



Lepton Universality Tests with Kaons

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for the

Flavianet Working Group on Kaon Decays

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Flavianet Kaon Working Group

<http://www.Inf.infn.it/wg/vus/>



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[Branching Ratios](#)
[Lifetimes](#)
[Form Factors](#)

[Radiative Corrections](#)
[SU\(3\) Breaking](#)
[Form Factors](#)

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Introduction & Outline

Violation of Lepton Universality:

Predicted by practically every **New Physics** model.

Kaon physics:

- Two main possibilities to test Lepton Universality:

K_{l3} decays

$K_{e3}/K_{\mu3}$, form factors

K_{l2} decays

$K_{e2}/K_{\mu2}$

- So far precisions poor (compared to e.g. pion decay), tests on levels of 1-2% (K_{l3}) or even 4% ($K_{e2}/K_{\mu2}$).
- Since ~ 2005 : Many new data available (blue: first reported at KAON07)
 - **K_{l3}** : KLOE (K_{e3}^{\pm} , $K_{\mu3}^{\pm}$, $K_{L,l3}$ form factors), ISTRA+ (K_{e3}^{-}), NA48 (K_{e3}^{\pm} , $K_{\mu3}^{\pm}$, $K_{L,\mu3}$ form factors).
 - **$K_{e2}/K_{\mu2}$** : $2 \times$ NA48/2, KLOE.



K_{l3} Decays

Expectations in for LFV in K_{l3} :

- Test for LFV in the vector current (different from K_{l2} , π_{l2} decays!).

⇒ To be compared with searches for LFV in τ decays:

$$\tau \rightarrow e\nu\bar{\nu} / \tau \rightarrow \mu\nu\bar{\nu} \quad \Rightarrow \quad g_{\mu}^2 / g_e^2 = 0.9998 \pm 0.0040$$

- SM transitions proceed via tree-level diagrams:

Possible violations of Lepton Universality from New Physics will always be small.

⇒ Precision measurements necessary!

Two possibilities to search for violation of Lepton Universality:

- Deviation of $\Gamma(K_{\mu 3}) / \Gamma(K_{e 3})$ from SM prediction.
- Possible differences in **form factors** between $K_{\mu 3}$ and $K_{e 3}$.

K_{l3} Widths and Lepton Universality

K_{l3} master formula:

$$\Gamma(K_{l3}(\gamma)) = \frac{G_F^2 m_K^5}{192\pi^3} C_K^2 S_{EW} |V_{us}|^2 |f_+(0)|^2 I_K^l (1 + \delta_K^l)^2$$

with: $C_K^2 = 1$ for K^0 , $= \frac{1}{2}$ for K^\pm .

$S_{EW} = 1.0232$: short-distance EW correction.

$f_+(0)$: hadronic matrix element at $q^2 = 0$ (different for K^\pm , K^0).

$I_K^l = I_K^l(\lambda_+, \lambda_0)$: integral of form factors over phase space.

$(1 + \delta_K^l)^2 \approx 1 + 2\delta_{SU(2)}^l + 2\delta_{EM}^l$: form factor corrections for $SU(2)$ breaking and long-distance EM interactions.

■ Lepton flavour independent: $C_K, S_{EW}, \delta_{SU(2)}^l$

■ Lepton flavour dependent: I_K^l, δ_{EM}^l

In case of **Lepton Non-Universality**:

$$G_F^\mu \neq G_F^e$$

K_{l3} Widths and Lepton Universality

- EM corrections** are small

(Cirigliano et al. (2002), updated by Cirigliano, Neufeld; errors are taken as uncorrelated [has to be improved].)

	δ_{EM}^e [%]	δ_{EM}^μ [%]	$(1 + \delta_{\text{K}}^\mu)^2 / (1 + \delta_{\text{K}}^e)^2$
K_{13}^0	+0.52(10)	+0.80(15)	1.006(4)
K_{13}^\pm	+0.03(10)	-0.12(15)	0.997(4)

- Phase space corrections** are large and depend on form factor slopes λ'_+ , λ''_+ , λ_0 .

Use form factor values from global Flavianet fit

(assuming lepton universality in the slopes and taking correlations into account).

	I_{K}^e	I_{K}^μ	$I_{\text{K}}^\mu / I_{\text{K}}^e$
$K_{L,13}$	0.15454(29)	0.10209(31)	0.6617(16)
K_{13}^\pm	0.15889(30)	0.10504(32)	0.6611(16)

K_{l3} Widths and Lepton Universality

⇒ Standard Model expectation:

$$R_{K\mu 3/K e 3} \equiv \frac{\Gamma(K_{\mu 3})}{\Gamma(K_{e 3})} = \left(\frac{G_F^\mu}{G_F^e} \right)^2 \frac{I_K^\mu}{I_K^e} \frac{(1 + \delta_K^\mu)^2}{(1 + \delta_K^e)^2} = \begin{cases} \mathbf{0.6657(31)} & K_L \\ \mathbf{0.6591(31)} & K^\pm \end{cases}$$

(Comparable uncertainties from I_K estimation and EM corrections.)

Parameter for Lepton Universality violation:

$$r_{\mu e} = \frac{(R_{K\mu 3/K e 3})_{\text{obs}}}{(R_{K\mu 3/K e 3})_{\text{SM}}} = \frac{\Gamma(K_{\mu 3})}{\Gamma(K_{e 3})} \frac{I_K^e}{I_K^\mu} \frac{(1 + \delta_K^e)^2}{(1 + \delta_K^\mu)^2} = \frac{G_F^\mu}{G_F^e}$$

Situation with 2004 data (using current form factor slopes and EM corrections):

- K^\pm modes: $r_{\mu e}^\pm = \mathbf{1.019(13)}$
- K_L modes: $r_{\mu e}^L = \mathbf{1.054(15)}$

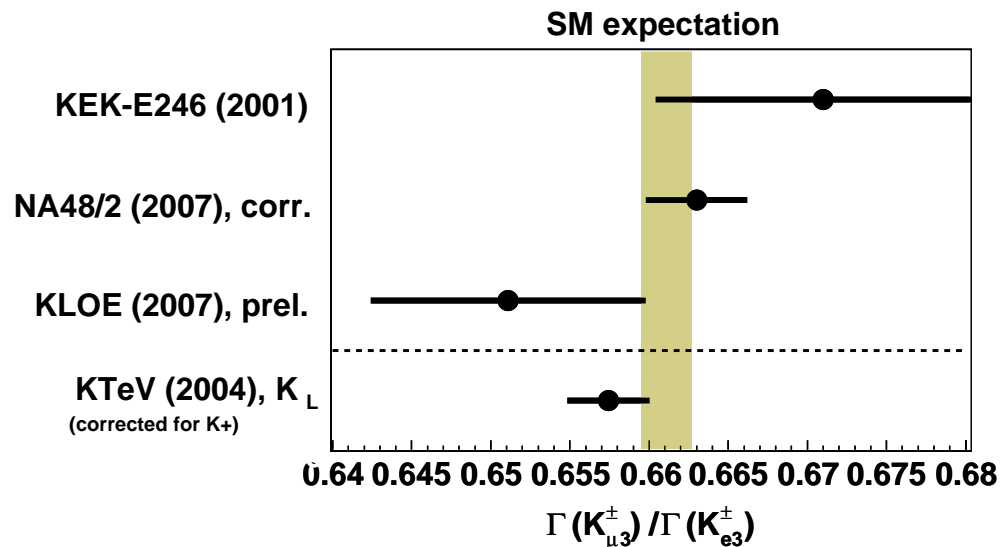
⇒ Intriguing deviation from Unity?!

(Compare with $\tau \rightarrow l\nu\bar{\nu}$: $g_\mu^2/g_e^2 = 0.9998(40)$)

Direct Measurements on

$$\Gamma(K_{\mu 3})/\Gamma(K_{e 3})$$

	Channel	$\Gamma(K_{\mu 3})/\Gamma(K_{e 3})$	
KTeV (PRL 93, 2004)	K_L	0.6640 ± 0.0026	
KEK-E246 (PLB 513, 2001)	K^+	0.671 ± 0.011	Using stopped kaons.
NA48/2 (EPJC 50, 2007)	K^\pm	0.663 ± 0.003	EPJ value corrected at KAON07.
KLOE (prelim. 2007)	K^\pm	0.6511 ± 0.0087	



Determination of $\Gamma(K_{\mu 3})/\Gamma(K_{e 3})$

Global *Flavianet* fit to all Kaon data

- Includes: All K^{\pm} , K_L , K_S BR's, form factor slopes, lifetimes.

⇒ M. Palutan, KAON07

$K_{L,S}$

18 input measurements:

5 **KTeV** ratios
NA48 $K_{e3}/2t$ and $\Gamma(3\pi^0)$
4 **KLOE** BRs
KLOE, NA48 $\pi^+\pi^-/K_{l3}$
KLOE, NA48 $\gamma\gamma/3\pi^0$
PDG ETAFIT for $\pi^+\pi^-/\pi^0\pi^0$
KLOE τ_L from $3\pi^0$
Vosburgh '72 τ_L

1 constraint: $\Sigma BR=1$

K^{+-}

31 input measurements:

5 older τ values in PDG
2 **KLOE** τ
KLOE BR($\mu\nu$)
KLOE $Ke3$, $K\mu3$ BRs
ISTRA+ $K_{e3}/\pi\pi^0$
NA48/2 $K_{e3}/\pi\pi^0$, $K_{\mu3}/\pi\pi^0$
E865 $K_{e3}/K_{\mu3}$
6 Chiang '72 BRs
3 old $\pi\pi^0/\mu\nu$
2 old $Ke3/2$ body
3 $K\mu3/Ke3$ (2 old)
2 old + 1 **KLOE** results on 3π

+ form factor slopes

Determination of $\Gamma(K_{\mu 3})/\Gamma(K_{e 3})$

- **K^{\pm} modes:** $r_{\mu e}^{\pm} = 1.0059(87)$ (was 1.019(13) before)

Error dominated by experiments (incl. scale factors).

- **$K_{L,S}$ modes:** $r_{\mu e}^{L,S} = 1.0039(56)$ (was 1.054(15) before)

Similar errors from experiments and knowledge on δ_{EM} .

- **Combination** of both modes: $r_{\mu e} = 1.0045(50)$
(taking into account $\rho = 0.12$)

⇒ **No indication of Lepton universality violation.**

Conclusions on $\Gamma(K_{\mu 3})/\Gamma(K_{e 3})$:

- Kaon sensitivity coming closer to τ decays! ($(g_{\mu}^2/g_e^2)_{\tau \rightarrow l\nu\bar{\nu}} = 0.9998(40)$)
- Experimental (BR, ff's) and theoretical errors (δ_{EM}) comparable.

K_{l3} — Form Factors

K_{l3} form factors:

K_{l3} matrix element:

$$\mathcal{M} \propto \mathbf{f}_+(q^2)(\mathbf{p}_K + \mathbf{p}_\pi)^\mu \bar{u}_l \gamma_\mu (1 + \gamma_5) u_\nu + \mathbf{f}_-(q^2) m_l \bar{u}_l \gamma_\mu (1 + \gamma_5) u_\nu$$

Scalar form factor: $\mathbf{f}_0(q^2) = \mathbf{f}_+(q^2) + \frac{q^2}{m_K^2 - m_\pi^2} \mathbf{f}_-(q^2)$

Linear/quadratic expansion:

$$f_+(q^2) = f_+(0) \left(1 + \lambda'_+ \frac{q^2}{m_{\pi^+}^2} + \frac{1}{2} \lambda''_+ \frac{q^4}{m_{\pi^+}^4} \right)$$

$$f_0(q^2) = f_+(0) \left(1 + \lambda_0 \frac{q^2}{m_{\pi^+}^2} \right)$$

(Not necessarily the best — but used by all experiments.)

K_{l3} — Form Factor Data

Current Data on K_{l3} form factor slopes:

	Channel	$\lambda'_+ \times 10^3$	$\lambda''_+ \times 10^3$	$\lambda_0 \times 10^3$
KTeV 2004	$K_L e3$	21.7 ± 2.0	2.9 ± 0.8	
	$K_L \mu3$	17.0 ± 3.7	4.4 ± 1.5	12.8 ± 1.8
KLOE 2006 KLOE prel.	$K_L e3$	25.5 ± 1.8	1.4 ± 0.8	
	$K_L \mu3$	with $K_L e3$	with $K_L e3$	15.6 ± 2.6
NA48 2004 NA48 2007	$K_L e3$	28.0 ± 2.4	0.4 ± 0.9	
	$K_L \mu3$	16.8 ± 3.3	4.0 ± 1.4	9.1 ± 1.4
ISTRA 2004 ISTRA 2004	$K^- e3$	24.9 ± 1.7	1.9 ± 0.9	
	$K^- \mu3$	23.0 ± 6.4	2.3 ± 2.3	17.1 ± 2.2

(KLOE $K_{\mu3}$ data not used due to combined fit with K_{e3} .)

K_{l3} — Form Factor Slopes

K_{e3} slopes only:

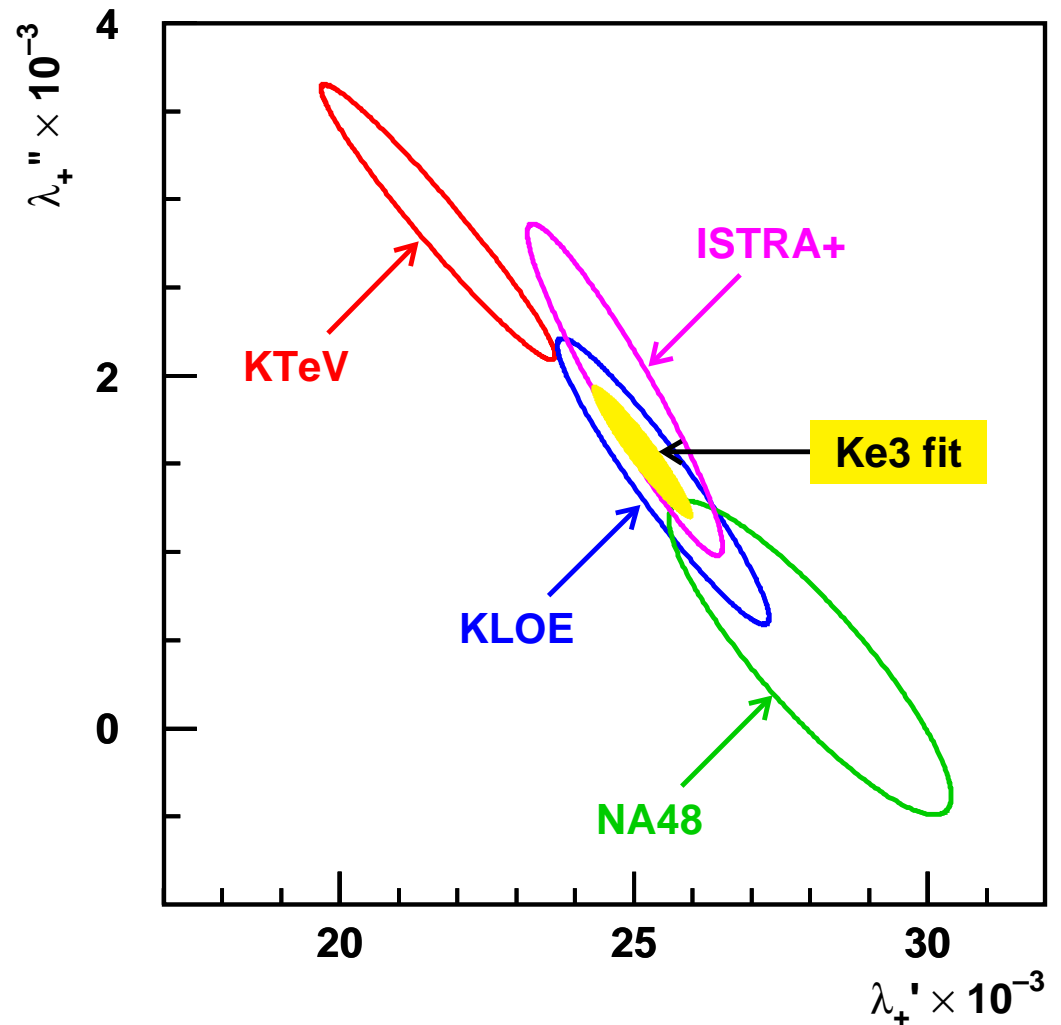
Combined fit:

$$\lambda'_+ = (25.1 \pm 0.9) \times 10^3$$

$$\lambda''_+ = (1.6 \pm 0.4) \times 10^3$$

Correlation $\rho = -94.1\%$

(Ellipses = 1σ contours)



K_{l3} — Form Factor Slopes

$K_{\mu 3}$ slopes only:

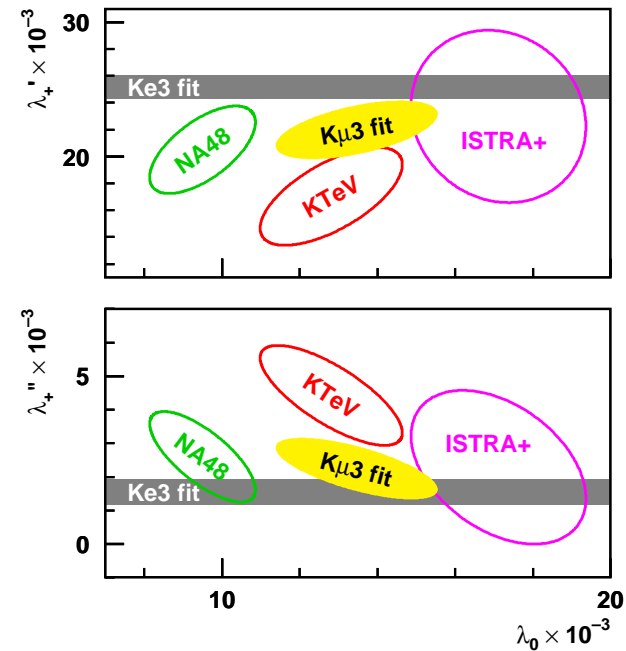
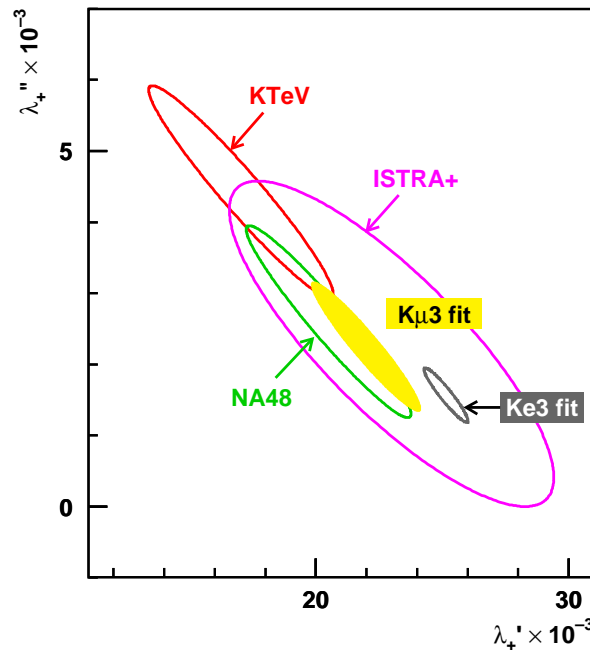
$$\lambda'_+ = (22.0 \pm 2.2) \times 10^3$$

$$\lambda''_+ = (2.3 \pm 0.9) \times 10^3$$

$$\lambda_0 = (13.5 \pm 2.1) \times 10^3$$

Correlations:

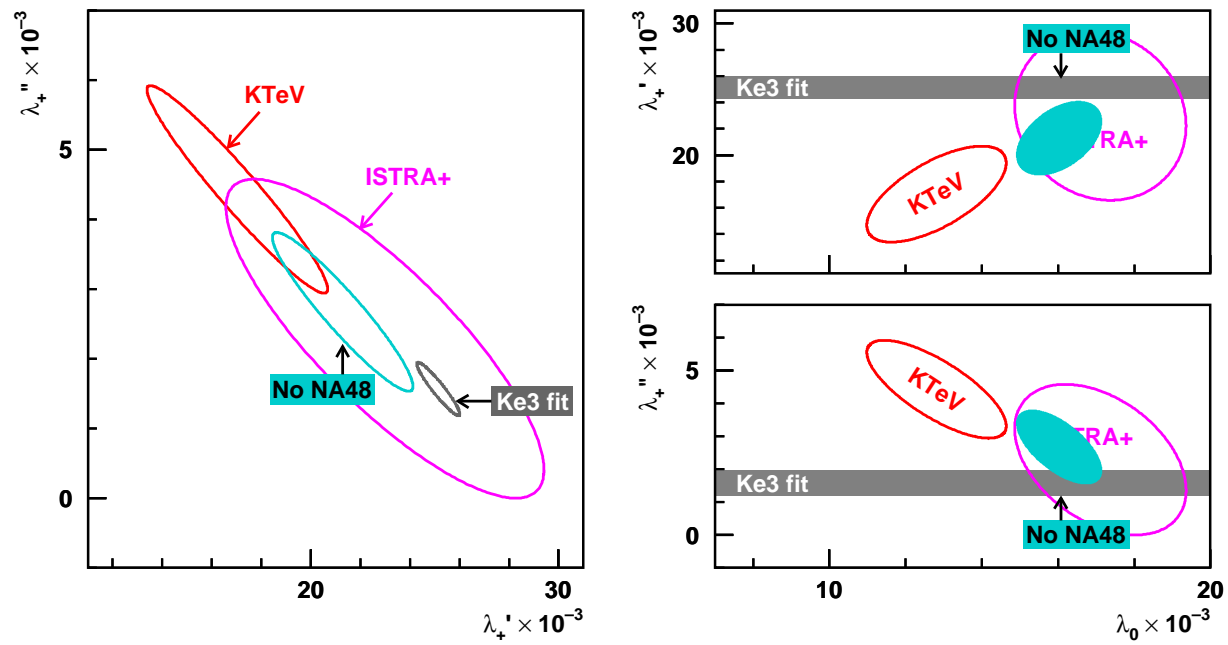
	λ'_+	λ''_+
λ'_+		-0.949
λ_0	0.540	-0.679



Agreement with K_{e3} poor, mostly driven by NA48/2 value on λ_0 .

K_{l3} — Form Factor Slopes

Excluding NA48 $K_{L,\mu 3}$ from the fit:



Non-SM Interactions in K_{l3}

Right-handed currents in the quark sector:

(Bernard, Oertel, Passemar, Stern, PLB 638 (2006).

⇒ Talks Passemar, Stern, KAON07)

- Charged current interaction:

$$\mathcal{L}_{CC} = \tilde{g} \left[l_\mu + \frac{1}{2} \begin{pmatrix} u \\ c \\ t \end{pmatrix} (\mathcal{V}_{\text{eff}} \gamma_\mu + \mathcal{A}_{\text{eff}} \gamma_\mu \gamma_5) \begin{pmatrix} d \\ s \\ b \end{pmatrix} \right] W^\mu + \text{h.c.}$$

Standard Model: $\mathcal{V}_{\text{eff}} = -\mathcal{A}_{\text{eff}} = V_{\text{CKM}}$

- Absence of right-handed CC well-tested in the lepton sector.
However: Need not to be the same in the quark sector.

No stringent tests of right-handed quark couplings so far!

Non-SM Interactions in K_{l3}

$K_{\mu 3}$ Decays:

- In the chiral limit: Normalized scalar form factor $f_0(q^2)/f_+(0)$ known at the **Callan-Treiman point** $q^2 = \Delta_{K\pi} = m_K^2 - m_\pi^2$ from BR measurements.
RH currents would cause a deviation.
- $\ln C = \ln f(\Delta_{K\pi})$ can be measured using **dispersive approach** for form factor parametrization.
- NA48 fit of $K_{L\mu 3}$ data:

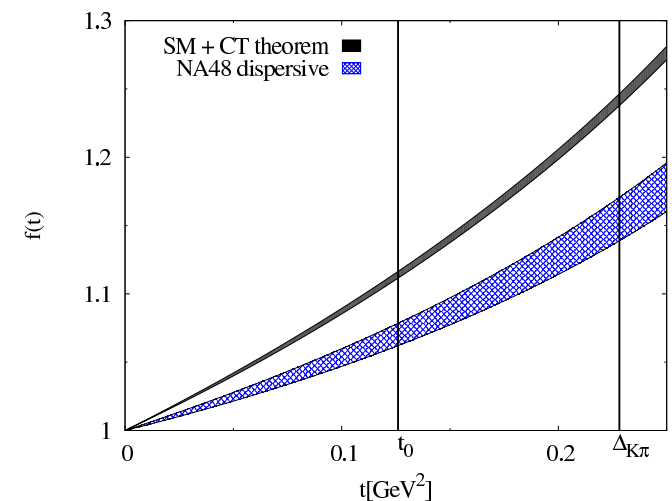
$$\ln C = 0.1438(80)_{\text{stat}}(112)_{\text{syst}}$$



$$2(\epsilon_S - \epsilon_{NS}) + \tilde{\Delta}_{CT} = -0.071 \pm 0.015$$

$\epsilon_S, \epsilon_{NS}$: Parameters of RH currents.

$\tilde{\Delta}_{CT}$: χ PT correction $\sim \mathcal{O}(10^{-3})$



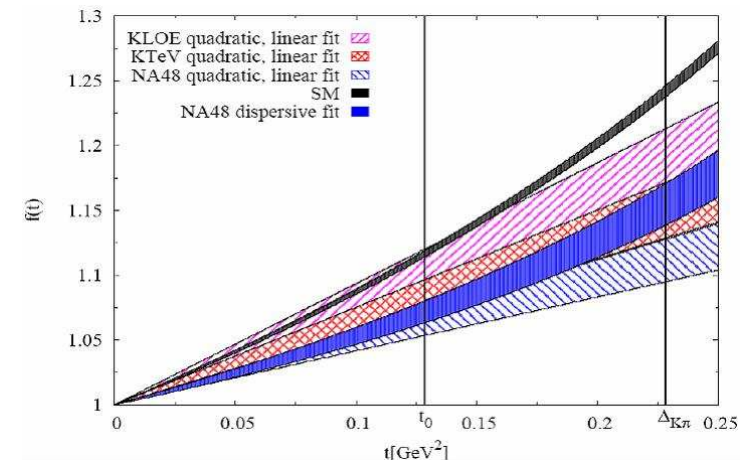
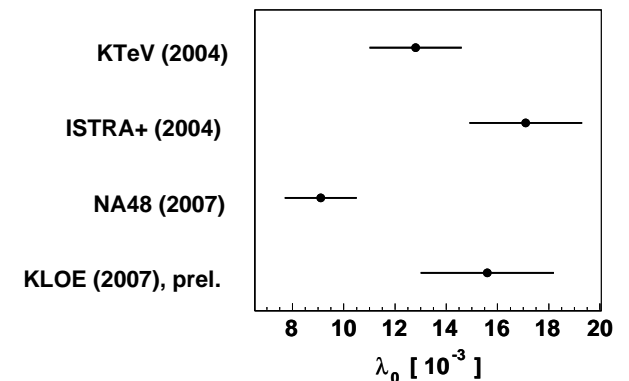
Non-SM Interactions in K_{l3}

Conclusions on Right-Handed Quark Currents:

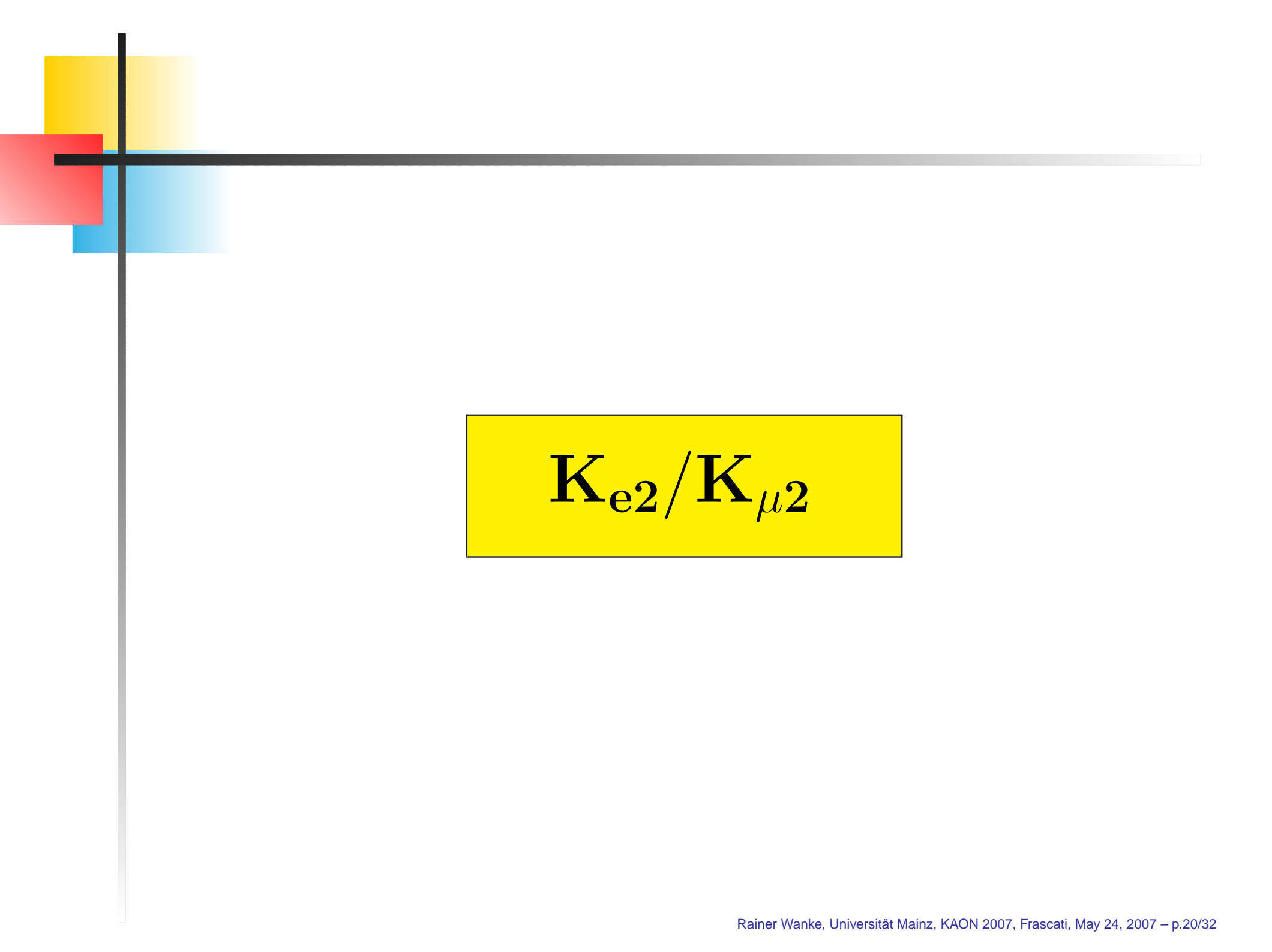
- NA48 sees effect ($\sim 5\sigma$) in $K_{\mu 3}$ at the Callan-Treiman point.
- No other experiment has (yet) performed a fit with dispersive parametrization.
- *However:* Other experiments disagree with NA48 in slope λ_0 of scalar form factor. Correlation between λ_0 and $\ln C$ unclear.
- Data of other experiments need to be investigated.



Situation not yet clarified.



E. Passemar, KAON07


$$K_{e2}/K_{\mu2}$$

Standard Model Prediction:

- $R_K = \Gamma(K_{e2})/\Gamma(K_{\mu2})$ text book exercise for helicity suppression, but must include radiative corrections: (M. Finkemeier, PLB 387 (1996))

$$\begin{aligned} R_K &= R_K^{(0)} (1 + \delta R_K^{\text{rad.corr.}}) = 2.569 \times 10^{-5} \times (0.9622 \pm 0.0004) \\ &= (2.472 \pm 0.001) \times 10^{-5} \end{aligned}$$

⇒ SM prediction has precision of 0.04%!

Caveat: Radiative corrections model dependent (VMD).

More thorough χ PT study underway (Cirigliano).

Possibilities for non-SM Physics in $K_{e2}/K_{\mu2}$:

- K_{e2} is strongly suppressed and extremely well-known in the SM
⇒ Non-SM effects *a priori* are easier to detect than in e.g. K_{l3} .
- SUSY: LFV H^\pm couplings may enhance/lower SM K_{e2} decay width by up to 2 – 3%.
(Masiero, Paradisi, Petronzio (2006) ⇒ P.Paradisi, KAON07)

$K_{e2}/K_{\mu2}$ — *Measurements*

PDG 2006: Three measurements from the 1970's

$$\Gamma(\mathbf{K}_{e2})/\Gamma(\mathbf{K}_{\mu2}) = (2.45 \pm 0.11) \times 10^{-5}$$

Three new **preliminary measurements:**

■ **NA48/2 (2003 data), presented in 2005:**

- About 4000 signal events from normal running period.
- Systematics dominated by trigger efficiencies.

$$\Gamma(\mathbf{K}_{e2})/\Gamma(\mathbf{K}_{\mu2}) = (2.416 \pm 0.043 \pm 0.024) \times 10^{-5}$$

■ **NA48/2 (2004 data), presented at KAON07:**

- About 4000 signal events from special minimum bias trigger.
- Small systematics, except background.
(measured from data → large statistical uncertainty in syst. error.)
- Completely uncorrelated with 2003 measurement.

$$\Gamma(\mathbf{K}_{e2})/\Gamma(\mathbf{K}_{\mu2}) = (2.455 \pm 0.045 \pm 0.041) \times 10^{-5}$$

$K_{e2}/K_{\mu2}$ — Measurements

■ KLOE, presented at KAON07:

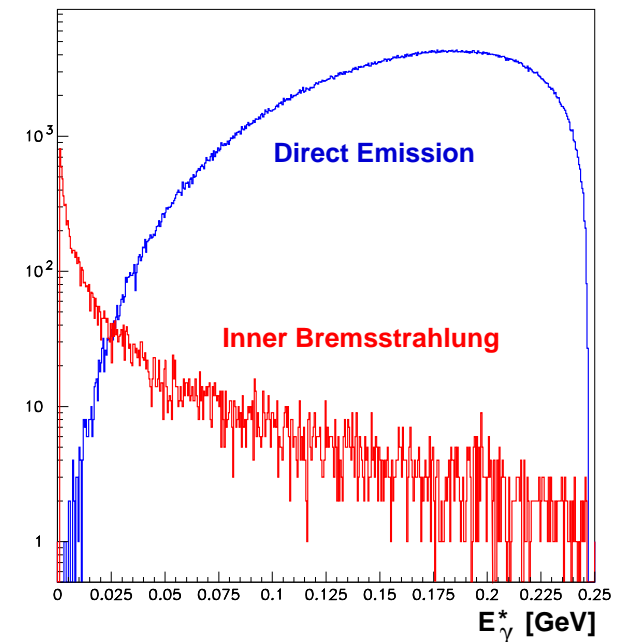
- About 8000 signal events from 1.7 fb^{-1} .
- Statistics dominated by MC, conservative systematics estimation.

$$\Gamma(K_{e2})/\Gamma(K_{\mu2}) = (2.55 \pm 0.05 \pm 0.05) \times 10^{-5}$$

Treatment of radiative corrections:

- SM prediction includes IB, excludes DE.
- All experiments measure inclusive $\Gamma(K_{e2(\gamma)})/\Gamma(K_{\mu2(\gamma)})$, but correct for DE contributions.

⇒ Can easily be combined and compared with SM expectation.

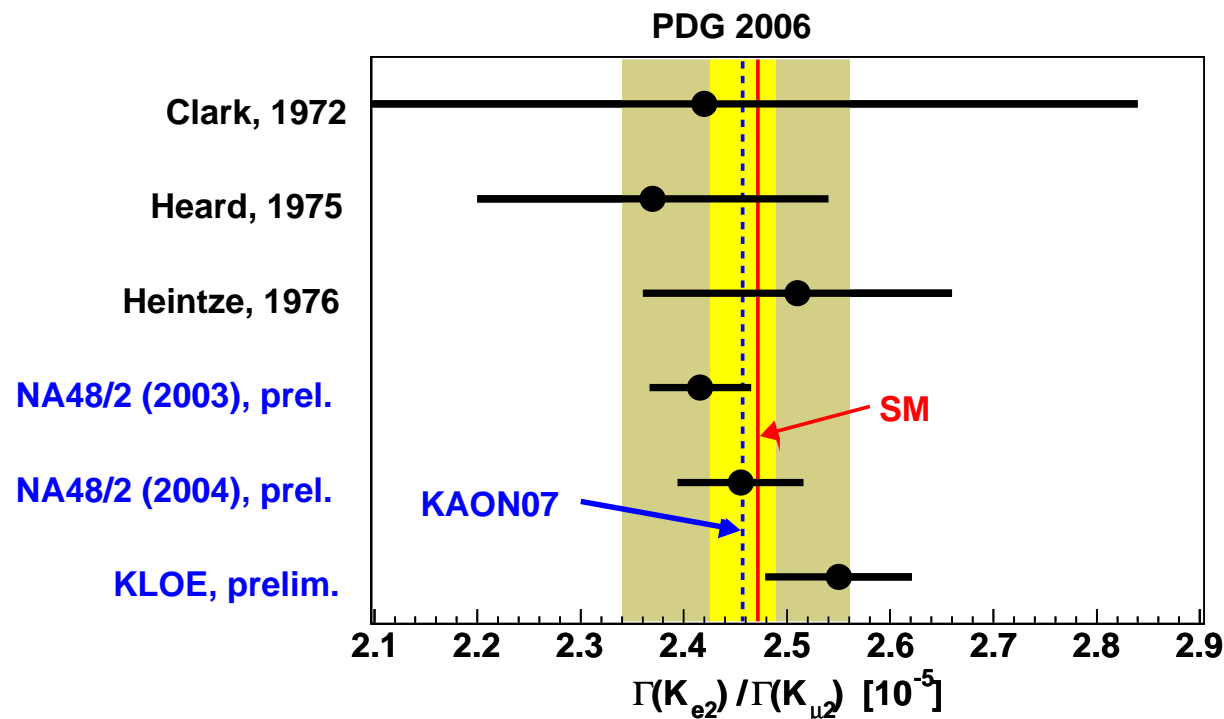


$K_{e2}/K_{\mu2}$ — Measurements

Combine all preliminary results and PDG2006:

$$\Gamma(K_{e2})/\Gamma(K_{\mu2}) = (2.457 \pm 0.032) \times 10^{-5} \quad (\chi^2/n_{\text{dof}} = 2.44/3)$$

- Huge improvement w.r.t PDG 2006, $\sigma_{\text{rel.}} = 1.3\%$ now!
- Perfect agreement with SM expectation.



$K_{e2}/K_{\mu2}$ — Restrictions on New Physics

Limit on LFV in H^\pm coupling:

(Masiero, Paradisi, Petronzio, PRD 74, 2006)

LFV Yukawa coupling:

$$lH^\pm\nu_\tau \rightarrow \frac{g_2}{\sqrt{2}} \frac{m_\tau}{M_W} \Delta_{13} \tan^2 \beta$$

Lepton-flavour violating term: Δ_{13}

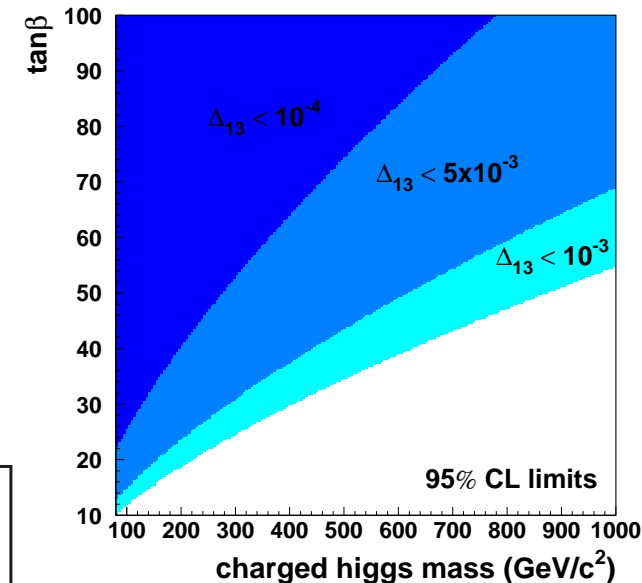
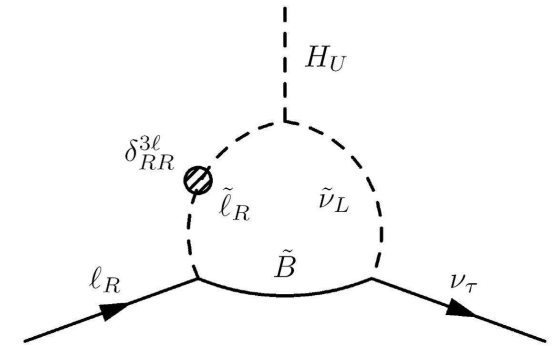
(should be $\leq 10^{-3}$ from EW theory, but $\neq 0$)



Limit on LFV in K_{e2} converts to limit on

$$\Delta_{13} = \Delta_{13}(M_{H^\pm}, \tan \beta):$$

$$R_K^{\text{LFV}} \approx R_K^{\text{SM}} \left[1 + \left(\frac{m_K^4}{M_{H^\pm}^4} \right) \left(\frac{m_\tau^2}{M_e^2} \right) |\Delta_{13}|^2 \tan^6 \beta \right]$$



$K_{e2}/K_{\mu2}$ — Comparison with $B \rightarrow \tau\nu_\tau$

$B^\pm \rightarrow \tau^\pm \nu_\tau$ Decays:

- Also in $B^\pm \rightarrow \tau^\pm \nu_\tau$:
Possible transition via H^\pm ,
sensitivity to M_{H^\pm} , $\tan\beta$.
- No LFV required
 \implies No Δ_{13} term
- Dependency on M_{H^\pm} , $\tan\beta$:
(Isidori, Paradisi, PLB 639, 2006)

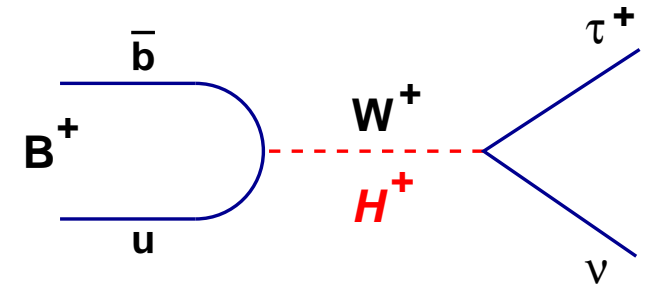
$$\frac{\text{Br}_{\text{SUSY}}}{\text{Br}_{\text{SM}}} = \left[1 - \left(\frac{m_B^2}{M_{H^\pm}^2} \right) \frac{\tan^2\beta}{1 + \epsilon_0 \tan\beta} \right]^2$$

($\epsilon_0 \sim 0.01$)

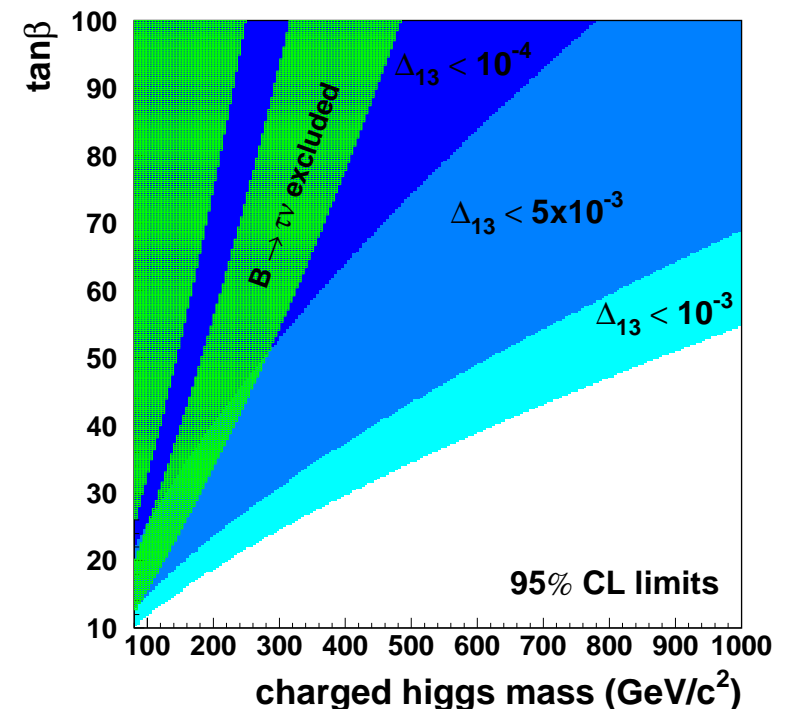


For non-tiny Δ_{13} :

**Sensitivity to H^\pm in $K_{e2}/K_{\mu2}$
better than in $B \rightarrow \tau\nu_\tau$!**



$\text{Br}(B \rightarrow \tau\nu) = (1.42 \pm 0.44) \cdot 10^{-4}$
(current BaBar/Belle average)



KLOE:

- Has $\sim 20\%$ more data on tape.
- Another ~ 3000 events with other reconstruction method.
- Improve MC statistics & systematics

⇒ Should arrive at $\sigma_{\text{rel}}(\mathbf{R}_K) \sim \pm 1\%$.

P-326: (also known as NA48/3)

- Similar setup as for NA48/2 (2004) prel. measurement, use of most parts of existing NA48 apparatus.
- Plan: 4 months (June-October 2007) run period

⇒ Collect $\sim 150\,000$ K_{e2} decays.

⇒ Goal to reach $\sigma_{\text{rel}}(\mathbf{R}_K) \sim \pm 0.3\%$.

$K_{e2}/K_{\mu2}$ — P-326 run 2007

■ Beam parameters 2007 optimized for $K_{e2}/K_{\mu2}$ w.r.t. 2004:

- Kaon mean momentum p : 60 GeV/c → 75 GeV/c
- Kaon momentum bite $\Delta p/p$: ± 3 GeV/c → ± 2.5 GeV/c
- p_T kick from spectrometer magnet: 120 MeV/c → 263 MeV/c

⇒ Improved kinematic separation of K_{e2} !

■ Minimum bias trigger:

- for K_{e2} : Hodoscope hits
+ min. energy in the LKR
- for $K_{\mu2}$ (downscaled):
Just hodoscope ($\epsilon > 99\%$)

Experience from 2004 run:

Systematics under control.

Only systematic $> 0.2\%$:

Background to K_{e2} ,
error is statistical.

Source	Preliminary	Relative error
Ke2 sample statistics		1.85%
Kmu2 sample statistics		0.05%
E/p correction for the electrons (E/p>0.95 cut)		0.18%
E/p correction for the electrons (flatness with Ptrk)		0.16%
E/p correction for the muons (E/p<0.2 cut)		Negligible
Trigger efficiency		0.3%
MC statistics Ke2		0.3%
Acceptance systematics		0.07%
Radiative corrections		0.12%
Muons with E/p>0.95 flatness		0.2%
Background subtraction		1.59%
Total statistical error		1.85%
Total systematics error		1.66%

V. Kozhuharov, KAON07

Background to K_{e2} :

Mainly $K_{\mu2}$ in K_{e2} sample.

- $p_{\text{track}} < 35 \text{ GeV}/c$: ($\sim 43\%$)

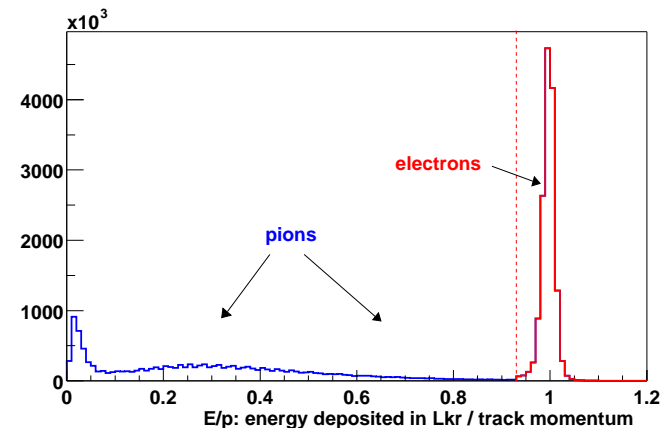
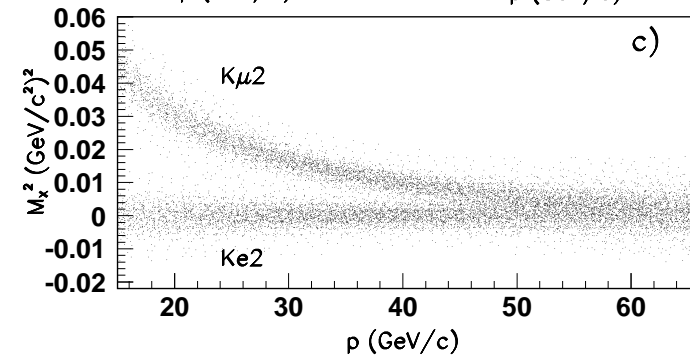
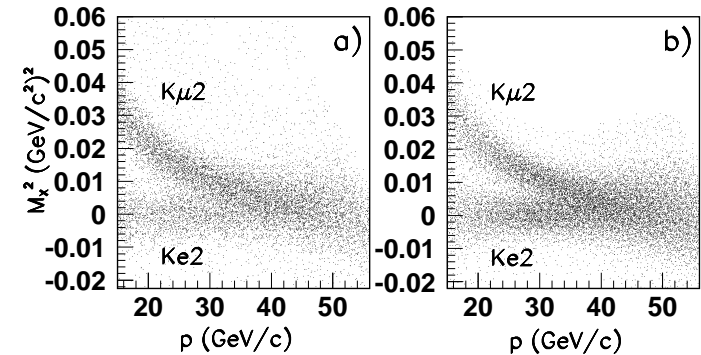
Kinematic separation

Build M_{miss}^2 under e -assumption.

- $p_{\text{track}} > 35 \text{ GeV}/c$: ($\sim 57\%$)

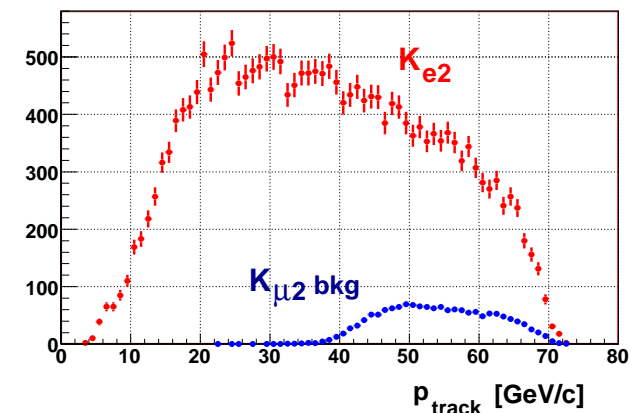
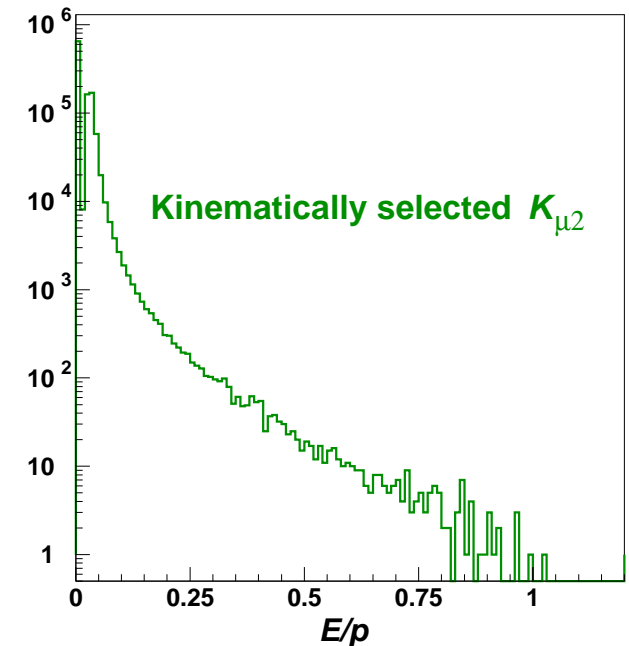
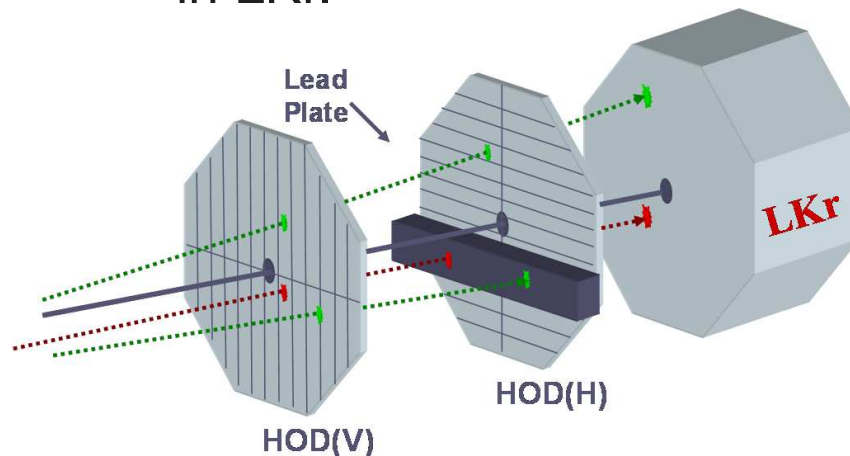
Electron identification

Require electron-ID from $E_{\text{Lkr}}/p_{\text{track}}$.



Problem at high momenta:

- **Catastrophic energy-loss** of $\sim 5 \cdot 10^{-6}$ of muons in the LKr.
⇒ mis-identified as electrons.
- Solution:
Lead bar between hodoscope planes in front of LKr, covering $\sim 18\%$ of acceptance
⇒ Only μ pass, E/p measured in LKr.



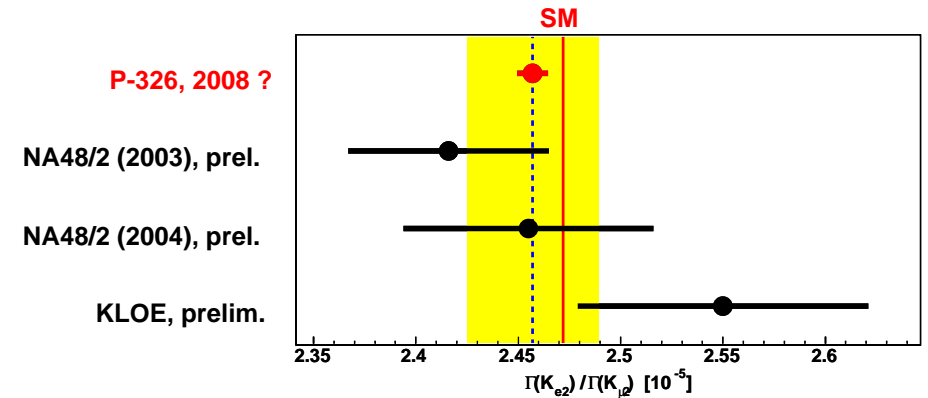
$K_{e2}/K_{\mu2}$ — Expectations

Expected precision on $K_{e2}/K_{\mu2}$:

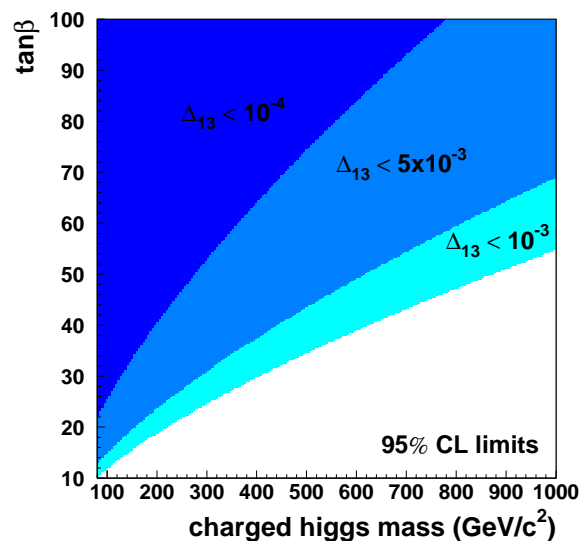
- Statistical: $\pm 0.28\%$
- Systematic: $\leq \pm 0.2\%$

Overall expected reach:

$$\sigma(R_K)/R_K \approx \pm 0.32\%$$

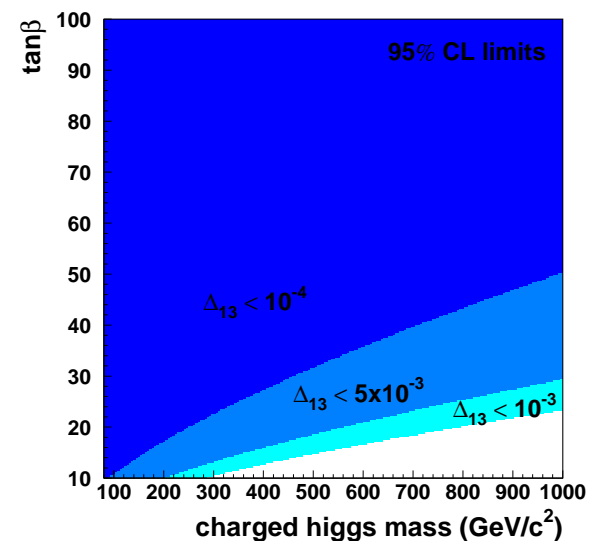


KAON07:



KAON09 ?!

same R_K central value



■ K_{l3} Decays:

- New **BR results** agree with SM expectation on **0.5% level**.
Theoretical uncertainties start to be important.
- Indication for non-SM couplings from NA48 $K_L\mu 3$ decays needs to be investigated with data of other experiments.

■ Ratio $\Gamma(K_{e2})/\Gamma(K_{\mu2})$:

- Two new preliminary results reported by KLOE and NA48/2.
⇒ **Precision on $\Gamma(K_{e2})/\Gamma(K_{\mu2})$ now 1.3%**.
- P-326 will perform dedicated run this year
to reach $\sigma_{\text{rel}}(\Gamma(K_{e2})/\Gamma(K_{\mu2})) \sim 0.3\%$
⇒ Sensitivity to LFV in SUSY!