

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

Particle identification at colliders

(With a strong Cherenkov bias.)

XXXIII Bonaudi-Chiavassa International School on particle detectors June 24th 2025

Umberto Tamponi *tamponi@to.infn.it* INFN – Sezione di Torino



- \rightarrow Determine the mass of "stable" particles
 - \rightarrow Really stable particles: e, p, γ , Fe, d...

- Lightest lepton,
- Lightest <u>baryon</u>
- Stable nuclei
- $\tau >>$ universe life



- \rightarrow Determine the mass of "stable" particles
 - \rightarrow Really stable particles: e, p, γ , Fe, d...
 - \rightarrow Almost stable particles: μ , π , K, n (Ξ , Ω ...)

- Lightest particle of each flavour content (uu+dd, us, uds, uss, sss)
- neutron (almost degenerate with the proton)
- $\tau = 10^{-10} 10^3 \text{ s}$
 - Travel cm~m in the detectors





- \rightarrow Determine the mass of "stable" particles

 - $\rightarrow \text{Almost stable particles:} \begin{array}{c} ., u... \\ Here: Charged Particles only! \\ \text{Charged Particles:} \\ Here: Charged Charged Content \\ \text{Content} \end{array}$
 - Ightest particle of each flavour
 - content (uu+dd, us, uds, uss, sss)
 - neutron (almost degenerate with the
 - $-\tau = 10^{-10} 10^3 \text{ s}$
 - Travel cm~m in the detectors



 \rightarrow Determine the mass of "stable" particles

How can we do that?



 \rightarrow Determine the mass of "stable" particles

How can we do that?

- \rightarrow Measure simultaneously (and independently!) β and p $\beta \gamma = \frac{p}{m}$
- \rightarrow Observe the interaction with a material (Csl, Iron...)

The Belle II detector







$$-\left(\frac{1}{\rho}\right)\frac{dE}{dx}\Big|_{\text{TOT}} = Kz^2 \frac{1}{\beta^2} \frac{Z}{A} \left[\frac{1}{2}\ln\frac{2c^2\beta^2 m_e \gamma^2 T_{max}}{I^2} - \beta^2 - \frac{\delta(\beta\gamma)}{2} - \frac{C}{Z}\right] + \frac{dE}{dx}\Big|_{\text{rad}}$$



Muons almost only loose energy by dE/dx



Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

9

$$-\left(\frac{1}{\rho}\right)\frac{dE}{dx}\Big|_{\text{TOT}} = Kz^2 \frac{1}{\beta^2} \frac{Z}{A} \left[\frac{1}{2}\ln\frac{2c^2\beta^2 m_e \gamma^2 T_{max}}{I^2} - \beta^2 - \frac{\delta(\beta\gamma)}{2} - \frac{C}{Z}\right] + \frac{dE}{dx}\Big|_{\text{rad}}$$



Electrons radiate above 10 MeV!

PID by interactions



Which particles can you identify by interaction?



PID by interactions



Which particles can you identify by interaction?



PID by velocity



How do you measure the velocity of a charged particle?



PID by velocity









Specific ionization









Time of flight counters

Time of flight •

Measure signal time difference between two detectors with good time resolution [start and stop counter]

Typical detectors: •

> Scintillation counter + photodetector time resolutions ~50-100 ps (r/o at both ends of the scintillator bar)

Resistive Plate Chamber (RPC) not sensitive to B, time resolutions $\sim 30_{7}50$ ps

cost effective solution for large surfaces

Multi-

 t_1, t_2





Scintillator I



Time of flight counters

INFN Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO





Istituto Nazionale di Fisica Nucleare

SEZIONE DI TORINO

Threshold and Imaging Cherenkov counters

Cherenkov detectors



Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO



The theory: a disclaimer

For this course I wanted to go more deeply into the theory, and I found myself in a deep, dark rabbit hole...

It turns out that the exact theory of the Cherenkov effect is neither easy, not immediate, nor straightforward.

Also, I don't have time so…

 \rightarrow backup!



Istituto Nazionale di Fisica Nucleare

 $\cos\theta = \frac{1}{\beta n(\lambda)}$



Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

Master formula nr. 1 : The radiation lays in a cone, whose aperture depends on β





Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

Master formula nr. 1 : The radiation lays in a cone, whose aperture depends on β

$$\cos\theta = \frac{1}{\beta n(\lambda)}$$

Master formula nr. 2: The Spectrum is smooth, in the visible but peaked in the violet

$$\frac{dI}{dxd\lambda} = e^2 \frac{n(\lambda)}{c_0} \left(1 - \frac{1}{\beta n(\lambda)}\right)$$





Master formula nr. 1 : The radiation lays in a cone, whose aperture depends on β

$$\cos\theta = \frac{1}{\beta n(\lambda)}$$

Master formula nr. 2: The Spectrum is smooth, in the visible but peaked in the violet

$$\frac{dI}{dxd\lambda} = e^2 \frac{n(\lambda)}{c_0} \left(1 - \frac{1}{\beta n(\lambda)}\right)$$

Master formula nr. 3: The radiation faint: O(100) photons per cm of water!!

$$N = 2\pi\alpha z^2 \left(\frac{1}{\lambda_1} - \frac{1}{\lambda_2}\right) \left(1 - \frac{1}{\beta^2 n^2}\right) = 2\pi\alpha z^2 \left(\frac{1}{\lambda_1} - \frac{1}{\lambda_2}\right) (1 - \cos^2\theta)$$



What do you need to build a Cherenkov detector for PID?



- A Cherenkov detector (for PID) has 4 components:
- \rightarrow Radiator, where the Cherenkov effect happens
- \rightarrow An optics that collects and convey the Cherenkov photon to detection
- \rightarrow A photomultiplier
- \rightarrow A readout electronics



- A Cherenkov detector (for PID) has 4 components:
- \rightarrow Radiator, where the Cherenkov effect happens
- \rightarrow An optics that collects and convey the Cherenkov photon to detection
- \rightarrow A photomultiplier
- \rightarrow A readout electronics

Let's assume you have:

- \rightarrow **one** photomultiplier
- $\rightarrow\,$ a beam of particles of p = 3 GeV.

Some of them are pions, some of them are kaons. How do you decide when you have one and when you have the other?

Choose your radiator

ISTINFN Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

The easiest Cherenkov counter is a threshold counter



Choose your radiator

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

Isobuthane seems to be our guy



Gases (NTP)	(<i>n</i> -1)10 ⁶ (at 7eV)	N _{PH} /cm
Не	33	0.005
Ne	67.3	0.01
Ar	300	0.05
CH_4	510	0.08
CF_4	488	0.08
$C_2 F_6$	793	0.13
i-C ₄ H ₁₀	1500	0.24
Liquids (NTP)	<i>n</i> (at 6.5 eV)	
$C_{5}F_{12}$	1.262	30
$C_{6}F_{14}$	1.278	31
Solids	<i>n</i> (5.5 eV)	
LiF	1.42	43
MgF_2	1.41	40
CaF_2	1.47	43
Fused silica	1.52	45

Aerogel: an value between 1. and 1.1

Choose your PMT

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

PMTs are a very broad topic

- \rightarrow No time to really dig into it here (see Knoll, 4th edition, pp. 275 318)
- \rightarrow For the moment, let's assume we have a rather conventional PMT





Dimension your detector



Assume we have a 15% average Q.E. between 300 nm and 500 nm



The Cherenkov light emitted by our particle project within a disk of \sim 9cm of radius at the end of your pipe full of isobuthane.

 \rightarrow Where do we put our PMT?

- \rightarrow We probably do not have a 6in PMT
- ightarrow We don't want the beam to drill a hole through the PMT itself





Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

The Cherenkov light emitted by our particle project within a disk of \sim 9cm of radius at the end of your pipe full of isobuthane.

 \rightarrow Where do we put our PMT?



Choose the optics

The Cherenkov light emitted by our particle project within a disk of \sim 9cm of radius at the end of your pipe full of isobuthane.

 \rightarrow Where do we put our PMT?





Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

Few metrics are used to characterize the performances of a PID detector

```
→ Efficiency: ability to correctly assign the ID

\epsilon(K) = N(K \text{ identified as } K)/N(\text{real } K)

Equal, by definition, to the "probability of a kaon to be called kaon"
```

→ Mis-ID: ability not to assign the incorrect ID Mis-ID(K) = N(non-K identified as K)/N(non K) Equal, by definition, to the "probability for a non-kaon to be called kaon"

→ Fake rate: fraction of particles with the wrong ID F(K) = N(non-K identified as K)/N(identified as K)Equal, by definition, to the "fraction of non-kaons in my collection of kaons"



In our case:

- \rightarrow There is Cherenkov light: pion
- \rightarrow There isn't Cherenkov light: kaon
- \rightarrow We expect 10 p.e./pion on average
INFN Istituto Nazionale di Fisica Nuclea SEZIONE DI TORINO

In our case:

- \rightarrow There is Cherenkov light: pion
- \rightarrow There isn't Cherenkov light: kaon
- \rightarrow We expect 10 p.e./pion on average

The efficiency for pion selection is determined by the Poisson statistics.

Let's require to have at least 3 p.e. to declare to have a signal:

- \rightarrow P(n < 3) \sim 1 %
- \rightarrow There is 1% of chances to miss a pion (<u>i.e. a 1% of chance to call kaon a pion</u>) Mis-ID probability (K) ~ 1%

 \rightarrow There is no chance of missing a kaon (no signal) [Question: is it really true?] $\epsilon(K) \sim 100\%$



The fake rate is (to a certain extent) a Bayesian idea

Given that I have something that looks like a kaon, what are the chances for this to really be a kaon and not a pion?

Let's assume to have 2% of kaons and 98% pions in the beam

Bayes theorem:

 $P(my "kaon" is kaon) = 1 \times 0.02 / (1 \times 0.02 + 0.01 \times 0.98) \sim 67\%$

Fake rate = 33%

Priors matter!

From angles to radii

Let's go back to the differential counter





The spherical mirror focalizes the photons according to their angle.

- \rightarrow A given angle value becomes a length in the focal plane
- → The DISC of Cherenkov photons becomes a RING in the focal plane!
- \rightarrow If only we could reconstruct the ring...

Istituto Nazionale di Fisica Nucleare

The RICH idea

INFN

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

To reconstruct a ring we must do imaging One big PMT (i.e. one big pixel) is not enough $PMT \rightarrow PMT$ array, Gas photon dete

Resolution

$$\frac{\Delta\beta}{\beta} = \tan\theta\Delta\theta = \tan\theta\frac{\overline{\Delta\theta}}{\sqrt{N}}$$

Typical angular resolution: $\sim 10-30$ mrad



Back to the radiators

INFN Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

41

Give that now we really measure the Cherenkov angle, we should perhaps reconsider the choice of the radiator





42







RICH2, ~ 100 m³, downstream, 15% X0 15-100 GeV over 15-120 mrad



- The first generation of the LHCb RICH detectors operated in Run 1 and 2 with an excellent performance
- RICH1: $\sigma_c = 1.662 \pm 0.023$ mrad, $N_{ph} = 30 \pm 2$

JINST 17 (2022) 07, P07013

• RICH2: $\sigma_c = 0.621 \pm 0.012$ mrad, $N_{ph} = 18.5 \pm 1.2$





- Let's go back to the beginning. So far we saw detectors for
- \rightarrow High momentum particles
- \rightarrow Single arm, forward experiments (lots of space!)
- \rightarrow What if we want to have a RICH in a barrel geometry (little space) and for low momenta (~1- 4 GeV)?



Proximity focusing RICH

INFN Istituto I SEZIONE D

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO





Fig. 1. Pictorial view of the JLab RICH: Cherenkov emission, 47 propagation, photon conversion and charge collection.

Rings in a proximity focusing RICH





Few words about resolution

In general, the resolution of any Cherenkov device is factorized:



Istituto Nazionale di Fisica Nucleare

Few words about resolution In general, the resolution of any Cherenkov device is factorized: Everything related to the Total angular photons (detection, resolution $\bullet \sigma_{\theta}^{tot} = \frac{\sigma_{\theta}^{stat}}{\sqrt{n\tau}} \oplus \sigma_{\theta}^{corr}$ production, propagation...) Chromatic Photon detection dispersion Photon production $\frac{\sigma_{\theta}^{radiator}\oplus\sigma_{\theta}^{detector}\oplus\sigma_{\theta}^{chrom}}{\sqrt{N}}$ $\sigma_{\scriptscriptstyle A}^{tot}$ = $\oplus \sigma_{\scriptscriptstyle A}^{corr}$

Istituto Nazionale di Fisica Nucleare

Chromatic dispersion

IStituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

Chromatic dispersion quickly becomes an issue when you do imaging



Particles with different velocities can emit photons of different wavelengths at the very same angle

- $\rightarrow \mathsf{Wavelenght}\ \mathsf{filters}$
- \rightarrow Corrective optics
- ightarrow (more recently) photon time!



The error budget of a proximity focusing RICH is rather different from the one of a focusing RICH



Istituto Nazionale di Fisica Nucleare

SETIONE DI TORINO

Drawbacks of proximity focusing

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

- 1) The rings are not always circles
 - \rightarrow The incident track angle project and ellipse rather than
 - a circumference



Drawbacks of proximity focusing

- 1) The rings are not always circles
 - \rightarrow The incident track angle project and ellipse rather than

a circumference

1) Thick radiators blur the image \rightarrow How can you mitigate this?



Istituto Nazionale di Fisica Nucleare

Dual radiators: the Belle II ARICH



Dual radiator design

- \rightarrow Twice the photons, \sim same ring size
- \rightarrow endcap PID for the Belle II experiment







Dual radiators: the Belle II ARICH



Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

Dual radiator design

- \rightarrow Twice the photons, \sim same ring size
- \rightarrow it actually works!



Total internal reflection counters

Solve the problem



 \rightarrow You have 7 cm to fit your PID detector for K/pion separation up to 3 GeV \rightarrow We saw that we need \sim 5 cm of solid radiator and \sim 1 m of focusing length

Istituto Nazionale di Fisica Nucleare

SEZIONE DI TORINO

General structure of a DIRC







The only DIRC ever built was installed in the BaBar experiment





The only DIRC ever built was installed in the BaBar experiment



A real-life DIRC

#σ

5

1



50

75

7641A3

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO



62

0.92

radians

0.9

Limitations



$$\sigma_{\alpha} \approx \sqrt{\frac{t_x^2/12 + \sigma_x^2(\text{detector})}{L^2}}$$



The resolution is limited by the focal length (L), the detector pitch and the bar thickness.

As usual, the second term is the chromatic dispersion. This is mitigated using PMTs and looking the the near UV/visible

Limitations

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

A way to reduce the chromatic dispersion is to measure the timing of the photons in addition to the ring!





The FDIRC concept

Add a focusing mirror to the DIRC

 \rightarrow Eliminate the bar thickness term from the resolution





INF



Istituto Nazionale di Fisica Nucleare

SEZIONE DI TORINO



The TOP is a "DIRC in the time domain"

- \rightarrow Cherenkov light trapped and propagated to the readout in a wide bar of fused silica
- \rightarrow The Cherenkov angle is measured by the time of propagation rather than the ring image on the PMT surface





67

- TOP implementation in Belle II:
- \rightarrow 16 modules (or slots) arranged around the interaction point
- ightarrow Each module is made of two identical bars of fused silica glued together
- \rightarrow Backward side: expansion prism, PMTs and readout
- \rightarrow Forward side: spherical mirror





The chromatic dispersion

The chromatic dispersion is expected to contributed for ${\sim}50~\text{ps/m}$ in the DIRC

- \rightarrow Wavelenght filter to cut the spectrum
- \rightarrow Make the TOP as short as possible (~ 3 m VS 5 m)
- \rightarrow Add a focusing mirror at the end of the bar
 - Long focusing length enlarges y difference.
 - $\Delta \theta_c \sim 5 \text{mrad} \rightarrow \Delta y \sim 14 \text{mm}$ for 2.5m length







What does the TOP measure?





At a collider machine, we can combine the **ToF** and the **Cherenkov angle** in one single measurement

Key ingredients:

- \rightarrow Impact point on the detector
- \rightarrow Single p.e. time resolution (PMT + readout only)< 100 ps
- \rightarrow RF locking resolution < 10 ps

Readout: The PMTs



70

The single photoelectron time resolution is the key parameter for the TOP Our target is $\sigma(1 \text{ p.e.}) < 100 \text{ ps}$



Hamamatsu MCP-MPTs

- \rightarrow (1 x 1) in, ${\sim}70\%$ active area
- \rightarrow NaKSbCs photocathode; QE \geq 24% (28% on average) at 380 nm
- \rightarrow 55% collection efficiency
- ightarrow Gain = 10⁵ 10⁶
- \rightarrow Transient time spread < 40 ps







TOP front end electronics is based on the *IRSX chip* developed by Hawaii University *arXiv:1804.10782*

Scope-on-a-chip

- \rightarrow 8 channel waveform digitizer
- \rightarrow 500 MHz Bandwidth
- ightarrow 2.7 GSa/s
- \rightarrow 11.6 μs storage buffer
- \rightarrow Full waveform output
- Controlled by Xilinx Zinq FPGAs
- \rightarrow Online pedestal subtraction
- \rightarrow Online waveform analysis



See Maeda-san's poster for more information!

The TOP rings

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

With such a detector, the Cherenkov rings are only a distant memory...

In such a detector, the PID is done exclusively comparing the expected PDFs with the photon time and position

This is how a pion looks like

Single photon time resolution must be (much) better than 100 ps




NIM A 595 (2008) 252–255, slides by Marko Staric (IJS)

Time distribution in a single channel



Visualizing the Cherenkov rings



74



2.14 GeV prism-facing event

Little room for the Cherenkov cone to open up

ID is dominated by the PDF shift (i.e. ToF) rather than the shape difference

Umberto Tamponi - "The TOP counter of Belle II: status and first results" - RICH 2018

Visualizing the Cherenkov rings





1.41 GeV mirror-facing event

ID is dominated by the PDF shape (i.e. Cherenkov ring) rather than the global offset

TORCH

N Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

Designed for the LHCb upgrade

- \rightarrow Basically a TOP counter + focusing
- ightarrow (mostly) a timing device (15 ps resolution!) plus a bit of Cherenkov imaging



Putting everything together

Belle II





Belle II







Each sub-detector provides a likelihood value for 6 possible PID hypotheses:

- \rightarrow electron, muon, pion, kaon, proton, deuteron
- → The likelihood values are calculated comparing the observed signal with the expectation for each particle hypothesis (based in MC, data template, or analytic models)
- \rightarrow If particle is out-of-acceptance, LogL = 0 for all hypotheses

 $\mathcal{L}^d_{\alpha} = \mathcal{L}^d(\mathbf{x}|\alpha)$ Likelihood for hypothesis α from detector d that observed \mathbf{x} hits

$$\mathcal{L}(\mathbf{x}|i) = \exp\left(\sum_{d=0}^{d \in D} \log \mathcal{L}^{d}(\mathbf{x}|i)\right)$$

Likelihood for hypothesis α from all detectors

$$P(A_i|\mathbf{x}) = \frac{P(\mathbf{x}|A_i) \cdot P(A_i)}{\sum_j P(\mathbf{x}|A_j)P(A_j)} \quad \Rightarrow P(i|\mathbf{x}) = \frac{\mathcal{L}_i}{\sum_j \mathcal{L}_j} \quad \text{PID probability}$$

dE/dx



Silicon tracker

 \rightarrow PDF is templated directly from data using tagged p, K, protons



$$\mathcal{L}_{\alpha}^{\text{SVD}}(\mathrm{d}E/\mathrm{d}x, p) = \prod \mathcal{P}_{\alpha}[(\mathrm{d}E/\mathrm{d}x)_i, p]$$





Silicon tracker

 \rightarrow PDF is templated directly from data using tagged p, K, protons

Drift chamber

 \rightarrow Calculate the expected dE/dx after running several data-driven calibrations



$$\chi_{\alpha} = \frac{(\mathrm{d}E/\mathrm{d}x)_{\mathrm{meas}} - (\mathrm{d}E/\mathrm{d}x)_{\mathrm{pred}}}{\sigma_{\mathrm{pred}}}$$
$$\mathcal{L}_{\alpha}^{\mathrm{CDC}} = \exp\left(-\frac{\chi_{\alpha}^{2}}{2}\right)$$

Time-of-Propagation





$$\mathcal{L}_{\alpha}^{\text{TOP}} = \exp\left[\sum_{i=1}^{N} \log\left(\frac{N_{\alpha}S_{\alpha}(c_i, t_i) + N_BB(c_i, t_i)}{N_{\alpha} + N_B}\right) + \log P_N(N_{\alpha} + N_B)\right]$$

Dual aerogel proximity RICH



Dual radiator (but another kind of)

- \rightarrow Two thin (2 cm) layers with different refractive index
- → Tuned to have **overlapping rings**
- \rightarrow Reconstruction: count the number of hints in the expected ring





Electromagnetic calorimeter

- \rightarrow Use the E/p ratio, PDF templated from MC.
- \rightarrow More recently: combine all shower shape variables into a BDT





KLM (instrumented return yoke)

 \rightarrow use the penetration depth in the iron plates, accounting for the scintillator efficiency





87

$\pi \rightarrow K$ mis-identification probability in collision data

- True pions tagged in D and K_s decays
- Ask for $LL(K) > LL(\pi)$





$\pi \rightarrow K$ mis-identification probability in collision data

- True pions tagged in D and K_s decays
- Ask for $LL(K) > LL(\pi)$



Global performance





Expectations VS reality

Performance observed in data still don't match with (optimistic) MC

Many lessons learned so far!









Aerogel tile edges are responsible for most of the disagreement in ARICH



Removing tracks extrapolated in the edges

- Improves PID (expected) reducing acceptance
- Improves data/MC (not expected)
 - Work towards better tile alignment



Lessons learned: background effects on TOP



- For TOP, half of the data/MC disagreement is recovered with more realistic simulation
- \rightarrow Actual dead/hot channel maps form data
- \rightarrow Backgrounds from random triggers instead of simulation



Residual discrepancy is under investigation.

Lessons learned: extrapolating is dangerous



Both TOP and ARICH are outside the tracking volume

- Rely on track extrapolation
- Decays-in-flight and hard scattering lead to wrong extrapolation
- Significant PID degradation from hard-scattering



Lessons learned: hard scattering in ARICH



Sizable material budget in front of ARICH

- \rightarrow CDC backplane, inner tracker cables...
- \rightarrow Clearly seen mapping the impact points of electrons with associated photons





Mitigating material scattering

Use the Calorimenter behind ARICH and TOP to remove bad extrapolations

- Require a cluster matched with the track
- Powerful tool, but introduced correlation between subdetectors...



Looking ahead



What do you think are the main challenges ahead?

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

10⁸

$\rightarrow \mathsf{Rate}$







25

483 hits in RICH1

5720 hits in RICH1

Problems



Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

 \rightarrow Ageing





Problems

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

 \rightarrow Radiation damage



NFN Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

 \rightarrow Environmental impact

- CF₄ LHCb RICH2: GWP = ¬ 7000_co2*
- C_2F_6 ATLAS evap cooling (potential use): GWP = \neg 11000 _{co2}*
- C₃F₈ F2 Chemicals , Astor, ATLAS evap cooling: GWP = ¬ 8500_co2*
- C₄F₁₀ LHCb RICH1, COMPASS RICH: GWP = ¬ 8500_co2*
- **C**₅**F**₁₂ PP50: **GWP = ¬ 8500**_co2*
- C₆F₁₄ PP1, F2 Chemicals: Liquid cooling, many expts: GWP = ¬ 8000_co2*

For some perspective: SF6 GWP = ¬ 23000_co2*

- See references: 100 yr (average of 2nd, 4th & 5th assessment reports; AR2,4,5)
- https://www.ghgprotocol.org/sites/default/files/ghgp/Global-Warming-Potential-Values%20%28Feb%2016%202016%29_1.pdf

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

 \rightarrow PID is used at most of the current experiment, and PID information can come from (almost) any device

- \rightarrow PID requires complementarity
- \rightarrow The richest field of development is on Cherenkov detectors (Belle II, ePIC, LHCb)
- \rightarrow We all want the same things:
 - \rightarrow fast timing (10-100 ps)
 - \rightarrow high granularity
 - \rightarrow background resilience
 - \rightarrow rate tollerance



Thank you



Somehow, the theoretical description of the Cherenkov radiation is never (to me) fully satisfactory. Different authors take different paths that highlight either one feature or another one.

<u>Panofsky-Phillips</u> : Assume from the very beginning that there is radiation <u>Frank-Tamm</u> : Get the energy spectrum from the Maxwell equations in the medium <u>Jackson</u> : Derive Frank-Tamm from the Fermi radiation theory. <u>Jelley</u> : As Frank-Tamm

These lectures are the result of a tailoring of different parts (plus some personal additions), and you won't find them on any book (that I know about...)

The texts which are closer (but not identical) to the first part of this lecture are:

 \rightarrow Hirose Akira, lecture notes (http://physics.usask.ca/~hirose/p812/notes.htm) \rightarrow Jackson, classical Electrodynamics, 2nd Ed.

Where to start from?



The starting point of all this derivation are the covariant Maxwell equations

$$\nabla^2 \mathbf{A}(\mathbf{x}, t) - \frac{1}{c^2} \frac{\partial \mathbf{A}(\mathbf{x}, t)}{\partial t} = \mu \mathbf{J}(\mathbf{x}, t)$$
$$\nabla^2 \phi(\mathbf{x}, t) - \frac{1}{c^2} \frac{\partial \phi(\mathbf{x}, t)}{\partial t} = \frac{\rho(\mathbf{x}, t)}{\epsilon}$$

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

The starting point of all this derivation are the covariant Maxwell equations

$$\begin{split} \nabla^{2}\mathbf{A}(\mathbf{x},t) &- \frac{1}{c^{2}}\frac{\partial\mathbf{A}(\mathbf{x},t)}{\partial t} = \mu\mathbf{J}(\mathbf{x},t) \\ \nabla^{2}\phi(\mathbf{x},t) &- \frac{1}{c^{2}}\frac{\partial\phi(\mathbf{x},t)}{\partial t} = \frac{\rho(\mathbf{x},t)}{\epsilon} \\ \mathbf{A}(\mathbf{x},t) &= e\left[\frac{\boldsymbol{\beta}(t')}{\left[1 - \boldsymbol{\beta}(t') \cdot \hat{\mathbf{n}}(t')\right]^{3}R^{2}(t')}\right]_{ret} \\ \phi(\mathbf{x},t) &= e\left[\frac{1}{\left[1 - \boldsymbol{\beta}(t') \cdot \hat{\mathbf{n}}(t')\right]^{3}R(t')}\right]_{ret} \end{split}$$



106

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

What does the "prime" means in the retarded potentials?



Let's imagine that a source starts moving at t=0. We want to know the field in the point P located at R from the source

Watch out: retardation is the very root of the Cherenkov effect !





At the time t = t1 the source has moved, but the field perturbation has not reached P yet.
The retardation effect



The field changes in P only at the time t2. At that point, the field in P is the one generated in O, but the source is now in a completely different position.

At the generic time t, the field in P is the one generated by the source $\$ at the t' time in the past, when it was at distance R(t') from P

$$t = t' + \frac{R(t')}{c}$$

Istituto Nazionale di Fisica Nucleare

What is \mathbf{n} ? What is \mathbf{R} ? Where the hell is \mathbf{x} ?

$$\mathbf{A}(\mathbf{x},t) = e \left[\frac{\boldsymbol{\beta}(t')}{\left[1 - \boldsymbol{\beta}(t') \cdot \hat{\mathbf{n}}(t')\right]^3 R^2(t')} \right]_{ret}$$

- \rightarrow P is where the field is evaluated
- $\rightarrow \mathbf{x}$ is the coordinate of P.
- \rightarrow R(t) is the distance between the source and P
- \rightarrow r(t) is the position of the source
- \rightarrow $\boldsymbol{n}(t)$ is the versor along R

Contains implicitly $x:\ n=(\ x-r\)/r$



Istituto Nazionale di Fisica Nuclear

From the potential to the fields



From the 4-potential, we can easily (ahhahaahhahaha) derive the fields

$$\mathbf{A}(\mathbf{x}, t) = e \left[\frac{\boldsymbol{\beta}(t')}{\left[1 - \boldsymbol{\beta}(t') \cdot \hat{\mathbf{n}}(t')\right]^3 R^2(t')} \right]_{ret}$$
$$\phi(\mathbf{x}, t) = e \left[\frac{1}{\left[1 - \boldsymbol{\beta}(t') \cdot \hat{\mathbf{n}}(t')\right]^3 R(t')} \right]_{ret}$$

From the potential to the fields



From the 4-potential, we can easily (ahhahaahhahaha) derive the fields

$$\mathbf{A}(\mathbf{x},t) = e \left[\frac{\boldsymbol{\beta}(t')}{\left[1 - \boldsymbol{\beta}(t') \cdot \hat{\mathbf{n}}(t')\right]^3 R^2(t')} \right]_{ret} \qquad \qquad \mathbf{E} = -\frac{\partial \mathbf{A}}{\partial t} - \vec{\nabla}\phi$$
$$\mathbf{B} = \overline{\nabla} \times \mathbf{A}$$
$$\boldsymbol{\phi}(\mathbf{x},t) = e \left[\frac{1}{\left[1 - \boldsymbol{\beta}(t') \cdot \hat{\mathbf{n}}(t')\right]^3 R(t')} \right]_{ret}$$

Plug the potential in the field definition...

From the potential to the fields

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

From the 4-potential, we can easily (ahhahaahhahaha) derive the fields



Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO





Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

$$\mathbf{E}(\mathbf{x},t) = e \left[\frac{\mathbf{\hat{n}} - \mathbf{\beta}}{\gamma^2 (1 - \mathbf{\beta} \cdot \mathbf{\hat{n}})^3 R^2} \right]_{ret} + \frac{e}{c} \left[\frac{\mathbf{\hat{n}} \times ((\mathbf{\hat{n}} - \mathbf{\beta}) \times \mathbf{\dot{\beta}})}{(1 - \mathbf{\beta} \cdot \mathbf{\hat{n}})^3 R} \right]_{ret}$$



Far field approximation: the Coulomb term is negligible at large distances



Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

$$\mathbf{E}(\mathbf{x},t) = e \left[\frac{\mathbf{\hat{n}} - \mathbf{\beta}}{\gamma^2 (1 - \mathbf{\beta} \cdot \mathbf{\hat{n}})^3 R^2} \right]_{ret} + \frac{e}{c} \left[\frac{\mathbf{\hat{n}} \times ((\mathbf{\hat{n}} - \mathbf{\beta}) \times \mathbf{\dot{\beta}})}{(1 - \mathbf{\beta} \cdot \mathbf{\hat{n}})^3 R} \right]_{ret}$$

There is also another, fundamental reason to neglect the Coulomb part in this context.

Can you figure it out?

Far field polarization



Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

$$\mathbf{E}(\mathbf{x},t) = \frac{e}{c} \left[\frac{\mathbf{\hat{n}} \times ((\mathbf{\hat{n}} - \mathbf{\beta}) \times \mathbf{\dot{\beta}})}{(1 - \mathbf{\beta} \cdot \mathbf{\hat{n}})^3 R} \right]_{ret}$$

The far field is confined to the plane that comprises the origin, the source and the observation point, and is always orthogonal to the propagation direction

The radiation is linearly polarized!



How to get to the spectrum?

A this point we have a field generated just because the source is moving

 \rightarrow Derived in the vacuum, applies also in media re-defining b as b = v*n/c

 \rightarrow The field has an intriguing singularity at β = 1 ...

$$\mathbf{E}(\mathbf{x},t) = \frac{e}{c} \left[\frac{\mathbf{\hat{n}} \times ((\mathbf{\hat{n}} - \mathbf{\beta}) \times \mathbf{\dot{\beta}})}{(1 - \mathbf{\beta} \cdot \mathbf{\hat{n}})^3 R} \right]_{ret}$$

Istituto Nazionale di Fisica Nucleare

How to get to the spectrum?

A this point we have a field generated just because the source is moving

 \rightarrow Derived in the vacuum, applies also in media re-defining b as b = v*n/c

 \rightarrow The field has an intriguing singularity at β = 1 ...

$$\mathbf{E}(\mathbf{x},t) = \frac{e}{c} \left[\frac{\mathbf{\hat{n}} \times ((\mathbf{\hat{n}} - \mathbf{\beta}) \times \mathbf{\dot{\beta}})}{(1 - \mathbf{\beta} \cdot \mathbf{\hat{n}})^3 R} \right]_{ret}$$

To study its spectrum and angular distribution we will study the Intensity spectrum.

The intensity is defined in the standard way as the flux of the Poynting vector which can be also written in terms of the electric field only:

$$\frac{dI(\mathbf{x},\omega)}{d\Omega} = \frac{c}{2\pi} |R \cdot \mathbf{E}(\mathbf{x},\omega)|^2$$

For the full calculations of this part: Jackson 2nd Ed, pp. 668 and following

Istituto Nazionale di Fisica Nucleare



Almost all the interesting part of the calculation boils down to computing this:

$$R \cdot \mathbf{E}(\mathbf{x}, \omega) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} R \cdot \mathbf{E}(\mathbf{x}, t) e^{i\omega t} dt$$



Almost all the interesting part of the calculation boils down to computing this:

$$R \cdot \mathbf{E}(\mathbf{x}, \omega) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} R \cdot \mathbf{E}(\mathbf{x}, t) e^{i\omega t} dt$$
$$\prod_{\mathbf{x} \in \mathbf{X}, t} \mathbf{E}(\mathbf{x}, t) = \frac{e}{c} \left[\frac{\hat{\mathbf{n}} \times ((\hat{\mathbf{n}} - \boldsymbol{\beta}) \times \dot{\boldsymbol{\beta}})}{(1 - \boldsymbol{\beta} \cdot \hat{\mathbf{n}})^3 R} \right]_{ret}$$
$$R \cdot \mathbf{E}(\mathbf{x}, \omega) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} \left[\frac{\hat{\mathbf{n}} \times ((\hat{\mathbf{n}} - \boldsymbol{\beta}) \times \dot{\boldsymbol{\beta}})}{(1 - \boldsymbol{\beta} \cdot \hat{\mathbf{n}})^3} \right]_{ret} e^{i\omega t} dt$$

For the full calculations of this part: Jackson 2nd Ed, pp. 668 and following

Facing the Fourier transform

How to deal with the retarded time?

$$\begin{split} t &= t' + \frac{R(t')}{c} \\ t &= t' + \frac{R(t')}{c} \approx t' + x/c - \frac{\hat{\mathbf{n}} \cdot \mathbf{r}(t')}{c} \end{split}$$

In the far field, \mathbf{x} and \mathbf{R} are parallel



For the full calculations of this part: Jackson 2nd Ed, pp. 668 and following



Facing the Fourier transform







This is probably the most important and yet most controversial part of the calculation.

In the far field approx, the versor \mathbf{n} is almost constant. Therefore, it can be proven that:

$$\frac{\hat{\mathbf{n}} \times ((\hat{\mathbf{n}} - \boldsymbol{\beta}) \times \dot{\boldsymbol{\beta}})}{(1 - \boldsymbol{\beta} \cdot \hat{\mathbf{n}})^2} = \frac{d}{dt} \left(\frac{\hat{\mathbf{n}} \times (\hat{\mathbf{n}} \times \boldsymbol{\beta})}{1 - \boldsymbol{\beta} \cdot \hat{\mathbf{n}}} \right)$$



125

This is probably the most important and yet most controversial part of the calculation.

In the far field approx, the versor \mathbf{n} is almost constant. Therefore, it can be proven that:

$$\frac{\mathbf{\hat{n}} \times ((\mathbf{\hat{n}} - \boldsymbol{\beta}) \times \mathbf{\dot{\beta}})}{(1 - \boldsymbol{\beta} \cdot \mathbf{\hat{n}})^2} = \frac{d}{dt} \left(\frac{\mathbf{\hat{n}} \times (\mathbf{\hat{n}} \times \boldsymbol{\beta})}{1 - \boldsymbol{\beta} \cdot \mathbf{\hat{n}}} \right)$$

And the Fourier integral can be carried out by parts:

$$\frac{dI}{d\Omega} = \frac{e^2}{4\pi^2 c} \left| \left[e^{i\omega(t' - \frac{\hat{\mathbf{n}} \cdot \mathbf{r}}{c})} \frac{\hat{\mathbf{n}} \times (\hat{\mathbf{n}} \times \boldsymbol{\beta})}{1 - \boldsymbol{\beta} \cdot \hat{\mathbf{n}}} \right]_{-\infty}^{+\infty} + \int_{-\infty}^{+\infty} i\omega e^{i\omega(t' - \frac{\hat{\mathbf{n}} \cdot \mathbf{r}}{c})} \hat{\mathbf{n}} \times (\hat{\mathbf{n}} \times \boldsymbol{\beta}) dt' \right|^2$$

For the full calculations of this part: Jackson 2nd Ed, pp. 668 and following (not true, not even Jackson does this explicitly...)

So far we treated the system in a rather general way, but for the case of Cherenkov radiation we can make few assumptions that greatly simplify the geometry:

- \rightarrow The source moves on a line
- \rightarrow We use the source direction as reference axis

$$\hat{\mathbf{n}} \cdot \mathbf{r}/c = \hat{\mathbf{n}} \cdot \mathbf{v} \cdot t/c = \beta \cos \theta \cdot t$$
$$\hat{\mathbf{n}} \times (\hat{\mathbf{n}} \times \boldsymbol{\beta}) |\approx \beta \sin \theta$$

$$1 - \boldsymbol{\beta} \cdot \hat{\mathbf{n}} \approx 1 - \beta \cos \theta$$



Istituto Nazionale di Fisica Nucleare





$$\frac{d^2 I(\mathbf{x},\omega)}{d\Omega d\omega} = \frac{e^2}{4\pi^2 c} \omega^2 v^2 \sin^2 \theta \left| \int_{-\infty}^{+\infty} e^{i\omega t (1-\beta\cos\theta)} dt \right|^2$$

We won!



This is an expression of the radiation intensity as function of frequency and angle



This is an expression of the radiation intensity as function of frequency and angle

$$\frac{d^2 I(\mathbf{x},\omega)}{d\Omega d\omega} = \frac{e^2}{4\pi^2 c} \omega^2 v^2 \sin^2 \theta \left| \int_{-\infty}^{+\infty} e^{i\omega t (1-\beta\cos\theta)} dt \right|^2$$

Unfortunately this is a representation of a delta function

The square of the delta function is not integrable. Everything diverges!

$$I = \frac{e^2}{4\pi^2 c} v^2 \int \int d\omega d\Omega \, \sin^2 \theta \omega^2 \, 2\pi \, \delta^2 [\omega (1 - \beta \cos \theta)]$$



Istituto Nazionale di Fisica Nucleare

(and incidentally blow up the whole universe in the process)?

$$\frac{d^2 I(\mathbf{x},\omega)}{d\Omega d\omega} = \frac{e^2}{4\pi^2 c} \omega^2 v^2 \sin^2 \theta \left| \int_{-\infty}^{+\infty} e^{i\omega t (1-\beta\cos\theta)} dt \right|$$

First, this object is intriguing, since it is well defined also for $\beta > 1$.

Perhaps this is the Cherenkov radiation, but we are missing some other contribution that culls the divergence...





Rethinking our mistakes

So, what led us to create free, endless energy



First hint:

$$\frac{d^2 I(\mathbf{x},\omega)}{d\Omega d\omega} = \frac{e^2}{4\pi^2 c} \left| \int_{-\infty}^{+\infty} e^{i\omega(t' - \frac{\hat{\mathbf{n}} \cdot \mathbf{r}(t')}{c})} \frac{\hat{\mathbf{n}} \times ((\hat{\mathbf{n}} - \boldsymbol{\beta}) \times \dot{\boldsymbol{\beta}})}{(1 - \boldsymbol{\beta} \cdot \hat{\mathbf{n}})^2} dt' \right|^2$$

This integral runs over an infinite range only formally.

The acceleration can be non-zero only over a finite time interval (otherwise we would violate the energy conservation principle), and so it acts as a damping function

$$\frac{d^2 I}{d\Omega d\omega} = \frac{e^2}{4\pi^2 c} \left| \int_{-\infty}^{+\infty} i\omega e^{i\omega(t' - \hat{\mathbf{n}} \cdot \mathbf{r})} \hat{\mathbf{n}} \times (\hat{\mathbf{n}} \times \boldsymbol{\beta}) dt' \right|^2$$

Here we removed the damping function and the integral is really over an infinite time!





Second hint:





In summary:

- \rightarrow By removing the explicit dependence on the acceleration, we turned an integral that was formally, but not actually, on an infinite range to a real integral over an infinite range.
- ightarrow To solve it, we had to violate the far field approximation

How can we get out of this?

Rethinking our approximations

We have to introduce limits to out problem!

- \rightarrow Take a slab of dielectric instead of an infinite one
- \rightarrow Assume that β is almost constant while crossing the slab (but not strictly constant)
- \rightarrow Assume that in the vacuum before and after there is no acceleration



Istituto Nazionale di Fisica Nucleare

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

Everything goes as before, but the integration range is now finite (the time to cross the slab)

$$\frac{d^2 I}{d\Omega d\omega} = \frac{e^2}{4\pi^2 c} \left| \left[e^{i\omega t (1-\beta\cos\theta)} \frac{\beta\sin\theta}{(1-\beta\cos\theta)} \right]_{-a/2v}^{+a/2v} + \int_{-a/2v}^{+a/2v} i\omega e^{i\omega t (1-\beta\cos\theta)} \beta\sin\theta dt \right|^2$$

The calculation takes a bit but it's rather easy if you assume to be able to treat β as a constant



$$\frac{d^2 I}{d\Omega d\omega} = \frac{e^2}{4\pi^2 c} a^2 \omega^2 \sin^2 \theta \left(\frac{\sin \alpha}{\alpha}\right)^2$$
$$\alpha = \frac{1}{2}(1 - \beta \cos \theta)\omega \frac{a}{v}$$

Intensity of the radiation emitted by a particle of constant velocity when crossing a dielectric of thickness a. β is IN the dielectric, so encodes the refractive index

OK, that was the last calculation! Now let's have a look at what we got.



Istituto Nazionale di Fisica Nucleare

SEZIONE DI TORINO

OK, that was the last calculation! Now let's have a look at what we got.



Istituto Nazionale di Fisica Nucleare

SEZIONE DI TORINO

OK, that was the last calculation! Now let's have a look at what we got.



Istituto Nazionale di Fisica Nucleare

SEZIONE DI TORINO

OK, that was the last calculation! Now let's have a look at what we got.



Istituto Nazionale di Fisica Nucleare

SETIONE DI TORINO

INFN

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

Back to the formula, what is happening?



INFN

Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO

Back to the formula, what is happening?



Not a singularity, no infinite energy anymore





Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO





Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO




Istituto Nazionale di Fisica Nucleare SEZIONE DI TORINO



Towards the Frank-Tamm formula



How much radiation is emitted **per unit of dielectric?**

$$\frac{d^2 I}{d\Omega d\omega} = \frac{e^2}{4\pi^2 c} a^2 \omega^2 \sin^2 \theta \left(\frac{\sin \alpha}{\alpha}\right)^2$$



How much radiation is emitted **per unit of dielectric?**

$$\frac{d^2 I}{d\Omega d\omega} = \frac{e^2}{4\pi^2 c} a^2 \omega^2 \sin^2 \theta \left(\frac{\sin \alpha}{\alpha}\right)^2$$
$$\alpha = \frac{1}{2}(1 - \beta \cos \theta)\omega \frac{a}{v}$$

Remember that a limit for sinc² is linked to the Dirac delta

$$\lim_{t \to \infty} \frac{1}{\pi t} \frac{\sin^2[(x-a)t]}{(x-a)^2}$$
 147