

## Part I

# Intelligent Trackers for Triggers

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**III Seminario Nazionale Rivelatori Innovativi**  
Florence, 4-8 June 2012

# Summary

- Why to build “intelligent” trackers
- General principles and architectures
- Local data reduction with embedded intelligence
- Pattern recognition techniques
- Prospects

# Disclaimer

- Most of the lecture on *ATLAS+CMS* approaches
- No time to deal with
  - sensor technology
  - power and cooling
  - fast data-links
  - GPU's



## WIT2012 Workshop on Intelligent Trackers

3-5 May 2012 *INFN Pisa*

Europe/Paris timezone

Search

### Overview

[Organizing Committee](#)

[Scientific Programme](#)

[Timetable](#)

[Contribution List](#)

[Author index](#)

[Registration](#)

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With the increasing capabilities of microelectronic technology, future particle detectors will be able to yield high level features that are not only simple geometrical positions or energy measurements in the sensors used. The ability to compute such high level primitives in near real-time is what we characterize as "intelligence".

This will enable the construction of detectors with novel functionalities, allowing the trigger logic or even the off-line analysis in experiments to handle immediately more complex features of the measurements. Two examples of new primitives are near real-time charged particle direction or charge clusters without pixel boundary effects. But the addition of such intelligence has practical challenges and in particular system issues must be addressed, such as material budget and power density.

This Workshop would provide a discussion forum for the community of scientists and engineers working on development of intelligent devices.

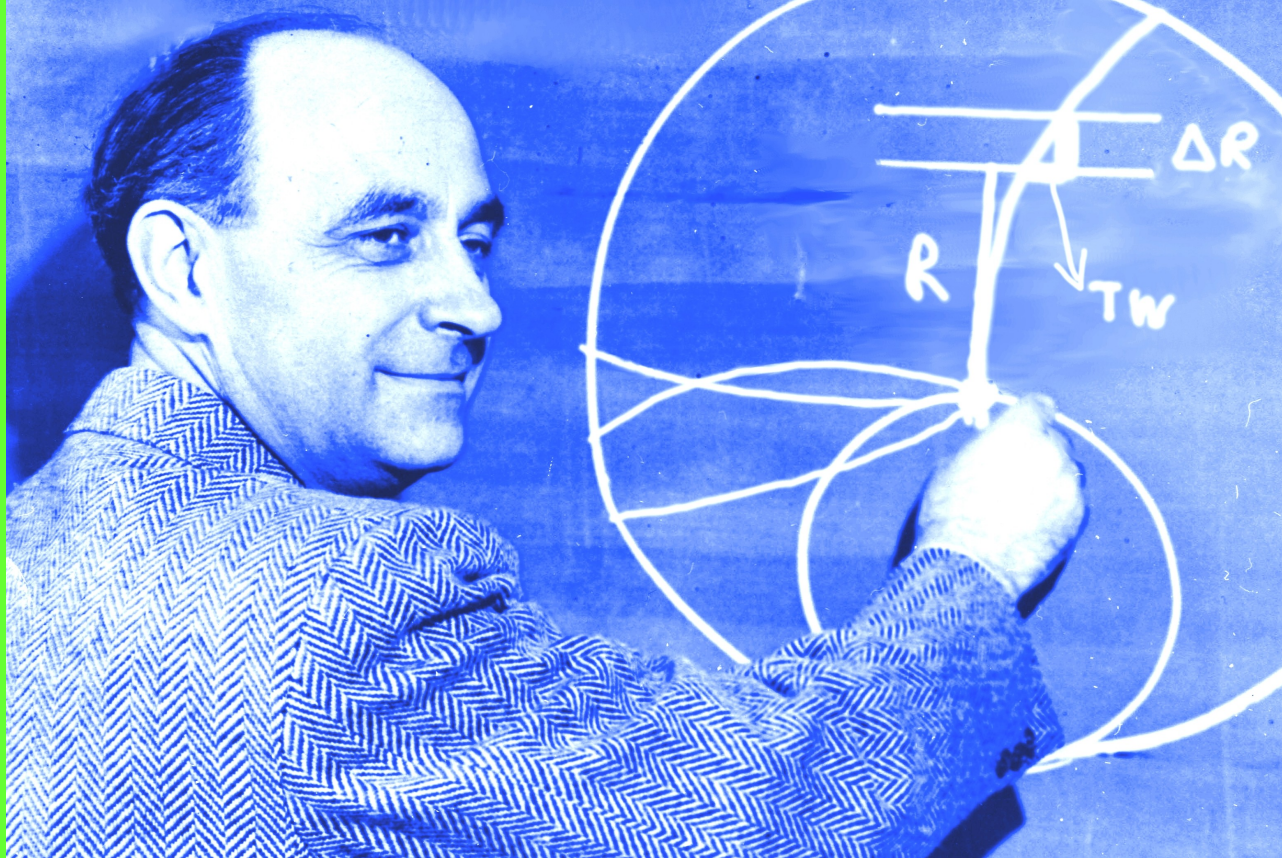
The objectives of the workshop will be to enhance the cross breeding of ideas, to compare concepts for incorporating intelligence in particle trackers, and to explore possibilities for application to other areas.

The format of the workshop is on plenary sessions, for a duration of 2.5 days.

**~50 people - 80% speakers, lively discussions**



WIT2012



## 2<sup>nd</sup> Workshop on Intelligent Trackers

**Pisa, 3 - 5 May 2012**

### International Organizing Committee:

P. Allport, University of Liverpool  
A. Annovi, INFN-LNF  
M. Artuso, Syracuse University  
R. Brenner, Uppsala University  
M. Garcia-Sciveres, LBNL  
C. Haber, LBNL  
G. Hall, Imperial College, London  
A. Marchioro, CERN  
F. Palla, INFN Pisa

### Local Organizing Committee:

A. Annovi, R. Dell'Orso, F. Ligabue,  
L. Lilli, A. Messineo, F. Palla

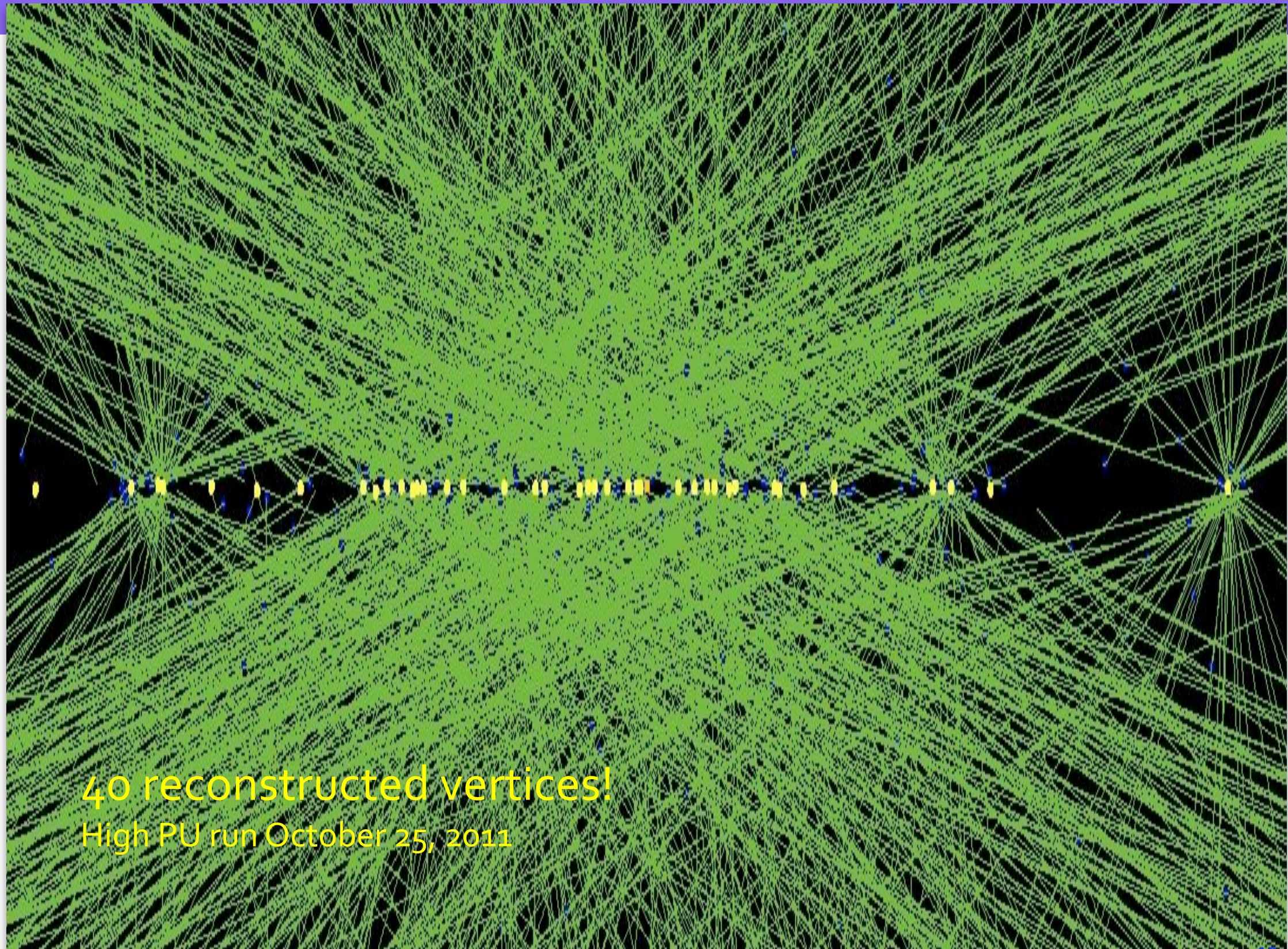


# The challenge

- Trigger rates control is extremely challenging in high luminosity hadron collider experiments
  - As the luminosity increases, physics goals change in response to new discoveries and the detector ages.
- It is thus essential that the trigger system be flexible and robust, and have redundancy and significant operating margin
  - Providing high quality track reconstruction over the full detector can be an important element in achieving these goals.
- **This is particularly challenging for hermetic detectors**

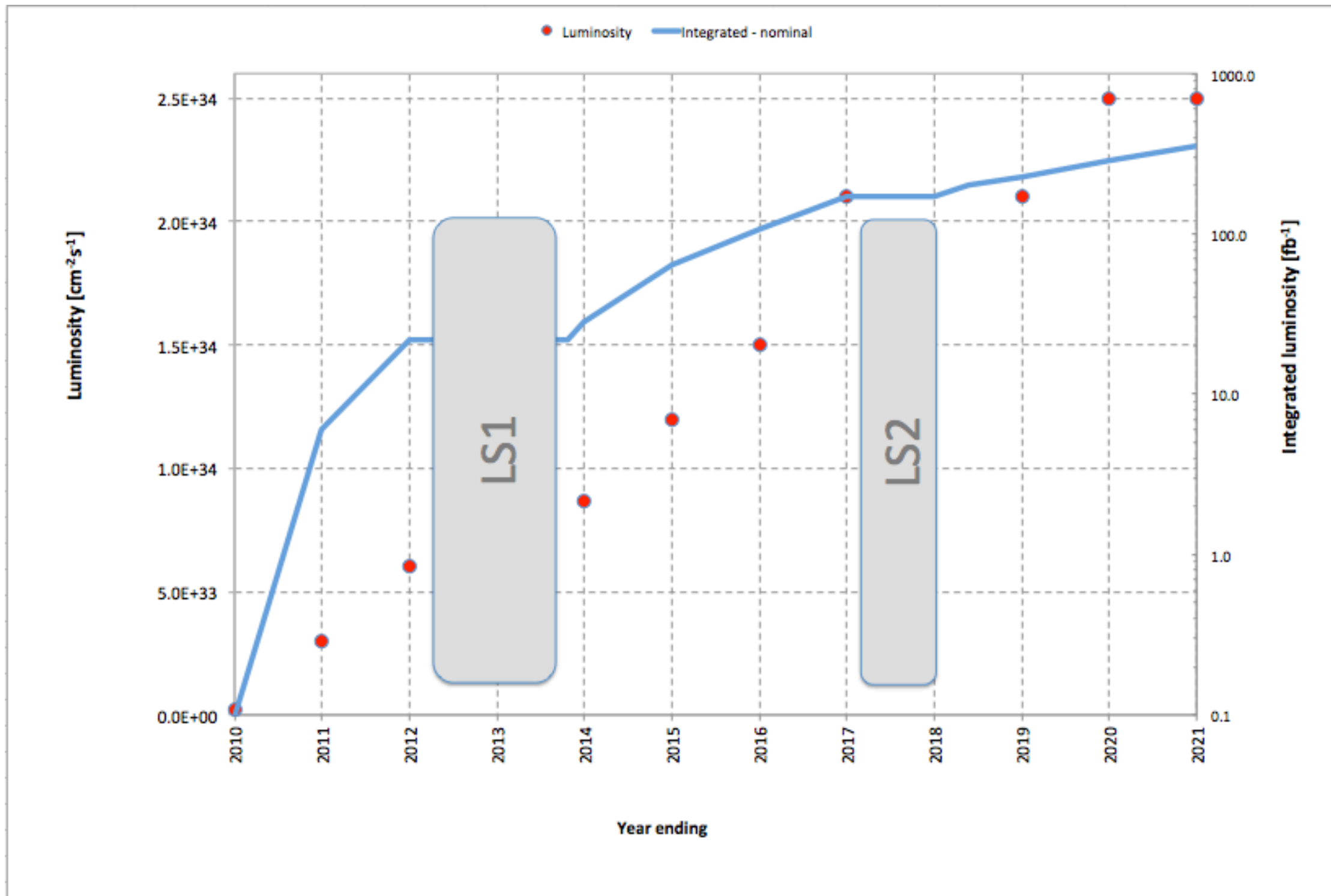


# The challenge



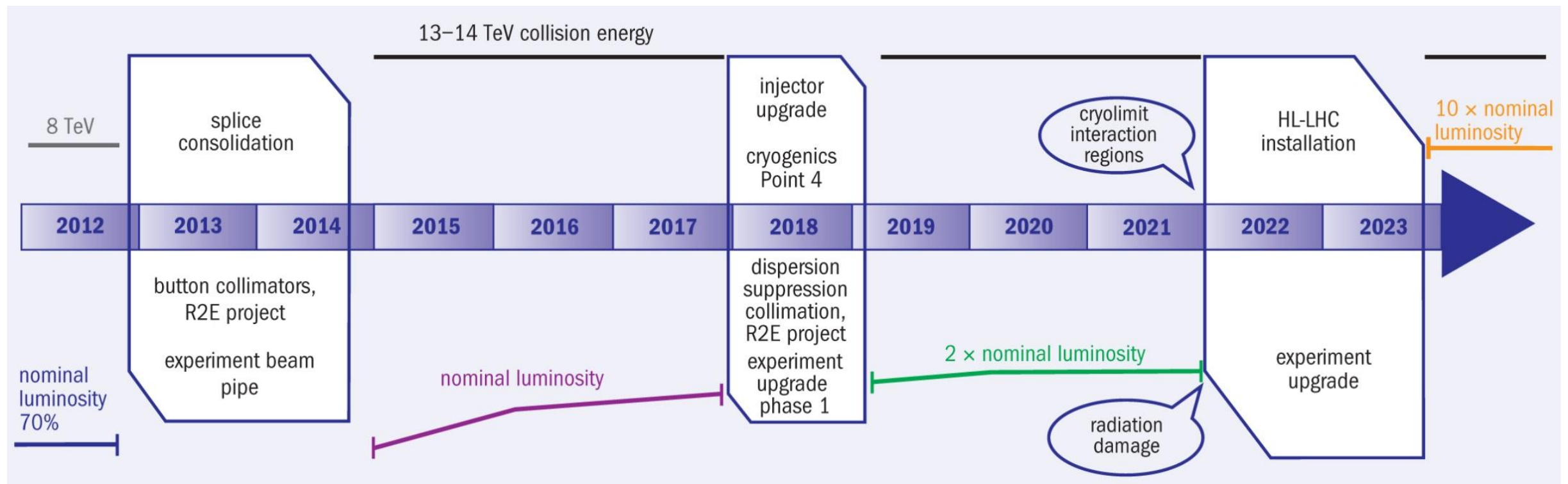


# LHC 10+ year planning





# A TENTATIVE SCHEDULE FOR NEXT 10 (20) YEARS



A plan for the LHC in the next 10 years [L. Rossi, IPAC 2011]

Please note: we are a research lab, we must have a plan but we can change it

# Implications for the Tracker

**At  $5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$  ~ up to 200 interactions per bunch crossing**

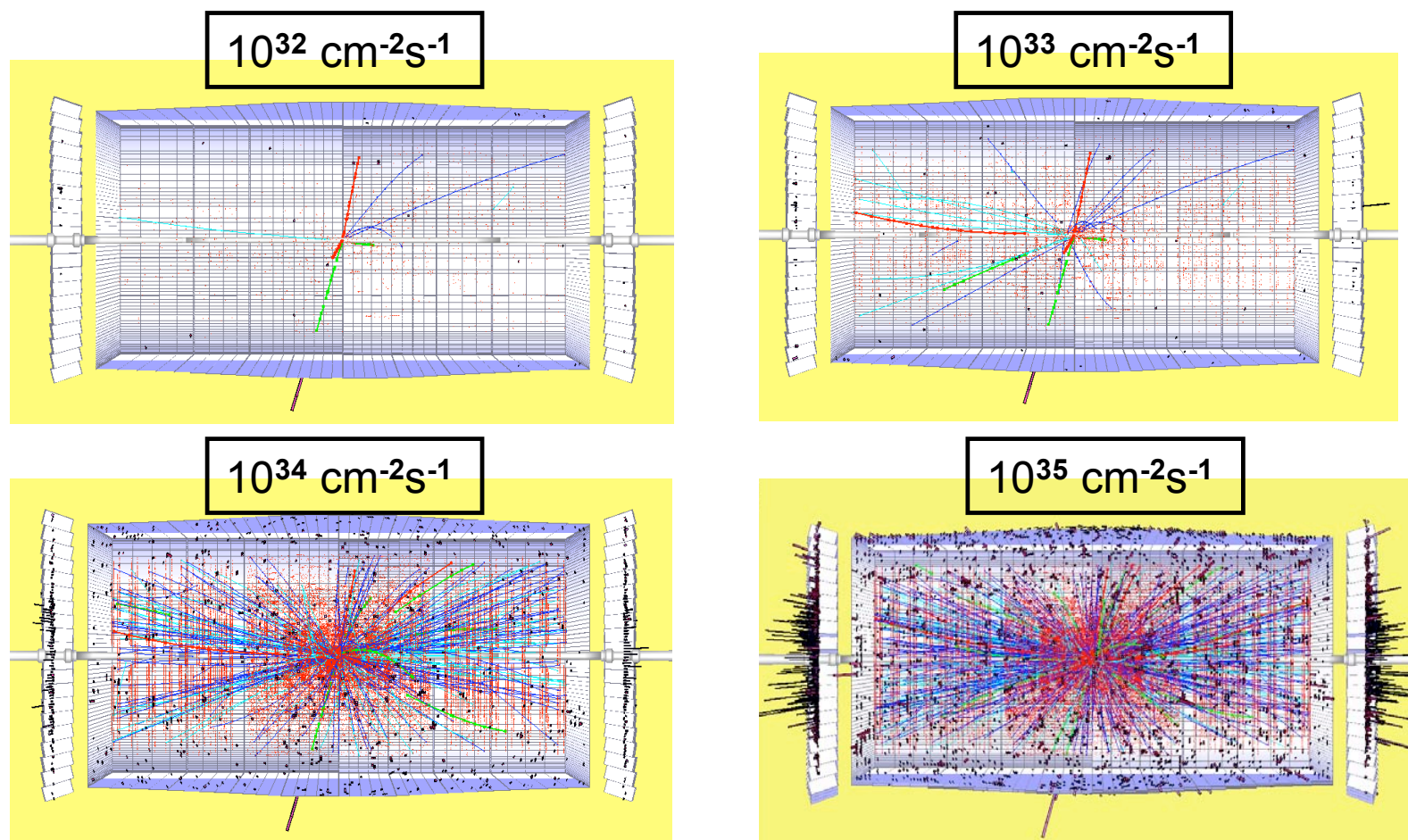
- About 6k primary tracks per bunch crossing (25 ns) in the Tracker volume  $|\eta| < 2.5$  ...
  - ...plus any other coming from  $\gamma$  conversions and nuclear interactions

# Implications for the Tracker

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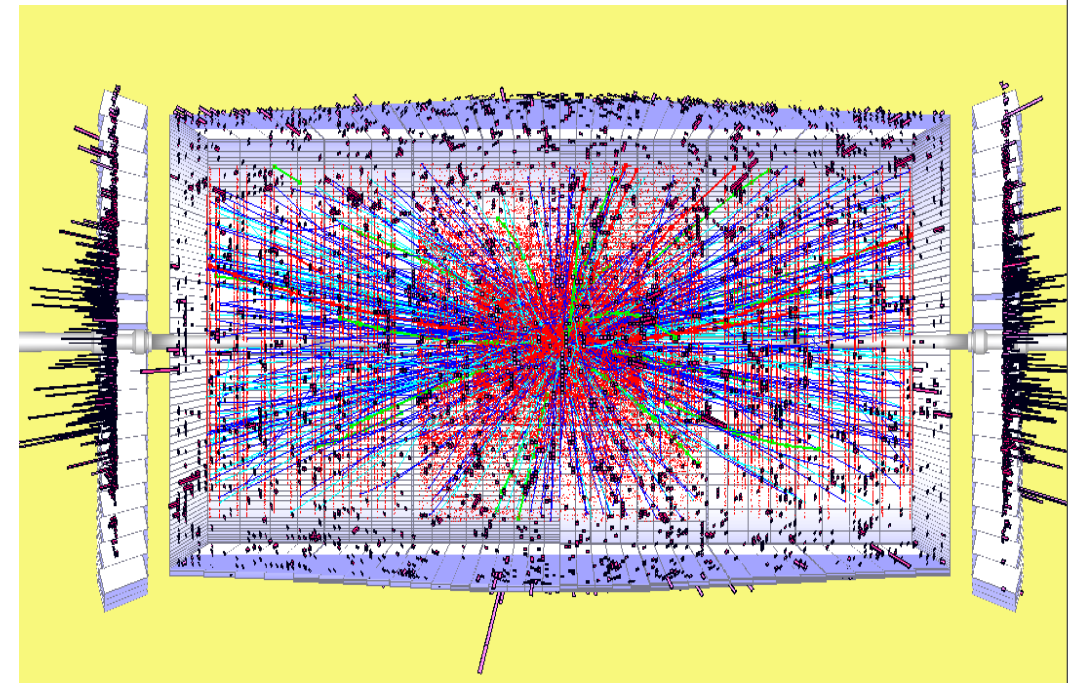
$H \rightarrow ZZ \rightarrow \mu\mu ee$ ,  $M_H = 300 \text{ GeV}$  for different luminosities in CMS



# Implications for the Tracker

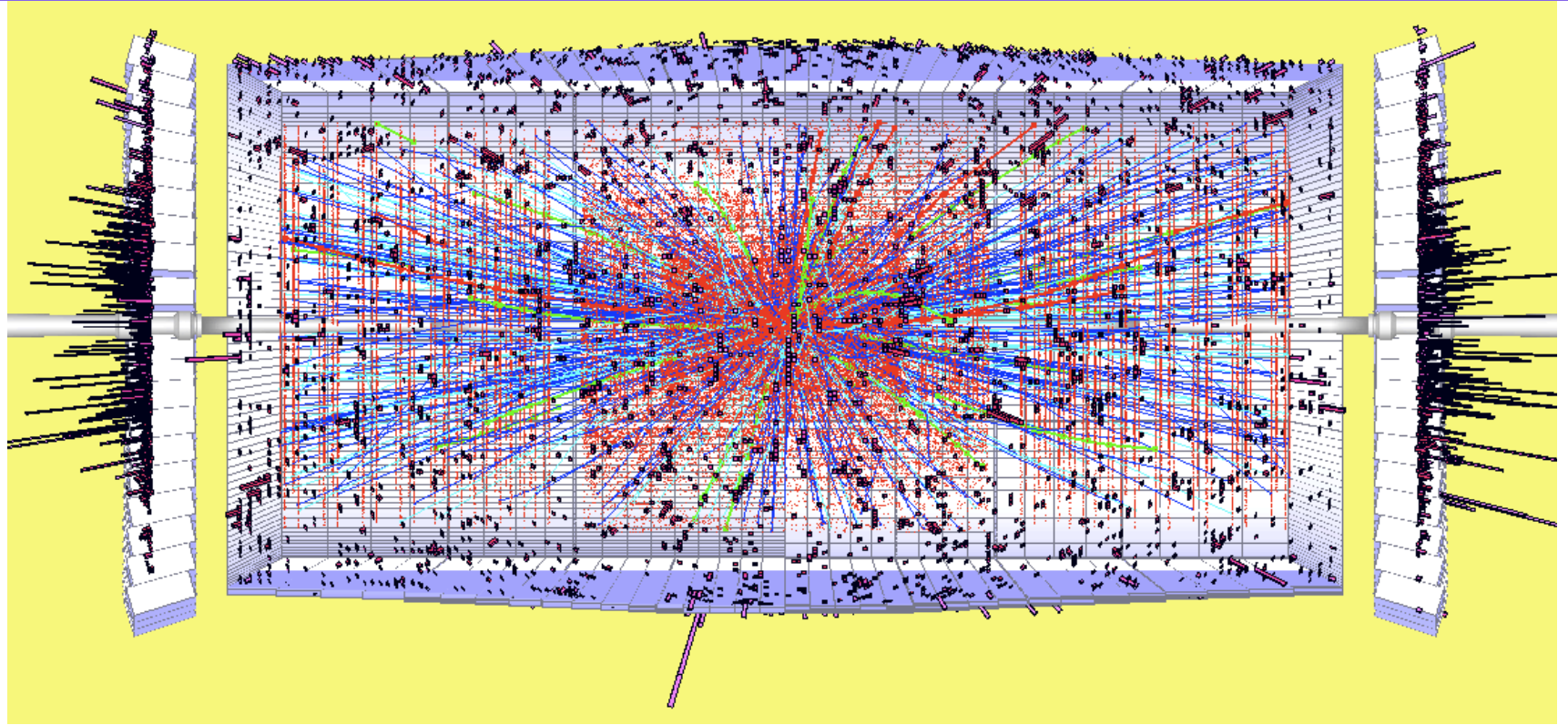
**At  $5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$  ~ up to 200 interactions per bunch crossing**

- About 6k primary tracks per bunch crossing (25 ns) in the Tracker volume  $|\eta| < 2.5$  ...
  - ...plus any other coming from  $\gamma$  conversions and nuclear interactions
  - factor  $\sim 10$  larger wrt LHC
  - higher radiation
  - larger occupancy
- Main issues for the Tracker
  - radiation hardness of up to  $\sim 10^{16} \text{ n}_{\text{eq}} \text{ cm}^{-2}$  in the innermost layers
  - R&D for ultra radiation hard detectors: 3D-silicon, planar (n in p), diamond





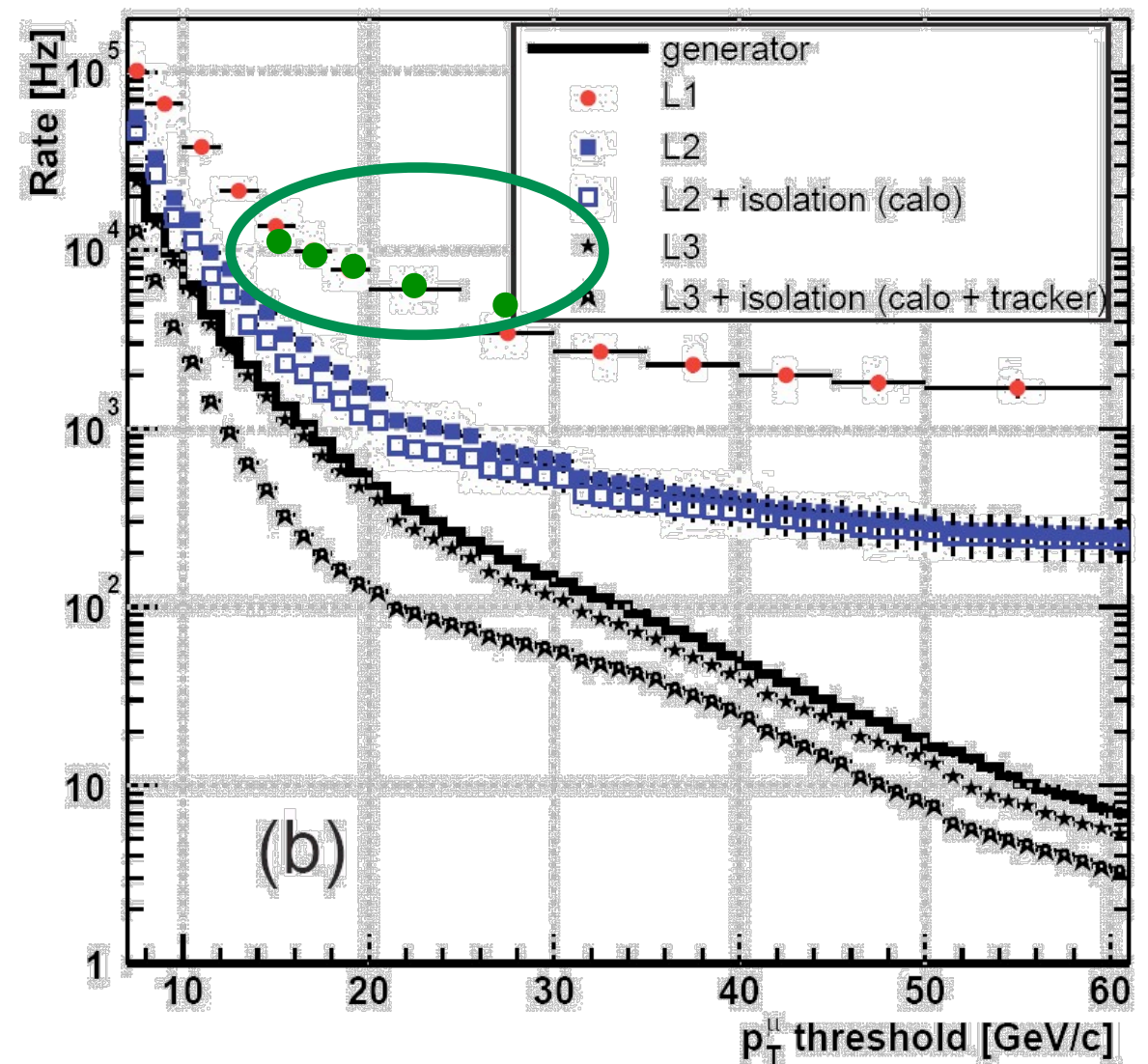
# The full Tracker Upgrade: basic requirements



- **Granularity**
  - Resolve up to 200÷250 collisions per bunch crossing
    - Nominal figure of  $5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$  @ 40 MHz corresponds to  $\geq 100$  collisions
  - Maintain occupancy at the few % level
- **Radiation hardness**
  - Ultimate integrated luminosity considered  $\sim 3000 \text{ fb}^{-1}$ 
    - To be compared with original  $\sim 500 \text{ fb}^{-1}$

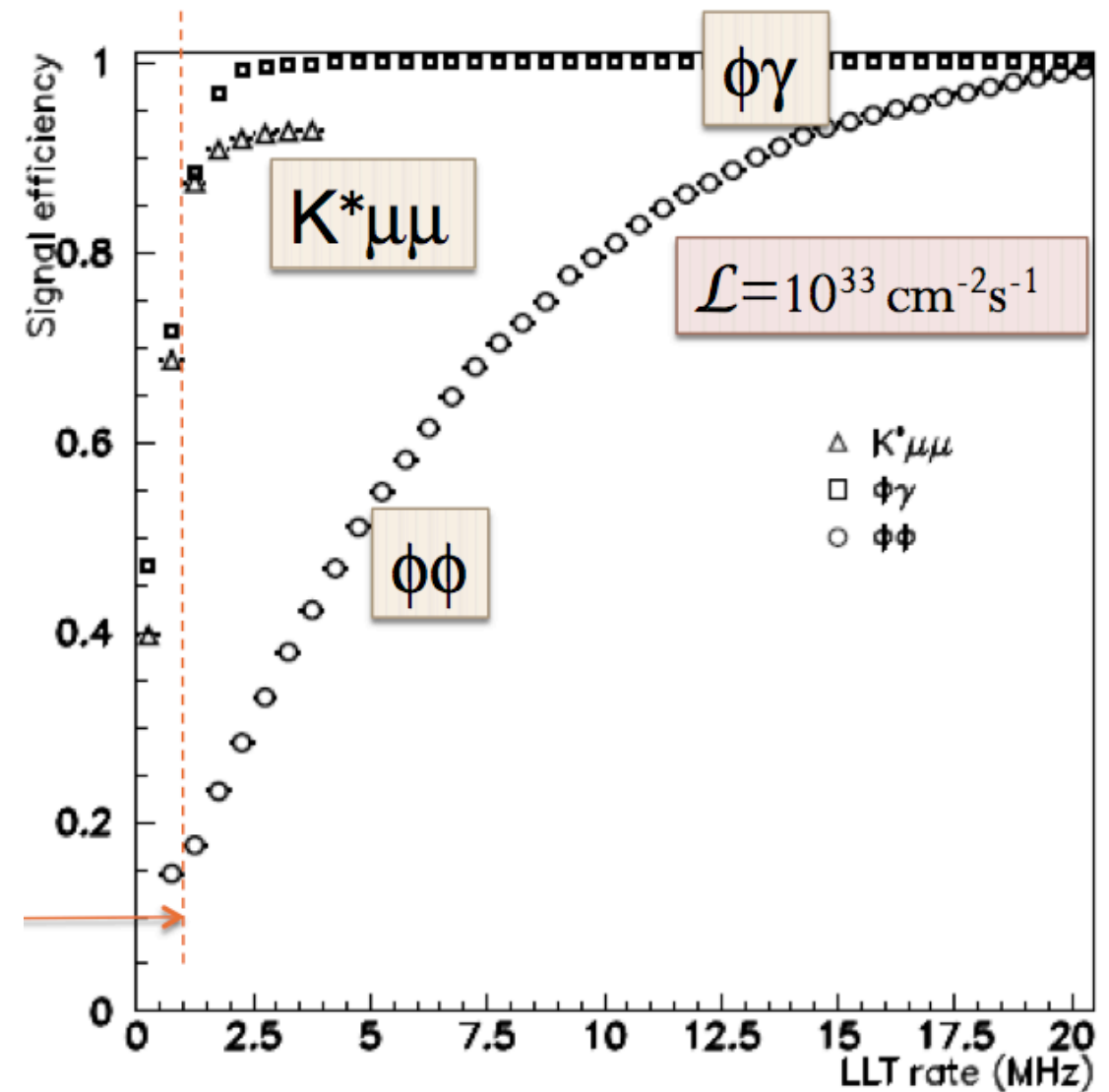
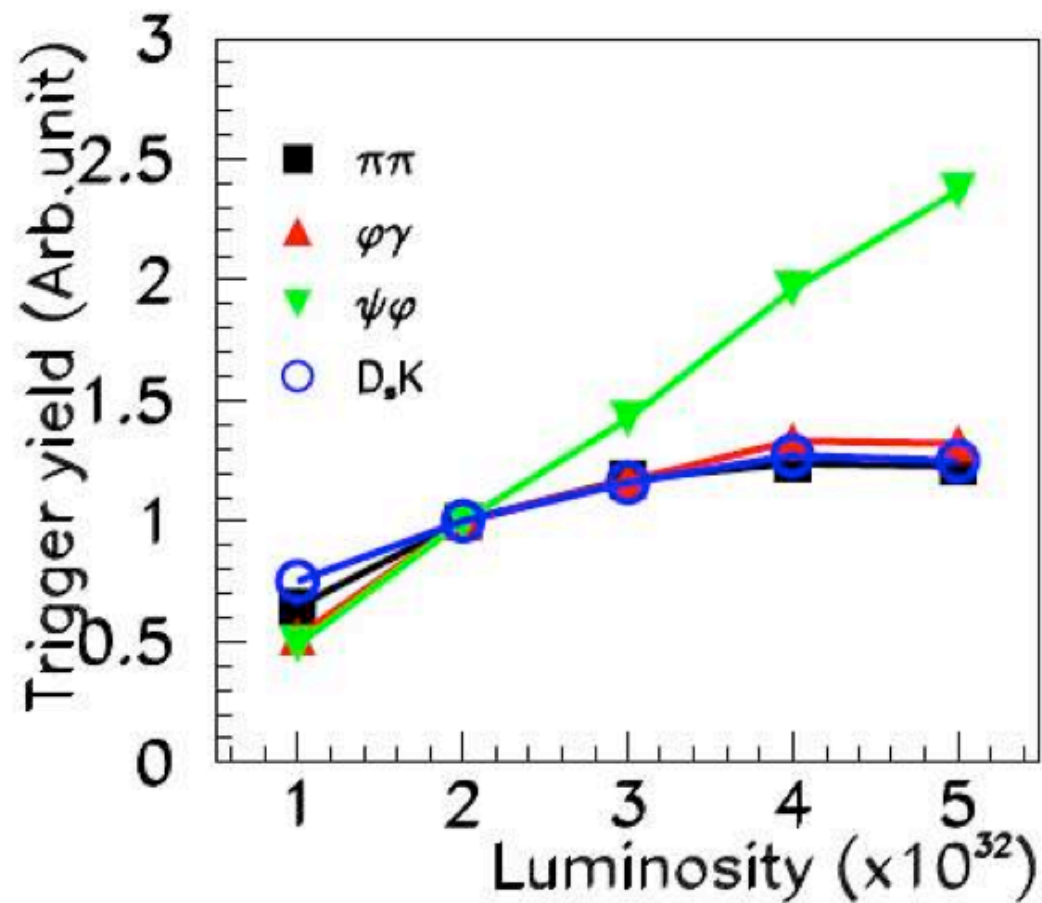
# Example: Single Muon Trigger vs. $p_T$ with & without tracker

- CMS simulation for  $L=10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
- Add **measured data rates** at 8 TeV, extrapolated to  $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
- Good agreement in rates, but different  $\sqrt{s}$  !



**$L > 3E34 \rightarrow$  No  $p_T$  threshold may reduce rate enough!**

# LHCb

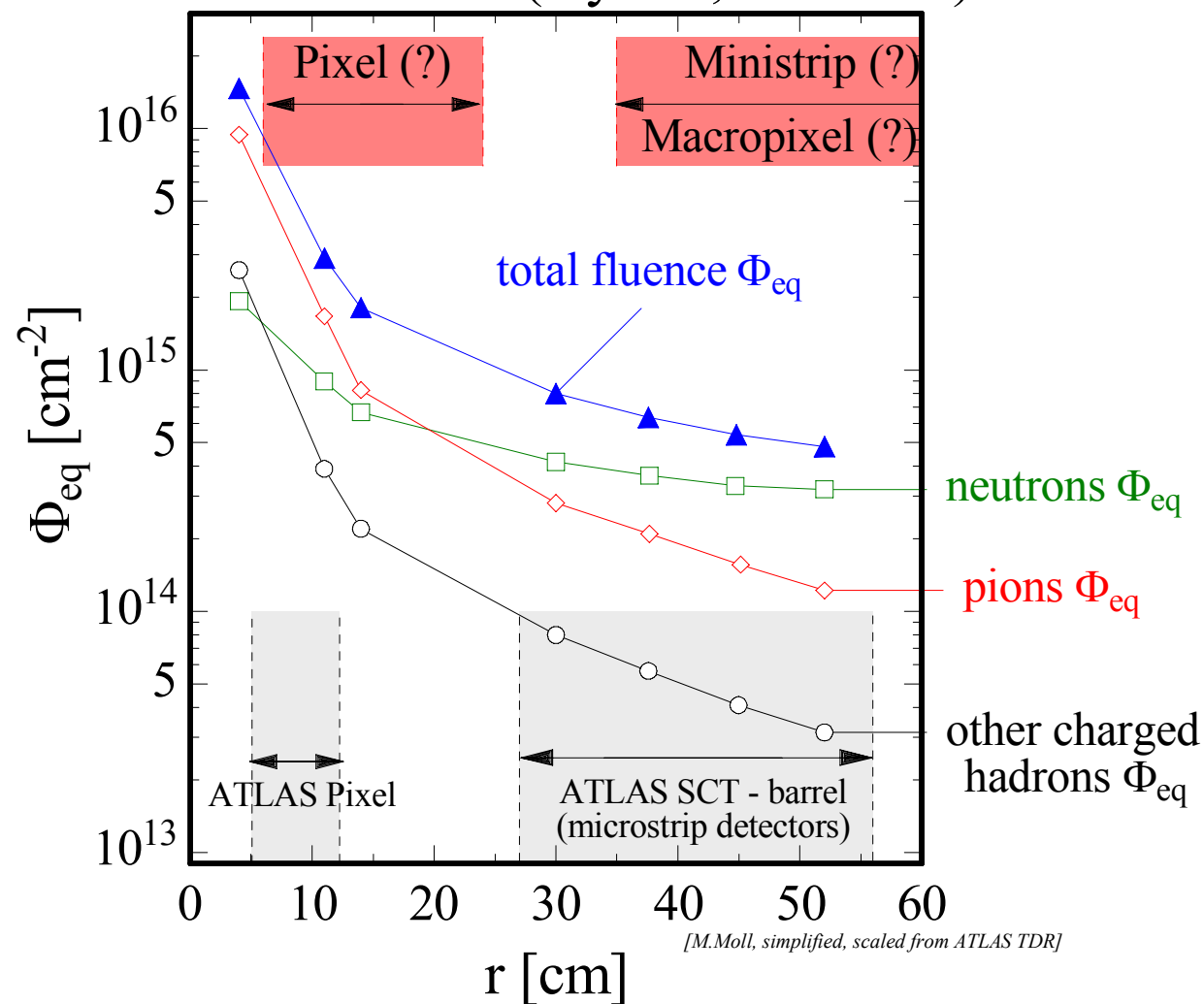


Reduced efficiency for fully hadronic channels

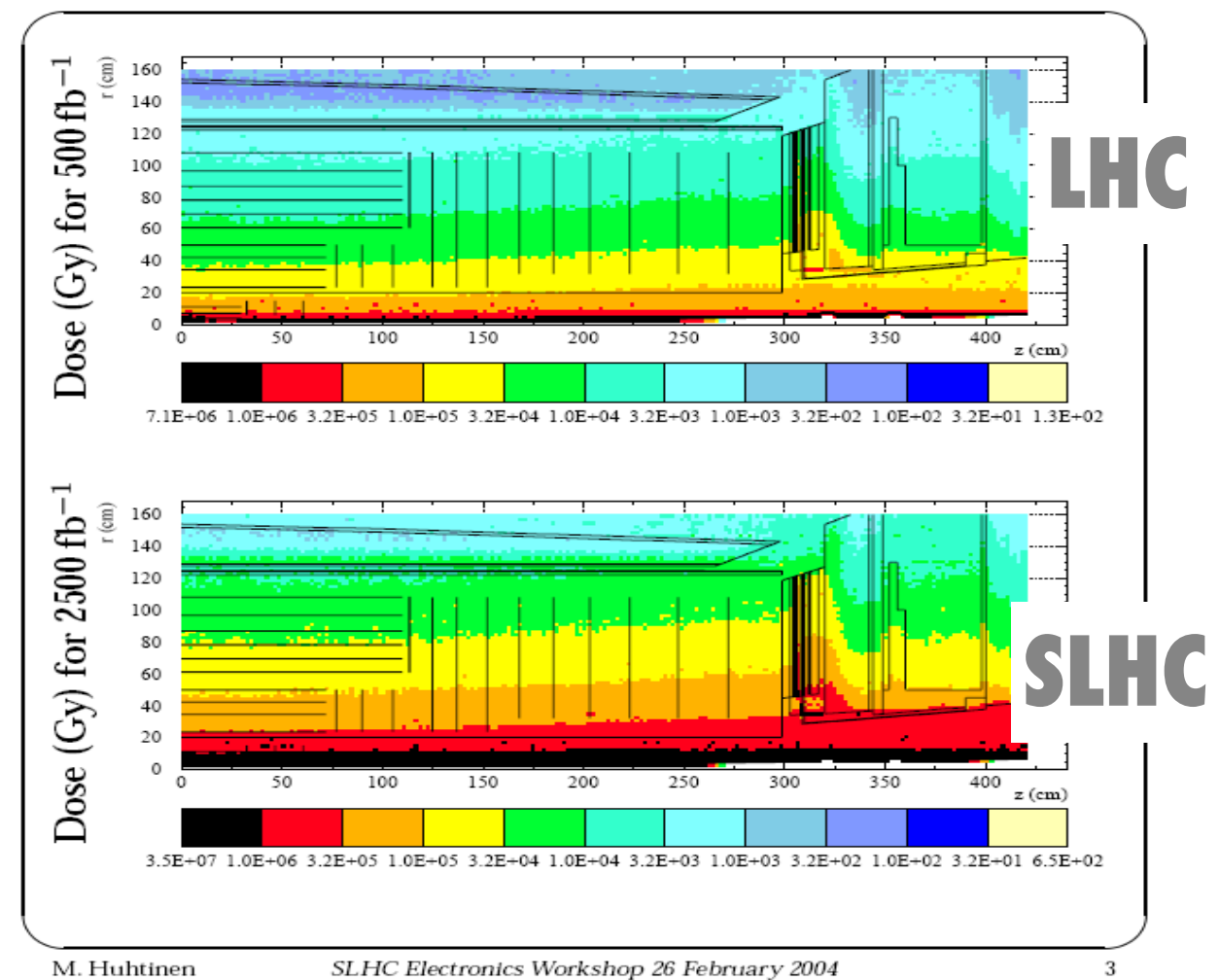
<https://cdsweb.cern.ch/record/1443882/files/LHCB-TDR-012.pdf>

# Radiation Issues for SLHC

SUPER - LHC (5 years, 2500 fb<sup>-1</sup>)



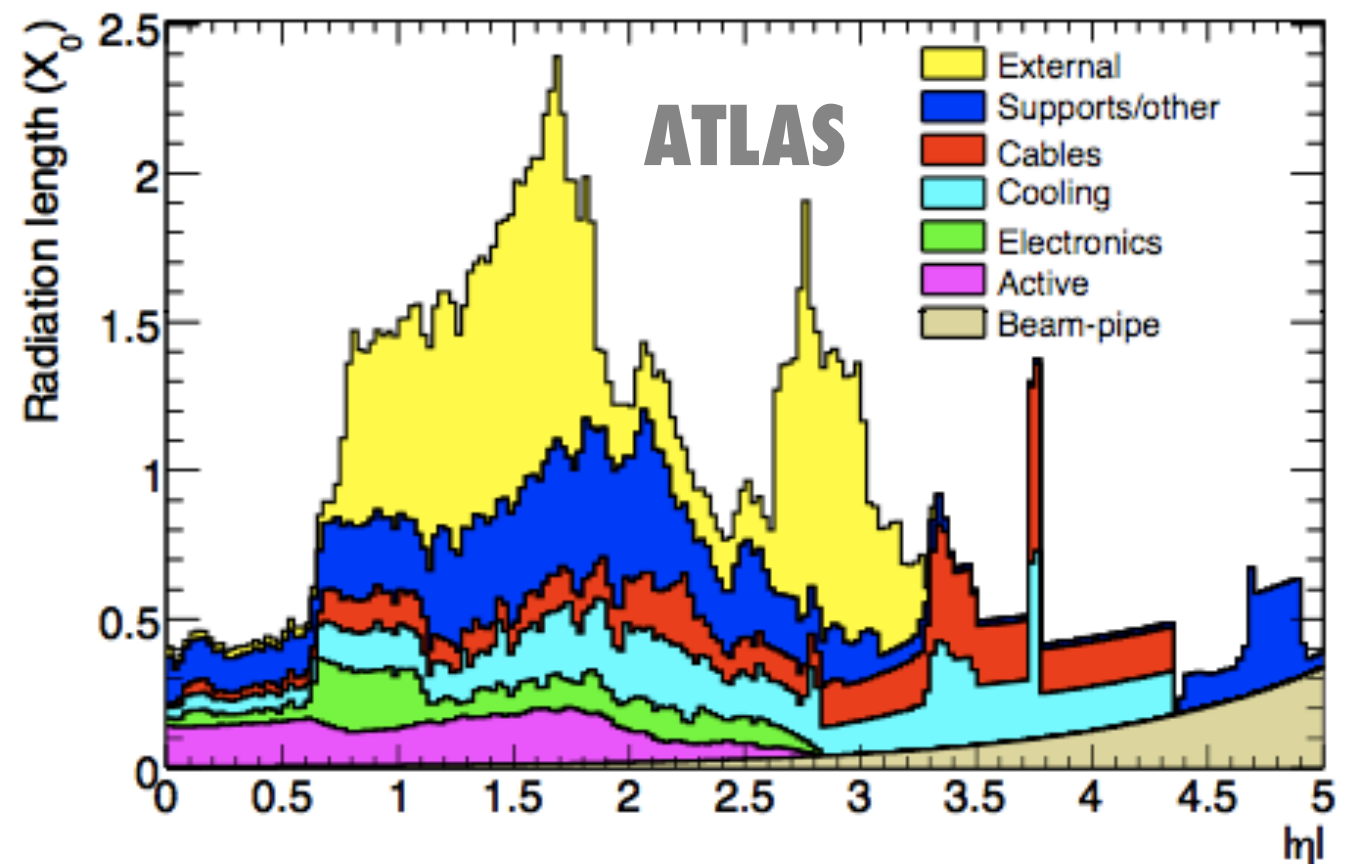
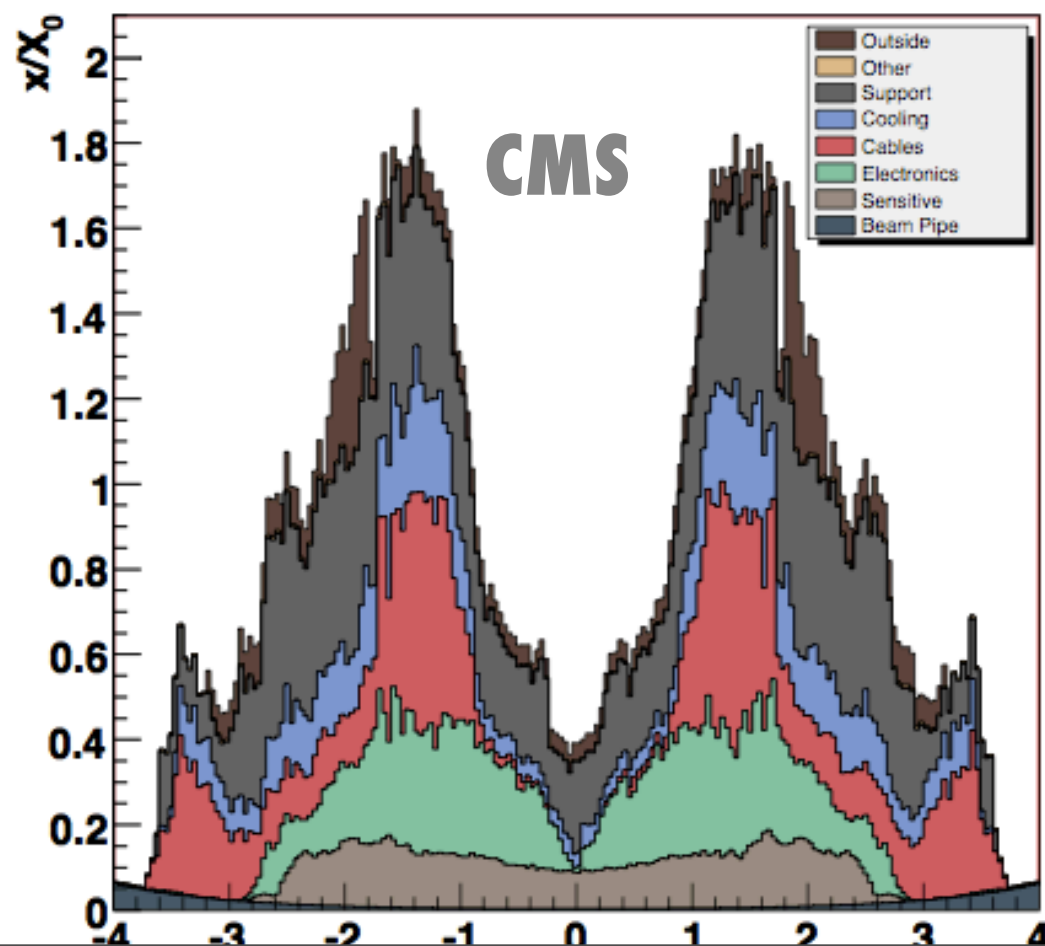
Radiation Dose in Inner Detectors



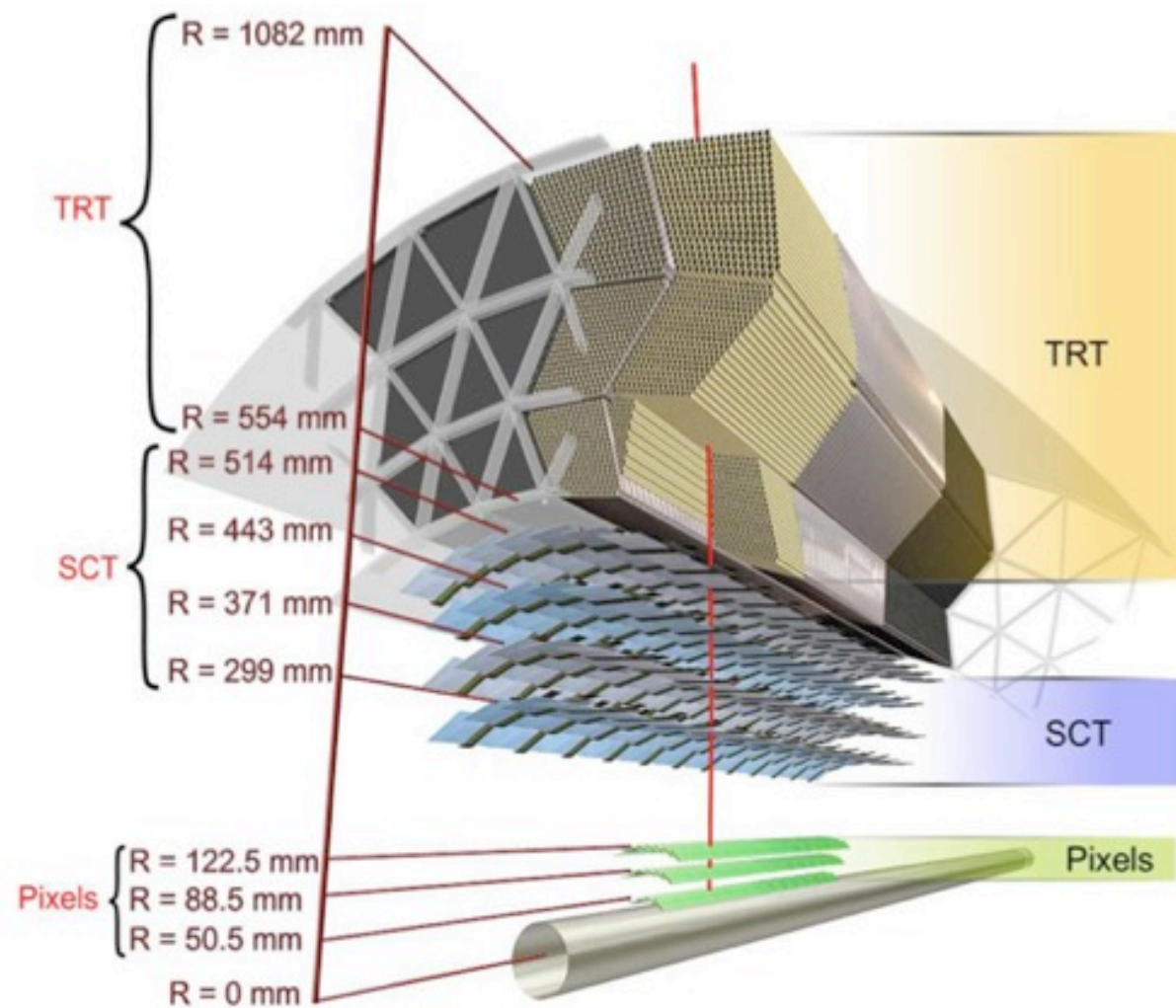


# Material budget

- Material budget is weakest point
  - e &  $\gamma$  conversions, hadronic interactions
  - Driven by power & cooling
    - pixels  $\sim 3.7 \text{ kW.m}^{-2}$ ,  $\mu$ strips  $\sim 0.1\text{--}0.4 \text{ kW.m}^{-2}$

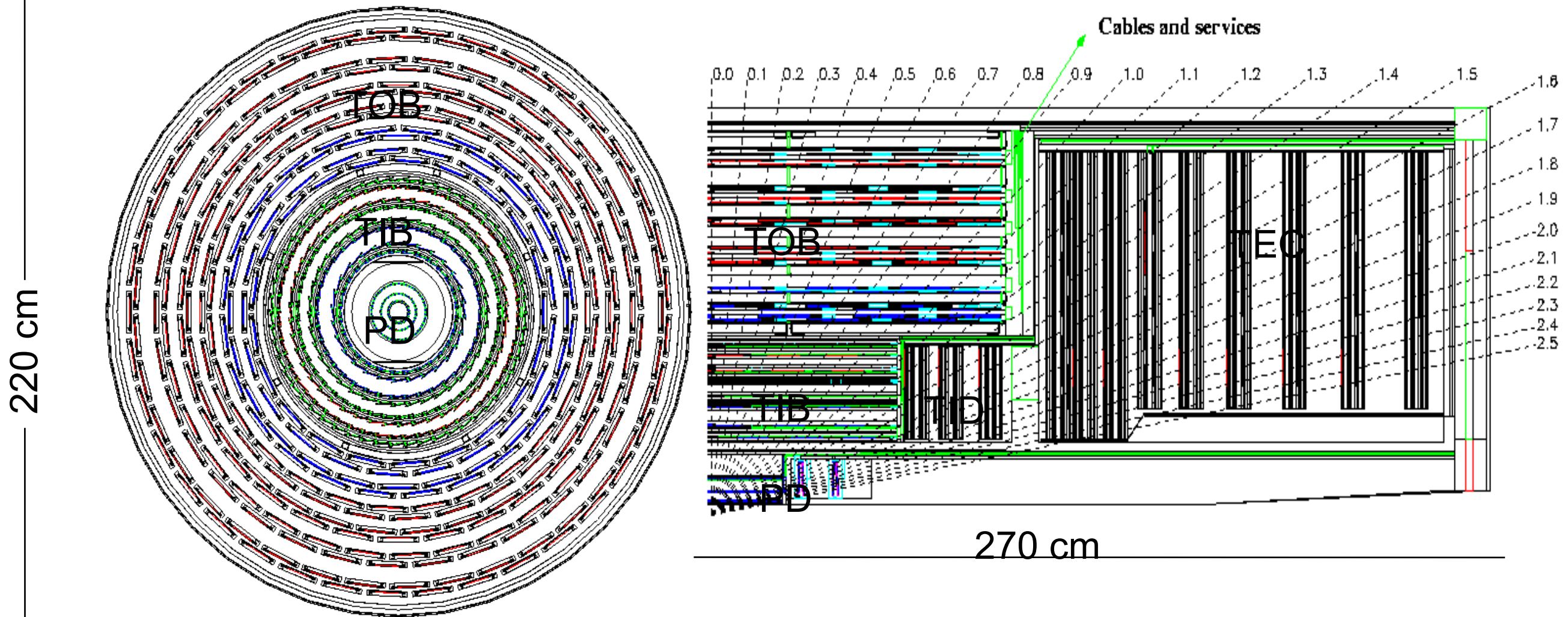


# ATLAS Tracker



- Inside **2T magnetic field**
- **3 layers** of 2-D silicon pixel tracking
  - 50x400 $\mu\text{m}^2$  pixels, 80M channels
- **4(x2) (stereo)-layers** of 1-D silicon strip tracking
  - 80 $\mu\text{m}$  strip pitch, 6M channels
  - Alternating axial and small-angle stereo layers
- Silicon tracking up to  **$|\eta| < 2.5$**
- Total of 11 layers (all SI) used in the FTK system

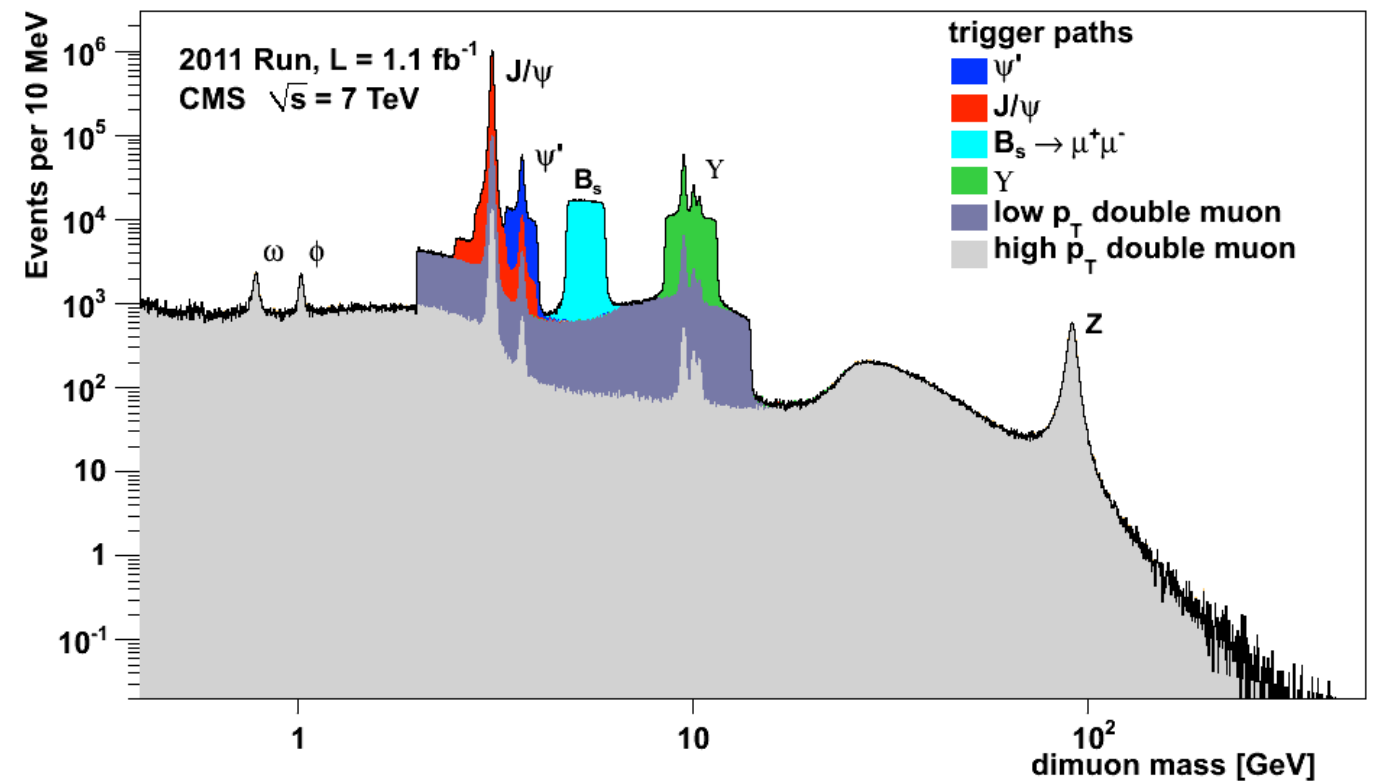
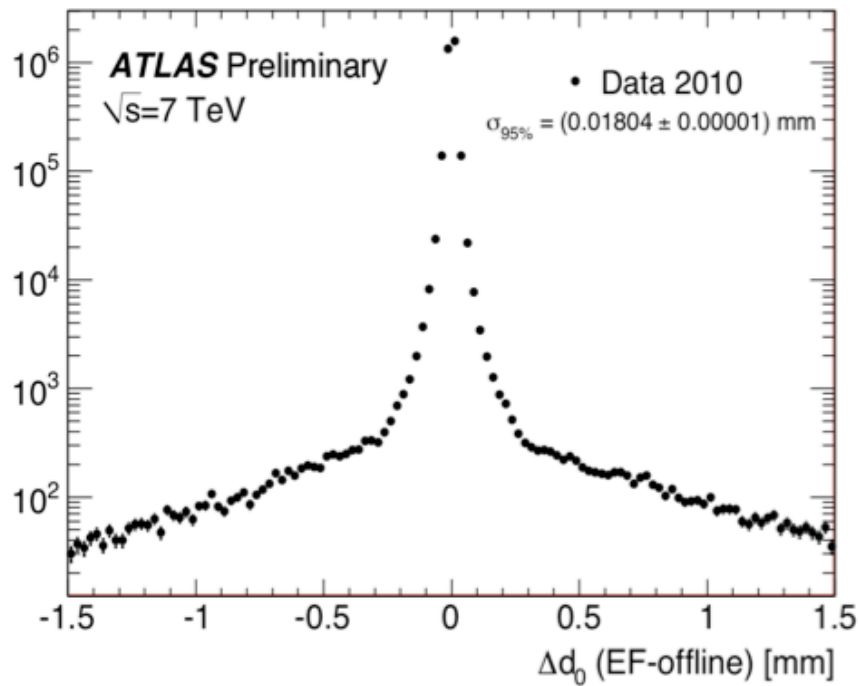
# CMS Tracker



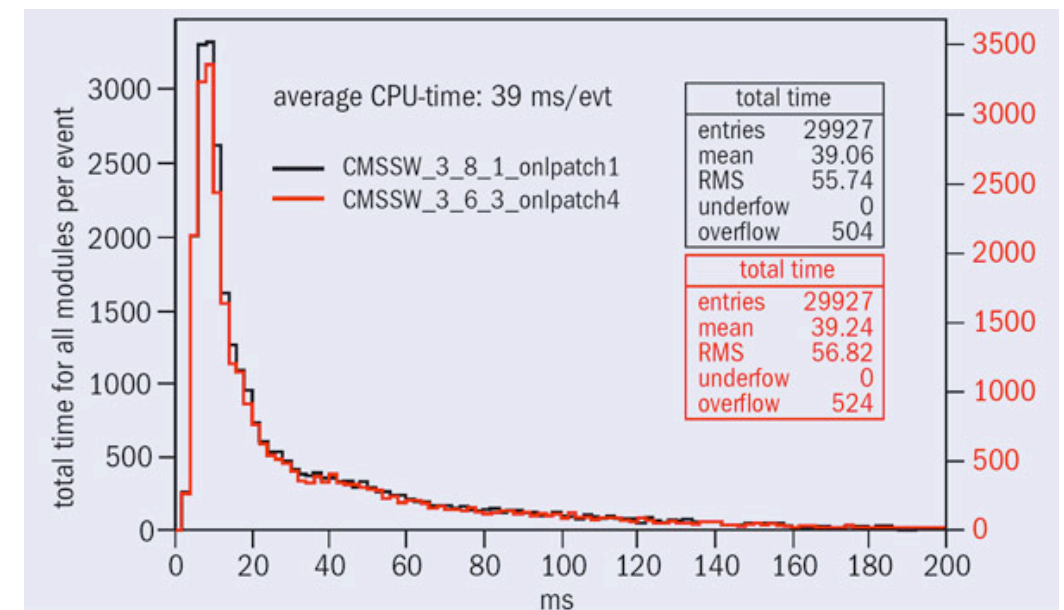
- ~ 200 m<sup>2</sup> micro-strip silicon detectors 15.232 modules  
6136 320µm thick and 18.192 500µm thick sensors (all from 6" wafers).  
9.648.128 analog strip channels.
- ~ 1 m<sup>2</sup> silicon pixel detectors 768 barrel modules and 96 end-caps blades  
16000 ROC  
66 Million pixels



# Current usage of Trackers at HLT



HLT track precision is close to the offline one. Could be used in complex topologies, however is slow for L1 triggers.



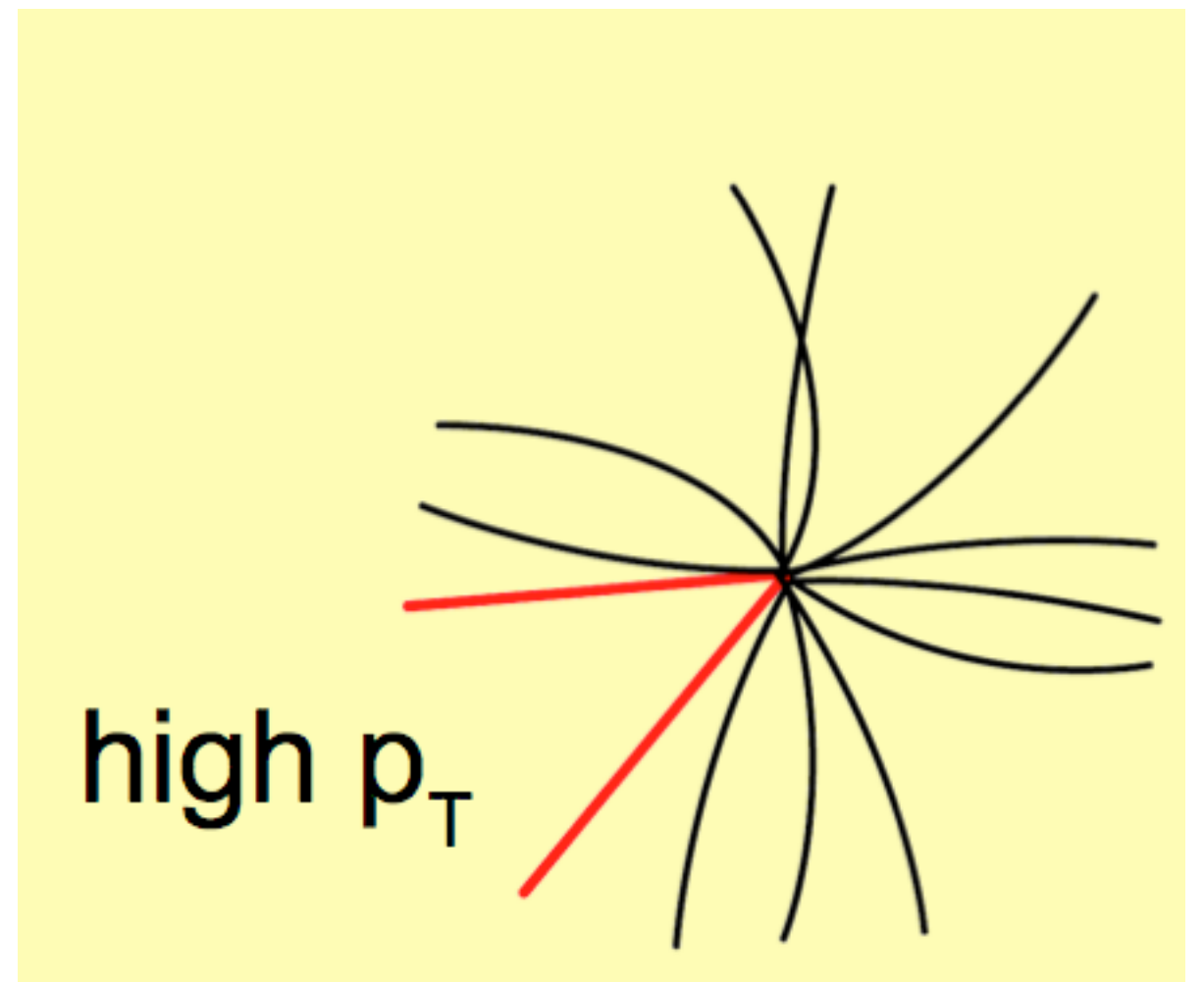


# What Trackers should do

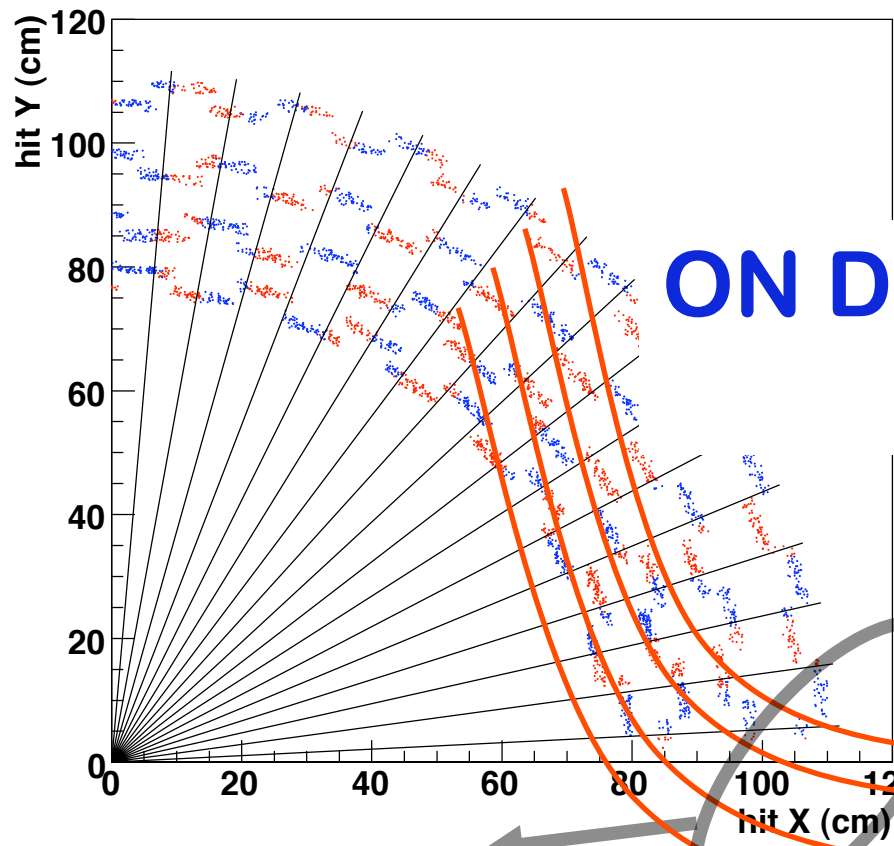
- 1. Data reduction
  - Devices able to discriminate information from “good” tracks
- 2. Track reconstruction on-line
  - Fast pattern recognition in complex environments

# Trigger architectures - I

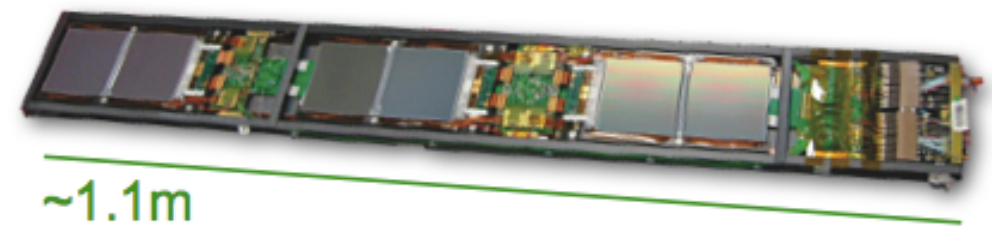
- Track Trigger primitives in a “push” path
- L1 tracking trigger data combined with calorimeter & muon trigger data
  - With finer granularity than presently employed
- Physics objects made from tracking, calorimeter & muon trigger data transmitted to Global Trigger



# Trigger working principle

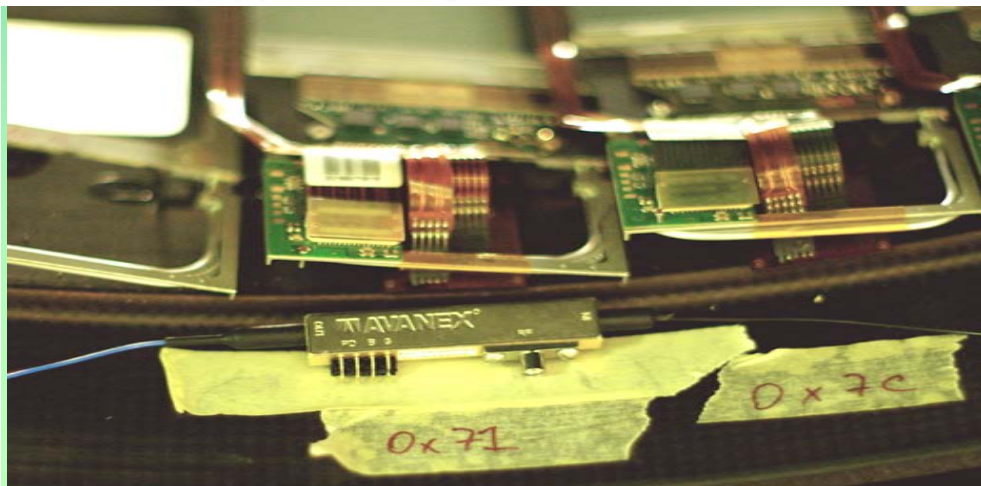


**ON DETECTOR data reduction**



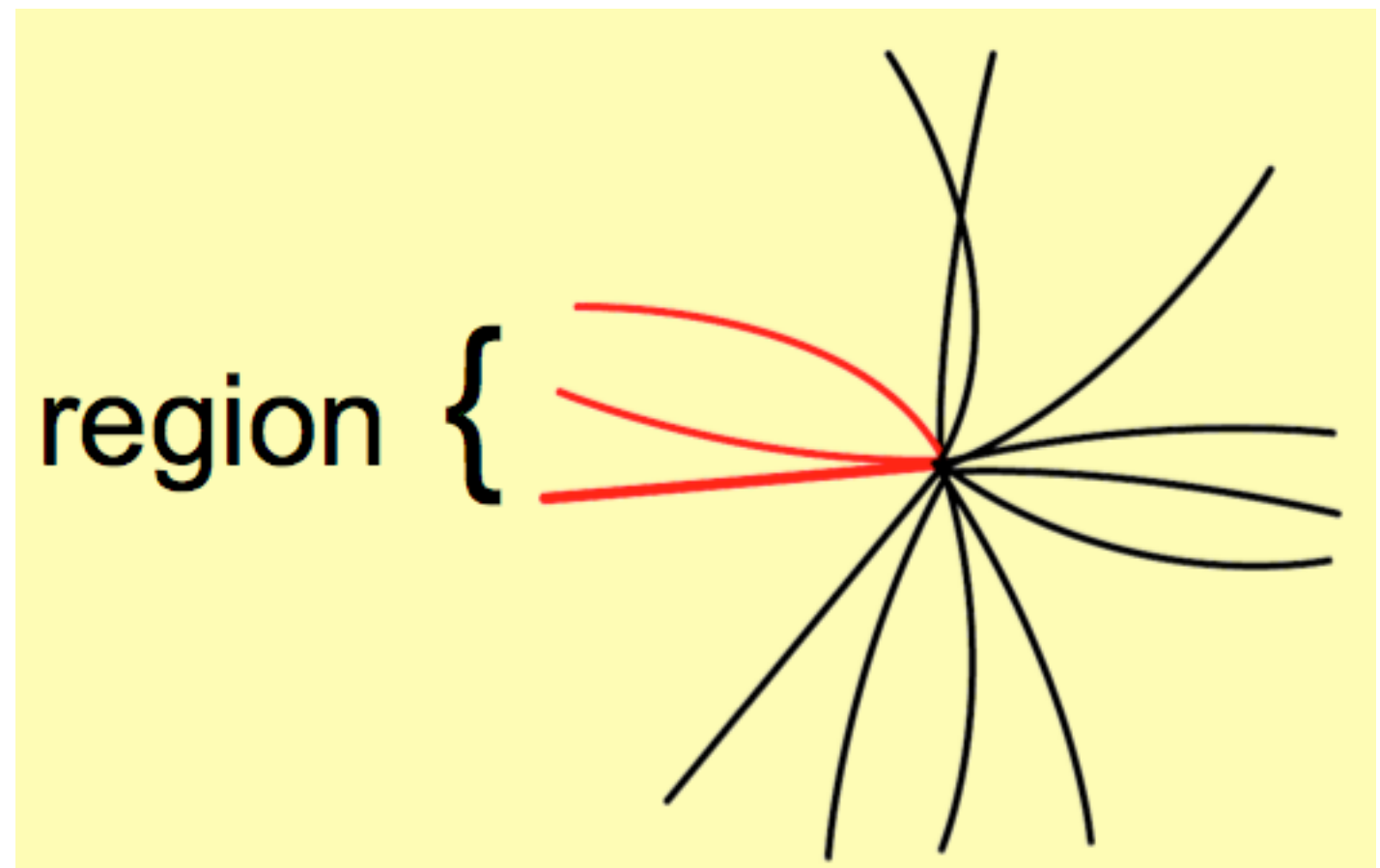
**OFF DETECTOR track pattern recognition**

**Fast - O(5-10 Gbps) data links**

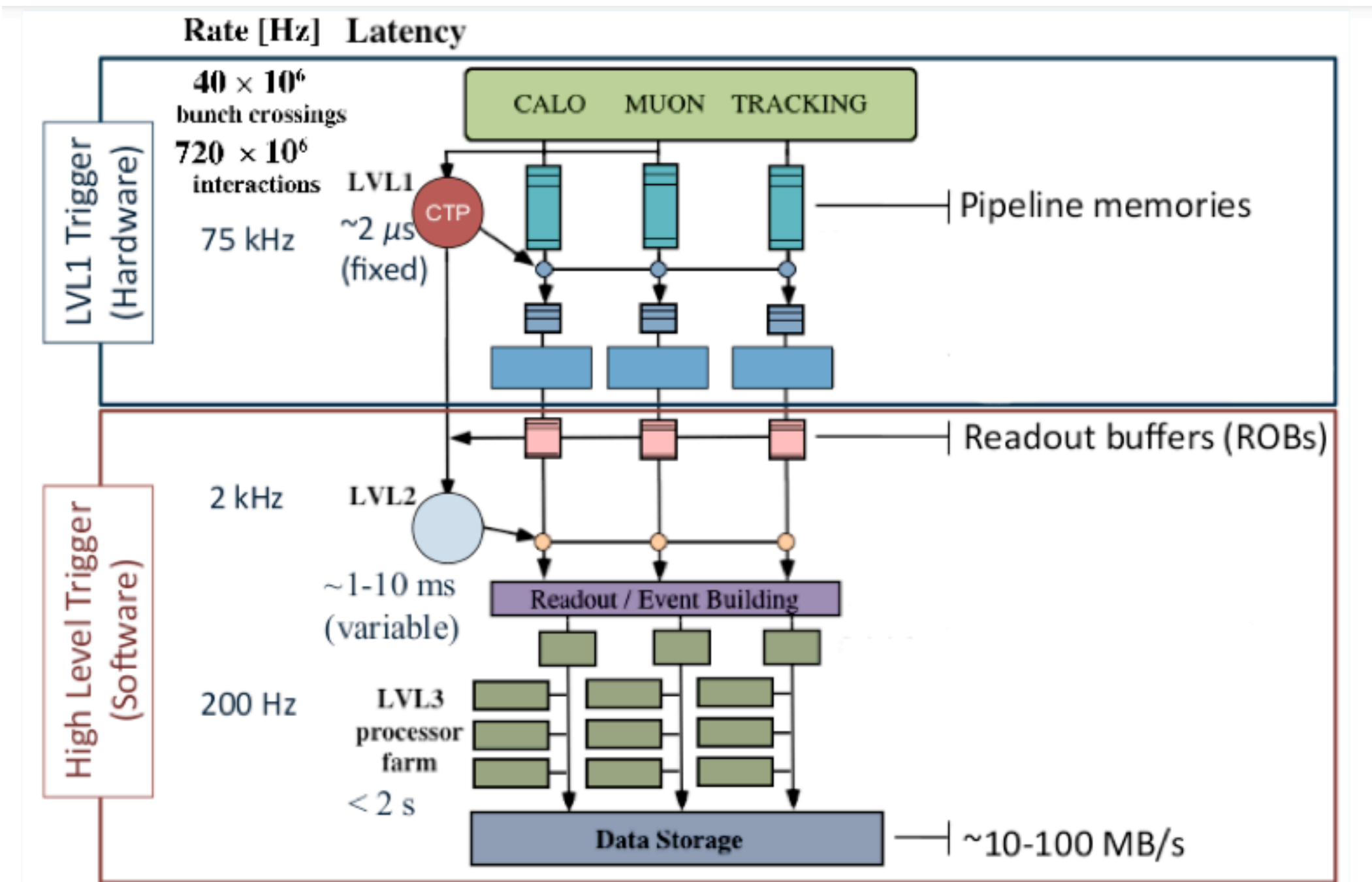


# Trigger architectures - II

- Track Trigger primitives in a “pull” path
- Use present L1 calorimeter & muon triggers to produce a “Level-0” to request tracking information from specific regions
  - Same latency as today’s Level-1
  - Expected rate ~ 1 MHz
- Tracker sends out information from regions of interest (within a few clock cycles), to form a new combined L1 trigger



# Current ATLAS Trigger





# Track Trigger using a double buffer scheme (ATLAS)

## The “L0+L1” scheme

### Level-0:

Coarse calo and muon data

Rate 40 MHz  $\rightarrow$  500 kHz

Latency  $< 6.4 \mu s$

Defines Regions of Interest (Rols) for L1

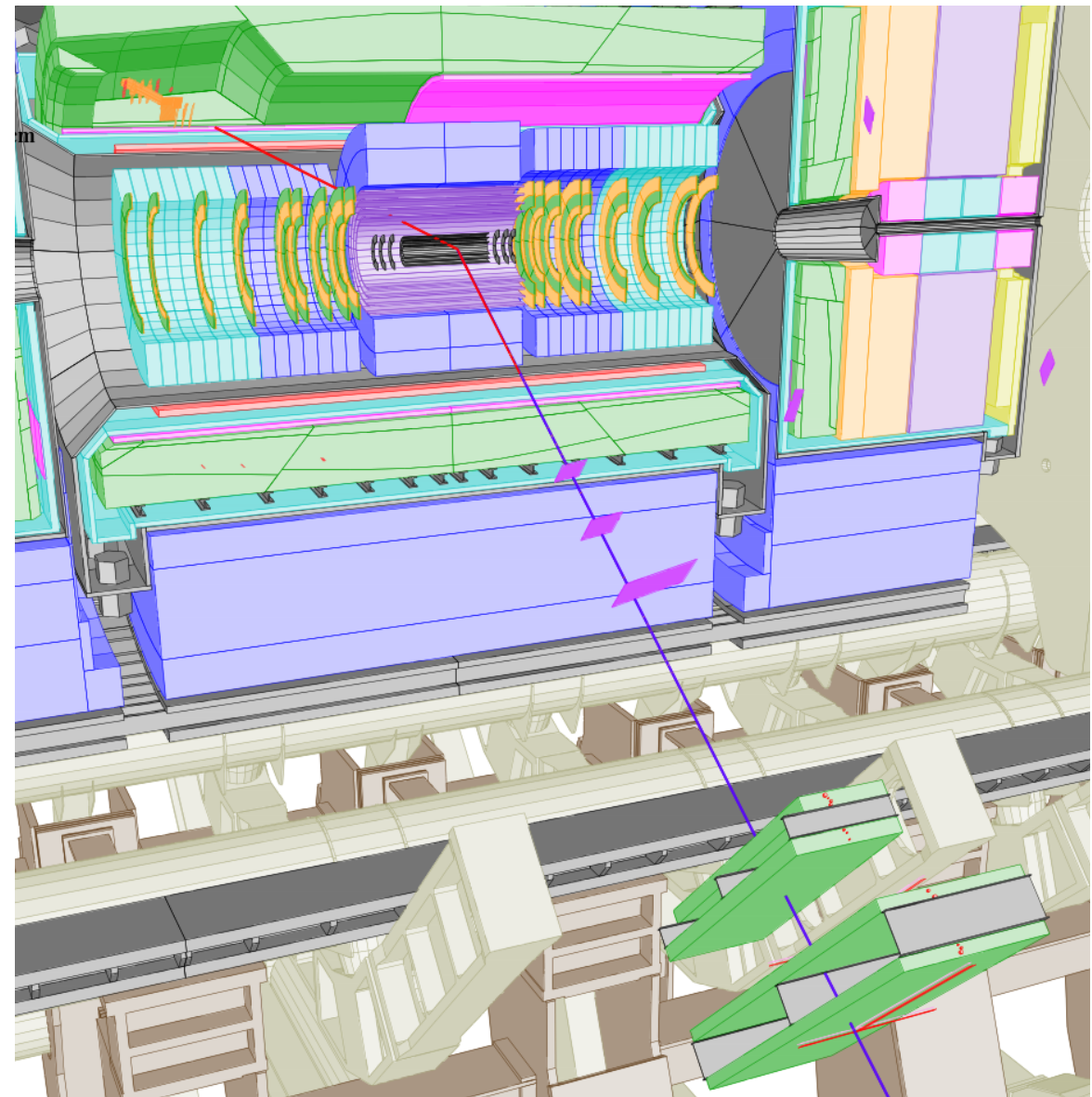
### Level-1:

Tracker data only from Rols

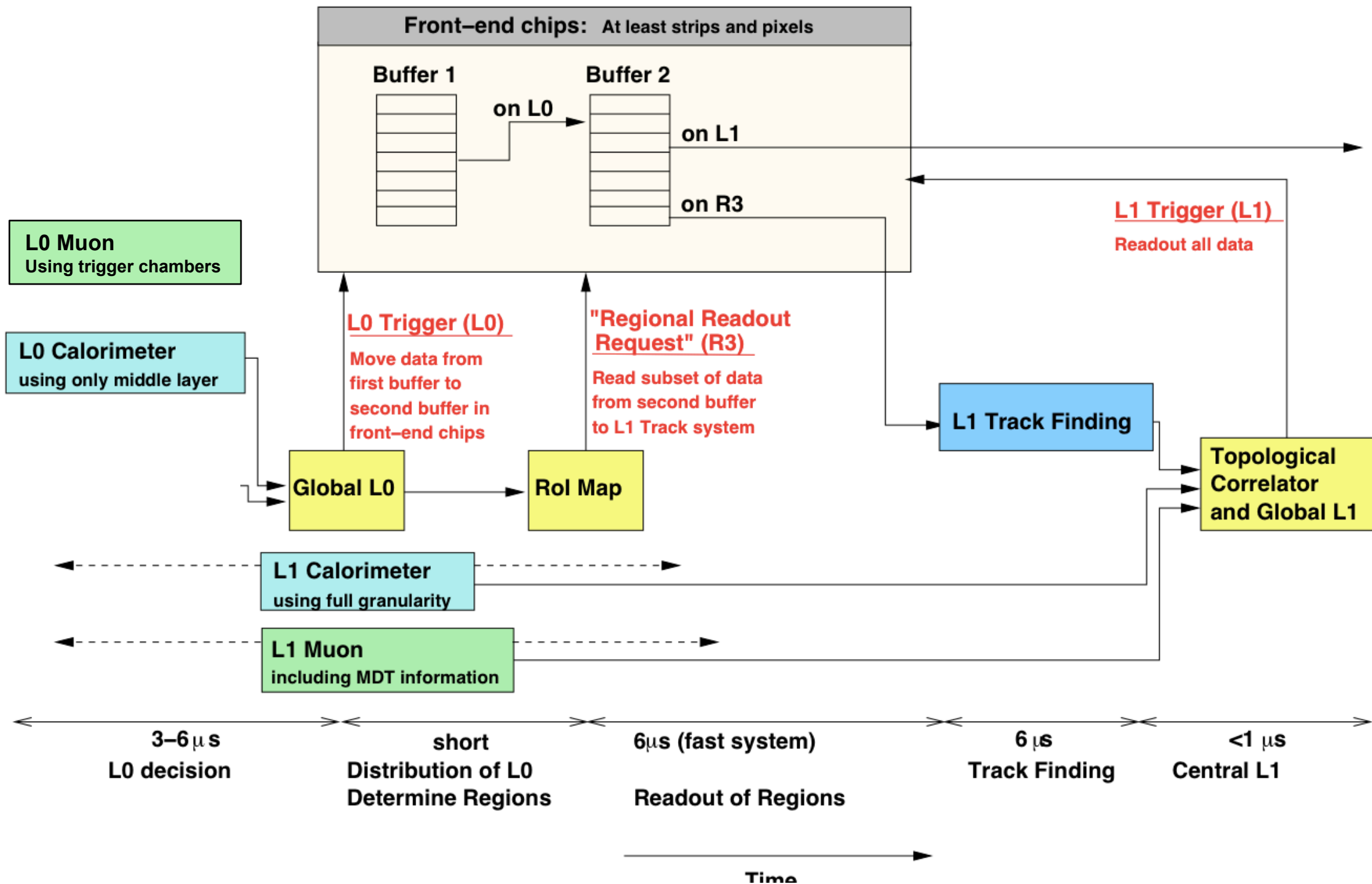
Refined information from calorimeters and muons

Rate 500 kHz  $\rightarrow$  200 kHz

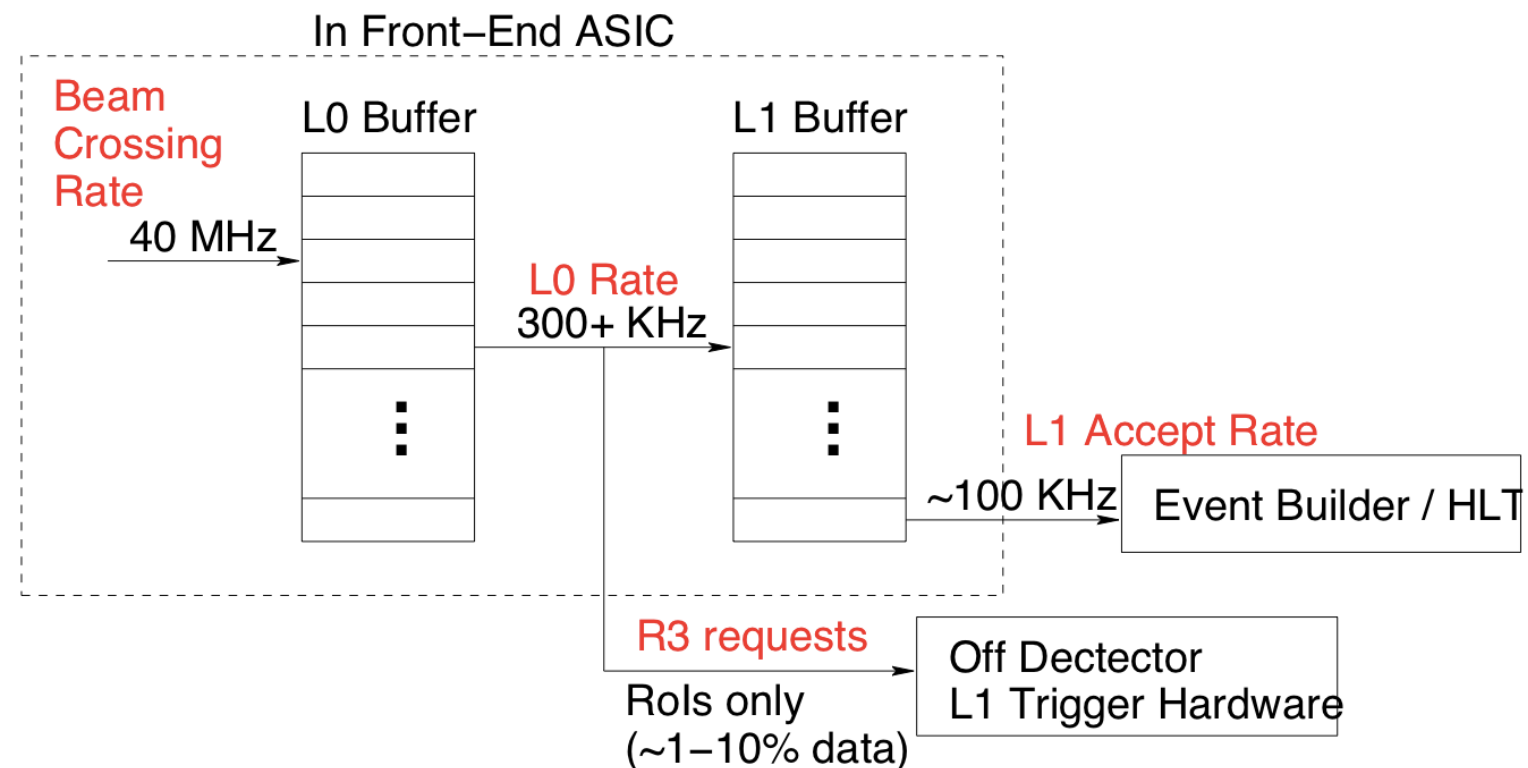
Latency  $< 20 \mu s$



# Possible implementation



# Front-End – Latencies and Bandwidths



Bandwidth = (L1A rate + Rol data fraction  $\times$  L0A rate)  $\times$  event size  
 e.g. L1A = 100 kHz, L0A = 500 kHz, 10% Rol frac.  $\Rightarrow$  150 kHz  $\times$  ev. size  
 Bandwidth requirement is not great

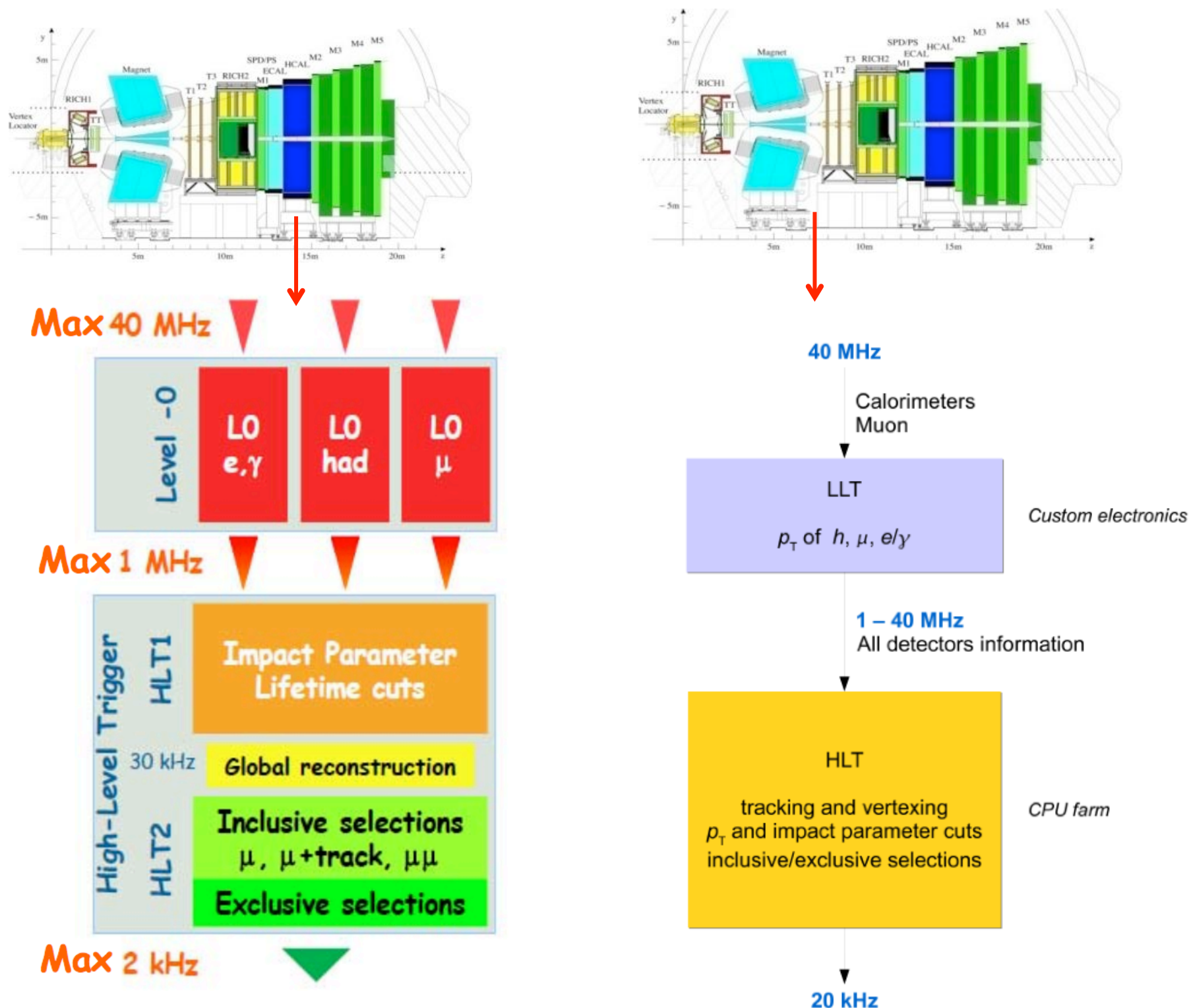
L0 Buffer Length =  $6.4 \mu s \times 40 \text{ MHz} = 256$  events long

L1 Buffer Length =  $20 \mu s \times 500 \text{ kHz} = 10$  events long

Two buffer scheme greatly reduces buffer length needed



# Trigger architectures - III



# General philosophy

## Outer Tracker

- Developing an Integrated Approach with overall ambition of providing
  - A lighter Tracker, with better overall Tracking and Calorimetry performance compared to the present systems
  - A Tracking Trigger including all tracks with  $p_T$  above 2 ~ 2.5 GeV, well measured and with ~ 1mm primary vertex resolving power
  - Pursuing a “Push” Architecture based on
    - On module filtering of hits from tracks with  $p_T$  above ~ 2 GeV
    - Low power (low mass) 5GHz optical links

## Inner Pixel (not yet mature to be discussed)

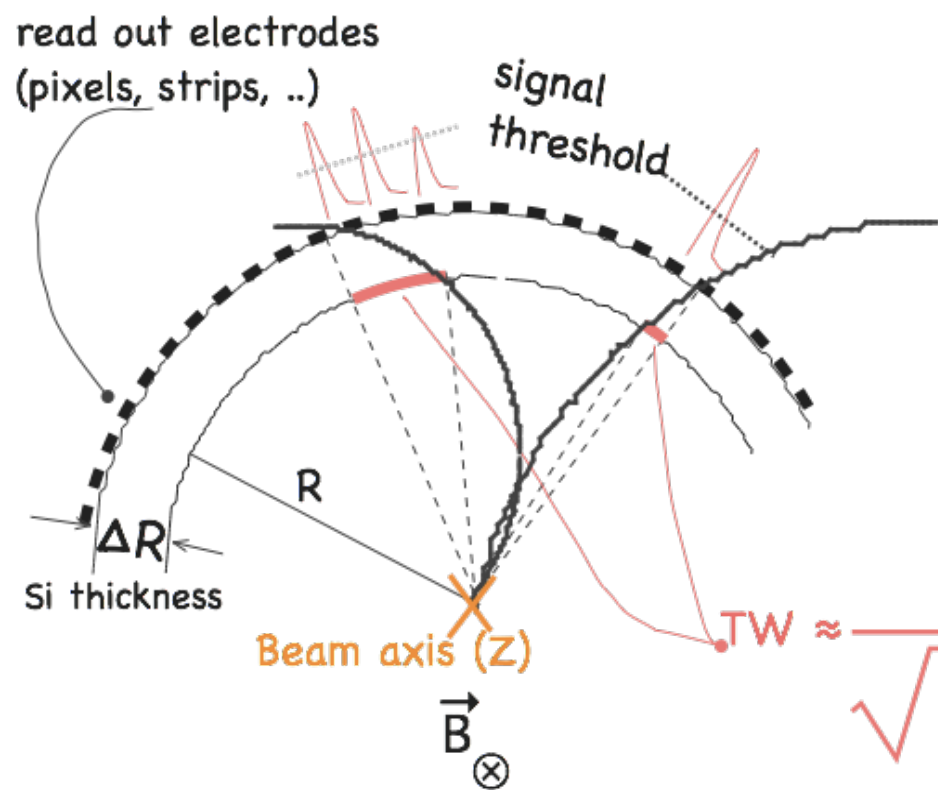
- Exploring a Region of Interest “Pull” architecture
  - As a possible complement to the L1 “Push” Tracking Trigger and/or HLT pre-processor

# On-line Data Reduction

Mainly for “outer Trackers” ( $\sim 30 < R < 100$  cm)  
of ATLAS and CMS

# Select only hits from "high- $p_T$ " tracks

- Select only tracks above a given  $p_T$  since they are few
- Send reduced data volume off detector for further logic



$$TW \approx \frac{\Delta R}{\sqrt{\left(\frac{p_T}{p_{Tmin}}\right)^2 - 1}} \approx \Delta R \frac{p_{Tmin}}{p_T} = 0.15 B \Delta R \frac{R}{p_T}$$

R- $\Phi$  plane , "ideal" barrel layer

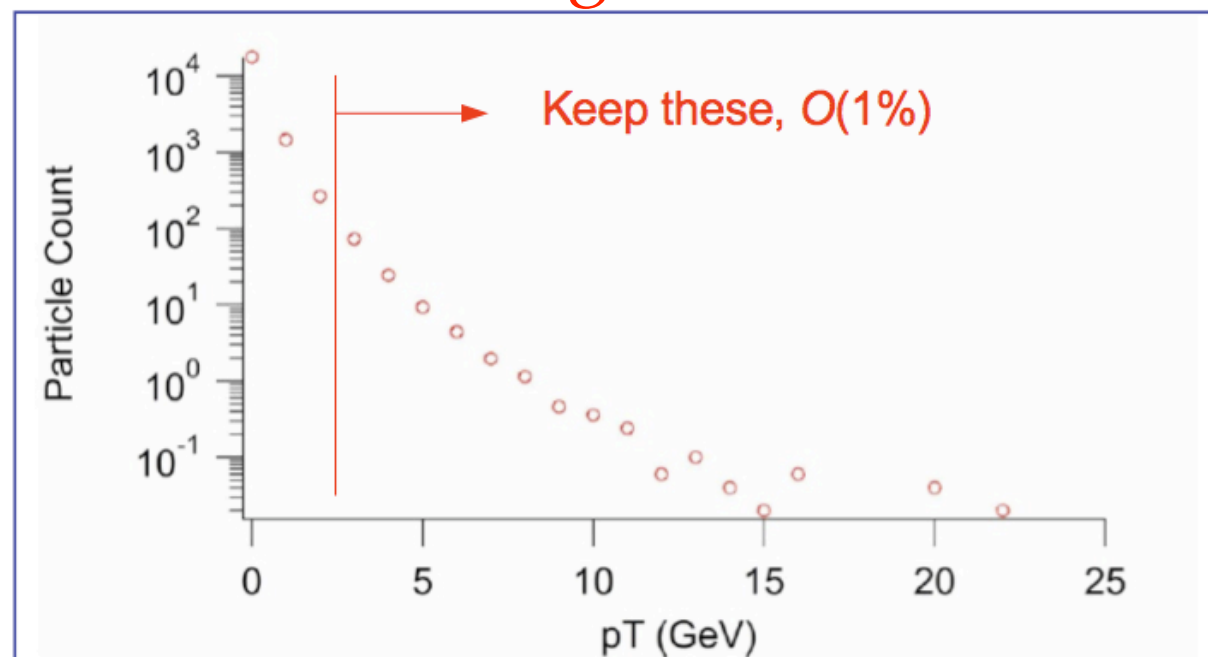


Figure of merit:  
Thickness/pitch

**Tracking in the trigger: From the CDF experience to CMS upgrade.**  
[Fabrizio Palla](#) (INFN, Pisa), [Giuliano Parrini](#) (Florence U. & INFN, Florence). 2007. 14 pp.  
 Published in PoS VERTEX2007 (2007) 034

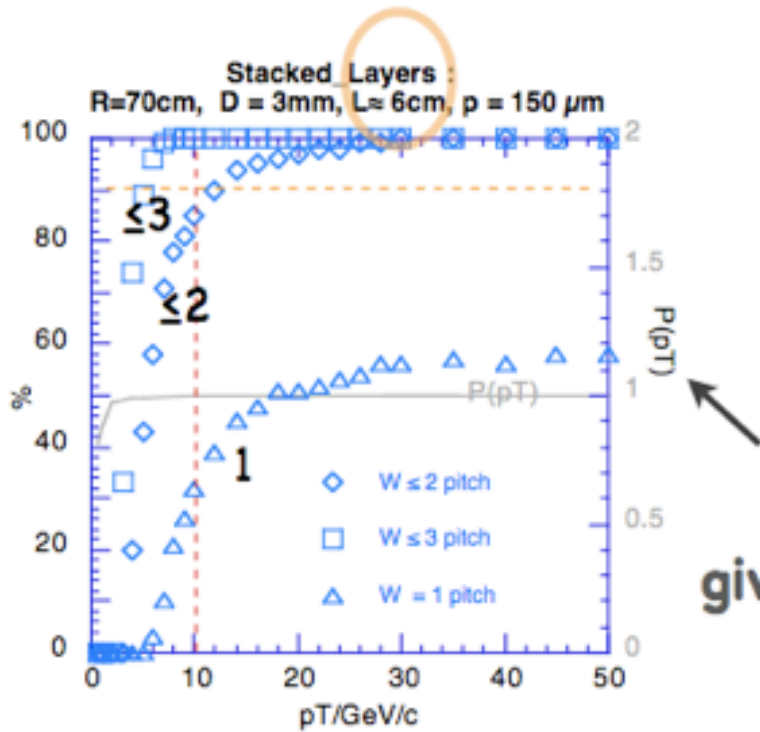
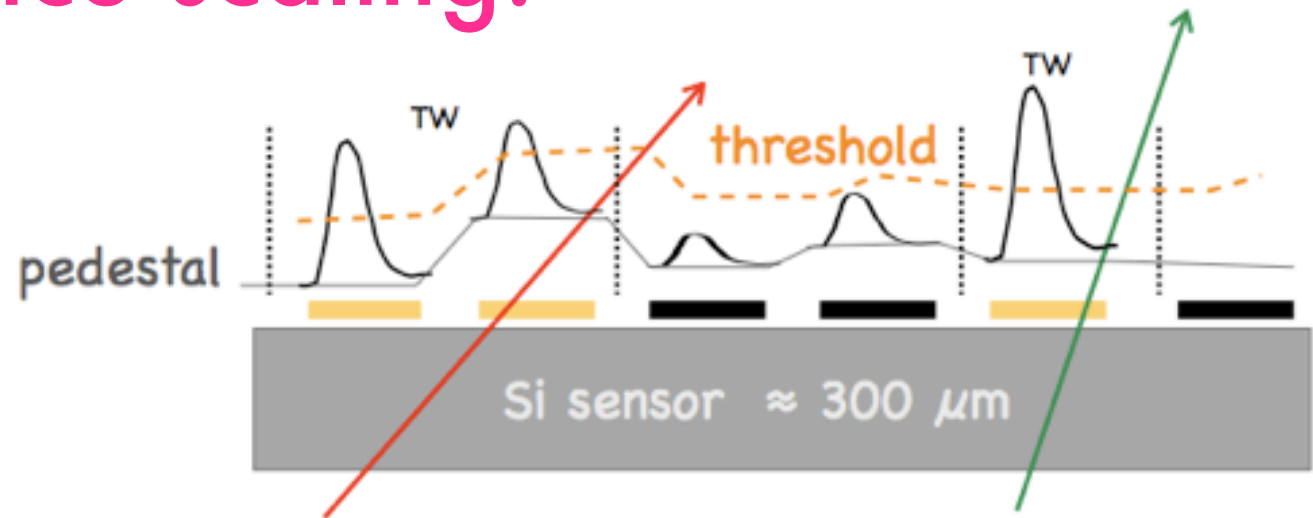
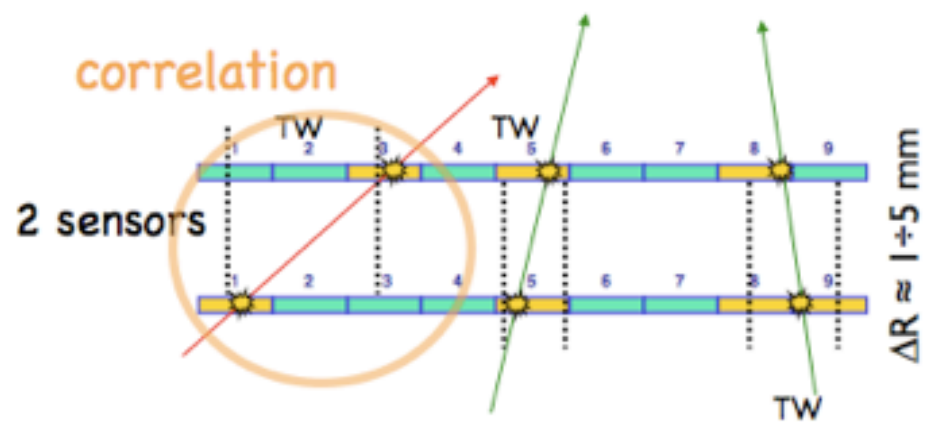
# Track width measurements

Very similar

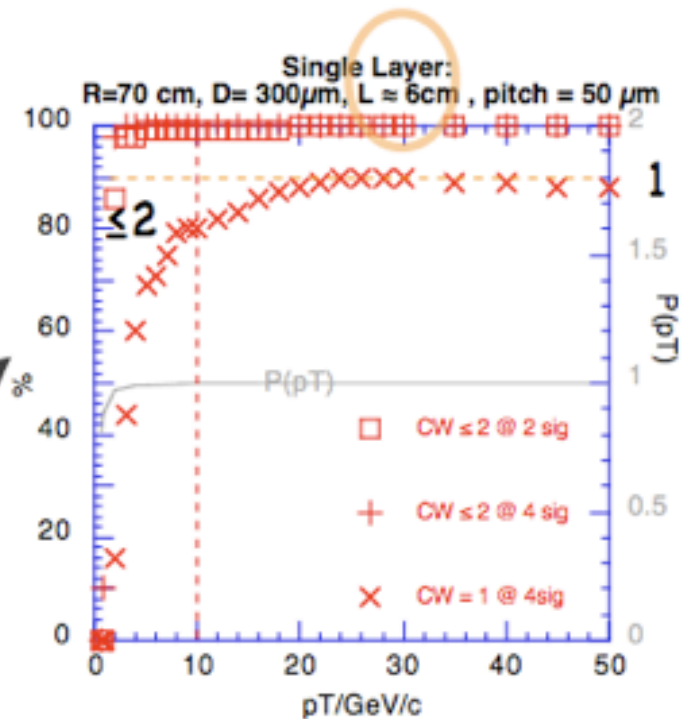
Stacked Layers

notice scaling!

Single Layer

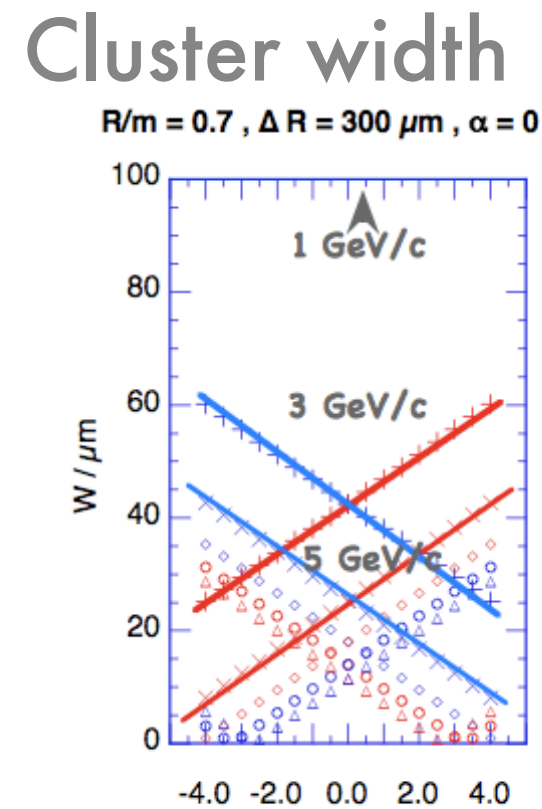
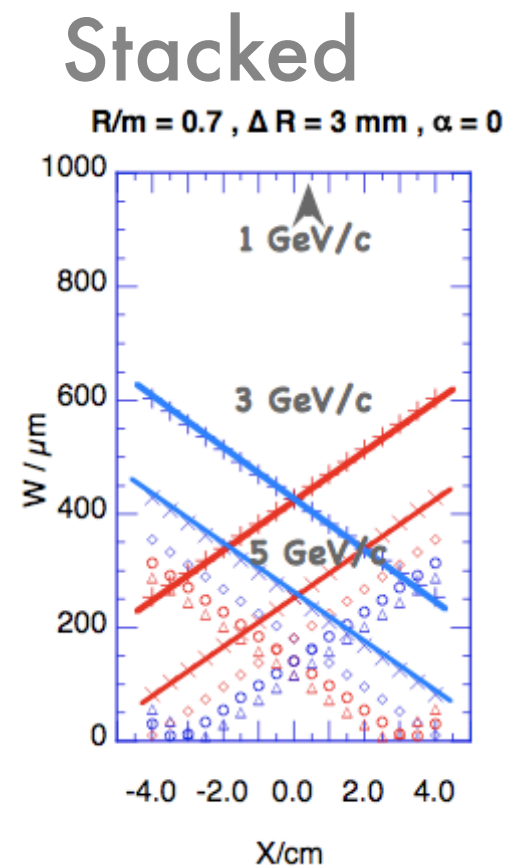


measurements  
give pT lower limits



# Complications

## Effects due to non-flatness and tilt



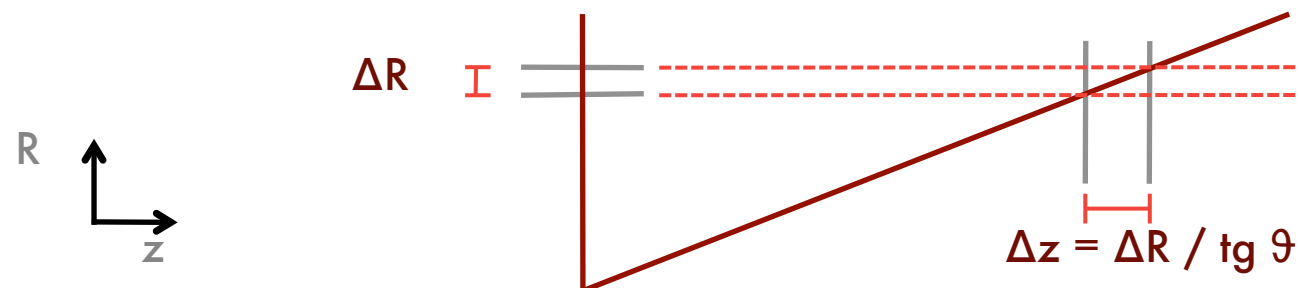
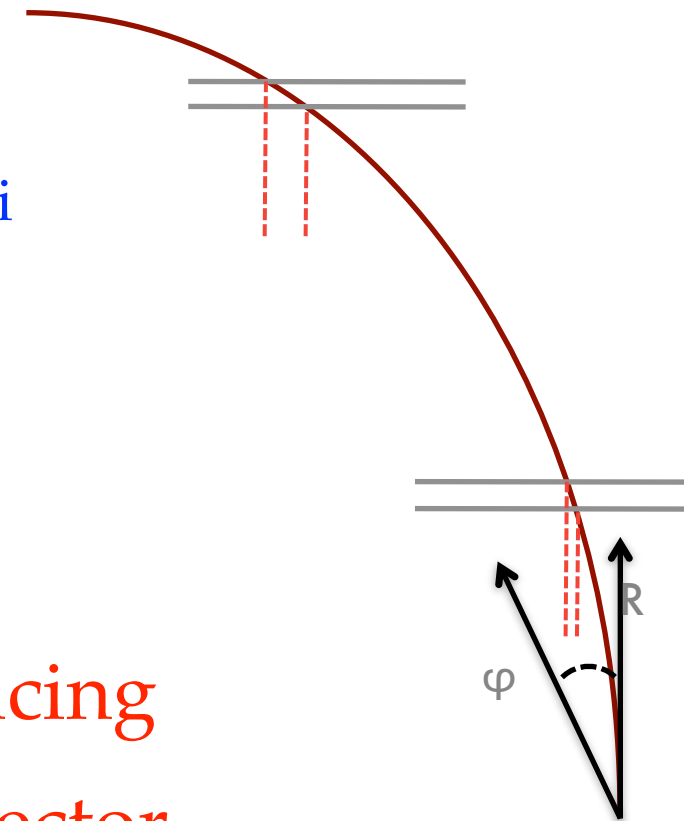
$$TW \approx \Delta R \frac{pT_{\min}}{pT} \pm \Delta R \frac{x}{R} \left( 1 + \left( \frac{pT_{\min}}{pT} \right)^2 \right)$$

### Tilt affects the two in a opposite way:

while for the track width goes to correctly compensate  
for the stacked introduces an offset that is different for +ve and -ve tracks, though  
some charge discrimination possible with complex logic

# $p_T$ modules in barrel and end-cap

- Sensitivity to  $p_T$  from measurement of  $\Delta(R\phi)$  over a given  $\Delta R$
- For a given  $p_T$ ,  $\Delta(R\phi)$  increases with  $R$ 
  - A same geometrical cut, corresponds to harder  $p_T$  cuts at large radii
  - At low radii, rejection power limited by pitch
- In the barrel,  $\Delta R$  is given directly by the sensors spacing
- In the end-cap, it depends on the location of the detector
  - End-cap configuration typically requires wider spacing



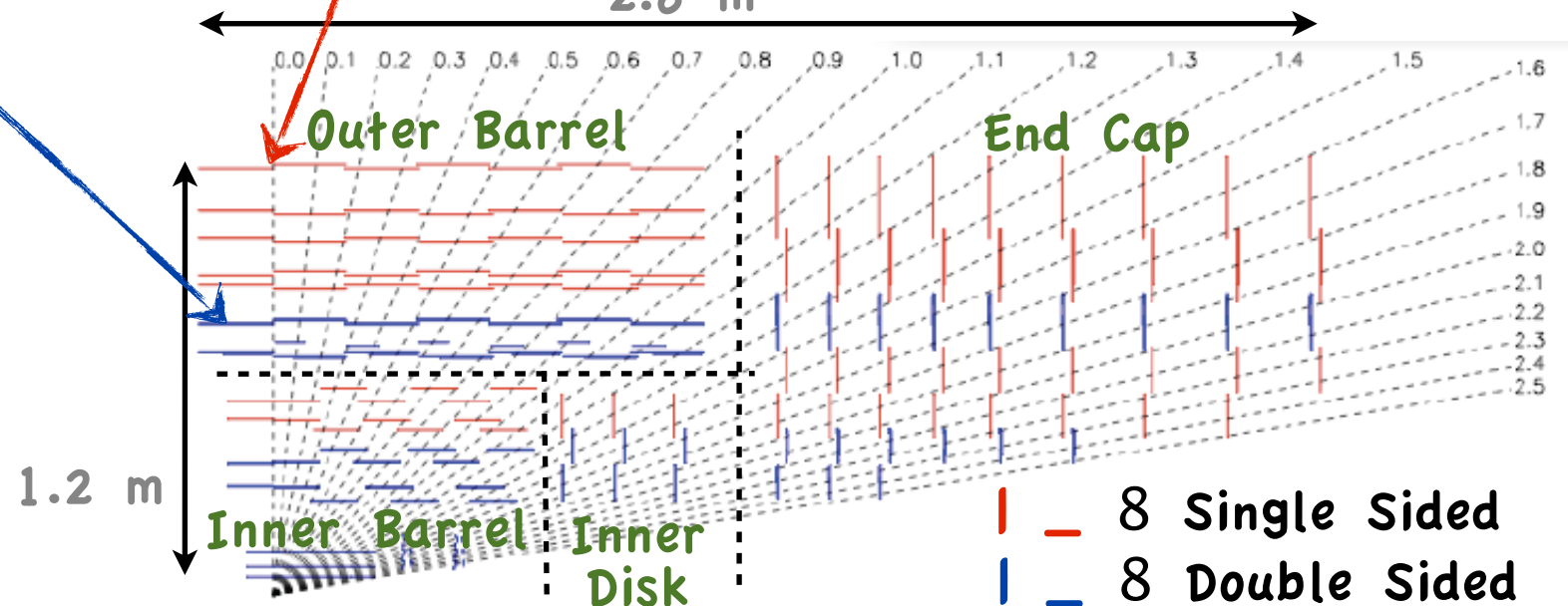


# Method Validation using LHC collision data in CMS

Double Sided (DS) modules considered to mimic the 2-in-1 stacked modules  
 >> TOB Layer 2  
 - R = 70 cm  
 - ToP = 21.9

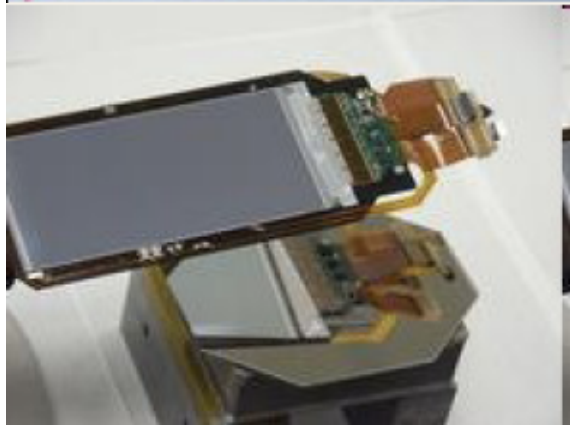
Single Sided (SS) modules  
 TOB Layer 6  
 R = 108 cm  
 ToP = 4.2

h Coverage of (1/4) present CMS Tk  
 2.8 m



Single Sided Module

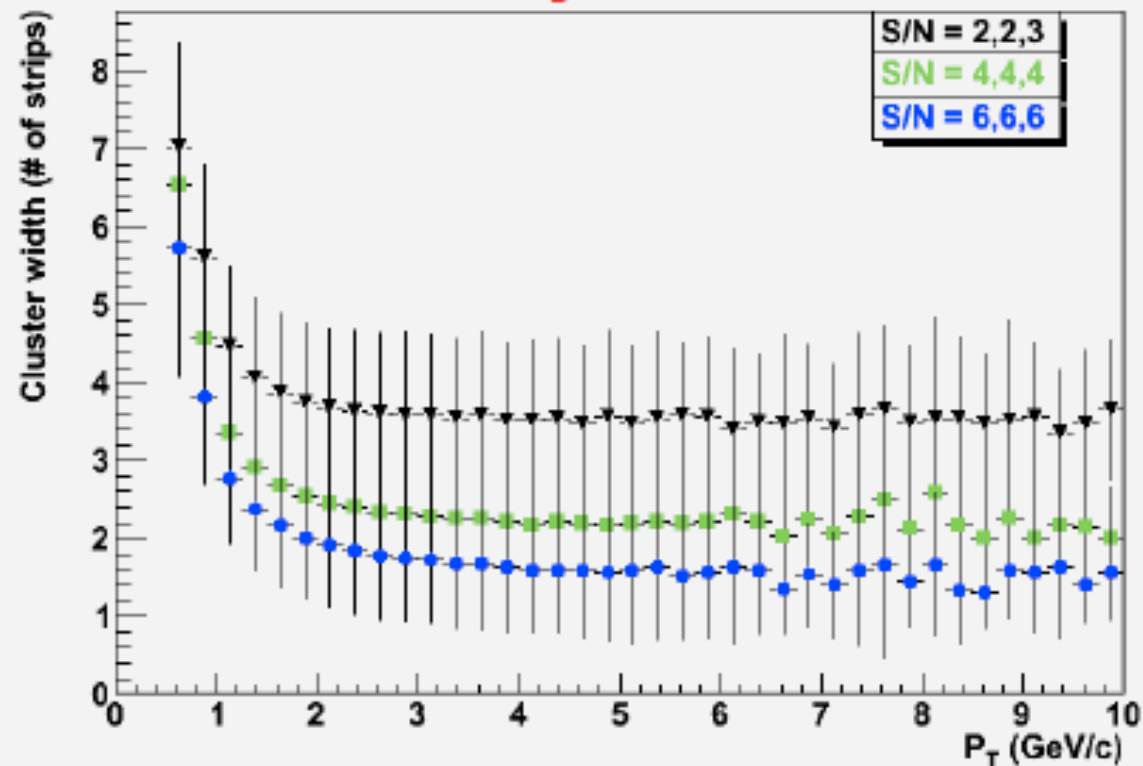
Double Sided Module





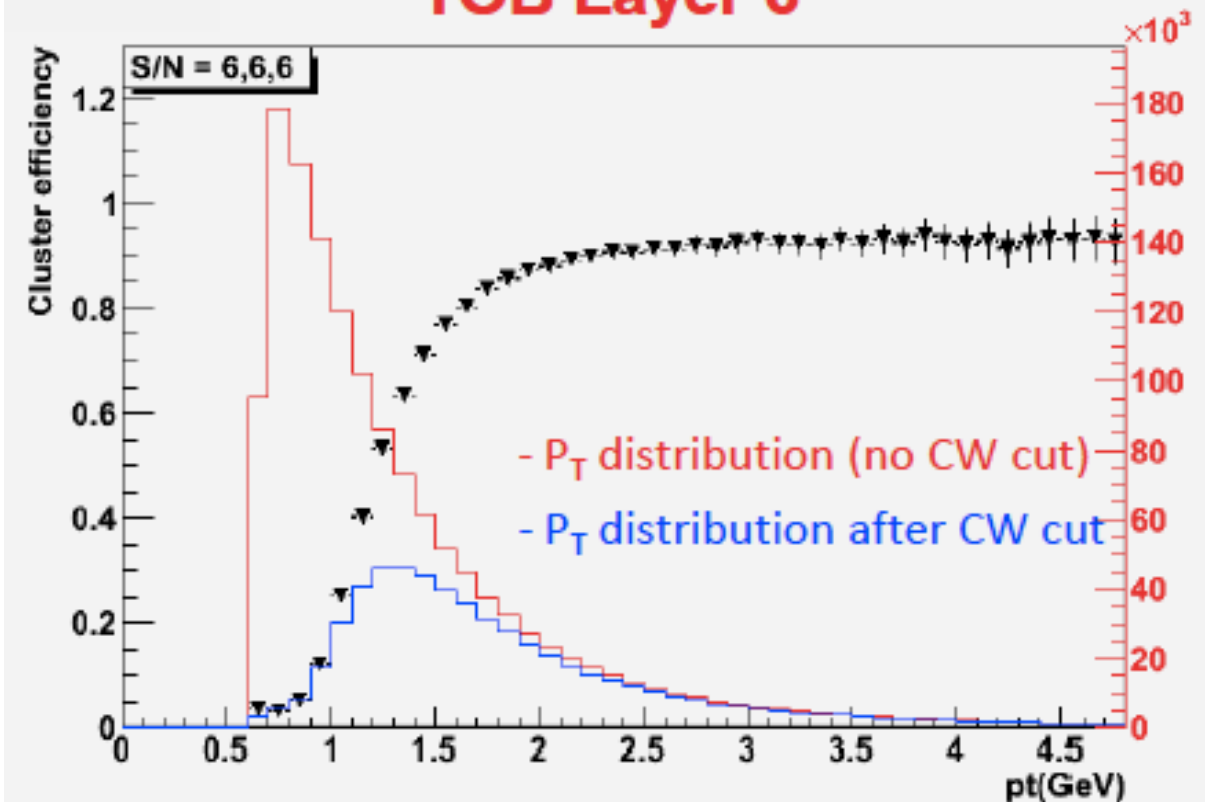
# SS: Sensitivity to CW

## TOB Layer 6



- Tracks CW correlated with reco  $p_T$  for various clustering thresholds
- >> CW decreases with  $p_T$ , as foreseen from theoretical model
  - >> Good  $p_T$  sensitivity for higher clustering thresholds ( $S/N > 6$ ) due to suppression of capacitive couplings effects on FE electronics generating false large clusters

## TOB Layer 6



Tracks selected with CW < 3

- >> Selection efficiency as a function of  $p_T$  superimposed to track  $p_T$  distributions
- >> Efficiency > 90% yet from 2 GeV/c

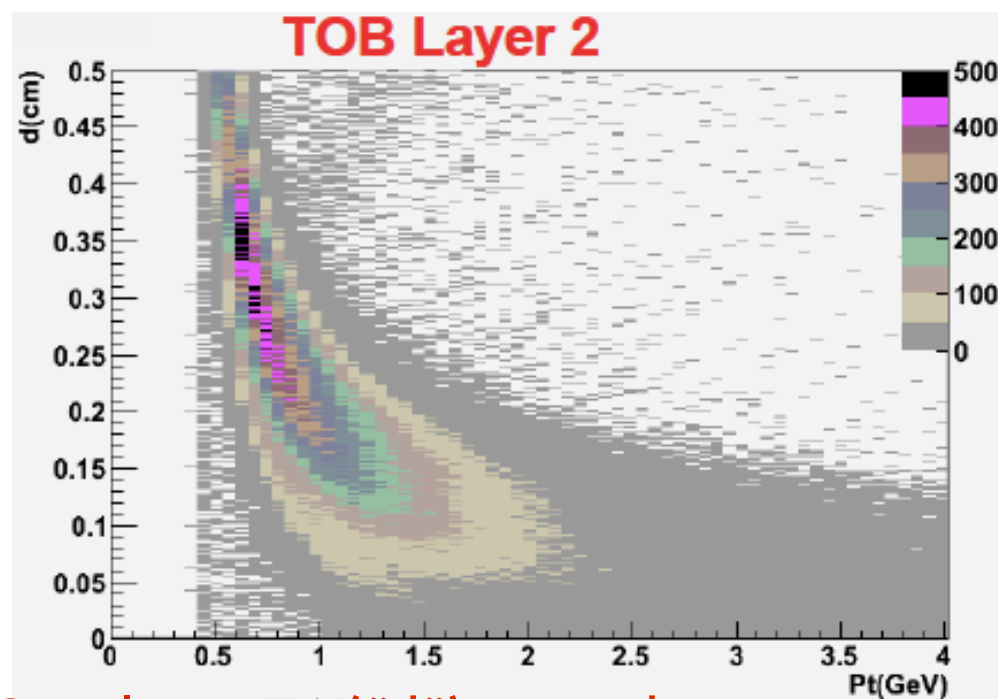
# Using glued detectors



tilt angle: 100 mrad

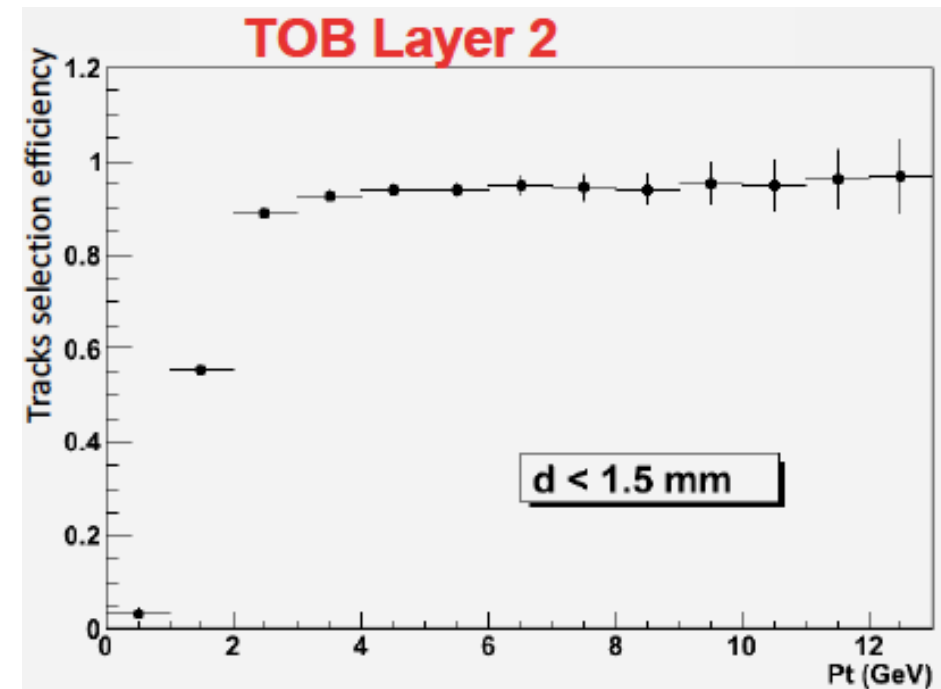
Glued modules in CMS are used to get z info

- >> 2 SS modules are in "stereo" configuration i.e. rotated by 100 mrad, separated by  $\sim 2$ mm
- >> Correcting off-line for the stereo angle, we can use these modules as double layer detectors



Correlation TW("d") vs. track  $p_T$

- >> High  $p_T$  ( $> 2$  GeV/c) tracks have clusters almost overlapping
- >> Clusters for low  $p_T$  ones are far each other

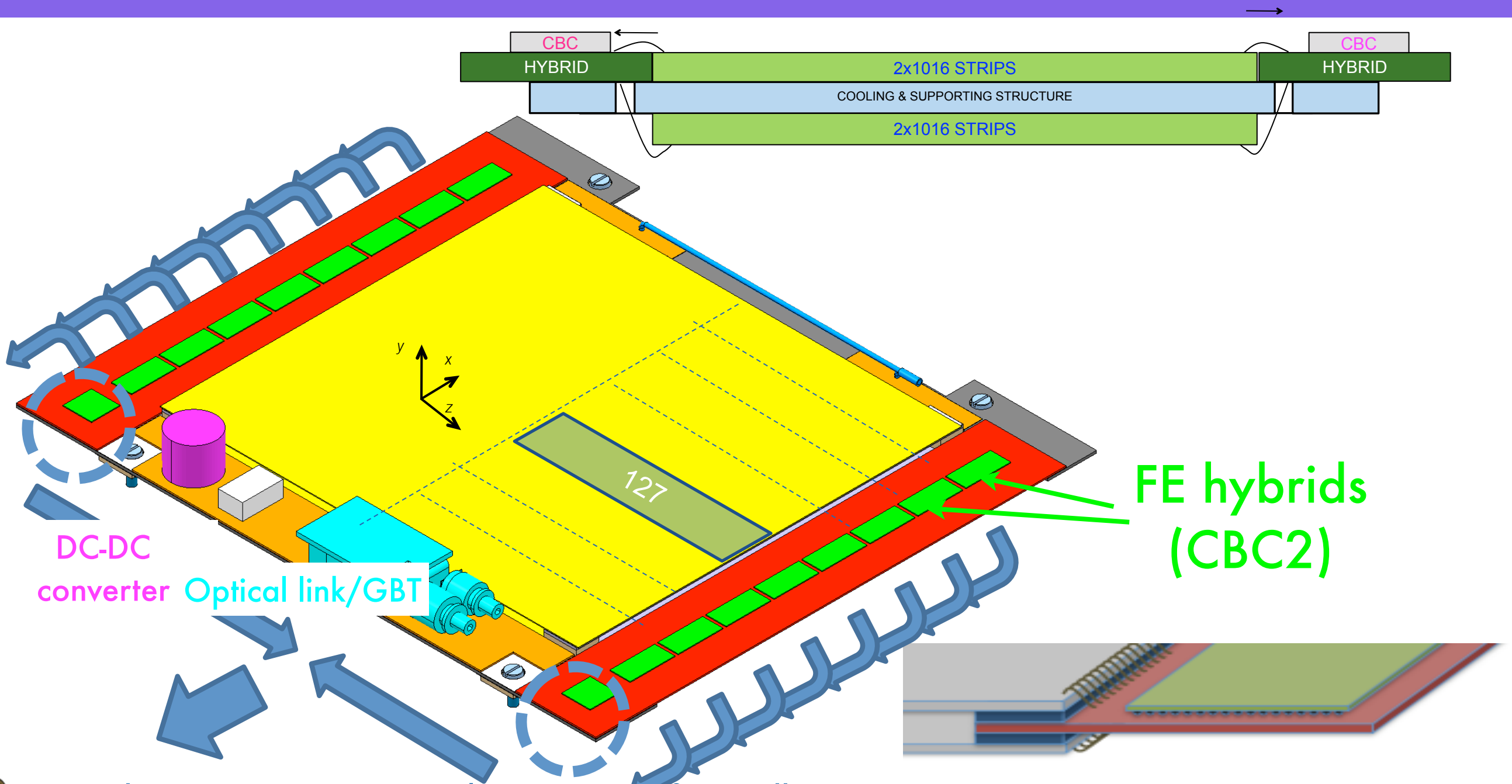


Tracks selected with TW("d")  $< 1.5$  mm

- >> Selection efficiency vs. track  $p_T$
- >> Efficient selection ( $\sim 100\%$ ) for high ( $> 5$  GeV/c)  $p_T$  tracks

# Hardware designs

# 2S pT-modules

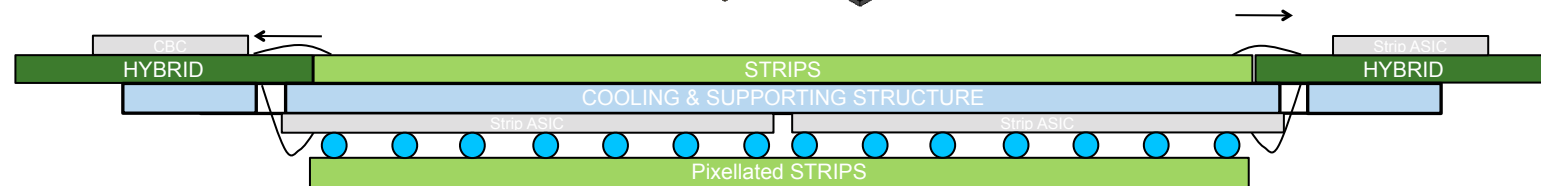
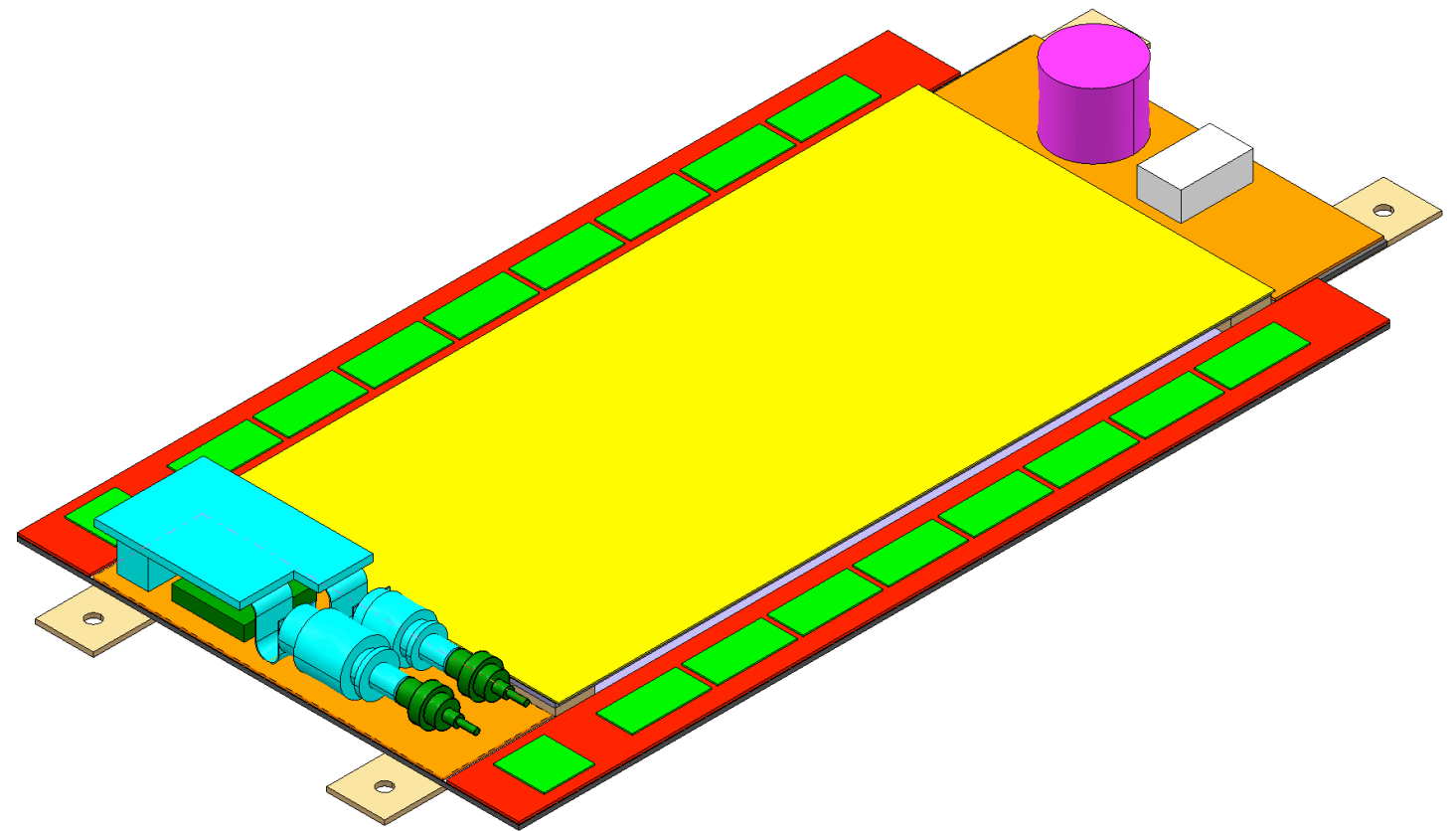


- ≈ 5 cm long strips, ≈ 90 μm pitch, ≈ 10x10 cm<sup>2</sup> overall sensor size
- Wirebonds from the sensors to the hybrid **on the two sides**
- 2048 channels on each hybrid

# Pixels-Strips (PS) pT-modules

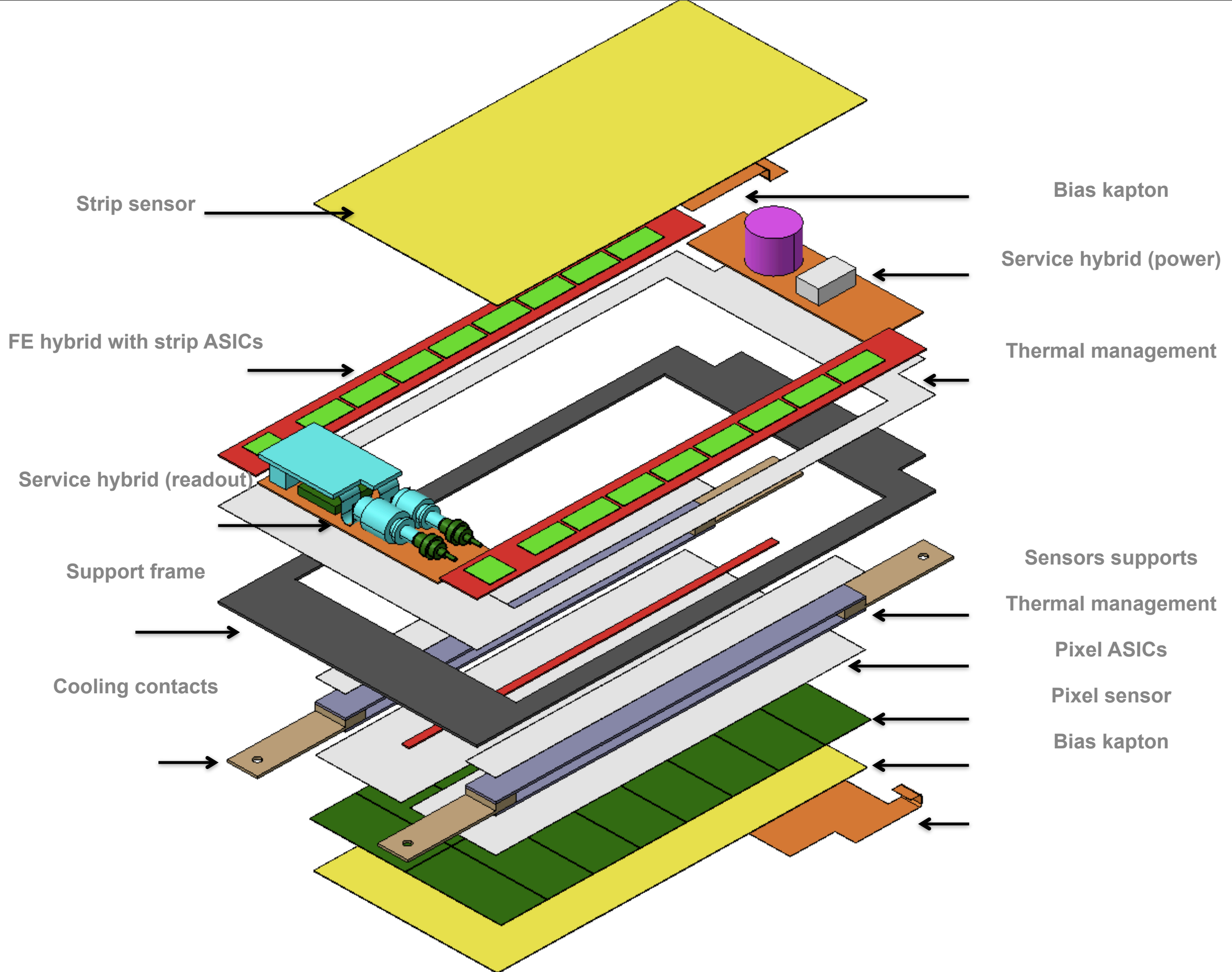
## Sensors:

- Top sensor: strips
  - 2×25 mm, 100 μm pitch
- Bottom sensor: long pixels
  - 100 μm × 1500 μm
- ≈ 5×10 cm<sup>2</sup> overall sensor size



## Readout:

- Top: wirebonds to "hybrid"
- Bottom: pixel chips wirebonded to hybrid
- Correlation logic in the pixel chips

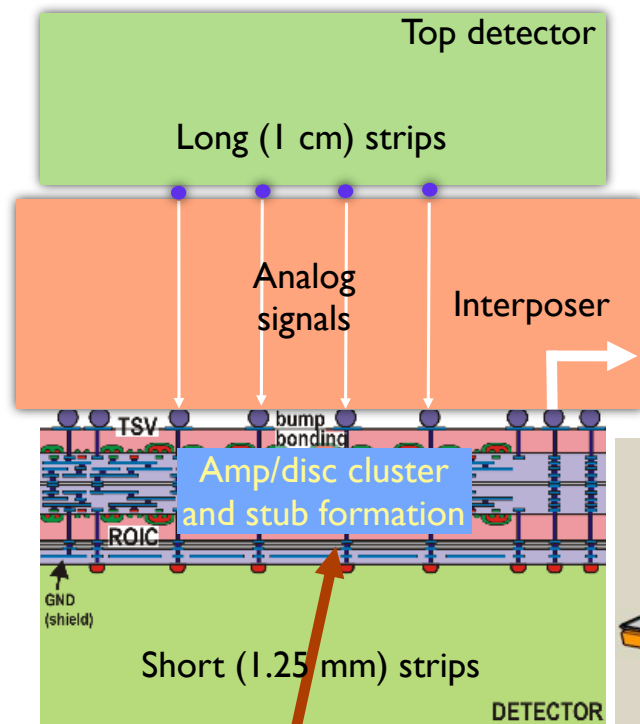




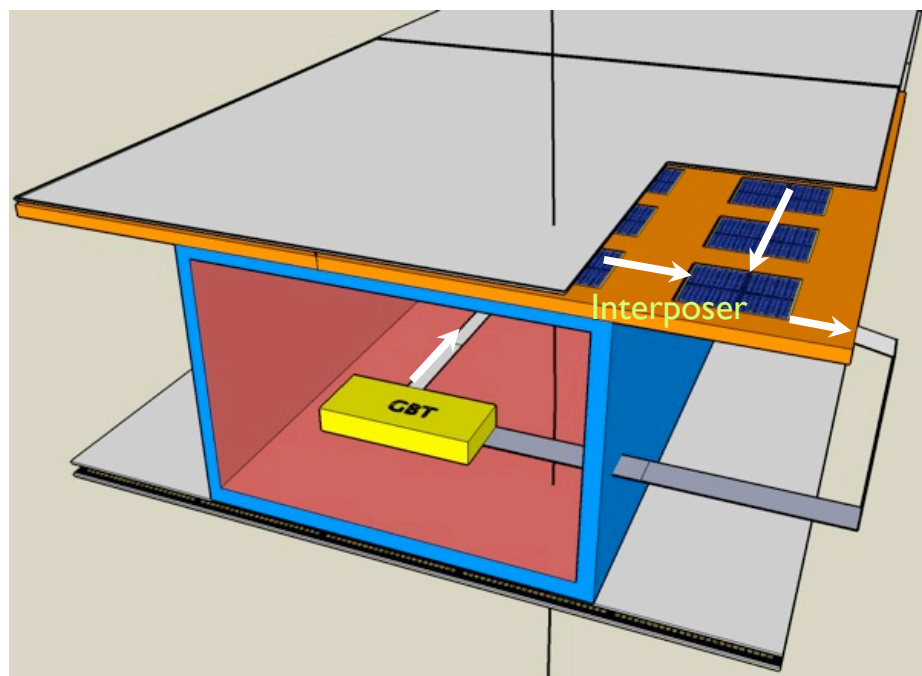
# 3D pT-modules

## Data Flow

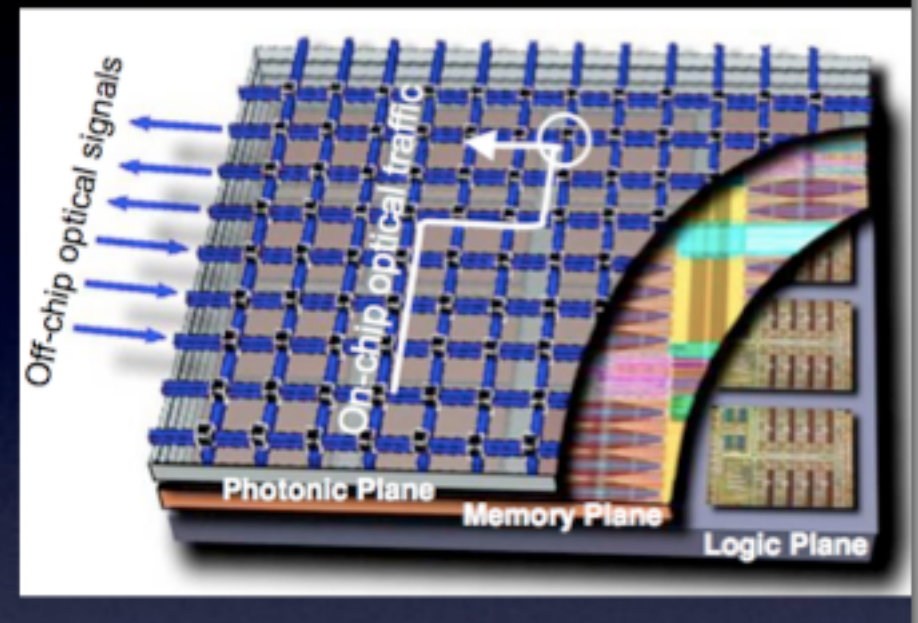
Top sensor analog information flows through interposer to IC mounted on bottom  
 Long strips on top provide r-phi minimize number of interposer connections  
 Short strips on the bottom provide Z resolution  
 ROIC amplifies and discriminates forms stubs and manages pipeline



ROIC sees signals from top and bottom sensors all correlations local

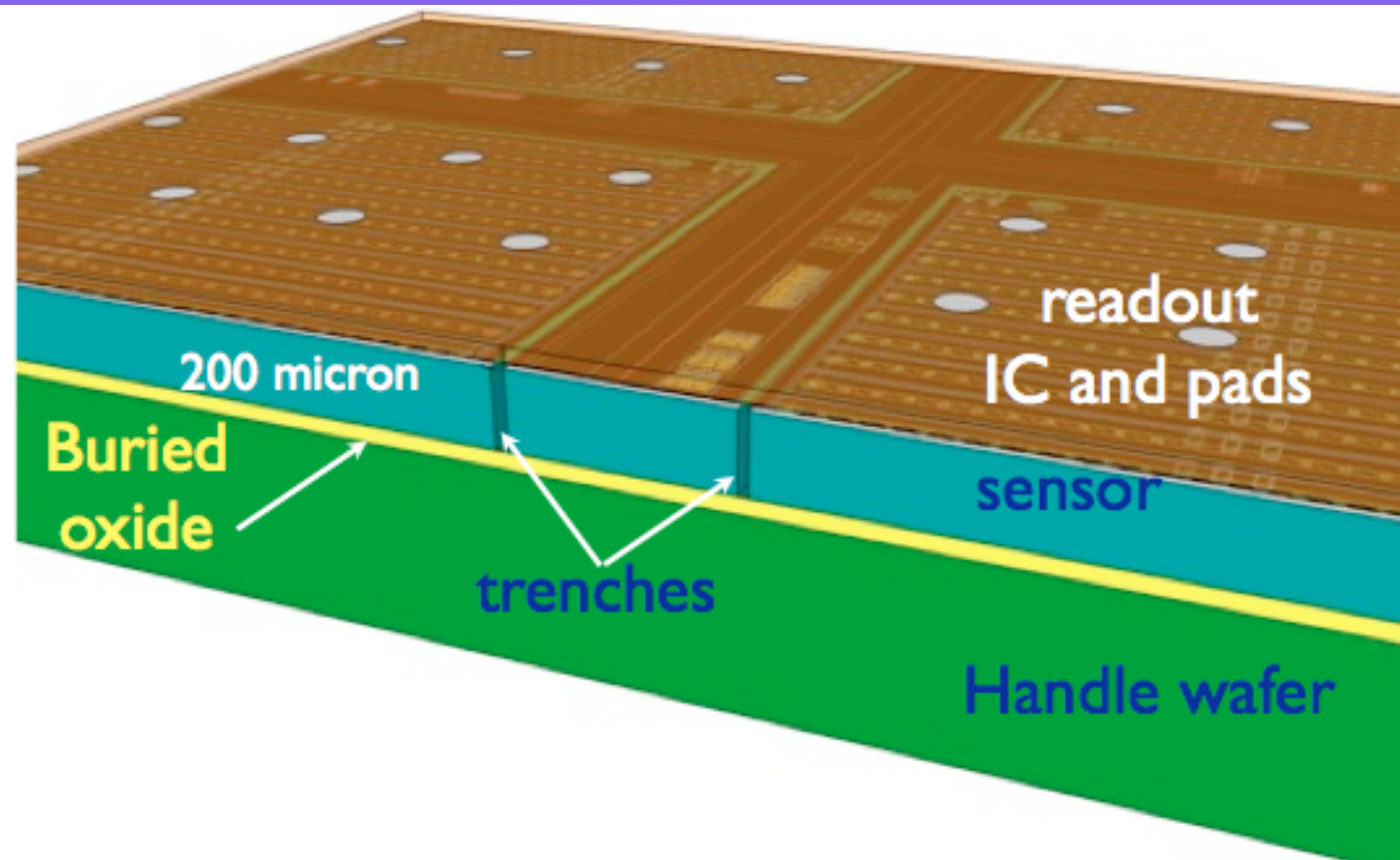


## IBM/Cornell/UCSB Study – vision of 22 nm 10Tflop 3D chip (2018)

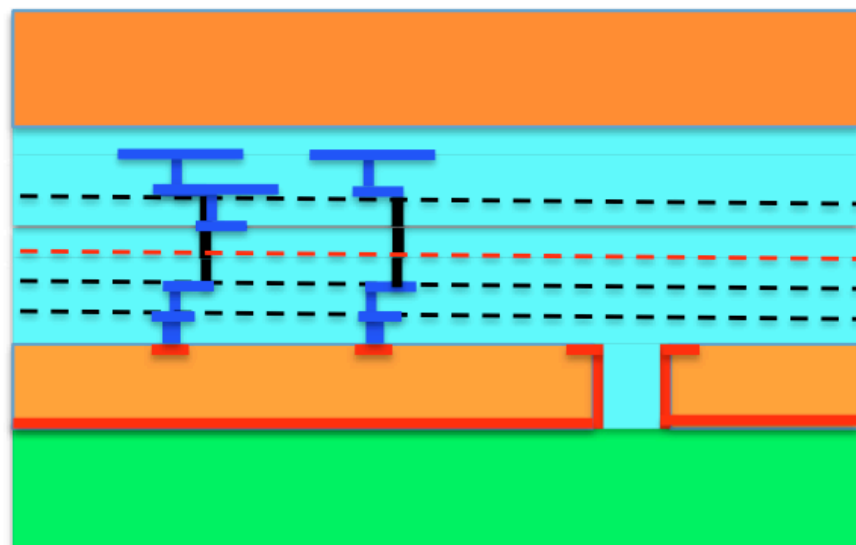




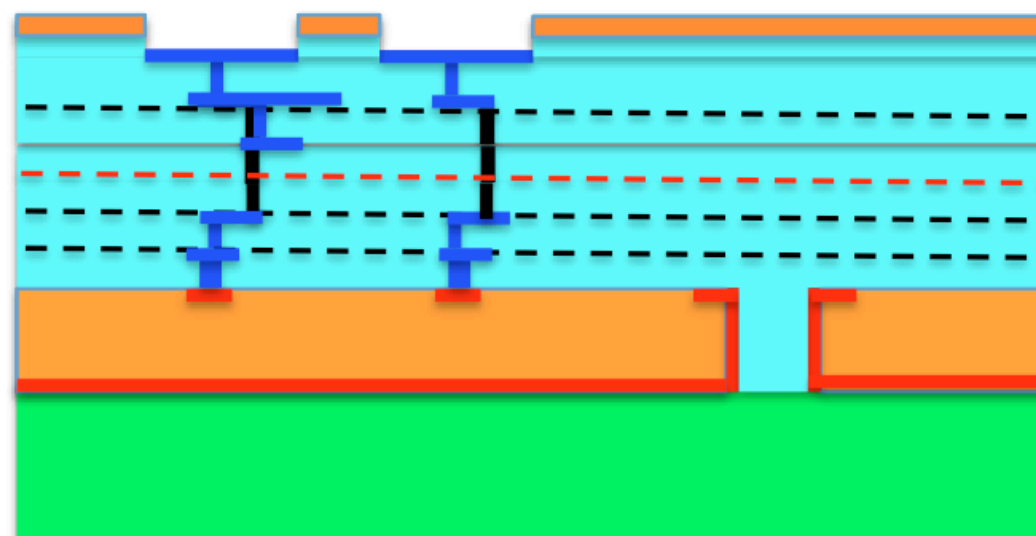
# 3D modules



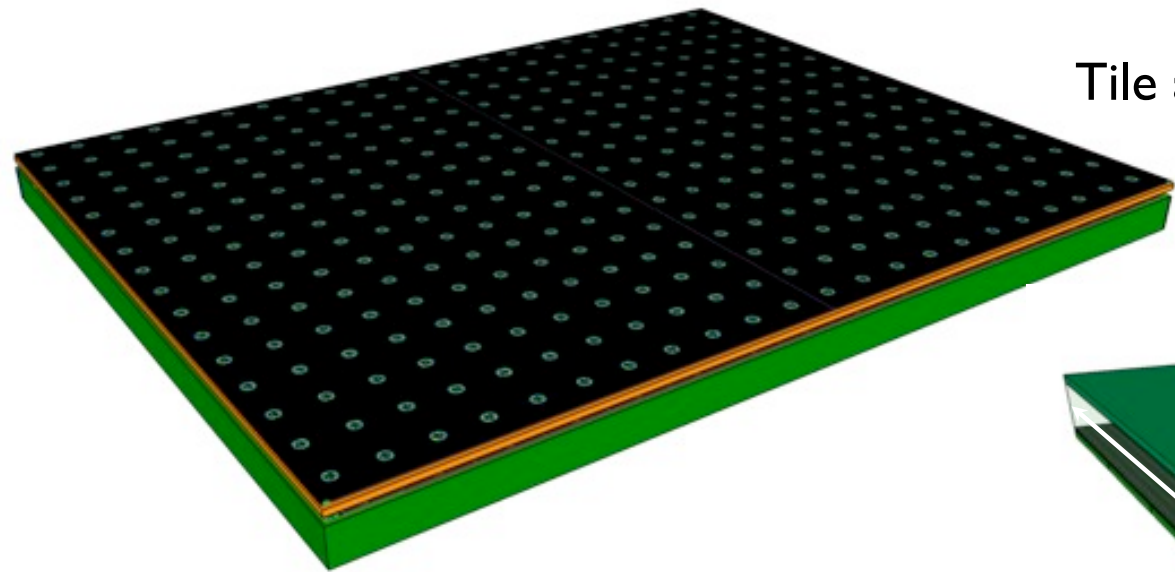
Wafer-wafer bond



Backgrind, etch silicon and oxide

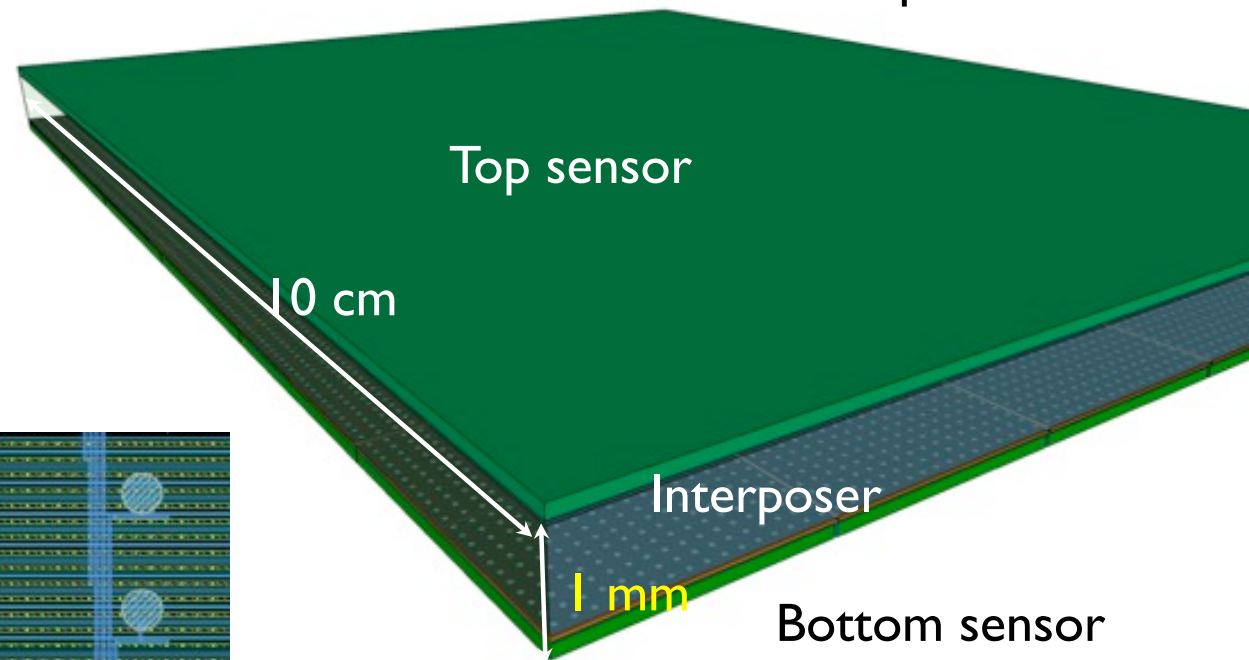


# Tiled Active Edge Modules

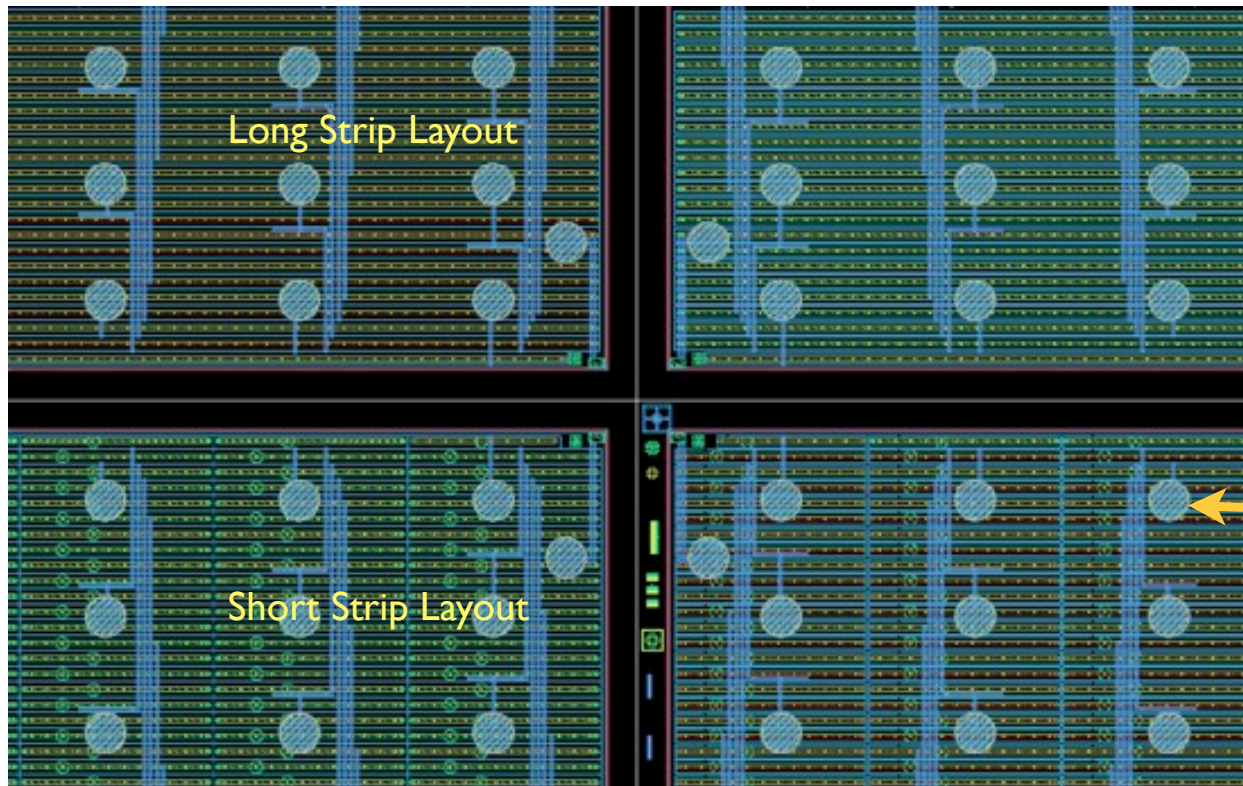


Tile after handle wafer removal and singulation

Full Module with interposer and top sensor



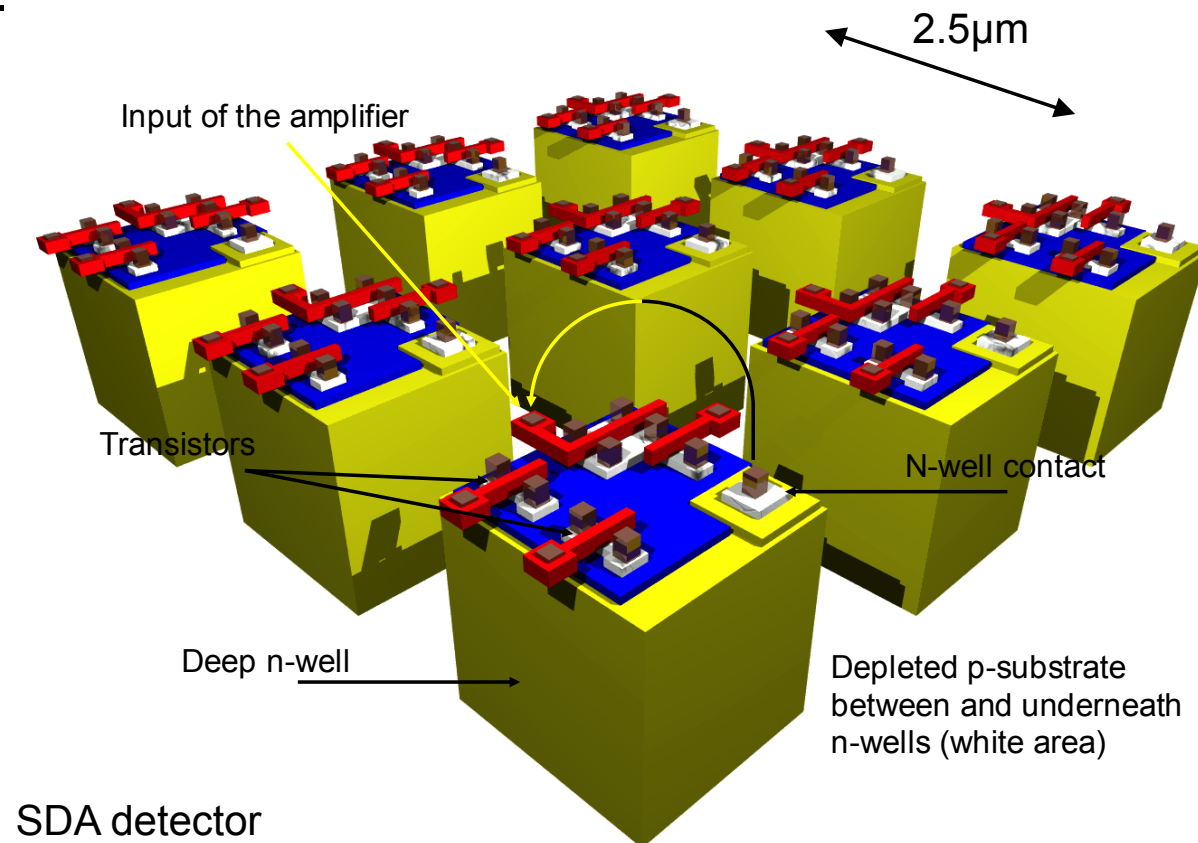
- Design of sensors is complete
- Design of dummy ROIC is complete



Trench  
Bump bond pads

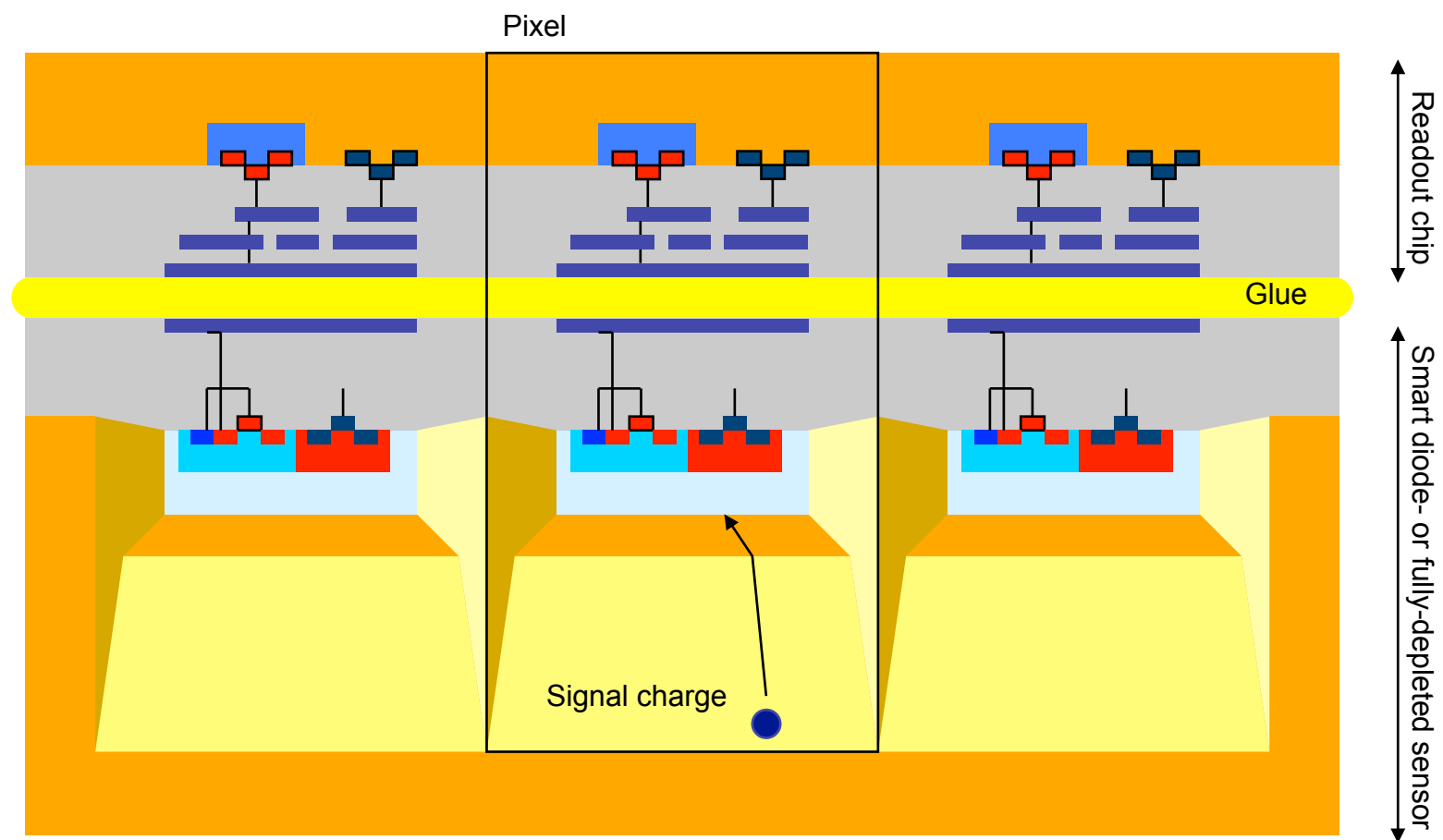
# HV CMOS

- High-voltage particle detectors in standard CMOS technologies (or “smart diode arrays” - SDAs) are a new detector family that allows implementation of **low-cost radiation-tolerant** detectors with **good time resolution**.
- The deep **n-well in a p-substrate** is used as the charge-collecting electrode
- The entire **CMOS pixel electronics are placed inside the deep n-well**. PMOS transistors are placed directly inside the deep n-well, NMOS transistors are situated in their p-wells that are embedded in the deep n-well as well.
- A typical reverse bias voltage is 60 V and the depleted region depth  $\sim 15$   $\mu\text{m}$ . **Signal charge collection occurs mainly by drift.**



Nine pixels of the SDA-based pixel detector implemented in 65nm CMOS technology. 3D presentation of the **real layout**.





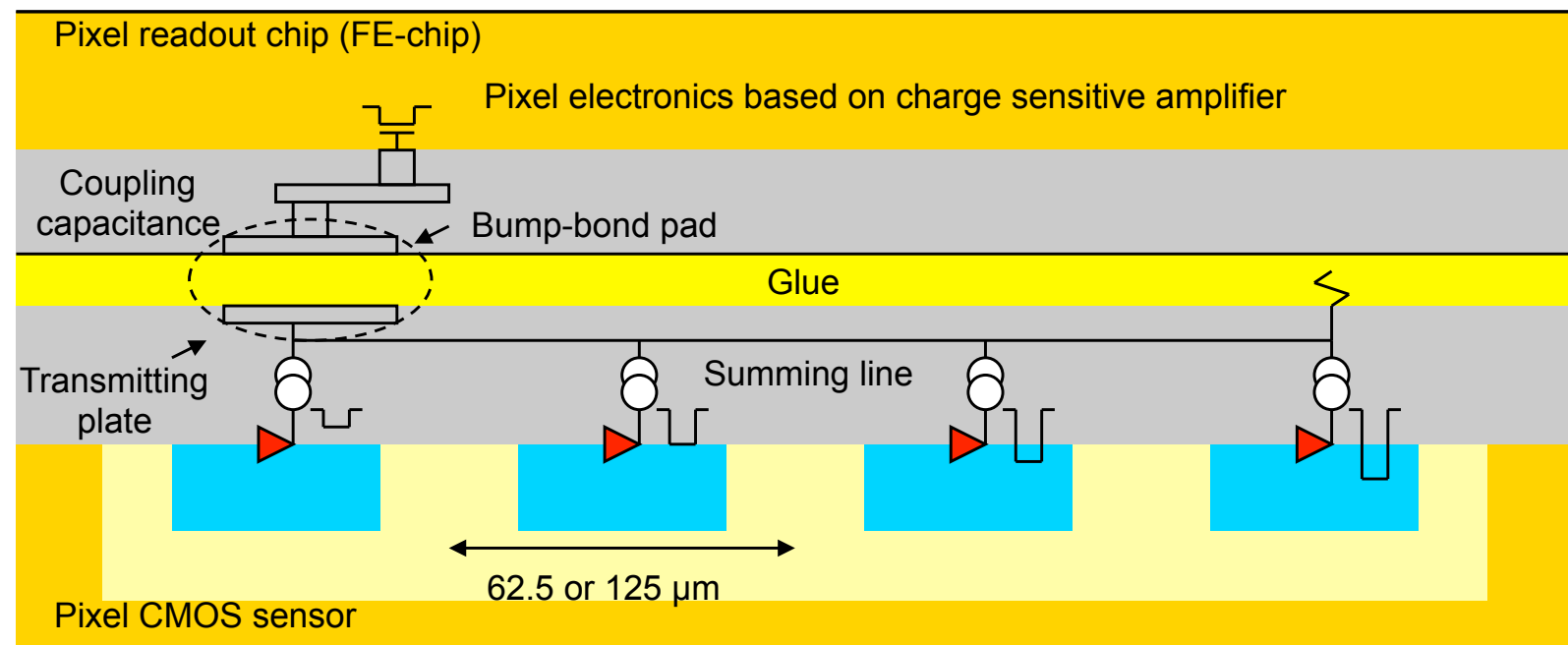
The signal from sensor chip is **transmitted capacitively** to the readout chip.  
 The sensor and readout chips are flipped and glued .

- The HVCMOS sensor pixels are **smaller than the standard ATLAS pixels**, for instance  $25\mu\text{m} \times 125\mu\text{m}$  - so that several such pixels cover the area of the original pixel.
- The HV pixels contain low-power ( $\sim 5 \mu\text{W}$ ) CMOS electronics based on a charge sensitive amplifier and a comparator.

• The signals of a few pixels are summed, converted to voltage and transmitted to the charge sensitive amplifier in the corresponding channel of the FE chip using AC coupling.

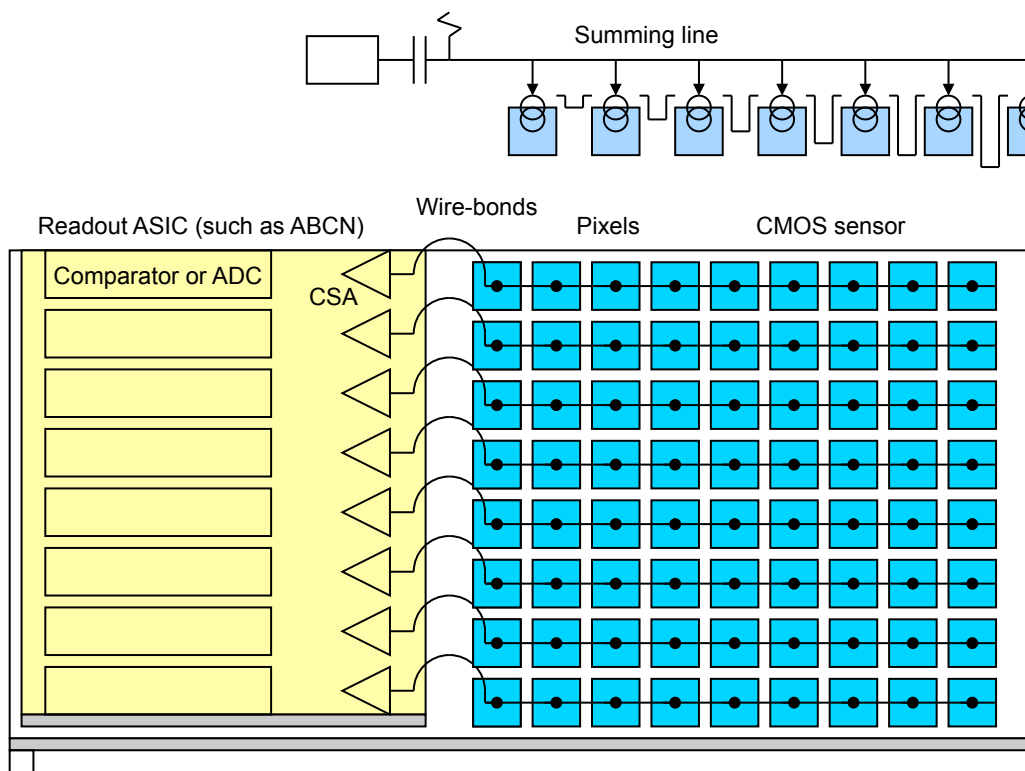
• Each of the pixels that couple to one FE receiver can have its **unique signal amplitude**, so that the pixel can be identified by examining the amplitude information generated in FE chip.

• In this way, spatial resolution in - and z-direction can be improved

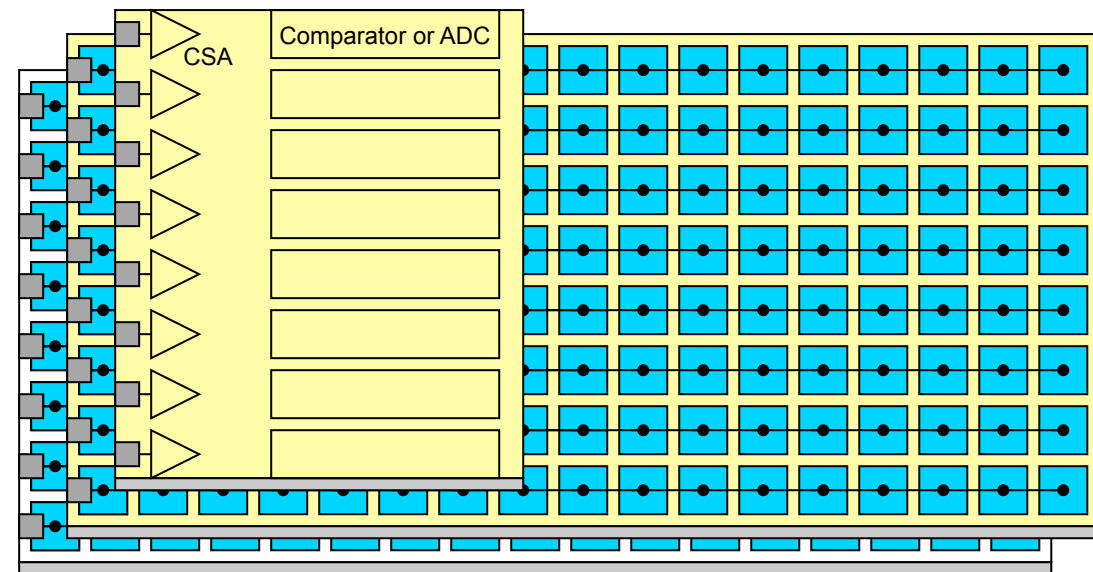


# Usage for pT-modules

- Every pixel generates a digital pulse with unique amplitude of logic one.
- The pixel outputs are summed, converted to voltage signal and transmitted to readout ASIC.



- Simultaneous readout from two 2D sensitive layers. Signals from two sensor layers can be easily combined in a single readout ASIC.



# GridPix: Gaseous Pixel Detector

particle track

Cathode (drift) plane

- 1400V

10mm → Drift gap  
 $E=1\text{kV/cm}$

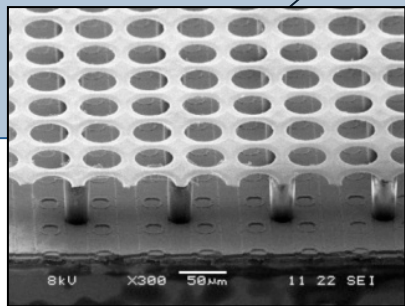
ionization in the gas volume

Gas Amplification Structure (Grid)

- 400V  $E=80\text{kV/cm}$   
50 $\mu\text{m}$  → Avalanche gap

Front-end electronics

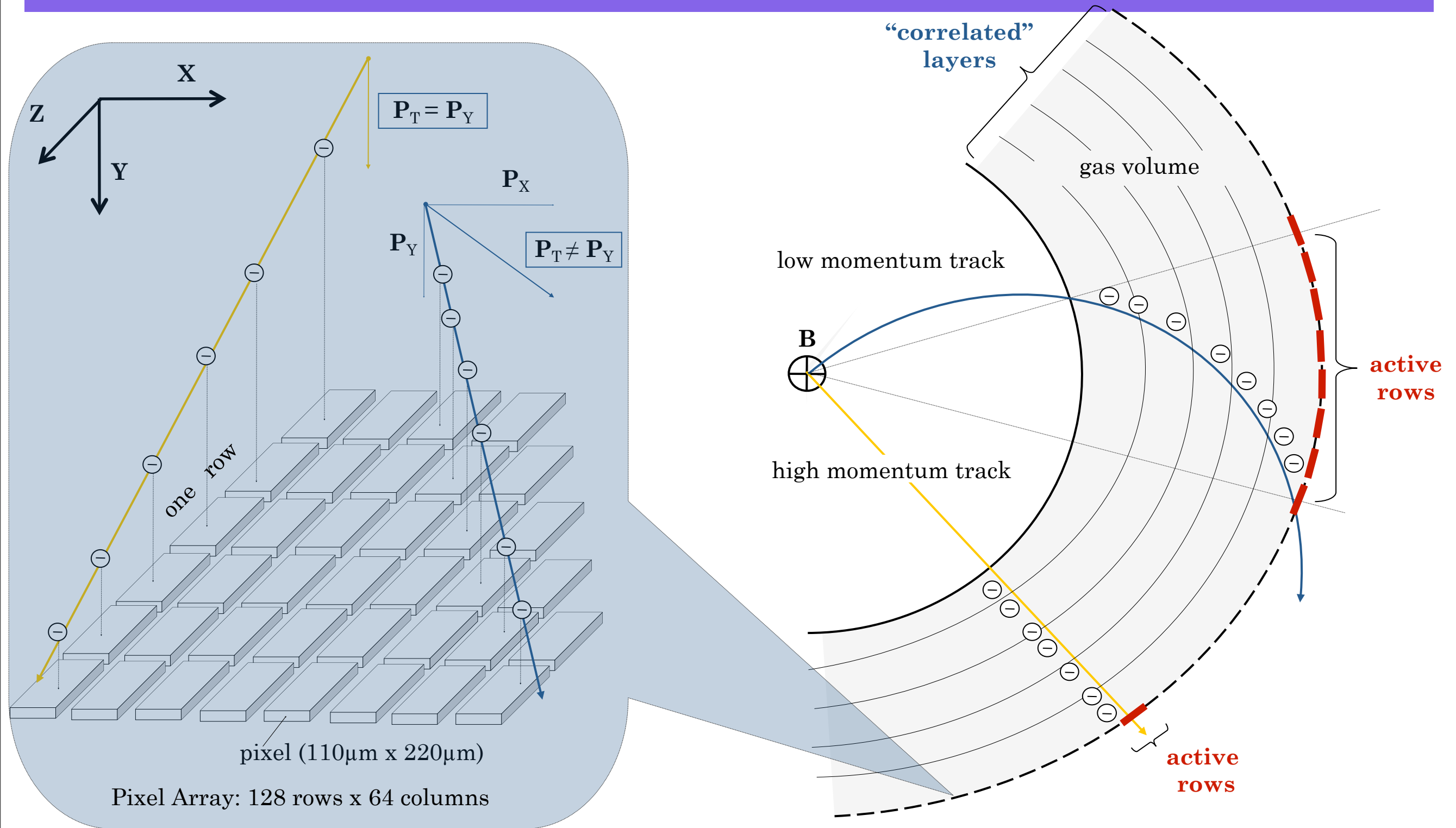
Pixel Readout chip



- particle track image (projection)
- 3D track reconstruction



# Track $p_T$ discrimination with GridPix



**number of active rows can be taken as criteria for high- $p_T$  track selection**