





The LHCb RICH detectors upgrade: from prototyping to operations

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Outline

The Ring Imaging Cherenkov (RICH) detectors provide charged hadron identification to the LHCb experiment at CERN

- Introduction to LHCb and impact of hadron identification on physics
- The LHCb RICH detectors and their upgrade
 - Photon detection chain prototyping and quality assurance
 - Optics and mechanics
- Commissioning and installation
- Operations and performance







Introduction to LHCb and hadron identification





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LHCb phase 1 (2010-2018) 2008 JINST 3 508005

Designed to perform indirect searches for new physics through precision studies of *b*- and *c*-hadron decays
Precise vertexing (σ_t ~ 45 fs)



- Run 2, \sqrt{s} = 13 TeV, $\mathcal{L} = 4 \cdot 10^{32} \text{cm}^{-2} \text{s}^{-1}$
- ~10¹²/ 10¹³ b-/c-hadrons in Run 1 + Run 2

Hadron identification with RICH detectors

- Hundreds of photons and charged pions are produced in a hard pp interaction at the LHC inside the LHCb acceptance
- Use the properties of Cherenkov radiation to assign a mass hypotheses to charged particles in a wide range of momenta up to 100 GeV
 - reject combinatorial background due to random charged pions
 - distinguish final states of otherwise identical topology: : suppress leading order decay modes and efficiently select Cabibbo suppressed decays to improve the precision of rare decay and CP violation studies



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Impact of hadron identification on LHCb physics



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Cherenkov radiation

- Photons radiated by a material of refractive index n when a charged particle travels with a velocity $v = \beta c$ larger than the phase speed of light c/n
 - Energy threshold
 - coherent and **polarised** wavefront with **direction** $\cos \theta_c = 1/\beta n$ (cone of light with axis given by the charged particle trajectory)
 - spectral dependence of the radiation for a path L of the charged track in the medium given by the Frank and Tamm equation $dN/dE \sim 370 L \ [cm] sin^2 \theta_c \ eV^{-1}$





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Cherenkov radiation for particle identification (PID)

- $m = p/\beta \gamma = p\sqrt{n^2 \cos^2 \theta_c 1}$
- PID can be done measuring the Cherenkov angle θ_c when the momentum p is determined by the tracking system
- *n* is known by the choice of the radiator, determined according to the range of momentum that needs to be covered
- Mass discrimination in a wide momentum range is best reached if $n \gtrsim 1$ (e.g. fluorocarbon gases)





Ring imaging

NIM 142 (1977) 377



- Magnify the Cherenkov angle through a spherical mirror and measure the radius on a photon detection plane
- In practice tilted spherical mirror + flat mirror to reflect the image outside of the acceptance to not interfere with tracking/calorimetry systems and to reduce backgrounds
- Cherenkov emission + focussing optics => simultaneous time of arrival of all photons (neglecting optical aberrations and chromatic dispersion)

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Requirements for RICH detectors

- Mass separation at $n_{\sigma} = |m_1^2 m_2^2|/2p^2 \Delta \theta_c \tan \theta_c$ for a single track, $\Delta \theta_c = \sigma_c / \sqrt{N_{ph}} \oplus C_{trk}$
- RICH detectors performance intrinsically driven by
 - Cherenkov angle resolution σ_c
 - Emission point error due to the unknown emission points of the ²⁰ detected photons
 - Pixel size error due to the finite granularity of the photon detectors
 - Chromatic error due to the radiator dispersion and unknown photon energies
 - Detected Cherenkov photons per track N_{ph}
- Contribution from tracking system
- ~ 100 tracks per hard pp event in LHCb => build a global loglikelihood between measured hits and expected hit patterns from tracks (no direct association of hits with track) April 3, 2025





RICH1



How to get there



Minimise σ_c :

- Chromatic error: radiator dispersion $\otimes R_{mirrors} \otimes$ $T_{quartz} \otimes$ photon detectors Quantum Efficiency (QE)
- Emission point error: optical aberrations, tilt of spherical mirrors
- Pixel size error: granularity of photon detectors, radius of curvature of spherical mirrors $(\sigma_{px} = d_{px}/\sqrt{3R})$

Minimise C_{trk}

Experiment optimisation: hit resolution, B-field knowledge, material budget

Maximise *N*_{ph}:

- photon detection: active area, gain, low electronics and sensors noise, large QE
- photon production: large gas volumes

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The LHCb RICH detectors and their upgrade



The LHCb RICH detectors upgrade: from prototyping to operations



The LHCb RICH detectors

RICH1,~ 4 m³, upstream, 8% X0 3-40 GeV over 25-300 mrad



RICH2, ~ 100 m³, downstream, 15% X0 15-100 GeV over 15-120 mrad



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Phase-1 LHCb RICH detectors performance

- The first generation of the LHCb RICH detectors operated in Run 1 and 2 with an excellent performance
- RICH1: $\sigma_c = 1.662 \pm 0.023$ mrad, $N_{ph} = 30 \pm 2$
- <u>JINST 17 (2022) 07, P07013</u>
- RICH2: $\sigma_c = 0.621 \pm 0.012$ mrad, $N_{ph} = 18.5 \pm 1.2$



LHCb upgrade: a new experiment



300 kHz $b\overline{b}$ and 5 MHz $c\overline{c}$ produced in the LHCb acceptance



- 5x instantaneous luminosity
- $\mathcal{L} = 2 \cdot 10^{33} \text{cm}^{-2} \text{s}^{-1}$
- Removal of hardware level trigger
- 2.5 TB/s input bandwidth to a full software writing fully reconstructed objects at 10 GB/s to storage



LHCb RICH detectors upgrade concepts

- Boundary conditions for Run 3 LHCb: run with higher occupancy, using a continuous 40 MHz readout rate, and keeping the same subdetector envelopes
- New RICH1 optics and mechanics



- Replace Hybrid Photon Detectors with embedded 1 MHz readout electronics with **new photon sensors and frontend electronics in both RICH1 and RICH2**
 - New mechanical supports



• New digital electronics for data transmission at 40 MHz using the GigaBit Transceiver (GBT) protocol

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Photon detection chain prototyping and quality assurance

The LHCb RICH detectors upgrade: from prototyping to operations



Timeline



2015-2016: Elementary Cell validated
as basic unit of optoelectronics chainPhoton Detector Quality Assurance
2016-20182018: production of electronics componets
started, Quality AssuranceClaro chip validate, Production Readiness Reviewto qualify ~3500 unitsof ASIC and electronics



2018: installation of a Photon Detector Module inside RICH2 and operation with LHCb collisions during 2018 data taking





2019:Elementary Cells QUality Assurance programme started





2019: column assembly and commissioning started --> road towards installation





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Multi-anode Photo-Multiplier Tubes (MaPMTs)

- Hamamatsu MaPMTs with 8 x 8 pixels to cope with **illumination rates up to 1 MHz/mm**²
 - Pixel size: 3x3 mm² for RICH1 and central region of RICH2, 6x6 mm² in peripheral region of RICH2
 - Maximise N_{ph}: O(80%) active area, gain > 10⁶ and ≤ 10 Hz/mm² dark counts rate at 1 kV, quantum efficiency > 30% at 300 nm





- Signal/Noise separation more critical than in HPDs: characterisation and study of performance key to correct operations
- Improve *σ_c*: green-shifted quantum efficiency with respect to HPDs

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CLARO ASIC

- 8-channel amplifier+discriminator ASIC (Ferrara, Kracow, Milano Bicocca)
- Designed and optimised for single-photon counting coupled to MaPMTs
- 0.35 μm CMOS technology from AMS
- 40 MHz operations (recovery time < 25 ns)
- Low-power consumption (~ 1 mW/channel)
- Adjustable threshold and gain (6+2 bits) to compensate for MaPMT gain variation
- Binary readout
- Radiation-hard by design cells and triple-module-redundancy (TMR) protecting 128 bits configuration register





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Testbeam campaigns

[JINST 12 (2017) 01, P01012]

- First tests on a particle beam (mainly 180 GeV pions) in 2014 in the North Area of the Prevessin site at CERN
- Plano-convex lens used as radiator and focussing object: operability and photon-yield determination one of the main objectives of testbeams



	-				
	Data		Simulation		Analytical estimate
	mean	RMS	mean	RMS	mean
Total	13.4	3.8	13.1	2.9	-
PMT A	3.6	1.7	3.1	1.5	3.8
PMT B	3.3	1.6	3.1	1.5	4.1
PMT C	4.4	1.9	3.3	1.5	3.9
PMT D	4.2	1.8	3.3	1.5	4.1

- Validation of opto-electronics chain prototypes prior to production, inputs for modifications and design of modular unit: the elementary cell
- Continue testbeam campaigns up to 2018

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The Elementary Cell

- Magnetic shield used in RICH1 (magnetic field of < 30 G): maintain photon counting efficiency in edge pixels
- MaPMTs
- Baseboard: host voltage divider, routing of analog output (Genova)
- Frontend board (FEB): host CLARO ASIC, routing of digitised signal (Ferrara)
- Backboard: routing of digitised output to the readout (Ferrara)
- Two types of Elementary Cells: EC-R (4 R11265 MaPMTs) in RICH1 and central part of RICH2, and EC-H (1 R12699 MaPMT) in peripheral region of RICH2



Photon Detector Module

- Flexible FPGA-based digital board (PDMDB): pack CLARO outputs, synchronise to LHC clock, transmit data to the LHCb common readout boards (Cambridge)
- Two flavours: one PDMDB-R serving 4 half EC-R, one PDMDB-H serving 4 EC-H



 ECs + PDMDB form an independent unit called
Photon Detector Module (PDM) sharing common LV and HV distribution





Irradiation campaigns

- Radiation levels: 200 krad Total Ionising Dose, $3\,\cdot\,10^{12}$ 1 MeV n_{eq}/cm^2 fluence
- Irradiation campaigns on MaPMTs and frontend electronics (Ferrara)
 - Selection of MaPMT window (UV glass vs borosilicate), inputs to modifications of existing prototypes (e.g. TMR protection added in CLARO configuration register)
- Irradiation campaigns on PDMDBs (Bucharest)
 - Assess impact of radiation on Kintex-7 FPGAs, incorporate protections in firmwares and auto-reconfigurations in control software



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Quality assurance programmes

 Distributed programme of production of components, quality assurance (QA) campaigns across Europe, first calibrations, to converge for final assembling and commissioning at CERN



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Photon detectors quality assurance

- Verify minimal contractual specifications for 3500 units (Edinburgh, Padova)
- Gain per pixel determined at 1000 V -> 800 V in 50 V steps
- 1.5 M spectra fitted and data stored in a dedicated database
- Low rejection rate: 2.1% R13742 and 8.2% R13743



	R11265 (R13742)	R12699 (R13743)	
size [mm ²]	26.2 imes 26.2	52×52	
pixel size [mm ²]	2.88 imes2.88	6 imes 6	
number of devices	2656	384	
active area	77%	87%	
average gain @ 1 kV	> 1 Me		
gain uniformity	1:4	1:3	
peak/valley (P/V) ratio @ 1 kV	no more than 3 pixels with $P/V{<}$ 1.3		
dark-count rate @ 1 kV	$< 2.5 \text{ kHz/cm}^2$		
quantum efficiency	> 30% @ 300 nm		

CLARO, FEB and Backboard quality assurance

• Quality assurance for 4100 FEBs, 35k CLARO, 1250 Backboards + spares (Ferrara)









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Elementary Cell quality assurance

- 1-inch MaPMTs grouped by gain and assembled in **800 EC-R**
- 2-inch MaPMTs assembled on 450 EC-H
- One EC unit completed by Baseboard, FEBs and Backboard
- Verify basic functionality, calibration of CLARO channels, characterisation of MaPMTs at different HV values (Edinburgh, Ferrara)
- QA programme tuned to fully characterise an unexpected source of noise inside MaPMTs observed while operating a module in the LHCb experiment in 2018

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Operations of a module inside LHCb in 2018

31%

0%

Aim: test a photon detection module in a realistic environment



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- Detection of out-of-time hits lasting up to few μ s, confirmed with laboratory tests
- Located in specific border pixels with absolute values varying unit by unit



Signal-Induced Noise (SIN)



- Signal correlated => sizeable pile-up expected in high occupancy channels
- Strong dependence on the applied HV
- Fully characterised thanks to the ECQA programme: mechanism consistent with internal light emission and fluorescence decay [JINST 16 (2021) P11030]
- Mechanical fix introduced by Hamamatsu in a new subset of units



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Implementation of timing

- An additional way to mitigate SIN (and in general non-Cherenkov signals) is the introduction of timing capabilities in the frontend electronics
- Implemented in last testbeam in 2018
- Simulations confirmed the recovery of the PID performance after the application of mitigation strategies (HV tuning, selection of new SIN-suppressed MaPMTs for the high occupancy regions, timing)





Optics and mechanics





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RICH1 optics and mechanics

 Re-design and re-build full RICH1 optics and mechanics to keep peak occupancy under control (below 30% at 40 MHz) for optimal pattern recognition (based on Run 1 and 2 experience)



Increase radius of curvature R of spherical mirrors by a factor ~ $\sqrt{2}$, reduce tilt and move the focal plane further outside the acceptance

 \Rightarrow peak occupancy halved

 \Rightarrow reduced $\sigma_{px} = d_{px}/\sqrt{3R}$

 \Rightarrow reduced σ_{ep}

Extend radiator volume in z by ~ 100 mm => +14% Cherenkov photons per track

R = 3650 mm in RICH1 => "ring radii" (~ $\theta_c R/2$) for p=30 GeV would be

~ 9.6, 9.2 and 7.8 cm for pions, kaons and protons, respectively (but not exactly rings!)

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A brand new RICH1

- New and larger gas enclosure (Oxford)
- New quartz windows separating the gas enclosure from the MaPMT enclosure above and below the beam-pipe (Oxford, CERN)
- RICH1 seal to VELO on the upstream side
- New carbon fibre exit window sealing the gas enclosure downstream (RAL)
- New 16 glass flat mirrors (Bristol, CERN)
- New 4 sherical carbon-fibre mirrors (Bristol, CERN)
- New MaPMT enclosure equipped to host optoelectronics chain mounted on columns (Imperial, Oxford)
- New tooling for installation and maintenance
- Material budget ~ halved: 4.8% X0
- RICH1 mechanics installed between 2020 and 2021

RICH1 mechanics installation

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RICH1 mechanics installation

The LHCb RICH detectors upgrade: from prototyping to operations

RICH1 mechanics installation

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RICH mechanics: columns

- Mechanical support to host sensors and services
- T-shaped aluminium bar: 1.6 m support structure and active cooling element (Padova)
 - 6 mm ducts deep-drilled along T-bars for cooling with Novec (CERN) to keep MaPMT temperature < 25 °C
- PDMDB equipped with thermal pads and levelling plates to maximise coupling with the T-bar (CERN)
- T-bar design common to RICH1 and RICH2, with tailored designed of harnesses and services (Imperial, Padova)

cooling return block

Commissioning and installation

Columns assembling, commissioning and installation

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RICH2 columns assembling

 Cabling started in October 2019, assembly completed in December 2019

Covid times: RICH2 as pilot project at CERN

RICH2 columns commissioning

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Columns commissioning protocol

- Conceived to fully validate hardware and to gather operational parameters for the 200k channels composing the RICH detectors
 - Initial functionality checks (LV, HV, channels connectivity, temperature sensors)
 - 15 test-pulse injection scans
 - re-calibrate CLARO thresholds and gains in the final assembly
 - Determination of working points to be used on-experiment
 - HV training (8 hours) for dark counts assessment
 - 20 threshold scans to measure gain of each MaPMT pixel at different HV values
 - 15 runs at different HV values and offsets in time to re-characterise SIN at different HV values

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• Fully automated thanks to robust firmware and controls following the concept of reproducibility, redundancy and anticipation

RICH2 columns ready for installation

• RICH2 columns commissioning completed in July 2020

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RICH2 ready for installation

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RICH2 installation

RICH2 installation

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RICH2 installed

 Installation, including services, completed between February and April 2021 (first new detector installed in LHCb)

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RICH1 columns assembling and commissioning

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- Different modularity requiring different tooling and adaptations to the columns commissioning protocol
- Particular care on MaPMT SIN aspects
- Parallel to RICH2 works on-experiment
- Commissioning started in November 2020 and completed in November
 - 2021

RICH1 sensors grouping

- SIN critical in high-occupancy regions of RICH1 (pile-up)
- Dedicated EC re-shuffling procedure of MaPMTs across ECs to match units with similar gain and low SIN
 - HV shared by 16 MaPMTs => 2D grouping combining PDQA and ECQA results
 - Plan possible thanks to a dedicated database storing all the QA information

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RICH1 columns installation

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RICH1 columns installation

• Installation completed in January 2022

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Services and cabling

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IG versus reality

Mi sono iscritta all'università

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RICH1 installed

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Operations and performance

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LHC pilot beam

 First test with beam performed on RICH2 during pilot beam provided by the LHC at 450 GeV in October 2021 (restart after Long Shutdown 2): collisions quickly detected

 Not shown in this picture: LHCb spokesperson orbiting around the control room in a desperate search for good news

LHC pilot beam

- Successful test of working points determined during commissioning
- First synchronisation with LHC clock and inter-channel time alignment
- First tuning of the HV
- Number of photons per ring (and rings size) consistent with expectations

[CERN EP-news]

VIP citations

Beam-test collision data in the experiments

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Detectors validation before collisions

advanced monitoring facility for detector safety and operations

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First high energy beams of Run 3

5th July 2022, CERN media event

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Photon detection chain calibration and operations

- Tuning of operational and calibration tools to achieve the best performance
- Average gain of the 200k MaPMT channels measured and equalised to 1.2 Me by tuning the operating high-voltages (frontend thresholds set to 200 ke)
 - automated threshold scan procedures with beam
 - excellent agreement between quality assurance and on experiment results
 - same procedure used to monitor and correct for ageing
- Last dynode of the MaPMTs supplied independently to preserve gain linearity at high rates up to 100 MHz/cm^2 [arXiv:2503.05273]
 - Provide online luminosity through the calibrated measurement of the power supply currents

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Time alignment

 Prompt emission of Cherenkov radiation and focussing optics suggestive of a fine time gating to reduce out of time backgrounds

Best trade-off between photon detection efficiency and background rejection found for a 6.25 ns time gating window: deployed since end of 2022

- B: background due to particles travelling directly to the photon detection plane
- S: Cherenkov signal
- R: background due to multiple reflections of Cherenkov photons in the optical system
- + incoherent background due to instrumental internal noise/scintillation
- photons in the radiators

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Hitmaps and occupancy

Physics-biased beam-beam occupancy relevant for performance

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Online refractive index calibration

- Refractive index calibration required to correct for environmental (temperature and pressure) and purity (small sub-percent/month air contamination) changes of the radiators
 - Starting point determined from several temperature and pressure sensors placed into the gas enclosure
- Reconstructed Cherenkov angle from high momentum tracks ($\cos \theta_c \sim 1/n$) to determine the refractive index scale factors per run directly from data with an online data monitoring task

Alignment and Cherenkov angle resolution

- Single photon resolution also has a contribution from the spatial alignment of the optical system and from the relative alignment with the trackers
- Hardware alignment done during the installation, software alignment correcting for residual imperfections
 - photon detectors panel alignment done a few times per year to find the absolute minimum
 - Fine tuning corrections with mirrors alignment per run

LHCb-FIGURE-2023-007

Hadron identification performance: Run 2 vs Run 3

- Preliminary performance evaluated with data collected at the end of 2022, showing that already with preliminary calibrations the upgraded RICH detectors outperformed the previous generation at the average pile-up of Run 3 <u>LHCb-FIGURE-2023-019</u>
- Confirmed in 2024 <u>LHCb-FIGURE-2024-031</u> at nominal running conditions

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Conclusions

- The LHCb RICH upgrade has been a successful programme
 - R&D on detector components that have been installed and are operating at the LHC are an extremely rewarding experience
 - Some unexpected events along the path but effectively tackled and many lessons learned for the future
- Now a new phase is starting with the recent approval by CERN of the second upgrade of LHCb experiment foreseen to be installed in 10 years from now
 - unique opportunity to participate to the construction of a High Energy Physics experiment
 - new design and advanced R&D required by the RICH detectors in which the Ferrara group is involved in several aspects

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 - <u>The LHCb RICH upgrade: from design to early performance</u>, S. Gambetta, CERN-EP Detector Seminar
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 - <u>Particle Identification: Detectors</u>, R. Forty, ICFA Instrumentation School, Bariloche, Argentine
 - <u>Fotografare l'invisibile: rivelatori di particelle elementari</u>, M. Fiorini, I venerdì dell'Universo
 - The LHCb RICH Upgrade: from design to early performance