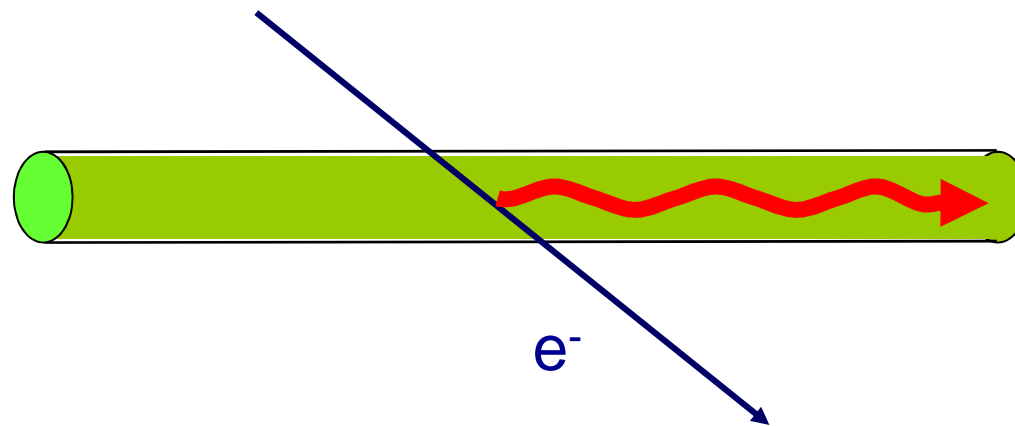


*Radiation Induced by Charged Particles  
in Optical Fibers*



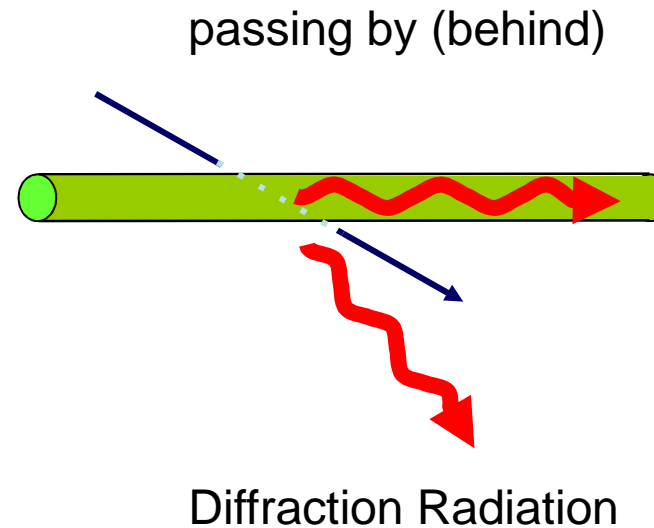
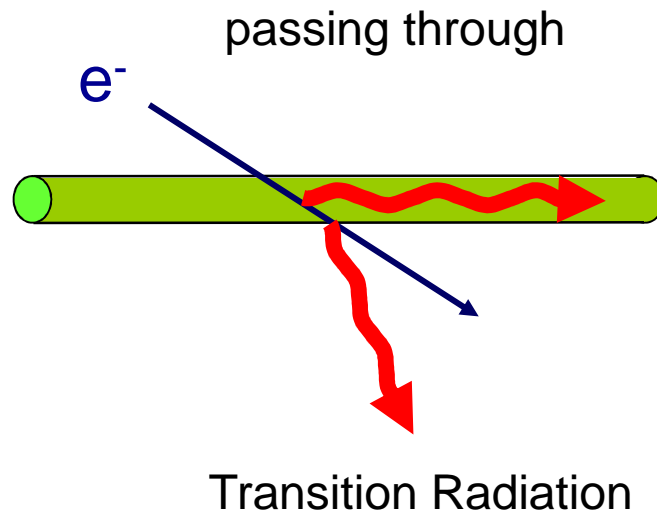
X. Artru, C. Ray

Institut de Physique Nucléaire de Lyon,  
France

# References

- *Photon production by charged particles in narrow optical fibers*, X. Artru, C. Ray  
Proceedings of Channeling 2006
- X. Artru, C. Ray, NIM B 266 (2008) 3725
- X. Artru, C. Ray, Chap.21 of book "*Selected Topics on Optical Fiber Technology*" (2012, InTech — Open Access Company)

# Electron passing *through* or *by* an optical fiber



- Radiation is produced by the **transient polarisation of the medium** by the Coulomb field of the electron.
- Part of it is trapped in the fiber. We call it ***Particle Induced Guided Light*** (PIGL).
- We take a *thin* fiber (radius  $a \sim \lambda$ ) without clad.
- Guided light is decomposed in a few number of ***modes***.

# The fiber modes $\{m, \omega\}$

Electric field  $\approx$  “*photon wave function*” of the mode


$$\mathbf{E}_{\{m, \omega\}}(\mathbf{X}, t) = \mathbf{E}_{\{m, \omega\}}(\mathbf{r}) \exp(ipz - i\omega t)$$

$\mathbf{X} = (x, y, z)$  ;  $\mathbf{r} = (x, y)$  : transverse coordinate

$\omega$  = “frequency” = energy ;  $p$  = longitudinal momentum

Mode type :  $m = \{ M, n_r, \text{“TM”}, \sigma \}$  or  $\{ M, n_r, \text{“TE”}, \sigma \}$

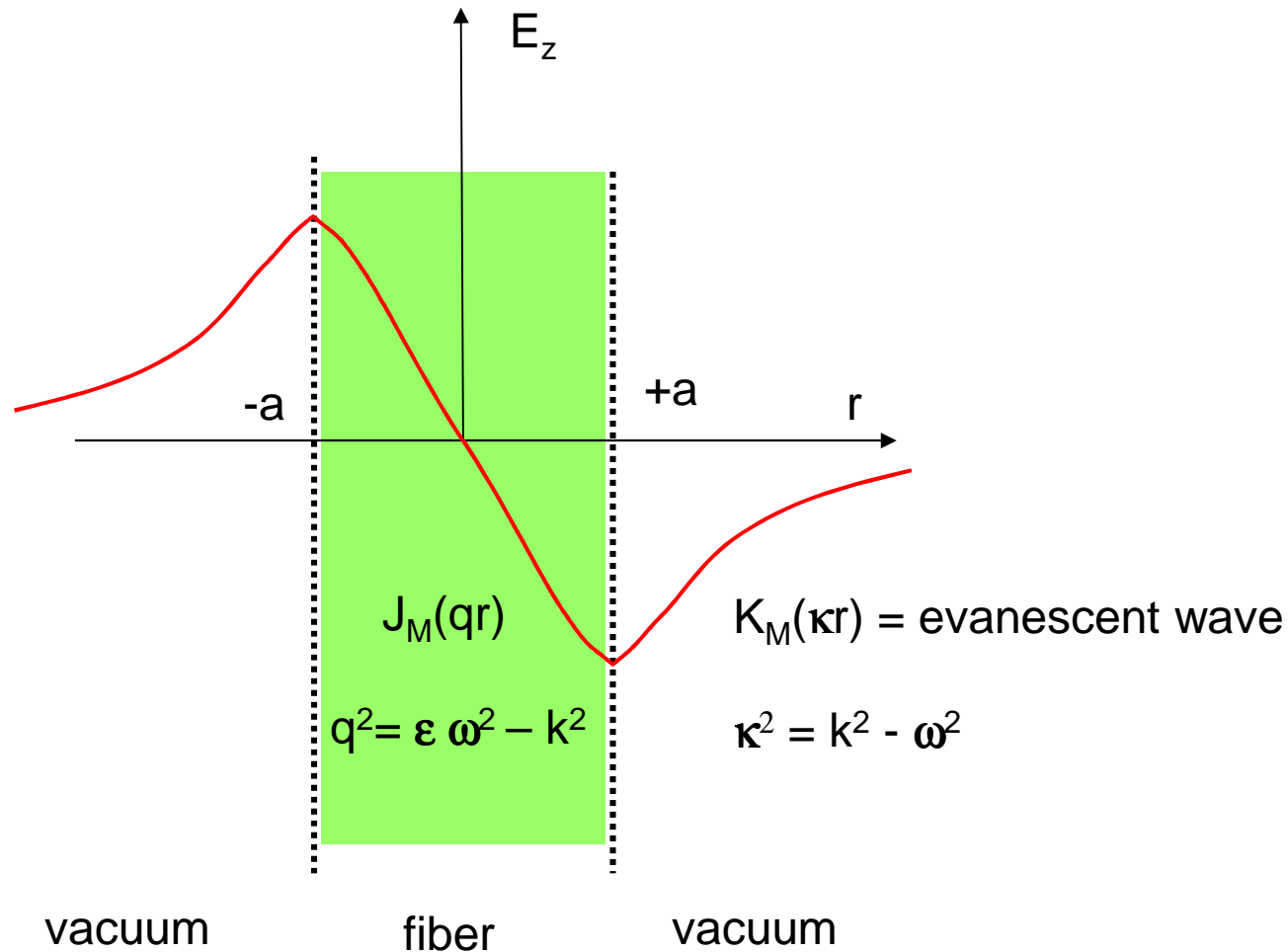
angular mom.  $J_z$       radial number      “*Transverse Magnetic*”



$\sigma = \text{sign}(p) = \pm 1$ .

Lowest mode :  $HE_{11}$   $\{ |M|=1, n_r=1, \text{“TM”} \}$

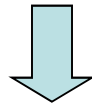
Radial profile of a mode.  
 Example:  $E_z$  of the lowest mode,  $HE_{11}$   
 $m = \{ M=\pm 1, n_r=1, \text{“TM”} \}$



# The dispersion relation

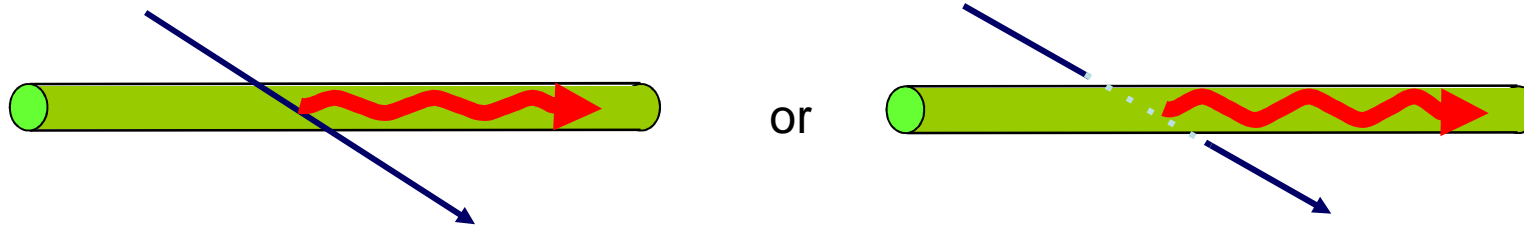
Continuity of  $\mathbf{B}$ ,  $\mathbf{E}_T$  and  $\varepsilon(r) \mathbf{E}_r$  :

$$\left[ \frac{J'_M(qa)}{qa J_M(qa)} + \frac{K'_M(\kappa a)}{\kappa a K_M(\kappa a)} \right] \cdot \left[ \frac{\varepsilon(r) J'_M(qa)}{qa J_M(qa)} + \frac{K'_M(\kappa a)}{\kappa a K_M(\kappa a)} \right] =$$
$$= M^2 \left( \frac{1}{(qa)^2} + \frac{1}{(\kappa a)^2} \right) \cdot \left( \frac{\varepsilon(r)}{(qa)^2} + \frac{1}{(\kappa a)^2} \right)$$

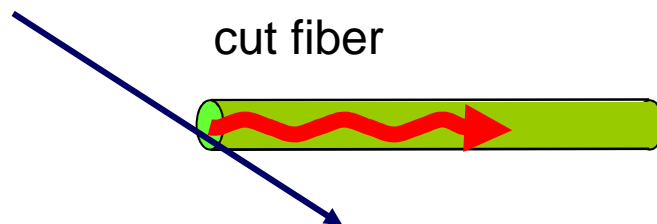


$$\omega = \omega_m(p) \quad \text{or} \quad p = p_m(\omega)$$

# The 2 types of *Particle Induced Guided Light*



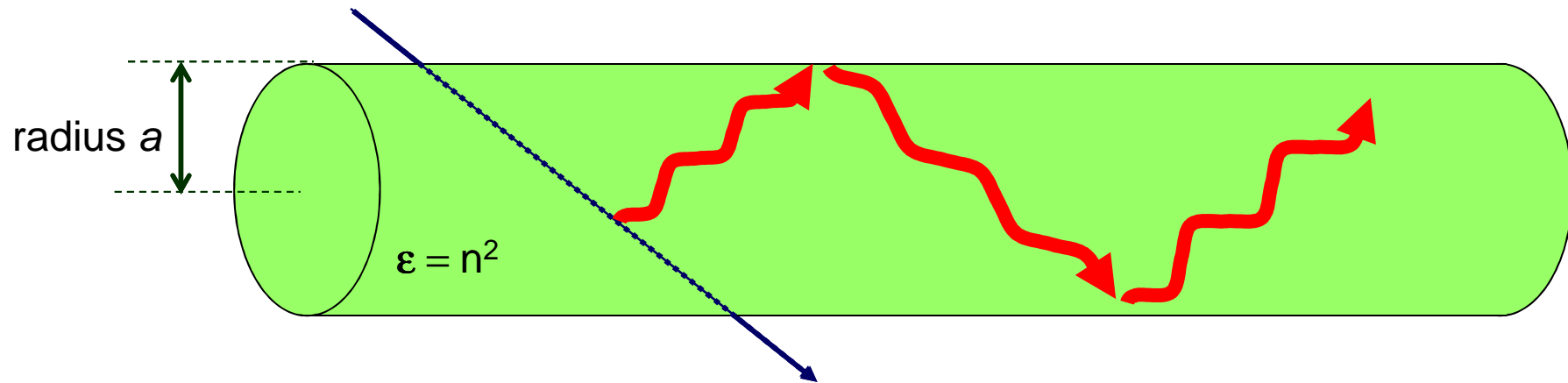
- Type-I PIGL (above) : the fiber is **translation invariant**
- Type-II PIGL (examples below) : translation invariance is broken



Light is first induced  
in the metallic balls,  
in the form of *plasmons*

# Comparison with the *DIRC* Cherenkov detector

[P. Coyle et al, NIM A 343 (1994) 292]



**DIRC** - *thick* guide :  $a \sim 1 \text{ cm} \gg \lambda \rightarrow$  many modes.

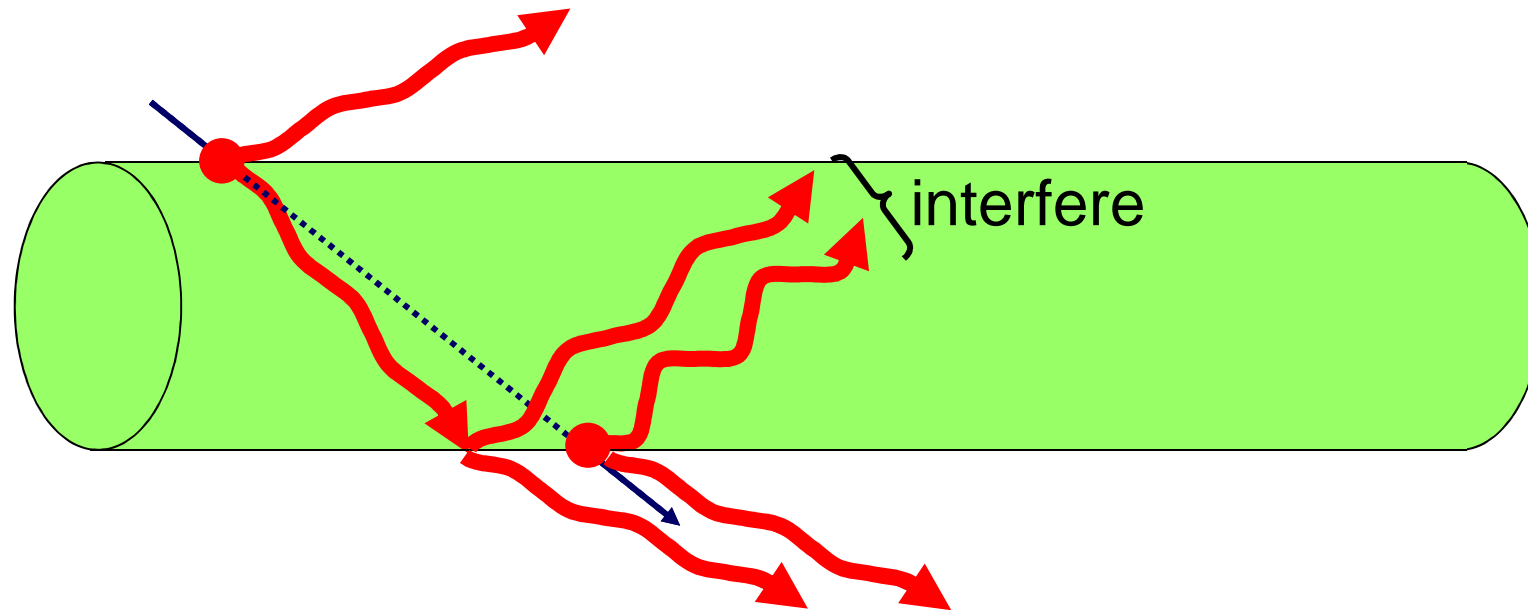
- Many photons per electron  $\rightarrow$  *individual* particle detection.
- Velocity threshold :  $v \geq 1/n$

**PIGL** - *thin* guide :  $a \sim \lambda \rightarrow$  one or a few modes

- Less than 1 photon per electron  $\rightarrow$  *beam* diagnostics
- No velocity threshold



# PIGL as *channeled transition radiation*



... but the usual T.R. formula is not valid for surface of curvature radius  $a \sim \lambda$

*Channeled XTR* in microcapillaries : Zhevago & Glebov, Phys. Lett. A 309 (2003) 31

For the *external* Transition Radiation on a cylinder: N. Shul'ga et al. (RREPS-01)

# Photon spectrum in the type-I PIGL

Produced spectrum in the mode  $m$  :

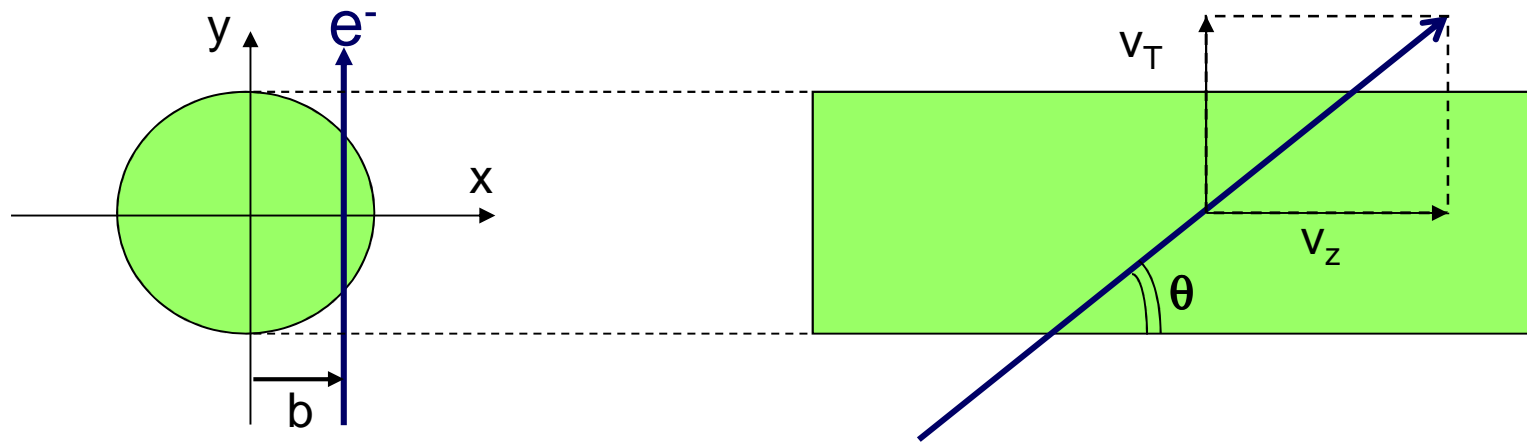
$$\omega dN / d\omega = (2\pi \Phi_{\{m,\omega\}})^{-1} \left| e \int d\mathbf{X}_e \cdot \mathbf{E}_{\{m,\omega\}}^*(\mathbf{X}, t) \right|^2$$

$\Phi_{\{m,\omega\}}$  = energy flux of the normalized mode

$$= 2 \operatorname{Re} \int d^2\mathbf{r} \left[ \mathbf{E}_{\{m,\omega\}}^*(\mathbf{r}) \times \mathbf{B}_{\{m,\omega\}}(\mathbf{r}) \right]_z$$

Poynting vector

# Geometrical parameters



front view

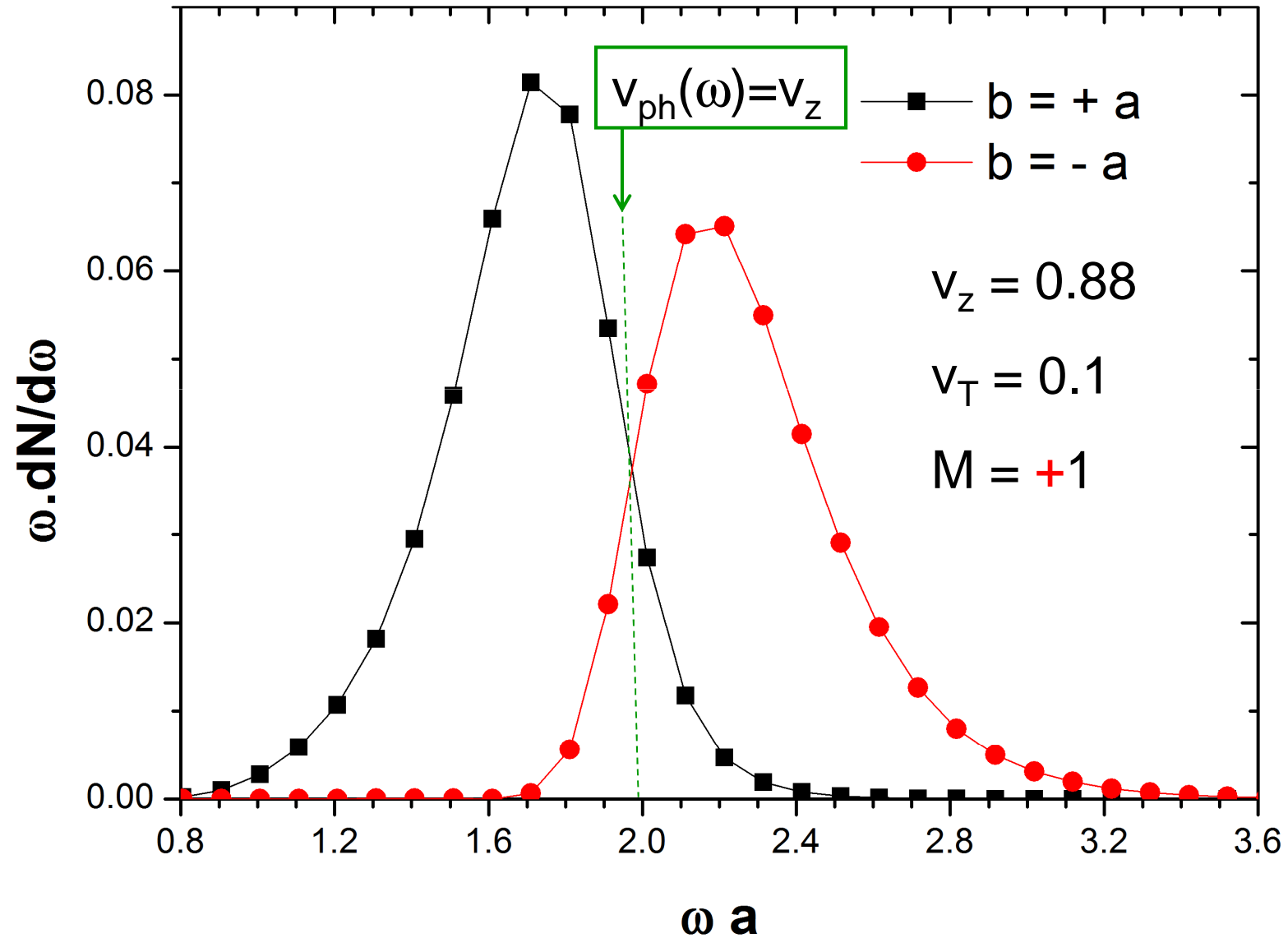
side view

$b$  : impact parameter

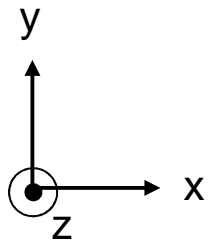
$v = (0, v_T, v_z)$  : electron velocity

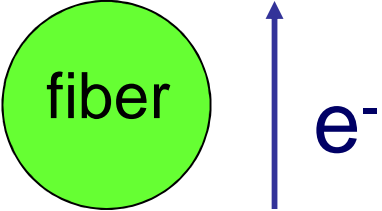
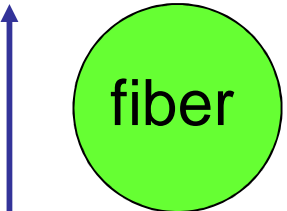
$\theta$  : crossing angle.  $\tan \theta = v_T / v_z$

# Example of type-I PIGL spectrum

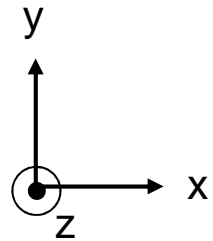


Correlation between the electron *side* and the photon *helicity*  
 (difference between the red and black curves in the last slide)



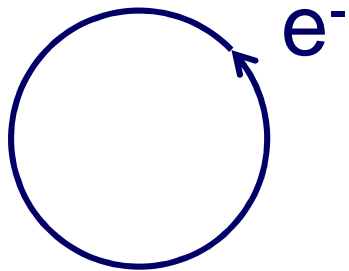
	$v_{ph} > v_z$ (small $\omega$ )	$v_{ph} < v_z$ (large $\omega$ )
side $b > 0$	 favored $M = +1$	favored $M = -1$
side $b < 0$	 favored $M = -1$	favored $M = +1$

There is an analogous correlation in the helical undulator



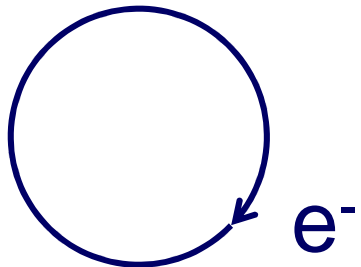
$v_{z \text{ photon}} > v_{z \text{ electron}}$   
(  $\theta < 1/\gamma$ , large  $\omega$  )

$v_{z \text{ photon}} < v_{z \text{ electron}}$   
(  $\theta > 1/\gamma$ , small  $\omega$  )



avored  $M = +1$

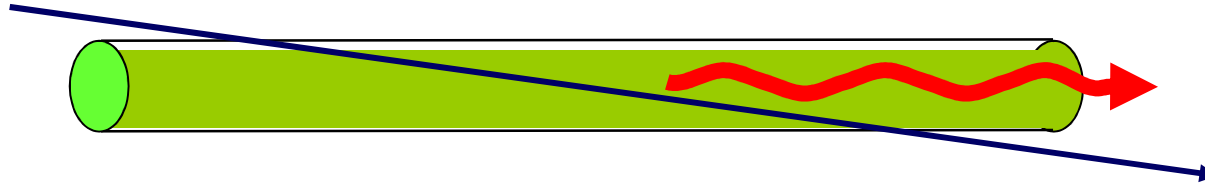
avored  $M = -1$



avored  $M = -1$

avored  $M = +1$

# Type-I PIGL : case of small crossing angle



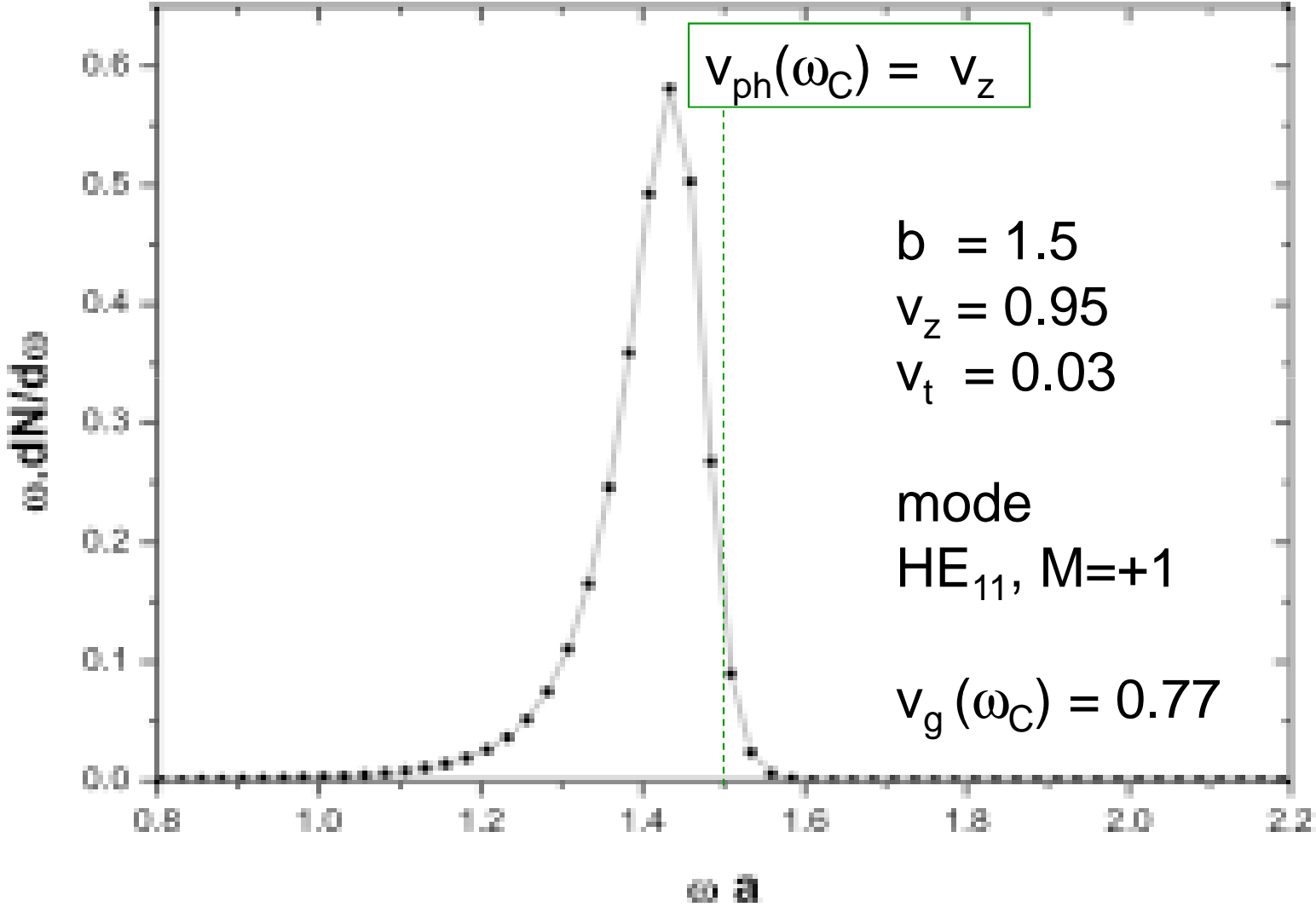
The radiation becomes nearly *monochromatic*. At the peak frequency  $\omega_C$ , the **phase velocity**  $v_{ph} \equiv \omega/p$  of the wave is equal to the **longitudinal velocity** of the electron :

$$v_{ph}(\omega_C) = v_z \quad \rightarrow \quad \text{the electron "surfes" on the wave}$$

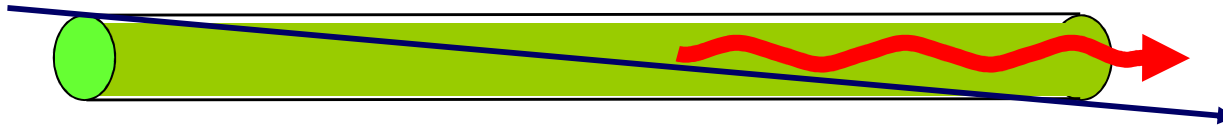
We call it the "Cherenkov peak"

The case of *parallel* trajectory was treated by Bogdankevich & Bolotovskii (1957), N. Zhevago & Glebov (1990, 1993).

# Example of Cherenkov peak







Small  $\theta$  : number of produced photons

$$N = (4\pi/137\omega) (\Phi_{\{m,\omega\}})^{-1} [1/v_g - 1/v_{ph}]^{-1} \\ \times \int dz \cdot |\mathbf{E}_{z\{m,\omega\}}(\mathbf{r})|^2$$

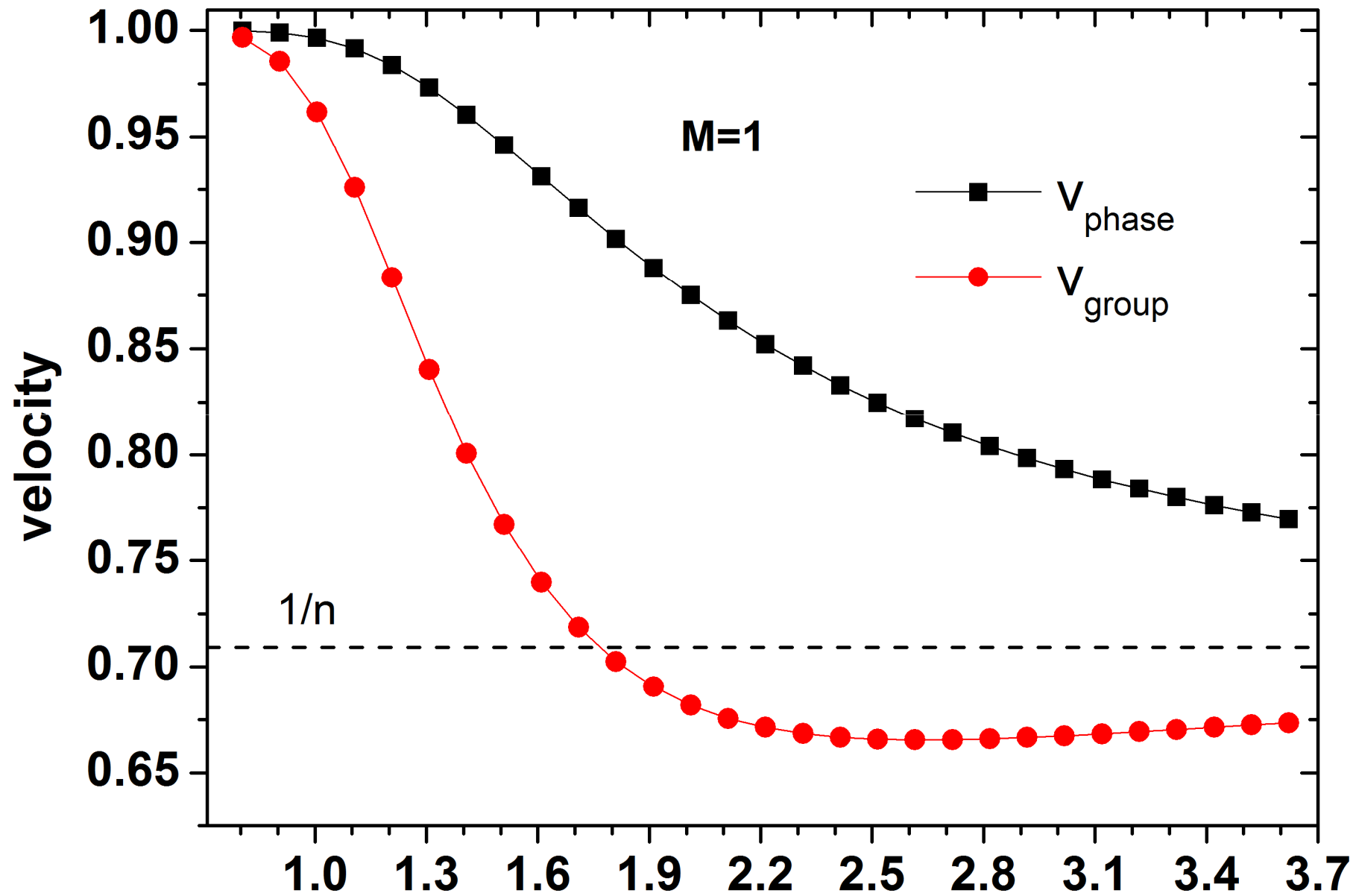
$v_g$  = group velocity

Here  $\omega = \omega_C$  such that  $v_{ph}(\omega_C) = v_z$

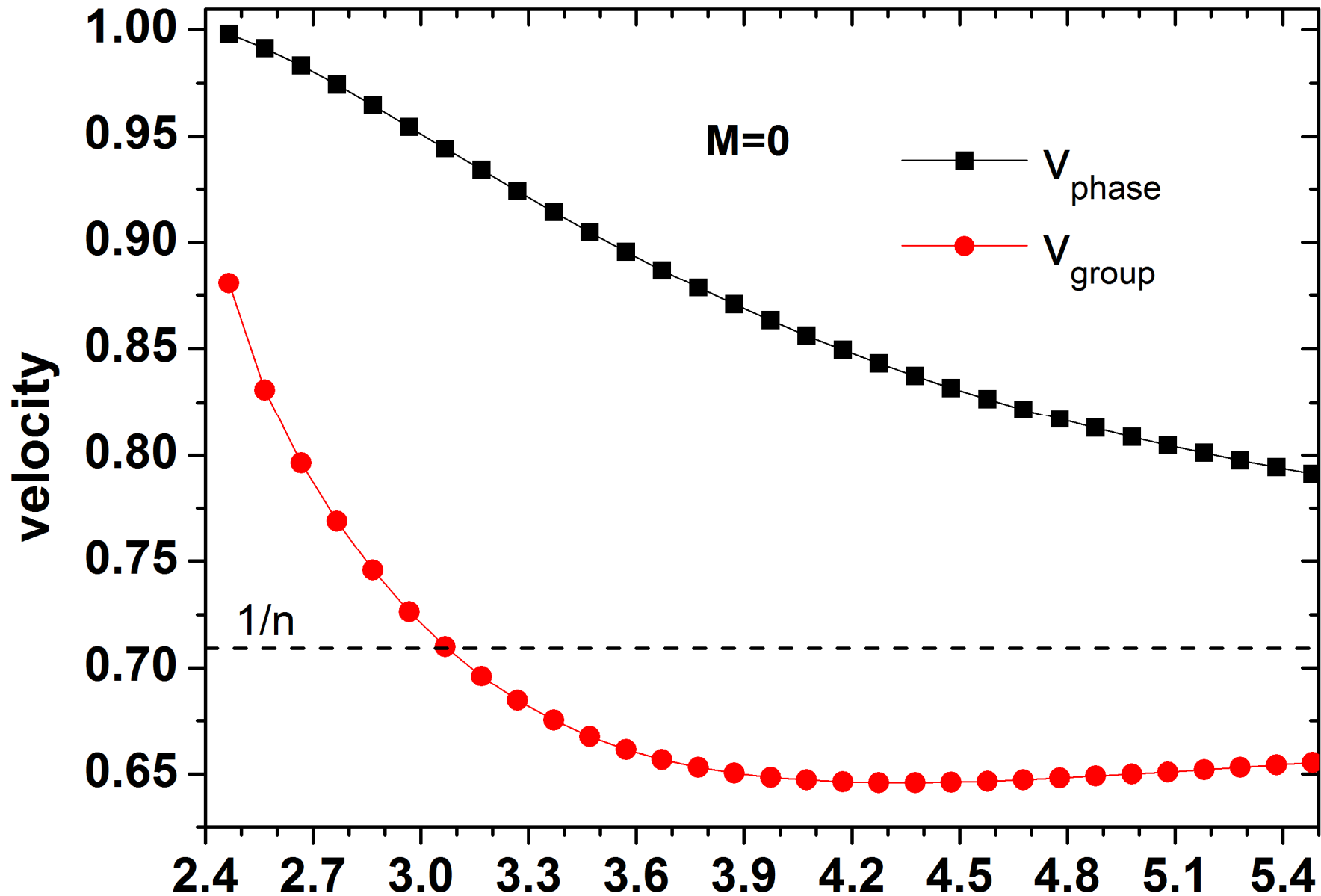
$\Phi_{\{m,\omega\}}$  = normalized energy flux of the mode

→ N grows like  $\theta^{-1}$

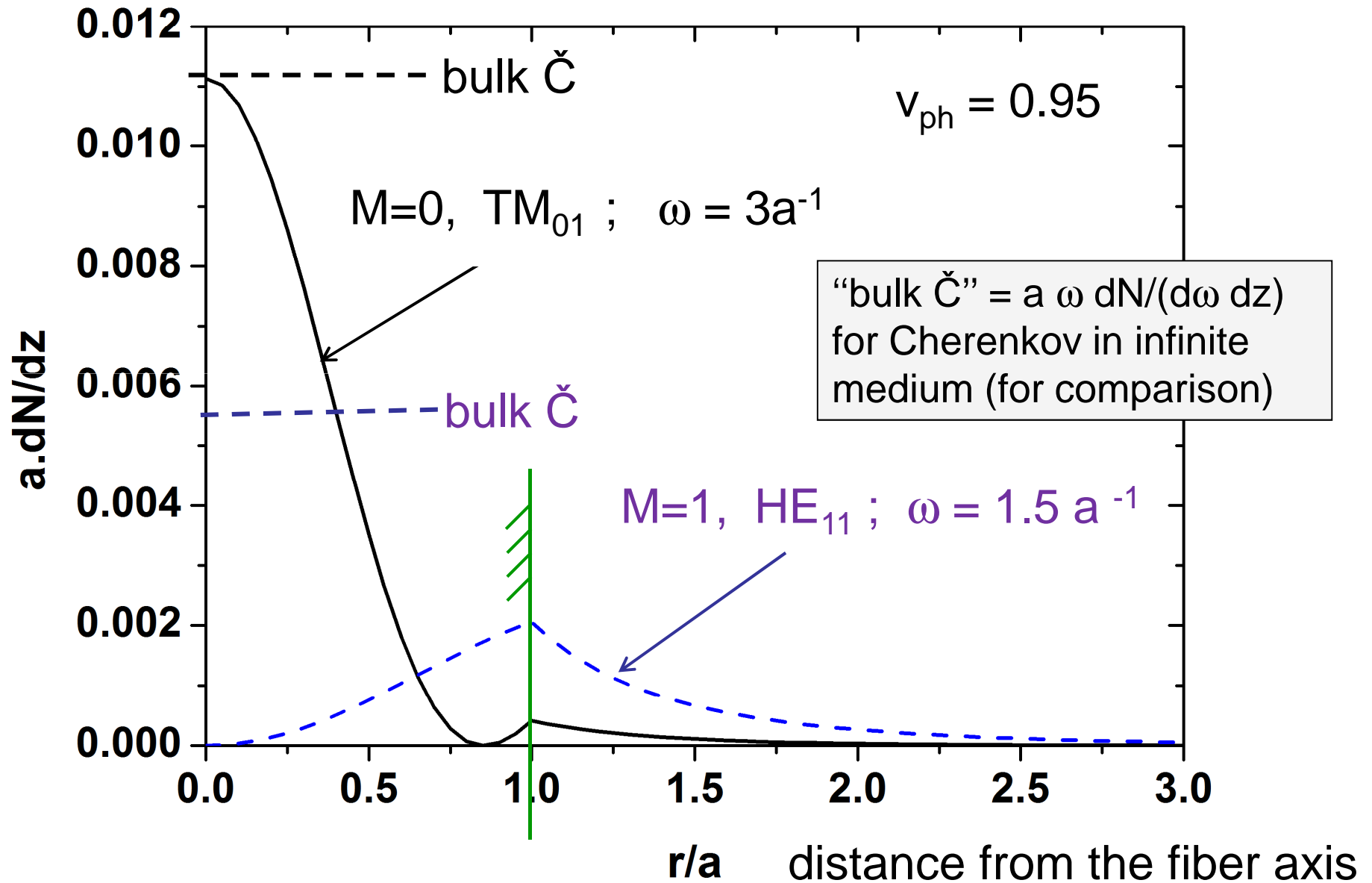
# Phase and group velocity (HE<sub>11</sub> mode)



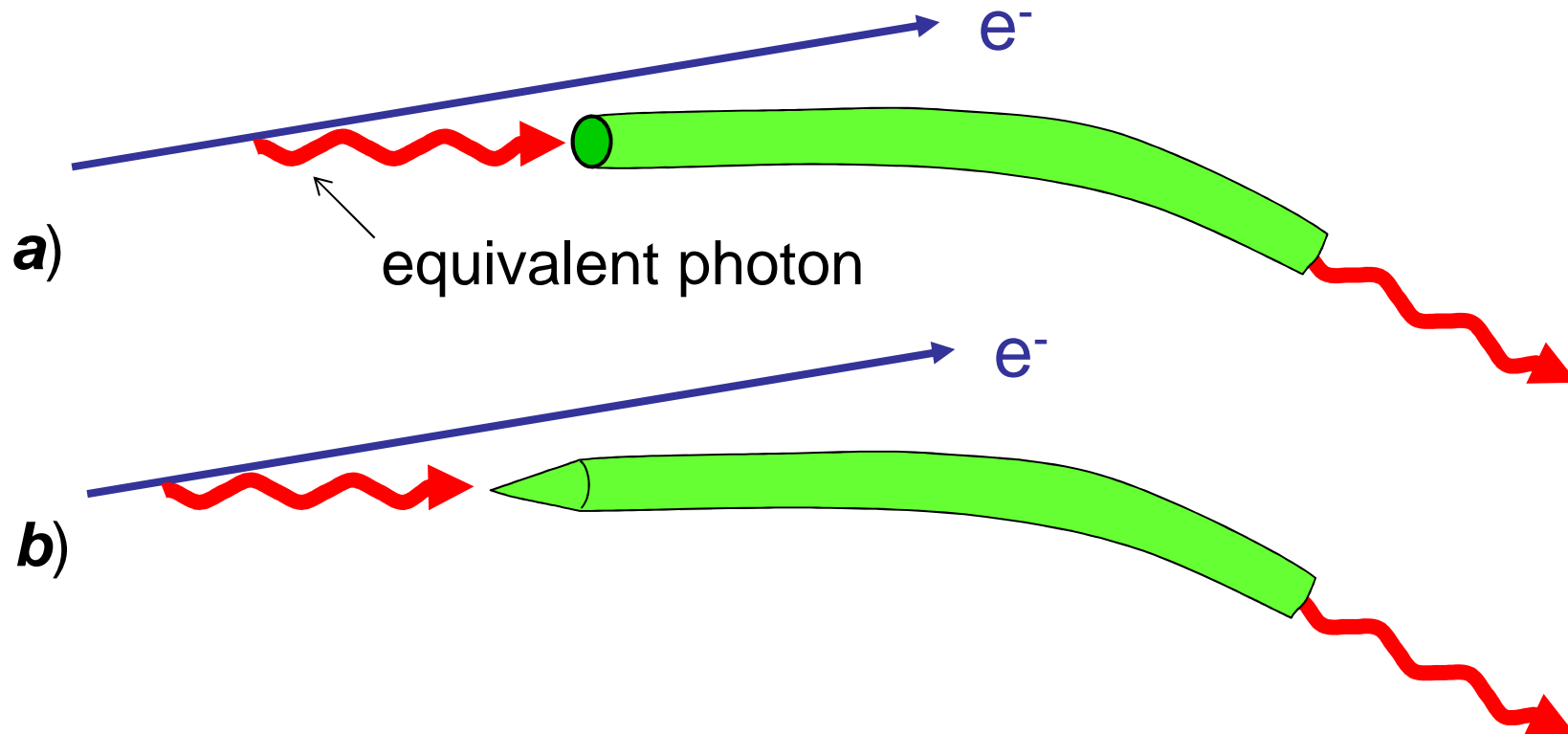
# Phase and group velocity (M=0, TM<sub>01</sub> mode)



# Small crossing angle : Photon yield per unit of length



# Type-II PIGL : cut fiber

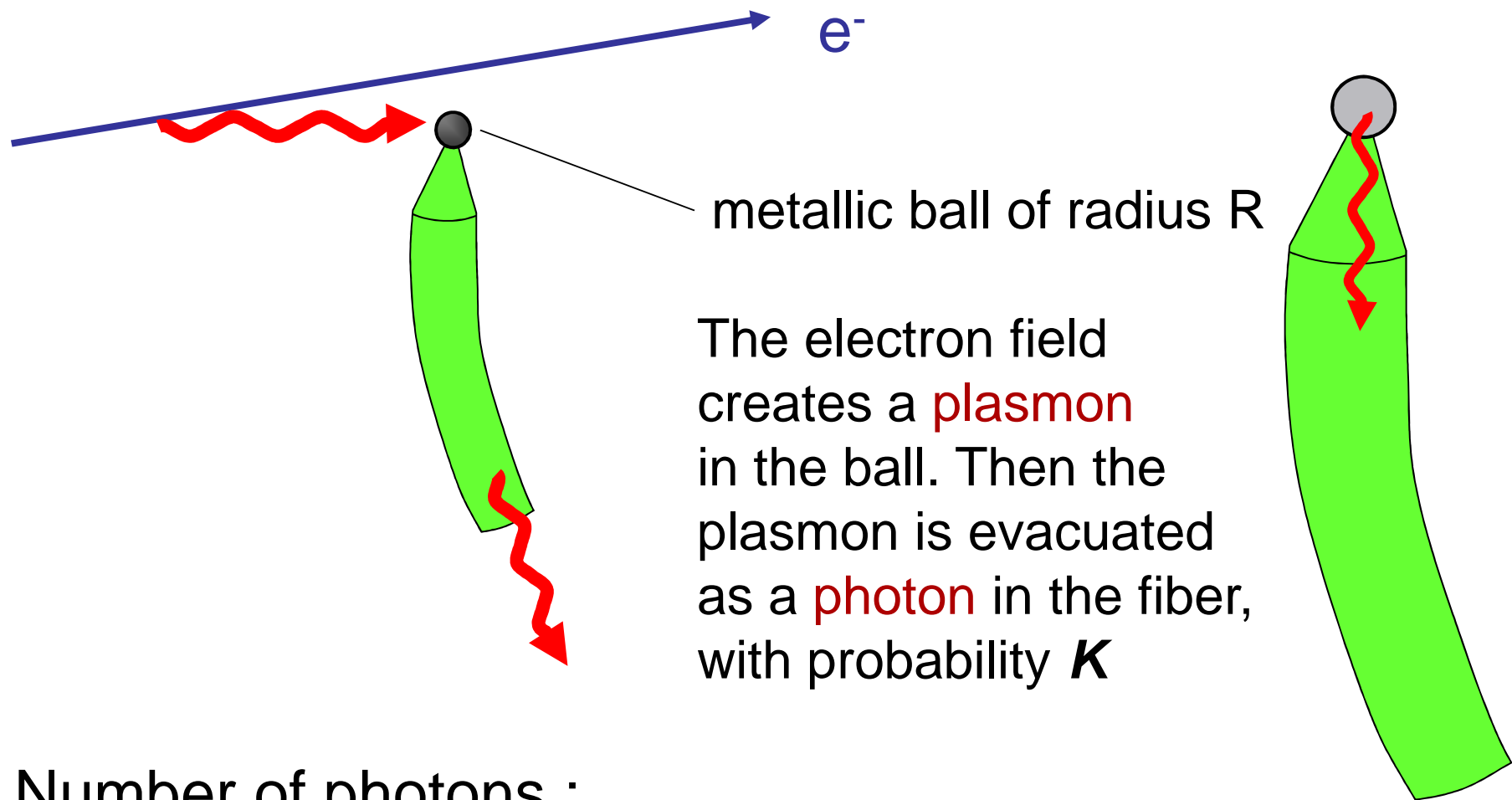


- In scheme **a)**, for a relativistic electron :

$$dW/d\omega \sim (1/137\pi) \langle r^2 \rangle_{\text{mode}} / b^2, \quad \text{with a cutoff at } \omega_{\text{max}} \sim \gamma/b$$

- Scheme **b)** is more efficient (the capture is more “adiabatic”)

# Type-II PIGL : through a metallic ball



metallic ball of radius  $R$

The electron field creates a **plasmon** in the ball. Then the plasmon is evacuated as a **photon** in the fiber, with probability  $K$

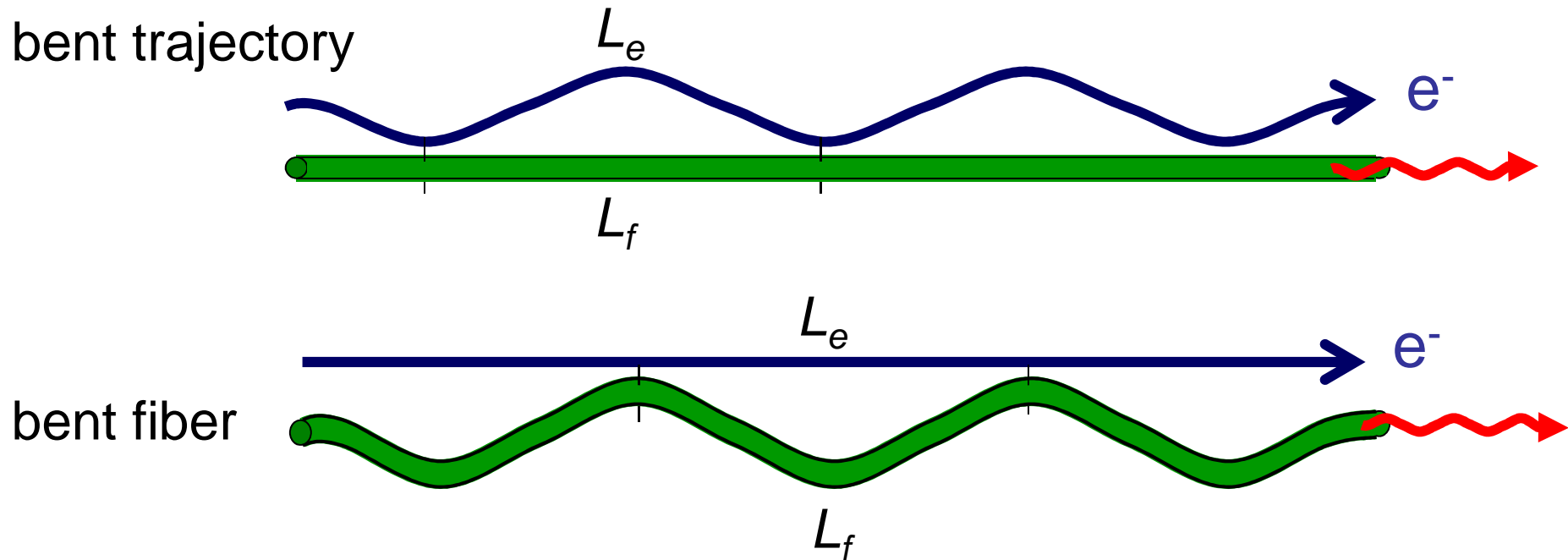
Number of photons :

$$N \sim K (2/137) \omega_{\text{plasmon}} R^3/v^2 b^2, \quad b < b_{\text{max}} \sim \gamma / \omega_{\text{pl}}$$

a prototype :



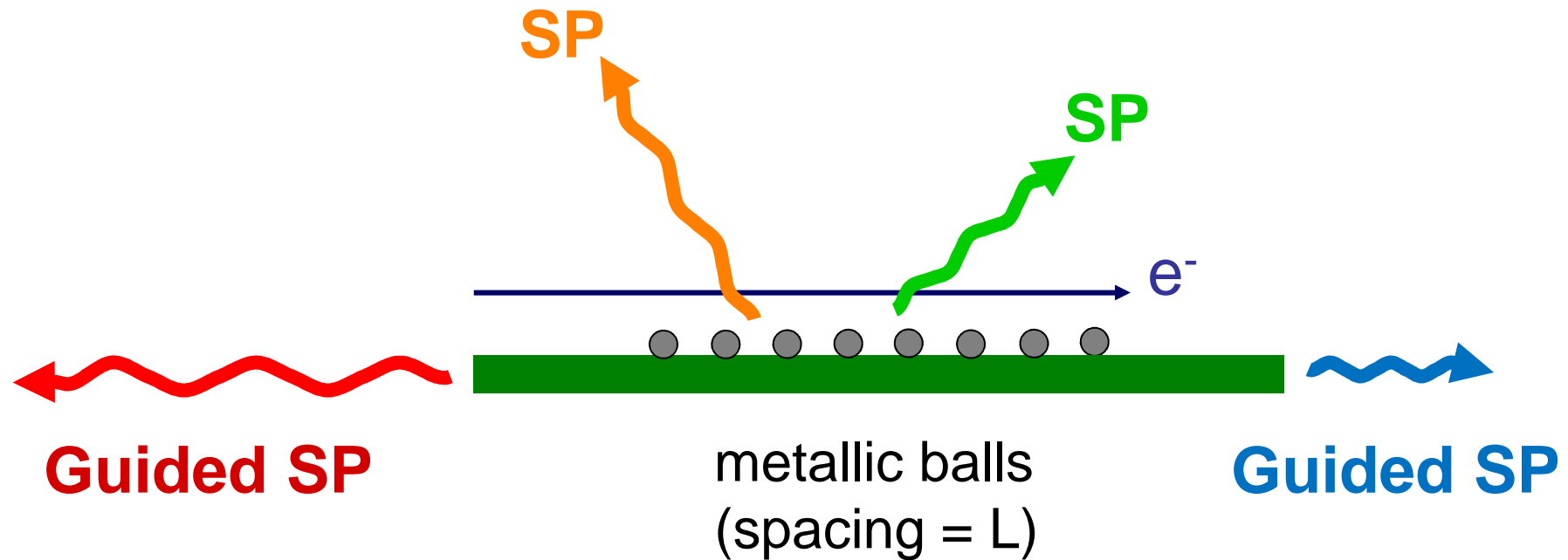
# Interference effects for type-I PIGL : the “*fiber undulator*”



Resonance condition:  $pL_f - \omega L_e / v = 2\pi \times \text{integer}$   
→ discrete spectrum



# Interference effects in type-II : the *guided Smith-Purcell* radiation

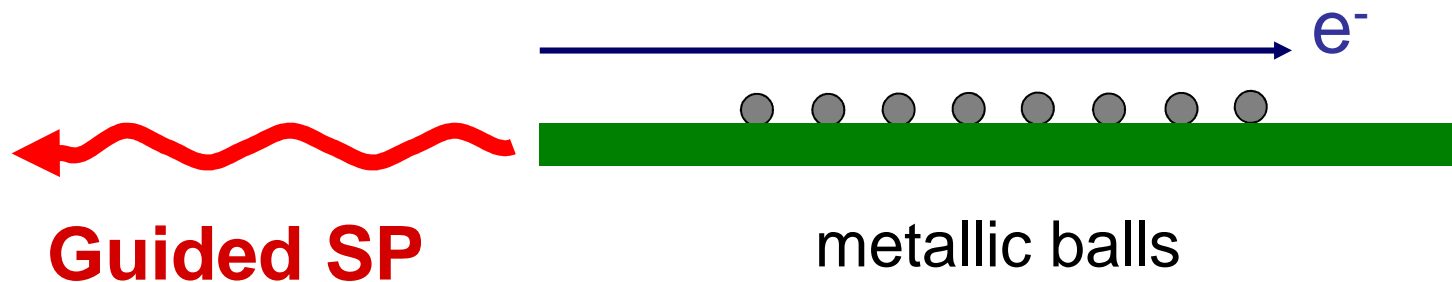


Resonance condition for Guided SP :

$$(p \pm \omega/v) L \equiv (1/v_{ph} \pm 1/v) \omega L = 2\pi \times \text{integer}$$

Intense Guided SP is expected if the plasmon frequency matches the resonance condition.

## *Guided Smith-Purcell* radiation (continued)



For small enough  $L$ , no external SP and only **backward** GSP is emitted at  $\omega = \omega_{pl}$   $\rightarrow$  **still more intense** GSP.

However GSP is reduced by **shadowing** [\*]: each ball **screens** the electron field for the following balls. A *dynamical* theory like in [\*\*] is needed.

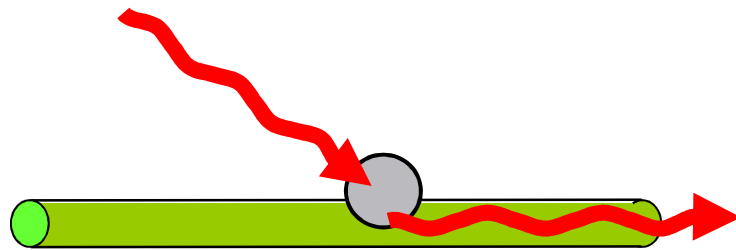
[\*] Shadowing was checked in Diffraction Radiation [Naumenko et al, *J. Phys. Conf. Series* 236, (2010)]

[\*\*] Garcia de Abajo, PRL 82 (1999) 2776 (Smith-Purcell on balls)

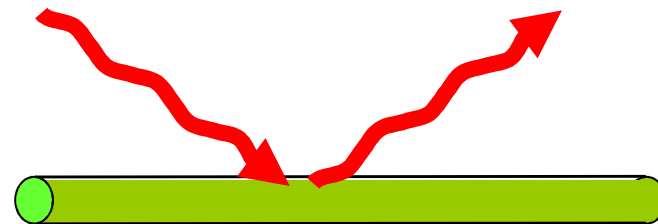
# Background of upstream radiation

A *PIGL* target of type-II (cut fiber, metallic balls) captures not only the virtual photons of the Coulomb field, but also **real photons**, like synchrotron radiation from upstream magnets. This background is the same as for Optical TR.

In the case of type-I, upstream radiation is not captured by the fiber, but only *scattered*. Type-I *PIGL* is a pure *near-field* sensor.



type-II



type-I

## Conclusion (1)

- **Particle-Induced Guided Light** may be used for beam diagnostics, more specially for microbeams. It possesses the flexibility of fiber optics and has little effect on the beam emittance.
- Type-I (at large  $\theta$ ) and type-II PIGL can measure the transverse beam profile. Type-I can even tell on which side of the fiber a microbeam passes by.
- At small crossing angle PIGL grows like  $\theta^{-1}$  and becomes monochromatic. The peak frequency depends on the beam velocity.

## Conclusion (2)

- For type-I PIGL there is no background from upstream radiation.
- In a monomode (or few mode) fiber, PIGL can take advantage of **interference effects**.

### ***Work to be done :***

- Calculate or measure the probability  **$K$**  that a fiber takes a plasmon off a metallic ball.
- Estimate the maximum beam flux that a fiber can sustain without melting.
- Make experiments.

Thanks for your attention!