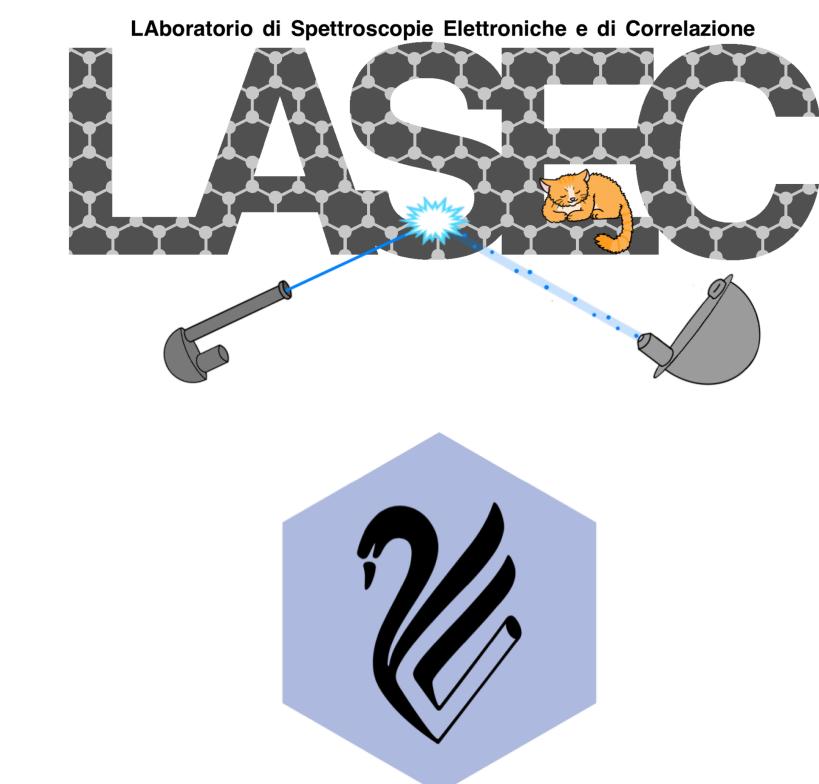


Exploring VACNT Anisotropy through Angle Resolved Electron Emission

Do the nanotubes tube?

Orlando Castellano, Alice Apponi, Luca Cecchini, Ravi Prakash Yadav, Gianluca Cavoto, Carlo Mariani, Ilaria Rago, Francesco Pandolfi, Alessandro Ruocco



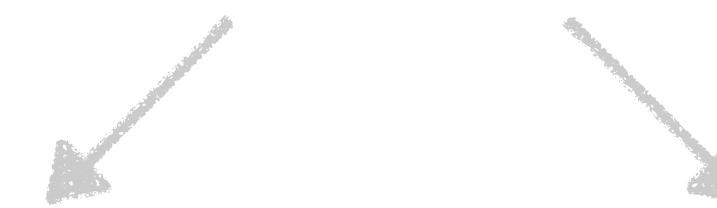
Photoemission

UV photon source:

He I: 21.22 eV
He II: 40.8 eV

Hemispherical Electron Analyzer

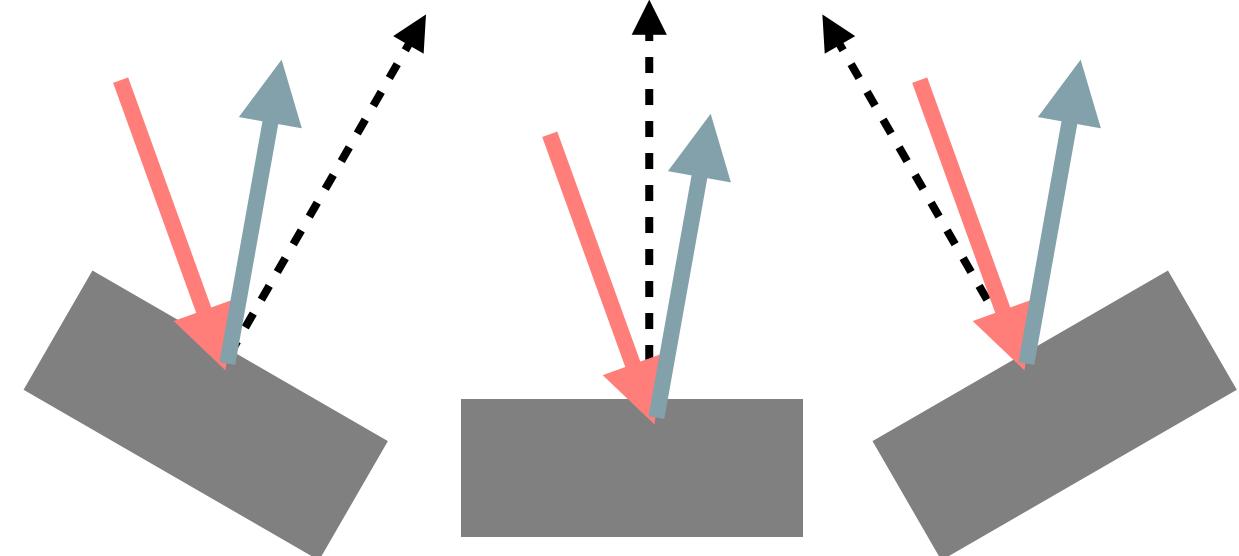
Collects photoemitted electrons



Selected in E_k

Spectrum

The sample rotates



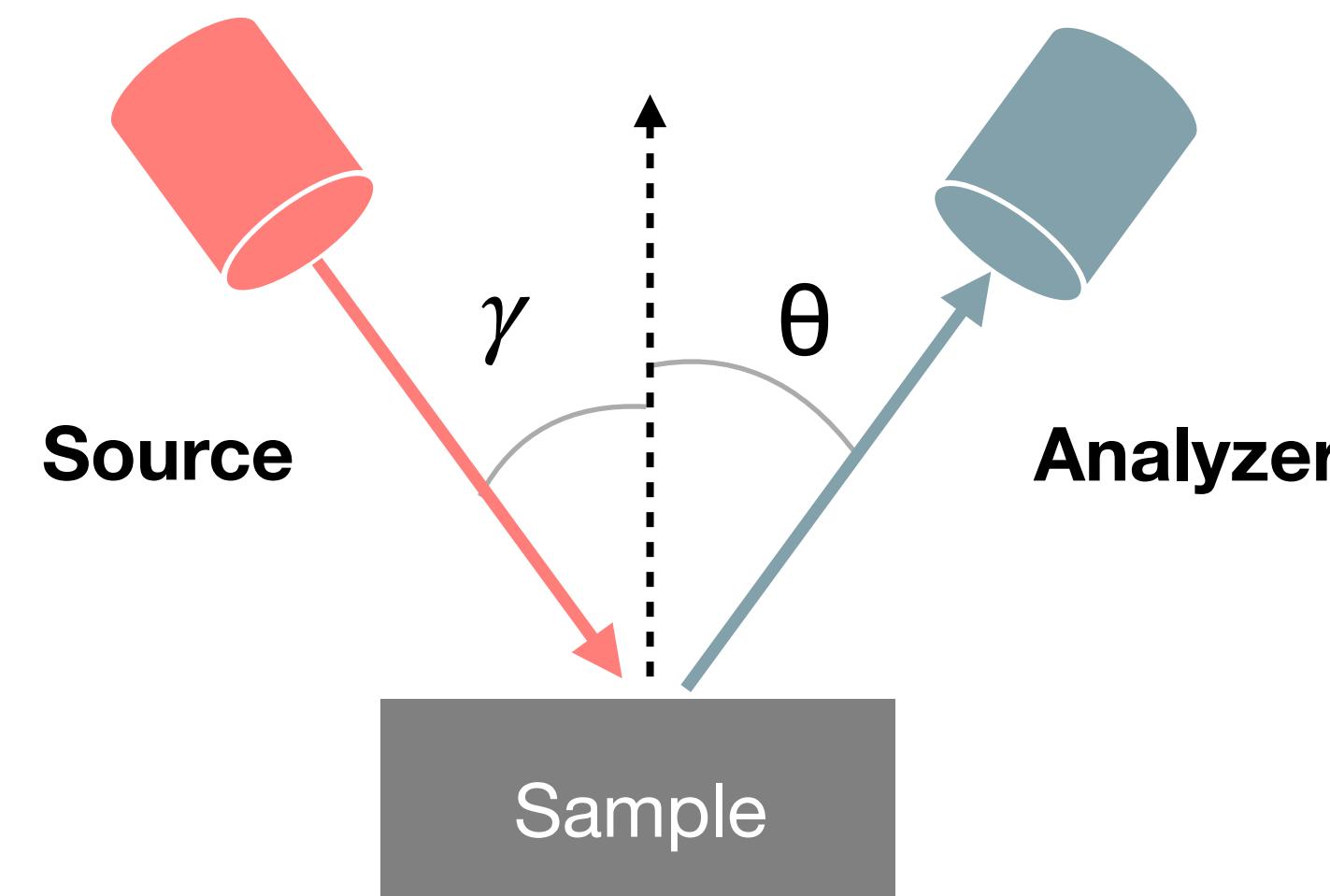
Electron scattering

Monochromatized electron gun

Primary electrons:
KE 90 ± 0.05 eV

Hemispherical Electron Analyzer

Collects elastically backscattered electrons:
KE 90 ± 0.09 eV



Source and Analyzer
are at a fixed angle:

$$\gamma + \theta = 30^\circ$$

Compare VA-CNTs to isotropic samples

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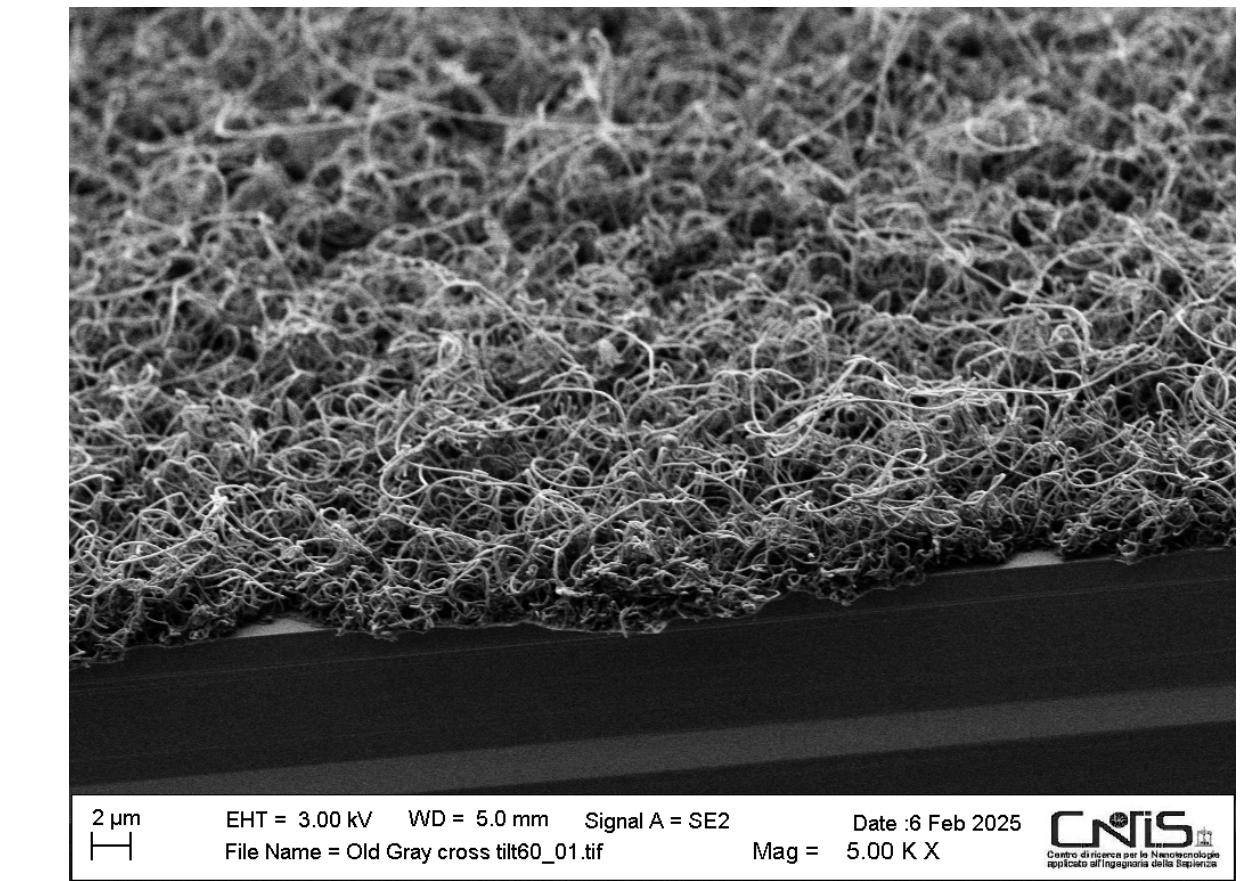
RO-Graphite

Randomly oriented graphite sheets
(TAAB c107 colloidal graphite, Aquadag)

+ bonus ARCAP sample holder
(amorphous Copper / Nickel alloy)

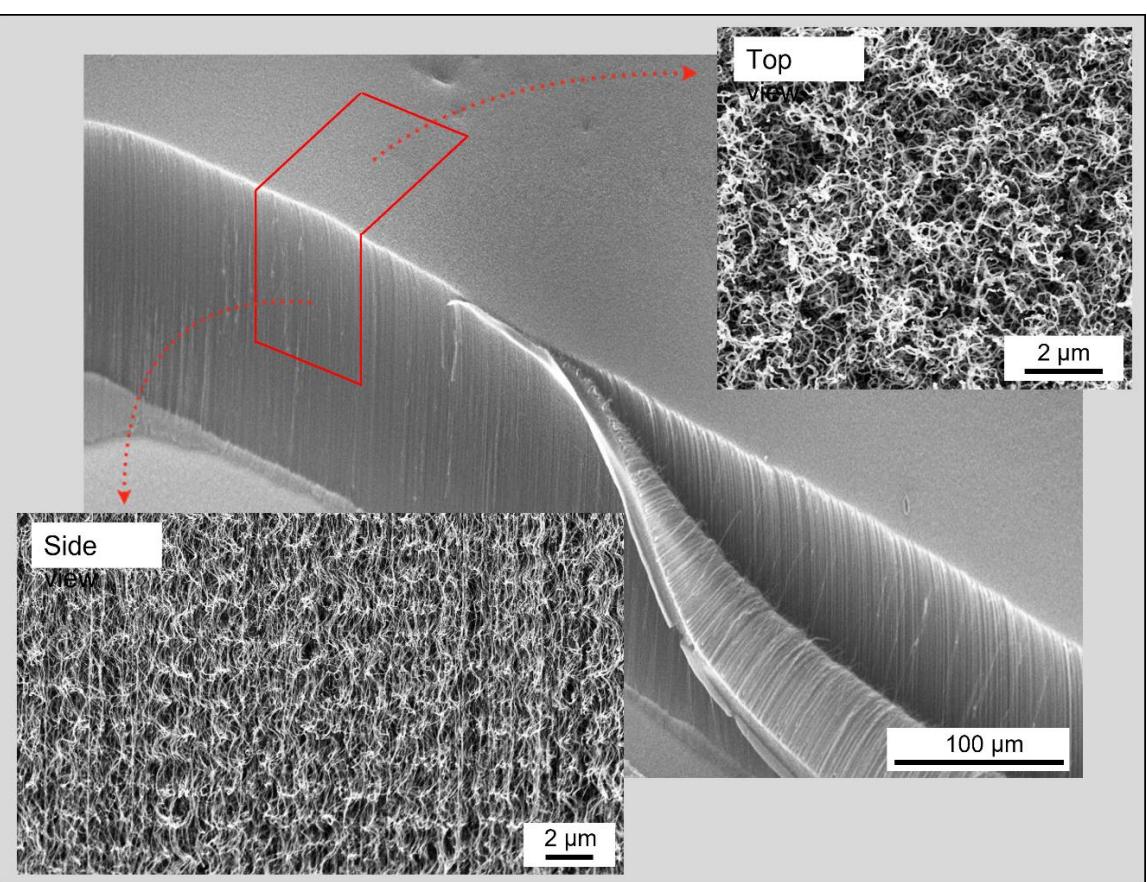
RO-CNT

Randomly oriented
carbon nanotubes

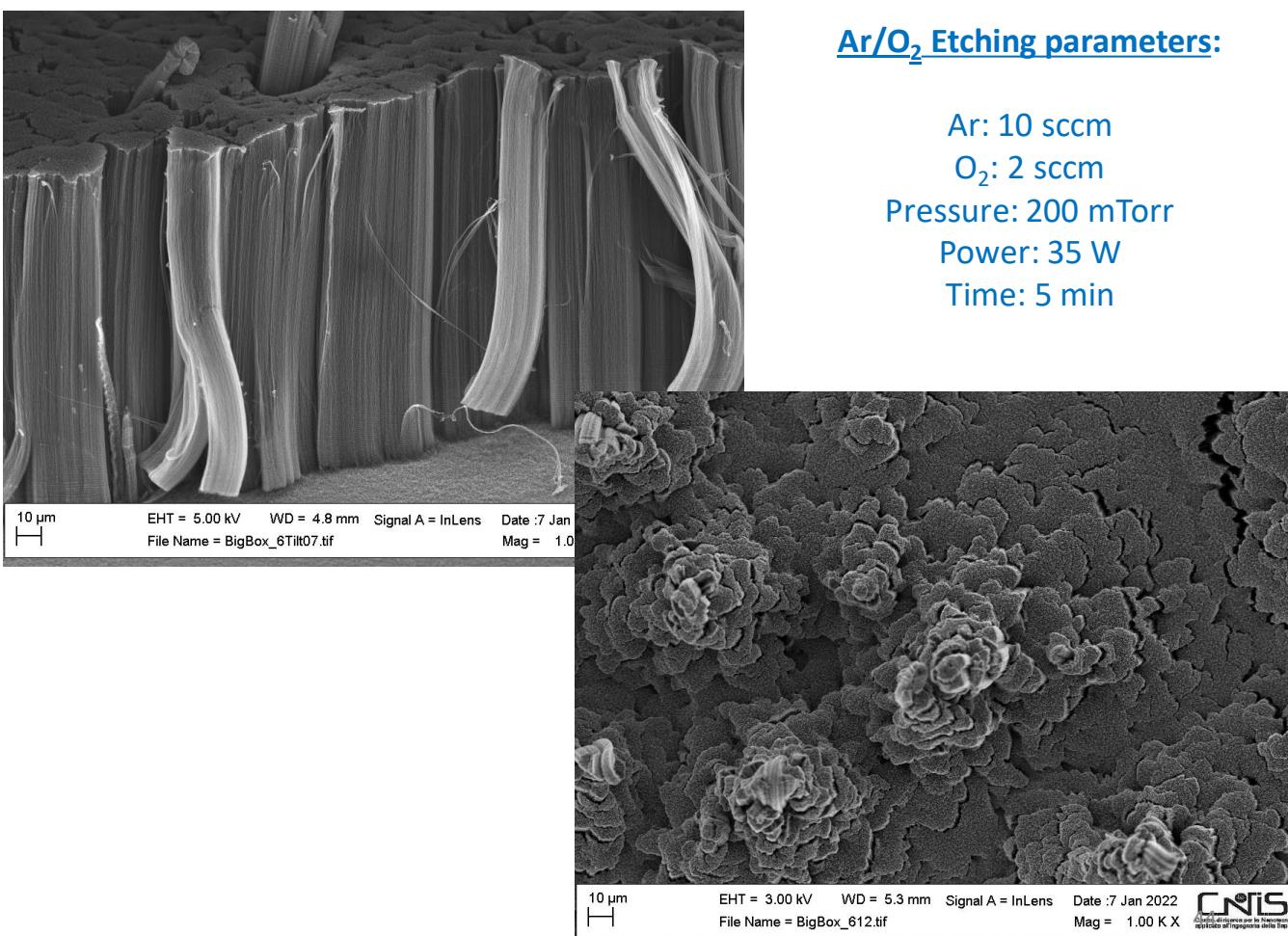


VA-CNT

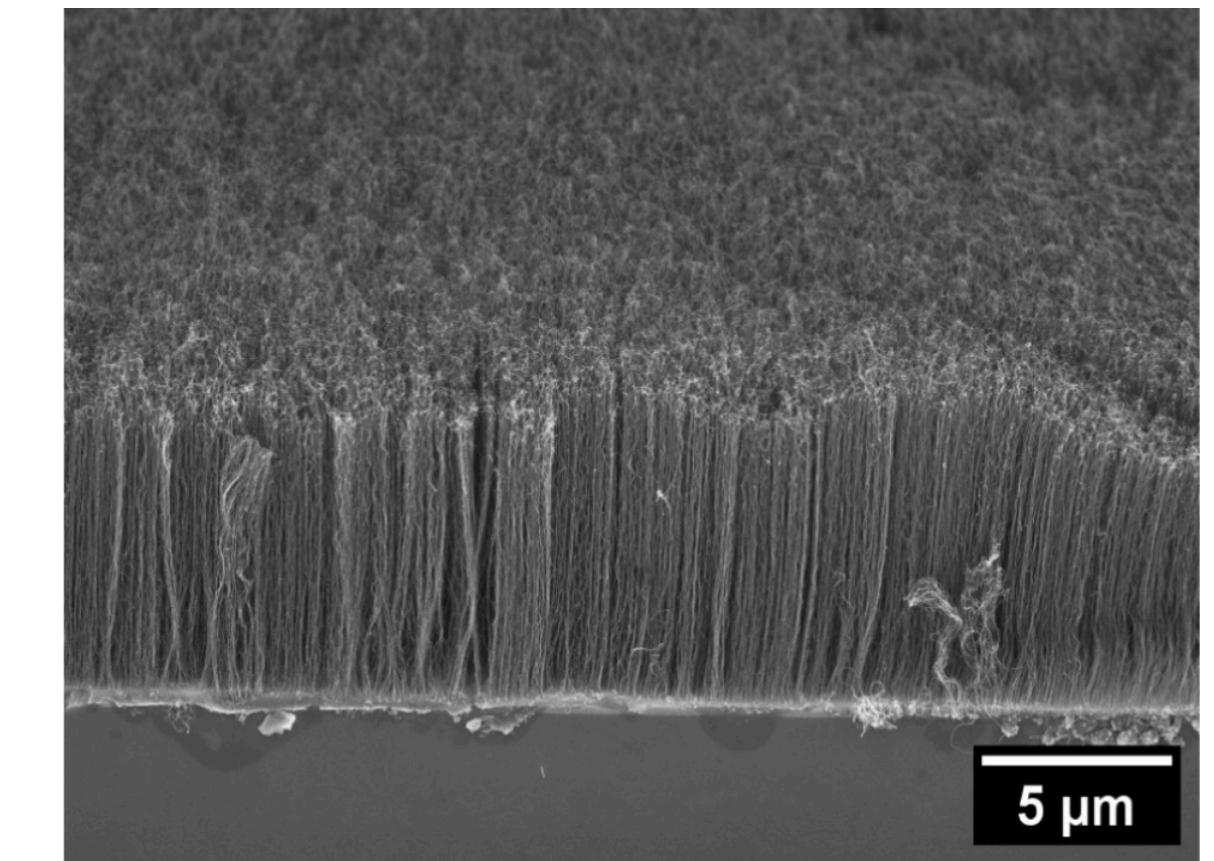
○ As grown



○ Mild Etched



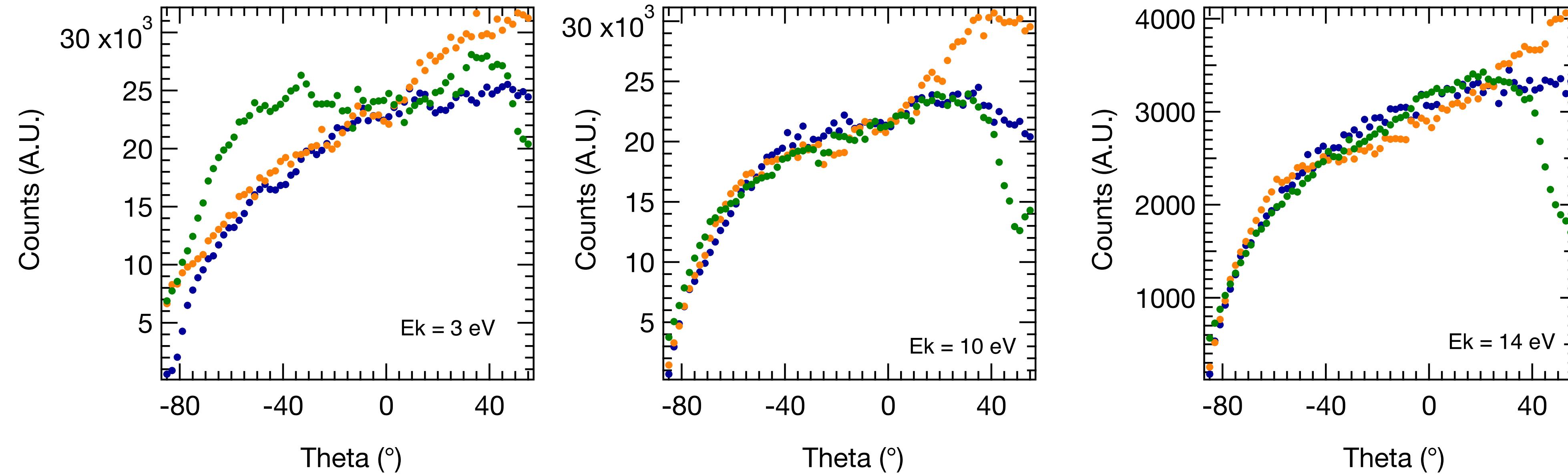
○ Plasma Enhanced



Photoemission from Randomly Oriented (RO) carbon and Vertically Aligned (VA) CNTs

Measurements are not so simple to read

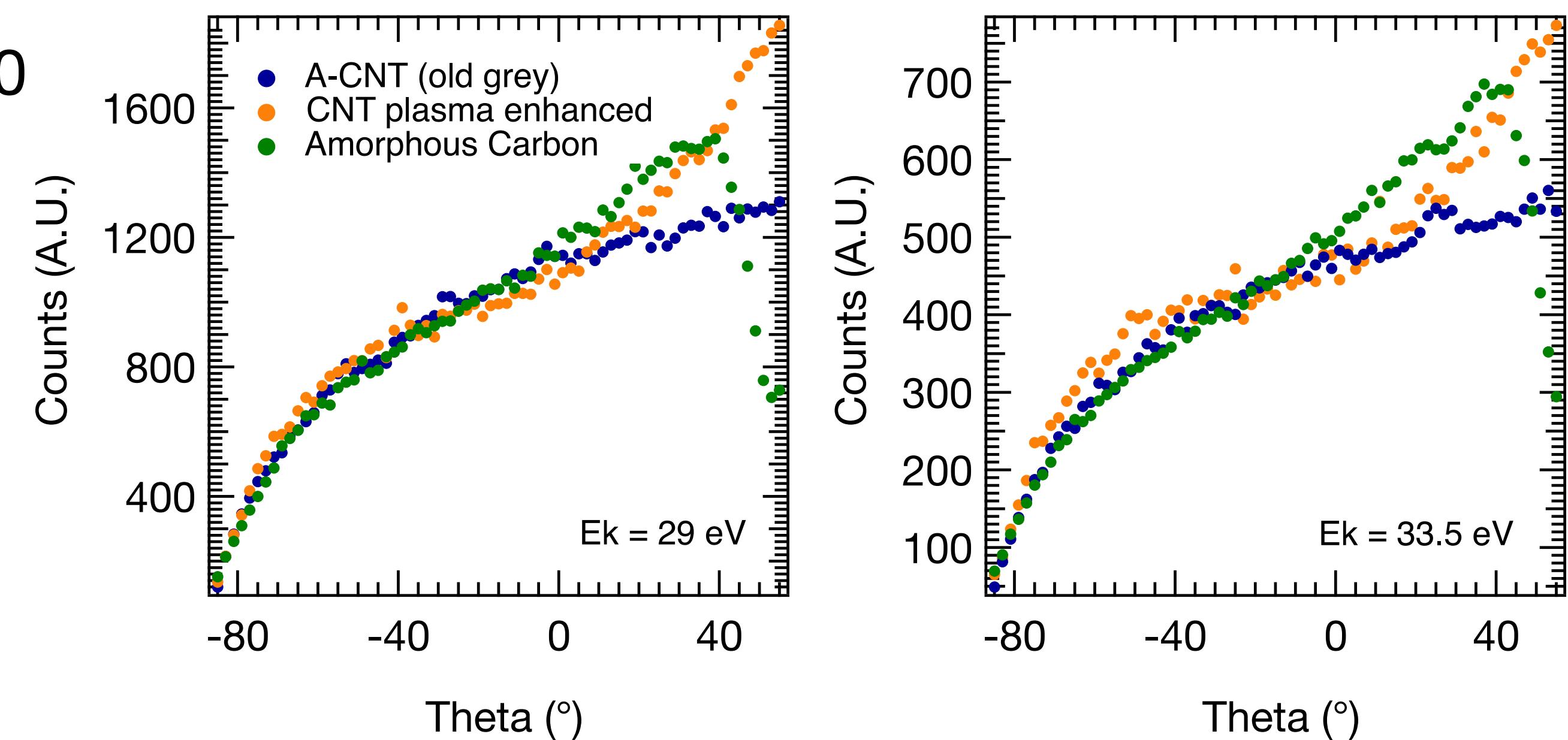
5



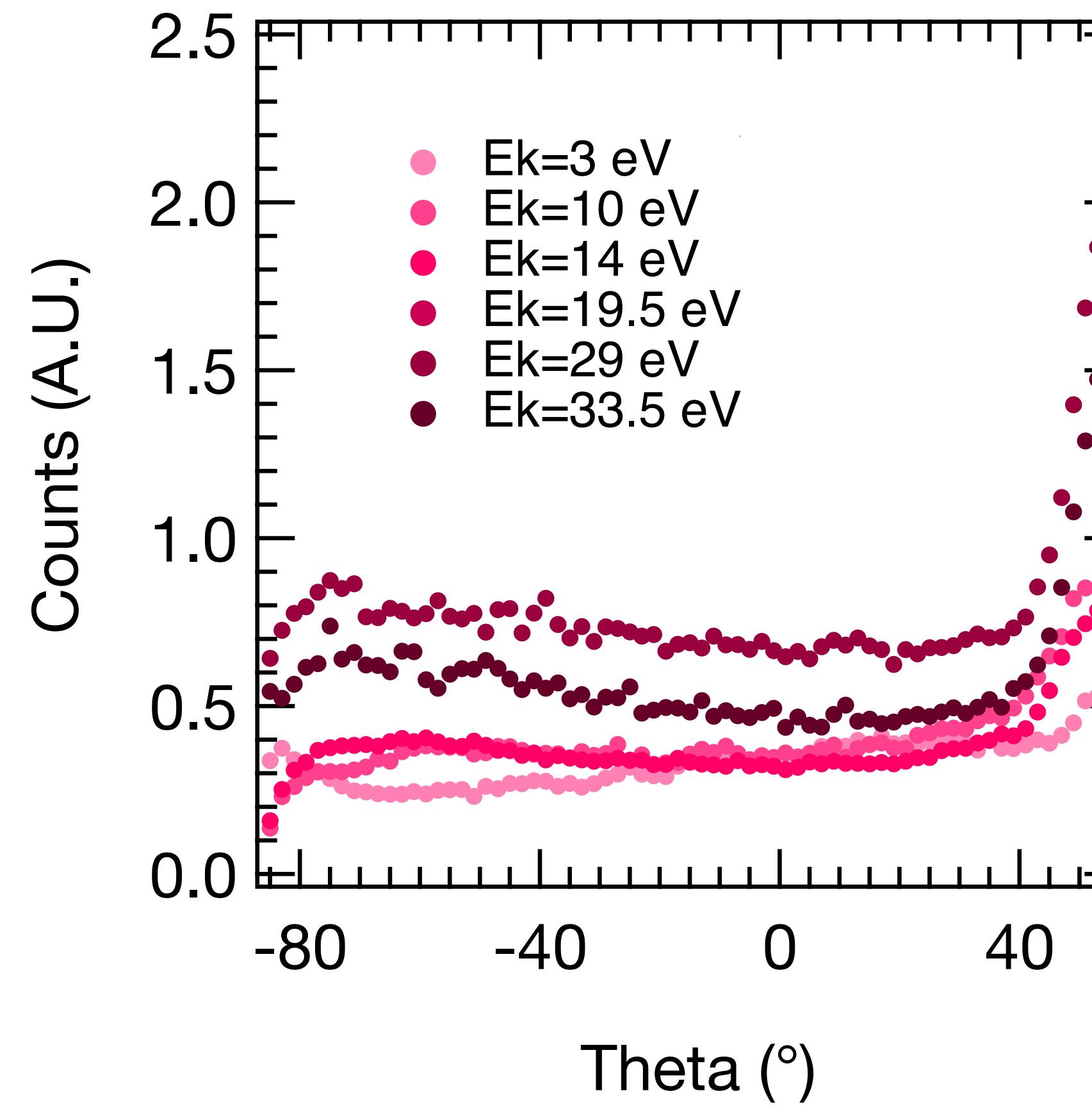
Not symmetric with respect to $\theta=0$

At $\theta \rightarrow \theta_{\max}$
(grazing photon incidence)

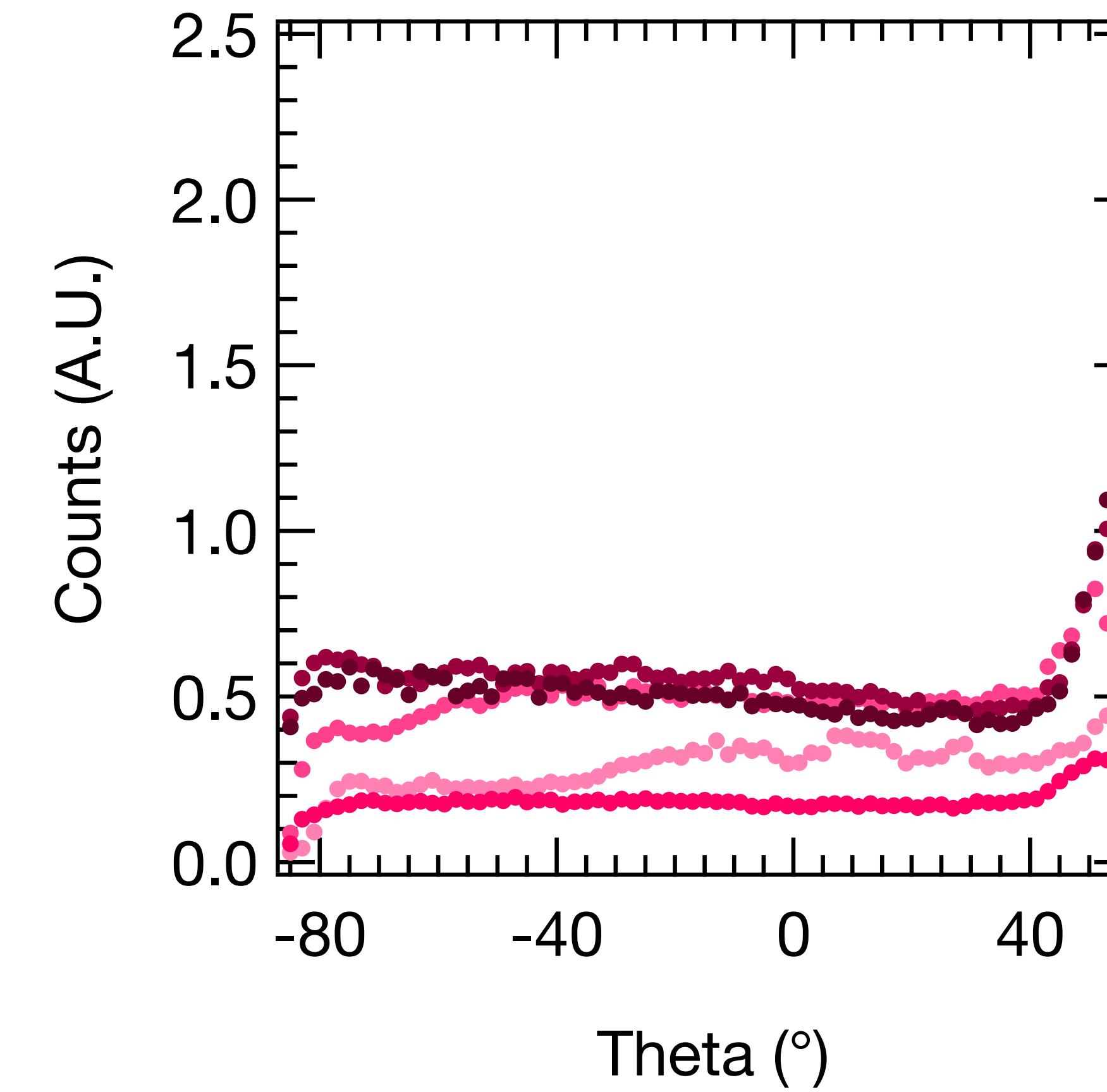
- ◆ Graphite decreases
- ◆ A-CNT slowly increase
- ◆ CNT pe have an enhancement



CNT pe / aC

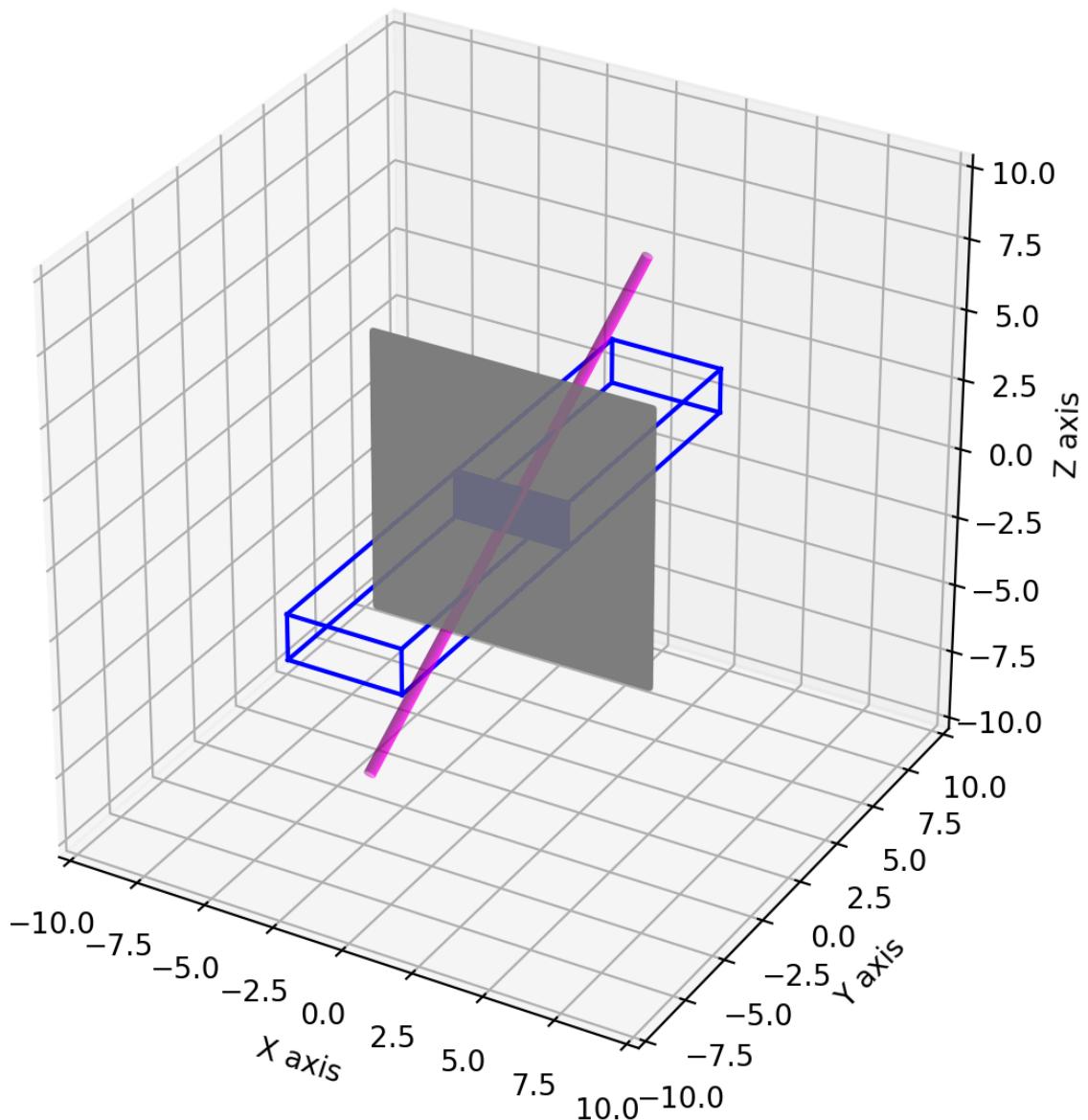


A-CNT / aC



Geometry

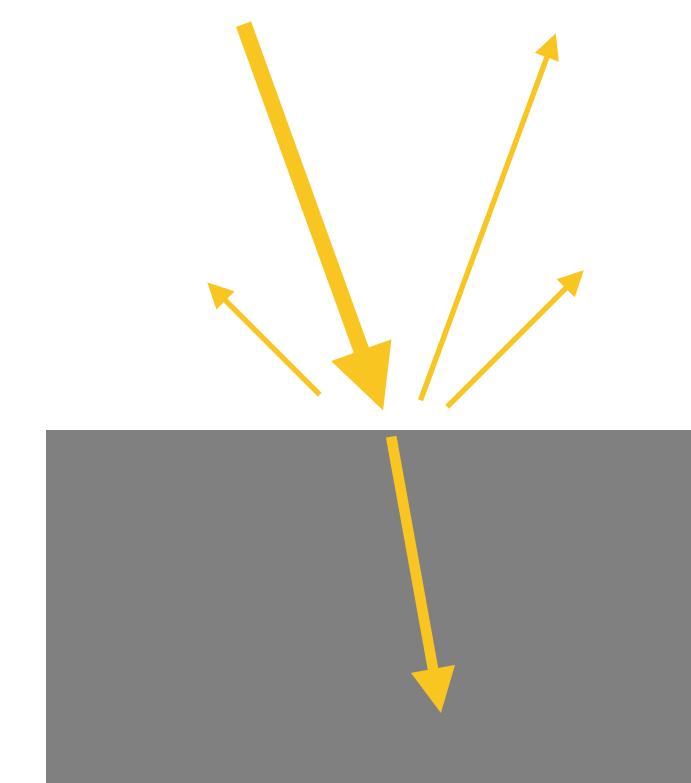
UV beam - Sample - Field Of View
Intersections



Physics

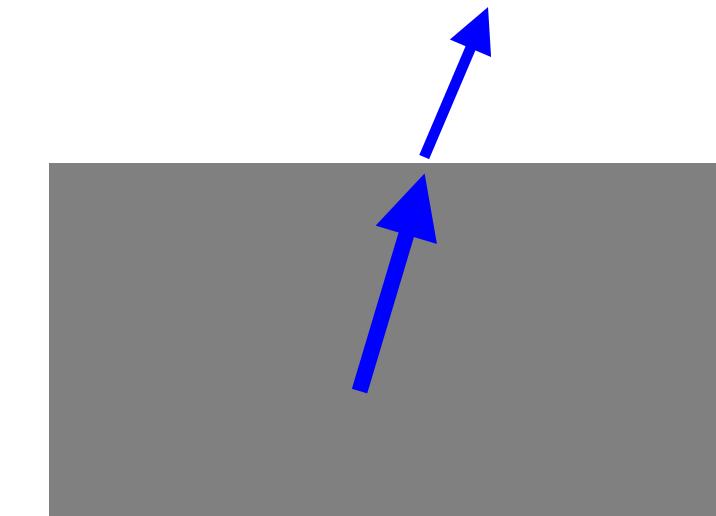
Interaction of UV
photons with matter

- ◆ Reflection / surface diffusion
- ◆ Refraction
- ◆ Transmission



Interaction of photoelectrons
with matter

- ◆ Transmission
- ◆ Refraction



Trying to get rid of photon dependence

$$\frac{I_{pe}^1(\theta)}{I_{pe}^2(\theta)}$$

with 1, 2 different sample depends on both reflection, UV and e⁻ transmission

$$\frac{I_{pe}^1(\theta)}{I_{pe}^{1'}(\theta)}$$

For E_k, E_{k'} different electron energy is simpler

$$\propto \frac{V}{V'} \cdot \frac{\lambda'_e(\lambda_{\gamma,\text{eff}} + \lambda_e \cos \theta)}{\lambda_e(\lambda_{\gamma,\text{eff}} + \lambda'_e \cos \theta)}$$

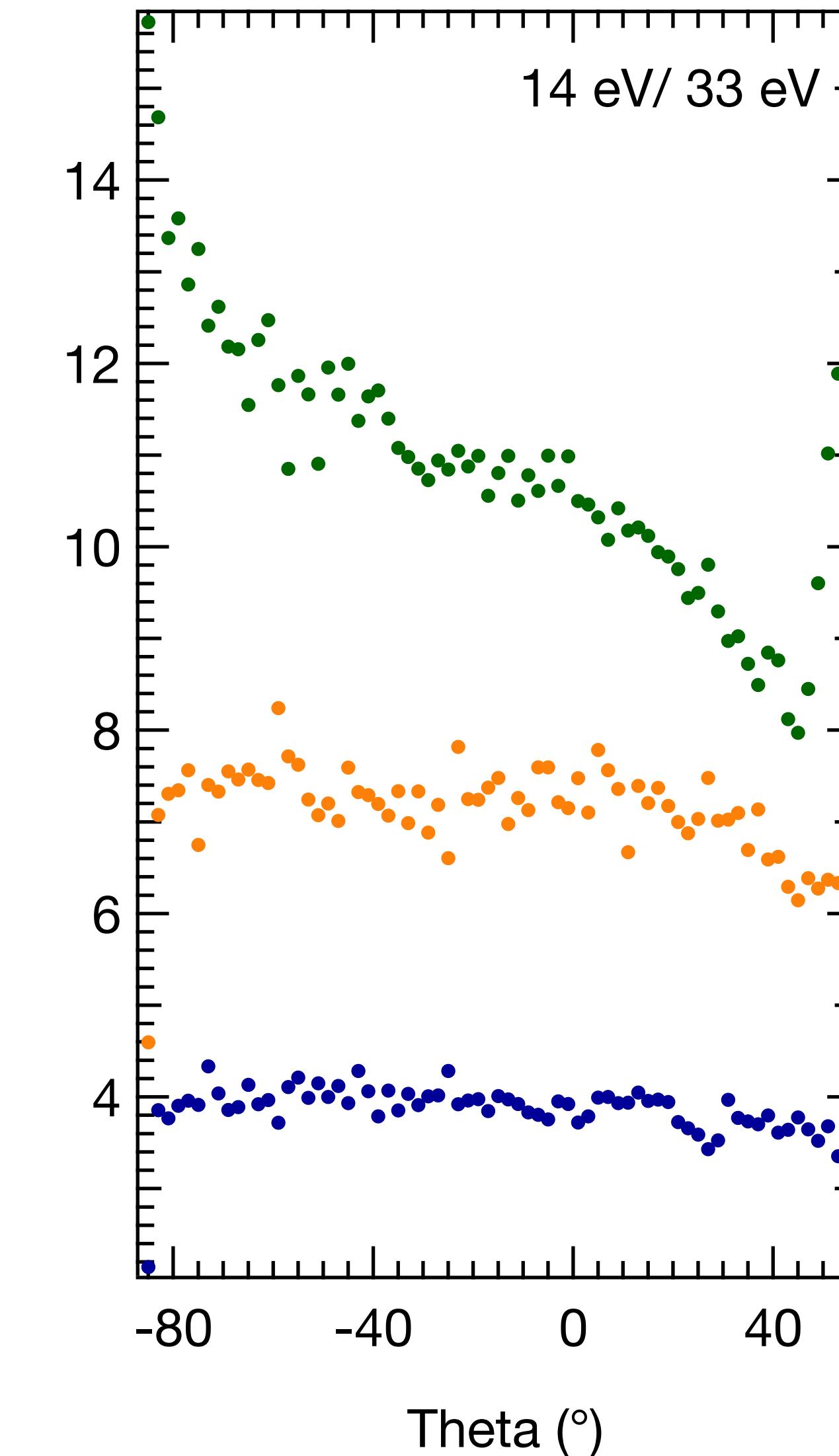
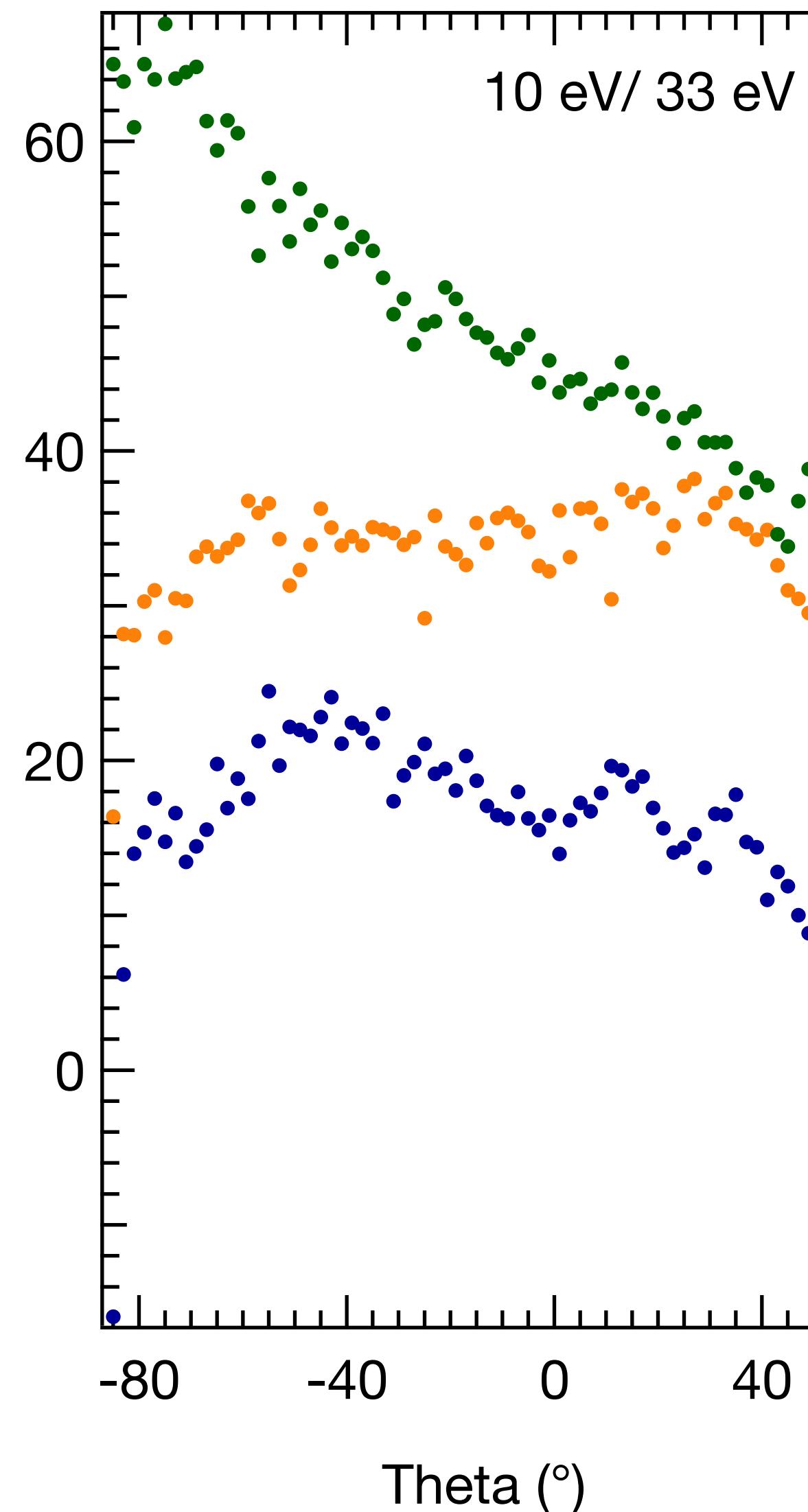
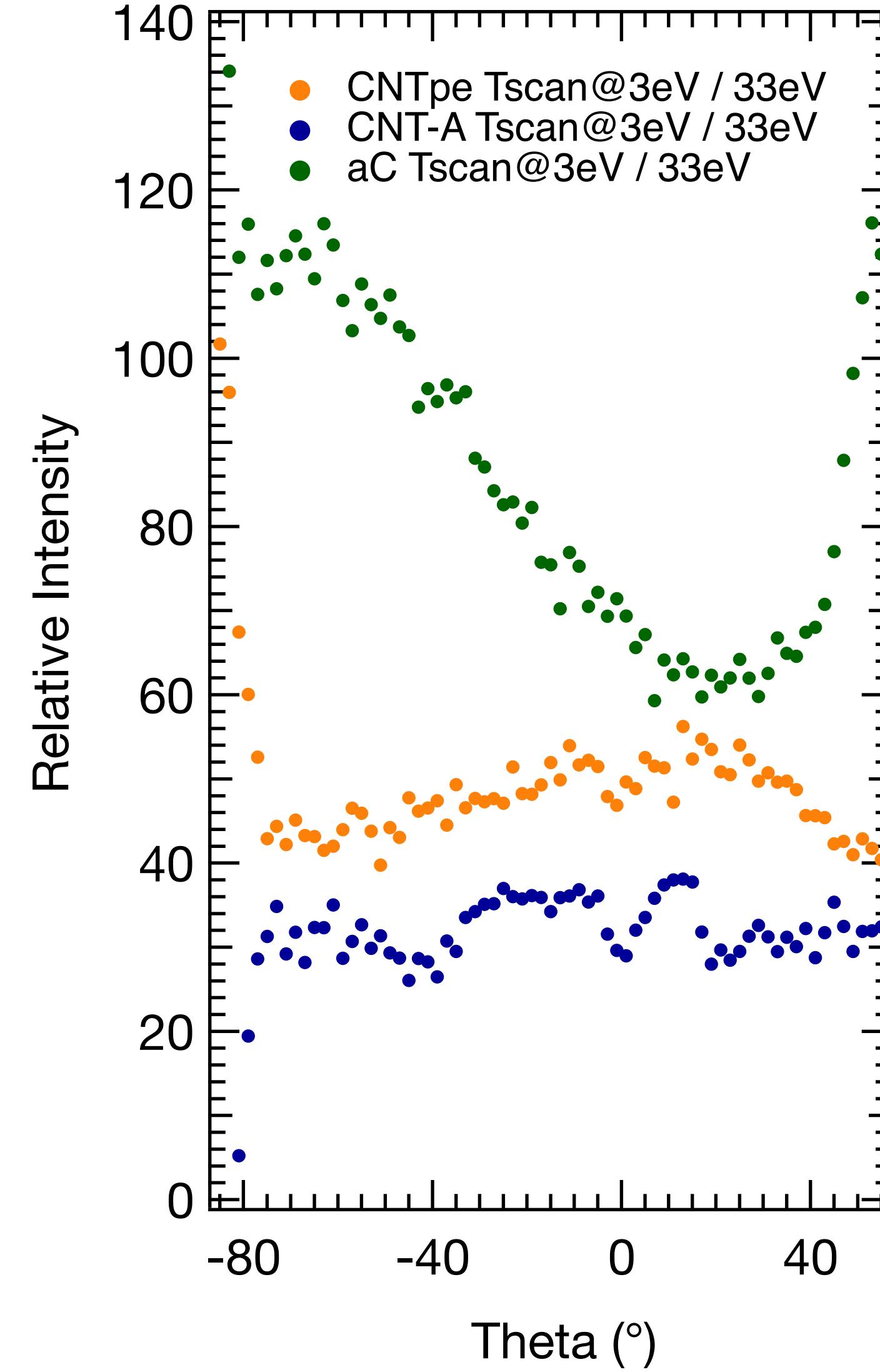
FoV dimension is not the same (for these measurements...)

The angular dependence which is energy independent is eliminated

CNTs seems both different from amorphous carbon*

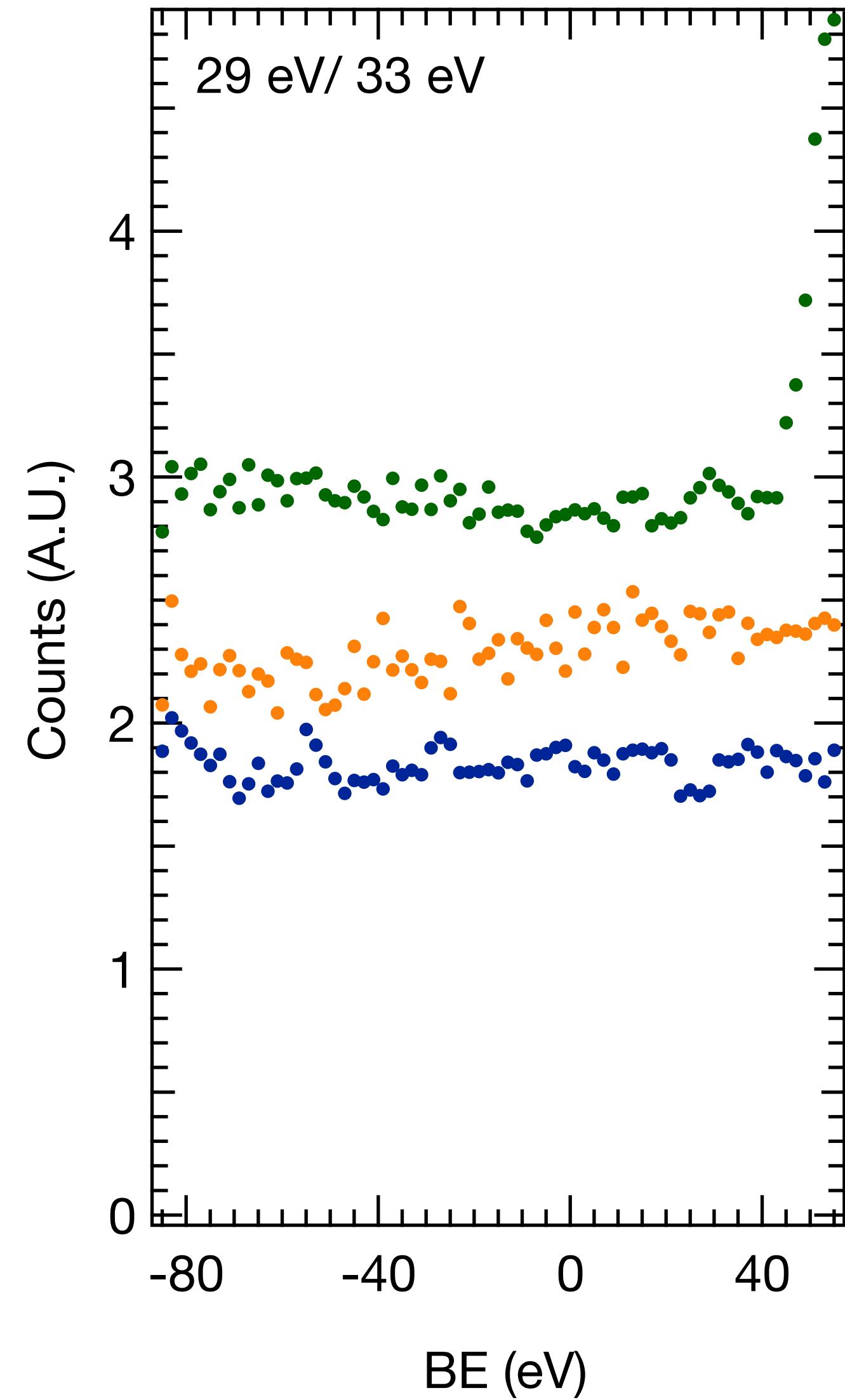
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* for $E_k < 16$ eV, $h\nu = a^*20.21 + b^*40.8$ (Mixture of He I and He II photons, a and b are each time different)



For $E_k \sim E_{k'}$ no information on the angular dependence

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At $E_k > 16$ eV (Fermi Level fo He I)
only He II photon contribution

$$\frac{I_{pe}^1(\theta)}{I_{pe}^{1'}(\theta)}$$

Do not depends on photon flux
(It depends on photoemission cross section
and detector efficiency)

Obviously for $E_k \sim E_{k'}$

$$\frac{\lambda'_e(\lambda_{\gamma,\text{eff}} + \lambda_e \cos \theta)}{\lambda_e(\lambda_{\gamma,\text{eff}} + \lambda'_e \cos \theta)} \sim 1$$

What about secondary electrons?

Are we measuring them?

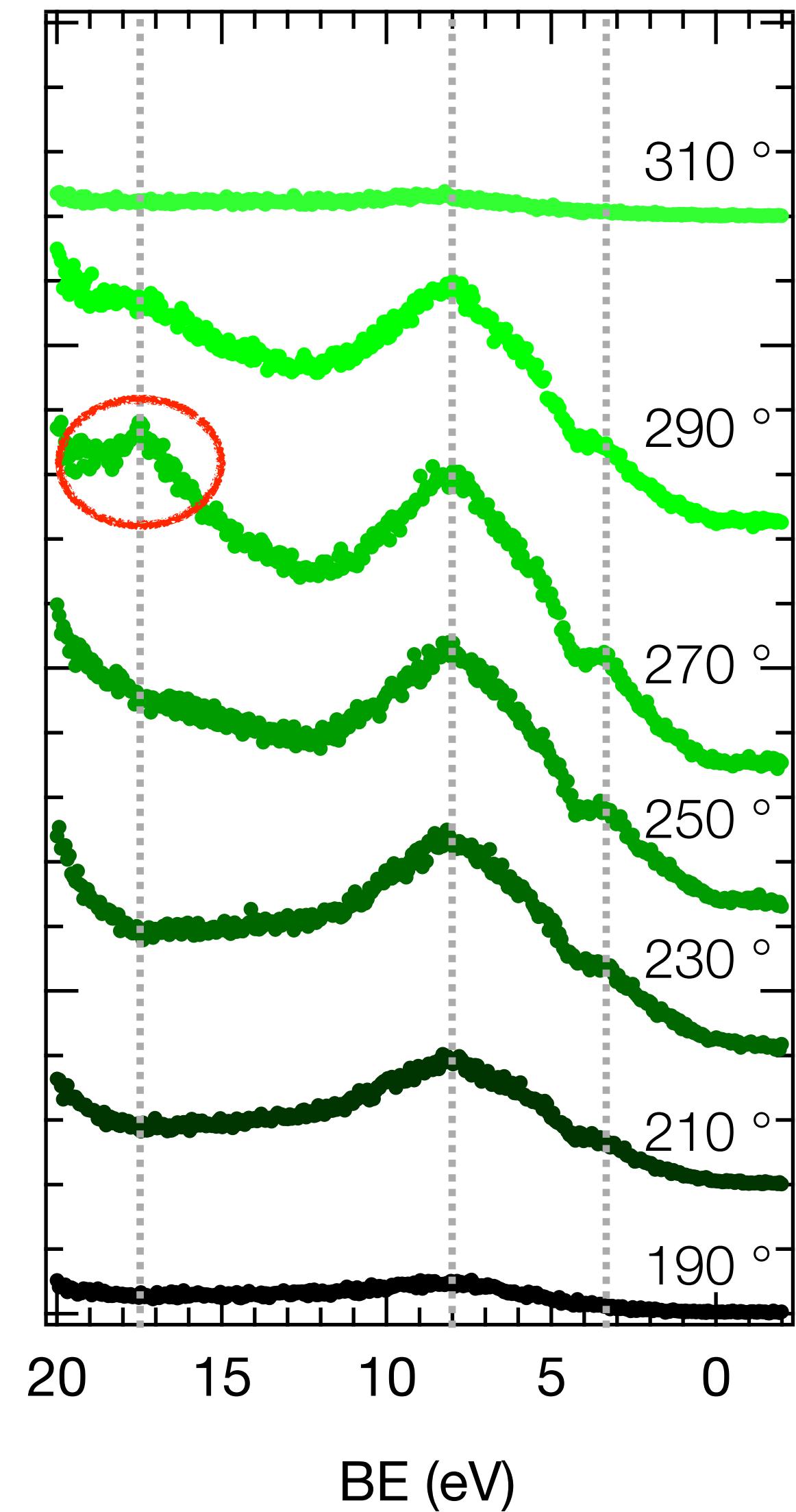
Do they have angular dependence

Can we disentangle them from
“primary” photoelectrons?

ARPES: CNT as grown vs CNT plasma etched

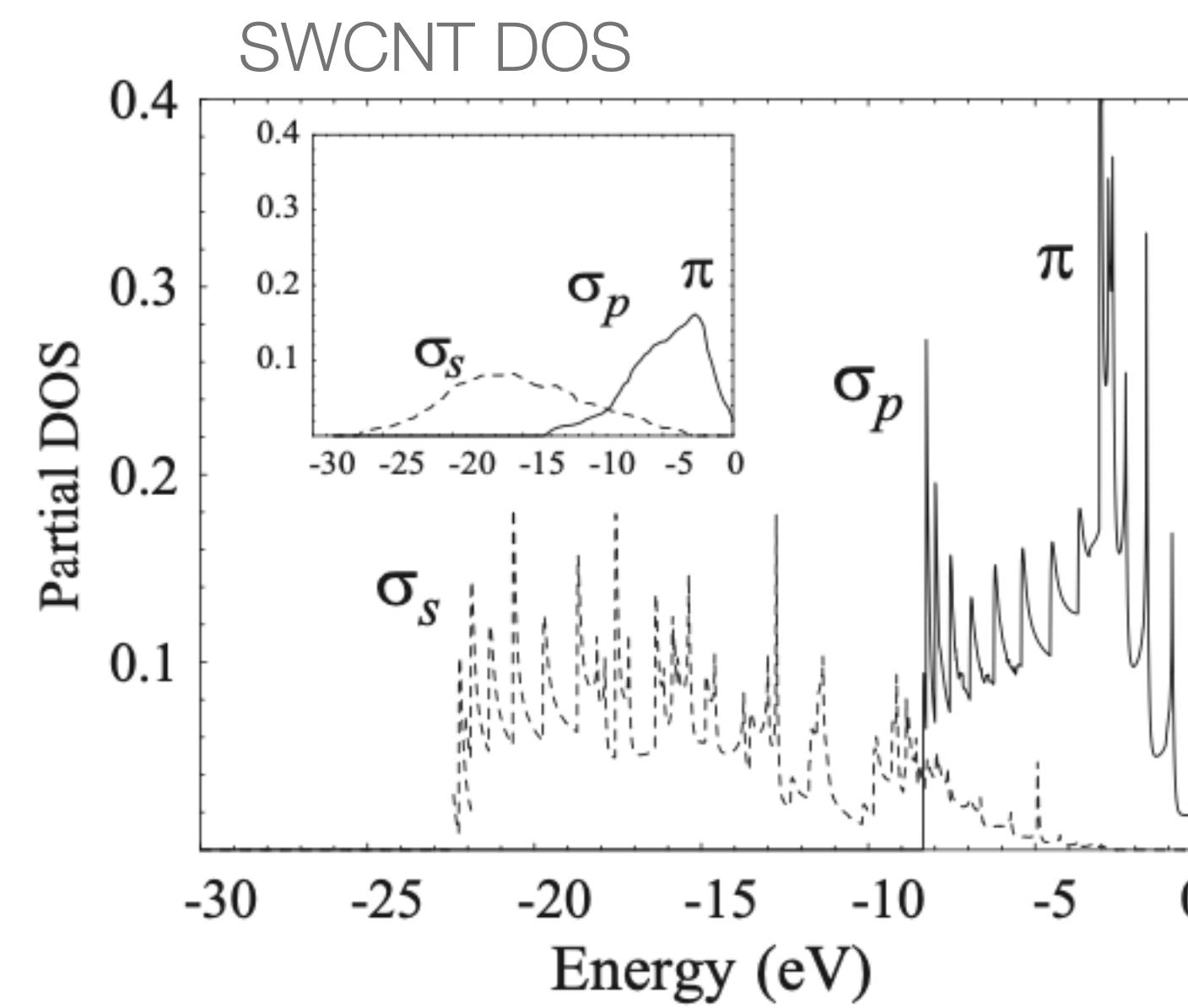
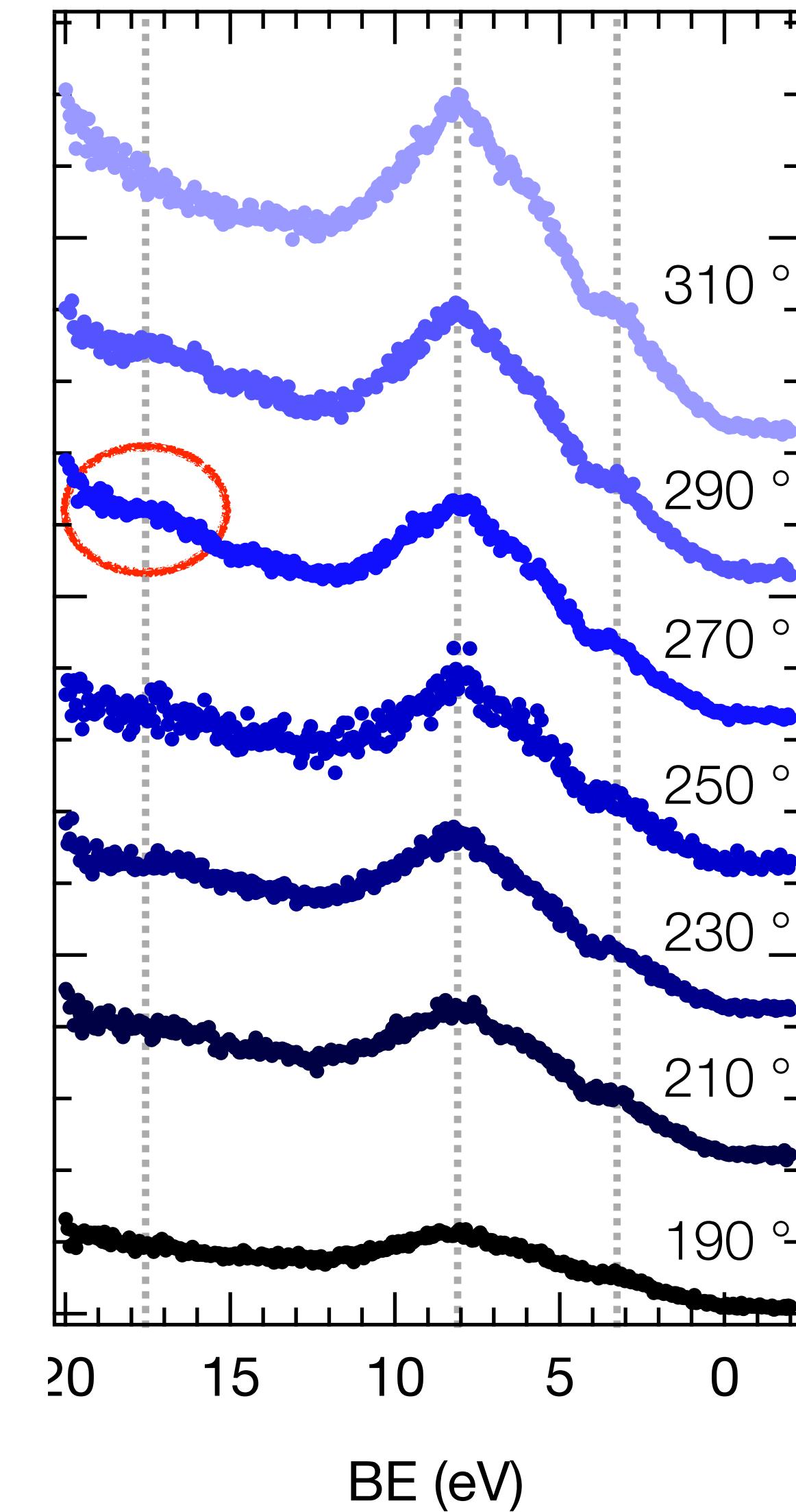
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CNT plasma etched



- No clear band dispersion for BE < 10 eV
- At BE = 17.5 eV a component rises near normal emission condition

CNT as grown



DOI: 10.1103/PhysRevB.76.233408

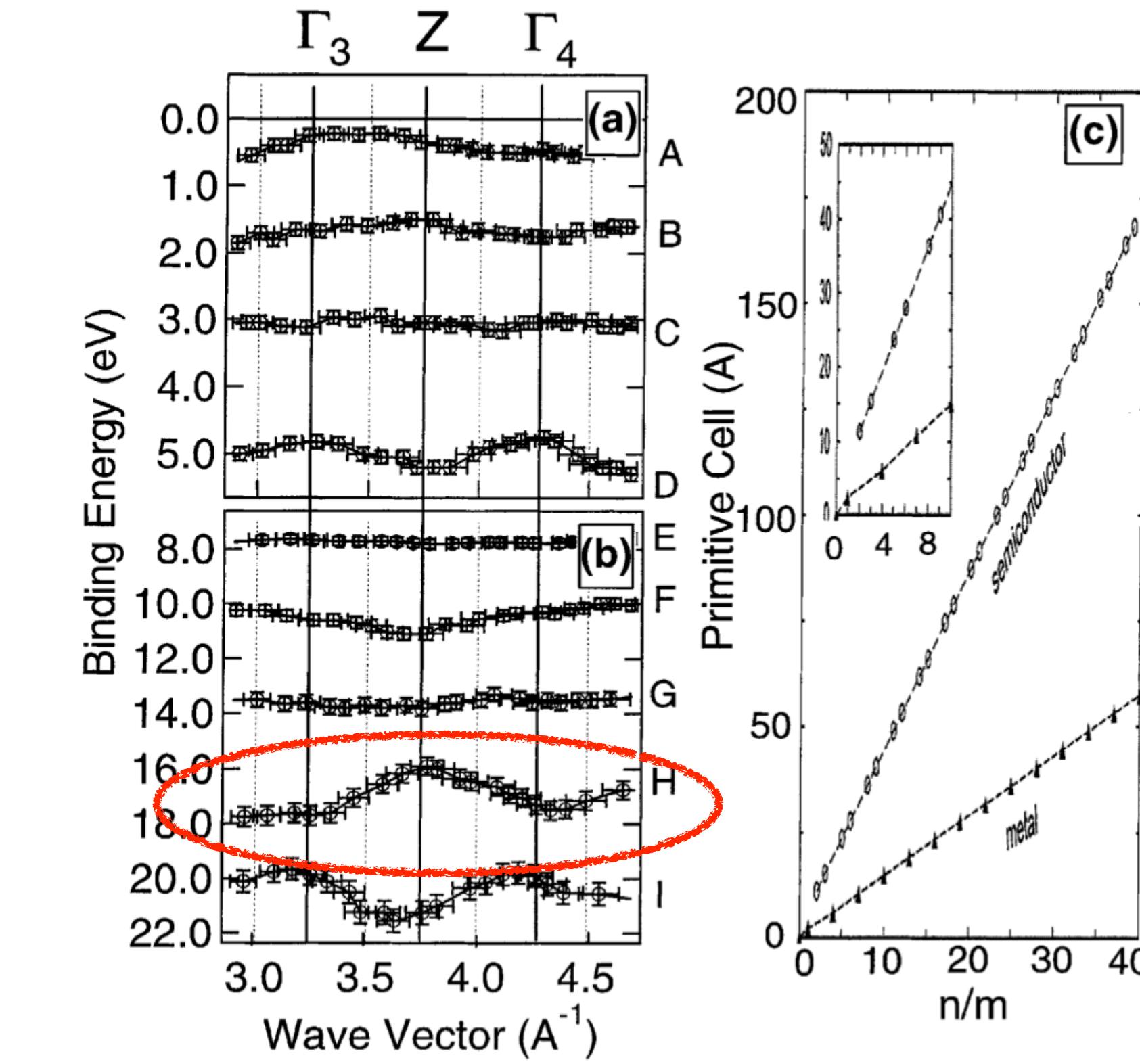
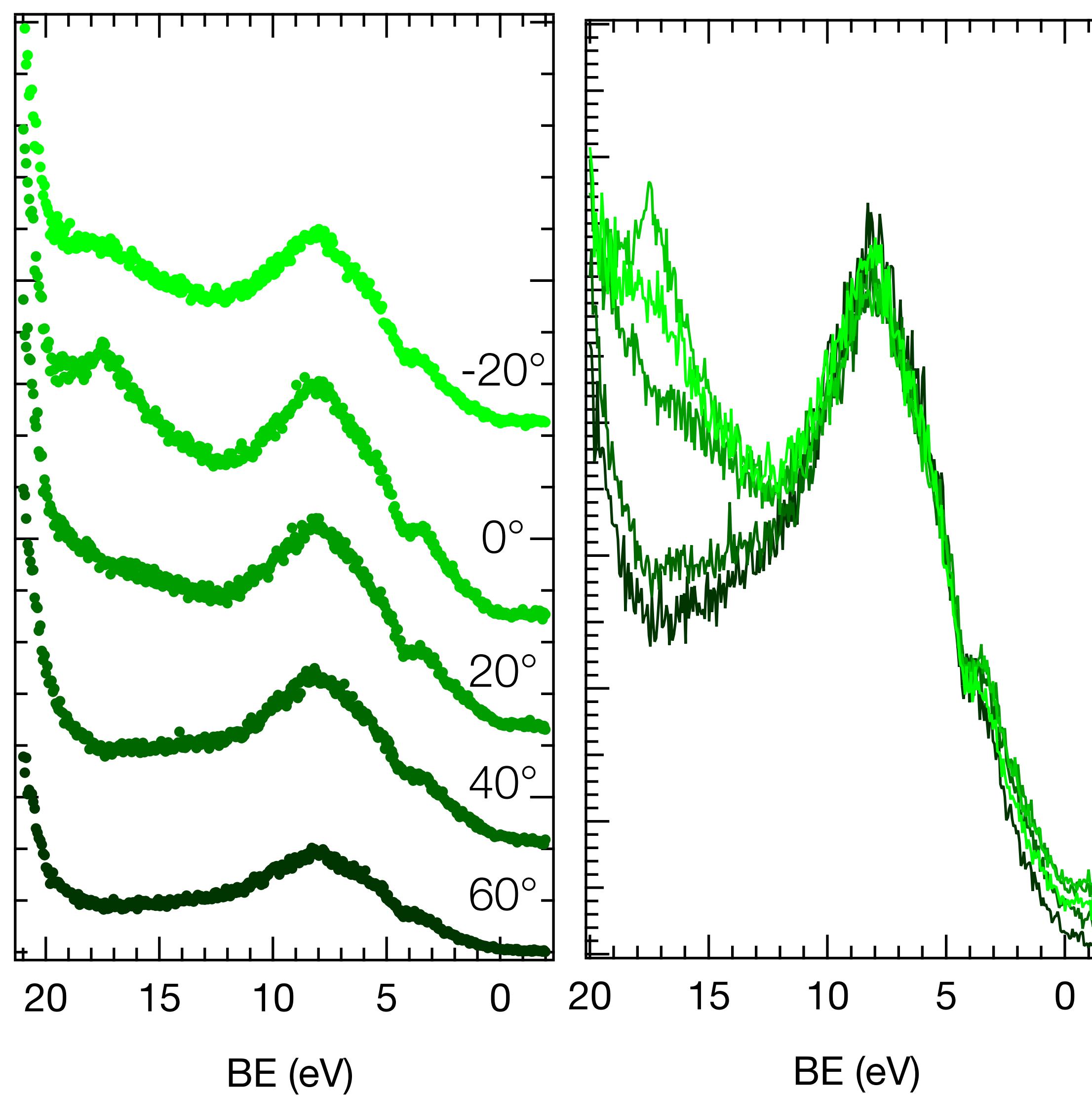


Fig. 4. Electronic band dispersion as a function of k -parallel momentum to the tube axis for: (a) first four low binding energy features (A, B, C, and D) near Fermi level; (b) the next five higher binding energy features (E, F, G, H, and I); (c) length of a primitive cell as a function of integer n/m in (n, m) nanotubes. Note that the scale of y-axis in (a) is different from that of (b).

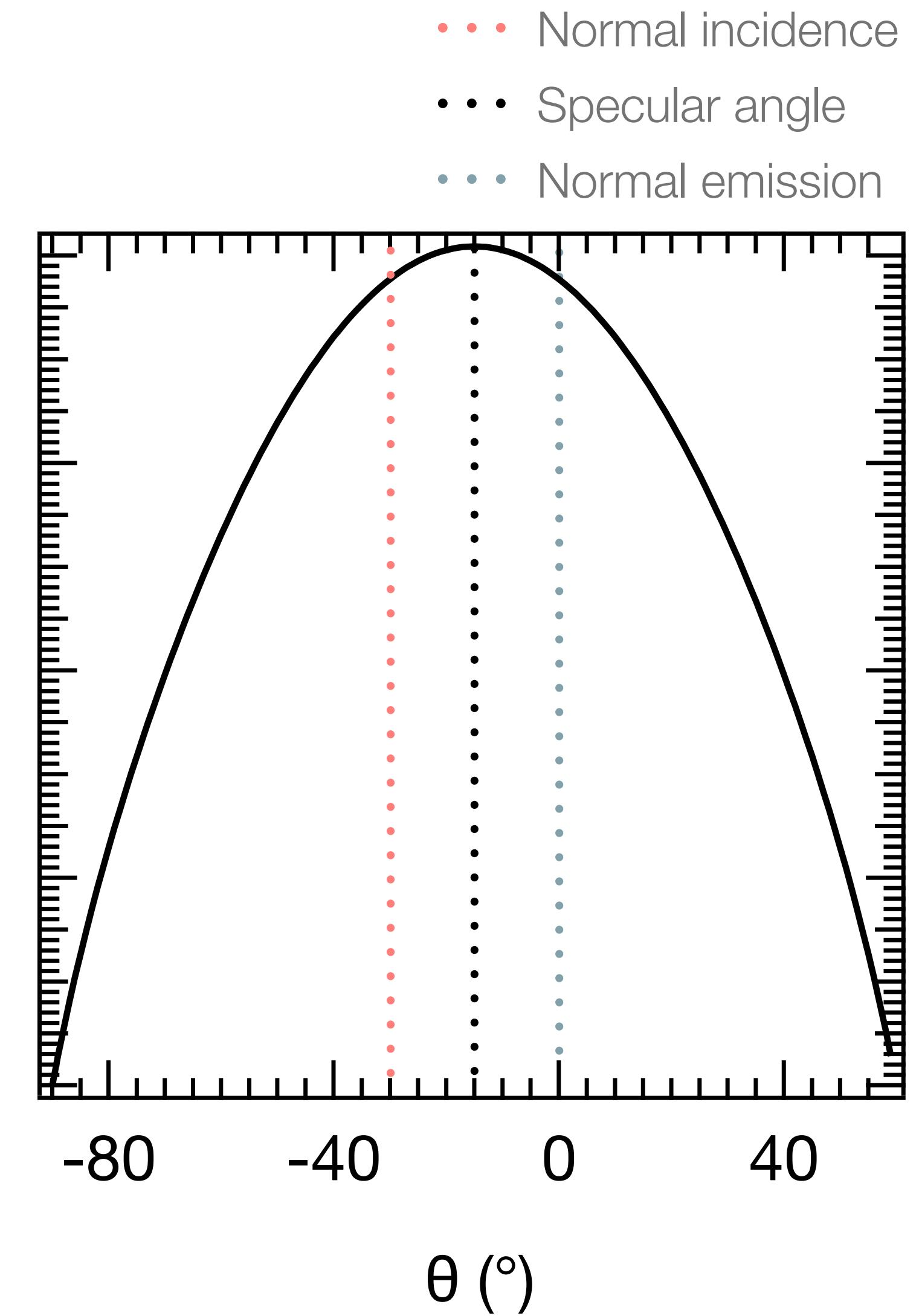
Electron elastic backscattering from Randomly Oriented (RO) carbon and Vertically Aligned (VA) CNTs

Elastic Peak Electron Spectroscopy (EPES) Theory

Gergely, G. (1986). Elastic peak electron spectroscopy. Scanning, 8(5), 203–214.
doi:10.1002/sca.4950080503

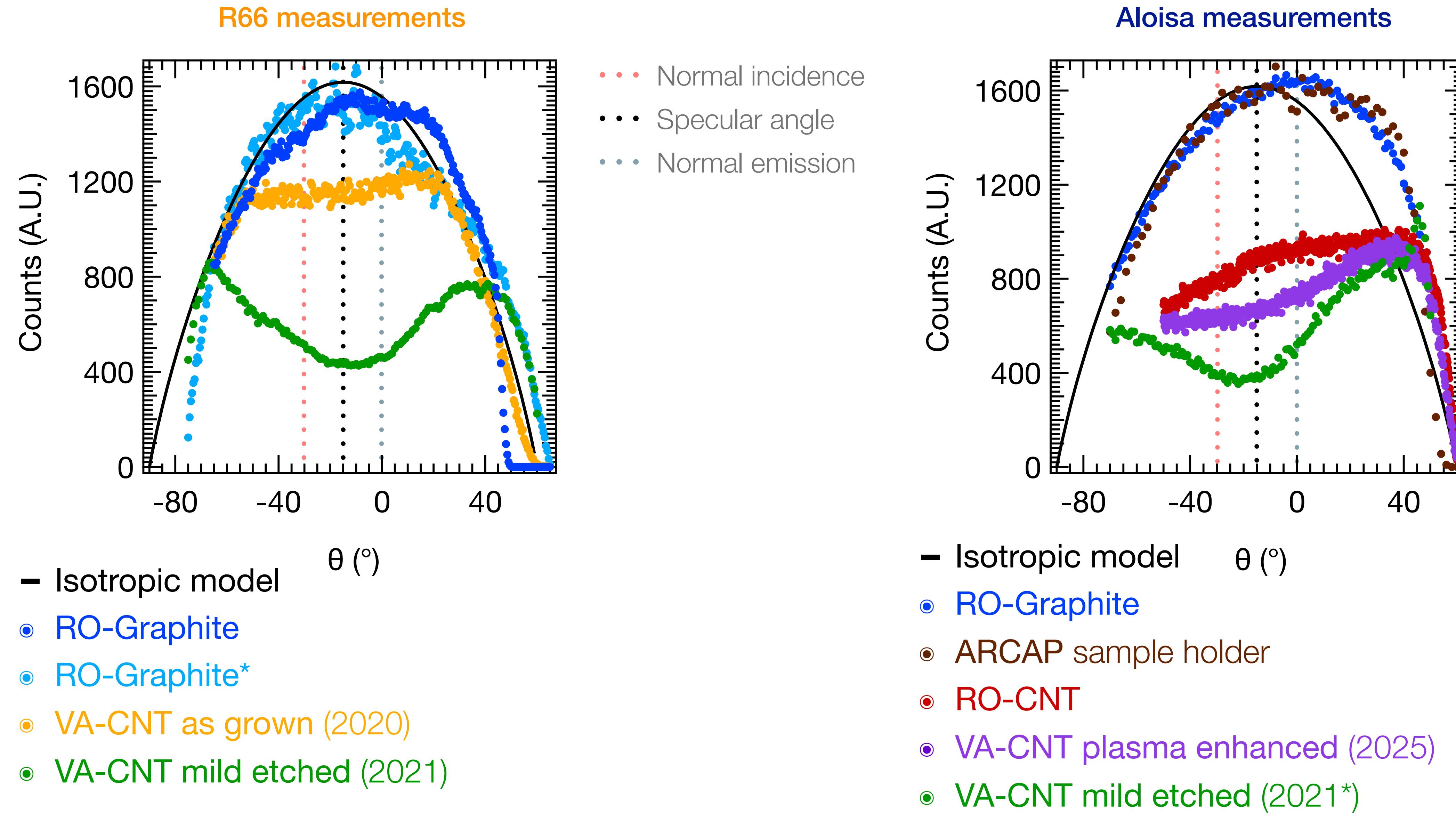
- Single electron scattering approximation
 - Transmission follows Lambert beer law
- $$I(z) = I_0 e^{-\frac{z}{\lambda \cos \alpha}}$$
- Flat surface (no roughness)
 - Ideal isotropic = no diffraction / structure factor

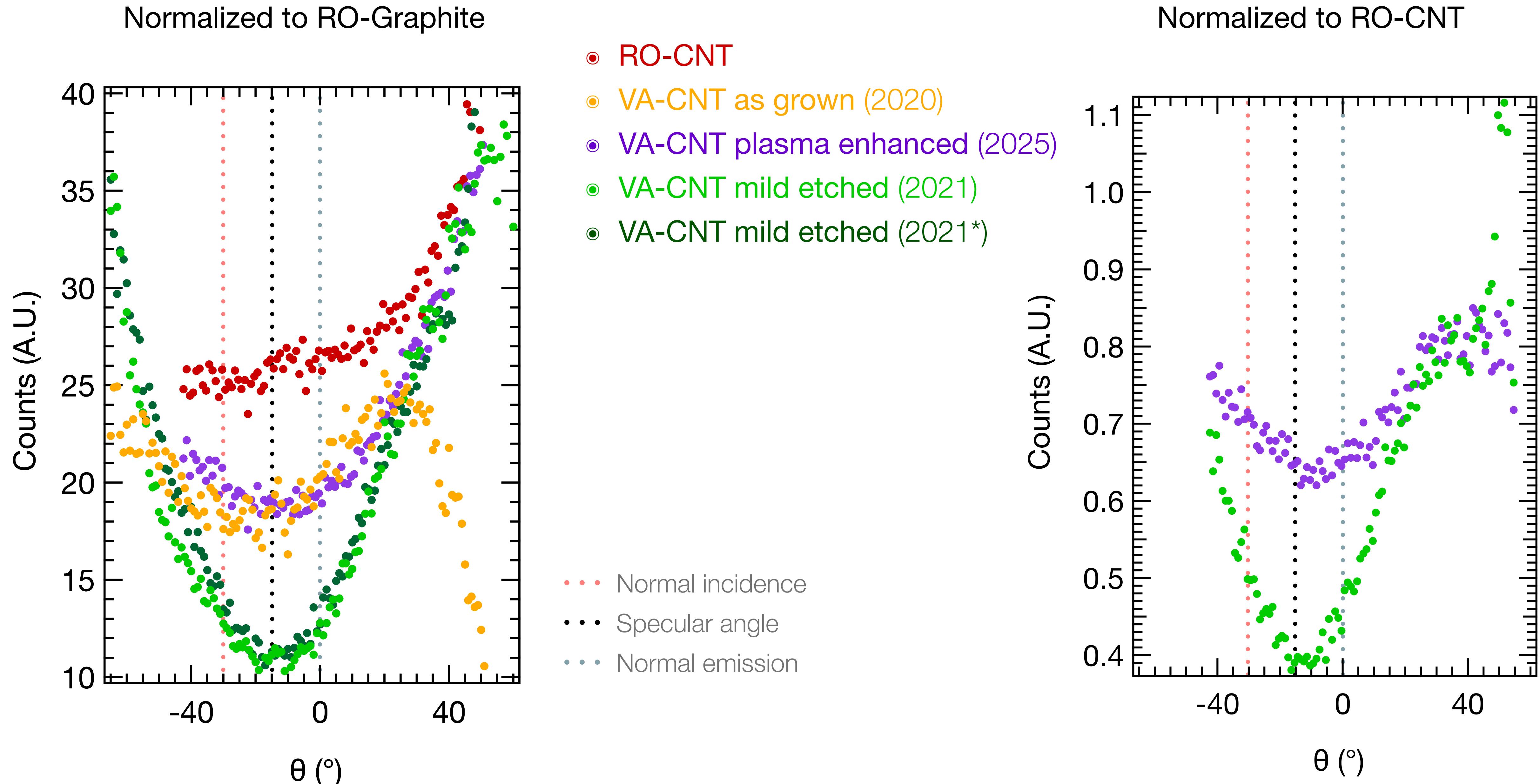
$$I_{EBS}(\theta, \gamma) = I_0 \cdot n \cdot \frac{d\sigma}{d\Omega} \cdot \Delta\Omega \cdot \frac{\lambda \cos \gamma \cos \theta}{\cos \theta + \cos \gamma}$$



Only random oriented graphite behave as an ideal isotropic medium

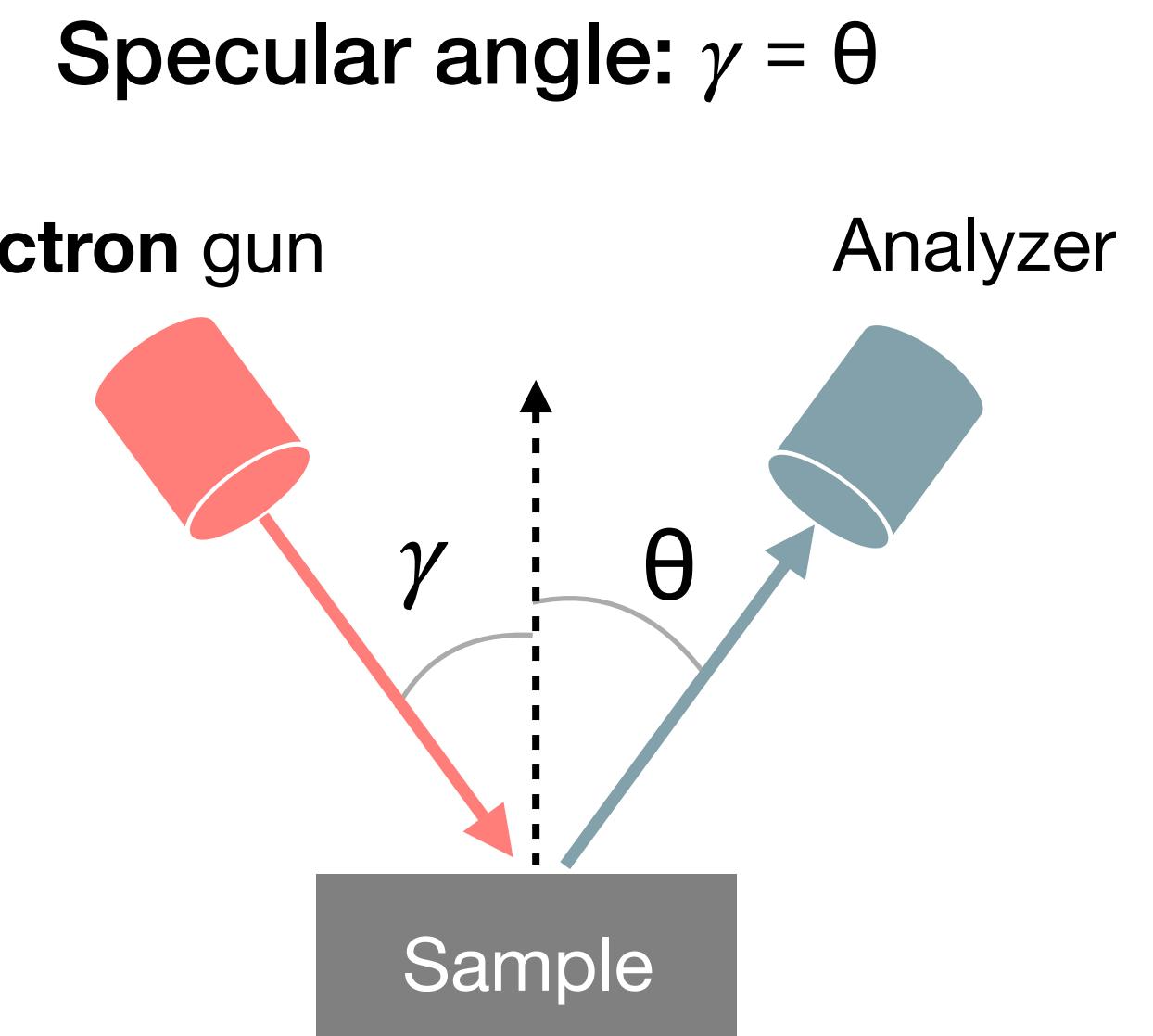
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- Only RO-Graphite behave as an ideal isotropic medium
- RO-CNT have no clear angular dependence
- VA-CNT have a valley centered at the specular angle

VA-CNT mild etched > VA-CNT plasma enhanced, VA-CNT as grown

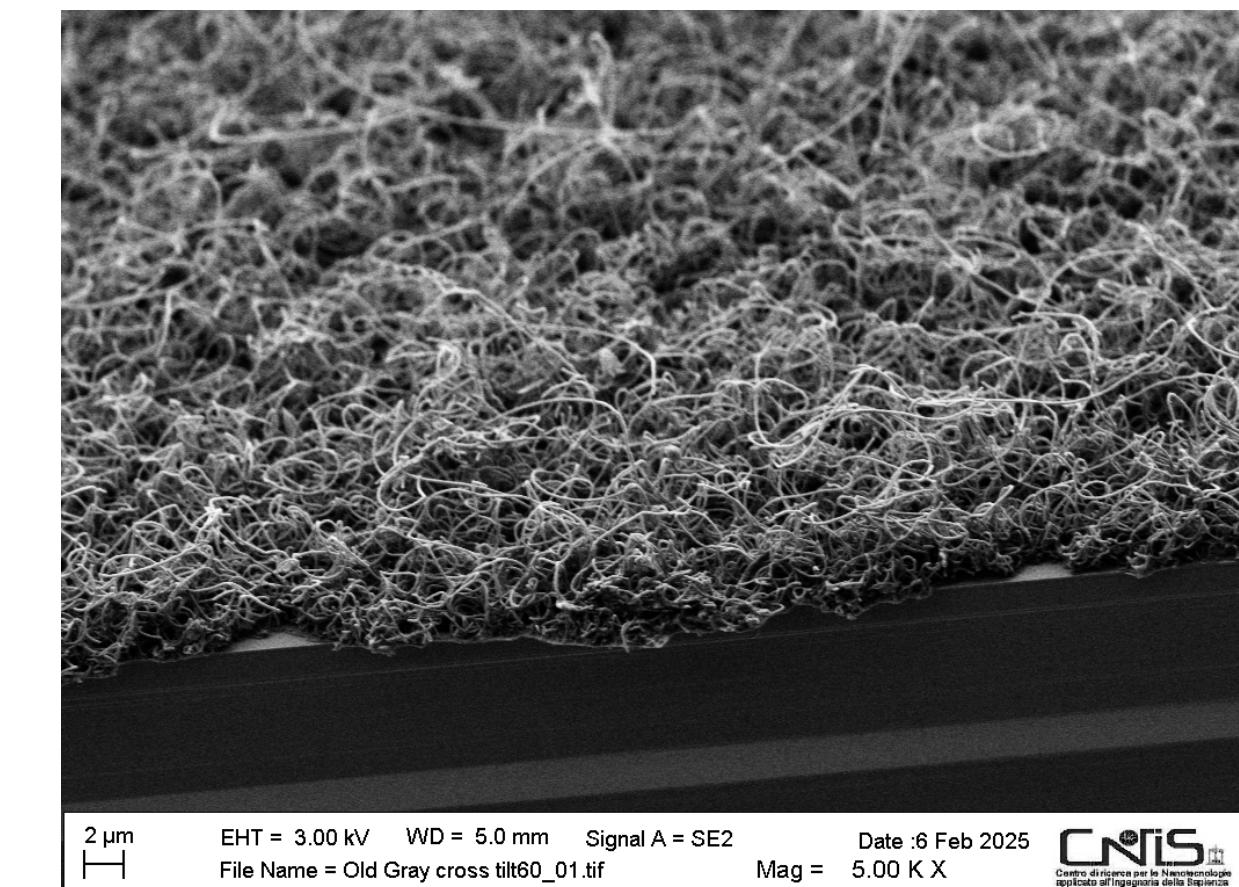


CNT have a rough surface

Totally random

There is no normal surface direction

→ Flat angular dependence



The dark side of the CNTs

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Geometric toy model for aligned nanotubes

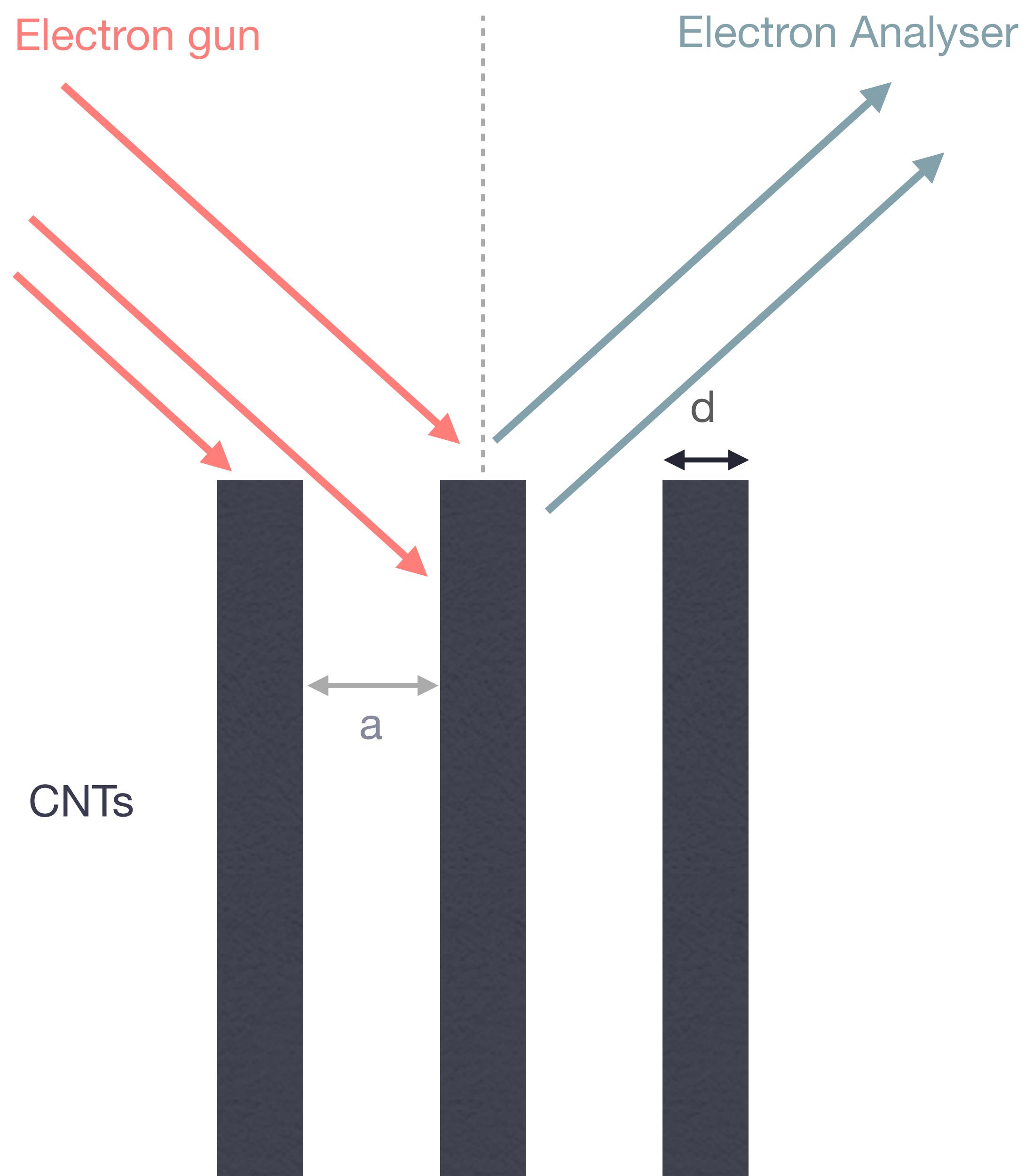
CNT = 2D filled rectangle

2 lateral sides, 1 top side
Infinite height

Impinging outgoing electrons rays are stopped
at the surface

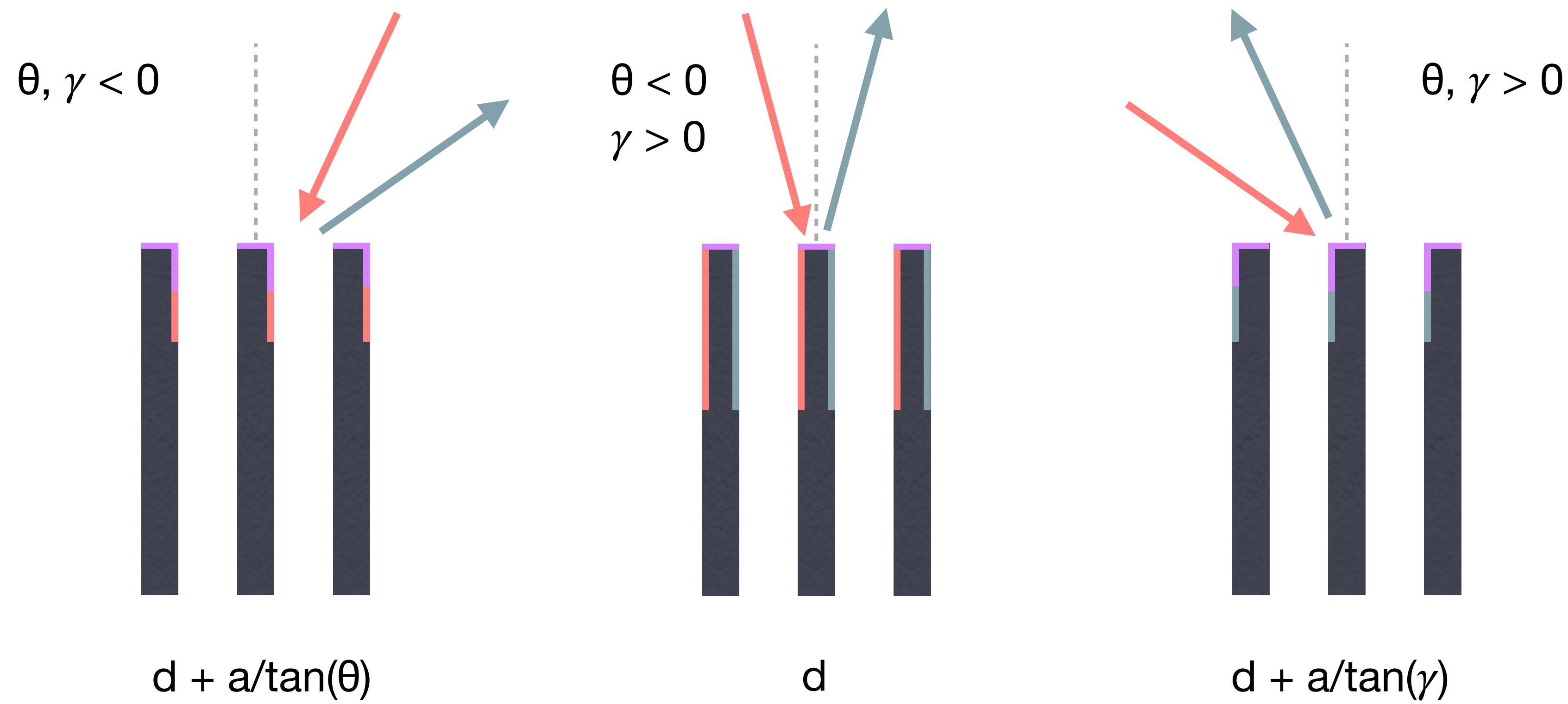
d: CNT external diameter

a: CNTs spacing



Cross section area has a minimum on the specular

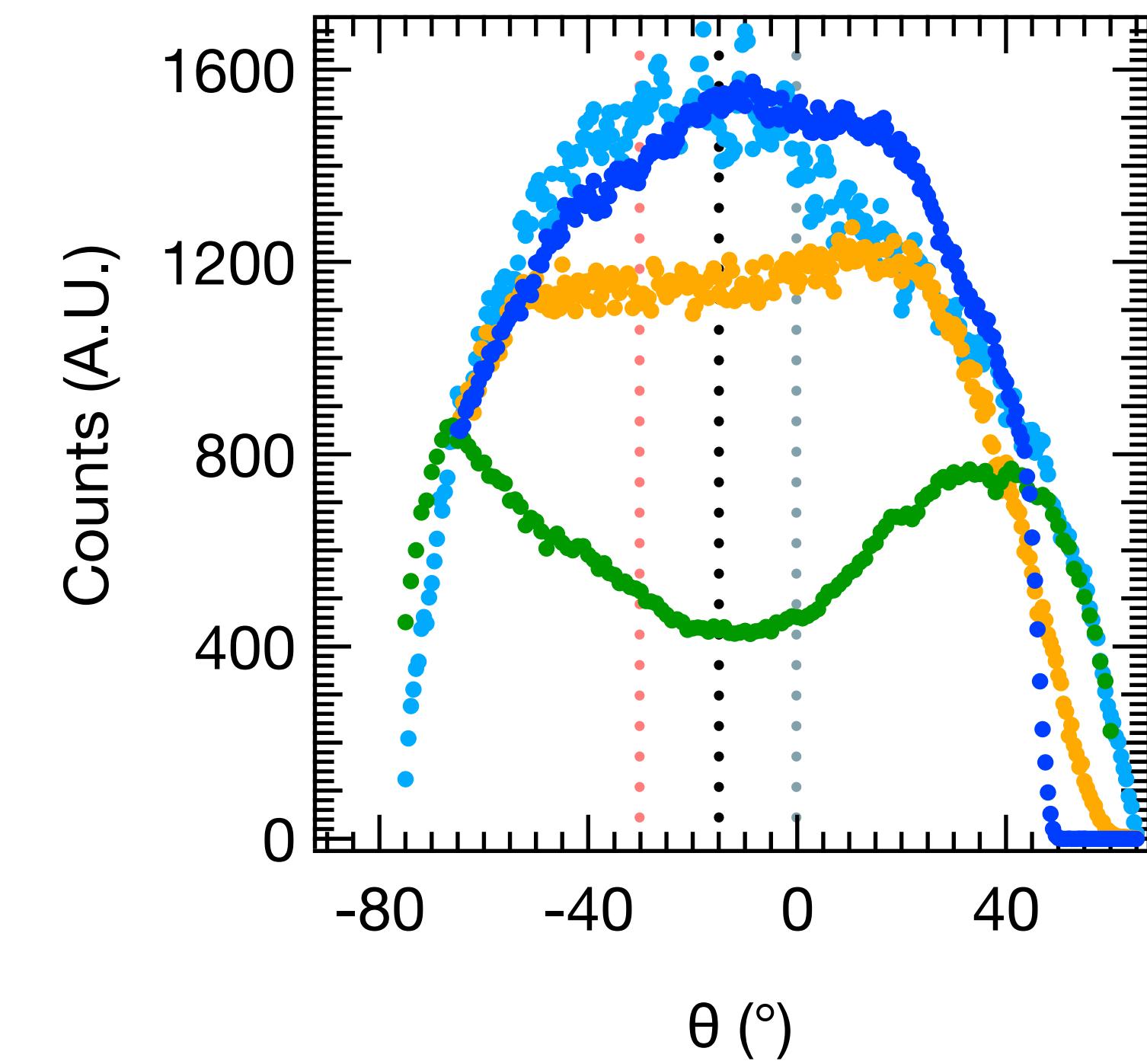
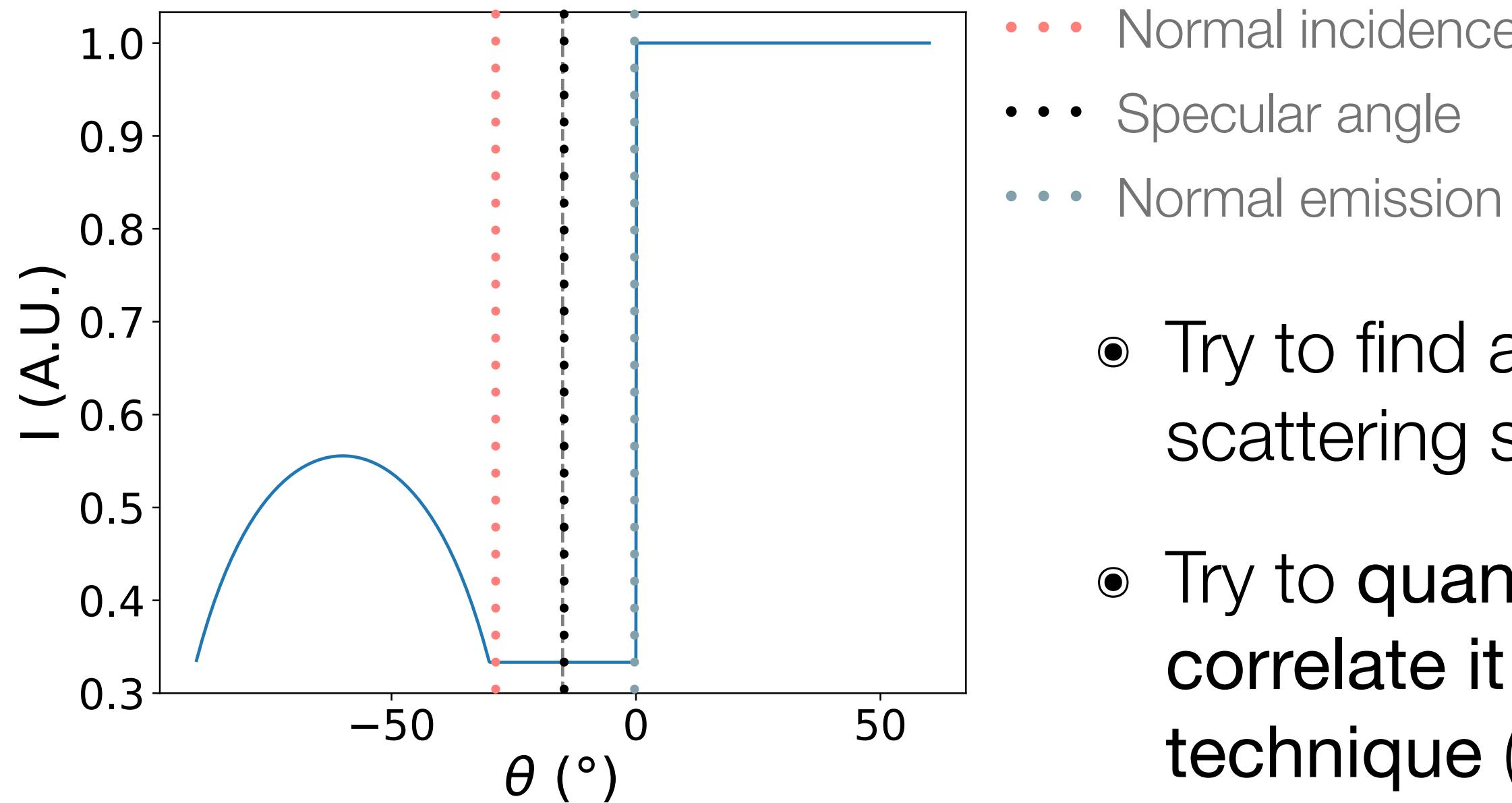
20



Trying a mathematical formulation...

$$A_i \cap A_s = \begin{cases} d + \min\left(\frac{a}{|\tan(\theta)|}, \frac{a}{|\tan(\gamma)|}\right) & \text{if } \theta \cdot \gamma > 0 \\ d & \text{else} \end{cases}$$

- VA-CNT valley centered at the specular angle can be explained due to simple geometrical considerations for an ideally vertically aligned sample
- The asymmetry of the model function is not observed in data
- Experiment and model give info on the morphology of the tips



- Try to find a more refined theoretical model based on electron scattering simulations (Nebula)
- Try to quantify valley depth (degree of anisotropy) and to correlate it to CNT alignment measured with another technique ([Polarized Raman](#), SEM image analysis)