

DIFFRACTION RADIATION FROM PERIODICAL STRUCTURES AS A SOURCE OF X-RAYS

A.A. Tishchenko, D.Yu. Sergeeva, M.N. Strikhanov

National Research Nuclear University «MEPhI», Moscow

UV AND X-RAY DR: FEATURES AND PARAMETERS

Spectral-angular distribution of Diffraction Radiation (DR)

$$\frac{dW(\mathbf{n}, \omega)}{d\Omega d\omega} \propto \exp\left\{-\frac{2\omega h}{c\beta\gamma}\right\} \quad 2\omega h < c\beta\gamma \quad \rightarrow \quad 4\pi h < \gamma\beta\lambda$$

$$|\varepsilon(\omega) - 1| < 1, \quad \omega > \omega_p$$

$$\begin{aligned} \hbar\omega_p = 30 \text{ eV} &\leftrightarrow \lambda = 50 \text{ nm} \\ h = 10 \mu\text{m} & \end{aligned} \quad \Rightarrow \quad \begin{cases} \lambda = 50 \text{ nm} \rightarrow E > 1.3 \text{ GeV} \\ \lambda = 7 \text{ nm} \rightarrow E > 10 \text{ GeV} \\ \lambda = 3.5 \text{ nm} \rightarrow E > 20 \text{ GeV} \end{cases}$$

“Water window” frequencies domain ?!

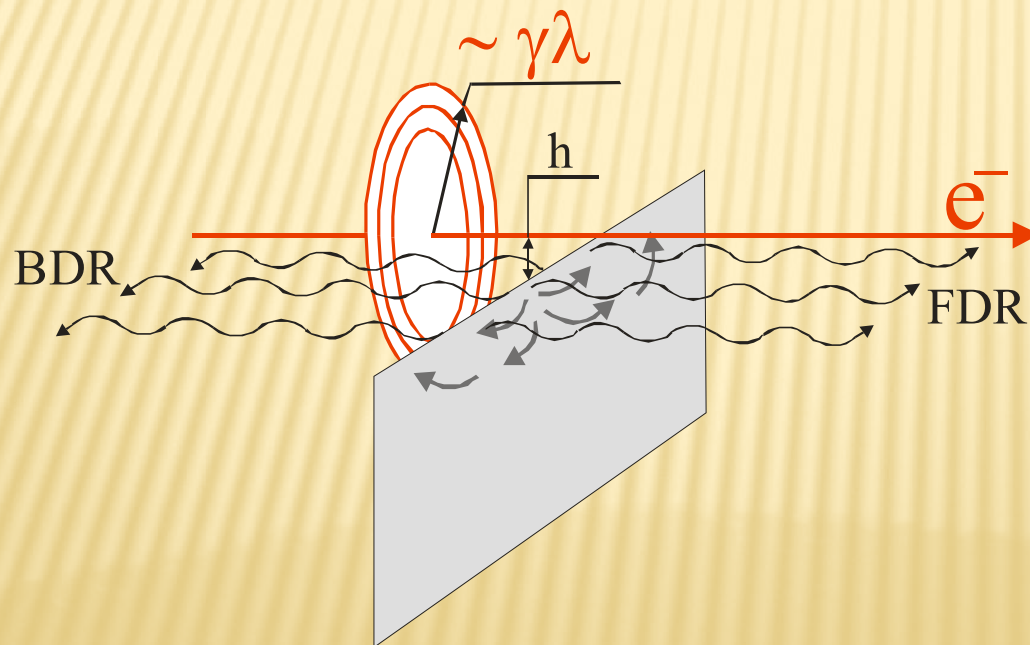
(most interest in the soft X-rays for practical applications in physics, biology and medicine)

$$\hbar\omega_p = 284 - 543 \text{ eV} \quad \leftrightarrow \quad \lambda = 4.47 - 2.36 \text{ nm}$$

GENERAL OF DIFFRACTION RADIATION

Scattering of the Coulomb field of a moving charged particle – diffraction of the moving particle Coulomb field – diffraction radiation

Dynamical polarization of a target material by the moving particle field – polarization radiation



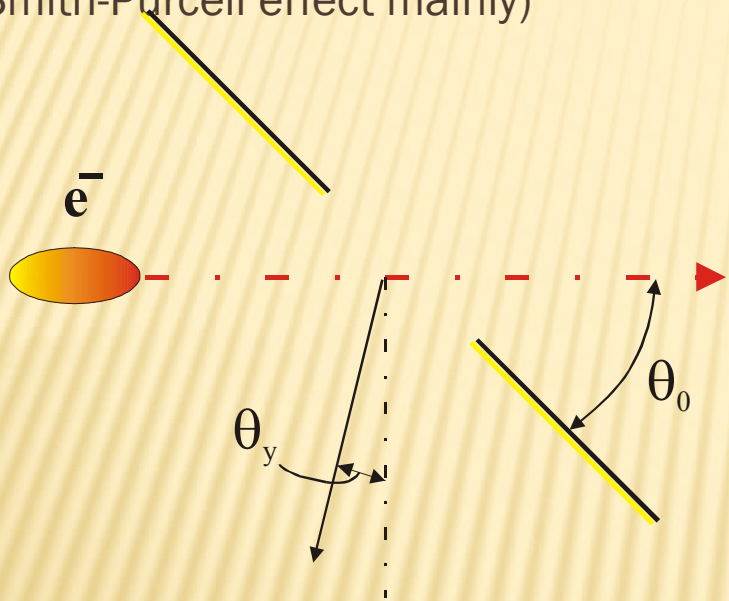
I.M. Frank,
S.J. Smith and H.M. Purcell,
V.L. Ginzburg,
M.L. Ter-Mikhaelyan

Vavilov-Cherenkov radiation,
Transition radiation,
X-ray parametric radiation,
Smith-Purcell radiation

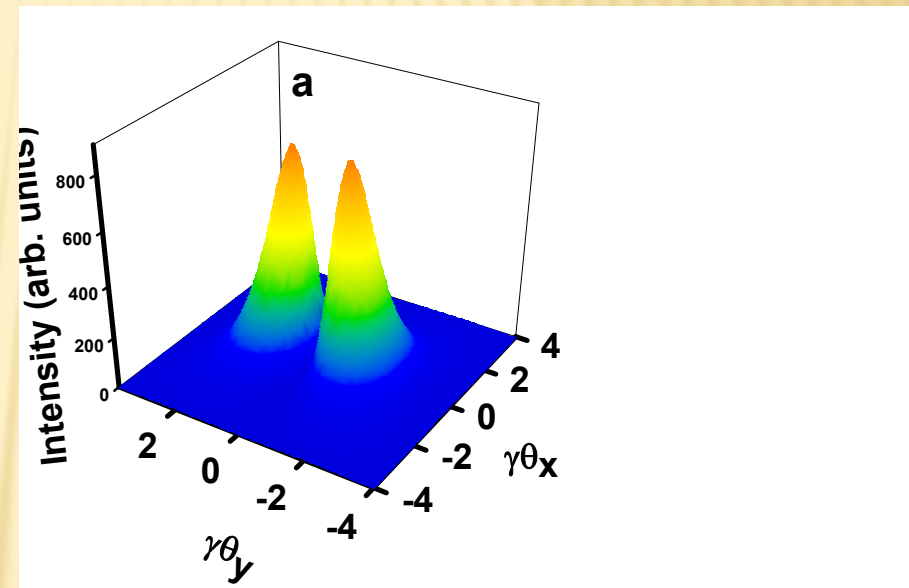
GENERAL OF DIFFRACTION RADIATION

DR is used for:

- Nondestructive diagnostics of charged particles bunches
- Source of radiation of electromagnetic waves in different ranges of frequencies (Smith-Purcell effect mainly)

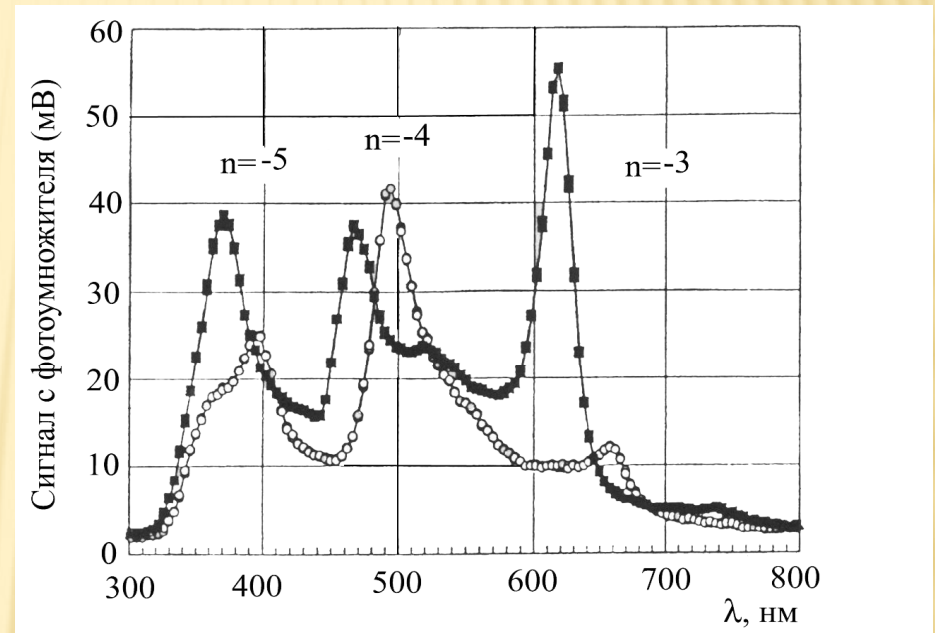
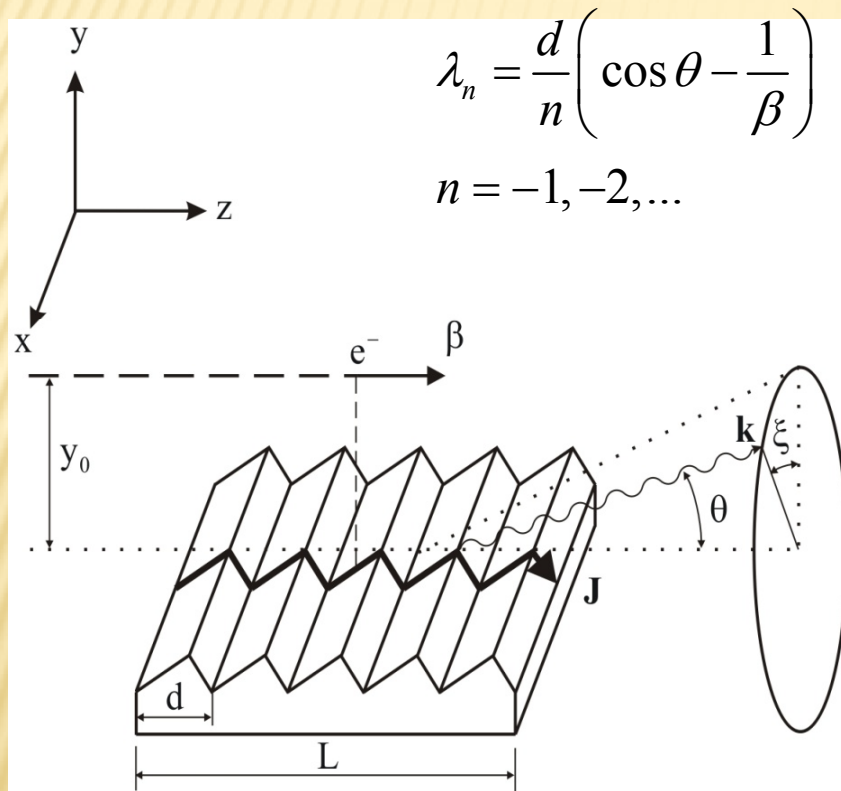


ODR



Diagnostics with DR is used today at KEK ATF (Japan), FLASH (Germany), Cornell (USA); The machine on DR diagnostics is being made at SLS (Switzerland); at SLAC (USA) used diagnostics on Smith-Purcell radiation.

SMITH-PURCELL RADIATION



Spectrum of SPR from the electrons with 20KeV (light circles) and 22,5 кэВ (black rectangles).

SPECIAL FEATURES OF X-RAY DIFFRACTION RADIATION

Optical schemes of diagnostics on TR and DR are restricted: wavelength is of the order of transversal bunch size.

For submicron diagnostics one need submicron (UV and X-ray) DR and SPR!

CLIC (CERN, Compact Multi-TeV Linear Collider), others?

UV and X-ray DR: the key theory problems is developed in

A.A. Tishchenko, A.P. Potylitsyn, M.N. Strikhanov,
Phys. Rev. E **70**, 066501 (2004);
NIMB **227**, 63 (2005).
Phys. Lett. A **359**, 509 (2006).

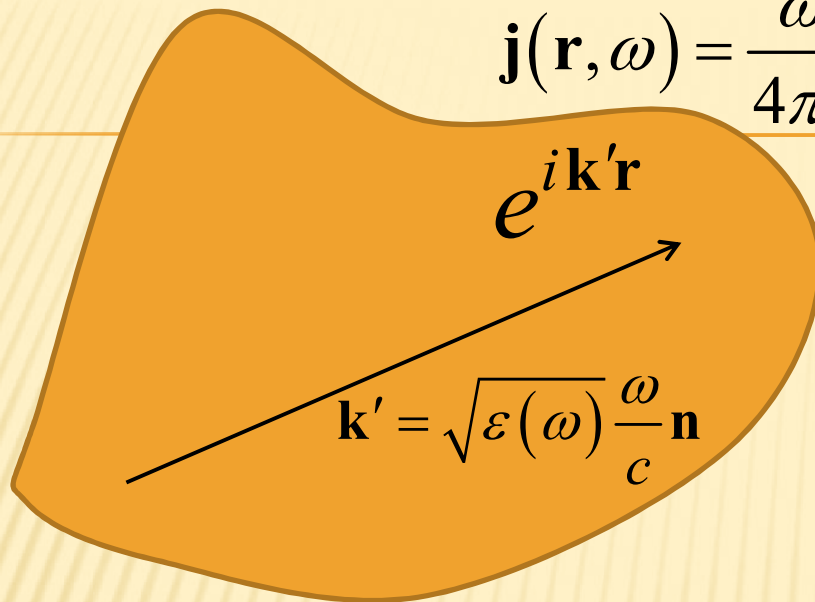
A.P. Potylitsyn, M.I. Ryazanov, M.N. Strikhanov, A.A. Tishchenko,
Diffraction Radiation from Relativistic Particles, Springer, 2010.

experiment?

P. Karataev, T. Lefevre et al,
UV/X-ray Diffraction Radiation for Non-intercepting Micron-Scale Beam Size Measurement,
RREPS-2011, London

L.Bobb, M.Billing, N. Chritin, P. Karataev and T. Lefevre,
UV/X-ray Diffraction Radiation for Non-intercepting Micron-Scale Beam Size Measurement,
Channeling-2012, Alghero - ?

$$\mathbf{j}(\mathbf{r}, \omega) = \frac{\omega}{4\pi i} (\varepsilon(\omega) - 1) \mathbf{E}^{act}(\mathbf{r}, \omega)$$



$$\mathbf{E}_{vac}^0(\mathbf{q}, \omega) = -\frac{ie}{2\pi^2} \frac{\mathbf{q} - \mathbf{v}\omega/c^2}{q^2 - \omega^2/c^2} \delta(\omega - \mathbf{q}\mathbf{v})$$

L. Durand, Phys. Rev. D **11**, 89 (1975).

$$E_i^r(\mathbf{r}, \omega) = \frac{\exp\{ikr\}}{r} \frac{i\omega}{c^2} (\delta_{is} - n_i n_s) \times \\ \times \int_V d^3r \exp\{-i\sqrt{\varepsilon(\omega)}\mathbf{k}\mathbf{r}\} j_s(\mathbf{r}, \omega)$$

+ reciprocity theorem - D. Karlovets, JETP **140**, 36 (2011).

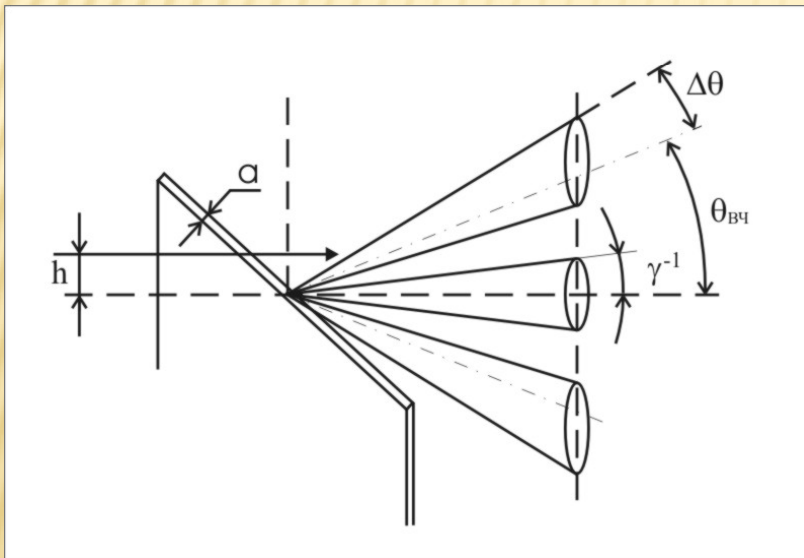
X-ray DR (... + absorption)

$$\frac{d^2W(\mathbf{n}, \omega)}{d\Omega d(\hbar\omega)} = \alpha \frac{|\chi|^2}{\pi^2} \frac{1 + 2\theta^2 \gamma^2 \sin^2 \phi}{1 + \theta^2 \gamma^2 \sin^2 \phi} \exp\left(-\frac{2\hbar\omega}{c\gamma} \sqrt{1 + \gamma^2 \theta^2 \sin^2 \phi}\right) \times$$

$$\varepsilon(\omega) = 1 + \chi'(\omega) + i\chi''(\omega)$$

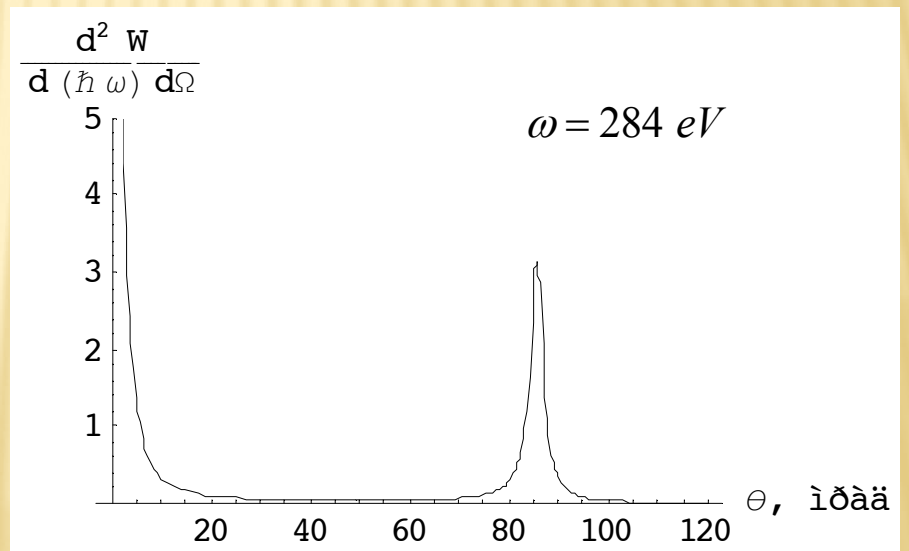
$$\chi'(\omega) = -\omega_p^2 / \omega^2 < 0 \quad \chi'(\omega) \square \chi''(\omega)$$

$$\times \frac{\left| 1 - \exp\left(-i \frac{a\omega}{2c} (\gamma^{-2} + \theta^2 - \chi')\right) \exp\left(-\frac{a\omega}{2c} \chi''\right) \right|^2}{(\gamma^{-2} + \theta^2) \left[(\gamma^{-2} + \theta^2 - \chi')^2 + \chi''^2 \right]}$$



$$\theta_{DH} = 0, \quad \Delta\theta = \gamma^{-1}$$

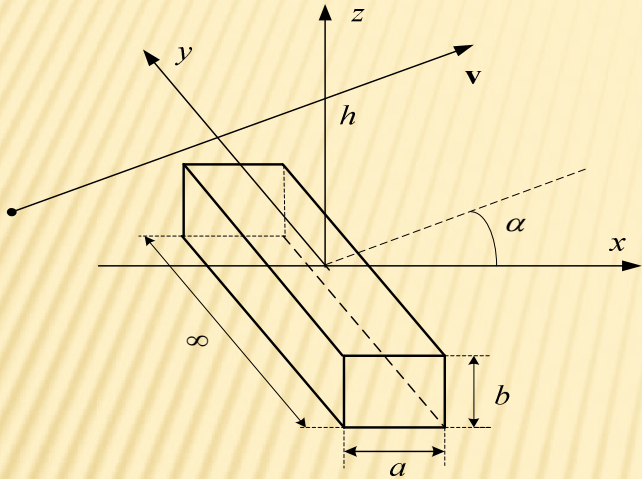
$$\theta_{B\chi} = \sqrt{\chi' - \gamma^{-2}}, \quad \Delta\theta = \chi'' / \sqrt{\chi' - \gamma^{-2}}$$



Angular distribution of DR for electrons with energy 5 GeV for carbon target

X-ray DR from periodical targets

Parametric DR и PXR, crystal target



$$\mathbf{E}_{DR+PDR}^r(\mathbf{r}, \omega) = \mathbf{E}_{DR}^r(\mathbf{r}, \omega) + \mathbf{E}_{PDR}^r(\mathbf{r}, \omega)$$

$$\varphi_{\mathbf{g}} = \frac{\omega - \mathbf{v} \cdot \mathbf{k}' + \mathbf{g} \cdot \mathbf{v}}{v_x}, \quad \rho_1^2 = \left[\frac{\omega - v_y (k'_y - g_y)}{v_x} \right]^2 + (k'_y - g_y)^2 - \varepsilon \omega^2 / c^2$$

$$\mathbf{A}_{\mathbf{g}} = \frac{\omega - v_y (k'_y - g_y)}{v_x} \mathbf{e}_x + (k'_y - g_y) \mathbf{e}_y - \mathbf{v} \omega / v^2$$

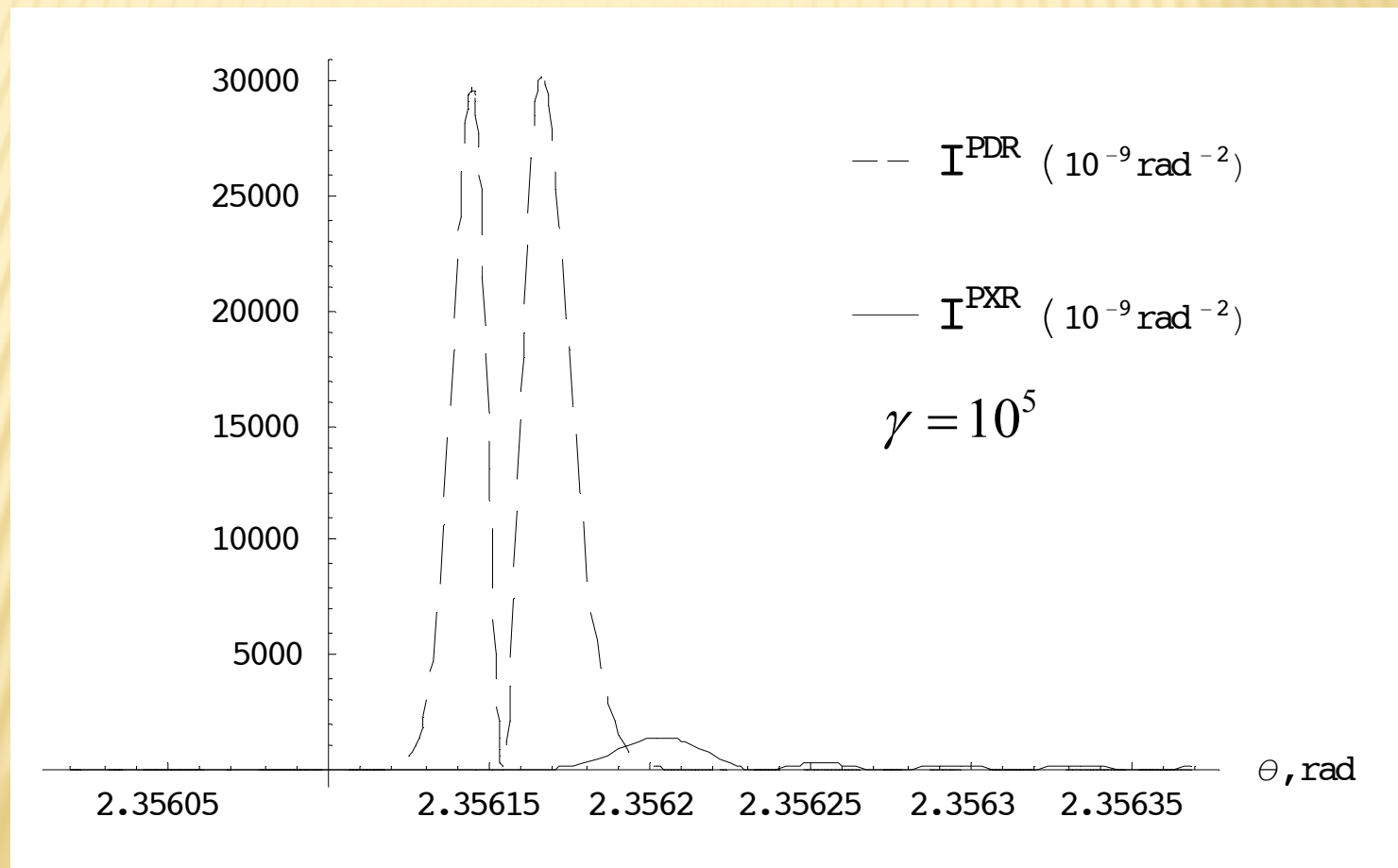
$$\mathbf{E}_{DR}^r(\mathbf{r}, \omega) = -\frac{e^{ikr}}{r} \frac{ie}{2\pi} \frac{1}{v_x} \frac{\omega_p^2}{\omega^2} \frac{\sin(a\varphi_0/2)}{\varphi_0} \exp(-h\rho_0) \mathbf{k} \times \mathbf{k} \times \left(\frac{\mathbf{A}_0}{\rho_0} - i\mathbf{e}_z \right) \frac{1 - \exp(-b\rho_0) \exp(ibk'_z)}{\rho_0 - ik'_z}$$

$$\mathbf{E}_{PDR}^r(\mathbf{r}, \omega) = -\frac{e^{ikr}}{r} \frac{ie}{2\pi} \frac{1}{v_x} \sum_{\mathbf{g} \neq 0} \chi_{\mathbf{g}} \frac{\sin(a\varphi_{\mathbf{g}}/2)}{\varphi_{\mathbf{g}}} \times$$

$$\times \exp(-h\rho_2) \mathbf{k} \times \mathbf{k} \times \left(\frac{\mathbf{A}_{\mathbf{g}}}{\rho_2} - i\mathbf{e}_z \right) \frac{1 - \exp(-b\rho_1) \exp(ib(k'_z - g_z))}{\rho_1 - i(k'_z - g_z)}$$

X-ray DR from periodical targets

Parametric DR и PXR, crystal target



UV and X-ray Smith-Purcell radiation

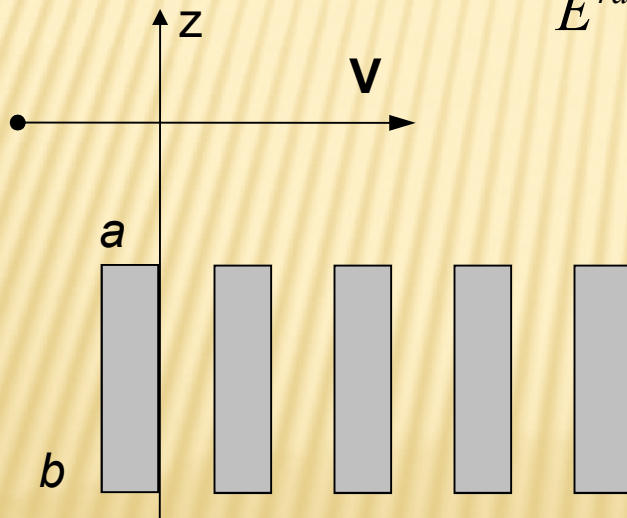
M.J. Moran,
X-ray generation by the Smith-Purcell effect,
 Phys. Rev. Lett. **69**, 2523 (1992).

opaque screen in X-rays ??

J.C. McDaniel, D.B. Chang, J.E. Drummond, W.W. Salisbury,
Smith-Purcell radiation in the high conductivity and plasma frequency limits,
 Applied Optics **28**, 4924 (1989).

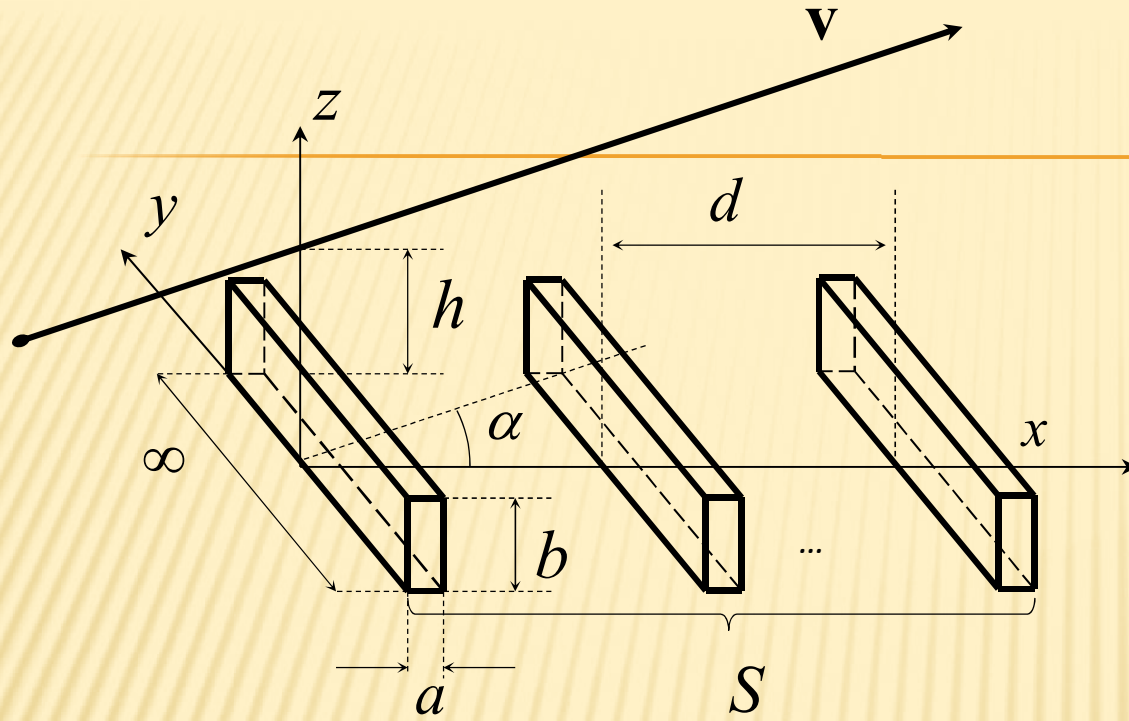
$\beta \ll 1$ but

$$E^{rad} \propto \exp\left\{-\frac{h\omega}{c\beta\gamma}\right\}, \text{ at } \beta \ll 1 \Rightarrow h = \lambda ??$$



UV and X-ray DR: the key theory
 problems is developed in

A.P. Potylitsyn, M.I. Ryazanov, M.N.
 Strikhanov, A.A. Tishchenko,
*Diffraction Radiation from
 Relativistic Particles*, Springer, 2010



$$\rho_0^2 = \left(\frac{\omega - v_y k'_y}{v_x} \right)^2 + k'_y{}^2 - \omega^2/c^2$$

$$\varphi = \frac{\omega - \mathbf{v}\mathbf{k}'}{v_x},$$

$$\mathbf{A} = \frac{\omega - v_y k'_y}{v_x} \mathbf{e}_x + k'_y \mathbf{e}_y - \mathbf{v}\omega/v^2$$

$$I^{SPR}(\mathbf{n}, \omega) = \frac{e^2}{c\hbar} \left(\frac{\omega_p^2}{2\pi\omega^2} \right)^2 F_b F_S \frac{\sin^2(\varphi a/2) \exp(-2h\rho)}{(c/\omega)^2 (\rho^2 + k'_z{}^2)} \frac{1 - n_z^2 + [A^2 - (\mathbf{A}\mathbf{n})^2]/\rho^2}{(1 - \mathbf{n}\mathbf{v}\sqrt{\epsilon}/c)^2}$$

$$F_b(b, \omega) = 1 - 2 \exp(-b\rho) \cos(bk'_z) + \exp(-2b\rho)$$

$$F_S = \frac{\sin^2\left(\frac{Sd}{2} \frac{\omega - \mathbf{v}\mathbf{k}}{v_x}\right)}{\sin^2\left(\frac{d}{2} \frac{\omega - \mathbf{v}\mathbf{k}}{v_x}\right)} \xrightarrow{S \gg 1} 2\pi S \sum_m \delta\left(d \frac{\omega - \mathbf{v}\mathbf{k}}{v_x} - 2\pi m\right)$$

UV and X-ray Smith-Purcell Radiation

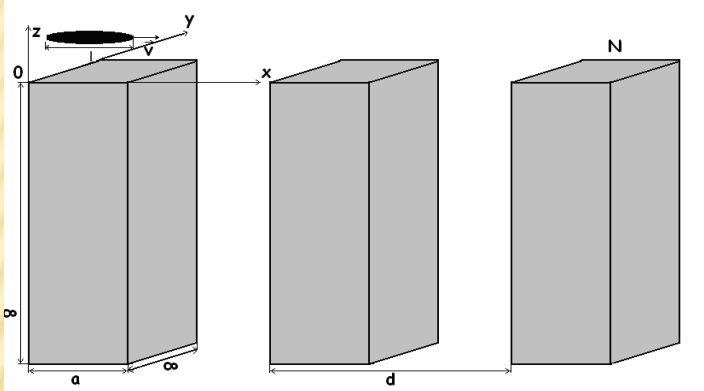
$$\frac{d^2 E(\mathbf{n}, \omega)}{d\Omega d(\hbar\omega)} \propto \frac{1}{(1 - \sqrt{\epsilon} \beta \cos \theta)^2} \exp\left\{-\frac{2h\omega}{c\gamma}\right\} \sum_m \delta\left(\frac{d\omega}{v}(1 - \beta \cos \theta) - 2\pi m\right)$$

$$\beta^{-1} - \cos \theta = \frac{\lambda m}{d}$$

There are two effective frequencies

$$\omega_c = c\gamma/h \quad \omega_c = \gamma\omega_p$$

Coherent and incoherent X-ray DR



$$\frac{dW_s(\mathbf{n}, \omega)}{d\Omega d\omega} = \frac{dW_1(\mathbf{n}, \omega)}{d\Omega d\omega} \langle F_s \rangle$$

$$\frac{dW_1(\mathbf{n}, \omega)}{d\Omega d\omega} = \left(\frac{\varepsilon(\omega) - 1}{v\varphi} \right)^2 \frac{ce^2}{(4\pi)^2} \frac{\sin^2\left(\frac{Sd\varphi}{2}\right)}{\sin^2\left(\frac{d\varphi}{2}\right)} (2 - 2\cos(a\varphi)) \frac{\varepsilon k^2 \cos^2 \theta \sin^2 \theta}{\left(\frac{1}{\gamma^2 \beta^2} + \varepsilon \sin^2 \theta \right)^2} e^{-\frac{2\omega}{c\beta\gamma}h}$$

$$\langle F_N \rangle = N \frac{c\beta\gamma}{\omega r_0} I_1\left(\frac{2\omega}{c\beta\gamma} r_0\right) + N(N-1) \frac{16}{r_0^2 l^2} \frac{v^4 \gamma^2}{\omega^4} \sin^2\left(\frac{\omega}{2v} l\right) I_1^2\left(\frac{\omega}{c\beta\gamma} r_0\right)$$

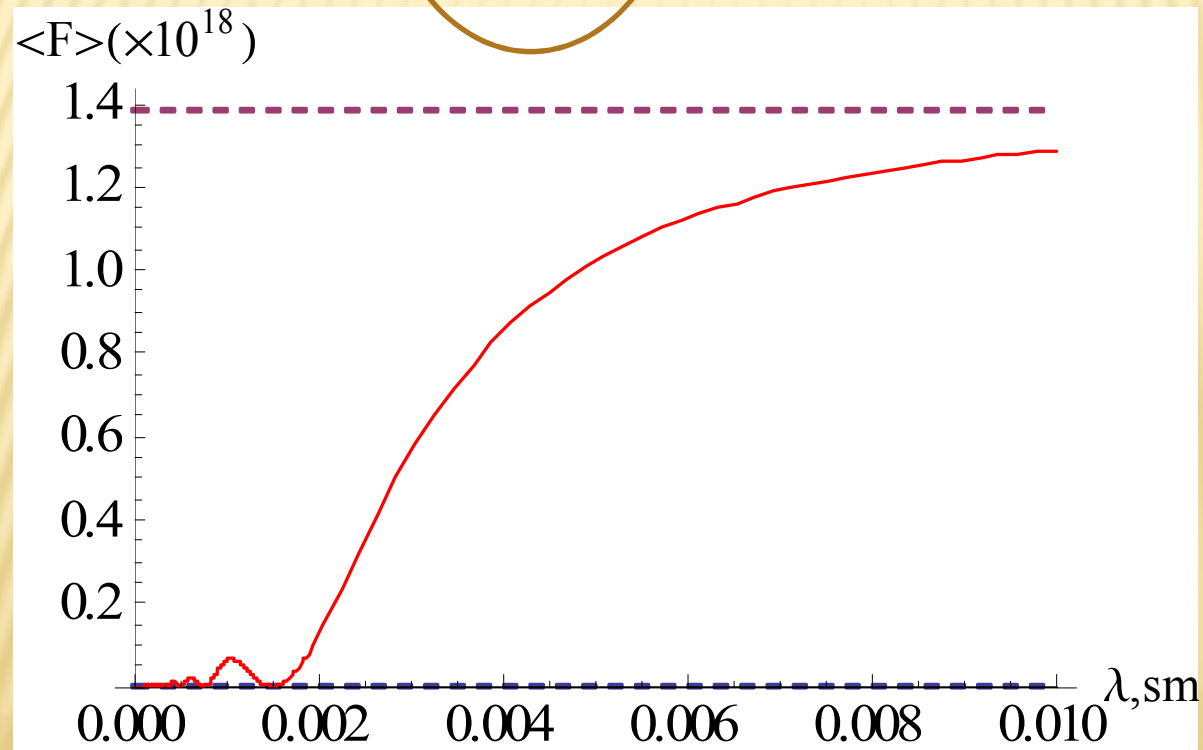
where

$$\xi = \frac{\omega}{v} \quad \mathbf{k}' = \mathbf{k} \sqrt{\varepsilon(\omega)} = \frac{\omega}{c} \sqrt{\varepsilon(\omega)} \mathbf{n} \quad \varphi = \xi - k \sqrt{\varepsilon(\omega)} \cos \theta \quad \rho^2 = \frac{\omega^2}{v^2} \left(1 - \frac{v^2}{c^2} \right) = \left(\frac{\omega}{c\gamma\beta} \right)^2$$

Coherent and incoherent X-ray DR

Form-factor (incoherent radiation + coherent)

$$\langle F \rangle = N \frac{2I_1\left(\frac{2\omega}{c\beta\gamma}r_0\right)}{\left(\frac{2\omega}{c\beta\gamma}r_0\right)} + 4N(N-1) \frac{\sin^2\left(\frac{\omega}{2\nu}l\right)}{\left(\frac{\omega}{2\nu}l\right)^2} \frac{I_1^2\left(\frac{\omega}{c\beta\gamma}r_0\right)}{\left(\frac{\omega}{c\beta\gamma}r_0\right)^2}$$

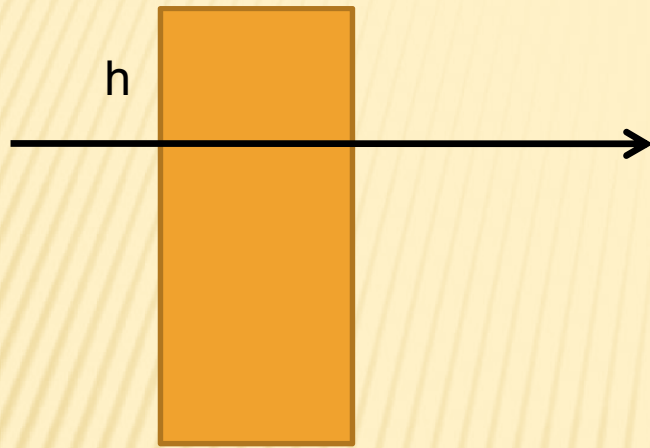


$$\gamma = 10^5$$

$$r_0 = 5 \cdot 10^{-4} \text{ sm}$$

$$l = 100r_0$$

Coherent and incoherent X-ray polarization radiation



Bunch moves
under
the target surface

$$\left\langle \frac{dW(\mathbf{n}, \omega)}{d\Omega d\omega} \right\rangle = \frac{c^2 (\varepsilon - 1)^2}{v^2 \omega^2 \left(\frac{1}{\beta} - \sqrt{\varepsilon - \sin^2 \Theta} \right)^2} \frac{ce^2}{(4\pi)^2} (2 - 2 \cos(a\varphi')) \langle F \rangle$$

$$\varphi' = \frac{\omega}{c} \left(\frac{1}{\beta} - \sqrt{\varepsilon - \sin^2 \Theta} \right)$$

$$\langle F \rangle = \frac{1}{\beta^4 (1 - \beta^2 \cos^2 \Theta)^2} \left[\begin{aligned} & N \left[4Q'_1 + 2e^{-\frac{2\omega h}{c\beta\gamma}} Q'_2 \frac{I_1\left(\frac{2\omega r_0}{c\beta\gamma}\right)}{\frac{2\omega r_0}{c\beta\gamma}} - \right. \\ & - 4e^{-h\frac{\omega}{c\beta\gamma}} \left(\frac{I_1(Z'_+)}{Z'_+} + \frac{I_1(Z'_-)}{Z'_-} \right) [Q'_1 \cos(kh \sin \Theta) - Q'_4 \sin(kh \sin \Theta)] - \\ & \left. - 4e^{-h\frac{\omega}{c\beta\gamma}} \left(\frac{I_1(Z'_-)}{Z'_-} - \frac{I_1(Z'_+)}{Z'_+} \right) [Q'_4 \cos(kh \sin \Theta) + Q'_1 \sin(kh \sin \Theta)] \right] + \\ & + 4N(N-1) \frac{\sin^2\left(\frac{\omega l}{2v}\right)}{\left(\frac{\omega l}{2v}\right)^2} \times \left(4Q'_1 \frac{J_1^2(kr_0 \sin \Theta)}{k^2 r_0^2 \sin^2 \Theta} + Q'_2 e^{-2\frac{\omega h}{c\beta\gamma}} \frac{I_1^2\left(\frac{\omega r_0}{c\beta\gamma}\right)}{\left(\frac{\omega r_0}{c\beta\gamma}\right)^2} - \right. \\ & \left. - 4e^{-h\rho} (Q'_1 \cos(kh \sin \Theta) + Q'_4 \sin(kh \sin \Theta)) \frac{I_1\left(\frac{\omega r_0}{c\beta\gamma}\right)}{\left(\frac{\omega r_0}{c\beta\gamma}\right)} \frac{J_1(kr_0 \sin \Theta)}{kr_0 \sin \Theta} \right) \end{aligned} \right]$$

$$Q_1' = \frac{\sin^2 \Theta}{\varepsilon} \frac{\omega^2}{c^2} \frac{1}{\beta^2} \left(1 - \beta^2 - \beta \sqrt{\varepsilon - \sin^2 \Theta} \right)^2$$

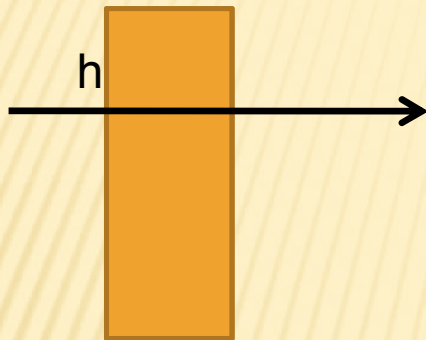
$$Q_2' = \frac{1}{\varepsilon} \frac{\omega^2}{c^2} \left(\varepsilon - \beta^2 \sin^2 \Theta \right) \left(1 - \beta^2 + \sin^2 \Theta \right)$$

$$Q_4' = \frac{1}{\varepsilon} \frac{1}{\beta^2} \sin \Theta \frac{\omega^2}{c^2} \frac{1}{\gamma} \left(\sqrt{\varepsilon - \sin^2 \Theta} + \beta \sin^2 \Theta \right) \left(\beta \sqrt{\varepsilon - \sin^2 \Theta} - 1 + \beta^2 \right)$$

$$Z_+ = \frac{\omega r_0}{c \beta \gamma} + i k r_0 \sin \Theta$$

$$Z_- = \frac{\omega r_0}{c \beta \gamma} - i k r_0 \sin \Theta$$

Coherent and incoherent X-ray polarization radiation



$$h \rightarrow +\infty$$

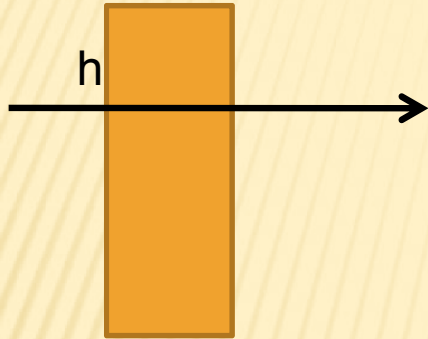
X-ray Transition Radiation

$$\left\langle \frac{dW(\mathbf{n}, \omega)}{d\Omega d\omega} \right\rangle = \frac{(\varepsilon(\omega) - 1)^2}{c(1 - \beta\sqrt{\varepsilon - \sin^2 \Theta})^2} \frac{e^2 \beta^2 \sin^2 \Theta (1 - \beta^2 - \beta\sqrt{\varepsilon - \sin^2 \Theta})^2}{4\pi^2 (1 - \beta^2 + \beta^2 \sin^2 \Theta)^2} \times$$

$$\times \left[N + 4N(N-1) \frac{\sin^2\left(\frac{\omega l}{2v}\right) J_1^2(kr_0 \sin \Theta)}{\left(\frac{\omega l}{2v}\right)^2 k^2 r_0^2 \sin^2 \Theta} \right]$$

G.M. Garibian and Y. Shi,
X-ray Transition Radiation,
1983

Coherent and incoherent X-ray polarization radiation



$$N = 1, \quad r_0 \rightarrow 0$$

One particle,
TR near edge of
the target

$$\left\langle \frac{dW(\mathbf{n}, \omega)}{d\Omega d\omega} \right\rangle = \frac{c^2 (\varepsilon - 1)^2}{v^2 \omega^2 \left(\frac{1}{\beta} - \sqrt{\varepsilon - \sin^2 \Theta} \right)^2} \frac{ce^2}{(4\pi)^2} (2 - 2 \cos(a\varphi')) \langle F \rangle$$

$$\langle F \rangle = \frac{k^4}{(\rho^2 + \varepsilon k^2 \sin^2 \theta)^2} \left[4Q_1 + e^{\frac{2\omega h}{c\beta\gamma}} Q_2 - e^{-\frac{h\omega}{c\beta\gamma}} \left[Q_1 \cos(\sqrt{\varepsilon} kh \sin \theta) - Q_4 \sin(\sqrt{\varepsilon} kh \sin \theta) \right] \right]$$

A.A. Tishchenko, M.N.
Strikhanov, A.P. Potylitsyn,
NIMB **227**, 63 (2005).

$$Q_1 = Q_3 = A^2 \sin^2 \theta + \varepsilon k^2 \sin^2 \theta \cos^2 \theta - 2Ak\sqrt{\varepsilon} \sin^2 \theta \cos \theta$$

$$Q_2 = A^2 \sin^2 \theta + \rho^2 \cos^2 \theta + \varepsilon k^2 \sin^4 \theta \frac{A^2}{\rho^2} + \varepsilon k^2 \sin^2 \theta \cos^2 \theta$$

$$Q_4 = \sqrt{\varepsilon} k \rho \sin \theta \cos^2 \theta - \sqrt{\varepsilon} k \frac{A^2}{\rho} \sin^3 \theta + \varepsilon k^2 \frac{A}{\rho} \sin^3 \theta \cos \theta - \rho A \sin \theta \cos \theta$$

Discussion

$$\frac{dW_S(\mathbf{n}, \omega)}{d\Omega d\omega} = \frac{dW_1(\mathbf{n}, \omega)}{d\Omega d\omega} \langle F_N \rangle$$

$$\langle F_N \rangle = NG_{incoh} + N(N-1)G_{coh}$$

Incoherent form-factor in X-ray polarization radiation?!

Yes, when near the target edge (diffraction radiation).

Usually

$$\langle F_N \rangle = N + N(N-1)G$$

$$G = \iint d^3r d^3r' f_2(\mathbf{r}, \mathbf{r}') \exp(i\xi\mathbf{r})$$

$$\mathbf{E}_N = \mathbf{E}_1 \sum_{n=1}^N \exp(i\psi_n)$$

$$|\mathbf{E}|^2 = \sum_i E_i E_i^* + \sum_i \sum_{j \neq i} E_i E_j^*$$

$$\langle F_N \rangle = N G_{incoh} + N(N-1) G_{coh}$$

if ψ_n is real, $|\exp(i\psi_n)| = 1 \Rightarrow G_{incoh} = 1$

Is ψ_n always real? No!

$$\mathbf{E}(\mathbf{r}, \omega) = -\frac{e(\varepsilon(\omega)-1)}{4\pi} \frac{1}{v_x} \frac{e^{ikr}}{r} \left(\frac{e^{ia\varphi} - 1}{\varphi} \right) \left(\frac{1}{\rho - ik_z} \right) \frac{\omega^2}{c^2} \left[\mathbf{n} \left[\mathbf{n} \left(\frac{\mathbf{A}}{\rho} - i\mathbf{e}_z \right) \right] \right] \sum_{m=1}^M e^{-i\xi x_m} e^{-ik_y y_m} e^{-\rho z_m}$$

$$\rho^2 = (\xi)^2 + (k_y)^2 - \left(\frac{\omega}{c} \right)^2$$

$$\xi = \frac{\omega - k_y v_y}{v_x}$$

Coulomb field,
decreasing +
target edge

**Thank you
for your attention!**