



# The Neutrino Mass Bound from Leptogenesis Revisited

---

Edward Wang

Based on 2411.09765 with B. Garbrecht

2025

Technical University of Munich (TUM)

# Table of Contents

Introduction

Leptogenesis

Bounds on Seesaw Parameters

Conclusion

# Table of Contents

Introduction

Leptogenesis

Bounds on Seesaw Parameters

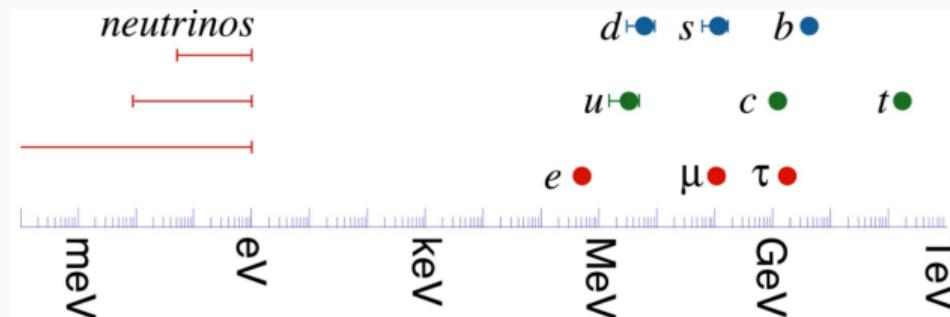
Conclusion

# Introduction

- Early 2000s: discovery of neutrino oscillations  $\Rightarrow$  neutrinos have mass

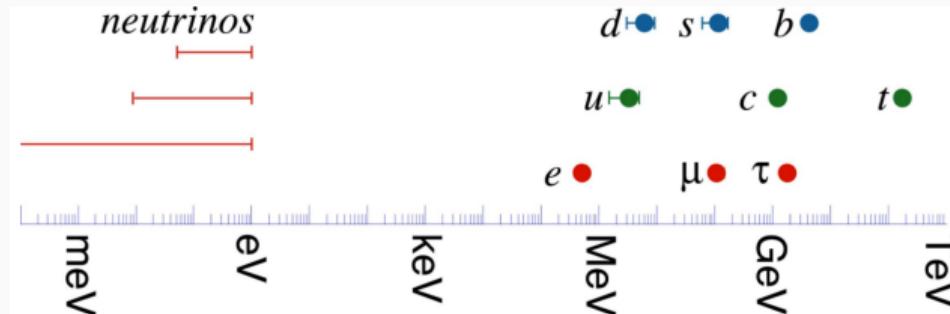
# Introduction

- Early 2000s: discovery of neutrino oscillations  $\Rightarrow$  neutrinos have mass
- Mass mechanism unknown, why so tiny?



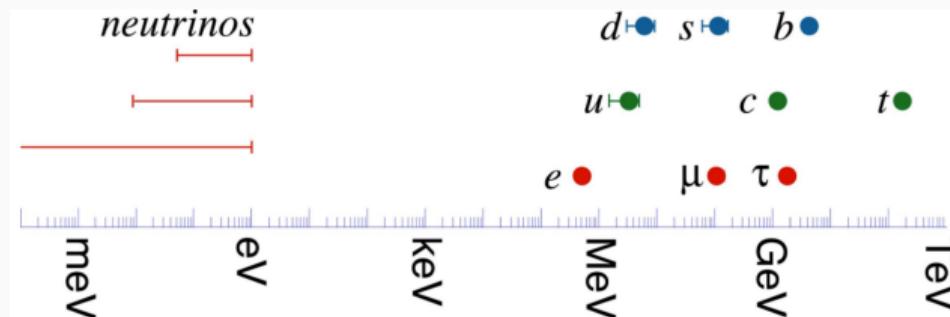
# Introduction

- Early 2000s: discovery of neutrino oscillations  $\Rightarrow$  neutrinos have mass
- Mass mechanism unknown, why so tiny?
- More matter than antimatter in the Universe, but  $CP$ -violation in the SM insufficient



# Introduction

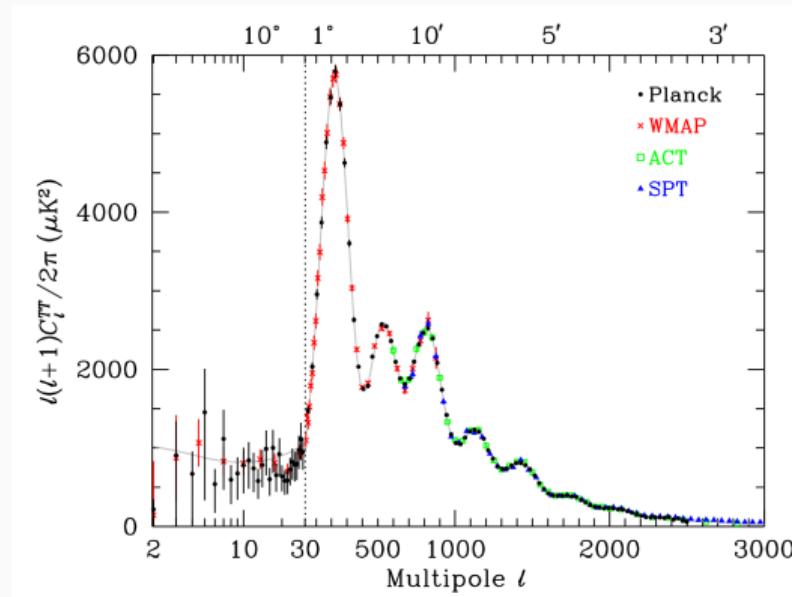
- Early 2000s: discovery of neutrino oscillations  $\Rightarrow$  neutrinos have mass
- Mass mechanism unknown, why so tiny?
- More matter than antimatter in the Universe, but  $CP$ -violation in the SM insufficient
- Leptogenesis as common explanation



# Matter-Antimatter Asymmetry

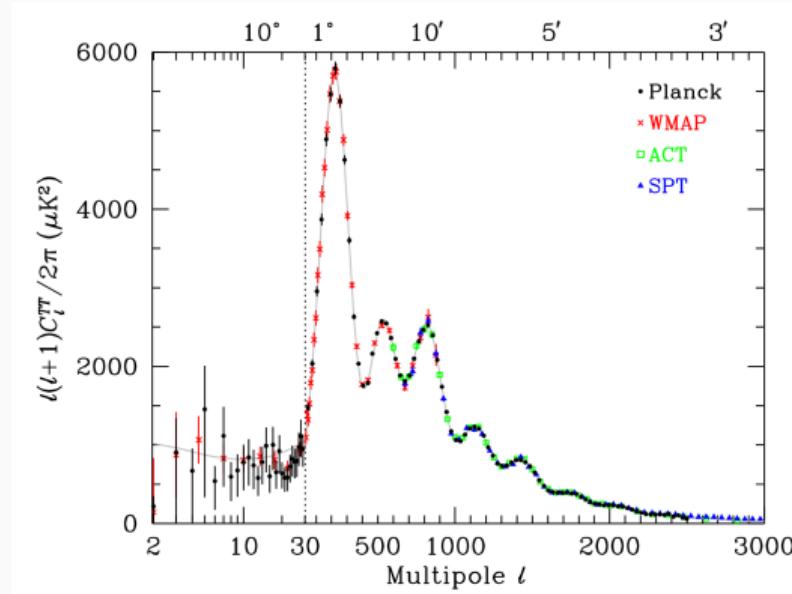
- From CMB power spectrum and BBN predictions, know

$$\eta_B = n_B/n_\gamma = 6.104 \pm 0.058 \times 10^{-10}$$



# Matter-Antimatter Asymmetry

- From CMB power spectrum and BBN predictions, know  
 $\eta_B = n_B/n_\gamma = 6.104 \pm 0.058 \times 10^{-10}$
- No evidence for relevant antimatter density



# Table of Contents

Introduction

Leptogenesis

Bounds on Seesaw Parameters

Conclusion

## Seesaw Mechanism

Add Majorana fermions  $N_i$  coupling to  $\nu$  and to  $\phi$ :

$$\mathcal{L} \supset -\frac{1}{2}M_i\bar{N}_iN_i - Y_{ij}\bar{\ell}_i\tilde{\phi}N_j + h.c.$$

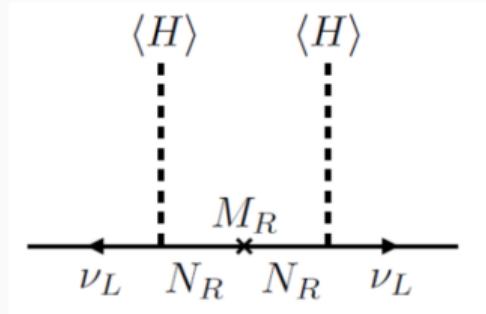
## Seesaw Mechanism

Add Majorana fermions  $N_i$  coupling to  $\nu$  and to  $\phi$ :

$$\mathcal{L} \supset -\frac{1}{2}M_i\bar{N}_iN_i - Y_{ij}\bar{\ell}_i\tilde{\phi}N_j + h.c.$$

After Electroweak Symmetry Breaking,  
neutrino mass matrix is

$$m_\nu = \frac{v^2}{2}YM^{-1}Y^T.$$



## Seesaw Mechanism

Add Majorana fermions  $N_i$  coupling to  $\nu$  and to  $\phi$ :

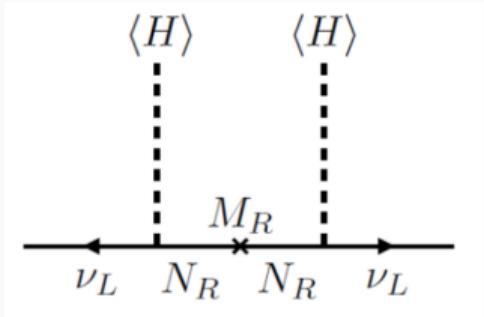
$$\mathcal{L} \supset -\frac{1}{2} M_i \bar{N}_i N_i - Y_{ij} \bar{\ell}_i \tilde{\phi} N_j + h.c.$$

After Electroweak Symmetry Breaking,  
neutrino mass matrix is

$$m_\nu = \frac{v^2}{2} Y M^{-1} Y^T.$$

Diagonalized by PMNS matrix:

$$D_\nu = \text{diag}(m_1, m_2, m_3) = U^T m_\nu U$$



## Seesaw Parameters

Casas and Ibarra introduced a parametrization of Seesaw parameters:

$$Y = \frac{\sqrt{2}}{v} U \sqrt{D_\nu} R \sqrt{M},$$

with  $R$  a complex  $3 \times 3$  orthogonal matrix.

## Seesaw Parameters

Casas and Ibarra introduced a parametrization of Seesaw parameters:

$$Y = \frac{\sqrt{2}}{v} U \sqrt{D_\nu} R \sqrt{M},$$

with  $R$  a complex  $3 \times 3$  orthogonal matrix.

neutrino masses  $m_i$       3

## Seesaw Parameters

Casas and Ibarra introduced a parametrization of Seesaw parameters:

$$Y = \frac{\sqrt{2}}{v} U \sqrt{D_\nu} R \sqrt{M},$$

with  $R$  a complex  $3 \times 3$  orthogonal matrix.

neutrino masses $m_i$	3
PMNS angles $\theta_{ij}$	3

## Seesaw Parameters

Casas and Ibarra introduced a parametrization of Seesaw parameters:

$$Y = \frac{\sqrt{2}}{v} U \sqrt{D_\nu} R \sqrt{M},$$

with  $R$  a complex  $3 \times 3$  orthogonal matrix.

neutrino masses $m_i$	3
PMNS angles $\theta_{ij}$	3
Dirac phase $\delta$	1

## Seesaw Parameters

Casas and Ibarra introduced a parametrization of Seesaw parameters:

$$Y = \frac{\sqrt{2}}{v} U \sqrt{D_\nu} R \sqrt{M},$$

with  $R$  a complex  $3 \times 3$  orthogonal matrix.

neutrino masses  $m_i$       3

PMNS angles  $\theta_{ij}$       3

Dirac phase  $\delta$       1

Majorana phases  $\alpha_i$       2

## Seesaw Parameters

Casas and Ibarra introduced a parametrization of Seesaw parameters:

$$Y = \frac{\sqrt{2}}{v} U \sqrt{D_\nu} R \sqrt{M},$$

with  $R$  a complex  $3 \times 3$  orthogonal matrix.

neutrino masses $m_i$	3
PMNS angles $\theta_{ij}$	3
Dirac phase $\delta$	1
Majorana phases $\alpha_i$	2
RHN masses $M_i$	3

## Seesaw Parameters

Casas and Ibarra introduced a parametrization of Seesaw parameters:

$$Y = \frac{\sqrt{2}}{v} U \sqrt{D_\nu} R \sqrt{M},$$

with  $R$  a complex  $3 \times 3$  orthogonal matrix.

neutrino masses $m_i$	3
PMNS angles $\theta_{ij}$	3
Dirac phase $\delta$	1
Majorana phases $\alpha_i$	2
RHN masses $M_i$	3
Cl-angles	6

## Seesaw Parameters

Casas and Ibarra introduced a parametrization of Seesaw parameters:

$$Y = \frac{\sqrt{2}}{v} U \sqrt{D_\nu} R \sqrt{M},$$

with  $R$  a complex  $3 \times 3$  orthogonal matrix.

neutrino masses $m_i$	3
PMNS angles $\theta_{ij}$	3
Dirac phase $\delta$	1
Majorana phases $\alpha_i$	2
RHN masses $M_i$	3
Cl-angles	6
No. of parameters	18

## ***CP*-Violation**

- *CP*-transformation flips phases of couplings:  $i\mathcal{M}_{N \rightarrow \ell\phi}^{\text{LO}} = (i\mathcal{M}_{N \rightarrow \bar{\ell}\phi^*}^{\text{LO}})^*$

## *CP*-Violation

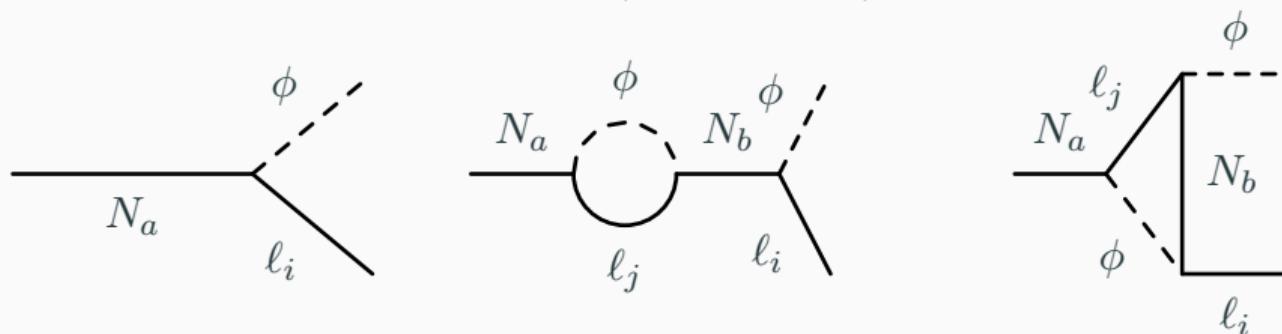
- *CP*-transformation flips phases of couplings:  $i\mathcal{M}_{N \rightarrow \ell\phi}^{\text{LO}} = (i\mathcal{M}_{N \rightarrow \bar{\ell}\phi^*}^{\text{LO}})^*$
- On-shell cut gives *CP*-even *i* factor:  $i\mathcal{M}_{N \rightarrow \ell\phi}^{\text{abs}} = -(i\mathcal{M}_{N \rightarrow \bar{\ell}\phi^*}^{\text{abs}})^*$

## ***CP*-Violation**

- $CP$ -transformation flips phases of couplings:  $i\mathcal{M}_{N \rightarrow \ell\phi}^{\text{LO}} = (i\mathcal{M}_{N \rightarrow \bar{\ell}\phi^*}^{\text{LO}})^*$
- On-shell cut gives  $CP$ -even  $i$  factor:  $i\mathcal{M}_{N \rightarrow \ell\phi}^{\text{abs}} = -(i\mathcal{M}_{N \rightarrow \bar{\ell}\phi^*}^{\text{abs}})^*$
- $|i\mathcal{M}_{N \rightarrow \ell\phi}^{\text{LO}} + i\mathcal{M}_{N \rightarrow \ell\phi}^{\text{abs}}|^2 \neq |i\mathcal{M}_{N \rightarrow \bar{\ell}\phi^*}^{\text{LO}} + i\mathcal{M}_{N \rightarrow \bar{\ell}\phi^*}^{\text{abs}}|^2 \Rightarrow CP\text{-violation!}$

# $CP$ -Violation

- $CP$ -transformation flips phases of couplings:  $i\mathcal{M}_{N \rightarrow \ell\phi}^{\text{LO}} = (i\mathcal{M}_{N \rightarrow \bar{\ell}\phi^*}^{\text{LO}})^*$
- On-shell cut gives  $CP$ -even  $i$  factor:  $i\mathcal{M}_{N \rightarrow \ell\phi}^{\text{abs}} = -(i\mathcal{M}_{N \rightarrow \bar{\ell}\phi^*}^{\text{abs}})^*$
- $|i\mathcal{M}_{N \rightarrow \ell\phi}^{\text{LO}} + i\mathcal{M}_{N \rightarrow \ell\phi}^{\text{abs}}|^2 \neq |i\mathcal{M}_{N \rightarrow \bar{\ell}\phi^*}^{\text{LO}} + i\mathcal{M}_{N \rightarrow \bar{\ell}\phi^*}^{\text{abs}}|^2 \Rightarrow CP\text{-violation!}$



## Sakharov Conditions

Mechanism for producing a matter-antimatter asymmetry must satisfy three Sakharov conditions:

## Sakharov Conditions

Mechanism for producing a matter-antimatter asymmetry must satisfy three Sakharov conditions:

- Departure from thermal equilibrium

## Sakharov Conditions

Mechanism for producing a matter-antimatter asymmetry must satisfy three Sakharov conditions:

- Departure from thermal equilibrium
- Baryon-number violation

## Sakharov Conditions

Mechanism for producing a matter-antimatter asymmetry must satisfy three Sakharov conditions:

- Departure from thermal equilibrium
- Baryon-number violation
- $CP$ -violation

## Sakharov Conditions

Mechanism for producing a matter-antimatter asymmetry must satisfy three Sakharov conditions:

- Departure from thermal equilibrium  $\Rightarrow$  Expansion of the Universe
- Baryon-number violation
- $CP$ -violation

## Sakharov Conditions

Mechanism for producing a matter-antimatter asymmetry must satisfy three Sakharov conditions:

- Departure from thermal equilibrium  $\Rightarrow$  Expansion of the Universe
- Baryon-number violation  $\Rightarrow$  Sphaleron processes
- $CP$ -violation

## Sakharov Conditions

Mechanism for producing a matter-antimatter asymmetry must satisfy three Sakharov conditions:

- Departure from thermal equilibrium  $\Rightarrow$  Expansion of the Universe
- Baryon-number violation  $\Rightarrow$  Sphaleron processes
- $CP$ -violation  $\Rightarrow$  Majorana fermion decay

# Table of Contents

Introduction

Leptogenesis

Bounds on Seesaw Parameters

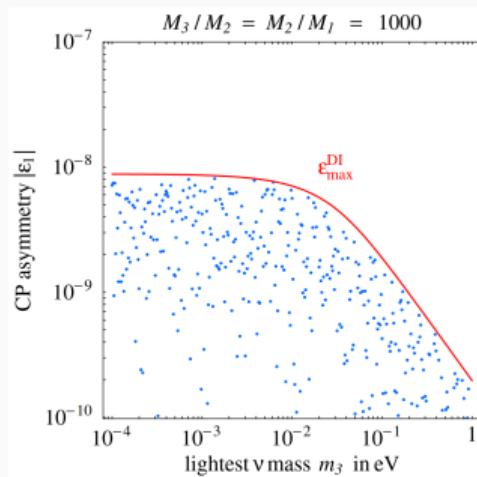
Conclusion

## Davidson-Ibarra Bound

In unflavored leptogenesis with hierarchical RHNs,  $N_1$  decay dominant source of asymmetry. Upper bound for the decay asymmetry of the lightest RHN [Davidson, Ibarra (2002)]

$$\varepsilon_1 \lesssim 10^{-6} \frac{M_1}{10^{10} \text{ GeV}} \frac{m_{\text{atm}}}{m_1 + m_3}.$$

Unflavored  
leptogenesis insensitive to PMNS parameters.



[Hambye et. al. (2004)]

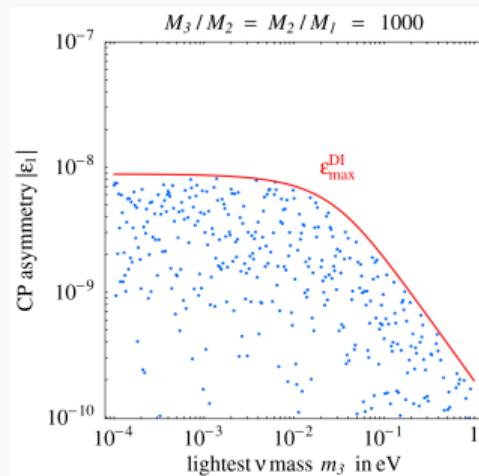
## Davidson-Ibarra Bound

In unflavored leptogenesis with hierarchical RHNs,  $N_1$  decay dominant source of asymmetry. Upper bound for the decay asymmetry of the lightest RHN [Davidson, Ibarra (2002)]

$$\varepsilon_1 \lesssim 10^{-6} \frac{M_1}{10^{10} \text{ GeV}} \frac{m_{\text{atm}}}{m_1 + m_3}.$$

Unflavored  
leptogenesis insensitive to PMNS parameters. Implies

$$M_1 \gtrsim 10^{8-9} \text{ GeV},$$



for thermal leptogenesis.

[Hambye et. al. (2004)]

## Flavored Leptogenesis

Unflavored leptogenesis from kinetic equations

$$\begin{aligned}\frac{dY_{N_i}}{dz} &= - C_{N_i} (Y_{N_i} - Y_{N_i}^{\text{eq}}), \\ \frac{dY_\ell}{dz} &= - \varepsilon_i C_{N_i} (Y_{N_i} - Y_{N_i}^{\text{eq}}) - W Y_\ell.\end{aligned}$$

## Flavored Leptogenesis

Unflavored leptogenesis from kinetic equations

$$\begin{aligned}\frac{dY_{N_i}}{dz} &= -C_{N_i}(Y_{N_i} - Y_{N_i}^{\text{eq}}), \\ \frac{dY_\ell}{dz} &= -\varepsilon_i C_{N_i}(Y_{N_i} - Y_{N_i}^{\text{eq}}) - WY_\ell.\end{aligned}$$

Taking lepton flavor into account, find instead

$$\begin{aligned}\frac{dY_{N_i}}{dz} &= -C_{N_i}(Y_{N_i} - Y_{N_i}^{\text{eq}}), \\ \frac{dY_{\ell_\alpha}}{dz} &= -\varepsilon_{\alpha i} C_{N_i}(Y_{N_i} - Y_{N_i}^{\text{eq}}) - W_\alpha Y_{\ell_\alpha}.\end{aligned}$$

## Flavored Leptogenesis

Unflavored leptogenesis from kinetic equations

$$\begin{aligned}\frac{dY_{N_i}}{dz} &= -C_{N_i}(Y_{N_i} - Y_{N_i}^{\text{eq}}), \\ \frac{dY_\ell}{dz} &= -\varepsilon_i C_{N_i}(Y_{N_i} - Y_{N_i}^{\text{eq}}) - W Y_\ell.\end{aligned}$$

Taking lepton flavor into account, find instead

$$\begin{aligned}\frac{dY_{N_i}}{dz} &= -C_{N_i}(Y_{N_i} - Y_{N_i}^{\text{eq}}), \\ \frac{dY_{\ell_\alpha}}{dz} &= -\varepsilon_{\alpha i} C_{N_i}(Y_{N_i} - Y_{N_i}^{\text{eq}}) - W_\alpha Y_{\ell_\alpha}.\end{aligned}$$

$\varepsilon_{\alpha i}$  in principle unbounded, but DI-bound still holds in absence of resonant enhancement.

## Low-Scale Leptogenesis

- At high temperatures, RHNs relativistic

$$\frac{d}{dz} f_{h,ij} \approx (M_i^2 - M_j^2) f_{h,ij} - \{\Gamma_h, f\}_{ij} + \dots$$

## Low-Scale Leptogenesis

- At high temperatures, RHNs relativistic

$$\frac{d}{dz} f_{h,ij} \approx (M_i^2 - M_j^2) f_{h,ij} - \{\Gamma_h, f\}_{ij} + \dots$$

- Lepton number violating processes suppressed, but obtain flavored asymmetries in left-handed leptons

## Low-Scale Leptogenesis

- At high temperatures, RHNs relativistic

$$\frac{d}{dz} f_{h,ij} \approx (M_i^2 - M_j^2) f_{h,ij} - \{\Gamma_h, f\}_{ij} + \dots$$

- Lepton number violating processes suppressed, but obtain flavored asymmetries in left-handed leptons
- At low temperatures, LNV processes unsuppressed and flavor dependent  $\Rightarrow L$  asymmetry

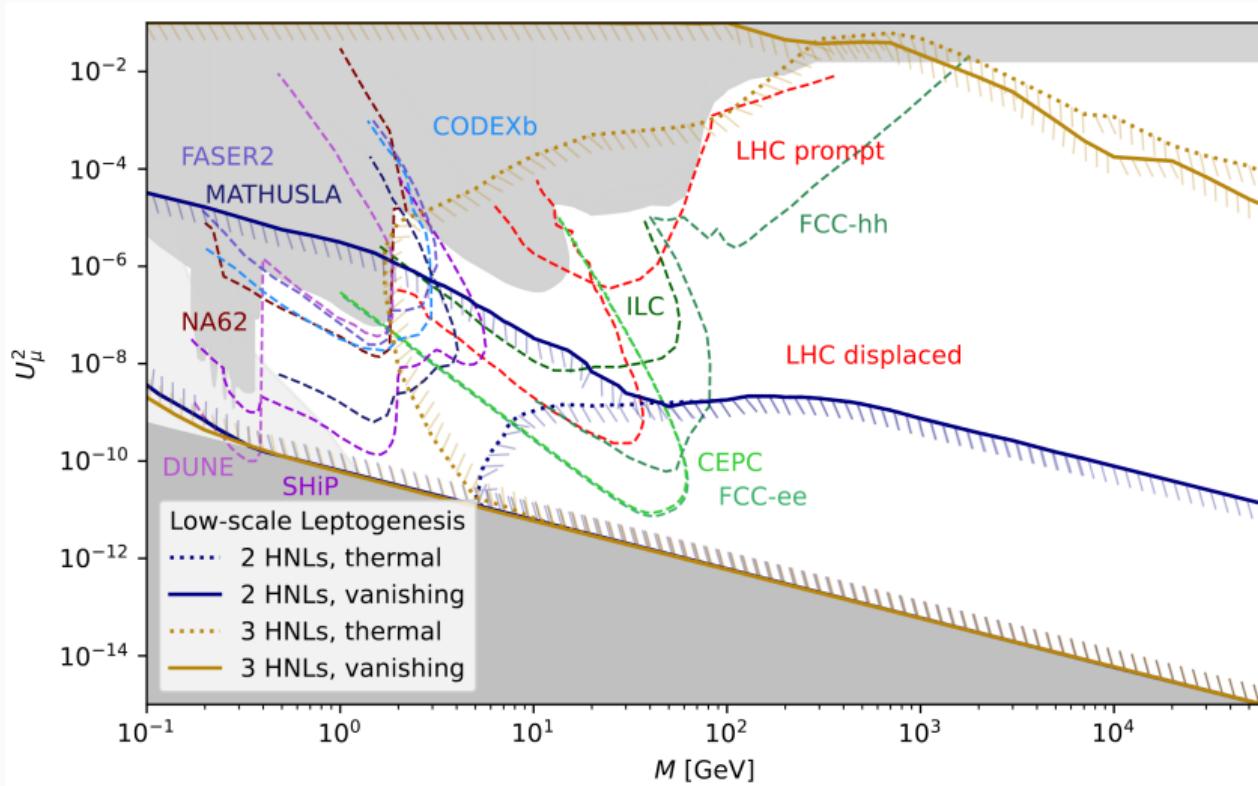
## Low-Scale Leptogenesis

- At high temperatures, RHNs relativistic

$$\frac{d}{dz} f_{h,ij} \approx (M_i^2 - M_j^2) f_{h,ij} - \{\Gamma_h, f\}_{ij} + \dots$$

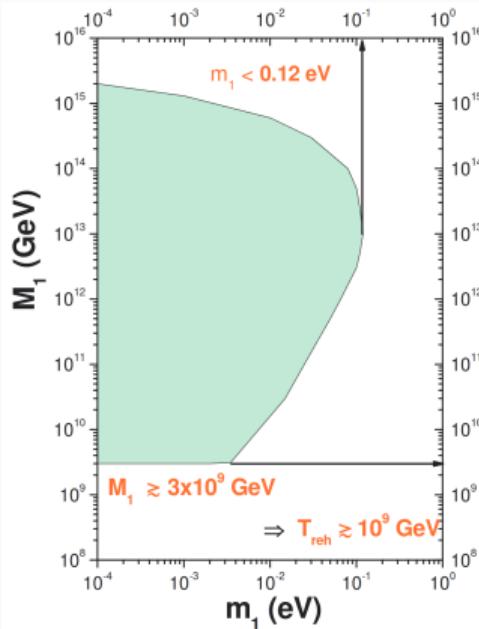
- Lepton number violating processes suppressed, but obtain flavored asymmetries in left-handed leptons
- At low temperatures, LNV processes unsuppressed and flavor dependent  $\Rightarrow L$  asymmetry
- Asymmetry  $\sim T^2/(M_j^2 - M_i^2)$  instead of  $M_i M_j / (M_j^2 - M_i^2)$ , no fine-tuning required

# Seesaw Parameters



# Neutrino Mass Window from Leptogenesis

Buchmüller, Di Bari and Plümacher found upper bound on neutrino masses for unflavored leptogenesis.

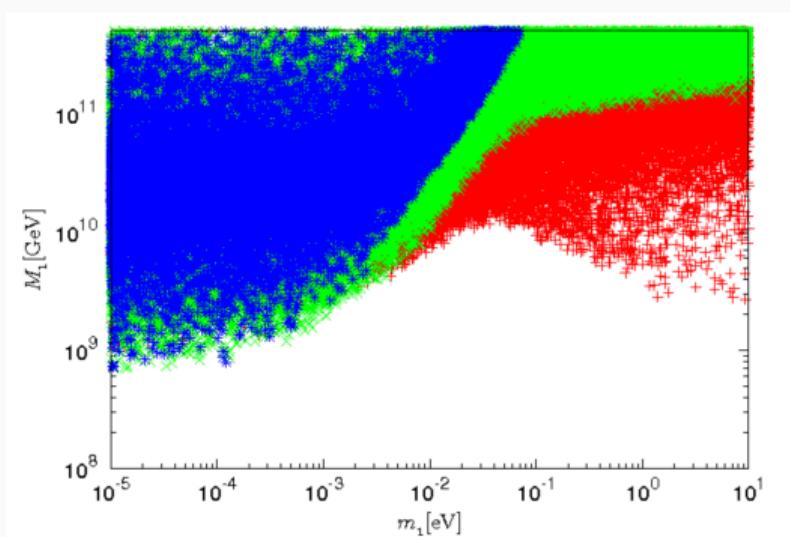


[Blanchet, Di Bari (2012)]

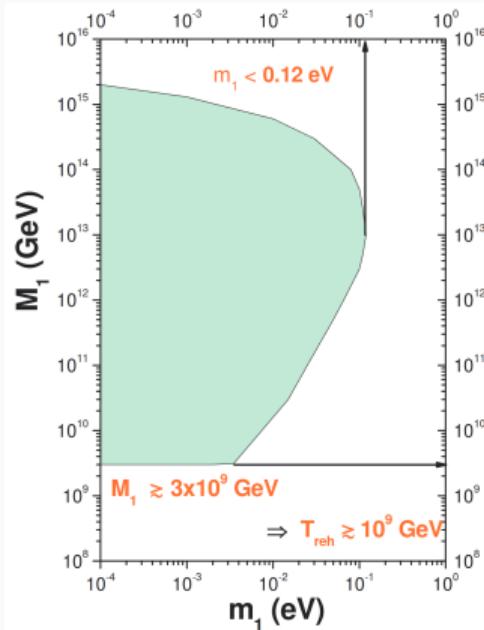
# Neutrino Mass Window from Leptogenesis

Buchmüller, Di Bari and Plümacher found upper bound on neutrino masses for unflavored leptogenesis.

Can be evaded in flavored cases:



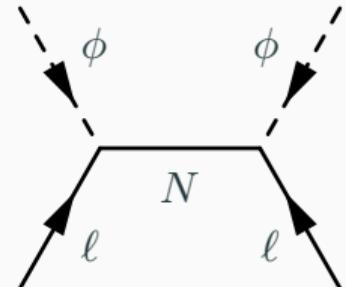
[Blanchet, Di Bari (2008)]



[Blanchet, Di Bari (2012)]

## $\Delta L = 2$ Processes

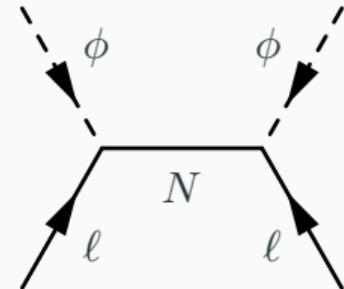
$\Delta L = 2$  washout processes key ingredients  
for mass bound.



## $\Delta L = 2$ Processes

$\Delta L = 2$  washout processes key ingredients  
for mass bound. Averaging over initial and final flavors yields

$$W_{\Delta L=2} \sim \frac{M_1}{z^2} \sum_i m_i^2,$$

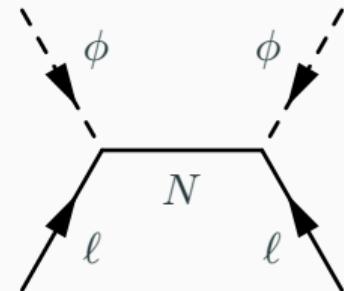


$\Rightarrow$  directly sensitive to neutrino mass scale, no exponential suppression in  $z$ .

## $\Delta L = 2$ Processes

$\Delta L = 2$  washout processes key ingredients  
for mass bound. Averaging over initial and final flavors yields

$$W_{\Delta L=2} \sim \frac{M_1}{z^2} \sum_i m_i^2,$$



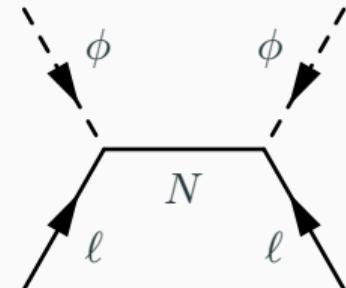
$\Rightarrow$  directly sensitive to neutrino mass scale, no exponential suppression in  $z$ .

**However**,  $N_1$  couples to linear combination of flavors  $\ell_{||}$ , interactions with  $N_{2,3}$  have additional phases.

## $\Delta L = 2$ Processes

$\Delta L = 2$  washout processes key ingredients  
for mass bound. Averaging over initial and final flavors yields

$$W_{\Delta L=2} \sim \frac{M_1}{z^2} \sum_i m_i^2,$$



$\Rightarrow$  directly sensitive to neutrino mass scale, no exponential suppression in  $z$ .

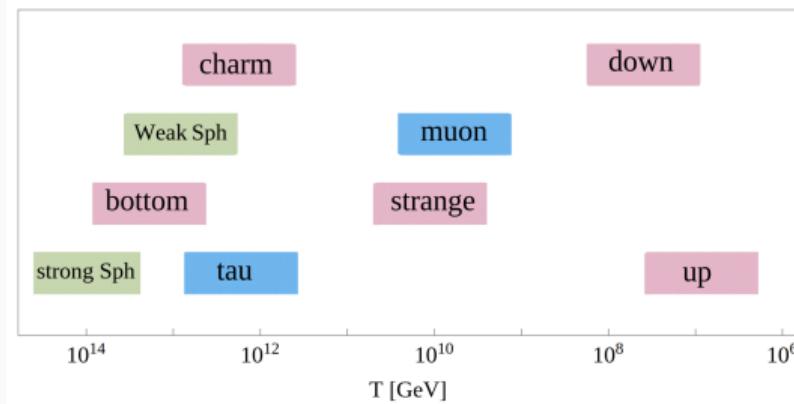
**However**,  $N_1$  couples to linear combination of flavors  $\ell_{||}$ , interactions with  $N_{2,3}$  have additional phases. New rate

$$W_{\Delta L=2} \sim \frac{K^2 M_1}{z^2},$$

with  $K$  the washout parameter.

# Spectator Effects

Spectator fields are fields not coupling directly to the RHNs



[Garbrecht, Schwaller (2014)]

## Spectator Effects

Defining  $\Delta_{\parallel} = B/3 - 2q_{\ell_{\parallel}}$ , write

$$\frac{dY_{N_1}}{dz} = -C_{N_1}(Y_{N_1} - Y_{N_1}^{\text{eq}}),$$

$$\frac{dY_{\Delta_{\parallel}}}{dz} = -S(Y_{N_1} - Y_{N_1}^{\text{eq}}) + 2W \left( Y_{\ell_{\parallel}} + \frac{1}{2}Y_H \right).$$

## Spectator Effects

Defining  $\Delta_{\parallel} = B/3 - 2q_{\ell_{\parallel}}$ , write

$$\begin{aligned}\frac{dY_{N_1}}{dz} &= -C_{N_1}(Y_{N_1} - Y_{N_1}^{\text{eq}}), \\ \frac{dY_{\Delta_{\parallel}}}{dz} &= -S(Y_{N_1} - Y_{N_1}^{\text{eq}}) + 2W \left( Y_{\ell_{\parallel}} + \frac{1}{2}Y_H \right).\end{aligned}$$

Without further interactions, have

$$\mu_{\ell_{\parallel}} = 2\mu_H, \quad Y_{\Delta_{\parallel}} = -2Y_{\ell_{\parallel}} \Rightarrow Y_H = Y_{\ell_{\parallel}} = -\frac{1}{2}Y_{\Delta_{\parallel}}.$$

## Spectator Effects

Defining  $\Delta_{\parallel} = B/3 - 2q_{\ell_{\parallel}}$ , write

$$\frac{dY_{N_1}}{dz} = -C_{N_1}(Y_{N_1} - Y_{N_1}^{\text{eq}}),$$

$$\frac{dY_{\Delta_{\parallel}}}{dz} = -S(Y_{N_1} - Y_{N_1}^{\text{eq}}) + 2W \left( Y_{\ell_{\parallel}} + \frac{1}{2}Y_H \right).$$

Without further interactions, have

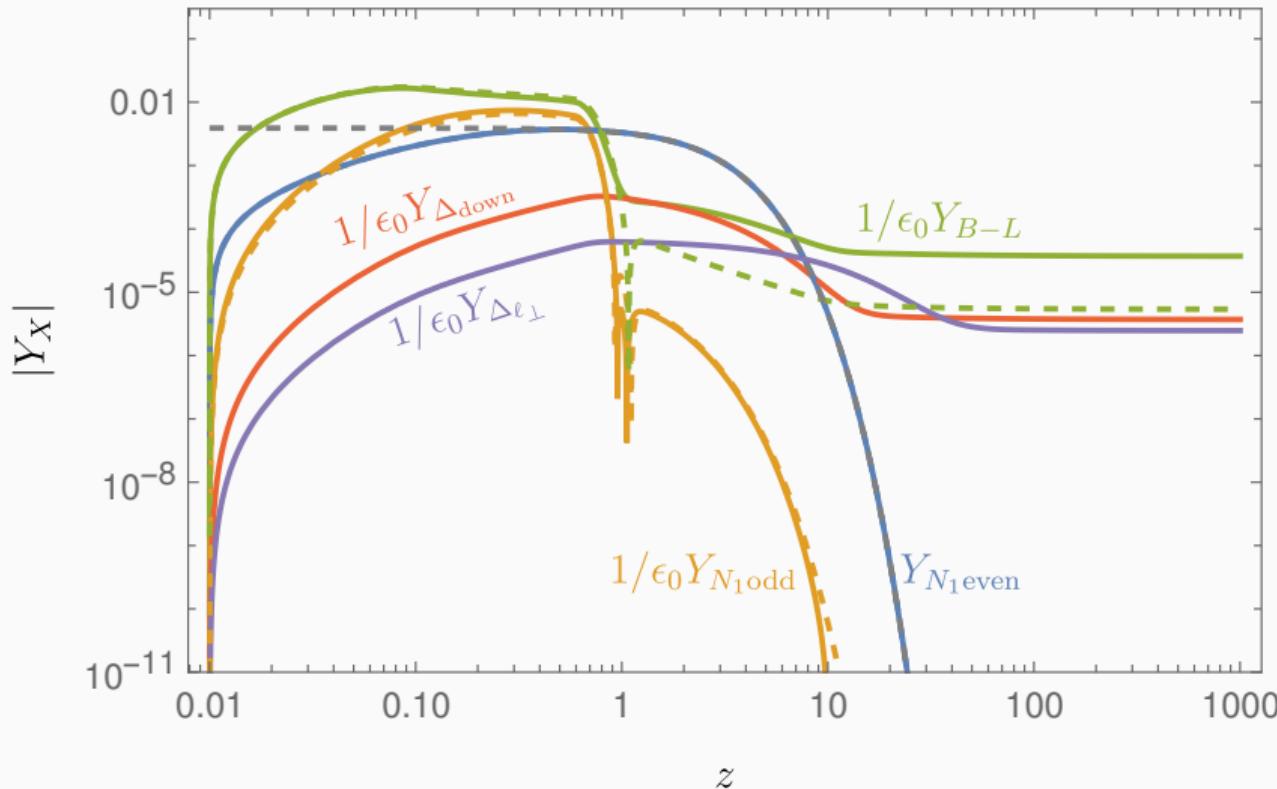
$$\mu_{\ell_{\parallel}} = 2\mu_H, \quad Y_{\Delta_{\parallel}} = -2Y_{\ell_{\parallel}} \Rightarrow Y_H = Y_{\ell_{\parallel}} = -\frac{1}{2}Y_{\Delta_{\parallel}}.$$

Adding equilibrated top-quark Yukawa interactions gives

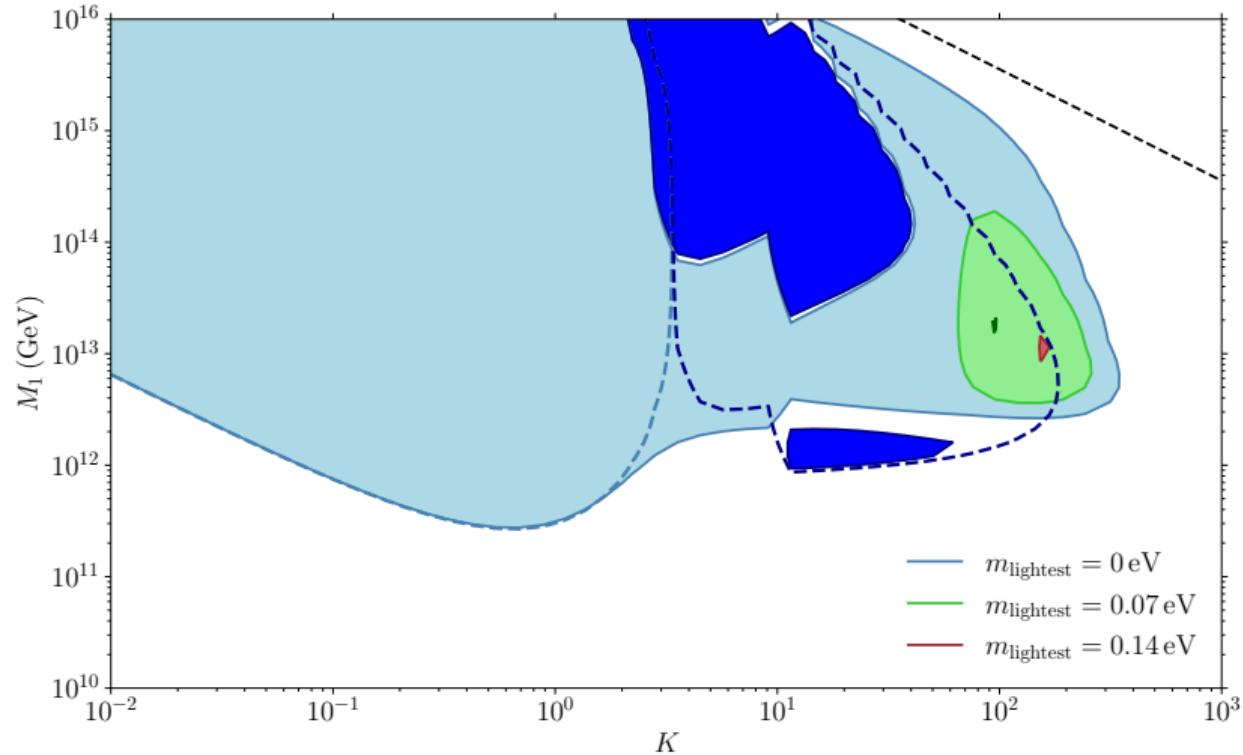
$$\left. \begin{array}{l} \mu_{Q_3} - \mu_{tR} + \mu_H = 0 \\ \mu_{Q_3} + 2\mu_{tR} - \mu_{\ell_{\parallel}} + 2\mu_H = 0 \\ 2\mu_{Q_3} + \mu_{tR} = 0 \end{array} \right\} \Rightarrow Y_{\ell_{\parallel}} = -\frac{1}{2}Y_{\Delta_{\parallel}}, Y_H = -\frac{1}{3}Y_{\Delta_{\parallel}}.$$

# Boltzmann Equations

$$M_1 = 10^{13} \text{ GeV}, K = 100$$



# Parameter Scan



# Table of Contents

Introduction

Leptogenesis

Bounds on Seesaw Parameters

Conclusion

## Conclusion

- Leptogenesis well motivated and viable explanation of matter-antimatter asymmetry

## Conclusion

- Leptogenesis well motivated and viable explanation of matter-antimatter asymmetry
- So far consistent with constraints on neutrino masses and RHN searches

## Conclusion

- Leptogenesis well motivated and viable explanation of matter-antimatter asymmetry
- So far consistent with constraints on neutrino masses and RHN searches
- Significant improvement in computations in recent years  $\Rightarrow$  clearer picture

# Backup Slides