

1 PREPARED FOR SUBMISSION TO JINST

2 **Performance of a capillary-tube fibre-based**  
3 **dual-readout calorimeter**

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5 **KEYWORDS:** Dual-readout calorimetry, Cherenkov light, optical fibres, SiPM

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## 22 1 Introduction

23 Dual-readout calorimetry [1] is a compelling technique, actively investigated by several groups as  
 24 an option to reach excellent hadronic calorimetric resolution at future lepton colliders, (such as, for  
 25 instance, FCC-ee and CEPC [2, 3]).

26 Dual-readout calorimetry operates on the principle of dual signal sampling within a calorimeter,  
 27 utilizing two distinct sensitive materials characterized by differing  $h/e$  ratios. By combining the  
 28 information from these two signals, this approach effectively compensates for fluctuations in the  
 29 electromagnetic fraction of hadronic showers, thereby significantly enhancing the accuracy of energy  
 30 measurements and restoring linearity in the calorimeter’s response to hadrons. This technique [1] is  
 31 well-established, with its feasibility confirmed through an extensive experimental program spanning  
 32 two decades [4–9]. The outcome of this programme is a design that incorporates two types of optical  
 33 fibers embedded within an absorber, oriented nearly parallel to the trajectory of incoming particles.  
 34 Scintillating fibers sample the charged particles in the shower, while undoped plastic fibers collect  
 35 Cherenkov light predominantly produced by electrons and positrons, providing sensitivity to the  
 36 electromagnetic shower component.

37 Recent advancements in dual-readout technology include the integration of silicon photomul-  
 38 tipliers (SiPMs) as light detectors capable of reading individual fibers [10]. Simulations of a

39 comprehensive  $4\pi$  dual-readout calorimeter<sup>1</sup> have been documented both using the calorimeter as  
40 a standalone device to measure the energy of both electrons/photons and hadrons [11, 12], and in  
41 conjunction with a crystal-based dual-readout electromagnetic section positioned ahead of the fibre-  
42 based calorimeter hadronic calorimeter [13, 14]. The combined crystal + fibre based calorimeter  
43 shows great potential in terms of energy resolution to hadrons: Ref. [14] estimated the performance  
44 of a simple particle flow algorithm on top of the dual-readout calorimeter response, obtaining jet  
45 energy resolutions of  $\sigma/E \sim 30\%/\sqrt{E [\text{GeV}]}$ . The combined crystal + fibre configuration is now  
46 the baseline for the IDEA detector concept [15].

47 This study focuses on a second test-beam of a prototype designed with a recently explored  
48 mechanical construction concept: optical fibres are housed in individual cylindrical brass capillary  
49 tubes, which are then glued together to form calorimeter modules. This design offers a cost-effective  
50 and flexible solution for large-scale construction. The prototype construction is documented in  
51 Ref. [16]. Its size guarantees a good containment for electromagnetic showers, but only a poor  
52 one for hadronic showers. A first assessment of the quality of the prototype response to positrons  
53 was done in Ref. [17] in terms of linearity and resolution of the energy measurement, and of the  
54 quality of the shower profile measurement. However, a poor positron beam purity and a non optimal  
55 placement of one of the auxiliary detectors limited the ability to assess the energy resolution at  
56 positron beam energies higher than  $E_{\text{beam}} = 30 \text{ GeV}$ . Moreover, the lack of a vertical tilt angle  
57 between the beam and the calorimeter axis introduced a dependency of the response on the particle  
58 impact point on the calorimeter, which had to be corrected at the analysis level. These issues forced  
59 the use of the software simulation to make a statement on the optimal calorimeter energy resolution.

60 All these issues were solved in a second test-beam, performed in 2023 at the H8 beam line at  
61 the CERN SPS. This paper describes the results of this second test-beam, in terms of linearity and  
62 resolution of the prototype energy response to positrons from 10 to 120 GeV.

63 Section 2 provides an overview of the experimental setup, including the calorimeter structure,  
64 readout system, and auxiliary detectors used to isolate electrons within the beam. The optimisation  
65 of the positron selection and estimated positron beam purities are described in Section 3. The  
66 calibration procedure, detailing the equalization of module responses and electromagnetic scale  
67 calibration, is outlined in Section 4. The calorimeter's response to positrons is presented in  
68 Section 5, with concluding remarks in Section 6.

## 69 2 Experimental setup

70 The prototype tested on beam is the same as the one tested in 2021. It is described in detail in  
71 Ref. [17]. The prototype is shown in Figure 1.

72 Nine identical modules, labelled as  $M_{[0]} - M_8$  are arranged as shown in Figure 1. Each  
73 module is 100-cm long and its dimensions transverse to the beam are  $3.3 \times 3.3 \text{ cm}^2$ , yielding a  
74 total prototype size of about  $100 \times 10 \times 10 \text{ cm}^3$ . Each module is assembled by gluing together 320  
75 100-cm long brass (63% Cu, 37% Zn) capillary tubes. Each tube encloses a 100-cm long optical  
76 fibre. The dual readout is obtained by utilising two different sets of fibres: one set of scintillating

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<sup>1</sup>in this case, the mechanical and geometrical configurations was different from the one with capillary tubes discussed in this paper. Still, the results are worth to be mentioned here as a benchmark of what can be achieved with a dual-readout calorimeter

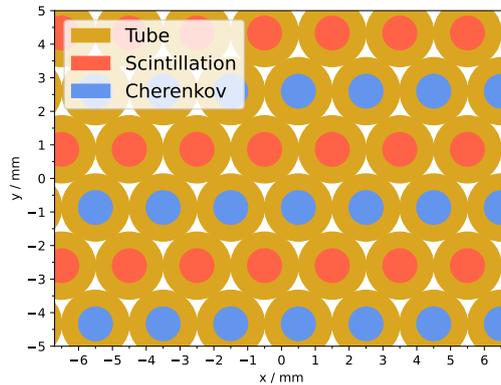


**Figure 1.** View of the prototype and its segmentation in the  $M_0 - M_8$  modules.

77 and one of clear undoped fibres. The scintillating fibres (BCF-10 from Saint Gobain [18]) have a  
 78 polystyrene-based core and a single PMMA clad. The emission peak is at 432 nm, and the light  
 79 yield is about 8000 photons per MeV. The clear undoped fibres (referred to as “Cherenkov” in  
 80 the following) are SK-40 from Mitsubishi [19]. They have a PMMA resin core and a fluorinated  
 81 polymer clad, and a numerical aperture of 0.5.

82 Overall, the volumes of the prototype are 66% brass, 11% fibres, with air and glue covering  
 83 the rest. The effective radiation length is estimated to be 22.7 mm, while the Molière radius is 23.8  
 84 mm. The alternating layout of the scintillating and Cherenkov fibres is shown in Figure 2(b).

85 The external modules  $M_1 - M_8$  are instrumented with Hamamatsu R8900 PMTs [20]. The  
 86 scintillating and clear fibres are separated and bundled in two groups on the back side of each  
 87 module to match the PMTs’ window. A yellow filter (Kodak Wratten 3, with nominal transmission  
 88 of about 7% at 425 nm and 90% at 550 nm) is placed between the scintillating fibres and the  
 89 detector to attenuate the scintillation signal and to cut off short wavelength components of the light:  
 90 this helps reducing the calorimeter response dependence on the shower depth and starting point by  
 91 selecting wavelengths with a longer fibre attenuation length. The PMTs are read out with V792AC  
 92 QDC modules produced by CAEN S.p.A..



**Figure 2.** Sketch of the front face of the calorimeter detailing the relative positions of the Cherenkov and scintillating fibres.

93 Each individual fibre of the central module  $M_0$  is instead read out by an individual SiPM with

94 a  $1.3 \times 1.3 \text{ mm}^2$  sensitive area. The SiPMs (S14160-1315 PS [21]) have a pitch of  $15 \mu\text{m}$ , for a  
95 total number of cells of 7284. The fibres at the back of the calorimeter drive the light to front-end  
96 boards, each hosting 64 SiPMs. The front-end board is split in two optically-insulated groups to  
97 avoid optical cross-talk between the Cherenkov and scintillating light. As for the modules  $M_1 - M_8$ ,  
98 yellow filters are placed between the scintillating fibres and the SiPMs. In addition, for  $M_0$  a  
99 transparent paper is used between the clear fibres and the SiPMs for mechanical reason and to avoid  
100 any air gap between the fibres and the light sensors.

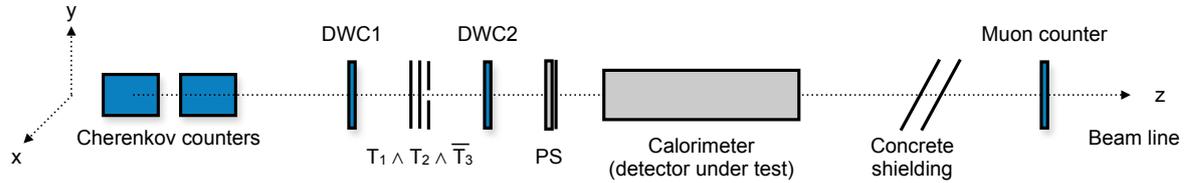
101 The SiPM readout is based on the FERS system produced by CAEN S.p.A. [22], based on  
102 the Citiroc 1A [23] chip. Each readout board (A5202) is equipped with two Citiroc 1A to operate  
103 64 SiPMs. The signal produced by each SiPM feeds two charge amplifiers (named High-gain,  
104 or HG, and Low-gain, LG in the following) with tunable gains. The gain of the HG is set to be  
105 roughly ten times that of the LG. Both signals are read out simultaneously and stored on disk. The  
106 settings for the two charge amplifiers were chosen to guarantee good quality HG spectra and a wide  
107 dynamic range, while maintaining an overlap between the signals acquired with the two different  
108 gains to be used for their mutual calibration. The settings chosen allow signals from 1 to almost  
109 4000 p.e. to be read out. This corresponds to about 55% of the SiPM occupancy considering the  
110 microcells available in the sensitive area. The FERS system reading the SiPM from  $M_0$  had an  
111 internal self-trigger system: the data from one of the five boards were made available for readout  
112 only if a total signal of at least 4 p.e. was present on the board **check this number**. This caused no  
113 bias on the energy readout.

## 114 2.1 Beam setup

115 A set of auxiliary detectors present on the beam line were used as trigger system and to help particle  
116 identification. The setup was similar to that described in Ref. [17]. Figure 3 sketches the beam  
117 setup.

- 118 • Upstream the beam, two Cherenkov threshold counters [24] were available. The pressure of  
119 the He gas was set to optimise the separation between electrons and pions depending on the  
120 beam energy. The Cherenkov counters were found to be useful up to beam energies of about  
121 40 GeV.
- 122 • A system of three scintillators was used as trigger on beam particles. The coincidence of  
123  $T_1$  and  $T_2$ , each 2.5 mm thick, with an area of overlap of about  $4 \times 4 \text{ cm}^2$  was used in  
124 anti-coincidence with a third scintillator counter ( $T_3$ ), placed downstream the beam.  $T_3$  had  
125 a 10-mm radius hole in its centre: its purpose was to veto off-axis particles. Therefore, the  
126 combination  $(T_1 \wedge T_2) \wedge \bar{T}_3$  defined what will be referred to as the “physics trigger” in the  
127 following.
- 128 • A pair of Delay Wire Chambers (DWC1 and DWC2) were placed upstream and downstream  
129 the beam with respect to the trigger scintillators. They were used to determine the location of  
130 the impact point of the particles at the calorimeter surface. The typical precision that could  
131 be achieved was of a few mm.
- 132 • A preshower detector (PS in the following), consisting of 5 mm of lead and a scintillator slab  
133 read out with a photomultiplier, was located at 10 cm from the face of the calorimeter. A

134 high-purity electron/positron selection can be achieved by requiring a signal higher than that  
 135 of a MIP in the scintillator.



**Figure 3.** Sketch of the beam line setup. The diagram is not in scale.

- 136 • About 20 m downstream of the calorimeter, behind approximately eight interaction lengths  
 137 of absorber, a  $50 \times 50 \text{ cm}^2$  scintillation counter  $T_\mu$  served to identify the muons in the particle  
 138 beams. This muon counter was not used in the analysis described in this paper.

139 Every ten physics trigger, a random “pedestal” trigger was produced. All trigger signals,  
 140 physics and pedestal, were sent to two data acquisition systems, one reading the auxiliary detectors  
 141 and the PMTs of modules  $M_1 - M_8$ , and one reading the signals from the SiPMs of the  $M_0$  module.

142 The synchronisation of the two data acquisition systems was done offline, by making use of the  
 143 pedestal events.

144 A right-handed orthogonal system of coordinates with the  $z$ -axis along the beam line, and with  
 145 the  $y$ -axis pointing upwards is used in the remainder of this paper. The origin of the coordinate  
 146 system is on the front face of the calorimeter, at the geometrical centre of  $M_0$ . The calorimeter  
 147 prototype was placed on beam so that its longest side formed an angle of about  $1^\circ$  with the  $z$ -axis  
 148 in both the  $x - z$  and the  $y - z$  plane. This is to avoid channeling effects (particles entering and  
 149 travelling long distances in an optical fibre) and to minimise any dependence of the calorimeter  
 150 response on the impact point (discussed extensively in Ref. [17]).

### 151 3 Particle selection

152 A pure beam of positrons is used for the calibration of the prototype and for the assessment of its  
 153 performance of the positron energy measurement in terms of linearity and resolution as a function  
 154 of the beam energy.

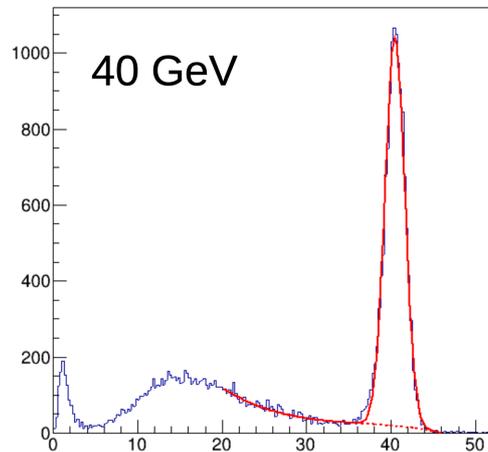
155 The positron selection starts with a selection on the DWC detectors: the aim of the selection  
 156 is to avoid selecting particles that hit the calorimeter front face too far off its centre, leading to  
 157 additional lateral leakage. After calibration and alignment of the DWC and the calorimeters (done  
 158 exploiting the excellent lateral granularity of the calorimeter thanks to the mm-level pitch of the  
 159 single fibre readout), a circle with radius 6.5 mm centred in the centre of  $M_0$  is selected in each  
 160 DWC. Particles travelling at an angle with respect to the beam line are suppressed by requiring  
 161 that the coordinates as read by each DWC coincide at the level of 1.5 mm (which corresponds to a  
 162 rough estimation of the DWC intrinsic resolution). This will be referred to as the “DWC selection”  
 163 in the following.

164 The small muon component of the beam is further suppressed by requiring that the signal in  
165 the muon counter scintillator is compatible with its pedestal.

166 The selection of positrons is completed by the request of a large signal in both the PS and  
167 the threshold Cherenkov counters. Several selections were tested and the final one was chosen by  
168 balancing the efficiency and purity of the positron selection. The chosen selection requires a signal  
169 higher than  $3\sigma_{\text{ped}}$  in one Cherenkov counter and a signal compatible with that of at least three MIPs  
170 in the PS.

171 The equalisation and calibration of the prototype described in Section 4 were performed by  
172 using the selection described so far.

173 The beam purity was estimated at all energies by fitting the energy distribution in the calorimeter  
174 after energy equalisation (discussed in Section 4.2) with a third degree polynomial for the non-  
175 electron component (mainly residual hadrons) and a gaussian for the electrons. The beam purity is  
176 defined as the ratio of the integral of the gaussian peak and the total number of selected events. The  
177 resulting fit is shown in Figure 4 for  $E_{\text{beam}} = 40$  GeV as an example.



**Figure 4.** Energy distribution in the calorimeter (sum of Cherenkov and scintillation) after the DWC selection. The result of a fit with a third degree polynomial is shown by a dashed red line, while that of a fit with a gaussian plus a third degree polynomial is shown as a solid red line.

178 The beam purity estimated after applying only the DWC selection is reported in Table 1 for a  
179 subset of the energies considered. The beam purity was found to vary little between 40 and 100  
180 GeV.

181 The purity was estimated again after the full positron selection described in the text. It was  
182 determined to be at least 98% at all energies considered, and above 99% for energies below 100 GeV.  
183 possible systematics associated with the residual contamination from non-positrons components on  
184 the measurements of Section 5 were evaluated to be negligible.

#### 185 4 Detector calibration

186 The calibration of the prototype was performed in several steps. First, the gain of all SiPMs  
187 in  $M_0$  was equalised, and the conversion factor between ADC counts and p.e. derived, by making

**Table 1.** Fraction of positrons in the beam as a function of the beam energy  $E_{\text{beam}}$ . The purity was estimated after applying the DWC selection described in the text.

$E_{\text{beam}}$ [GeV]	Positron Purity
10	65%
20	57%
40	50%
100	46%
120	7.5%

188 use of the SiPM multiphoton spectrum. Then, the response of all modules  $M_0$ - $M_8$  was roughly  
 189 equalised by making use of a positron beam with an energy of 20 GeV. After equalisation, the overall  
 190 calorimeter energy scale was set by looking at the response of the whole calorimeter prototype to  
 191 beams of positrons. Finally, an overall procedure is performed during the analysis. All these steps  
 192 are discussed in detail below.

#### 193 **4.1 SiPM equalisation using the multiphoton spectrum**

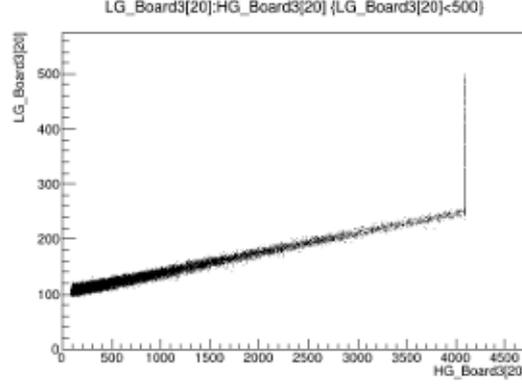
194 An ultra-fast LED emitting at 420 nm was used to perform a first SiPM equalisation in the labs  
 195 before the test beam period. The same overvoltage of +7 V over the breakdown voltage was applied  
 196 to all SiPMs, and the amplifier settings were tuned. The setting is not typical for a SiPM, but it  
 197 guarantees a Photo Detection Efficiency (PDE) stable under small temperature variations, and a  
 198 multiplication factor of  $0.5 \cdot 10^6$  for each detected photon.

199 The multiphoton spectrum recorded with HG is the starting point of the in-situ SiPM equali-  
 200 sation procedure: the procedure is similar to that discussed in Ref. [17], where full details can be  
 201 found.

- 202 • The peaks of the multiphoton spectrum in HG are fitted with gaussian distributions, and  
 203 from the peak-to-peak distance a conversion factor from ADC to photo-electrons (p.e. in the  
 204 following) was determined. A typical conversion factor was about 1 p.e./ADC count with  
 205 uncertainties of the order of 0.1%.
- 206 • The pedestals of both the LG and HG are determined from a fit to the pedestal peak in evnts  
 207 where the SiPM is not illuminated.
- 208 • The signal in the SiPM in a set of 40 GeV positron events was recorded for both teh LG and  
 209 the HG. The LG signal was plotted against that of the HG, as shown in Figure 5. A linear  
 210 fit (with the pedestals fixed to those extracted independently) determines a conversion factor  
 211 from HG to LG<sup>2</sup>.

212 The procedure was performed for all SiPMs and the parameters were extracted multiple times  
 213 during the test beam, and the findings about stability of the SiPM calibrations of Ref. [17] were

<sup>2</sup>The fit to Figure 5 can also be done leaving the pedestals of LG floating to be determined by the fit. This was tried, and the results are consistent with those extracted independently.



**Figure 5.** Scatter plot of HG (in p.e.) signal against the LG signal for the same SiPM.

214 confirmed. Given the stability of the calibration parameters over time, a single set of calibration  
 215 constant for each SiPM was used for the whole data taking period. The signal used in the following  
 216 for the data analysis is the SiPM signal in p.e. from the HG, unless the HG is found to be saturated,  
 217 in which case the LG signal in p.e. is used.

218 It is well known [?] that SiPM yield a non-linear response to the incoming light when the  
 219 number of photons in the pulse is a significant fraction of the number of cells available for the SiPM.  
 220 In this case, a standard procedure is to correct the SiPM response using the following formula:

$$N_{\text{fired}} = N_{\text{cells}} \times \left( 1 - e^{-\frac{N_{\text{photons}} \times \text{PDE}}{N_{\text{cells}}}} \right)$$

221 Here  $N_{\text{cells}}$  is the number of cells available for the SiPM (7284 for the S14160-1315),  $N_{\text{fired}}$  is  
 222 the recorder signal in p.e.,  $N_{\text{photons}}$  is the number of photons that hit the cathode. By inverting this  
 223 relation, the SiPM response can be corrected to account for non-linearities. This procedure was  
 224 implemented to correct for the SiPM response. For example, this correction was at the level of 5%  
 225 when a signal of 2 GeV was measured in a single scintillating fibre.

## 226 4.2 Calorimeter response equalisation

227 Next, the response of the  $M_0 - M_8$  modules was equalised using a beam of positrons with a  
 228 momentum of 20 GeV. The beam was centered in the geometrical centre of each module. A well-  
 229 centered, high-purity positron beam can be obtained by applying the positron selection of Section 3.  
 230 The equalisation procedure assumed an equal tower response to positrons, in runs where the beam  
 231 is hitting the module centre. The equalisation was obtained by setting the response of all modules  
 232 equal to that of  $M_0$ . In other words, if we define the response in p.e. of  $M_0$  when hit in its centre  
 233 by a beam of 20-GeV positrons as  $P_{M_0}^{S,C}$  (20 GeV) (where the letter  $S$  or  $C$  represents scintillation  
 234 or Cherenkov), then the response (in p.e.) of the  $i$ -th module  $M_i$  is obtained by shooting the beam  
 235 at its own centre, measuring its response in ADC  $A_{M_i}^{S,C}$ , and computing a constant  $a_i^{S,C}$  so that

$$P_{M_i}^{S,C} (20 \text{ GeV}) = a_i^{S,C} \times A_{M_i}^{S,C} (20 \text{ GeV}) = P_{M_0}^{S,C} (20 \text{ GeV}),$$

236 The containment of a single module to a 20-GeV positron beam is estimated to be  $\epsilon_{\text{module}} = 72\%$   
 237 with the help of the Geant4 test beam simulation.

### 238 4.3 Calorimeter calibration

239 The overall calorimeter electromagnetic energy scale was set by rescaling the sum of the responses  
 240 of the modules  $M_0 - M_8$  by a single pair of common constants  $\delta_S$  for the scintillation signal and  $\delta_C$   
 241 for the Cherenkov signal, so that the total energy measured in the calorimeter corresponded to the  
 242 beam energy separately for the scintillation and Cherenkov signal<sup>3</sup>. The constants  $\delta_S$  and  $\delta_C$  are  
 243 determined as

$$\delta_{S,C} = \frac{20 \text{ GeV}}{\langle \sum_{i=0}^8 P_{M_i}^{S,C} \rangle},$$

244 where the average is computed over all selected positrons from a 20-GeV run with the beam pointing  
 245 to the geometric centre of  $M_0$ .

### 246 4.4 Refined offline analysis calibration

247 After the data taking was completed, it was noted that there was a small offset, at the level of about  
 248 5%, between the two readout responses (Cherenkov and scintillating). A possible explanation may  
 249 stem from the fact that the shower containment of a single module as seen by the scintillating or  
 250 Cherenkov readout is different (the shower is wider for the Cherenkov component, leading to a  
 251 larger lateral leakage [17]).

252 A final calibration step was therefore performed during the analysis phase, independently for  
 253 the Cherenkov and scintillating readouts. The total energy in a given event is defined as

$$E_{S,C} = \delta_{S,C} \sum_0^8 \beta_i^{S,C} P_{M_i}^{S,C} + \beta_9 S_{Ps}$$

254 where  $S_{Ps}$  is the signal recorded for the pre-shower scintillator.

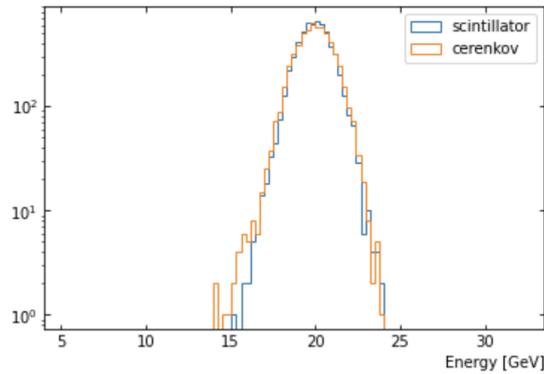
255 The coefficients  $\beta_i^{S,C}$  are computed analytically by requiring that the distribution of  $E_{S,C}$  has  
 256 a mean value of 20 GeV when computed over a run where the beam was hitting the prototype at the  
 257 nominal centre of  $M(0)$ .

258 The distribution of the 18  $\beta_i^{S,C}$  values is within 15% of 1, with the exception of  $\beta_9$ , which  
 259 serves a different purpose. The contribution of the energy loss in the preshower detector is estimated  
 260 to be 80 MeV/MIP **to be confirmed**.

261 The energy distribution as measured by the calorimeter in response to a 20-GeV positron beam  
 262 is shown in Figure 6

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<sup>3</sup>The simulation predicts a shower containment of the full prototype of  $\epsilon = 94\%$  at  $E_{\text{beam}} = 20 \text{ GeV}$ . This number was found to be nearly independent with  $E_{\text{beam}}$ . This lateral leakage was therefore de-facto reabsorbed in the determination of  $\delta_{S,C}$ .



**Figure 6.** Energy measurement of the calorimeter after the full calibration procedure is applied. The results are shown separately for the Cherenkov (in yellow) and scintillation (in blue) channels.

#### 263 4.5 Noise determination

264 The electronic noise contribution from the PMTs reading modules  $M_1$ - $M_8$  was estimated simply by  
 265 making use of the pedestal triggers. The RMS of the pedestal combined energy distribution of the  
 266 sum of the PMTs was estimated to be 120 MeV.

267 The estimate of the SiPMs contribution to the noise is trickier: because of the self-triggering  
 268 system of the FERS, there is essentially no data from the FERS associated with pedestal triggers.  
 269 Therefore, the multiphoton distribution in events with low energy deposit in the calorimeter were  
 270 used instead. The noise was determined to be about 2 MeV for HG and 50 MeV for LG for the  
 271 Cherenkov channels, and about 0.6 MeV for HG and 12 MeV for LG for the scintillation channels.  
 272 The noise is found to be highly correlated between channels read out by the same FERS board, and  
 273 loosely correlated for channels sitting on different FERS. The exact level of correlation depends on  
 274 the FERS considered. Altogether, it was estimated that the total contribution to the noise of the  
 275 scintillation (Cherenkov) SiPM to be of about 30 (90) MeV for the HG. The contribution from LG  
 276 is more difficult to estimate, as typically there are only a handful of channels for which the LG is  
 277 used (and this number is of course energy dependent).

278 Taking everything into account, the total electronic noise contribution (from PMTs and SiPM)  
 279 to the combined energy measurement is estimated to be about 150 MeV at 10 GeV and 250 MeV at  
 280 120 GeV.

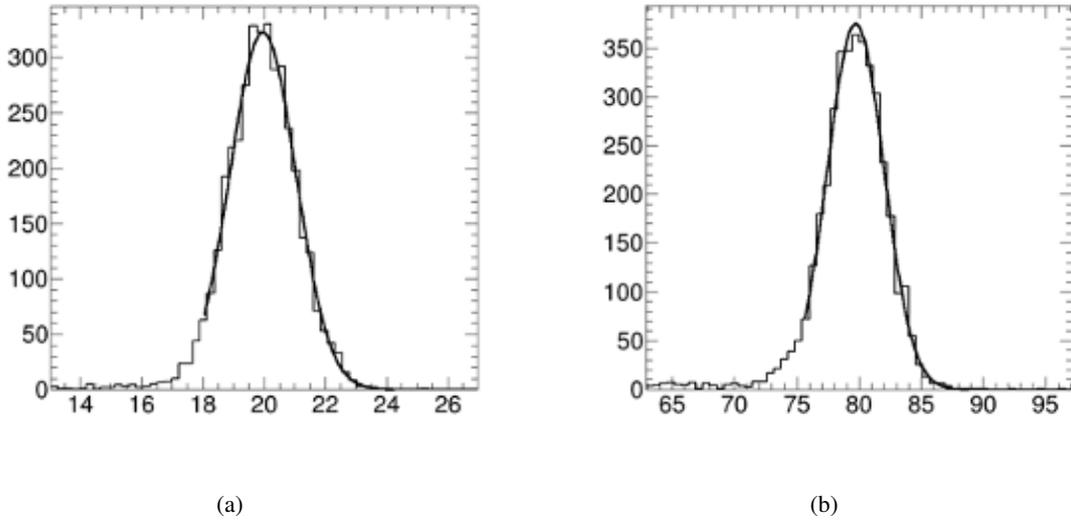
## 281 5 Results

282 Following calibration, the data were analysed, and, similarly to Ref. [17], the performance of the  
 283 prototype was characterised in terms of linearity and resolution of the energy response. For the latter  
 284 in particular, the much improved positron beam purities at all energies, on top of a closer placement  
 285 of the pre-shower detector to the calorimeter led to a complete assessment of the calorimeter  
 286 resolution to positrons with beam energies from 10 to 120 GeV.

## 287 5.1 Positron energy measurement

288 As predicted in Ref. [17], the placement of the calorimeter with a tilt angle both in the  $x - z$  and  
289 in the  $y - z$  plane lead to a calorimeter response independent from the impact point of the particle  
290 on the calorimeter's front face **do we have a plot that demonstrates this?**. Following the calibration  
291 procedure described in Section 4, the combined dual-readout response of the calorimeter  $E$  was  
292 computed as the arithmetic average of the Cherenkov and scintillating channels,  $E = (E_S + E_C)/2$ .

293 As an example, the combined energy response to a positron beam of 20 and 80 GeV is shown  
294 in Figure 7.



**Figure 7.** Distribution of the combined energy response for positron beam energies of (a) 20 GeV and (b) 80 GeV.

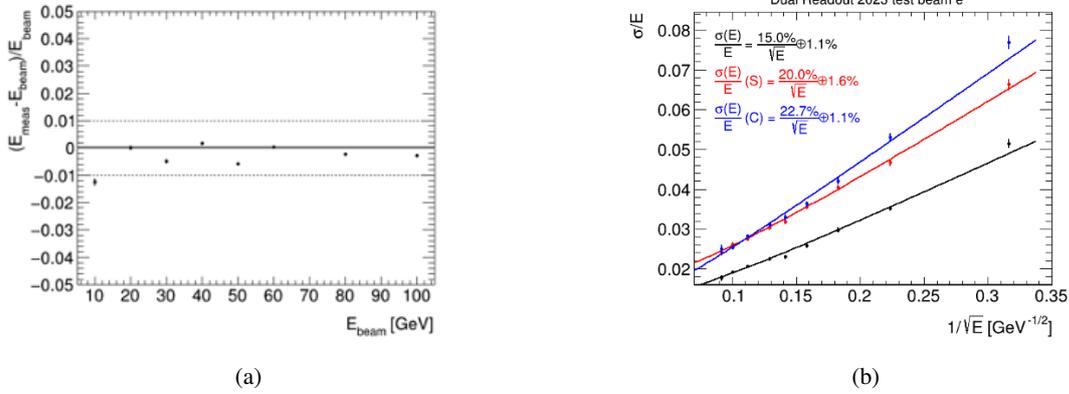
295 The distributions are centred at the nominal beam energies as expected following the calibration  
296 procedure. The distributions are very close to gaussians in their core. Similar histograms were  
297 produced for all the available beam energies. In each case, the histograms were fit with a gaussian  
298 between  $m - 1.8 \times r$  and  $m + 4 \times r$ , where  $m$  represents the mean value of the distribution and  $r$  its  
299 RMS. We name the mean of the resulting gaussian as  $E_{\text{meas}}$  and its sigma as  $\sigma$ .

300 A low energy tail (due to a non-complete rejection of particles other than positrons in the  
301 beam) can be observed. Its impact on the determination of the values of  $E_{\text{meas}}$  and  $\sigma$  was found to  
302 be negligible **to be confirmed**.

## 303 5.2 Linearity of the prototype response

304 Similar histograms were produced for all the available beam energies. The linearity of the prototype  
305 response is defined as the fractional difference between the measured and the beam energy, with  
306 respect to the beam energy, that is  $(E_{\text{meas}} - E_{\text{beam}})/E_{\text{beam}}$ . It is shown as a function of  $E_{\text{beam}}$  in  
307 Figure 8 (a). The linearity of the response was better than 1% over the full explored range, with the

308 exception of the point at  $E_{\text{beam}} = 10$  GeV, where, however, the beam momentum and composition  
 309 were less clear *è vera questa cosa?*.



**Figure 8.** (a) Linearity and (b) resolution of the calorimeter response on the beam energy. For (b), the independent resolutions of the Cherenkov (in blue) and scintillation (in red) channels are shown, together with the one on the combined response (in black).

### 310 5.3 Energy measurement resolution

311 *Here we need to decide how to add the discussion about the noise. It is missing completely at the*  
 312 *moment.*

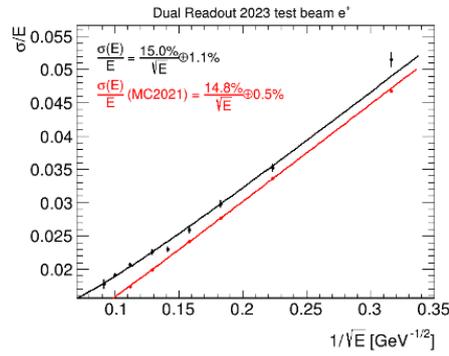
313 One of the key improvements of this paper with respect to Ref. [17] is the assessment of the  
 314 calorimeter resolution with real data. In Ref. [17], a poor positron purity of the beam, together  
 315 with a non-ideal placement of the pre-shower scintillator with respect to the calorimeter forced us  
 316 to assess the calorimeter energy resolution to positrons at energies above 30 GeV **check** by making  
 317 use of the test beam software simulation, after making sure that the results were compatible with  
 318 real data at low energies.

319 We define the resolution of the energy measurement as the ratio of  $\sigma$  to  $E_{\text{meas}}$ . It is shown  
 320 in Figure 8 (b). The Cherenkov, scintillation, and combined resolutions as a function of the beam  
 321 energy are fit with a function of the type

$$\frac{\sigma}{E_{\text{meas}}} = \frac{a}{\sqrt{E_{\text{meas}}}} \oplus b$$

322 where  $E_{\text{meas}}$  is expressed in GeV. The fit to the combined response curve yielded a value of the  
 323 stochastic term  $a = 15.0\%$  and of the constant term  $b = 1.1\%$ .

324 A direct comparison with the curve obtained with the test beam software simulation assuming  
 325 ideal test beam conditions obtained in Ref. [17] is shown in Figure 9. The result indicates a slightly  
 326 worse resolution overall. However, this seems to be due to an overall worse constant term  $b$ , rather  
 327 than a difference in the stochastic term. Indeed, further investigations brought us to attribute this  
 328 difference in constant term mainly to the spread in beam momentum, which is estimated to be of  
 329 the order of 1.5% **to be checked**.



**Figure 9.** Distribution of the energy measured after all corrections for a 20-GeV positron beam for (a) data and (b) the simulation.

330 In conclusion, the investigation of the energy resolution with positrons confirms the assessment  
 331 done with help of the software simulation of the test beam in Ref. [17].

## 332 6 Conclusions

333 A dual-readout sampling calorimeter prototype using brass capillary tubes as absorber and optical  
 334 fibres as active medium was tested using beams of particles at the H8 beam line at CERN. The dual  
 335 readout was realised by making use of two different types of fibres: doped scintillating Saint-Gobain  
 336 BCF-10 fibres, and clear “Cherenkov” Mitsubishi SK40 fibres. The prototype (with a total size of  
 337 about  $10 \times 10 \times 100 \text{ cm}^3$ ) was composed by nine modules. For the central module, the individual  
 338 fibres were read out by means of Hamamatsu S14160-1315 PS SiPMs, while for the surrounding  
 339 eight modules the two sets of fibres were bundled together and read out by Hamamatsu R8900  
 340 PMTs.

341 The detector was calibrated by making use of the SiPM multiphoton spectrum and of beams of  
 342 positrons. Then, the detector response was studied using beams of positrons with energies between  
 343 10 and 120 GeV. Thanks to the excellent beam purity, at all beam energies, it was possible to assess  
 344 the detector response in terms of linearity and resolution of the energy measurement. The linearity  
 345 was found to be within 1%. The energy resolution was found to be

$$\frac{\sigma}{E} = \frac{15.0\%}{\sqrt{E}} \oplus 1.1\%,$$

346 in agreement with what was estimated with a geant4 simulation of the detector validated at previous  
 347 test-beams for ideal test-beam conditions, after taking into account the beam momentum spread.

348 The results on the electromagnetic performance of the dual-readout sampling calorimeter  
 349 described in this paper confirm that the capillary tube mechanical solution in conjunction with a  
 350 SiPM based readout is a viable solution for future developments, and pave the way to the use of  
 351 this technology for use in a prototype with a size able to contain the hadronic shower. The work is  
 352 ongoing under the HiDRa project [?] find a suitable citation for hidra.

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