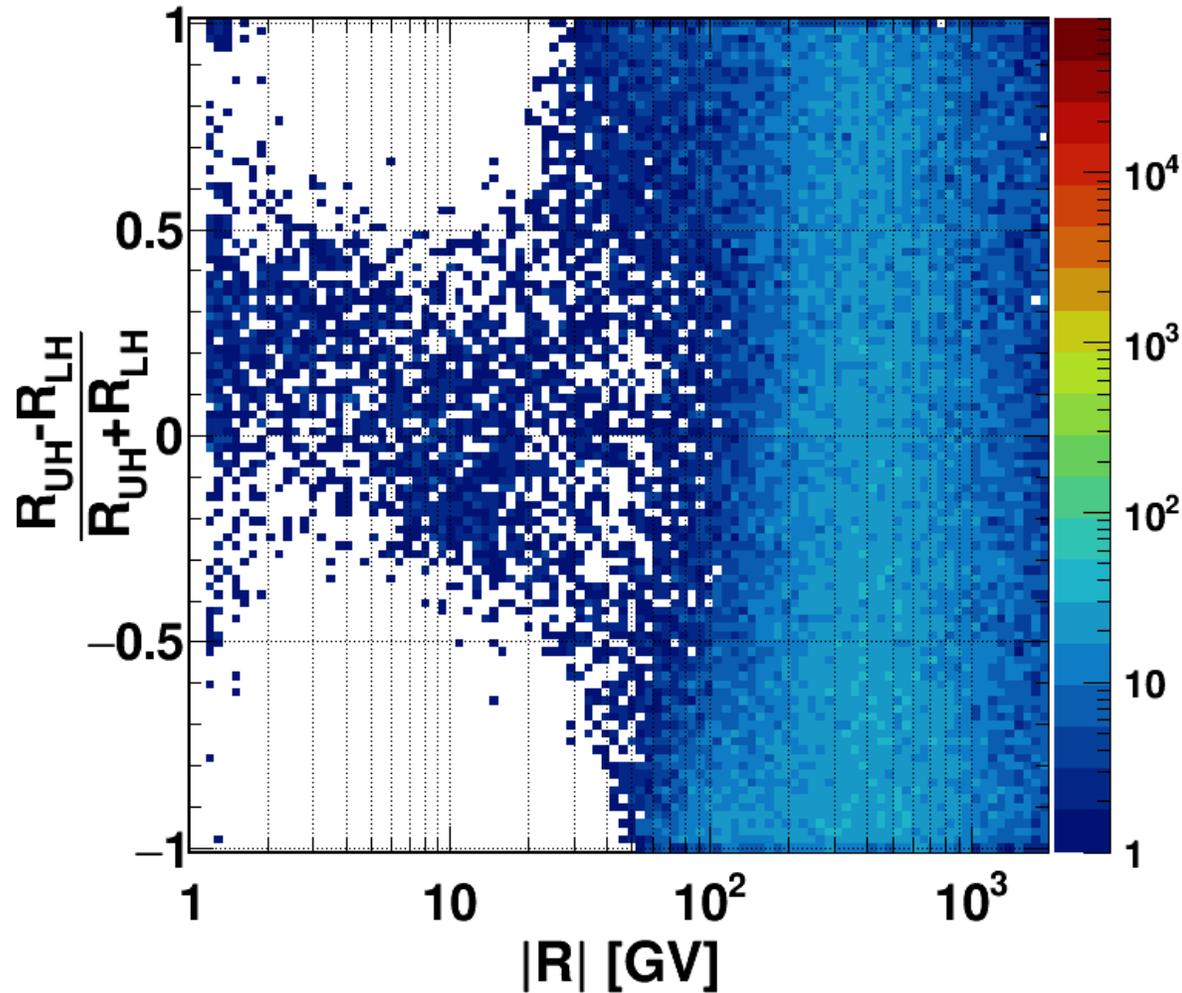
MC He4 $R_{INNER} > 0$



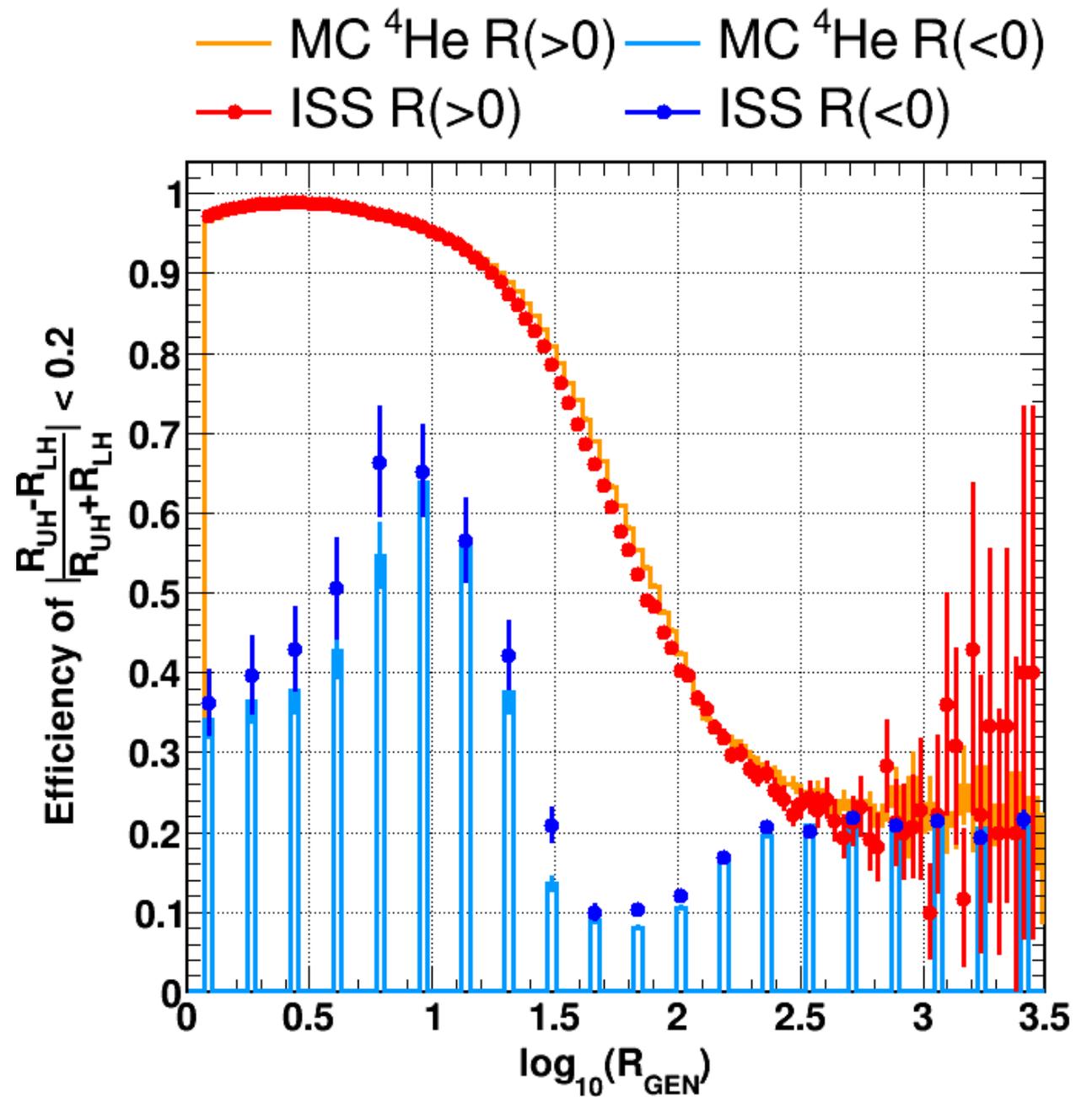
Classifier label dependency from Rigidity ($R < 0$)

ISS data $R_{INNER} < 0$

MC He4 $R_{INNER} < 0$



Signal efficiency as a function of measured rigidity



Supervised classifier input features and training

Input features:

- **Identify variables with high classification power**
- 23 input variables

Inner Tracker:

- TRK Y residual ($\times 6$)*
- TRK X residual ($\times 3$)**
- TRK Y hit number
- TRK X hit number
- $\frac{|R_{INNER}| - |R_{INNERL1}|}{|R_{INNER}| + |R_{INNERL1}|}$
- MIN and MAX Z on TRK plane

* no L8

** L3/L5/L6

RICH:

- Z_{RICH}
- N. PMTs (ring)
- N. Photo-electrons

Training on $R > 0$:

- Sample balancing: “signal events” are shuffled and downsampled.
- Afterwards, $\left| \frac{R_{UH} - R_{LH}}{R_{UH} + R_{LH}} \right| < 0.2$ fraction is 50 % and $\left| \frac{R_{UH} - R_{LH}}{R_{UH} + R_{LH}} \right| > 0.2$ fraction is 50 %.
 - ISS data (2.8×10^6) and MC (4.9×10^6) events
 - 70% used for training, 30% for validation
- After the training CL_{ISS} is applied to $R < 0$ ISS data, CL_{MC} is applied to $R < 0$ MC.

Autoencoder

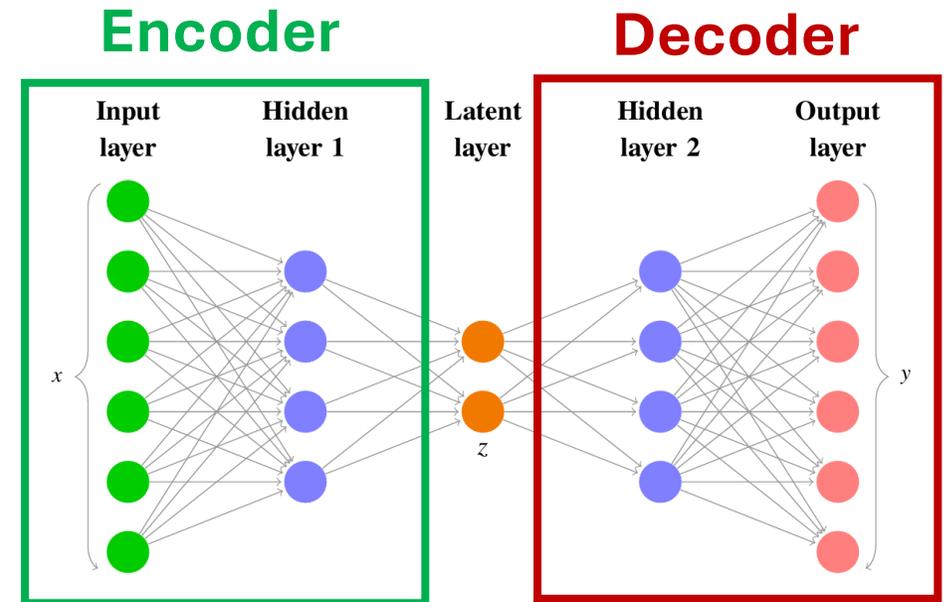
- Goal: **minimise losses in the compression-decompression process.**

- Reconstruction error : $MSE = \frac{(X-Y)^2}{N_{features}}$

$X = \text{input}$
 $Y = \text{AE's output}$

- Well-reconstructed events dominate the $R > 0$ sample.
- Poorly reconstructed events dominate the $R < 0$ sample.

- The autoencoder fails on badly reconstructed events, while well-reconstructed events are unaffected.



To have a variable defined between $[0.5, 1]$, the sigmoid function $(\frac{1}{1+e^{-MSE}})$ is used:

$$\text{Anomaly score} = \frac{1}{1 + e^{-MSE}}$$

Reliable \overline{He} candidates should have an anomaly score ~ 0.5 .

Autoencoder input features and training

Input features:

- Identify variables with high classification power between the $R>0$ and the $R<0$ sample
- 30 input variables

Training on $R>0$:

- Cut on reconstructed rigidity: $R_{INNER} < 200$ [GV] (MDR).
 - ISS data (1.2×10^7) and MC (1.2×10^7) events
 - 70% used for training, 30% for validation

After the training AE_{ISS} is applied to $R<0$ ISS data, AE_{MC} is applied to $R<0$ MC.

Inner Tracker:

- TRK Y residual ($\times 7$)
- TRK X residual ($\times 4$)*
- TRK Y hit number
- TRK X hit number
- $\frac{|R_{INNER}| - |R_{INNERL1}|}{|R_{INNER}| + |R_{INNERL1}|}$
- $\frac{R_{UH} - R_{LH}}{R_{UH} + R_{LH}}$
- TRK NormEdep2XY ($\times 4$ **)
- MIN – MAX CH on TRK plane

ACC:

- ACC counters

Time Of Flight:

- L3 on-time cluster
- L4 on-time cluster

* L3/L5/L7/L8

** L5/L6/L7/L8

$$\text{NormEdep2Y} = \frac{E_{on-track Y}}{E_{dep Y(2 cm)} + E_{on-track Y}}$$

$$**\text{NormEdep2XY} = \frac{(\text{NormEdep2Y} - \text{NormEdep2X})}{(\text{NormEdep2Y} + \text{NormEdep2X})}$$

Workflow:

- The networks have information regarding the rigidity, but not β (TOF, NaF, AGL).
- After the training (on ISS and MC), divide into 11 rigidity bins:
[1.92,4.02], [4.02,6.47],[6.47,9.26],[9.26,13],[13,18],
[18,24.7],[24.7,31.1],[31.1,36.1],[36.1,41.9],[41.9,48.5], 48.5<
- For each rigidity bin, cut on the network's outputs using the efficiency on "signal-like events".
- Reconstructs the mass of the survivors (ISS and MC).

Using $R > 0$ sample (Data and MC), for each detector (TOF, NaF, AGL) and rigidity bin get:

- CL score for $\left| \frac{R_{UH} - R_{LH}}{R_{UH} + R_{LH}} \right| < 0.2$
- AE anomaly score for $R_{INNER} > 0$.
- Cut values at predefined efficiencies.

Apply the cuts on $R < 0$ sample (Data and MC).

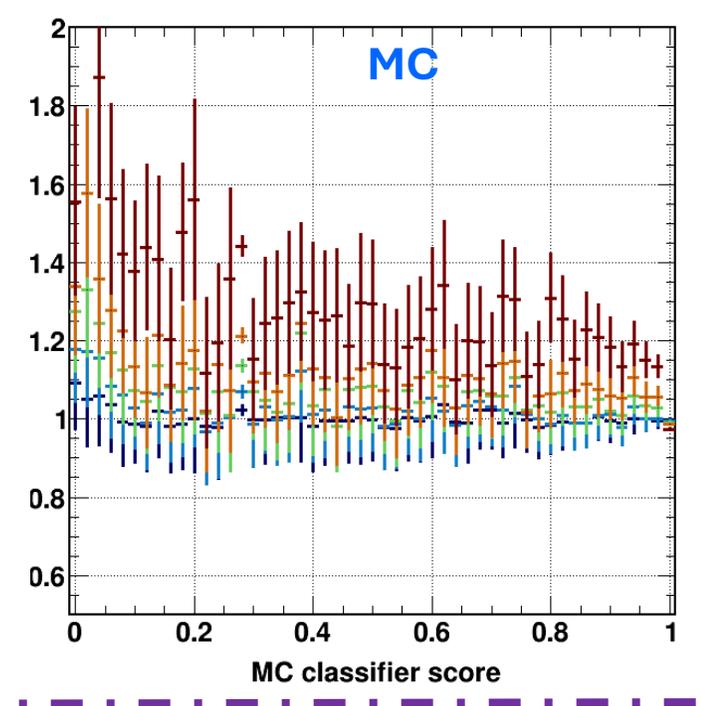
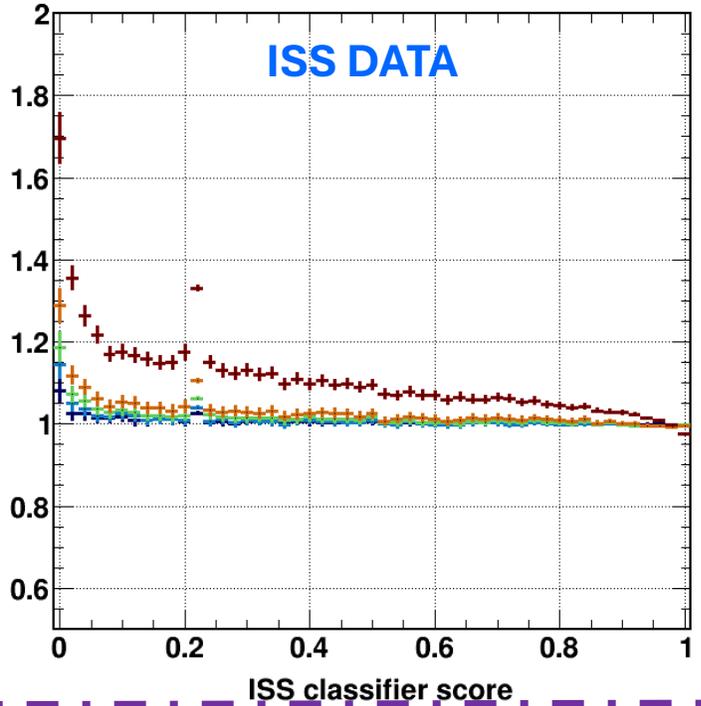
**Cutting on the anomaly score changes the distribution of the classifier score?
Are the outputs of the network independent and orthogonal?**

Check on the orthogonality TOF $R \in [1.92, 4.02]$ GV

Ratio: no cut / cut on score

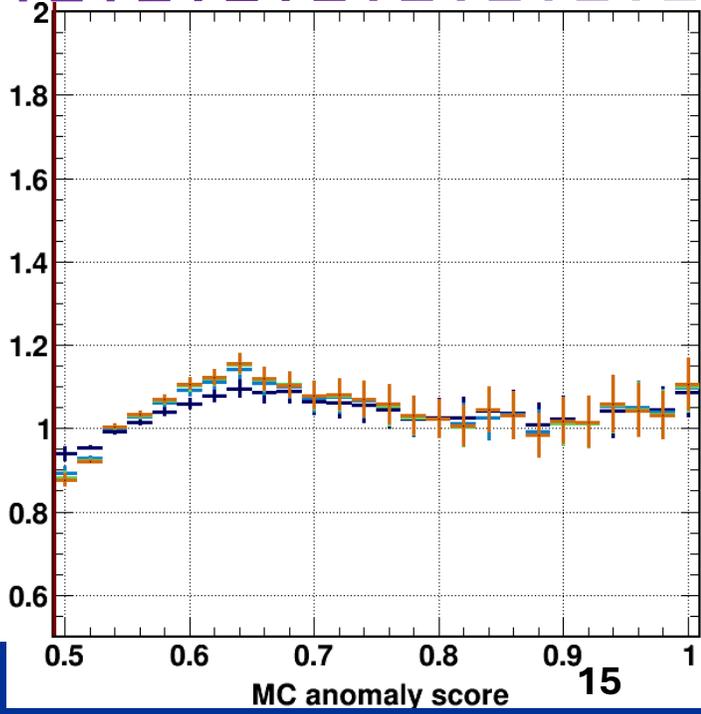
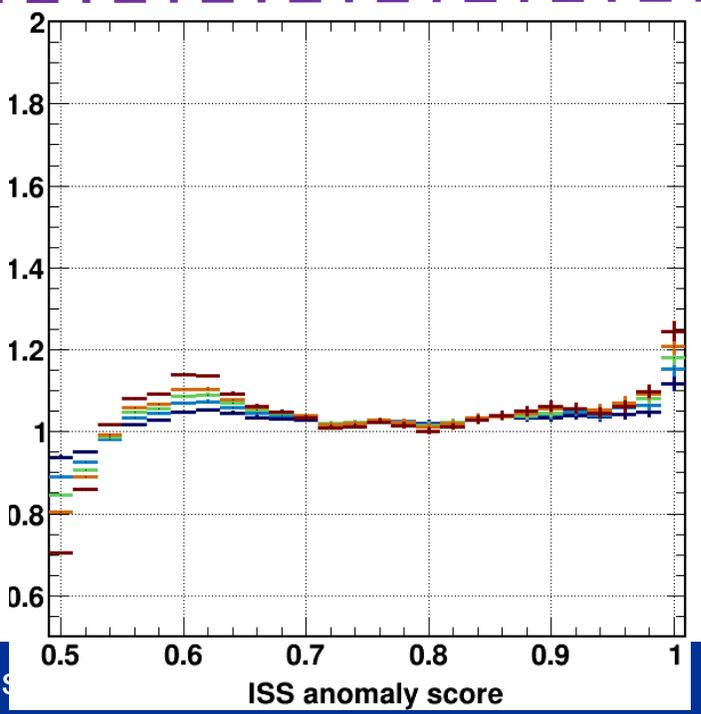
- eff. 0.95 — eff. 0.90 — eff. 0.85
- eff. 0.80 — eff. 0.67

CL score $\left| \frac{R_{UH} - R_{LH}}{R_{UH} + R_{LH}} \right| < 0.2$



AE anomaly score $R_{INNER} > 0$

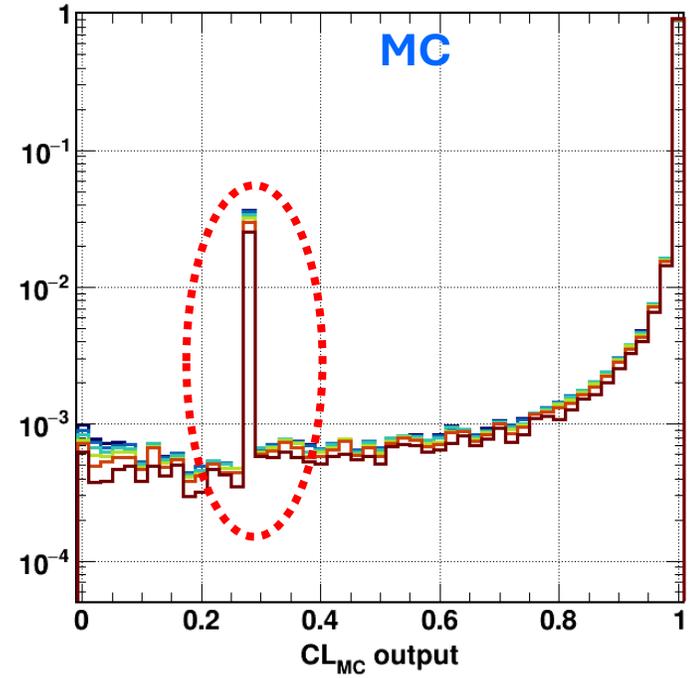
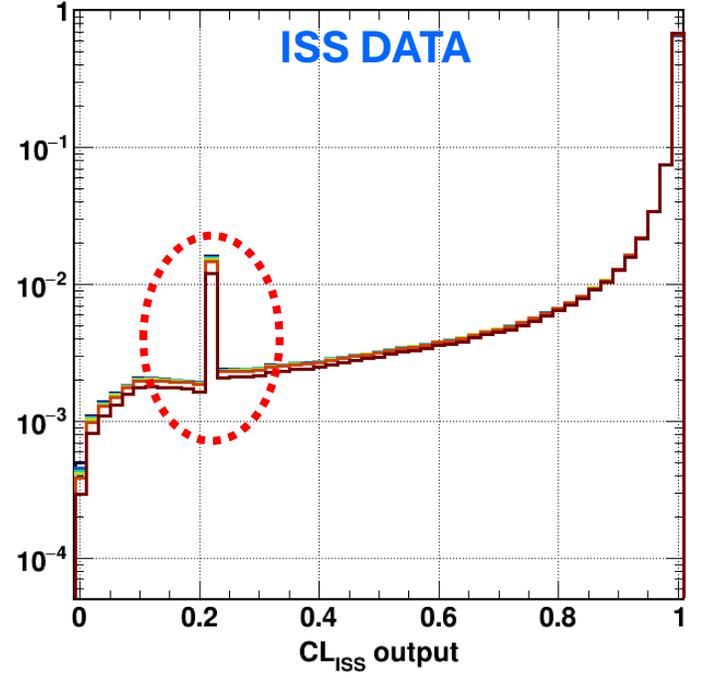
- eff. 0.95 — eff. 0.90 — eff. 0.85
- eff. 0.80 — eff. 0.67



Check on the orthogonality TOF $R \in [1.92, 4.02]$ GV

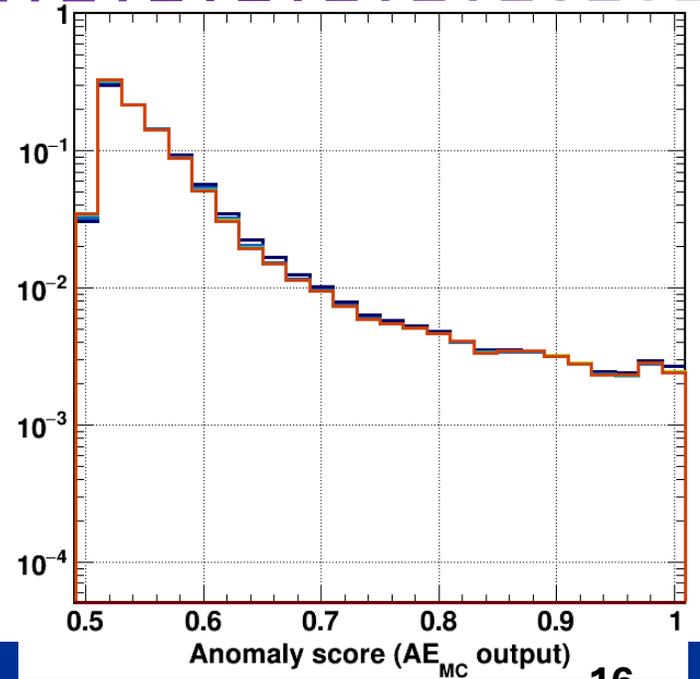
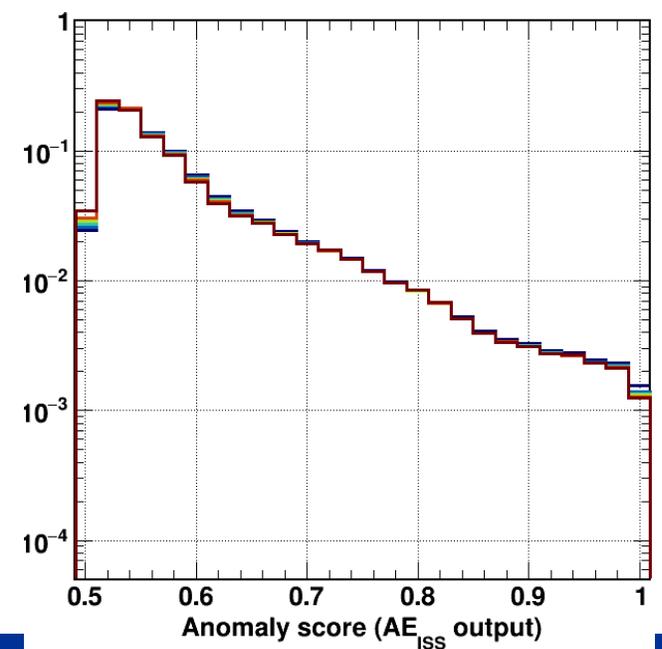
- eff. 0.95
- eff. 0.90
- eff. 0.85
- eff. 0.80
- eff. 0.67

CL score $\left| \frac{R_{UH} - R_{LH}}{R_{UH} + R_{LH}} \right| < 0.2$



AE anomaly score $R_{INNER} > 0$

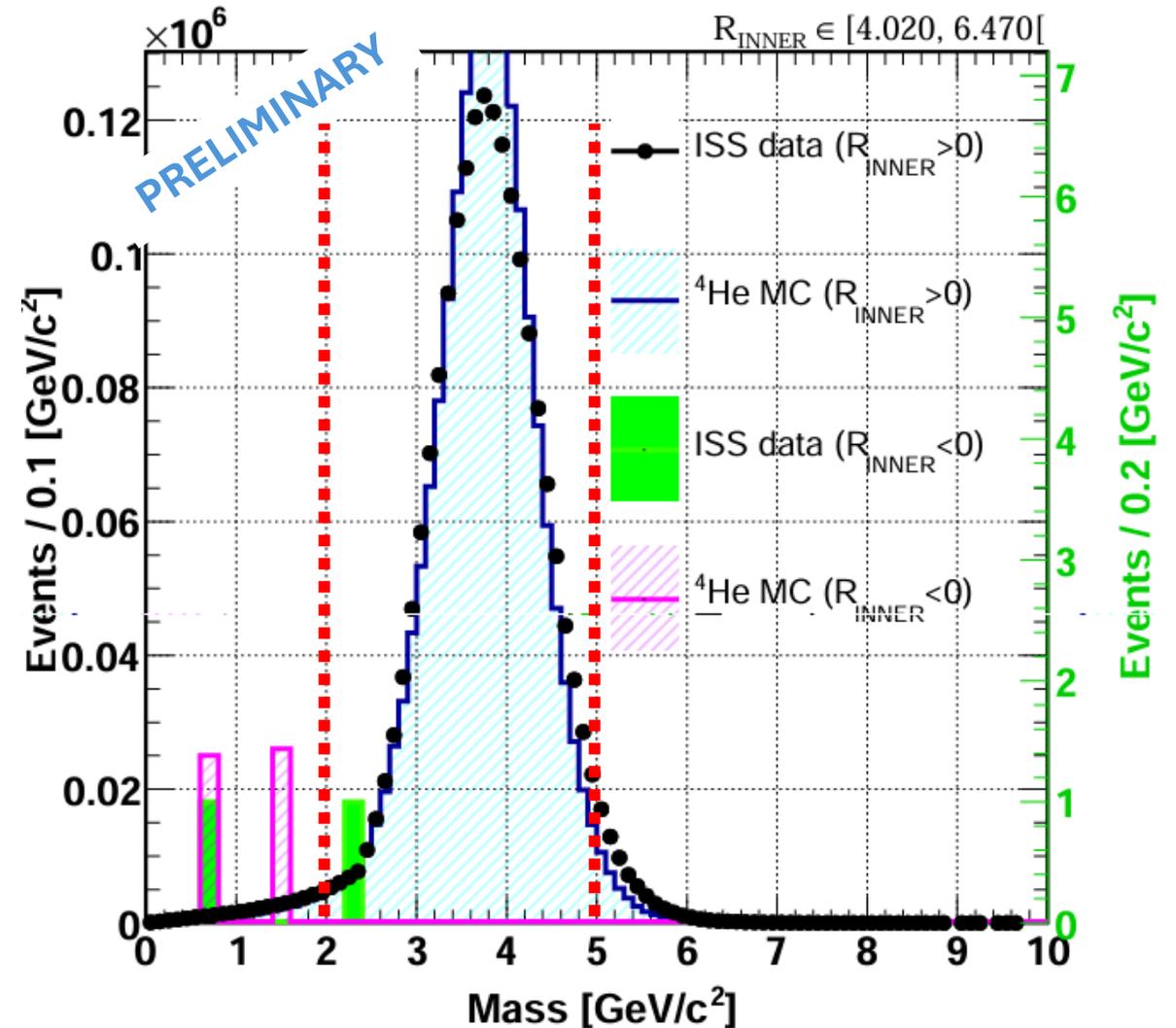
The networks are coherent.
Cutting on the scores of one network increases the % of “good events” in the score distribution of the other.



Mass distribution TOF

$R \in [1.92, 4.02] \text{ GV}$

- 80% efficiency on $R > 0$ AE anomaly score
- 75% efficiency on CL score $\left| \frac{R_{UH} - R_{LH}}{R_{UH} + R_{LH}} \right| < 0.2$

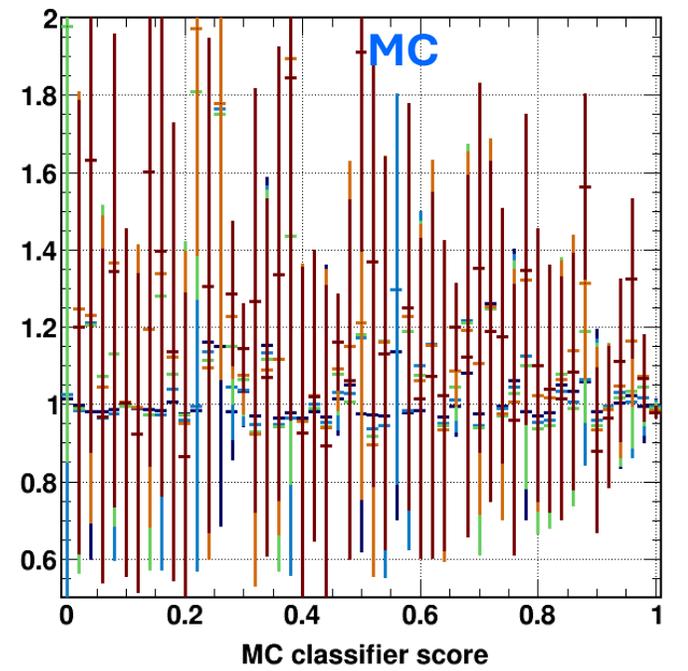
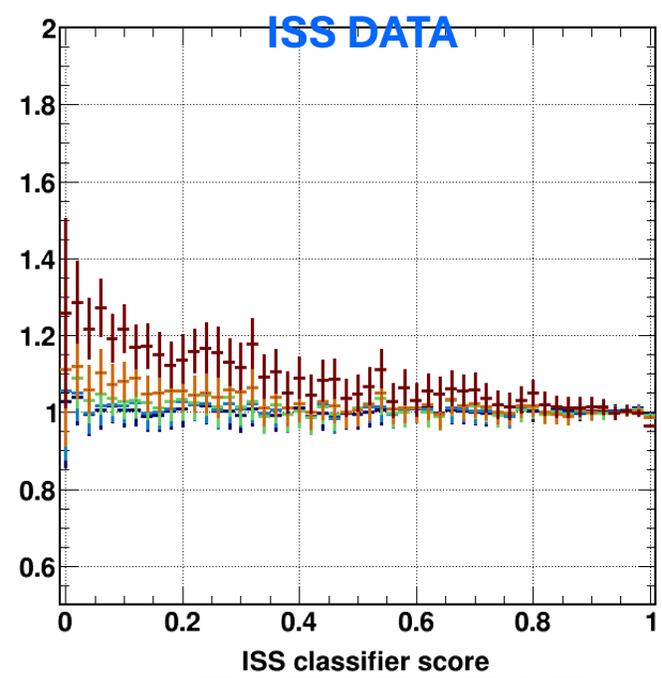


Check on the orthogonality NaF $R \in [6.47, 9.26]$ GV

Ratio: no cut / cut on score

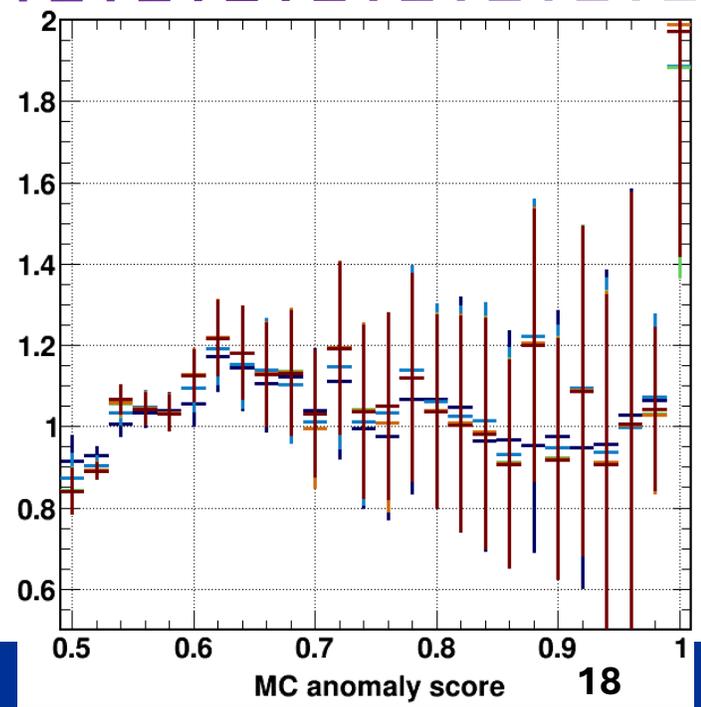
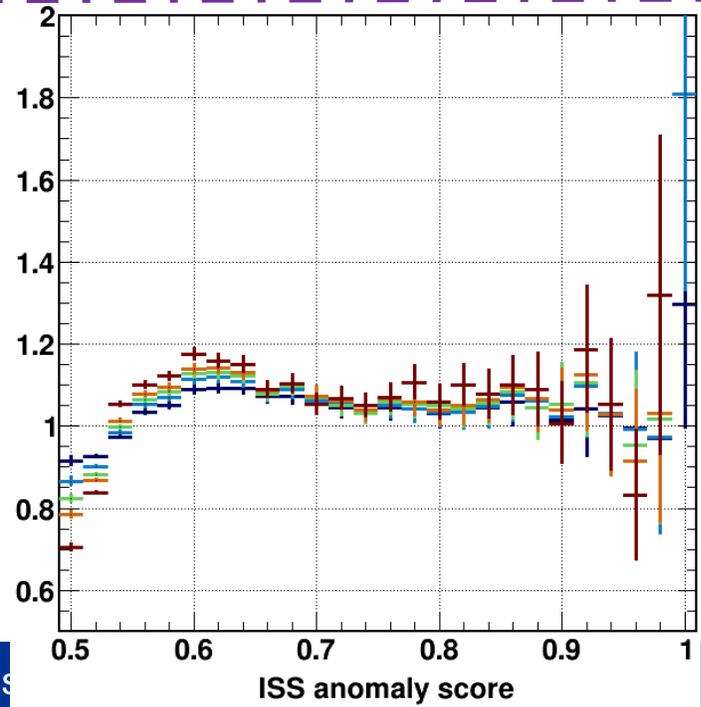
- eff. 0.95
- eff. 0.90
- eff. 0.85
- eff. 0.80
- eff. 0.67

Classifier score $\left| \frac{R_{UH} - R_{LH}}{R_{UH} + R_{LH}} \right| < 0.2$



Anomaly score $R_{INNER} > 0$

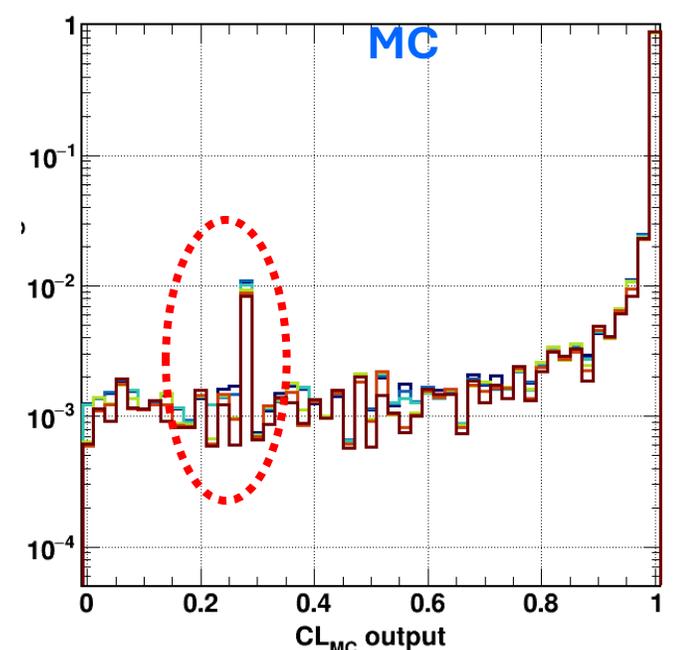
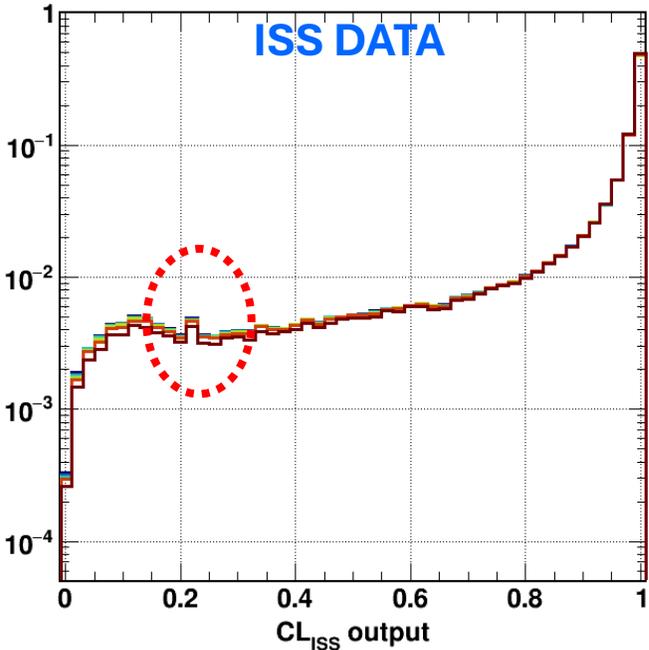
- eff. 0.95
- eff. 0.90
- eff. 0.85
- eff. 0.80
- eff. 0.67



Check on the orthogonality NaF $R \in [6.47, 9.26]$ GV

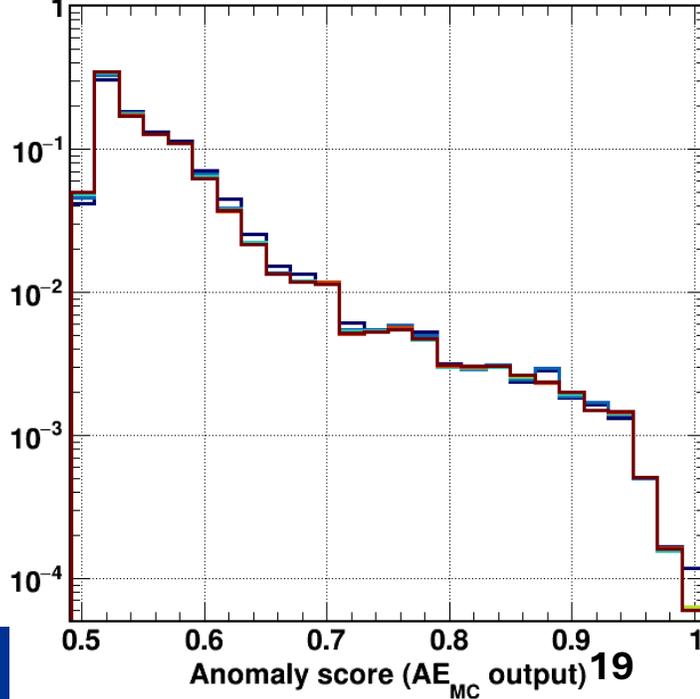
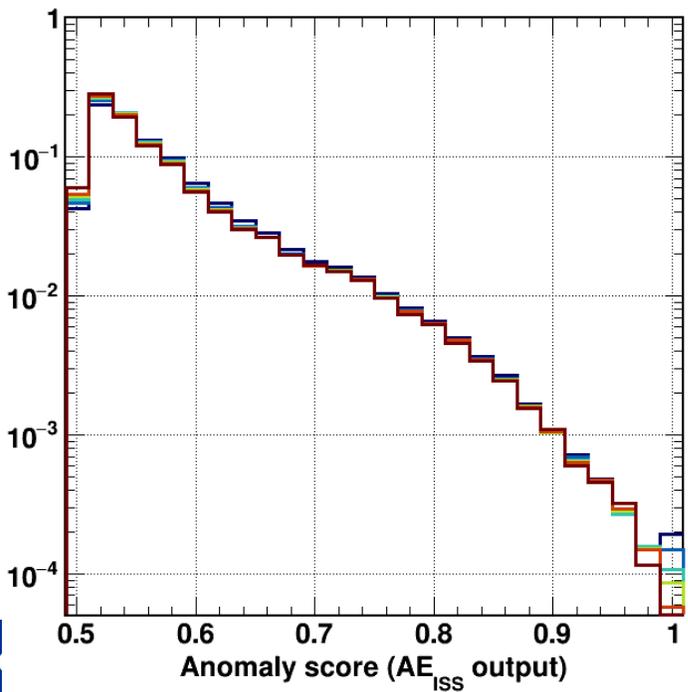
- Good reco. ($R_{INNER} > 0$)
- eff. 0.95
- eff. 0.90
- eff. 0.85
- eff. 0.80
- eff. 0.67

Classifier score $\left| \frac{R_{UH} - R_{LH}}{R_{UH} + R_{LH}} \right| < 0.2$



Anomaly score $R_{INNER} > 0$

- ISS data ($R_{INNER} > 0$)
- eff. 0.95
- eff. 0.90
- eff. 0.85
- eff. 0.80
- eff. 0.67

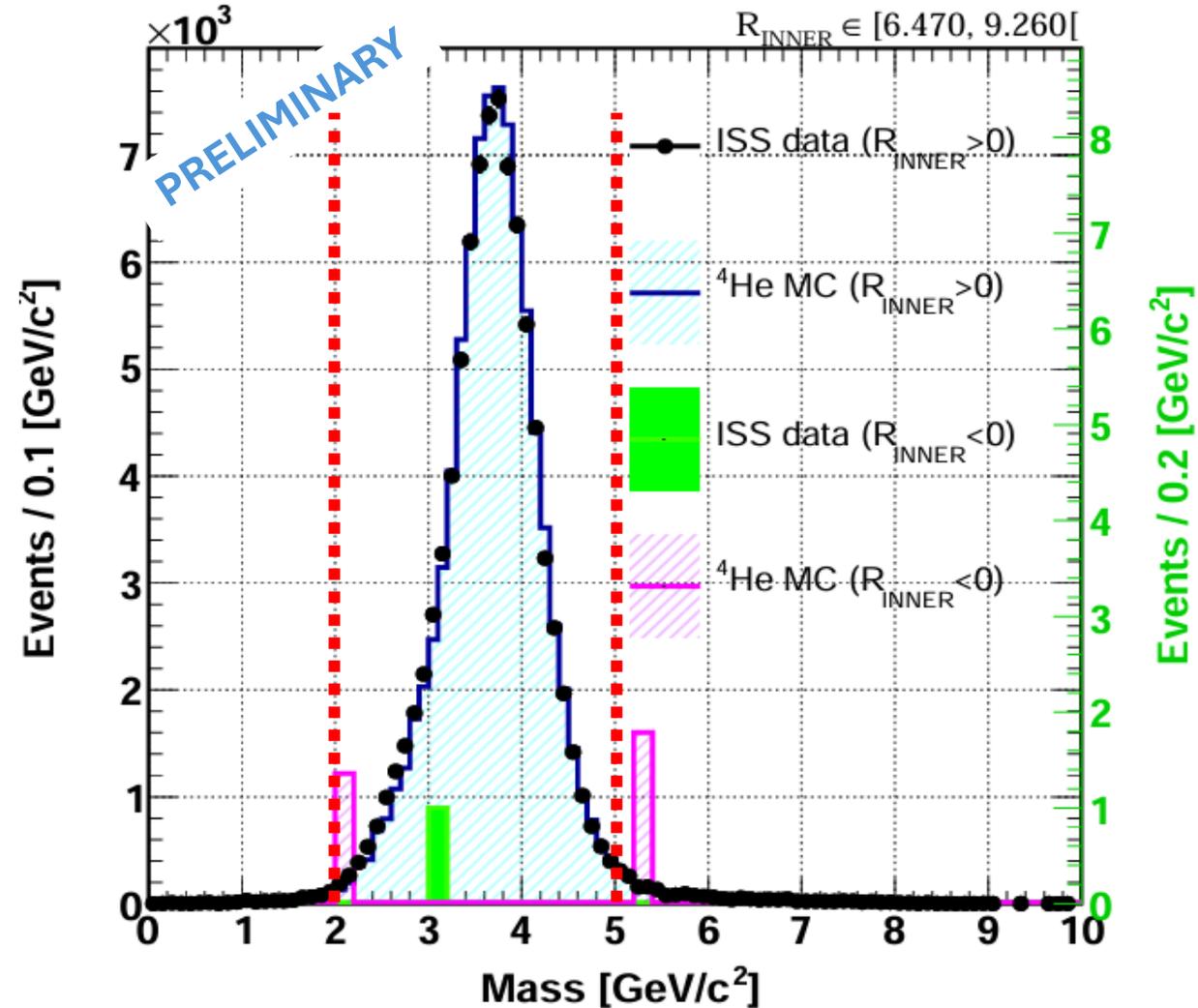
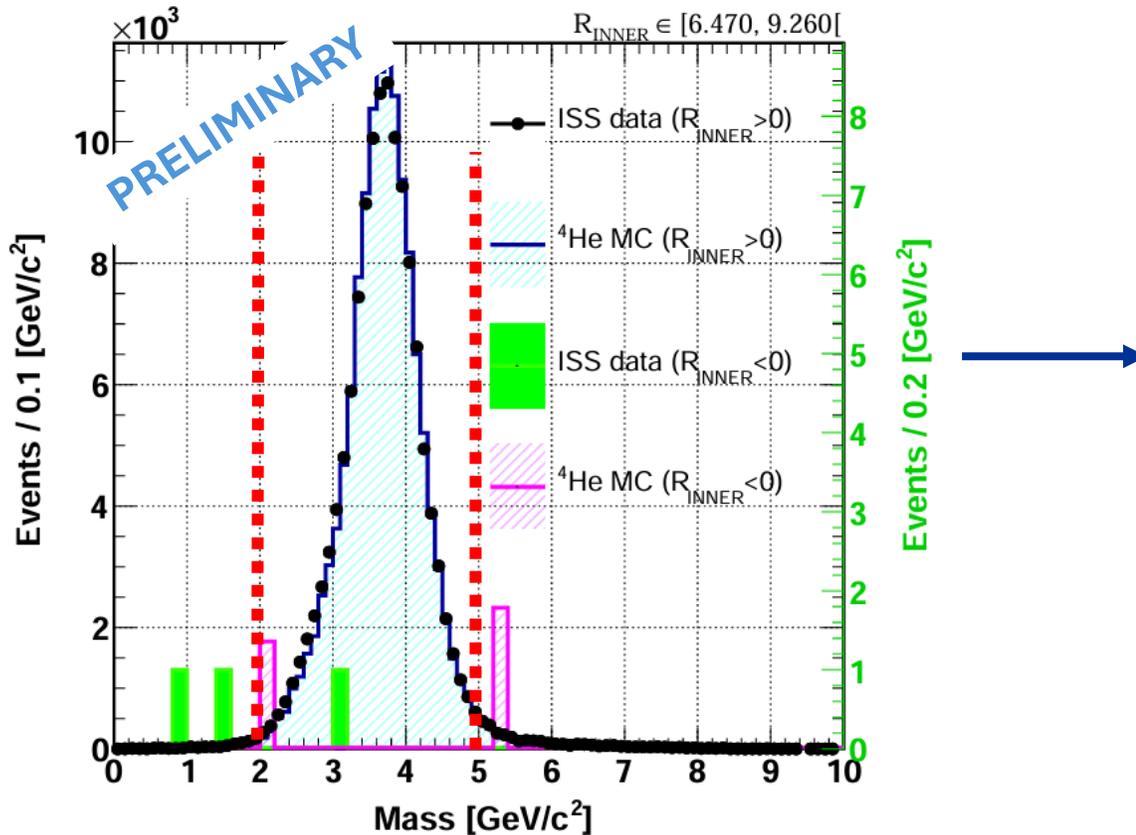


Mass distribution NaF

$R \in [6.47, 9.26]$ GV

- 80% efficiency on $R > 0$ AE anomaly score
- 75% efficiency on CL score $\left| \frac{R_{UH} - R_{LH}}{R_{UH} + R_{LH}} \right| < 0.2$

- 80% efficiency on $R > 0$ AE anomaly score
- 50% efficiency on CL score $\left| \frac{R_{UH} - R_{LH}}{R_{UH} + R_{LH}} \right| < 0.2$

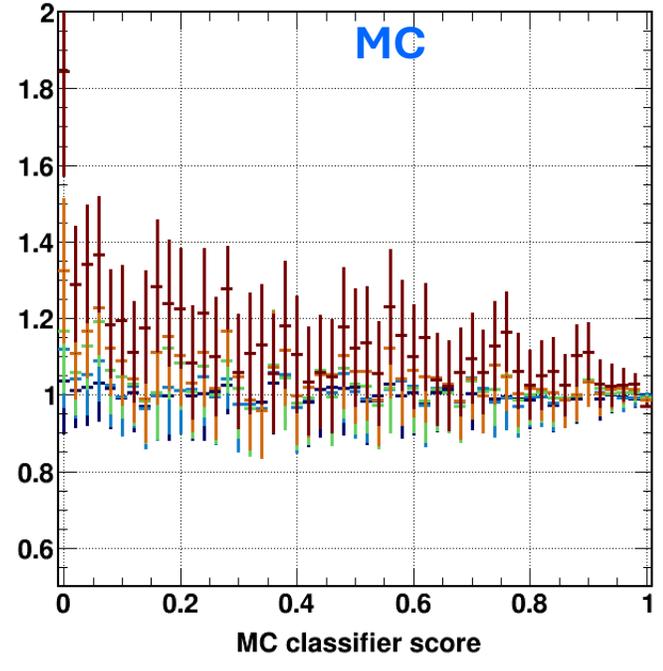
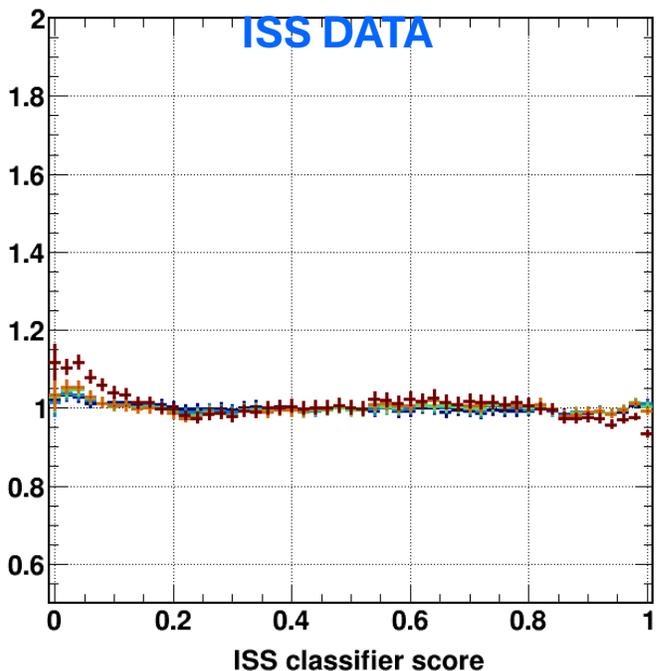


Check on the orthogonality AGL $R \in [18, 24.7]$ GV

Ratio: no cut / cut on score

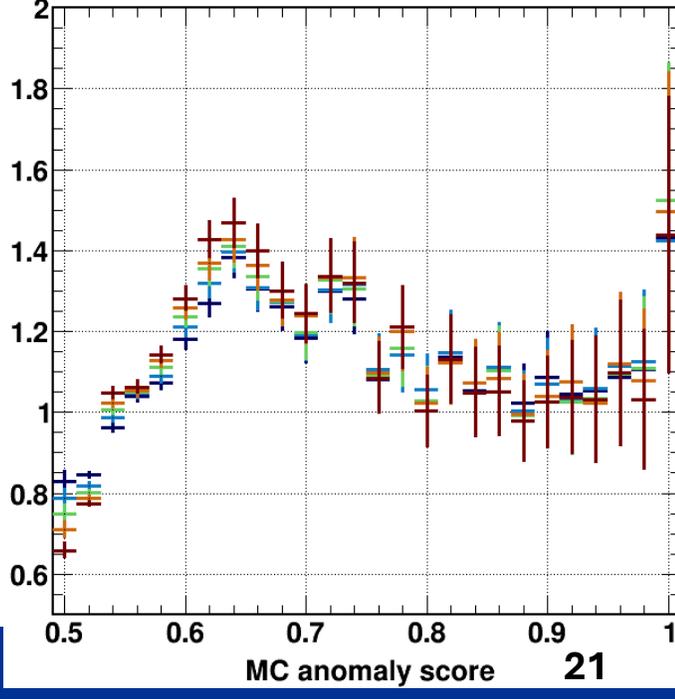
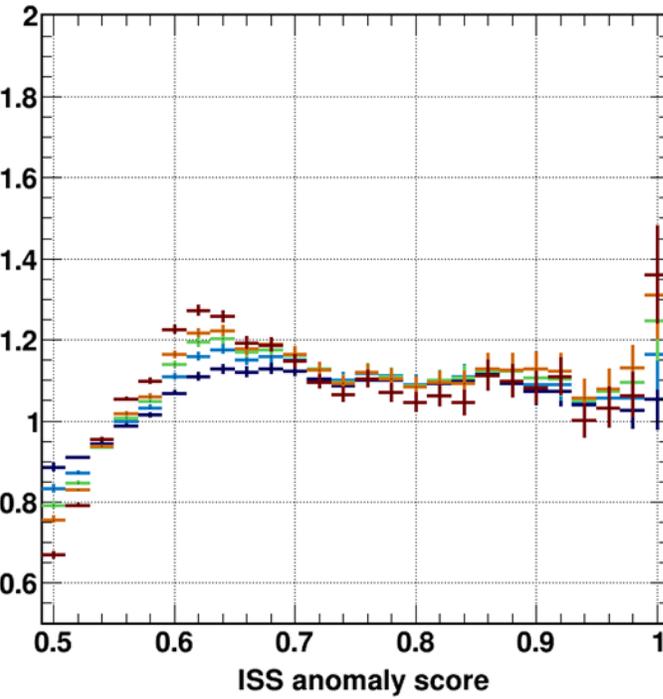
- eff. 0.95
- eff. 0.90
- eff. 0.85
- eff. 0.80
- eff. 0.67

Classifier score $\left| \frac{R_{UH} - R_{LH}}{R_{UH} + R_{LH}} \right| < 0.2$



Anomaly score $R_{INNER} > 0$

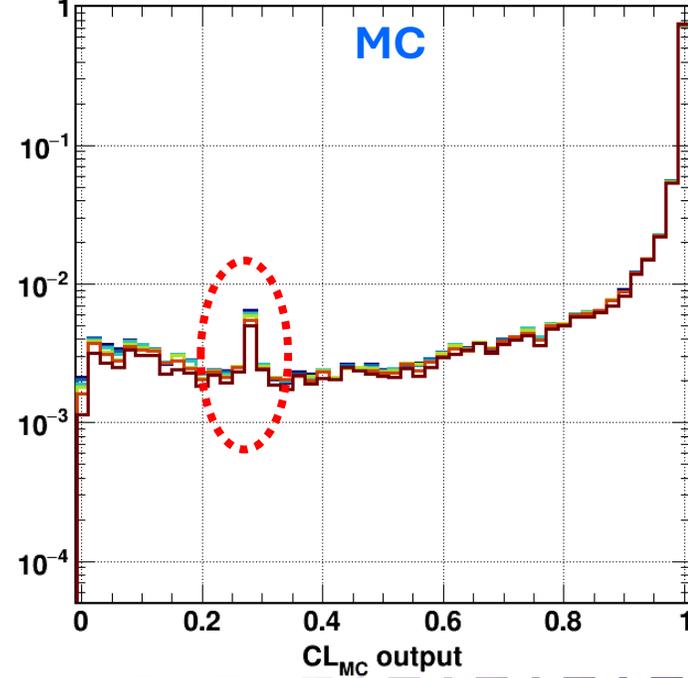
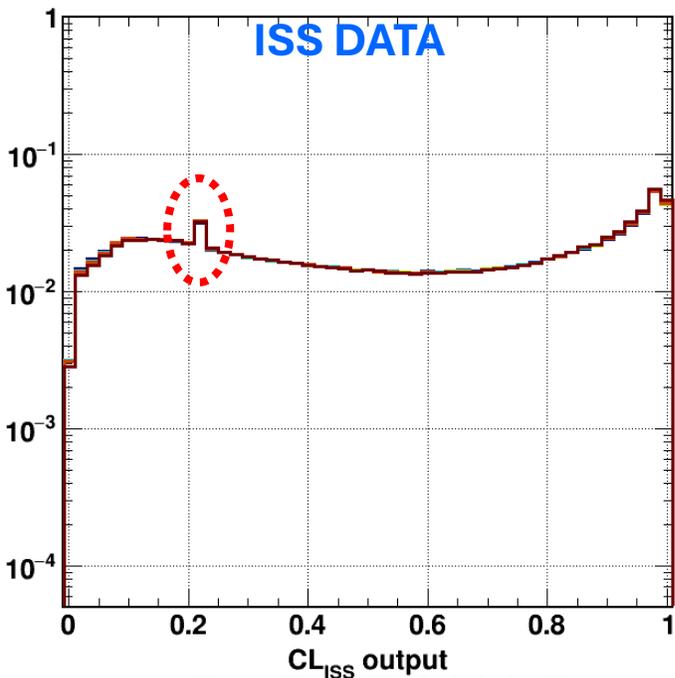
- eff. 0.95
- eff. 0.90
- eff. 0.85
- eff. 0.80
- eff. 0.67



Check on the orthogonality AGL $R \in [18, 24.7]$ GV

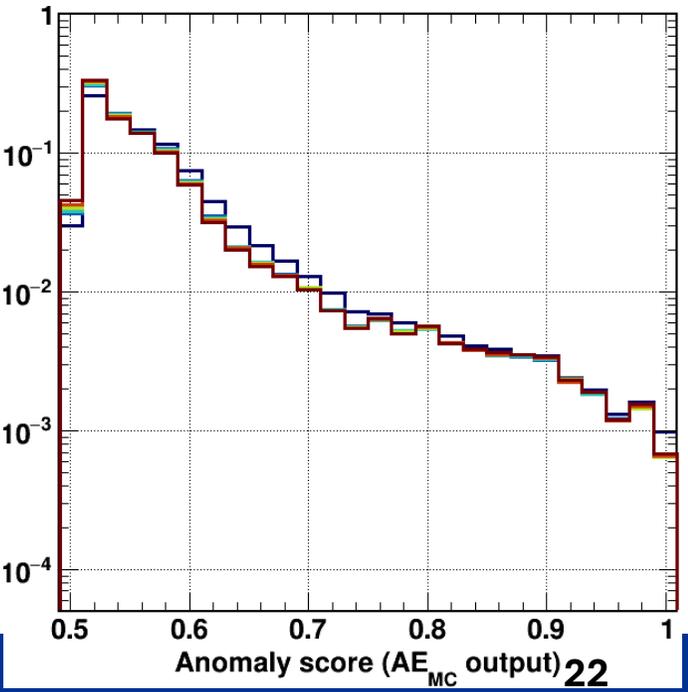
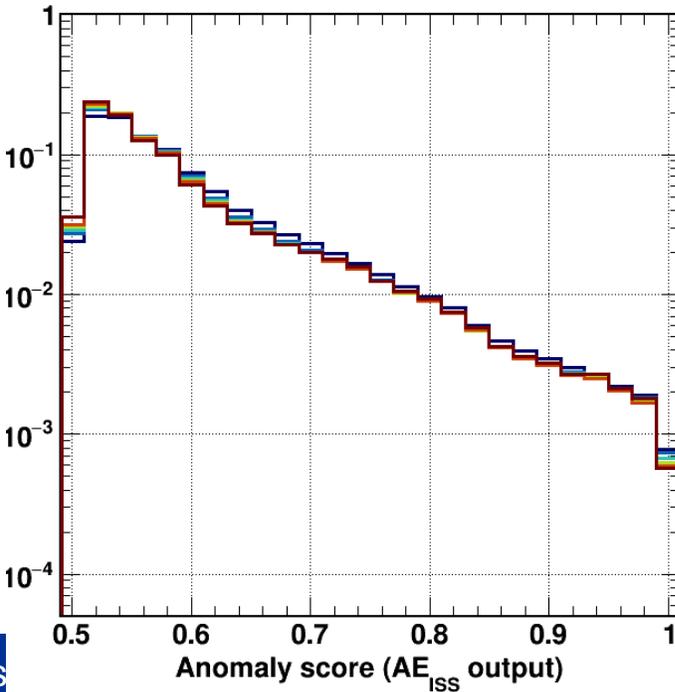
— Good reco. ($R_{INNER} > 0$) — eff. 0.95 — eff. 0.90
— eff. 0.85 — eff. 0.80 — eff. 0.67

Classifier score $\left| \frac{R_{UH} - R_{LH}}{R_{UH} + R_{LH}} \right| < 0.2$



Anomaly score $R_{INNER} > 0$

— ISS data ($R_{INNER} > 0$) — eff. 0.95 — eff. 0.90
— eff. 0.85 — eff. 0.80 — eff. 0.67

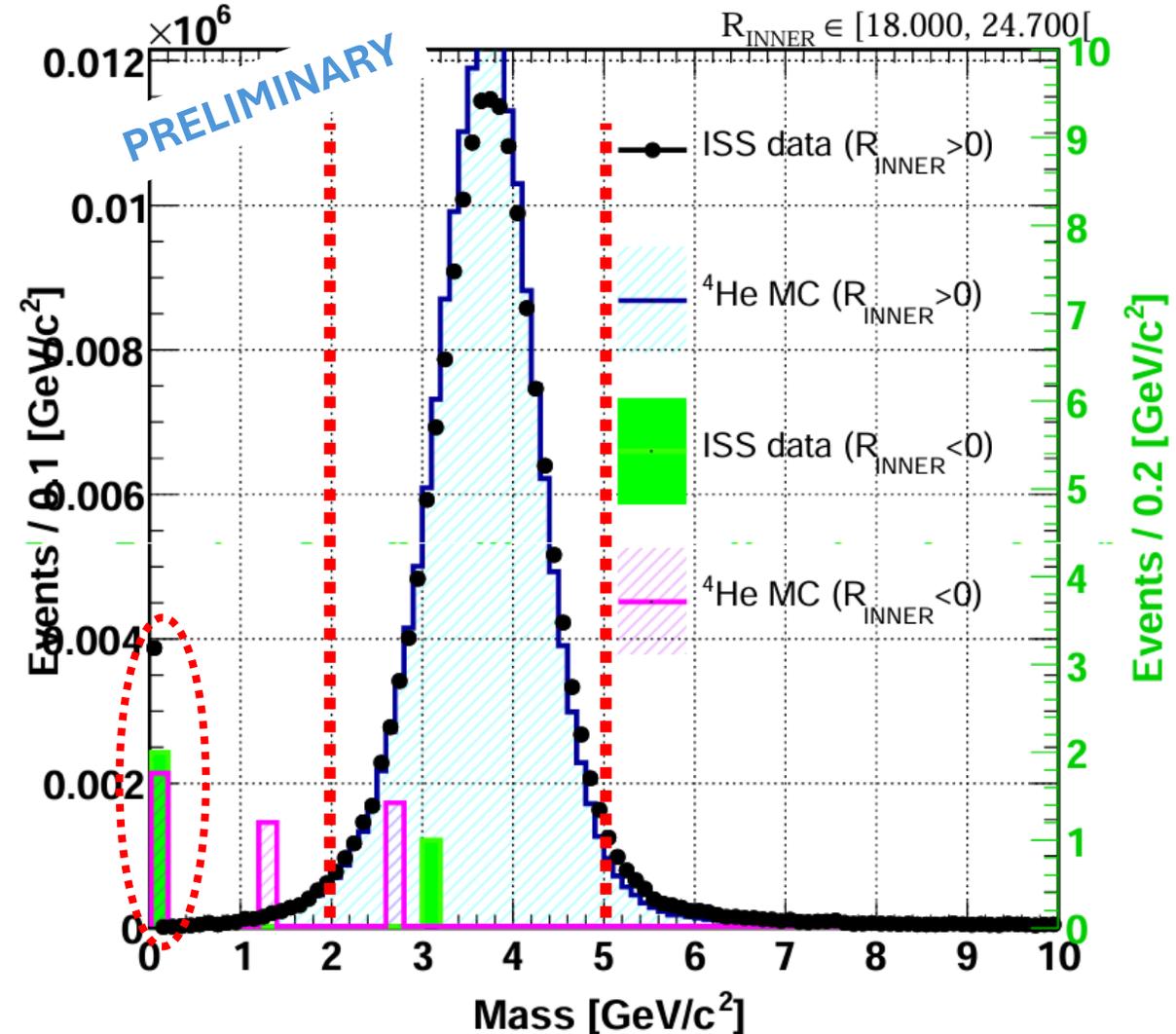
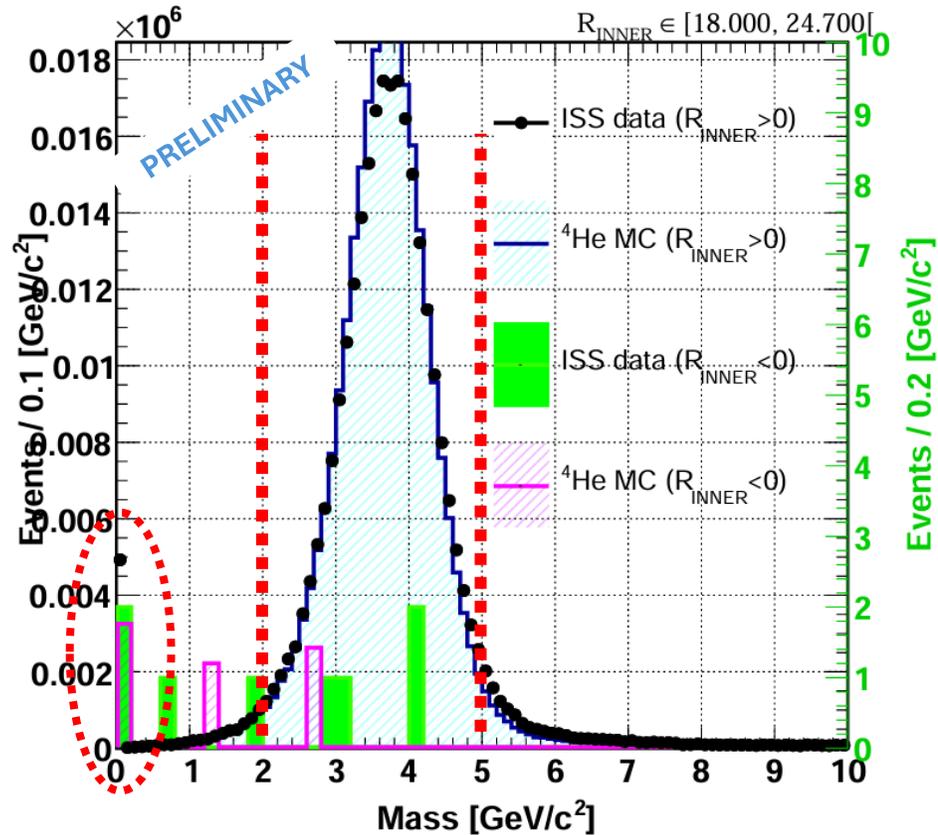


Mass distribution AGL

$R \in [18, 24.7] \text{ GV}$

- 80% efficiency on $R > 0$ AE anomaly score
- 75% efficiency on CL score $\left| \frac{R_{UH} - R_{LH}}{R_{UH} + R_{LH}} \right| < 0.2$

- 80% efficiency on $R > 0$ AE anomaly score
- 50% efficiency on CL score $\left| \frac{R_{UH} - R_{LH}}{R_{UH} + R_{LH}} \right| < 0.2$

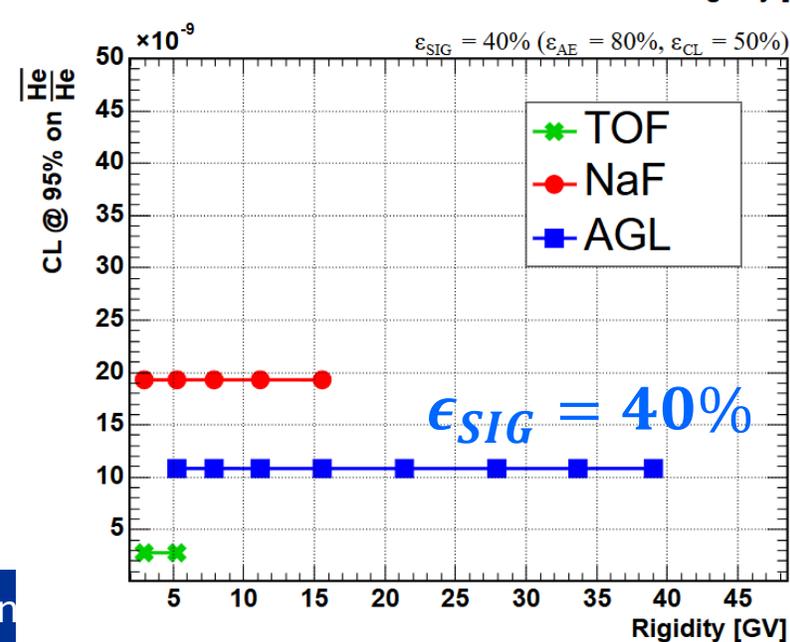
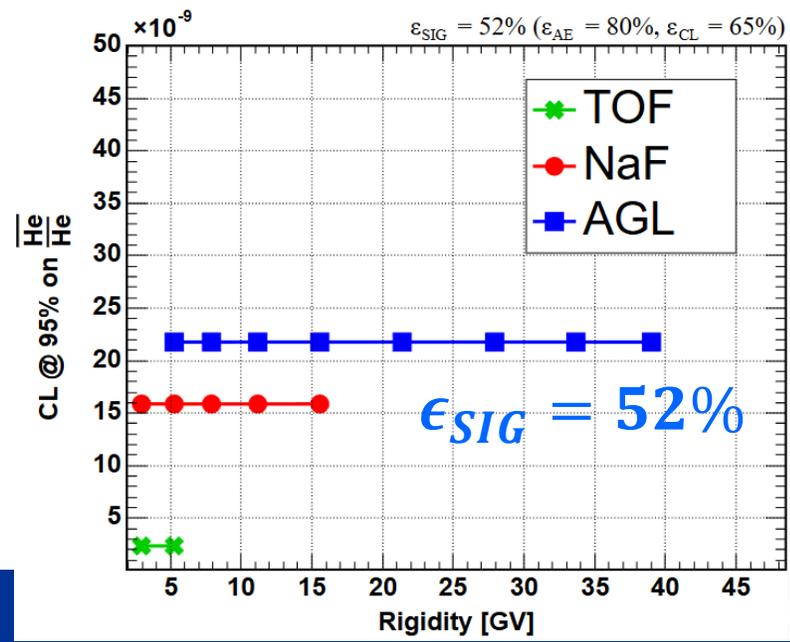
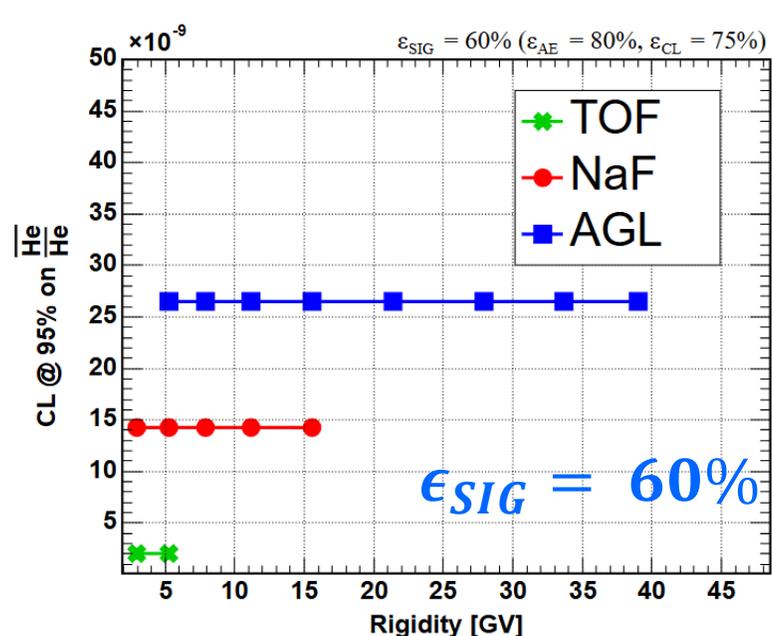
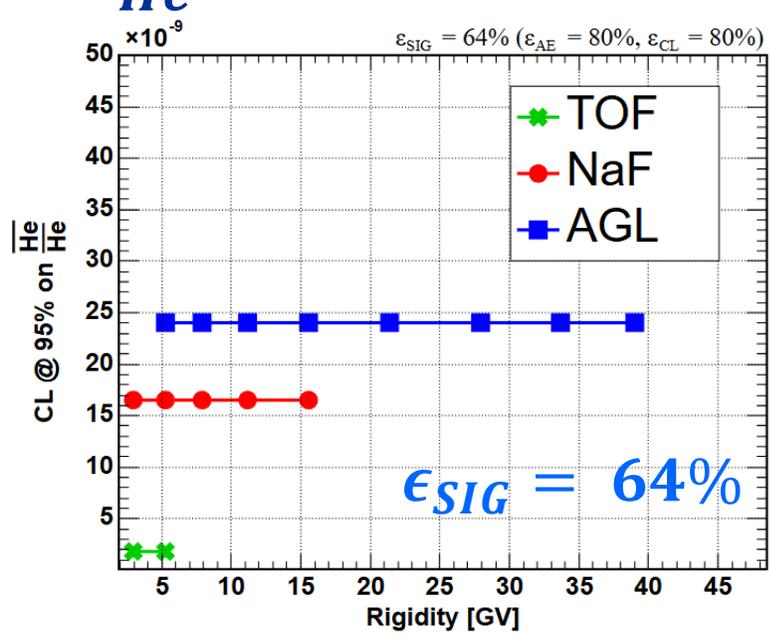
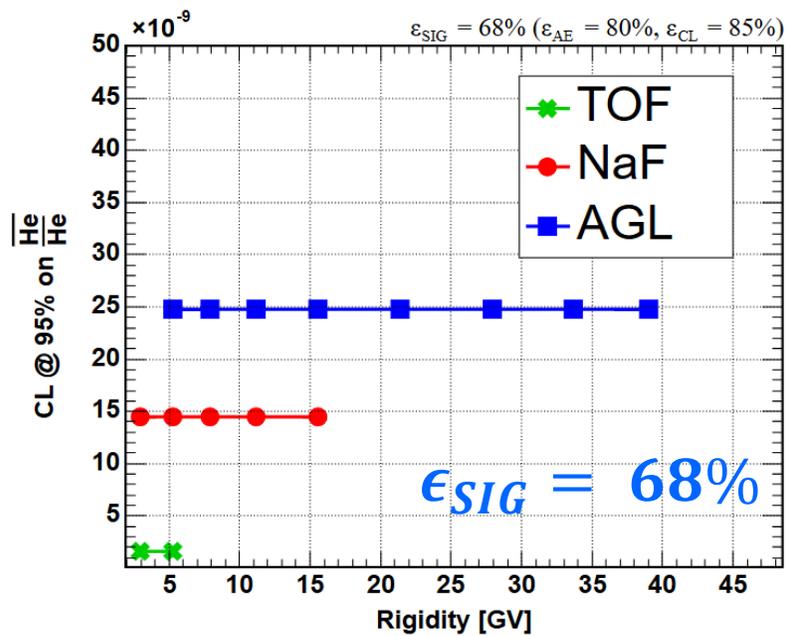


Confidence limit estimate

- Identify \overline{He} candidates:
 - additional cut on the reconstructed mass $m_{REC} \in [2,5] \text{ GeV}/c^2$
- Number of survivors in data (n_{ISS}) and MC (n_{MC}), integrated in R.
- Monte Carlo toy procedure.
- Repeat it for
 - TOF:
 - [1.92,4.02], [4.02,6.4]
 - NaF:
 - [1.92,4.02], [4.02,6.4],
[6.47,9.26],[9.26,13],[13,18]
 - AGL:
 - [4.02,6.4],[6.47,9.26],[9.26,13],[13,18],[18,24.7],
[24.7,31.1],[31.1,36.1],[36.1,41.9],[41.9,48.5],
48.5<

95% confidence limit on $\frac{\overline{\text{He}}}{\text{He}}$ at 80% eff. on AE anomaly score

PRELIMINARY



Conclusions

- Two **independent and orthogonal** Neural Networks have been presented:
 1. **Supervised classifier** quantifies the **quality of the reconstructed rigidity**.
 2. **Unsupervised autoencoder** identifies well-reconstructed events.
- The networks trained on MC are used to study their performance and improve them.
- The networks trained on ISS are used to identify possible \overline{He} candidates.
- **95% confidence limit on $\frac{\overline{He}}{He}$ for TOF, NaF and AGL detector.**
- Studies on the reliability of the \overline{He} candidates (distance from tracker feet). **ONGOING**
- Cross-check with MIT candidates **ONGOING**
- Coverage of the MC toys procedure **ONGOING**
- Combination of the limits **ONGOING**

Event selection:

RTI

Good RTI
Outside SAA
Livetime > 0.5
Zenith $< 40^\circ$

TRIGGER

Physical trigger

TOF β

$N. \beta$ clusters ≥ 4
 $\beta > 0.3$
 $\chi_{TIME}^2 < 10$

TRACK

$N. \text{ tracks} \leq 2$
L2 XY hit
Y pattern: ≥ 5 between L3-L8
X pattern: ≥ 4 , L3||L4, L5||L6, L7||L8

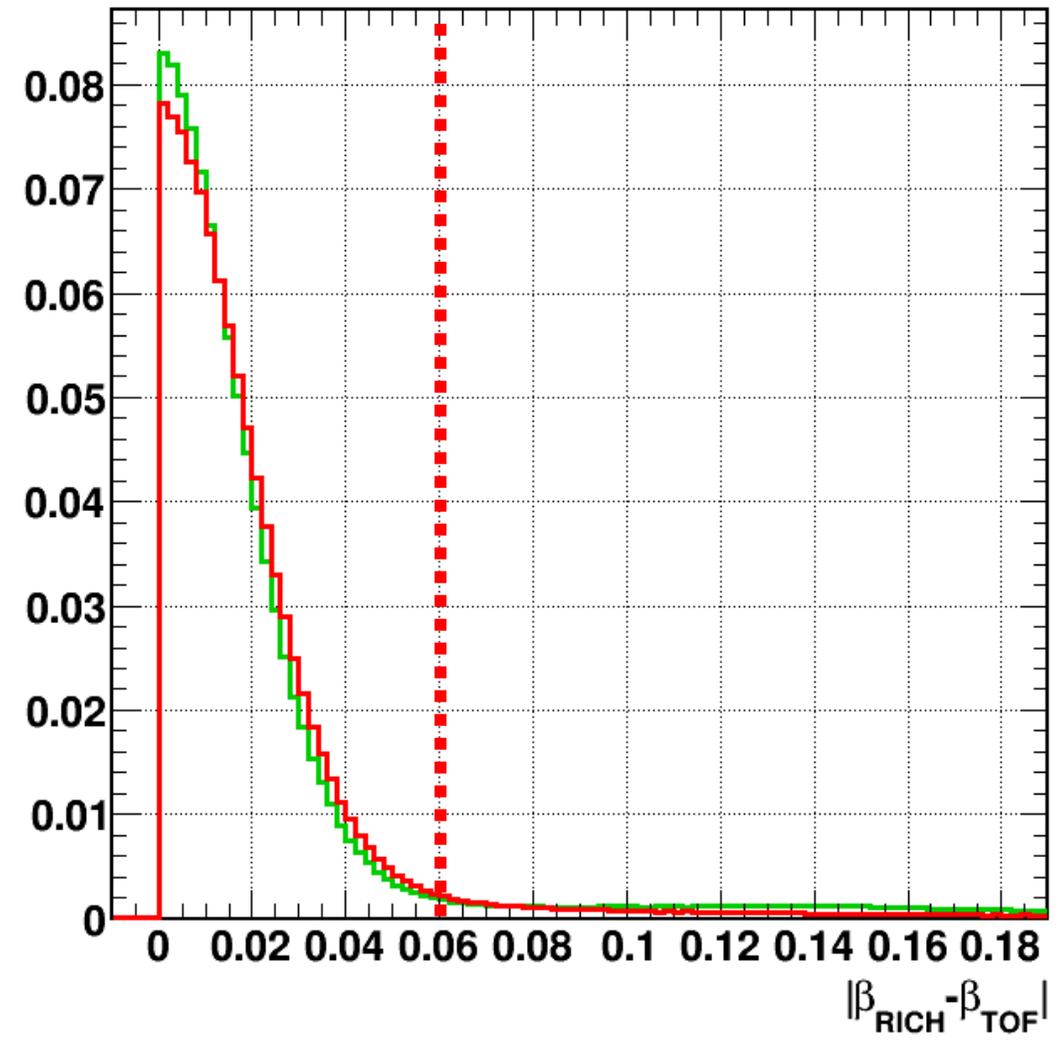
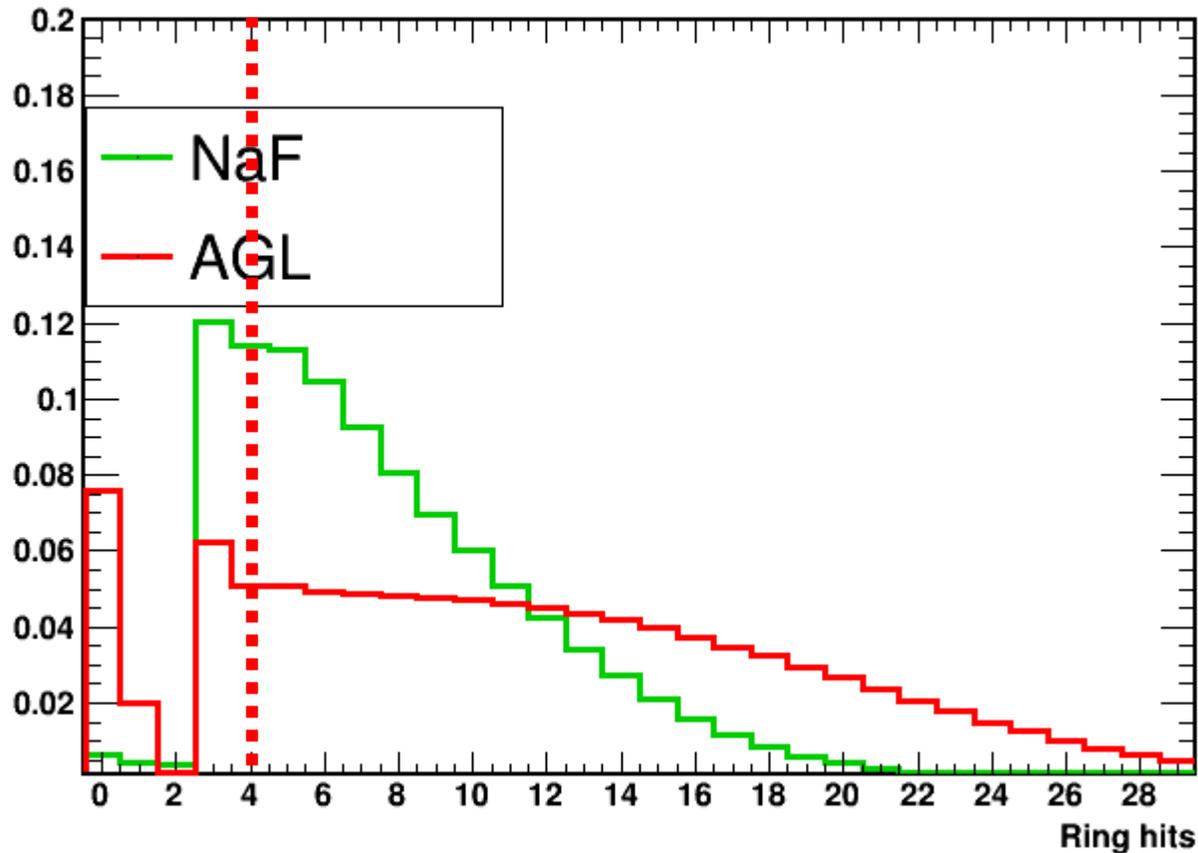
TRACKER

Z Inner Tracker $\in [1.7, 2.4]$
Inner fiducial volume
 χ_Y^2 (inner) < 10
 χ_X^2 (inner) < 10

Tracker fiducial volume cut:

L1: $|R| < 62\text{cm}$, $|Y| < 47\text{cm}$
L2: $|R| < 62\text{cm}$, $|Y| < 40\text{cm}$
L3: $|R| < 46\text{cm}$, $|Y| < 44\text{cm}$
L4: $|R| < 46\text{cm}$, $|Y| < 44\text{cm}$
L5: $|R| < 46\text{cm}$, $|Y| < 36\text{cm}$
L6: $|R| < 46\text{cm}$, $|Y| < 36\text{cm}$
L7: $|R| < 46\text{cm}$, $|Y| < 44\text{cm}$
L8: $|R| < 46\text{cm}$, $|Y| < 44\text{cm}$

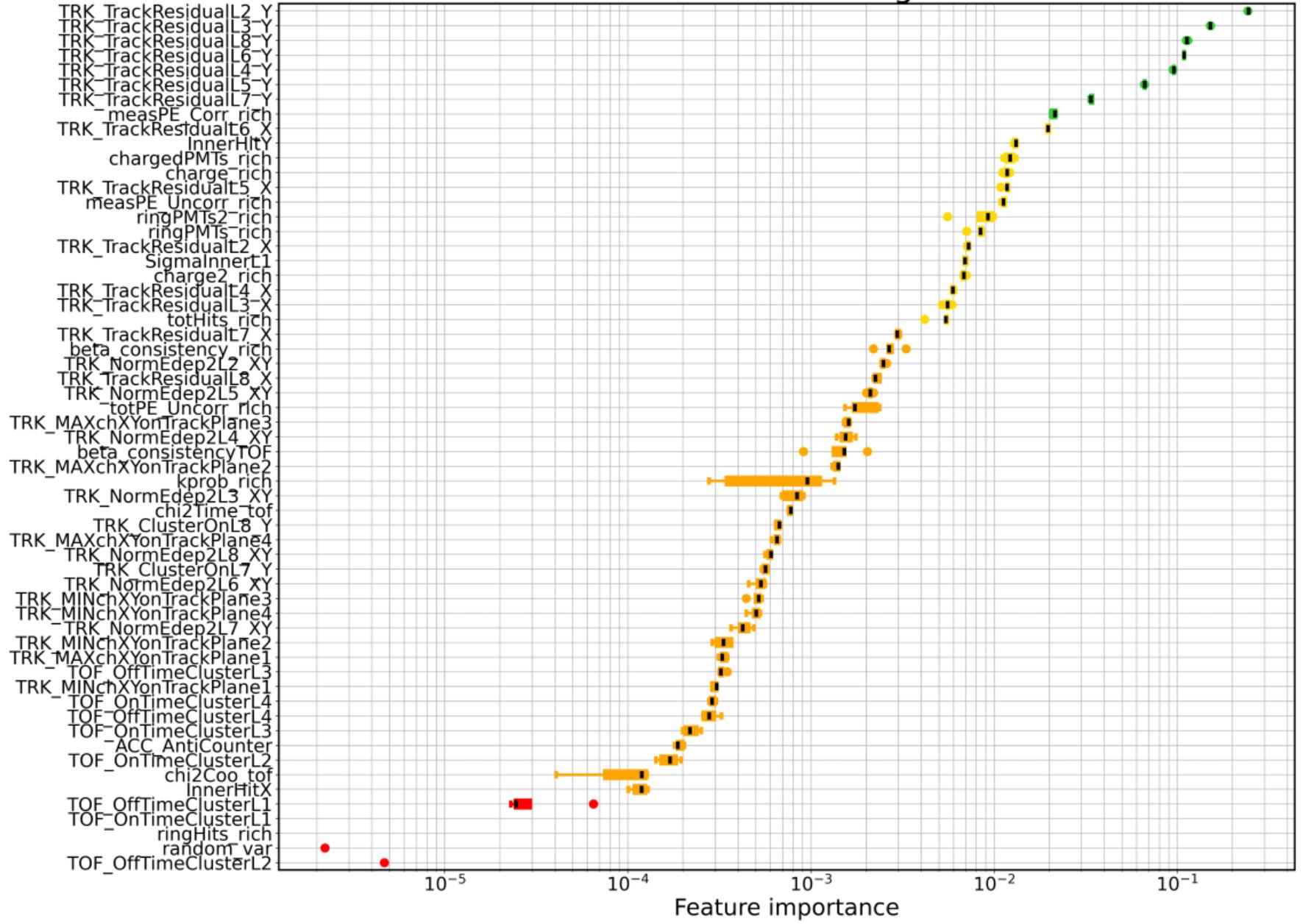
Rich hits and compatibility with TOF



Feature Ranking

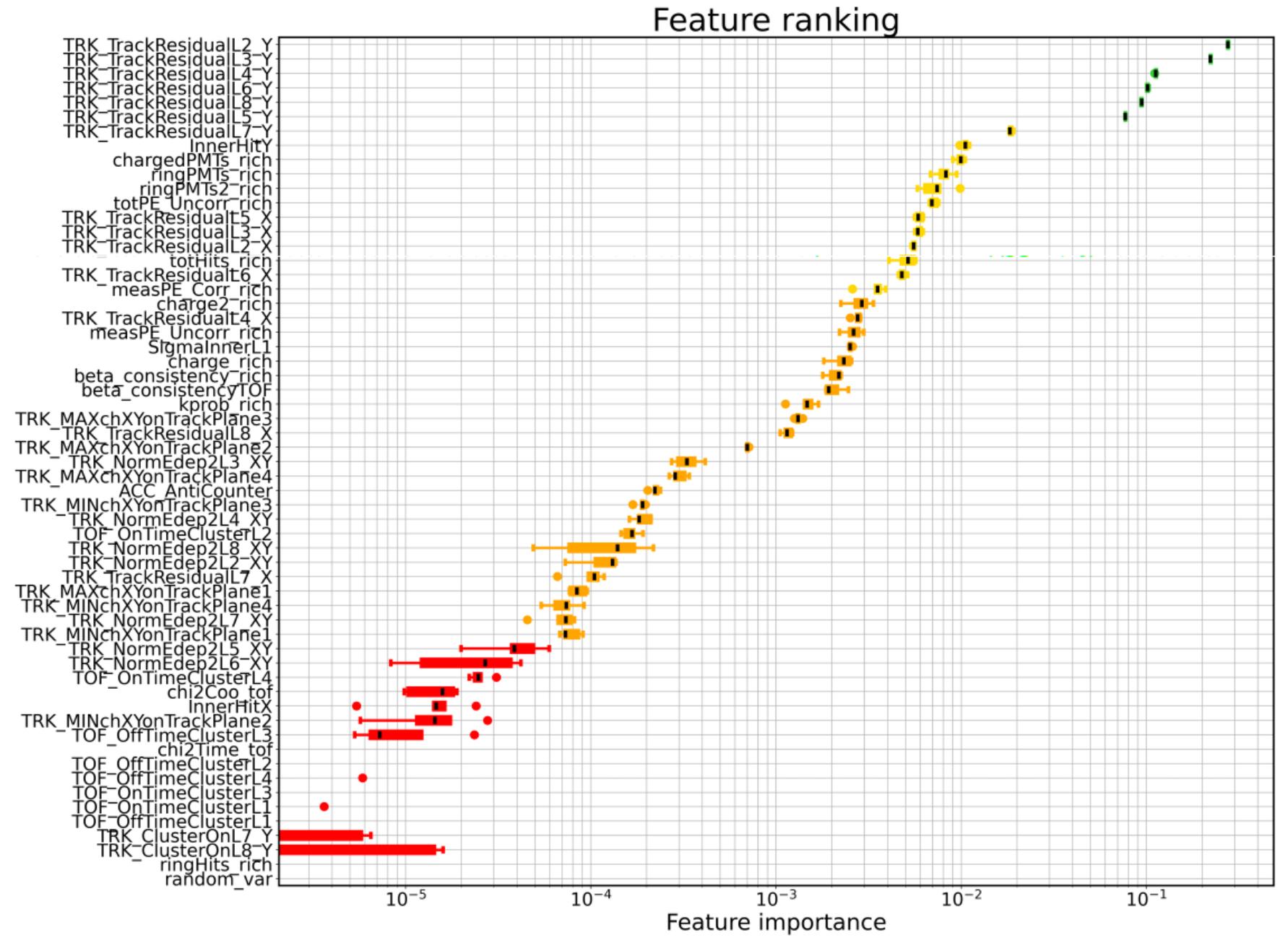
CLISS

Feature ranking

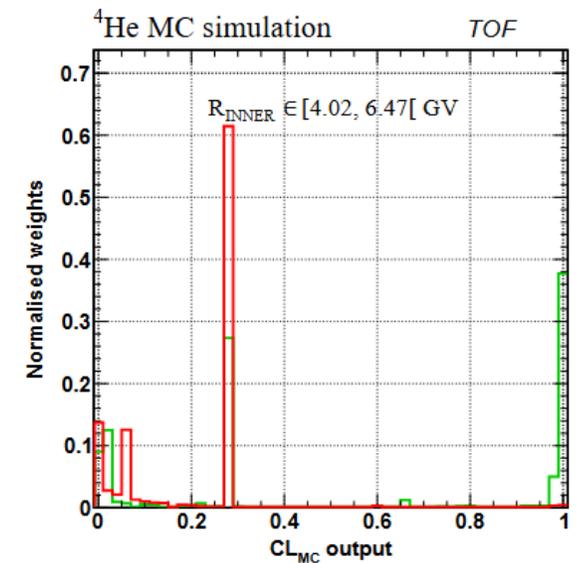
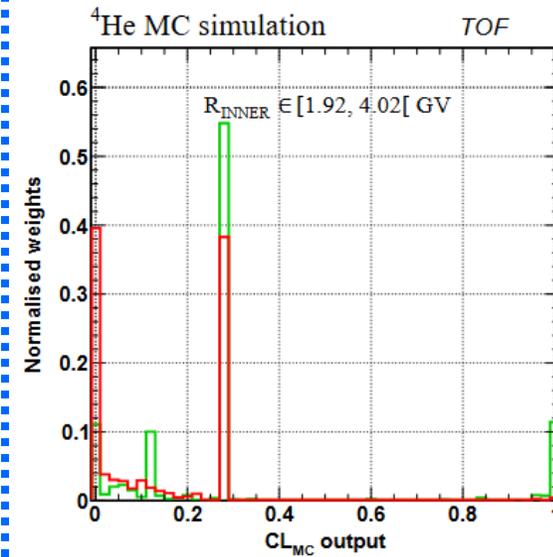
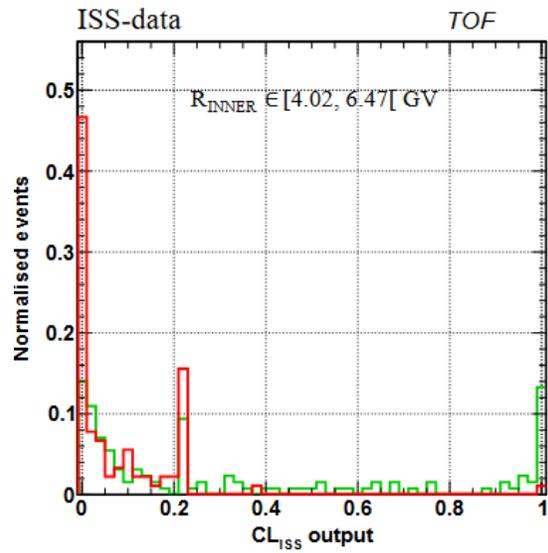
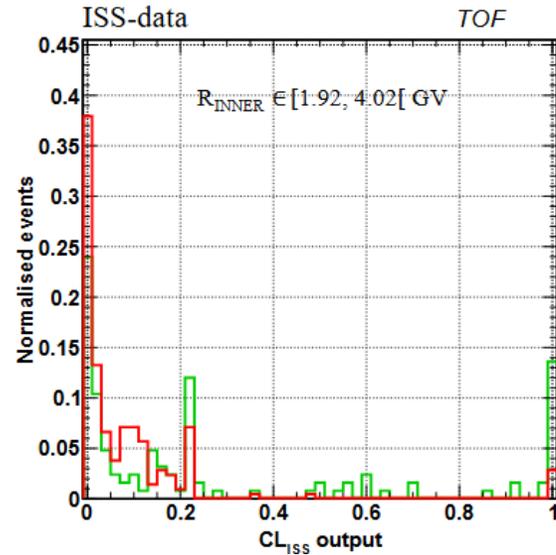
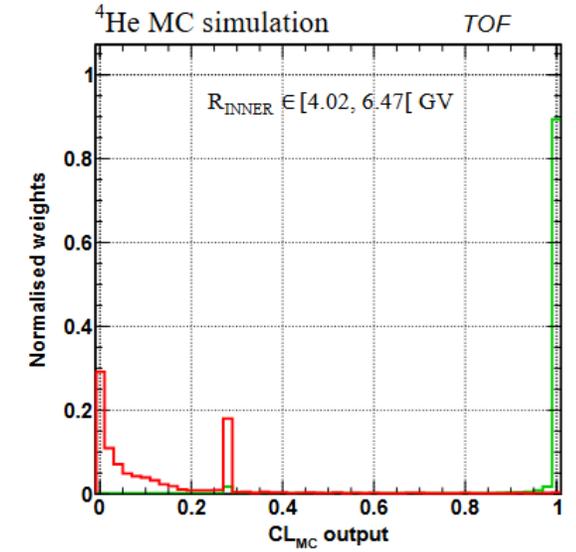
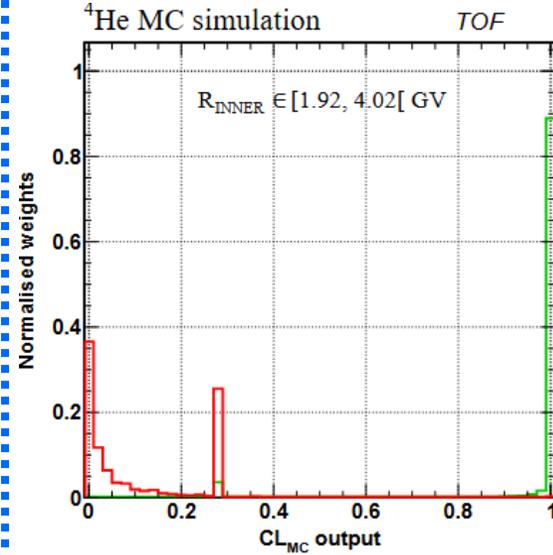
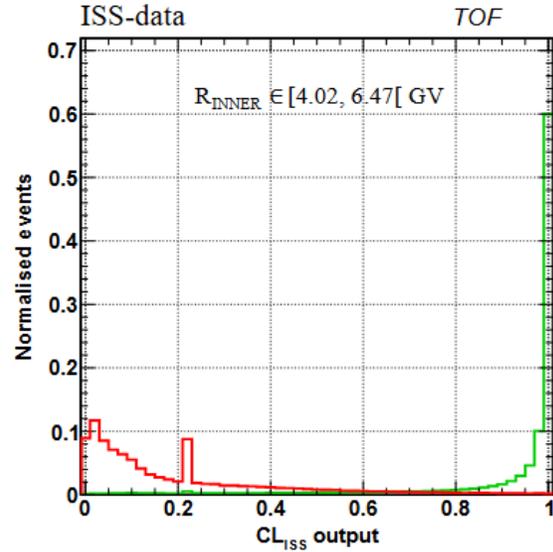
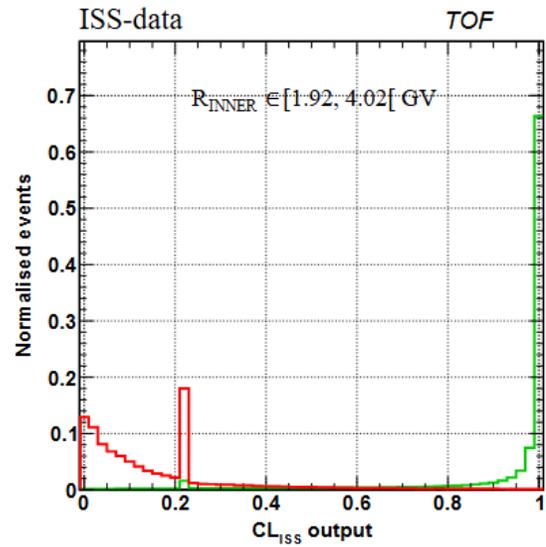


Feature Ranking

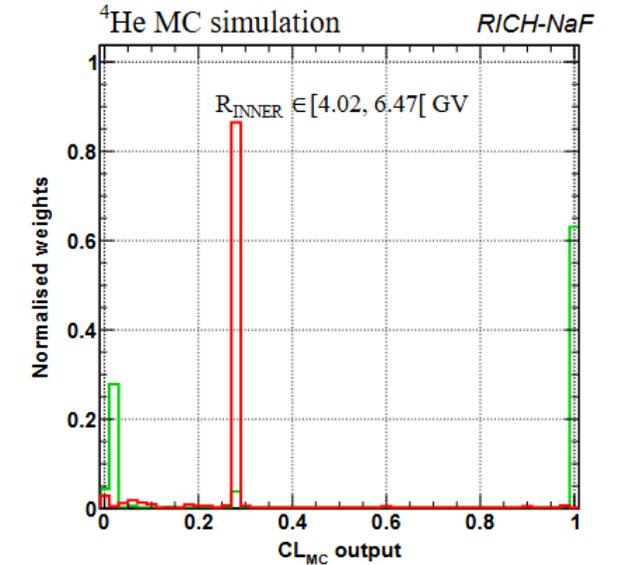
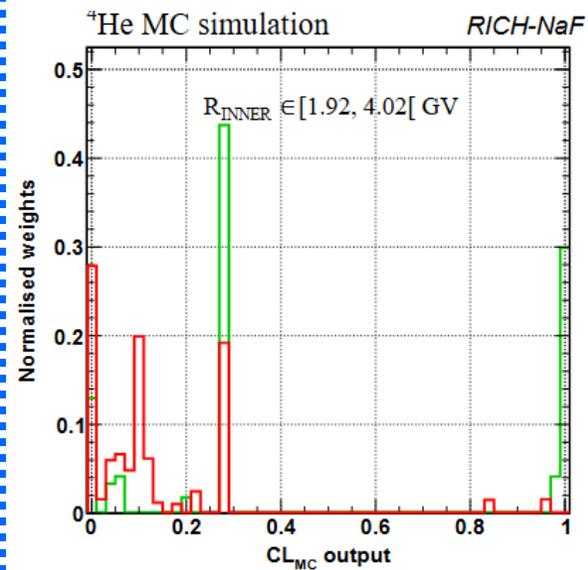
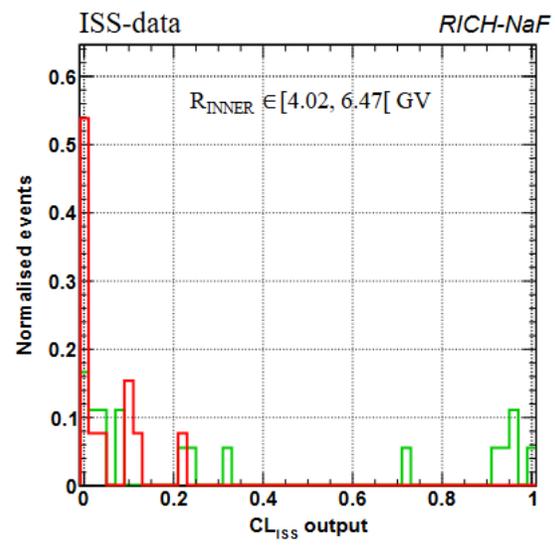
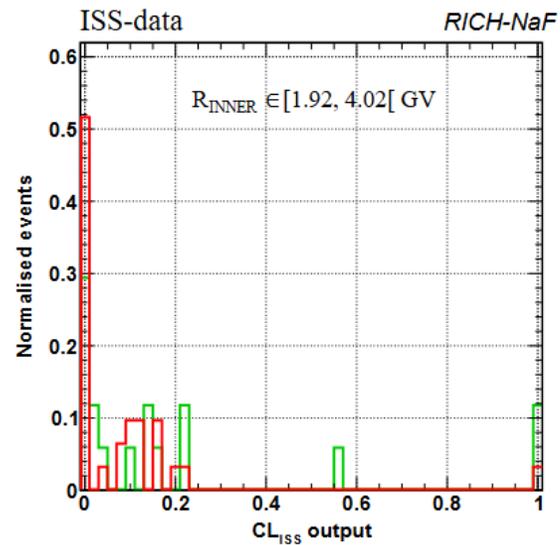
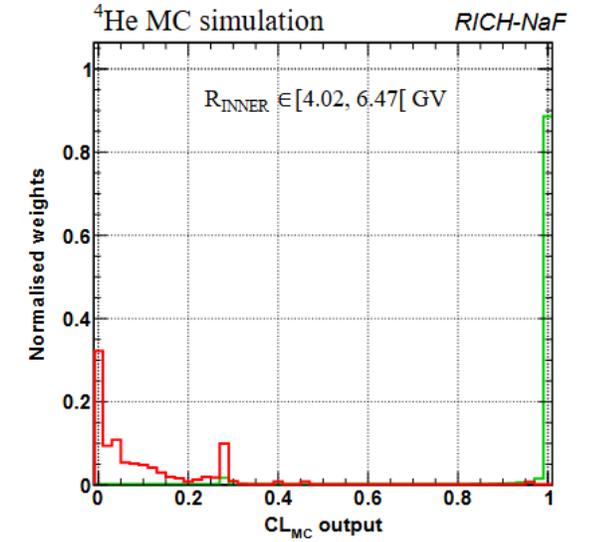
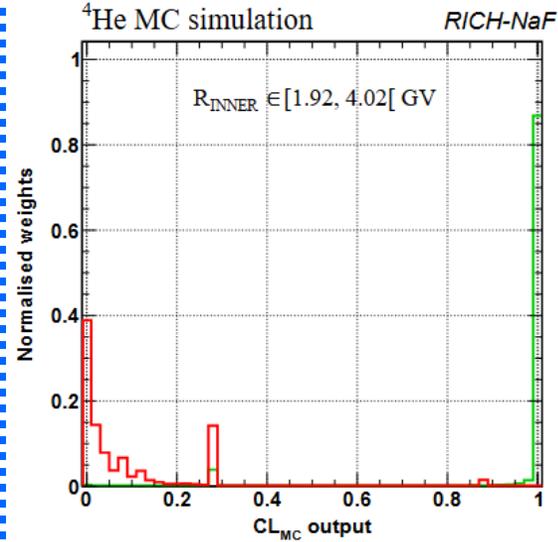
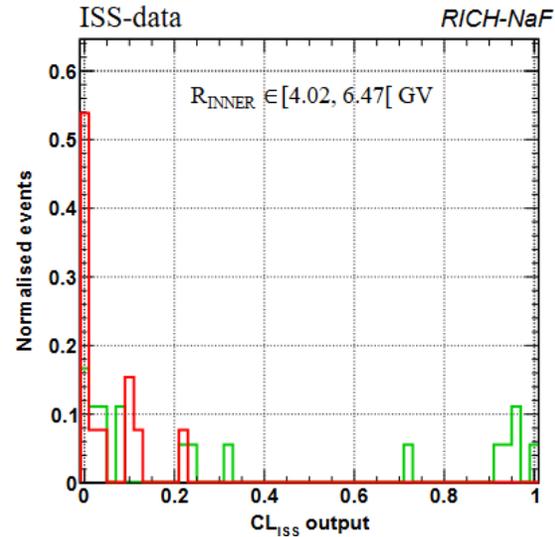
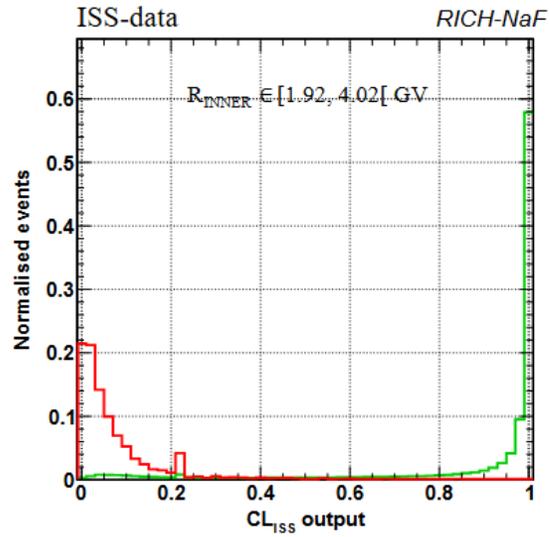
CL_{MC}



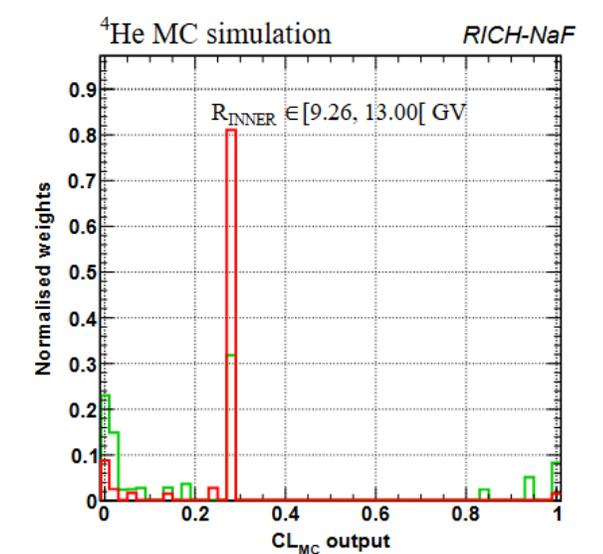
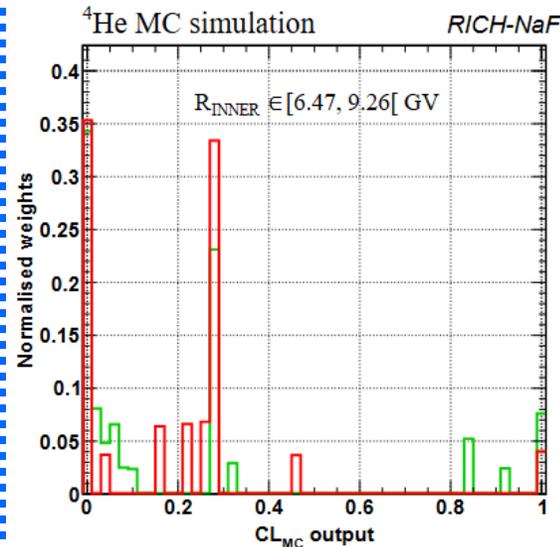
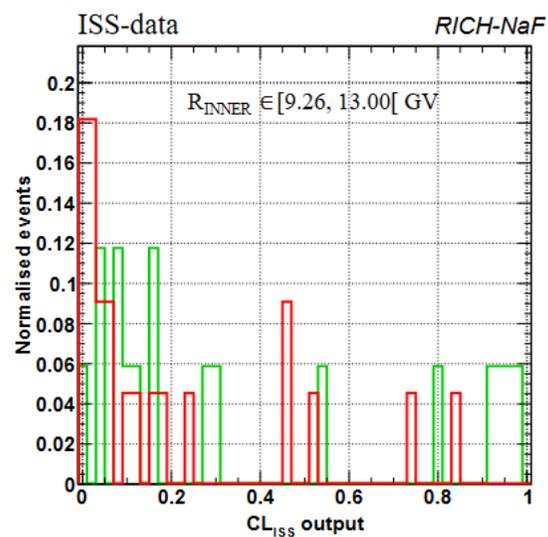
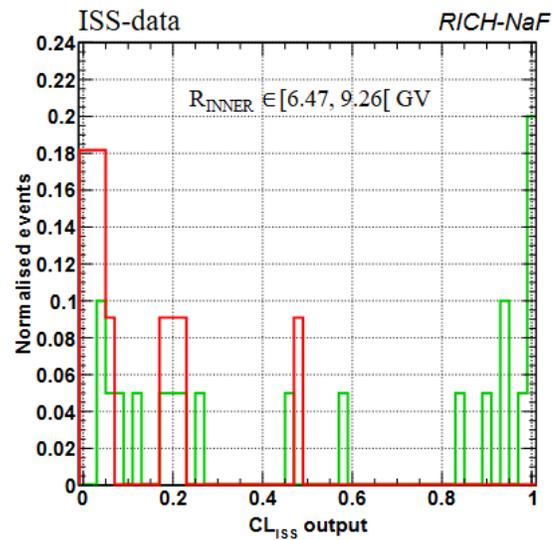
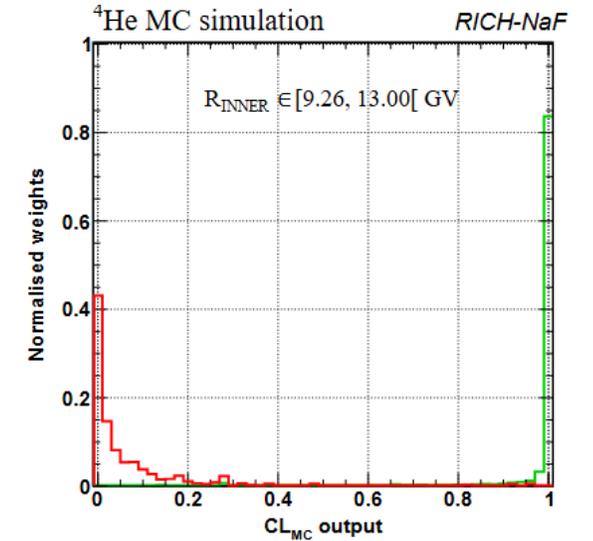
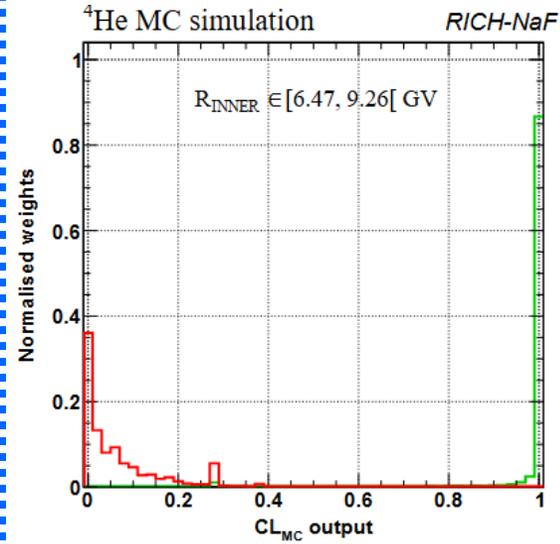
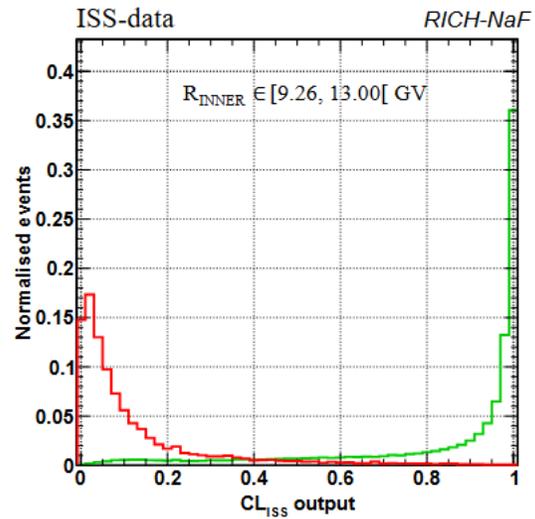
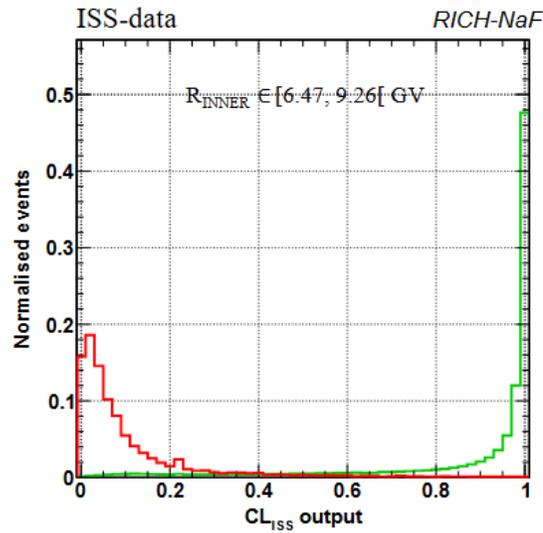
Scores TOF



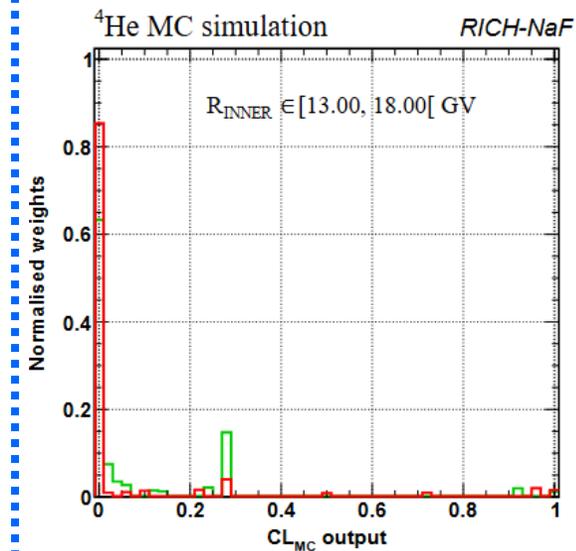
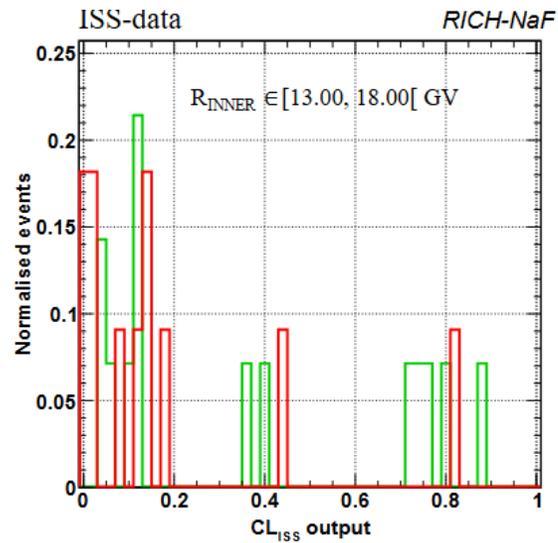
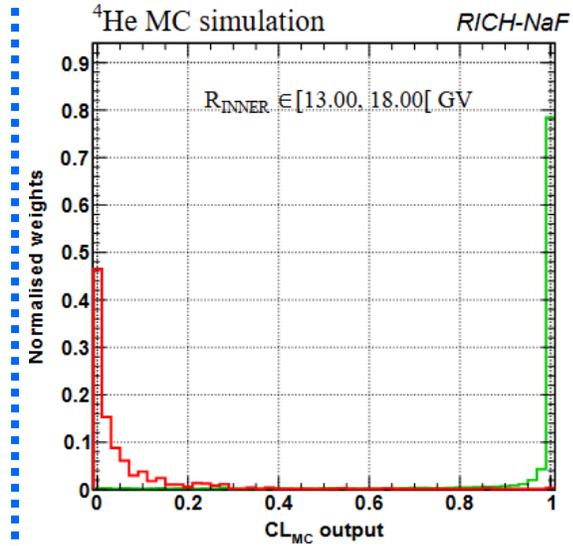
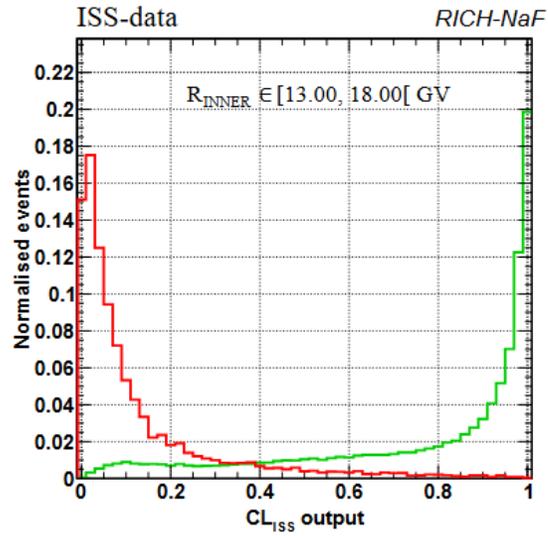
Scores NaF 1



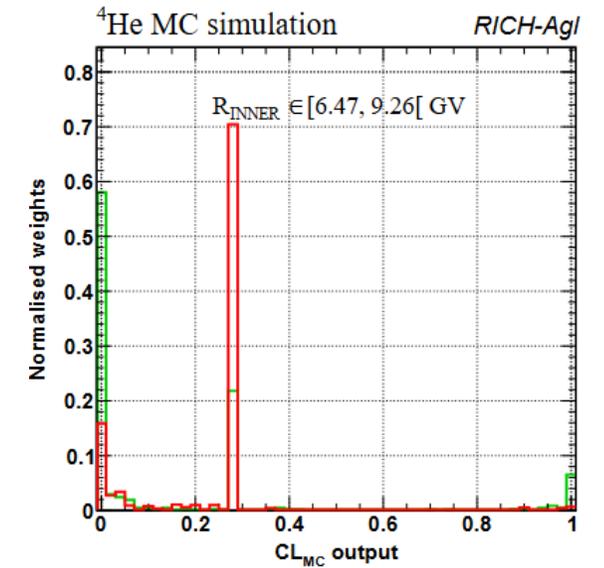
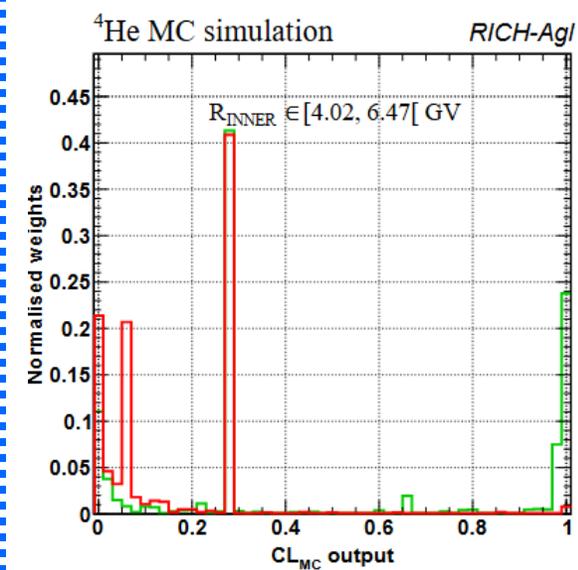
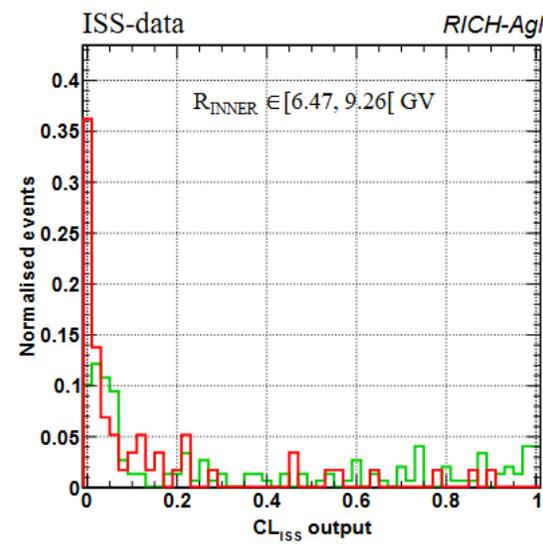
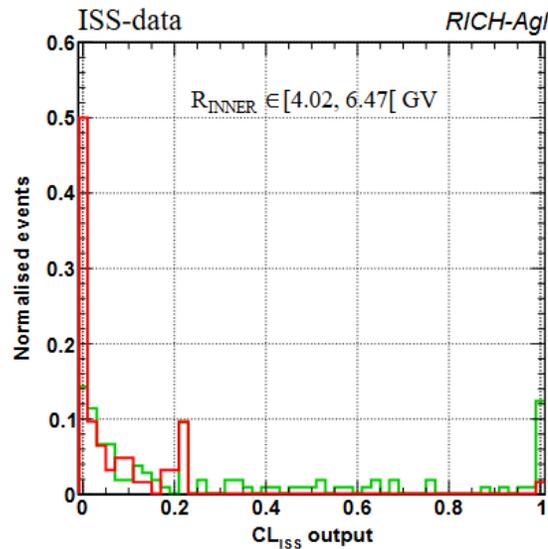
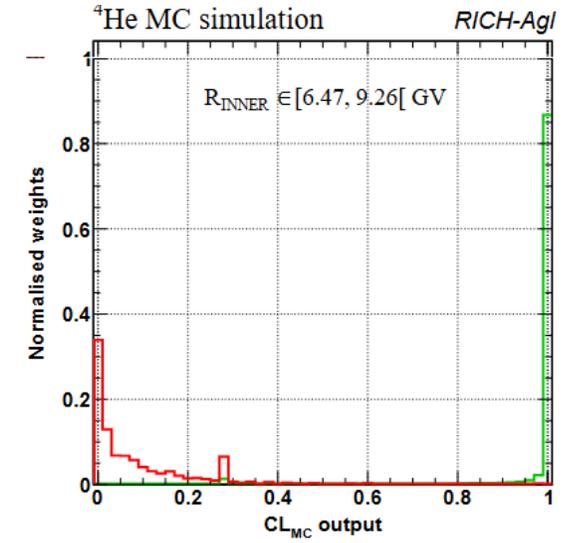
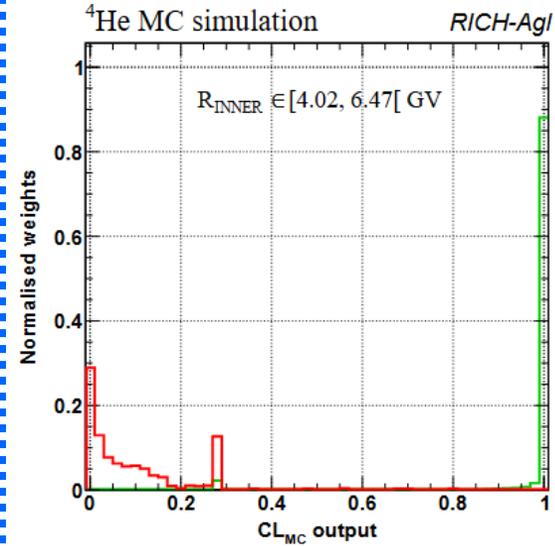
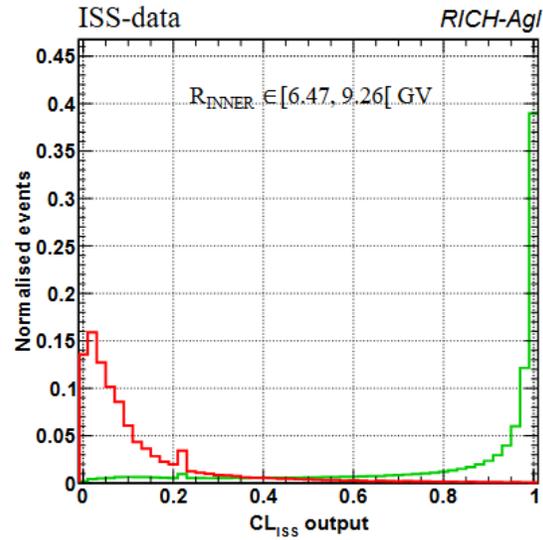
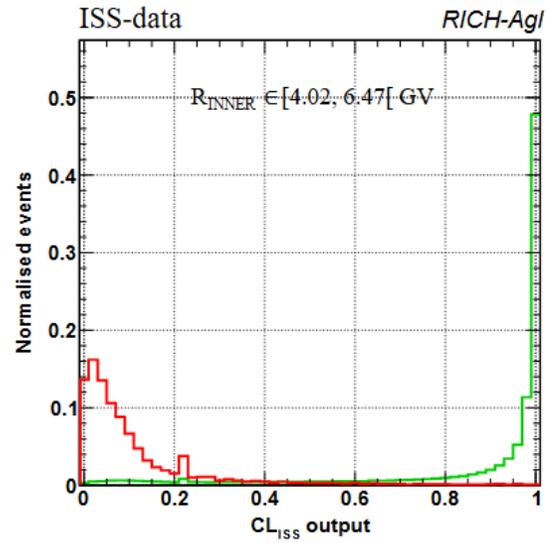
Scores NaF 2



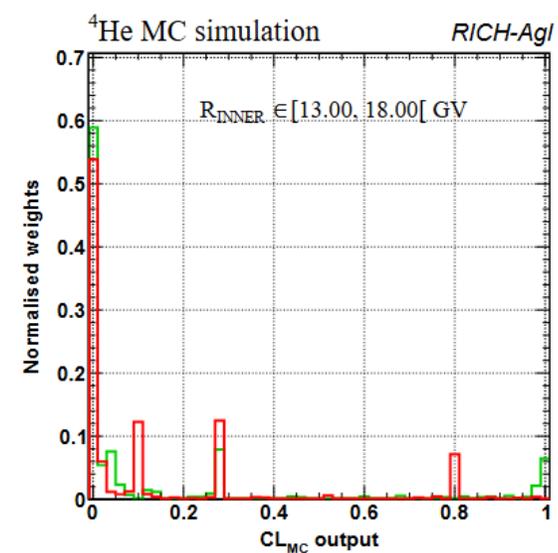
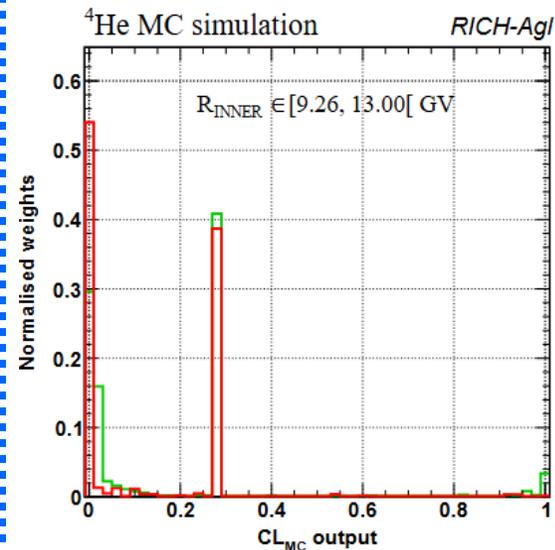
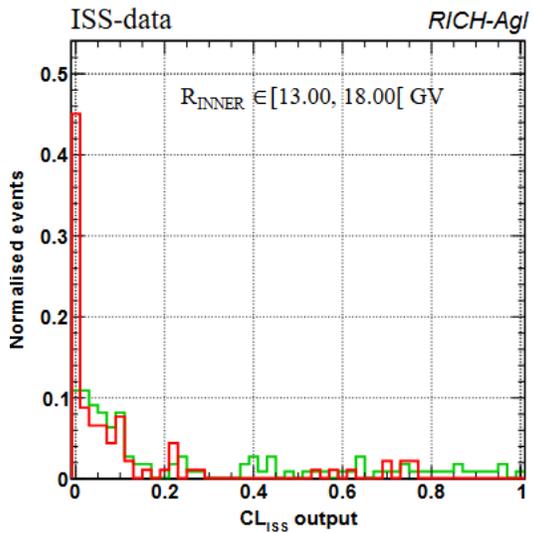
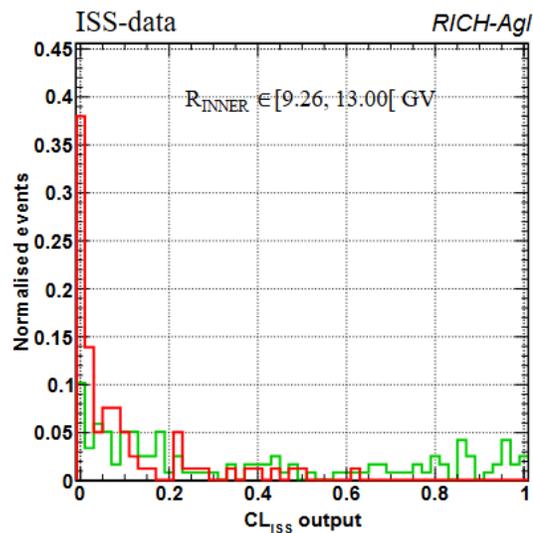
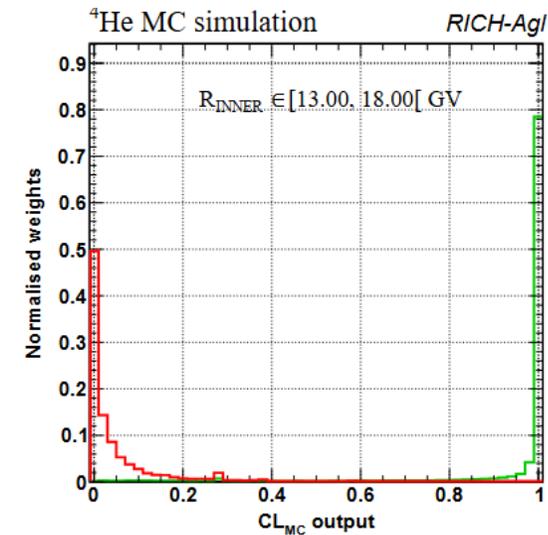
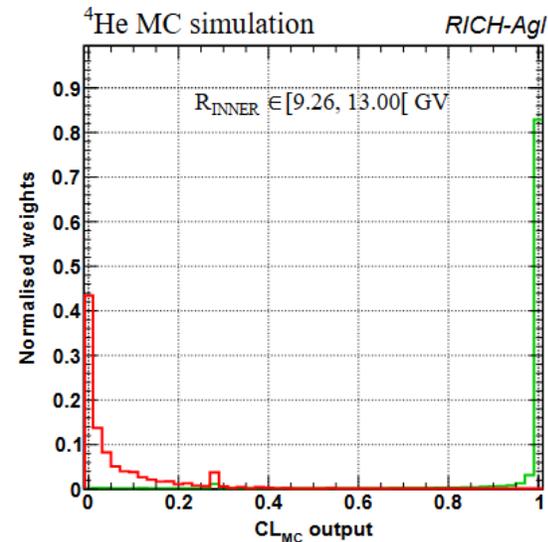
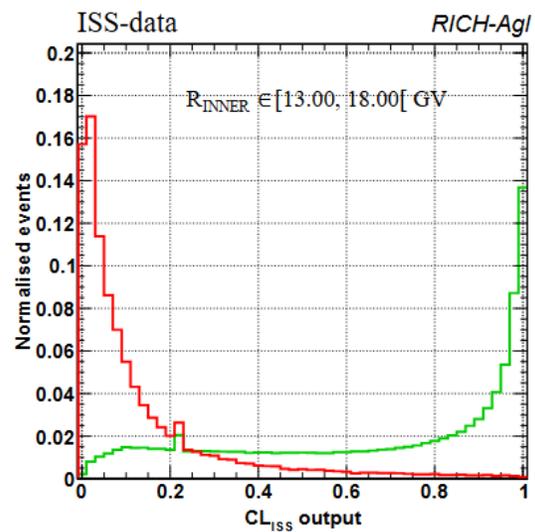
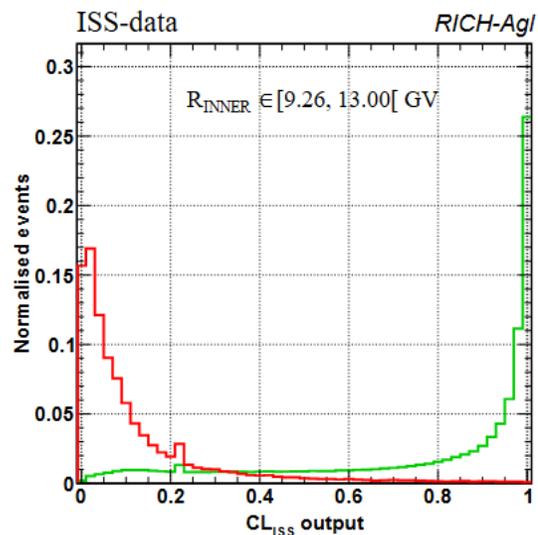
Scores NaF 3



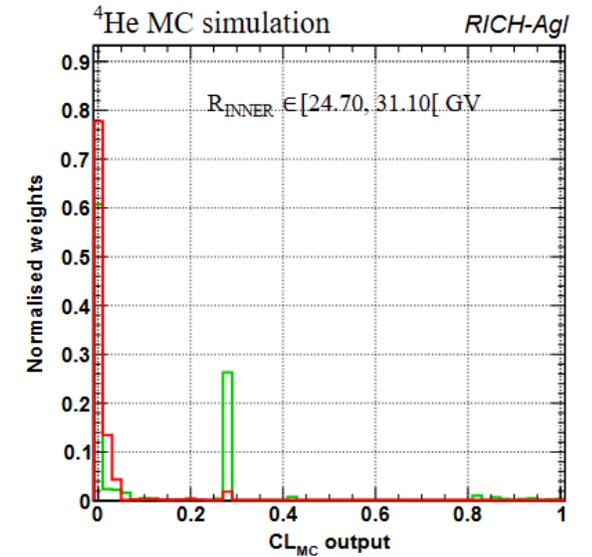
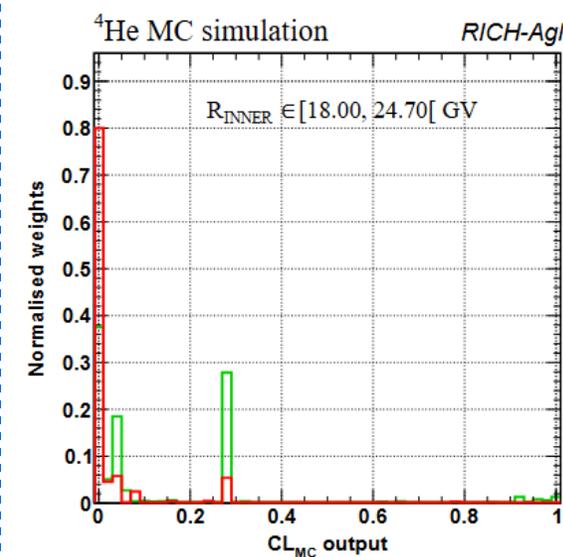
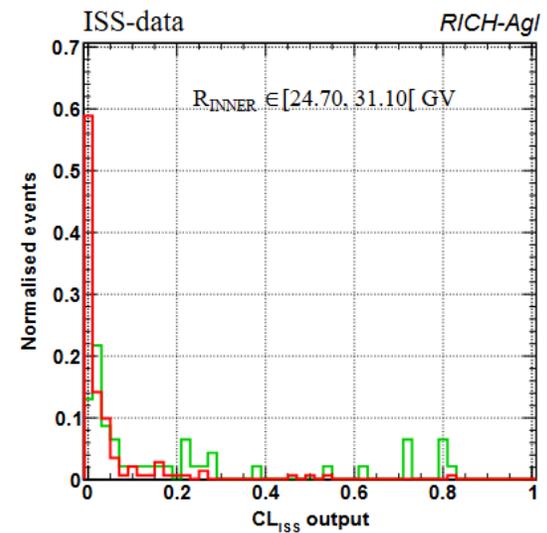
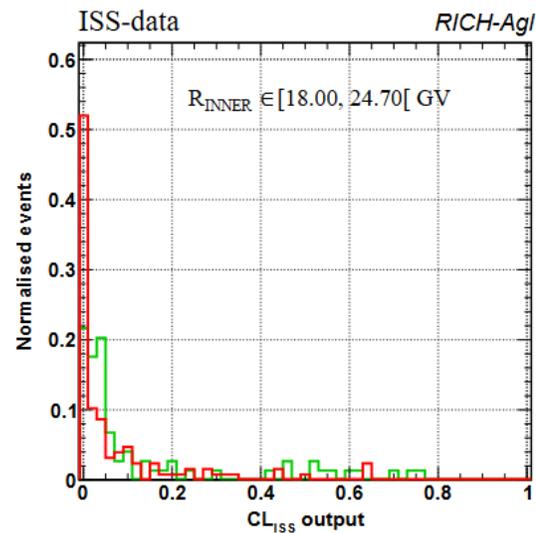
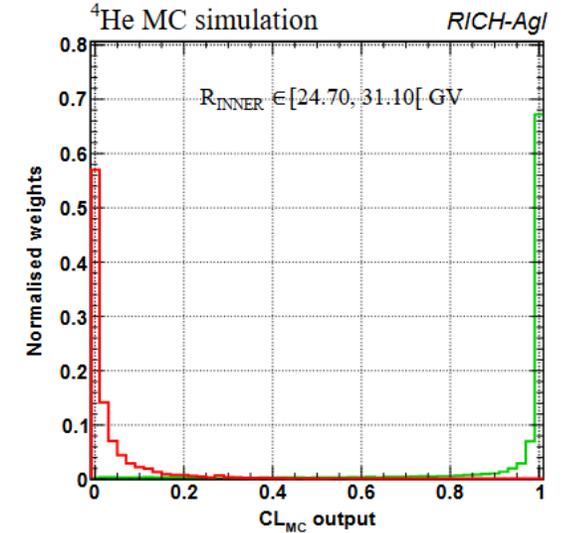
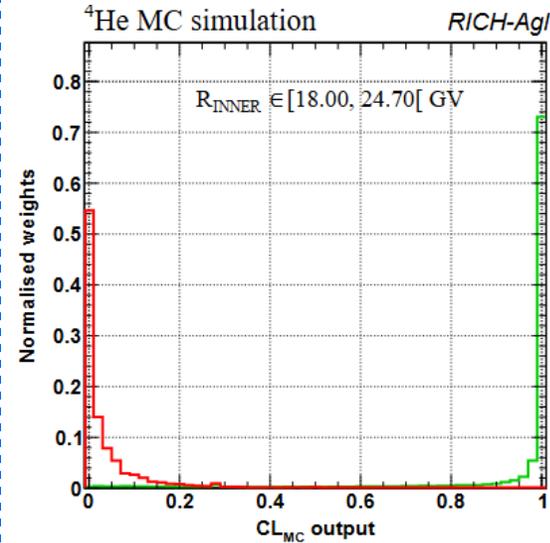
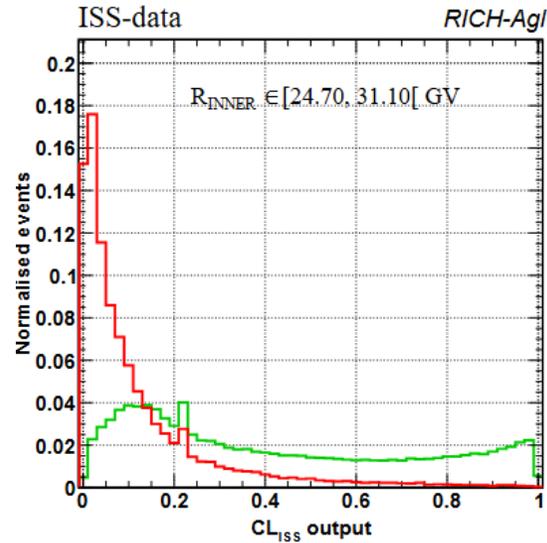
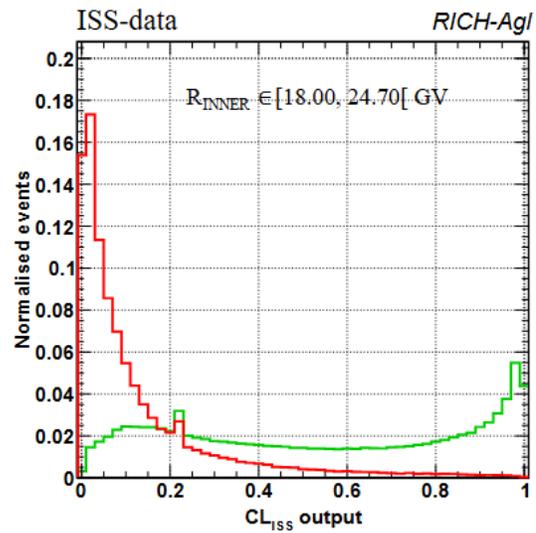
Scores AGL 1



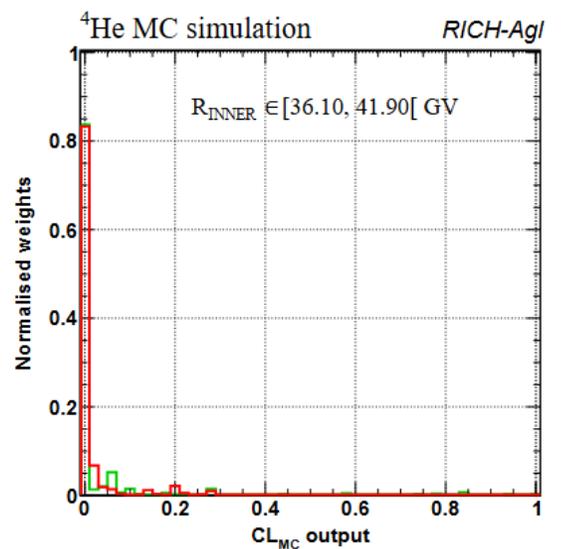
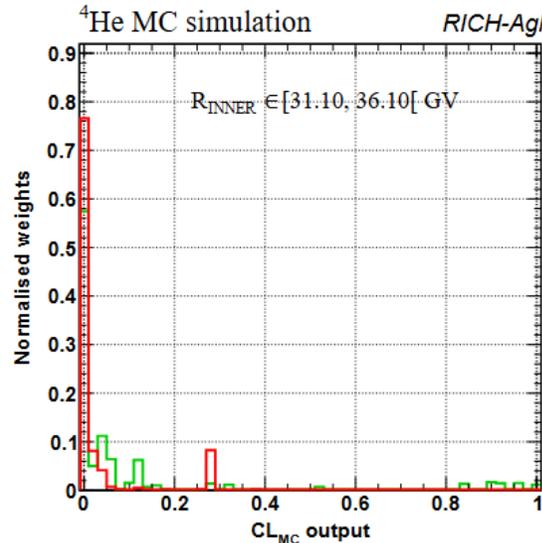
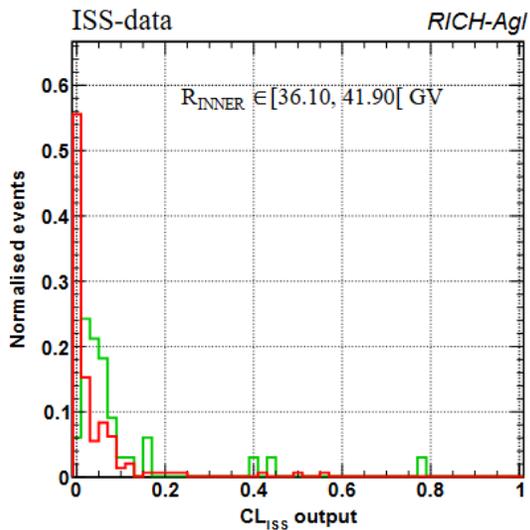
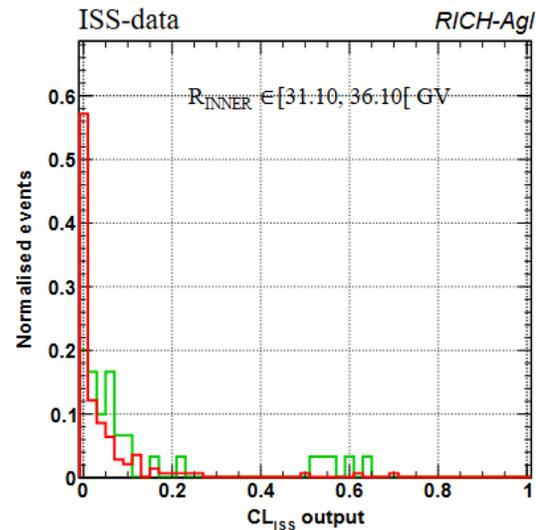
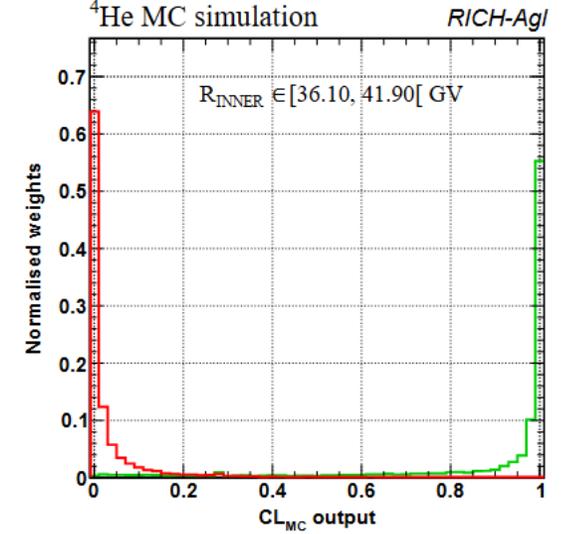
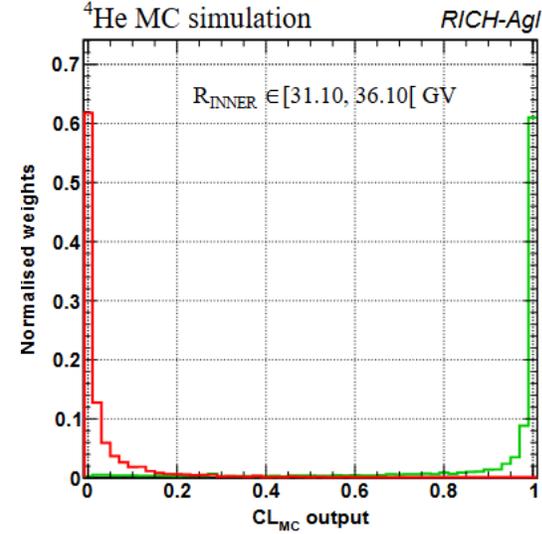
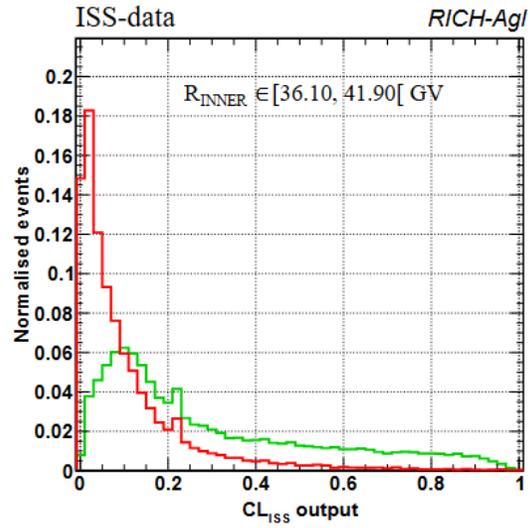
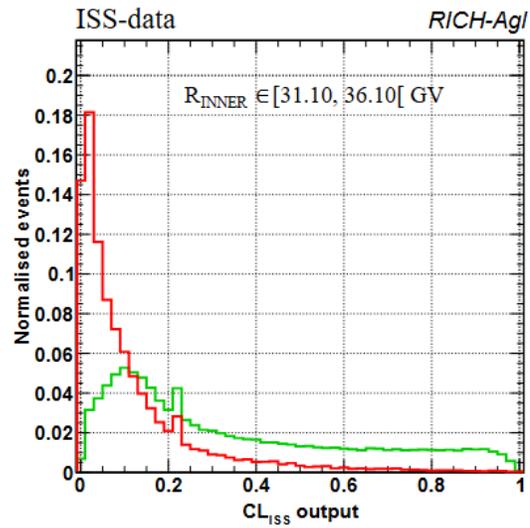
Scores AGL 2



Scores AGL 3

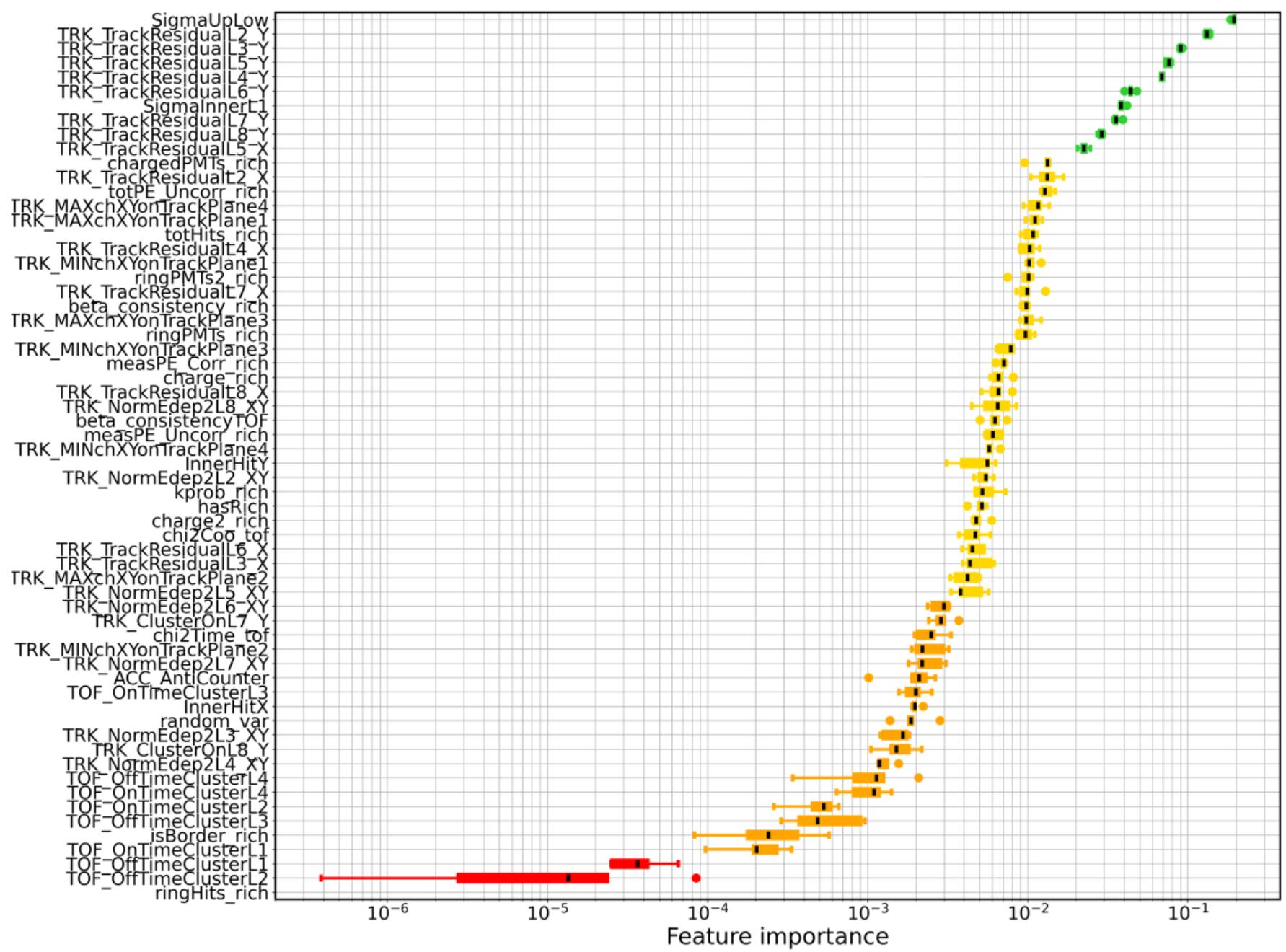


Scores AGL 4



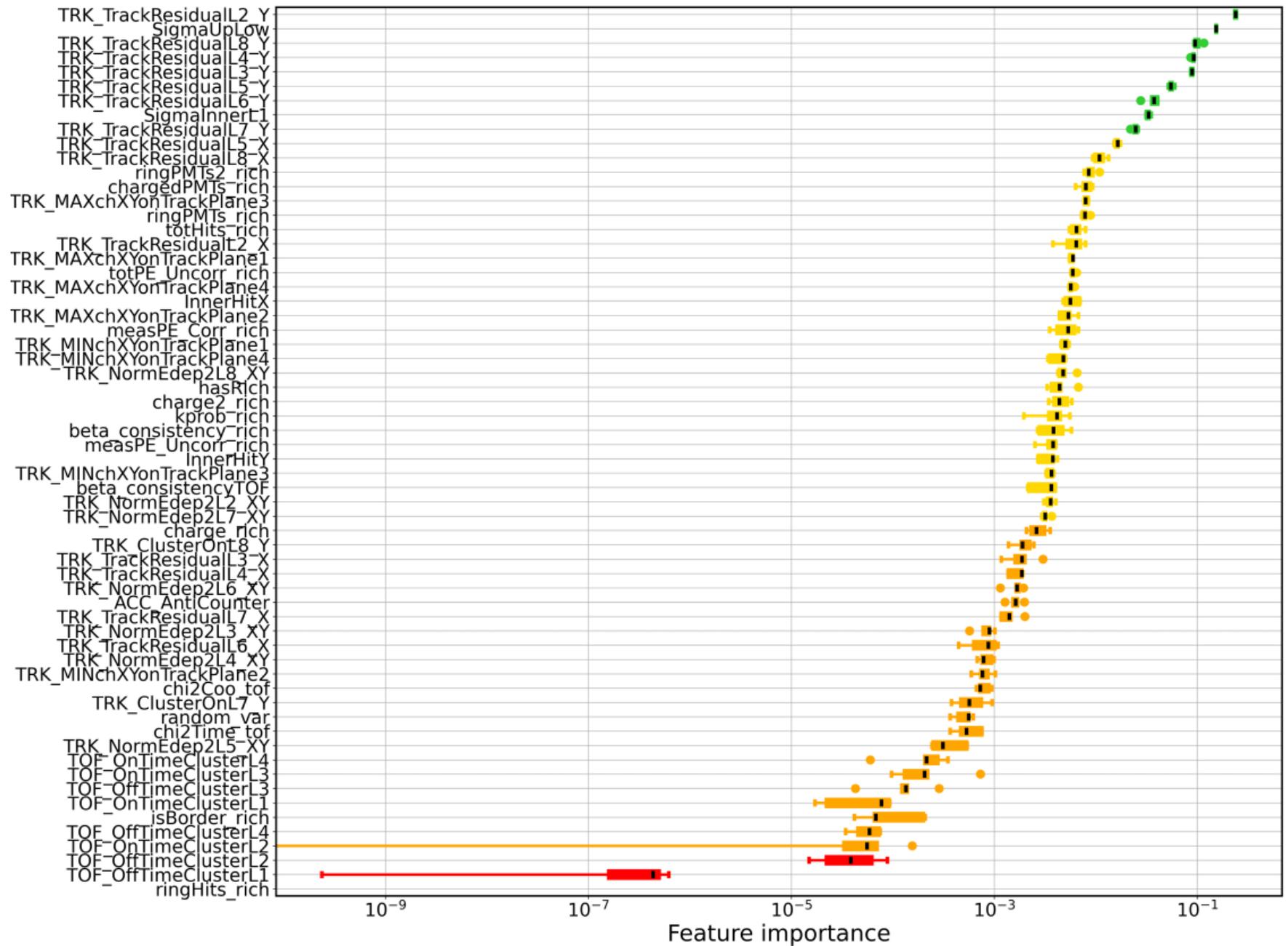
Feature Ranking

AE_{ISS}

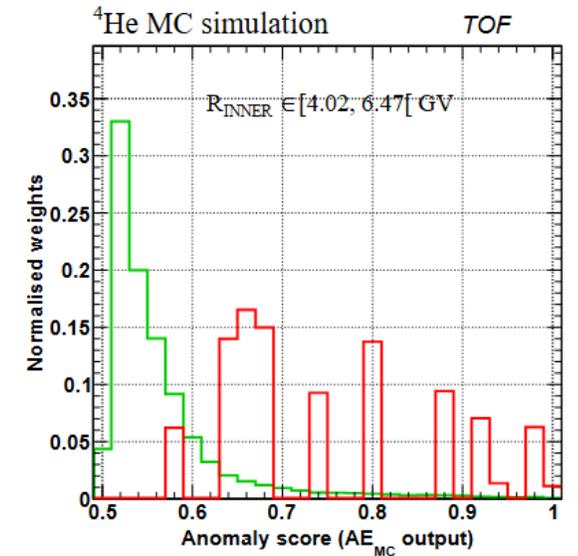
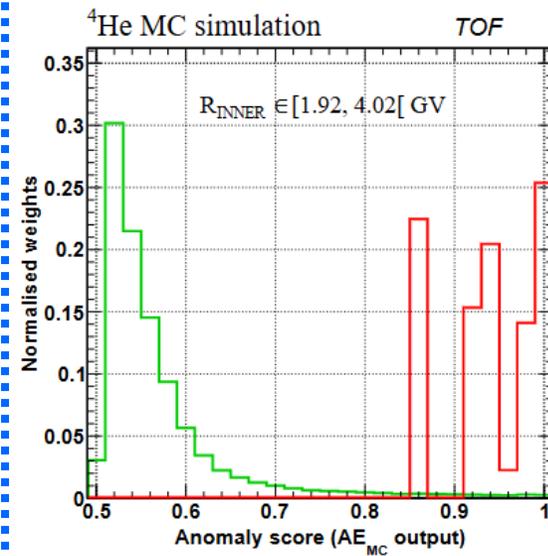
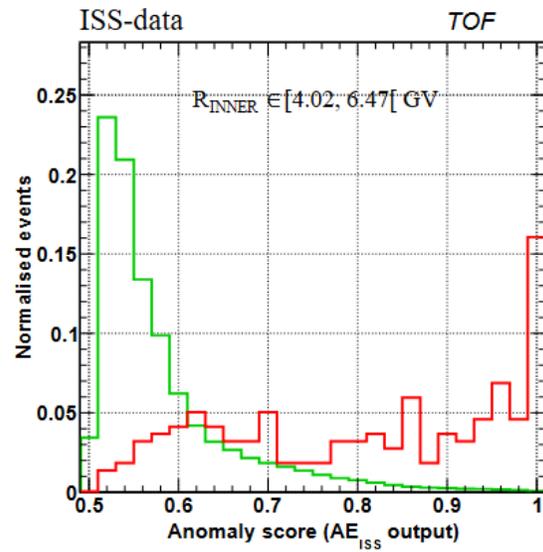
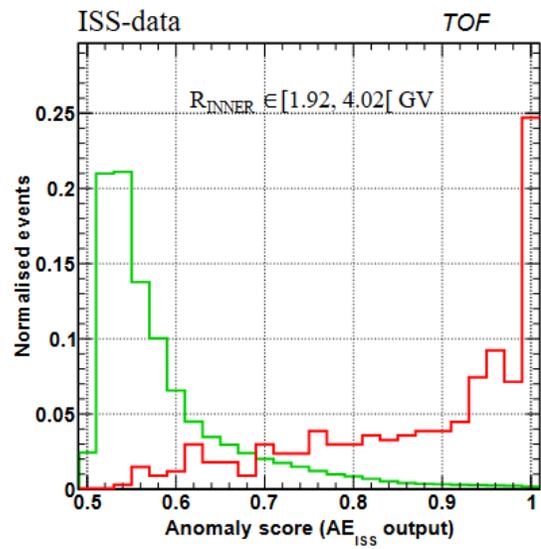


Feature Ranking

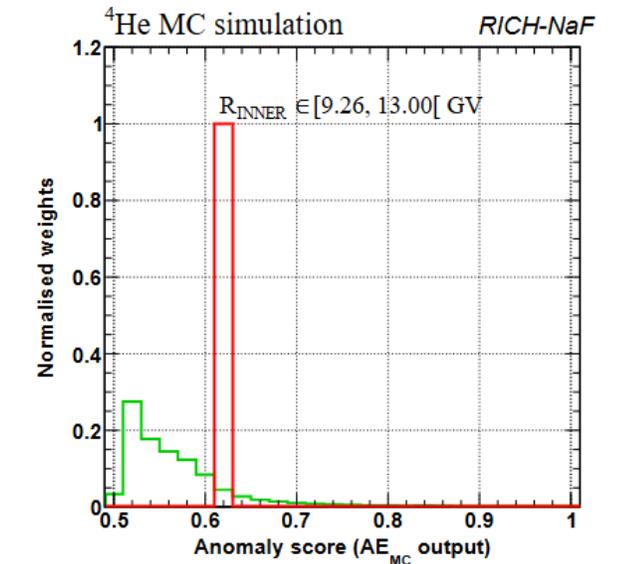
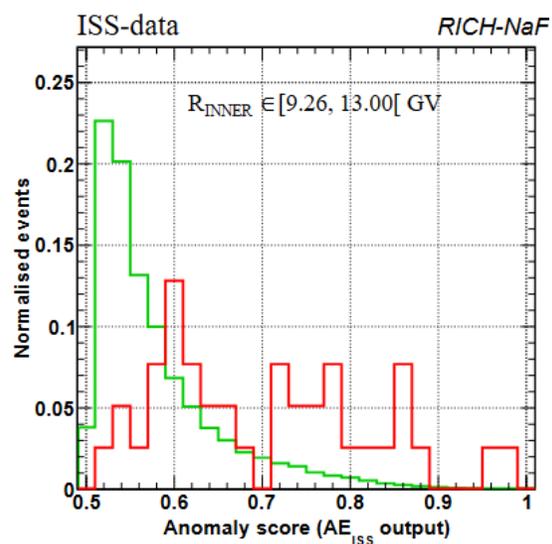
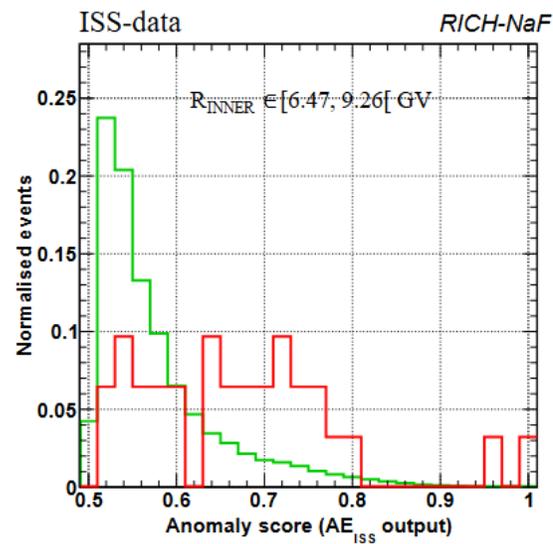
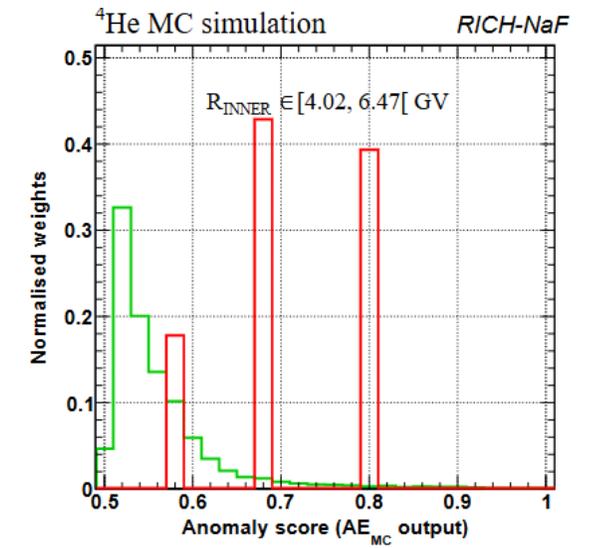
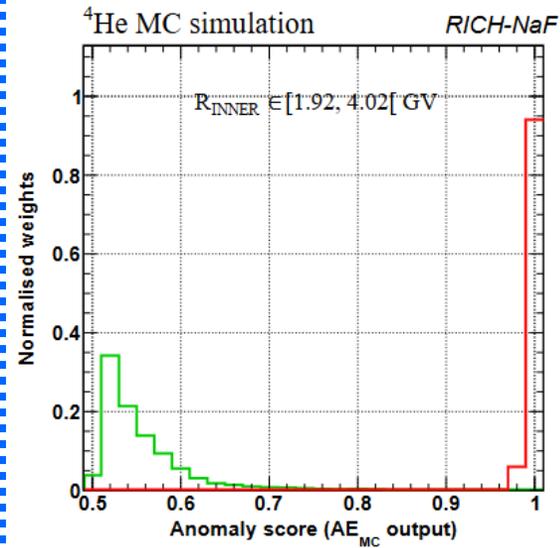
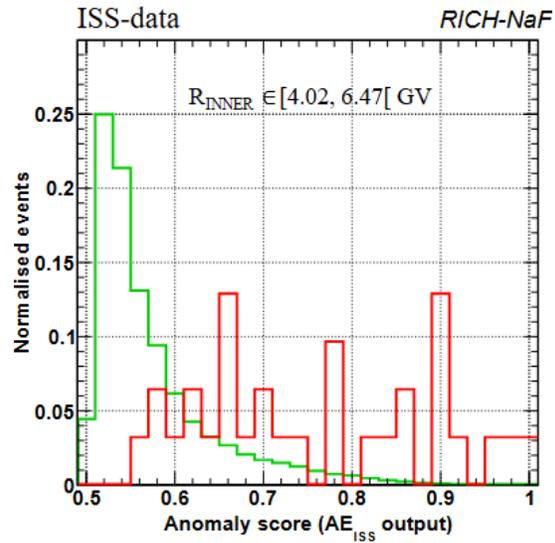
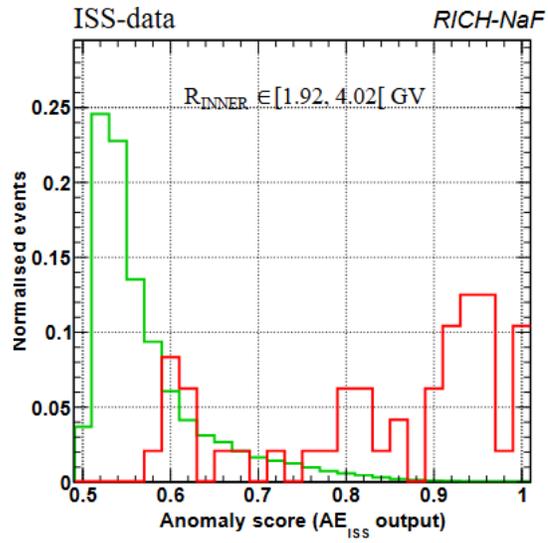
AE_{MC}



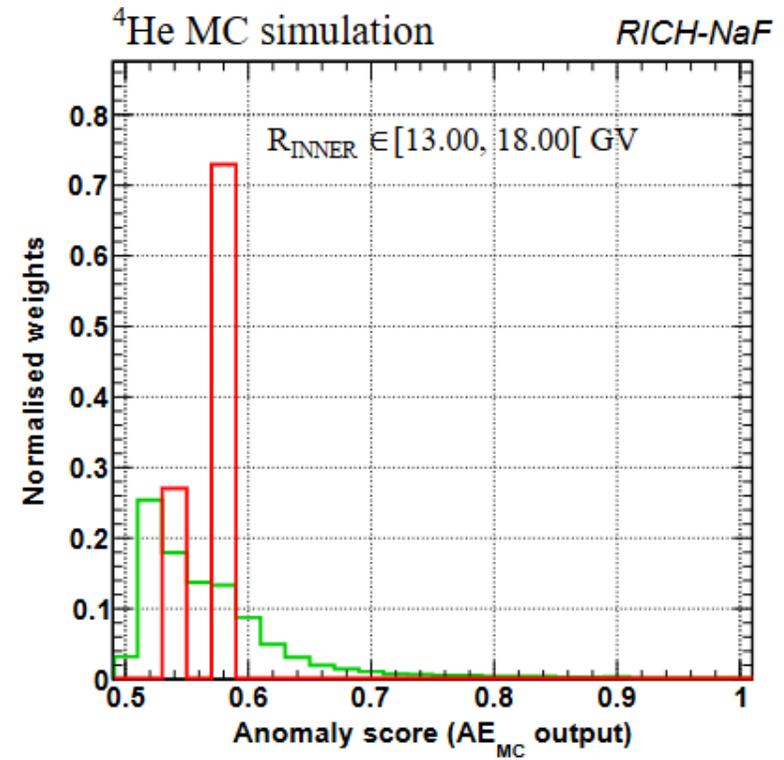
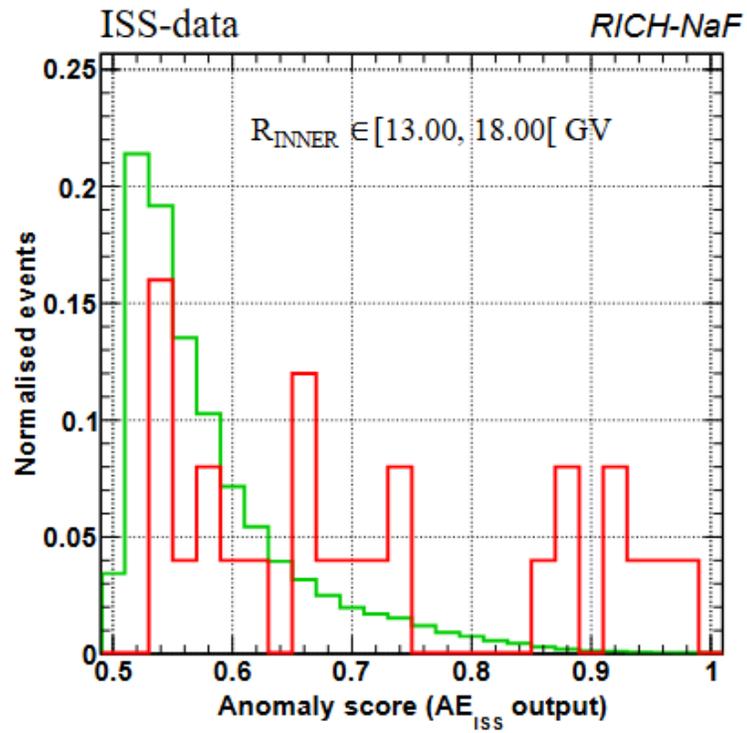
Scores TOF



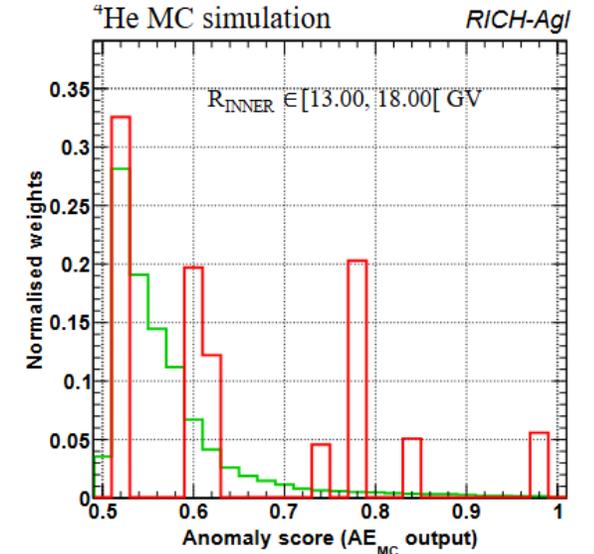
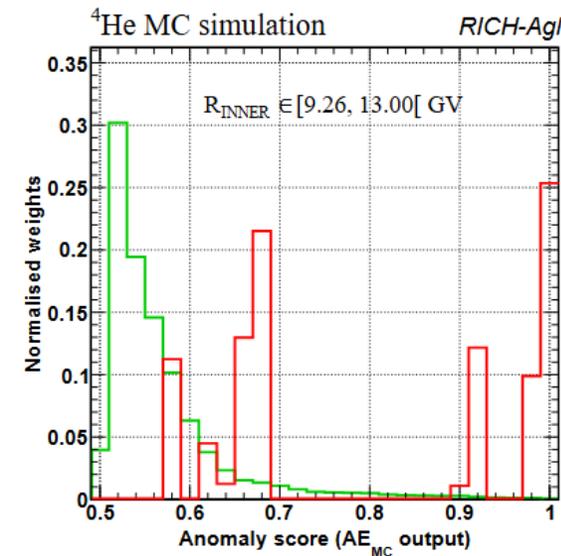
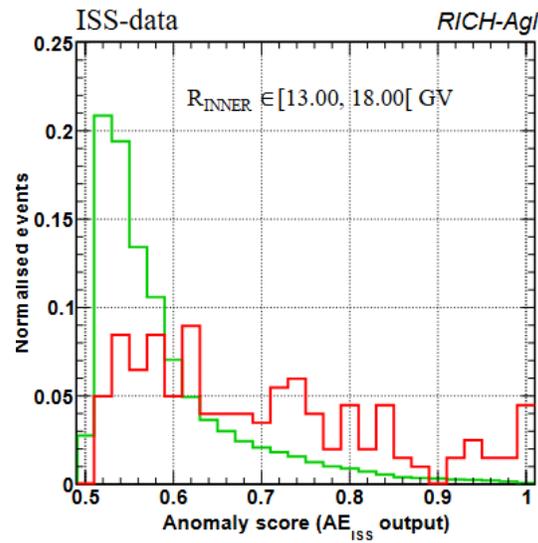
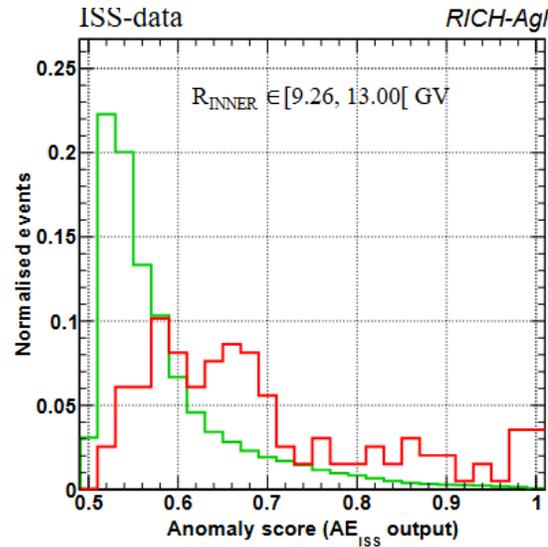
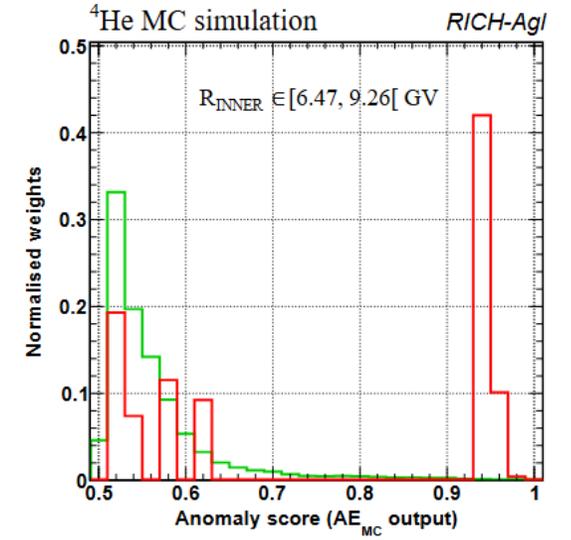
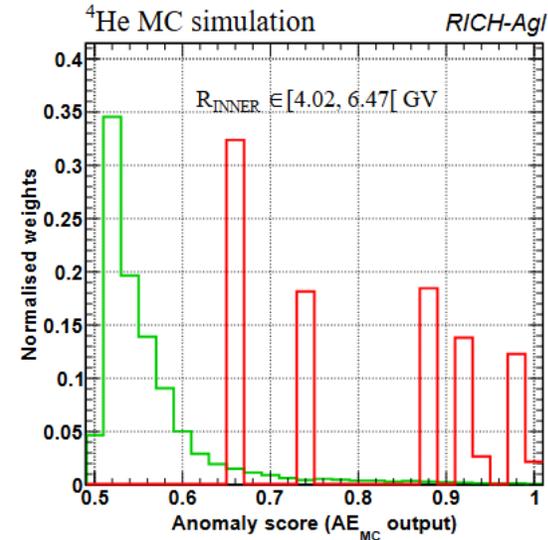
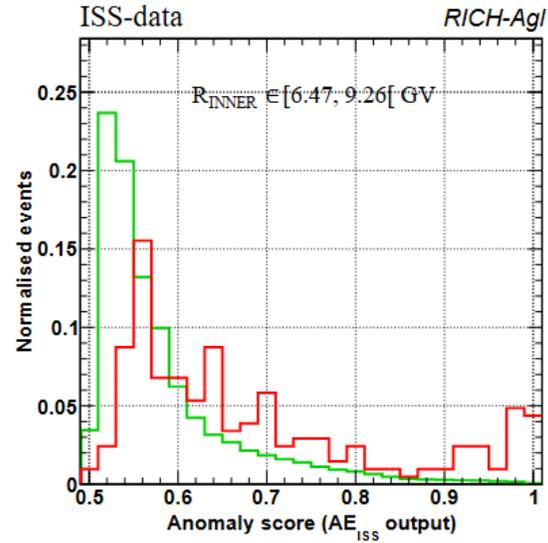
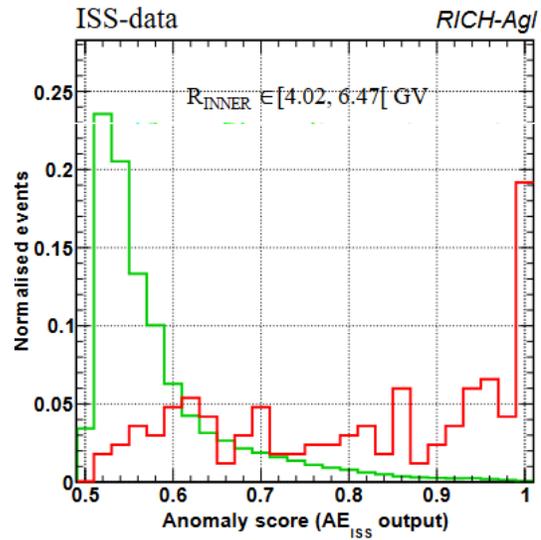
Scores NaF 1



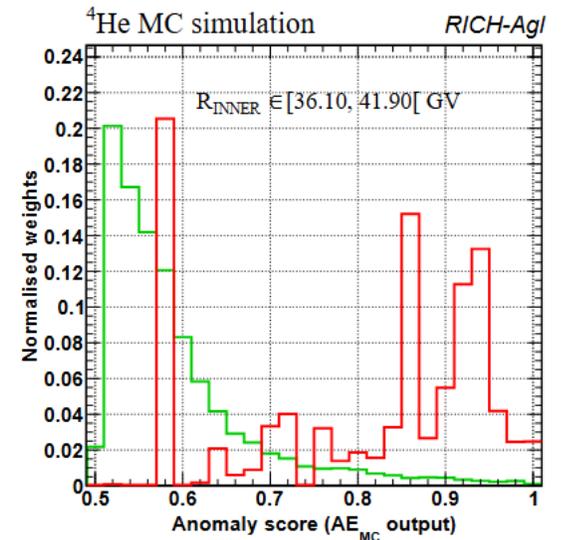
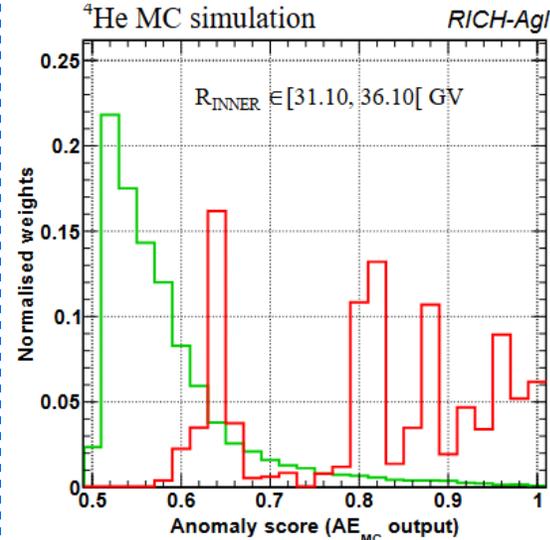
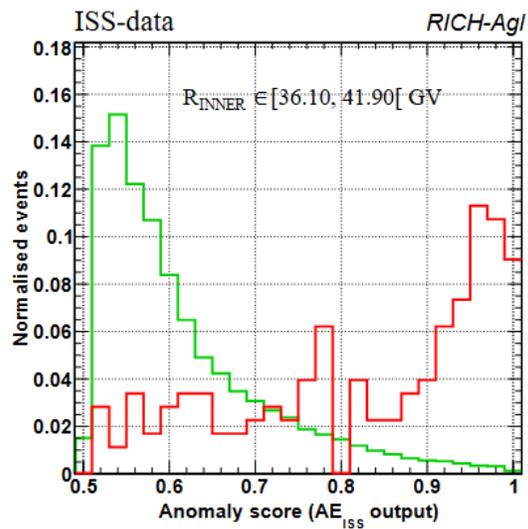
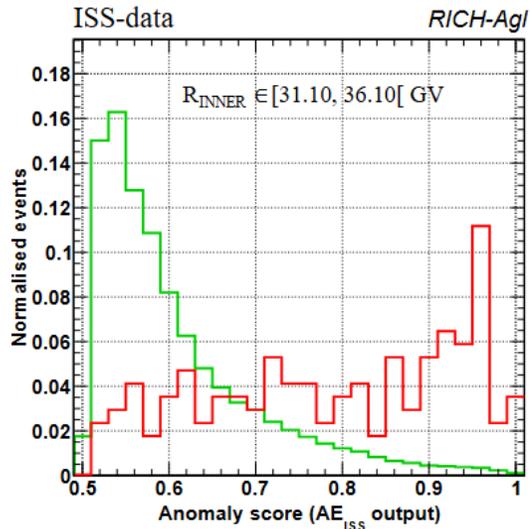
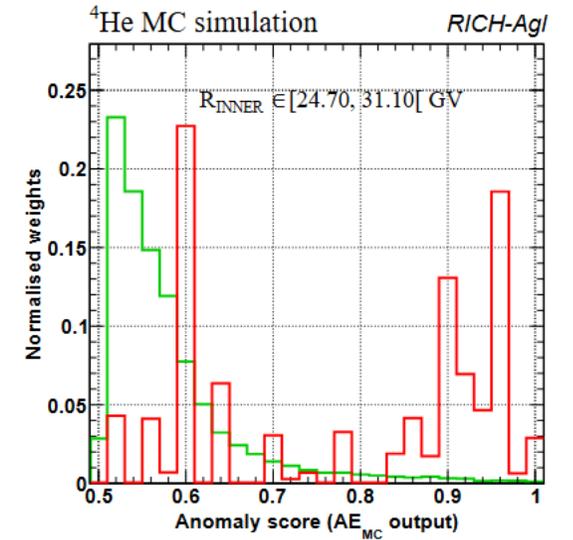
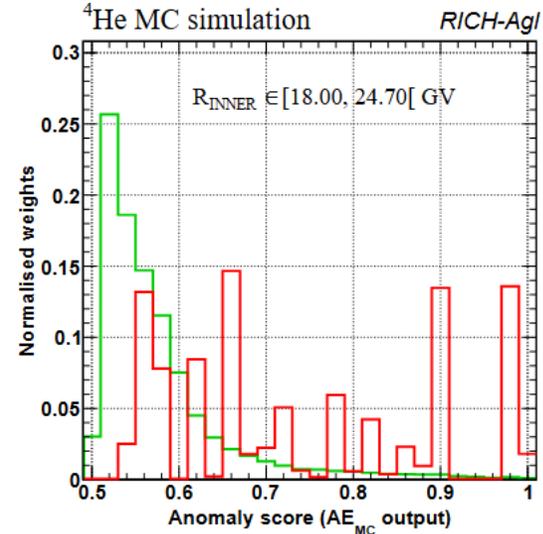
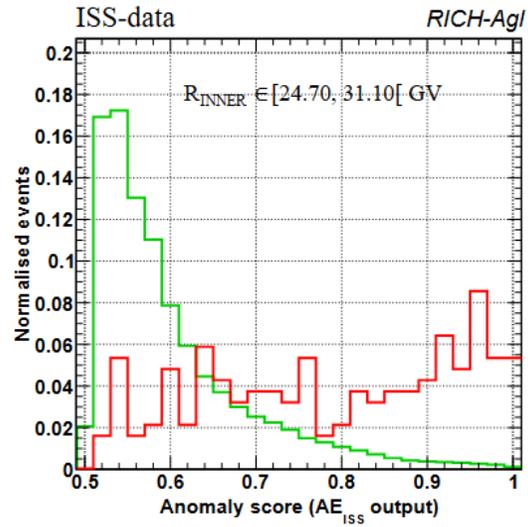
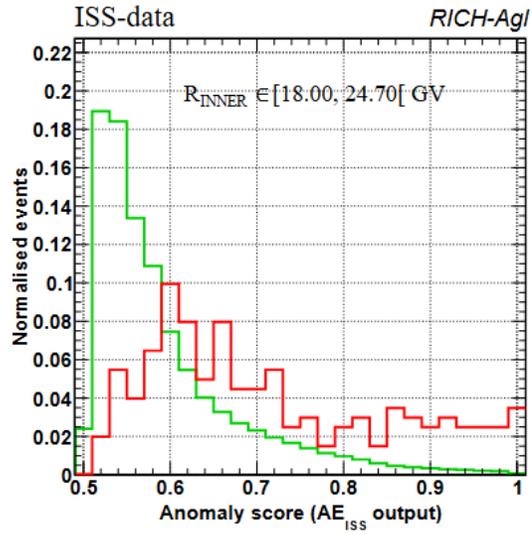
Scores NaF 2



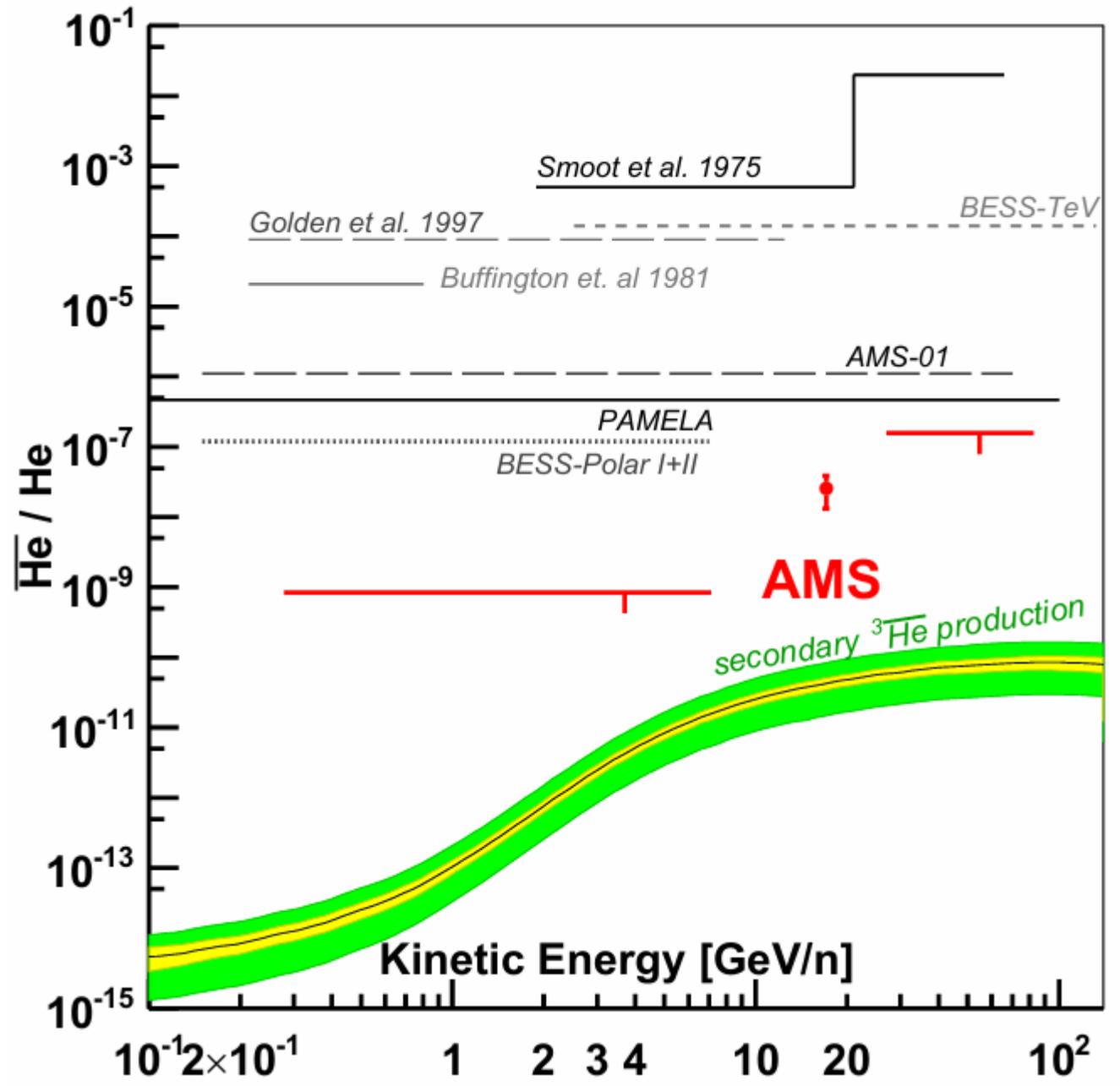
Scores AGL 1



Scores AGL 2



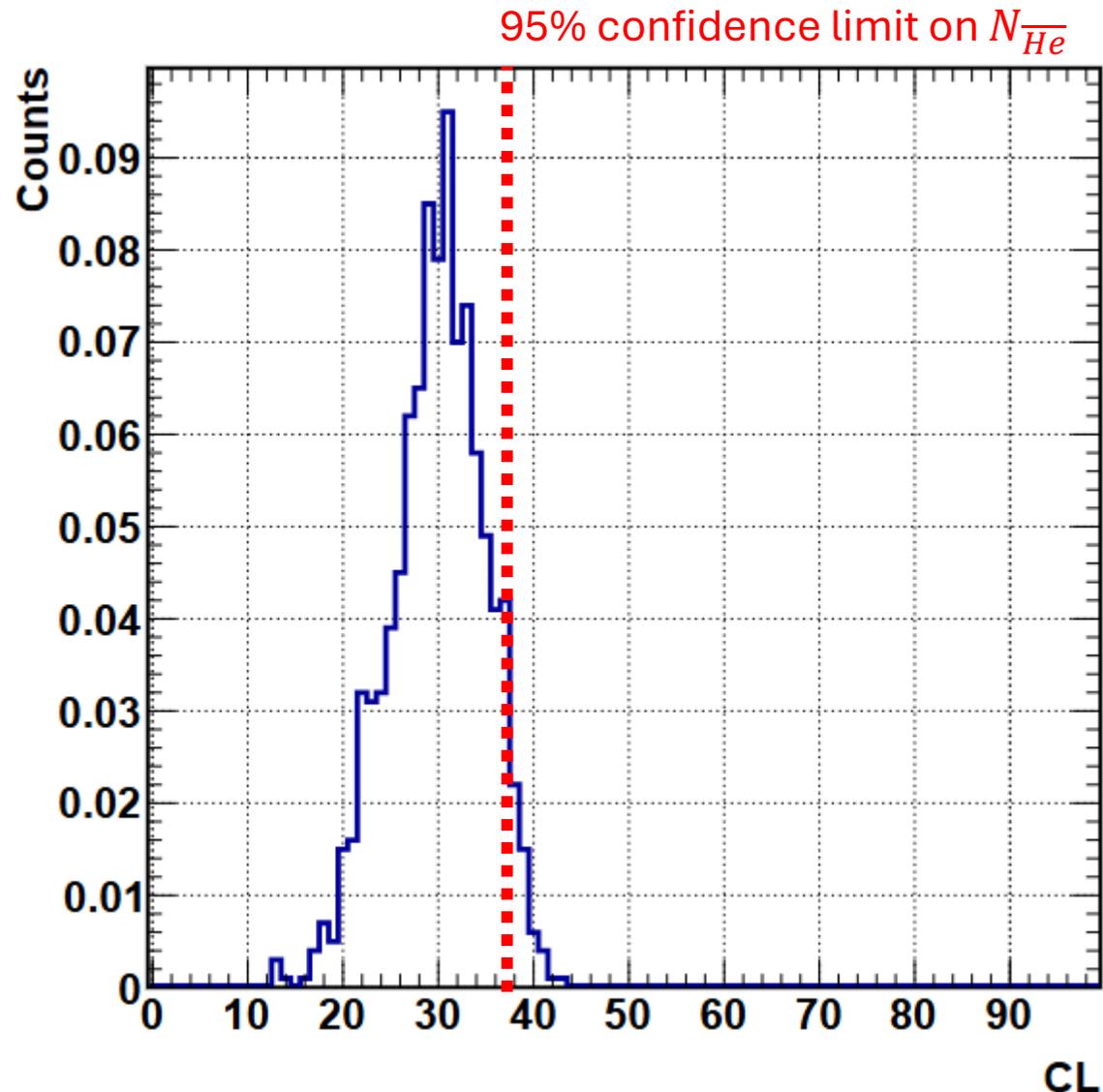
V. Choutko results (GM 06.2025)



Confidence limit estimate

- Identify \overline{He} candidates:
 - additional cut on the reconstructed mass $m_{REC} \in [2,5] \text{ GeV}/c^2$
- Number of survivors in data (n_{ISS}) and MC (n_{MC}), integrated in R.
- Monte Carlo toy procedure:
 - Uncertainty on background (from MC) \rightarrow Poissonian (F_{bkg}) with $\mu = n_{MC}$
 - Extract 1000 times from $F_{bkg} \rightarrow n_{bkg}^i$
 - Assume n_{bkg}^i as “perfectly known” background
 - Distribution of signal counts as Poissonian (F_{sig}^i) with $\mu = n_{sig}^i$
 - Find n_{sig}^i , such that the Poissonian ($F_{sig+bkg}^i$) with $\mu = n_{bkg}^i + n_{sig}^i$ has $Prob[F_{sig+bkg}^i(x) > n_{ISS}] = 95\%$
 - Plot the distribution of n_{sig}^i and take the 95% as a final result

Confidence limit distribution (AGL)



- 80% efficiency on $R > 0$ AE anomaly score
- 75% efficiency on CL score $\left| \frac{R_{UH} - R_{LH}}{R_{UH} + R_{LH}} \right| < 0.2$