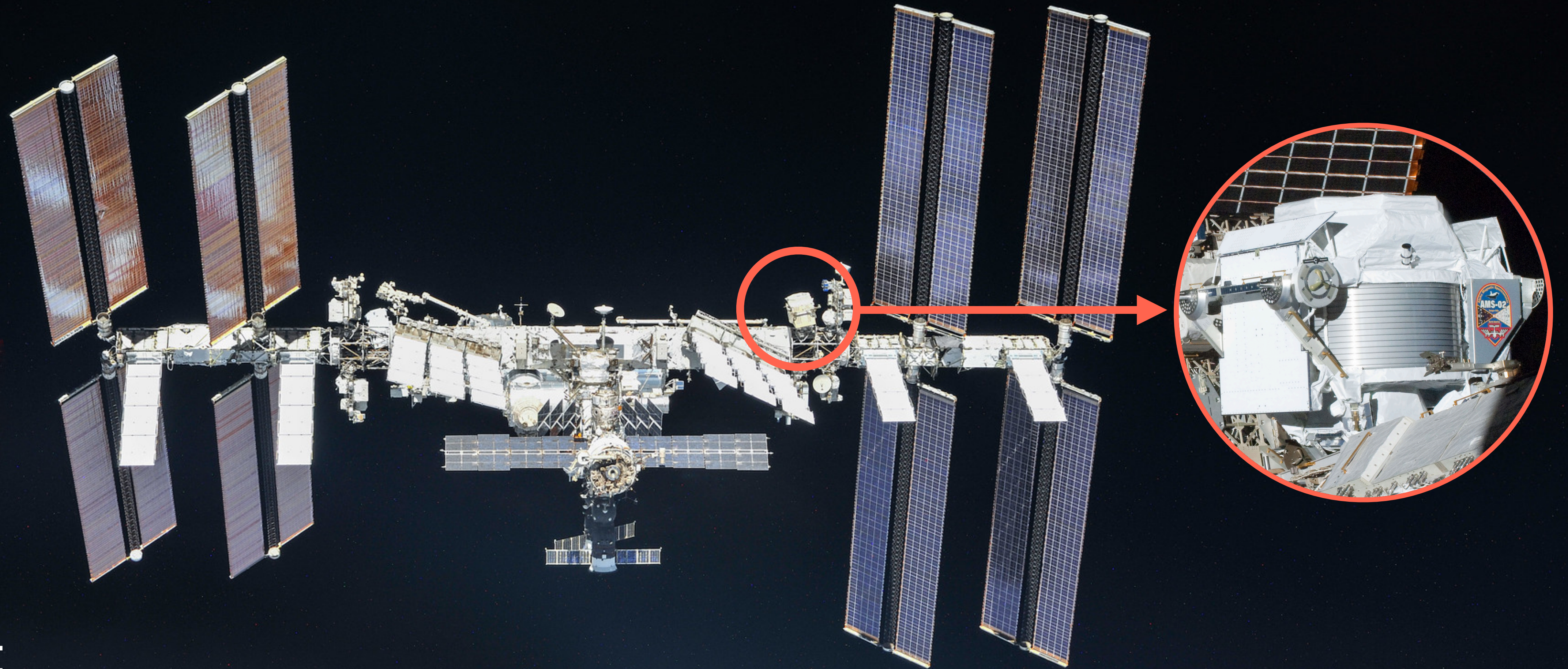


# Solar Energetic Particles measured by the AMS-02 experiment



A.D. 1308  
**unipg**

DIPARTIMENTO  
DI FISICA E GEOLOGIA

DIPARTIMENTO DI ECCELLENZA  
MUR 2023/2027

**AMS Italy 10-10-12025, Roma**

**Francesco Faldi (INFN Perugia)**

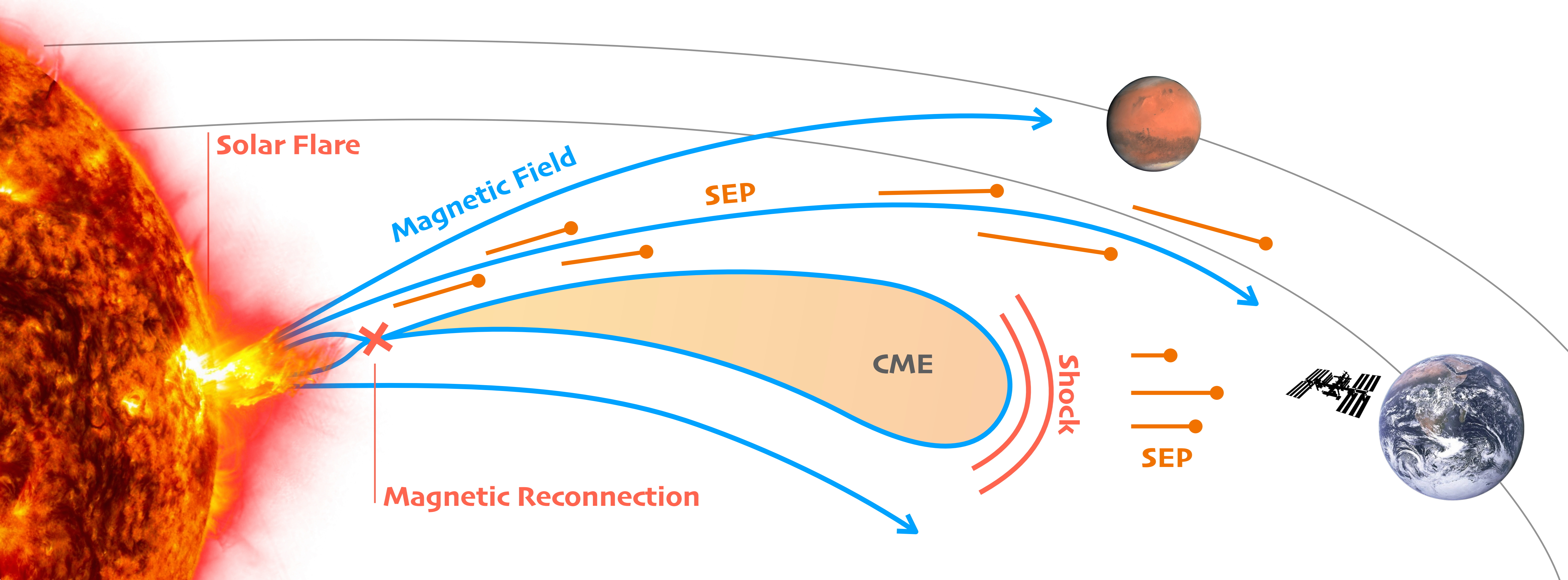
**INFN**  
PERUGIA



# Solar Energetic Particles

2

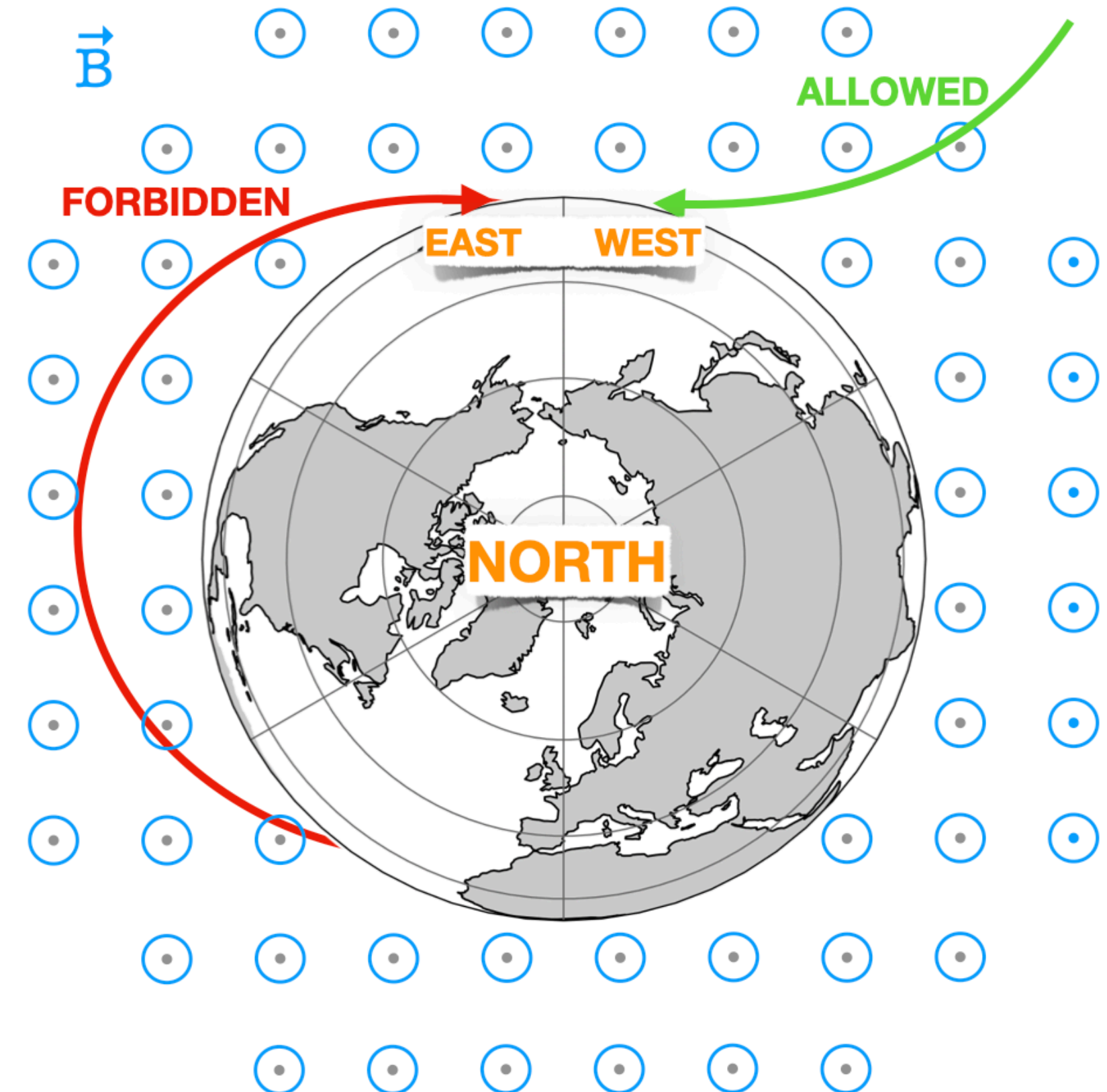
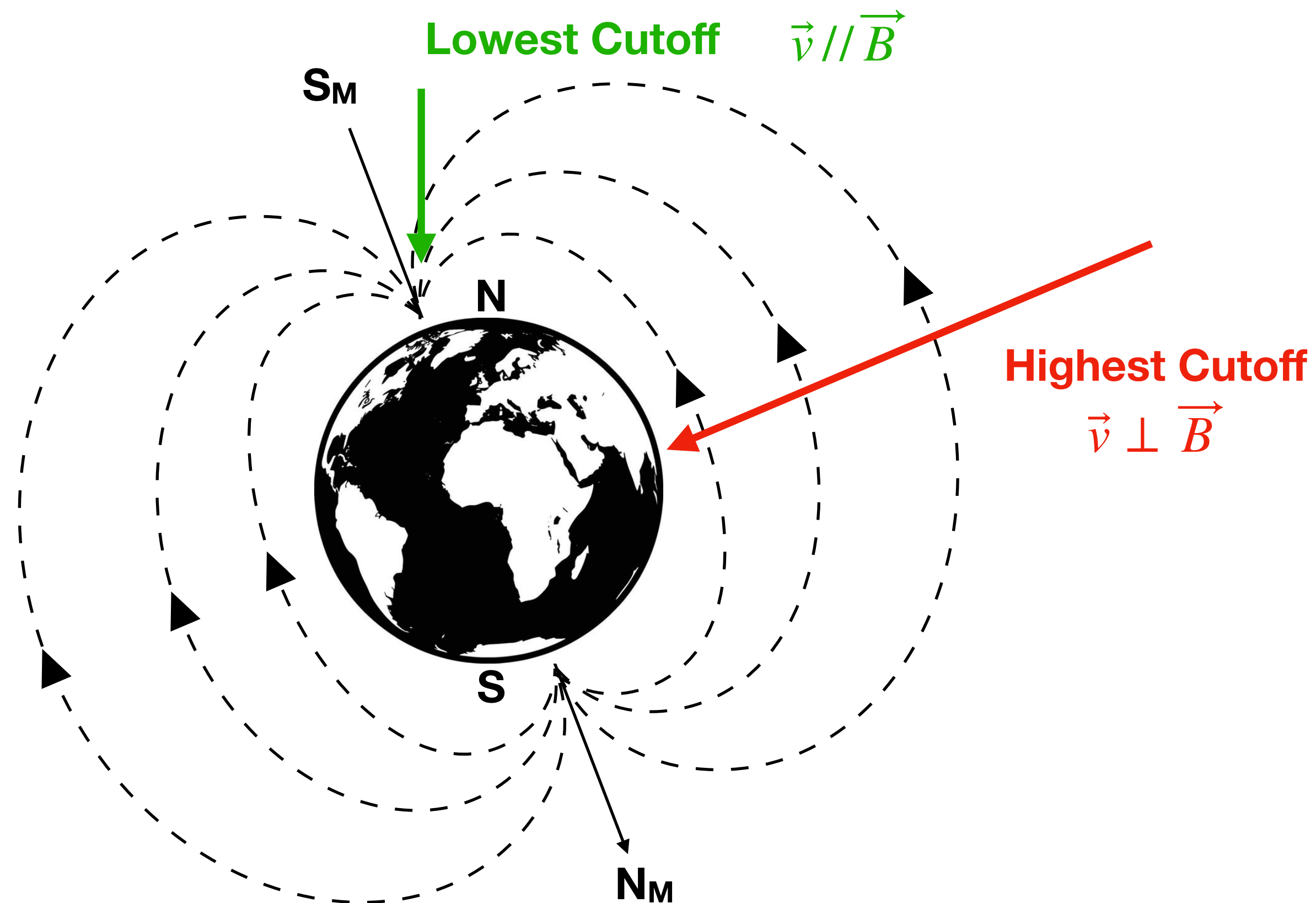
- The Sun produces its own cosmic rays: **Solar Energetic Particles (SEP)**. SEP can be accelerated by **magnetic reconnection** in solar flares and/or **diffusive shock acceleration** in CMEs.
- The **two mechanisms** are thought to generate **different classes** of SEP event on Earth: **impulsive** ( $\Delta T < 1$  day) and **gradual** ( $\Delta T \sim$  several days). *Solar Energetic Particles*, Reames 2021





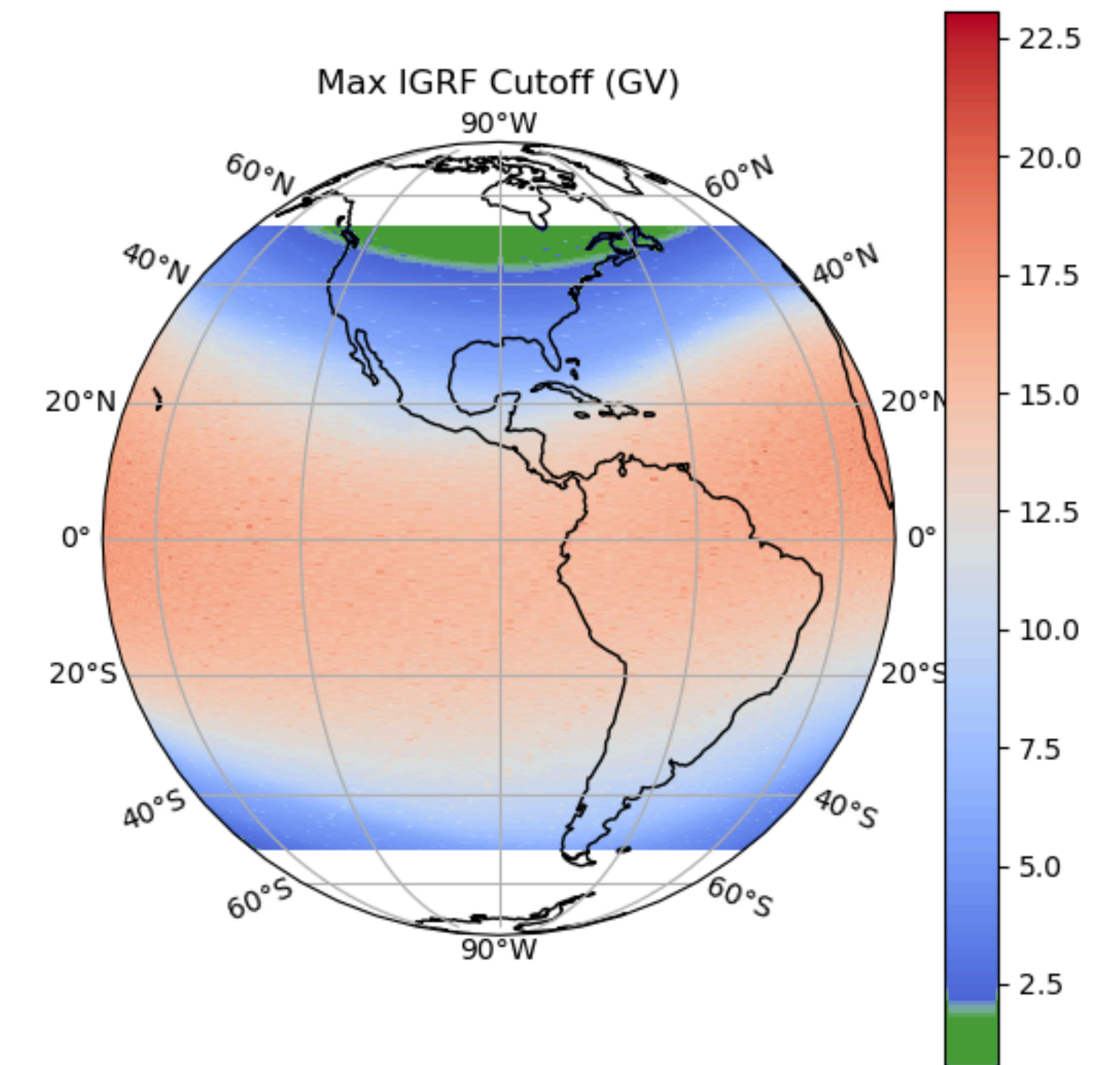
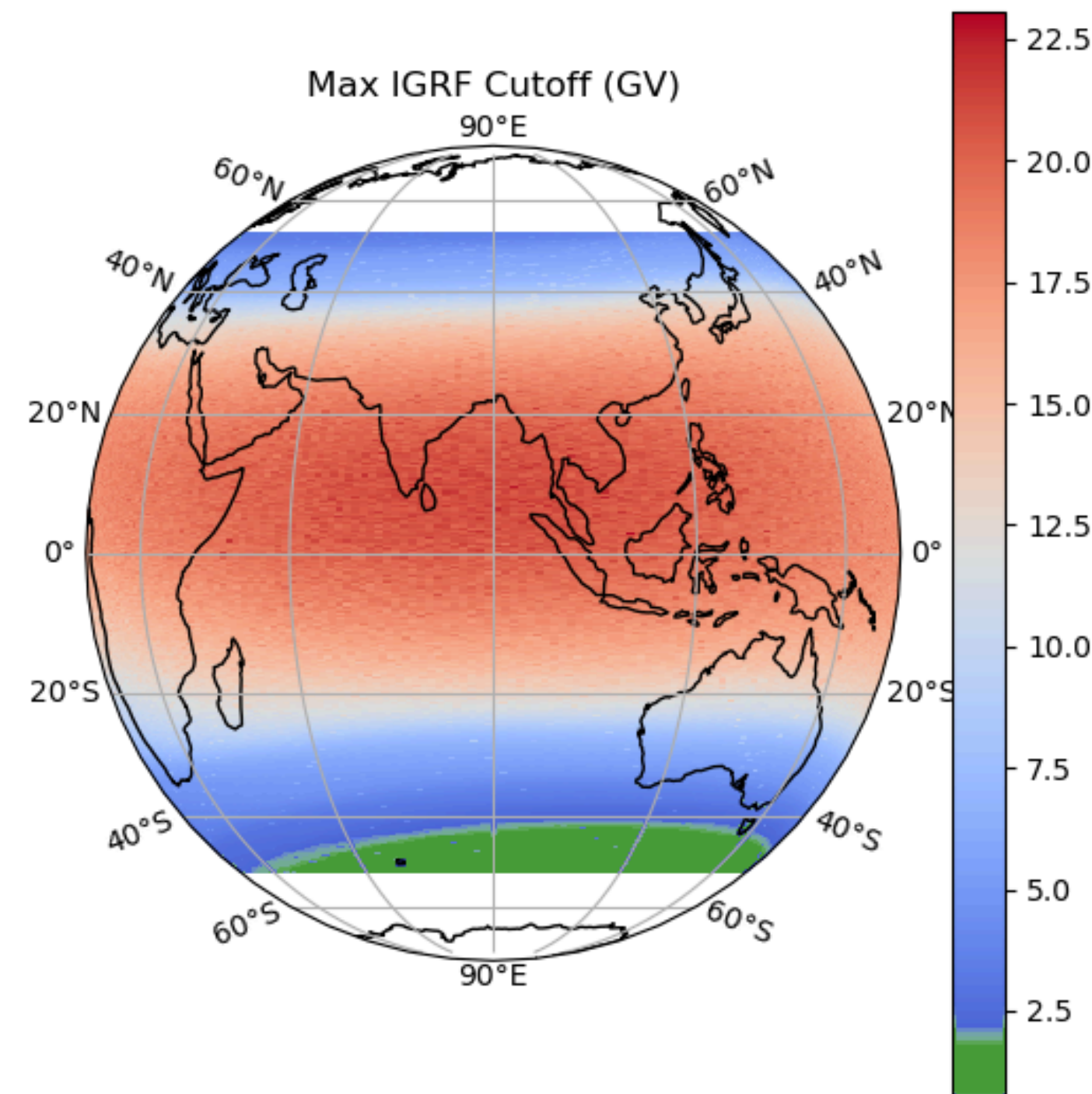
# Geomagnetic Rigidity Cutoff

- **Charged CRs** are **deflected** by the geomagnetic field: **minimum rigidity** required to reach Earth.
- The **geomagnetic rigidity cutoff** is a **function** that depends on **position** and, at a given location, on **direction** and **charge** of incoming particles.



# Polar-Pass Exposure and cutoff

- AMS is **exposed to SEP** only for a **small fraction** of time, near **magnetic poles** (cutoff < 2 GV)
- Cutoff models** (e.g. Max IGRF) are used in AMS to exclude **secondary/trapped cosmic rays**
- The **cutoff model** we normally use is too **conservative** for a SEP analysis, severely **limiting our statistics** at low rigidities

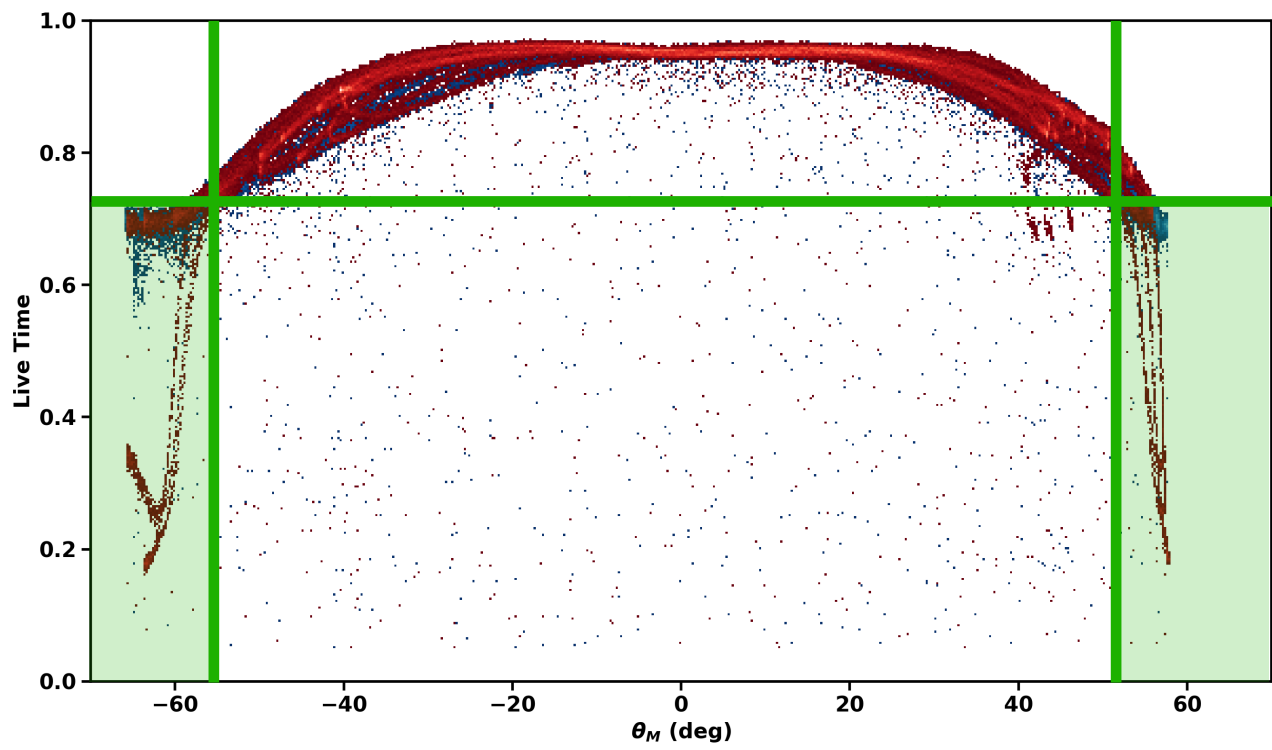
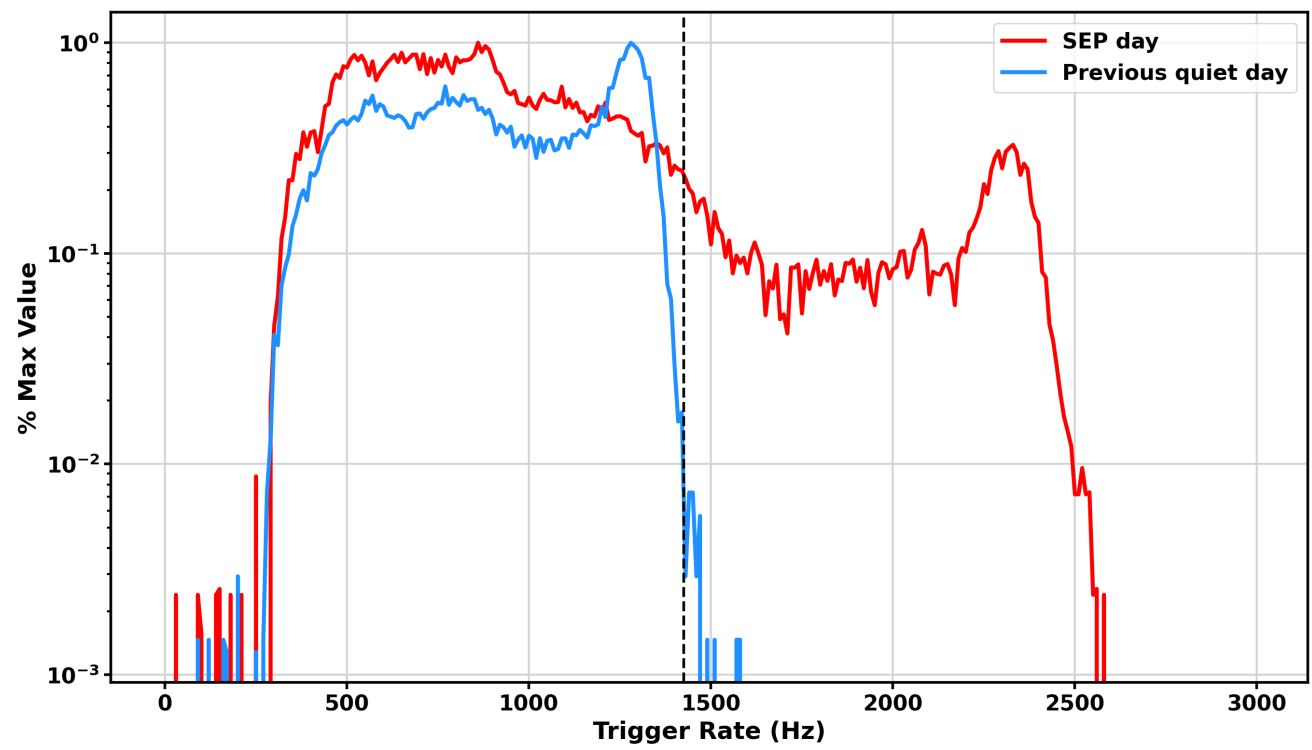


~~Eliminating the above cutoff cut~~ Redefining the **safety factor** for the **rigidity cutoff** improves statistics at low rigidities.

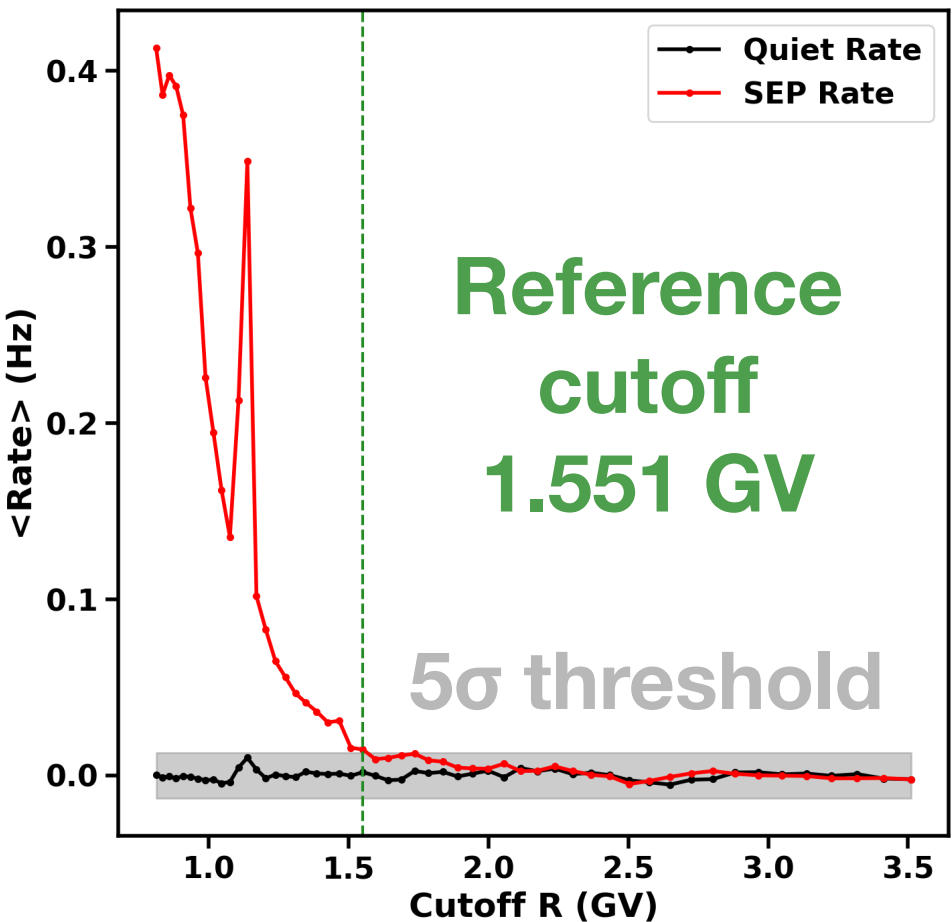
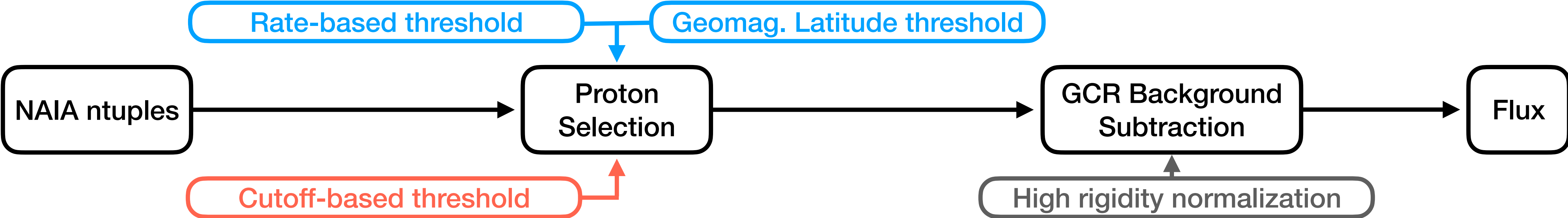
→ We can try to define a “polar-pass” region using a ~~different variable~~ the cutoff.



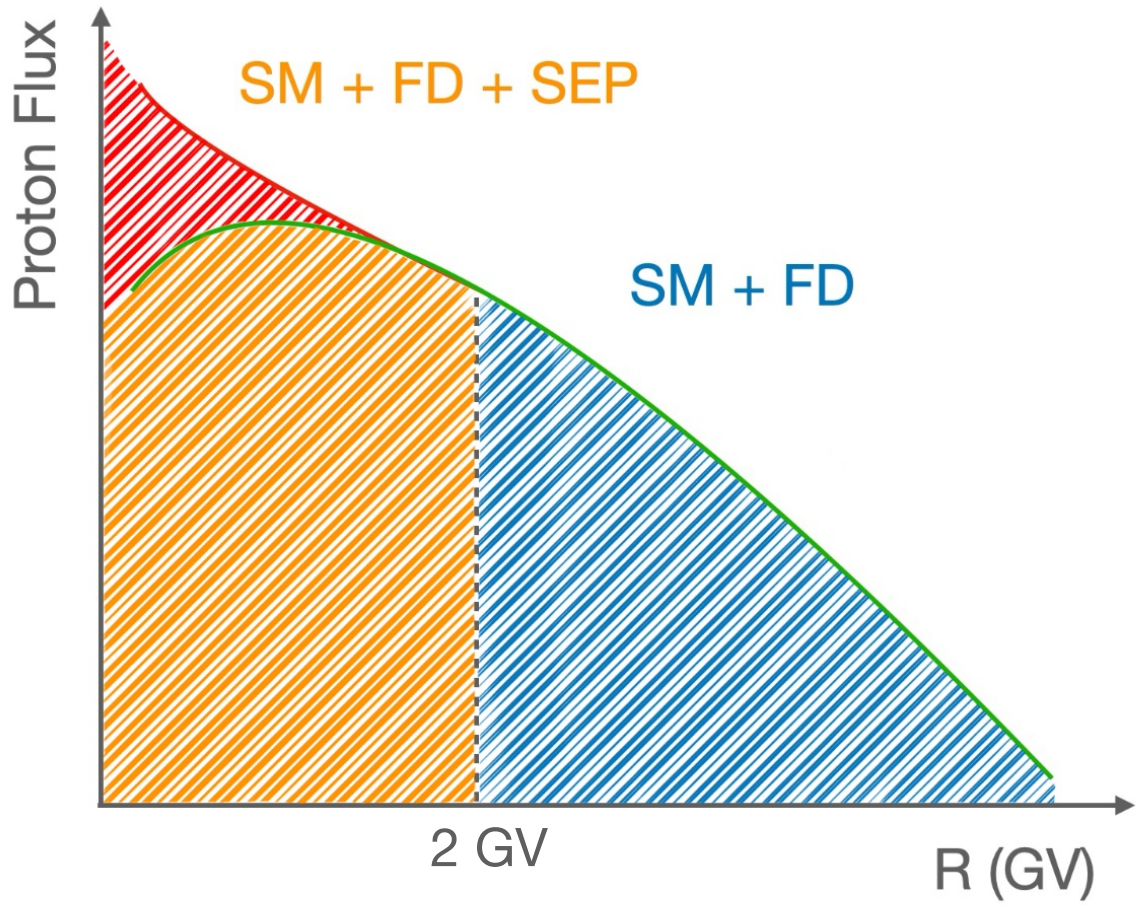
# SEP Proton Analysis Roadmap



Without  
“above cutoff”  
cut



With  
“above cutoff”  
cut

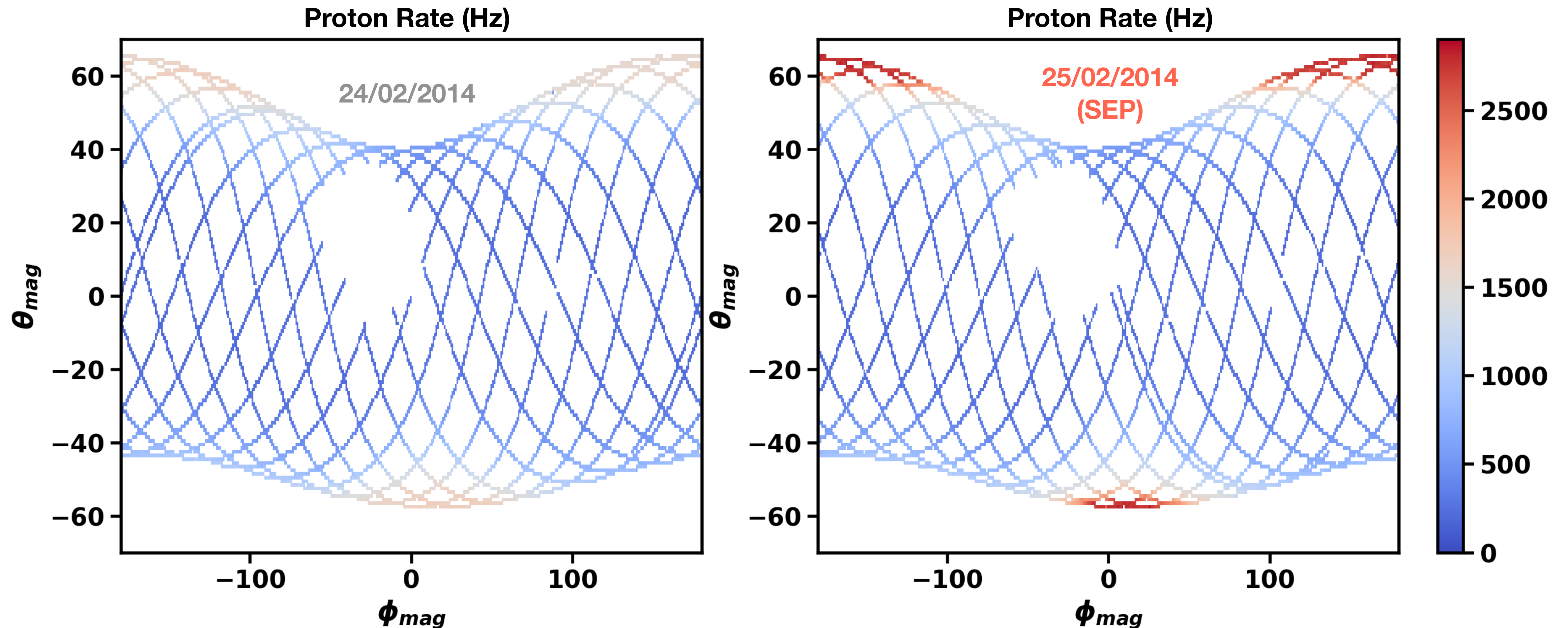




# Previous approach: geomagnetic latitude threshold

6

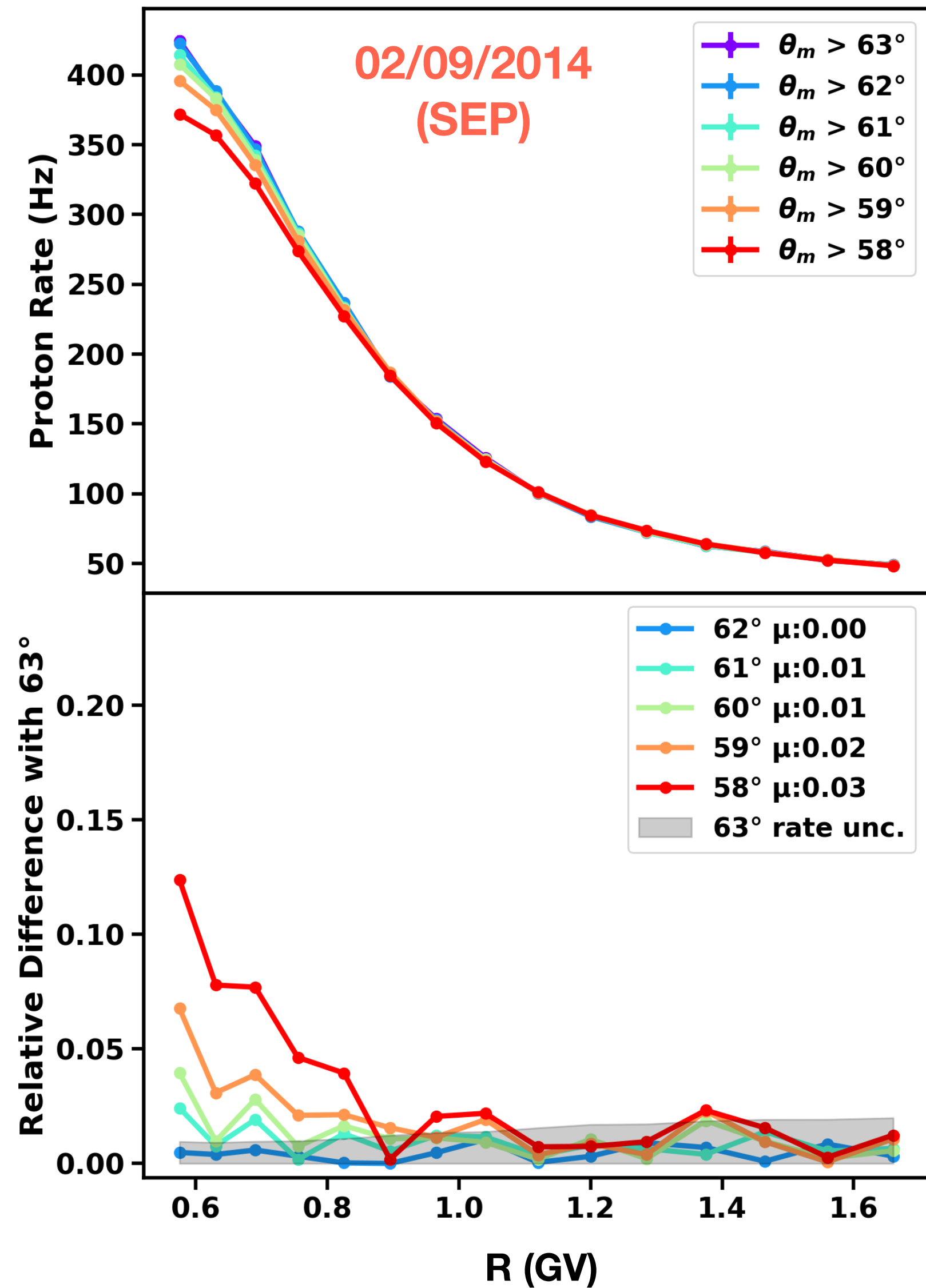
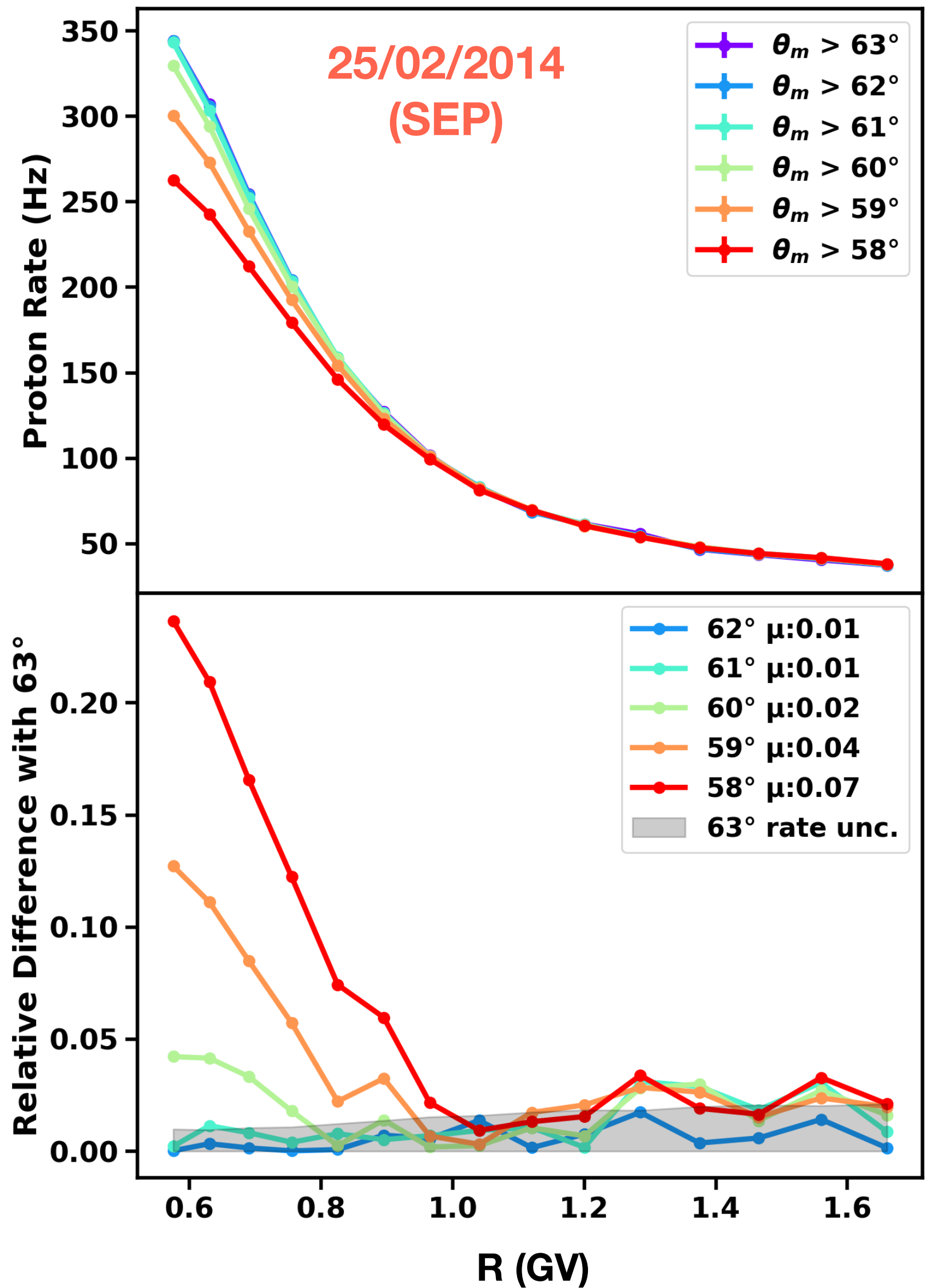
- The **rate** of proton events is shown in **geomagnetic coordinates before** and **during** an SEP event. Plots are normalised to the maximum value of the rate during the SEP day.
- Is it possible to find an optimal **cut** in **geomagnetic latitude** to select SEPs?





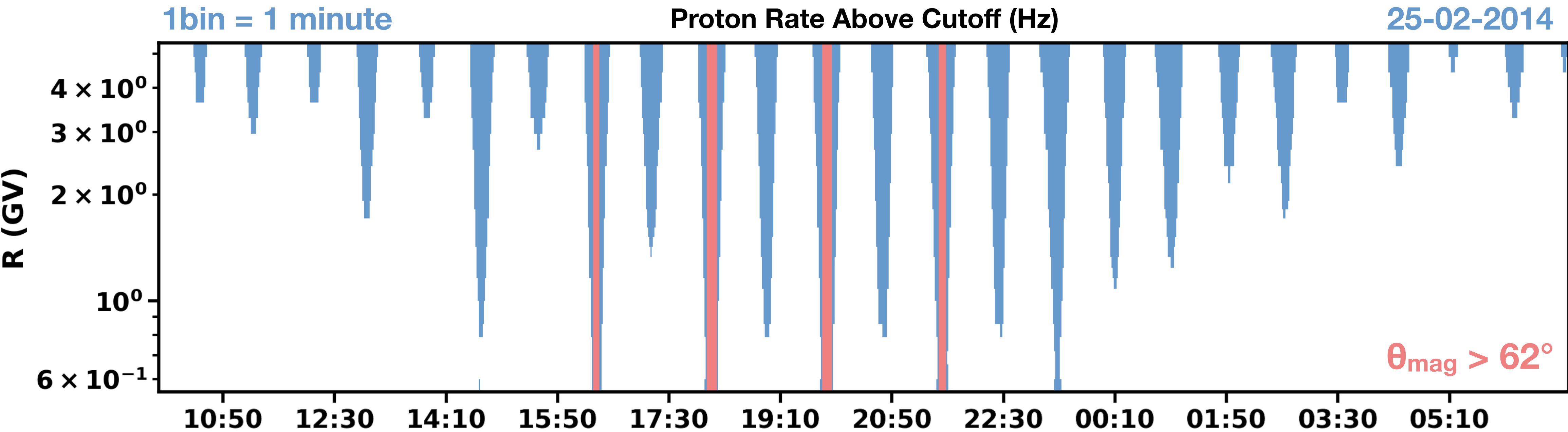
# Previous approach: geomagnetic latitude threshold

- To select a **threshold** on **geomagnetic latitude**, we studied the **proton rate** at increasing latitudes.
- We selected the value that shows a **relative difference** within **statistical uncertainty** of the rate at  $\theta = 63^\circ$ .
- $\theta = 62^\circ$  is an optimal threshold for most of the events.





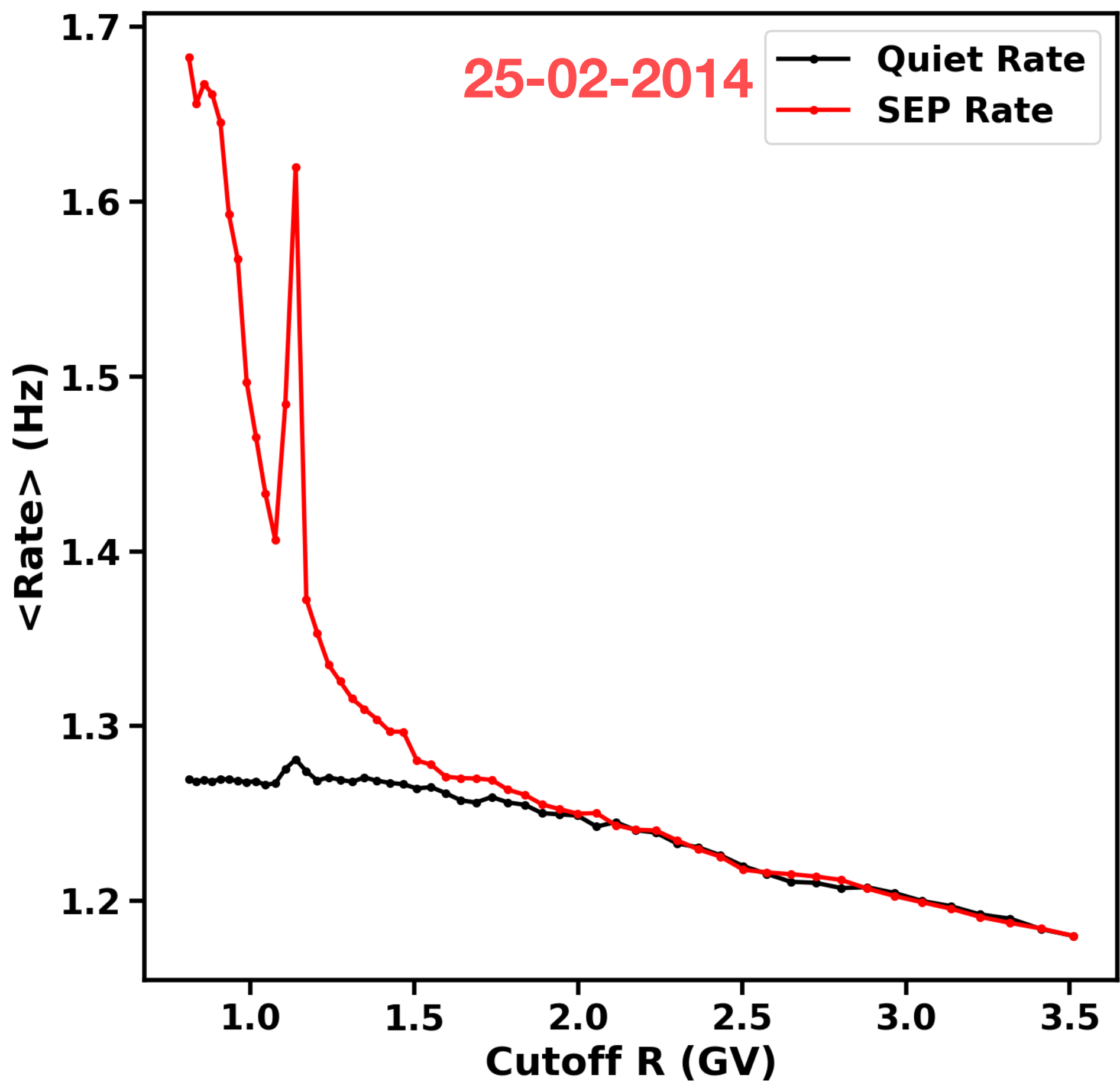
# Selected proton rate with previous approach



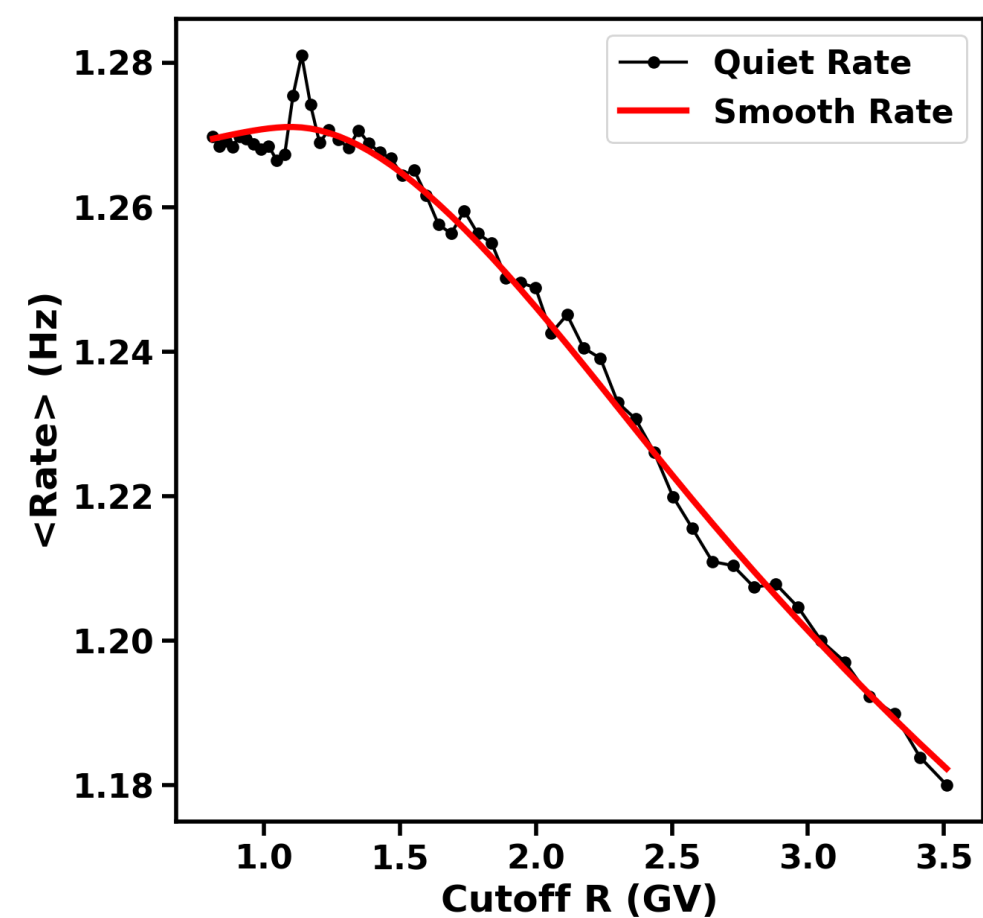


# Current approach: reference cutoff

Rate vs Cutoff Rigidity

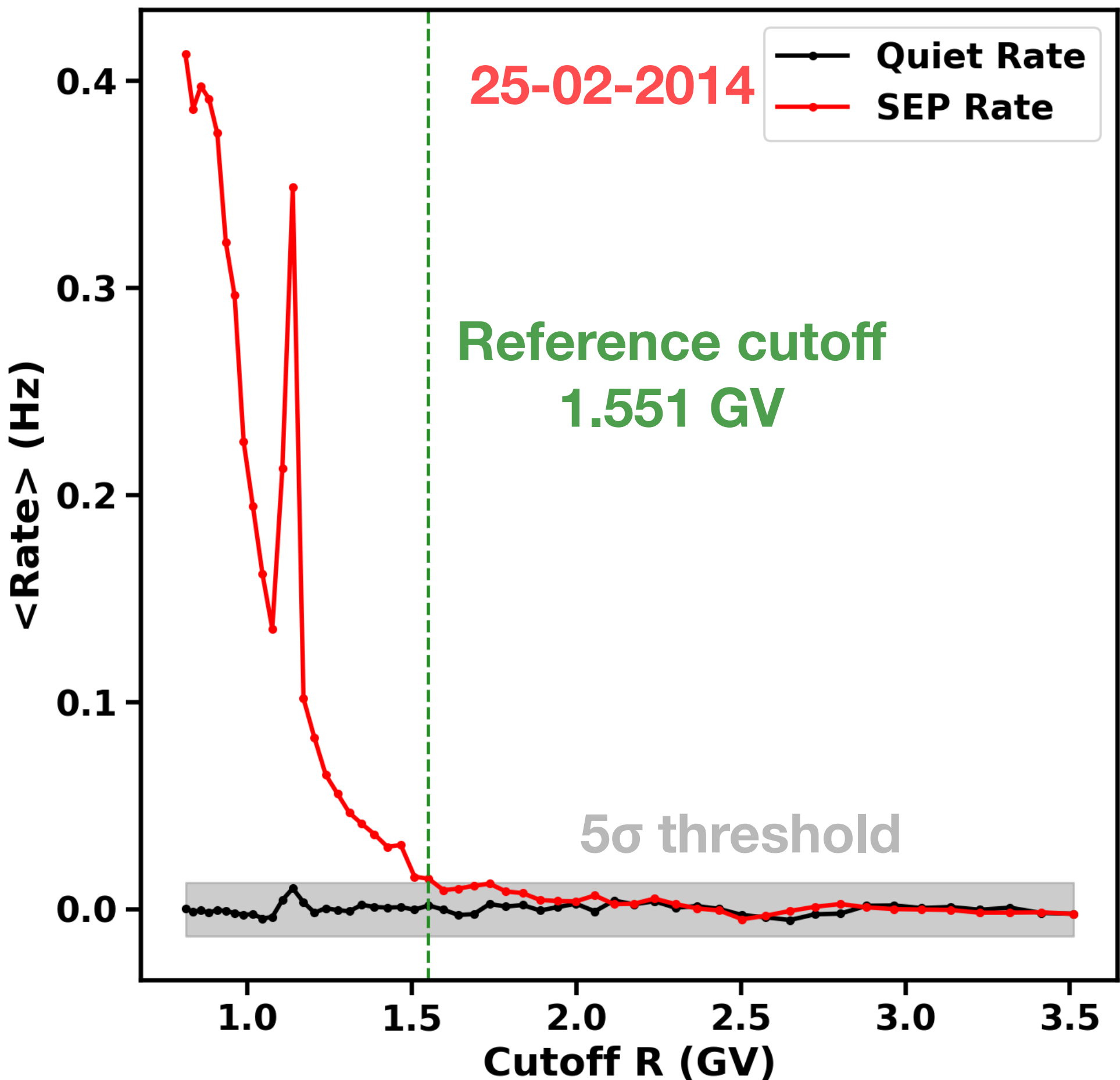


Trend



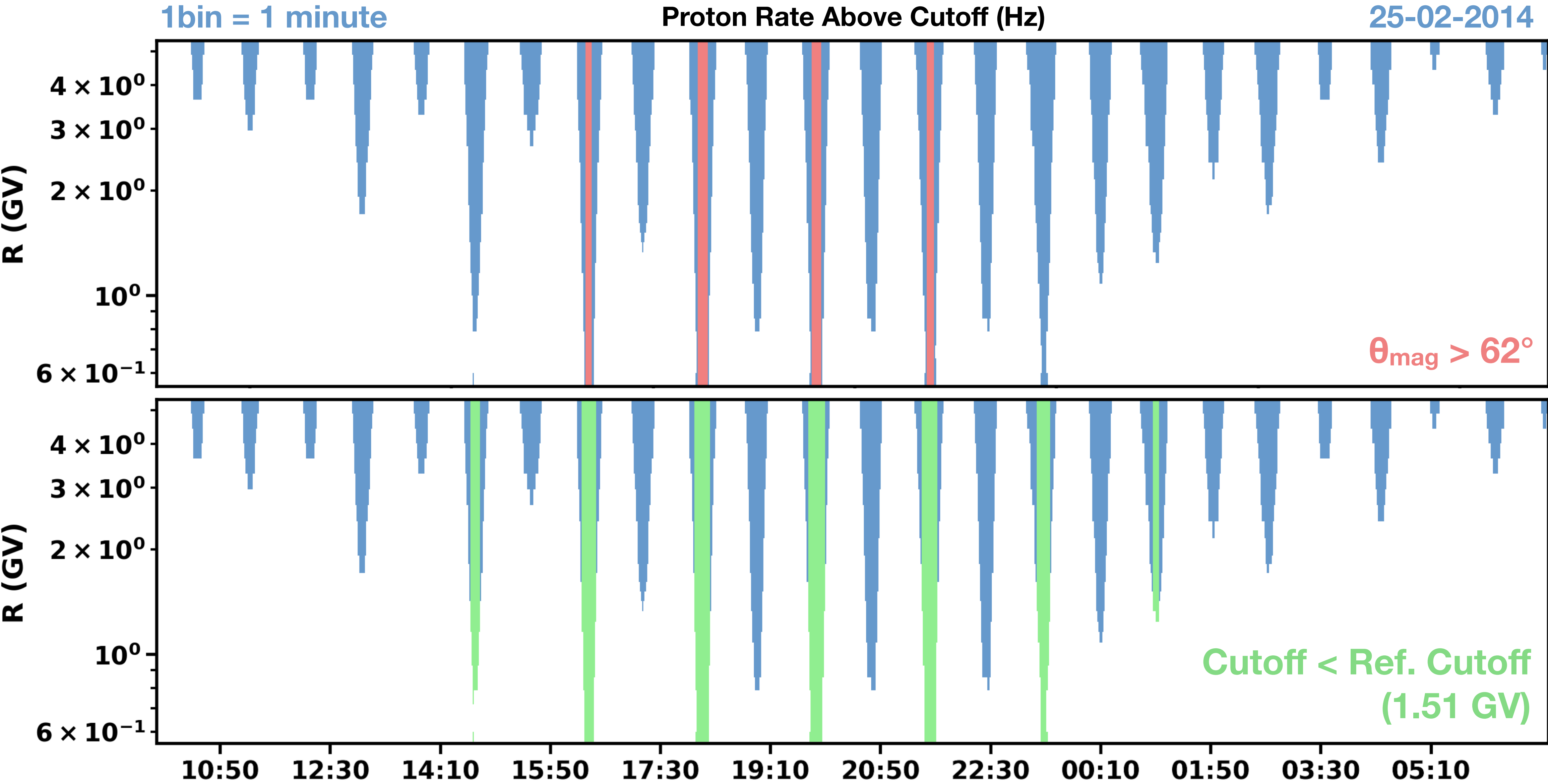
Subtract global trend  
and find first bin above  
 $5\sigma$  of quiet distribution

Rate vs Cutoff Rigidity  
(Trend subtracted)





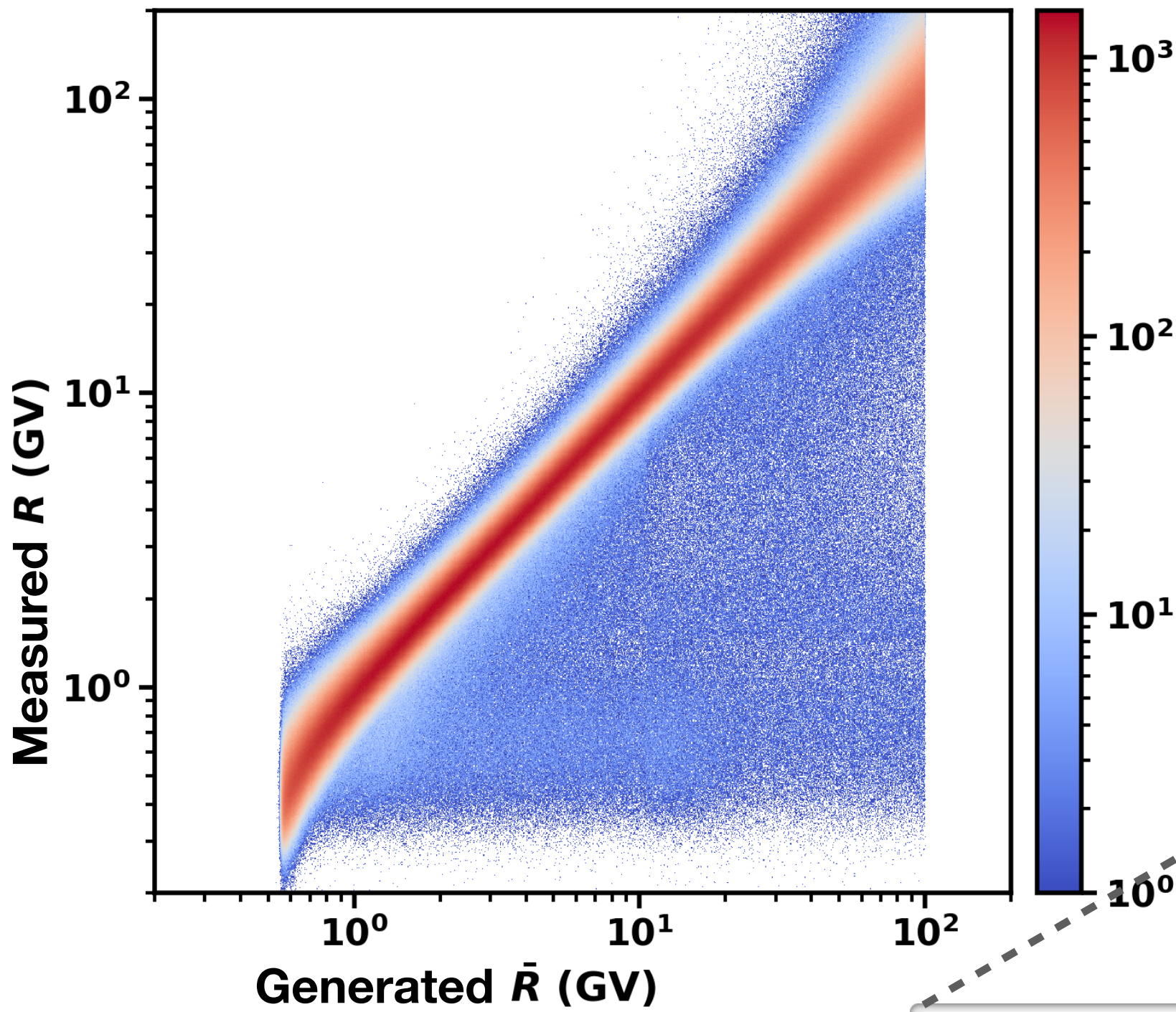
# Comparison with previous approach



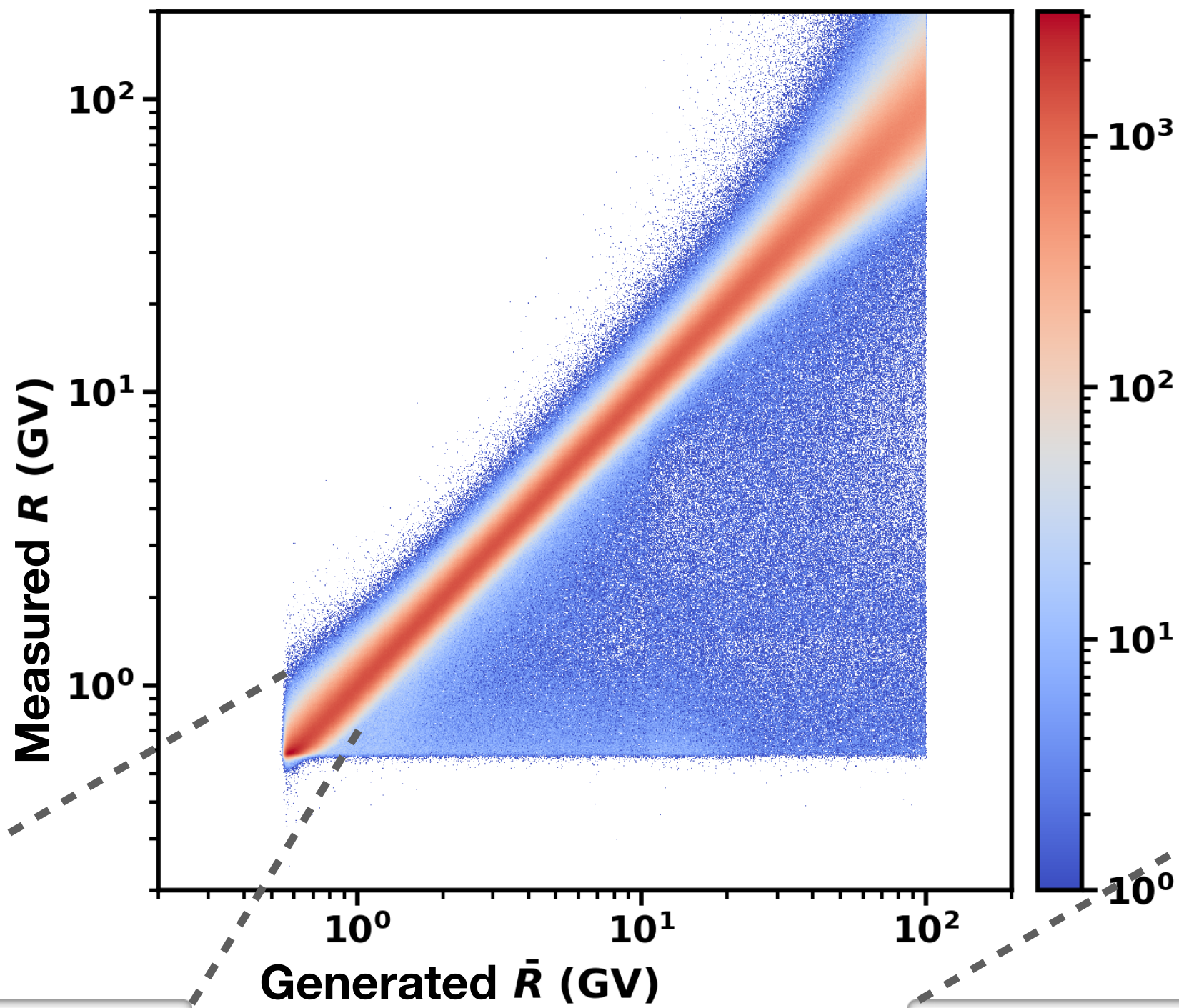


# Note on Energy Losses

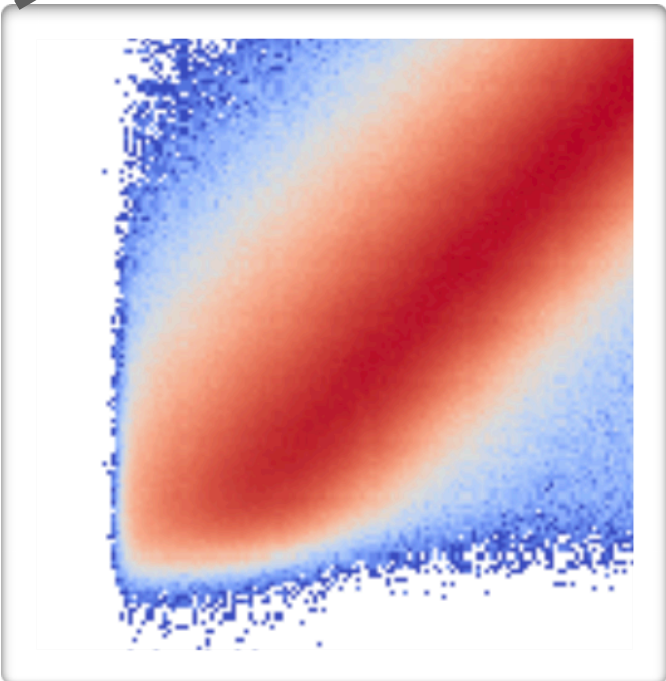
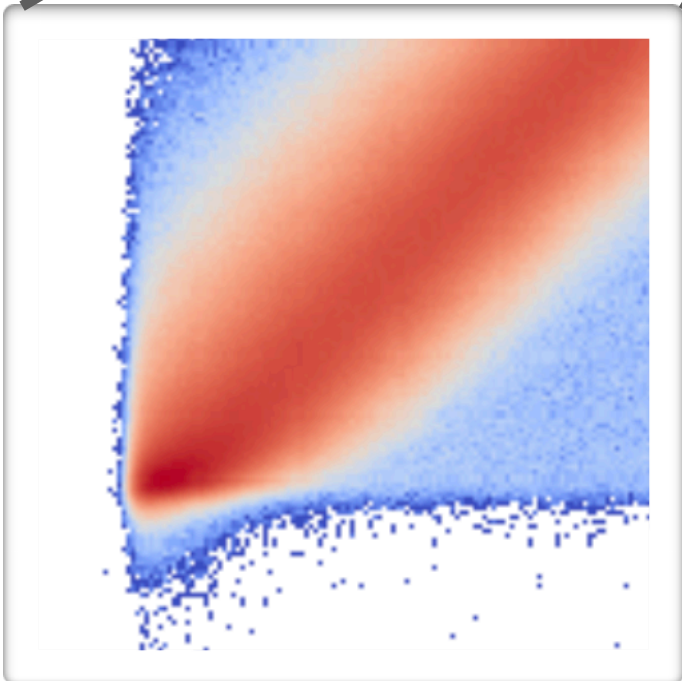
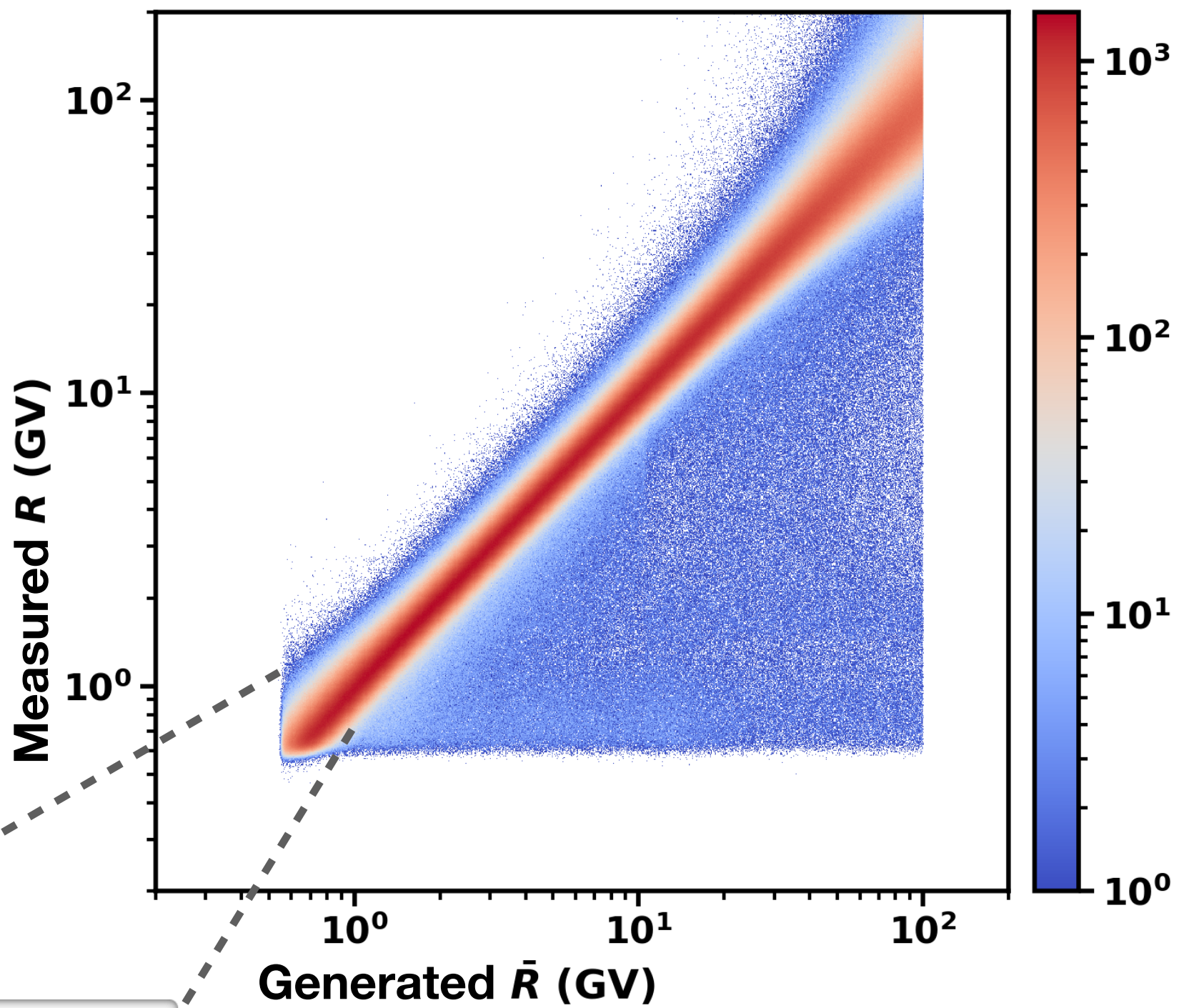
Migration matrix **without**  
**energy loss** correction (GBL)



Migration matrix **with global**  
**energy loss** correction (GBL)



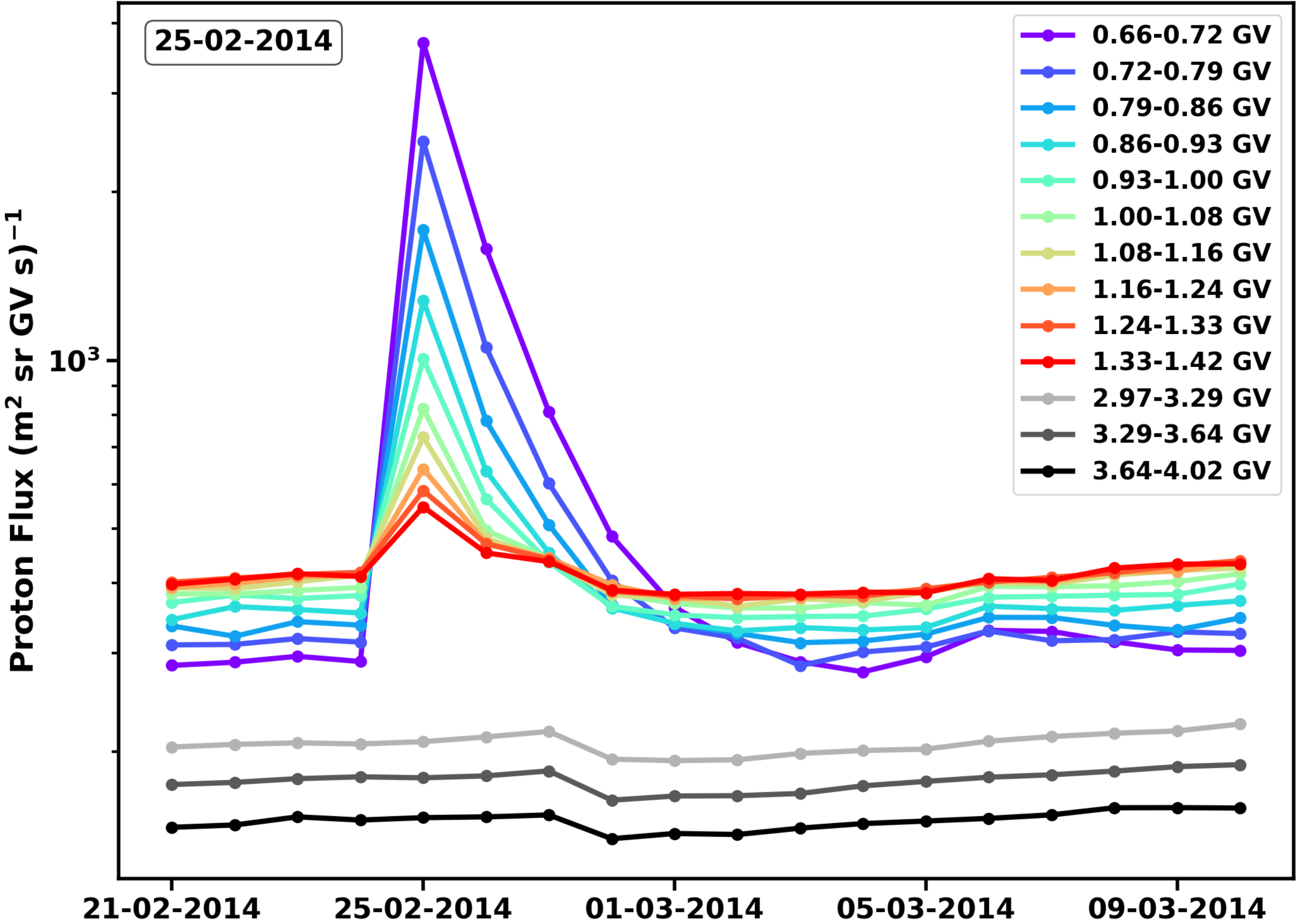
Migration matrix **with**  
**Kalman rigidity**





# Proton Flux During SEP Event

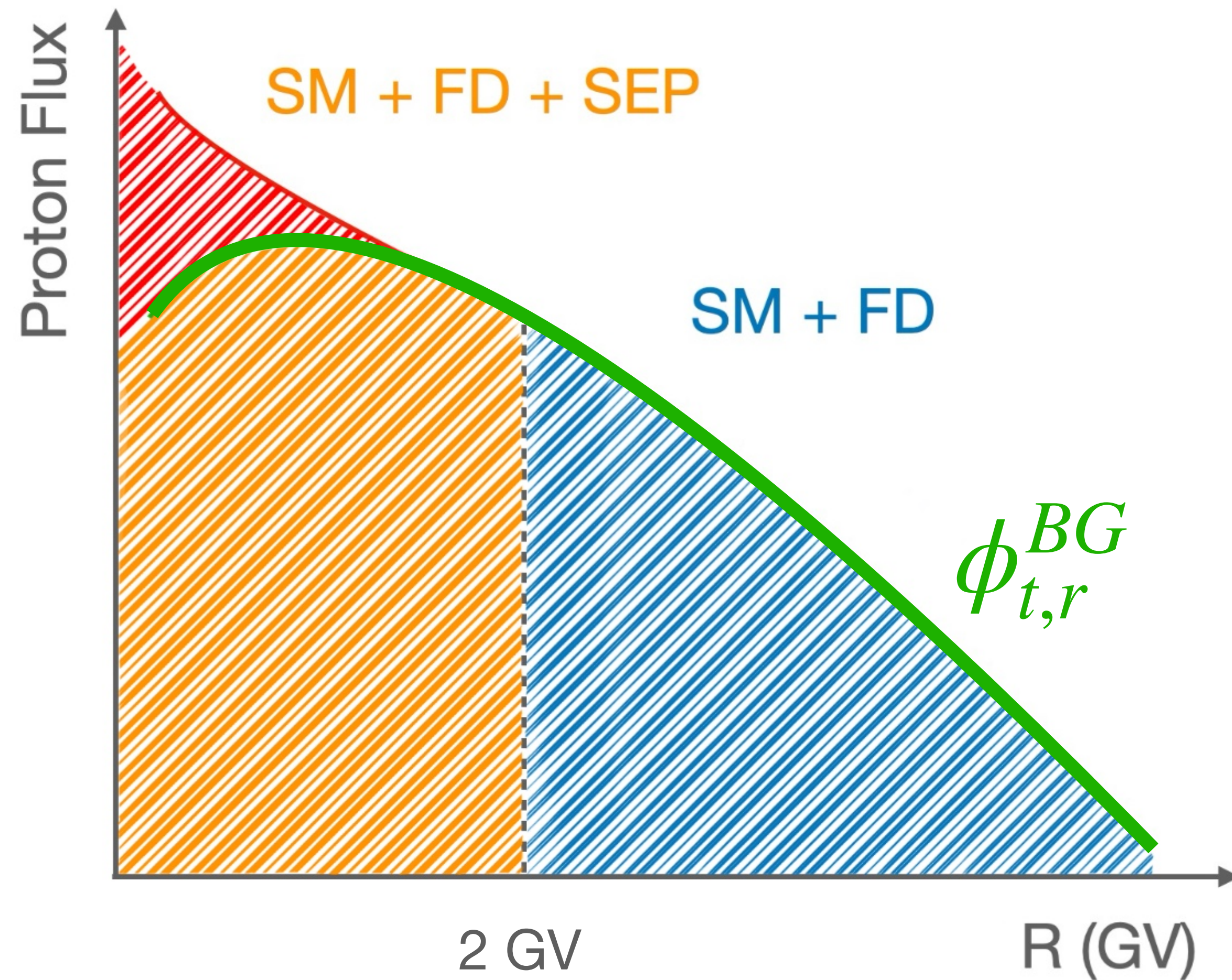
- **Total proton flux**, for the north polar region.
- The **increase** due to SEPs is **visible** at **low R** (coloured lines), while this contribution is **negligible above** (grey).
- We now need to **subtract** the **GCR background** and **secondary** component.





# GCR Background Subtraction

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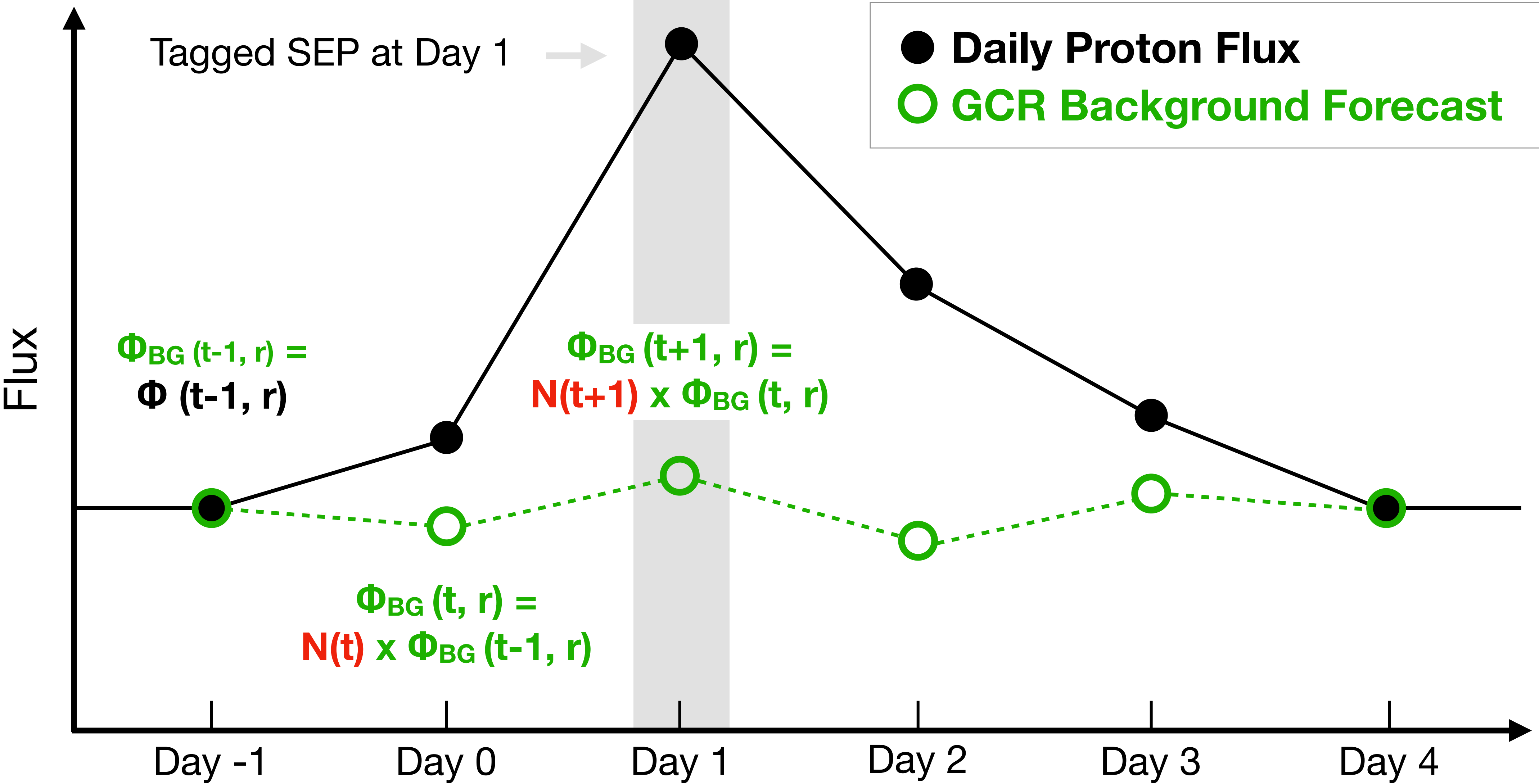


$$\phi_{t,r}^{BG} = N_t \times \phi_{t-1,r}^{BG}$$

- $N_t$  should include effects of **solar modulation (SM)** and **Forbush decreases (FD)**, but not SEPs.
- The **SEP contribution** to the total flux becomes **negligible** above **~2 GV**.
- The **normalization**  $N_t$  can be calculated from the flux at **higher rigidities**, excluding the SEP contribution.



# GCR Background Subtraction





# Forecasting Parameter: Ratio with Lag1 Flux

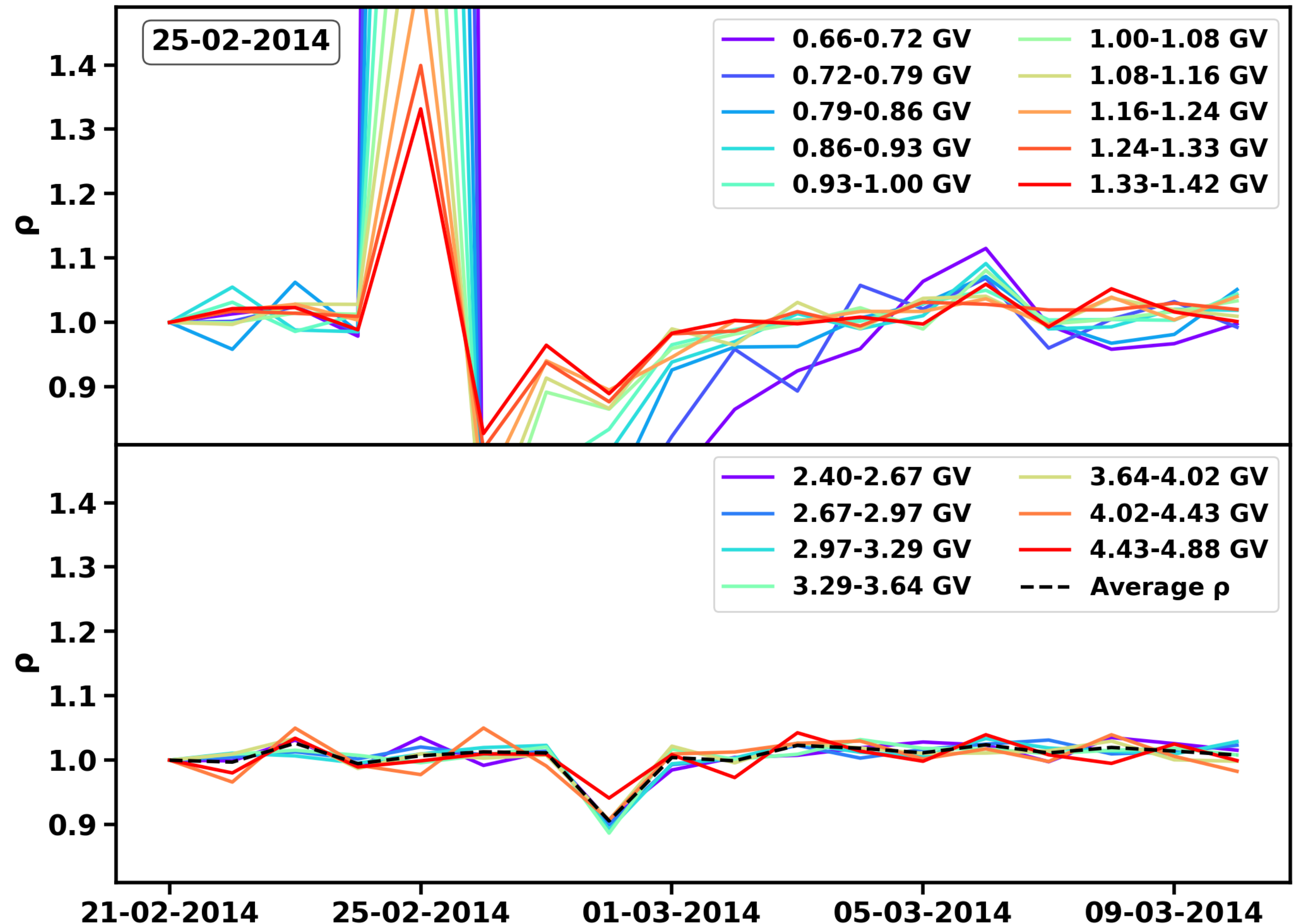
15

For each time (day) and rigidity bin,  $\rho$  is defined as the **ratio** of the **current** daily flux with the flux of the **previous** day.

$$\rho_{t,r} = \frac{\phi_{t,r}}{\phi_{t-1,r}}$$

Properties:

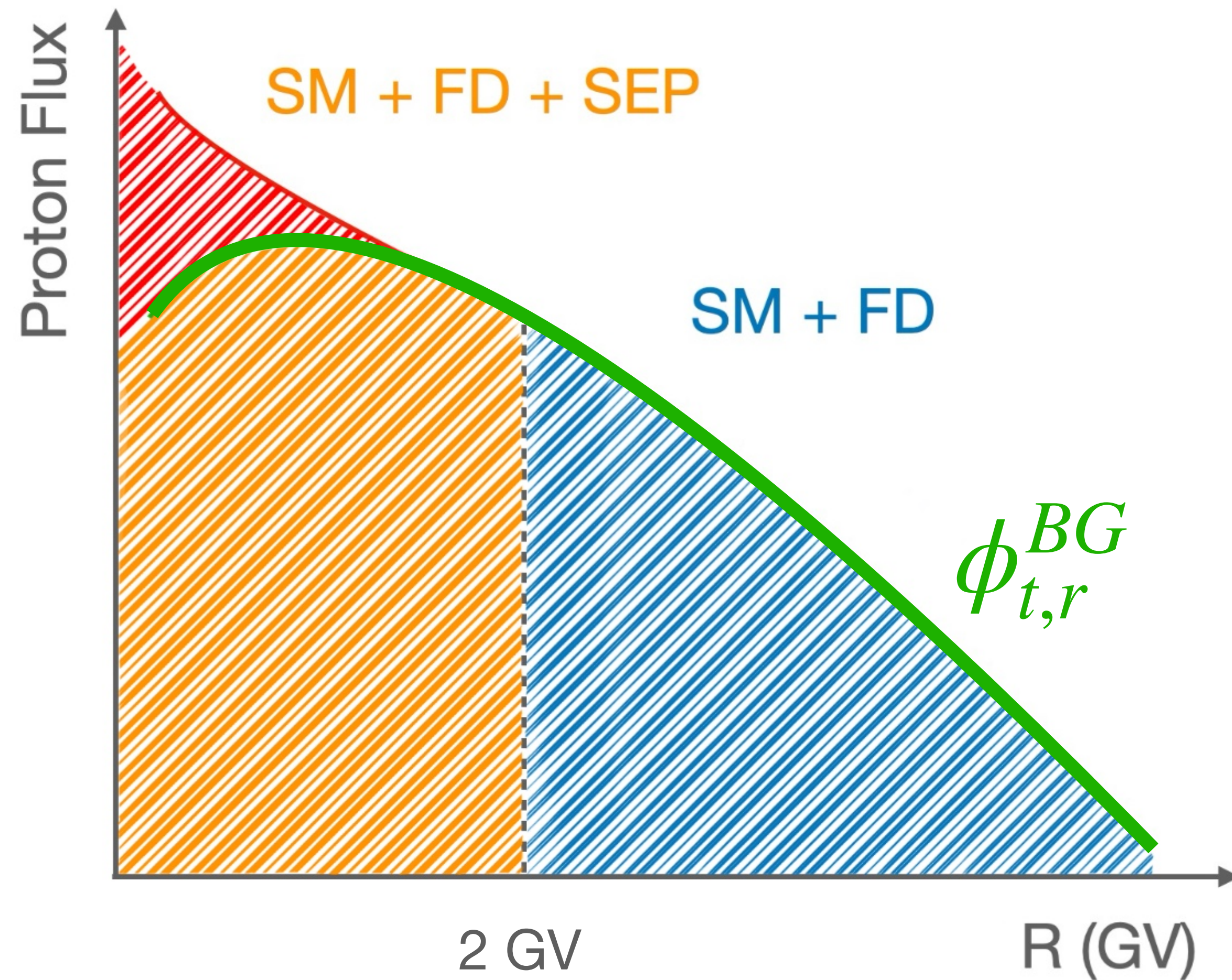
- **Stationary:** removes seasonal trend.
- **Rigidity independent** during quiet periods.





# Background Normalization

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The **normalization**  $N_t$  is given by the **average**  $\rho$  parameter, in the rigidity interval  $\sim [2.4, 4.8]$  GV, above the maximum rigidity reached by SEPs.

$$N_t = \langle \rho \rangle_t = \frac{1}{7} \sum_{r=10}^{17} \frac{\phi_{t,r}}{\phi_{t-1,r}}$$



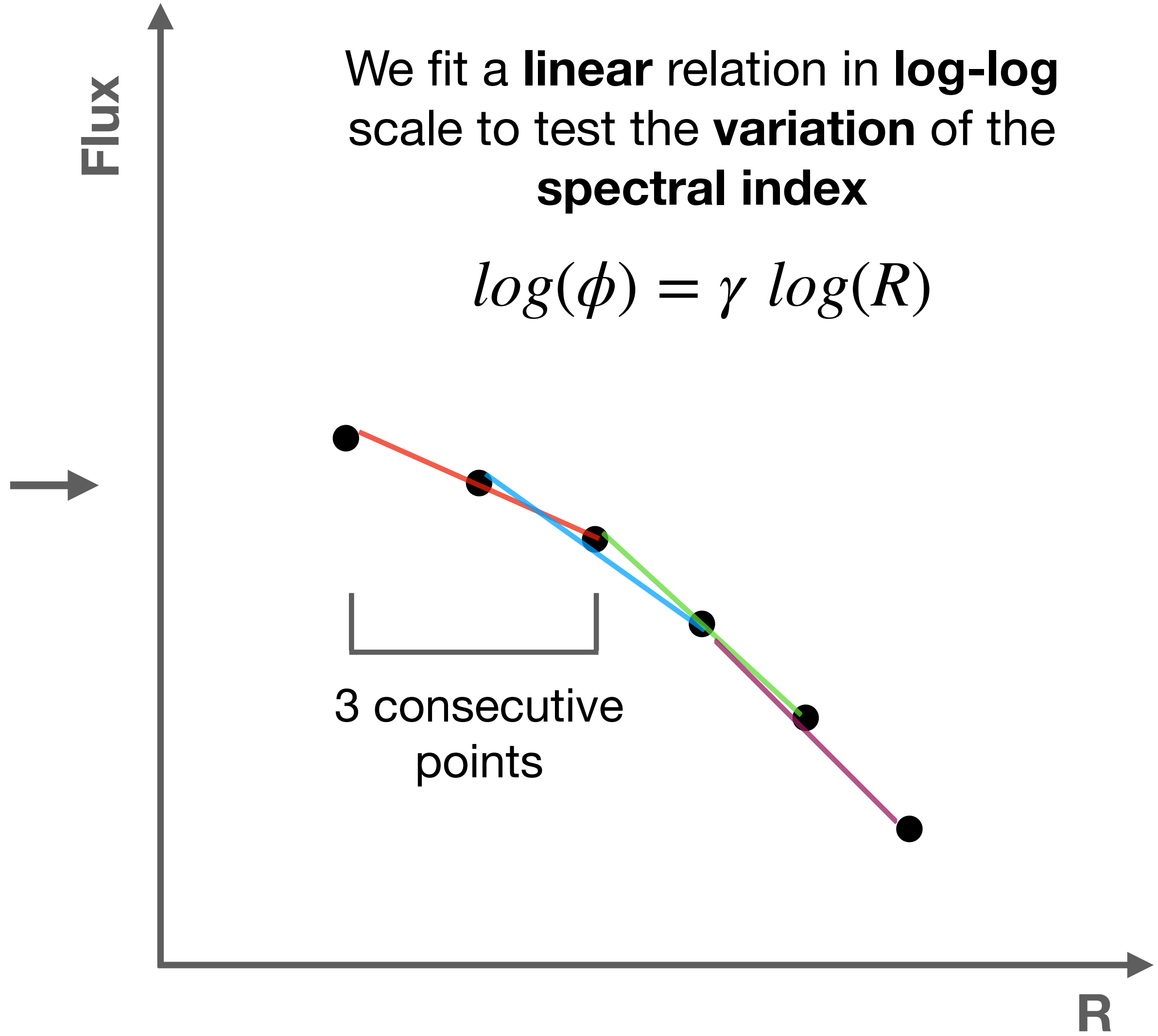
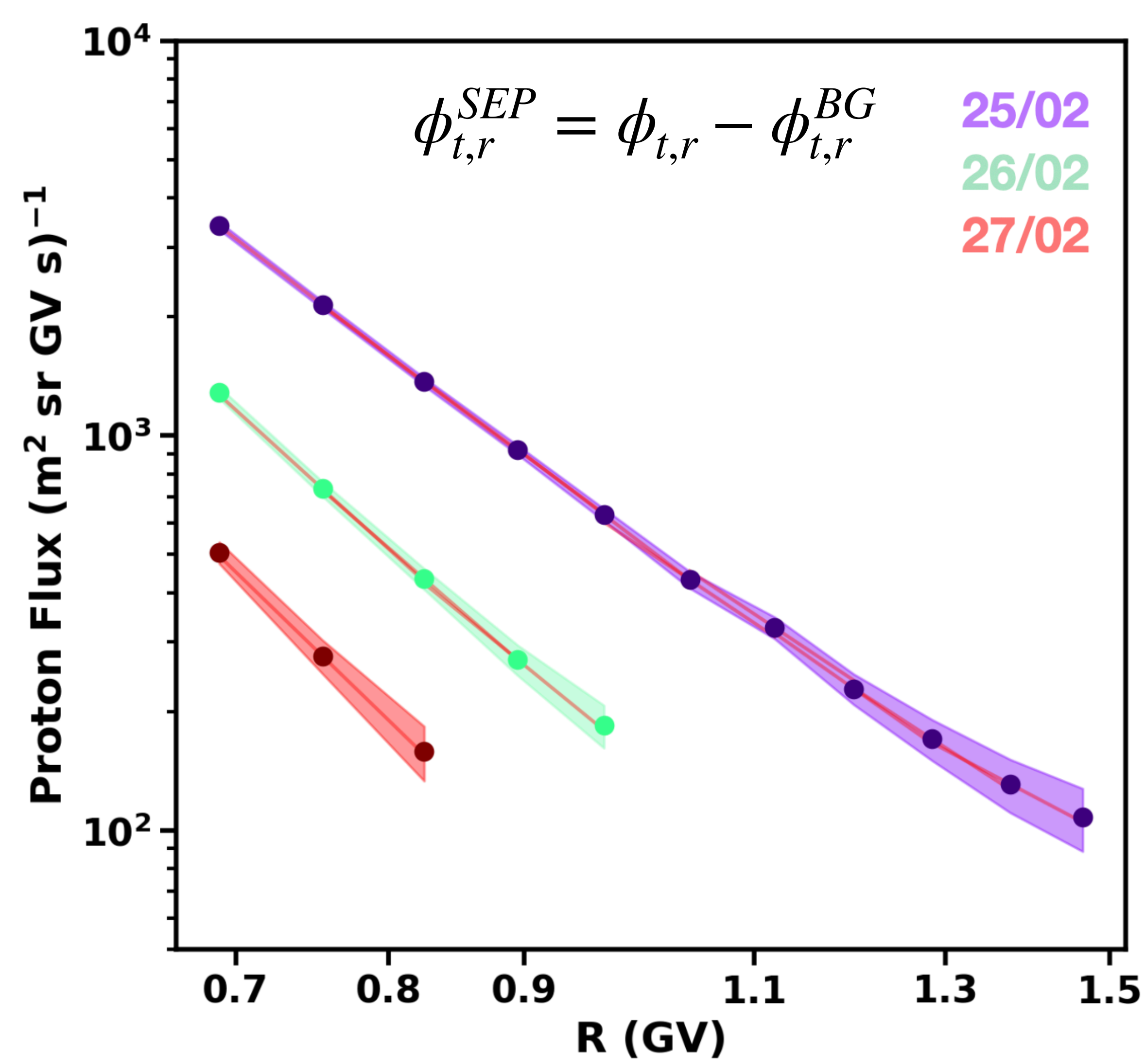
$$\phi_{t,r}^{BG} = \langle \rho \rangle_t \times \phi_{t-1,r}^{BG}$$

$N_t$  is the same **for any rigidity bin**, thanks to **rigidity independence**.



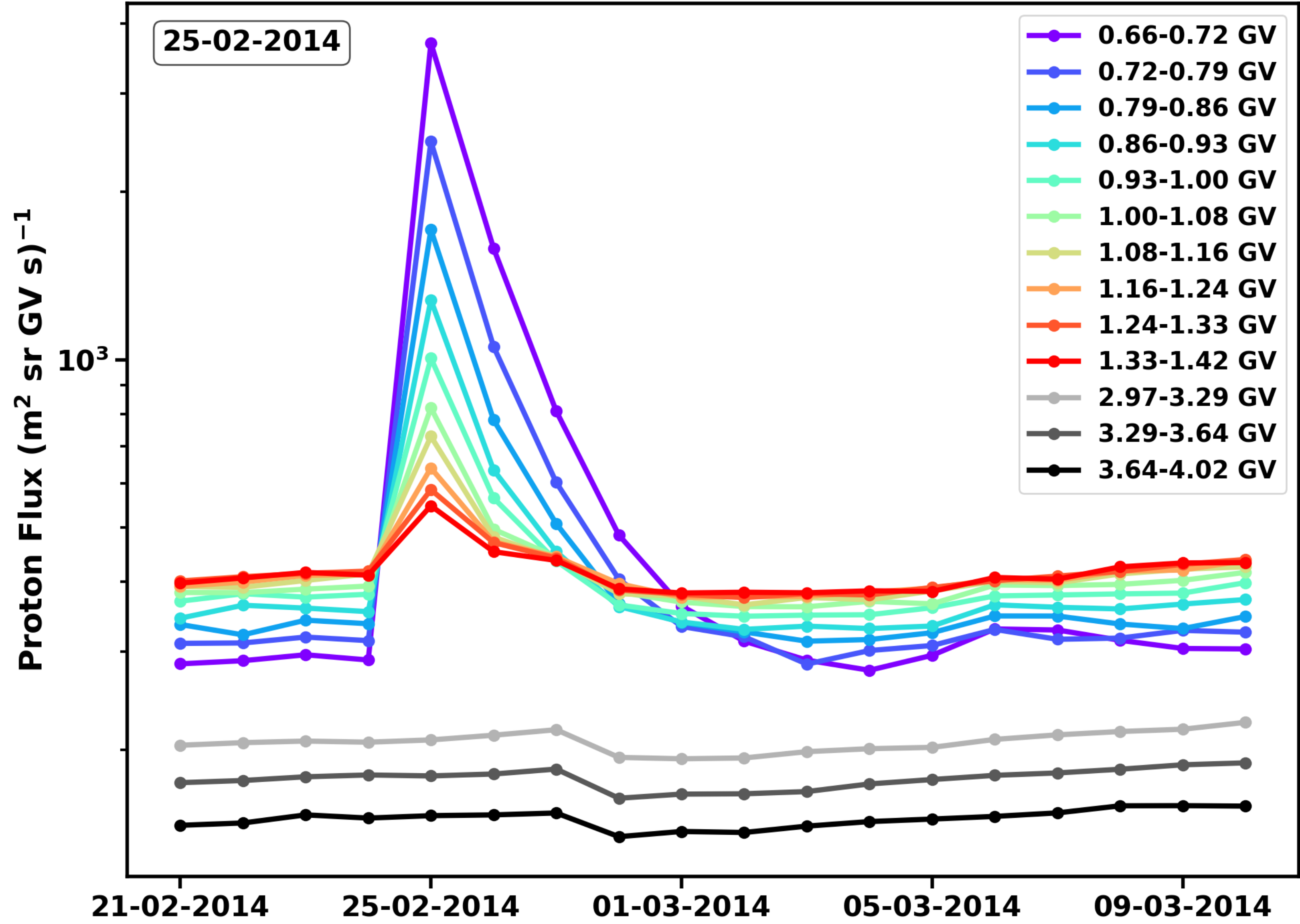
# Background Subtracted SEP Spectra

25/02/2014

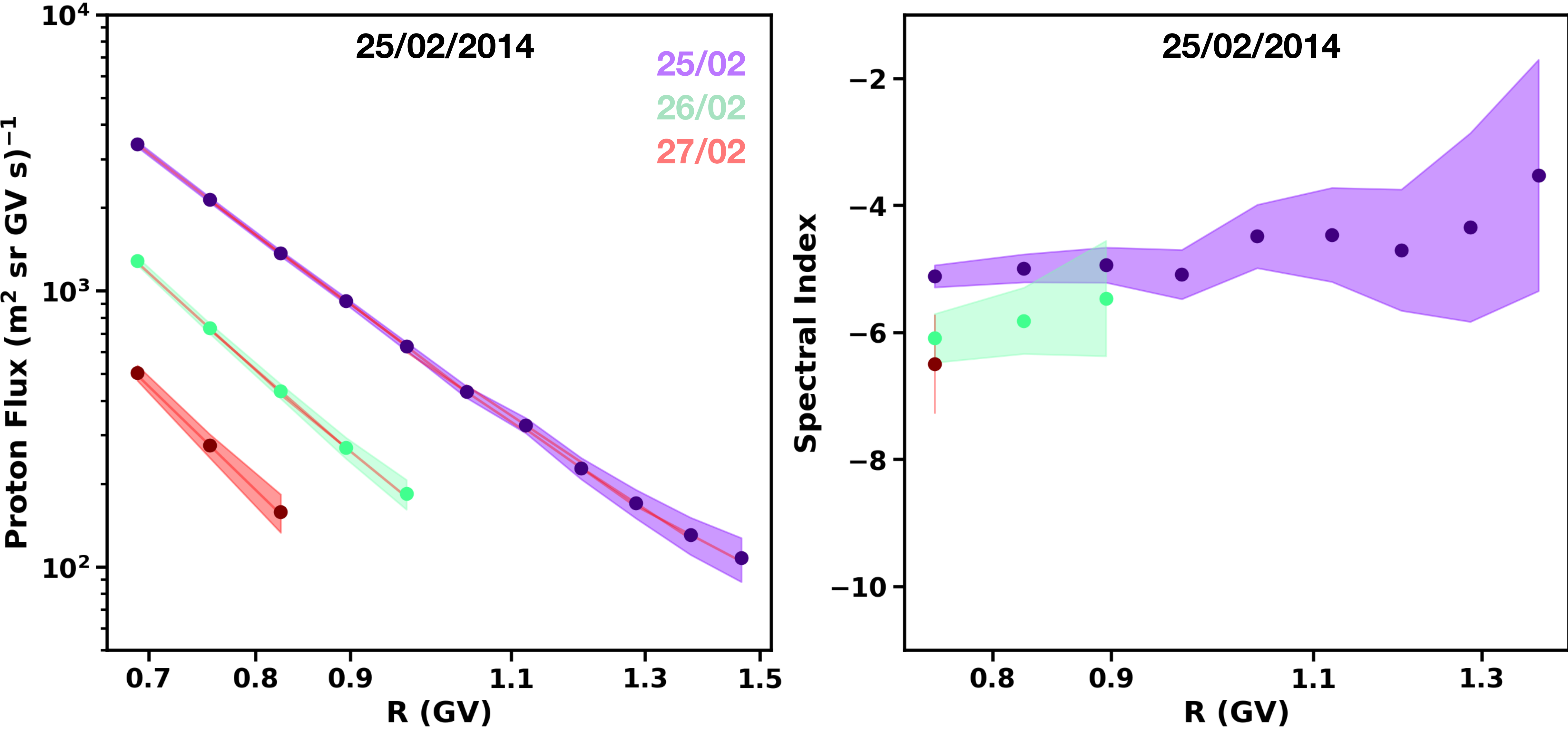




# Rigidity and Time Evolution of SEP Spectra

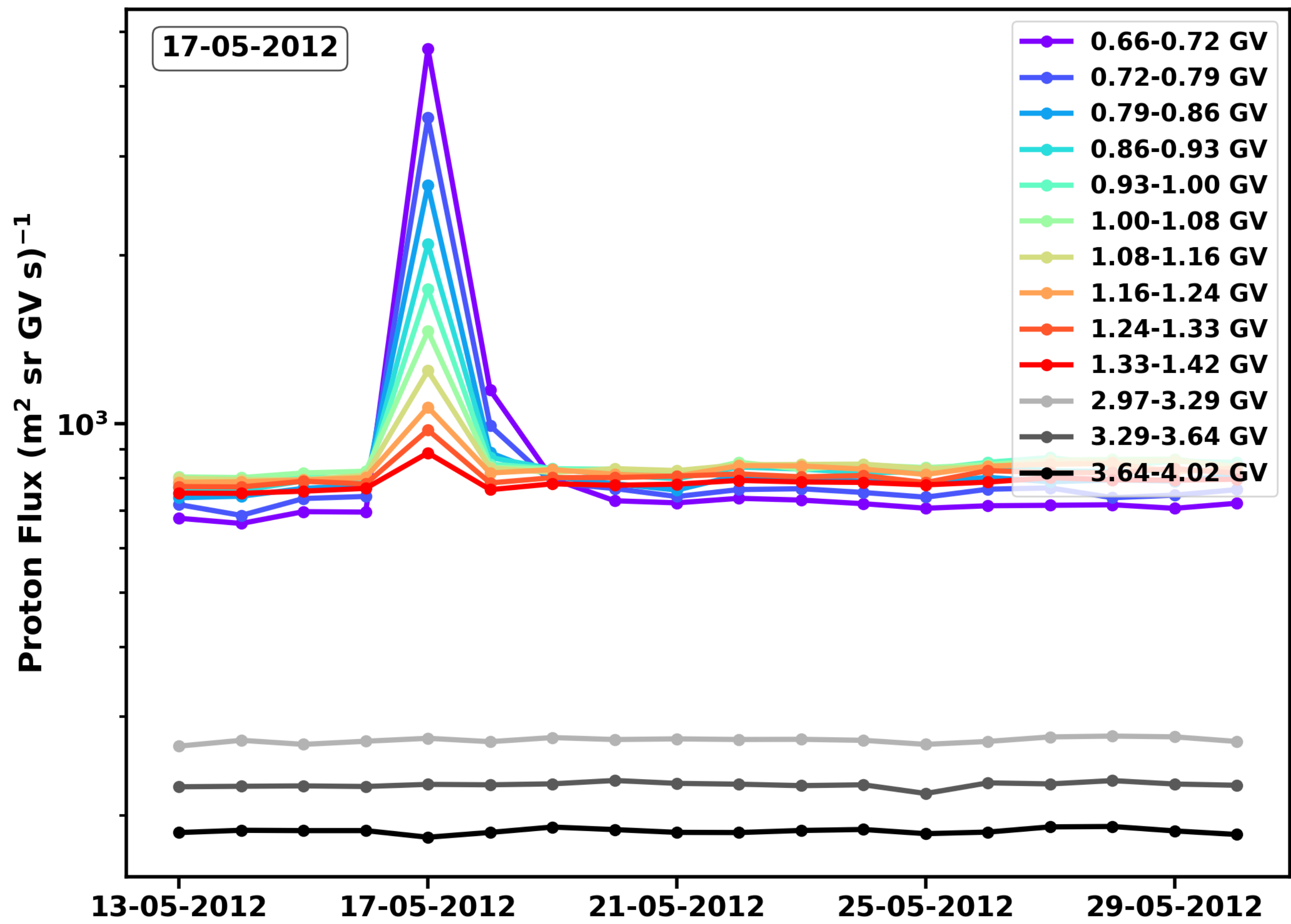




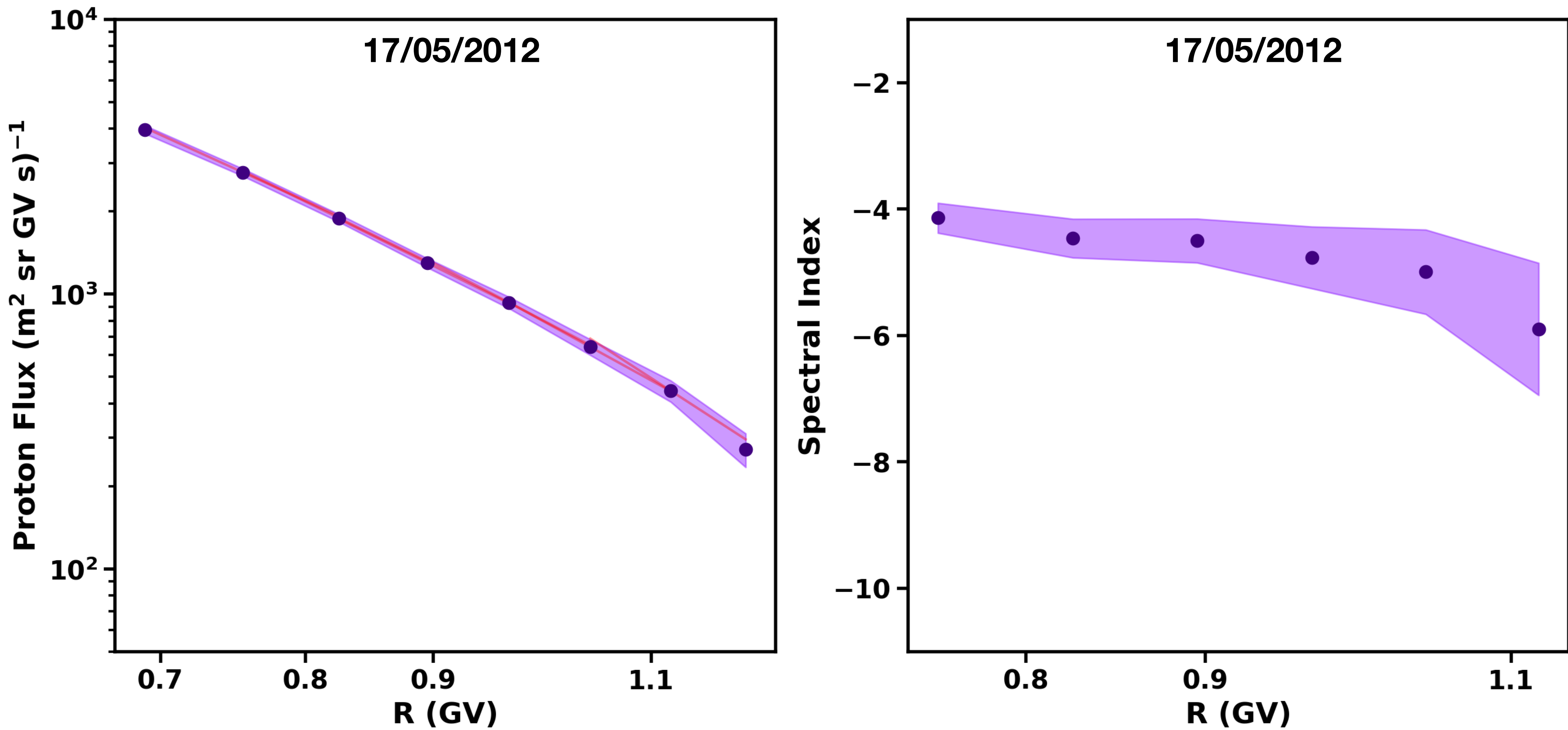




# Rigidity and Time Evolution of SEP Spectra

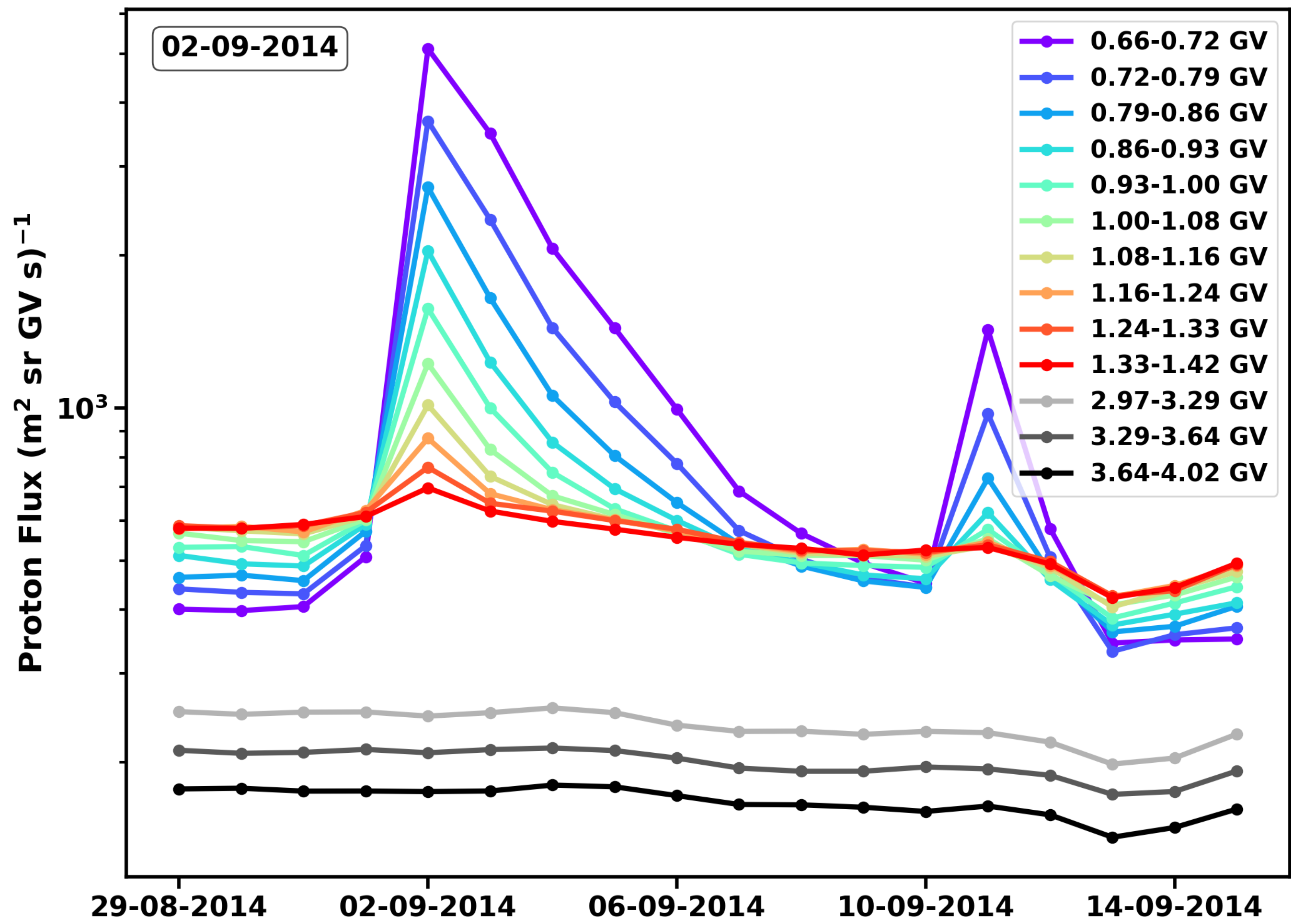




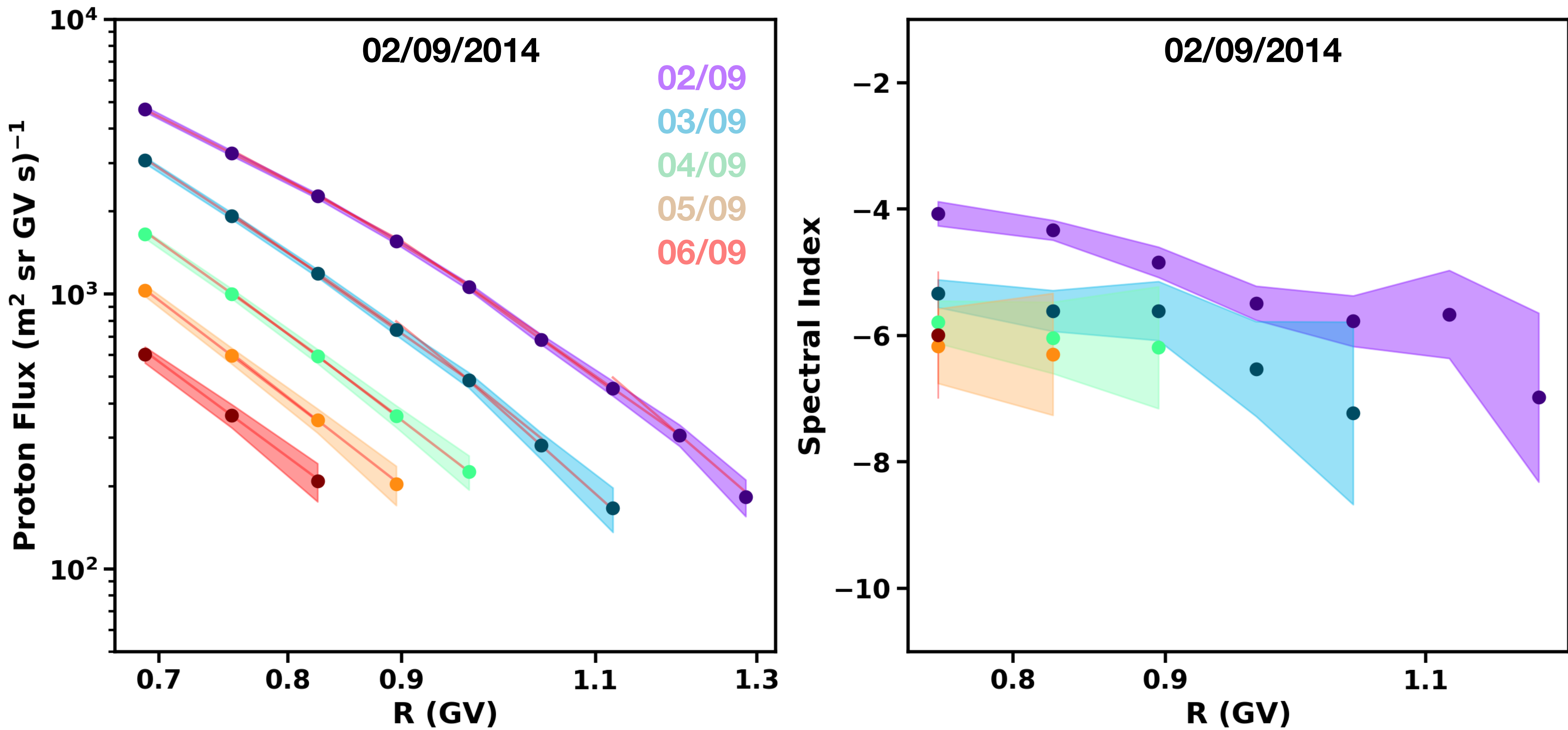




# Rigidity and Time Evolution of SEP Spectra









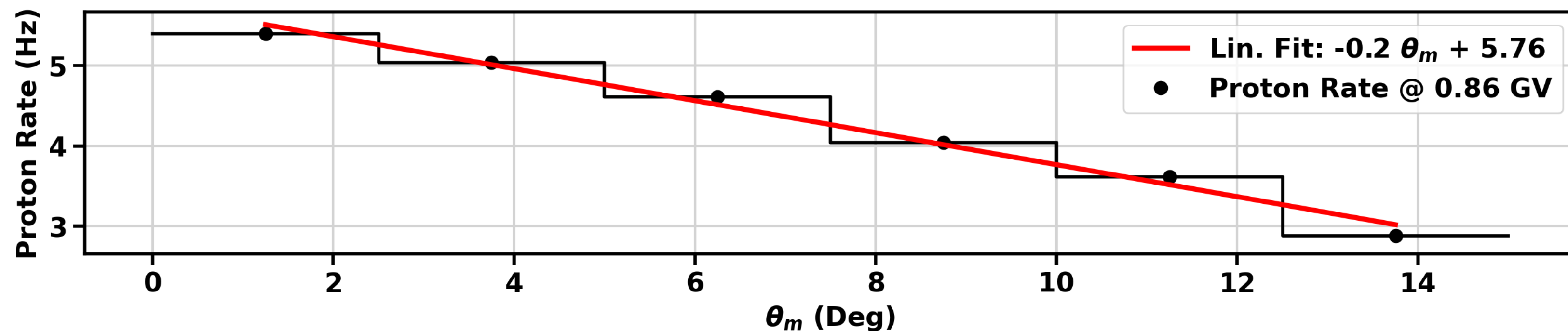
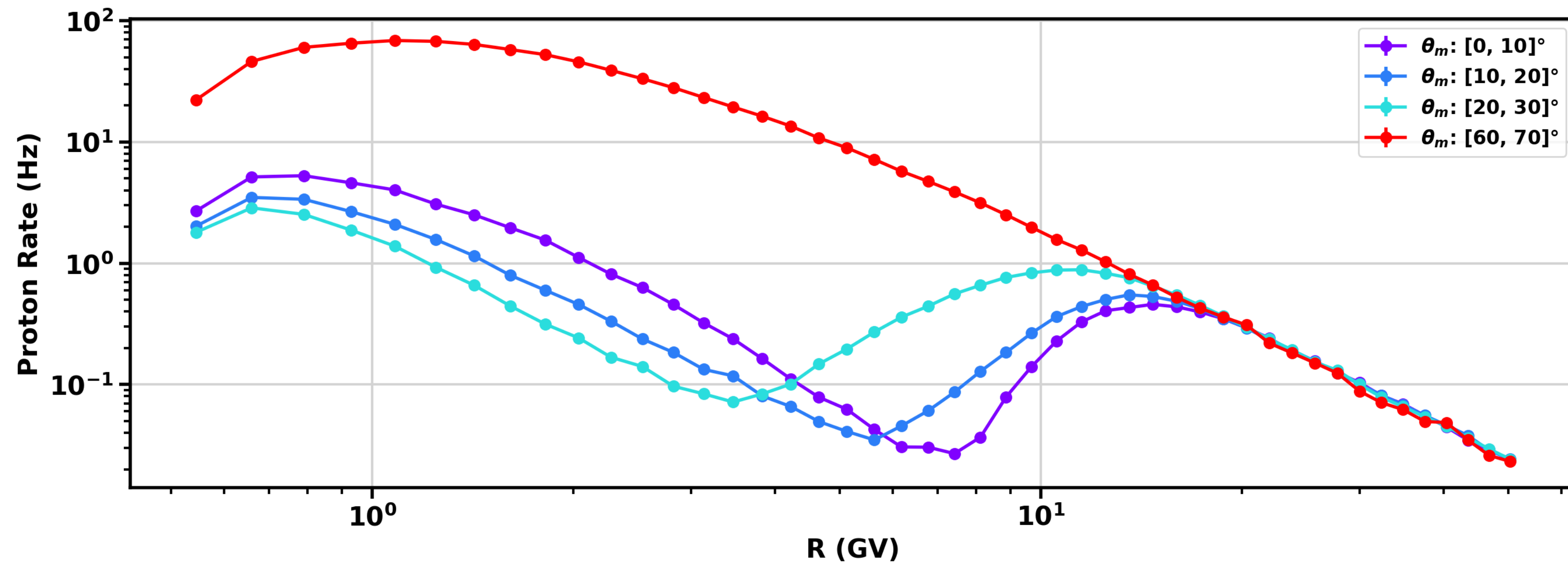
- **SEPs** can be **accelerated** in two different mechanisms: **magnetic reconnection** and **diffusive shock acceleration**.
- **AMS** can measure the **spectral index** and observe the **temporal evolution** of SEP events at high energy, constraining the **type of acceleration**.
- The production **SEP spectra** with AMS requires a **tailored event selection** and a **robust GCR background subtraction** procedure.
- Many events measured by AMS show a **single power law** spectrum, but a few (backside) show a **rigidity dependence** of the **spectral index**.
- The **SEP fluxes** with AMS can be used to calculate the **radiation dose** absorbed by humans in space during these events.



# Proton Rate and Secondary Population

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- The **proton rate at low geomagnetic latitudes**, including events below cutoff, shows a clear **double spectrum**, due to the presence of secondary protons.
- At **high latitudes** it shows a **mixed spectrum**, including secondaries.



# Forecasted Background on Quiet Flux

Distributions of the  
**relative variation**  
between **forecasted**  
background and  
**measured flux**,  
during **quiet** periods

$$\frac{\phi^{BG} - \phi}{\phi}$$

[0.50 - 0.55 GV]

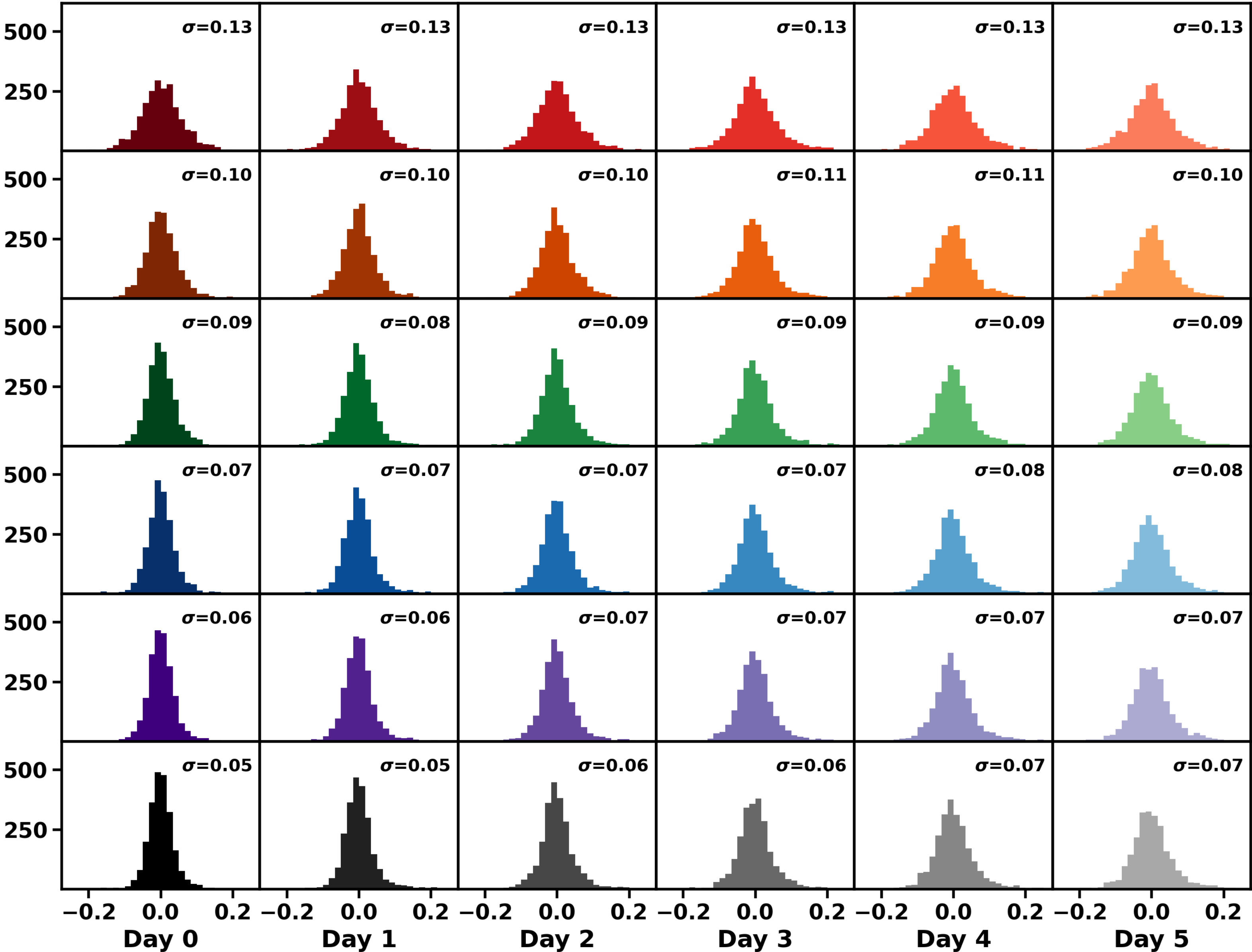
[0.55 - 0.60 GV]

[0.60 - 0.66 GV]

[0.66 - 0.72 GV]

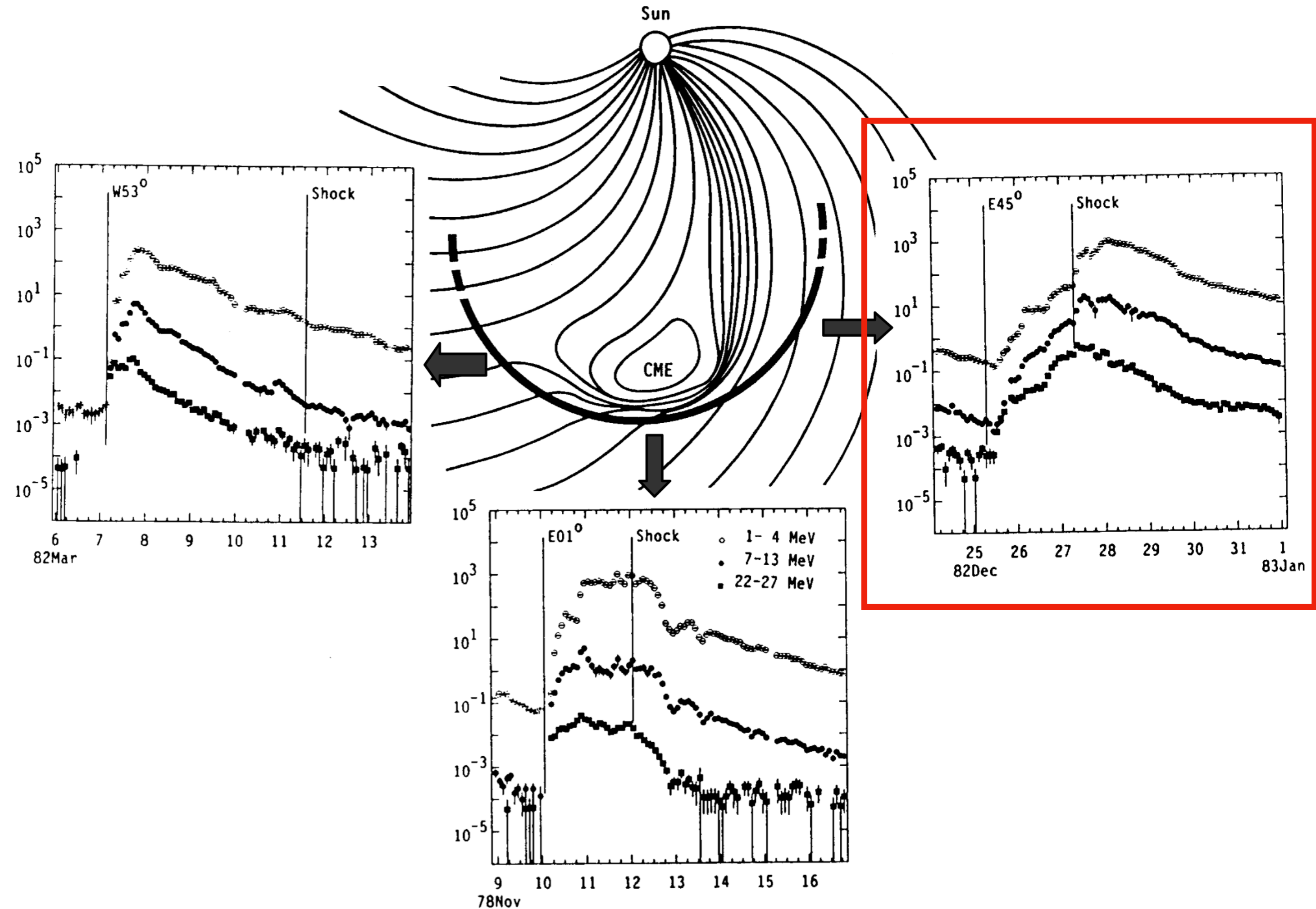
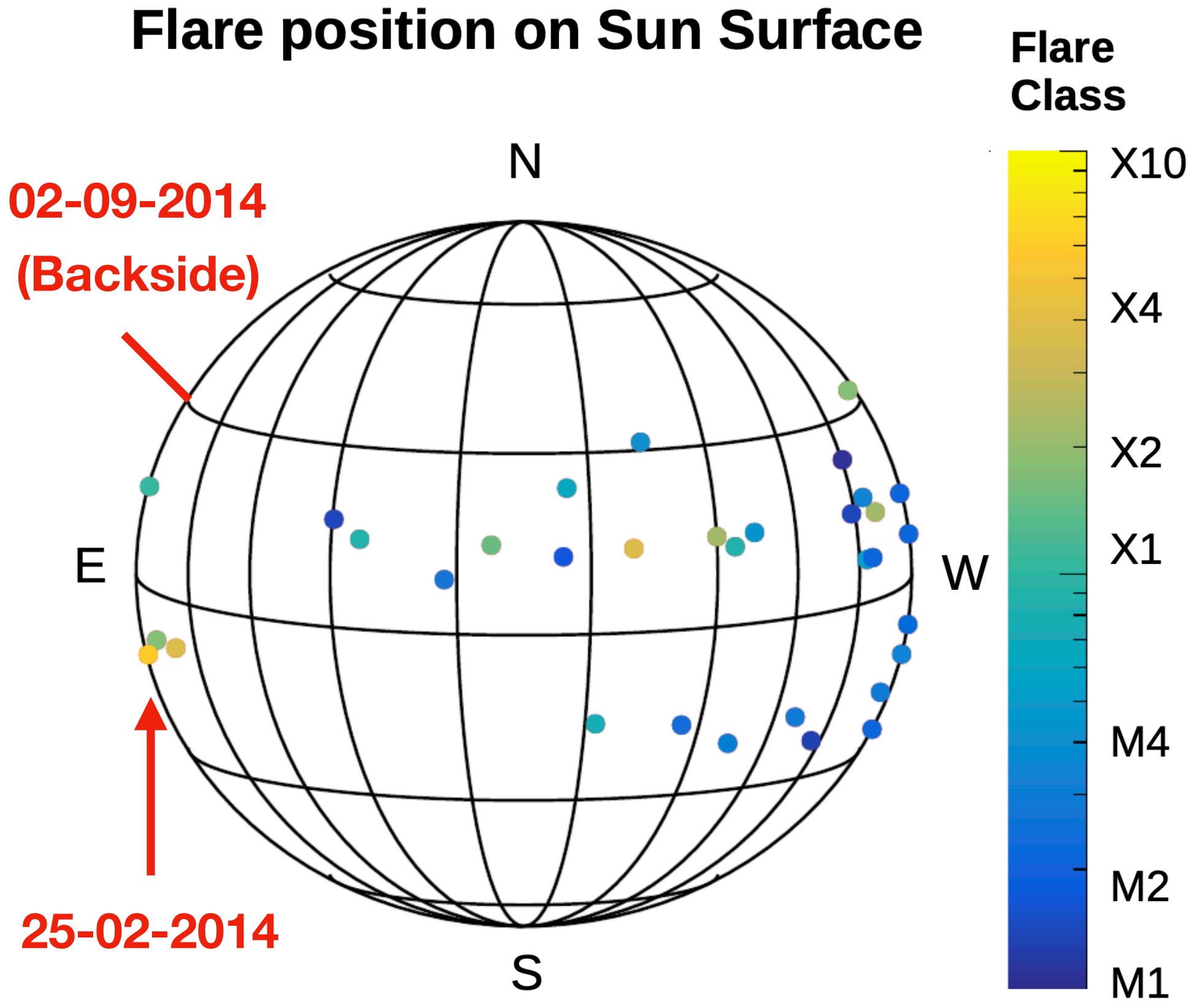
[0.72 - 0.79 GV]

[0.79 - 0.86 GV]



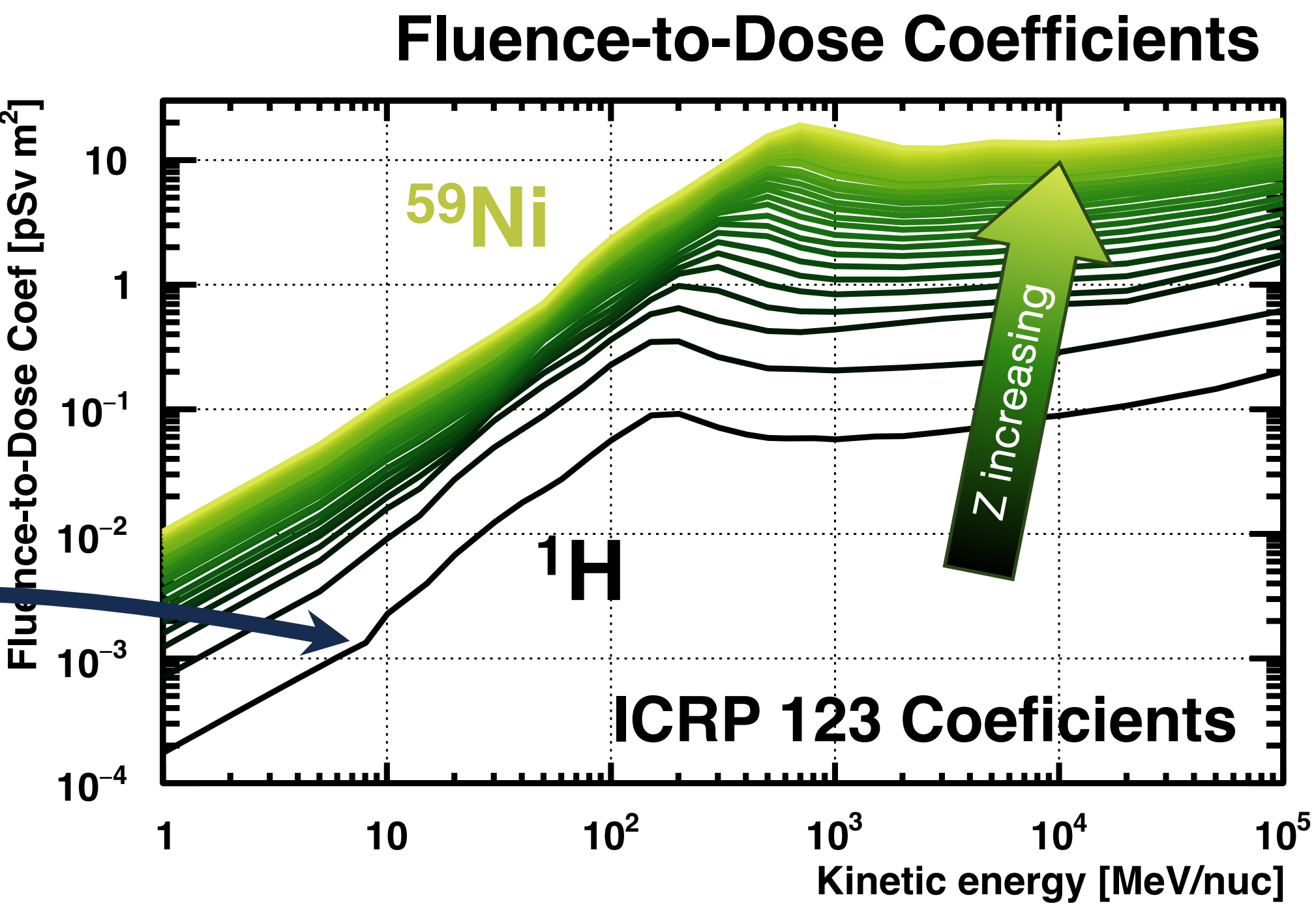
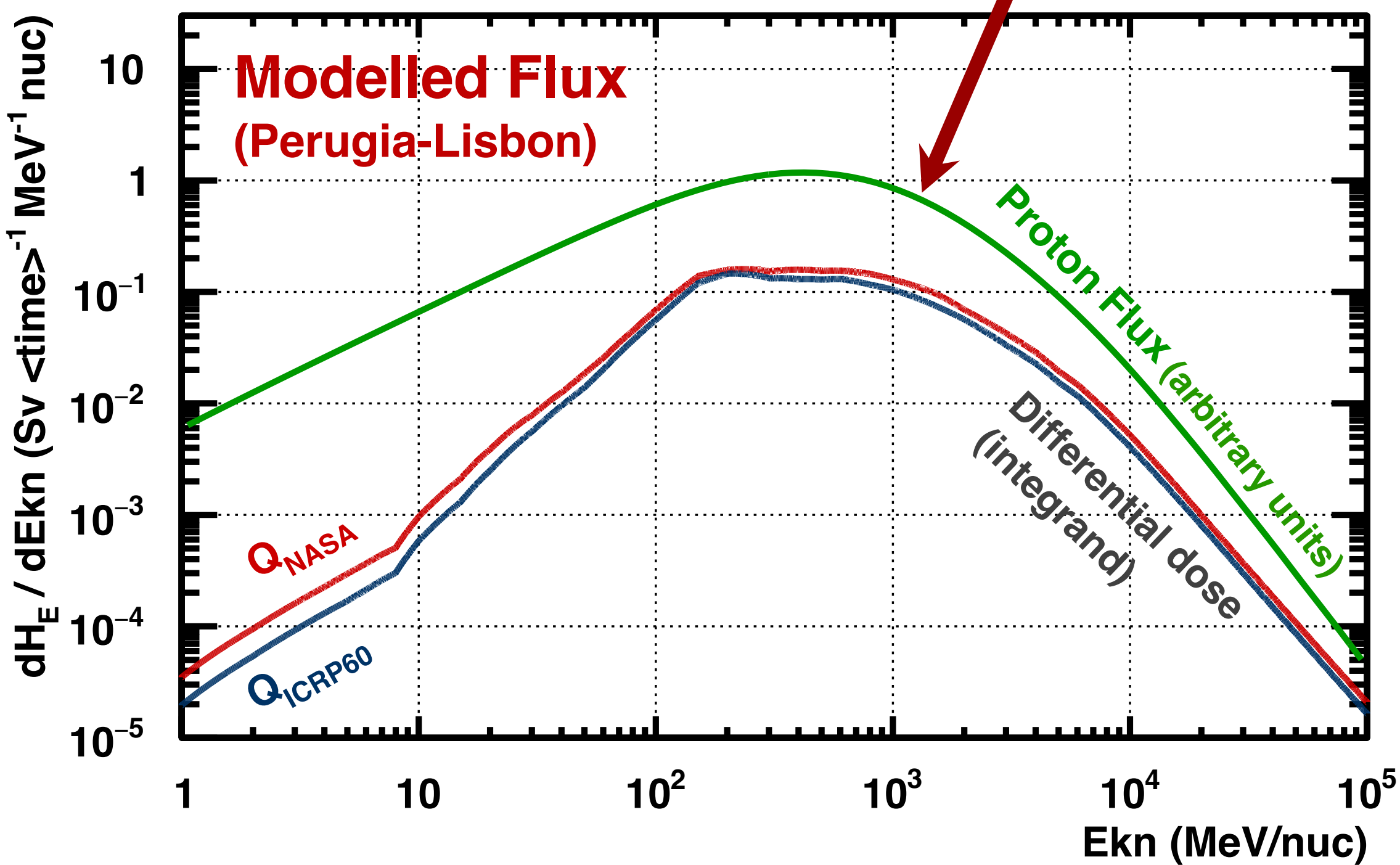


# Spectral Fits with AMS at Peak Intensity - Est Side



Effective Dose Equivalent (weighted for age & gender)

$$H = 4\pi \int_0^\infty \underbrace{Q(E)}_{\text{Quality Factor}} \underbrace{\frac{D}{\Phi}(E)}_{\text{Dose to Fluence}} \int \varphi(E, t) dt dE$$



- 1. Flux is assumed isotropic.
- 2. Astronaut is assumed to be naked
- 3. Deposited energy is expected to be proportional to mass. (Dose is in units of energy / kg)
- 4. Typical units are Sievert ( $\text{Sv}=\text{m}^2\text{s}^{-2}$ ) or rem ( $1 \text{ Sv} = 100 \text{ rem}$ )



