

# 深圳综合粒子设施研究院

Institute of Advanced Science Facilities, Shenzhen

Cycle of Seminars by Carlo Pagani Seminar # 7

### The 3.9 GHz 3<sup>rd</sup> Harmonic System of the European XFEL

Shenzhen, 23 December 2022 / INFN LASA, 15 January 2025





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### **1. Longitudinal Phase Space Linearization**

### 2. ACC39 made by Fermilab for FLASH

### 3. AH1 made by INFN-LASA for European XFEL







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### **TESLA Test Facility for e<sup>+</sup>e<sup>-</sup> Linear Collider**







### From TTF1 to TTF2/FLASH





## **IAGE** SASE: Self Amplifiesd Spontaneous Emission

**d** 

log (power

- bunch interacts with undulator field
- micro bunches develop
- micro bunches emit coherently ~  $N^2$  (N ~ 10<sup>6</sup>)





- low emittance <  $\lambda/4$ pi ~ 1-2 µm
- low energy spread  $\Delta E/E < 10^{-3}$

# **IGF** FEL asks for High Current and High Quality





### **VUVFEL requirements at TTF**



from Proc. of EPAC 2002, 1798 (2002)



VUVFEL beam requirements for lasing at 25 and 6 nm

- 1st lasing of VUV-FEL at long wave length λ (32 nm acheived) does not require the 3.9 GHz module
- Trying to lase at shorter λ requires higher peak current I ≈ 2.5 kA

- 3.9 Ghz module required for
  - driving the FEL instability
  - getting radiation pulse with significant energy

3<sup>rd</sup> Harmonic Module mini-Review. P. Piot. 11.08.2005



### **3**<sup>rd</sup> Harmonic System for TTF



TESLA-FEL 01-03 (2001)

Conceptual Design of the XFEL Photoinjector

M. Ferrario (INFN-LNF), K. Flöttmann (DESY), B. Grigoryan (YerPhI), T. Limberg (DESY), Ph. Piot (DESY)

February 20, 2001

[14] Smith T. I., "Intense low emittance linac beams for free-electron lasers", proc linear acc. conf. '84 SLAC report 303, pp. 421-425 (1986);
Dowell D., et. al, "The BOEING photocathode accelerator magnetic compression and energy recovery experiment", Nucl. Instr. Meth. A375, pp. 108-111 (1996);
Piot Ph., Flöttmann K. Limberg T., TESLA-FEL 01-06 (2001)

## **Grigin of the non linear Energy Distribution**



- Electrons are gaining energy integrating the electric field while crossing the RF accelerating cavity
- In each point of the cavity the field vary sinusoidally vs time
- Because of the the non negligible bunch length the energy gain along the bunch is not constant and not linearly distributed
- Bunch compression needed for high peak current is strongly affected





#### **Energy Distribution along the Bumch**







#### TTF/FLASH as in 2007





- initial bunch length restricted by collective effects
- two stage bunch compression

- off crest acceleration in ACC1 and ACC2/3
- requires good rf field stability









### 3<sup>rd</sup> Harmonic for high peak curreny



The 3<sup>rd</sup> harmonic module operating in decelerating phase in conjunction with the ACC1 module provides linearization of the rf acceleration field with time during the duration of the beam bunch.

Near crest at bunch center s = 0

$$\frac{A_{3.9}}{A_{1.3}} = -\frac{\omega_{1.3}^2}{\omega_{3.9}^2} \left(\frac{\cos\phi_{1.3}}{\cos\phi_{3.9}}\right) \approx \frac{1}{9}$$

 $\phi_{3.9}$  is ~180 deg (decelerating mode) and s = - ct. The head of the bunch is at positive s and  $\phi_{1.3}$  must be positive too





Shifting  $\phi_{3.9}$  by 12°, a linear chirp is obtailed for a more efficient compression





Proceedings of the 2003 Particle Accelerator Conference

#### DEVELOPMENT OF THE THIRD HARMONIC SC CAVITY AT FERMILAB

N. Solyak, I.Gonin, H. Edwards, M.Foley, T.Khabiboulline, D.Mitchell, J.Reid, L.Simmons, Fermilab, P.O.Box500, Batavia, IL 60510, USA.

**Proceedings of PAC09, Vancouver, BC, Canada** 

**TU5PFP058** 

#### CONSTRUCTION OF A 3.9 GHZ SUPERCONDUCTING RF CAVITY MODULE AT FERMILAB\*

H.Edwards, T.Arkan, M. Ge, E. Harms, A. Hocker, T. Khabiboulline, M. McGee, D. Mitchell, D. Olis, N. Solyak, M. Foley, A. Rowe, Fermilab, Batavia, Illinois, 60510, U.S.A.







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- Motivation:
  - single-pass FELs demand high brightness e- beam
  - small emittance and high peak currents are needed:  $L_G \propto I^{-1/2} \varepsilon^{5/6}$
  - user also want radiation pulse with significant energy
- Design criteria for the x-ray FEL (VUV-FEL):
  - 1. generate a long e- bunch
    - charge-density reduced
    - space charge effect mitigated
  - 2. accelerate to high enough energy (SC force  $\propto 1/\gamma^2$ )
  - 3. take care of the bunch compression
  - 1 & 2 induce a non-linear distortion of the longitudinal phase space  $\Rightarrow$  impact on the bunch compression



3<sup>rd</sup> Harmonic Module mini-Review, P. Piot. 11.08.2005



### 3rd Harm. to Improve Beam Characteristics





Energy distribution in the bunch before and after bunch compressor without (left) and with (right) the 3<sup>rd</sup> harmonic cavity, calculated for TTF photoinjector.



### Case of TTF1: nonlinear compression





1: longitudinal phase space
 2: charge temporal distribution

#### • Pros:

- sub-ps radiation pulses
- stable compression
- natural at TTF-1

#### • Cons:

- throw away a lot of charges
- radiation pulse energy reduced
- beam dynamics associated to head and tail very different
- hard to tune and diagnose the e- beam



#### **Beam dynamics aspects**









Third harmonic cavity (3.9GHz) was proposed to compensate nonlinear distortion of the longitudinal phase space due to cosine-like voltage curvature of 1.3 GHz cavities.

#### **3.9 GHz Cavity: Parameter List**

Number of cavities	4
Active Length	0.346 m
Gradient	14 MV/m
Phase	-179 deg
R/Q	750 Ω
E <sub>peak</sub> / E <sub>acc</sub>	2.26
B <sub>peak</sub> (E <sub>acc</sub> =14 MV/m)	68 mT
Q_ext	9.5 e+5
BBU limit for HOM, Q	<1.e+5
Total energy	20 MeV
Beam current	9 mA
Forward Power	11.5 kW
Coupler power	45 kW







#### Frequency shift due to Lorentz forces





#### **Displacement of the cell wall due to Lorentz force.** (wall thickness = 1.5 mm)

- HFSS simulation of half cell
  - $P = (\mu_0 H^2 \varepsilon_0 E^2)/4$
  - data exchange (HFSS-ANSYS)
- ANSYS simulation of stresses in half cell
  - different wall thickness
  - Yung modulus, Poisson's ratio
- Frequency shift due to Lorentz force (Slater's Theorem)

$$\Delta F = F / (4W) \int_{\Delta V} (\varepsilon_0 | E^2 - \mu_0 H^2) dV$$

 $E_{acc} = 15MV/m$ 



N. Solyak

Cavity bandwidth 🛛 4kHz ----- No Stiffening ring



### **Extensive HFSS Calculation @ Fermilab**



HFSS 3D 9 cells cavity model with:

- 2 High Order Mode (HOM) couplers,
- matched Main coupler port,
- different type of beam pipe terminations,
- distributed and phased current sources.

Calculations in driven mode.





Monopole(left) and Dipole (right) passbands for mid-cell of the 3<sup>rd</sup> harmonic cavity



Trapped modes in 5th dipole passband

## **Qualification tests on Cu Cavity Prototypes**



9 cells Cu cavity measurements with:

- 2 High Order Mode (HOM) couplers,
- Main coupler port with matched termination,
- Beam pipes with metal plates,

- Excitation and pick-up from different ports. Beadpull and S-parameter measurements for single cavity and double cavity system.

#### Dipole modes with high Qext (single cavity)









#### **3.9 GHz RF Coupler Main features**

- Ø30mm/Ø13mm coaxial line
- Standard copper waveguide
- Fix coupling
- No DC biasing
- Cold window: DESY TTF3 cylindrical ceramic
- Warm window: CPI 3.9 GHz WG window
- Two sets of bellows (flexibility)
- All internal parts are copper plated (30 µminner and 10 µm outer part of coax)
- All part are brazed
- Pick-ups and glass window for diagnostics
- Good pumping performances

 $P_{peak} = 45 \text{ kW} (I_b = 9mA) \text{ (processing}$ at 80kW) Pulse = 1.3 ms Rep. rate = 5Hz N. Solyak



#### 3.9 GHz RF Coupler Design: cut view





N. Solyak



### **3**<sup>rd</sup> Harmonic Cryomodule for TTF2





**Don Mitchell** 

## IVGE

### ACC39 Cryomodule designed at Fermilab

















#### Cry2 to Cry3: Diameter Comparison







### 3<sup>rd</sup> Harmonic Cavity String





**Don Mitchell** 



### **Cavity String closeup**







#### Magnetic Shield closeup







#### ACC39 @ FLASH



C4H2



#### Pei Zhang



#### ACC39 Module from Fermilab to FLASH





**Designed at FNAL** 



Tested at CMTB/DESY

Fully Operational at FLASH/DESY

Assembled at CAF





INFN

**Proceedings of IPAC'10, Kyoto, Japan** 

**THPD003** 

#### TEST AND COMMISSIONING OF THE THIRD HARMONIC RF SYSTEM FOR FLASH

E. Vogel, C. Albrecht, N. Baboi, C. Behrens, T. Delfs, J. Eschke, C. Gerth, M.G. Hoffmann,
M. Hoffmann, M. Hüning, R. Jonas, J. Kahl, D. Kostin, G. Kreps, F. Ludwig, W. Maschmann,
C. Müller, P. Nommensen, J. Rothenburg, H. Schlarb, C. Schmidt, J. Sekutowicz,
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#### PHYSICAL REVIEW ACCELERATORS AND BEAMS 20, 042006 (2017)

# Fabrication and vertical test experience of the European X-ray Free Electron Laser 3.9 GHz superconducting cavities

P. Pierini,<sup>\*</sup> M. Bertucci, A. Bosotti, J. F. Chen, C. G. Maiano,<sup>†</sup> P. Michelato, L. Monaco, M. Moretti,<sup>‡</sup> C. Pagani,<sup>§</sup> R. Paparella, and D. Sertore *INFN Sezione di Milano–Laboratorio LASA, Via Fratelli Cervi 201, 20090 Segrate (Mi), Italy* 

#### E. Vogel

Deutsches Elektronen-Synchrotron DESY, Notkestraße 85, 22607 Hamburg, Germany (Received 21 December 2016; published 27 April 2017)



#### Same Scheme in the 3 major XFELs





#### European XFEL

construction during 2009 – 2016 in operation since 2017 cw upgrade after 2025 (?, tbc)

#### LCLS-II

under construction since 2014 first lasing expected in 2021 HE 8 GeV upgrade until 2026

#### SHINE SARI

under construction since 2018 to be commissioned in 2025 goal: cw and 8 GeV

Hans Weise @ FEL2021



#### E-XFEL WP46 - 3<sup>rd</sup> Harmonic Module





Due to alternating couplers requirement, for coupler kick compensation, and to the position of the 2-phase pipe there are two dressed cavity configurations (left and right) Blade tuner updated to the **"slim" type**, concept derived from ILC tuner work by INFN. Lighter, cheaper, motor to the side.

Design of the Module is "Plug compatible" with XFEL modules



### E-XFEL 3<sup>rd</sup> Harmonic Module



- 10 3.9 GHz cavities produced and treated by the industry
- Naked cavities Vertical tested at LASA
- Integrated at the industry
- Equipped with Power Coupler and assembled in the string at DESY
- String moved into the cryomodule for final installation
- Module in E-XFEL tunnel by end of September '15.
- First beam in E-XFEL Injector by the end of '15,











#### Module Assembly by INFN at DESY







#### WP46 - Cavity Fabrication and Test







#### XFEL 3<sup>rd</sup> harmonic: cavites and Cryomodule









1.3 GHz SRF linac with 17.5 GeV, 5 kA bunches for an FEL operating in the 1-0.5 Å regime

- 27000 e- bunches/s (0.6 ms flattop @ 10Hz, 2700 b/pulse)
- 1 klystron driving 4 8-cavity modules in vector sum mode

Beam quality (emittance at high currents) is the key

• Three bunch compression stages at 130 MV, 600 MeV, 2.4 GeV to raise the bunch current





- EXFEL needs longitudinal phase space manipulation before the bunch compression stages
  - A proper current profile along the bunch for lasing is thus obtained by control of energy chirp, curvature, skewness in the longitudinal phase space
    - Removing the RF curvature from the 1.3 GHz module caused by the long bunch length at the injector
    - Someone of the second secon
- How? Add a (third) harmonic voltage and properly set its amplitude and phase relative to the main accelerating voltage

M. Dohlus, T. Limberg, "Bunch compression stability dependence on RF parameters", 27th FEL Conference (2005) p. 253



### Phase space manipulation in a glance



#### Using only A1 to chirp the beam (no AH1)



Longitudinal phase space Current along bunch



#### With third harmonic manipulation



#### After BC2 (2.4 GeV)

#### After L3+CL (17.5 GeV)



At final compression stage good current profile

Beam flattened for good FEL emission





Lanzhou

The third harmonic system (AH1) is functionally **like a standard main XFEL module**, but contains 8 cavities at 3.9 GHz (3 times the frequency, therefore 1/3 of the size) IN-KIND Contribution to XFEL by INFN (50%: Cavities and module) and DESY (50%: Couplers, RF and infrastructure)

• Joint: installation, technical commissioning and initial operation







- 3 prototypes and 2 batches of 10 cavities procured by INFN
  - Mechanical production & BCP treatment at Vendor
  - Preparation to test and VT at INFN-LASA





### VT Qualification summary



	E <sub>acc</sub> [MV/m]	Q <sub>0</sub> [10 <sup>9</sup> ]
First Batch	20.4±1.1	2.39±0.29
Second Batch	19.9±2.4	2.77±0.65
	20.1±1.9	2.58±0.45











All cavities quench at around 20-22 MV/m

- Dissipated power on the cavity in the 20-100 W range
- Thermal equilibrium model with field dependent BCS reproduces the slope of  $\mathsf{Q}_0$  vs  $\mathsf{E}_{\mathsf{acc}}$  curves at different T
- Thermal breakdown around 20 MV/m seems the limiting mechanism of 3.9 GHz structures with BCP



Heat transfer can be linearized assuming weak quasi-particle overheating

 $(T_{qp} - T_0 \ll T_0)$ 

$$T_{qp} - T_{bath} = \frac{\alpha T_{bath}}{R_{s,0}} \left(\frac{H}{2H_c}\right)^2 R_s(H, T_{qp})$$

α

Overheating parameter

$$=\frac{R_{s,0}B_c^2}{2\mu_0^2 T_{bath}}\left(\frac{1}{Y}+\frac{d}{k}+\frac{1}{h_k}\right)$$

A. Gurevich, "Reduction of Dissipative Nonlinear...", arXiv:1408.4467 (2014) J.T. Maniscalco et al., "The importance...", JAP 121, 043910 (2017)





#### No module test prior to installation!

#### Horizontal test for the cavity package qualification

Cold Tuning System & Coupler assembly

Open loop operation at 20 MV/m

- Quench at 24 MV/m,10 Hz, 1.3 ms
- VT: 21 MV/m (quasi CW)







#### Major AH1 Assembly Milestones







#### The assembly in Halle III (14 weeks)







#### **Final Preparation stages in tunnel**





Assembly WG distribution Injector String Integration Dec 10: **Start cooldown** Dec 15, Cryo OK- cavity pre-tuning Dec 16, calibration: **AH1 Ready** 



### **RF** Distribution layout





## First operation at nominal gradient on Day 1



#### 18 December 2015

- Operation with no beam
- First rough calibration (LLRF)
   Assume P<sub>cav</sub>=P<sub>kly</sub>/10
- Nominal pulse structure
  Fill: 750 us/FlatTop: 650 us
- Gradient well above nominal
  40 MV of VS voltage
  - First quench > 45 MV
- Cavity phasing missing and Q<sub>L</sub> values not yet tuned
- LLRF in FB mode





### **Beam Based calibration: Cavity Phases**





#### January 2016, on beam

- Beam transients
- Phase Calibrations
- Preliminary to :
- Phase Tuning
- Q<sub>L</sub> tuning
- Large initial cavity phase spread
- WG assembled in tunnel, no cal.







1 February 2016, AH1 moved 1 ms after the beam
Allow injector commissioning while aligning cavity phases
Individual maps of all 3-stub tuner positions







#### **10 February 2016**

• Q<sub>L</sub> aligned well within the 10% requirement

180

Phases within 15°



#### **16 February 2016**

- Back on beam
- Moved to -180° (wrt on-crest), calibration with beam energy





# RF based calibration in tunnel initially did not correlate too well with VT quench gradients







#### Cavity Q0 is 2E9 (VT measure)

Qt is 1E10 (VT calib)

Cav	QH1	QH2
C1	7.29E+09	5.64E+11
C2	1.14E+12	5.72E+10
C3	8.70E+10	1.33E+11
C4	1.91E+09	6.29E+11
C5	3.18E+11	2.68E+11
<b>C</b> 6	8.32E+11	5.05E+11
C7	1.36E+11	6.28E+10
<b>C8</b>	4.50E+11	N/A

Thermal sinking is sufficient for stability (at 1% d.f.)

C1 HOM1 suboptimal tuning C4 HOM1 detuned (cavity Q0) However, stable thermal behavior at 1.3 ms, 10 Hz operation up to SP in excess of 40 MV







# RF calibration did not give sufficient consistency in A1/AH1 correlation. BB calibration using dispersive section.



A1/AH1 need to be in good relative calibration (phase and amplitude) to **control the beam** with Sum Voltage Controls

- Improved consistency in VT/XTIN quench fields
- Important to check after RF calibrations are done













Y (i.e. t or s along bunch)

AH1 on  $\rightarrow$ 

Vector Sum Control of the sum RF field of the two modules allows to set the beam shape parameters (e.g. chirp, curvature, skewness) for proper matching to the downstream compression stages







# RF commissioning and operation of the 3.9 GHz module in the tunnel was a success

 Achieved performances above nominal during the early injector commissioning stages in December 2015, with the AH1 pulse shifted in time from the beam

Module in regular operation with beam since January 2016 in the Injector run and ready for operation at the start of the XFEL commissioning phase in January 2017

First XFEL lasing at 9 Å on May 4<sup>th</sup> 2017 (then 2 and 1.5 Å reached in summer): final confirmation of proper third harmonic system performance

• (and of course of many other important systems...)

# Since then, AH1 is a crucial part of the European XFEL user facility reliably operating in Hamburg

## Thank you for your attention

IASF - CP Seminar #7 Shenzhen, 23 December 2022

Carlo Pagani