Corso nazionale di formazione "Scienza Aperta ed INFN»
17-18 febbraio 2025

Open Data (II)
Long-Term Data Preservation

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Istituto Nazionale di Fisica Nucleare

FAIR Principles

DOI

WebDAV

- **Findable**
  - **Persistent Identifiers**: Assign globally unique and persistent identifiers to data
  - **Rich Metadata**: Describe data with detailed metadata to facilitate discovery
- **Accessible**
  - **Standardized Protocols**: Use open, free, and universally implementable protocols for data retrieval
  - **Metadata Accessibility**: Ensure metadata remains accessible even if the data is no longer available
- **Interoperable**
  - **Common Languages**: Use formal, accessible, and shared languages for data representation
  - **Vocabularies**: Employ vocabularies that adhere to FAIR principles
- **Reusable**
  - **Detailed Documentation**: Provide rich descriptions and provenance information to support data reuse
  - **Community Standards**: Ensure data meets domain-relevant community standards

(Digital) Archive

- Collection of data with the following key characteristics:
  - **Preservation –** Ensuring long-term protection of data
  - **Organization –** Records are systematically arranged and catalogued for easy retrieval
  - **Authenticity:** The integrity and authenticity of the records is maintained
  - **Access –** Providing (standard) access protocols; access can be restricted
- In an archive, DOIs can be assigned to data
- While it is not mandatory to adhere to FAIR principles, open access to data in an archive allows sharing it with other communities and/or future generations of scientists

Why Long-Term Data Preservation (LTDP)

- Scientific research, economic value, legal compliance, historical record-keeping are strong drivers for LTDP
- **Ensures Accessibility**: LTPD ensures that original datasets remain accessible for subsequent validations
- **Enables Secondary Analyses**: Access to preserved datasets allows researchers to conduct secondary analyses, uncovering new insights
- **Utilizes Irreplaceable Data**: It offers the possibility to use data that is not easily reproducible
    - E.g., data from CDF ($p\bar{p}$), LEP experiments (e^+e^-), BABAR

Long-Term Data Preservation: how

- Establishing a comprehensive framework for data management is essential, encompassing standardized formats, data management plans, and stakeholder engagement to ensure the longevity, accessibility, and usability of scientific data for future research.
- Preservation of usability of data over time involves multiple levels
  - Storage – Physical protection of data
  - Integrity – Data curation
  - Control – Access control to data
  - Metadata – Curation of inventory of content
  - Content – Documentation about data

Storage and Integrity: *Bit preservation*

Metadata and Content: *Analysis framework preservation*

Storage level (1/2)

- Keep at least 2 complete copies in different locations (possibly with different technologies)
  - For experiments at LHC, data are duplicated among CERN and Tier1 centers using automatic procedures and “standard” tools (e.g., FTS and Rucio)
- Have a plan and execute action to address obsolescence of storage hw, sw and media
  - At CNAF we periodically migrate data from a generation of tapes to a newer one
  - Operating system of servers is regularly upgraded (upon agreement with the collaborations)
- For no more active collaborations, data access applications become obsolete after a few years, and they are instantiated (as Virtual Machines) when needed

Storage level (2/2)

- Tape storage usually offers the best compromise between technical and economic requirements
- Special devices to meet legal requirements for data immutability (e.g., WORM devices) are also used to ensure the integrity and security of critical information

Integrity level

- Ensure that the data remains accurate and unaltered over time against both deliberate tampering and accidental damage
- Performing regular (automatic) checks to verify data integrity (and restore from a secondary archive if data is compromised)
  - Common practice in HEP is generating a checksum of the data unit (file) and verify when transferring and reading from tape
  - In WLCG a corrupted copy of a file is invalidated and then retransferred by another site (o regenerated in some cases)

Control level

- Determine who has rights to access (read, write, delete, etc..)
- Control and log accesses
- For scientific data this is implemented at a basic level
  - E.g., production managers of a collaboration can write and delete data of that collaboration, other users can only read
- For administrative staff (e.g. Alfresco) stronger security is needed

Metadata and content levels

- Maintain detailed information about content of the data and location of the storage
- In HEP it is done normally by the collaborations using catalogues
- For no more active collaborations, ensuring accessibility of data requires that metadata is also preserved at the data centers

Data Preservation in HEP

- Problem of LTDP addressed since a few years
- **DPHEP collaboration:** Data Preservation in High Energy Physics
  Past experiments have already successful DP projects in place (e.g., Babar, CDF, Aleph)
- All **LHC experiments** are devoting efforts to data preservation
- A data preservation project in HEP can be better described in a functional way:
  - *Bit preservation: how preserve data*
  - *Analysis framework preservation: code preservation, virtualization*

DPHEP-2023-01-PUB
April 2023

First community report 2012 - Updated with experience last ten years

Data Preservation in High Energy Physics: Decennial Global Report

DPHEP Collaboration[*]

Abstract

Data preservation is a mandatory specification for any present and future experimental facility and it is a cost-effective way of doing fundamental research by exploiting unique data sets in the light of the continuously increasing theoretical understanding. This document summarizes the status of data preservation in high energy physics. The paradigms and the methodological advances are discussed from a perspective of more than ten years of experience with a structured effort at international level. The status and the scientific return related to the preservation of data accumulated at large collider experiments are presented, together with an account of ongoing efforts to ensure long-term analysis capabilities for ongoing and future experiments. Transverse projects aimed at generic solutions, most of which are specifically inspired by open science and FAIR principles, are presented as well. A prospective and an action plan are also indicated.

Submitted to Eur. Phys. J. C

A The DPHEP Collaboration

DPHEP Collaboration

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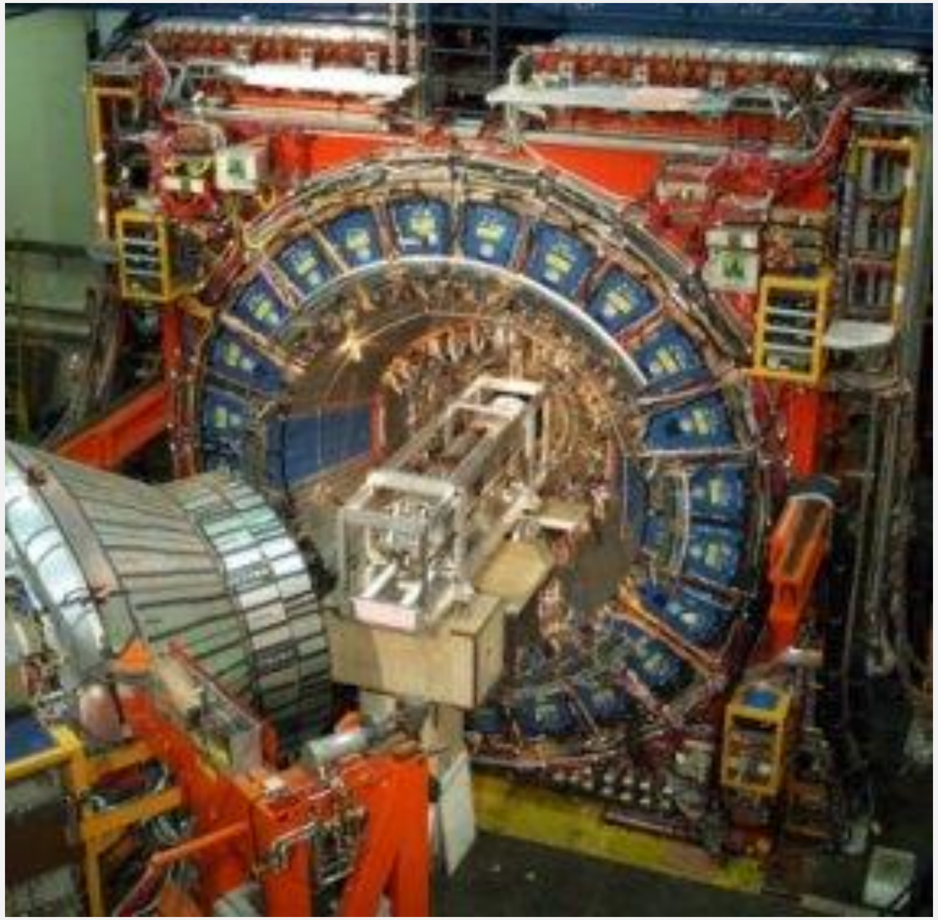
The CDF use case

- Experiment running at Tevatron (FNAL) in the period 1985-2011
  - Main achievement: discovery of top quark (1995)
- End of 2011: Start of discussions for implementing LTDP of CDF at CNAF
- Goal: preserve a complete copy of CDF data and MC samples and services (access, data analysis capabilities)...
- In September 2012, CSN1 agreed to fund LTDP of CDF data



Why preserve CDF data?

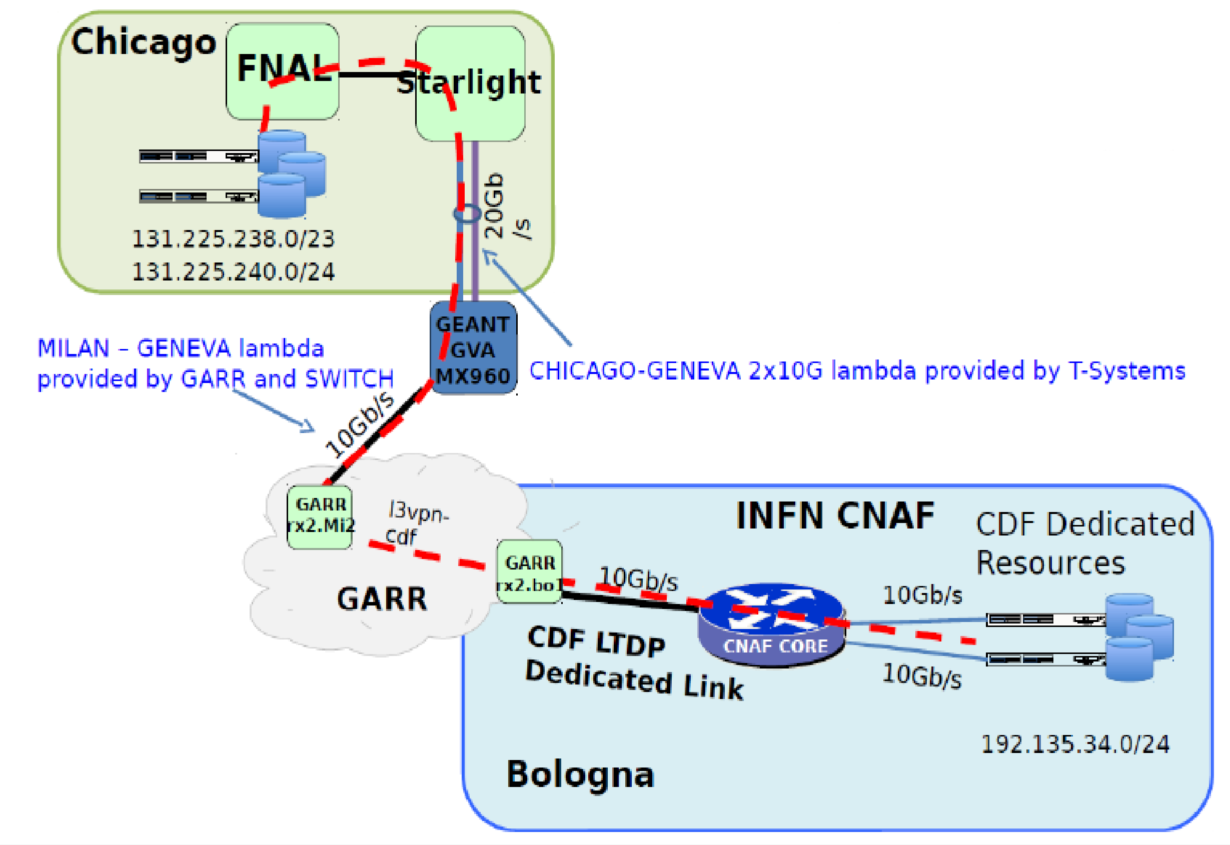
- Historical value
  - Unique proton-antiproton collisions
  - Discovery of the top quark
- Educational value
  - Training of students
- Scientific value
  - Physics results are still being extracted from the data

CNAF-CDF project

- Some “easy” steps
  - Copy all data from FNAL to CNAF
    - Implementing bit preservation
  - Copy metadata and related documentation
  - Set up VMs with all the needed services and computing platforms
    - Implementing analysis framework
- The first issue was getting the data
  - Data from Run1 (1992-1996) on obsolete media (not in a library)
    - Valuable for educational purposes (discovery of top quark)
  - Data from Run2 (2001-2011) available on Oracle tape libraries at FNAL
    - Valuable physics content

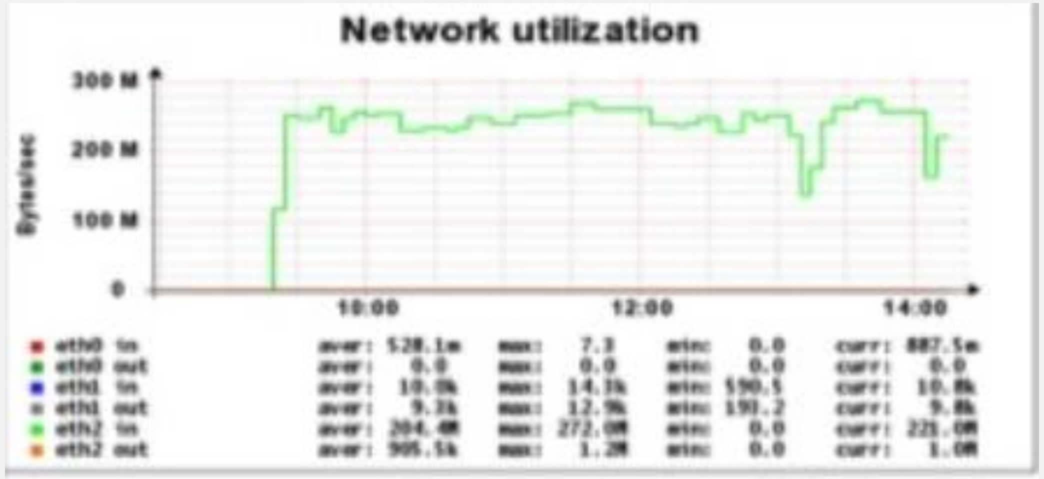
CNAF-CDF project: copy of Run2 data (1/2)

- ~4 PB of data from Run2
  - All data and MC user level n-tuples (2.1 PB)
  - All raw data (1.9 PB)
  - Databases (O(100 GB))
- Decision to transfer all the data over the network using “standard” (i.e., grid) tools
  - Not feasible to use GPN (too slow) or LHCOPN/ONE (used for LHC)
  - Dedicated 5 Gbps link FNAL-CNAF provided by GARR
    - Link provisioning and set up took ~10 months (April 2012 – February 2023)
    - Not only GARR involved (also GEANT, ESNET and FNAL…)
  - Estimated (very optimistic) time for the copy: ~3-6 months



CNAF-CDF project: copy of Run2 data (2/2)

- Network was not the only issue
- Tapes available by the beginning of 2014
  - Funding for tapes at CNAF delayed until June 2013
  - Contingent upon the availability of the project manager (on CDF side)
- Some modifications of CDF tools needed to use third party gridftp transfers and interface with CNAF Mass Storage System
- Unable to use the network steadily at full capacity due to a bottleneck in the transfer to the library
- After the start-up minimal FTE overhead
- Copy process took nearly 12 months



Timeline of the project (1/2)

- Project approved in September 2012
- Funds available in June 2013
- First tapes available in January 2014
- Copy started in February 2014
- Copy completed in February 2015

Costs

- 2013: 89 k€
  - Tape drives, servers, tapes
- 2014: 99 k€
  - Tapes, servers

+ 0.2 FTE for 2 years
link FNAL-CNAF provided by GARR pro bono

Timeline of the project (2/2)

- Other activities from February 2015 to 2019
  - Recovery of missing files (and then periodic checking of copied files)
  - Installation of a new data access system and new CDF code
  - Data analysis with the new *jobsub* system at CNAF (2017)
  - Production of a web page (documentation)
- **To complete the above tasks, we needed to travel to FNAL in search of an expert (a nearly retired guy)**

What about data from Run1?

- The only copy was on ~4000 Exabyte tapes (~20 TB in total)
  - Robots to read this type of tapes no more available at FNAL
- 2016: tapes shipped to CNAF
- 3 Autoloaders procured (total cost: 5 k€)
- Not negligible effort foreseen (load tapes) for ~1 year (to recover 20 TB....)
- We initiated a pilot project to assess the feasibility of the operation

BUT.....

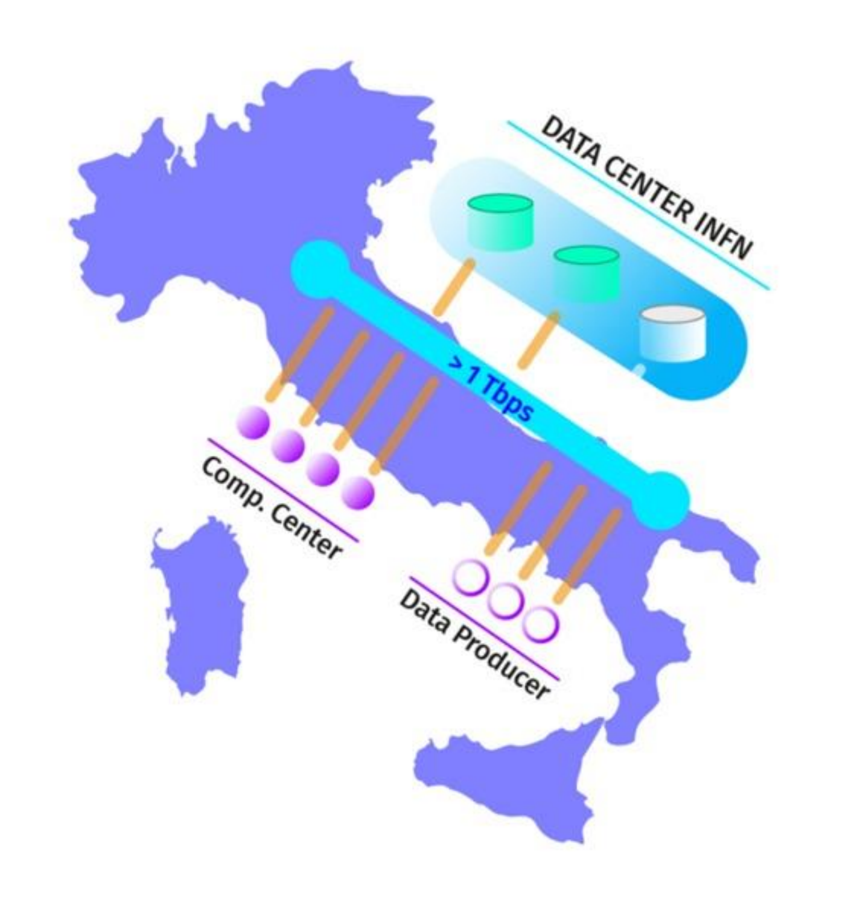
On Nov 9, 2017, Tier1 data center experienced a flooding, resulting in severe damage to those tapes....

Lesson learned (1/3)

- Comply with the guidelines described for bit preservation and analysis framework preservation
  - e.g., multiple copies in different locations using different technologies, save metadata, document all the procedures,...
- International collaborations usually follow these policies (at least until they are active)
- For smaller collaborations, this may not always be the case: we should ensure these guidelines are enforced
  - During the CNAF flooding, some tapes from another experiment were also damaged
  - They had a second copy.... in the same library at CNAF (no data loss luckily)

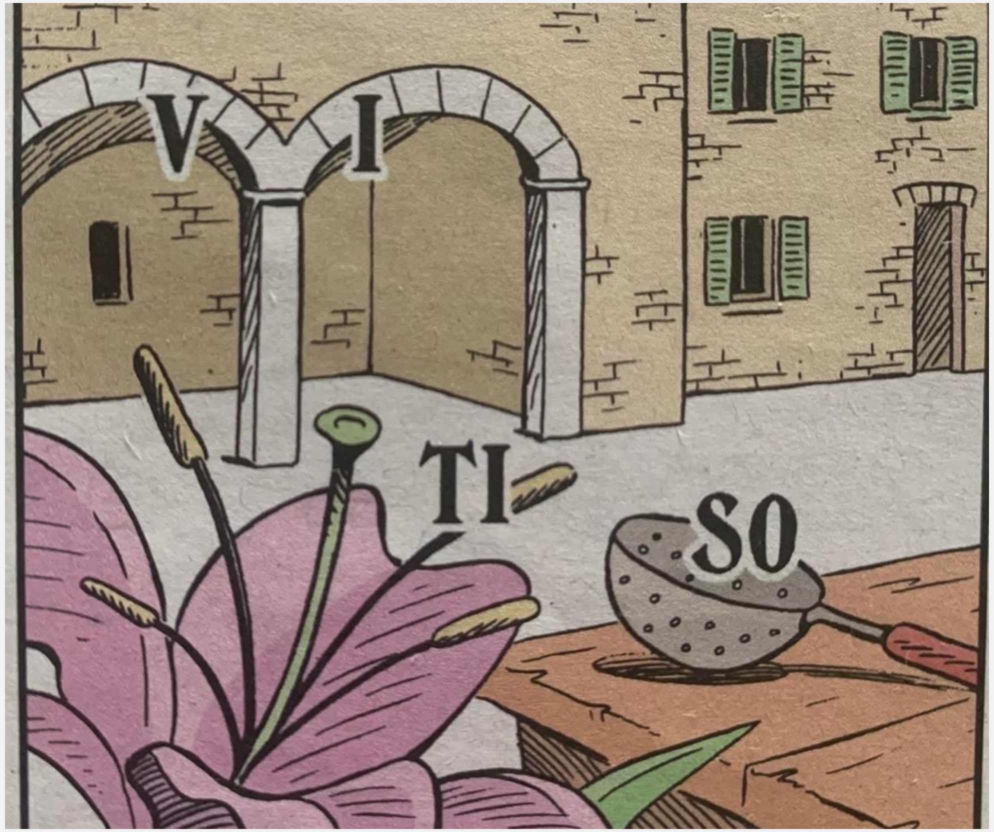
Lesson learned (2/3)

- Whenever possible, utilize standard data and metadata formats, along with standard access protocols and frameworks
  - The convergence towards de facto standards (WLCG) is a step in the right direction
- The INFN Datacloud project, which aims to create a data lake by merging Tier1 and Tier2 resources into a national cloud, could address some of these requirements
  - Copies of data, standard infrastructure and, possibly, protocols



Lesson learned (3/3)

- Preserve the environment for data analysis and document all procedures to analyze data too
- Besides the risk of loosing data, there is a high probability of loosing know-how (e.g., retirement of relevant people)
- All the aforementioned steps could be done with the help of a *data steward*



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Some final remarks

- A Data Management Plan (DMP) *including LTDP* should be adopted by all collaborations
  - DMP is already mandatory for all EU grants
  - INFN is working on DMP for the National Laboratories
- Adhering to FAIR principles helps: the usability of open data (including both integrity and accessibility of the data) is also verified by the end user
- It is never too early to start the planning of data preservation :-)

Grazie!

Contatti

- …
- Gruppo di lavoro Open Science dell’INFN: openscience@lists.infn.it

Backup slides

Functional Area	Level			
	Level 1 (Know your content)	Level 2 (Protect your content)	Level 3 (Monitor your content)	Level 4 (Sustain your content)
Storage	Have two complete copies in separate locations Document all storage media where content is stored Put content into stable storage	Have three complete copies with at least one copy in a separate geographic location Document storage and storage media indicating the resources and dependencies they require to function	Have at least one copy in a geographic location with a different disaster threat than the other copies Have at least one copy on a different storage media type Track the obsolescence of storage and media	Have at least three copies in geographic locations, each with a different disaster threat Maximize storage diversification to avoid single points of failure Have a plan and execute actions to address obsolescence of storage hardware, software, and media
Integrity	Verify integrity information if it has been provided with the content Generate integrity information if not provided with the content Virus check all content; isolate content for quarantine as needed	Verify integrity information when moving or copying content Use write-blockers when working with original media Back up integrity information and store copy in a separate location from the content	Verify integrity information of content at fixed intervals Document integrity information verification processes and outcomes Perform audit of integrity information on demand	Verify integrity information in response to specific events or activities Replace or repair corrupted content as necessary
Control	Determine the human and software agents that should be authorized to read, write, move, and delete content	Document the human and software agents authorized to read, write, move, and delete content and apply these	Maintain logs and identify the human and software agents that performed actions on content	Perform periodic review of actions/access logs
Metadata	Create inventory of content, also documenting current storage locations Backup inventory and store at least one copy separately from content	Store enough metadata to know what the content is (this might include some combination of administrative, technical, descriptive, preservation, and structural)	Determine what metadata standards to apply Find and fill gaps in your metadata to meet those standards	Record preservation actions associated with content and when those actions occur Implement metadata standards chosen
Content	Document file formats and other essential content characteristics including how and when these were identified	Verify file formats and other essential content characteristics Build relationships with content creators to encourage sustainable file choices	Monitor for obsolescence, and changes in technologies on which content is dependent	Perform migrations, normalizations, emulation, and similar activities that ensure content can be accessed

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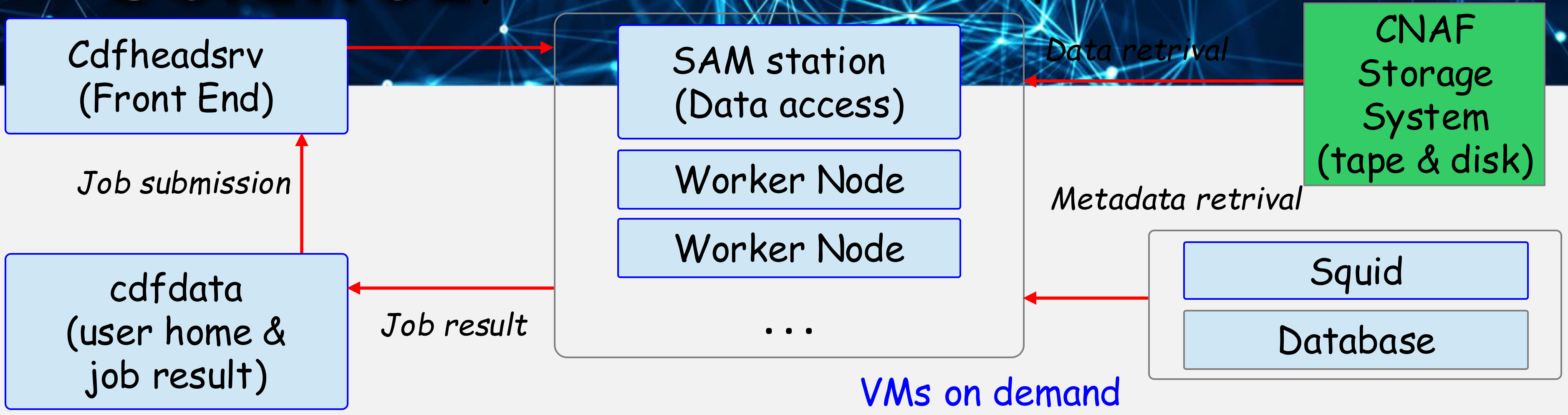
Analysis framework preservation

- Development of the long-term future analysis framework.
- Preserve data access
- Preserve reconstruction and analysis software
- Give users resources to run analysis (authentication, disk space, CPU)
- Documentation

Data analysis : future

Analysis framework – FNAL independent



This framework assumes limited use of CDF data in the long term future. Problems under discussion:

- Replication of Database

- Data access : QUESTION: How many years IBM will support GPFS on SL6?
  Possible solution could be NFS which provides greater compatibility with earlier version.

- Authentication : In the long future, access to the GRID resources will not be necessary.
  Possible solution could be job submission restricted to the local CNAF nodes.

Policy framework for Open Science at CERN

CERN Open Access Policy (2014)

- All CERN research articles published OA (CC-BY)
- Central fund available
- Different routes (SCOAP³, Read & Publish, APC payment)

LHC Open Data Policy (2020)

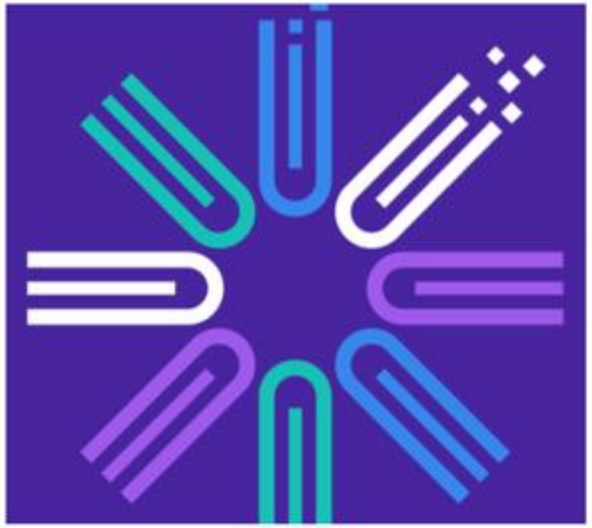
- 4 LHC collaborations will release all level 3 data (+ level 1 and 2)
- Gradual release will start ~5 years after collection
- Other experiments to follow

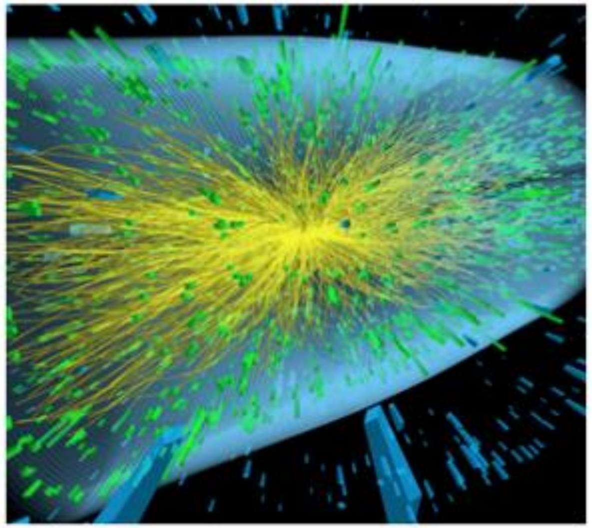
European Strategy for Particle Physics(2020)

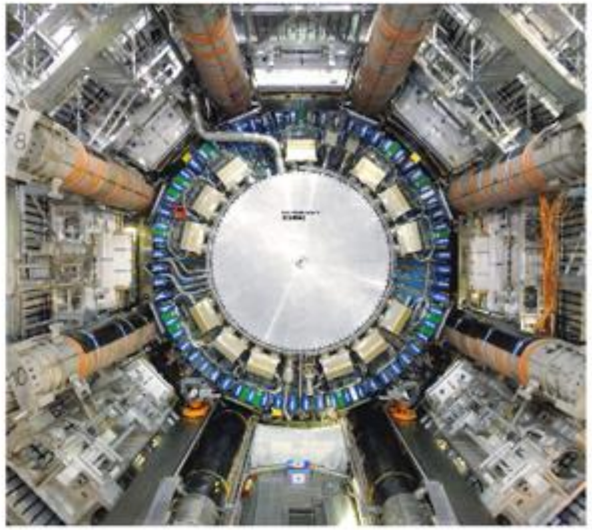
- OS recognized as organizational issue for the discipline
- Should develop and implement an OS policy for the field

Funder Open Science Policies

- Funding agencies supporting experimental collaborations have specific open data requirements
- CERN will establish central support office for compliance

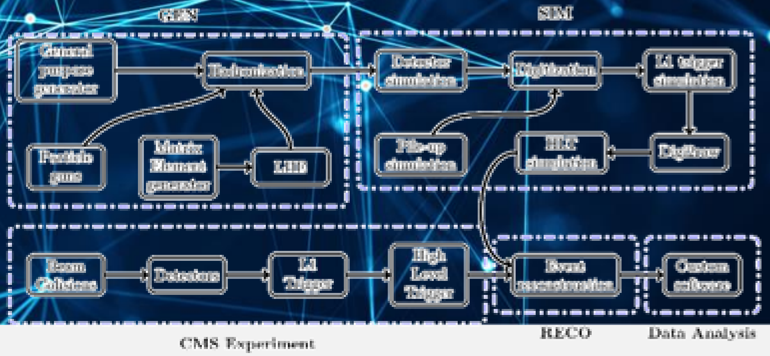






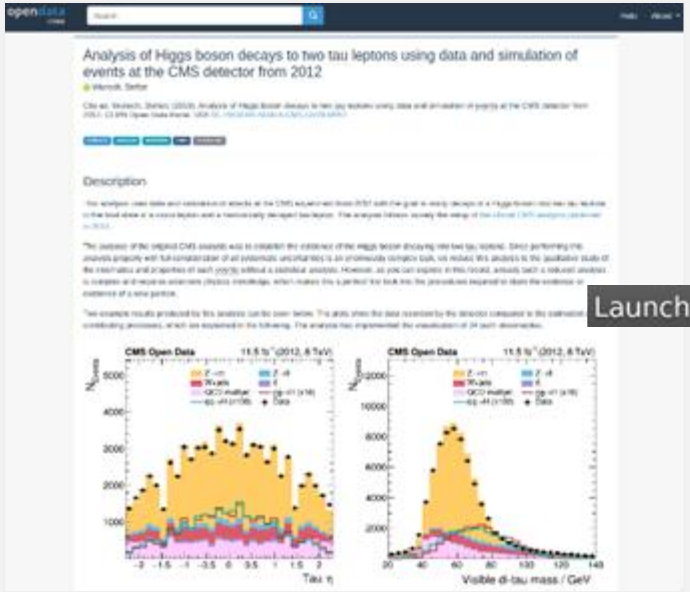
CERN Open Data portal: “Preserve-to-reuse”

Over 15’000 records
Over 1’500’000 files
Over 3 petabytes



Detailed data provenance: how the data were born?

https://opendata.cern.ch



Data analysis examples:
how the data can be used?

Digital repository for preserving and disseminating event-level particle physics open data

- collision and simulated datasets for research
- derived datasets for education
- computational environments and software tools
- data analysis and usage examples

https://www.reana.io

➤ Adding value to preserved content via actionable data usage workflows

CERN Analysis Preservation

Home What is CAP? Get Started Integrations Documentation Log in

https://analysispreservation.cern.ch

CERN Analysis Preservation

capture, preserve and reuse physics analyses

Capture

Collect and preserve elements needed to understand and rerun your analysis

Collaborate

Share your analysis and components with other users, your collaboration or group

Reuse

Run containerized wor and easily reuse ana components

CERN Analysis Preservation

Home What is CAP? Get Started Integrations Documentation

Source Code

Attach code to your workspace. Connect your Github and CERN Gitlab accounts, follow repository changes and automatically keep snapshots of your work and of the tools/libraries you use.

GitHub GitLab

Persistent Identifiers/FAIR data

ORCID zenodo doi ROR

Preserve your analysis in a **FAIR** manner (**F**indable **A**ccessible **I**nteroperable **R**eusable). Use persistent identifiers (PIDs) to capture and connect your analysis components. Make your work citable by pushing it to external services that provide PIDs.

Workflows

Make your research **reusable** and **reproducible**. Create your containerized workflows, rerun whenever you want and save your results.

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