



## IV Sardinian Workshop on Spin

June 11, 2025, Pula

# Modeling baryon production in polarized string fragmentation

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in collaboration with Xavier Artru (IP2I, Lyon)



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European Union



LUND  
UNIVERSITY

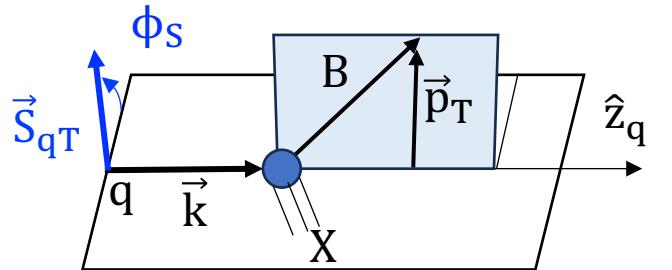


# Introduction: spin effects in baryon production

- Production of spin 1/2 baryons, many interesting effects

- **Collins effect**

Collins, NPB 396, 161 (1993)



$$z = p^+ / k^+$$
$$D_{q \rightarrow B + X}(z, \vec{p}_T) = D_{1q}^B(z, p_T^2) + \frac{H_{1q}^{B\perp}(z, p_T^2)}{zM_B} \vec{S}_{qT} \cdot \hat{z}_q \times \vec{p}_T$$

Collins FF      T polarized q  
                  unpolarized B

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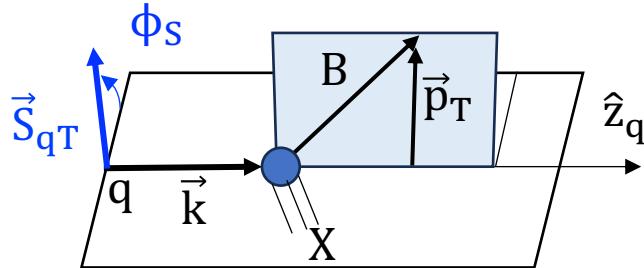


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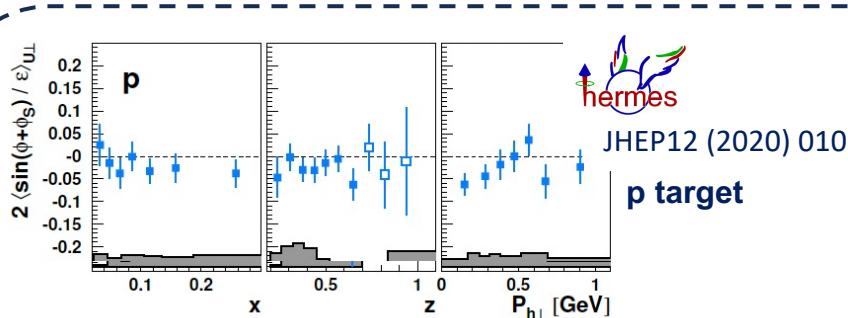
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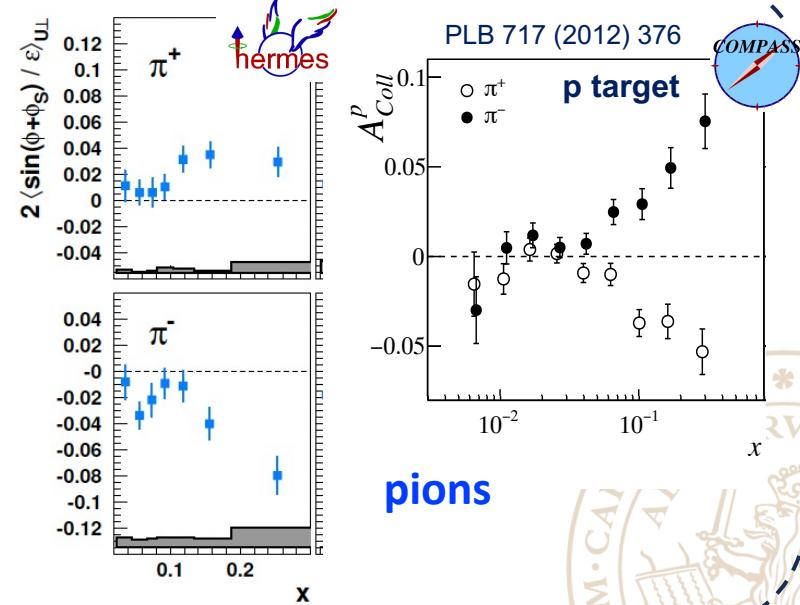
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Collins FF      T polarized q  
unpolarized B



- Limited data
- Indication for a small effect (opposite to  $\pi^+$ )?



# Introduction: spin effects in baryon production

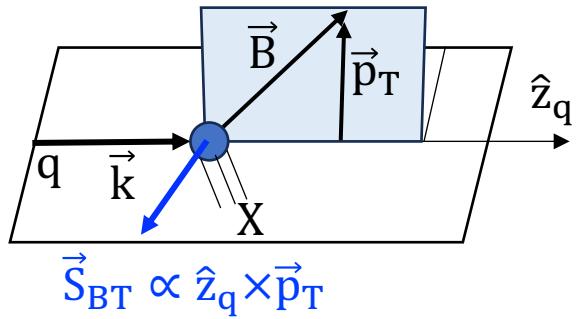
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Mulders, Tangerman, NPB 484, 538-540 (1997)

Anselmino et al., PRD 63 054029 (2001)



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Polarizing FF      unpolarized q  
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$$\vec{S}_{BT} \propto \hat{z}_q \times \vec{p}_T$$



# Introduction: spin effects in baryon production

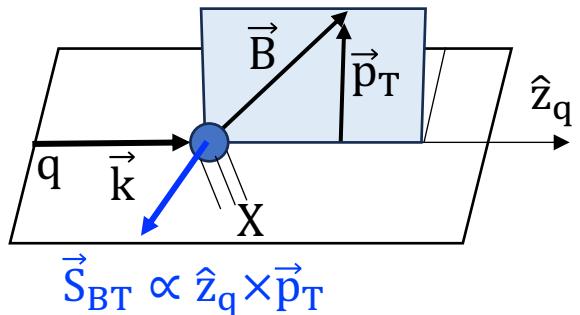
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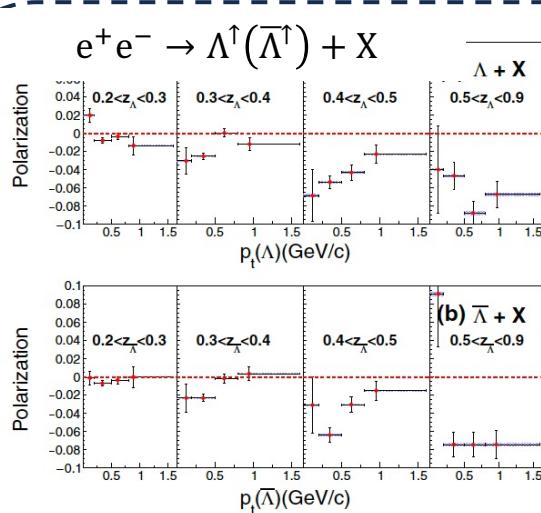
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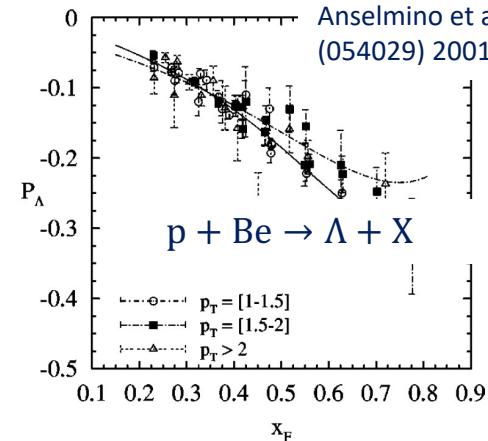


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Polarizing FF  
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PRL 122, 042001 (2019)



Anselmino et al., PRD63  
(054029) 2001

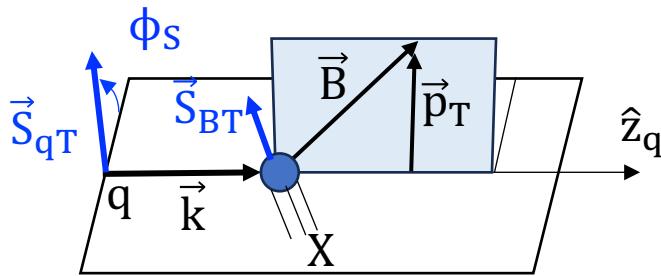
Sizeable effects both in hadronic collisions and  $e^+e^-$  annihilation

Many phenomenological studies

- Anselmino et al., PRD63 (054029) 2001
- D'Alesio, Murgia, Zaccheddu, PRD 102, 054001 (2020)
- D'Alesio, Gamberg, Murgia, Zaccheddu, JHEP12 (2022) 074; PRD 108, 094004 (2023); PLB 851 (2024) 138552
- Kang et al., PRD 105, 094303 (2022)

# Introduction: spin effects in baryon production

- ❑ Production of spin 1/2 baryons, many interesting effects
  - ❑ Collins effect
  - ❑ Spontaneous polarization of hyperons
  - ❑ **Transverse spin transfer to hyperons**



$$D_{q \uparrow \rightarrow B^\uparrow + X}(z, \vec{p}_T) = D_{1q}^B(z, p_T^2) + H_{1q,T}^{B\perp}(z, p_T^2) \vec{S}_{BT} \cdot \vec{S}_{qT}$$

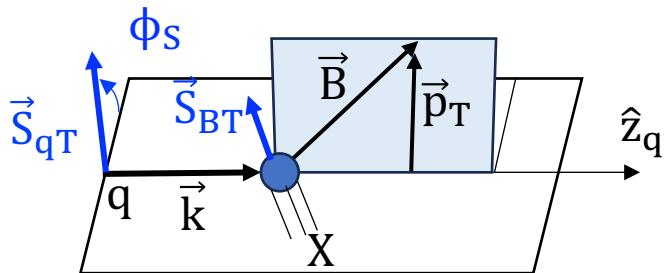
«transversity» FF       $T$  polarized  $q$   
                                 $T$  polarized  $B$



# Introduction: spin effects in baryon production

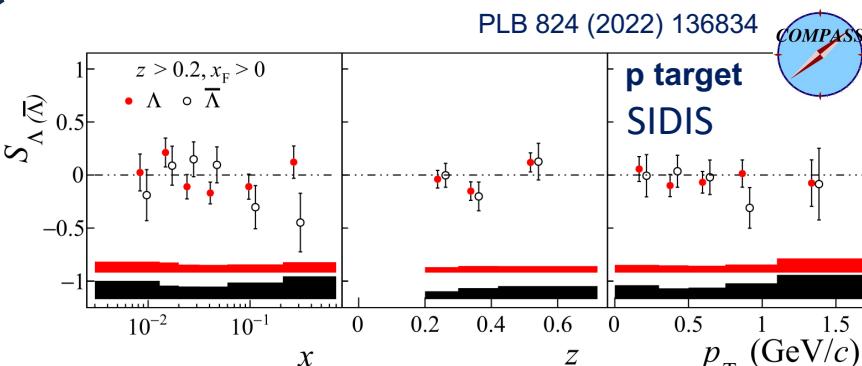
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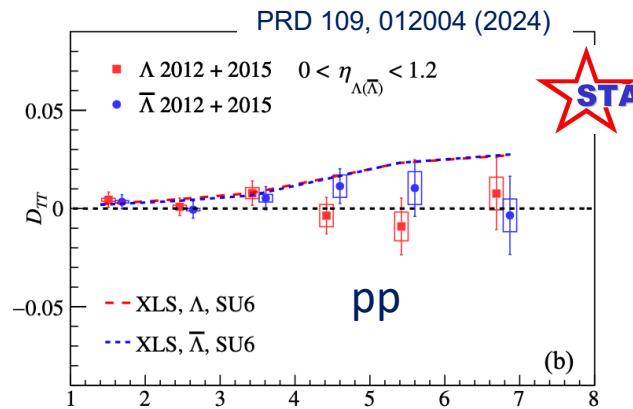


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«transversity» FF      T polarized q  
T polarized B



The spin transfer to  $\Lambda, \bar{\Lambda}$  is small?



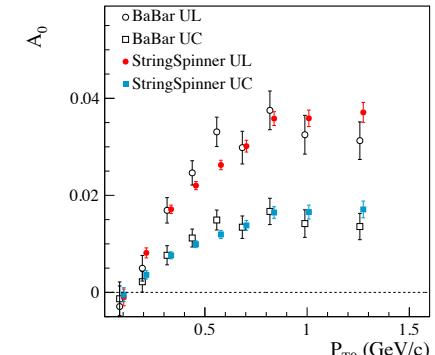
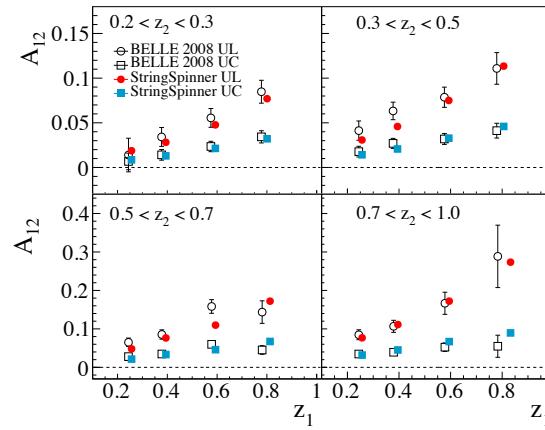
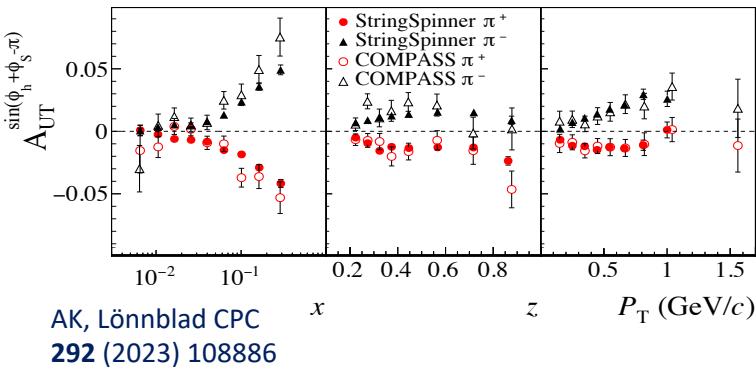
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- ❑ Production of spin 1/2 baryons, many interesting effects
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  - ❑ Longitudinal spin transfer etc..
- ❑ Modeling these effects is challenging → need amplitudes not probabilities
- ❑ The model should be suitable for inclusion in Pythia → we want it to be useful for present and future experiments (EIC, JLAB22, LHCSpin,...)



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- ❑ The model should be suitable for inclusion in Pythia → we want it to be useful for present and future experiments (EIC, JLAB22, LHCSpin,...)
- ❑ Extend the string+ ${}^3P_0$  model → shown to reproduce transverse spin effects in SIDIS,  $e^+e^-$  [StringSpinner]



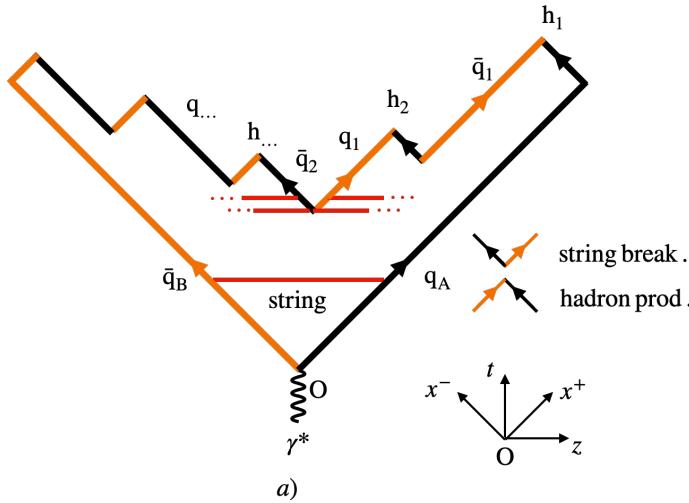
In the following slides

- recall of string+ $^3P_0$
- new amplitudes for baryon production
- predictions of the new model



# The string+ ${}^3P_0$ model

- ❑ Lund Model of string fragmentation (spinless)  $\otimes$   ${}^3P_0$  mechanism at string breaking (spin)



$x^-$  as "time"  $\rightarrow$  recursive splittings  $q \rightarrow h + q'$

$x^+$  as "time"  $\rightarrow$  recursive splittings  $\bar{q} \rightarrow h + \bar{q}'$

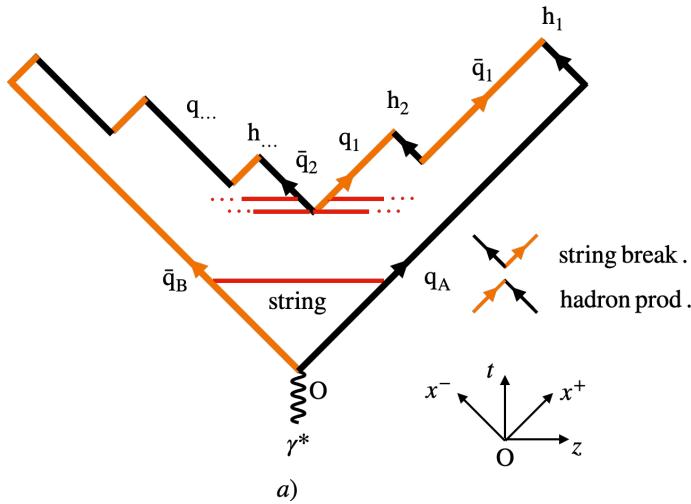
Equivalent formulations  $\rightarrow$  Left-Right symmetry

Andersson, Gustafson, Söderberg,  
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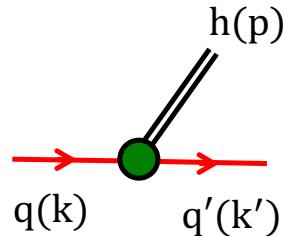


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$$F_{q',h,q}^{\text{LM}} = |C_{q',h,q}|^2 |D_h(M^2)|^2 \left(\frac{1 - Z_+}{Z_+}\right)^{a_{q'}} \left(\frac{Z_+}{\varepsilon_h^2}\right)^{a_q} \exp\left[-\frac{b_L \varepsilon_h^2}{2Z_+}\right] N_a^{-\frac{1}{2}} (\varepsilon_h^2) e^{-\frac{b_T k'^2_T}{2}}$$

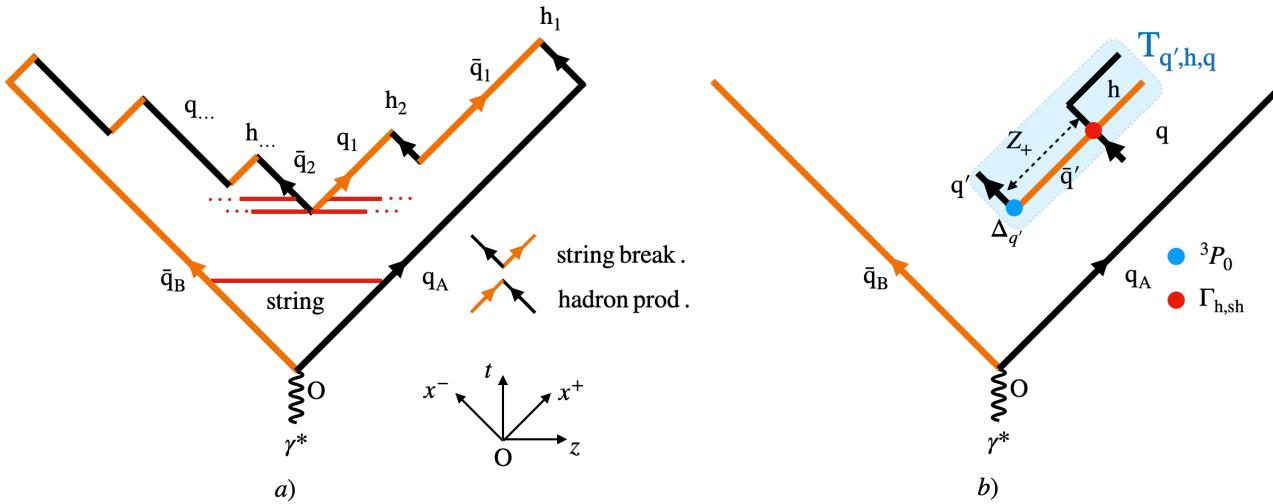
transverse momentum cutoff

h flavour wf	mass spectrum of resonance	string fragmentation
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- Basics of string fragmentation in Pythia.. many parameters

# The string+ $^3P_0$ model

- Lund Model of string fragmentation (spinless)  $\otimes$   $^3P_0$  mechanism at string breaking (spin)



- Spin-dependent splitting matrix (2x2)  
quarks (antiquarks) are taken to have  $v_z \simeq -1(+1)$

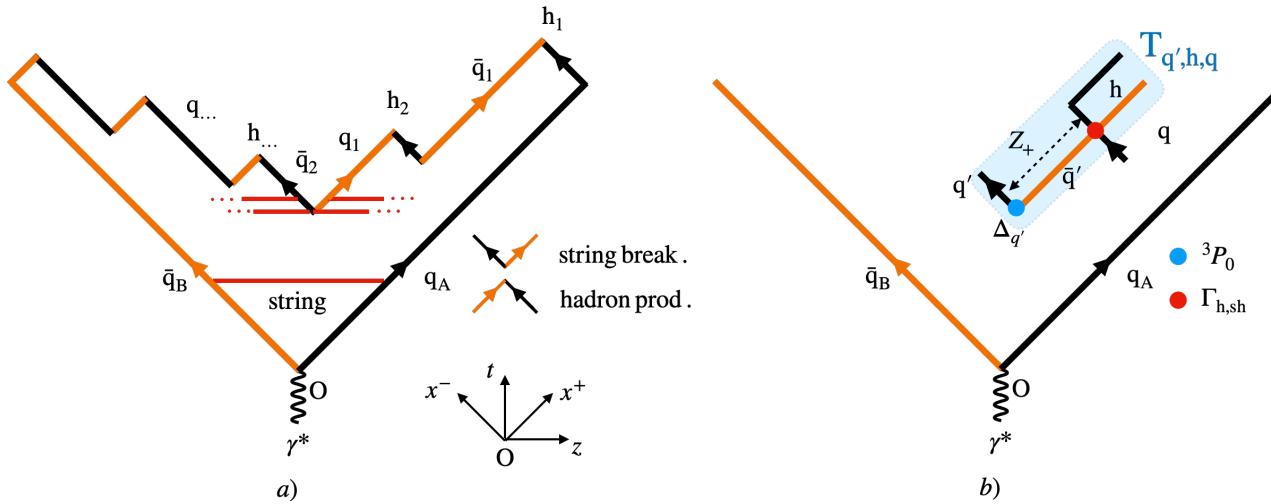
$$T_{q',h,q} \propto \left[ F_{q',h,q}^{LM} \right]^{\frac{1}{2}} \otimes \Delta_{q'}(\mathbf{k'}_T) \quad \begin{matrix} {}^3P_0 \text{ propagator} \\ \text{coupling} \end{matrix}$$

$$\chi_{q'}(\mathbf{S}_{q'}) = T_{q',h,q} \chi_q(\mathbf{S}_q)$$



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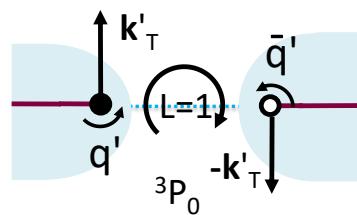


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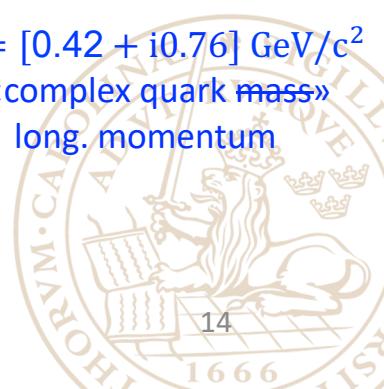
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$$\begin{aligned} \Gamma_{PS} &= \sigma_z \\ \Gamma_{VM} &= G_T \vec{\sigma}_T \sigma_z \cdot \vec{V}_T^* + G_L V_L^* \end{aligned} \quad \begin{matrix} G_T, G_L \text{ coupling constants} \end{matrix}$$



$J^{PC}=0^{++}$   
 $^3P_0$  pair production  
 $\Delta_{q'} = \mu + \sigma_z \sigma_T \cdot \mathbf{k}'_T$   
 $\mu = [0.42 + i0.76] \text{ GeV}/c^2$   
«complex quark mass»  
long. momentum



In the following slides

- recall of string+ ${}^3P_0$
- new amplitudes for baryon production
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AK, X. Artru, in preparation

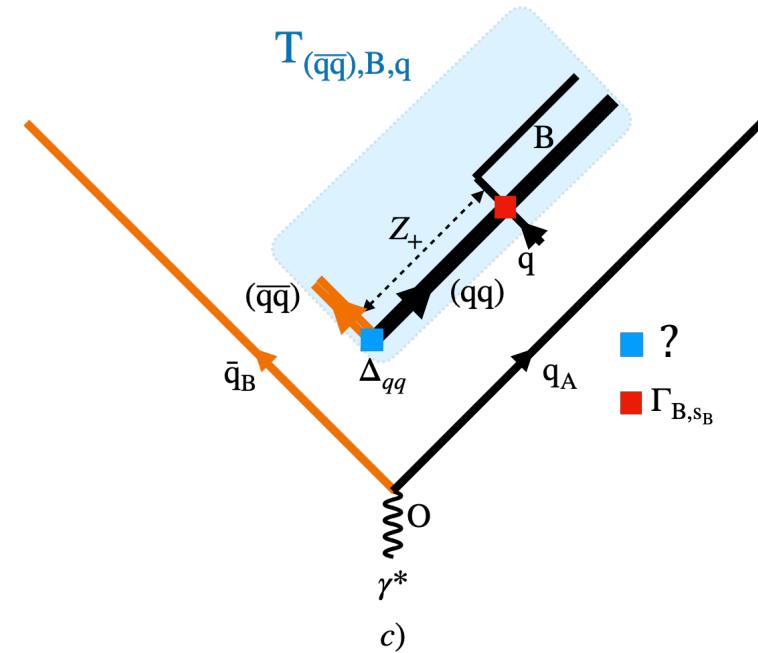


# String breaking by diquark-antidiquark pairs

- We model baryons as  $q - qq$  bound states
- Assume string to break by tunneling of  $(qq) - (\bar{q}\bar{q})$  pairs  
(spinless) model introduced by the Lund group  
included in Pythia

Andersson, Gustafson, Sjöstrand,  
NPB 197, 45 (1982)

- Distinguish between  
scalar  $(qq)_0$   
pseudovector  $(qq)_1$



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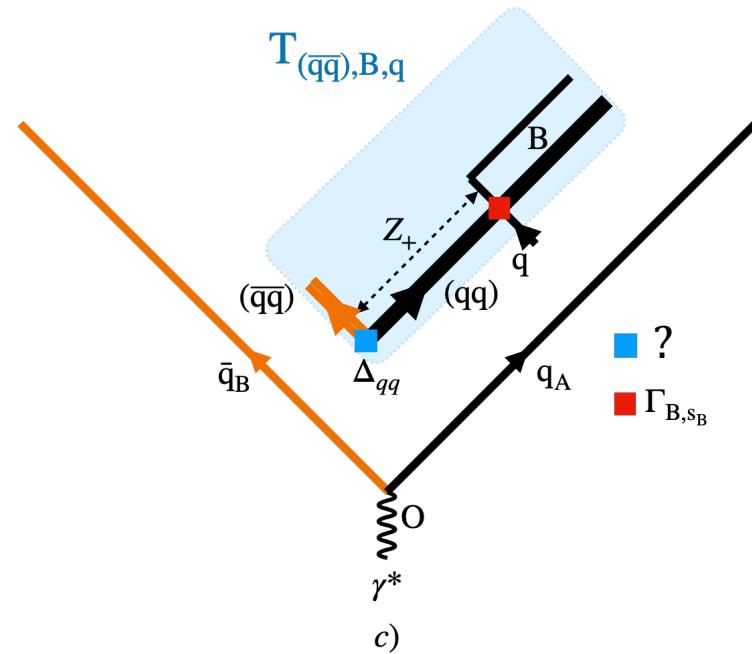
- Distinguish between  
scalar  $(qq)_0$   
pseudovector  $(qq)_1$
- $(qq)$  tunneling suppressed compared to  $q$  tunneling

Schwinger formula	$P_{qq} \simeq e^{-\pi \frac{m_{qq}^2}{\kappa}}$
string tension	$\kappa \simeq 0.2 \text{ GeV}^2$
typical $qq$ mass	$m_{qq} \sim 0.5 \text{ GeV}$
Pythia	$P_{qq}/P_q \sim 0.1$

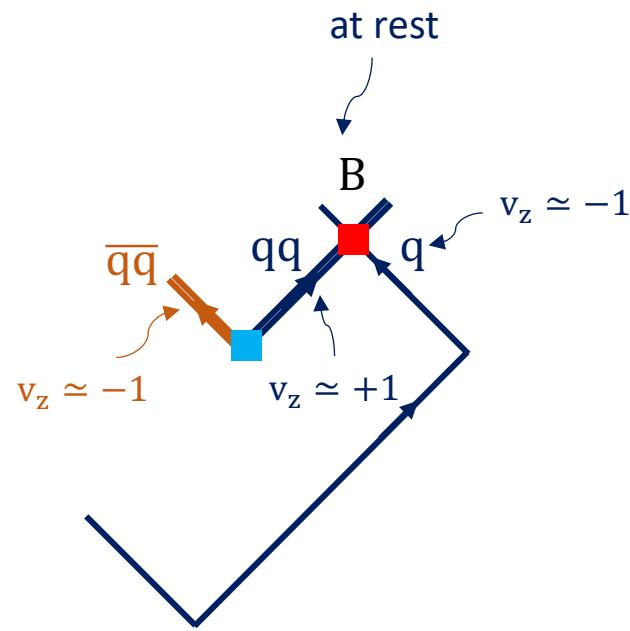
- $(qq)_1$  suppressed w.r.t  $(qq)_0$
- Pythia       $P_{(qq)_1}/P_{(qq)_0} \sim 0.03$

- Need to introduce new splitting amplitudes for
 
$$q \rightarrow B + (\bar{q}\bar{q})_1 \quad (\bar{q}\bar{q})_1 \rightarrow \bar{B} + q'$$

$$q \rightarrow B + (\bar{q}\bar{q})_0 \quad (\bar{q}\bar{q})_0 \rightarrow \bar{B} + q'$$



## Splitting amplitude for $q \rightarrow B + (\bar{q}q)_1$

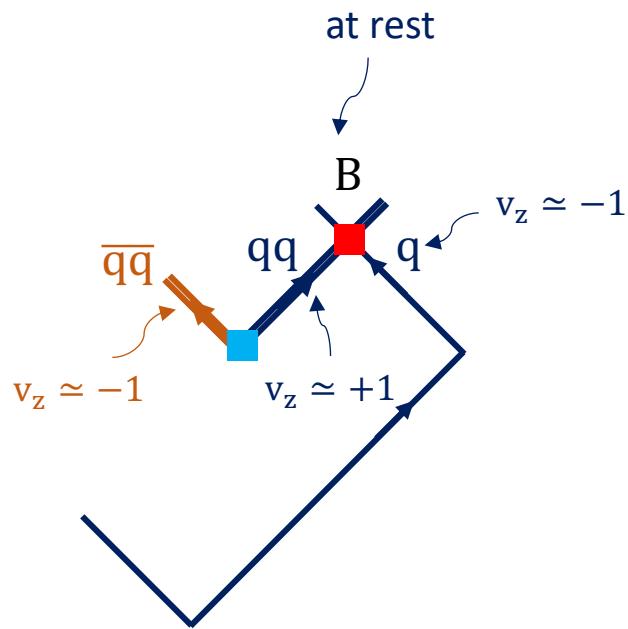


□ Propagation of PV diquark  $(\bar{q}q)_1$

$$\chi^\dagger(\mathbf{S}_B) T_{(\bar{q}q)_1, B, q} \chi(\mathbf{S}_q) = [F_{(\bar{q}q)_1, B, q}^{\text{LM}}]^{\frac{1}{2}} \times \\ \times \Phi_{\bar{q}q, a}^\dagger \Delta_{q\bar{q}, ab}(\mathbf{k}'_T) \chi^\dagger(S_B) \Gamma_{B, b} \chi(S_q)$$



## Splitting amplitude for $q \rightarrow B + (\bar{q}q)$



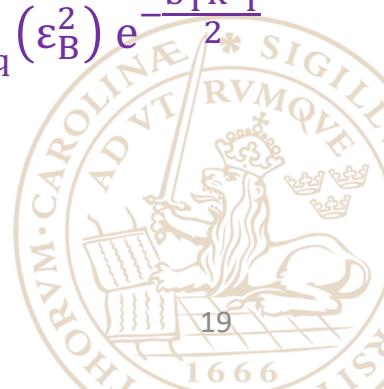
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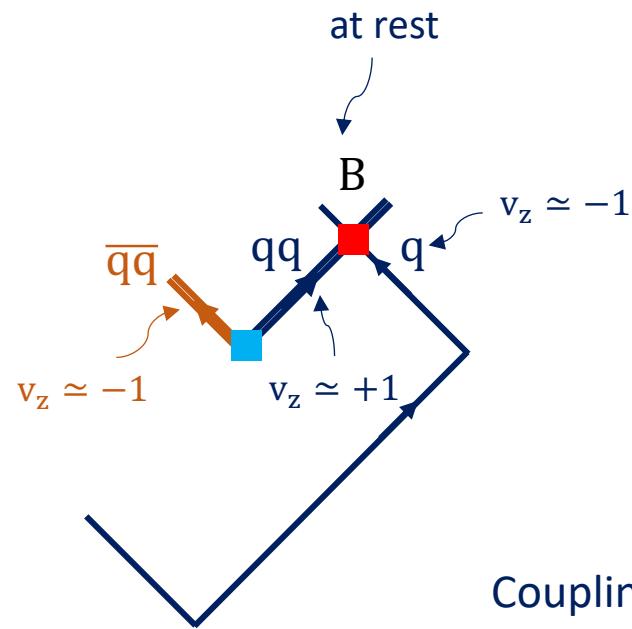
Spin-less Lund splitting function  $\rightarrow$  energy-momentum sharing  
between  $\bar{q}q$  and  $B$

$$F_{\bar{q}q, B, q}^{\text{LM}} = |C_{\bar{q}q, B, q}|^2 |D_B(M^2)|^2 \left( \frac{1 - Z_+}{Z_+} \right)^{a_{qq}} \left( \frac{Z_+}{\varepsilon_B^2} \right)^a \exp \left[ -\frac{b_L \varepsilon_B^2}{2Z_+} \right] N_{a_{qq}, a_q}^{-\frac{1}{2}} (\varepsilon_B^2) e^{-\frac{b_T k'_T^2}{2}}$$

$$N_{a_{qq}, a_q}(\varepsilon_B^2) = \int_0^1 dZ Z^{-1} \left( \frac{1 - Z_+}{Z_+} \right)^{a_{qq}} \left( \frac{Z_+}{\varepsilon_B^2} \right)^{a_q} \exp \left[ -\frac{b_L \varepsilon_B^2}{2Z_+} \right]$$



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Coupling for  $q \rightarrow B + \bar{q}q$  from reduction of covariant amplitude

$$\bar{u}(B) \gamma_5 \gamma^\mu \varepsilon_{\bar{q}q, \mu}^* u(q) \rightarrow \phi_{\bar{q}q, b}^\dagger \chi^\dagger(S_B) \sigma_b \chi(S_q)$$

Covariant coupling  
Bacchetta, Conti, Radici,  
PRD78, 074010

reduced coupling



$$\Phi_{\bar{q}q} = (\varepsilon_T, \phi_L)$$

reduced  $\bar{q}q$  pol. vector  
with  $v_z \simeq +1$

$$\phi_L = 2\varepsilon_{\bar{q}q}^z$$

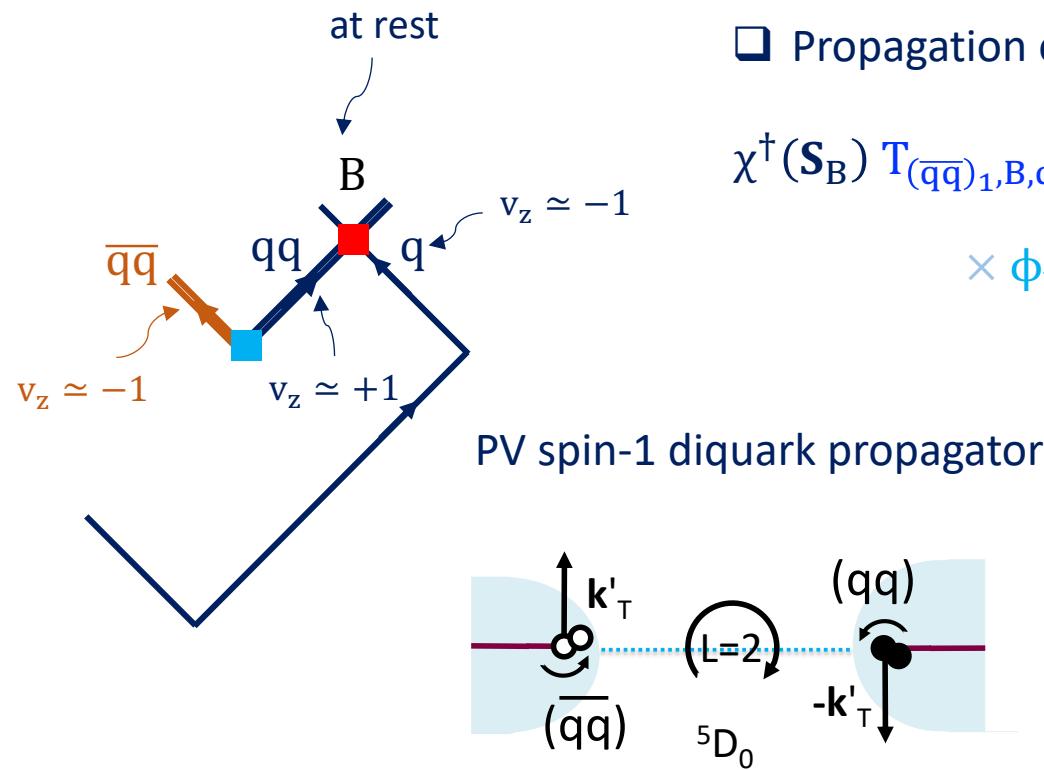
$B$  at rest with boosts

$$B_T^{-1}(p_T/\varepsilon_B^2) B_L^{-1}(p_z/M_B)$$

required by LR symmetry



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Vacuum quantum numbers

$$J^{PC} = 0^{++} \rightarrow L = -(\vec{S}_{q\bar{q}} + \vec{S}_{\bar{q}q})$$

$$P = (-)^L, \quad C = (-)^{L+S}$$

Hence

$$L = S = 0 \rightarrow {}^1S_0 \text{ state}$$

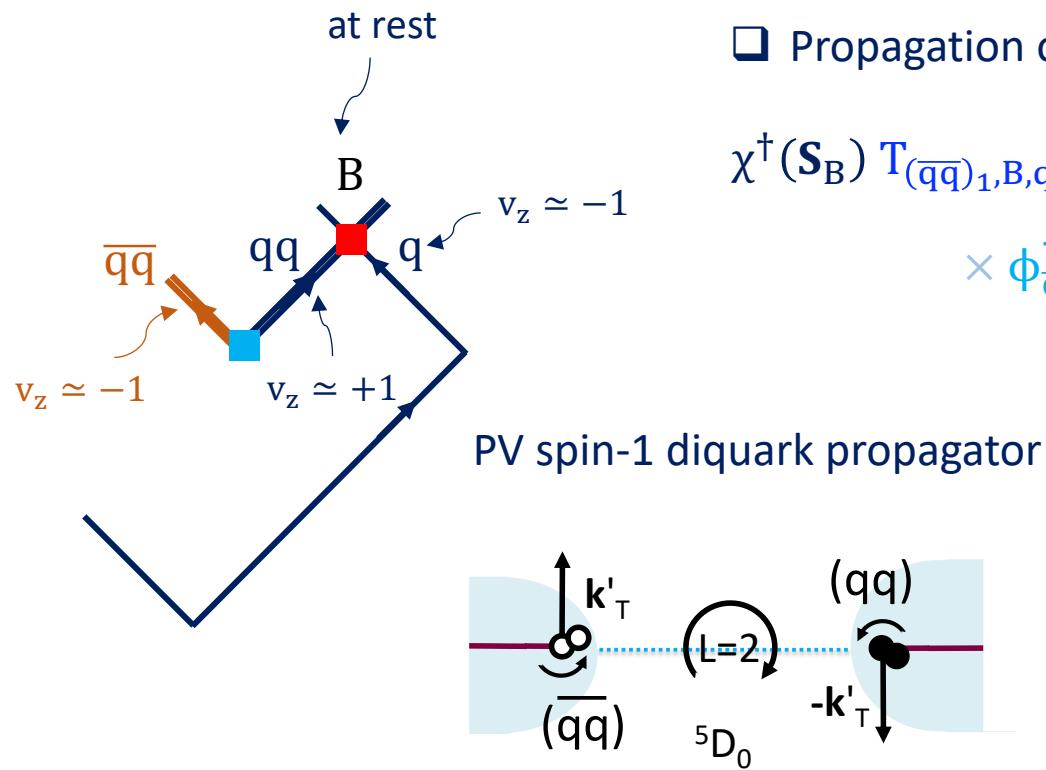
$$L = S = 2 \rightarrow {}^5D_0 \text{ state}$$

Schwinger mechanism  $\langle L \rangle \sim 1.3$

$$m_{q\bar{q}} \simeq 0.5 \text{ GeV}$$

→ mainly  ${}^5D_0$  state

# Splitting amplitude for $q \rightarrow B + (\bar{q}q)$



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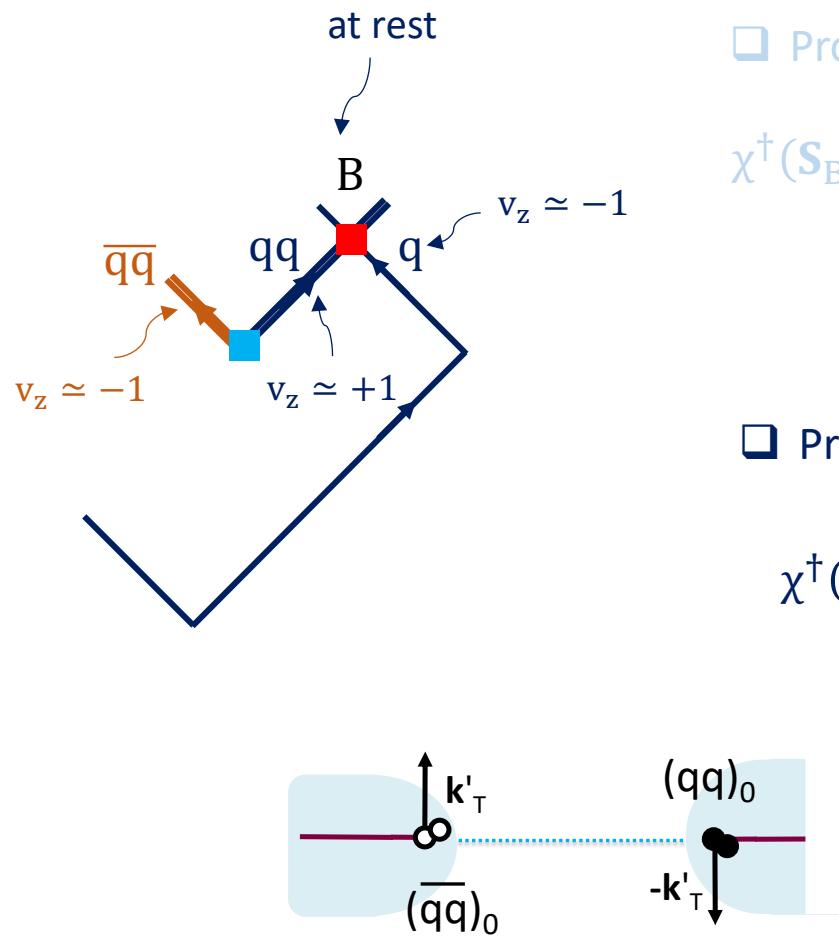
$$m_{qq} \simeq 0.5 \text{ GeV}$$

→ mainly  ${}^5D_0$  state

$\kappa_{qq}$  new complex parameter  
inspired by diquark momentum  
during tunneling

$$k_z \simeq i \sqrt{m_{qq}^2 + k_T'^2}$$

## Splitting amplitude for $q \rightarrow B + (\bar{q}q)$



no spin- $k'_T$  correlation at string breaking

□ Propagation of PV diquark  $(qq)_1$

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□ Propagation of scalar diquark  $(qq)_0$

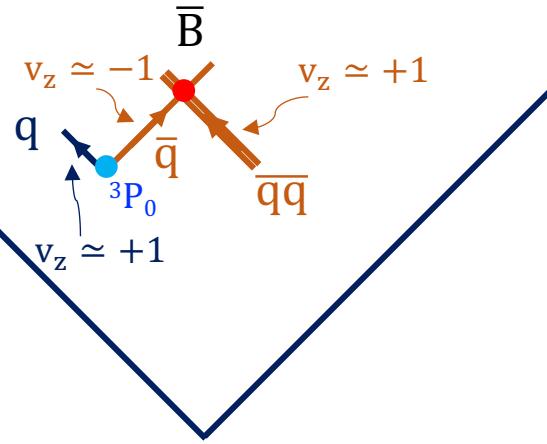
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c-number

$1_{2 \times 2}$

Reduction of the covariant amplitude  
 $\bar{u}(B) \mathbf{1}_{4 \times 4} u(q)$

# Splitting amplitude for $(\bar{q}q) \rightarrow \bar{B} + q'$



## □ Splitting of PV anti-diquark $(\bar{q}q)_1$

$$\begin{aligned} \chi^\dagger(S_{q'}) T_{q', \bar{B}, (\bar{q}q)_1} \sigma_z \chi(-S_{\bar{B}}) &= [F_{q', \bar{B}, (\bar{q}q)_1}^{\text{LM}}]^{\frac{1}{2}} \times \\ \times \chi^\dagger(S_{q'}) \Delta_{q'}(\mathbf{k}'_T) \sigma_z \Gamma_{B,b} \sigma_z \sigma_z \chi(-S_{\bar{B}}) \Phi_{\bar{q}q,b} \\ &\quad \downarrow \quad \downarrow \\ &\quad ^3P_0 \text{ propagator} \quad \sigma_b \\ \Delta_{q'} &= \mu + \sigma_z \boldsymbol{\sigma}_T \cdot \mathbf{k}'_T \end{aligned}$$

## □ Analogous for scalar anti-diquark $(\bar{q}q)_0$ , with $\Gamma_{B,b} = 1_{2 \times 2}$



In the following slides

- recall of string+ $^3P_0$
- new amplitudes for baryon production
- predictions of the new model

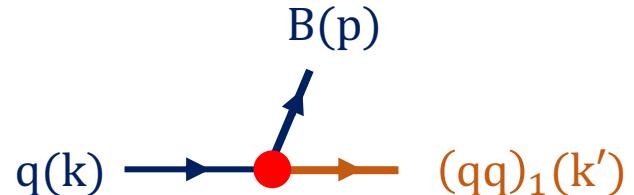
AK, X. Artru, in preparation



## Probability distribution of the produced baryon: Collins effect

- Distribution of B produced in  $q \rightarrow B + (\bar{q}q)_1$

$$\frac{dP_{q \rightarrow B + (\bar{q}q)_1}}{dZ_+ Z_+^{-1} d^2 p_T} = \text{Tr}_B T_{(\bar{q}q)_1, B, q} \rho(S_q) T_{(\bar{q}q)_1, B, q}^\dagger$$



$$= [\dots] e^{-\frac{b_T k'^2}{2}} \times \left[ (|\kappa_{qq}|^2 + k'^2)^2 + \frac{m_{qq}^2}{3} \lambda(k'^2) \right] \\ \times [1 + \hat{a}_{C,B} \vec{S}_{q,T} \cdot (\hat{z} \times \hat{k}'_T)]$$

$$\hat{a}_{C,B} = \frac{2 \text{Im}(\kappa_{qq})(|\kappa_{qq}|^2 + k'^2) k'_T}{(|\kappa_{qq}|^2 + k'^2)^2 + \frac{m_{qq}^2}{3} \lambda(k'^2)}$$

$$\lambda(k'^2) = (m_{qq}^2 + 2k'^2 + 2\text{Re}(\kappa_{qq}^2))$$

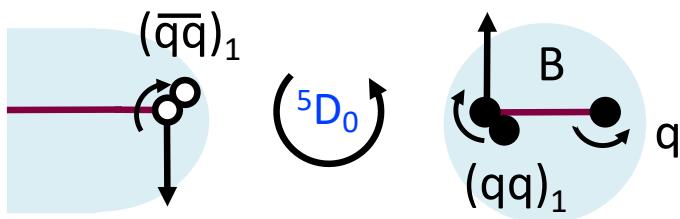
Collins effect!

in agreement with classical string+ ${}^5D_0$  mechanism for  $\text{Im}(\kappa_{qq}) < 0$

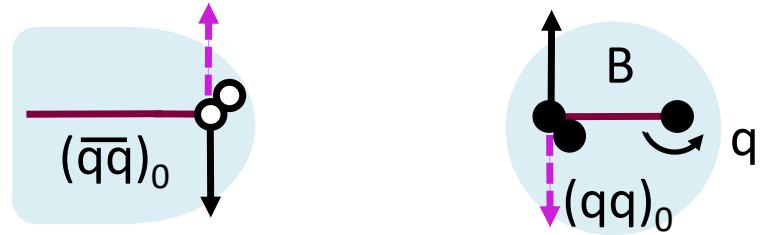


# Probability distribution of the produced baryon: Collins effect

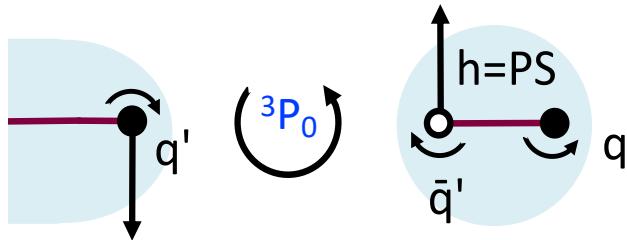
classical string+ $^5D_0$



scalar diquark tunneling



classical string+ $^3P_0$



- No Collins effect for  $\bar{B}$  produced in  $(\bar{q}q)_0 \rightarrow \bar{B} + q'$  [expected]

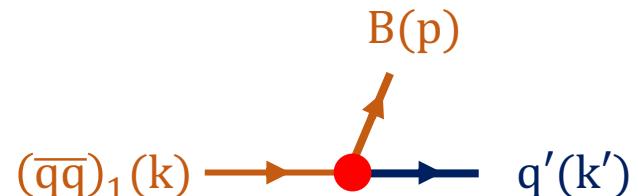
Collins effect!

in agreement with classical string+ $^5D_0$  mechanism for  $\text{Im}(\kappa_{qq}) < 0$

## Probability distribution of the produced antibaryon: Collins effect

- Distribution of  $\bar{B}$  produced in  $(\bar{q}q)_1 \rightarrow \bar{B} + q'$

$$\frac{dP_{(\bar{q}q)_1 \rightarrow \bar{B} + q'}}{dZ_+ Z_+^{-1} d^2 p_T} = \text{Tr}_{q'} T_{q', B, (\bar{q}q)_1}^a T_{q', B, (\bar{q}q)_1}^{b\dagger} \rho_{ab}(\bar{q}q)$$



$$= [\dots] e^{-\frac{b_T k'^2}{2}} \times [|\mu|^2 + k'^2_T] \\ \times [1 + \hat{a}_{C, \bar{B}} \vec{S}_{qq, T} \cdot (\hat{z} \times \hat{k}'_T)] \quad \vec{S}_{qq, c} = i \epsilon_{abc} \rho_{ab}(\bar{q}q)$$

$$\hat{a}_{C, \bar{B}} = -\frac{2 \text{Im}(\mu) k'_T}{|\mu|^2 + k'^2_T}$$

Collins effect due to the  ${}^3P_0$  mechanism (as for PS mesons)

- No Collins effect for  $\bar{B}$  produced in  $(\bar{q}q)_0 \rightarrow \bar{B} + q'$  as expected



# Probability distribution of the produced antibaryon: Collins effect

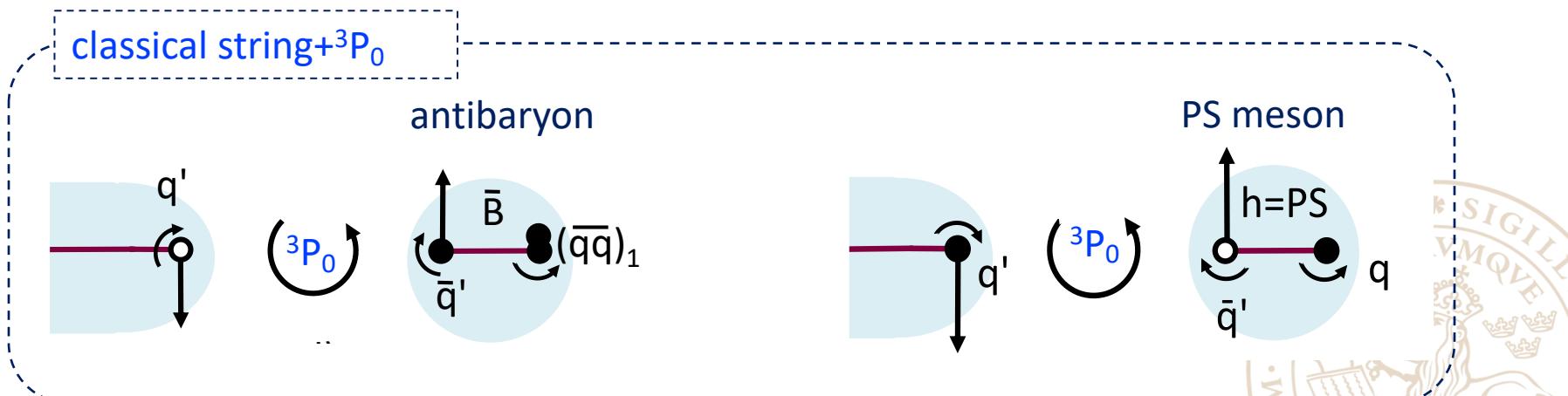
- Distribution of  $\bar{B}$  produced in  $(\bar{q}q)_1 \rightarrow \bar{B} + q'$

$$\frac{dP_{(\bar{q}q)_1 \rightarrow \bar{B} + q'}}{dZ_+ Z_+^{-1} d^2 p_T} = \text{Tr}_{q'} T_{q', B, (\bar{q}q)_1}^a T_{q', B, (\bar{q}q)_1}^{b\dagger} \rho_{ab}(\bar{q}q)$$

$$= [...] e^{-\frac{b_T k'_T}{2}} \times [|\mu|^2 + k'_T{}^2] \\ \times [1 + \hat{a}_{C, \bar{B}} \vec{S}_{qq, T} \cdot (\hat{z} \times \hat{k}'_T)] \quad \vec{S}_{qq, c} = i \epsilon_{abc} \rho_{ab}(\bar{q}q)$$

$$\hat{a}_{C, \bar{B}} = -\frac{2 \text{Im}(\mu) k'_T}{|\mu|^2 + k'_T{}^2}$$

Collins effect due to the  ${}^3P_0$  mechanism (as for PS mesons)



## Baryon/antibaryon transverse polarization

□ B produced in  $q \rightarrow B + (\bar{q}q)_1$

$$\begin{aligned} \mathbf{S}_{B,T} \propto & \left\{ \left[ -2\text{Im}(\kappa_{qq}) \left( |\kappa_{qq}|^2 + \mathbf{k}'_T^2 \right) \hat{\mathbf{z}} \times \mathbf{k}'_T \right] \right. \\ & - \mathbf{S}_{q,T} \left[ (|\kappa_{qq}|^2 + \mathbf{k}'_T^2)^2 + \frac{m_{qq}^2}{3} \left( \frac{m_{qq}^2}{3} + 2\mathbf{k}'_T^2 + 2\text{Re}(\kappa_{qq}^2) \right) \right] \\ & + 2(\mathbf{k}'_T \cdot \mathbf{S}_{q,T}) \mathbf{k}'_T \left( \mathbf{k}'_T^2 + |\kappa_{qq}|^2 + \frac{2m_{qq}^2}{3} \right) \\ & \left. + 2\text{Re}(\kappa_{qq}) S_{qz} \mathbf{k}'_T \left( \mathbf{k}'_T^2 + |\kappa_{qq}|^2 + \frac{2m_{qq}^2}{3} \right) \right\} \end{aligned}$$

□ B produced in  $q \rightarrow B + (\bar{q}q)_0$

$$\mathbf{S}_{B,T} = \mathbf{S}_{q,T}$$

□  $\bar{B}$  produced in  $(\bar{q}q)_1 \rightarrow \bar{B} + q'$

$$\begin{aligned} \mathbf{S}_{\bar{B},T} \propto & \left[ (|\mu|^2 + \mathbf{k}'_T^2) \mathbf{S}_{\bar{q}q,T} \left[ -2\text{Im}(\mu) \hat{\mathbf{z}} \times \mathbf{k}'_T \right] \right. \\ & \left. + 2\text{Im}(\mu) 2\text{Re}[\rho_T(\bar{q}q)] \hat{\mathbf{z}} \times \mathbf{k}'_T \right], \end{aligned}$$

□  $\bar{B}$  produced in  $(\bar{q}q)_0 \rightarrow \bar{B} + q'$

$$\mathbf{S}_{\bar{B},T} = \frac{2\text{Im}(\mu)}{|\mu|^2 + \mathbf{k}'_T^2} \hat{\mathbf{z}} \times \mathbf{k}'_T$$

Contributions to the spontaneous polarization of hyperons!

expected to reproduce the sign of  $\Lambda, \bar{\Lambda}$  observed in  $e^+e^-$

$\mathbf{p}_T = -\mathbf{k}'_T$  for the first produced hadron

$\hat{\mathbf{z}} \times \mathbf{k}'_T \rightarrow -\hat{\mathbf{z}} \times \mathbf{p}_T$



## Baryon/antibaryon transverse polarization

□ B produced in  $q \rightarrow B + (\bar{q}q)_1$

$$\begin{aligned} \mathbf{S}_{B,T} \propto & \left\{ -2\text{Im}(\kappa_{qq}) \left( |\kappa_{qq}|^2 + \mathbf{k}_T'^2 \right) \hat{\mathbf{z}} \times \mathbf{k}_T' \right. \\ & \left[ \mathbf{S}_{q,T} \left[ (|\kappa_{qq}|^2 + \mathbf{k}_T'^2)^2 + \frac{m_{qq}^2}{3} \left( \frac{m_{qq}^2}{3} + 2\mathbf{k}_T'^2 + 2\text{Re}(\kappa_{qq}^2) \right) \right] \right. \\ & + 2(\mathbf{k}_T' \cdot \mathbf{S}_{q,T}) \mathbf{k}_T' \left( \mathbf{k}_T'^2 + |\kappa_{qq}|^2 + \frac{2m_{qq}^2}{3} \right) \\ & \left. + 2\text{Re}(\kappa_{qq}) S_{qz} \mathbf{k}_T' \left( \mathbf{k}_T'^2 + |\kappa_{qq}|^2 + \frac{2m_{qq}^2}{3} \right) \right\} \end{aligned}$$

□ B produced in  $q \rightarrow B + (\bar{q}q)_0$

$$\boxed{\mathbf{S}_{B,T} = \mathbf{S}_{q,T}}$$

□  $\bar{B}$  produced in  $(\bar{q}q)_1 \rightarrow \bar{B} + q'$

$$\begin{aligned} \mathbf{S}_{\bar{B},T} \propto & \left[ (|\mu|^2 + \mathbf{k}_T'^2) \mathbf{S}_{\bar{q}q,T} \right] - 2\text{Im}(\mu) \hat{\mathbf{z}} \times \mathbf{k}_T' \\ & + 2\text{Im}(\mu) 2\text{Re}[\rho_T(\bar{q}q)] \hat{\mathbf{z}} \times \mathbf{k}_T' \end{aligned}$$

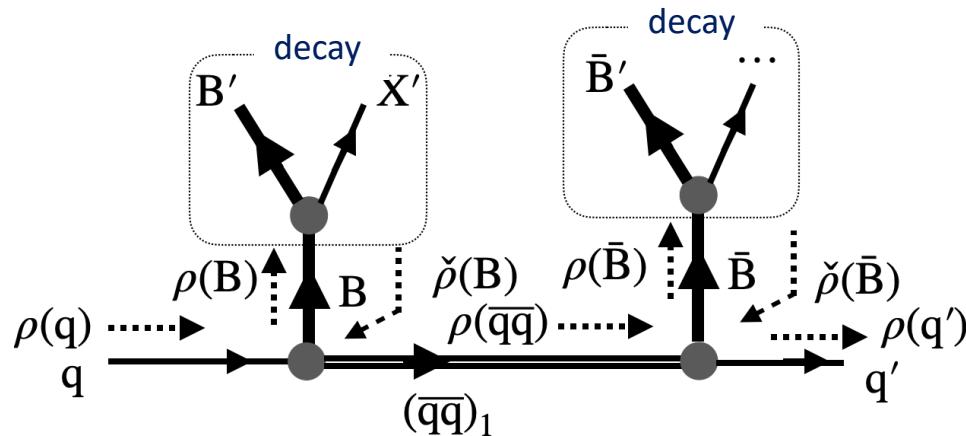
□  $\bar{B}$  produced in  $(\bar{q}q)_0 \rightarrow \bar{B} + q'$

$$\mathbf{S}_{\bar{B},T} = \frac{2\text{Im}(\mu)}{|\mu|^2 + \mathbf{k}_T'^2} \hat{\mathbf{z}} \times \mathbf{k}_T'$$

Contributions to the transverse spin transfer to hyperons!



## Spin propagation along the fragmentation chain



Recursive algorithm starting e.g. with a quark  $q$

- i. chose if to break the string via  $q\bar{q}$  or  $qq - \bar{q}\bar{q}$  using  $P_{qq}/P_q$
- ii. if  $qq$ , decide if  $(qq)_1$  or  $(qq)_0$  according to  $P_{(qq)_1}/P_{(qq)_0}$
- iii. draw  $B$  according to the splitting function for  $q \rightarrow B + (\bar{q}q)$
- iv. Evaluate  $\rho(B)$  and decay  $B \rightarrow$  come back with acceptance matrix  $\check{\rho}(B)$
- v. Draw  $\bar{B}$  according to the splitting function for  $\bar{q}q \rightarrow \bar{B} + q'$
- vi. Decay  $\bar{B}$  etc.

Note: only  $B\bar{B}$  configurations,  $BM_1\dots\bar{B}$  for future work  
no interference effects



# Conclusions

- We have extended the string+ $^3P_0$  model to introduce spin 1/2 baryon production → tunneling of diquark-antidiquark pairs
- Relevant amplitudes for baryon/anti-baryon production written down  
 $^5D_0$  mechanism for spin-1 diquarks
- The model gives
  - Collins effect
  - spontaneous polarization (polarizing FF)
  - spin transfer mechanisms (e.g., transversity FF)
- Implementation in Pythia for DIS and  $e^+e^-$ ongoing
- Possible new applications of the model
  - e.g. spin effects in target fragmentation (fracture functions?)

