

# Seeking New Physics with the $\pi \rightarrow e\nu$ Branching Ratio

Douglas Bryman  
University of British Columbia



# Overview of Light Particle Rare Decay Experiments

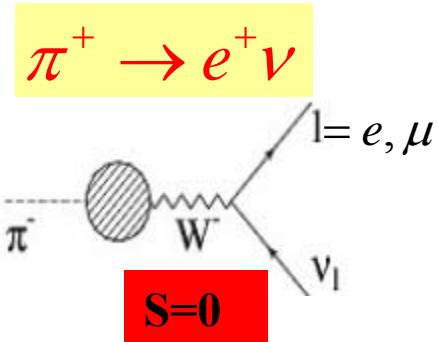
State of the art: single event sensitivity,  $10^{-12}$

<b>Exotic Searches</b> <i>New physics if seen; SM effects are negligible.</i>	$K_L^0 \rightarrow \mu^- e^-$ LFV $\mu^- \rightarrow e^- \gamma$ LFV $\mu^- N \rightarrow e^- N$ LFV $K^+ \rightarrow \pi^+ f^-$ "Axions"
<b>SM Parameters and BSM Physics</b> <i>New physics if deviations from well-calculated SM predictions occur.</i>	$\frac{\pi^+(K^+) \rightarrow e^+ \nu}{\pi^+(K^+) \rightarrow \mu^+ \nu}$ Universality $\pi^+ \rightarrow \pi^0 e \bar{\nu}$ $ V_{ud} $ $K_L^0 \rightarrow \mu^+ \mu^-$ $ V_{td} $ $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ $ V_{td} $ $K_L^0 \rightarrow \pi^0 \nu \bar{\nu}$ CP violation
<b>Low Energy QCD Chiral Perturbation Theory</b>	Radiative decays $K_L^0 \rightarrow ee$

## $e - \mu - \tau$ Lepton Universality

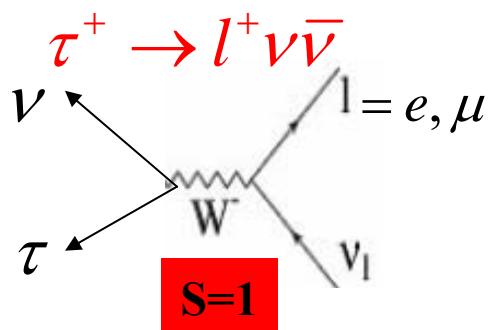
Standard Model:  $e, \mu, \tau$  have identical electroweak gauge interactions.

Differ only in mass and coupling to Higgs boson.



$$R_{e/\mu}^0 \equiv \frac{\Gamma(\pi^+ \rightarrow e^+ \nu)}{\Gamma(\pi^+ \rightarrow \mu^+ \nu)} = \frac{m_e^2}{m_\mu^2} \frac{\left(1 - \frac{m_e^2}{m_\pi^2}\right)}{\left(1 - \frac{m_\mu^2}{m_\pi^2}\right)} = 1.284 \times 10^{-4}$$

Independent of  $f_\pi, V_{ud}$ .



$$R_{e/\mu}^\tau = \left(1 - \frac{8m_\mu^2}{m_\tau^2} \dots\right) = 1.0282$$

Unless... new physics does not respect universality.

# Universality Tests

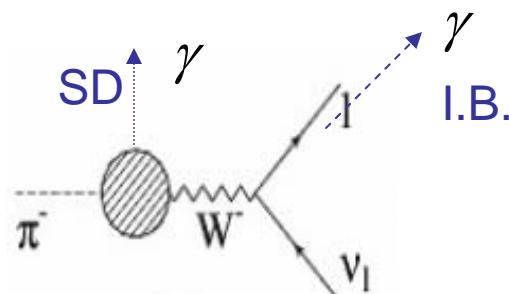
Mode	$g_e/g_\mu$
$\pi \rightarrow e\nu/\pi \rightarrow \mu\nu$	$0.9983 \pm 0.0015$
$K \rightarrow e\nu/K \rightarrow \mu\nu$	$1.012 \pm 0.010$
$\tau \rightarrow e\nu\nu/\tau \rightarrow \mu\nu\nu$	$0.9999 \pm 0.0021$
$\nu e/\nu \mu$ scattering	$1.10 \pm 0.05$
W decays	$0.999 \pm 0.011$
$K^{+/0} \rightarrow \pi e\nu / K^{+/0} \rightarrow \pi \mu\nu$	$1.0018 \pm 0.0025^*$

\*M. Moulson, Flavinet Mini-workshop, Frascati 2007

$$\pi^+ \rightarrow e^+ \nu$$

Kinoshita (1959), Marciano and Sirlin (1976, 1995), Finkemeir (1995)

## Radiative Corrections; Inner Bremsstrahlung, and Structure-Dependent Radiation:



$$\begin{aligned} \Gamma(\pi \rightarrow l \bar{\nu}_l (\gamma)) = & \frac{G_\mu^2 |V_{ud}|^2}{8\pi} f_\pi^2 m_\pi m_l^2 \left(1 - \frac{m_l^2}{m_\pi^2}\right)^2 \left[1 + \frac{2\alpha}{\pi} \ln\left(\frac{m_Z}{m_\rho}\right)\right] \gamma \\ & \times \left[1 - \frac{\alpha}{\pi} \left\{ \frac{3}{2} \ln\left(\frac{m_\rho}{m_\pi}\right) + C_1 + C_2 \frac{m_l^2}{m_\rho^2} \ln \frac{m_\rho^2}{m_l^2} + C_3 \frac{m_l^2}{m_\rho^2} + \dots \right\}\right] \left[1 + \frac{\alpha}{\pi} F(x)\right] \end{aligned}$$

[ +  $\pi^-$  Structure-dependent  $\pi^+ \rightarrow e^+ \nu \gamma$  terms ]  $-4\% \text{ for } l=e$

where  $G_\mu = 1.16637(1) \times 10^{-5} \text{ GeV}^{-2}$ ,  $V_{ud} = 0.9738$

But, most factors  
cancel in the ratio

$$R_{e/\mu}^{th} = \frac{\Gamma(\pi \rightarrow e\nu + \pi \rightarrow e\nu\gamma)}{\Gamma(\pi \rightarrow \mu\nu + \pi \rightarrow \mu\nu\gamma)}$$

$$R_{e/\mu}^{th} = R_{e/\mu}^0 \left\{ 1 + \frac{\alpha}{\pi} \left[ F\left(\frac{m_e}{m_\pi}\right) - F\left(\frac{m_\mu}{m_\pi}\right) + C_2 \frac{m_\mu^2}{m_\rho^2} \ln \frac{m_\rho^2}{m_\mu^2} + C_3 \frac{m_\mu^2}{m_\rho^2} \right] (+SD_\pi) \right\} 8 \times 10^{-8}$$

$F$  : kinematic factors

$C_2 = 3.1$  (Terent'ev)

$C_3$  : Small but  
Model dependent  
*Marciano* :  $0 \pm 10$

Pure Structure Dependent (SD)  $\pi \rightarrow e\nu\gamma$   
corrections are not helicity suppressed  
but are small and known for  $\pi$  decay:

$\pi^+ \rightarrow e^+ \nu$

$$R_{e/\mu}^{th} = (1.2353 \pm 0.0004) \times 10^{-4}$$

Marciano  $\rightarrow \pm 0.0001?$

V. Cirigliano (TBA\*)

Possibly the most accurately calculated decay process involving hadrons .

$K^+ \rightarrow e^+ \nu$

$$R_{K \rightarrow e/\mu}^{th} = (2.472 \pm 0.001^*) \times 10^{-5}$$

Helicity suppression  $5 \times \pi^+ \rightarrow e^+ \nu$

Finkemeier(1995)

*Structure dependent radiation not included.*

# Experiments

$\pi \rightarrow e\nu$

$$R_{e/\mu}^{\exp\pi} (\pm 0.4\%)$$

$$1.2265(34)(44) \times 10^{-4} \text{ TRIUMF (1992)}$$

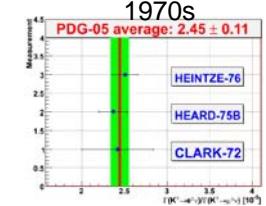
$$1.2346(35)(36) \times 10^{-4} \text{ PSI (1993)}$$

$K \rightarrow e\nu / K \rightarrow \mu\nu$

$$R_{e/\mu}^{\exp K} (\pm 2\%)$$

$$2.45(11) \times 10^{-5}$$

$$2.416(43)(24) \times 10^{-5} \text{ CERN(2006)}$$



$$R_{e/\mu}^{th} - R_{e/\mu}^{\exp} = 43(37) \times 10^{-8}$$

$$R_{e/\mu}^{th} - R_{e/\mu}^{\exp} = 56(46) \times 10^{-8}$$

Two new  $\pi \rightarrow e\nu$  experiments.  
Goals:  $\pm(5) \times 10^{-8}$  (**0.05%**)

KLOE: Stay tuned  $\rightarrow (1\text{-}2\%)?$ ;  
New  $K \rightarrow e\nu$  experiment at CERN.  
Goal:  $\pm(10) \times 10^{-8}$  (**0.3%**)

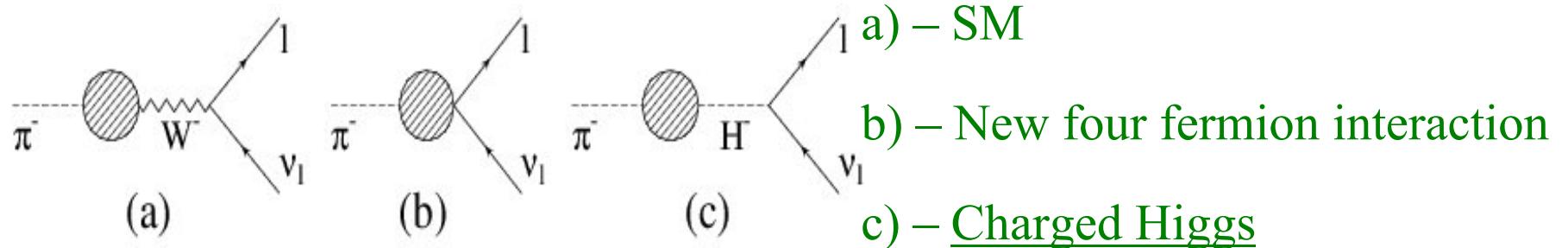
$$\pi^+ \rightarrow e^+ \nu$$

# Beyond the Standard Model

High Sensitivity to New **Pseudoscalar** Interactions  
which are not helicity suppressed.

PS contribution comes as interference term with the axial-vector (dominant) term.

Effect is proportional to  $1/\Lambda^2$  where  $\Lambda$  is the mass of the hypothetical particle.



$$1 - \frac{R_{e/\mu}^{New}}{R_{e/\mu}^{SM}} \sim \mp \frac{\sqrt{2}\pi}{G_\mu} \frac{1}{\Lambda_{eP}^2} \frac{m_\pi^2}{m_e(m_d + m_u)} \sim \left(\frac{1\text{TeV}}{\Lambda_{eP}}\right)^2 \times 10^3$$

0.05 % Measurement  $\rightarrow \Lambda_{eP} > 1000 \text{ TeV}$

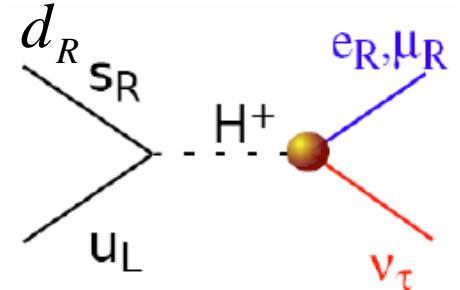
Charged Higgs mass  $m_{H^\pm} \sim 200 \text{ TeV}$  probed.

Marciano

# Charged Higgs and Lepton Flavor Violation

Masiero, Paradisi, Petronzio (2006)

The unobserved neutrino involved in  
 $\pi^+ / K^+ \rightarrow e^+ \nu$  decay may be  $\nu_e, \nu_\mu$ , or  $\nu_\tau$



Low Energy SUSY (with R parity\*); Large  $\tan \beta$ .

$$R_{e/\mu}^{NP} = R_{e/\mu}^{SM} \left( 1 + \Delta r_{NP}^{e/\mu} \right)$$

Current (Future) Experiments:

$$|\Delta r_\pi^{e/\mu}| < 0.004 \text{ (0.0003)}$$

$$|\Delta r_K^{e/\mu}| < 0.06 \text{ (0.005)}$$

\*R Parity (MSSM):  $R = (-1)^{3B+L+2S}$

- i) FCNC  $M \rightarrow l\nu_l$ ;  $\Delta r_{NP}^{e/\mu} < 10^{-6}$
- ii) Lepton Flavor Violation  $M \rightarrow l_i\nu_k$ ;  $i (= e, \mu), k (= \tau)$ .

$$\Delta r_{SUSY}^{e/\mu} = \left( \frac{m_P}{m_H} \right)^4 \left( \frac{m_\tau}{m_e} \right)^2 \Delta_P^{31} \tan^6 \beta \quad P = \pi, K$$

$$\leq O_K(0.01), \quad O_\pi(0.0003);$$

For  $\Delta_P^{31} \sim 510^{-4}$ ,  $\tan \beta \sim 40$

### Effects (optimistically!) in range of planned experiments.

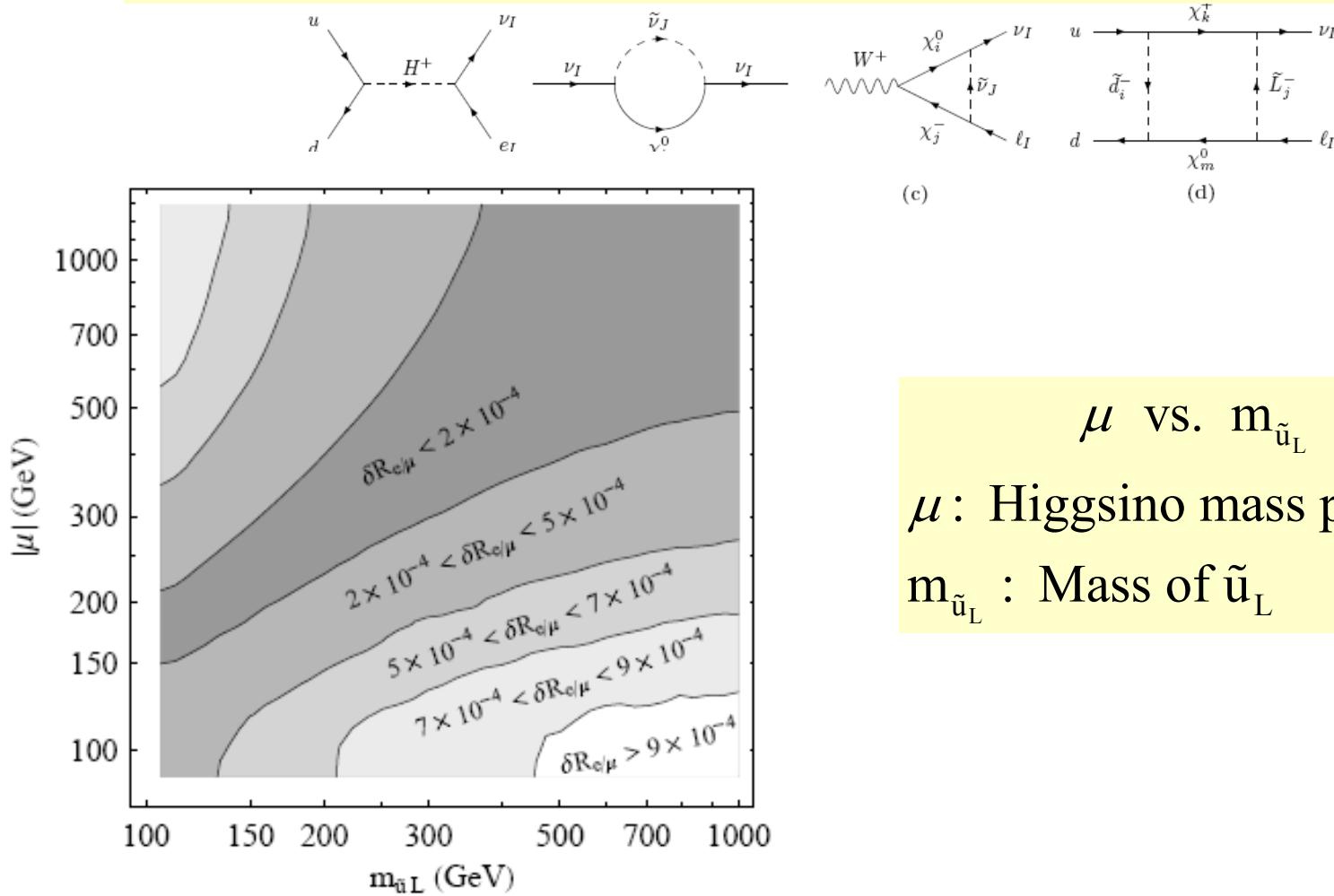
For the parameters above  $R(\tau \rightarrow \mu\gamma) \sim 10^{-10}$ ;

(Present experiment (Babar/Belle)  $R(\tau \rightarrow \mu/e\gamma) < 10^{-7}$ .)

Larger effects in  $B \rightarrow l\nu$ , beyond reach of current experiments.

# $\pi^+ \rightarrow e^+ \nu$ Sensitive to R-Parity Violating MSSM

Ramsey-Musolf, Su, Tulin (2007)



$\mu$  vs.  $m_{\tilde{u}_L}$   
 $\mu$ : Higgsino mass parameter  
 $m_{\tilde{u}_L}$  : Mass of  $\tilde{u}_L$

# Scalar Interactions:

## $\pi \rightarrow e\nu$ vs. Super-allowed $\beta$ Decay

$$\left\{ \begin{array}{l} CKM \text{ Unitarity: } |V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9992(10) \\ R_{e/\mu} = \frac{\Gamma(\pi^+ \rightarrow e^+\nu)}{\Gamma(\pi^+ \rightarrow \mu^+\nu)} = 1.231(4) \times 10^{-4} \text{ (now } \rightarrow < 0.1\%) \end{array} \right\} 0.1\% \text{ Precision}$$

Constraining new Physics?

Direct Constraints

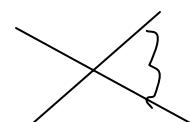
$$R_{e/\mu} : \quad \Lambda_A \sim 20 TeV, \quad \Lambda_P \sim 1000 TeV (!) \quad SM : \frac{G_\mu}{\sqrt{2}} \sim \frac{\pi}{2\Lambda_{SM}^2}; \quad \Lambda_{SM} \sim 440 GeV$$

$$\text{Unitarity: } \Lambda_V \sim 20 TeV, \quad \Lambda_S \sim 12 TeV$$

Induced Current Constraints

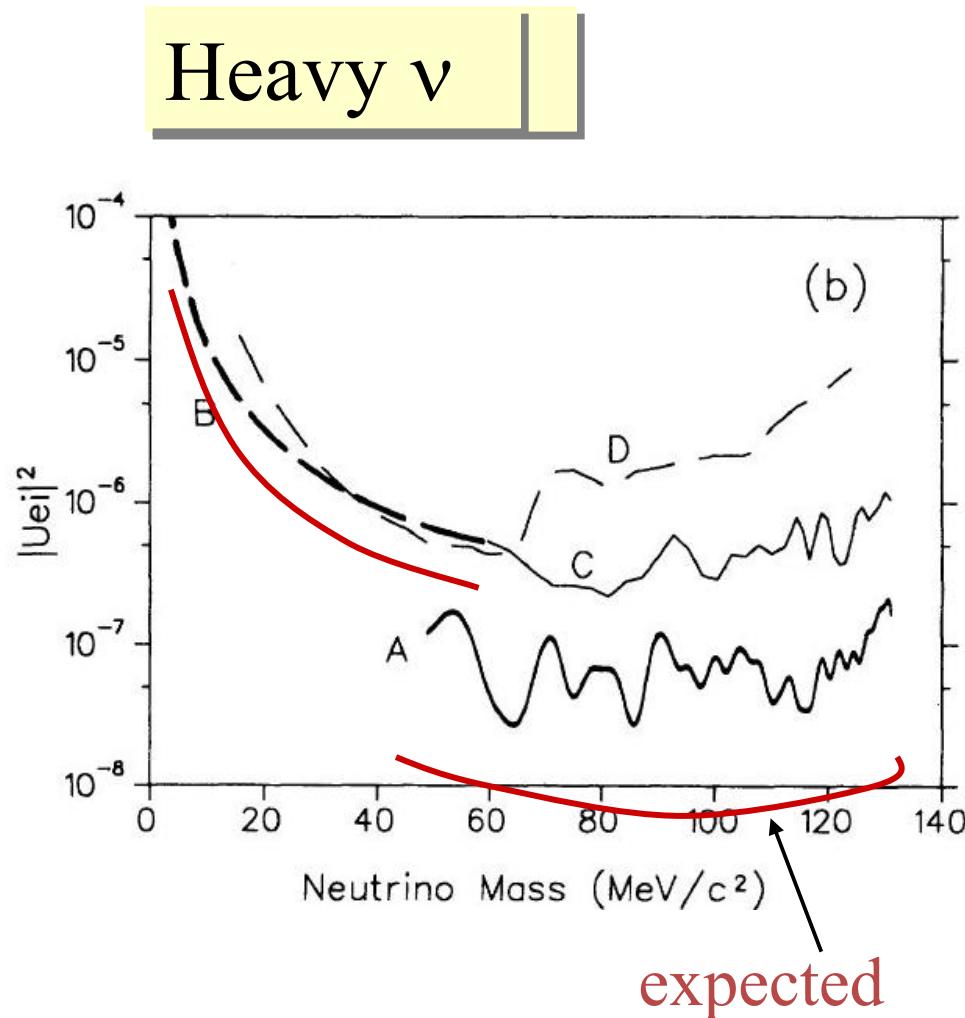
$$R_{e/\mu} : \quad \Lambda_V \sim 2 TeV, \quad \Lambda_S \sim 60 TeV (!)$$

$$\text{Unitarity: } \Lambda_A \sim 2 TeV$$

Loops   
e.g. A induces V  
P induces S

$$\pi / K^+ \rightarrow e^+ \nu$$

# Other BSM Physics :



SM extensions:

- Leptoquarks

- Excited gauge bosons

- Compositeness

- R-parity violating SUSY

- Extra dimensions

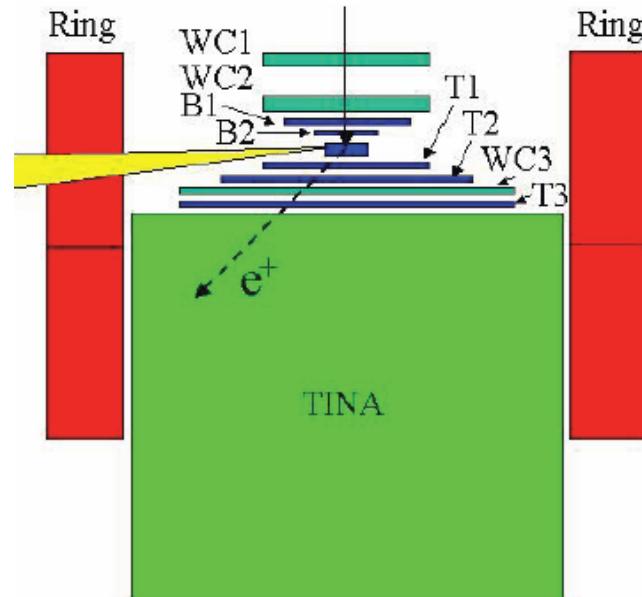
- $\nu$  Mass from QCD cond.  
(Davoudias,Everett (2006))

- LFV (Isidori, Paradisi (2006))

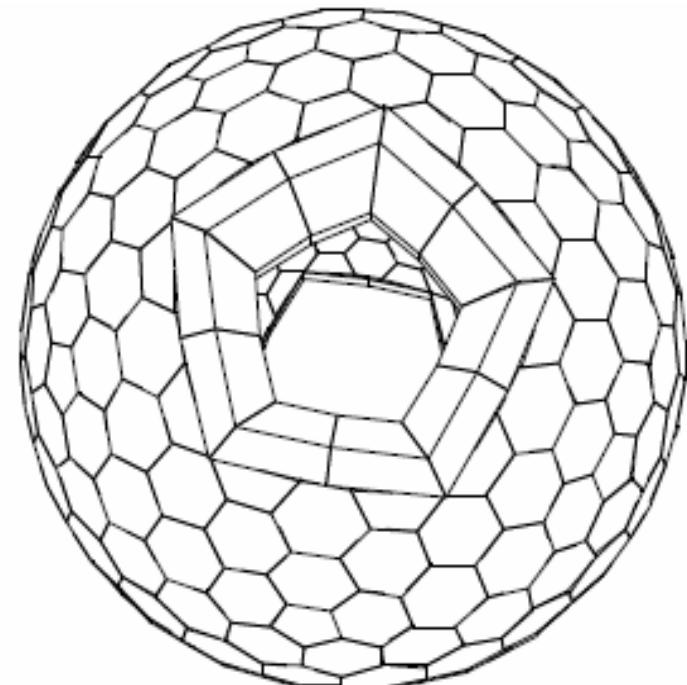
# New $\pi^+ \rightarrow e^+ \nu$ Experiments

Precision Goals for  $R_{e/\mu}^{\exp\pi} : < 0.1\%$

TRIUMF PIENU  
 PI E NU



PSI PIBETA Spectrometer



ASU, BNL, Osaka, TRIUMF, UBC, VPI

INS (Pol.), IHEP, JINR,  
PSI, RBI, Virginia, Zurich

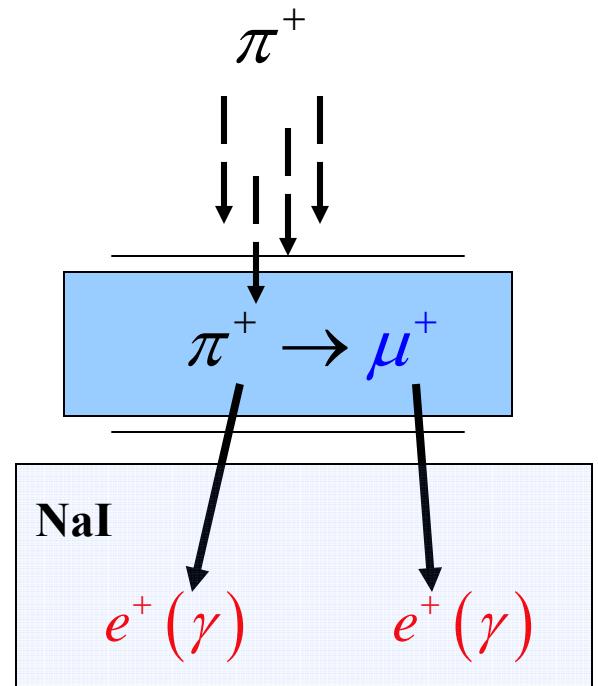
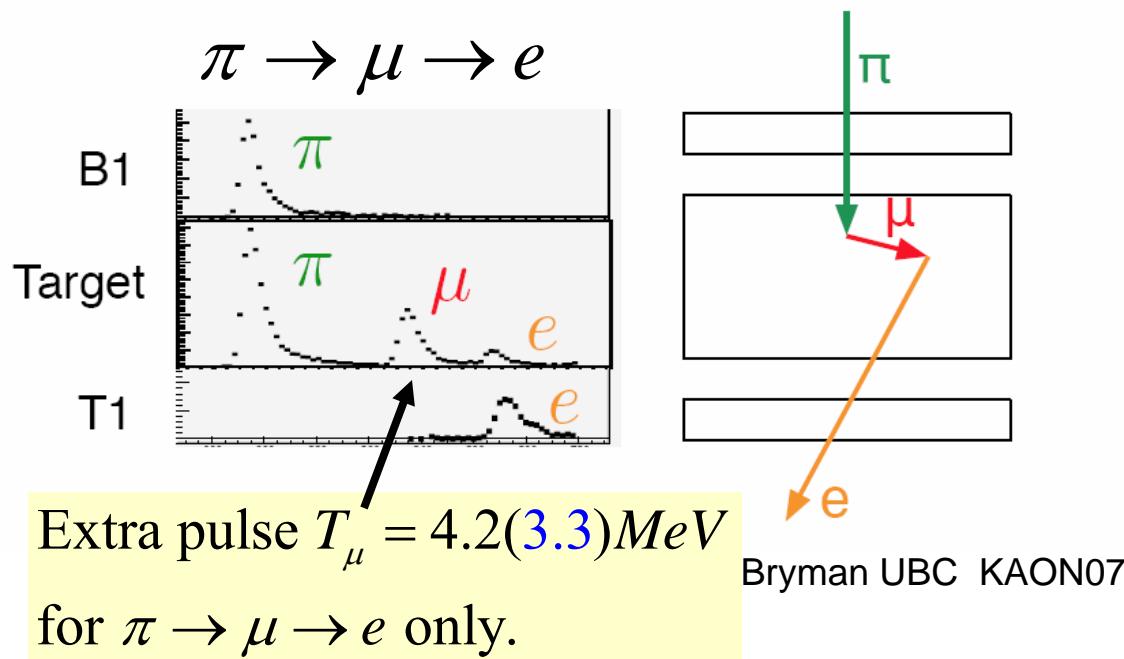


PI E NU

## Experiment Concepts

Low Momentum  $\pi$  Beam at  $p=75$  MeV/c.

$\pi$ s lose energy and stop in a target of plastic scintillator. Scintillation detectors viewed by photo-multiplier tubes and all signals are digitized at 500 MHz.



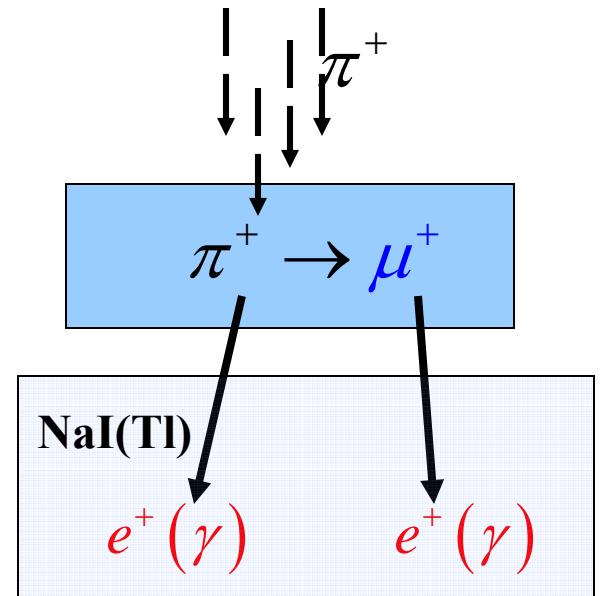
Measure positron energies in a NaI(Tl) crystal spectrometer (no magnetic field!):

$$[\pi^+ \rightarrow e^+ \nu] \quad P_e = 70 \text{ MeV} / c$$

$$[\pi^+ \rightarrow \mu^+ \nu] \quad P_\mu = 30 \text{ MeV} / c$$

$$T_\mu = 4.2 \text{ MeV}, R_\mu = 1.4 \text{ mm}$$

$$[\mu \rightarrow e^+ \nu \bar{\nu}] \quad P_e = 0 - 53 \text{ MeV}$$

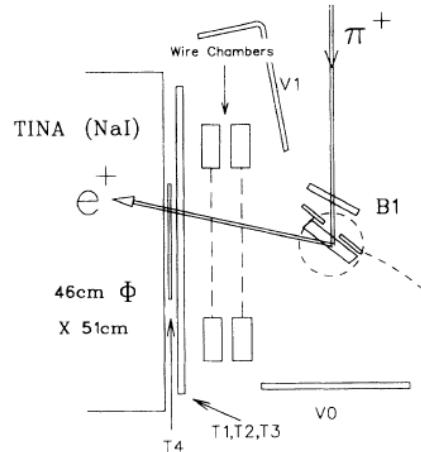


Electrons have fairly uniform interactions over the range  $P[1-70 \text{ MeV}]$ :

Systematic effects cancel (to 1<sup>st</sup> order) in the ratio  $\frac{\Gamma(\pi \rightarrow e)}{\Gamma(\pi \rightarrow \mu \rightarrow e)}$

e.g. solid angle, Multiple Coulomb Scattering,  $\frac{dE}{dx}$ , annihilation, bremsstrahlung, timing.

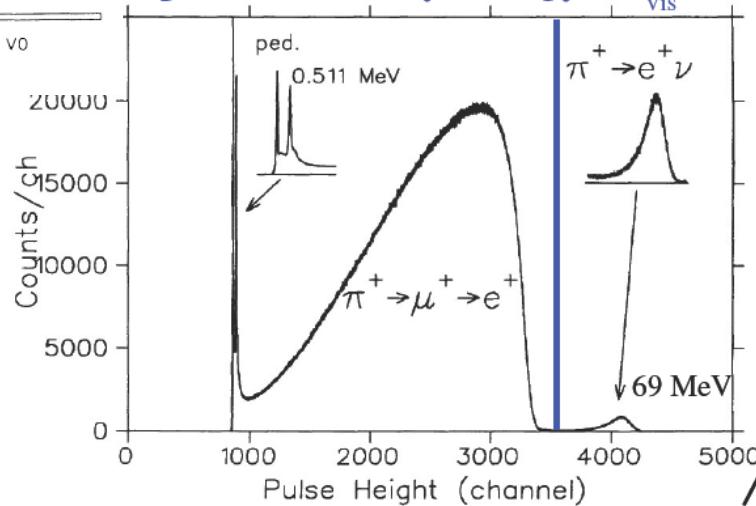
**N.B.: When aiming for high precision: must rely on measurements for corrections rather than simulations whenever possible!**



Previous TRIUMF Experiment Britton et al. (1993)

## Energy and Time spectra

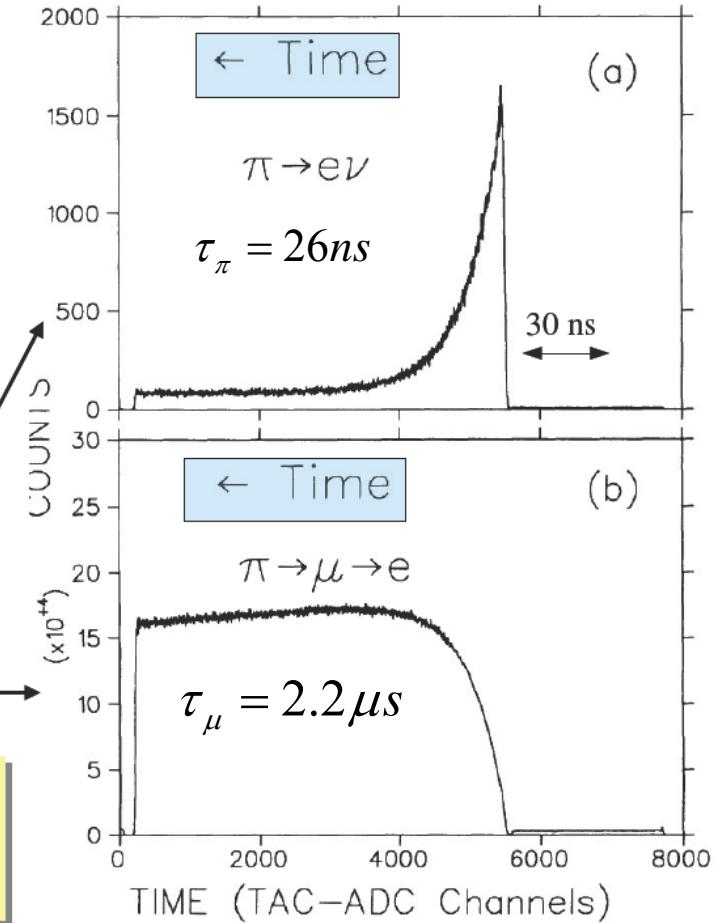
Separate events by Energy: ( $E_{vis} \sim 52$  MeV)



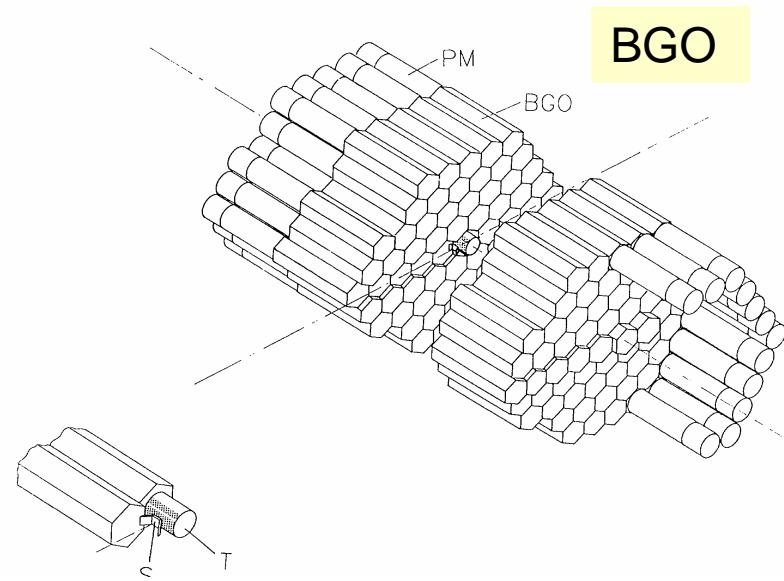
$\pi - \mu - e$  region

$\pi \rightarrow e\nu$

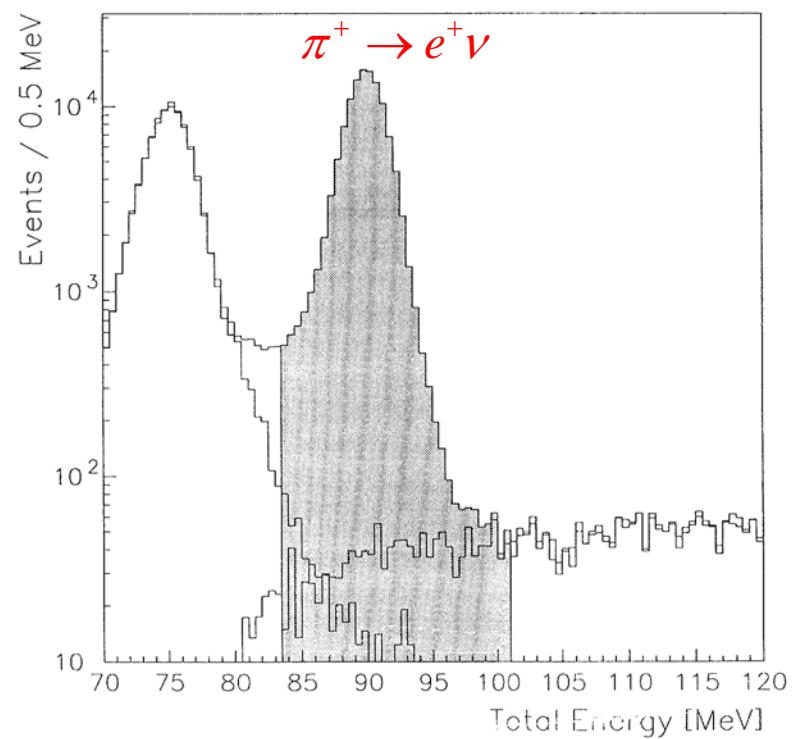
Fit both spectra simultaneously  
and obtain the ratio.



# Previous PSI experiment: C. Czapek et al. 1993



Energy resolution 1.7% at 90 MeV



Total Energy (including target)

# Previous PSI experiment: C. Czapek et al. 1993

TABLE I. Main corrections and systematic errors of our final result for the branching ratio for the rare decay  $\pi \rightarrow ev(\gamma)$ .

Process	Correction (%)	Error (%)
Electromagnetic losses	+1.64	0.09
Photonuclear reactions	+0.95	0.19
$\pi \rightarrow ev\gamma$ events with $E_{\text{total}} > 101$ MeV	+0.04	0.02
Uncertainty of the energy calibration	0.0	0.08
$\pi \rightarrow ev$ self-veto in anticounter	+0.03	0.02
Time measurement difference for $e$ and $\mu$	-0.08	0.03
Pulse-shape difference for $e$ and $\mu$	0.0	0.03
Efficiency of $e^+$ detection in target	+0.15	0.01
Subtraction of radiative $\mu$ decay background	-0.45	0.17
Subtraction of pion reaction background	-1.13	0.06



**M. Aoki, M. Blecher, D. Bryman, J. Comfort,  
P. Gumplinger, S. Kettell, T. Krupovnickas,  
Y. Kuno, L. Kurchaninov, L. Littenberg, W.  
Marciano, G. Marshall, T. Numao, A. Olin,  
R. Poutissou, M. Ramsey-Musolf, F. Retiere,  
A. Sher, V. Selivanov, B. Walker, K. Yamada**

*Canada-Japan-Russia-US  
Arizona State University, BNL, Caltech,  
Kurchantov Institute, Osaka University,  
TRIUMF, University of BC, Virginia  
Polytechnic Institute and State University*

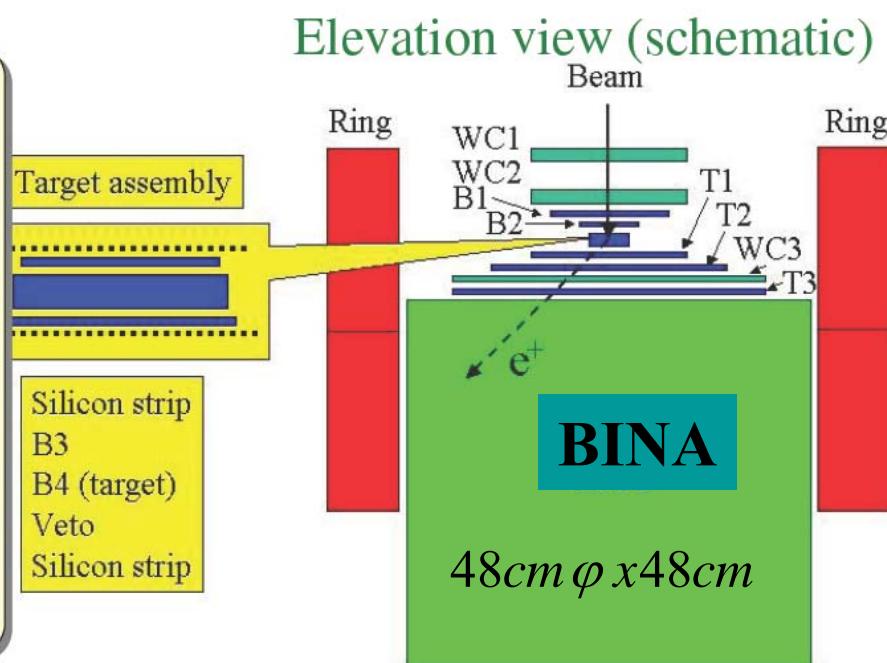


PI E NU

# TRIUMF PIENU Experiment

Precision goal: <0.05%

<b>WC1(2,3)</b>	Wire chambers
<b>B1(2)</b>	Beam counter
<b>B3(4), Veto</b>	Target counters
<b>T1(2,3)</b>	Telescope counters
<b>TINA</b>	NaI (dE/E=2% @ 66 MeV)
<b>Ring</b>	CsI crystals



Solid angle: 25% (2.9%)

$\pi^+$  rate: ~ 70kHz (100kHz)

Tina rate: ~ 40kHz (30kHz)

Trigger rate: ~ 1kHz

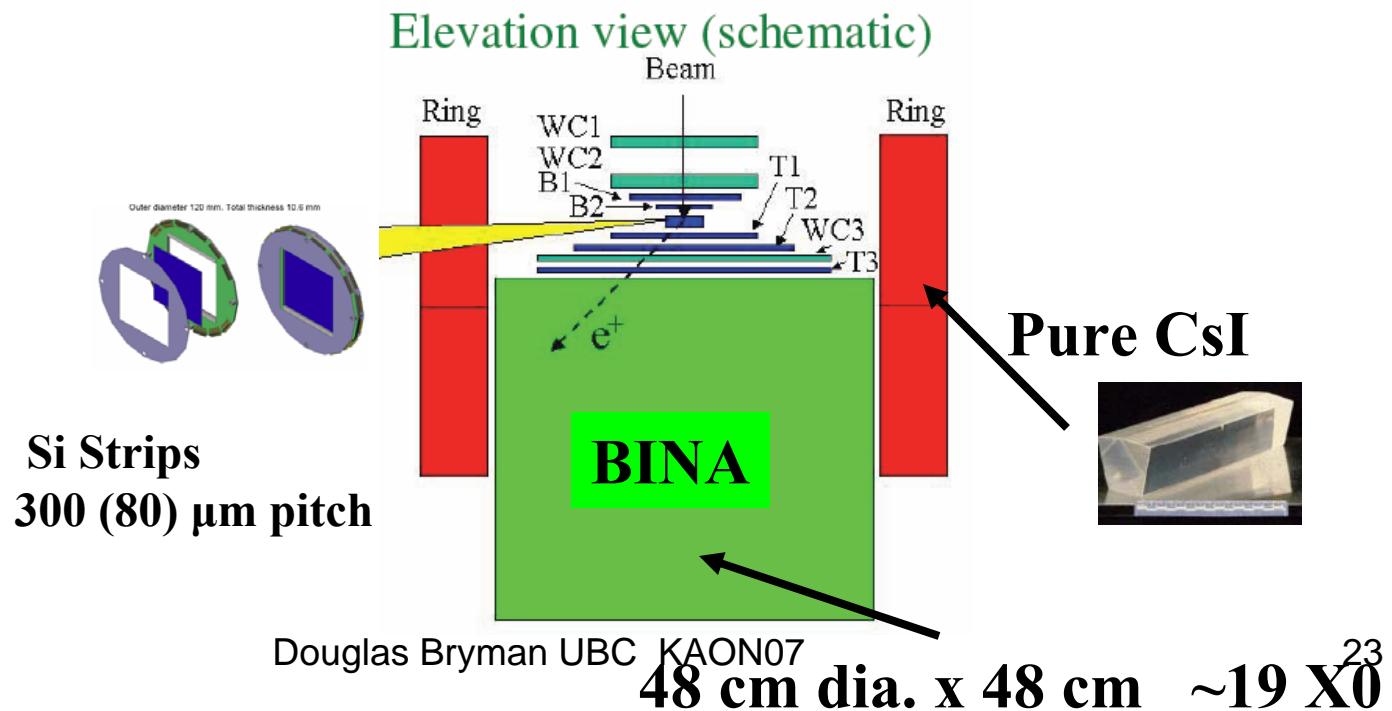
Statistics:  $\sim 5 \times 10^6 \pi \rightarrow e\nu$  ( $\times 30$  E248)



PI E NU

# Equipment

- Single crystal NaI(Tl) detector (BNL)  
Energy resolution <2% (RMS) at 70 MeV
- E949 Pure CsI crystal collar
- 500 MHz digitizers
- Silicon strip and drift chamber tracking



# Tail correction

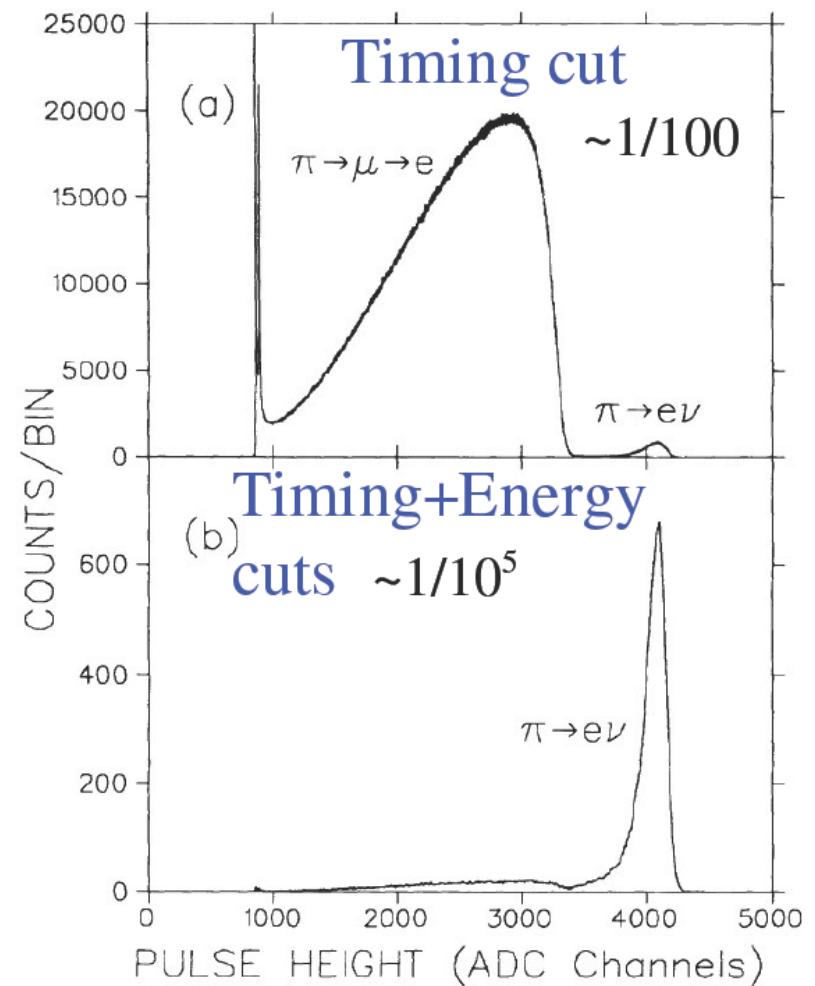
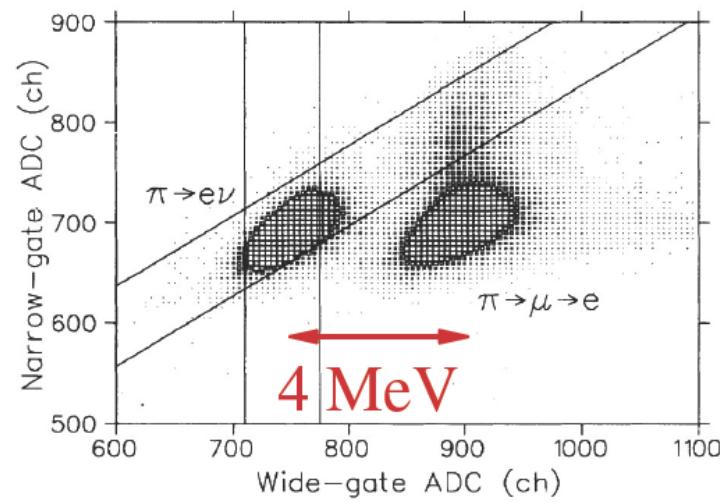
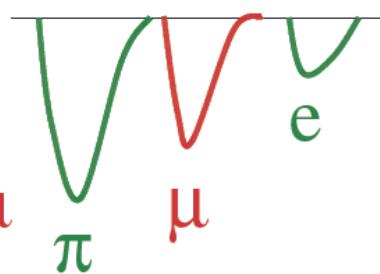
(the main source of systematics)

$\pi - e\nu$

$T\pi + \Delta Ee$

$\pi - \mu - e$

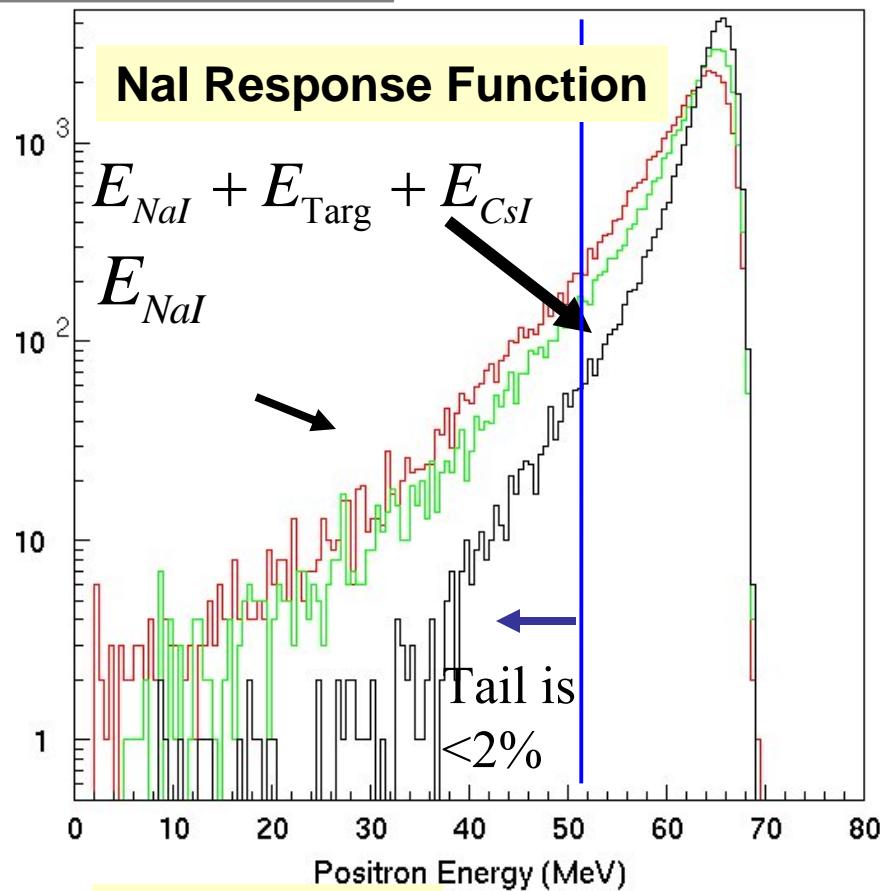
$T\pi + \Delta Ee + E\mu$



# Resolution and NaI Tail

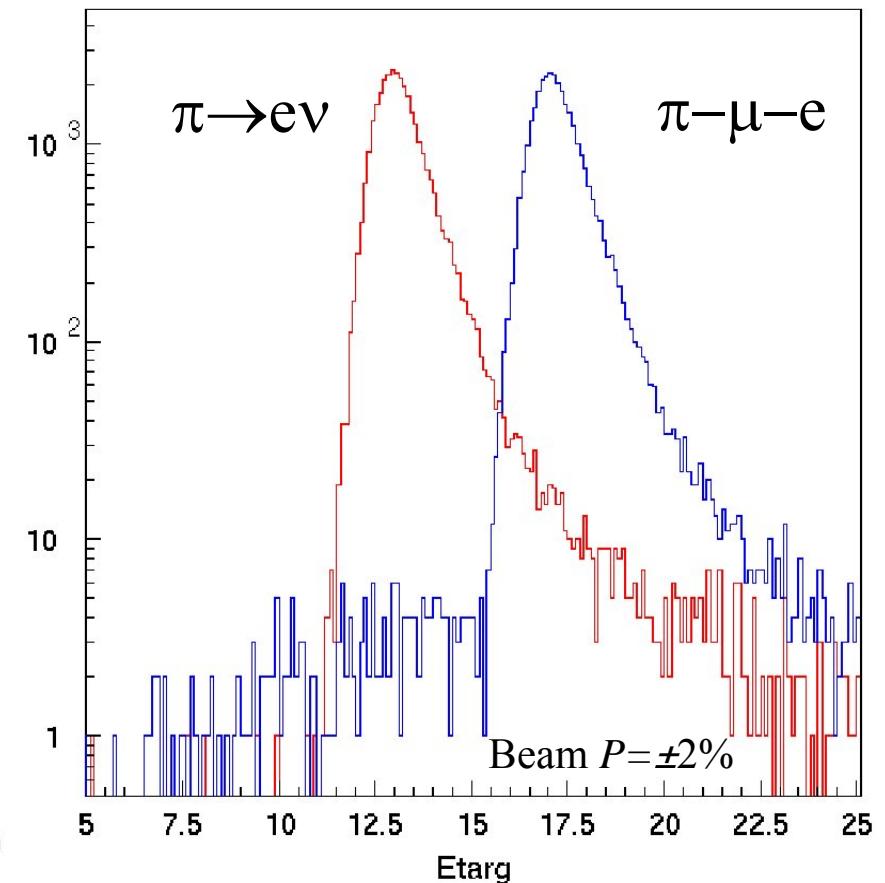
## Simulations for PIENU Experiment

$\pi \rightarrow e\nu$  events



NaI(Tl) Energy

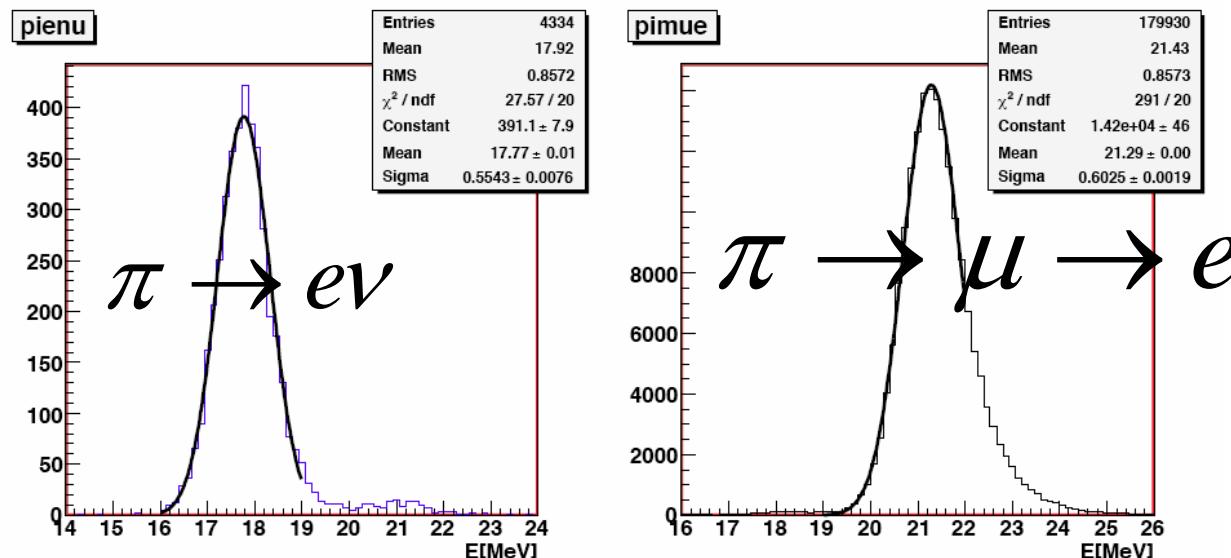
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25

# Beam Test Data

total energy deposit in  
the target



Good separation in the target     $dE/E \sim 3\%$

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# Expected Uncertainties

Largest systematics come from:

Low energy tail ( $\pi \rightarrow e\nu$ )  
0.03%

Britton et al. : 0.25% Uncertainty of the  
correction - limited by statistics  
& contamination by in-flight pion decays



PI E NU Better dE/dx in target (x2)  
and smaller statistical uncertainty (x5):

Energy dependent Acceptance  
difference 0.03%

PI E NU Larger solid angle x5, geometry:

# Uncertainties Summary

Sources	<b>Britton et al. 1993</b>	 <b>PI E NU</b>
Statistical error	0.0028	0.0005
Low energy tail ( $\pi \rightarrow e\nu$ )	0.0025	0.0003
Acceptance differences	0.0011	0.0003
Pion lifetime	0.0009	0.0002
Others (time calibration, etc.)	0.0011	0.0003
Expected systematic error	0.0031	<b>0.0006</b>

# PIENU Experiment Plan

- 2006/7: Beam Tests
- 2007: Assembly
- 2008-2009 Data runs
- 2008-10 Analysis/publications

Students, Postdocs: Interested? See me later!

# Detector build-up

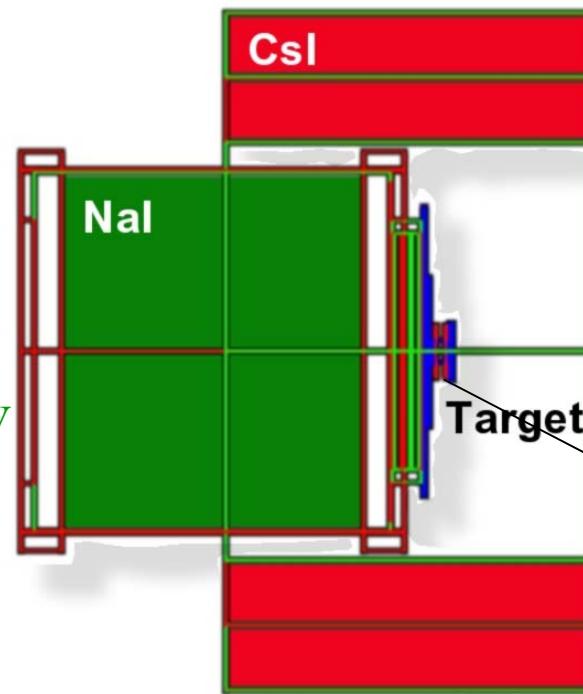
**Brookhaven NaI crystal  
(BINA)**

**Radius=24 cm**

**Length = 48 cm(19  $X_0$ )**

**Energy resolution:**

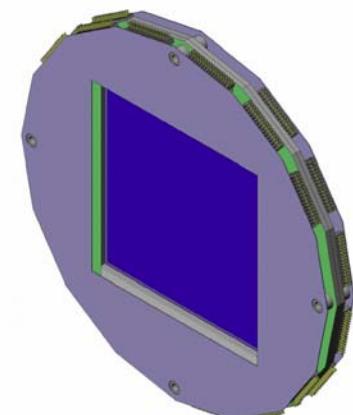
**$\sim <2\%$  (FWHM) at 70 MeV**



**Pure CsI crystals**

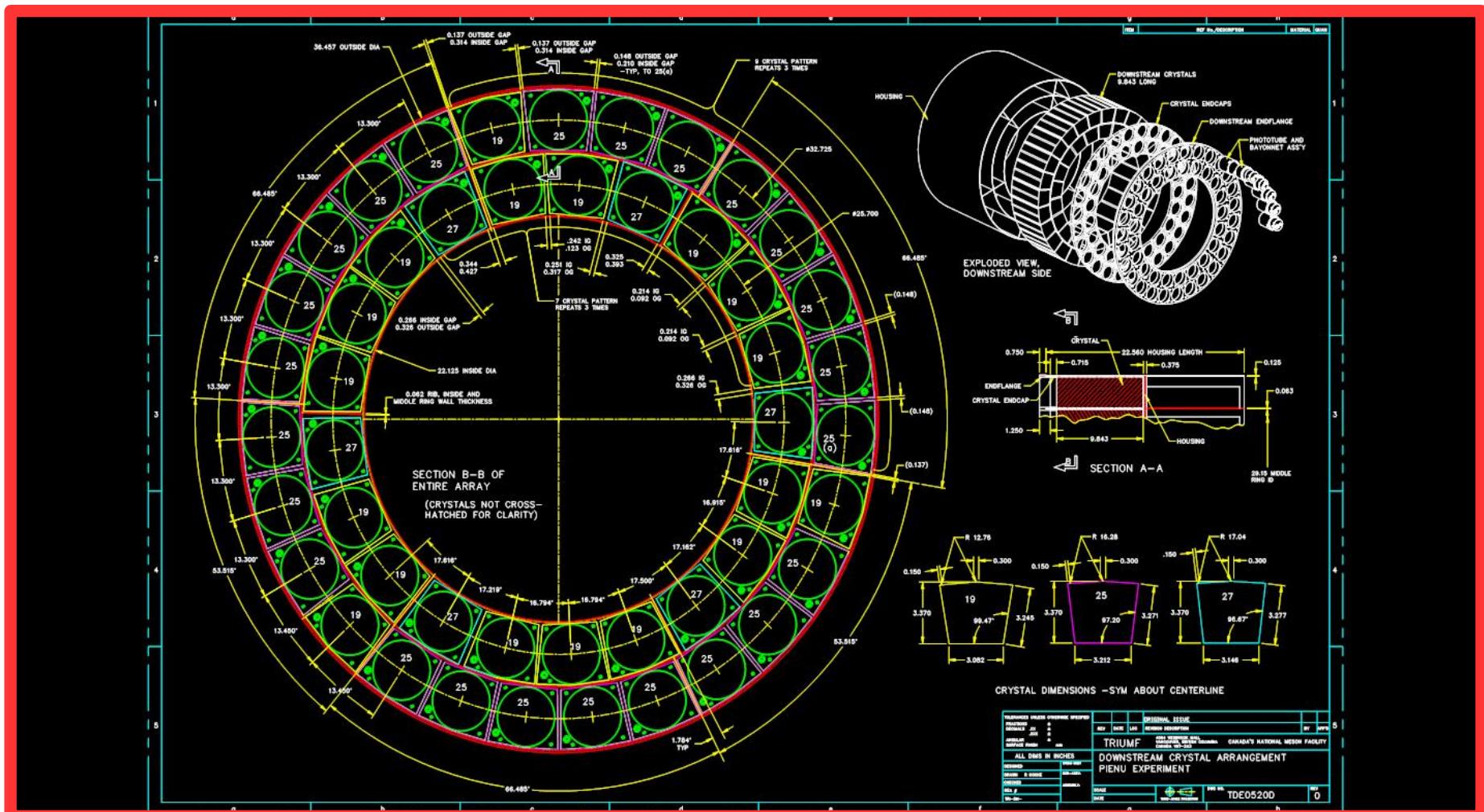
**$\pi$  Beam**

**Si Strips  
300 (80)  $\mu\text{m}$  pitch**



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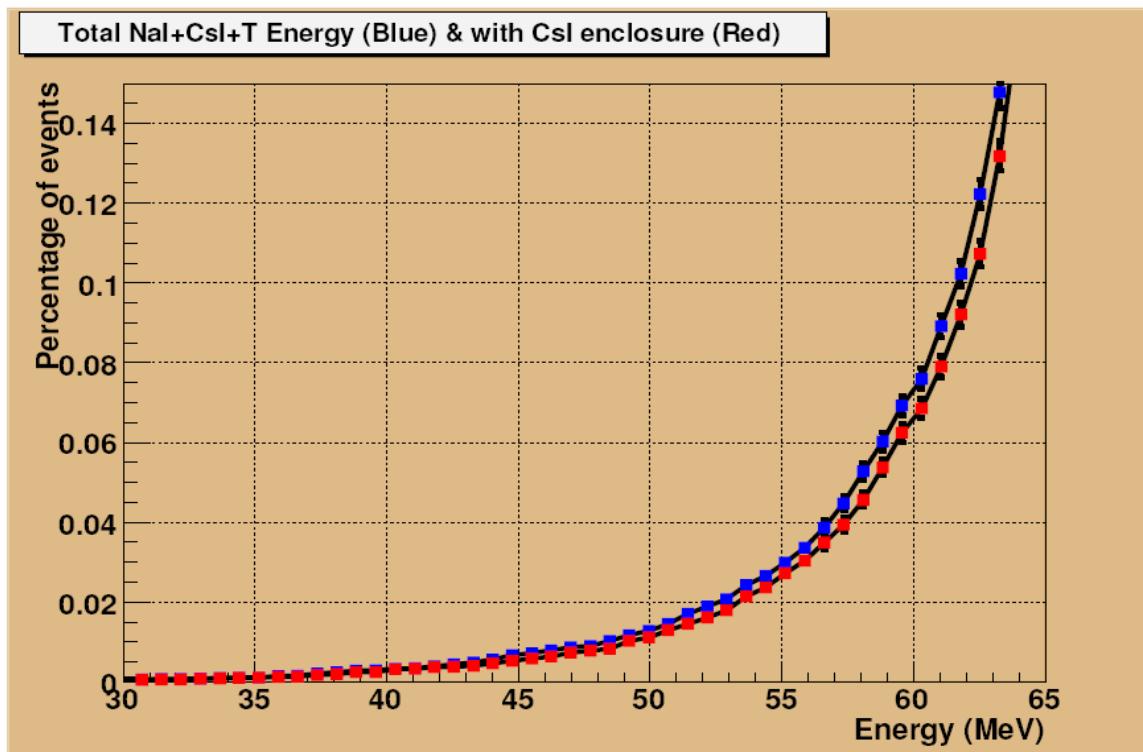
# Csl enclosure



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**To reach 0.05% precision, everything must be studied/known to 0.01%: GEANT3 & 4 MC Studies Example:**

**Dead material and Gaps between crystals**



Support material in gaps between crystals: <10% effect, known to <1% precision.

## Beam Test data: KEK 500 MHz Digitizers

# Pulse fitting

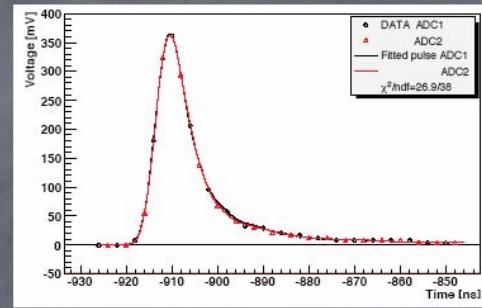
Fitting as a single pulse

Fitting function

2 free parameters  $A_1, T_1$

$$V = A_1 F(t + T_1)$$

for  $B_1, B_2, T_1, T_2$



Fitting as a double pulse

Fitting function

4 free parameters  $A_i, T_i$   $i=1,2$

$$V = A_1 F(t + T_1) + A_2 F(t + T_2)$$

for Target

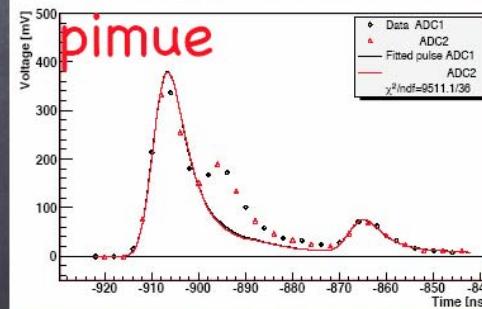
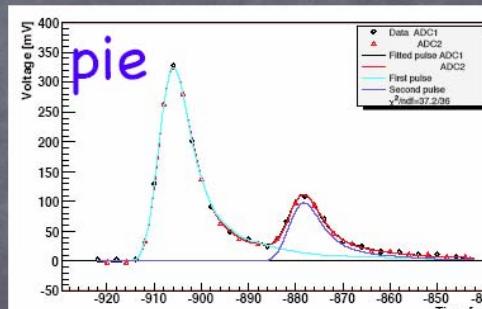
pie -> correct assumption

pimue -> incorrect assumption

$\chi^2$  and parameters obtained

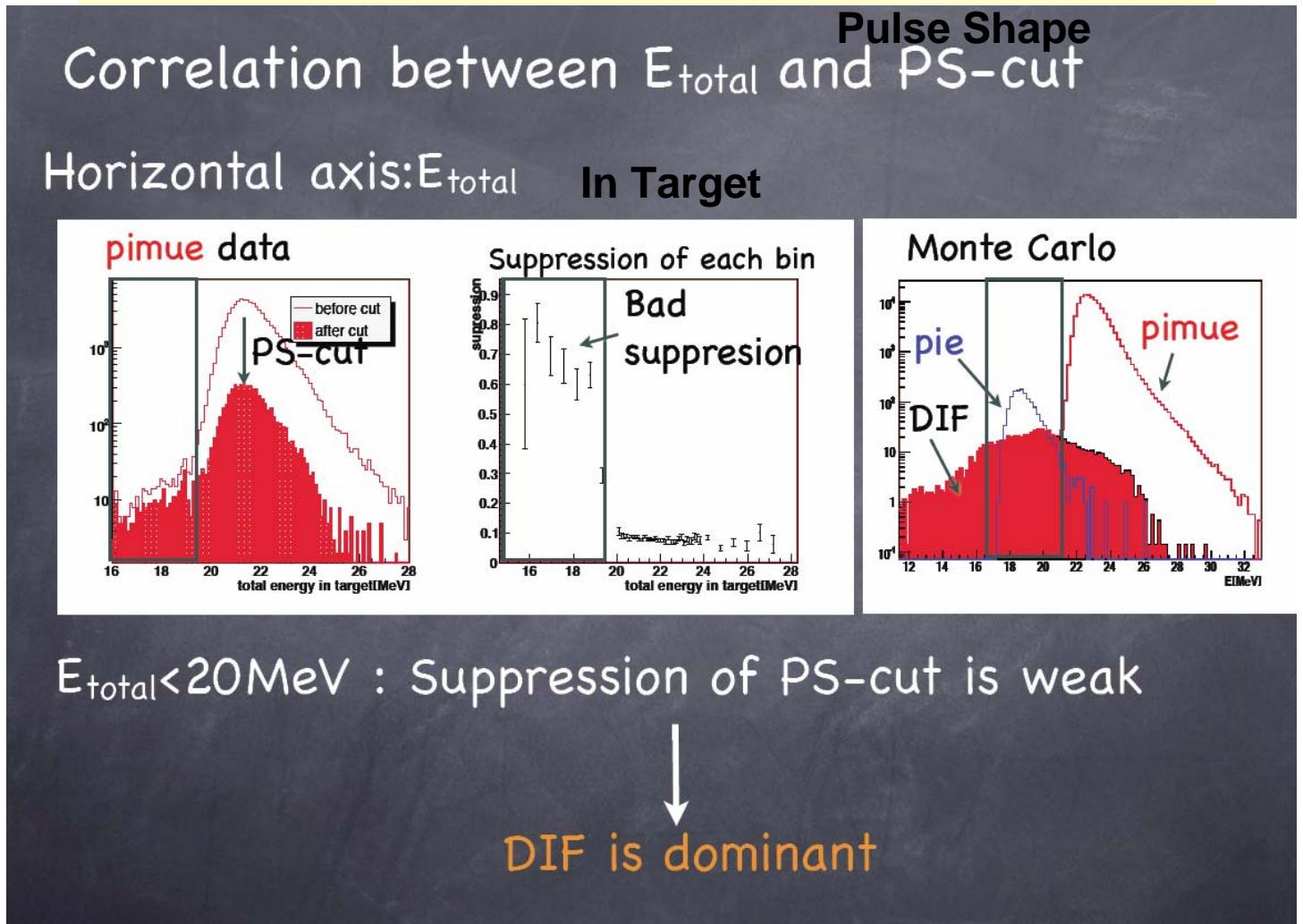
from fitting is useful

for identification of the decay-mode



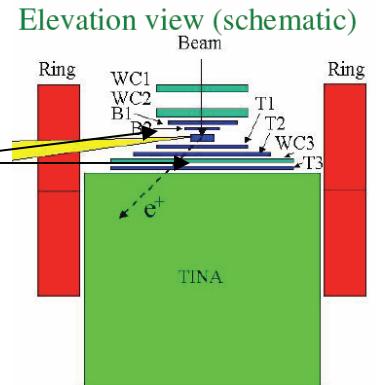
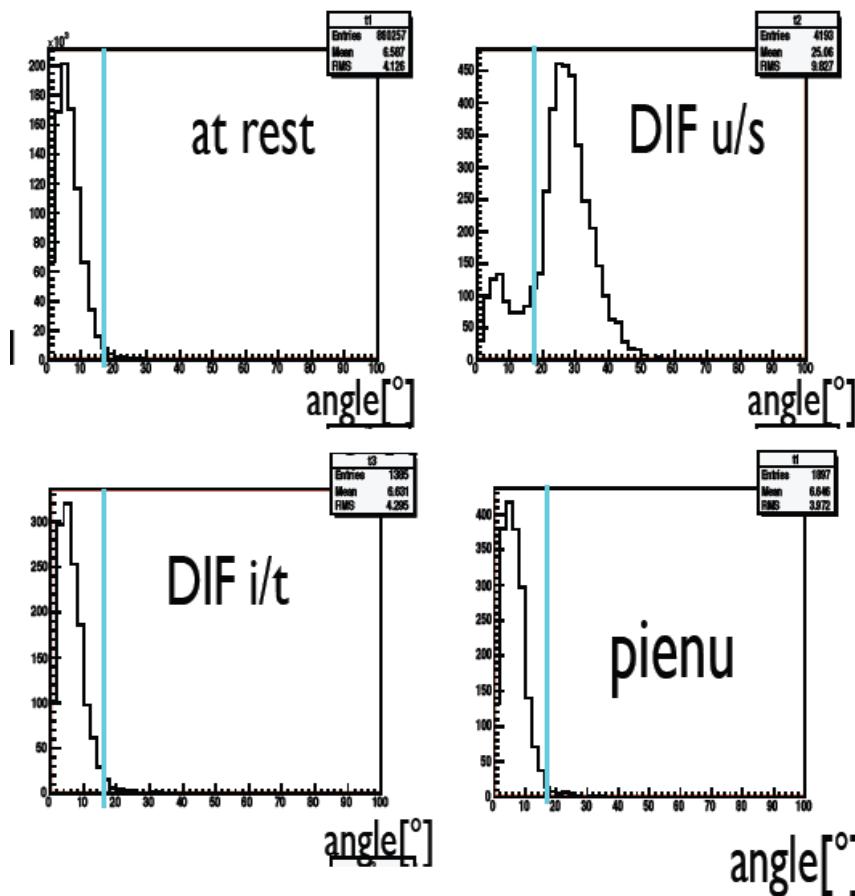
# Tail Correction Background source: $\pi$ Decays-in-Flight

Beam Test data:



# Si Strip and WC tracking suppress decays-in-flight

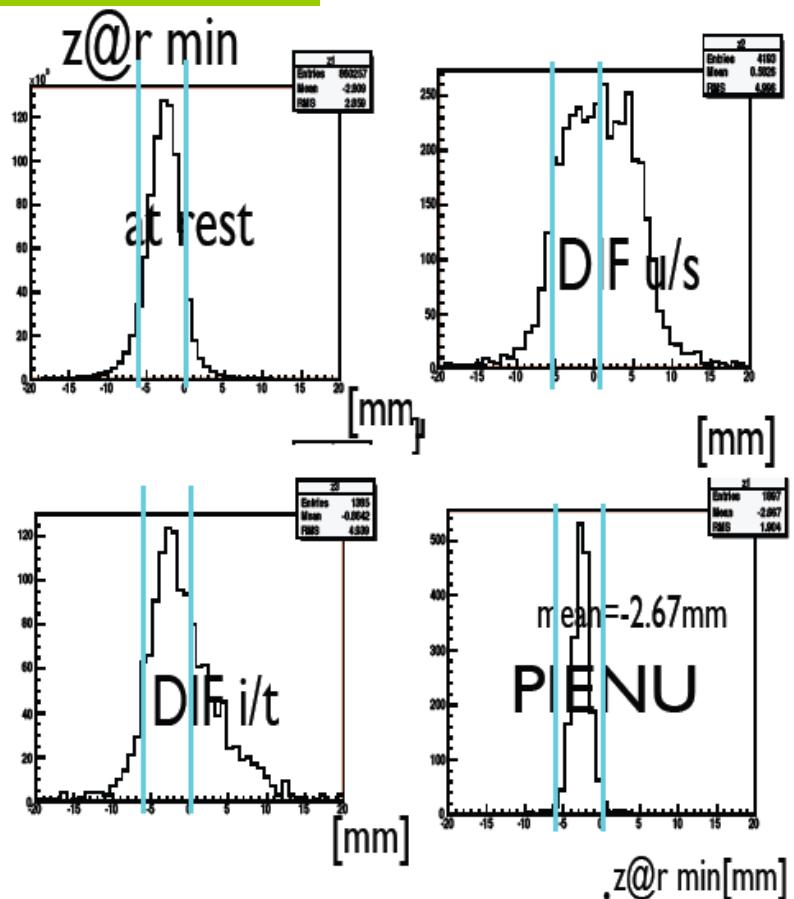
MC simulations



Measuring the angle between the incident beam pion (using Wire Chambers) and the charged particle entering the target (using Silicon Strip detectors) reduces decays-in-flight  
(Factor ~8)

# SS and WC tracking suppress decays-in-flight

MC simulations



Tracking to determine the stop/decay vertex suppresses decays-in-flight further.

Total suppression factor  $\sim 30$

# PEN at PSI

Precision Goal: 0.05%

PI Beta Spectrometer: 12 X0 pure CsI

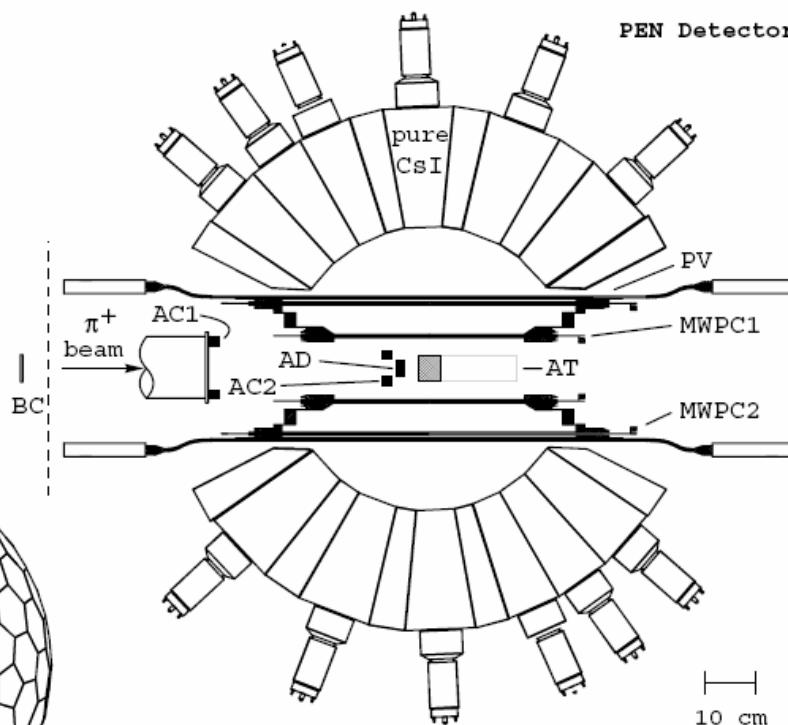
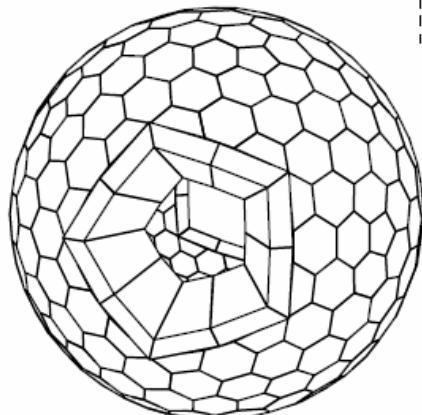
$$\pi^+ \rightarrow e^+ \nu$$

Previously measured

$$\pi^+ \rightarrow \pi^0 e^+ \nu, \pi^+ \rightarrow e^+ \nu \gamma$$

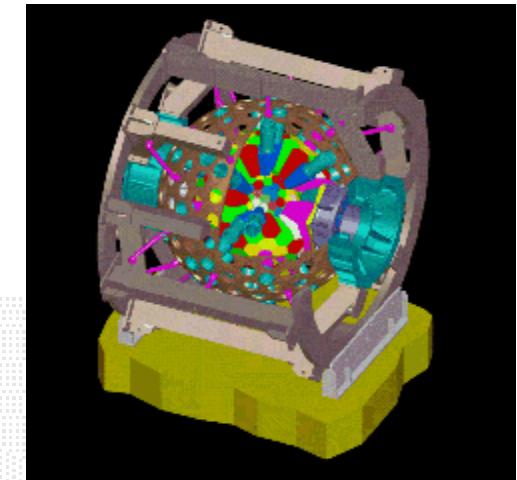
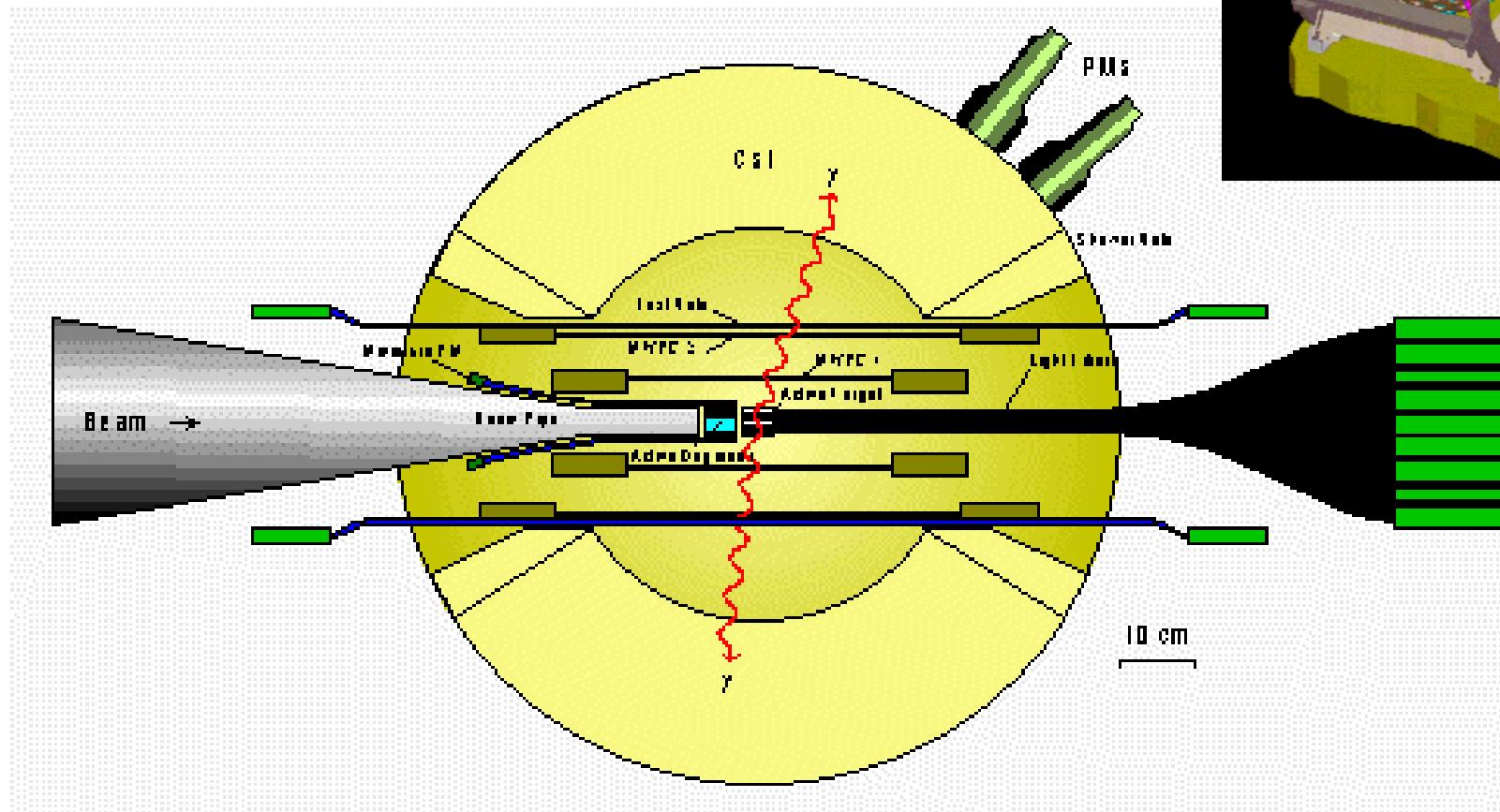
### The PEN Experiment:

- stopped  $\pi^+$  beam
- active target
- 240-det. CsI(pure) calorimeter
- central tracking
- digitized waveforms



Detector schematic cross section

# PSI $\pi$ - $\beta$ Target Arrangement

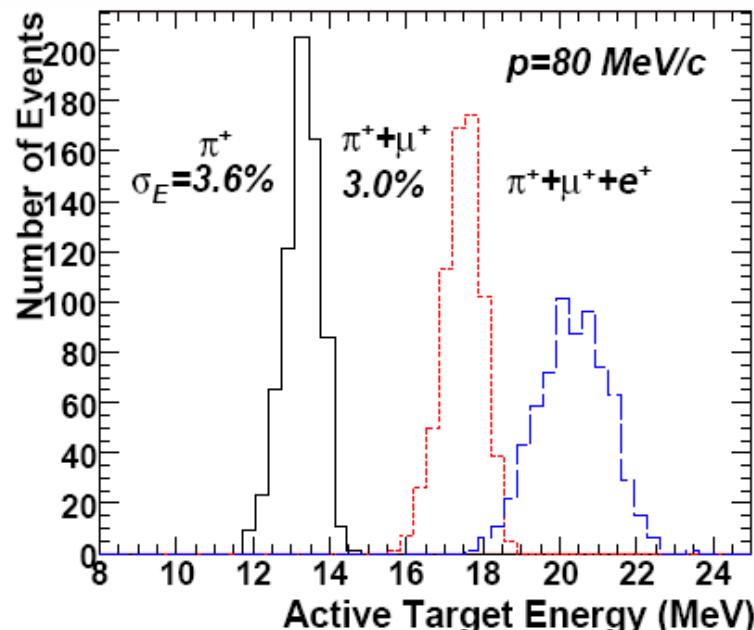


**PEN Active Target**

detector energy resolution

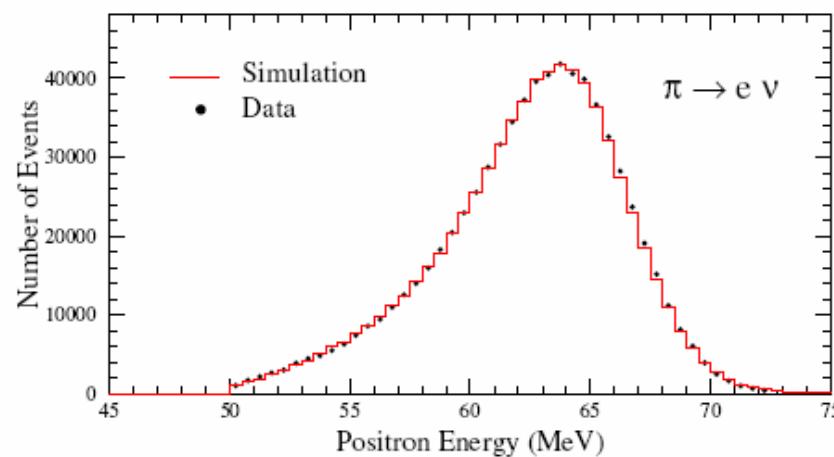
- stopped pion signal
- stopped pion with  
 $\pi \rightarrow \mu\nu$
- stopped pion with  
 $\pi \rightarrow \mu \rightarrow e$

[From 2006 PEN test run]



Calorimeter energy  
resolution for  $\pi^+ \rightarrow e^+\nu$   
after subtraction of late  
decay events.

[From 2004 PIBETA run]

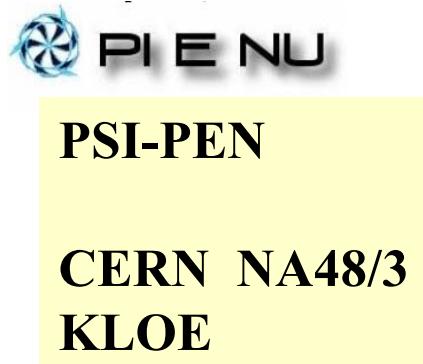


**12.8% FWHM at 66 MeV**

# Summary

High precision studies of the  $\frac{\pi / K \rightarrow e\nu}{\pi / K \rightarrow \mu\nu}$  branching ratios offer unique, clean access to new short distance effects and high mass scales that are complementary to studies at the LHC.

- $\frac{\pi \rightarrow e\nu}{\pi \rightarrow \mu\nu}$
- $\frac{K \rightarrow e\nu}{K \rightarrow \mu\nu}$



New Physics Sensitivity

$$\frac{1}{M_H^2} \text{ with } M_H \leq 1000 \text{ TeV}$$