

From Neurons to Neutrons: An interpretable AI for nuclear physics

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AI for Theoretical Physics?

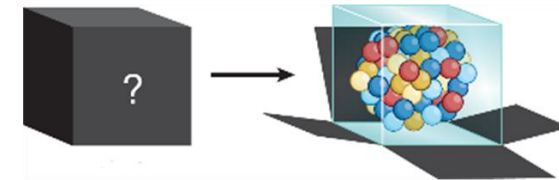
- Rapid **growth** of AI tools across science, including astro, nuclear & particle physics.
- Common uses in **data-driven** applications:
 - ✓ data analysis & classification (e.g. LHC event tagging, astro object classification, anomaly detection)
 - ✓ simulation surrogates (e.g. Lattice QCD interpolators, fast detector sims, cosmo emulators)
 - ✓ parameter inference & fitting (e.g. parton distribution functions, photometry)
- ✗ BUT, limited adoption in formal **theory** when analytic results are available.



The case of low-energy Nuclear Physics

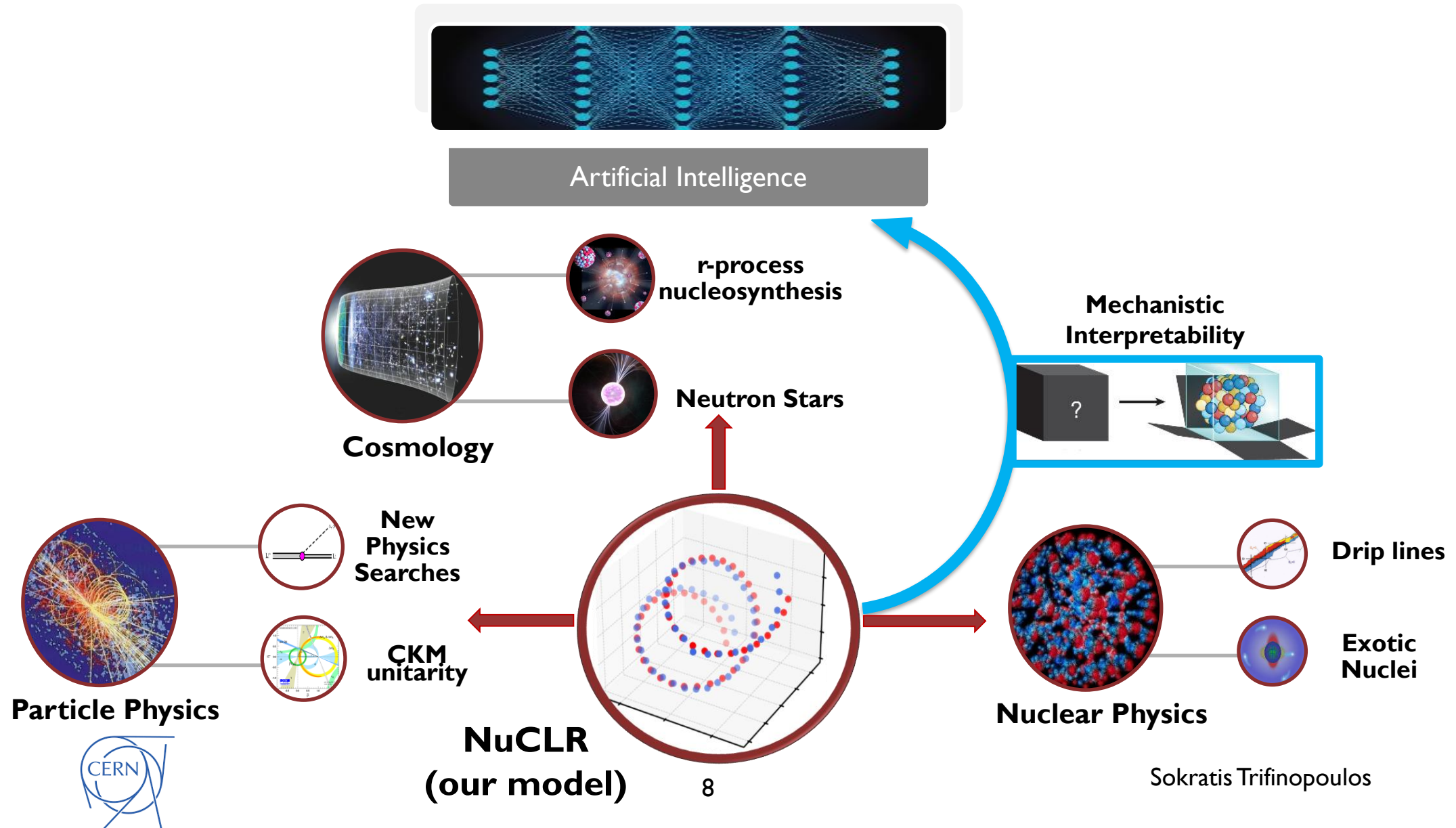
- **Microscopic origin:** **strong** force + **electromagnetism** ; yet only macroscopic observables accessible (e.g. masses, radii, decay rates, cross sections).
- **Theory challenge:** due to the **complexity** of the nuclear force & quantum many-body nature of the nucleus, *ab initio* calculations are very challenging
- **Phenomenological models:** simple yet **effective** and computationally inexpensive; an “approximate ground truth”.

✓ **ideal** environment for AI interpretability studies!



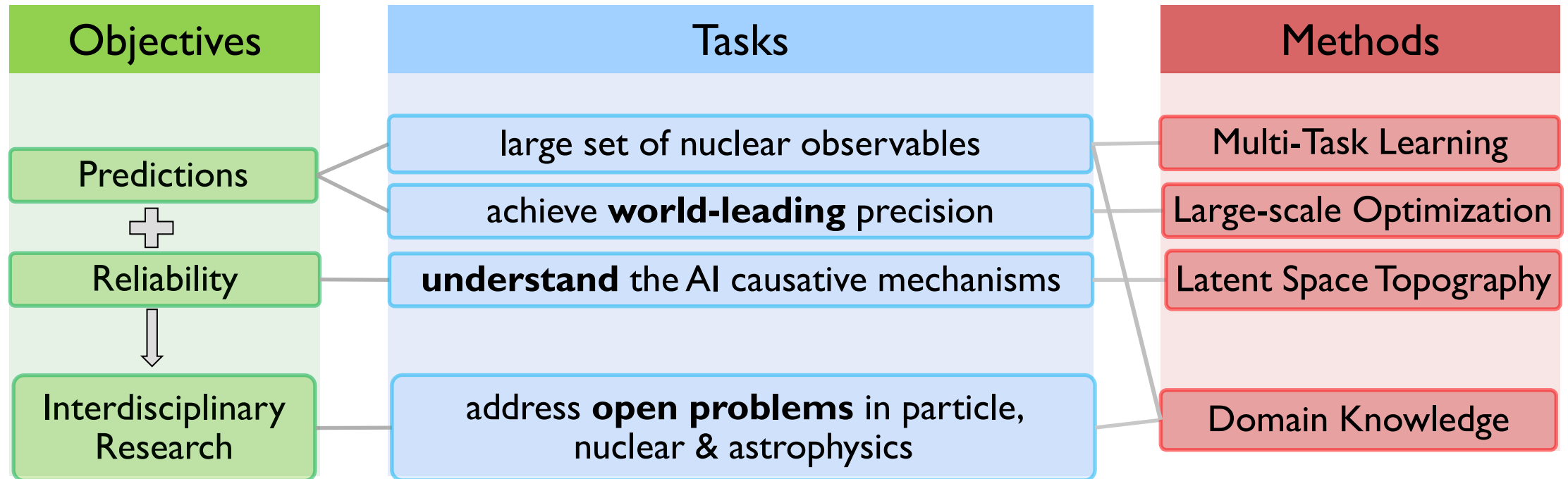
! Precision is still required: there are **open problems** in physics that could be resolved if we had more precise nuclear input!

Physics for AI & AI for Physics



Towards a general-purpose AI for Nuclear Physics

NuCLR is an interpretable **deep-learning** model that predicts various nuclear observables.



An IAFI saga



NuCLR: Nuclear Co-Learned Representations

Kitouni, Nolte, **Trifinopoulos**,
Kantameni, Williams 2307.01457
(ICML SynS & ML 2023)

From Neurons to Neutrons: A Case Study in Interpretability

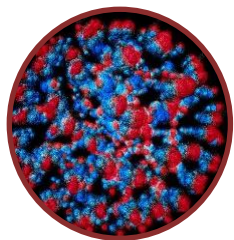
Kitouni, Nolte, Perez-Diaz,
Trifinopoulos, Williams 2405.17425
(ICML 2024)

The DNA of Nuclear Physics: How AI predicts nuclear masses

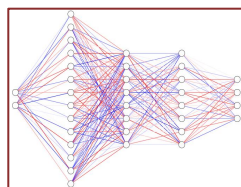
Richardson, **Trifinopoulos**, Williams
2508.08370

New!





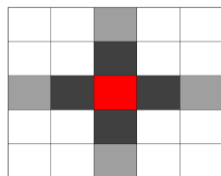
Outline



I. The model



II. Interpretability



III. (Re)discovering Physics

Tasks: nuclear observables

- **Binding energy:** break apart a nucleus into its nucleons, fundamental observable

$$E_B(Z, N) = Zm_p + Nm_n - M(Z, N)$$

- **Charge radius:** root-mean-square radius of the proton distribution.

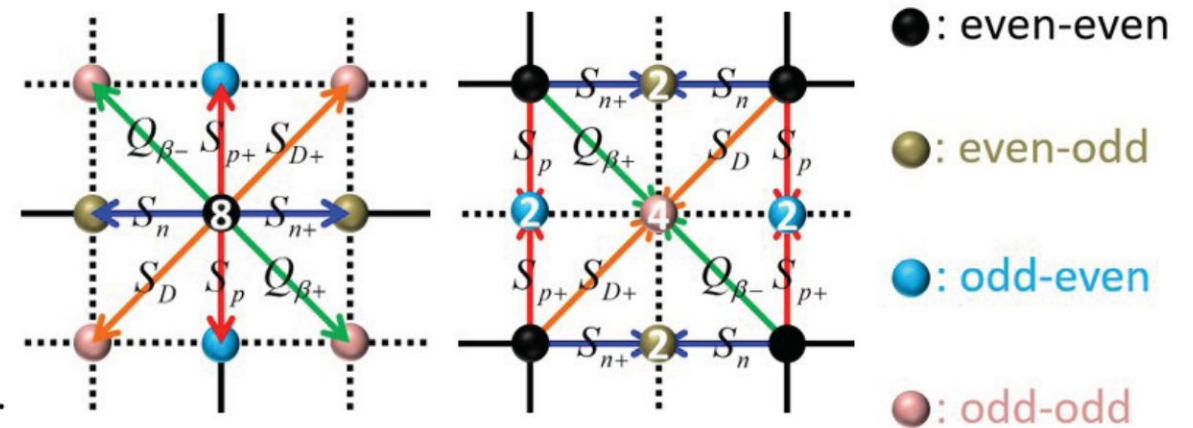
- **Separation energies:** remove a specific number of nucleons, measure of **stability**.

$$S_n(Z, N) = M(Z, N - 1) + m_n - M(Z, N) ,$$

$$S_p(Z, N) = M(Z - 1, N) + m_p - M(Z, N) .$$

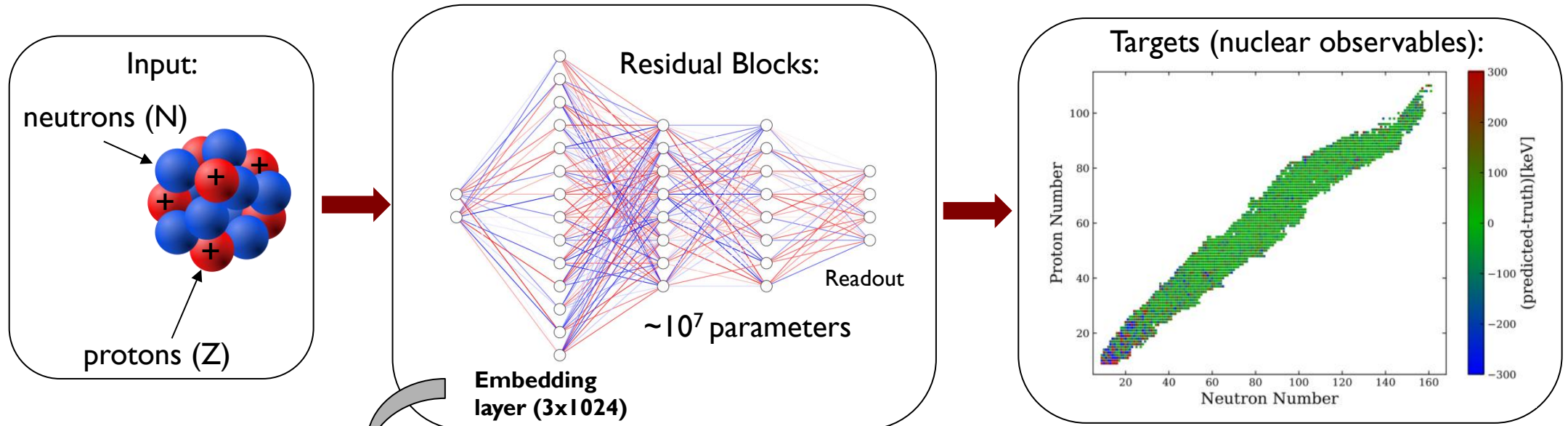
$$Q_{\beta}(Z, N) = M(Z, N) - M(Z + 1, N - 1) ,$$

$$Q_{\alpha}(Z, N) = M(Z, N) - M(Z - 1, N + 1) - m_{^4_2\text{He}} .$$



! When training, we must avoid prediction biases e.g. correlations between separation energies and binding energies of neighboring nuclei.

The architecture



SPOILER ALERT!

- The algorithmic choice **aligns** with our goal of interpretability.

"This is a input text."

Tokenization

[CLS]	This	is	a	input	.	[SEP]
101	2023	2003	1037	7953	1012	102

Embeddings

0.0390,	-0.0558,	-0.0440,	0.0119,	0069,	0.0199,	-0.0788,
-0.0123,	0.0151,	-0.0236,	-0.0037,	0.0057,	-0.0095,	0.0202,
-0.0208,	0.0031,	-0.0283,	-0.0402,	-0.0016,	-0.0099,	-0.0352,
...	...	...	...	...	...	...

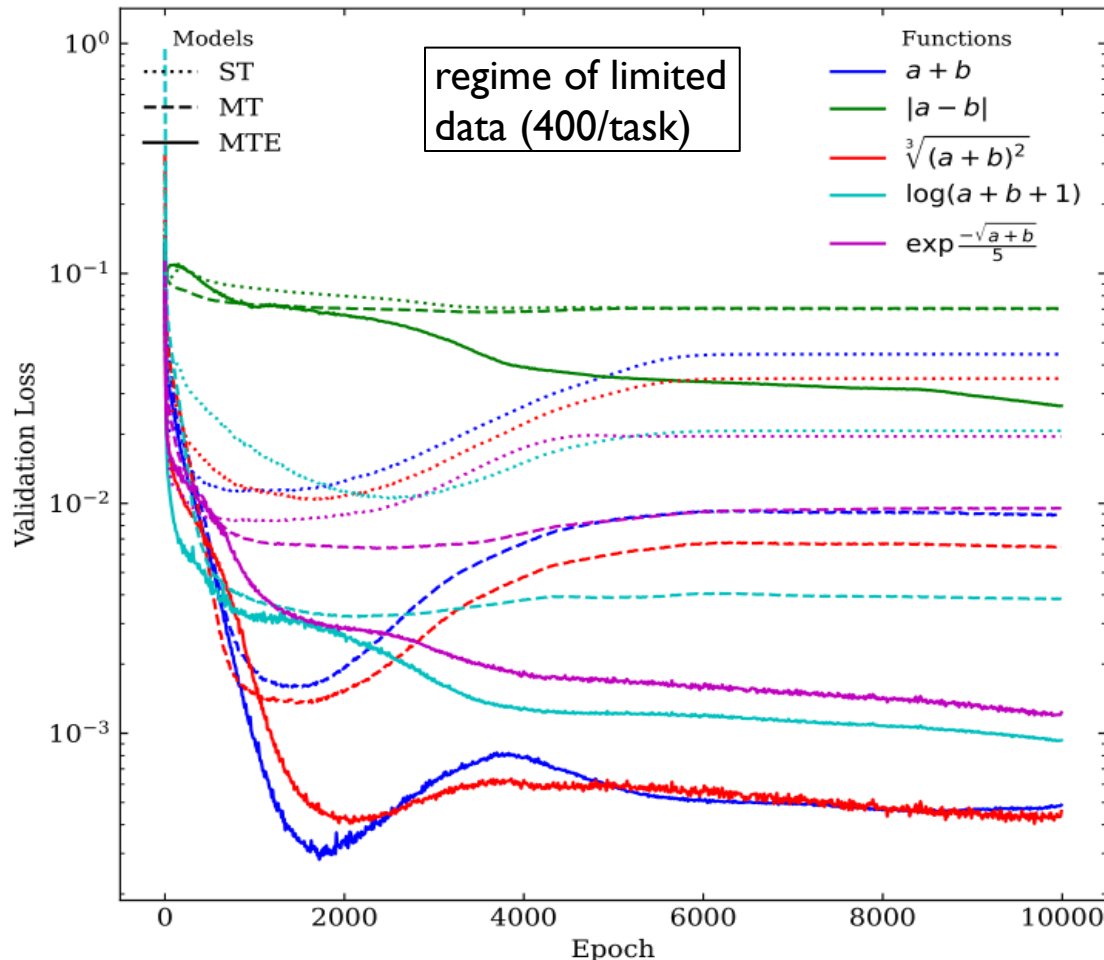
✗ loss of relational properties



trainable parameters

More Tasks, More Information!

A proof of concept via a *toy model*:



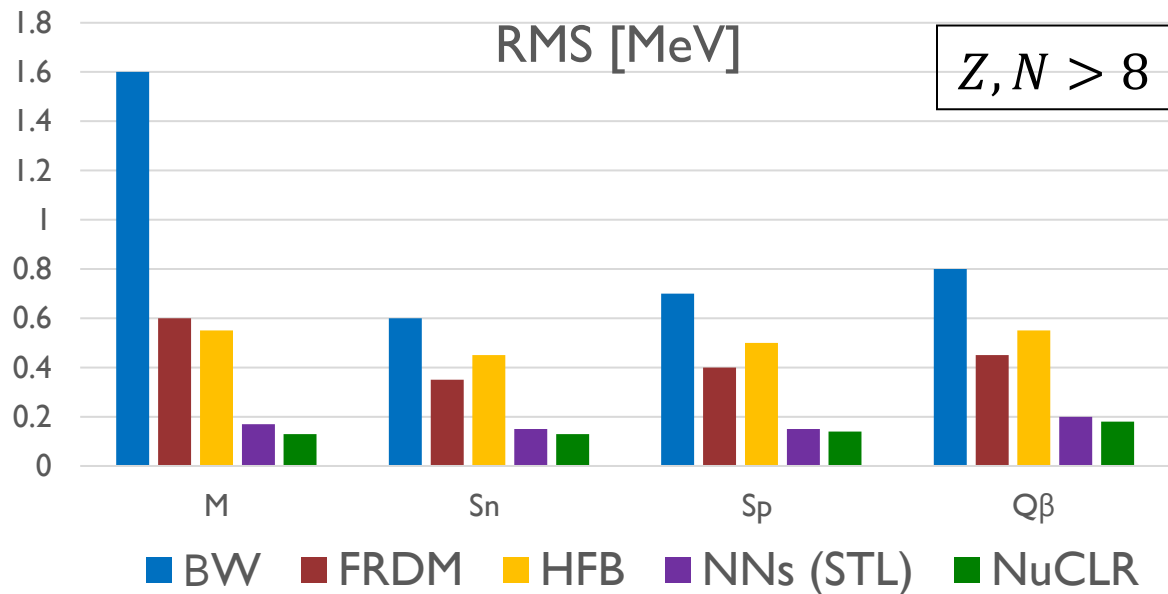
➤ Training **simultaneously** on all tasks exploits data correlations over multiple tasks and leverages joint information, **improving** generalization compared to single-task learning (**MT > ST**).

➤ **Novel**: the tasks become also trainable embeddings (**MTE**).

➤ The embedding space encodes **task-independent** information!

The model can make inferences for all tasks corresponding to a (Z,N) pair, for which there exist *at least* one task with a measured value.

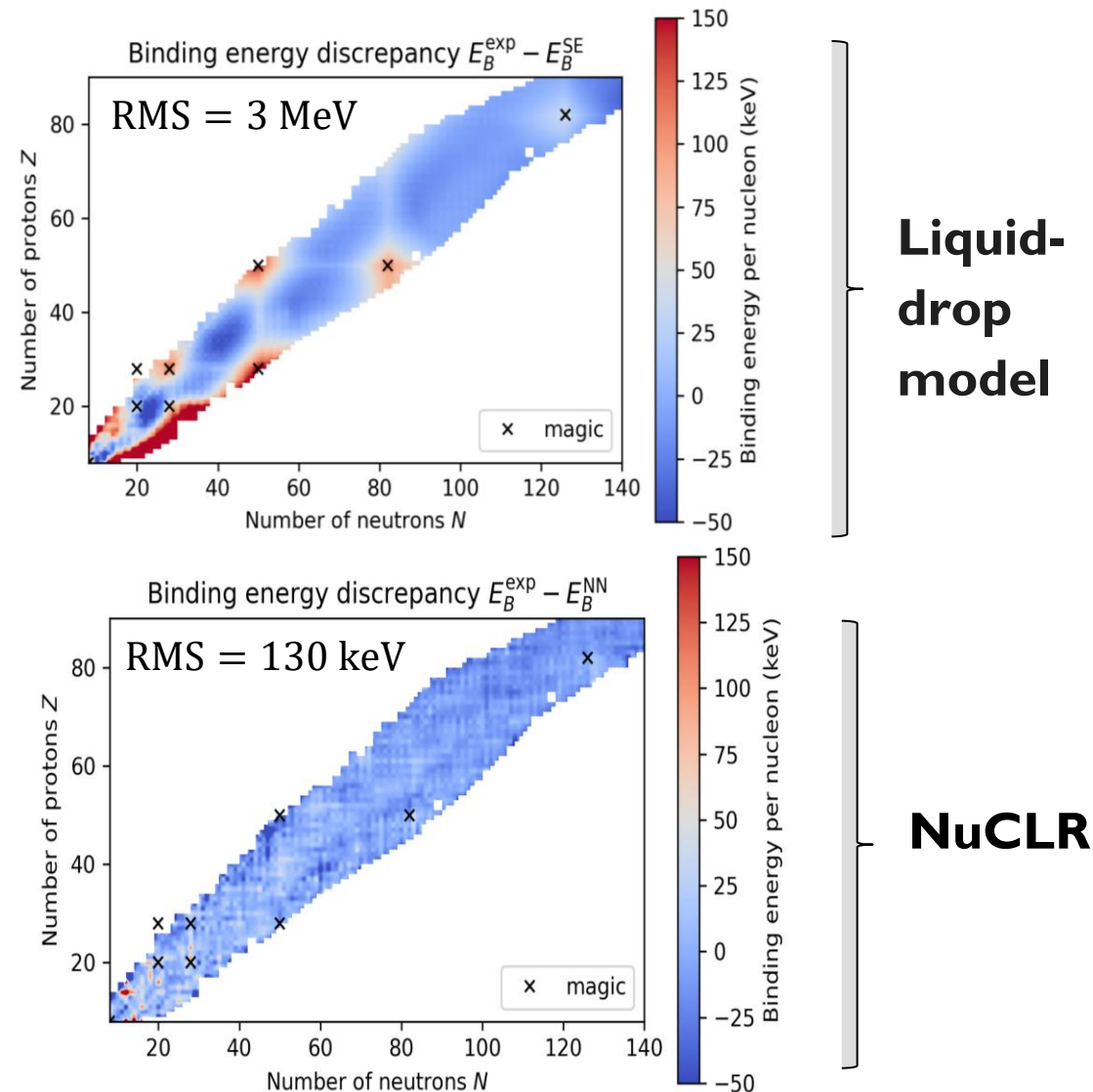
☑ World-leading accuracy



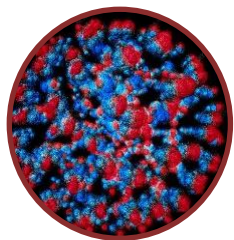
Database (energies): Wang et al (AME2020), Phys. Lett. B, 734: 215–219, 2014

➤ The achieved accuracy for **charge radii** σ_{RMS} = 0.01 fm is **better** than all theoretical and ST NN models, i.e. 0.02 fm & 0.015 fm, respectively.

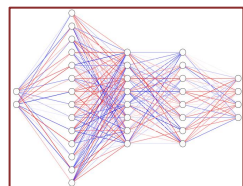
Database (charge radii): Angeli & Marinova, Atom. Data Nucl. Data Tabl., 99(1):69–95, 2013



Sokratis Trifinopoulos



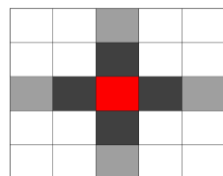
Outline



I. The model



II. Interpretability (embeddings)

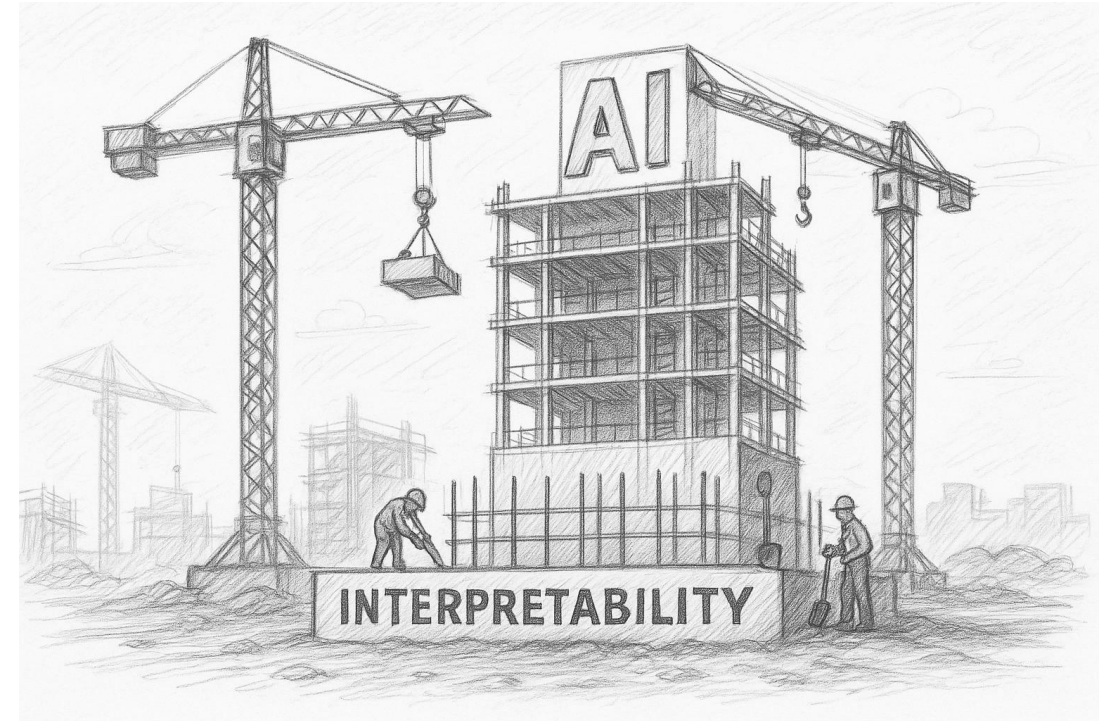


III. (Re)discovering Physics

Interpretability by Construction

- The success of MT gives the first hint towards the potential of **internalizing** the fundamental laws governing the nucleus. **BUT** we infer this from the RMS score.. is that enough?

When possible, pursue **active interpretability**, where you control the network architecture and training paradigm.



What are NN models actually learning?



- **Manifold hypothesis:** Real-world data are expected to concentrate in the vicinity of a manifold of much **lower dimensionality**, embedded in **high dimensional** input space.

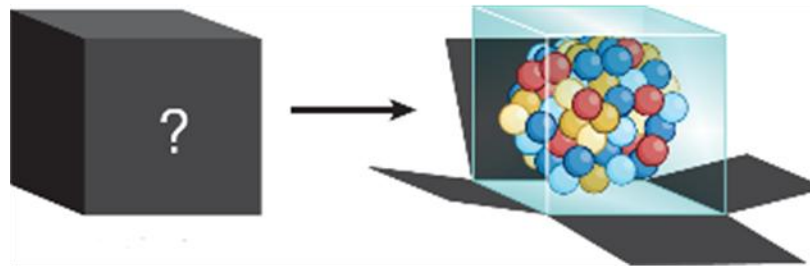
Bengio, Courville, Vincent | 206.5538

- **Mechanistic Interpretability (MI)** encompasses techniques of identifying **low-rank** structures in **high-D** datasets, and uncovering the **algorithms** that are implemented.

Interpretable AI via: *Latent Space Topography*

Latent space topography (LST) is an MI procedure which consists of the following steps:

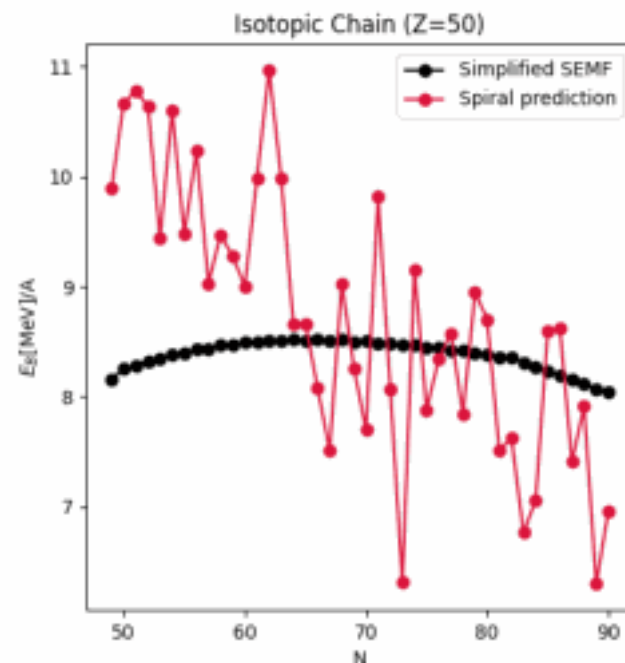
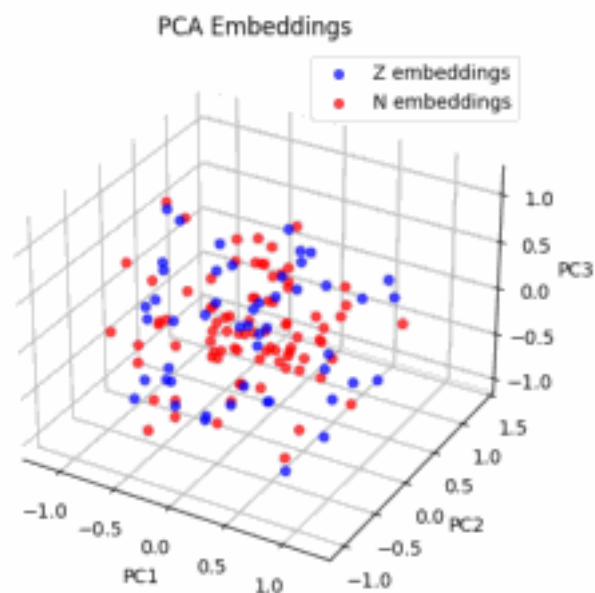
- 1) extract high quality features of the NN using a **dimensionality reduction** method on the latent space,
- 2) identify the emergent **geometry** in the first PC dimensions using **domain** knowledge,
- 3) classify trained networks according to the **algorithms** they implement.



LST on the embedding space

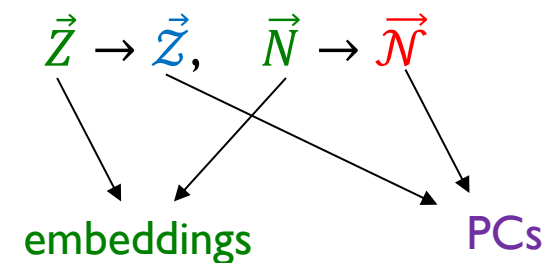
➤ **NN model:** $N \rightarrow \vec{N}, Z \rightarrow \vec{Z} \Rightarrow E_b = F_{NN}(\vec{N}, \vec{Z}, \vec{\theta})$, where we consider a

simplified case with **isospin-symmetric** data: $E_b = \underbrace{\alpha_v A}_{\text{volume}} - \underbrace{\alpha_a \frac{(N-Z)^2}{A}}_{\text{assymetry}}$



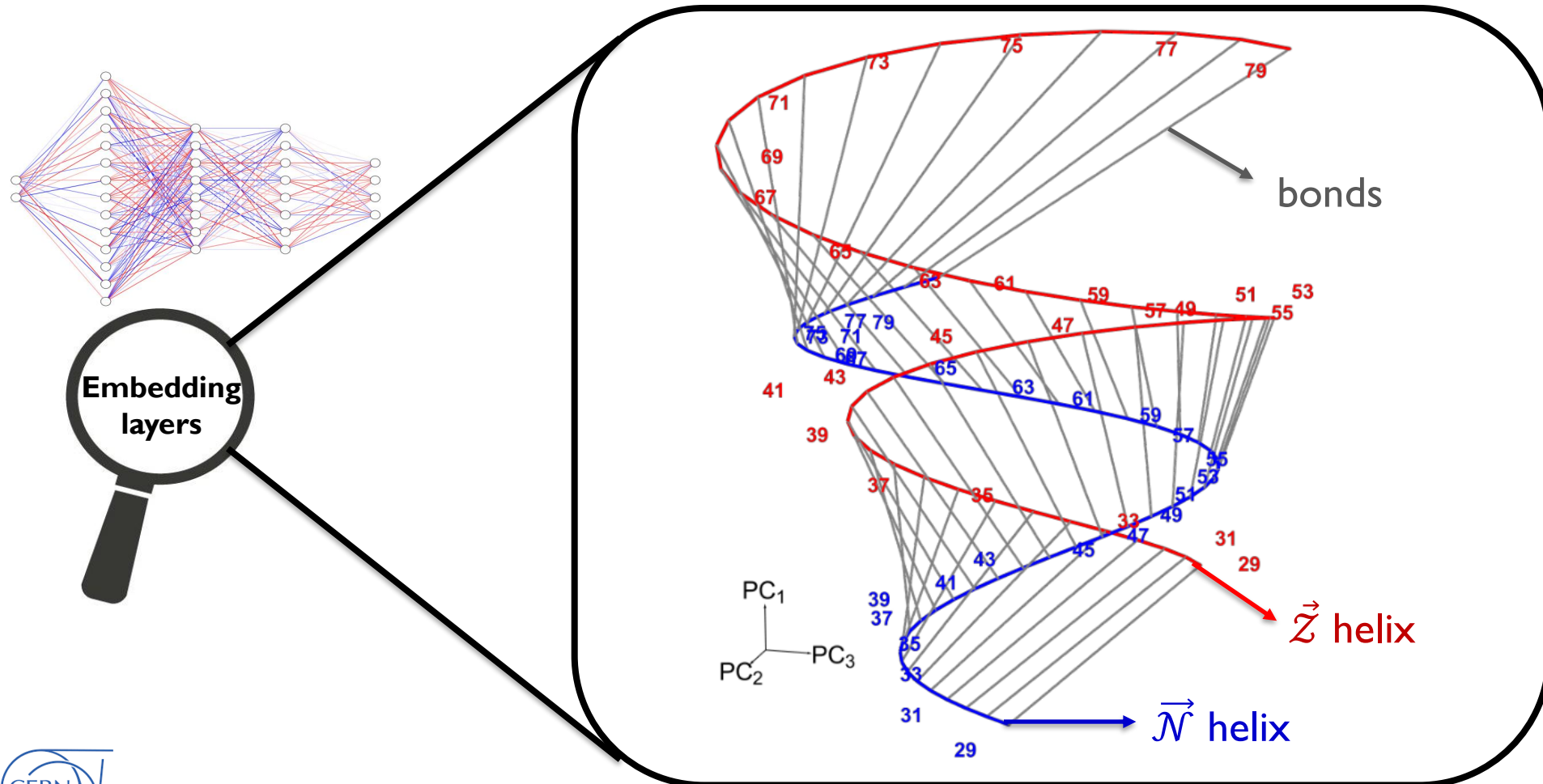
Epoch: 0 RMS: 1.2688

➤ **LST Step 1:** extract high quality features of the NN using a dimensionality reduction method on the latent space; here: **principle component (PC)** analysis:

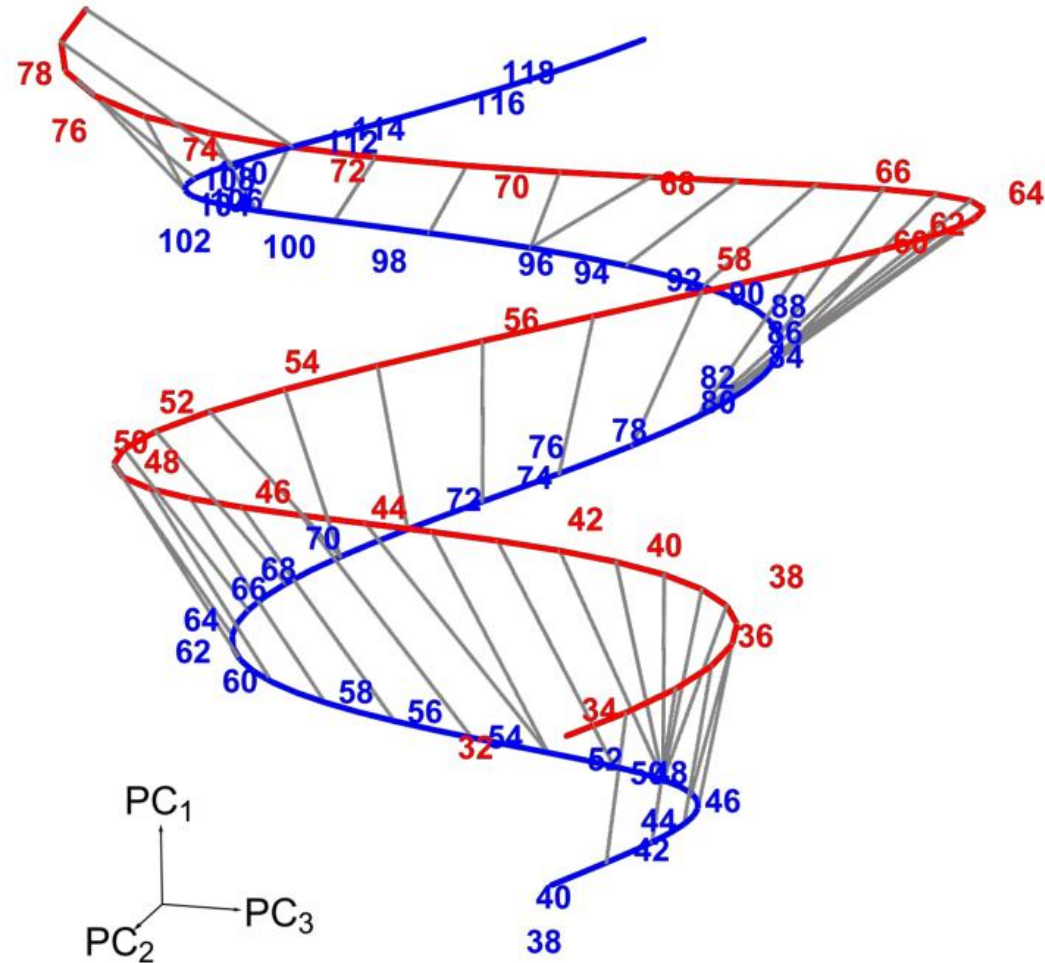


The nuclear *double helix*

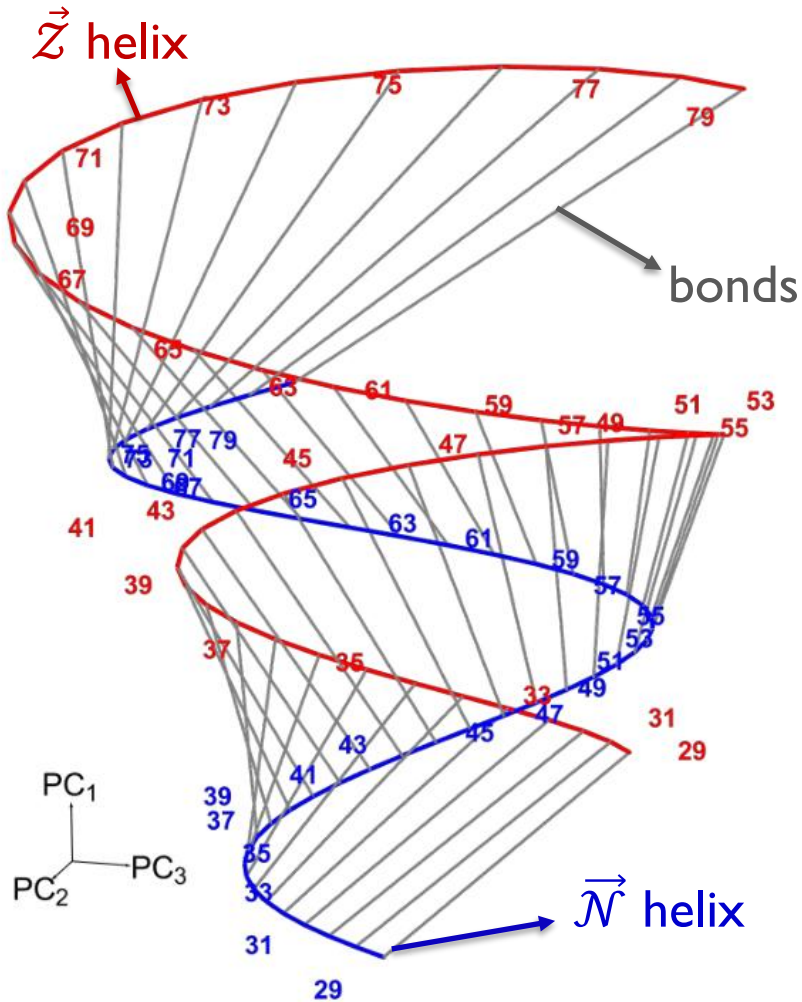
LST Step 2: Identify the emergent *geometry* in the first PC dimensions;
here: robust *helices* that align symmetrically in the 3D PC space.



The nuclear *double helix* (real data)



Stability of the nuclear DNA



Loss function:

$$\mathcal{L} = \sum_i \underbrace{(E_{b,i}^{\text{ex}} - E_{b,i}^{\text{ai}})^2}_{\text{goodness of fit}}$$

goodness of fit / van der Waals forces

$$+ \lambda \underbrace{\left[\sum_j Z_j^2 + \sum_k N_k^2 + \sum_\ell \theta_\ell^2 \right]}_{\text{regularization}}$$

regularization / hydrophobic pressure

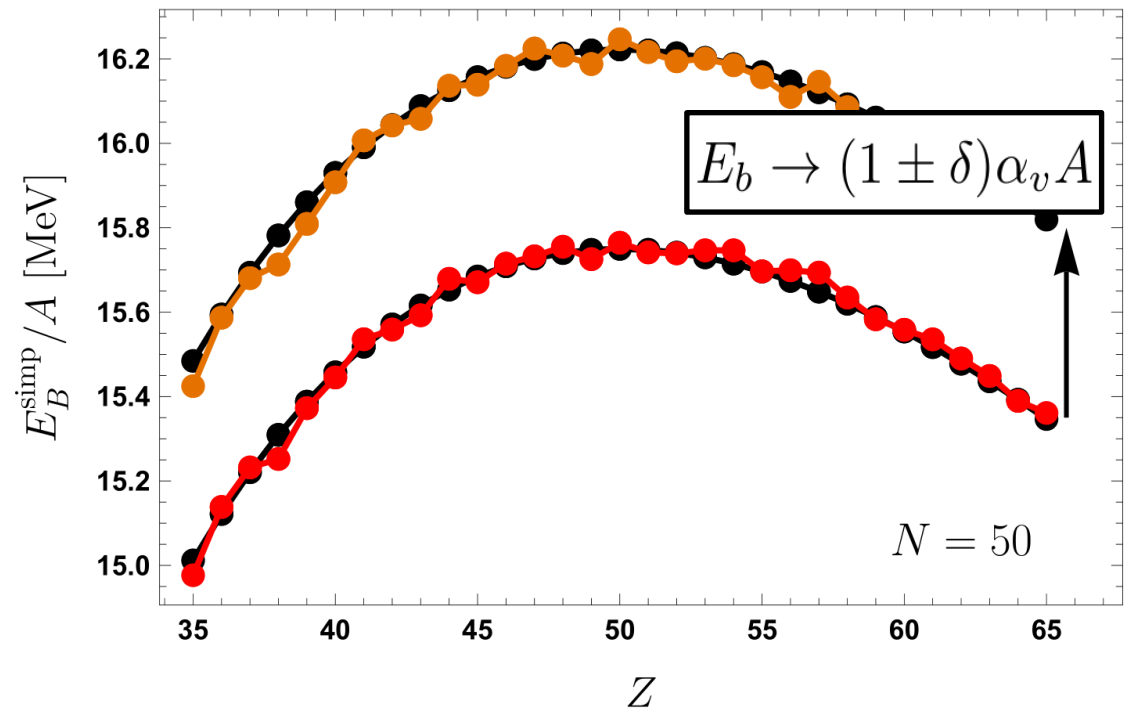
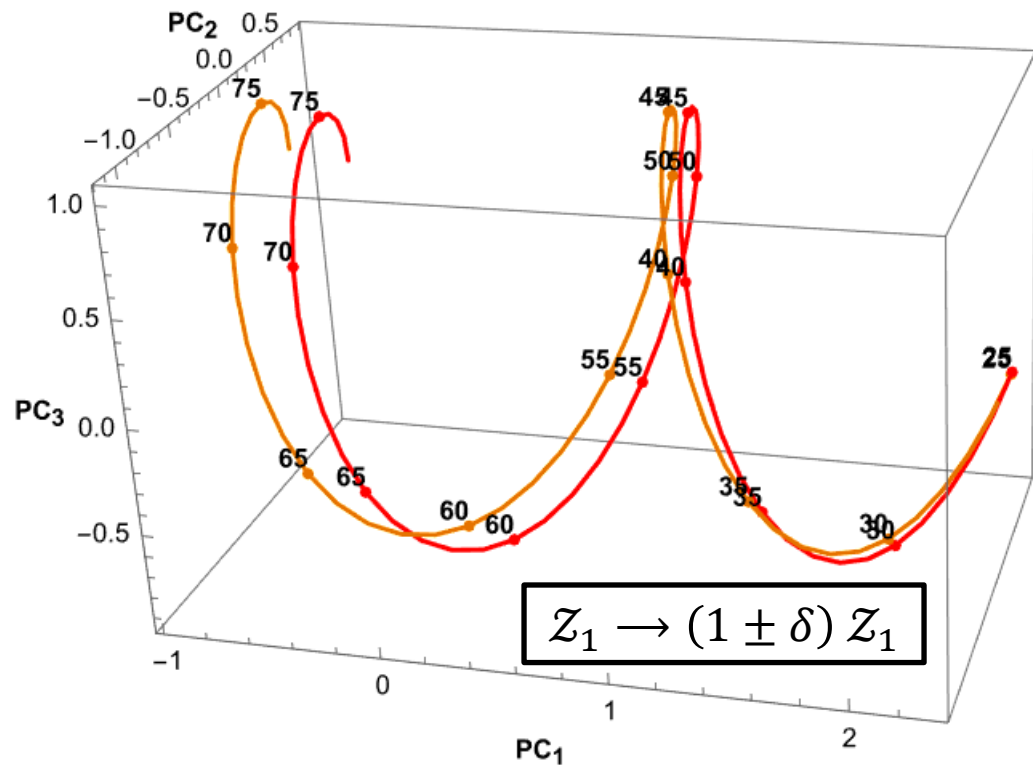
nucleotide
bases

sugar
phosphate



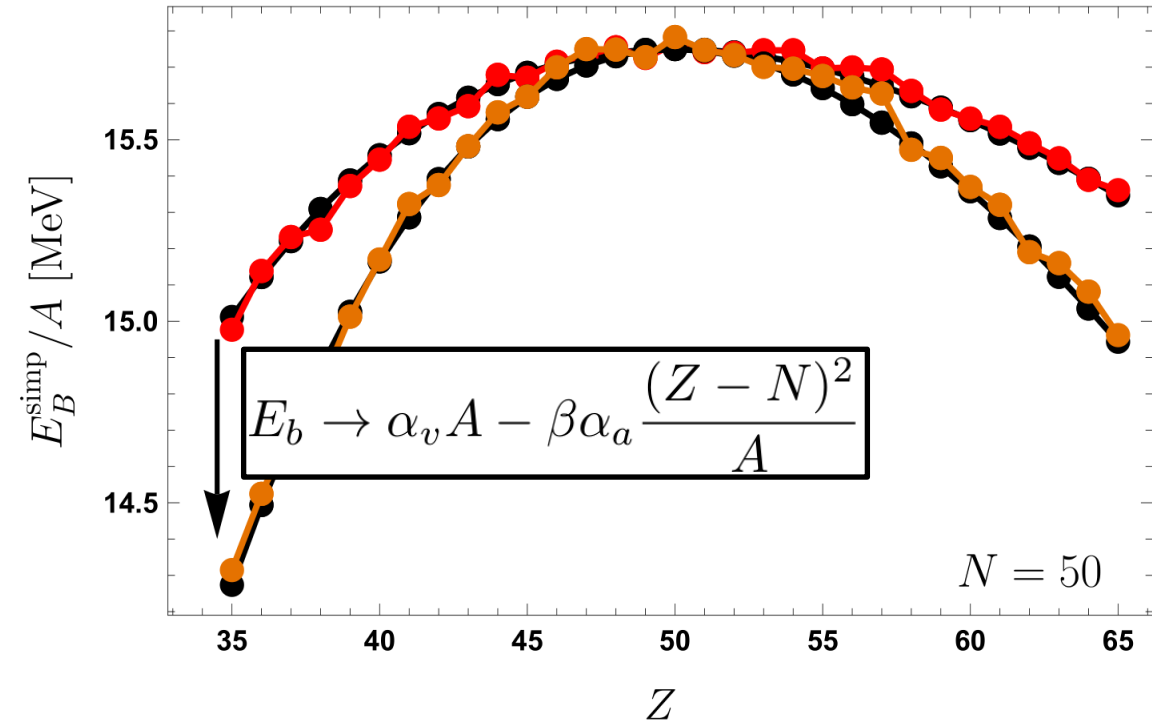
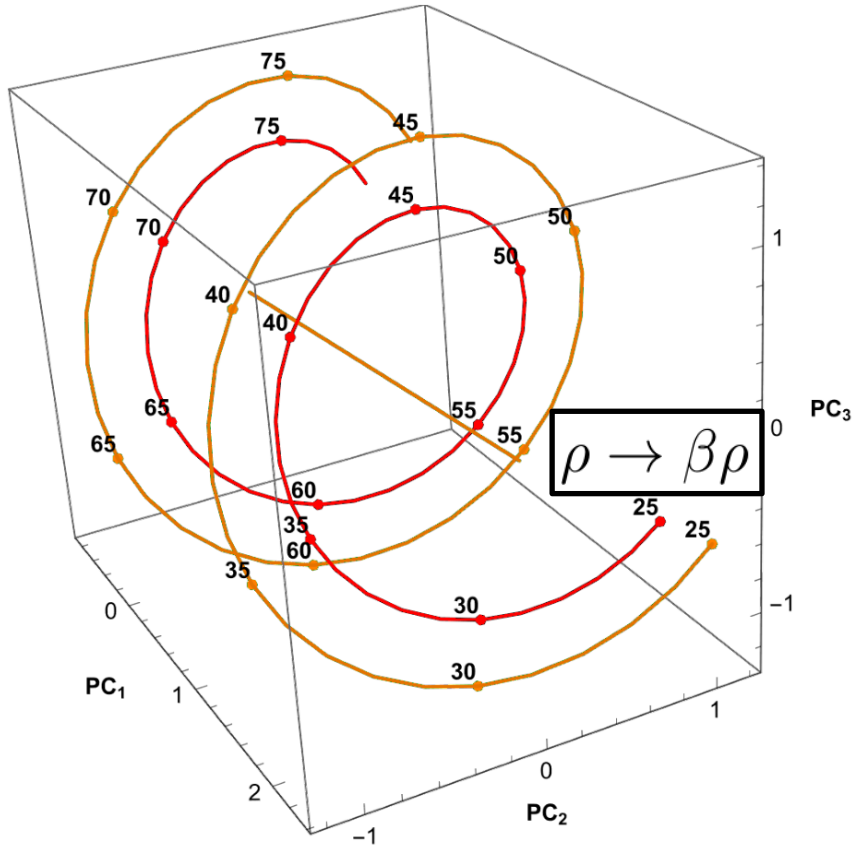
Deciphering the nuclear helix I

- The volume term is the dominant term. This leads to $\mathcal{Z}_1 \approx \beta Z$, $\mathcal{N}_1 \approx \beta N$.
- **NN prediction:** $F_{NN}(\vec{N}, \vec{Z}, \vec{\theta}) = \frac{\alpha_v}{\beta} (\mathcal{N}_1 + \mathcal{Z}_1) = \alpha_v A$. The regularization wants to drive $\beta \rightarrow 0$, but the constraint at the loss minimum $F(\vec{\theta}) = \frac{\alpha_v}{\beta}$ prevents this.



Deciphering the nuclear helix II

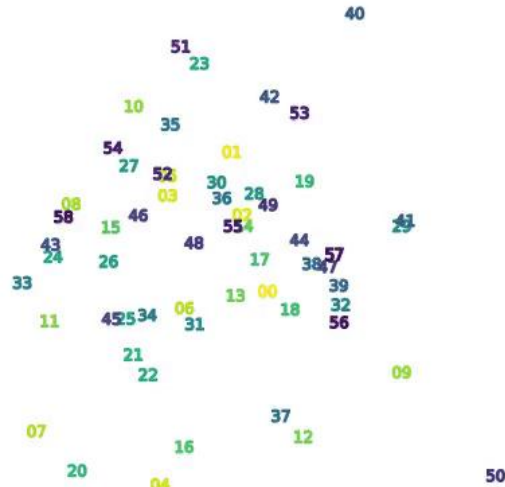
- The asymmetry coefficient is encoded in the radius:



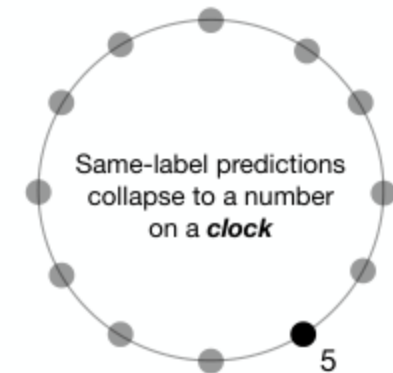
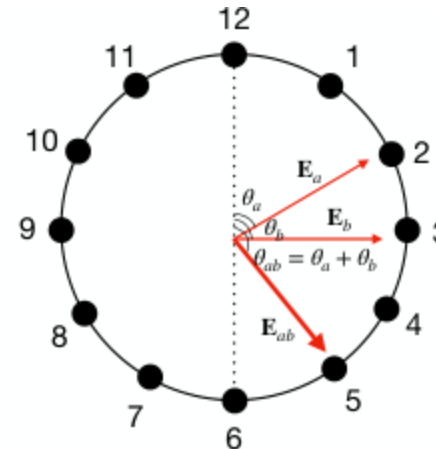
Excuse: Interpretable Algorithms

- **LST Step 3:** Classify trained networks according to the **algorithms** they implement.
- Let's consider first a toy problem: $(A + B) \bmod p$. Liu et al 2205.10343, Zhong et al 2306.17844
- LST was used to study *grokking*. It was found that: i) **generalization** coincides with **structure formation** ii) and identified classes of predictive algorithms that the NN employs.

0
Loss: 4.29e+00|4.36e+00 Acc: 0.02|0.02

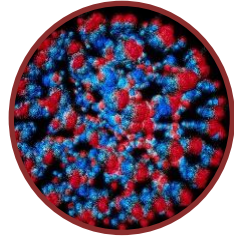


Clock Algorithm:

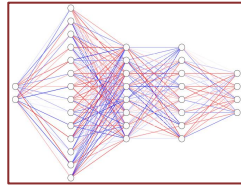


$$\begin{aligned} 2+3 &= 1+4=12+5=11+6 \\ &= 10+7=9+8=5 \pmod{12} \end{aligned}$$





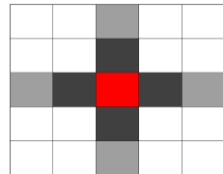
Outline



I. The model

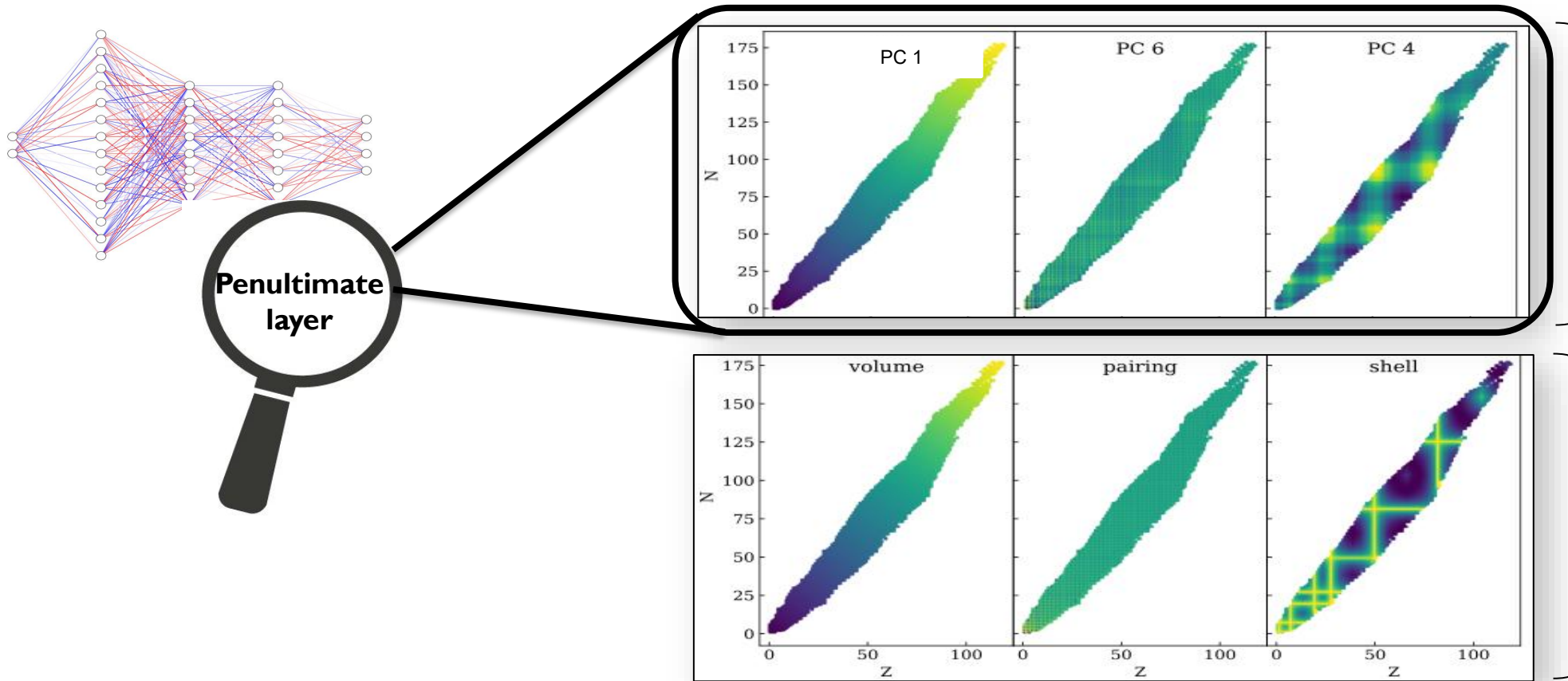


II. Interpretability (embeddings)



III. (Re)discovering Physics

Is the machine thinking (exactly) like humans?

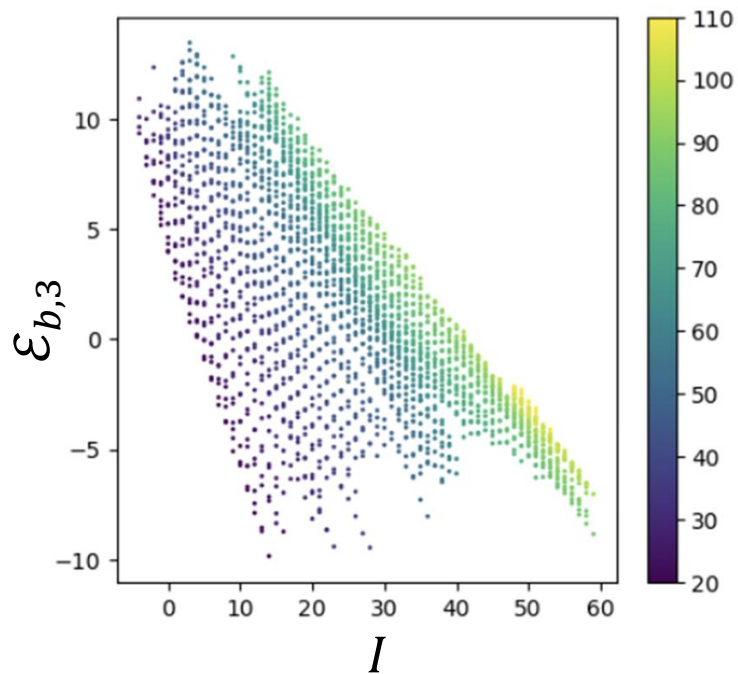


Weizsäcker, Bethe (Nobel 1967)
Gamow, Goeppert-Mayer (Nobel 1963)

Not exactly..

➤ **First three PCs:** smooth functions; contain most of the information of the LD.

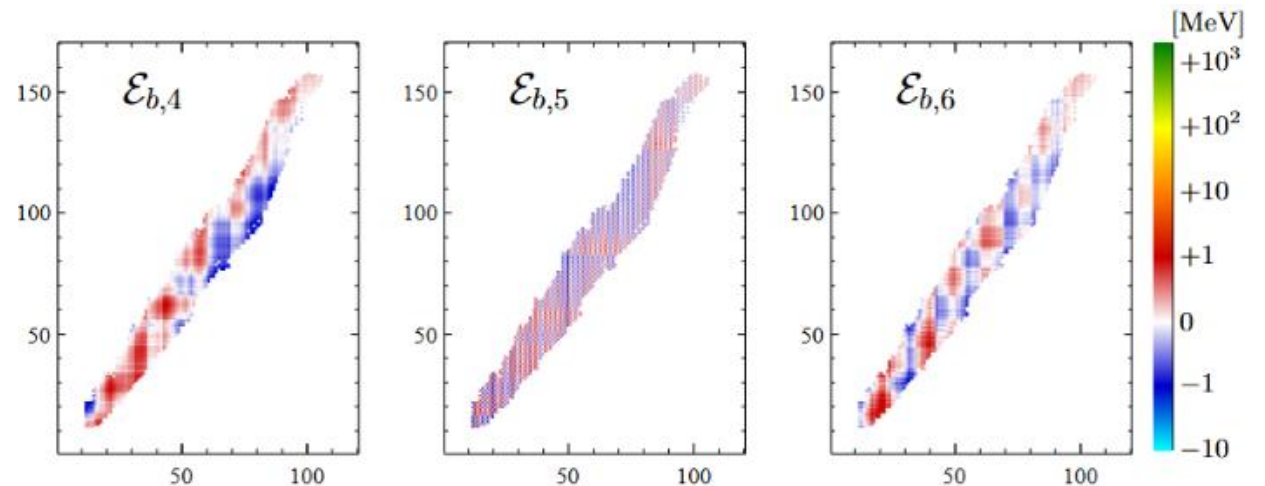
? BUT, they do not have to map 1-1 to the human-derived terms.



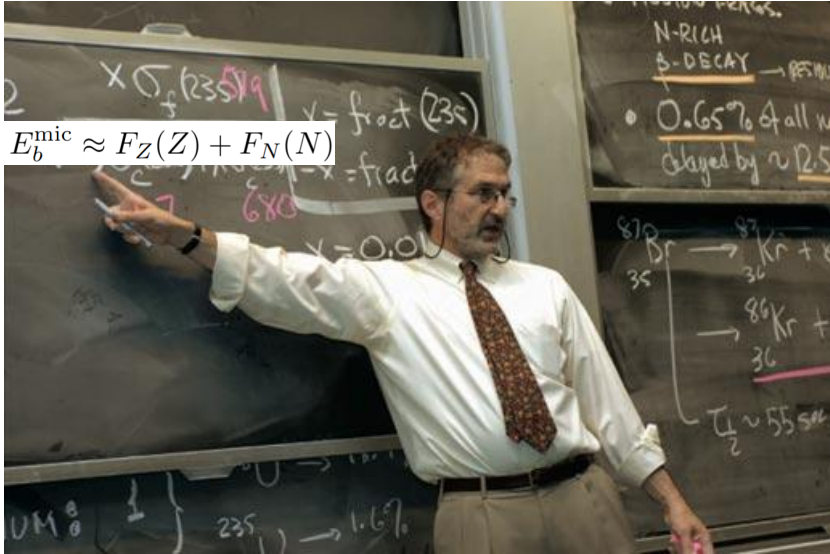
➤ PC3 plotted against the isospin $I = |Z - N|$ **motivates** the term: $\epsilon_{b,3} \approx \alpha_3 |Z - N|/A$.

! rarely used in popular macroscopic models

➤ But all the rest of the PCs are **discrete** functions of Z & N !



However, it is thinking exactly like Bob Jaffe!



Garvey, Gerace **Jaffe**, Talmi, Kelson *Rev. Mod. Phys.* 41 (1969)
 *(Jaffe's Junior Paper in Princeton)

- The lesser PCs can be thought as the **microscopic** corrections of our analytic model (LD+PC3+DM).

- They take the simple form, we refer to as **Jaffe factorization**:

$$E_b^{\text{mic}} \approx F_Z(Z) + F_N(N)$$

- **Consequence** of the nuclear-shell model: single-nucleon energy levels do not vary much around small regions of the nuclear plane:

$$E_b(Z + \delta Z, N + \delta N) \approx \sum_i^{Z+\delta Z} E_i^p(Z, N) + \sum_j^{N+\delta N} E_j^n(Z, N)$$

$$E_b(Z + \delta Z, N + \delta N) \Rightarrow F_Z(Z + \delta Z) + F_N(N + \delta N)$$

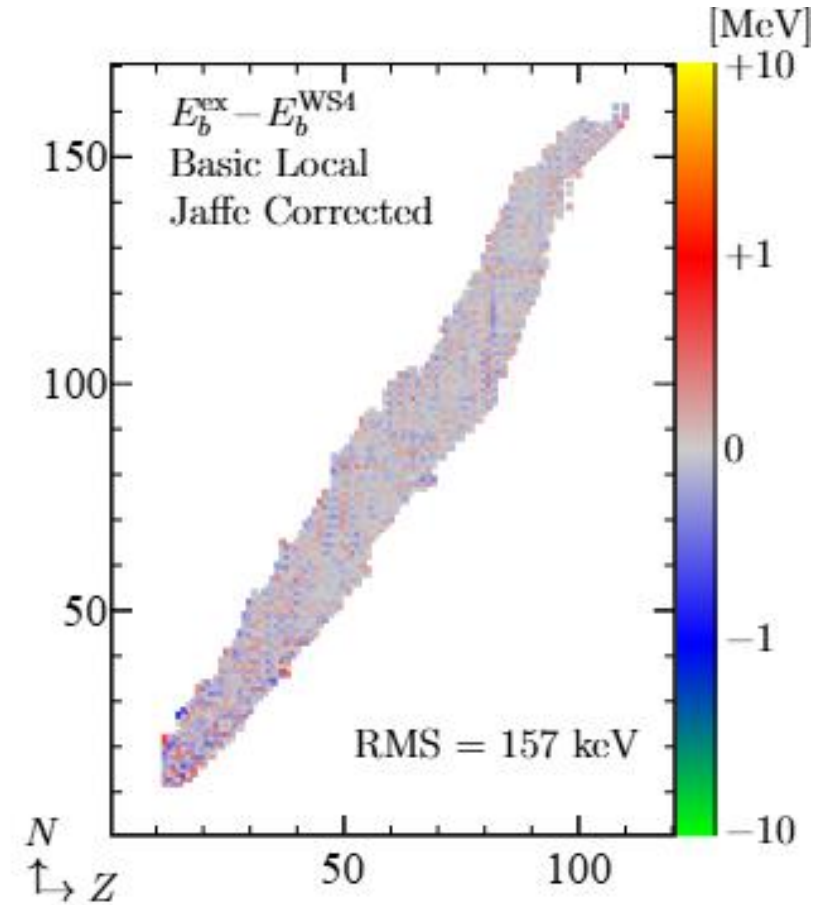
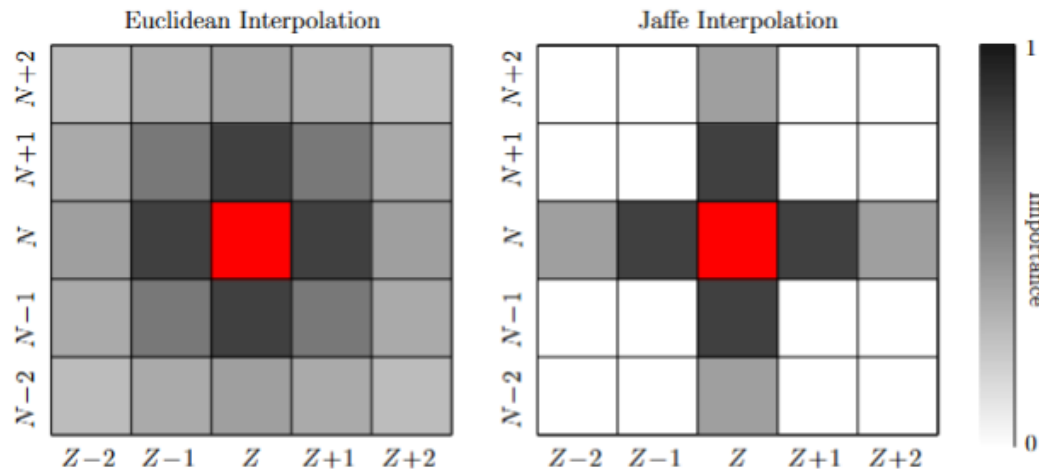
Garvey-Kelson relations

Jaffe Corrected Models

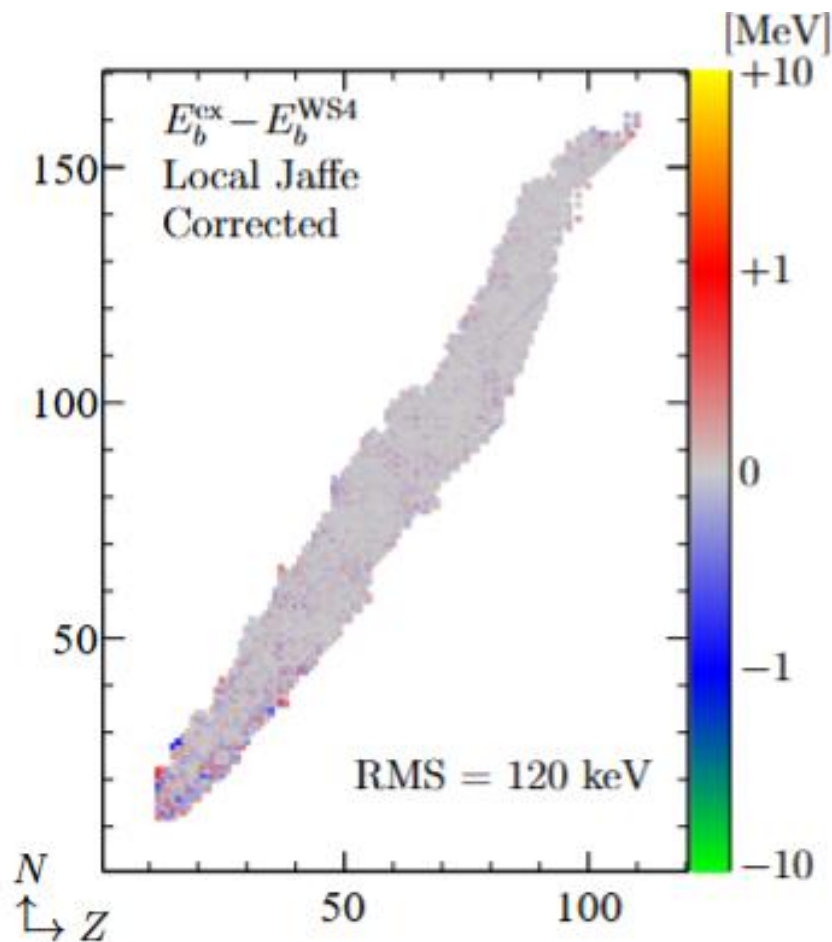
- Based on the Jaffe factorization we (re)discover the optimal **interpolation** method.

Isoto(p,n)ic neighbors > distance-based kernels

Correction type	RMS [keV]
WS4 (No corrections)	279
All nearest neighbors	207
Only isoto(p,n)ic nearest neighbors	175
Only isoto(p,n)ic next-to-nearest neighbors	186
Only isoto(p,n)ic (next-to-)nearest neighbors	157



A new symbolic SOTA & the future!



- The AI interpretability study has not sacrificed precision for understanding..

We have achieved **both!**

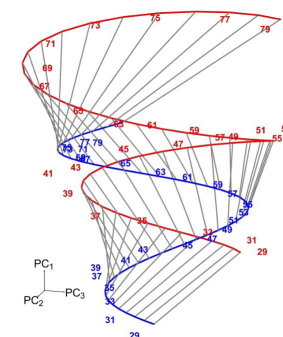
- The most important PCs are ordered hierarchically and are faithful to human knowledge!

- Architectural choice $\Rightarrow$ Symbolic

- Can we **repeat** this for other nuclear observables?

- Can we **automatize** this process?

- *Symbolic Regression?*



Richardson, Trifinopoulos, Williams 2508.08370

Thank you!
Questions?



Backup slides



Principle Component Analysis

➤ **Goal:** Reduce the dimensionality of data while preserving as much variance as possible.

➤ **Procedure:**

1. Center the data ($x_i \rightarrow x_i - \bar{x}$) and calculate the covariance matrix $C = \frac{1}{n-1} X^T X$.

2. Solve the EV problem: $C \mathbf{v}_i = \lambda_i \mathbf{v}_i$.

3. Project the data onto the PC space: $\hat{X} = X \mathbf{V}$.

➤ **Interpretation:** The first PC $\mathbf{v}_1$ (with the highest EV λ_1) captures most of the data's variance, i.e. $\mathbf{v}_1 = \underset{\|\mathbf{v}\|=1}{\operatorname{argmax}}(\mathbf{v}^T C \mathbf{v})$, the second captures most of the variance of the transformed data $\hat{X}_1 = X - (X \mathbf{v}_1) \mathbf{v}_1^T$, and so on.

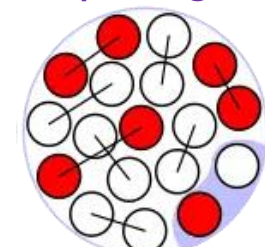
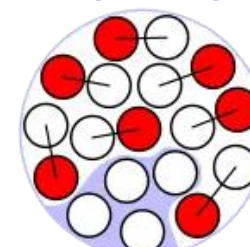
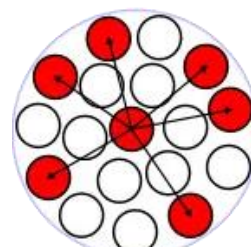
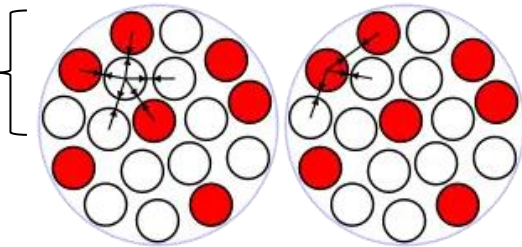
Excuse: Nuclear Models for E_b

- The **liquid drop** (LD) model treats the nucleus as a highly dense incompressible fluid formed by the interplay of **nuclear** force, **electromagnetism**, and **Pauli** Exclusion Principle.

$$E_b^{\text{LD}} = \underbrace{\alpha_v A}_{\text{volume}} - \underbrace{\alpha_s A^{2/3}}_{\text{surface}} - \underbrace{\alpha_c \frac{Z(Z-1)}{A^{1/3}}}_{\text{Coulomb}} - \underbrace{\alpha_a \frac{(N-Z)^2}{A}}_{\text{asymmetry}} + \underbrace{\alpha_p \frac{\delta(Z, N)}{A^{1/2}}}_{\text{pairing}}$$

$$R_{\text{ch}} \cong r_0 A^{1/3}$$

Weizsäcker, Zeitschrift
für Physik, 96(7):431–458,
Jul 1935.c

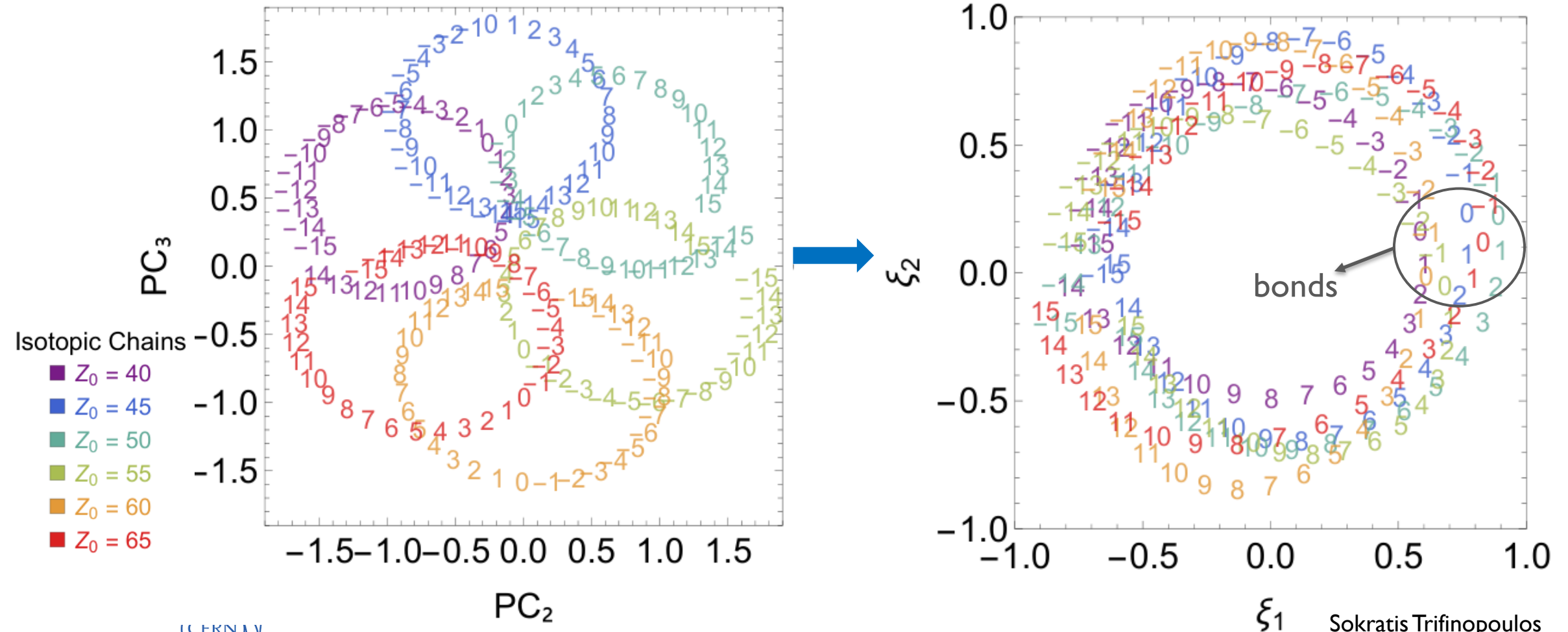


- **Micro-macro** models: output of a simplified **quantum many-body** calculation + **symbolic** expression; **record** holder is the Weizsäcker-Skyrme (**WS4**) model with RMS = 279 keV.

Wang, Liu, Wu, Meng | 405.2616

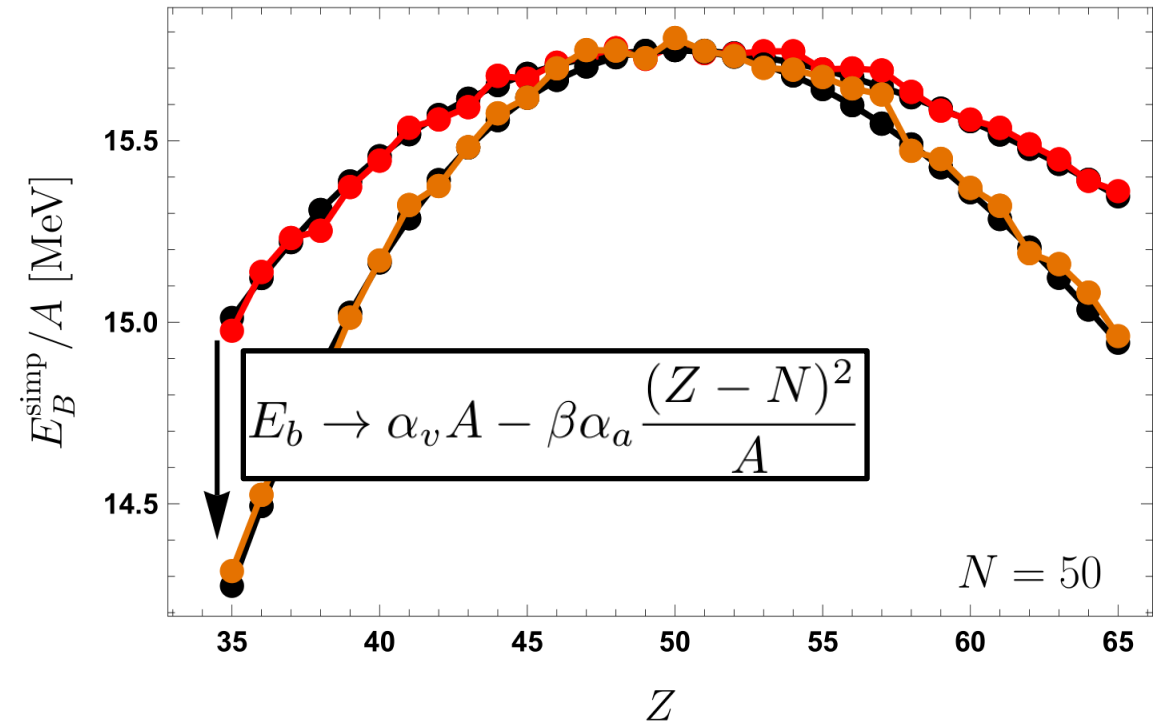
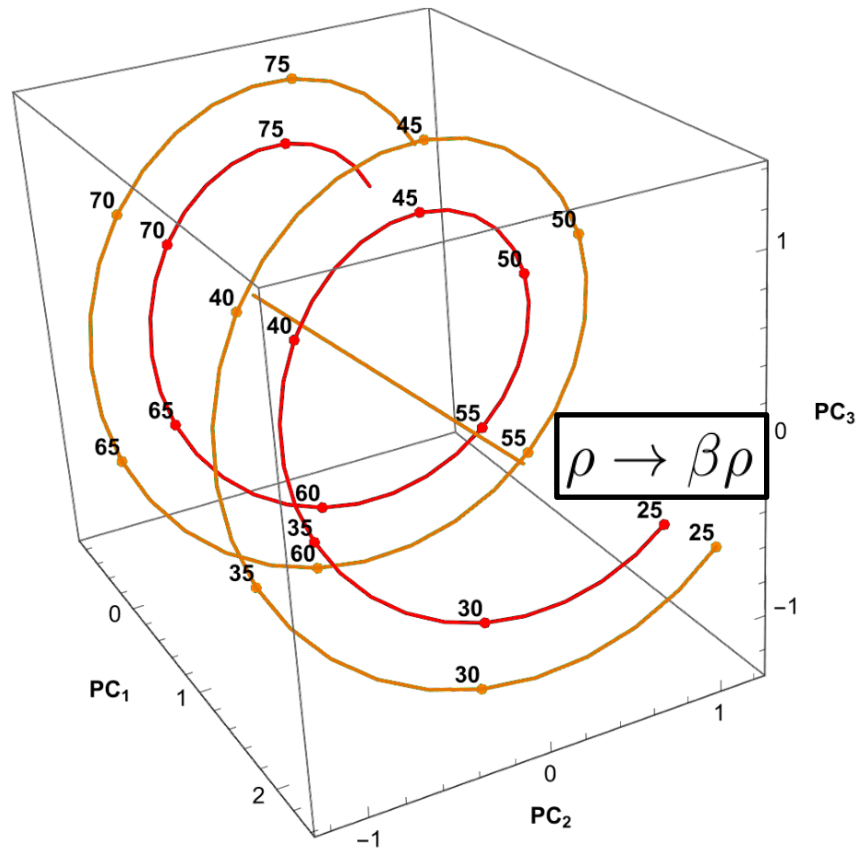
Deciphering the nuclear helix

Here: we project the vectors $(\mathcal{N}_2 - \mathcal{Z}_2, \mathcal{N}_3 - \mathcal{Z}_3)$ on the PC_2 - PC_3 plane and perform the non-linear transformation of the **clock** algorithm: $\vec{\xi} = \begin{pmatrix} \mathcal{Z}_2\mathcal{N}_2 - \mathcal{Z}_3\mathcal{N}_3 \\ \mathcal{Z}_2\mathcal{N}_3 + \mathcal{Z}_3\mathcal{N}_2 \end{pmatrix}$



Learning the asymmetry term

- The models encodes the difference $(Z - N)$ in the vector: $\vec{\xi}(Z_0, N) \propto \begin{pmatrix} \cos [(Z_0 - N)\omega] \\ \sin [(Z_0 - N)\omega] \end{pmatrix}$
- The asymmetry coefficient is encoded in the radius:

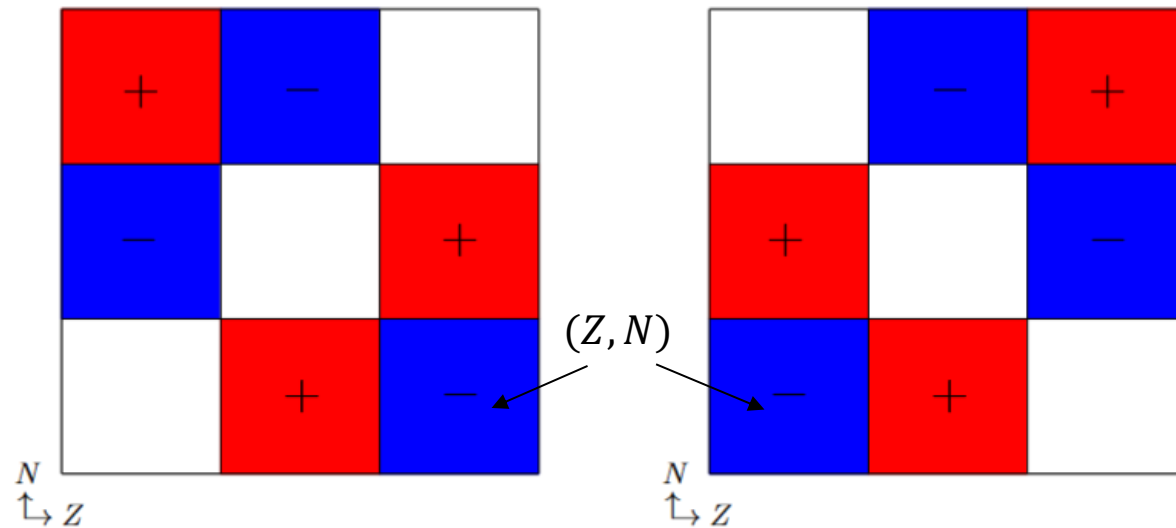


Garvey-Kelson (GK) relations

$$E_b(Z+2, N-2) - E_b(Z+2, N-1) - E_b(Z+1, N-2) + E_b(Z, N-1) + E_b(Z+1, N) - E_b(Z, N) \approx 0$$

$$E_b(Z-2, N+2) - E_b(Z-1, N+2) - E_b(Z-2, N+1) + E_b(Z-1, N) + E_b(Z, N+1) - E_b(Z, N) \approx 0$$

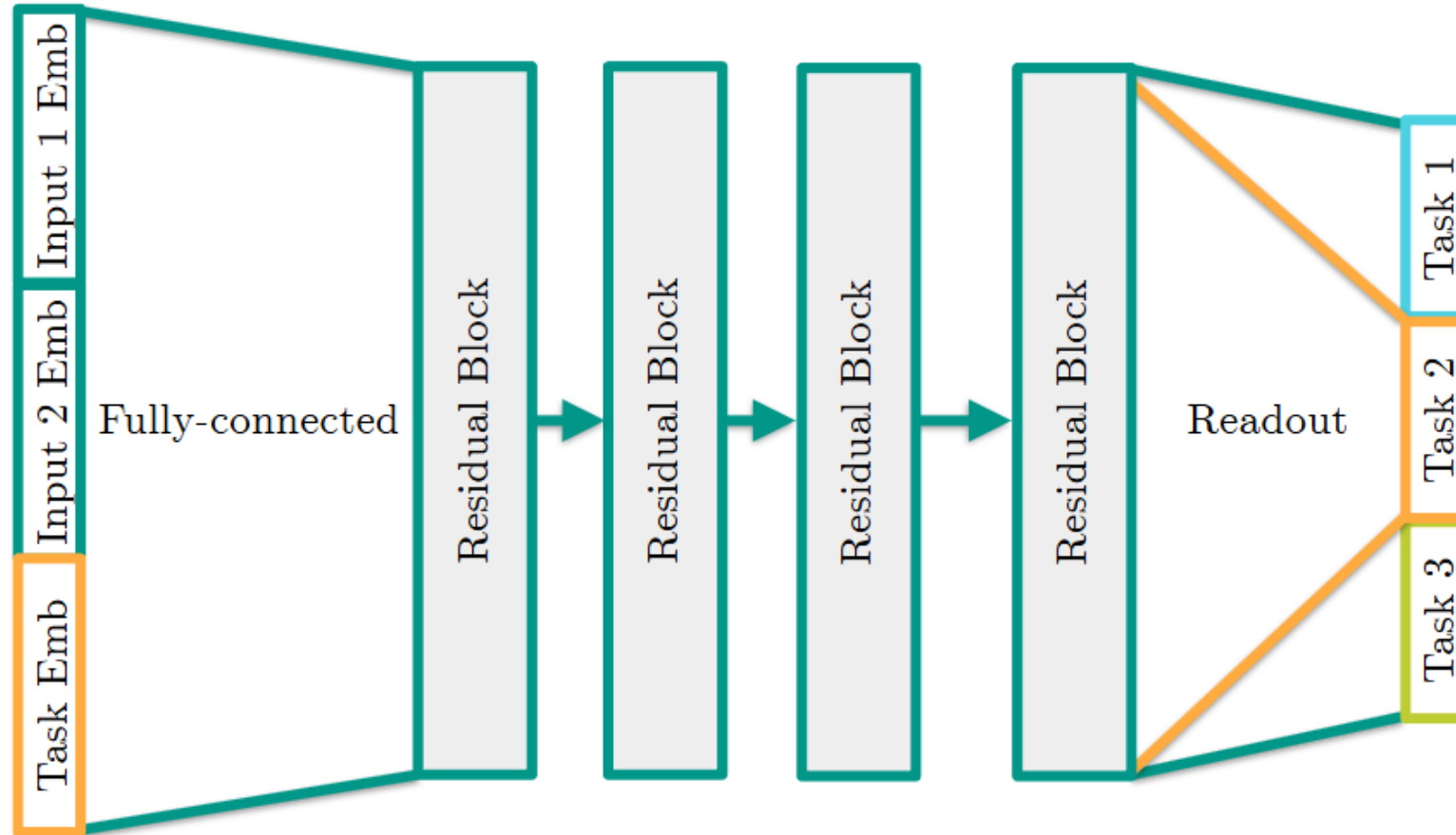
...



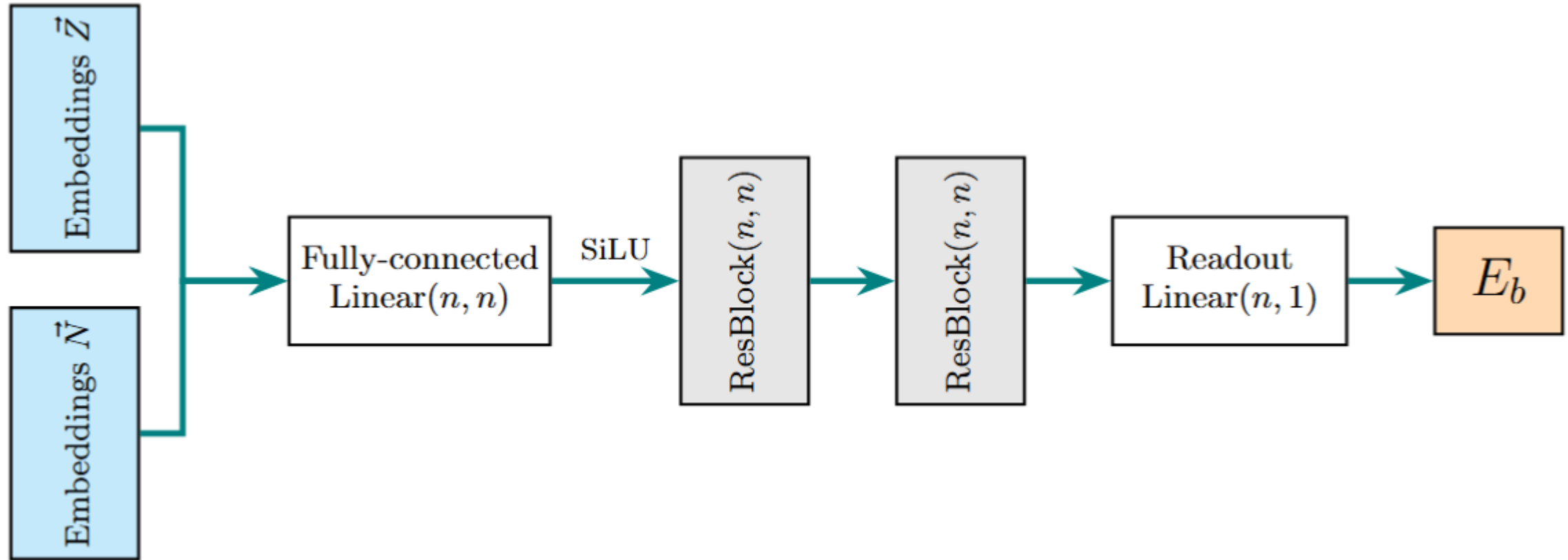
In a small region around (Z, N) :

$$E_b(Z + \delta Z, N + \delta N) \approx \sum_i^{Z+\delta Z} E_i^p(Z, N) + \sum_j^{N+\delta N} E_j^n(Z, N)$$

MTE architecture



ST architecture



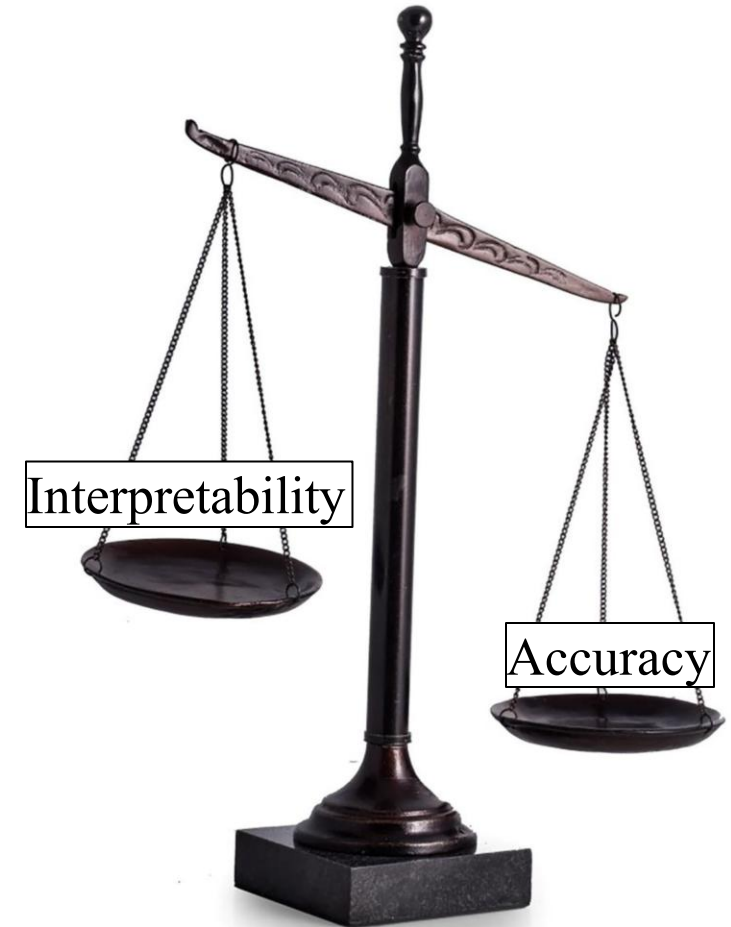
Why we want AI to be “Interpretable”?

➤ Scientific Reasons:

- Training data might be **biased**
- **Overfitting** on specific features
- **Generalization** away from the specific context
- Limited ability for independent **validation**

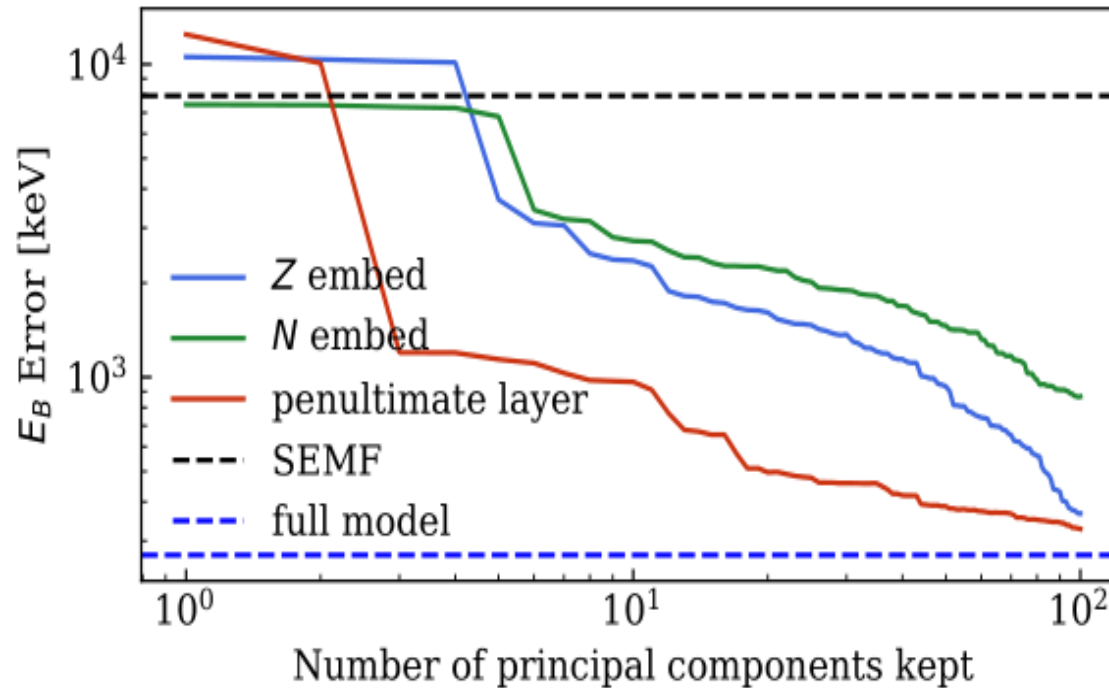
➤ Sociological Reasons:

- **Skepticism** of statistical reasoning
- **Accountability** of decision making
- Desire to **manage** unforeseen **risks**

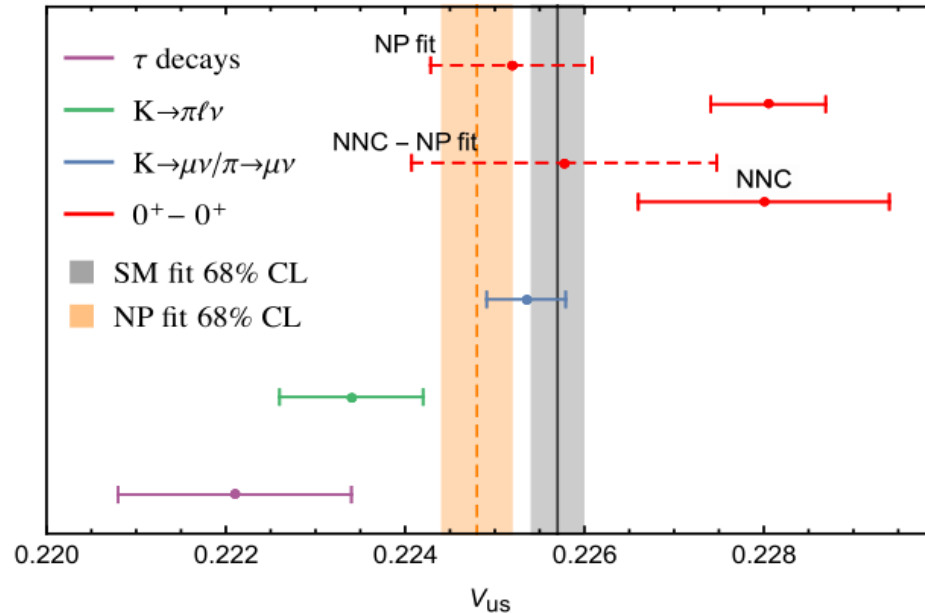


Meaningful features

- We perform PC analysis also on the **penultimate** layer. The final prediction is a **linear** combination of the penultimate layer PCs (**features**).
- The features **capture** most of the performance!



A (personal favourite) application to particle physics



If not nuclear physics then.. New Physics!

Belfatto, Trifinopoulos 2302.14097
Marzocca, Trifinopoulos 2104.05730



The Cabibbo Angle anomaly: Discrepancies

between different determinations of V_{us} .

[Coutinho et al] 1912.08823
[Grossman et al] 1911.07821

- Depending on the input from nuclear β decays we obtain 1-5 σ ! Ref. [Seng] 2212.02681 showed that recoil corrections in the tree-level charged weak decay (which scale as $\sim q^2 R_{CW}^2$) could alleviate the tension.
- Limited knowledge of R_{CW} , but it can be inferred from the charge radii of nuclear isotriplets! But, R_{Ch} data are also scarce. → NuCLR can help!

Superalowed β decays

- Superalowed β decays are Fermi transitions ($S=0, \Delta J=0$) between isobaric analogue states with no parity change ($\Delta\pi=1$).

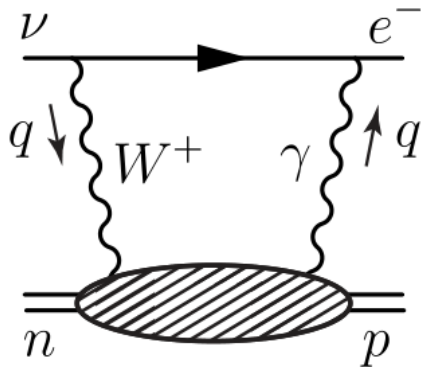
$$|V_{ud}|^2 = \frac{2984.432(3) \text{ s}}{\mathcal{F}t(1 + \Delta_R^V)}$$

Universal contribution:
EW corrections

$$\mathcal{F}t = ft(1 + \delta'_R)(1 + \delta_{NS} - \delta_C)$$

“corrected” half-life: factoring out nucleus-dependent parts

- What's new?



The uncertainty of Δ_R is dominated by the hadronic contribution to the $W\gamma$ box.

New analyses using dispersion relations and hybrid lattice QCD result in a shift of $|V_{ud}|$.

[Seng et al] 1812.03352, 2107.14708

[Czarnecki et al] 1907.06737

Seng's prescription:

- I. Define the matrix element

$$\mathfrak{M}_0 = -\frac{G}{\sqrt{2}} \bar{u}_\nu \gamma^\mu (1 - \gamma_5) v_e F_\mu(p_f, p_i)$$

$$F_\mu(p_f, p_i) = \langle \phi(p_f) | J_\mu^{W\dagger}(0) | \phi_i(p_i) \rangle$$

$$= f_+(q^2)(p_i + p_f)_\mu$$

- I. Expand the form factor $\bar{f}_+$

$$\bar{f}_+(q^2) = 1 + (q^2/6)R_{CW}^2$$

$$R_{CW}^2 = -\langle \phi_f | M_{+1}^{(1)} | \phi_i \rangle$$

$$\vec{M}^{(1)} \equiv \int d^3x r^2 \psi^\dagger(x) \frac{\vec{\tau}}{2} \psi(x)$$

- I. Relate $R_{Ch}^2 =$

$$\frac{1}{Z_\phi} \langle \phi | \int d^3x r^2 \left(\frac{1}{6} \psi^\dagger \psi - \psi^\dagger \frac{\tau^3}{2} \psi \right) | \phi \rangle$$

to R_{CW}^2 via nuclear isotriplets:

$$R_{CW}^2 = R_{Ch,1}^2 + Z_0(R_{Ch,0}^2 - R_{Ch,1}^2)$$

[Seng] 2212.02681