

# Can We Observe the Breakdown of Effective Field Theory at Black Hole Horizons?

Daniel Mayerson

Leuven Gravity Institute, KU Leuven  
*daniel.mayerson@kuleuven.be*

*String Theory as a Bridge between Gauge Theory  
and Quantum Gravity*

*Sapienza University, Rome - February 17, 2025*

**KU LEUVEN**



LEUVEN GRAVITY INSTITUTE

# Overview: Three hints of EFT breakdown

- Black hole EFTs vs quantum corrections?
- Fuzzballs and large phase spaces
- Phase spaces and the swampland
- Higher-derivative corrections to (near-)extremal KN

*Current work with Matilda Delgado, Sebastien Reymond, Thomas Van Riet;  
Bogdan Ganchev, Ludovico Machet, Simon Maenaut*

# Black holes: problems and observations

## Theoretical problems:

- Singularity
- Horizon - entropy
- Information paradox

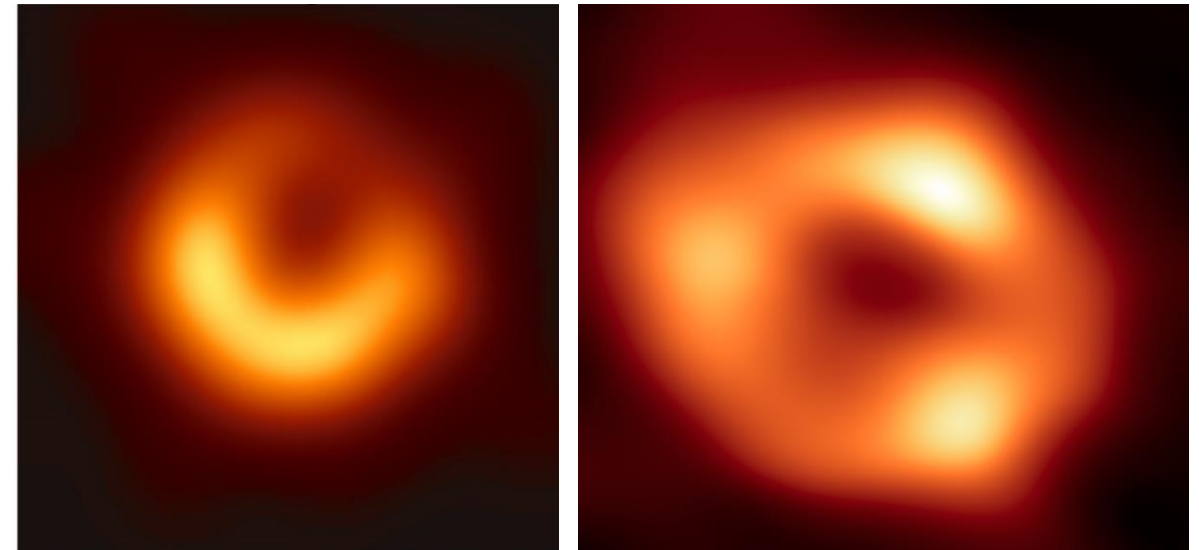
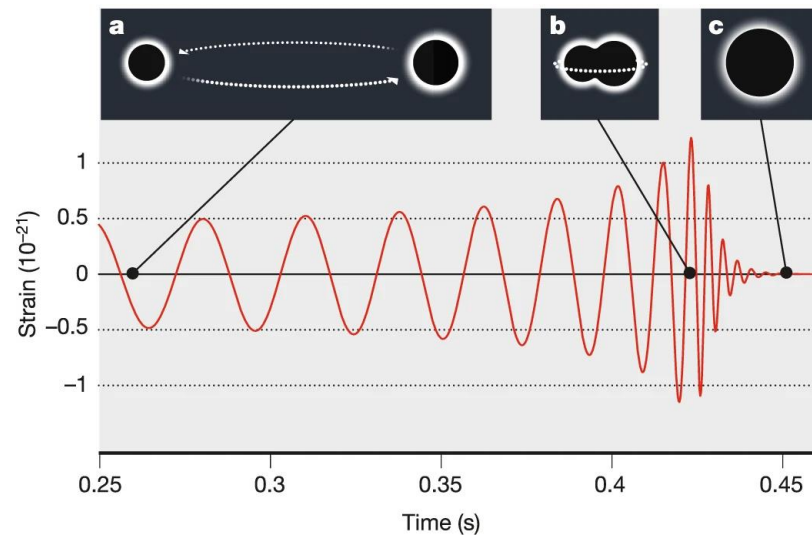
# Black holes: problems and observations

## Theoretical problems:

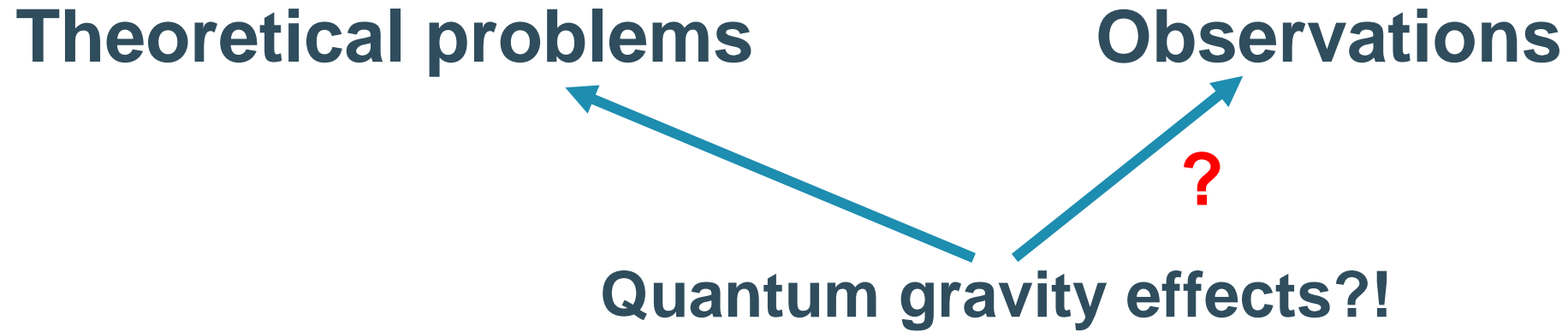
- Singularity
- Horizon - entropy
- Information paradox

## Observations:

- Gravitational waves from mergers
- BH imaging

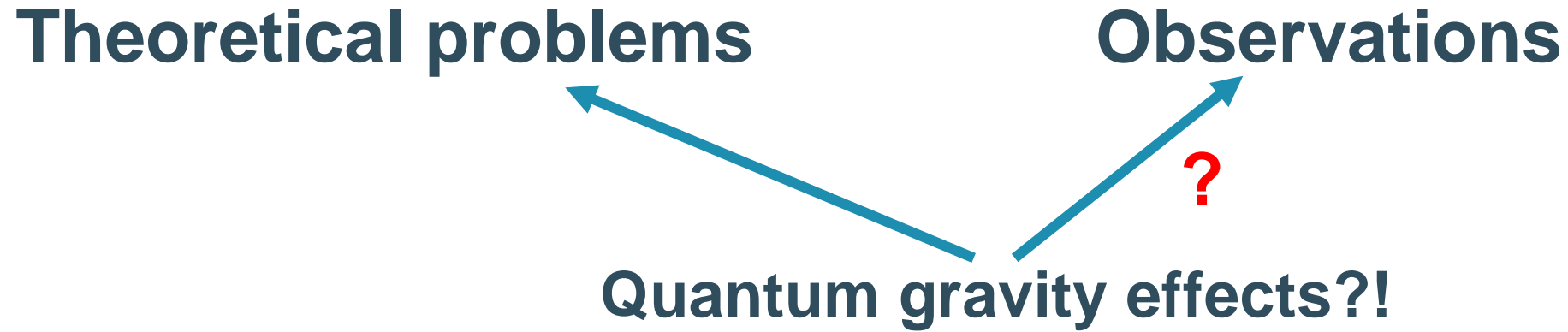


# Black holes: problems and observations



- Can we see QG effects in observations?
- EFT mindset: low curvature – small corrections

# Black holes: problems and observations

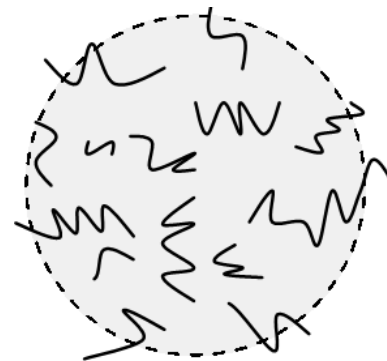
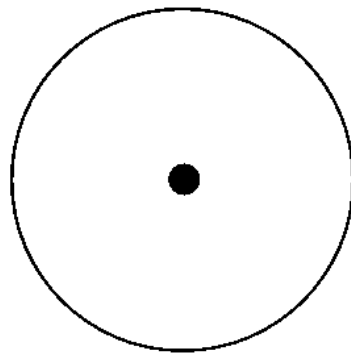


- Can we see QG effects in observations?
- EFT mindset: low curvature – small corrections
- But: EFT breakdown at horizon?

# Black holes: problems and observations

## Microstates – Fuzzballs?

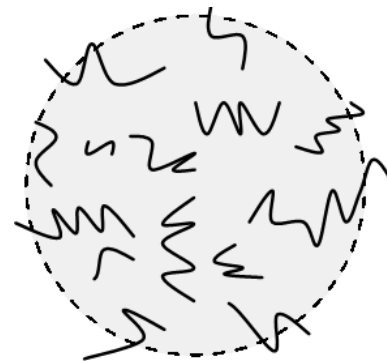
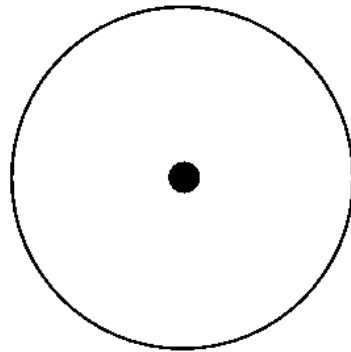
- $\exp(S) = (\# \text{ of microstates}) = (\# \text{ configurations of microstructure})$
- Can we see the microstructure in BH observations?



# Black holes: problems and observations

## Microstates – Fuzzballs?

- $\exp(S) = (\# \text{ of microstates}) = (\# \text{ configurations of microstructure})$
- Can we see the microstructure in BH observations?
- Naïve/immediate answer: **NO**, from statistics
- Typical microstate:  $\langle \Psi | O | \Psi \rangle = \langle \text{BH} | O | \text{BH} \rangle + \mathcal{O}(e^{-S})$   
[Raju, Shrivastava 1804.10616; Balasubramanian+ 0508023, 0701122, 0811.0263]

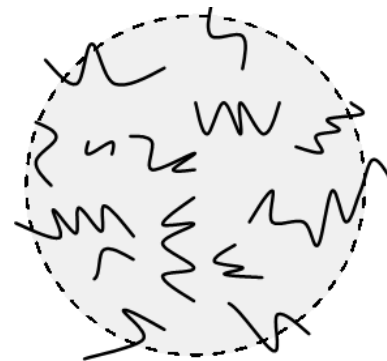
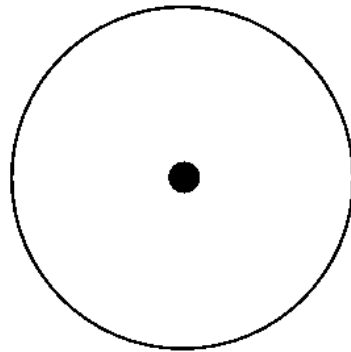




# Black holes: problems and observations

## Microstates – Fuzzballs?

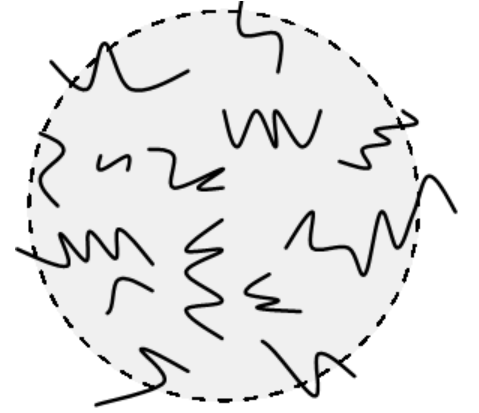
- $\exp(S) = (\# \text{ of microstates}) = (\# \text{ configurations of microstructure})$
- Can we see the microstructure in BH observations?
- Naïve/immediate answer: **NO**, from statistics
- Typical microstate:  $\langle \Psi | O | \Psi \rangle = \langle \text{BH} | O | \text{BH} \rangle + \mathcal{O}(e^{-S})$   
[Raju, Shrivastava 1804.10616; Balasubramanian+ 0508023, 0701122, 0811.0263]
- However: Again breakdown of EFT...?



# Hints of EFT breakdown at the horizon

## Fuzzballs and surprising phase spaces

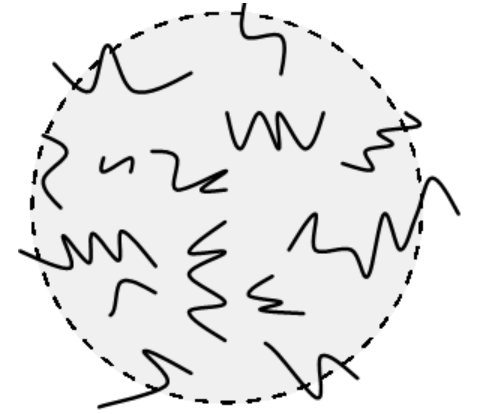
- Remember typical state  $\langle \Psi | O | \Psi \rangle = \langle \text{BH} | O | \text{BH} \rangle + \mathcal{O}(e^{-S})$
- Is there any hope to see quantum effects in BH physics?
- **YES!** Quantum effects  $\leftrightarrow$  explore non-trivial phase space!



# Hints of EFT breakdown at the horizon

## Fuzzballs and surprising phase spaces

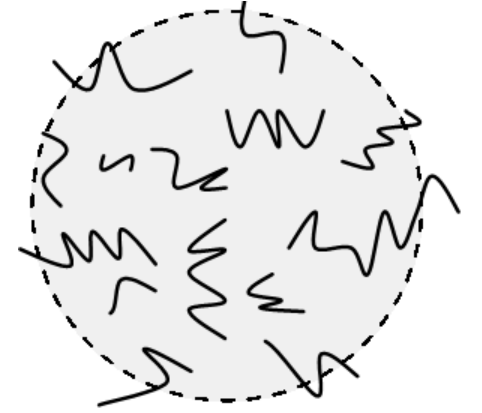
- Remember typical state  $\langle \Psi | O | \Psi \rangle = \langle \text{BH} | O | \text{BH} \rangle + \mathcal{O}(e^{-S})$
- Is there any hope to see quantum effects in BH physics?
- **YES!** Quantum effects  $\leftrightarrow$  explore non-trivial phase space!
  
- Avoid horizon formation in collapse:
  - Quantum tunneling: Huge phase space available to tunnel into!  
[Mathur, Kraus-Mathur 1505.05078; Bena, **DRM**, Puhm, Vercoocke 1512.05376]
  - Individual FB  $\sim e^{-S}$ , but  $\sim e^S$  fuzzballs



# Hints of EFT breakdown at the horizon

## Fuzzballs and surprising phase spaces

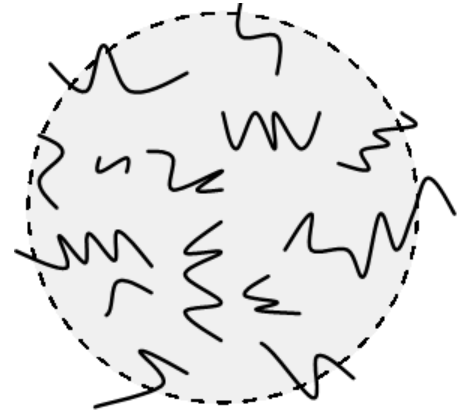
- Remember typical state  $\langle \Psi | O | \Psi \rangle = \langle \text{BH} | O | \text{BH} \rangle + \mathcal{O}(e^{-S})$
- Is there any hope to see quantum effects in BH physics?
- **YES!** Quantum effects  $\leftrightarrow$  explore non-trivial phase space!
- Avoid horizon formation in collapse:
  - Quantum tunneling: Huge phase space available to tunnel into!  
[Mathur, Kraus-Mathur 1505.05078; Bena, **DRM**, Puhm, Vercoocke 1512.05376]
  - Individual FB  $\sim e^{-S}$ , but  $\sim e^S$  fuzzballs
- Lesson: quantum effects  $\leftrightarrow$  explore non-trivial phase space!



# Hints of EFT breakdown at the horizon

## Fuzzballs and surprising phase spaces

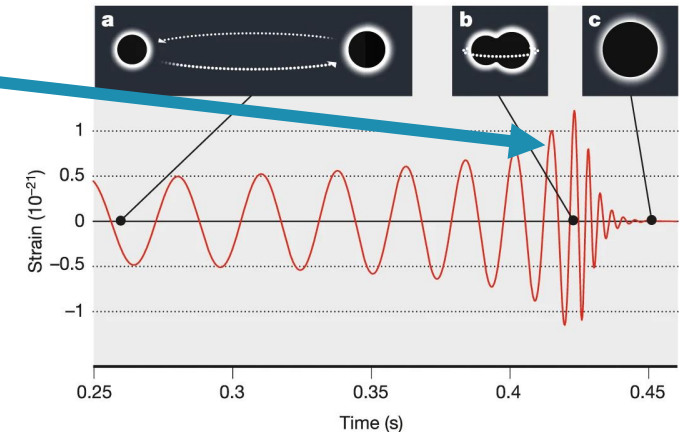
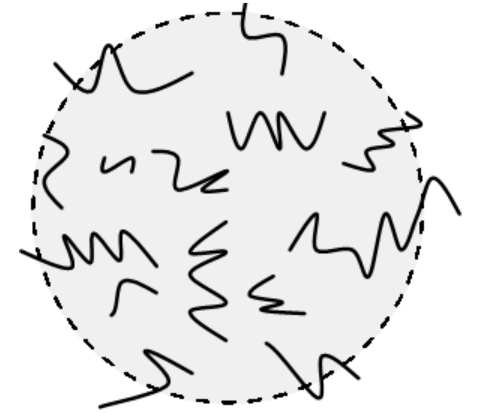
- Quantum effects  $\leftrightarrow$  explore non-trivial phase space!
  - *No effect within a single MG*
- **Mesoscopic observables:** like Brownian jittering particles in fluid  
[DRM, Vercoocke 2306.01565]  
Collective behaviour underlying microscopics



# Hints of EFT breakdown at the horizon

## Fuzzballs and surprising phase spaces

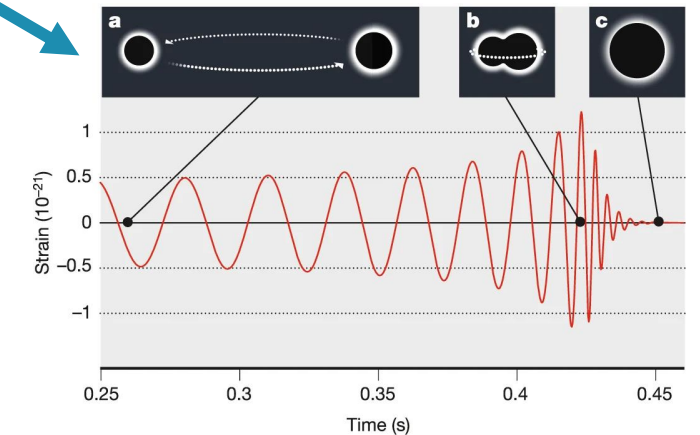
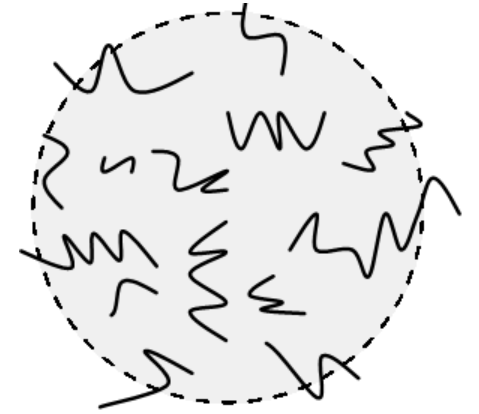
- Quantum effects  $\leftrightarrow$  explore non-trivial phase space!
  - *No effect within a single MG*
- **Mesoscopic observables:** like Brownian jittering particles in fluid  
[DRM, Vercoocke 2306.01565]  
Collective behaviour underlying microscopics
- Example: **Merger effects?**
  - Caught in meta-stable “glassy” state?  
(long-lived, less radiated energy)  
[Charles, DRM 1812.09328]
  - (Quantum) GW “bursts” from tunneling  
[Hertog, Hartle 1704.02123]



# Hints of EFT breakdown at the horizon

## Fuzzballs and surprising phase spaces

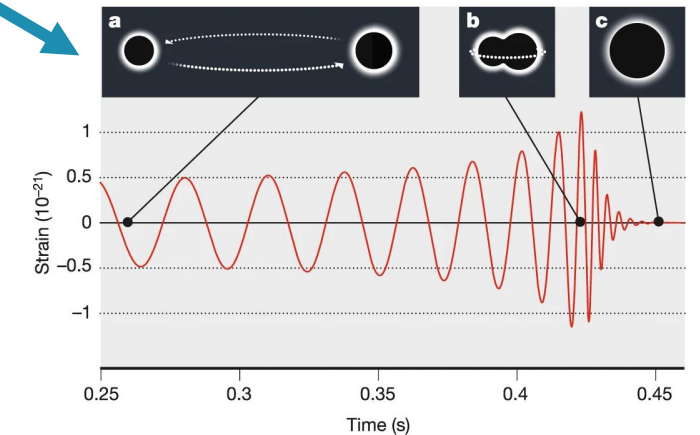
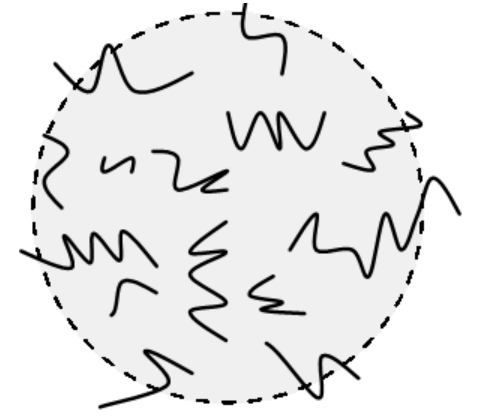
- Quantum effects  $\leftrightarrow$  explore non-trivial phase space!
  - *No effect within a single MG*
- Example: **Tidal Love Number** (deformability)  
[Brustein, Sherf 2008.02738, 2104.06013, +Avitan 2306.00173; see also Kourkoulou, Maldacena 1707.02325]



# Hints of EFT breakdown at the horizon

## Fuzzballs and surprising phase spaces

- Quantum effects  $\leftrightarrow$  explore non-trivial phase space!
  - *No effect within a single MG*
- Example: **Tidal Love Number** (deformability)  
[Brustein, Sherf 2008.02738, 2104.06013, +Avitan 2306.00173; see also Kourkoulou, Maldacena 1707.02325]
- Response function?  $\langle \Psi | O O | \Psi \rangle$
- Remember: must explore phase space!

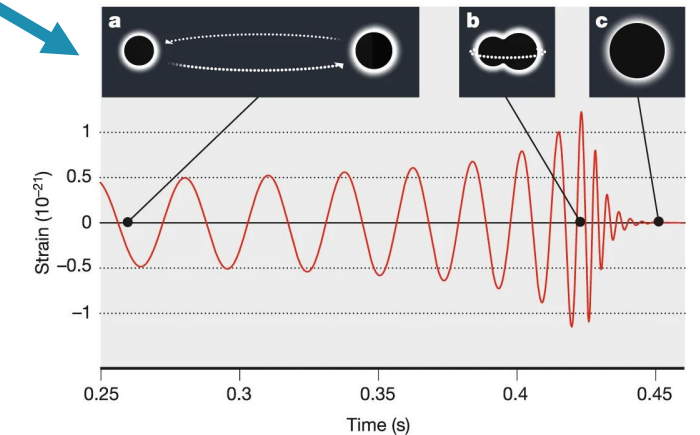
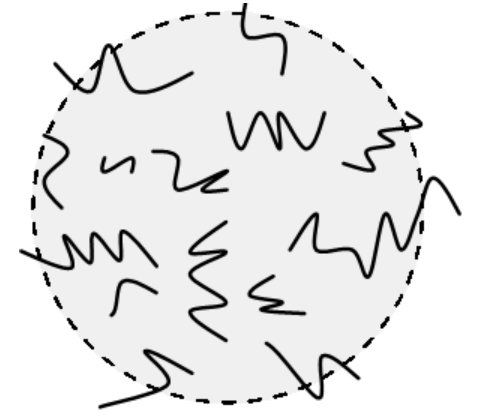




# Hints of EFT breakdown at the horizon

## Fuzzballs and surprising phase spaces

- Quantum effects  $\leftrightarrow$  explore non-trivial phase space!
  - *No effect within a single MG*
- Example: **Tidal Love Number** (deformability)  
[Brustein, Sherf 2008.02738, 2104.06013, +Avitan 2306.00173; see also Kourkoulou, Maldacena 1707.02325]
- Response function?  $\langle \Psi | O O | \Psi \rangle$
- Remember: must explore phase space!
- Not  $\langle \Psi | O O | \Psi \rangle$  but  $\langle \Psi | O O | \Psi' \rangle$
- Indeed: TLNs in ONE typical state  $\langle \Psi | O O | \Psi \rangle \rightarrow 0$   
[Bianchi, Di Russo 2212.07504]



# Hints of EFT breakdown at the horizon

## Phase spaces and the swampland

[Delgado, DRM, Reymond, Van Riet 25xx.xxxxx]

- **Swampland:** “EFT can break down without sign in EFT itself”
- **Magnetic WGC:**  $\Lambda_{UV} \leq gM_{Pl}$

# Hints of EFT breakdown at the horizon

## Phase spaces and the swampland

[Delgado, DRM, Reymond, Van Riet 25xx.xxxxx]

- **Swampland:** “EFT can break down without sign in EFT itself”
- **Magnetic WGC:**  $\Lambda_{UV} \leq g M_{Pl}$
- **Distance conjecture:** (Infinite) field space distance traveled  
~ tower of particles become massless  
~ EFT breaking  $g \sim \exp(\alpha\varphi) \sim m^2$   
 $M_{Pl} \rightarrow M_{Pl}/\sqrt{N}$
- Tested in extremal BHs

# Hints of EFT breakdown at the horizon

## Phase spaces and the swampland

[Delgado, DRM, Reymond, Van Riet 25xx.xxxxx]

- **Swampland:** “EFT can break down without sign in EFT itself”
- **Magnetic WGC:**  $\Lambda_{UV} \leq g M_{Pl}$
- **Distance conjecture:** (Infinite) field space distance traveled  
~ tower of particles become massless  
~ EFT breaking  $g \sim \exp(\alpha\varphi) \sim m^2$   
 $M_{Pl} \rightarrow M_{Pl}/\sqrt{N}$
- Tested in extremal BHs
- **Neutral BHs?** Scalar coupling to fermion condensate?!  $\sim \phi \langle \bar{\psi}\psi \rangle$
- Relevant for primordial BHs at Higgs scale?

# Hints of EFT breakdown at the horizon

## Higher-derivative corrections to (near)-extremal BHs

[Horowitz, Kolanowski, Remmen, Santos 2403.00051; 2303.07358]

- HD corrections in Kerr-Newman  $\int_{\mathcal{M}} d^4x \sqrt{-g} \left( c_6 R^{abcd} F_{ab} F_{cd} + c_7 F_{ab} F^{ab} F_{cd} F^{cd} + c_8 F_{ab} F^{bc} F_{cd} F^{da} \right)$ .

*(Exact near-horizon; numerical otherwise)*

# Hints of EFT breakdown at the horizon

## Higher-derivative corrections to (near)-extremal BHs

[Horowitz, Kolanowski, Remmen, Santos 2403.00051; 2303.07358]

- HD corrections in Kerr-Newman  $\int_{\mathcal{M}} d^4x \sqrt{-g} \left( c_6 R^{abcd} F_{ab} F_{cd} + c_7 F_{ab} F^{ab} F_{cd} F^{cd} + c_8 F_{ab} F^{bc} F_{cd} F^{da} \right)$ .
- Curvature scalars OK
- Tidal forces (Weyl) diverge at horizon!

*(Exact near-horizon; numerical otherwise)*

# Hints of EFT breakdown at the horizon

## Higher-derivative corrections to (near)-extremal BHs

[Horowitz, Kolanowski, Remmen, Santos 2403.00051; 2303.07358]

- HD corrections in Kerr-Newman  $\int_{\mathcal{M}} d^4x \sqrt{-g} \left( c_6 R^{abcd} F_{ab} F_{cd} + c_7 F_{ab} F^{ab} F_{cd} F^{cd} + c_8 F_{ab} F^{bc} F_{cd} F^{da} \right)$ .
- Curvature scalars OK
- Tidal forces (Weyl) diverge at horizon!
- Metric corrections:  $\delta g_{\mu\nu} \sim c_K f^K$

$$f^K \sim f_0^K + f_1^K (r - r_+) + q_1^K (r - r_+) \log(r - r_+) + o[(r - r_+)]$$

*(Exact near-horizon; numerical otherwise)*

# Hints of EFT breakdown at the horizon

## Higher-derivative corrections to (near)-extremal BHs

[Horowitz, Kolanowski, Remmen, Santos 2403.00051; 2303.07358]

- *(Exact near-horizon; numerical otherwise)*
- Extremal: Tidal  $\sim (r - r_+)^{-1}$
- Near-extremal: Tidal at horizon  $\sim T^{-1}$



# Hints of EFT breakdown at the horizon

## Higher-derivative corrections to (near)-extremal BHs

[Horowitz, Kolanowski, Remmen, Santos 2403.00051; 2303.07358]

- *(Exact near-horizon; numerical otherwise)*
- Extremal: Tidal  $\sim (r - r_+)^{-1}$
- Near-extremal: Tidal at horizon  $\sim T^{-1}$
- Metric corrections:  $\delta g_{\mu\nu} \sim c_K f^K$  near-extremal consistent with: *(TBC)*

[Ganchev, Machet, Maenaut, **DRM** 25xx.xxxxx]

$$f^K \sim f_0^K + f_1^K (r - r_+) + q_1^K (r - r_+) \log(r - r_+) + T(\dots) + o[(r - r_+), T^2]$$

Regular

Extremal horizon!

# Hints of EFT breakdown at the horizon

## Higher-derivative corrections to (near)-extremal BHs

[Horowitz, Kolanowski, Remmen, Santos 2403.00051; 2303.07358]

- *(Exact near-horizon; numerical otherwise)*
- Extremal: Tidal  $\sim (r - r_+)^{-1}$
- Near-extremal: Tidal at horizon  $\sim T^{-1}$
- Metric corrections:  $\delta g_{\mu\nu} \sim c_K f^K$  near-extremal consistent with: *(TBC)*

[Ganchev, Machet, Maenaut, **DRM** 25xx.xxxxx]

$$f^K \sim f_0^K + f_1^K (r - r_+) + q_1^K (r - r_+) \log(r - r_+) + T(\dots) + o[(r - r_+), T^2]$$

Regular

Extremal horizon!

- Note: far from extremality – no issues (expansion in  $a/M$ )

[Cano, Ruiperez 1901.01315; Cano, Fransen, Hertog 2005.03671; Cano, Ganchev, **DRM**, Ruiperez 2208.01044; Ma, Pang, Lu, 2411.13639]

# Hints of EFT breakdown at the horizon

## Higher-derivative corrections to (near)-extremal BHs

[Ganchev, Machet, Maenaut, DRM 25xx.xxxxx]

- Metric corrections:  $\delta g_{\mu\nu} \sim c_K f^K$  near-extremal consistent with: (TBC)  
 $f^K \sim f_0^K + f_1^K (r - r_+) + q_1^K (r - r_+) \log(r - r_+) + T(\dots) + o[(r - r_+), T^2]$

- Prescription: tidal  $\sim d_K q^2 (r - r_+)^{-1}$

HD scale

KN charge/mass

Extremal horizon!

# Hints of EFT breakdown at the horizon

## Higher-derivative corrections to (near)-extremal BHs

[Ganchev, Machet, Maenaut, DRM 25xx.xxxxx]

- Metric corrections:  $\delta g_{\mu\nu} \sim c_K f^K$  near-extremal consistent with: (TBC)  
 $f^K \sim f_0^K + f_1^K (r - r_+) + q_1^K (r - r_+) \log(r - r_+) + T(\dots) + o[(r - r_+), T^2]$

- Prescription: tidal  $\sim d_K q^2 (r - r_+)^{-1}$   

HD scale

KN charge/mass

Extremal horizon!

- “Feel” this?
  - Need orbit near ISCO/horizon
  - How near to extremal can physical BH be?

# Hints of EFT breakdown at the horizon

## Higher-derivative corrections to (near)-extremal BHs

[Ganchev, Machet, Maenaut, DRM 25xx.xxxxx]

- Tidal forces  $\sim d_K q^2 (r - r_+)^{-1}$ 
  - HD scale  $\rightarrow$
  - KN charge/mass  $\rightarrow$
  - Extremal horizon!  $\rightarrow$
- EMRIs!

# Hints of EFT breakdown at the horizon

## Higher-derivative corrections to (near)-extremal BHs

[Ganchev, Machet, Maenaut, DRM 25xx.xxxxx]

- Tidal forces  $\sim d_K q^2 (r - r_+)^{-1}$

HD scale

KN charge/mass

Extremal horizon!

- EMRIs!
  - Primary near-extremal KN
  - Secondary  $\sim$  NS (large TLN)
  - GW Fluxes  $\sim$  non-corrected, near-extremal Kerr (i.e. small charge)

# Hints of EFT breakdown at the horizon

## Higher-derivative corrections to (near)-extremal BHs

[Ganchev, Machet, Maenaut, DRM 25xx.xxxxx]

- Tidal forces  $\sim d_K q^2 (r - r_+)^{-1}$

HD scale

KN charge/mass

Extremal horizon!

- EMRIs!
  - Primary near-extremal KN
  - Secondary  $\sim$  NS (large TLN)
  - GW Fluxes  $\sim$  non-corrected, near-extremal Kerr (i.e. small charge)
- Dephasing  $\sim$  compare to other HD scale bounds  $\sim$  **100m** (*in progress...*)

# Summary

- Fuzzballs and large phase spaces
  - (Mesoscopic) observables must probe non-trivial phase space
  - Merger effects? Tidal effects?
- Phase spaces and the swampland
- Higher-derivative corrections to (near-)extremal KN
  - EMRIs around near-extremal BH can probe HD scale
- Black holes EFTs vs quantum corrections...?  
**To be continued...**