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Neutrino Oscillation Physics Mass Splittings, Mixing & CP violation

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Mark Scott on behalf of the Neutrinos and Cosmic Messengers WG 23/06/2025

Neutrino Oscillation Mass splittings and mixing

$$\begin{pmatrix} \nu_{e} \\ \nu_{\mu} \\ \nu_{\tau} \end{pmatrix} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} \nu_{1} \\ \nu_{2} \\ \nu_{3} \end{pmatrix}$$
$$0 \qquad \sqrt{\frac{1}{6}} \sqrt{\frac{1}{3}} \sqrt{\frac{1}{2}} \sqrt{\frac{2}{3}}$$

- Mixing between neutrino mass and flavour eigenstates described by PMNS matrix
 - Large off-diagonal elements
 - Three mixing angles and one phase
- Three mass eigenstates gives two mass splittings
 - Mass ordering is unknown





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Neutrino Oscillation Mass splittings and mixing

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• Mixing between neutrino mass and flavour eigenstates described by PMNS matrix

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- Large off-diagonal elements
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- Three mass eigenstates gives two mass splittings
 - Mass ordering is unknown

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Neutrino Oscillation, Mixing and Mass Splitting



NuFit 6.0, JHEP 12 (2024) 216

22/06/2025

| | | | Normal Ordering (best fit) | | |
|---|--------|---|--|-----------------------------|--|
| | | | bfp $\pm 1\sigma$ | 3σ range | |
| ו | ta | $\sin^2 	heta_{12}$ | $0.308\substack{+0.012\\-0.011}$ | $0.275 \rightarrow 0.345$ | |
| - | c da | $	heta_{12}/^{\circ}$ | $33.68^{+0.73}_{-0.70}$ | $31.63 \rightarrow 35.95$ | |
| | herio | $\sin^2 	heta_{23}$ | $0.470\substack{+0.017\\-0.013}$ | 0.435 ightarrow 0.585 | |
| | lsou | $	heta_{23}/^{\circ}$ | $43.3^{+1.0}_{-0.8}$ | $41.3 \rightarrow 49.9$ | |
| | K atr | $\sin^2 	heta_{13}$ | $0.02215\substack{+0.00056\\-0.00058}$ | $0.02030 \to 0.02388$ | |
| | h S | $\theta_{13}/^{\circ}$ | $8.56^{+0.11}_{-0.11}$ | $8.19 \rightarrow 8.89$ | |
| | 24 wit | $\delta_{ m CP}/^{\circ}$ | 212^{+26}_{-41} | $124 \rightarrow 364$ | |
| | IC | $\frac{\Delta m^2_{21}}{10^{-5}~{\rm eV^2}}$ | $7.49_{-0.19}^{+0.19}$ | $6.92 \rightarrow 8.05$ | |
| | | $\frac{\Delta m_{3\ell}^2}{10^{-3}~{\rm eV}^2}$ | $+2.513^{+0.021}_{-0.019}$ | $+2.451 \rightarrow +2.578$ | |

See also F. Capozzi et al., Phys. Rev. D 104, 8, 083031

P. F. de Salas et al., JHEP 02, 071 (2021)

• Impressive progress in measuring oscillation parameters

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NuFit 6.0, JHEP 12 (2024) 216

| Neutrino Oscillation | on |
|-----------------------------|----|
| Current knowledge | |

- Impressive progress in measuring oscillation parameters
- Most parameters measured with few percent precision (note, have taken 1/6 of 3σ range as error for dCP and theta23)
- Open questions:
 - Octant of θ_{23}
 - Mass ordering
 - CP violation?
 - Value of δ_{CP}
 - Unitarity of PMNS
 - Other new physics?

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Neutrino Oscillation, Mixing and Mass Splitting

atmospheric data SK IC24 with

| | Normal Or | Normal Ordering (best fit) bfp $\pm 1\sigma$ 3σ range $0.308^{+0.012}_{-0.011}$ 3.7% $75 \rightarrow 0.345$ | |
|---|--|---|--------------------------|
| | bfp $\pm 1\sigma$ | | 3σ range |
| $\sin^2 	heta_{12}$ | $0.308\substack{+0.012\\-0.011}$ | 3.7% | $75 \rightarrow 0.345$ |
| $\theta_{12}/^{\circ}$ | $33.68^{+0.73}_{-0.70}$ | 2.1% | $63 \rightarrow 35.95$ |
| $\sin^2 	heta_{23}$ | $0.470\substack{+0.017\\-0.013}$ | 5.0% | $35 \rightarrow 0.585$ |
| $	heta_{23}/^{\circ}$ | $43.3^{+1.0}_{-0.8}$ | 3.1% | $1.3 \rightarrow 49.9$ |
| $\sin^2 	heta_{13}$ | $0.02215\substack{+0.00056\\-0.00058}$ | 2.3% | $30 \rightarrow 0.02388$ |
| $	heta_{13}/^{\circ}$ | $8.56^{+0.11}_{-0.11}$ | 1.3% | $19 \rightarrow 8.89$ |
| $\delta_{ m CP}/^{\circ}$ | 212^{+26}_{-41} | 16.4% | $24 \rightarrow 364$ |
| $\frac{\Delta m^2_{21}}{10^{-5}~{\rm eV^2}}$ | $7.49\substack{+0.19 \\ -0.19}$ | 2.5% | $92 \rightarrow 8.05$ |
| $\frac{\Delta m_{3\ell}^2}{10^{-3}~{\rm eV}^2}$ | $+2.513^{+0.021}_{-0.019}$ | 0.8% | $51 \rightarrow +2.578$ |

See also F. Capozzi et al., Phys. Rev. D 104, 8, 083031

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P. F. de Salas et al., JHEP 02, 071 (2021) 22/06/2025

Long-baseline Oscillation

Current experiments



- T2K and NOvA providing tightest constraint on Δm^2_{32} and $\sin^2 \theta_{23}$
- Demonstrated sensitivity to MO and δ_{CP} at the $1-2\sigma$ level
- T2K sensitivity for increased exposure of 1×10^{22} POT, 99% median confidence limits on CPV ($\delta_{CP} = \frac{-\pi}{2}$)

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- T2K sensitivity for increased exposure of 1×10^{22} POT, 99% median confidence limits on CPV ($\delta_{CP} = \frac{-\pi}{2}$)
- NOvA (beam expected Fall 2026) sensitivity for increased anti-neutrino beam exposure, ${\sim}2\sigma$ for MO

Neutrino Oscillation

Where are we going?

Long-baseline experiments

- Hyper-Kamiokande
- DUNE

Solar/Reactor experiments

- JUNO
- SNO+

Atmospheric neutrino experiments

- KM3NeT-ORCA
- IceCube Upgrade

Proposed experiments

- ESSNuSB
- THEIA
- SuperCHOOZ

- Factor 20-100 more events than T2K/NOvA, limited by systematics
- Mass ordering and CP violation discovery potential
- Unprecedented precision on $\sin^2\theta_{12}$ and mass splittings
- Mass ordering sensitivity
- Megaton scale detectors
- Sensitive to $\sin^2 \theta_{23}$, Δm^2_{32} and mass ordering
- Beam development and new technologies
- Long-baseline, solar and reactor sources
- Construction/operation would start after 2032

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Neutrino Oscillation Experiments Hyper-Kamiokande and DUNE

Hyper-Kamiokande

- 188 kt fiducial volume
- Water Cherenkov detector
- 295km baseline
- 0.6 GeV neutrino energy







DUNE

- 20kt (Phase 1) + 20kt (Phase 2) fiducial volume
- Liquid Argon TPC
- 1300km baseline
- 2-3 GeV neutrino energy







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Neutrino Oscillation Experiments IceCube and KM3NeT-ORCA

IceCube

- Mega-tonne scale Cherenkov detector at South Pole
- Atmospheric (and astrophysical) neutrinos
- Higher density DeepCore, with Upgrade being installed



17m

1450m

2450m

2100m

2450m

Instrumented Depth

2140m

2440m

KM3NeT-ORCA

- Mega-tonne scale Cherenkov detector in Mediterranean Sea
- Atmospheric (and astrophysical) neutrinos
- 24 strings deployed









Neutrino Oscillation Experiments JUNO

- 20 kt liquid scintillator detector
- 20" and 3" PMT systems
- Reactor anti-neutrinos, 50 km baseline
- Near detector, TAO, for precise reactor flux





Future experiment timeline



DUNE Phasing and Exposure

- DUNE Phase 1 consists of:
 - 10kt Far Detector Module 1, 10kt Far Detector Module 2, both liquid argon
 - Suite of near detectors, inc. liquid argon detector
 - 1.2 MW neutrino beam
- DUNE Phase 2 consists of:
 - Far Detector Modules 3 and 4, assumed to be 10kt each of liquid argon in sensitivity studies
 - More Capable Near Detector (MCND), assumed to be gaseous argon TPC
 - Beam power upgraded to 2.3MW
- DUNE performance submitted as a function of kt-MW-years exposure, plot on right converts to year since beam start (nominally 2031)



Future precision on $\sin^2\theta_{23}$ and Δm^2_{32}



- For long-baseline experiments precision on $\sin^2\theta_{23}$ depends on true value
 - Hyper-K illustrates this on left
- Both DUNE and HK can achieve comparable precision on disappearance parameters
 - Best precision on $\sin^2\theta_{23}$
- JUNO provides best precision on Δm^2_{3l}
- Multiple experiments with different systematics

Precision measurements from JUNO

- Plan to measure fast oscillation on top of larger oscillation
 - Relies on precise energy calibration



- After 6 years predict:
 - 0.2% precision on Δm^2_{31}
 - 0.3% precision on Δm^2_{21}
 - 0.5% precision on $\sin^2 \theta_{12}$



Future determination of mass ordering



• Experiment sensitivity versus time, width from uncertainty on other oscillation parameters

• Primarily $\sin^2 \theta_{23}$

• Early 2030s:

- Many experiments with 3σ sensitivity
- Multiple neutrino sources and methods to determine MO
- DUNE has 5σ sensitivity shortly after beam starts
 - Assumes $\sin^2 \theta_{23} = 0.58$, expect less sensitivity for smaller values

Mass ordering **Combinations with JUNO**

- Many experiments with $\sim 3\sigma$ MO sensitivity expect results in early 2030
- Combination of long-baseline experiments with JUNO provides significant enhancement, up to 5σ



CP Violation sensitivity

Hyper-K and DUNE

- Hyper-K expects 5σ CPV sensitivity for 50% of values of δ_{CP} after ~5 years beam exposure
- 75% of values at 3σ after ~7 years



- DUNE expects 5σ CPV sensitivity for 50% of values of δ_{CP} with 600 kt-MW-years exposure (~10 years)
- 75% of values at 3σ with 1000 kt-MW-years (~14 years)



Precision on δ_{CP} Hyper-K and DUNE

- Performance of both experiments similar
 - Approximately 6° if $sin(\delta_{CP}) = 0$
 - If $\delta_{CP} = \pm \pi/2$ DUNE achieves ~19° with 1000-kt-MW-years while Hyper-K achieves ~20° after 10





Over-constraining PMNS parameters

Combining experiments

Majority of measurements today assume PMNS is unitary

- Current data (green) do not really constrain unitarity
- Combining future experiments (JUNO, IceCube, DUNE and HK on right) provides significantly greater power
- Study consistency of PMNS parameters between experiments
 - HK and DUNE will approach reactor precision on $\sin^2 \theta_{13}$

This is a generic search for new physics

- BSM covered in more detail in talk by J. Kopp
- Requires detailed understanding of experiment uncertainties and correlations between experiments

Multiple experiments will provide robust determination of CPV, MO and PMNS parameters

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Neutrino Oscillation

Systematic Uncertainties

Complex and detailed topic – cannot address in 30-minute talk, but critical for future experiments to achieve their design goals

JUNO

 3%/VE energy resolution needed for MO determination and (perhaps) atmospheric mass splitting, solar mixing parameter and mass splitting are independent of this

IceCube/KM3NeT-ORCA

- Atmospheric neutrino flux has relatively large uncertainties, but largely cancel in ratio of up/down, electron/muon, neutrino/antineutrino
- Neutrino interaction cross section important for precise mixing parameter and mass splitting
- Detector systematics are also challenging

Hyper-Kamiokande/DUNE

- Need near detectors, in-situ and external measurements to control neutrino flux and cross section
- Detector systematics assumed to be well controlled, will be challenging

Neutrino Flux Prediction NA61/SHINE Experiment

- Plays an essential role in constraining neutrino flux uncertainties coming from unknown hadron production cross sections – strong support from community seen in ESPPU submissions
- Propose to continue measurements after CERN Long Shutdown 3
 - LBNF and T2K/HK replica targets
 - Low energy protons on nitrogen to constrain atmospheric flux
 - Mesons and protons on aluminium, water, iron and other targets
 - Understand secondary
 interactions in neutrino beam lines



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#238 ESPPU

Neutrino cross sections in long-baseline experiments Neutrino scattering ~1 GeV

- At GeV-scale neutrino event generators must model both interaction of neutrino with a nucleon and the impact of the surrounding nucleus on this process
 - Final state interactions, collective nuclear excitations, hadron-quark transition, low-W hadronization...
- Models do reasonably well for quasi-elastic interactions



W. Filali et al, Phys. Rev. D 111, 032009

Neutrino cross sections in Long-baseline Experiments Neutrino scattering ~1 GeV

- At GeV-scale neutrino event generators must model both interaction of neutrino with a nucleon and the impact of the surrounding nucleus on this process
 - Final state interactions, collective nuclear excitations, hadron-quark transition, low-W hadronization...
- Models struggle to describe other data samples well (one example below)



- T2K experiment developing interaction model and uncertainties for neutrinos with energies from 0.3 - 2 GeV for Carbon and Oxygen targets
 - Hyper-K benefits from this
- DUNE uses argon target, beam neutrino energy mainly above 1 GeV
 - No existing data on argon in this energy range
 - Some data from SBN program at lower energy

Neutrino cross sections in long-baseline experiments Impact on oscillation physics – T2K

- Neutrino event generator cross section predictions differ by 50% at low energy transfer (right)
- T2K study:
 - Fit simulated data from CRPA model at near and far detector,
 - Extract oscillation parameters
 - Compare to expected value from nominal sensitivity fit
- Observed shift in best-fit value of Δm^2_{32}
 - Shift as large as total systematic error on Δm^2_{32}
 - Added as additional error





Neutrino cross sections in Long-baseline Experiments

Arb. norm

0.

0.2

ENIE 10a

(5

Ratio w.r.

Impact on oscillation physics - DUNE

- DUNE has wide-band beam at higher energy
 - Modelling of neutrino energy v. important
 - Need to measure hadronic part of neutrino interaction as well as leptonic
 - Mis-modelling the amount of energy that is not detected can have significant impact

Proportion of E_{ν} reconstructed within 10% of the true E_{ν} differs by more than 20%

GENIE 10a GENIE 10b GENIE 10c
 CRPA SuSAv2 NEUT
 NuWro



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Neutrino cross sections measurements

Current and upcoming experiments

Many experiments with significant European involvement are currently collecting data, or plan to start soon – almost all have collected data on a variety of targets with both neutrinos and antineutrinos

T2K Near Detectors - #106 ESPPU

- Carbon and oxygen target, 0.6 GeV peak neutrino energy
- ND280 Upgrade (NP07) currently collecting data, new upgrade proposed for mid-2030s (ND++)

MINERvA - #149 ESPPU

- Many target nuclei from He to Pb, not argon
- Energies from 1 10 GeV, driver of model development

Short Baseline Neutrino Programme

- ICARUS (NP01, <u>#226 ESPPU</u>), MicroBooNE, SBND
- Millions of events with large (>40k) number of electron neutrinos
- Argon target, peak energy 0.8 GeV
 - ICARUS also plans to measure NuMI beam at higher neutrino energies

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Neutrino cross sections measurements Possibilities at CERN

CERN support for neutrino physics recognised as critical to success of oscillation program Broad interest in potential neutrino facilities at CERN

nuScope (formerly SBN@CERN) - #101 ESPPU

- Combination of ENUBET (NPO6) instrumented decay region and NuTAG fast tracking to reconstruct kinematics of neutrino production from hadron decays
- 1% uncertainty on neutrino fluxes, measure "true" neutrino energy with 1% error for tagged events
- Technology under development, but most already demonstrated
- Proton requirement compatible with SHiP, 1.4x10¹⁹ POT over 4 7 years

Requires large (500 tonne, ~1 ProtoDUNE), capable detector

- O(1M) muon neutrino events
- ~80% tagging efficiency if timing performance (0.04ns beamline, 0.3ns for interaction in detector) achieved Imperial College London

Neutrino Oscillation, Mixing and Mass Splitting



Neutrino cross sections measurements Possibilities at CERN

Muon beams provide large electron neutrino fluxes, can provide both neutrinos and antineutrinos and the neutrino beam can be well characterised by measuring the muons

NuSTORM - #200 ESPPU

- Target station, pion transfer line, then muon storage ring
- Neutrinos from both muons and initial pions
- Possible to form bespoke fluxes

Neutrinos from high brightness muon beams - <u>#251 ESPPU</u>



- Looking at neutrino physics from first stage of muon collider at CERN
- Target station, cooling followed by initial stages of LINAC to 1.5 GeV/c (or higher for DUNE)
- Requires development of muon cooling

Neutrino cross sections measurements Possibilities at CERN summary

Table shows areas to compare each proposal – non-exhaustive

- Electron neutrino cross section limiting systematic for Hyper-K CPV sensitivity, significant for δ_{CP} precision and octant sensitivity
- Neutrino vs antineutrino cross section limiting systematic for Hyper-K CPV sensitivity
- Neutrino energy knowledge of neutrino energy and relation to reconstructed variables limiting systematic for precision measurements and CPV at DUNE

| | nuSTORM | nuScope | Muon beam |
|-------------------------------|---------|-------------|-----------|
| σ_{ν_e/ν_μ} | + | + | + |
| $\sigma_{\ \overline{ u}/ u}$ | + | Under study | + |
| $E_{m u}$ | _ | + | _ |
| Readiness | + | + | × |
| Muon collider R&D | + | × | + |

- All can measure v_e flux with 1% uncertainty
- Muon beams have well known $\bar{\nu}$ flux
- nuScope tagging, muons have tunable beam
- High brightness muon beam requires cooling R&D
- Muon beams develop technology for muon collider

Neutrino oscillation ecosystem

All experimental submissions highlight the importance of European support – both at CERN and elsewhere All highlighted the benefit from the Neutrino Platform, and request **recognition and support for the Neutrino Platform**

- Played key role in T2K Near Detector Upgrade (NP07), BabyMIND (NP05)
- Hosted Proto-DUNEs (NP02, NP04)
- Direct participation in T2K and DUNE
- Hyper-K electronics testing (NP08)
- Many others

A number of submissions highlighted the benefit from both the CERN neutrino experimental and theoretical groups:

- CERN (and Europe) is in a unique with large contributions to Hyper-K and DUNE, as well as JUNO, KM3NeT and IceCube
- Opportunity to be a nexus for cross-experiment work, driving future discovery

Neutrino oscillation, mixing and mass splitting Summary

Field is starting to measure mixing parameters and mass splittings with %-level precision

Many new experiments under construction or starting to collect data

- Expect MO to be known at 3σ by 2030, confirmed at 5σ by DUNE in 2034
- Discovery of CP violation possible by 2031, but Nature may not be kind, and it could be much harder
- Sub-% precision on most oscillation parameters by late 2030s limited by systematics

Strong support in Europe for wide programme of experiments

- Hyper-K, DUNE, JUNO, KM3NeT-ORCA, IceCube, SNO+, ESSNuSB, SuperCHOOZ, NA61
 - CERN Neutrino Platform
- Neutrino beams at CERN can reach <1% precision on flux and cross sections
- Opportunity for cross-experiment research hub in Europe

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Thank you

Bibliography

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- NOvA: P. Vahle, NOvA PAC '24
- DUNE: <u>#118 ESPPU</u>, arXiv:2408.12725, arXiv:2103.13910, C. Wilkinson, Workshop on Neutrino Event Generators
- JUNO: <u>#36 ESPPU</u>, arXiv:2405.18008, A. Abusleme et al 2022 Chinese Phys. C 46 123001
- KM3NeT-ORCA: <u>#249 ESPPU</u>,
- Hyper-K: <u>#238 ESPPU</u>, <u>arXiv:2505.15019</u>
- <u>NuFit 6.0, JHEP 12 (2024) 216</u>
- IceCube: <u>#236 ESPPU</u>, <u>J. Weldert, WIN 2025</u>
- MINERvA <u>#149 ESPPU</u>
- ICARUS <u>#226 ESPPU</u>
- Neutrinos from high brightness muon beams - <u>#251 ESPPU</u>
- NuSTORM <u>#200 ESPPU</u>
- nuScope (formerly SBN@CERN) <u>#101 ESPPU</u>
- W. Filali et al, <u>Phys. Rev. D 111, 032009</u>
- <u>S. Ellis et al, PHYS. REV. D 102, 115027 (2020)</u> Neutrino Osciliation, Mixing and Mass Splitting



• T2K and NOvA providing tightest constraint on Δm^2_{32} and $\sin^2 \theta_{23}$

Long-baseline Oscillation

Current experiments



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• Demonstrated sensitivity to MO and δ_{CP} at the $1 - 2\sigma$ level

Precision on $\sin^2 \theta_{23}$ and Δm_{32}^2 Hyper-K and DUNE

- Precision on $\sin^2 \theta_{23}$ depends on true value
 - Both DUNE and HK can achieve better than 4×10^{-3} precision for $\sin^2 \theta_{23} = 0.58$ (current precision ~2 × 10^{-2})
- Precision on Δm_{3l}^2
 - DUNE: 1% 0.4% with 100 – 1000 kt-MW-years exposure
 - HK: 1% 0.4% with 1 10 years exposure
 - Current precision 1.5%



HK Sensitivity Paper

Precision on $\sin^2 \theta_{23}$ and Δm_{32}^2 IceCube and ORCA \square

- Both IceCube and KM3NeT-ORCA expect to achieve 3 - 5σ MO sensitivity around 2033
 - Depends on value of $\sin^2\theta_{23}$
- Good precision on $\sin^2 \theta_{23}$ and Δm^2_{3l} achievable
 - Better than current limits by small factor
 - Less precise than DUNE, Hyper-K and JUNO (Δm_{3l}^2)



Measurements from JUNO

- Plan to measure fast oscillation on top of larger oscillation
 - Relies on precise energy calibration
- Predict $3 5\sigma$ sensitivity to MO after 7 - 20 years operation
- After 6 years predict:
 - 0.2% precision on Δm^2_{31}
 - 0.3% precision on Δm^2_{21}
 - 0.5% precision on $\sin^2\theta_{12}$



JUNO Data Taking Time [days]

Mass Ordering Hyper-K and DUNE

• DUNE expects 5σ MO sensitivity for all values of δ_{CP} with 70 kt-MW-years exposure (~3 years of Phase I)



 Hyper-K expects 4 – 6σ MO sensitivity after 10 years exposure, combining beam and atmospheric neutrinos

