



Istituto Nazionale di Fisica Nucleare

Positivity Constraints and Their Applications in SMEFT

Xu Li

INFN Genova

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Review on the SMEFT

- Introduction
- Global fit and inferring UV
- RG running and mixing



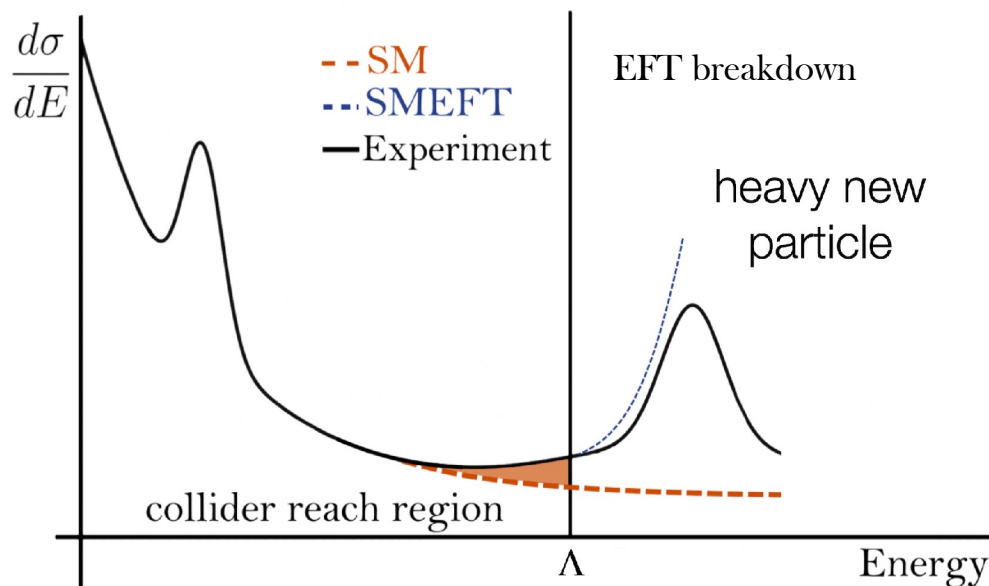
Positivity bounds

- Convex cone approach
- Pheno. of the positivity and inverse problem
- Constraints on anomalous dimension matrix



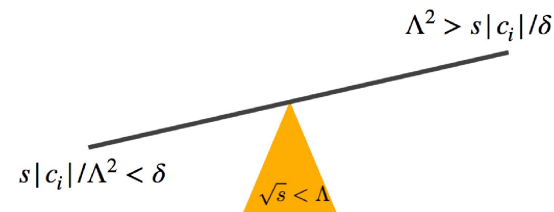
Summary

- BSM is low scale: direct searches for peaks, probe on-shell NP
- BSM outside the collider reach region: indirect search, precision physics, EFT



[M. Farina et al., 1609.08157]

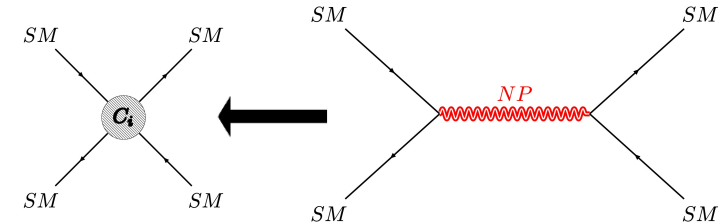
- LEP experiment is an example of the precision physics: tests the SM electroweak sector near Z-pole, EWPOs
- EFT approach – sensitivity to NP is increased by the energy



Energy helps precision

- SMEFT calculates physical processes by adding high-dimensional operators

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i \frac{C_i^{(5)} O_i^{(5)}}{\Lambda} + \sum_i \frac{C_i^{(6)} O_i^{(6)}}{\Lambda^2} + \dots$$



- Construction of the SMEFT basis

- The Field content and Symmetries of SM
- Remove redundance: integrate by part & Fierz identities
- Equations of motion

- Bases have been constructed:

- **Dim-6:**

[B. Grzadkowski et al., 1008.4884] (Warsaw)

- **Dim-7:**

[L. Lehman, 1410.4193] [Liao & Ma, 1612.04527]

- **Dim-8:**

[H.-L. Li et al., 2005.00008] [C. Murphy, 2005.00059]

- **Dim-9:**

[H.-L. Li et al., 2007.07899] [Liao & Ma, 2007.08125]

- **Hilbert Series**

[Henning et al, 1512.03433] [Marinissen et al, 1512.03433]

- **Automation Tools:**

Sym2Int [Fonseca, 1703.05221]

ABC4EFT [H.-L. Li et al, 2201.04639]

AutoEFT [Harlander&Schaaf, 2309.15783]

What is their relation to NP?

- Dim-5: origin of majorana-type neutrino masses:

$$\mathcal{O}_5 = \epsilon^{ik} \epsilon^{jl} (\ell_i^T C \ell_j) H_k H_l \quad \Rightarrow \quad \text{Topology} \quad \Rightarrow$$

Weinberg operator

Field	(J, C, W, Y)
S ₆	(0, 1, 3, 1)
F ₁	(1/2, 1, 1, 0)
F ₅	(1/2, 1, 3, 0)

Three type of
Seesaw models

- Dim-6: [C. Arzt et al., 9405214] [J. de Blas et al., 1711.10391] [N. Craig et al., 2001.00017]

X^3		φ^6 and $\varphi^4 D^2$		$\psi^2 \varphi^3$	
Q_G	$f^{ABC} G_{\mu\nu}^A G_{\nu\rho}^B G_{\rho\mu}^C$	Q_φ	$(\varphi^\dagger \varphi)^3$	$Q_{e\varphi}$	$(\varphi^\dagger \varphi)(\bar{l}_p \gamma^\mu l_r)$
$Q_{\tilde{G}}$	$f^{ABC} \tilde{G}_{\mu\nu}^A G_{\nu\rho}^B G_{\rho\mu}^C$	$Q_{\varphi\Box}$	$(\varphi^\dagger \varphi) \Box (\varphi^\dagger \varphi)$	$Q_{u\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p \gamma^\mu q_r)$
Q_W	$\varepsilon^{IJK} W_{\mu\nu}^I W_{\nu\rho}^J W_{\rho\mu}^K$	$Q_{\varphi D}$	$(\varphi^\dagger D^\mu \varphi)^* (\varphi^\dagger D_\mu \varphi)$	$Q_{d\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p \gamma^\mu q_r)$
$Q_{\tilde{W}}$	$\varepsilon^{IJK} \tilde{W}_{\mu\nu}^I W_{\nu\rho}^J W_{\rho\mu}^K$				
$X^2 \varphi^2$		$\psi^2 X \varphi$		$\psi^2 \varphi^2 D$	
$Q_{\varphi G}$	$\varphi^\dagger \varphi G_{\mu\nu}^A G^{A\mu\nu}$	Q_{eW}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi l}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{l}_p \gamma^\mu l_r)$
$Q_{\varphi \tilde{G}}$	$\varphi^\dagger \varphi \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	Q_{eB}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \varphi B_{\mu\nu}$	$Q_{\varphi l}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{l}_p \tau^I \gamma^\mu l_r)$
$Q_{\varphi W}$	$\varphi^\dagger \varphi W_{\mu\nu}^I W^{I\mu\nu}$	Q_{uG}	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{\varphi} G_{\mu\nu}^A$	$Q_{\varphi e}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{e}_p \gamma^\mu e_r)$
$Q_{\varphi \tilde{W}}$	$\varphi^\dagger \varphi \tilde{W}_{\mu\nu}^I W^{I\mu\nu}$	Q_{uW}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{\varphi} W_{\mu\nu}^I$	$Q_{\varphi q}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{q}_p \gamma^\mu q_r)$
$Q_{\varphi B}$	$\varphi^\dagger \varphi B_{\mu\nu} B^{\mu\nu}$	Q_{uB}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{\varphi} B_{\mu\nu}$	$Q_{\varphi q}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{q}_p \tau^I \gamma^\mu q_r)$
$Q_{\varphi \tilde{B}}$	$\varphi^\dagger \varphi \tilde{B}_{\mu\nu} B^{\mu\nu}$	Q_{dG}	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) \varphi G_{\mu\nu}^A$	$Q_{\varphi u}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{u}_p \gamma^\mu u_r)$
$Q_{\varphi WB}$	$\varphi^\dagger \tau^I \varphi W_{\mu\nu}^I B^{\mu\nu}$	Q_{dW}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi d}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{d}_p \gamma^\mu d_r)$
$Q_{\varphi \tilde{WB}}$	$\varphi^\dagger \tau^I \varphi \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	Q_{dB}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \varphi B_{\mu\nu}$	$Q_{\varphi ud}$	$i(\tilde{\varphi}^\dagger D_\mu \varphi)(\bar{u}_p \gamma^\mu d_r)$

$(\bar{L}L)(\bar{L}L)$		$(\bar{R}R)(\bar{R}R)$		$(\bar{L}L)(\bar{R}R)$	
Q_{ll}	$(\bar{l}_p \gamma^\mu l_r)(\bar{l}_s \gamma^\mu l_t)$	Q_{ee}	$(\bar{e}_p \gamma^\mu e_r)(\bar{e}_s \gamma^\mu e_t)$	Q_{le}	$(\bar{l}_p \gamma^\mu l_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{qq}^{(1)}$	$(\bar{q}_p \gamma^\mu q_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{uu}	$(\bar{u}_p \gamma^\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{lu}	$(\bar{l}_p \gamma^\mu l_r)(\bar{u}_s \gamma^\mu u_t)$
$Q_{qq}^{(3)}$	$(\bar{q}_p \gamma^\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{dd}	$(\bar{d}_p \gamma^\mu d_r)(\bar{d}_s \gamma^\mu d_t)$	Q_{ld}	$(\bar{l}_p \gamma^\mu l_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma^\mu l_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{eu}	$(\bar{e}_p \gamma^\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{qe}	$(\bar{q}_p \gamma^\mu q_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma^\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{ed}	$(\bar{e}_p \gamma^\mu e_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(1)}$	$(\bar{q}_p \gamma^\mu q_r)(\bar{u}_s \gamma^\mu u_t)$
		$Q_{ud}^{(1)}$	$(\bar{u}_p \gamma^\mu u_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(8)}$	$(\bar{q}_p \gamma^\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$
		$Q_{ud}^{(8)}$	$(\bar{u}_p \gamma^\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(1)}$	$(\bar{q}_p \gamma^\mu q_r)(\bar{d}_s \gamma^\mu d_t)$
				$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma^\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$

$(\bar{L}R)(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$		B -violating	
Q_{ledq}	$(\bar{l}_p^i e_r)(\bar{d}_s^j d_t^k) [(d_p^\alpha)^T C u_r^\beta] [(q_s^\gamma)^T C l_t^k]$	Q_{duq}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jkl} [(d_p^\alpha)^T C u_r^\beta] [(q_s^\gamma)^T C l_t^k]$
$Q_{quqd}^{(1)}$	$(\bar{q}_p^i u_r) \varepsilon_{jk} (\bar{q}_s^k d_t) [(q_p^\alpha)^T C q_r^\beta] [(u_s^\gamma)^T C e_t]$	Q_{quu}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jkl} [(q_p^\alpha)^T C q_r^\beta] [(u_s^\gamma)^T C e_t]$
$Q_{quqd}^{(8)}$	$(\bar{q}_p^i T^A u_r) \varepsilon_{jk} (\bar{q}_s^k T^A d_t) [(q_p^\alpha)^T C q_r^\beta] [(u_s^\gamma)^T C l_t^k]$	Q_{qqq}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jkl} [(q_p^\alpha)^T C q_r^\beta] [(q_s^\gamma)^T C l_t^k]$
$Q_{lequ}^{(1)}$	$(\bar{l}_p^i e_r) \varepsilon_{jk} (\bar{q}_s^k u_t) [(u_s^\gamma)^T C e_t]$	Q_{duu}	$\varepsilon^{\alpha\beta\gamma} [(d_p^\alpha)^T C u_r^\beta] [(u_s^\gamma)^T C e_t]$
$Q_{lequ}^{(3)}$	$(\bar{l}_p^i \sigma_{\mu\nu} e_r) \varepsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$		

- Dim-7 and Dim-8 EFT-UV dictionaries have also been obtained recently. [X-X. Li et al., 2307.10380] [H-L. Li et al., 2309.15933]
- Recent development: one-loop dictionary of dim-6 operators [G. Guedes & P. Olgoso, 2412.14253]

- Dim-5: origin of majorana-type neutrino masses:

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Weinberg operator

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Fermion extension

Scalar extension

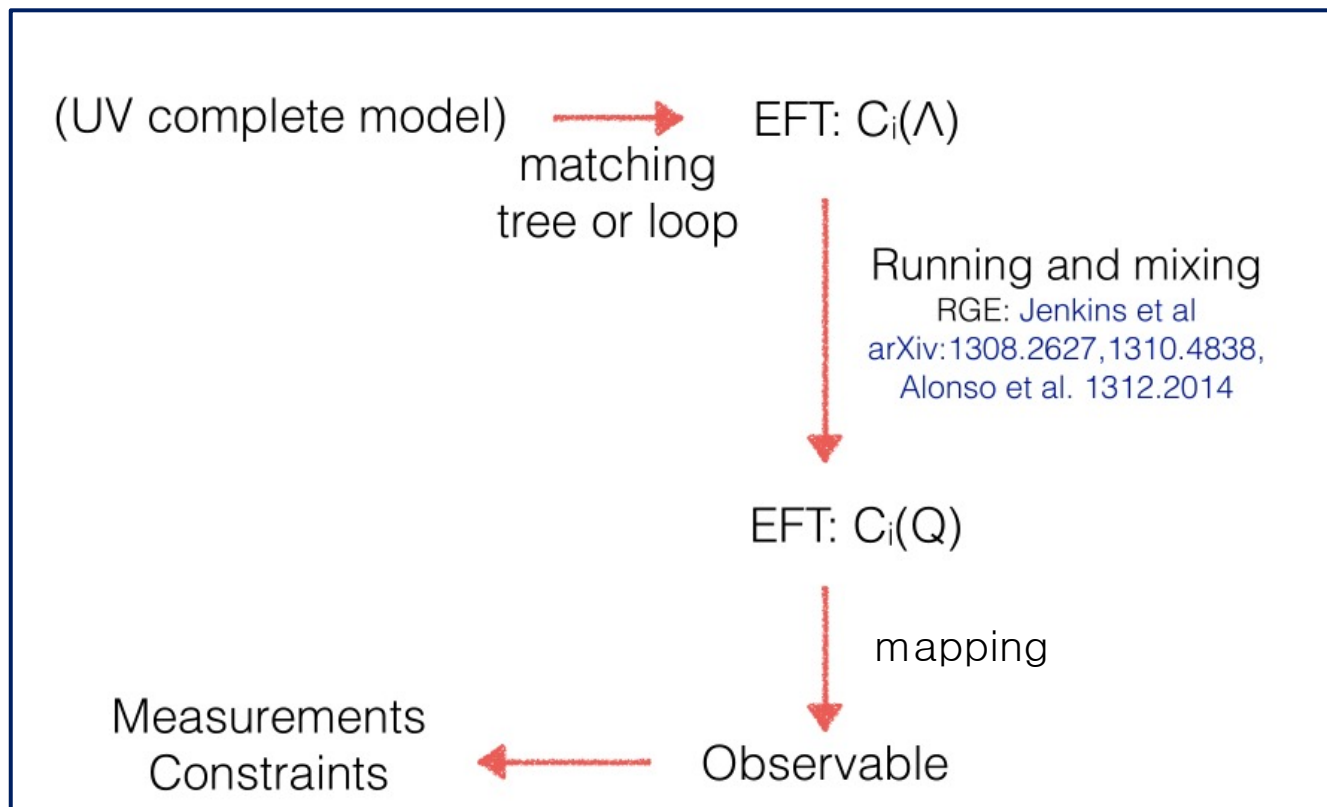
Vector extension

X^3		φ^6 and $\varphi^4 D^2$		$\psi^2 \varphi^3$		$(\bar{L}L)(\bar{L}L)$		$(\bar{R}R)(\bar{R}R)$		$(\bar{L}L)(\bar{R}R)$	
Q_G	$f^{ABC} G_{\mu\nu}^A G_{\nu\rho}^B G_{\rho\mu}^C$	Q_φ	$(\varphi^\dagger \varphi)^3$	$Q_{e\varphi}$	$(\varphi^\dagger \varphi)(\bar{l}_p e_r \varphi)$	Q_{ll}	$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$	Q_{ee}	$(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$	Q_{le}	$(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{\tilde{G}}$	$f^{ABC} \tilde{G}_{\mu\nu}^A G_{\nu\rho}^B G_{\rho\mu}^C$	$Q_{\varphi\Box}$	$(\varphi^\dagger \varphi)\Box(\varphi^\dagger \varphi)$	$Q_{u\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p u_r \tilde{\varphi})$	$Q_{qq}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{uu}	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{lu}	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$
Q_W	$\varepsilon^{IJK} W_{\mu\nu}^I W_{\nu\rho}^J W_{\rho\mu}^K$	$Q_{\varphi D}$	$(\varphi^\dagger D^\mu \varphi)^*(\varphi^\dagger D_\mu \varphi)$	$Q_{d\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p d_r \varphi)$	$Q_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{dd}	$(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$	Q_{ld}	$(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{\tilde{W}}$	$\varepsilon^{IJK} \tilde{W}_{\mu\nu}^I W_{\nu\rho}^J W_{\rho\mu}^K$					$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{eu}	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{qe}	$(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$
						$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{ed}	$(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$
$X^2 \varphi^2$		$\psi^2 X \varphi$		$\psi^2 \varphi^2 D$		$(\bar{L}R)(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$		B -violating			
$Q_{\varphi G}$	$\varphi^\dagger \varphi G_{\mu\nu}^A G^{A\mu\nu}$	Q_{eW}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi l}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{l}_p \gamma^\mu l_r)$	Q_{ledq}	$(\bar{l}_p^i e_r)(\bar{d}_s^j d_t^k)$	Q_{duq}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(d_p^\alpha)^T C u_r^\beta] [(q_s^\gamma)^T C l_t^k]$		
$Q_{\varphi \tilde{G}}$	$\varphi^\dagger \varphi \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	Q_{uG}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi l}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{l}_p \tau^I \gamma^\mu l_r)$	$Q_{quqd}^{(1)}$	$(\bar{q}_p^i u_r) \varepsilon_{jk} (\bar{q}_s^k d_t)$	Q_{quq}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(q_p^\alpha)^T C q_r^\beta] [(u_s^\gamma)^T C e_t]$		
$Q_{\varphi W}$	$\varphi^\dagger \varphi W_{\mu\nu}^I W^{I\mu\nu}$	$Q_{u\varphi}$	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi e}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{e}_p \gamma^\mu e_r)$	$Q_{quqd}^{(8)}$	$(\bar{q}_p^i T^A u_r) \varepsilon_{jk} (\bar{q}_s^k T^A d_t)$	Q_{qqq}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jkm} [(q_p^\alpha)^T C q_r^\beta] [(q_s^\gamma)^T C l_t^m]$		
$Q_{\varphi \tilde{W}}$	$\varphi^\dagger \varphi \tilde{W}_{\mu\nu}^I W^{I\mu\nu}$	Q_{dG}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi q}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{q}_p \tau^I \gamma^\mu q_r)$	$Q_{lequ}^{(1)}$	$(\bar{l}_p^i e_r) \varepsilon_{jk} (\bar{q}_s^k u_t)$	Q_{duu}	$\varepsilon^{\alpha\beta\gamma} [(d_p^\alpha)^T C u_r^\beta] [(u_s^\gamma)^T C e_t]$		
$Q_{\varphi B}$	$\varphi^\dagger \varphi B_{\mu\nu} B^{\mu\nu}$	Q_{dW}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi q}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{q}_p \tau^I \gamma^\mu q_r)$	$Q_{lequ}^{(3)}$	$(\bar{l}_p^i \sigma_{\mu\nu} e_r) \varepsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$				
$Q_{\varphi \tilde{B}}$	$\varphi^\dagger \varphi \tilde{B}_{\mu\nu} \tilde{B}^{\mu\nu}$	$Q_{d\tilde{W}}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \varphi \tilde{W}_{\mu\nu}^I$	$Q_{\varphi u}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{u}_p \gamma^\mu u_r)$						
$Q_{\varphi WB}$	$\varphi^\dagger \tau^I \varphi W_{\mu\nu}^I B^{\mu\nu}$	$Q_{d\tilde{W}}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \varphi \tilde{W}_{\mu\nu}^I$	$Q_{\varphi d}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{d}_p \gamma^\mu d_r)$						
$Q_{\varphi \tilde{W}B}$	$\varphi^\dagger \tau^I \varphi \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	Q_{dB}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \varphi B_{\mu\nu}$	$Q_{\varphi ud}$	$i(\tilde{\varphi}^\dagger D_\mu \varphi)(\bar{u}_p \gamma^\mu d_r)$						

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➤ SMEFT pheon. in 3 steps

1. Matching

2. Running

Wilson [J. Aebischer et al, 1804.05033] DsixTools [J. F.-Martin et al, 2010.16341]

3. Mapping

Tools: FeynRules, MG5_aMC, Pythia etc

➤ Tree-level:

- Full tree-level matchings up to dim-7 are known, of new physics model with general scalar, spinor, and vector field content and arbitrary interactions.

[de Blas et al, 1711.10391]

[X-X. Li et al., 2307.10380]

➤ One-loop:

- **Diagrammatic methods:** MatchMakerEFT using QGRAF&FORM [A. Carmona et al, 2112.10787]
- **Functional methods:** Matchete based on Covariant Derivative Expansion(CDE)
- Matching to dim-6 is automatic, but higher order is not available. [J. F.-Martín et al, 2212.04510]

➤ On-shell matching development:

- The off-shell matching approach at high dimensional faces challenges such as an excessive number of operators and difficulties in simplification

- On-shell approach avoid the problem of operators reduction [XL&Zhou, 2309.10851]

[M. Chala et al, 2411.12798]

➤ Two-loop:

- Partial results obtained based on the CDE method [J. F.-Martín et al, 2311.13630]
[J. F.-Martín et al, 2412.12270]

- EFT is renormalizable order by order in $1/\Lambda$.

“Non-renormalizable theories... are as renormalizable as renormalizable theories”, Weinberg '2009

- Scale separation: coefficients are matched to **BSM at scale Λ** , but are probed at **much lower scales**
- Collider observables span a wide range of energies, therefore running and mixing effects of the theory are needed.

1. DY \sim TeV
2. Top physics $\sim m_{\text{top}}$
3. Higgs physics $\sim m_H$
4. LEP & EWPO $\sim m_Z$
5. Flavour $\sim m_b$

- For some processes, the RG-induced effects offer comparable/stronger bound compared to the tree-level constraints

[Aoude et al, 2212.05067] [Heinrich and Lang, 2409.19578]
[Di Noi, Gröber, 2312.11327] [R. Bartocci et al, 2412.09674]

RG mixing

$$\frac{dC_i(\mu)}{d\log\mu} = \frac{\alpha}{\pi} \gamma_{ij} C_j(\mu)$$

↘ Anomalous dimension matrix

- Important for scale uncertainty.
- assumptions about some coefficients being zero at low scales are not valid

➤ One-loop:

- Diagrammatic methods:

Anomalous dimensions are known for all operators up to dim-7.

[Chankow&Plucien, 9306333] [Manohar&Trott, 1308.2627] [R. Boughezal et al, 2408.15378]

- Functional methods:

Master formula for bosonic dimension-six operators using super-heat-kernel expansion.

[Buchalla et al, 1904.07840]

➤ Beyond one-loop:

- Two- and three-loop anomalous dimensions of **CP-violating gluonic operator**. [de Vries et al, 1907.04923]
- Two-loop **dim-5** anomalous dimensions is completed recently [A. Ibarra et al, 2411.08011]
- Two-loop RGE for **dim-6** operators is in progress [L. Born et al, 2410.07320] [G. Duhr et al, 2503.01954]

RG accuracy in SMEFT

	Dim-5	Dim-6	Dim-7	Dim-8
One-loop	✓	✓	✓	✓
Two-loop	✓	✓	✗	✗

- The dim-8 anomalous dimension matrix (ADM) has not been fully obtained
- The difficulty in calculating the dim-8 ADM:
 1. Very large operator set
 2. Time-consuming reduction process

(for the same reason, the UV dictionary remains incomplete)
- Positivity will help to understand dim-8 ADM

- Wilson coefficients in SMEFT are **highly correlated** and only **global analysis** can give meaningful results.

1. One operator influences different observables
2. One observable can be influenced by many operators

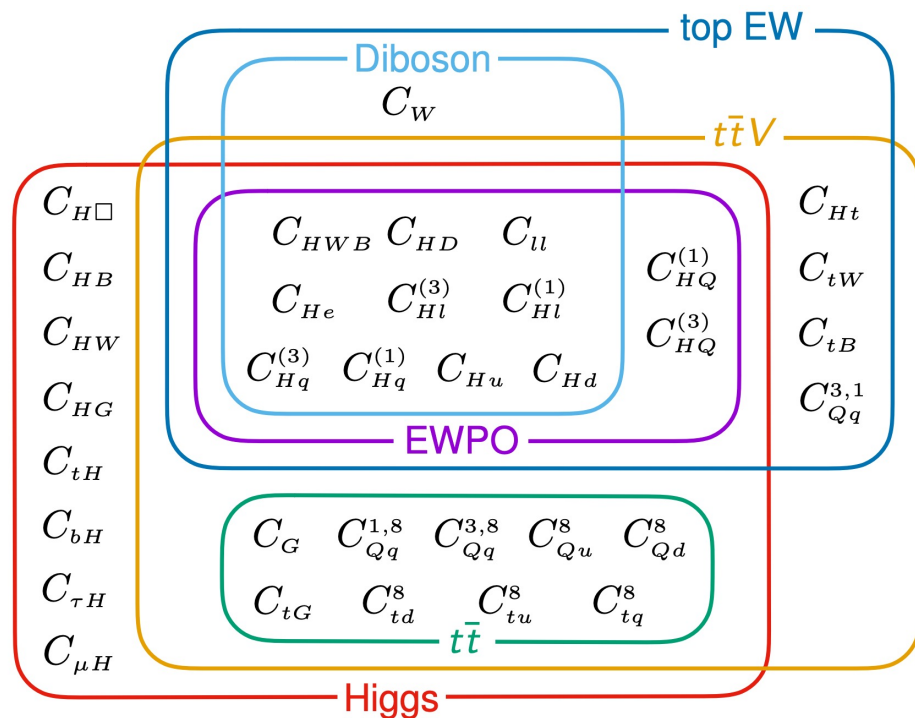
- Datasets: EWPOs, diboson, Higgs, Top, ...

- The Global-fit likelihood:

$$-2 \log \mathcal{L} = \frac{1}{n_{\text{dat}}} \sum_{i,j=1}^{n_{\text{dat}}} (\sigma_{i,\text{SMEFT}}(c) - \sigma_{i,\text{exp}}) (\text{cov}^{-1})_{ij} (\sigma_{j,\text{SMEFT}}(c) - \sigma_{j,\text{exp}})$$

$\mathcal{O}(1/\Lambda^2)$ or $\mathcal{O}(1/\Lambda^4)$

$\text{cov} = \text{cov}_{\text{th}} + \text{cov}_{\text{exp}}$



operator sets contributing to the individual datasets at LO

[J. Ellis et al., 2012.02779]



-
- Figure 1 displays two horizontal bar charts showing the 95% CL upper limits for various Wilson coefficients, comparing the 2F (2-flavor) and 4L (4-lepton) cases. The x-axis is logarithmic, ranging from 10^{-3} to 10^2 . A vertical purple line at 10^0 indicates the SM value.
- Top Chart (2F):** Shows limits for 21 Wilson coefficients. The orange bars represent the 2F limits, and the blue bars represent the 4L limits. The coefficients are: $C_{\varphi\varphi}$, $C_{\varphi\varphi}$, $C_{\varphi\varphi}$, $C_{\varphi\varphi}$, $C_{\varphi\varphi}$, $C_{\varphi\varphi}$, $C_{\varphi\varphi}^{(3)}$, $C_{\varphi\varphi}^{(3)}$, $C_{\varphi\varphi}^{(-)}$, $C_{\varphi\varphi}^{(-)}$, $C_{\varphi\varphi}$, $C_{\varphi\varphi}$, $C_{\varphi\varphi}$, $C_{\varphi\varphi}$, $C_{\varphi\varphi}$, $C_{\varphi\varphi}$, $C_{\varphi\varphi}$, $C_{\varphi\varphi}$, $C_{\varphi\varphi}$, $C_{\varphi\varphi}$, $C_{\varphi\varphi}$.
- Bottom Chart (4L):** Shows limits for 10 Wilson coefficients. The orange bars represent the 2F limits, and the blue bars represent the 4L limits. The coefficients are: $C_{\varphi G}$, $C_{\varphi B}$, $C_{\varphi W}$, $C_{\varphi WB}$, C_{WWWW} , $C_{\varphi\Box}$, $C_{\varphi D}$, $C_{\varphi D}$, $C_{\varphi D}$, $C_{\varphi D}$.
- Diagrams illustrating the interactions are shown on the right side of each chart. The 2F diagram shows a fermion-antifermion pair interacting via a scalar Higgs boson (h) and a vector boson (V). The 4L diagram shows a fermion-antifermion pair interacting via a vector boson (V). The 4L diagram also includes a diagram of a vector boson (V) interacting via a gauge boson (G).

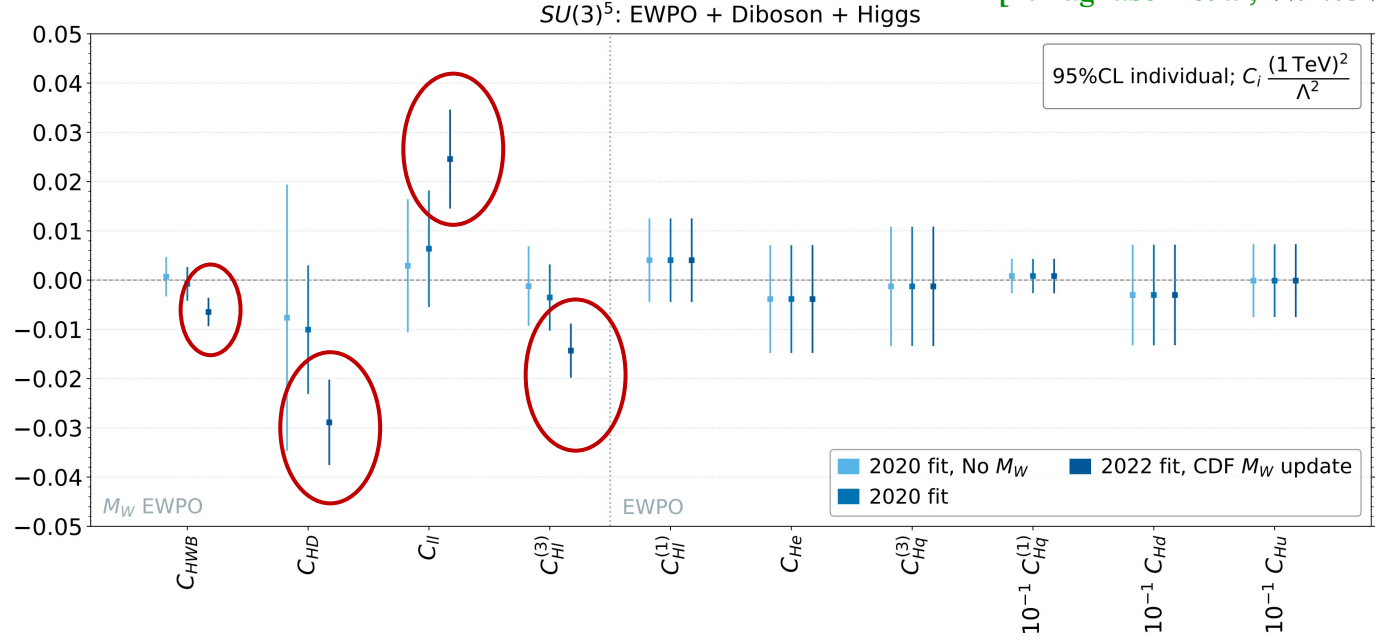
95% Confidence Level
Bounds (1/TeV²)

Inverse problem: inferring UV

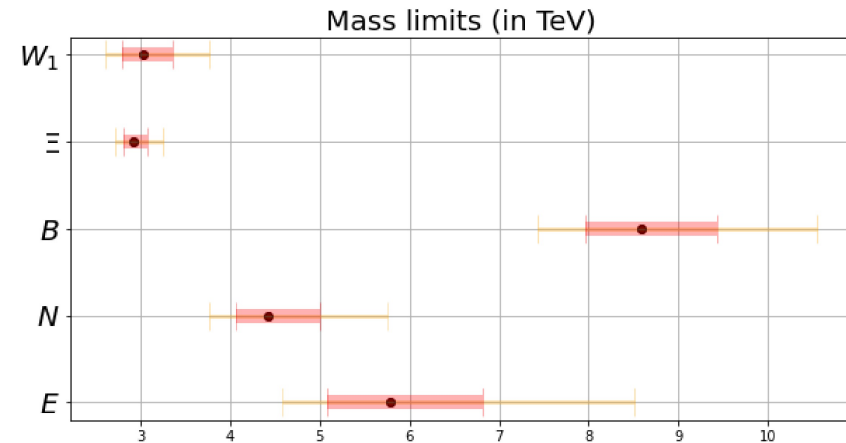
CDF measurement of m_W

[CDF Collaboration, 22']

[E. Bagnaschi et al, 2204.05260]



Model	C_{HD}	C_U	$C_{Hl}^{(3)}$	$C_{Hl}^{(1)}$	C_{He}	$C_{H\Box}$	$C_{\tau H}$	C_{tH}	C_{bH}
S_1		-1							
Σ			$\frac{1}{16}$	$\frac{3}{16}$			$\frac{y_\tau}{4}$		
Σ_1			$\frac{1}{16}$	$-\frac{3}{16}$			$\frac{y_\tau}{8}$		
N			$-\frac{1}{4}$	$\frac{1}{4}$					
E			$-\frac{1}{4}$	$-\frac{1}{4}$			$\frac{y_\tau}{2}$		
B_1	1					$-\frac{1}{2}$	$-\frac{y_\tau}{2}$	$-\frac{y_t}{2}$	$-\frac{y_b}{2}$
B	-2						$-y_\tau$	$-y_t$	$-y_b$
Ξ	$-2 \left(\frac{1}{M_\Xi} \right)^2$					$\frac{1}{2} \left(\frac{1}{M_\Xi} \right)^2$	$y_\tau \left(\frac{1}{M_\Xi} \right)^2$	$y_t \left(\frac{1}{M_\Xi} \right)^2$	$y_b \left(\frac{1}{M_\Xi} \right)^2$
W_1	$-\frac{1}{4}$					$-\frac{1}{8}$	$-\frac{y_\tau}{8}$	$-\frac{y_t}{8}$	$-\frac{y_b}{8}$
W	$\frac{1}{2}$					$-\frac{1}{2}$	$-y_\tau$	$-y_t$	$-y_b$



Likelihood in coeffs.

Log L(c)

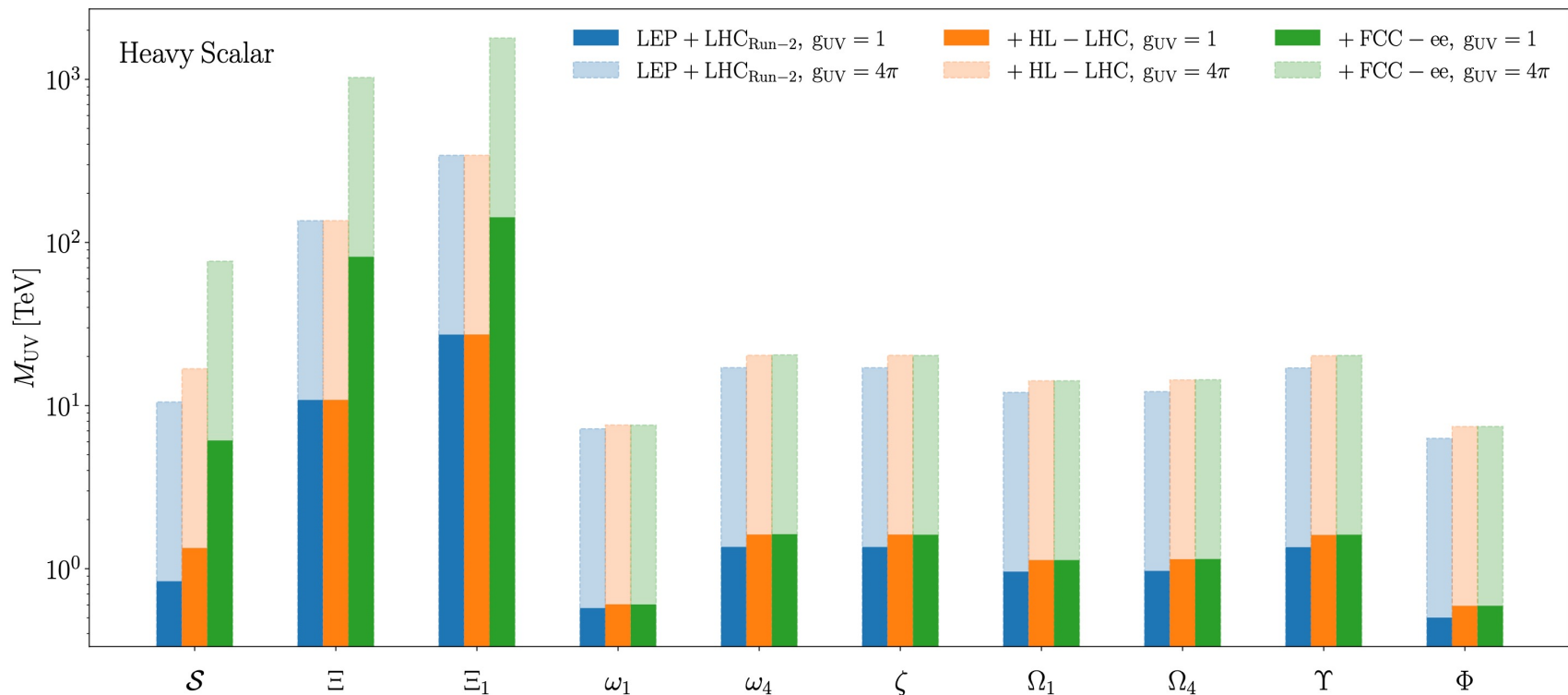
$$\frac{c_i}{\Lambda^2} = f(g_{UV}, m_{UV})$$

Likelihood in UV couplings

Log L(g_{UV} , m_{UV})

- Less parameters
- Stronger correlations
- Model dependent

Sensitivity to the BSM scale [E.Celada et al, 2404.12809]





Review on the SMEFT

- Introduction
- Global fit and inferring UV
- RGE running and mixing



Positivity bounds

- Convex cone approach
- Pheno. of the positivity and inverse problem
- Constraints on anomalous dimension matrix



Summary

All possible
Ultraviolet(UV) physics



Can they
take
arbitrary
value?

SMEFT : $\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i \frac{C_i^{(5)} O_i^{(5)}}{\Lambda} + \sum_i \frac{C_i^{(6)} O_i^{(6)}}{\Lambda^2} + \dots$

All possible
Ultraviolet(UV) physics



Can they
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arbitrary
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SMEFT : $\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i \frac{C_i^{(5)} O_i^{(5)}}{\Lambda} + \sum_i \frac{C_i^{(6)} O_i^{(6)}}{\Lambda^2} + \dots$

If UV physics satisfied **causality**,
unitarity, **Lorentz** symmetry,
crossing symmetry...

$$\left\{ \begin{array}{l} \sum_i a_i C_i \geq 0 \\ \dots \end{array} \right.$$

Positivity bounds are a set of
inequalities that
constrain Wilson Coeffs.

All possible
Ultraviolet(UV) physics



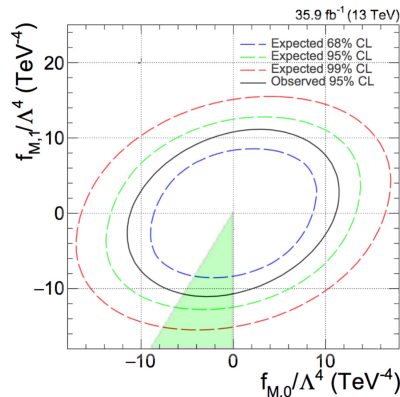
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If UV physics satisfied **causality**,
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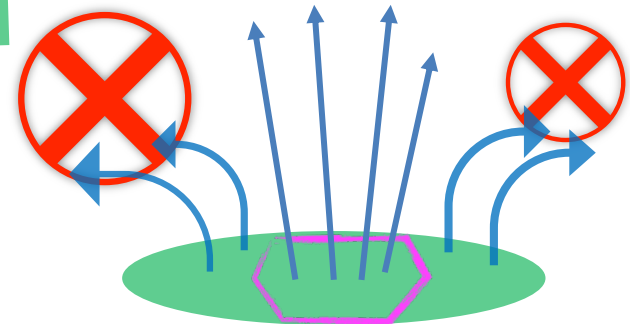
Positivity bounds are a set of
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$$\left\{ \begin{array}{l} \sum_i a_i C_i \geq 0 \\ \dots \end{array} \right.$$



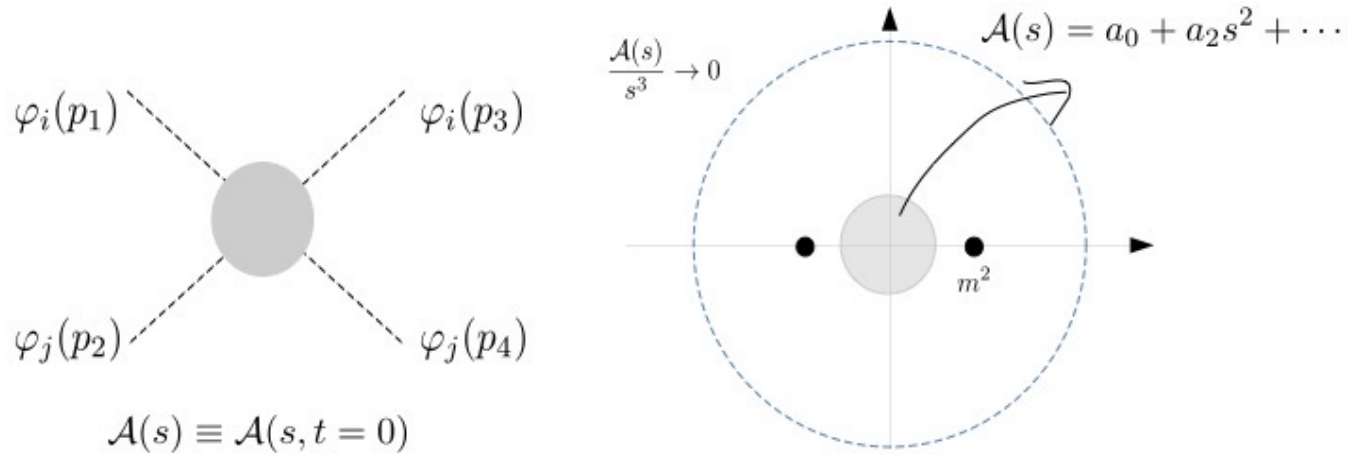
RG ADM
(zeros, signs)

Many UV models



- 2-to-2 tree forward amplitude (spin-0): [A. Adams, et al. JHEP 10 (2006) 014]

$$|i\rangle + |j\rangle \rightarrow |i\rangle + |j\rangle$$



$$0 = \sum \text{res} \frac{\mathcal{A}(s)}{s^3} = a_2 - \frac{1}{\pi} \int s \frac{\sigma(s)}{(m^2)^3} \Rightarrow a_2 > 0$$

- Apply it to SMEFT, the leading energy dependence only, s^2 , comes from Dim-8 operators

- To extract dim-8 effect, we consider:

$$M \equiv \frac{d^2 \mathcal{A}(s, 0)}{ds^2}$$

General 2-to-2 scattering of massless scalars at tree-level:

$$\hat{\mathcal{M}}(\hat{s}, t) = \sum_{n+m>0} c_{n,m} \hat{s}^n t^m$$

- $t = 0$
- $c_n, n \geq 2$
- One-field

Higher-dimension coef.

[N. Arkani-Hamed, et al. 2012.15849]
[S.D. Chowdhury, et al, 2112.11755]
[B. Bellazzini. et al. 2304.02550]

Formal theory or gravity EFT

- $t \neq 0$

Beyond the forward limit

[A. Tolley et al., 2011.02400]
[S. C-Huot. et al. 2011.02957]
[S. C-Huot. et al. 2201.06602]

- $t = 0$
- c_2
- Multi-field

We
focus

Bounds for multi-field

[T. Trott, 2011.10058]
[Zhang&Zhou 2005.03047]
[M. McCullough et al, 2312.03834]

SMEFT

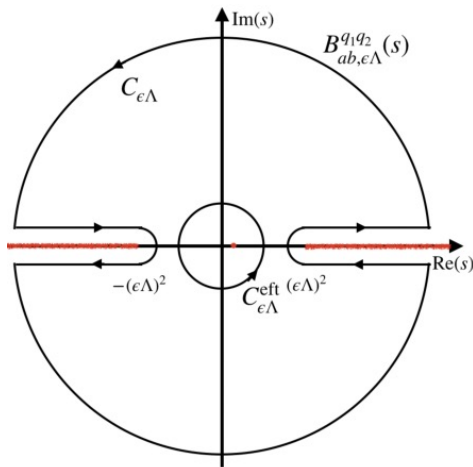
➤ Elastic approach

Superposition elastic: $M(|u\rangle + |v\rangle \rightarrow |u\rangle + |v\rangle) = u^i v^j u^{k*} v^{l*} M^{ijkl} \geq 0$

with $|u\rangle = u^i |i\rangle, |v\rangle = v^j |j\rangle$

➤ Convex cone approach(this talk focus)

Optical theorem: $\text{Disc} M(s) = i \sum_X \int d\Pi_X M_{ij \rightarrow X}(s + i\epsilon) M_{kl \rightarrow X}^*(s + i\epsilon)$



$$\frac{d^2}{ds^2} \mathcal{A}_{ij \rightarrow kl}(s, t=0) = \sum_X \int_{(\epsilon\Lambda)^2}^{\infty} ds \frac{\mathcal{A}_{ij \rightarrow X}(s, \Pi_X) \mathcal{A}_{kl \rightarrow X}^*(s, \Pi_X)}{\pi s^3} + (j \leftrightarrow l)$$

\swarrow $X = \text{BSM states}$ \searrow $\epsilon \leq 1$ \swarrow $s \rightarrow u$ crossing

[Q. Bi, et al, JHEP 06(2019) 137]

Master formula:

$$M^{ijkl} = \sum_X \int_{(\epsilon\Lambda)^2}^{\infty} \frac{ds}{\pi s^3} m_X^{ij} m_X^{kl*} + (j \leftrightarrow l)$$

Forward scattering amp, at low energy
(calculable in EFT), represented by Wilson coeff.

Amplitude of SM $\rightarrow X$

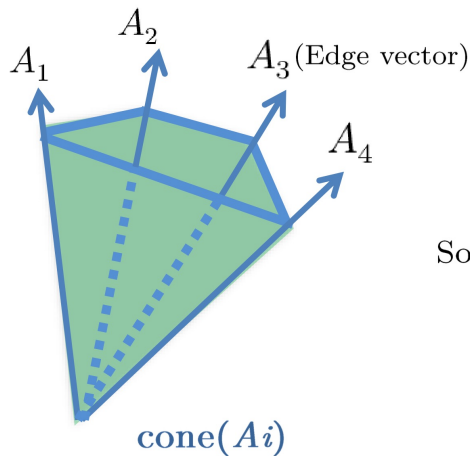
[Zhang&Zhou 2005.03047]

Master formula:

$$M^{ijkl} = \sum_X \int_{(\epsilon\Lambda)^2}^{\infty} \frac{ds}{\pi s^3} m_X^{ij} m_X^{kl*} + (j \leftrightarrow l)$$

M^{ijkl} is positive linear combinations of
 $m_X^{ij} m_X^{kl} + m_X^{il} m_X^{kj}$

➔ 1. M^{ijkl} is a convex cone



So

Any vector inside cone can always be written as **positive** linear combinations of A_i



Positivity bounds arise as boundary of cone!

[Q. Bi, et al, JHEP 06(2019) 137] [B. Fuks, et al, CPC 45 (2021) 2, 023108]

Edge vectors -> Extremal rays (ER)

2. X couple to i and j , X (UV) belong to the direct product space of i and j

$$\mathbf{r}_i \otimes \mathbf{r}_j = \mathbf{X}_1 \oplus \mathbf{X}_2 \oplus \dots$$

$$M^{ijkl} = \sum_X \int_{(\epsilon\Lambda)^2} \frac{d\mu}{\pi} \frac{m_X^{ij} m_X^{kl}}{(\mu - M^2/2)^3} + (j \leftrightarrow l)$$



$$M(ij \rightarrow X^\alpha) = \langle X | \mathcal{M} | \mathbf{X}_r \rangle C_{i,j}^{r,\alpha}$$

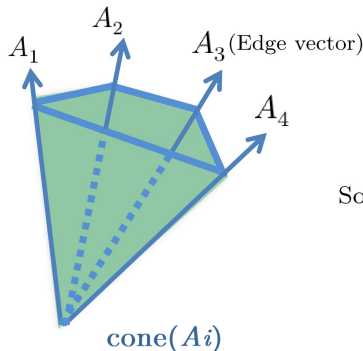
C is the CG coefficients for the direct sum decomposition of $\mathbf{r}_i \otimes \mathbf{r}_j$

$$M^{ijkl} = \int_{(\epsilon\Lambda)^2} d\mu \sum_{X \text{ in } \mathbf{X}_r} \frac{|\langle X | \mathcal{M} | \mathbf{X}_r \rangle|^2}{\pi(\mu - M^2/2)^3} P_r^{i(j|k|l)}$$

The only necessary information is

Projector: $P_r^{i(j|k|l)} \equiv \sum_\alpha C_{i,j}^{r,\alpha} (C_{k,l}^{r,\alpha})^*$

M^{ijkl} is a convex cone: $\text{cone} \left(\left\{ P_r^{i(j|k|l)} \right\} \right)$



So Edge vectors \rightarrow Extremal rays (ER) \rightarrow 1-particle extension

➤ UV state X lives in the irrep r

4-Higgs operators $2 \otimes 2 = 1 \oplus 3$

[Remmen&Rodd, 1908.09845]

[Zhang&Zhou 2005.03047]

$$O_{S,0} = [(D_\mu \Phi)^\dagger D_\nu \Phi] \times [(D^\mu \Phi)^\dagger D^\nu \Phi],$$

$$O_{S,1} = [(D_\mu \Phi)^\dagger D^\mu \Phi] \times [(D_\nu \Phi)^\dagger D^\nu \Phi],$$

$$O_{S,2} = [(D_\mu \Phi)^\dagger D_\nu \Phi] \times [(D^\nu \Phi)^\dagger D^\mu \Phi].$$

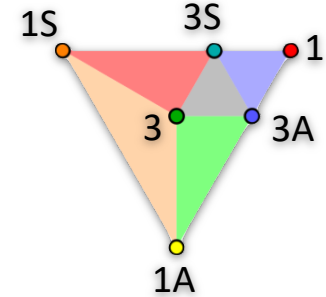


$$F_{S,0} \geq 0,$$

$$F_{S,0} + F_{S,2} \geq 0,$$

$$F_{S,0} + F_{S,1} + F_{S,2} \geq 0.$$

Triangular cone



4-W operators $3 \otimes 3 = 1 \oplus 3 \oplus 5$

$$O_{T,0} = \text{Tr}[\hat{W}_{\mu\nu} \hat{W}^{\mu\nu}] \text{Tr}[\hat{W}_{\alpha\beta} \hat{W}^{\alpha\beta}]$$

$$O_{T,2} = \text{Tr}[\hat{W}_{\alpha\mu} \hat{W}^{\mu\beta}] \text{Tr}[\hat{W}_{\beta\nu} \hat{W}^{\nu\alpha}]$$

$$O_{T,1} = \text{Tr}[\hat{W}_{\alpha\nu} \hat{W}^{\mu\beta}] \text{Tr}[\hat{W}_{\mu\beta} \hat{W}^{\alpha\nu}]$$

$$O_{T,10} = \text{Tr}[\hat{W}_{\mu\nu} \tilde{W}^{\mu\nu}] \text{Tr}[\hat{W}_{\alpha\beta} \tilde{W}^{\alpha\beta}]$$

[Q. Bi, et al, 1902.08977]

[K. Yamashita, et al, 2009.04490]



$$F_{T,2} \geq 0,$$

$$4F_{T,1} + F_{T,2} \geq 0,$$

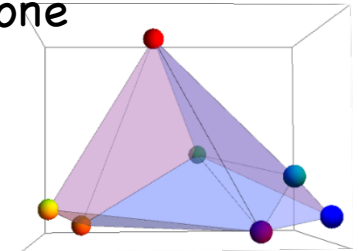
$$F_{T,2} + 8F_{T,10} \geq 0,$$

$$8F_{T,0} + 4F_{T,1} + 3F_{T,2} \geq 0,$$

$$12F_{T,0} + 4F_{T,1} + 5F_{T,2} + 4F_{T,10} \geq 0,$$

$$4F_{T,0} + 4F_{T,1} + 3F_{T,2} + 12F_{T,10} \geq 0.$$

6-facet 4D cone



4-electron operators

[B. Fuks, et al, 2009.02212]

$$O_1 = \partial^\alpha (\bar{e} \gamma^\mu e) \partial_\alpha (\bar{e} \gamma_\mu e),$$

$$O_2 = \partial^\alpha (\bar{e} \gamma^\mu e) \partial_\alpha (\bar{l} \gamma_\mu l),$$

$$O_3 = D^\alpha (\bar{e} l) D_\alpha (\bar{l} e),$$

$$O_4 = \partial^\alpha (\bar{l} \gamma^\mu l) \partial_\alpha (\bar{l} \gamma_\mu l),$$

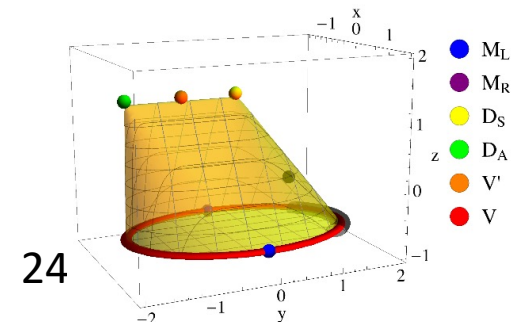


$$C_1 \leq 0, C_3 \geq 0, C_4 \leq 0,$$

$$2\sqrt{C_1 C_4} \geq C_2$$

$$2\sqrt{C_1 C_4} \geq -(C_2 + C_3)$$

4D “circular cone”



More bounds see: [C. Zhang, 2112.11665] [XL&Chala, arXiv: 2309.16611]

- Since positivity imposes constraints on dim-8 coeffs., we should identify observables that are sensitive to dim-8 operators.

1. ZZ and Z γ production

[Bellazzini&Riva, 1806.09640]

2. Quartic gauge-boson coupling (aQGC)

[Q. Bi, et al. 1902.08977] [K. Yamashita et al, 2009.04490]

3. e⁺e⁻ to $\gamma\gamma$

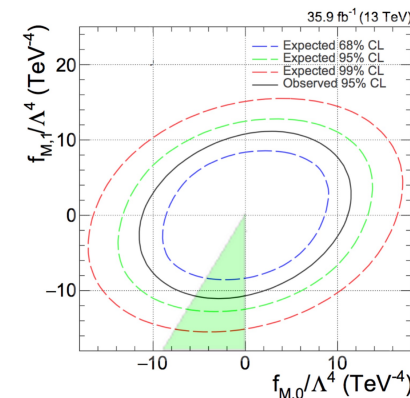
[J. Gu, et al. 2011.03055]

4. Higher angular coeffs. in DY

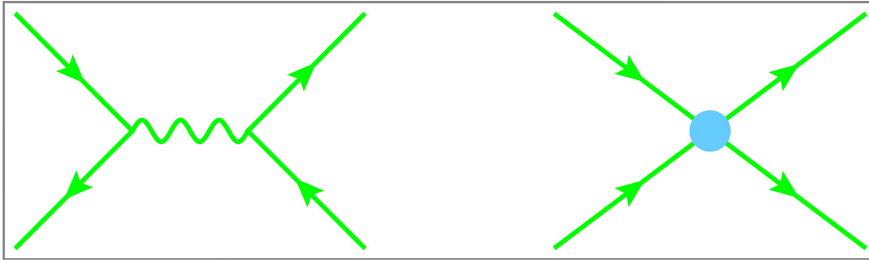
[S.Alioli, et al. 2003.11615] [XL et al. 2204.13121]

5. e⁺e⁻ to e⁺e⁻

[B. Fuks, et al, 2009.02212]



- In the ideal case, we are able to infer UV information from convex cone viewpoint based on pheno. studies



$$O_1 = \partial^\alpha (\bar{e} \gamma^\mu e) \partial_\alpha (\bar{e} \gamma_\mu e) ,$$

$$O_2 = \partial^\alpha (\bar{e} \gamma^\mu e) \partial_\alpha (\bar{l} \gamma_\mu l) ,$$

$$O_3 = D^\alpha (\bar{e} l) D_\alpha (\bar{l} e) ,$$

$$O_4 = \partial^\alpha (\bar{l} \gamma^\mu l) \partial_\alpha (\bar{l} \gamma_\mu l) ,$$

$$~~O_5 = D^\alpha (\bar{l} \gamma^\mu \tau^I l) D_\alpha (\bar{l} \gamma_\mu \tau^I l) ,~~$$

In $ee \rightarrow ee$, C_5 does not give an independent contribution:

$$\vec{C}^{(8)} = (C_1, C_2, C_3, C_4)$$

UV states and interactions

Scalar			Vector	
$D \equiv \mathbf{2}_{1/2}$	$M_L \equiv \mathbf{1}_1$	$M_R \equiv \mathbf{1}_2$	$V \equiv \mathbf{1}_0$	$V' \equiv \mathbf{2}_{-3/2}$

$$\begin{aligned} \mathcal{L}_{\text{int}} = & g_{Di} \bar{L} e D_i + g_{M_L i} \bar{L}^c \epsilon L M_{Li} + g_{M_R i} \bar{e}^c e M_{Ri} \\ & + g_{Vi} (\bar{L} \gamma^\mu L + \kappa_i \bar{e} \gamma^\mu e) V_{i\mu} + g_{V'i} (\bar{e}^c \gamma^\mu L) V_i'^\dagger \\ & + \text{h.c.}, \end{aligned}$$



Projectors:

$$\vec{c}_D^{(8)} = (0, 0, 1, 0),$$

$$\vec{c}_{M_L}^{(8)} = (0, 0, 0, -1),$$

$$\vec{c}_{M_R}^{(8)} = (-1, 0, 0, 0),$$

$$\vec{c}_{V'}^{(8)} = (0, 0, -1, 2),$$

$$\vec{c}_{V(\kappa)}^{(8)} = (-\kappa^2/2, -\kappa, 0, -1/2).$$

bounds

$$C_1 \leq 0,$$

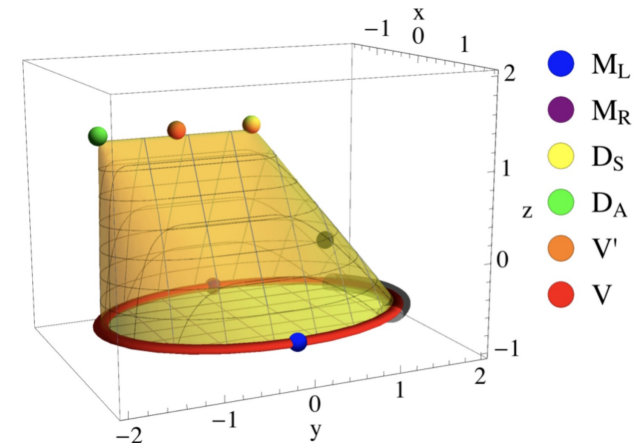
$$C_4 + C_5 \leq 0,$$

$$C_5 \leq 0,$$

$$C_3 \geq 0,$$

$$2\sqrt{C_1(C_4 + C_5)} \geq C_2,$$

$$2\sqrt{C_1(C_4 + C_5)} \geq -(C_2 + C_3).$$

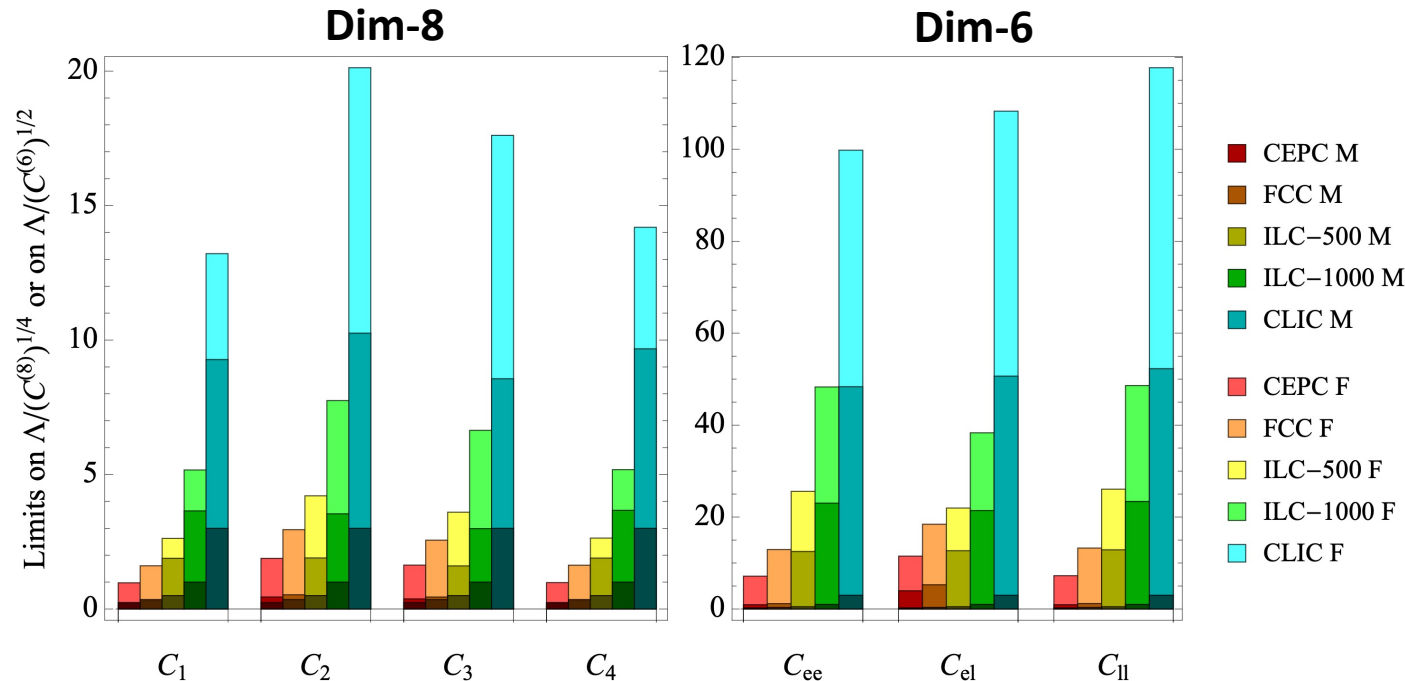


e⁺e⁻ scattering at future lepton collider

Different future collider
operation runs

Scenario	Beam polarization $P(e^-, e^+)$	Runs (luminosity @ energy), [ab ⁻¹] @ [GeV]			
		1	2	3	4
CEPC	None	2.6@161	5.6@240		
FCC-ee	None	10@161	5@240	0.2@350	1.5@365
ILC-500	(-80%, 30%)	0.9@250	0.135@350	1.6@500	
	(80%, -30%)	0.9@250	0.045@350	1.6@500	
ILC-1000	(-80%, 30%)	0.9@250	0.135@350	1.6@500	1.25@1000
	(80%, -30%)	0.9@250	0.045@350	1.6@500	1.25@1000
CLIC	(-80%, 0%)	0.5@380	2@1500	4@3000	
	(80%, 0%)	0.5@380	0.5@1500	1@3000	

Limits on the new physics
characterization scale,
(the darkest color the
largest center-of-mass
energy of each collider
project)



Inverse problem: dim-6 case

- Assume D-type scalar extension, $g_D = 0.8$, $M_D = 2 \text{ TeV}$

Scalar			Vector	
$D \equiv \mathbf{2}_{1/2}$	$M_L \equiv \mathbf{1}_1$	$M_R \equiv \mathbf{1}_2$	$V \equiv \mathbf{1}_0$	$V' \equiv \mathbf{2}_{-3/2}$

$$\mathcal{L}_{\text{int}} = g_{Di} \bar{L} e D_i + g_{MLi} \bar{L}^c \epsilon L M_{Li} + g_{MRi} \bar{e}^c e M_{Ri} + g_{Vi} (\bar{L} \gamma^\mu L + \kappa_i \bar{e} \gamma^\mu e) V_{i\mu} + g_{V'i} (\bar{e}^c \gamma^\mu L) V_i'^\mu + \text{h.c.},$$

- What can we observe at ILC(1 TeV run)?
global fit result →

$$C_{ee} = 0 \pm 0.0024, \quad C_{el} = -0.08 \pm 0.0035, \\ C_{ll} = 0 \pm 0.0023, \\ C_1 = 0 \pm 0.0074, \quad C_2 = 0 \pm 0.0077, \\ C_3 = 0.04 \pm 0.020, \quad C_4 = 0 \pm 0.0071.$$

- What to conclude at dim-6?

- Individual case: SM is extended by one-particle

$$-C_{el} = \frac{g_D^2}{2M_D^2} \longrightarrow M_D/g_D \in [2.45, 2.56] \text{ TeV}$$

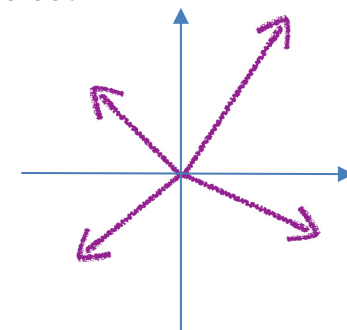
Great!

But we can not exclude other possibilities

- Marginalized case: SM is extended by more particles

$$-C_{el} = \frac{g_D^2}{2M_D^2} - \frac{g_{V'}^2}{M_{V'}^2} = 0.08 \pm 0.0035 \text{ TeV}^{-2}$$

useless



- What can we conclude at dim-8?

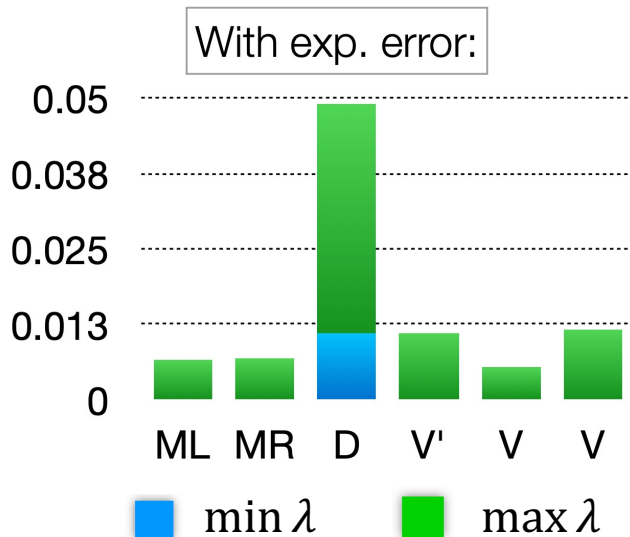
$$\vec{C}^{(8)} = \sum_X w_X \vec{c}_X^{(8)}, \quad \text{with } w_X = \sum_i w_{Xi} \geq 0$$

- Strategy to exclude UV:

$$\lambda_{\max} \equiv \max_{\lambda} \left[\vec{C}^{(8)} - \lambda \vec{c}_{X'}^{(8)} \in \mathcal{C}; \chi^2(\vec{C}, \vec{C}_0) \leq \chi_c^2 \right]$$

The maximal prob. that X' doesn't break positivity and explains data

➔ The upper bounds on $\lambda_{\max} \geq \frac{g_i^2}{M_i^4}$



$$\begin{aligned} \vec{c}_D^{(8)} &= (0, 0, 1, 0), \\ \vec{c}_{M_L}^{(8)} &= (0, 0, 0, -1), \\ \vec{c}_{M_R}^{(8)} &= (-1, 0, 0, 0), \\ \vec{c}_{V'}^{(8)} &= (0, 0, -1, 2), \\ \vec{c}_{V(\kappa)}^{(8)} &= (-\kappa^2/2, -\kappa, 0, -1/2). \end{aligned}$$

$$\begin{aligned} C_{ee} &= 0 \pm 0.0024, & C_{el} &= -0.08 \pm 0.0035, \\ C_{ll} &= 0 \pm 0.0023, \\ C_1 &= 0 \pm 0.0074, & C_2 &= 0 \pm 0.0077, \\ C_3 &= 0.04 \pm 0.020, & C_4 &= 0 \pm 0.0071. \end{aligned}$$

X	$\vec{c}_X^{(8)}$	λ_{\max}	$M_X/\sqrt{g_X}$
M_L	$(0, 0, 0, -1)$	0.0067	≥ 3.5 TeV
M_R	$(-1, 0, 0, 0)$	0.0069	≥ 3.5 TeV
V (with $\kappa = 1$)	$(-1/2, -1, 0, -1/2)$	0.0055	≥ 3.7 TeV
V (with $\kappa = -1$)	$(-1/2, 1, 0, -1/2)$	0.0116	≥ 3.0 TeV
V'	$(0, -1, 2, 0)$	0.0109	≥ 3.1 TeV

$$M_D/\sqrt{g_D} \in [2.1, 3.1] \text{ TeV} \quad \text{Great!}$$

not only obtain limit, but also exclude other particles

Remarks

1. Dim-6 measurement, solving inverse problem can correctly obtain $M_D/g_D \in [2.45, 2.56]$ TeV by assuming one-particle extension
2. But we cannot solve the inverse problem when considering extensions with more particles, as their contributions cancel each other out
3. Dim-8 measurement would universally exclude all alternative models, independent of any model assumptions.
4. It can be understood that in dim-8 space, each UV state contributes positively and will not be canceled out

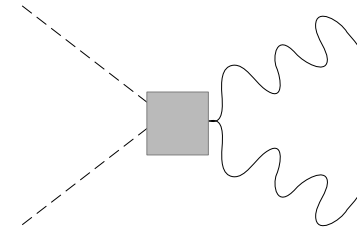
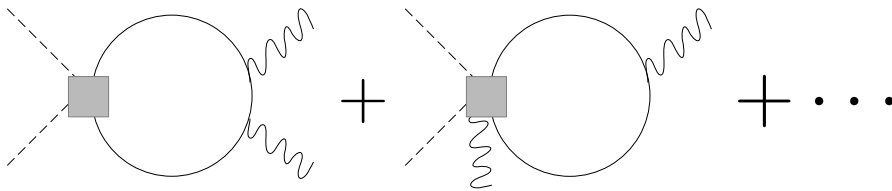
Observation: There are zeros in mixing of specific operators of different classes

$$\mathcal{O}_{e^2\phi^2 D^3}^{(1)} = i(\bar{e}\gamma^\mu D^\nu e)(D_{(\mu}D_{\nu)}\phi^\dagger\phi) + \text{h.c.}$$



$$\mathcal{O}_{B^2\phi^2 D^2}^{(1)} = (D^\mu\phi^\dagger D^\nu\phi)B_{\mu\rho}B_\nu^\rho$$

$$\mathcal{O}_{e^2\phi^2 D^3}^{(2)} = i(\bar{e}\gamma^\mu D^\nu e)(\phi^\dagger D_{(\mu}D_{\nu)}\phi) + \text{h.c.}$$



$$\underbrace{\mathcal{O}_{e^2\phi^2 D^3}^{(1)} - \mathcal{O}_{e^2\phi^2 D^3}^{(2)}}_{\tilde{\mathcal{O}}_{e^2\phi^2 D^3}^{(1)}} \not\rightarrow \mathcal{O}_{B^2\phi^2 D^2}^{(1)}$$

- It is not so clear how to anticipate them, not even with amplitude methods
- It can be understood from the positivity perspective.

➤ Positivity perspective, we have: $-c_{B^2\phi^2 D^2}^{(1)} \geq 0$. $-c_{e^2\phi^2 D^3}^{(1)} - c_{e^2\phi^2 D^3}^{(2)} \geq 0$.

➤ Running of $c_{B^2\phi^2 D^2}^{(1)}$ is positive!  $\dot{c}_{B^2\phi^2 D^2}^{(1)} \geq 0$ [M. Chala, 2301.09995]

➤ The positivity should be satisfied in any UV

➤ The beta function can only be linear in the coefficients combinations:

$$\dot{c}_{B^2\phi^2 D^2}^{(1)} = \alpha \left(c_{e^2\phi^2 D^2}^{(1)} + c_{e^2\phi^2 D^2}^{(2)} \right) \quad \text{with } \alpha < 0$$

$$\text{green arrow} \rightarrow \underbrace{\mathcal{O}_{e^2\phi^2 D^3}^{(1)} - \mathcal{O}_{e^2\phi^2 D^3}^{(2)}}_{\tilde{\mathcal{O}}_{e^2\phi^2 D^3}^{(1)}} \not\rightarrow \mathcal{O}_{B^2\phi^2 D^2}^{(1)}$$

We not only determine the zero value, and but also fix the sign of α !

In general:

- From positivity, some tree-level \mathcal{O}_i obey $c_i \geq 0$
- If \mathcal{O}_i involves fields not present in \mathcal{O}_j or \mathcal{C}_j not constrained by positivity, then $\gamma_{ij} = 0$

Full electroweak SMEFT (with no flavour)

	$c_{\phi^4 D^4}^{(1)}$	$c_{\phi^4 D^4}^{(2)}$	$c_{\phi^4 D^4}^{(3)}$	$\tilde{c}_{e^2 \phi^2 D^3}^{(1)}$	$\tilde{c}_{e^2 \phi^2 D^3}^{(2)}$	$\tilde{c}_{l^2 \phi^2 D^3}^{(1)}$	$\tilde{c}_{l^2 \phi^2 D^3}^{(2)}$	$\tilde{c}_{l^2 \phi^2 D^3}^{(3)}$	$\tilde{c}_{l^2 \phi^2 D^3}^{(4)}$	$c_{e^4 D^2}$	$c_{l^4 D^2}^{(1)}$	$c_{l^4 D^2}^{(2)}$	$c_{l^2 e^2 D^2}^{(1)}$	$c_{l^2 e^2 D^2}^{(2)}$
$c_{B^2 \phi^2 D^2}^{(1)}$	+	+	+	0	—	0	—	0	—	0	0	0	0	0
$c_{W^2 \phi^2 D^2}^{(1)}$	+	+	+	0	0	0	—	0	—	0	0	0	0	0
$\tilde{c}_{e^2 \phi^2 D^3}^{(2)}$	+	+	+	×	×	0	—	0	—	—	0	0	0	—
$\tilde{c}_{l^2 \phi^2 D^3}^{(2)}$	+	+	+	0	—	×	×	×	×	0	—	—	0	—
$\tilde{c}_{l^2 \phi^2 D^3}^{(4)}$	+	+	+	0	—	×	×	×	×	0	—	—	0	—
$c_{e^2 B^2 D}$	0	0	0	0	—	0	0	0	0	—	0	0	0	—
$c_{l^2 B^2 D}$	0	0	0	0	0	0	—	0	—	0	—	—	0	—
$c_{e^2 W^2 D}$	0	0	0	0	—	0	0	0	0	0	0	0	0	—
$c_{l^2 W^2 D}^{(1)}$	0	0	0	0	0	0	—	0	—	0	—	—	0	0
$c_{l^2 e^2 D^2}^{(2)}$	0	0	0	0	—	0	—	0	—	—	—	—	×	×

with SU(3), see [\[XL&Chala, 2309.16611\]](#)

Full electroweak SMEFT (with no flavour)

	$c_{\phi^4 D^4}^{(1)}$	$c_{\phi^4 D^4}^{(2)}$	$c_{\phi^4 D^4}^{(3)}$	$\tilde{c}_{e^2 \phi^2 D^3}^{(1)}$	$\tilde{c}_{e^2 \phi^2 D^3}^{(2)}$	$\tilde{c}_{l^2 \phi^2 D^3}^{(1)}$	$\tilde{c}_{l^2 \phi^2 D^3}^{(2)}$	$\tilde{c}_{l^2 \phi^2 D^3}^{(3)}$	$\tilde{c}_{l^2 \phi^2 D^3}^{(4)}$	$c_{e^4 D^2}$	$c_{l^4 D^2}^{(1)}$	$c_{l^4 D^2}^{(2)}$	$c_{l^2 e^2 D^2}^{(1)}$	$c_{l^2 e^2 D^2}^{(2)}$
$c_{B^2 \phi^2 D^2}^{(1)}$	$\frac{g^2}{3}$	$\frac{g^2}{2}$	$\frac{g^2}{6}$	0	—	0	—	0	—	0	0	0	0	0
$c_{W^2 \phi^2 D^2}^{(1)}$	+	+	+	0	0	0	—	0	—	0	0	0	0	0
$\tilde{c}_{e^2 \phi^2 D^3}^{(2)}$	+	+	+	×	×	0	—	0	—	—	0	0	0	—
$\tilde{c}_{l^2 \phi^2 D^3}^{(2)}$	+	+	+	0	—	×	×	×	×	0	—	—	0	—
$\tilde{c}_{l^2 \phi^2 D^3}^{(4)}$	+	+	+	0	—	×	×	×	×	0	—	—	0	—
$c_{e^2 B^2 D}$	0	0	0	0	—	0	0	0	0	—	0	0	0	—
$c_{l^2 B^2 D}$	0	0	0	0	0	0	—	0	—	0	—	—	0	—
$c_{e^2 W^2 D}$	0	0	0	0	—	0	0	0	0	0	0	0	0	—
$c_{l^2 W^2 D}^{(1)}$	0	0	0	0	0	0	—	0	—	0	—	—	0	0
$c_{l^2 e^2 D^2}^{(2)}$	0	0	0	0	—	0	—	0	—	—	—	—	×	×

with SU(3), see [\[XL&Chala, 2309.16611\]](#)

Full electroweak SMEFT (with no flavour)

	$c_{\phi^4 D^4}^{(1)}$	$c_{\phi^4 D^4}^{(2)}$	$c_{\phi^4 D^4}^{(3)}$	$\tilde{c}_{e^2 \phi^2 D^3}^{(1)}$	$\tilde{c}_{e^2 \phi^2 D^3}^{(2)}$	$\tilde{c}_{l^2 \phi^2 D^3}^{(1)}$	$\tilde{c}_{l^2 \phi^2 D^3}^{(2)}$	$\tilde{c}_{l^2 \phi^2 D^3}^{(3)}$	$\tilde{c}_{l^2 \phi^2 D^3}^{(4)}$	$c_{e^4 D^2}$	$c_{l^4 D^2}^{(1)}$	$c_{l^4 D^2}^{(2)}$	$c_{l^2 e^2 D^2}^{(1)}$	$c_{l^2 e^2 D^2}^{(2)}$
$c_{B^2 \phi^2 D^2}^{(1)}$	$\frac{g^2}{3}$	$\frac{g^2}{2}$	$\frac{g^2}{6}$	0	—	0	—	0	—	0	0	0	0	0
$c_{W^2 \phi^2 D^2}^{(1)}$	+	+	+	0	0	0	—	0	—	0	0	0	0	0
$\tilde{c}_{e^2 \phi^2 D^3}^{(2)}$	+	+	+	×	×	0	$-\frac{4 Y ^2}{3}$	0	—	—	0	0	0	—
$\tilde{c}_{l^2 \phi^2 D^3}^{(2)}$	+	+	+	0	—	×	×	×	×	0	—	—	0	—
$\tilde{c}_{l^2 \phi^2 D^3}^{(4)}$	+	+	+	0	—	×	×	×	×	0	—	—	0	—
$c_{e^2 B^2 D}$	0	0	0	0	—	0	0	0	0	—	0	0	0	—
$c_{l^2 B^2 D}$	0	0	0	0	0	0	—	0	—	0	—	—	0	—
$c_{e^2 W^2 D}$	0	0	0	0	—	0	0	0	0	0	0	0	0	—
$c_{l^2 W^2 D}^{(1)}$	0	0	0	0	0	0	—	0	—	0	—	—	0	0
$c_{l^2 e^2 D^2}^{(2)}$	0	0	0	0	—	0	—	0	—	—	—	—	×	×

with SU(3), see [\[XL&Chala, 2309.16611\]](#)

Full electroweak SMEFT (with no flavour)

	$c_{\phi^4 D^4}^{(1)}$	$c_{\phi^4 D^4}^{(2)}$	$c_{\phi^4 D^4}^{(3)}$	$\tilde{c}_{e^2 \phi^2 D^3}^{(1)}$	$\tilde{c}_{e^2 \phi^2 D^3}^{(2)}$	$\tilde{c}_{l^2 \phi^2 D^3}^{(1)}$	$\tilde{c}_{l^2 \phi^2 D^3}^{(2)}$	$\tilde{c}_{l^2 \phi^2 D^3}^{(3)}$	$\tilde{c}_{l^2 \phi^2 D^3}^{(4)}$	$c_{e^4 D^2}$	$c_{l^4 D^2}^{(1)}$	$c_{l^4 D^2}^{(2)}$	$c_{l^2 e^2 D^2}^{(1)}$	$c_{l^2 e^2 D^2}^{(2)}$
$c_{B^2 \phi^2 D^2}^{(1)}$	$\frac{g^2}{3}$	$\frac{g^2}{2}$	$\frac{g^2}{6}$	0	—	0	—	0	—	0	0	0	0	0
$c_{W^2 \phi^2 D^2}^{(1)}$	+	+	+	0	0	0	—	0	—	0	0	0	0	0
$\tilde{c}_{e^2 \phi^2 D^3}^{(2)}$	+	+	+	$g^2 - Y ^2$	×	0	$-\frac{4 Y ^2}{3}$	0	—	—	0	0	0	—
$\tilde{c}_{l^2 \phi^2 D^3}^{(2)}$	+	+	+	0	—	×	×	×	×	0	—	—	0	—
$\tilde{c}_{l^2 \phi^2 D^3}^{(4)}$	+	+	+	0	—	×	×	×	×	0	—	—	0	—
$c_{e^2 B^2 D}$	0	0	0	0	—	0	0	0	0	—	0	0	0	—
$c_{l^2 B^2 D}$	0	0	0	0	0	0	—	0	—	0	—	—	0	—
$c_{e^2 W^2 D}$	0	0	0	0	—	0	0	0	0	0	0	0	0	—
$c_{l^2 W^2 D}^{(1)}$	0	0	0	0	0	0	—	0	—	0	—	—	0	0
$c_{l^2 e^2 D^2}^{(2)}$	0	0	0	0	—	0	—	0	—	—	—	—	×	×

with SU(3), see [\[XL&Chala, 2309.16611\]](#)

- SMEFT is a useful tool for precise physics study in searching for NP, significant advancements have been made in both phenomenology and theory.
- Positivity structures arise at the dim-8 level in EFT coefficient space, as a consequence of axiomatic QFT principles.
- Positivity application in SMEFT is a lively field, but the phenomenological relevance of dim-8 physics still to be fully understood
- The positivity bounds can be used to study inverse problem, infer UV information based on the geometry perspective
- Positivity bounds on dimension-8 interactions restrict different aspects of (certain) their anomalous dimensions (zeros, signs, inequalities)

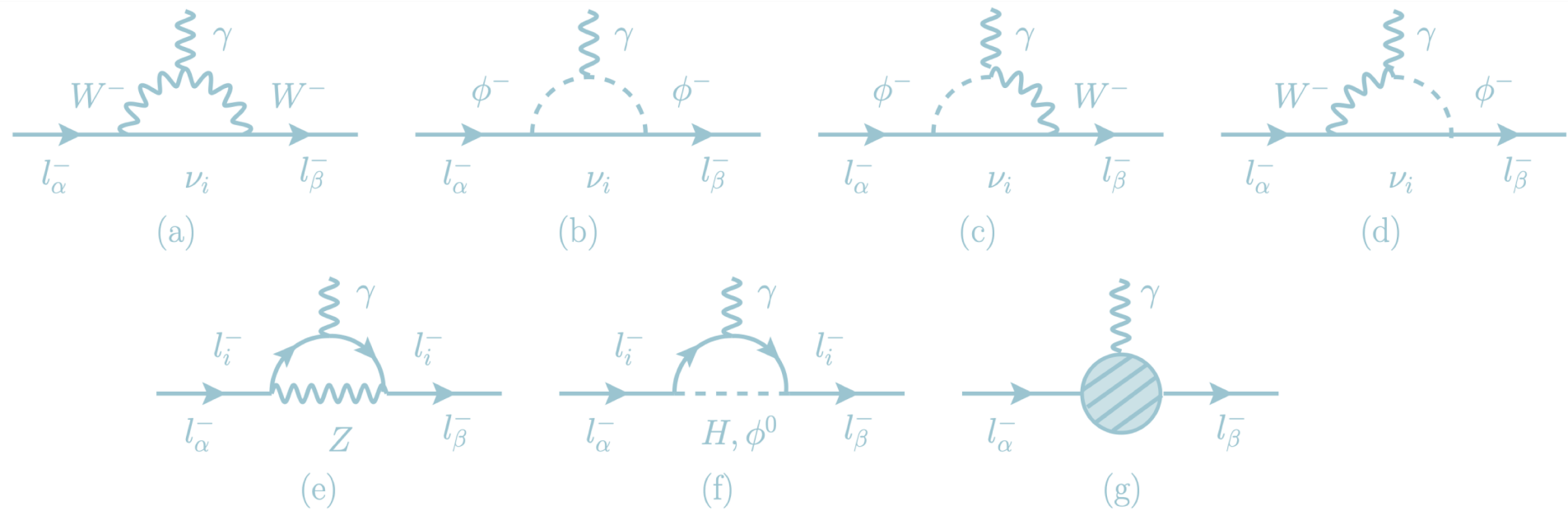


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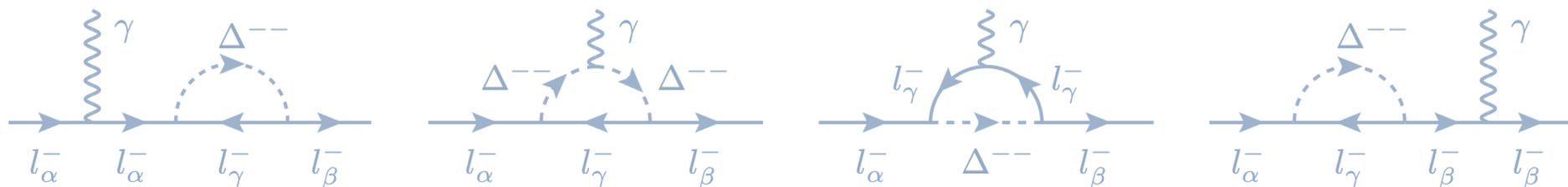
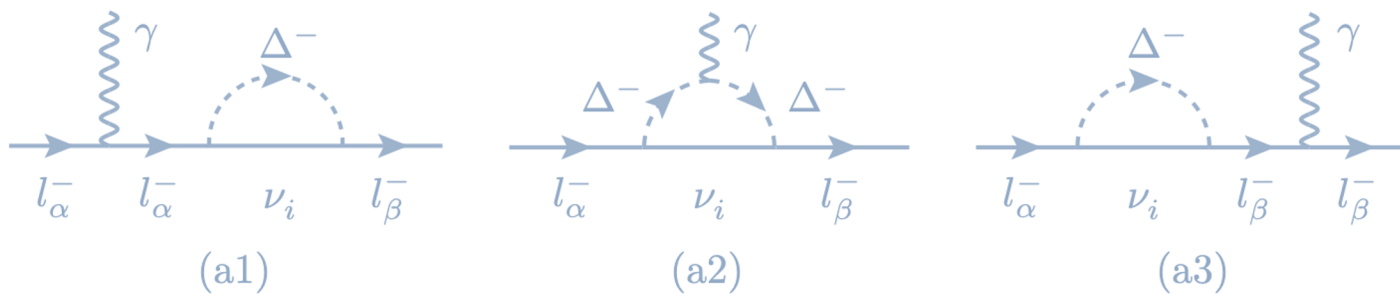
Thanks for your attention

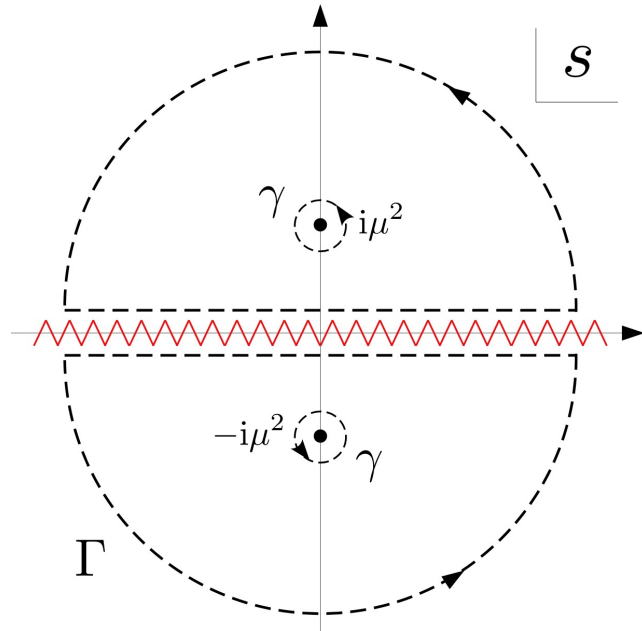
This talk collects the following references:

- Cen Zhang's talk @ Positivity and the Bootstrap Workshop “Positivity bounds in SMEFT and the inverse problem”, 2021 online
- Mikael Chala's talk @ 5th NPKI workshop “Understanding the quantum structure of the dimension-8 SMEFT”, 2023, Busan



Backup





s

Consider the simplified EFT with only U(1) symmetry

$$\begin{aligned}\Sigma(\mu) &\equiv \frac{1}{2\pi i} \int_{\gamma} \frac{\mathcal{A}(s)s^3}{(s^2 + \mu^4)^3} = \frac{1}{2\pi i} \int_{\Gamma} \frac{\mathcal{A}(s)s^3}{(s^2 + \mu^4)^3}, \\ &= \frac{1}{4\mu^4} [\underbrace{\beta_0}_{\text{higher } g_1} - 3\beta_2\mu^4 + \underbrace{5\beta_4\mu^8}_{\text{higher } \mu} + \dots] \geq 0\end{aligned}$$

for $\mu \leq \mu'$ and $g_1 \leq g'_1$

$$\frac{4}{3} \Sigma(\mu) \approx -\beta_2 \geq 0$$

$$\gamma_{ij}c_j^{(8)} + \gamma'_{ijk}c_j^{(6)}c_k^{(6)}$$

$$\mathcal{A}(s) \sim -(\beta_0 + \beta_2 s^2 + \beta_4 s^4 + \dots) \log \frac{s}{\Lambda^2}$$

1. We have $\gamma_{ij}c_j^{(8)} \leq 0$ provided the γ' vanishes
2. The c_i 's own anomalous dimension will not be constrained
3. If $c_j^{(8)}$ is not constrained, $\gamma_{ij} = 0$

- ♦ If all dim-8 coefficients are consistent with 0, all states can be excluded to above certain scales

- ♦ Not possible at dim-6

$$\begin{aligned} &\text{maximum } \lambda \\ &\text{subject to } \vec{C} - \lambda \vec{C}_k \in \mathbf{C} \\ &\text{and } \chi^2(\vec{C}, \vec{C}_{\text{EXP}}) \leq \chi_c^2 \end{aligned}$$

X	λ_{max}	$M_X/\sqrt{g_X}$
D	0.0076	$\geq 3.4 \text{ TeV}$
M_L	0.0053	$\geq 3.7 \text{ TeV}$
M_R	0.0054	$\geq 3.7 \text{ TeV}$
V'	0.0056	$\geq 3.7 \text{ TeV}$
V (with $\kappa = 1$)	0.0041	$\geq 4.0 \text{ TeV}$
V (with $\kappa = -1$)	0.0041	$\geq 4.0 \text{ TeV}$

