

# Theory on Non-Leptonic and Radiative Kaon Decays

Joaquim Prades

CERN, CAFPE and Universidad de Granada



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# Plan

⇒ Introduction: Motivation

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- ⇒ Introduction: Theoretical Framework

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- ⇒ Non-Leptonic Kaon Decays

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- ⇒ Introduction: Motivation
- ⇒ Introduction: Theoretical Framework
- ⇒ Non-Leptonic Kaon Decays
- ⇒ Radiative Kaon Decays
  - $K \rightarrow \pi \gamma^* \rightarrow \pi l^+ l^-$ : Status and Comments
  - Some Selected Topics

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- ⇒ Introduction: Motivation
- ⇒ Introduction: Theoretical Framework
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- ⇒ Radiative Kaon Decays
  - $K \rightarrow \pi \gamma^* \rightarrow \pi l^+ l^-$ : Status and Comments
  - Some Selected Topics
- ⇒ Conclusions

# Introduction: Motivation

## Non-Leptonic and Radiative Kaon Decays: Goals

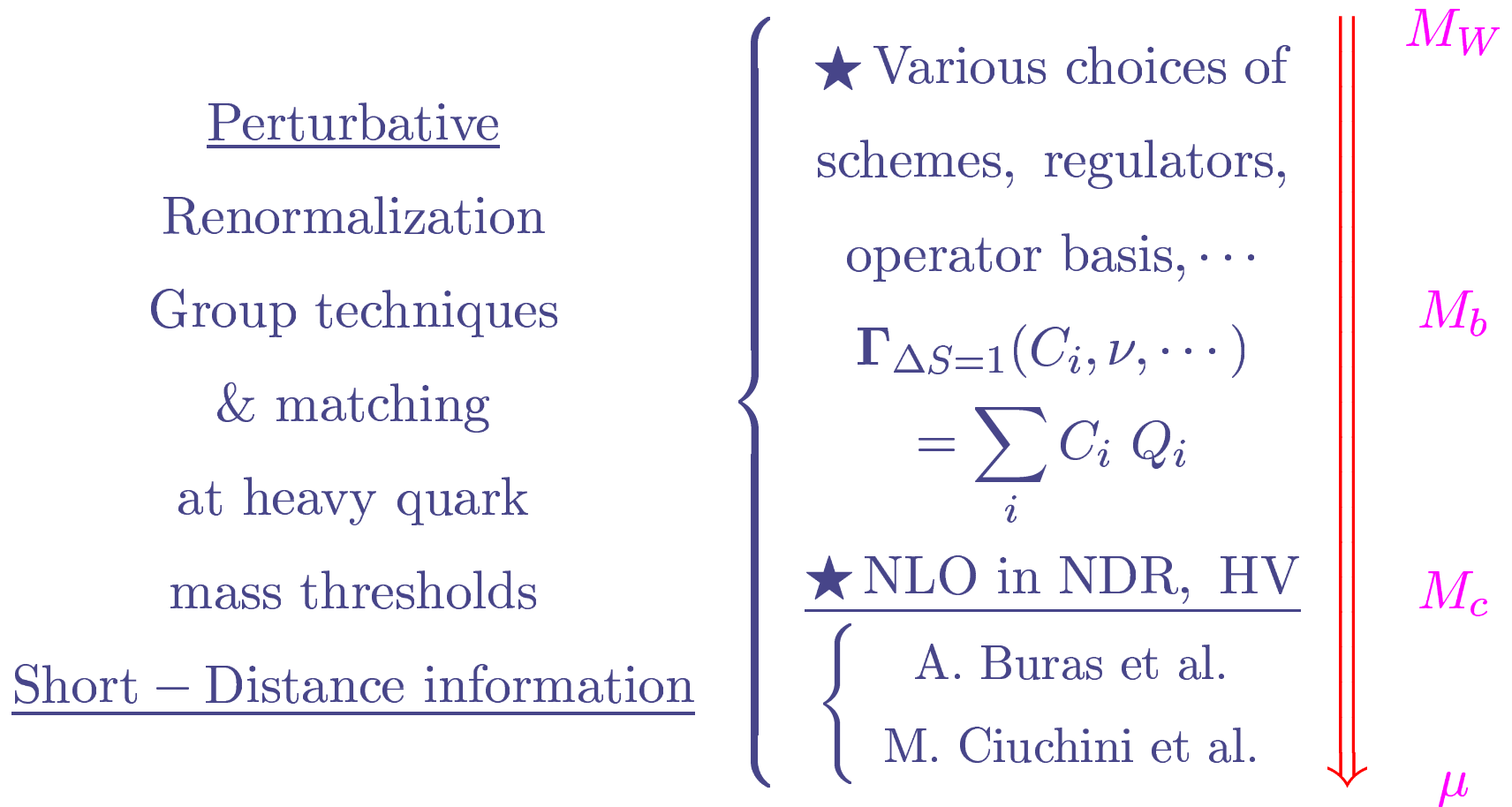
- ★ Measure Direct CP-Violation in Kaon Decays •

Experimentally well known in  $K \rightarrow \pi\pi$ : KTeV and NA48

$$\text{Re} \left( \frac{\varepsilon'_K}{\varepsilon_K} \right) = (1.63 \pm 0.23) \cdot 10^{-3}.$$

- ★ Either confirm or show deviations from the SM •
- ★ Understanding strong-weak dynamics interplay •

# Introduction: Theoretical Framework





# Introduction: Theoretical Framework

## Standard Model $\Delta S = 1$ operators

$$Q_2 = [\bar{s}_\alpha \gamma^\mu (1 - \gamma_5) u_\alpha] [\bar{u}_\beta \gamma_\mu (1 - \gamma_5) d_\beta] \bullet$$

In the presence of QCD interactions:  $Q_1, Q_3, Q_4, Q_5$  and

$$Q_6 = [\bar{s}_\alpha \gamma^\mu (1 - \gamma_5) d_\beta] \sum_q [\bar{q}_\beta \gamma_\mu (1 + \gamma_5) q_\alpha] \bullet$$

In the presence of EW interactions (non-leptonic decays):  $Q_7, Q_9, Q_{10}$  and

$$Q_8 = [\bar{s}_\alpha \gamma^\mu (1 - \gamma_5) d_\beta] \sum_q \frac{3}{2} e_q [\bar{q}_\beta \gamma_\mu (1 + \gamma_5) q_\alpha] \bullet$$

In the presence of EW interactions (radiative decays):

$$Q_{7V(A)} = [\bar{s}_\alpha \gamma^\mu (1 - \gamma_5) d_\alpha] [\bar{l} \gamma_\mu (\gamma_5) l] \bullet$$

(Magnetic dipole operators  $Q_{11}$  and  $Q_{12}$  are very suppressed)

Non-leptonic:  $K \rightarrow \pi\pi$  and  $K \rightarrow \pi\pi\pi$   $\bullet$

Radiative :  $K^0 \rightarrow \gamma\gamma, K^0 \rightarrow l^+l^-, K \rightarrow \pi l^+l^-, K \rightarrow \pi\gamma\gamma,$

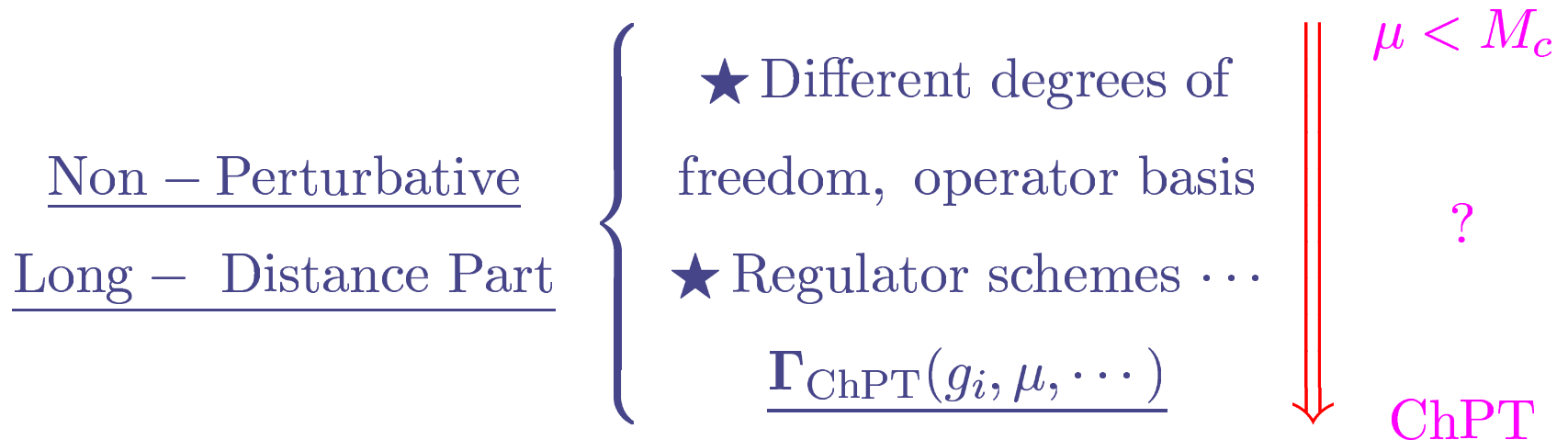
$K \rightarrow \pi\pi\gamma, \dots$  (and Dalitz pair conversion)  $\bullet$

# Introduction: Theoretical Framework

ChPT describes interactions between pions, kaons, photons, ...

Matching: QCD in the Non-Perturbative regime

Lot of work and advances: Large  $N_c$  Methods, Lattice QCD



# Introduction: Theoretical Framework

Chiral Perturbation Theory (ChPT) is the effective field theory of the Standard Model at low energies •

S. Weinberg; J. Gasser, H. Leutwyler

Most general Lagrangian with symmetries and relevant degrees of freedom  $\Rightarrow$  In general, predictions depend on unknown couplings •

- ★ Relate different decays with same unknowns •
- ★ If chiral loops finite and no unknown counterterm  $\Rightarrow$  parameter free predictions (e.g.,  $K_S \rightarrow \gamma\gamma$  or  $K_L \rightarrow \pi^0 \gamma\gamma$  at  $p^4$ ) •

Long list of works applying ChPT to Kaon decays •

# Introduction: Theoretical Framework

$\Delta S = 0$ :  $f_\pi$  and meson masses at LO;  $\tilde{C}$ ,  $L_i$  at NLO, (Gasser, Leutwyler, ...)

$\Delta S = 1$  at LO:  $G_E$ ,  $G_8$ ,  $G'_8$  and  $G_{27}$  in SU(3) [See Gérard, Smith, Trine for U(3)]

$$\begin{aligned} \mathcal{L}_{|\Delta S|=1}^{(2)} &= CF_0^6 e^2 G_E \text{tr}(\Delta_{32} u^\dagger Q u) + CF_0^4 [G_8 \text{tr}(\Delta_{32} u_\mu u^\mu) \\ &+ G'_8 \text{tr}(\Delta_{32} \chi_+) + G_{27} t^{ij,kl} \text{tr}(\Delta_{ij} u_\mu) \text{tr}(\Delta_{kl} u^\mu)] + \text{h.c.}; \end{aligned}$$

$$\begin{aligned} u_\mu &\equiv iu^\dagger (D_\mu U) u^\dagger = u_\mu^\dagger, & [U \equiv u^2 = \exp(i\sqrt{2}\Phi/F_0)] , \\ \Delta_{ij} &= u\lambda_{ij}u^\dagger, & (\lambda_{ij})_{ab} \equiv \delta_{ia}\delta_{jb}, \\ \chi_+ &= u^\dagger \chi u^\dagger + u\chi^\dagger u, & [\chi = \text{diag}(m_u, m_d, m_s)]. \end{aligned}$$

$\Phi$  collects  $\pi$ , Kaon and  $\eta$  fields •

$\Delta S = 1$  at NLO:  $N_i$  (Ecker, Kambor, Wyler; Kambor, Missimer, Wyler; Esposito-Farèse; Ecker, Pich, de Rafael; ...)

# $K \rightarrow \pi\pi$ and $\varepsilon'_K$ : Status

★ ChPT fully at NLO including isospin breaking ✓

★ Rôle of final state interactions clarified ✓

Kambor, Missimer, Wyler; Bijnens, Pallante, J.P.; Cirigliano, Donoghue, Holstein; Pich, Pallante, Scimemi; Cirigliano, Ecker, Isidori, Müller, Neufeld, Pich; ...

$$\underline{\Delta I = 1/2 \text{ rule}}$$

Fit to data (Bijnens, Dhonte, Persson): ( $G_8 = G_{27} = 1$  at large  $N_c$ )

$$\text{Re } G_8 = (7.0 \pm 0.6) \left( \frac{87\text{MeV}}{F_0} \right)^4 ; G_{27} = (0.50 \pm 0.06) \left( \frac{87\text{MeV}}{F_0} \right)^4 .$$

★ Advances in calculation of Re  $G_8$  and  $G_{27}$  at NLO in  $1/N_c$  •

$\Delta I = 1/2$  rule within 40 % ✓ Bijnens, J.P. ; Hambye, Peris, de Rafael

# $K \rightarrow \pi\pi$ and $\varepsilon'_K$ : Status

$\varepsilon'_K$  fully at NLO in ChPT,

$$\text{Re}(\varepsilon'_K/\varepsilon_K) \simeq - \left[ (1.9 \pm 0.5) \text{Im} G_8 + (0.34 \pm 0.15) \text{Im}(e^2 G_E) \right].$$

$\text{Im} G_8$  and  $\text{Im}(e^2 G_E)$  are proportional to CP-violating phase  $\text{Im}\tau \equiv -\text{Im} \frac{\lambda_t}{\lambda_u}$   
( $\lambda_i \equiv V_{id} V_{is}^*$ )

Contribution  $\Delta S = 1$  NLO counterterms  $\text{Im} \tilde{K}_i$  estimated to be negligible •

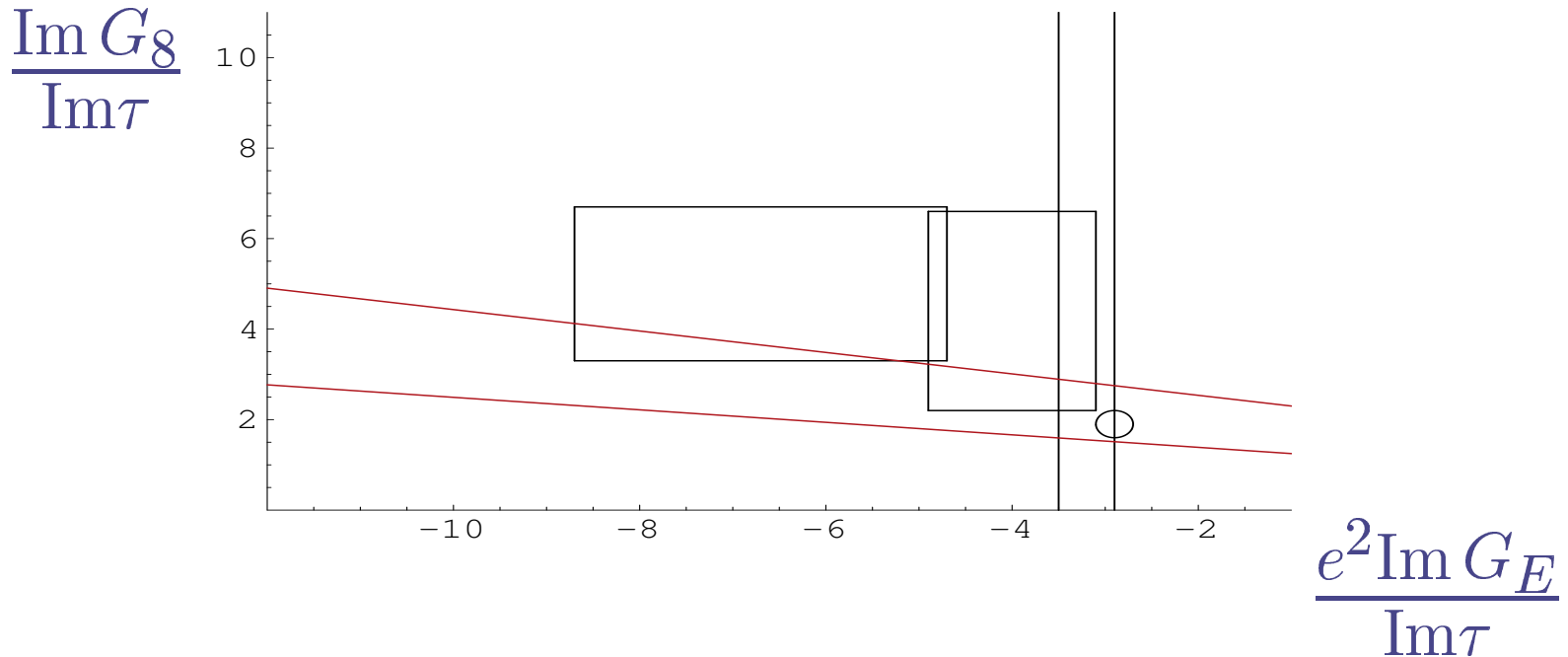
★ Recently, lot of work calculating analytically  $\text{Im}(e^2 G_E)$  •

(Cirigliano, Donoghue, Holstein, Maltman; Bijmens, Gámiz, J.P.; Friot, Greynat, Knecht, Peris, de Rafael; Narison)

★ and  $\text{Im} G_8$  at NLO in  $1/N_c$  •

(Bijmens, J.P.; Hambye et al; Hambye, Peris, de Rafael)

# $\varepsilon'_K$ Status: Theory vs Experiment



- ★ Large uncertainties in input parameters :  
quark condensate  $(20\%)^2$ ,  $L_5$  (30 %) ●

# $K \rightarrow 3\pi$ : Dalitz Plot Slopes

## CP-Conserving $K \rightarrow 3\pi$ ChPT fully at NLO ●

Kambor, Missimer, Wyler;

Bijnens, Dhonte, Persson (including isospin breaking);

Gámiz, J.P., Scimemi

### Dalitz Plot Slopes

$$\frac{|A_{K^+ \rightarrow 3\pi}(s_1, s_2, s_3)|^2}{|A_{K^+ \rightarrow 3\pi}(s_{th}, s_{th}, s_{th})|^2} = 1 + g y + h y^2 + k x^2 + \mathcal{O}(y x^2, y^3).$$

( $x = (s_1 - s_2)/m_{\pi^+}^2$  and  $y = (s_3 - s_{th})/m_{\pi^+}^2$  are Dalitz variables)

★ Prediction for  $K^+ \rightarrow \pi^+ \pi^+ \pi^-$  and  $K^+ \rightarrow \pi^0 \pi^0 \pi^+$  slopes in nice agreement with recent NA48/2, ISTRA+ and KLOE results ●



# $K^+ \rightarrow 3\pi$ : CP-Violating Asymmetries

Direct CP-Violating Asymmetries in Dalitz plot slope  $g$  of  $K^+ \rightarrow 3\pi$  amplitude •

$$\Delta g_C \equiv \frac{g[K^+ \rightarrow \pi^+\pi^+\pi^-] - g[K^- \rightarrow \pi^-\pi^-\pi^+]}{g[K^+ \rightarrow \pi^+\pi^+\pi^-] + g[K^- \rightarrow \pi^-\pi^-\pi^+]}$$
$$\Delta g_N \equiv \frac{g[K^+ \rightarrow \pi^0\pi^0\pi^+] - g[K^- \rightarrow \pi^0\pi^0\pi^-]}{g[K^+ \rightarrow \pi^0\pi^0\pi^+] + g[K^- \rightarrow \pi^0\pi^0\pi^-]}.$$

Start at  $\mathcal{O}(p^2)$  in ChPT. [Analogous asymmetries for decay rates  $\Gamma$ .]

A lot of previous work: LO plus various NLO estimates.

B.Grinstein, et al. • A. Bel'kov, et al. • G. D'Ambrosio, et al. •

E. Shabalin • [SUSY Contributions: G. Isidori, et al. •]

E. Gámiz, I. Scimemi, J.P.  First full NLO results in ChPT !

# $K^+ \rightarrow 3\pi$ : CP-Violating Asymmetries

Fully at NLO in ChPT, we get

$$\begin{aligned}\frac{\Delta g_C}{10^{-2}} &\simeq (0.7 \pm 0.1) \text{Im}G_8 - (0.07 \pm 0.02) \text{Im}(e^2 G_E) \\ &+ (4.3 \pm 1.6) \text{Im}\tilde{K}_2 - (18.1 \pm 2.2) \text{Im}\tilde{K}_3, \\ \frac{\Delta g_N}{10^{-2}} &\simeq - \left[ (0.04 \pm 0.08) \text{Im}G_8 + (0.05 \pm 0.02) \text{Im}(e^2 G_E) \right. \\ &\left. + (3.7 \pm 1.1) \text{Im}\tilde{K}_2 + (26.3 \pm 3.6) \text{Im}\tilde{K}_3 \right].\end{aligned}$$

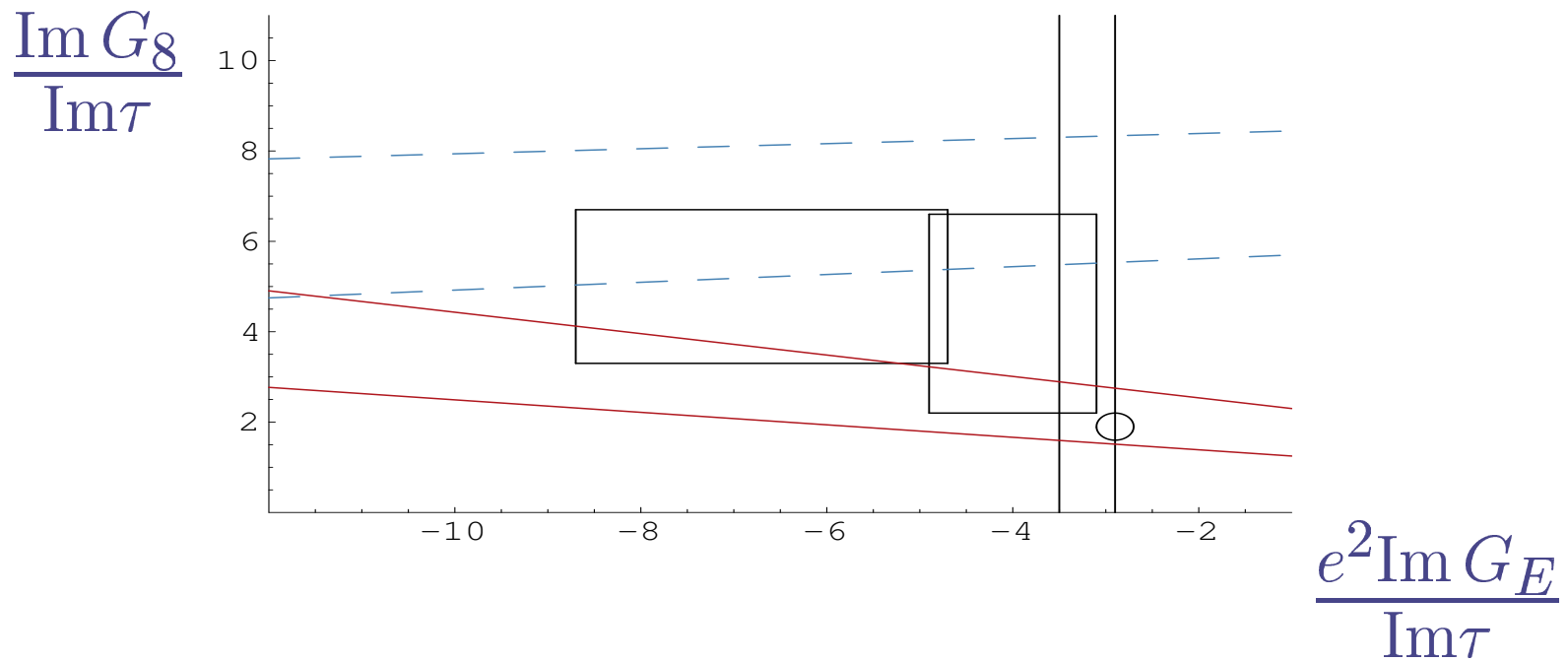
Using  $\text{Im} G_8, \text{Im}(e^2 G_E)$  from J. Bijnens, E. Gámiz, J.P.

$$\text{and } \frac{\text{Im} \tilde{K}_i}{\text{Re} \tilde{K}_i} \simeq \frac{\text{Im} G_8}{\text{Re} G_8} \simeq \frac{\text{Im} G_8}{\text{Re} G_8} \simeq (0.9 \pm 0.3) \text{Im}\tau,$$
$$\tau \equiv -\frac{V_{td}V_{ts}^*}{V_{ud}V_{us}^*}.$$

Assumption: Ratio dominated by same dynamics at LO and NLO ●

$\varepsilon'_K$  vs  $\Delta g_C$ :

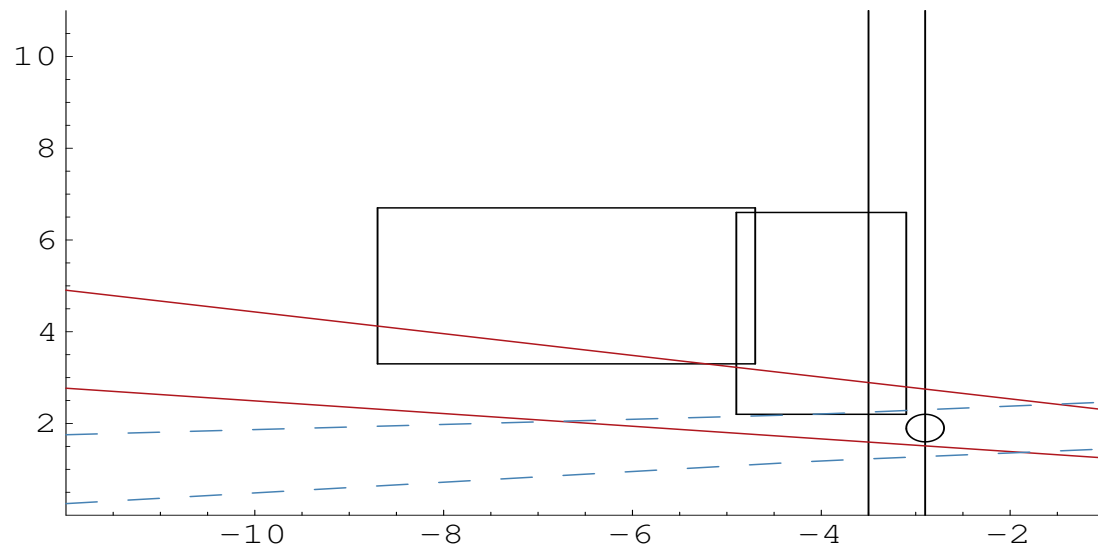
$$\Delta g_C \sim -3.5 \times 10^{-5}$$



$\varepsilon'_K$  vs  $\Delta g_C$ :

$$\Delta g_C \sim -1 \times 10^{-5}$$

$$\frac{\text{Im } G_8}{\text{Im } \tau}$$



$$\frac{e^2 \text{Im } G_E}{\text{Im } \tau}$$

# $K^+ \rightarrow 3\pi$ : CP-Violating Asymmetries

In the Standard Model,

$$\underline{\Delta g_C = -(2.4 \pm 1.2) \times 10^{-5}}$$

Other input values (large  $N_c, \dots$ ) are within errors.  
Rest of asymmetries are extremely sensitive to  
unknown counterterms.

First experimental results already appeared:

Preliminary 2003+2004 NA48/2

$$\Delta g_C = -(1.3 \pm 2.3) \times 10^{-4} \bullet \quad \Delta g_N = (2.1 \pm 1.9) \times 10^{-4} \bullet$$

(Calculations  Interesting uncertainty  $\sim 0.3 \times 10^{-4} \bullet$ )

$$K \rightarrow \pi\gamma^* \rightarrow \pi l^+ l^-$$

As an example: status and comments

ChPT LO and NLO dominant effects analysis done ✓

and typically, unknown couplings appear •

CP-conserving  $K^+ \rightarrow \pi^+ l^+ l^-$  and  $K_S \rightarrow \pi^0 l^+ l^-$  measured ✓

Dominated by long-distance  $K \rightarrow \pi\gamma^* \rightarrow \pi l^+ l^-$  •

One coupling governs form factor at LO in ChPT •

$K^+ \rightarrow \pi^+ l^+ l^-$ :  $\omega_+$  [ $\mathcal{O}(N_c)$ ] Ecker, Pich, de Rafael  
or  $a_+$  [ $\mathcal{O}(N_c)$ ] Ecker, D' Ambrosio, Isidori, Portolés

Included leading  $p^6$  improves fit •

$$K \rightarrow \pi \gamma^* \rightarrow \pi l^+ l^-$$

Measured at BNL:  $\omega_{+,e} = 1.49 \pm 0.02$  [ $\text{sign}(G_8) a_{+,e} = -(0.59 \pm 0.01)$ ] •

$$\underline{K_S \rightarrow \pi^0 l^+ l^-}$$

Analogously, one coupling ( $\mathcal{O}(1)$  in  $1/N_c$ ) governs form factor •

NA48/1 measured:  $\omega_{S,e} = [2.53_{-0.45}^{+0.56}] [-(1.87_{-0.45}^{+0.56})]$  [ $|a_{S,e}| = 1.12_{-0.23}^{+0.29}$ ] •

HyperCP and NA48/1: compatible results for muons ✓

$$\underline{K_L \rightarrow \pi^0 e^+ e^-}$$
: CP-violating

Small CP-conserving  $K_L \rightarrow \pi^0 \gamma^* \gamma^* \rightarrow \pi^0 e^+ e^-$  ✓

Buchalla, D' Ambrosio, Isidori; Isidori, Smith, Unterdorfer

$$K \rightarrow \pi \gamma^* \rightarrow \pi l^+ l^-$$

$$\begin{aligned} Br(K_L \rightarrow \pi^0 e^+ e^-)|_{CPV} &= \left[ (2.38 \pm 0.10) [\hat{y}_{7A}^2 + (\hat{y}_{7V} + M_{6V})^2] \left( \frac{\text{Im}\lambda_t}{10^{-4}} \right)^2 \right. \\ &+ (3.91 \pm 0.08) \left( \omega_{S,e} - \frac{1}{3} \right) (\hat{y}_{7V} + M_{6V}) \left( \frac{\text{Im}\lambda_t}{10^{-4}} \right) \\ &\left. + (3.41 \pm 0.03) \left( \omega_{S,e} - \frac{1}{3} \right)^2 \right] \times 10^{-12} \end{aligned}$$

$$\hat{y}_{7V,7A} \equiv \frac{y_{7V,7A}}{\alpha(M_Z)} ; \quad \frac{M_{6V}}{\hat{y}_{7V}} \equiv \frac{y_6 \langle Q_6 \rangle}{y_{7V} \langle Q_{7V} \rangle} = -(0.19 \pm 0.11) B_{6V} ;$$

$$\langle Q_6 \rangle |_{\text{FAC}}(\nu) = 32 \frac{\langle \bar{q}q \rangle^2(\nu)}{F_0^6} [2C_{63}^r - C_{65}^r] (M_\rho) ;$$

ChPT counterterm in  $K^0$  EM charge radius Bijnens, Talavera

$$2C_{63}^r - C_{65}^r = (1.8 \pm 0.7) 10^{-5} (F_0/87 \text{ MeV})^2 ; \text{ KTeV, NA48, } K_L \rightarrow \pi^+ \pi^- e^+ e^-$$

Four-quark effective action calculation

Bruno, J.P.

$$2C_{63}^r - C_{65}^r = (2.2 \pm 1.1) 10^{-5} (F_0/87 \text{ MeV})^2$$



$$K \rightarrow \pi \gamma^* \rightarrow \pi l^+ l^-$$

## Prediction of interference term sign: Status

Gilman, Wise 1980:

$$\text{sign}(G_8) a_S^{Q_{7V}} \simeq 0.12 > 0 \quad \Rightarrow \quad \underline{\text{Constructive}}$$

Buchalla, Isidori, D' Ambrosio 2003:

$Q_{7V}$  VMD dominance in  $a_S$  and large non-VMD in  $a_+$

$$\text{sign}(G_8 a_S^{\text{VMD}, Q_{7V}}) = -\text{sign}(G_8 a_+^{\text{VMD}, Q_{7V}}) > 0 \quad \Rightarrow \quad \underline{\text{Constructive}}$$

Friot, Greynat, de Rafael 2004:

Single pole large  $N_c$  inspired approximation fit ( $K^*$  and  $\rho$ )

$$\omega_+ = 1.4 \pm 0.6 > 1/3; \quad (\text{sign}(G_8) a_+ = -(0.5 \pm 0.3) < 0)$$

$$\omega_S = -(2.1 \pm 0.2) < 1/3; \quad (\text{sign}(G_8) a_S = -(1.2 \pm 0.1) < 0)$$

$\Rightarrow$  Destructive (sign erratum in interference term formula)

$$K \rightarrow \pi \gamma^* \rightarrow \pi l^+ l^-$$

Bruno, J.P. 1993 (updated  $L_9$ ):

Four-quark effective action model at NLO in  $1/N_c$ :  $Q_1, \dots, Q_6, Q_{7V}$

$$\omega_+ = 1.9 \pm 0.8 > 1/3; \quad (\text{sign}(G_8)a_+ = -(0.8 \pm 0.4) < 0)$$

$$\omega_S = 1.7_{-0.6}^{+1.3} > 1/3; \quad (\text{sign}(G_8)a_S = 0.7_{-0.3}^{+0.7} > 0) \Leftrightarrow \underline{\text{Constructive}}$$

Constructive vs Destructive:

Experimentally from muon forward-backward asymmetry •

Mescia, Smith, Trine; Donoghue, Gabbiani

Both,  $K_L \rightarrow \pi^0 e^+ e^-$  and  $K_L \rightarrow \pi^0 \mu^+ \mu^-$  necessary to disentangle new physics from SM (and long-distance from short-distance) •

Mescia, Smith, Trine

# Comments on Selected Topics

## Recent developments:

- $K^+ \rightarrow \pi^+ \pi^0 \gamma$ :

### Direct electric emission - Bremsstrahlung interference

Ecker, Pich, de Rafael; Bijnens, Ecker, Pich; Ecker, Neufeld, Pich; D'Ambrosio, Isidori; D'Ambrosio, Cappiello

NA48/2: First time interference is measured  $\Rightarrow$  Destructive

Theory expectations: Constructive ?

Again, sign of unknown coupling ?  $\Rightarrow$  More work is needed !

See talk by D' Ambrosio at FLAVIANet Kaon Mini-Workshop ●

# Comments on Selected Topics

- Interesting recent work on  $U_A(1)$  anomaly effects in radiative modes using U(3) ChPT •

Gérard, Smith, Trine

Better understanding of  $K_L \rightarrow \gamma\gamma$  and  $K_L \rightarrow \gamma l^+ l^-$

Important for  $K_L \rightarrow \mu^+ \mu^-$  dispersive  $\gamma\gamma$  contribution •

And could give sizeable effects in  $K_S \rightarrow \pi^0 \gamma\gamma$  and  $K^+ \rightarrow \pi^+ \gamma\gamma$  •

More experimental input on these rare decays is very welcome •

# Conclusions

Goal: Obtain short-distance (CP-violating phases) information and/or understand strong-weak dynamics interplay ●

⇒ Need theoretical effort to predict unknown couplings ●

Quoted recent analytic work in that direction is based in/or related to large  $N_c$  hadronic Ansatz

Catà, Golterman, Knecht, Peris, de Rafael; Cirigliano, Ecker, Eidemüller, Kaiser, Portolés, Pich, Rosell, Sanz-Cillero; Bijmans, Gámiz, Lipartia, J.P., ...

Lattice proposals on rare kaon decays appeared

Isidori, Martinelli, Turchetti

Lattice progress on non-leptonic kaon decays: See Sachrajda's talk

# Conclusions

⇒ Complementary and necessary:

Use different modes to disentangle SM from new physics  
(and long-distance from short-distance) •

- $\varepsilon'_K$  **VS**  $K^+ \rightarrow 3\pi$  **CP-violating asymmetries**
- $K_L \rightarrow \pi^0 e^+ e^-$  **VS**  $K_L \rightarrow \pi^0 \mu^+ \mu^-$
- $\varepsilon'_K$  **VS**  $K_L \rightarrow \pi^0 e^+ e^-$
- ...

# Conclusions

With expected theory and experimental effort  
⇒ Non-leptonic and radiative kaon decays  
will continue to give very nice and interesting  
results ●