

2307.03573 & 2411.18690 WITH

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General framework for matching **black holes and massive states of fundamental strings** at a point where their physical properties smoothly agree.

(mass, entropy, temperature, decay rates,...)

Spinning up the

BLACK HOLE - String



correspondence

Plan:

- I. Introduction
- II. BH-string correspondence (static)
- III. BH-string correspondence with rotation
- IV. Testing the correspondence with rotation: shapes & sizes

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I. Introduction

Why black hole = string?

$$S = \frac{A}{4G}$$



Microscopic (statistical) picture for **Schwarzschild** or **Kerr** black holes

from strings?

in asymptotically **flat** space in $D \ge 4$

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in asymptotically **flat** space in $D \ge 4$

→ NO: susy, AdS or low dim toy model!

So cannot expect exact match \rightarrow henceforth ignore O(1) factors.

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Microscopic (statistical) picture for **Schwarzschild** or **Kerr** black holes

from strings?

in asymptotically flat space in $D \ge 4$

NO: susy, AdS or low dim toy model!

So cannot expect exact match \rightarrow henceforth ignore O(1) factors.

``Broad brush'' picture ```

Identify the relevant physics and ignore unnecessary details.

BLACK HOLES



massive highly degenerate



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Kerr bound $J \leq J_{Kerr} = M^2$

Regge bound

 $J \le J_{Regge} = M^2$

BLACK HOLES



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entropy (Bekenstein)

 $S \sim M^2$



degeneracy (Hagedorn)

 $S \sim M$

BLACK HOLES



massive highly degenerate



Kerr bound

 $J \leq J_{Kerr} = M^2 M_P^2$

entropy (Bekenstein)

 $S \sim M^2 / M_P^2$



Regge bound

 $J \leq J_{Regge} = M^2 / M_s^2$

degeneracy (Hagedorn)

 $S \sim M/M_s$

BLACK HOLES



massive highly degenerate



Kerr bound $J \leq J_{Kerr} = M^2 M_P^2$

 $M_P^2 = G^{-1}$

$$M_s = gM_P$$

entropy (Bekenstein)

$$S \sim M^2 / M_P^2 = \frac{1}{g^2} \left(g^2 M / M_s \right)^2 = g^2 \stackrel{\uparrow}{=} \frac{M_s}{M}$$

$$g^2 \stackrel{\uparrow}{=} \frac{M_s}{M}$$
``correspondence point"

Regge bound

$$J \leq J_{Regge} = M^2 / M_s^2$$
$$M_s^2 = l_s^{-2} = \alpha^{'-1}$$

degeneracy (Hagedorn)

$$S \sim M/M_s$$

We are in D=4 for now.



Kerr bound $J \leq J_{Kerr} = M^2 / M_P^2$

Regge bound

$$J \le J_{Regge} = M^2 / M_s^2$$

 $M_{\rm s}^2 = l_{\rm s}^{-2} = \alpha^{'-1}$

 $M_P^2 = G^{-1}$

$$M_s = gM_P$$

g small & S large

entropy (Bekenstein) $S \sim M^2 / M_P^2 = \frac{1}{g^2} (g^2 M / M_s)^2 = \frac{M_s}{\sigma^2}$

degeneracy (Hagedorn)

$$S \sim M/M_s$$

"correspondence point"

We are in D=4 for now.



``correspondence point"

`` $J \leq M^2$ " conflates two different bounds:

$$J_{Kerr} = g^2 \left(\frac{M}{M_s}\right)^2 \ll \left(\frac{M}{M_s}\right)^2 = J_{Regge}$$

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I. No black hole counterpart for strings with $J_{Kerr} < J < J_{Regge}$! \uparrow

> roundish black holes with large degeneracy

thin, long, rigidly rotating rods with small degeneracy

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II. In D > 4: \exists ultraspinning black holes and rings with $J > J_{Kerr}$ but $J = J_{Regge}$ black holes/rings look nothing like rotating rods!

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Does the black hole - string correspondence fail?

Resolving the puzzles

Both puzzles hide an assumption:

one-to-one matching of *stationary* solutions.

At finite coupling: all objects time-evolve !

susy: no worry static: no worry rotating: crucial!

Resolving the puzzles

Both puzzles hide an assumption:

one-to-one matching of *stationary* solutions.

At finite coupling: all objects time-evolve !

susy: no worry static: no worry rotating: crucial!

Instability timescale \leq transition timescale.

The puzzles are resolved if we account for dynamics !

II. Black hole - string correspondence

[Susskind'93], [Horowitz,Polchinski'96], [Damour,Veneziano'98]



curvature $\sim \frac{1}{g^2 S} = \frac{1}{\ell_s^2}$ $\sim \frac{1}{r_r^2} \sim \frac{1}{(GM)^2}$

fundamental strings

[Susskind'93], [Horowitz,Polchinski'96], [Damour,Veneziano'98]



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Statistical interpretation of Bekenstein-Hawking entropy via degeneracy of strings!

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Statistical interpretation of Bekenstein-Hawking entropy via degeneracy of strings!

Now we are in D dimensions.

A``'t Hooft coupling "



A``'t Hooft coupling "



So g^2S plays the same role as $\lambda = g_{YM}^2 N$ in AdS/CFT or gN in D-brane systems.

Near vs far from BPS correspondences



[Horowitz,Polchinski'96]:

Far from BPS



 $g^2 S \gg 1$

fix S & change g



 $g^2 S \sim 1$

 $g^2 S \ll 1$

Black hole - string correspondence

= overarching framework for microscopic understanding of black holes in string theory, e.g. BH entropy $S = \frac{A}{4G}$

General idea:

Interpolate by changing the coupling \approx adiabatically while holding *S*, *J*, *Q* fixed.

Mass renormalization in general hard to control (prevents precise matching).

Details of the correspondence

Properties of black hole and fundamental string have to match at correspondence point:

- Mass
- Size
- Decay rates
- .

`Correspondence' only if $\exists \approx$ adiabaticity.

Also need a `physical realization' or a `knob'.

Mass

[Susskind'93]

Fixing S as we vary $g \rightarrow$ mass of black hole and string get renormalized:



Size

Discrepancy: string much larger than black hole @ correspondence point.



We have neglected the effect of self-gravitation of the string!

This will shrink the string. [Horowitz,Polchinski'97]

Decay channels

When $0 < g < \infty$: neither strings nor black holes stationary anymore!



Quantum effects in black holes -> decay via emission of Hawking radiation



Decay rates



Adiabaticity ?



Goldilocks range for the rate of change of g – not too slow and not too fast :

$$\frac{1}{S\ell_s} < \Delta t_g^{-1} < \frac{1}{\ell_s}$$
Correspondence in the `dilaton lab'

Tuning coupling $g = e^{\phi}$ while entropy S is fixed,

yields within Goldilocks adiabaticity range

transitions between black hole \leftrightarrow string ball phases:



[Susskind'93], [Horowitz,Polchinski'96]

Correspondence in evaporation

Now coupling g stays fixed, but mass decreases as the black hole emits Hawking radiation. Natural expectation: black hole \rightarrow string ball.



Summary: **static** correspondence



relates black holes to weakly coupled strings and thus gives a statistical interpretation of black hole entropy!

* is **not exact** counting of the entropy

 \rightarrow is physically realized in evaporation or induced by dilaton wave



 \rightarrow applies to generic black holes in general dimensions **

** so far only **static** black holes!

Summary: **static** correspondence



Limitations:

Only parametric matching, and only at $g^2 S \sim 1$ (one value!)

Adiabaticity only approximate (but 3 Goldilocks regime).

Self-gravity necessary (smoothness of transition not guaranteed).

Rotation adds qualitatively new features!

III. Black hole - string correspondence with rotation

Rotating black holes & fundamental strings

characteristic length scales:

mass length

 $\mathscr{C}_M = (GM)^{\frac{1}{D-3}}$

spin length

 $\ell_J = \frac{J}{M}$

[Emparan,Harmark, Niarchos,Obers'09]





unique, round-ish, dynamically stable

`Kerr regime'

[Myers,Perry'86]

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 $\ell_J < \ell_M$:



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 $\ell_J > \ell_M$:



`ultraspinning regime'

`Kerr regime'

different shapes and topologies, dynamically unstable

¹ [Myers, Perry'86] ² [Emparan, Reall'01] ³ [Andrade, Emparan, Licht, Luna'19]

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spin length

 $\ell_J = \frac{J}{M}$





`Kerr regime'

[Emparan,Harmark,

Niarchos, Obers'09]

 $\ell_{J} > \ell_{M}$: $\int_{3}^{3} \int_{2}^{4} \int_{4}^{4} \int_{6}^{6} \int_{6}^{6} \int_{7}^{6} \int_{7}$

unique, round-ish, dynamically stable

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 $\ell_J < \ell_M$:





`Kerr regime'

[Emparan,Harmark,

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unique, round-ish, dynamically stable

different shapes and topologies, dynamically unstable

Focus on **rotation in a single plane**, express results in adiabatic invariant *S* and *J*.





classically stable





The correspondence with rotation

Correspondence diagram



Strings to black holes



Strings to black holes









As for static: statistical interpretation of black hole entropy, physically realized in `dilaton lab' or evaporation, applies to <u>generic</u> black holes in any dimension.

New elements:

• Dynamical factors: black hole instabilities and emission of radiation

black bars

- Non-stationary phases:
- black hole string hybrids
- multi–string states



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IV. Testing the correspondence with rotation

Spinning up a black hole



Mass M

heat TS mechanical energy ΩJ



Overall size of a black hole of mass M must be smaller for larger J.

$$\left(\frac{M}{M_P}\right)^{D-2} \propto S^{D-5} \left(\frac{S^2}{4\pi^2} + J^2\right) \qquad |J| \le S$$

Spinning up a string



Oscillator excitations for mass M



coherently rotate jitter in a random-walk



What do we expect?

Size \perp and \parallel to plane of rotation:

small $J \ll S$:

$$\frac{r_{\perp}^2}{r_{\parallel}^2} - 1 \propto -\frac{J^2}{S^2}$$

large J > S: pancake effect for string and black hole but no matching

Size and shape of strings

Open or closed bosonic string in D spacetime dimensions.

Oscillators α_n^{μ} ($\tilde{\alpha}_n^{\mu}$) with excitation level n

transverse directions

Light-cone gauge: $\alpha_n^{\mu} \rightarrow \alpha_n^i$ with i = 1, ..., D-2

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Mass:
$$M^2 \sim NM_s^2$$
 $N = \sum_{i=1}^{D-2} \sum_{n=1}^{\infty} \alpha_{-n}^i \alpha_n^i$

level-counting operator

Open or closed bosonic string in D spacetime dimensions.

Oscillators α_n^{μ} ($\tilde{\alpha}_n^{\mu}$) with excitation level n

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$$M^2 \sim NM_s^2$$
 $N = \sum_{i=1}^{D-2} \sum_{n=1}^{\infty} \alpha_{-n}^i \alpha_n^i$

level-counting operator

Spin:
$$J = -i \sum_{n=1}^{\infty} \frac{1}{n} (\alpha_{-n}^{1} \alpha_{n}^{2} - \alpha_{-n}^{2} \alpha_{n}^{1})$$

coherent rotation in a single plane

Static string

 $Z \equiv \operatorname{Tr}(e^{-\beta N}) = \prod_{n=1}^{\infty} \left(\frac{1}{1 - e^{-\beta n}}\right)$

D - 2

Partition function:

$$\beta = \frac{1}{T}$$

inverse temperature

Static string

D - 2

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High-temperature limit $\beta \rightarrow 0$:

$$Z(\beta) \sim \beta^{\frac{c}{2}} e^{\frac{c\pi^2}{6\beta}}$$

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 $c \equiv D - 2$

transverse directions

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transverse directions

String entropy:

$$Z(\beta) = \sum_{n=1}^{\infty} d_n \ e^{-\beta n}$$

D - 2

[Hardy,Ramanujan'18]

saddle point approximation
Static string

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transverse directions

String entropy:
$$Z(\beta) = \sum_{n=1}^{\infty} d_n \ e^{-\beta n} \xrightarrow[\text{large } n]{\text{large } n} d_n \sim n^{-\frac{c+3}{4}} e^{2\pi\sqrt{\frac{c}{6}n}}$$

$$(\text{Hardy,Ramanujan'18})$$
Strings size:
$$\langle R^2 \rangle = \sum_{n=1}^{\infty} R_n^2 \ e^{-\beta n} \xrightarrow[\text{large } n]{\text{large } n} R_n^2 \sim \frac{1}{c} \pi \sqrt{\frac{c}{6}n} \ d_n$$

$$R_n^2 \sim \frac{1}{c} \pi \sqrt{\frac{c}{6}n} \ d_n$$

$$(\text{Mitchell,Turok'87})$$

Static string

D - 2

Partition function:

$$\beta = \frac{1}{T}$$

inverse temperature

High-temperature limit $\beta \rightarrow 0$:

$$Z(\beta) \sim \beta^{\frac{c}{2}} e^{\frac{c\pi^2}{6\beta}}$$

n=1

 $Z \equiv \operatorname{Tr}(e^{-\beta N}) = \prod_{n=1}^{\infty} \left(\frac{1}{1 - e^{-\beta n}}\right)$

 $c \equiv D - 2$

transverse directions

String entropy: $Z(\beta) = \sum_{n=1}^{\infty} d_n \ e^{-\beta n}$ $\xrightarrow{\text{large } n}$ $d_n \sim n^{-\frac{c+3}{4}} e^{2\pi \sqrt{\frac{c}{6}n}}$ [Hardy,Ramanujan'18] Strings size: $\langle R^2 \rangle = \sum_{n=1}^{\infty} R_n^2 \ e^{-\beta n}$ \longrightarrow $R_n^2 \sim \frac{1}{c} \pi \sqrt{\frac{c}{6}n} \ d_n$

[Mitchell,Turok'87]

Typical string size $\langle r^2 \rangle_n \sim \frac{R_n^2}{d_n} \sim \sqrt{n} \propto M$ as expected for random walks.

Rotating string

 $Z \equiv \text{Tr}(e^{-\beta(N-\Omega J)}) = \prod_{n=1}^{\infty} \left(\frac{1}{1-e^{-\beta n}}\right)^{D-4} \frac{1}{(1-e^{-\beta(n+\Omega)})(1-e^{-\beta(n-\Omega)})}$ Partition function:

High-temperature limit $\beta \to 0$. $Z(\beta, \Omega) \sim \beta^{\frac{c}{2}} e^{\frac{c\pi^2}{6\beta}} \frac{\Omega}{\sinh(\pi\Omega)}$ $c \equiv D - 2$

transverse directions

String entropy:
$$Z(\beta) = \sum_{n=1}^{\infty} d_{n,J} e^{-\beta n} e^{\beta \Omega J} \xrightarrow[\text{large } n]{\text{large } n}} d_{n,J} \qquad \text{for large } J = O(n)$$

$$[\text{Russo, Susskind'94]}$$
Strings size:
$$\langle R^2 \rangle = \sum_{n=1}^{\infty} R_{n,J}^2 e^{-\beta(n-\Omega J)} \longrightarrow R_{n,J}^2 \longrightarrow R_{n,J}^2 \longrightarrow R_{n,J}^2$$

$$R^2 = (X^i)^2 = \sum_{n=1}^{\infty} \frac{1}{n^2} \alpha_{-n}^i \alpha_n^i \qquad i = 1,2$$

$$i = 3,..., D-2$$

Rotating string

Partition function:

$$Z \equiv \text{Tr}(e^{-\beta(N-\Omega J)}) = \prod_{n=1}^{\infty} \left(\frac{1}{1-e^{-\beta n}}\right)^{D-4} \frac{1}{(1-e^{-\beta(n+\Omega)})(1-e^{-\beta(n-\Omega)})}$$

High-temperature limit $\beta \to 0$: $Z(\beta, \Omega) \sim \beta^{\frac{c}{2}} e^{\frac{c\pi^2}{6\beta}} \frac{\Omega}{\sinh(\pi\Omega)}$ $c \equiv D-2$ transverse directions

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 for large $J = O(n)$
 $[\text{Russo,Susskind'94]}$
Strings size: $\langle R^2 \rangle = \sum_{n=1}^{\infty} R_{n,J}^2 e^{-\beta(n-\Omega J)} \longrightarrow R_{n,J}^2 \checkmark R_{n,J}^2$
What are the typical string sizes $\langle r_J^2 \rangle_n \sim \frac{R_{n,J}^2}{d_{n,J}} \checkmark \frac{R_{n,J}^2}{\frac{R_{n,L}^2}{d_{n,J}}}$?

Average string sizes

Transverse to rotation plane:



Along rotation plane:



$$\frac{\langle \bar{r}_{\perp}^2 \rangle_n}{\ell_s^2} \propto \begin{cases} \sqrt{n} & J = O(1) \\ \sqrt{n - \mu |J|} & J = O(\sqrt{n}) \\ \sqrt{n - |J|} & J = O(n) \end{cases} \qquad \frac{\langle \bar{r}_{\parallel}^2 \rangle_n}{\ell_s^2} \propto \begin{cases} \sqrt{n} & J = O(1) \\ \sqrt{n - \mu |J|} & J = O(\sqrt{n}) \\ |J| & J = O(n) \end{cases}$$

Individually both vary significantly with the strength of interaction.

Note: All numerical factors are dropped!

Pancake effect larger for larger J.

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Individually both vary significantly with the strength of interaction.

The ratio varies less with g and behaves as approximate adiabatic invariant.

$$\frac{\langle \bar{r}_{\perp}^2 \rangle_n}{\langle \bar{r}_{\parallel}^2 \rangle_n} \propto \begin{cases} 1 & J = O(1) \\ \frac{1}{C_{\parallel}} < 1 & J = O(\sqrt{n}) \\ \frac{S}{|J|} & J = O(n) \end{cases}$$







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Average string sizes



Individually both vary significantly with the strength of interaction.

The ratio varies less with g and behaves as approximate adiabatic invariant.

subleading
orders
$$\frac{\langle \bar{r}_{\perp}^2 \rangle_n}{\langle \bar{r}_{\parallel}^2 \rangle_n} \propto \begin{cases}
1 - \gamma_{\parallel} \frac{J^2}{n} & J = O(1) & \gamma_{\parallel} > 1 \\
\frac{1}{C_{\parallel}} < 1 & J = O(\sqrt{n}) & C_{\parallel} > 1 \\
\frac{S}{|J|} & J = O(n)
\end{cases}$$

Note: All numerical factors are dropped!

Pancake effect larger for larger J .

Size and shape of black holes

Myers-Perry

Natural to define the transverse characteristic radius at the pole $\theta = 0$ on the horizon:

$$\mathscr{A}_{\perp}^{(D-4)} = \Omega_{D-4}(r_0 \cos \theta)^D$$
$$\mathscr{A}_{\perp}^{(D-4)} = 0$$
$$\overset{1}{\square - 4} = r_0$$
$$\underset{\text{horizon radius}}{\overset{1}{\square - 4}}$$

Myers-Perry

Natural to define the transverse characteristic radius at the pole $\theta = 0$ on the horizon:

 $\mathscr{A}_{\perp}^{(D-4)} = \Omega_{D-4} (r_0 \cos \theta)^{D-4}$ $\mathscr{A}_{\perp}^{(D-4)} = \Omega_{D-4} (r_0 \cos \theta)^{D-4}$ $\stackrel{1}{\underset{D-4}{\longrightarrow}} = r_0$ horizon radius

Define characteristic radius in rotation plane via horizon area in the (θ, ϕ) directions: *

$$\mathcal{A}_{\parallel}^{(2)} = \int d\theta d\phi \sqrt{g_{\theta\theta}g_{\phi\phi}} |_{r=r_0}$$

$$\mathcal{A}_{\parallel}^{(2)} = \sqrt{\frac{\mathcal{A}_{\parallel}^{(2)}}{4\pi}} = \sqrt{r_0^2 + a_J^2}$$
horizon radius spin length $\frac{r_0}{a_J} = \frac{S}{2\pi J}$

* More physical definition uses critical impact parameter for the capture of a null geodesic in the equatorial plan but form similar to $r_{\parallel}^{(a_J)}$.





Individually both get renormalized (since M does).







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$$\frac{r_{\perp}}{r_{\parallel}} = \frac{S}{\sqrt{S^2 + 4\pi^2 J^2}}$$

is again an approximate adiabatic invariant (since S and J are).







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is again an approximate adiabatic invariant (since S and J are).

$$\frac{r_{\perp}^2}{r_{\parallel}^2} = \begin{cases} 1 - 4\pi^2 \frac{J^2}{S^2}, & |J| \ll S \\ C < 1, & |J| \sim S \\ \frac{1}{4\pi^2} \frac{S^2}{J^2}, & |J| \gg S \end{cases}$$

Pancake effect larger for larger J .

Do rotating strings size up/down like rotating black holes?



$$\frac{\langle \bar{r}_{\perp}^2 \rangle_n}{\langle \bar{r}_{\parallel}^2 \rangle_n} \propto \begin{cases} 1 - \gamma_{\parallel} \frac{J^2}{S^2}, & J = O(1) \\ \frac{1}{C_{\parallel}} < 1, & J = O(\sqrt{n}) \\ \frac{S}{|J|}, & J = O(n) \end{cases}$$

Note: Overall numerical factors are dropped!



For small J, string and black hole agree:
$$\propto \frac{J^2}{S^2}$$
!

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For large J, ratio (string) \gg ratio (black hole) \Rightarrow Black hole gets pancaked more than string. (Note: still no self-gravity)

Note: Overall numerical factors are dropped!



For small
$$J$$
, string and black hole agree: $\propto rac{J^2}{S^2}$!

For typical J, ratio (string) \approx ratio (black) at leading order.

For large J, ratio (string) \gg ratio (black hole) \Rightarrow Black hole gets pancaked more than string. (Note: still no self-gravity)

Mismatch beyond small J expected: no adiabatic correspondence but dynamics & non-stationary phases.

Note: Overall numerical factors are dropped!







 $S \propto \hbar^{-1}$ large

(for finite horizon)

 $S \propto n$ *n* large



(for semi-classical angular momentum)

large ħS $J \rightarrow -J$ $\frac{J^2}{S^2}$ semi-classical result

 $\frac{J^2}{n} \propto \frac{J^2}{S^2}$

 $S \propto n$

our result

fundamental strings

n large



BLACK HOLES



 $S \propto \hbar^{-1}$ large (for finite horizon) $S \propto n$ *n* large (for semi-classical large angular momentum) ħS $\frac{J^2}{n} \propto \frac{J^2}{S^2}$ $J \rightarrow -J$ our $\frac{J^2}{S^2}$ result semi-classical result $\frac{J^2}{n^{3/2}} \propto \frac{J^2}{S^3}$ $\frac{\hbar J^2}{(\hbar S)^3}$ our result quantum correction



BLACK HOLES



 $S \propto \hbar^{-1}$ large (for finite horizon) $S \propto n$ *n* large (for semi-classical large ħS angular momentum) $\frac{J^2}{n} \propto \frac{J^2}{S^2}$ $J \rightarrow -J$ our $\frac{J^2}{S^2}$ result semi-classical result $\frac{J^2}{n^{3/2}} \propto \frac{J^2}{S^3}$ $\frac{\hbar J^2}{(\hbar S)^3}$ our result quantum correction $\frac{J^2}{n^{1/2}} \propto \frac{J^2}{S}$ $\frac{\hbar^{-1}J^2}{\hbar S}$ does not appear cannot appear

