# Machine Learning Symmetries in Physics from First Principles

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Based on: arXiv:2301.05638, Machine Learning: Science and Technology (2023) **4**arXiv:2302.00806, Symmetry (2023) **15** (7) 1352 arXiv:2302.05383, Physics Letters B (2023) **844**arXiv:2307.04891, Physics Letters B, (2023) **847**arXiv:2309.07860, Physics Letters B, (2023) **847**

#### Three great things about UA

#### **3. Better football**



#### Three great things about UA

#### **3. Better football**



#### 2. Cuter mascot



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#### **3. Better football**



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#### **1. UA strives to be the Quantum AI University**



#### **INSTITUTES & CENTERS**

#### UA Launches New Center for Al Research and Development

UA is setting a new standard for AI research as part of a campus-wide collaboration.

#### **References**



Deep learning symmetries and their Lie groups, algebras and subalgebras from first principles



Oracle preserving latent flows



Discovering sparse representations of Lie groups with machine learning



Accelerated discovery of machine-learned symmetries: deriving the exceptional groups  $G_2$ ,  $F_4$  and  $E_6$ 



Identifying the group-theoretic structure of machine-learned symmetries



Python code on github

#### Who are we?



Florida, January 2023

Pittsburgh, Pheno, May 2023

### This talk is being given

by a phenomenologist, who is a theorist and a member of an LHC experiment.
 – what is a "phenomenologist"?



#### Nowadays the tables have turned

• The stream of LHC data has changed the picture



Slide circa 2015

## The simulation pipeline in HEP



#### The curse of dimensionality



Franceschini, Kim, Kong, KM, Park, Shyamsundar Rev. Mod. Phys. 2023

#### NOW BACK TO THE COLLOQUIUM

# **Outline**

- Why Machine Learning? My personal story
- Symmetries in every day life, in math, and in physics
  - Group theory from a physicist's point of view
- Discovering continuous symmetries with machine learning (little or no Lie group theory background needed)
  - Basic ingredients
    - Math: vector spaces, operations, invariants
    - ML: features, neural networks, labels (oracles)
  - Loss functions
- Examples (too many to fit in a single talk)
  - Orthogonal groups O(n) (Euclidean rotations)
  - Lorentz transformations in 4D space-time
  - Unitary groups U(n)
  - Exceptional groups:  $G_2$ ,  $F_4$ ,  $E_6$ .
  - Symmetries in a latent (reduced dimensionality) space

#### Machine Learning versus Data Science

- Data science is necessarily an **interdisciplinary** paradigm
  - The battle of the Venn diagrams





#### Machine Learning versus Data Science

- Data science is necessarily an interdisciplinary paradigm
  - The battle of the Venn diagrams





# **The Job Market**

- Many of our students do not end up going into academia
  - Data science skills are important
  - Communication skills are also important





My ML course @ UF

#### The Data Scientist Venn Diagram



#### https://datascience.stackexchange.com/

users/2853/stephan-kolassa

#### **Ariel Machine Learning Data Challenge**

- In 2022, the Ariel Consortium organized a Machine Learning Data Challenge at the NeurIPS conference
  - Given an observed exoplanet transmission spectrum, find the planet's physical parameters and atmospheric composition
  - Our team "Gators" won first place and a \$2,500 prize!
- In 2023 the "Gators" were again one of the winning teams



# Symmetries in every day life

#### Discrete

✓Continuous



Johnston et al., "Symmetry and simplicity spontaneously emerge from the algorithmic nature of evolution", PNAS (2022)





# Symmetry in science

- Math: "the term symmetry is used to refer to an object that is invariant under some transformations" (Wikipedia)
  - Key concepts: object, transformation, invariance
- **Physics:** *"it is only slightly overstating the case to say that physics is the study of symmetry"* (P. Anderson 1972)
  - Noether's theorem: every continuous symmetry of the action of a conservative system has a corresponding conservation law.



## **Group Theory: Basics**

- Math definition: A group is a set of elements G with a binary operation "." obeying:
  - Closure: c=a.b belongs to G for all a,b in G.
  - Unit element: e: e.a=a.e=a for all a in G.
  - Inverse element:  $a^{-1}$ :  $a \cdot a^{-1} = a^{-1} \cdot a = e$  for all a in G.

- Associativity: (a.b).c=a.(b.c) for all a,b,c in G.

- Less rigorous definitions often used in physics:
  - Define the transformations explicitly. For example, "U(3) is the group of transformations represented with 3x3 unitary matrices".
  - Define the invariant quantity explicitly. For example, "O(3) is the group of transformations preserving the length of a 3-vector". This will be our approach here.

### **ML Symmetry Formalism**

We need to define the object, the transformation and the group invariant.



• Let's translate this setup into the machine learning terminology



This part (the data) will be given to us



We will be building this

### **Symmetry parametrization**

#### Linear

#### x → x'=(I+εG)x

I: identity matrix G: matrix to be learned

#### Visualizing the learned generators: SU(2) example (Pauli matrices)

#### **Non-linear**



Neural network whose parameters are to be learned

#### Visualizing the learned transformation



O(n)  

$$\begin{aligned}
& U(n) \\
\varphi_O(\mathbf{x}) \equiv |\mathbf{x}|^2 = \sum_{j=1}^n [x^{(j)}]^2, \quad x^{(j)} \in \mathbb{R} \\
& SO(1,3) \\
\end{aligned}$$

$$\begin{aligned}
& SO(1,3) \\
& \varphi_L(\mathbf{x}) \equiv (x^{(1)})^2 - (x^{(2)})^2 - (x^{(3)})^2 - (x^{(4)})^2, \quad x^{(j)} \in \mathbb{R} \\
& Squeeze map \\
& \mathbf{y} = \mathbf{x}^{(1)} \mathbf{x}^{(2)}
\end{aligned}$$

 $F_4$ 

## Loss Functions

- **Question:** How does the machine learn?
- Answer: by minimizing the loss function! The loss computes the difference b/n the current and the expected outputs of an algorithm
- We can easily incorporate constraints into the loss function



- Our loss function will contain several contributions, one for each desired symmetry property
  - Invariance (the transformation preserves the oracle)
  - Normalization (the transformation is non-trivial)
  - Orthogonality (the found symmetries are different from each other)
  - Closure (the found symmetries form a group)
  - Sparsity (the representations are simple and easy to interpret)

### **Contributions to the Loss Function**



### **The Basic Algorithm**



Adam optimizer

# **First Toy Example: 2D Rotations**

- Oracle: L2 norm of a vector
- n=2 dimensions; N<sub>g</sub>=1 generator



Orthogonal matrices are anti-symmetric!



n=2 dimensions; N<sub>g</sub>=2 generators





# **Rotations in 3D**



• The training is successful for  $N_g=1$  or  $N_g=3$  generators







#### Beginning of training

Epoch: 0 | Angles = 66.16°, 92.56°, 44.16°

End of training

Epoch: 300 | Angles = 90.0°, 90.0°, 90.0°









# **Rotations in 4D**

- The training is successful for N<sub>g</sub>=1,2,3,4,6 generators
- The full symmetry group SO(4) is rank 2
  - There exists an Abelian (Cartan) subalgebra with N<sub>g</sub>=2 generators





## **Rotations in 4D: other subalgebras**

The training is successful for N<sub>g</sub>=3 generators
 – SO(3) is a subgroup of SO(4)





1.00

0.75

0.50

0.25

0.00

-0.25

-0.50

-0.75

-1.00

The training is successful for N<sub>g</sub>=4 generators
 – SO(3)xSO(2) is a subgroup of SO(4)





# **Rotations in 4D: full so(4) algebra**

- N<sub>g</sub>=6 is the maximum number of generators which
  - are symmetries
  - are orthonormal
  - form a closed algebra





# SO(n) Summary

- Heat map of the Log-Loss for
  - different number of dimensions n (y-axis)
  - different number of generators N<sub>g</sub> (x-axis)
- Low values of the loss (blue squares) imply valid algebras



### Tour de Force: SO(10) Generators



### Lorentz Group

- Oracle: the Minkowski metric t<sup>2</sup>-x<sup>2</sup>-y<sup>2</sup>-z<sup>2</sup>
- The Lorentz group is the cornerstone of relativity
- Being SO(1,3), it resembles SO(4), but has richer subalgebra structure
  - $N_g=2$ : both an Abelian and a non-Abelian subalgebra
  - $-N_g=3$ : five different types of non-Abelian subalgebras
  - N<sub>g</sub>=4: one subalgebra
  - N<sub>g</sub>=6: the full Lorentz algebra
- All those results were confirmed with our method





Ng

## **Example: the full Lorentz algebra**

- 0.75

0.50

0.25

0.00

-0.25

-0.50

-0.75

-1 00

- The N<sub>g</sub>=6 generators describe:
  - Boosts: symmetric matrix, non-zero entries in 0i position, i=1,2,3
  - Rotations: anti-symmetric matrix, nonzero entries in ij position
- The learned generators are generic mixtures of those canonical generators





### **Squeeze mapping**

- Squeeze mapping is an example of an equi-areal symmetry transformation
- The oracle in this case is  $\phi(x_1, x_2) = x_1 x_2$ .
  - The area of a rectangle with corners at the origin and at  $(x_1, x_2)$
  - The equipotential contours are hyperbolas  $x_2 = a/x_1$
- A deep neural network can learn a finite transformation (represented with the arrows in the vector flow)



• A shallow neural network can learn the infinitesimal generator J

# (Midpoint) Summary

#### • Taking stock of what we have been able to accomplish

- Given: some dataset with labels given by an oracle
- Derive: the closed (sub)algebra of N<sub>g</sub> symmetry generators

#### Comments and observations

- The learned generator depends on the initial configuration (seed)
- The learned generators are some general linear combinations of the canonical sparse generators found in the textbooks
- The training can get rather slow for large  $N_g$

#### Open questions, refinements and potential improvements\*

- Can we speed up the training?
- How can we learn the canonical sparse generators?
- Can we preserve more than one oracle function simultaneously?
  - Examples: the exceptional groups  $G_2$ ,  $F_4$ ; the MNIST digits.
- Can the oracle be a more complicated (discontinuous) function?
- How can we identify what symmetry group has been learned?
- How to decide if the symmetry is **trivial** or not? (irrelevant features?)

\*all those points were addressed in the follow-up papers
# **Further Reading**

- S. Krippendorf and M. Syvaeri, "Detecting Symmetries with Neural Networks," 2003.13679.
- Z. Liu and M. Tegmark, "Machine Learning Conservation Laws from Trajectories," 2011.04698.
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Prompt: Students cheering the end of a boring colloquium on Machine Learning (Picasso style)

#### The AI Map



# <u> Discontinuous oracles – example l</u>

- Discontinuous oracle functions lead to nonlinear transformations
  - require parametrization of the symmetry with a deep neural network

$$'(x) = \begin{pmatrix} -x^{(2)}, & \text{for } x^{(1)} < 0, \\ +x^{(1)}, & \text{for } x^{(1)} \ge 0. \end{cases}$$

#### Shallow network (no hidden layers)

Deep network (3 hidden layers)



# <u>Discontinuous oracles – example II</u>

- Another example: Manhattan (L1) distance oracle
  - Continuous, but not continuously differentiable

'(x) = 
$$|x^{(1)}| + |x^{(2)}|$$

Shallow network (no hidden layers)



Deep network (3 hidden layers)



### **Learning Sparse Representations**

 To find the canonical sparse form of the symmetry generators, include the following additional term to the loss function

$$L_{\rm sp}(\mathbb{G}) = \sum_{j,k=1}^n \sum_{j',k'=1}^n \left| \mathbb{G}^{(jk)} \mathbb{G}^{(j'k')} \right| \left( 1 - \delta_{jj'} \delta_{kk'} \right)$$

- It encourages learning a sparser form of the generators
  - Example: the Lorentz group algebra found earlier





After adding the sparsity loss



### **Learning Sparse Representations**

- The relative contribution of the **sparsity loss term** needs to be just right, otherwise it is too much of a good thing.
  - Example: the N<sub>g</sub>=4 subgroup of the Lorentz group

No sparsity loss term

Negligible sparsity loss term

Just the right amount

Sparsity loss dominates



#### Sparse Representations: U(n) and SU(n)

- The oracle for unitary groups:  $\varphi_U(\mathbf{x}) \equiv \sum_{i=1}^{\infty} (x^{(i)})$
- The sparse representations were learned successfully

0



R





R

J4

η2

Л6









 $x^{(j)} \in \mathbb{C}.$ 

√2

0

# Tour de Force: SU(6)



- Total number of generators: 6<sup>2</sup>-1 = 35
  - SU(6) is rank=5 => 5 real diagonal generators (2,8,10,13,24)
  - 15 symmetric real matrices
  - 15 anti-symmetric purely imaginary matrices

# **Unitary groups: subgroup structure**

• The value of the trained loss function is an indicator of the presence or absence of a valid sub-algebra



# Probing the subalgebra structure

• Test for all possible decompositions into factors

$$\mathfrak{h} = \mathfrak{h}_1 \oplus \mathfrak{h}_2 \oplus \cdots \oplus \mathfrak{h}_h$$



# Less trivial example: u(4)

- The transformations are described with 4x4 complex matrices
- There exist valid subalgebras with the following number of generators:
  - 1 through 10, 15 and 16
- The Cartan subalgebra has 4 generators (the rank is 4)



# The subalgebra structure of u(4)

#### $\mathfrak{h} = \mathfrak{h}_1 \oplus \mathfrak{h}_2 \oplus \cdots \oplus \mathfrak{h}_h$

- Color code:
  - Green: valid decomposition
  - Orange: no such decomposition
- Different rows represent different (nonisomorphic) algebras
- Only up to 4 factors are possible
  - The rank is 4
- The circled examples are illustrated on the next slide

|                    | Number of subalgebra factors h |                    |                               | factors h                                |
|--------------------|--------------------------------|--------------------|-------------------------------|--|
| $N_{\mathfrak{h}}$ | 1                              | 2                  | 3                             | 4  |
| 1                  | <i>u</i> <sub>1</sub>          |                    |                               | —  |
| 2                  | $u_1^2$                        | $u_1 \oplus u_1$   |                               |  |
| 3                  | $u_1^3$                        | $u_1^2 \oplus u_1$ | $u_1 \oplus u_1 \oplus u_1$   |  |
|                    | su <sub>2</sub>                |                    |                               |  |
| 4                  | $u_1^4$                        | $u_1^3 \oplus u_1$ | $u_1^2 \oplus u_1 \oplus u_1$ | $u_1 \oplus u_1 \oplus u_1 \oplus u_1$   |
|                    | <i>u</i> <sub>2</sub>          | $su_2 \oplus u_1$  |                               |  |
| 5                  | $\rightarrow$                  | $u_2 \oplus u_1$   | $su_2 \oplus u_1 \oplus u_1$  |  |
| 6                  | $\rightarrow$                  | $\rightarrow$      | $u_2 \oplus u_1 \oplus u_1$   | $su_2 \oplus u_1 \oplus u_1 \oplus u_1$  |
|                    | so <sub>4</sub>                | $su_2 \oplus su_2$ |                               |  |
| 7                  | $\rightarrow$                  | $u_2 \oplus su_2$  | $su_2 \oplus su_2 \oplus u_1$ |  |
| 8                  | $\rightarrow$                  | $u_2 \oplus u_2$   | $u_2 \oplus su_2 \oplus u_1$  | $su_2 \oplus su_2 \oplus u_1 \oplus u_1$ |
|                    | su <sub>3</sub>                |                    |                               |  |
| 9                  | <i>u</i> <sub>3</sub>          | $su_3 \oplus u_1$  |                               |  |
| 10                 | $\rightarrow$                  | $u_3 \oplus u_1$   | $su_3 \oplus u_1 \oplus u_1$  |  |
|                    | $sp_4$                         |                    | $\sim$                        |  |
| 11                 |                                |                    |                               |  |
| 12                 |                                |                    |                               |  |
| 13                 |                                |                    |                               |  |
| 14                 |                                |                    |                               |  |
| 15                 | su <sub>4</sub>                |                    |                               |  |
| 16                 | <i>u</i> <sub>4</sub>          | $su_4 \oplus u_1$  |                               |  |

#### The two u(4) subalgebras with 10 generators

• su(3) x u(1) x u(1)



sp(4) ~ so(5)



# The so(5)~sp(4) subgroup of u(4)





$$A_3 = u(4)$$

$$B_2 = so(5)$$

$$C_2 = sp(4)$$







# **Symmetries of the MNIST Digits**

- The MNIST dataset is a widely used database for training and testing various image processing machine learning algorithms
- 70,000 handwritten digits as images of 28x28 pixels
- Typical applications
  - Multi-class classification (10 possible categories)
  - Data compression and manifold learning



# **MNIST information content**

- Not all of the 28x28=784 features (pixel values) carry equal amount of information
  - The information is mostly contained in the central pixels
- It is possible to find (almost) equivalent, reduced dimensionality representations of this data
  - Latent space: an embedding of the original features in a compressed representation
- We can try to look for symmetries in the latent space



#### Average pixel value

#### Maximum pixel value





#### **The Basic Idea**



#### **Autoencoder Architecture**



- Let's start with a toy example (next slide)
  - Keep only the 0 and 1 digits from the MNIST dataset
  - Compress to a 2D latent space
  - Train a binary classifier (oracle) to produce the labels (logits)
  - Find the 1-parameter symmetry transformation in the latent space
  - Decode to the feature space to see what the transformation is doing

# Symmetries of the digits 0 and 1

Symmetry direction

Orthogonal direction



#### **Symmetry deformations of the digits**

- Now the real exercise:
  - Keep all 10 digits
  - Compress to 16D latent space
  - Train a 10-class classifier
  - Find the symmetries
- Starting from the platonic digits, follow a symmetry streamline in + and – direction
- The resulting images are depicted to the right
- The images in each row have equal values for all 10 logits (oracles), and are therefore classified the same way



# **Refresher on Octonions**

- Generalizations of the complex numbers
   7 imaginary units: {i, j, k, l, il, jl, kl}.
- Inherit the familiar operations:
  - multiplication, conjugation, norm, inverse
- Related to exceptional structures in mathematics
  - E.g.: Jordan algebra  $h_3 \rightarrow F_4$  and  $E_6$  exceptional Lie groups





Real component of a triple octonion product



imagine.art

# **Exceptional Groups: G<sub>2</sub>**



# The F<sub>4</sub> group

- There are three oracles
- n=27 dimensional real feature space
- F<sub>4</sub> has 52 generators
- Our result matches previous results in the literature obtained with Mathematica

$$\varphi_{F_4}^{(1)}(\mathbf{x}) = \operatorname{Tr} \mathfrak{h}_3 = \sum_{a=1}^3 r_a$$
$$\varphi_{F_4}^{(2)}(\mathbf{x}) = \operatorname{Tr} \mathfrak{h}_3^2 = \sum_{a=1}^3 \left( r_a^2 + 2 |\mathbf{o}_a|^2 \right)$$

$$\varphi_{F_4}^{(3)}(\mathbf{x}) = \det \mathfrak{h}_3 = r_1 r_2 r_3 - \sum_{a=1}^3 r_a |\mathbf{o}_{4-a}|^2 + 2 \operatorname{Re}(\mathbf{o}_3 \mathbf{o}_2^* \mathbf{o}_1)$$



# The E<sub>6</sub> group

- For E<sub>6</sub> only the last oracle applies => E<sub>6</sub> contains F<sub>4</sub> as a subgroup
   The additional 26 generators beyond those of F<sub>4</sub> are shown below
- Our results match those previously derived with Mathematica



# **Speeding up the learning process**

- A: learning all symmetry generators at once
  - pro: we can ensure closure
  - con: slow in high dimensions or for many symmetries
- B: learn one symmetry generator at a time
  - pro: much faster
  - con: delay the study of group properties to a postprocessing stage
- Timing tests for SU(n):



Algorithm 1: The greedy algorithm.

```
1 Parameters: \lambda, L_{min}, N_{epochs};
 2 \{\mathbb{J}\} \leftarrow [];
 3 \mathcal{W} \leftarrow \mathcal{W}_{initial} \sim \mathcal{N};
 4 for i from 1 to N_{epochs} do
             L \leftarrow L_{\text{greedy}}(\mathbb{G}(\mathcal{W}), \{\mathbb{J}\}, \mathbf{x});
             if L < L_{min} then
                     append \mathbb{G}(\mathcal{W}) to \{\mathbb{J}\};
  7
                    goto 3;
  8
             end
 9
             \mathcal{W} \leftarrow \mathcal{W} - \lambda \nabla_{\mathcal{W}} L_{\text{greedy}};
10
11 end
12 stop
```

Algorithm 2: The Lie bracket trick (LBT) algorithm.

```
1 Input: \{J_1, \ldots, J_i\}: known algebra; J_{i+1}: new
     generator;
2 append J_{i+1} to G;
 3 repeat
        k
             |G|;
            |J|;
        append G to J:
       clear G;
7
       for p from 1 to i do
8
            for q from i+1 to i+k do
 9
                 С
                       J_p J_{q D} - J_q J_p;
10
                              _{g2J} \xrightarrow{g} \to (C \cdot g);
                С
11
                if ||C||, 0 then
12
                           C
13
                    if L_{inv}(C, x) < L_{min} then
14
                         append C to G;
15
                     end
16
17
                end
            end
18
       end
19
20 until |G| = 0;
```



# **Summary and Future Directions**

- We have a method (and a public python code) to derive the symmetry algebra of a labelled dataset
- Many known results from group theory can be rederived and verified (useful teaching tool)
  - Orthogonal groups
  - Lorentz group
  - Unitary groups
  - Exceptional groups
- It would be interesting to apply this to real datasets and discover unexpected or unknown symmetries

– The MNIST example sets the blueprint

• Experimental mathematics is a lot of fun!

#### **Main References**

• The papers



• The python code



# **BACKUP SLIDES**

#### **Symbolic Learning**

THE ASTROPHYSICAL JOURNAL, 930:33 (13pp), 2022 May 1

 $\ensuremath{\mathbb{O}}$  2022. The Author(s). Published by the American Astronomical Society.

**OPEN ACCESS** 

https://doi.org/10.3847/1538-4357/ac610c



#### Analytical Modeling of Exoplanet Transit Spectroscopy with Dimensional Analysis and Symbolic Regression

Konstantin T. Matchev<sup>(1)</sup>, Katia Matcheva<sup>(1)</sup>, and Alexander Roman<sup>(1)</sup> Physics Department, University of Florida, Gainesville, FL 32611, USA Received 2021 December 23; revised 2022 March 20; accepted 2022 March 22; published 2022 May 2



#### **Feature Engineering with Machine Learning**



Franceschini, Kim, Kong, KM, Park, Shyamsundar RMP 2023

#### Machine-Learned Event Variables

• Let's ask the machine to learn a good event variable



Kim, Kong, KM, Park, Shyamsundar (2021)

#### Example 1: Drell-Yan events

• Feed the momenta of the two leptons, ask the machine to guess if they came from a resonance A with a mass M<sub>A</sub>



#### Kim, Kong, KM, Park, Shyamsundar (2021)

#### Example 2: leptonic W events

 Feed the MET vector (transverse momentum of C) and the momentum of the lepton (b), ask the machine to guess if the event came from a resonance A with a mass M<sub>A</sub>



#### Kim, Kong, KM, Park, Shyamsundar (2021)

### Example 3: leptonic W-pair events

 Feed the MET vector (net transverse momentum of the two C's) and the momentum of the two leptons (b's), ask the machine to guess if the event came from the pair production of resonances A with a mass M<sub>A</sub>


## Truth and Beauty in Particle Theory

- Truth: the model fits the existing experimental data
  - A measurement of an observable O places a constraint on the model parameters p<sub>i</sub> (dashed line)
- Beauty: a subjective criterion influenced by personal preferences, community views, etc.
  - We consider examples where beauty can be quantified (z-axis)



- Examples of theory models:
  - 1: beautiful and wrong
  - 2: beautiful and true
  - 3: ugly and true
  - 4: ugly and wrong

\*Matchev, Matcheva, Ramond, Verner 2023 Accepted at NeurIPS 2023 (poster)

## Beauty = Uniformity

- In each run, inputs are re-sampled within the experimental errors
- The training results in low values of the total loss (10 runs, right plot)
- Pictorial representation of the result from a typical run:



