

# Progress on supersymmetric effects in rare K decays

$U^b$

Christopher Smith

- Outline

*A- Motivation and generalities*

*B- SUSY effects in  $K \rightarrow \pi V \bar{V}$*

*C- SUSY effects in  $K_L \rightarrow \pi^0 \ell^+ \ell^-$*

*D- Conclusion*

# Motivation and generalities

- Why rare K decays are so interesting?

$$K_L \rightarrow \pi^0 \nu \bar{\nu}, K^+ \rightarrow \pi^+ \nu \bar{\nu}, K_L \rightarrow \pi^0 e^+ e^-, K_L \rightarrow \pi^0 \mu^+ \mu^-$$

- “*Would-be forbidden*” modes in the SM  $\rightarrow$  *New Physics can be dominant*
- Helicity-suppression:  $Br(K_L \rightarrow e^+ e^-)_{\text{exp}} = 9_{-4}^{+6} \times 10^{-12}$
- Lepton Flavor Violation:  $Br(K_L \rightarrow \mu^\pm e^\mp)_{\text{exp}} < 4.7 \times 10^{-12}$
- Flavor Changing Neutral Currents



*GIM mechanism*: probe the SM at the quantum level (loop).

- *CP-violating FCNC*: Additional suppression in the SM ( $\text{Im} \lambda_t = \text{Im}(V_{td} V_{ts}^*) \sim 10^{-4}$ )
- Heaviest SM particle (top quark) gives the largest contribution
- $\rightarrow$  Well-controlled perturbative regime.

- *Semi-leptonic decays*: QCD effects under excellent control (compare with  $\epsilon'/\epsilon$ )  
(FCNC and CC matrix-elements are related).

- *The only theoretically clean window on the  $\Delta S = 1$  sector*
- $\rightarrow$  Essential input for the “inverse problem” in the LHC era.

• A few generalities about the MSSM

**Supersymmetry:** Unify matter (fermions) and interactions (bosons).

- By-products:**
- LSP and dark-matter,
  - Gauge-coupling unification,
  - Powerful shield for the EW-scale physics

**MSSM:** the simplest (phenomenologically viable) realization of supersymmetry

- Characteristics:**
- Doubling of matter & gauge degrees of freedom,
  - Very specific Higgs sector (2HDM - type II),
  - Few free parameters in its supersymmetric sector.

- Problems:**
- Supersymmetry must be broken, but how?  
 → *Effective description* (many free parameters).
  - Origin of masses and mixings not explained.

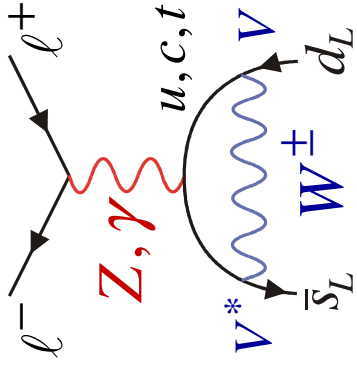
**Soft-supersymmetry breaking:** Introduces a very rich flavor-breaking sector

$$\mathcal{L}_{\text{soft-breaking}} = -\mathbf{m}_Q^2 \tilde{Q}^* \tilde{Q} - \mathbf{m}_U^2 \tilde{U}^* \tilde{U} - \mathbf{m}_D^2 \tilde{D}^* \tilde{D} - \tilde{U} \mathbf{A}^U \tilde{Q} \cdot H_u + \tilde{D} \mathbf{A}^D \tilde{Q} \cdot H_d + \dots$$

Impact on the FCNC's:

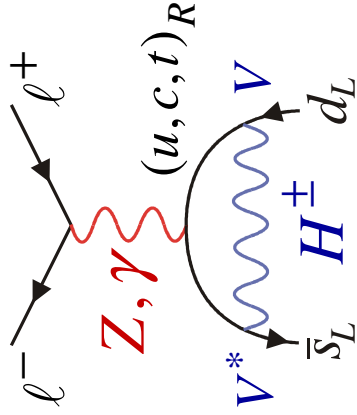
Standard Model:

FCNC arise at one-loop,  
( $V = CKM$ )

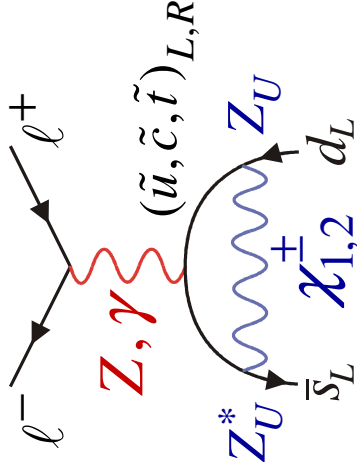


(+ boxes)

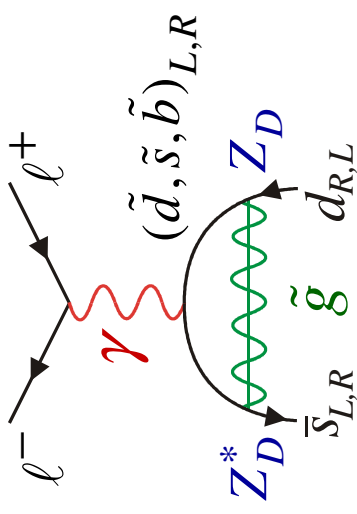
Charged Higgs:



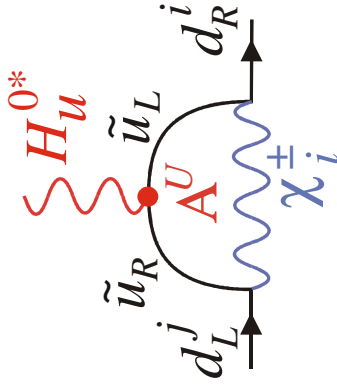
Charginos- up-squarks:



Gluinos- down-squarks:



Neutral Higgses at large  $\tan \beta = v_u / v_d \approx m_t / m_b \approx 50$ :



$$\mathcal{L}_{\text{eff}} = \bar{d}_R^i Y_d^{ik} (H_d^0 + \epsilon Y_u^\dagger Y_u H_u^{0*})^{kj} d_L^j$$

Mismatch between mass-matrices  
and Higgs couplings at one-loop.

- What rare K decays can tell us?

*Too many free parameters?* Bottom-up approach:

Observed FCNC & CP-violation: *small departures* with respect to the SM.

- Assume LHC fixes (at least part of) the mass spectrum.
- Start from minimal (universal) soft-breakings (and no RPV):
  - mSUGRA* (mostly for LHC),
  - Alignment* of squarks with quarks (super-CKM basis),
  - Minimal flavor violation* (most natural for the flavor sector).
- Probe possible signatures of departures from minimal soft-breakings.

**Constrain SUSY-breaking models**, as they imply specific soft-breaking structures.

Information from the *three sectors*  $K(s \rightarrow d)$ ,  $B_d(b \rightarrow d)$ ,  $B_s(b \rightarrow s)$  *crucial*.

• The “leading order basis” : Minimal Flavor Violation

Generically, *MFV designed to suppress FCNC*, but this leaves some freedom in how it is to be defined or implemented:

- *Phenomenological or “constrained MFV”*:

*No new operators, no new phase*, CKM rules all the FCNC (unique CPV phase).

*Buras, Gambino, Gorbahn, Jager, Silvestrini (‘00)/Bobeth, Bona, Buras, Ewerth, Pierini, Silvestrini, Weiler (‘05)/...*

- *From a symmetry principle*: (adopted here)

*SM Yukawas remain the only source of flavor-breaking*:

- SM has a global  $G = SU(3)^3$  flavor symmetry, broken only by  $Y_u, Y_d$ .
- In the MSSM, this symmetry also broken by the *soft-breaking terms*, therefore:

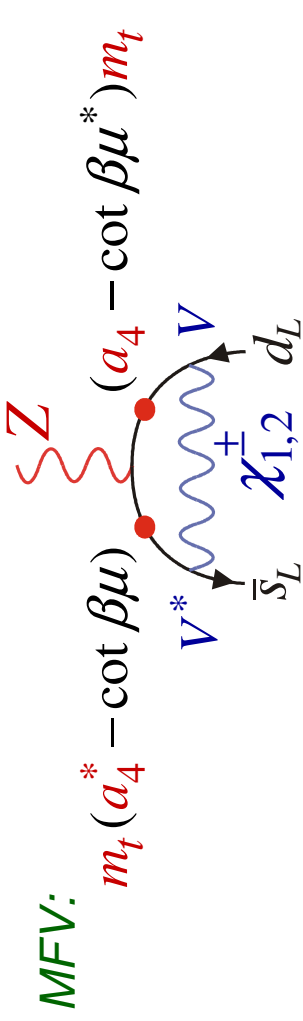
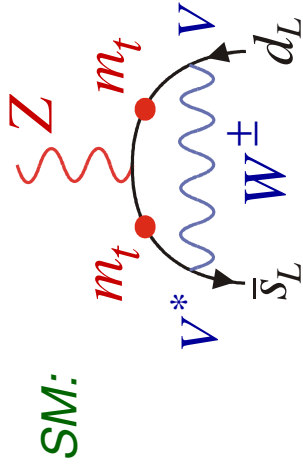
$$\left\{ \begin{array}{l} \mathbf{m}_Q^2 = m_0^2 \left( \tilde{a}_1 \mathbf{1} + \tilde{b}_1 \mathbf{Y}_u^\dagger \mathbf{Y}_u + \tilde{b}_2 \mathbf{Y}_d^\dagger \mathbf{Y}_d + \tilde{b}_3 (\mathbf{Y}_d^\dagger \mathbf{Y}_d \mathbf{Y}_u^\dagger \mathbf{Y}_u + \mathbf{Y}_u^\dagger \mathbf{Y}_u \mathbf{Y}_d^\dagger \mathbf{Y}_d) \right), \\ \mathbf{m}_U^2 = m_0^2 \left( \tilde{a}_2 \mathbf{1} + \tilde{b}_4 \mathbf{Y}_u \mathbf{Y}_u^\dagger \right), \mathbf{m}_D^2 = m_0^2 \left( \tilde{a}_3 \mathbf{1} + \tilde{b}_5 \mathbf{Y}_d \mathbf{Y}_d^\dagger \right), \\ \mathbf{A}^U = a_0 \mathbf{Y}_u \left( \tilde{a}_4 \mathbf{1} + \tilde{b}_6 \mathbf{Y}_d^\dagger \mathbf{Y}_d \right), \mathbf{A}^D = a_0 \mathbf{Y}_d \left( \tilde{a}_5 \mathbf{1} + \tilde{b}_7 \mathbf{Y}_u^\dagger \mathbf{Y}_u \right) \end{array} \right. \quad \tilde{a}_i, \tilde{b}_i \sim \mathcal{O}(1)$$



- The CKM matrix is the only source of flavor-breakings, since (neglecting  $b$ 's):

$$M_{\tilde{u}}^2 \approx \begin{array}{c|ccc} a_1^2 & 0 & 0 & 0 \\ 0 & a_1^2 & 0 & 0 \\ 0 & 0 & a_1^2 + m_t^2 & 0 \\ \hline 0 & 0 & 0 & a_2^2 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & a_2^2 \\ 0 & 0 & (a_4 - \cot \beta \mu^*) m_t & 0 \\ 0 & 0 & 0 & a_2^2 + m_t^2 \end{array} \quad \text{for} \quad \begin{pmatrix} \tilde{u}_L \\ \tilde{c}_L \\ \tilde{t}_L \\ \tilde{u}_R \\ \tilde{c}_R \\ \tilde{t}_R \end{pmatrix} \quad \text{(super-CKM)}$$

e.g., LR-mixing in the chargino Z penguin  $\sim m_t^2 V_{ts}^* V_{td} |a_4^* - \cot \beta \mu|^2$ :



**Remarks:**

- Introduces “minimal” departures with respect to **mSUGRA**:
- Approximate CCB/UFB:  $|a_{4(5)}|^2 \lesssim 3(a_1^2 + a_2^2)$
- $a_{1,2,3} \equiv m_0^2 \tilde{a}_{1,2,3}$ ,  $b_{1,\dots,5} \equiv m_0^2 \tilde{b}_{1,\dots,5}$ ,  $a_{4.5} \equiv a_0 \tilde{a}_{4.5}$ ,  $b_{6,7} \equiv a_0 \tilde{b}_{6,7}$ .

$$\begin{cases} m_Q^2 = m_U^2 = m_D^2 = m_0^2 \mathbf{1} \\ \mathbf{A}^U = a_0 \mathbf{Y}_u, \mathbf{A}^D = a_0 \mathbf{Y}_d \end{cases}$$

SUSY effects in  $K \rightarrow \pi \nu \bar{\nu}$

$$\underline{K \rightarrow \pi V \bar{V}}$$

### 1- SUSY effects in the SM operator

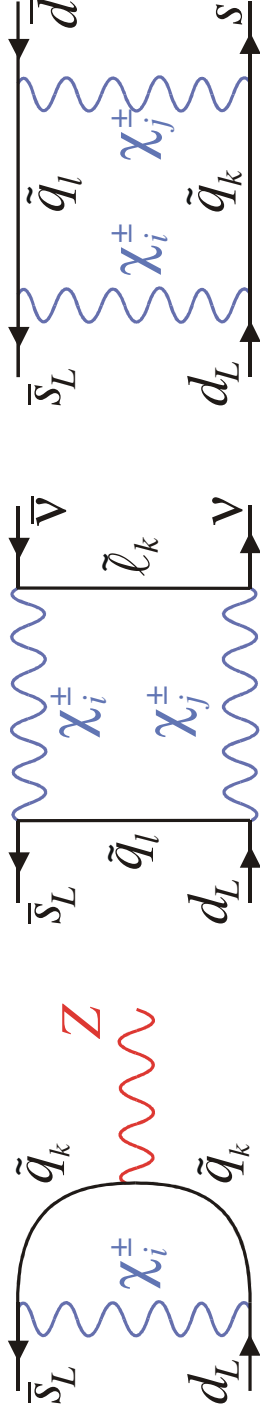
$$\begin{aligned}
 H_{\text{eff}}(K \rightarrow \pi V \bar{V}) &\sim y_L^\nu (\bar{s}d)_{V-A} (\bar{V}V)_{V-A} + y_R^\nu (\bar{s}d)_{V+A} (\bar{V}V)_{V-A} \\
 &\sim \underbrace{(y_L^\nu + y_R^\nu)}_X (\bar{s}d)_V (\bar{V}V)_{V-A}
 \end{aligned}$$

General analysis in terms of a single complex quantity. *Buras, Romanino, Silvestrini('98)*

### MSSM at moderate $\tan\beta$ :

Dominant effect from *chargino penguins*, boxes smaller and constrained by  $\Delta S = 2$  :

*Nir, Worah ('98)/Buras, Romanino, Silvestrini ('98)*



Breaking of  $SU(2)_L \sim (\delta_{RL}^U)^*_{32} (\delta_{RL}^U)_{31}$ , hence very sensitive to  $A^U$  terms.

# $K \rightarrow \pi V \bar{V}$

## Maximal effect under the MFV hypothesis?

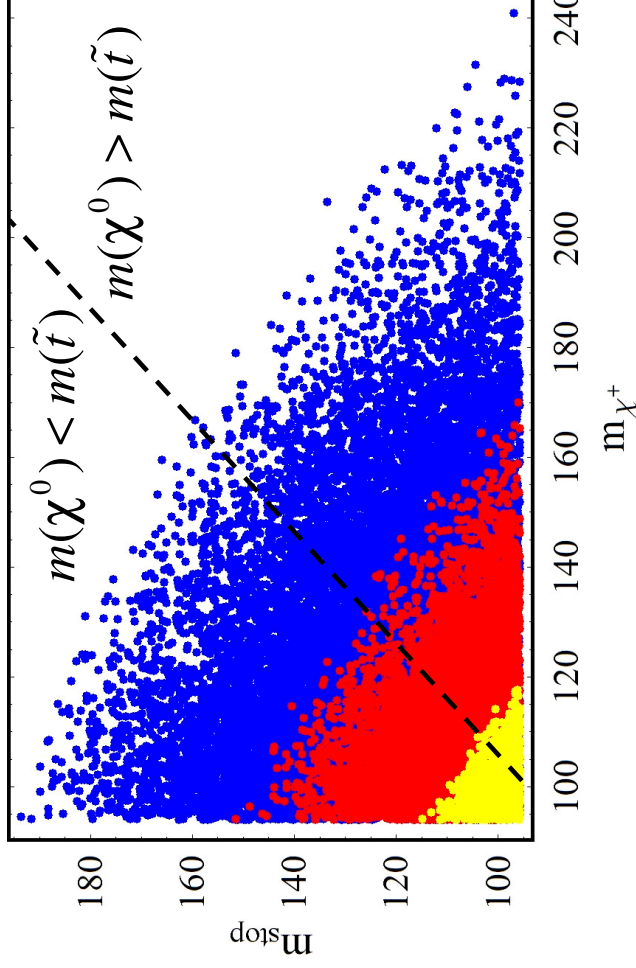
Isidori, Mescia, Paradisi, Trine, C.S. ('06)

With MFV, largest effects expected from terms enhanced by the *top* Yukawa.

$$K \rightarrow \pi V \bar{V} \text{ ideal given its sensitivity to } \sim (\delta_{RL}^U)^* (\delta_{RL}^U)_{31} \sim m_t^2 V_{ts}^* V_{td} |a_4^* - \cot \beta \mu|^2.$$

Colors  $\Leftrightarrow$  enhancements of  $K_L \rightarrow \pi^0 v \bar{v}$  by

**10%, 12%, 15%.**



- Determining factors: lightest squark and chargino ( $\sim$  higgsino) masses.

- Small correlation with  $\Delta F = 2$

- Large correlation with  $B_{s,d} \rightarrow \mu^+ \mu^-$

- Large correlation with  $\Delta \rho$

Buras, Gambino, Gorbahn, Jager, Silvestrini ('00)

Adding the *charged Higgs contribution*, enhancements of  $\sim 20\%$  for  $K^+$ ,  $\sim 25\%$  for  $K_L$  are possible with  $\tan \beta = 2$ ,  $m_{H^+} \approx 300$  GeV (gets smaller for larger  $\tan \beta$  or  $m_{H^+}$ ).

*SUSY masses  $> 200$  GeV &  $\tan \beta > 5$ : MFV falsified with enhancement  $\gtrsim 5\%$ .*

# $K \rightarrow \pi V \bar{V}$

Sensitivity to  $A^U$ , compared to other  $K$  &  $B$  observables?

The  $K \rightarrow \pi V \bar{V}$  modes are the best probe of the  $A^U$  terms (quadratic dependence).

Isidori, Mescia, Paradisi, Trine, C.S. ('06)

Scanning over  
trilinear terms:

$$|A_{13}^U|, |A_{23}^U| \leq A_0 \lambda,$$

$$A_0 = 1 \text{ TeV}$$

Phases left free.

Fixed sparticle  
masses:

$$\tan \beta = 2 - 4$$

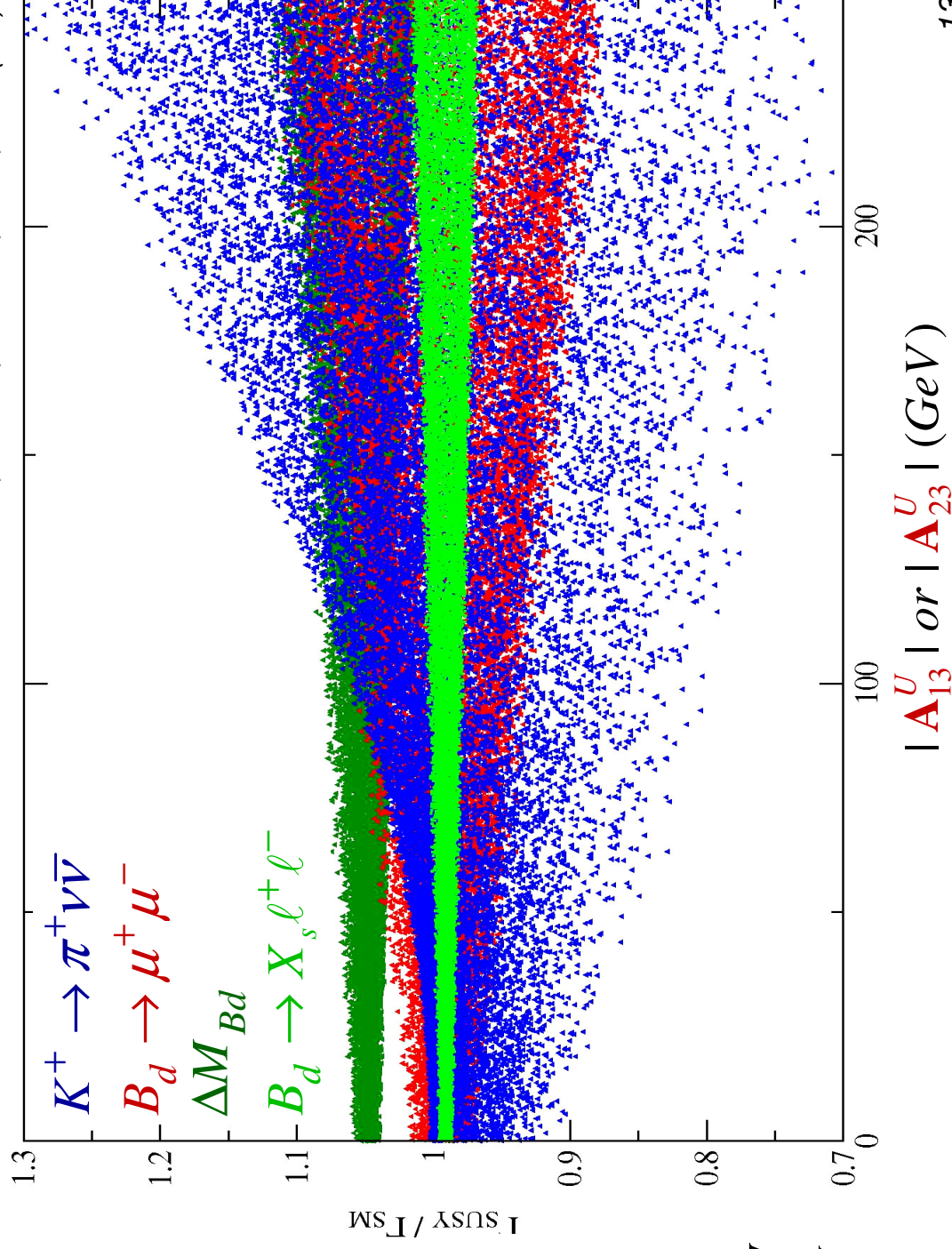
$$\mu = 500 \pm 10 \text{ GeV}$$

$$M_2 = 300 \pm 10 \text{ GeV}$$

$$m_{u_R} = 600 \pm 20 \text{ GeV}$$

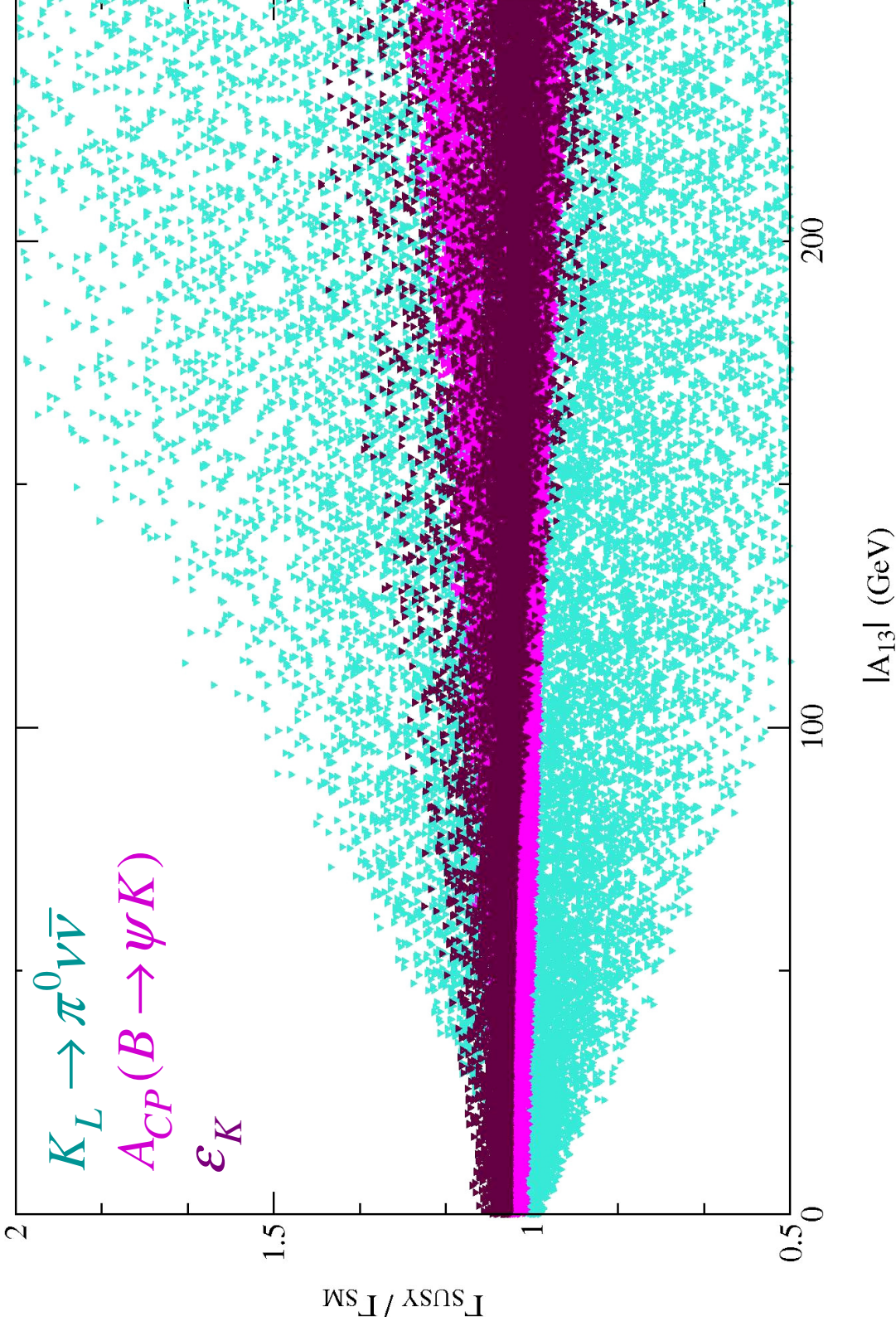
$$m_{q_L} = 800 \pm 20 \text{ GeV}$$

others : 2 TeV



Same within  $CP$ -violating  $K$  &  $B$  observables:

(Further: decoupling slower for penguins than for boxes as  $m_{\tilde{t}} \rightarrow \infty$ )



$$\underline{K \rightarrow \pi \nu \bar{\nu}}$$

*Is it possible to saturate the GN bound with these effects?*

The *GN model-independent bound* still leaves room for large effects:

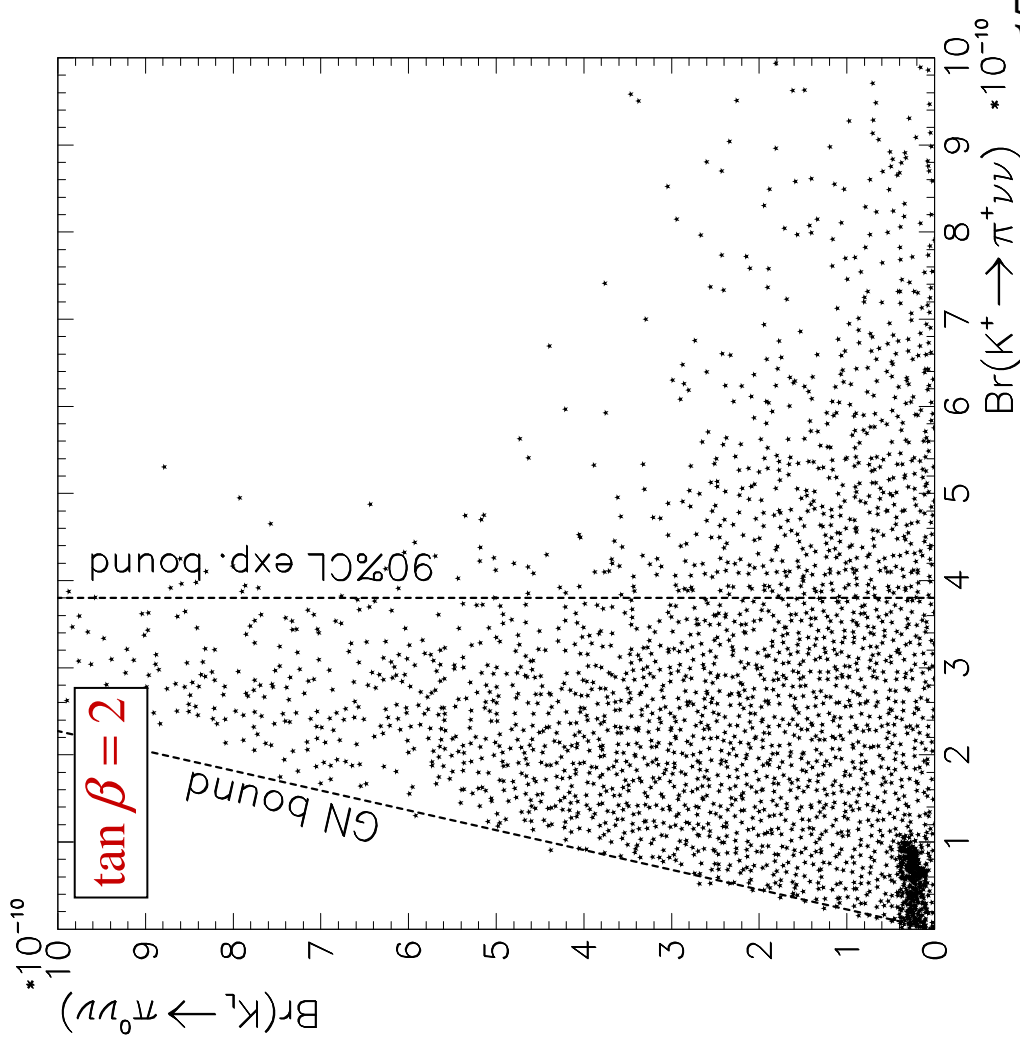
$$B(K_L \rightarrow \pi^0 \nu \bar{\nu}) \leq 4.4 \times B(K^+ \rightarrow \pi^+ \nu \bar{\nu}) \approx \mathbf{1.7 \cdot 10^{-9}} \quad (90\% \text{ C.L.}) \quad \text{Grossman, Nir ('97)}$$

*Full scan over MSSM parameters,*  
checking compatibility with B, K  
and electroweak data, and  
CCB/UFB stability bounds.

*Adaptive scanning (using VEGAS)*  
to search for maximal effects.

Brein ('04)

*Enhancement by a factor ~30 still  
allowed for the neutral mode.*



Buras, Ewerth, Jager, Rosiek ('04)

$$\underline{K \rightarrow \pi V \bar{V}}$$

And at large  $\tan\beta$  ?

- No effects from *neutral Higgs FCNC* ( $\sim$  neutrino masses).
- Negligible effects from *charginos*: Isidori, Mescia, Paradisi, Trine, C.S. ('06)

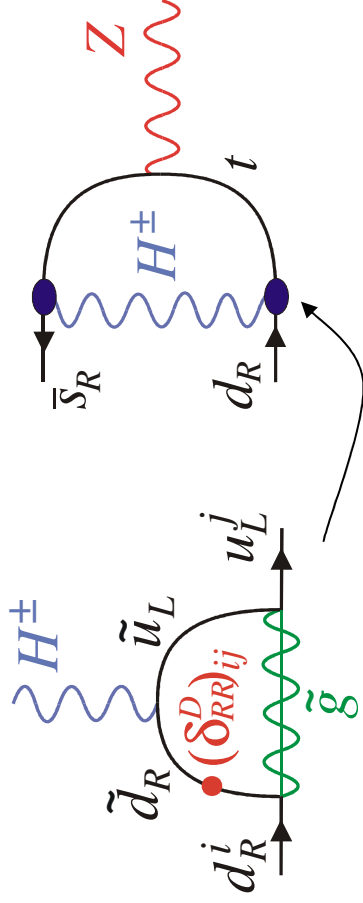
*Within MFV*:  $\tan\beta$  not sufficient to compensate for  $m_s, m_d$  factors:

$$m_t^2 V_{ts}^* V_{td} |a_4^* - \cot\beta\mu|^2 \rightarrow m_t^2 V_{ts}^* V_{td} \left( a_4^* - \cot\beta\mu + b_6^* \frac{m_s^2}{v_d} \right) \left( a_4 - \cot\beta\mu^* + b_6 \frac{m_d^2}{v_d} \right)$$

*Beyond MFV*: chargino contributions decrease with increasing  $\tan\beta$ .

- But sensitive to higher order effects in the  $H^\pm$  penguin, though only *beyond MFV*:

Isidori & Paradisi ('06)



$$(\bar{s}_R \gamma_\mu d_R) (\bar{v}_L \gamma^\mu v_L) \sim (\tan\beta)^4$$

Slow decoupling,  $\sim x_{tH} \log(x_{tH})$ , compared to  $B_{s,d} \rightarrow \mu^+ \mu^-$ ,  $\sim x_{tH}$



# $K \rightarrow \pi \nu \bar{\nu}$

Kiyo et al('98)/Perez('99)

## 2- SUSY effects in new operators

$$H_{\text{eff}}(K \rightarrow \pi \nu \bar{\nu}) \sim y_S^{\nu} (\bar{s}d)(\bar{\nu}\nu) + y_P^{\nu} (\bar{s}d)(\bar{\nu}\gamma_5\nu) + y_T^{\nu} (\bar{s}\sigma_{\mu\nu}d)(\bar{\nu}\sigma^{\mu\nu}\nu) + y_{\tilde{T}}^{\nu} (\bar{s}\sigma_{\mu\nu}d)(\bar{\nu}\sigma^{\mu\nu}\gamma_5\nu)$$

Not CP-violating, but requires *active right-handed neutrinos*.  
(and operators with / without helicity-suppression)

## 3- SUSY effects in new operators, different (but still invisible) final states

$$H_{\text{eff}}(K \rightarrow \pi \nu \bar{\nu}) \sim y_k (\bar{s}\Gamma_k d)(\bar{\nu}^i \Gamma_k \nu^j)$$

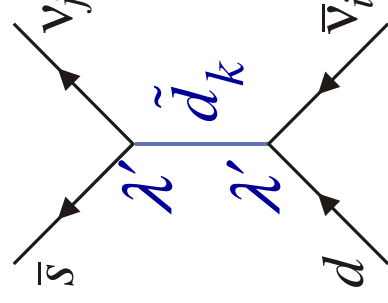
Grossman, Isidori, Murayama('03)/  
Deandrea, Welzel, Oertel('04)/  
Deshpande, Ghosh, He('04)

**MSSM:** Negligible effects from boxes with LFV effects.

Can be induced by *R-parity violating couplings*:

$$W_{\Delta L=1} = \lambda^{IJK} L^I Q^J D^K + \dots$$

Scalar leptoquark tree-level exchange:  
( $\rightarrow$  vector-current interactions)



SUSY effects in  $K_L \rightarrow \pi^0 \ell^+ \ell^-$

$$\underline{K_L \rightarrow \pi^0 \ell^+ \ell^-}$$

$K_L \rightarrow \pi^0 e^+ e^-$  and  $K_L \rightarrow \pi^0 \mu^+ \mu^-$  have very similar dynamics, but for  $m_e \neq m_\mu$

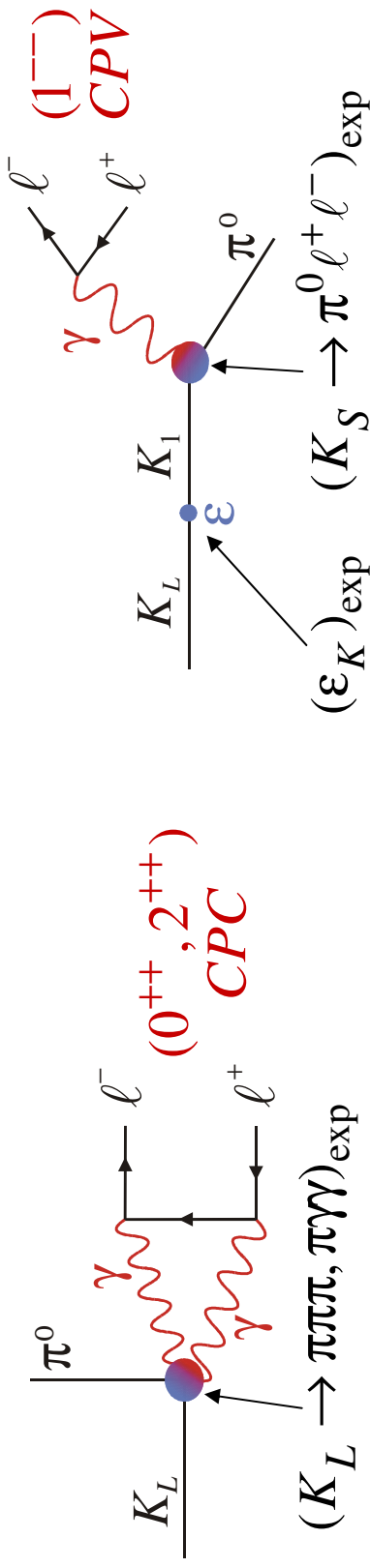
→ Possibility to probe *helicity-suppressed* effects.

Mescia, Trine, C.S. ('06)

### 1- SUSY effects in QCD operators

$$(\bar{s} \sigma_{\mu\nu} d) G^{\mu\nu}, (\bar{q} \Gamma q) \times (\bar{q} \Gamma q)$$

No direct impact, LD background fixed entirely from experimental data:



Long-distance, CP-conserving  
*two-photon contribution.*

*Indirect CP-violating contribution;*  
 $K_S \rightarrow \pi^0 \ell^+ \ell^-$  long-distance dominated.

Buchalla, D'Ambrosio, Isidori ('03)

Isidori, Unterdorfer, C.S. ('04)

D'Ambrosio, Ecker, Isidori, Portolés ('98)

$$\underline{K_L \rightarrow \pi^0 \ell^+ \ell^-}$$

## 2- SUSY effects in the SM electroweak operators

$$H_{\text{eff}}(K_L \rightarrow \pi^0 \ell^+ \ell^-) \sim y_{7V} (\bar{s}d)_{V-A}(\bar{\ell}\ell)_V + y_{7A} (\bar{s}d)_{V-A}(\bar{\ell}\ell)_A$$

$1^{++}, CPV$        $1^{+-} \& 0^{+-}, CPV$

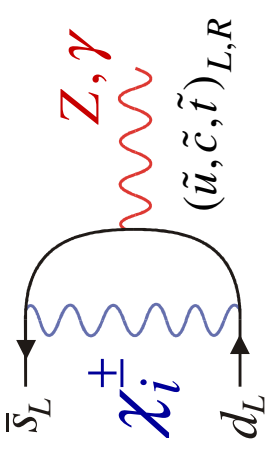
- Disentangle  $y_{7V}, y_{7A}$  thanks to helicity-suppressed effects.

Isidori, Unterdorfer, C.S. ('04)

- **Chargino penguins** (smaller but correlated with  $K \rightarrow \pi \nu \bar{\nu}$ ):

Isidori, Mescia, Paradisi, Trine, C.S. ('06)

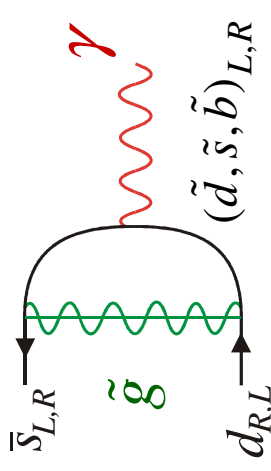
$$y_{7V}, y_{7A} \sim (\delta_{RL}^U)^* (\delta_{RL}^U)_{31}$$



- **Gluino EMO operator**, strongly correlated with  $\epsilon'/\epsilon$ :

Buras, Colangelo, Isidori, Romanino, Silvestrini ('00)

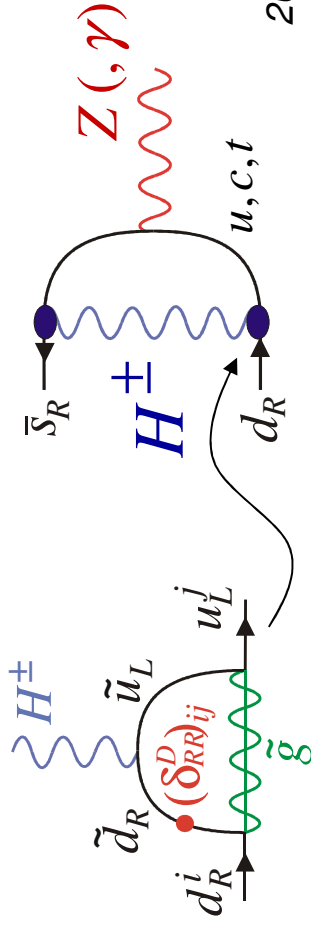
$$(\bar{s} \sigma_{\mu\nu} d) F^{\mu\nu} \rightarrow y_{7V} \sim (\delta_{RL}^D)_{12(21)}$$



- **Charged Higgs at large  $\tan\beta$** :

Isidori, Paradisi ('06)

$$y_{7V}, y_{7A} \sim (\delta_{RR}^D)_{12}$$



$$\underline{K_L \rightarrow \pi^0 \ell^+ \ell^-}$$

More details on how to disentangle  $V$  &  $A$  operators:

Bounds for general vector/axial vector FCNC operators (i.e. arbitrary  $y_{7A}, y_{7V}$ ):

$$0.1 + 0.24 B_{e^+e^-} \leq B_{\mu^+\mu^-} \leq 0.6 + 0.58 B_{e^+e^-} \quad \text{with} \quad B_{\ell^+\ell^-} \equiv B(K_L \rightarrow \pi^0 \ell^+ \ell^-) \cdot 10^{11}$$

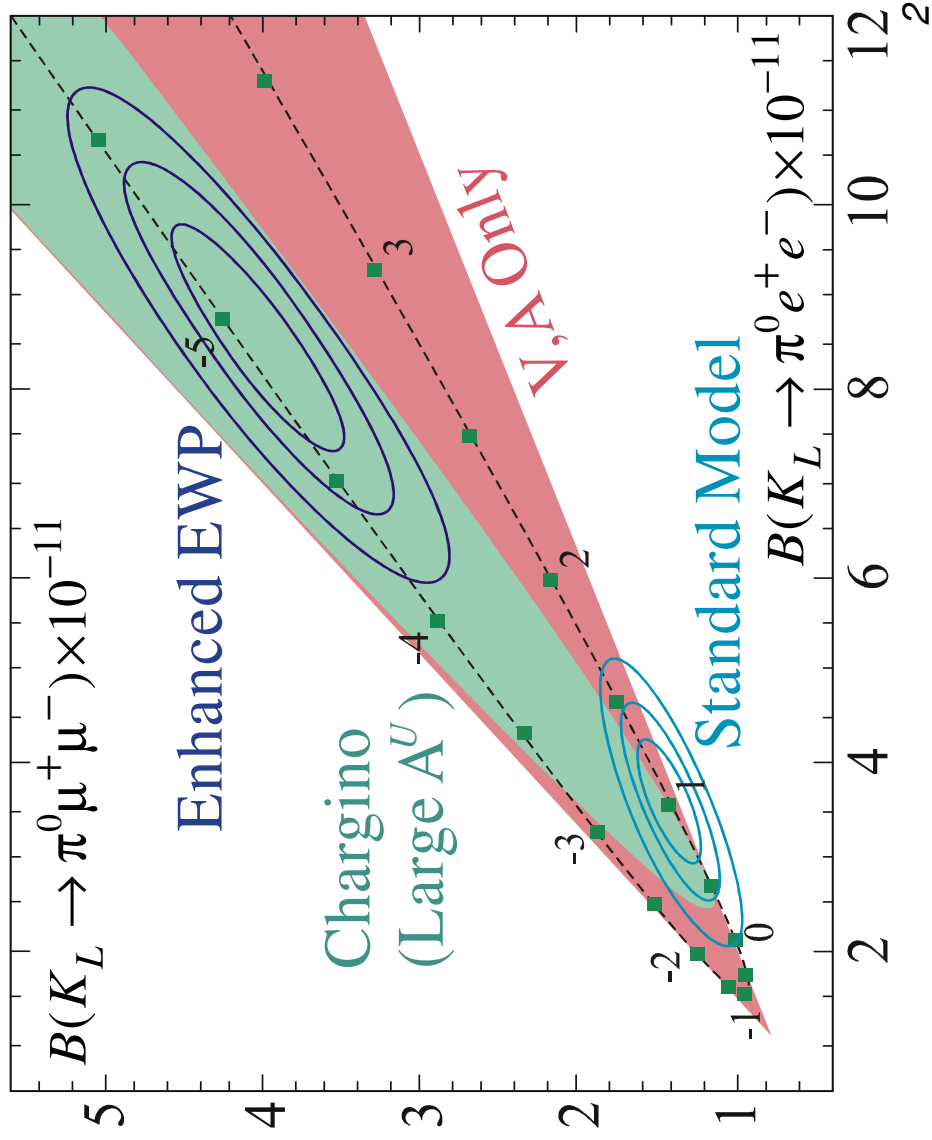
Mescia, Trine, C.S. ('06)

- **MFV enhancement:  $\sim 7\%$  max.**,  
directly correlated to  $K \rightarrow \pi V \bar{V}$ .

Isidori, Mescia, Paradisi, Trine, C.S. ('06)

- **Chargino contributions:**  
 $\gamma$  &  $Z$  penguins correlated  
→ restricted region even for  
non-realistically large  $A^U$ .

- For comparison:  
**Enhanced EW penguins:**  
 $y_{7V}^{EEWP} \approx y_{7V}^{SM}, y_{7A} \approx 5 y_{7A}^{SM}$   
Buras, Fleischer, Recksiegel, Schwab ('04)



$$\underline{K_L \rightarrow \pi^0 \ell^+ \ell^-}$$

### 3- SUSY effects in the scalar/pseudoscalar operators

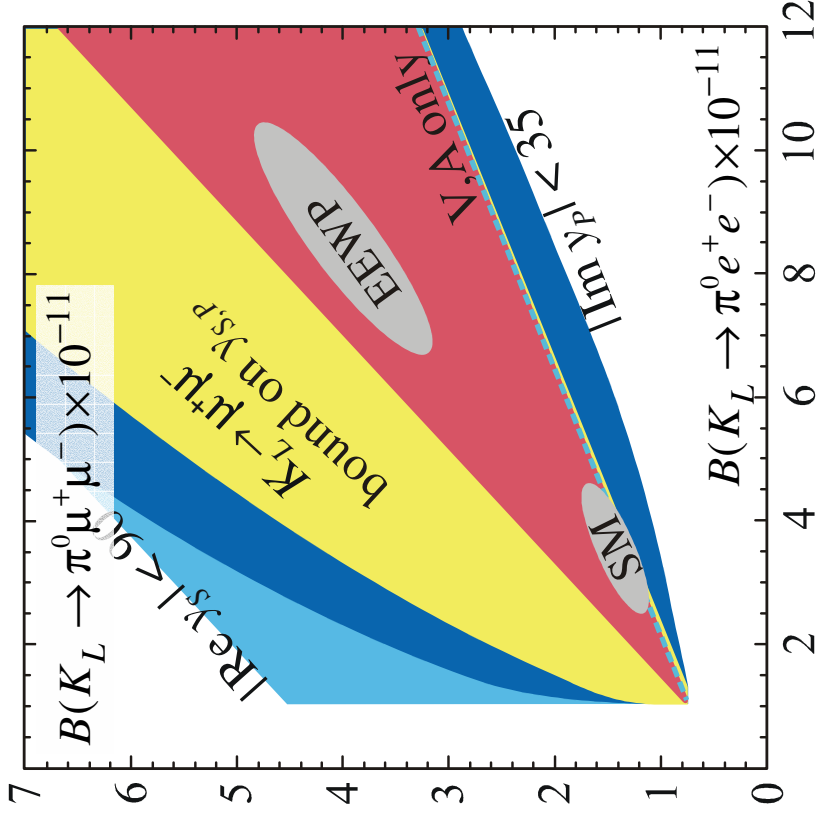
$$H_{\text{eff}}(K_L \rightarrow \pi^0 \ell^+ \ell^-) \sim y_S (\bar{s}d)(\bar{\ell}\ell) + y_P (\bar{s}d)(\bar{\ell}\gamma_5 \ell)$$

$0^{++}, \text{CPC} \quad 0^{-+}, \text{CPV}$

Can be correlated to similar operators for  $K_L \rightarrow \ell^+ \ell^-$ :

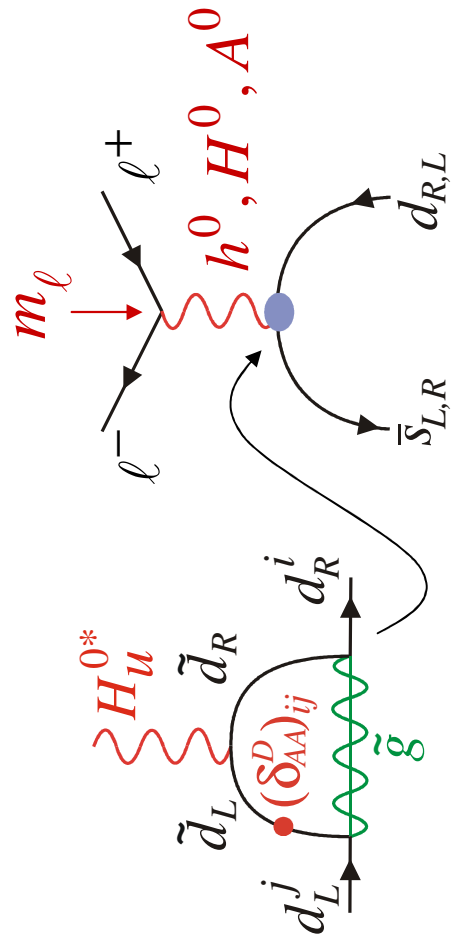
$$H_{\text{eff}}(K_L \rightarrow \ell^+ \ell^-) \sim y'_S (\bar{s}\gamma_5 d)(\bar{\ell}\ell) + y'_P (\bar{s}\gamma_5 d)(\bar{\ell}\gamma_5 \ell)$$

$0^{++}, \text{CPV} \quad 0^{-+}, \text{CPC}$



A- Helicity-suppressed from neutral Higgs  
at large  $\tan\beta$  (only effective beyond MFV):

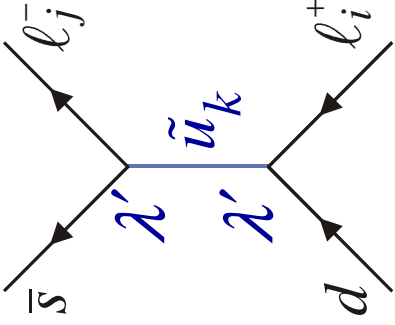
Isidori, Retico ('01, '02)/Mescia, Trine, C.S. ('06)



$$y_{S,P} = y'_{P,S} \sim (\delta_{RR,LL}^D)_{12}, (\delta_{RR,LL}^D)_{23}, (\delta_{LL,RR}^D)_{31}$$

$$\underline{K_L \rightarrow \pi^0 \ell^+ \ell^-}$$

*B- Helicity-allowed* (pseudo-)scalar operators from *R-parity violating* couplings:



Baring (possible) fine-tunings, must be *very suppressed* given the measured:

$$B(K_L \rightarrow e^+ e^-) = 9_{-4}^{+6} \times 10^{-12}$$

As well as bounds on  $K_L \rightarrow e^\pm \mu^\mp, \dots$

*4- SUSY effects in the tensor/pseudotensor operators*

$$H_{\text{eff}}(K_L \rightarrow \pi^0 \ell^+ \ell^-) \sim y_T (\bar{s} \sigma_{\mu\nu} d) (\bar{\ell} \sigma^{\mu\nu} \ell) + y_{\tilde{T}} (\bar{s} \sigma_{\mu\nu} d) (\bar{\ell} \sigma^{\mu\nu} \gamma_5 \ell)$$

$1^{--}, CPV$                        $1^{+-}, CPC$

- Necessarily *helicity-suppressed* in the MSSM,
- Smaller than (pseudo-)scalar operators, and *phase-space suppressed*,
- *Cannot arise from R-parity violating* couplings,
- *But:* do not contribute to  $K_L \rightarrow \ell^+ \ell^-$ .

# Conclusion



## Conclusion

Rare K decays are the *only theoretically clean window on the  $\Delta S = 1$  sector*,  
They are thus essential in the investigation of the *SUSY-breaking mechanism*.

	$K \rightarrow \pi V \bar{V}$	$K_L \rightarrow \pi^0 \ell^+ \ell^-$
MFV $\tan \beta \approx 2$	Best sensitivity, but maximum enhancement < 20-25%	Less sensitive, but testable correlation with $K \rightarrow \pi V \bar{V}$
MFV $\tan \beta \approx 50$	Negligible effects	
General $\tan \beta \approx 2$	Best probe of $\delta_{LR}^U$ (quadratic dependence)	$\delta_{LR}^U$ : correlated with $K \rightarrow \pi V \bar{V}$ $\delta_{LR}^D$ : correlated with $\epsilon'/\epsilon$ (but much cleaner)
General $\tan \beta \approx 50$	Good probe of $\delta_{RR}^D$ (slow decoupling)	Good probe of $\delta_{RR,LL}^D$ Correlated with $K_L \rightarrow \ell^+ \ell^-$ (but, again, much cleaner)

*If LHC finds Supersymmetry, the four modes have to be measured!*  
*The pattern of deviations with respect to the SM would become crucial.*