

The Yukawa sector in Grand Unified Theories

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Neutrinos and Flavour: a stairway to New Physics

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Outline

(1) An introduction to **Grand Unified Theories (GUTs)**

- unification of SM forces
- matter unification (at least partial)
- proton decay

(2) Yukawa sector in GUTs:

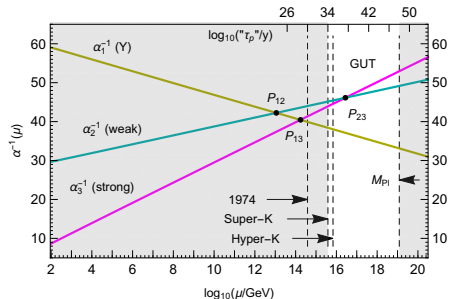
charged and neutrino sectors in minimal setups of

- SU(5) GUT
- SO(10) GUT
- some alternatives

Grand Unified Theories (GUTs) — Motivation

- Do SM gauge couplings unify at a high scale?

Shown: 2-loop RGE in SM:



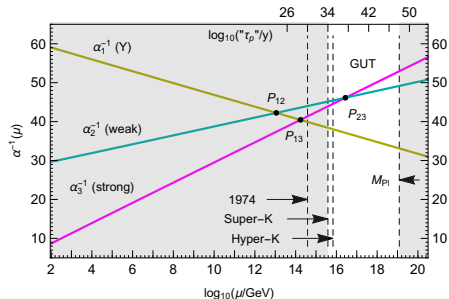
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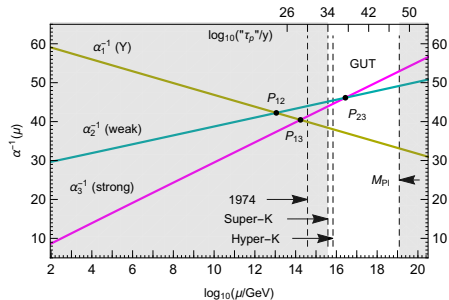
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 $SU(n)$, $SO(n)$, $Sp(2n)$
- Exceptional groups:
 G_2 , F_4 , E_6 , E_7 , E_8

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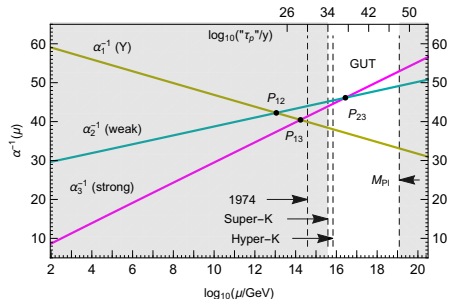
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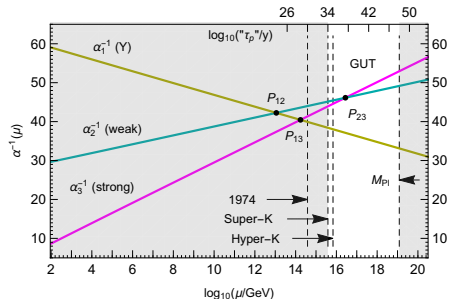
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Minimal choices for unified group:

$$SU(5) \subset SO(10) \subset E_6$$



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- SM fermions: 3 families of

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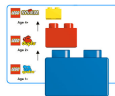
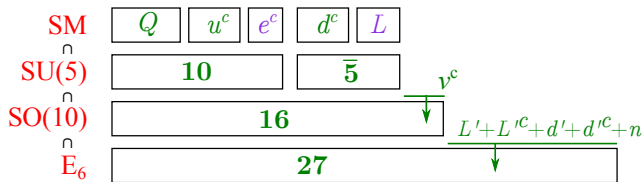
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- General embedding into GUT: SM chiral content, anomaly free





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→ 4 operators

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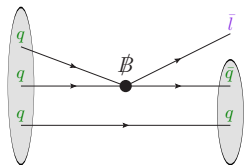
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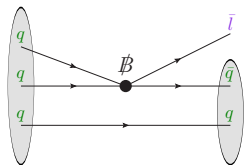
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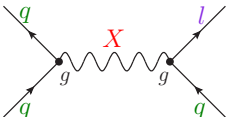


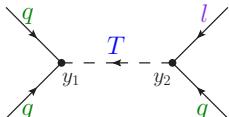
Lower bounds from Super-K (examples)

channel	τ/\mathcal{B} [y]		dominant in
$p \rightarrow \pi^0 e^+$	$2.4 \cdot 10^{34}$	[2]	non-SUSY GUT
$p \rightarrow \pi^0 \mu^+$	$1.6 \cdot 10^{34}$	[2]	
$p \rightarrow K^+ \bar{\nu}$	$5.9 \cdot 10^{33}$	[3]	SUSY GUT

GUTs — proton/nucleon decay 2

- \mathcal{O} from tree-level mediation of “leptoquarks”: **gauge bosons** or **scalars**

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- Decomposition of $SU(5)$ gauge bosons:

$$24 = (\mathbf{8}, \mathbf{1}, 0) \oplus (\mathbf{1}, \mathbf{3}, 0) \oplus (\mathbf{1}, \mathbf{1}, 0) \oplus \overbrace{(\mathbf{3}, \mathbf{2}, -\frac{5}{6})_{\mathbb{C}}}^X \quad (8)$$

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- Unified group $G \supset SU(5) \Rightarrow$ proton decays in any GUT (via X)

\rightarrow In non-SUSY: **gauge mediation** usually dominates

$$g \approx 0.5 \quad \Rightarrow \quad m_X \gtrsim 10^{15.4} \text{ GeV} \quad (9)$$



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- This presentation: focus just on **Yukawa sector** in GUTs ...



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→ **Problem:** $M_d = M_e^T$ at M_{GUT} not a good fit for today's precision!



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→ Predictions at M_{GUT} :

$$M_u = Y_{10} v_5 + Y_{10} v_{45} \quad (14)$$

$$M_d = Y_5 v_5^* + Y'_5 v_{45}^* \quad (15)$$

$$M_e^T = Y_5 v_5^* - 3Y'_5 v_{45}^* \quad (16)$$

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$$\begin{aligned} \mathcal{L}_Y = & Y_{10} \mathbf{10}_F \mathbf{10}_F \mathbf{5} + Y_5 \mathbf{10}_F \bar{\mathbf{5}}_F \mathbf{5}^* \\ & + Y'_{10} \mathbf{10}_F \mathbf{10}_F \mathbf{45} + Y'_5 \mathbf{10}_F \bar{\mathbf{5}}_F \mathbf{45}^* \end{aligned} \quad (13)$$

$$M_u = Y_{10} v_5 + Y_{10} v_{45} \quad (14)$$

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$$M_e^T = Y_5 v_5^* - 3Y'_5 v_{45}^* \quad (16)$$

- General observations for GUT:

→ Need **multiple Higgs irreps** with different Clebsches to break $M_d \propto M_e^T$

→ Higgs must be **admixed** in all doublets where EW VEVs needed

Yukawa sector in $SU(5)$ — part 2

- Extend scalars to $\mathbf{5}_1 \oplus \mathbf{5}_2$?

→ 2nd copy doesn't help ...

$$M_d = M_e^T = Y_{5_1} v_1^* + Y_{5_2} v_2^* \quad (12)$$

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- What about **neutrinos!**? ... Massless in above setup

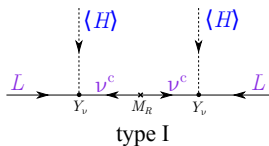


Neutrinos and see-saw

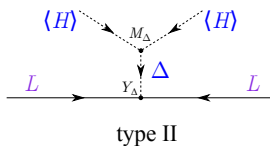
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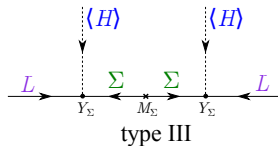
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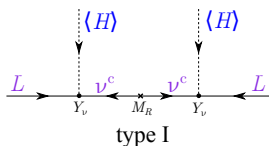
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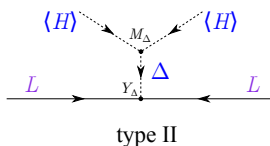
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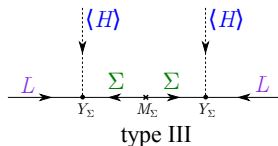
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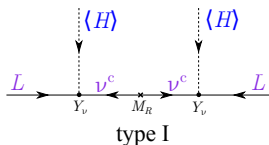


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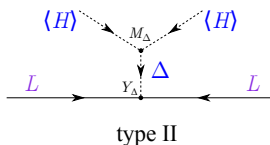
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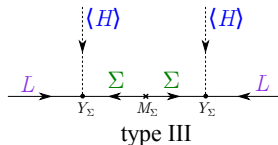
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- In GUT context:
 - Typically need a new scale below M_{GUT}
 - ν^c in $\mathbf{16}$ of $\mathbf{SO}(10)$ (and $\mathbf{27}$ of \mathbf{E}_6) \rightarrow automatically a theory of ν mass



Neutrinos in $SU(5)$

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$$+ y_a \bar{\mathbf{5}}_F \mathbf{15}_F \mathbf{5}^* + y_b \bar{\mathbf{5}}_F \bar{\mathbf{15}}_F \mathbf{35}^* + y_c \mathbf{10}_F \bar{\mathbf{15}}_F \mathbf{24} + h.c. \quad (18)$$

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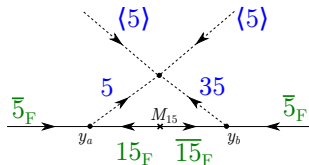
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- Usually **omit** Y'_{10}, Y'_{120} : forbidden by SUSY or PQ symmetry [10, 11] (to make the fit more predictive)

Yukawa sector in $SO(10)$ GUT — predictions

■ Predictions at GUT scale [12]:

$$M_u = Y_{10} v_{10}^u + Y_{120} v_{120}^u + Y_{126} v_{126}^u + Y'_{10} v_{10}^{d*} + Y'_{120} v_{120}^{d*} \quad (24)$$

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- Mass matrix of active neutrinos ($\overline{126}$ needed):

$$M_\nu = - \underbrace{M_\nu^D M_R^{-1} M_\nu^{D\text{T}}}_{\text{type I}} + \underbrace{M_L}_{\text{type II}} \quad (30)$$



Yukawa sector in $SO(10)$ GUT — fits

- Performing the fit:

expressions at $M_{\text{GUT}} \xrightarrow{\text{RGE}} \chi^2$ at M_Z (masses, CKM, PMNS, λ , g_i)



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- (3) $10_{\text{R}} \oplus 120_{\text{R}} \oplus \overline{126}$: minimal in number of parameters [19]

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■ General features and considerations:

(a) (Y) and $[Y]$ with PQ: no good [18, 14] ($10_{\text{C}} \oplus 120_{\text{C}}$ or $120_{\text{C}} \oplus \overline{126}$)

(b) **Normal hierarchy** of ν highly preferred over inverted hierarchy

(c) See-saw: **type I** usually dominates over type II

(d) **Predictions** for ν -observables: m_{ν_i} , CP phases (Dirac and Majorana)

(e) **Precision**: consideration of full model, EFT tower needed [20, 21, 22]

Yukawa sector in GUTs — various alternatives

- E_6 GUT: fermions in $3 \times 27_F$ [23, 24, 25]
 - Analogy with $SO(10)$: $27_F \supset 16_F, 27 \supset 10_C, 351 \supset 120_C, 351' \supset 126$
 - M_d, M_e with vector-like states, M_ν has $3 \times (\nu_L \oplus 2 \times \nu_R \oplus (\nu \oplus \bar{\nu}))$
 - $SO(10)$ -like fit possible (no mix with vector-like), general one not yet done

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 - Analogy with $SO(10)$: $27_F \supset 16_F, 27 \supset 10_C, 351 \supset 120_C, 351' \supset 126$
 - M_d, M_e with vector-like states, M_ν has $3 \times (\nu_L \oplus 2 \times \nu_R \oplus (\nu \oplus \bar{\nu}))$
 - $SO(10)$ -like fit possible (no mix with vector-like), general one not yet done
- **Vector-like family** and one Higgs irrep: can break $M_d = M_e^T$ relation
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- **SUSY GUT**: unification with one step G , but ambiguities from SUSY
 - threshold corrections to Yukawa couplings at M_{SUSY}
 - if single operator dominance (in each family) and e.g. mSUGRA: SUSY spectrum predicted (a few TeV), see e.g. [29, 30, 31, 32]



Final thoughts and outlook

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 - (1b) “smoking gun” prediction: **proton decay**
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Thank you for your attention!

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