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Non-evaporable getter (NEG) technology for HV and UHV applications

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OUTLINE

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- 03. NEG pumps: Key features Main models
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- 05. Use of NEG pumps: Reactivation and outgassing Procedures Particle release Pump-down with no auxiliary pumps
- 06. Application of NEG pumps



SAES Group and the High Vacuum Division



SAES® Core Business

SAES[®] is an **advanced functional materials** Group, focusing its business on the development and production of proprietary and specifically engineered solution (components and systems) for many industrial and scientific applications.

- Getters components and pumps to guarantee high and Ultra-High Vacuum conditions in a variety of applications such as :
 - >Particle Accelerators and High Energy Labs
 - >Analytical Instrumentation
 - >Processing Tools for semiconductor
 - >Vacuum Systems
 - >Consumer electronics
- Shape memory components and systems like SMA wires , springs, actuators and valves for consumer electronics, automotive and white goods industries
- Functional composites and coated films to protect goods for the packaging industry







Our Research and Innovation

A high percentage of revenues (7-10%) allocated to R&D every year

- State-of-the-art corporate laboratories covering a surface of over 3,300 sqm
- More than 150 highly skilled people engaged in R&D activities world-wide. Almost 17% of the total workforce of the Group, about 50% are graduated (mainly in Physics, Chemistry, Engineering and Material Science) -> 20% of graduated are PhD
- 233 Scientific Papers and Conference Proceeding published in the last 20 years
- Strong cooperation with Universities and R&D centers.







SAES Group worldwide coverage





SAES Divisional Organization

SAES Group is organised in 4 Divisions, each one leveraging on specific technologies and product families and addressing dedicated market segments.

	SAES Industrial	SAES High Vacuum	SAES Chemicals	SAES Packaging
Products	Getters SMA wires & devices	Getter pumps Vacuum chambers Vacuum instrumentation	Zeolites Glues Pastes Dryers	High-barrier coatings Lacquers Barrier polymers & materials
Markets	Space & Defence Telecom Semiconductors Automotive Appliances	Scientific instrumentation Particle accelerators Research laboratories	Mobile telecom Display industry Cosmetics	Food packaging



High Vacuum Division: 4 pillars

- 1970-2024 : Pumps and getter solutions per high and ultra-high vacuum for accelerators, synchrotrons, research and industry.
- 2015: Vacuum chambers and components for the synchrotron ring through SAES RIAL Vacuum (Parma)
- 2021: vacuum chambers, monochromators, mirror systems, front end, beamlines and scientific instrumentations for synchrotrons through **Strumenti Scientifici Cinel** (Vigonza).
- 2024: vacuum chambers and scientific instrumentations through **FMB** (Berlin)

With 5 manufacturing sites (Parma, Vigonza, Lainate, Avezzano, Berlin) and 130 headcounts, the High Vacuum Division is well positioned to provide integrated solutions to new accelerator facilities.







WHAT IS A NON-EVAPORABLE GETTER (NEG): main chemico-physical properties



Main characteristics of a getter

- Getters are solid materials able to pump chemically-active gases (H₂O, CO, CO₂, O₂, N₂) through the formation of stable chemical bounds on their surface
- This reaction generates chemical compounds (carbides, nitrides, oxides) on the getter surface: gases are **permanently** removed from the vacuum system
- Unlike other gases, hydrogen cannot react and produce chemical compounds, but it can diffuse inside the bulk, where it forms a **solid solution**
- Due to their nature, noble gases cannot react with a getter surface. Methane also cannot be pumped by a getter
- To be able to provide adsorption on its surface, a getter should have an active surface layer with free sites available to form bonds with gas molecules; by adsorbing particles on its surface the number of vacant sites decreases, until a **reactivation** of the material becomes necessary



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Families of getters

Getters can be of two types, according to the method adopted to achieve their activation:

> evaporable getters are obtained by in-vacuum sublimation and deposition of a fresh getter film on a metallic surface

> the active surface of a *non-evaporable getter* (NEG) is obtained by thermal diffusion of the surface oxide layer, which contains adsorbed gas molecules, into the bulk of the material itself



GETTER MANUFACTURING PROCESS







SINTERED GETTER CONFIGURATIONS

Sintering is a high-temperature process carried out in high vacuum. During sintering, adjacent grains bond together by surface melting, creating a **single network** not prone to release particles.



Highly-porous sintered NEG

PRINCIPLES OF NEG OPERATION

- NEGs need to be heated <u>under vacuum</u>: **ACTIVATION**
 - Moderate activation temperature : **400–500** °C
 - Short time: **≈ 60 min**
- After activation, the pump sorbs gases at room temperature without requiring power (**surface adsorption**).
- When the surface capacity is reached (or after a venting), the pump must be reactivated. This can be done many times (>100).



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NEG activation and sorption mechanisms



NEG ACTIVATION PROCESS

Activation:

• Diffusion of surface protective layer

Diffusion phenomena:

- Depend exponentially on temperature: D=D_oexp(-E/KT)
- Depend on the square root of time

Thus, the same effect can be obtained with the increase of temperature or with the increase of time :

e.g. 450°C x 1 h ≈ 400°C x 4 h ≈ 350°C x 24 h

In some situations, it is not possible to achieve 100% activation efficiency due to time or temperature constraints.

A "partial" activation can however be sufficient in some cases.





NEG activation and sorption mechanisms





Why NEGs are so effective for H₂: Sieverts' law

 H_2 goes in solid solution in the getter lattice. Equilibrium is established between the H_2 concentration in the getter volume and the partial pressure of H_2 in the gas phase. The equilibrium depends on temperature:

logP=A+2logQ-B/T

P= H_2 equilibrium pressure Q= H_2 concentration T= Getter temperature (K) A, B = Sieverts' parameters

The process is <u>reversible</u>: H_2 is preferentially sorbed or emitted depending on temperature.





Question I (sorption mechanisms)

What happens to CO, H_2O , CO_2 or N_2 molecules when they impinge on a freshly activated NEG pump?

- 1. They react chemically and form stable bonds in the bulk of the NEG material
- 2. They have a certain probability to react chemically and form stable bonds in the bulk of the NEG material
- 3. They have a certain probability to react chemically and form stable bonds on the surface of the NEG material
 - 4. They react chemically and form stable bonds on the surface of the NEG material



NEG PUMPS: key features



Pressure ranges of vacuum pumps





From NEG to NEG pumps: key features

NEG pumps are extremely suitable for UHV applications as they provide :

- very large pumping speed -> better vacuum level
- **compact and light package** -> miniaturization and compactness
- high trapping efficiency for H₂ (they main UHV-XHV residual gas)
- powerless operation
- vibration free operation
- reliability in case of power outage as vacuum will be preserved by NEG
- no maintenance
- negligible magnetic interference
- best vacuum on earth (10⁻¹² Pa, Benvenuti et al.)



NEG pumps are a smart choice in those applications where light weight, compact package, high pumping speed, no vibration, no magnetism and reduced power consumption are the key. NEG pumps do not sorb noble gases, thus they are generally used in combination with TMP, Cryo or SIP.



TYPICAL SORPTION CURVES FOR NEGS



NEG PUMPS OVERVIEW: from alloys to main models



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NEG ALLOYS AND PUMPS OVERVIEW



SAES Group and the High Vacuum Division

The CapaciTorr[®] family: D NEG pumps

- Made of sintered, highly-porous St172[™] NEG disks, which ensure high pumping speeds and sorption capacity in a small space.
- 7 models are available, with H₂ pumping speeds ranging between 50 l/s and 3500 l/s.





The CapaciTorr[®] family: characteristics

- very compact and light weight
- no vibrations
- no need for electric power, except during activation
- no magnetic interference
- work in UHV conditions

CapaciTorr[®] D2000 :

- 1. NEG disks
- 2. Heater
- 3. Electrical feedthrough







ZAO®: another family of NEG materials

ZAO[®], a patented and relatively new (2014) alloy, made of Zr-V-Ti-Al, has got the following advantages:

- a lower hydrogen equilibrium pressure, even at high temperature;
- a larger capacity for every active gas;
- the ability to undergo several reactivation cycles, without compromising the performance of the material;
- better mechanical properties: disks are intrinsically more resistant, also from the H₂ embrittlement point of view.

ZAO® UHV disks

- high pumping speed for H₂ and all active gases
- strong mechanical properties
- extremely low particle emission

ZAO[®] HV disks

- ability to pump large gas quantities
- ability to work at ~200 °C
- strong mechanical properties
- extremely low particle emission



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The CapaciTorr[®] family: Z NEG pumps

These UHV pumps are made of sintered, highly-porous ZAO[®] NEG disks, which ensure:

- lower particulate emission than St172[™] disks
- higher pumping speed for hydrogen





High Vacuum applications

Lots of vacuum systems operate in HV:

- Analytical equipment : mass spectrometers, gas analyzers, focused ion beam systems...
- Medical accelerators for cancer therapy, cyclotrons and a variety of industrial accelerators used for material irradiation and surface engineering
- High-energy physics accelerators (e.g., some FELs, LINACs, boosters, neutron spallation sources, Beam lines) or large physics experiments (e.g., VIRGO for gravitational waves)
- Semiconductor processing equipment (PVD, CVD, ion implantation...)



NEG pumps in UHV vs HV

Gas to sorb : CO at 10⁻¹⁰ mbar

- Average pumping speed : 100 l/s
- Pump capacity (CO) : 1,2 mbar l
- CO sorbed in 1 year: 100l/s*10⁻¹⁰mbar*(365*24*3600s)=0,37 mbar l
- Time between reactivations: 1,2 mbarl/0,37 mbar l = **1100 days (≈3 years)!!**

□ Gas to sorb : CO at 10⁻⁸ mbar

- Average pumping speed : 100 l/s
- Pump capacity (CO) : 1,2 mbar l
- CO sorbed in 1 year: 100l/s*10⁻⁸mbar*(365*24*3600s)=37 mbar l
- Time between reactivations: 1,2 mbar l/37 mbar l = **11 days!**

Conclusions: even considering the most difficult gas to sorb (CO), under UHV conditions, SAES[®] CapaciTorr[®] pumps based on St172[™] can operate for years before needing reactivation. They would require frequent activations at 10⁻⁸ mbar.

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CapaciTorr® D 400

ZAO[®] HV pumps

- It is known that the capacity of NEGs increases with temperature. Temperature activates diffusion of carbides, oxides etc., so that it takes much longer than at RT to saturate superficial adsorption sites.
- However, for St172[™]:
 - > H_2 eq. pressure at 200 °C is too high → ineffective pumping of H_2 O and H_2
 - ➢ Pumping performances decay after few sorption cycles at high pressure → short-lived pump



ZAO[®] HV alloy is the solution for HV applications, providing, among main benefits of ZAO[®] alloy:

- lower equilibrium pressure
- ability to sorb large gas quantities



The CapaciTorr[®] HV pumps

- CapaciTorr[®] HV pumps are based on the ZAO[®] alloy
- Starting from 1E-2mbar in pump-down
- Down to 1E-7mbar (or even lower pressure)
- Very large pumping speed for getterable gases
- Very large capacity

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- Work at 1E-8 mbar of CO or N₂ for more than 1 year without reactivation
- Work at 5E-8 mbar of H₂O for more than one year without reactivation •
- Operation at Moderate temperature (180-200°C)
- Ability to pump at room temperature for a limited time
- NEG material with the lowest particulate release



CapaciTorr[®] HV2100



CapaciTorr[®] HV200



An example of ZAO[®]- based HV pump: CapaciTorr[®] HV 200

- Pump placed inside a nipple, which allows a more efficient thermal management
- Available on CF35 or CF63
- 8 W necessary to keep the pump at ~200 °C
- Initial H₂ pumping speed: 210 l/s
- Can work in the 10^{-8} Torr range for 1 year
- At least 20 sorption-reactivation cycles are possible






CapaciTorr[®] HV 200



NEG – SIP COMBINATION: the NEXTorr[®] concept



PUMPING SYSTEMS VS PRESSURE RANGE



How to get the most effective combination of pumps, in order to achieve UHV-XHV conditions?





SPUTTER ION PUMPS VS NEG PUMPS

- In principle, a lower pressure can be obtained ensuring a higher pumping speed.
- SIPs and turbomolecular pumps (TMPs) can thus become very large and heavy.
- In UHV-XHV, moreover, the sputtering and compression processes of SIPs and TMPs, respectively, are less effective, resulting in a lower pumping speed compared to chemical pumps with the same dimensions.

SIPs may need space and weight support





SPUTTER ION PUMPS VS NEG PUMPS

Ion pumps have some limitations:

- large weight and size
- Ti particle emission
- instability effects
- decrease in the pumping efficiency below 10⁻⁸ mbar
- low pumping efficiency for H₂ (main residual gas in UHV-XHV systems)

This implies that to achieve 10⁻¹⁰ -10⁻¹² mbar range, very large SIP have to be used

On the other hand...

- NEG pumps do not sorb noble gases and methane, thus they are generally used in combination with other UHV pumps.
- SIP technology is the most suitable to be *integrated* with NEGs
 - It's a capture pump
 - Very popular technique to achieve UHV in vacuum systems

SIP+NEG: shorter pump-down



SIP+NEG: pressure rate of rise (SIP off)





SIP+NEG: residual gas



MINIATURIZATION: a key requirement in vacuum



- NEGs are commonly mounted inside mid-size or large SIPs through dedicated ports
- However this approach:
 - Does not solve space / weight issues
 - The NEG effective speed is reduced
- Equipment are more and more **complex and "packed"**. The demand to reduce the size and weight of vacuum systems is increasing for industrial and scientific applications: even in very large research vacuum systems, like accelerators and synchrotrons, magnets, diagnostic tools and diversified instrumentations limits the space available for pumps
- Better vacuum and more effective pumping is on the other hand required

Compactness and performance -> conflicting issues



SIP+NEG: reducing SIP size





C.D. Park, S.M. Chung, P. Manini, J. Vac. Sci. Technol. A, 29 (1), 11012 (2011).

SIP+NEG: reducing SIP size

- In this study, no pressure difference was measured when reducing the SIP pumping speed from 60 l/s to 10 l/s, the NEG being the main factor to achieve ultimate vacuum.
- This is in agreement with Benvenuti and Chiggiato, who show that <10⁻¹³ mbar can be achieved using NEGs as the main pump and leaving to small SIPs the ancillary task of removing CH₄ and argon, which cannot be pumped by the getter [1].
- The same authors also estimated that an ion pump with about 10 l/s for CH₄/Ar is sufficient to achieve 10⁻¹³ mbar in a 1 m² leak tight and well conditioned vacuum system [2].

A vacuum system can be kept in UHV-XHV conditions by NEG pumps assisted by small SIPs only



NEXTorr[®] concept

Saes[1] C. Benvenuti, P. Chiggiato, J. Vac. Sci. Technol. A 14(6), 3278 (1996).high vacuum[2] C. Benvenuti, P. Chiggiato, Vacuum 44 (5-7), 507 (1993).

New approach in UHV systems





NEXTorr® pumps



SIP 120 I/s (H₂)



NEXTorr[®] 100 l/s (H₂)



Bonucci et al, 57th AVS Conference, 2010. Manini et al, Vacuum 94 (2013) 26-29.

The NEXTorr[®] family

- NEXTorr[®] is a patented (US 8,287,247 B) generation of pumps which combines, in a single, very compact and light package, a NEG element and a very small SIP.
- NEXTorr[®] pumps are available with St172[™] (D series) and ZAO[®] UHV (Z series) disks, providing higher pumping speed and even lower particle release.
- Models featuring **ZAO® HV** for high vacuum are available too (HV series).
- Each NEXTorr[®] pump has a dedicated power supply and cables to activate the NEG and control the ion pump.



The NEXTorr[®] design **provides remarkable pumping** synergies:

- The ion part pumps gases that the getter does not, so that the NEXTorr[®] pumps all gases
- Getter capacity is increased
- The getter element intercepts most of the emitted Ti particles and gases released by the ion pump during the operation
- Increased pumping efficiency for H₂, Argon and CH₄: methane is dissociated in the plasma and a significant amount of H₂ released into the vacuum system is intercepted by the NEG.

How it looks like: NEXTorr® D 100-5 pump

			Initial pumping		
Total weight			speed (I/s)		
(magnets included)	2,2 kg	Gas	NEG	NEG	
Volume	0,5 I		activated	saturated	
		H ₂	100	6	
		СО	70	6	
		N ₂	40	5	
		CH ₄	15	7	
		Argon	6 (0,3)	6 (0,3)	
					SBBS otrars
	-				

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How it looks like: NEXTorr[®] D 100-5 pump - typical sorption curves



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NEXTorr[®] vs SIP: experimental setup





NEXTorr® D100-5 vs SIP: experimental setup and procedures

- Bench baked at 170 °C for 24 h under pumping. NEG element of the NEXTorr[®] pump kept hot (300°C) during the bakeout.
- After bakeout, pressure recorded for several days.
- Rate of rise test (RoR) carried out to measure the pressure build up in both systems in absence of power.
- Two blank runs carried out to measure pressure evolution without the SIP or the NEG.
- Total and partial pressures recorded with a QMS.











NEXTorr® vs SIP: pressure rate of rise (SiPs off)





NEXTorr® D200-5 vs SIP: experimental setup



SIP - 200 I/s (H₂)

NEXTorr[®] D200-5 - 200 l/s (H₂)







Stray magnetic field in NEXTorr[®] SIPs





Question II (NEG pumps)

In a UHV system with an ion pump and no other free ports one wants to improve the vacuum. You would suggest:

- \longrightarrow
- 1. A NEXTorr Z pump
- 2. A Capacitorr Z pump
- 3. A NEXTorr HV pump
- 4. A Capacitorr HV pump



USE OF NEG PUMPS:

- 1. Reactivation and outgassing
- 2. Procedures
- 3. Particle release
- 4. Pump-down with no auxiliary pumps



RESISTANCE TO REACTIVATION CYCLES



- ZAO[®] is much robust to reactivation cycles with venting (>100 cycles with less than 40% of pumping speed reduction on CO).
- In any case, the progressive pumping-speed reduction can be compensated by slightly increasing the activation temperature (e.g., 450→550 °C).

NEG OUTGASSING PROPERTIES: residual gases during activation

- First desorption peak at 250°C 300°C and approaching full activation temperature
 Most of the physisorbed species are released. H₂ peak followed by CO, CO₂, H₂O, CH₄ 10 times lower (or more).
- Reaching full activation temperature

Within a few minutes, species other than hydrogen start to decrease rapidly.

• H₂ plateau

Depends on:

1) Equilibrium pressure

2) Dynamic equilibrium between auxiliary pumping and H_2 desorption rate (diffusivity & recombination rate on the surface).

• *H*₂ *re-pumping*

Within minutes after the end of the activation, emitted H_2 is completely re-adsorbed.



NEG OUTGASSING PROPERTIES: a few hints

If possible, apply a **pre-conditioning step at 150-250** °C **before the full activation**, to remove physisorbed species and reduce the amount of released gases. This is possible using the "conditioning" mode of the power supply. If this is not possible, a standard bake out is acceptable.







NEG OUTGASSING PROPERTIES: Gas desorption after the first activation

Gas emission is about a factor 10-20 smaller after the first activation.

Slightly lower ultimate pressure is expected.



NEG OUTGASSING PROPERTIES: ZAO[®] vs St172[™] alloy

- H₂ evolution during activation is about 5 times smaller for ZAO[®] compared to St172[™].
- The total amount of released H_2 is also a factor 2 smaller.



ACTIVATION PROCEDURES: practical hints

During the NEG pump **activation**:

- any ion pump should be off
- **TSPs** (if present) should be **operated after** the NEG pump **activation**: if TSP are operated before, due to the partial release of hydrogen by NEG pump activation, TSP will sorb a large amount of hydrogen which will be released during normal operation

Two activation processes will be described

- Baked vacuum system after venting
- Unbaked vacuum system after venting

All described procedures are **considered best-practice**, but they can be adjusted depending on:

- System characteristics (surface/volume)
- Needs (time and pressure target)
- Expected outgassing species from the system...



Procedures: baked vacuum system after venting Venting and **NOTICE:** in principle, the NEG pump-down through TMP In-vacuum **Bakeout** system (HV/UHV/XHV) System SIP on cooling to RT **NOTICE:** after activation, NEG pump can work at RT (powerless) or hot **NOTICE:** for the degassing of the (~200°C) in case of HV applications. SIP, it is sufficient to keep it on for activation some minutes, then switch it off. saes high vacuum

pump can be activated also during the bakeout process and possibly reactivated after the system has reached RT.

> NOTICE: SIPs also should be baked (check compatibility with max. magnets temperature or remove them). If it's not possible, it's better to keep it on during the bakeout.

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Procedures: unbaked vacuum system after venting



NEG in particle-sensitive systems: why particles might be an issue?

Particles can create severe mechanical, electromagnetic and optical issues:

- They can generate electric shorts, sparks and act as field emitter tips under high electric fields.
- On silicon wafers they can change properties of the deposited layers, create opens, breaks, morphological defects.
- They can contaminate masks and optical systems, in EUVL or electron beam masking tools, reducing resolution and introducing optical artifact.
- Particles can create abrasion, loss of tightness in load lock systems.

Particle-sensitive systems: some examples

A variety of industrial and scientific applications is particle-sensitive:

- X-ray inspection systems and tubes.
- Metrological and inspection tools (SEM, CD SEM, TEM).
- Photolithographic equipment (e-beam equipment...).
- Load lock and transportation vessel.
- Portable analytical systems (e.g. mass spectrometers).
- Large research facilities (particle accelerators, synchrotrons, fusion machines...).







Courtesy of THERMOFISHER: KRIOS G4 TEM




St172[™]: tests with particle counters in air/in vacuum (DESY)

A dedicated test to qualify Capacitorr[®] D 400 (St 172[™]) for SRF cavities and particle sensitive applications was carried out at DESY. Tests were carried out :

- With a particle counter in air to assess compatibility of the pump to ISO classes
- With a particle counter under vacuum to measure particle emission during the real operation of the pump (e.g. conditioning and activations)

St172[™] particle tests in air (DESY)

- The pump was cleaned by blowing dry ionized Nitrogen at 3 bar, inside a clean room of class ISO5.
- Particles were measured by the MetONE 2400-6 counter, able to detect particles ranges of 0.3, 0.5, 1.0, 3.0, 5.0 and 10.0 μm, operating with an air flow of 1 cfm.



Compatibility with particle-free systems and superconducting accelerator modules

• After about 15 min cleaning, the number of emitted particles is strongly reduced, and compatible with a ISO4 clean room.

St172[™] particle tests in vacuum (DESY)

- Particle creation during conditioning and activation of the D-400 pump was monitored by the in-vacuum counter XYT 70XE. The detectable particle size ranges are 0.17, 0.25, 0.3, 0.5 and 1 μm.
- Particle release was monitored in continuous during the 1 h conditioning at 200°C followed by 1 h activation at 450°C (pressure was below 10⁻⁵ mbar).
- The NEG pump was mounted horizontally into a DN63CF cross. The in-vacuum particle counter is connected to the bottom port of the cross.



St172[™] particle tests in vacuum (DESY): results

After a proper treatment on the pump, the measured numbers are in the order of the detector noise.



NEG pumps based on St172[™] can be properly conditioned for usability in particle-free systems

St172[™] vs ZAO[®]: tests at Wilson Laboratory , Cornell

- A comparison between St172[™] and ZAO[®] sintered disks was carried out at Cornell.
- A Capacitorr[®] D100 (St 172[™]) and a Capacitorr[®] HV 100 (ZAO[®]) went through multiple activation/saturation (with CO) cycles during the vacuum pumping tests and were particle tested immediately after removal from the vacuum test setup.
- The particle tests were done in a clean hood, by blowing clean nitrogen around the pumps.
- A background particle count was measured with N₂ blowing, and showed very low particle counts.
- All pump particle counts were done with 30-sec sampling time, with a sampling volume of ~ 0.050 ft³.







These results show that both alloys can be conditioned and that ZAO[®] is cleaner than St 172[™]. Based on these finding, a ZAO[®] based pump was installed at Cornell close to a SRF cavity with very good results.

ZAO[®] HV: tests in a SRF cavity (Jlab, USA)

- A Capacitorr[®] HV 200 (ZAO[®]) pump was tested at JLab to check for compatibility with high gradient SRF.
- The NEG pump was first sprayed for about 1 minute with ionized nitrogen to pre-clean it and then transferred in a ISO 5 clean room and connected to the SRF cavity manyfold.

SRF cavity

Schematic of the vacuum circuit attached to the single cell cavity (a) and picture of the assembly hanging from a test stand (b).



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ZAO[®] HV: tests in a SRF cavity (Jlab, USA)

Summary of evacuation conditions prior to each RF test.

Test number	Cleaned and assembled prior	Evacuation	NEG activation
1	Yes	Turbo-pump	No
2	yes	Turbo-pump	No
3	No	NEG, 3 days	Yes, 1 h
4	No	NEG, 5 h	No
5	No	NEG, 6 days	Yes, 2 h

- The cavity was pumped under different conditions, without activating the NEG pump (baseline) and with the NEG pump activated and kept under continuous pumping at 200°C. After each evacuation cycle the cavity performances were measured.
- No performance degradation, in terms of field emission onset, resulted from the activation and operation of the pump to an accelerating gradient of 34 MV/m, corresponding to a peak surface electric field of 63 MV/m.



Fig. 3. Q_0 vs. E_{acc} (solid symbols) and x-rays dose rate vs. E_{acc} (empty symbols) measured at 2 K for the 1.3 GHz single-cell cavity with the NEG pump. Please refer to the text and Table 2 for evacuation procedures and pump operation before each test. The dose rate threshold corresponding to the onset of field emission is 0.3 μ Sv/h. The drop of Q_0 between 20–30 MV/m during all the tests resulted from the processing of multipacting.

SAES G. Ciovati et al., *Operation of a high gradient superconductive radio frequency cavity with a NEG pump*, Nuclear Instruments and Methods in Physics Research A 842 (2017) 92-95

NEXTorr[®] HV 300 and D1000-10: Jarrige-Ravelli (European Spallation Source)

- NEXTorr[®] D1000-10 (St172[™]) and HV300 (ZAO[®]) have been tested to check compatibility with the machine.
- ESS acceptance criteria: at most 10 particles in the 0.3 to < 5 μm range and no particles larger than 5 μm after 1 minute of ionized and filtered nitorogen gas throughput at 3 bars, 28 l/min capacity.
- Conditioning tests show that 6 and 13 blowing treatments for HV300 and D1000 respectively are sufficient to meet ESS acceptance criteria.





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high vacuum C. Jarrige et al., Developing the Particle Free Vacuum System at ESS, Rev. Bras. Apl. Vac., Campinas, Vol. 37, N°3, pp 156-162, 82 2018

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NEXTorr® HV 300 and D1000-10: Jarrige-Ravelli (European Spallation Source)

- After 7 activations particle test results demonstrate that pumps are qualified.
- SAES[®] getter pumps installed on the machine have been particle tested and fulfill ESS requirements.
- Testing indicates that the quantity of particles released fulfills **ISO class 5 standard.**



high vacuum C. Jarrige et al., Developing the Particle Free Vacuum System at ESS, Rev. Bras. Apl. Vac., Campinas, Vol. 37, N°3, pp 156-162, 83 2018

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Compatibility of NEG pumps with particle-sensitive systems: SAES[®] efforts across the years

- SAES[®] research activities have always been oriented in making getter pumps the solution to more and more demanding particle-sensitive applications.
- SAES[®] High Vacuum Division across the years tested different methods for particle detection, from dipping to blowing. Both methods have shown that compressed disks release more particles than sintered ones.
- There is not a standard particle counting procedure shared by the scientific community.
- An experimental campaign in SAES[®] HVD was carried in 2021 with the aim of setting a new procedure for particle counting, with a focus on ZAO[®] UHV disks, in a ISO 6 cleanroom.



SAES[®] HVD particle detection system

- A robust **particle-detection system** has been implemented in order to collect reliable data on particle release and minimize background effects.
- Ionized and filtered nitrogen is blown on the continuously rotating sample.



Particle counter specifications:

- > size range 0.3 to 25 μm
- > particle sizes 0.3, 0.5, 1, 3, 5, and 10 μm
- > counting efficiency 50% at 0.3 μm (100% >0.45)
- > concentration limit 4.10⁵ particles/ft³
- > flow rate 28.3 l/min (*i.e.*, 1 ft³/min)





SAES® HVD particle detection system

• Different pump-cleaning procedures were investigated and the detection system allowed researchers to select the most effective solution for a deep cleaning of getter pumps (SAES[®] proprietary information).



• The results of countings made in an ISO 6 cleanroom on ZAO[®] UHV getter alloy samples of 11-disks stacks show the repeatability of the process and the effectiveness of the investigated treatment.



Pump-down with no auxiliary pumps: experimental setup

- Additional series of tests have been performed to demonstrate the feasibility of a pumpdown without the use of a TMP, using only a scroll pump, the CapaciTorr[®] HV200 and a small SIP.
- The pumpdown has been repeated 30 times to check the pump robustness and possible performance degradation.



Equipment

- SIP noble diode 20l/s
- CapaciTorr[®] HV200 CF63
- Scroll pump 15 m³/h
- Full range gauge (pirani+penning)
- BAG

Procedure

- Venting ambient air 16 h
- Pumpdown with scroll pump to 3e-2 Torr
- Start NEG activation 1h
- Isolate scroll at 9e-3 Torr
- Turn SIP ON at 4e-4 Torr
- At the end of activation bring the NEG at HV working temperature (~230°C)



Pump-down with no auxiliary pumps: results

Pressure evolution read by the full-range gauge

- About 25 minutes are necessary to reach 2e-2 Torr: this time depends on the actual mounting configuration of the scroll pump and its throughput
- About 85 minutes are required to reach the low 10⁻⁷ Torr vacuum level



29th May 2025

Pump-down with no auxiliary pumps: endurance test

- The pump-down process was repeated several times (31 cycles) to investigate possible performance degradation and heater drift
- The pressure evolution after activation is very similar, within about 50%

- The time needed to reach 2e-4 Torr (SIP turned ON) shows a progressive but slow increase.
- About 5 minutes from the first to the last cycles.

Quiz III (best practice when using a NEG pump)

- What would you do with the small ion pump of the NEXTorr during an activation procedure with bakeout?
 - 1. It should be kept switched on during the whole procedure
 - 2. It should be kept off and degassed after the first NEG activation
 - 3. It should be degassed repeatedly during the bakeout and switched on before the second NEG activation
 - 4. It should be kept on during bakeout and degassed after the first NEG activation, then switched on after the second NEG activation

APPLICATION OF NEG PUMPS

Use of NEG pumps in UHV-XHV systems

In the industry

- Scanning/Transmission electron microscopes
- Portable mass spectrometers
- Semiconductor lithographic & metrological tools
- X-ray inspection equipment, EDX analyzers

In large physics projects

- Synchrotron Light sources, FEL, ERL
- Colliders and accelerators
- Fusion devices
- Gravitational experiments....

In Scientific Laboratories

- Cold atom /ion trapping
- Atomic clocks
- Surface science, STM, MBE
- Vacuum suitcases

NEXTORR® Z in E-BEAM UHV GUNS

Only 2.2 Kg pump weight

- easy to handle and to install
- no vibrations

High pumping rate for H_2 and all gas species

- lower bottom pressure
- high brightness source
- longer source lifetime

The NEXTorr[®] keeps pumping without power

- transport the column in vacuum
- no need to bake again the gun at user site
- faster system installation
- quick recovery in case of accidental power cutoff

NEXTorr® pumps in RAITH EBPG PLUS

RAITH GROUP, a leading precision technology solution provider for electron beam lithography, has launched its new EBPG Plus e-beam lithography tool incorporating NEXTorr[®] pumps

NEXTorr® and CapaciTorr[®] in UHV suitcases and transfer tools

- Results obtained by INFN-LASA replacing a 60 l/s ion pump with a small CapaciTorr[®] D 100 pump (200 g weight) in a photocathode transportation vessel.
- The vessel was baked **7 days** using the SIP and the vacuum achieved was **8E-10 mbar**.
- Using the CapaciTorr[®] D 100, after 3 days bake-out, a pressure of 2E-11 mbar was achieved.
- Such pressure could be maintained over one month, even without any power.

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NEXTorr® and CapaciTorr® in UHV suitcases and transfer tools

UHV Transfer Vessel

Maintain 1.7×10^{-8} Pa for three days with NEXTorr Ion Pump turned on! Maintain 1.5×10^{-7} Pa for three days with NEXTorr Ion Pump turned off!

ATV-200/NS/275/800/TEP/ AVC Co,.LTD

Ion trap - quantum computing applications

Courtesy of Mr. Frieder Lindenfelser (group of Prof. Home), **ETH Zürich**

Quantum Computing systems based on ION TRAPS require:

- XHV levels (10⁻¹² mbar)
- no vibrations nor magnetic interference
- extreme compactness

NEXTorr[®] pumps are the best solution

In few years NEXTorr[®] has become a benchmark in the field, with impressive design improvements!

Non-magnetic UHV system for quantum sensing by SAES RIAL Vacuum powered by a SAES' NEXTorr Z 100 pump.

Courtesy of Barrett Group, Quantum Sensing and Ultracold Matter Lab, University of New Brunswick, Canada

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Cold traps systems – new design

From this.... with bulky SIP far from the chambers

Further examples of NEXTorr[®] in trapping systems

Li – Cs trapping system with NEXTorr[®] Z200 and NEXTorr[®] D500

Courtesy of Dr. Rudolf Grimm, University of Innsbruck

Courtesy of Dr. Tristan Valenzuela, **University** of Birmingham for the EU FET-Open project iSense (grant no. 250072).

Further examples of NEXTorr[®] in trapping systems

Sr Atom Interferometer with NEXTorr® D200

Sr Clock with NEXTorr[®] D200 and NEXTorr[®] D500

NEXTorr[®] in Surface Science systems

- Extremely compact and light -> easy to handle and to install
- High pumping rate for H₂ and all gas species -> lower bottom pressure
- Keeps pumping without power -> quick recovery in case of accidental power cutoff
- No parts in motion -> total absence of any vibrations
- Ideal for STM, SPM, ARPES, AES, XPS, LEEM/PEEM,....

SAES® NEG pumps in MBE systems (deposition chamber)

- In a MBE system with cryo-cooled walls during the deposition, a 300 l/s ION pump has been replaced by a CapaciTorr[®] D-2000 (CF150 flange), added to a Turbo pump
- Huge pumping speed vs pump size lower bottom
 pressure for cleaner deposition process
- The NEG pumps without power vacuum is preserved in case of accidental power cutoffs

Courtesy of Dr. Eberl MBE-Komponenten GmbH

P in the 10⁻¹¹ mbar before cryo-cooling the chamber walls

DC electron gun in the X-band cell LINAC at Taiwan Light Source

- NEXTorr[®] D200-5 has been used
- Pressure 1E-9 Torr
- Using NEXTorr[®], lifetime of e-gun is doubled

Courtesy of LINAC Group at NSRRC – Dr. Ching-Lung Chen and Dr. Kuang-Lung Tsai

LCLS-II Injector source gun

- 6 NEXTorr[®] D200-5 ND and 6 CapaciTorr[®] D400 are distributed around the LCLS-II injector source gun
- The pumps have been installed in a class 100 (ISO 5) and 1000 (ISO 6) rated cleanrooms

NEXTorr[®] in Accelerators: SWISS-FEL Linac

NEXTorr[®] in Accelerators: SWISS-FEL Linac

high vacuum

29th May 2025

Undulator vacuum test chamber

- NEXTorr[®] pumps are distributed along the undulator
- Compared to strip, NEXTorr[®] distribution could provide easier installation and maintenance
- If issues are generated in the pumping system
 - With strip all the chamber must be uninstalled and changed
 - With NEXTorr[®] only a 2 kg pump must be replaced

Six NEXTorr[®] D100-5 Pumps on Undulator Chamber

- This layout allows also the distribution of compact ion elements instead of big Ion pumps at the end of undulator
- The distribution of the ion element through NEXTorr[®] allows also a distribution of the pressure indication in the undulator to better monitor the pressure evolution during beam circulation and commissioning phase
- The pump is immersed in the high magnetic field close to the in-vacuum undulator (i.e., same plane of the circulating beam)
- Vacuum level 10⁻¹⁰ Torr
RF cavities - **ELETTRA**



NEXTorr[®] D500-5



- NEXTorr[®] has been used in the RF cavity at ELETTRA, Italy, reducing of a factor 4 the pressure compared to a standard ion pump
- The better pressure was achieved because the NEXTorr could be installed close to the cavity without affecting its performances, while the ion pump should be installed far from the cavity, for the interference with the magnetic field generated by the cavity

Integration of NEG pumps in gravitational wave detectors (GWDs)





R. Weiss, Vacuum Challenges for the Next Generation Gravitational Wave Detectors, Second Vacuum Workshop, CERN, 27 March 2023

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Integration of NEG pumps in GWDs: Cosmic Explorer

CE would be a "3rd generation" GW detector:

- Major upgrade vs LIGO, 10x increase arm length 4 km -> 40 km
- 10x increase in strain sensitivity (scales with L). See farther back in time.
- Larger "volume" of observation. Potential 1000x increase in detection frequency.
- Ground based detector similar to LIGO, leverages > 20 yrs. of operational experience.
- Minimal reliance on "new" technologies that may not become available.
- Minimal increase in noise sources, same overall design as LIGO.



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Integration of NEG pumps in GWDs: Cosmic Explorer

Nested System

Complex but has several unique features

- Steel outer pipe (thickness TBD) with thin (1/2 mm) aluminum inner pipe (could also be thin steel).
- Modest vacuum (10⁻⁴ Torr) in annular gap.
- Thin inner liner resistively heated to bake (same as LIGO).
- Aluminum is excellent vacuum material. Reflectivity at 1µ?
- Spiral formed and cold welded (new technology).
- Gap vacuum: Thermal insulation, crush of inner tube, allows some leaks in inner liner.
- Complex thermal/electrical isolation, valves need to be developed, unique feedthroughs and ports, manage 2x CTE mismatch between inner and outer systems.
- Updated design (May 2022) both tubes are steel.
- ZAO Getter pumps-require feedthroughs to heat. Water speed?
- Hide ZAO getter behind baffle.



Proposed by R. Weiss



Large laboratories and three 10km tunnels, more than 200m underground 10 times more sensitive than current-generation detectors, providing GW data for astronomy and fundamental physics for at least 50 years 10 km



HV pumping: NEG pump distribution





29th May 2025

- SAES[®] HVD has been involved in the design of the pumping system along ET tubes
- First vacuum layout has been conceived for both HV and UHV pumping phases
- The boosted pumping performances given by the presence of the NEG helps in reducing time needed for bakeout

UHV pumping at RT: vacuum layout





UHV pumping at RT: ultimate pressures





Preliminary studies on the first conceived pumping system show that a distributed NEG pumping along the tubes meet ET pressure requirements



Carlo Scarcia | Sectorisation, pumping system, commissioning and operation of ET beampipes

Integration of NEG pumps in GWDs: VIRGO

- Collaboration for the integration of NEG pumps, exploiting their compactness, pumping performance and absence of vibrations
- Main active gases N₂, O₂
- Reactivation constraints
- Possible customized solutions







Ø2000



Courtesy of VIRGO

Integration of NEG pumps in GWDs: VIRGO

- Pumping enhancement module for VIRGO
- CAD project, thermal and vacuum simulation closely linked in iterative process
- Various configurations tested (straight tube, angled elbow, continuous, Tee, etc.)
- Best solution is chosen as the ideal compromise between gate valve, temperature and maximized pumping speed





SAES® long history in fusion energy (most recent)

- **2017 Kyushu University Tokamak QUEST:** CapaciTorr[®] HV200 used in diagnostic
- 2018 Tsinghua University SUNIST Tokamak: CapaciTorr[®] HV 2100 used in the divertor
- **2018 IPP:** HV 800 wafer module is under test in the divertor
- 2018 LHD at NIFS: installation 42 NEG HV 400 wafer modules in the divertor
- **2018 ITER:** NEXTorr[®] HV 200 in the transfer line of ECRH
- 2014 → DEMO NBI⁽¹⁾⁻⁽⁴⁾
- 2021 → Mega NEG pump for SPIDER
- 1. M. Siragusa et al, Conceptual design of scalable vacuum pump to validate sintered getter technology for future NBI application, Fusion Engineering and Design, 146, 87 (2019)
- F. Siviero et al, Characterization of ZAO[®] sintered getter material for use in fusion applications, 146, 1729 (2019)
- Siviero F. et al, Robustness of ZAO[®] based NEG pump solutions for fusion applications, 166, 112306 (2021)
- 4. E. Sartori, Design of a large nonevaporable getter pump for the full size ITER beam source prototype, JVSTB, 41, 034202 (2023)
- 5. M. Baquero-Ruiz et al, Non-evaporable getter pump operations in the TCV tokamak, 165, 112267 (2021)



6. Alternative solution for the MITICA/HNB vacuum pumps jointly developed by SAES[®] and RFX, private document (2013)

Neutral Beam Injectors



- Essential plasma-heating device in fusion facilities, both existing (JET, ASDEX, JT-60SA, W7-X, LHD etc.) and under construction/design (ITER, DTT, DEMO...)
- Produces a highly energetic and neutral H₂/D₂ beam
- Example ITER NBIs : 1MV acceleration, 16 MW power D₂ beam

- In 2014-2021 SAES[®] has been involved to develop a NEG pump for the Neutral Beam Injector (NBI) of DEMO
- The NEG technology validation has been accomplished and the results have been published in more papers and accepted from the fusion community
- DEMO pre-conceptual design Tritium Fuelling Vacuum (TFV) WorkPackage
- activity 3.6 "Development of a NEGbased pumping concept for NBI pumping "



The way to a NBI-scale NEG pumping system



Coming next: SPIDER Vacuum system upgrade (Consorzio RFX, Padova)



CAD model



Thermal analysis Vacuum analysis





- The testing facility of the NBI of ITER is under test at Consorzio RFX (MITICA)
- In the source of the NBI (SPIDER), RFX is integrating a ZAO[®] NEG pump of 340.000 l/s (H₂) @10⁻⁴ mbar
- This solution integrates 384 NEG cartridges (upgradable to 512): largest NEG pumping system in the world
- Thermally shielded pumping system: thermal and vacuum studies closely linked
- Power supply, electronics and SW integrated within the overall experiment control system

Coming next: SPIDER Vacuum system upgrade (Consorzio RFX, Padova)





Thank you for your attention



www.saesgroup.com