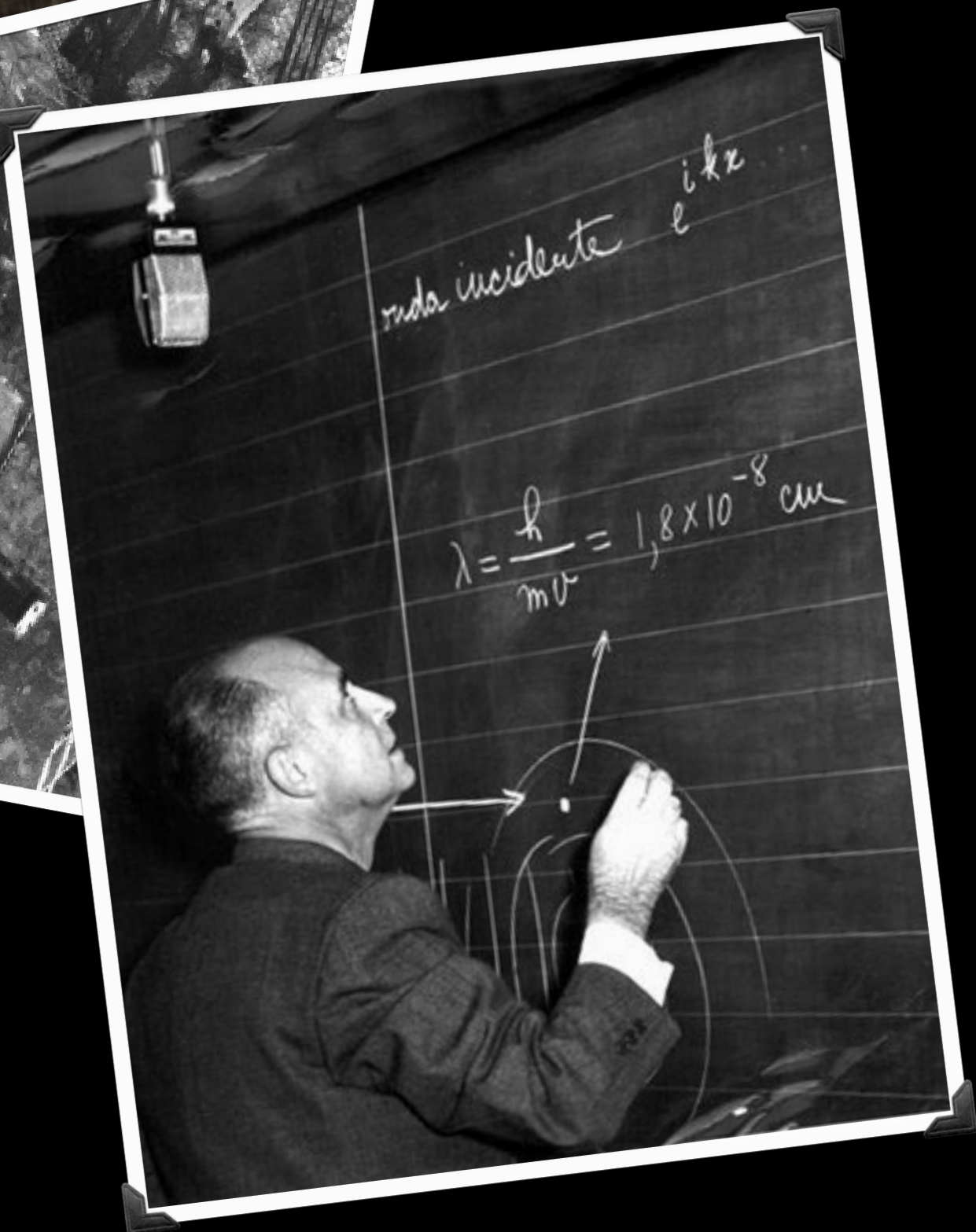
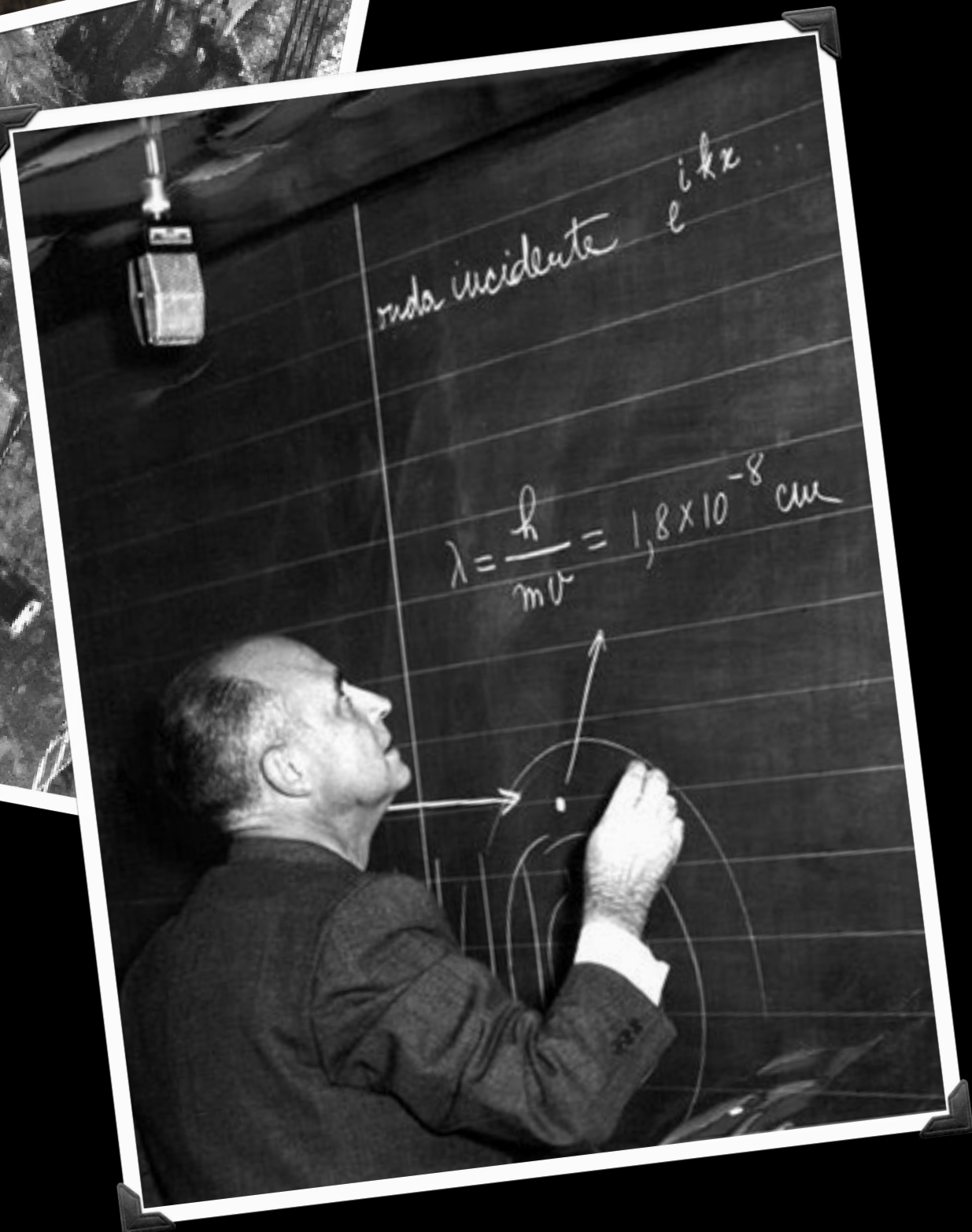






Weighting Neutrinos

MAYORANA Summer School

June 20th 2025

Joseph A. Formaggio
MIT

A myriad of experiments later
showed that neutrinos indeed
oscillate

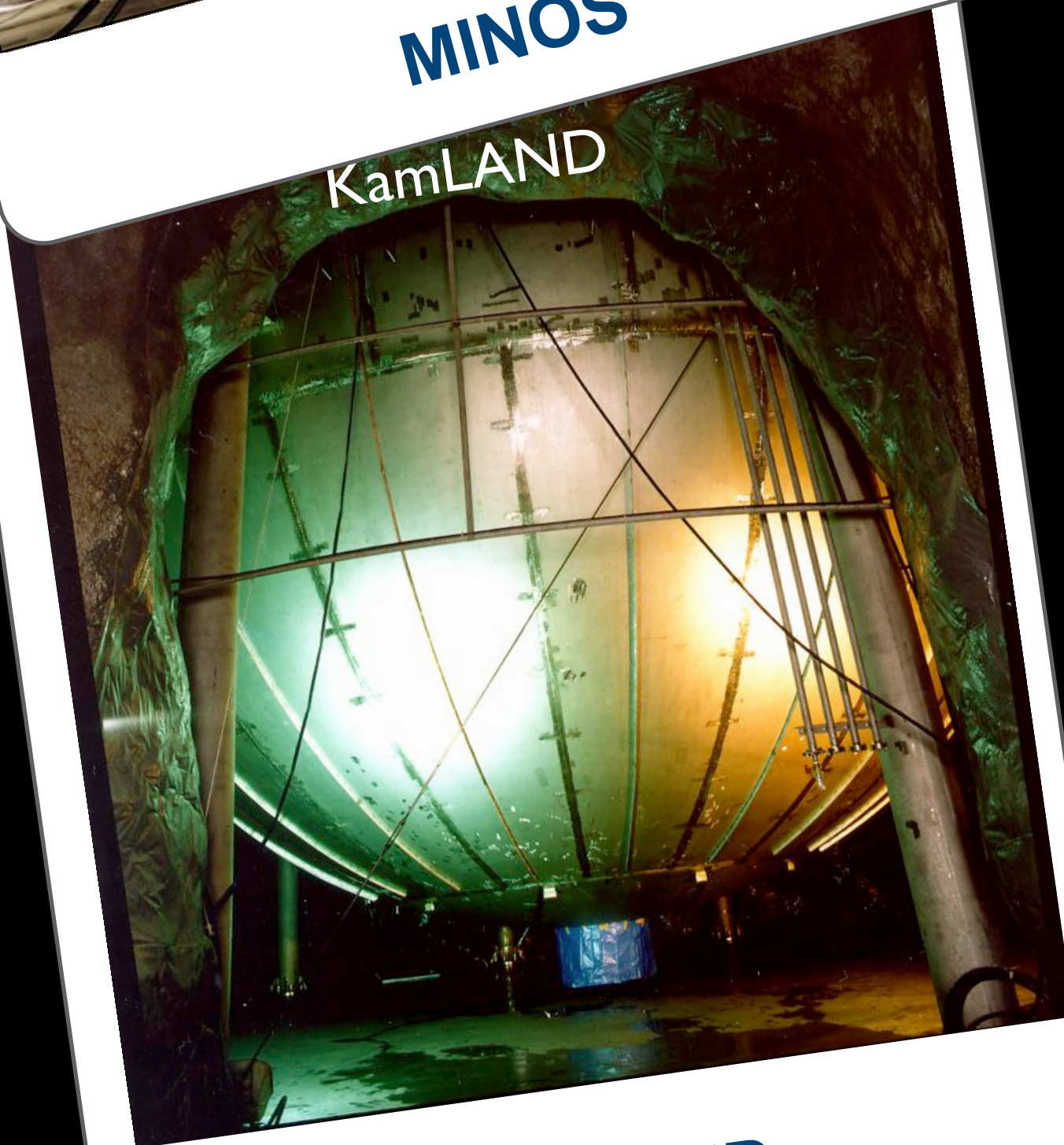


Ray Davis Jr., Homestake

A myriad of experiments later
showed that neutrinos indeed
oscillate



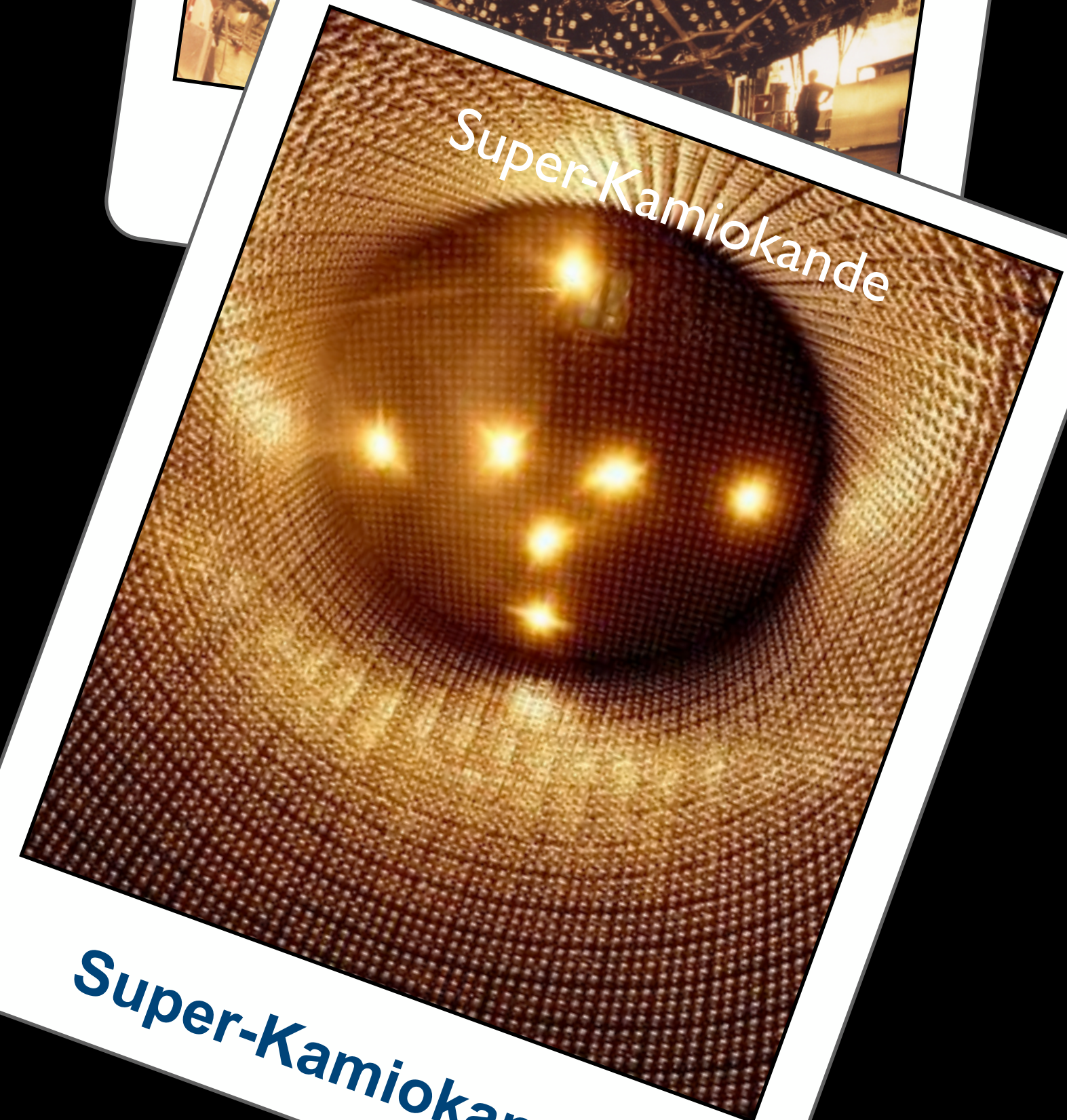
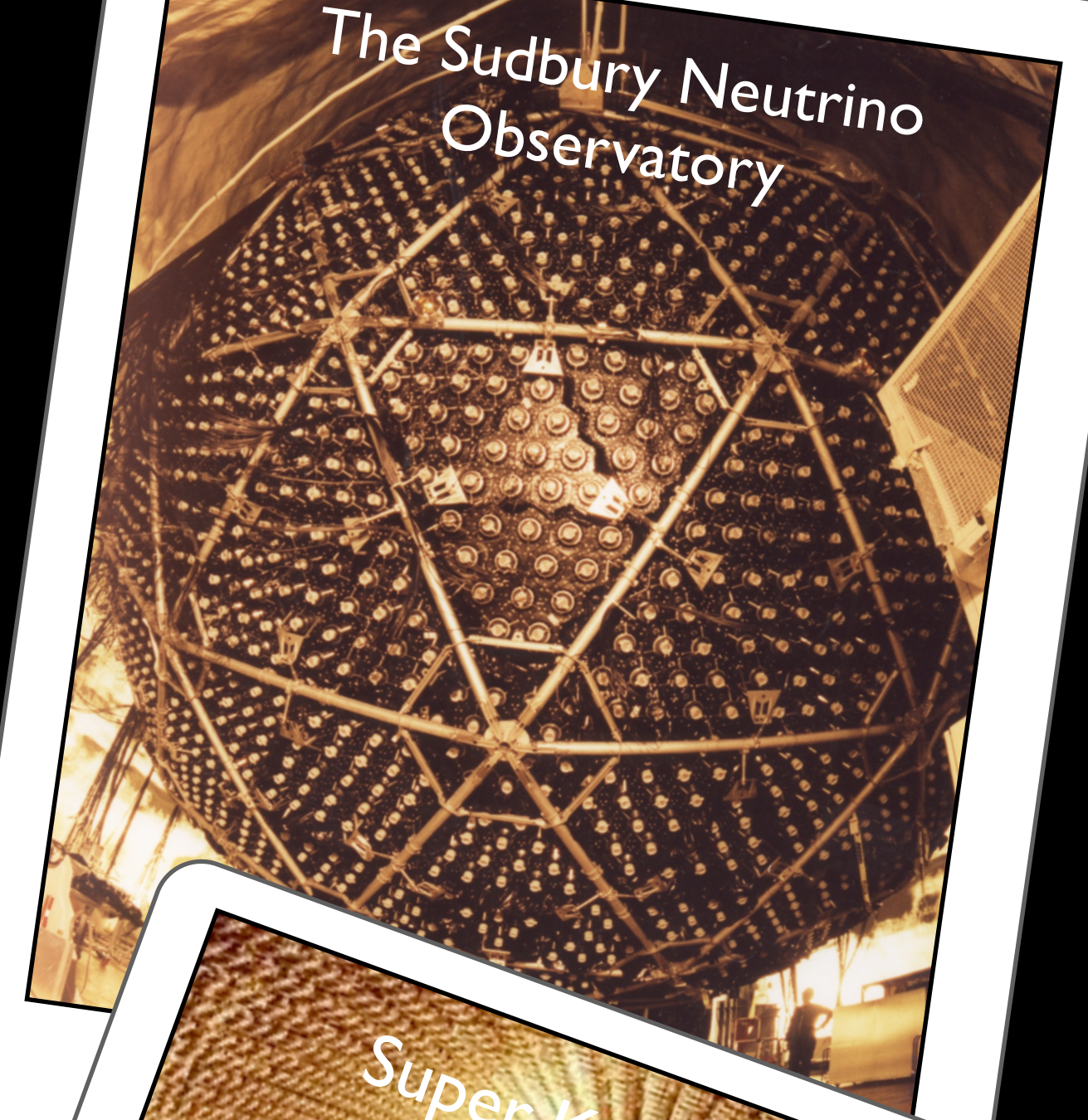
MINOS



KamLAND



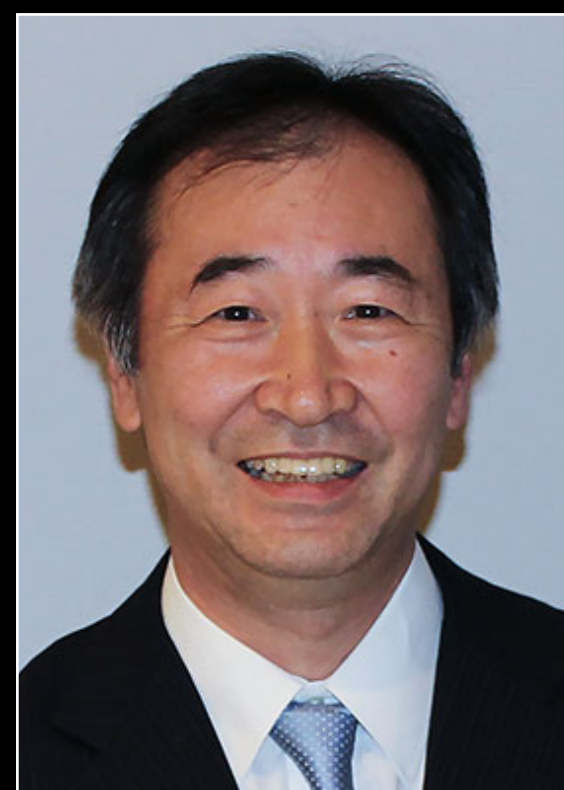
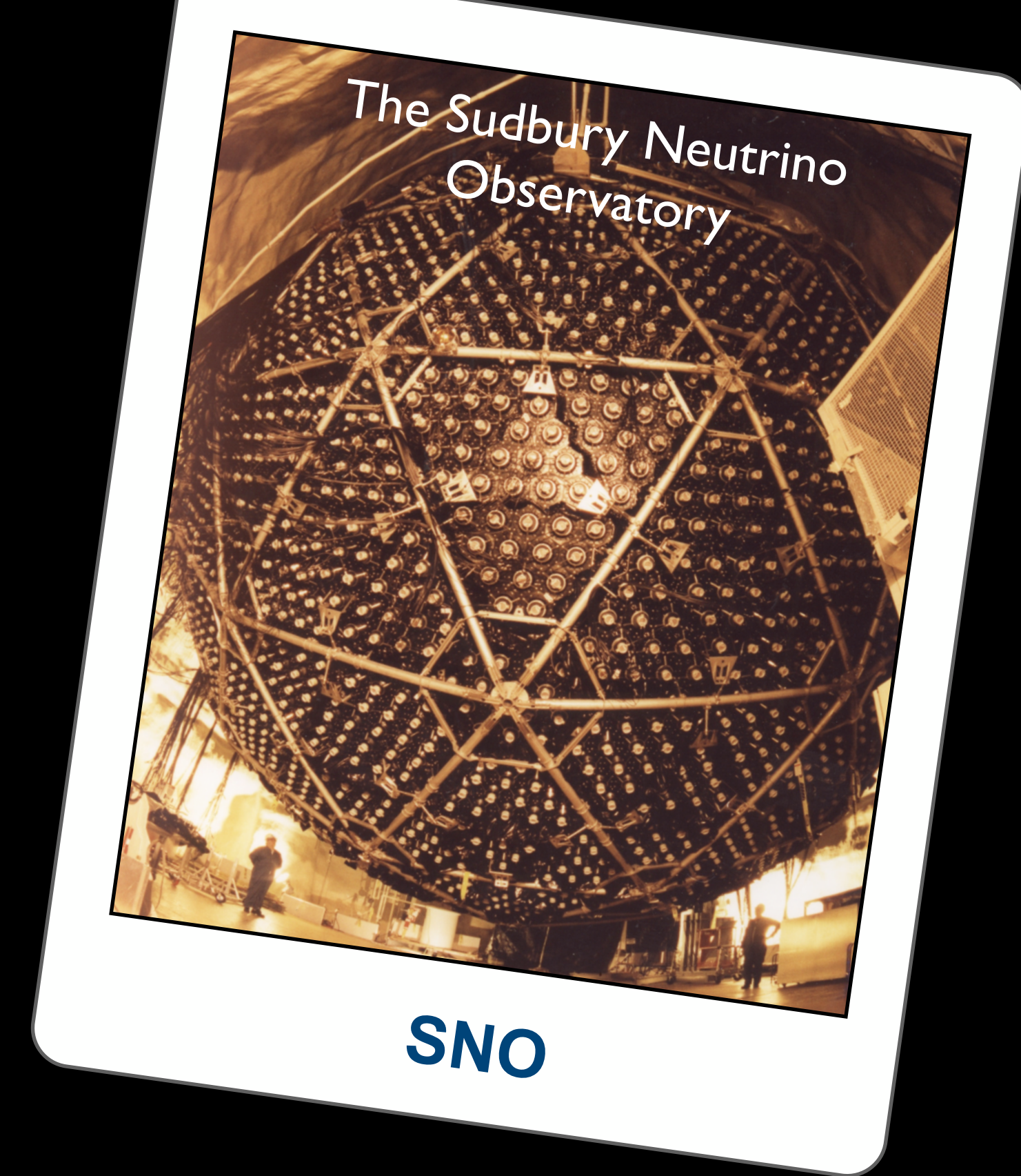
Ray Davis Jr., Homestake



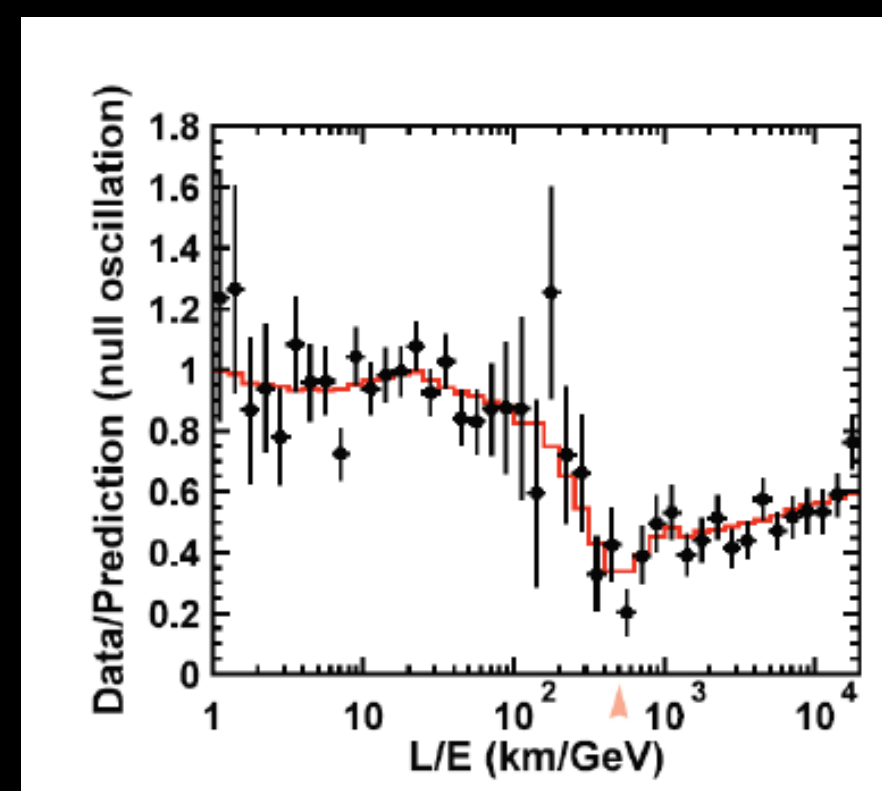
Super-Kamiokande



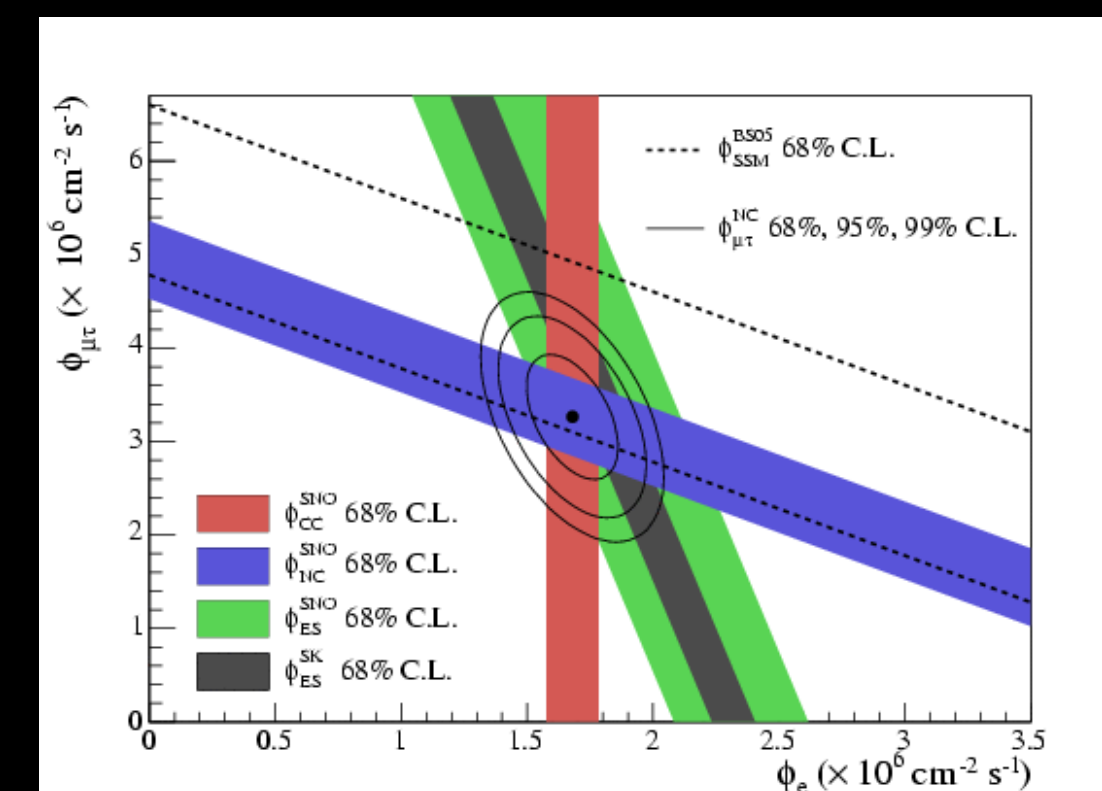
Neutrino oscillations
(And by fiat neutrino
masses) are now firmly
established departures
from the original
prediction of the
Standard Model.



Takaaki Kajita
(Super-Kamiokande)



So, what else can we
learn from the fact that
neutrinos have mass?

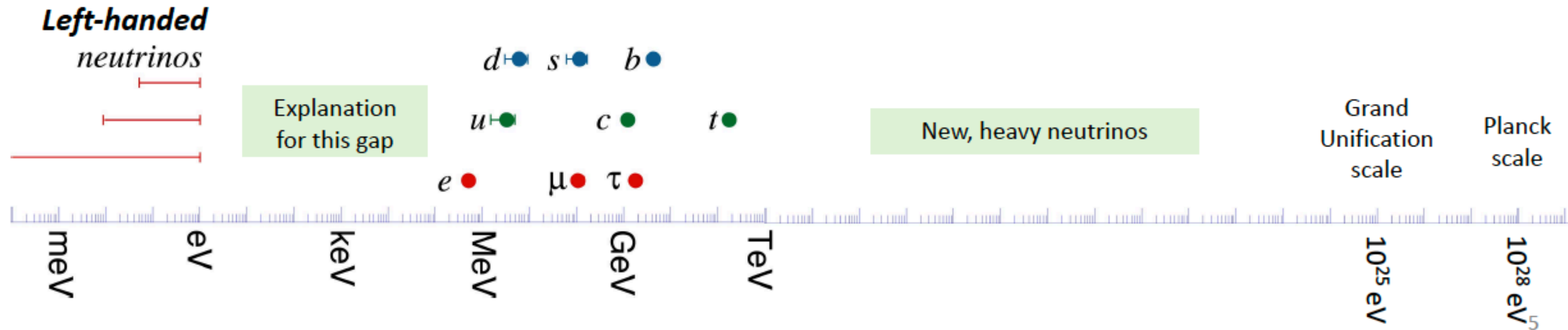


Arthur B. McDonald
(Sudbury Neutrino Observatory)

Are they massive the same as other particles?

Are they massive the same as other particles?

No, definitely not.



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Well, surely it's the same mechanism (something about the Higgs maybe)?

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Jury's out on that.

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Ok, surely you can tell me how much they weigh?

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Jury's out on that.

Ok, surely you can tell me how much they weigh?

Well...

Neutrino oscillations
can only tell us about
neutrino mass
differences

Not the mass scale
itself.

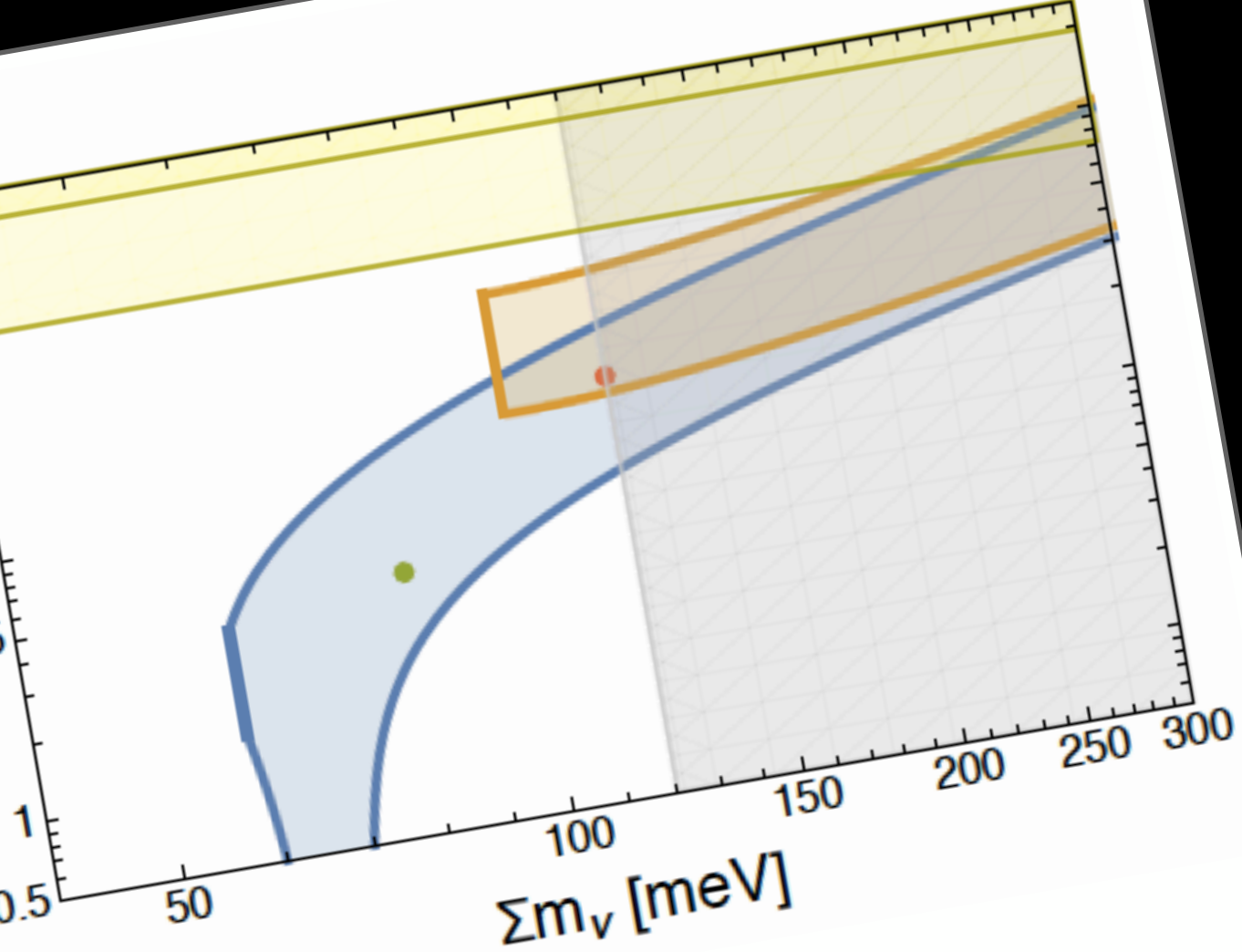
Neutrino oscillations
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neutrino mass
differences

Not the mass scale
itself.



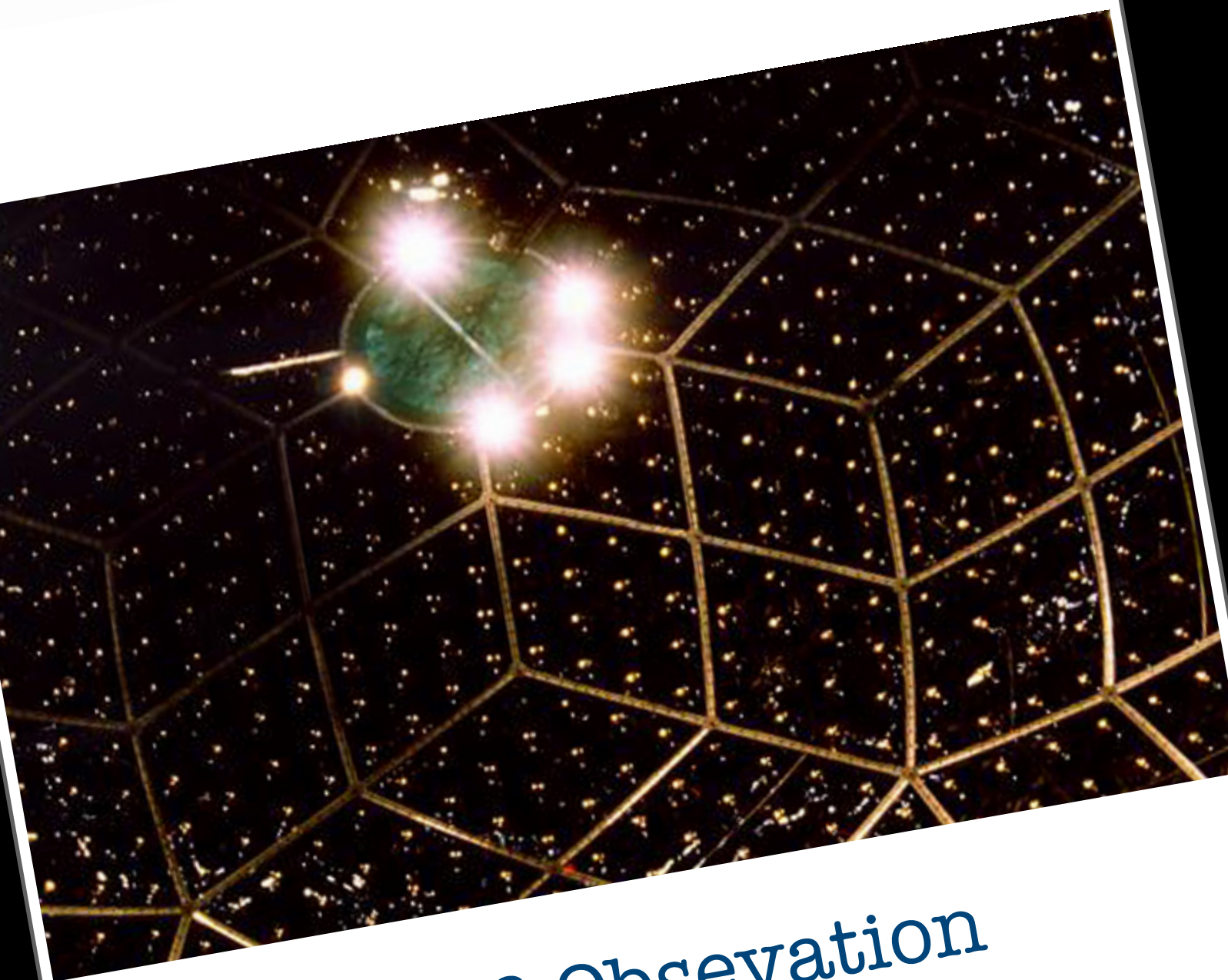
*ChatGPT's version of "weighing neutrinos"

For that, you need a different method.



There are other ways to
measure the mass scale.

You can take advantage of
the neutrinos from the early
universe to constrain masses
(cosmology)

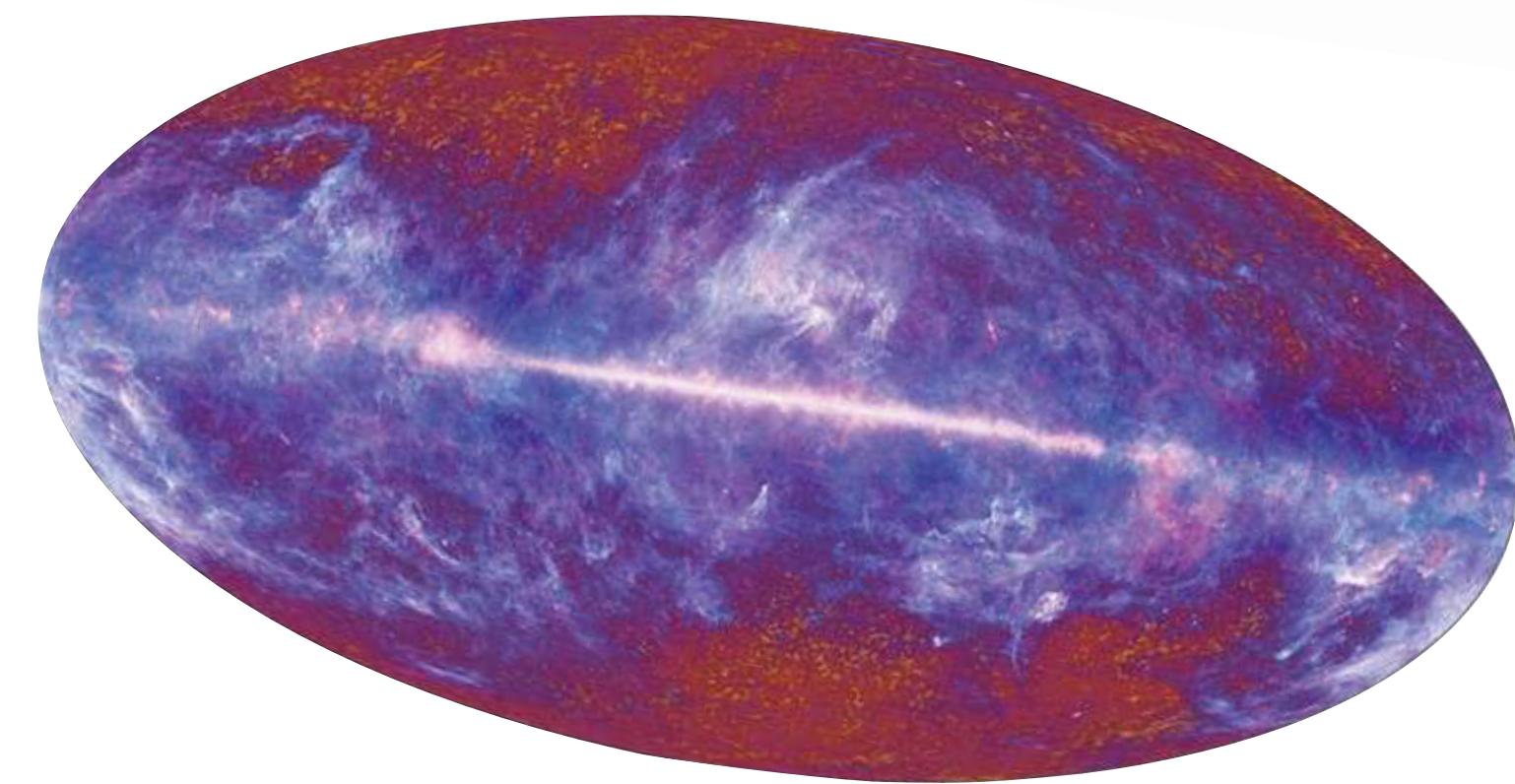
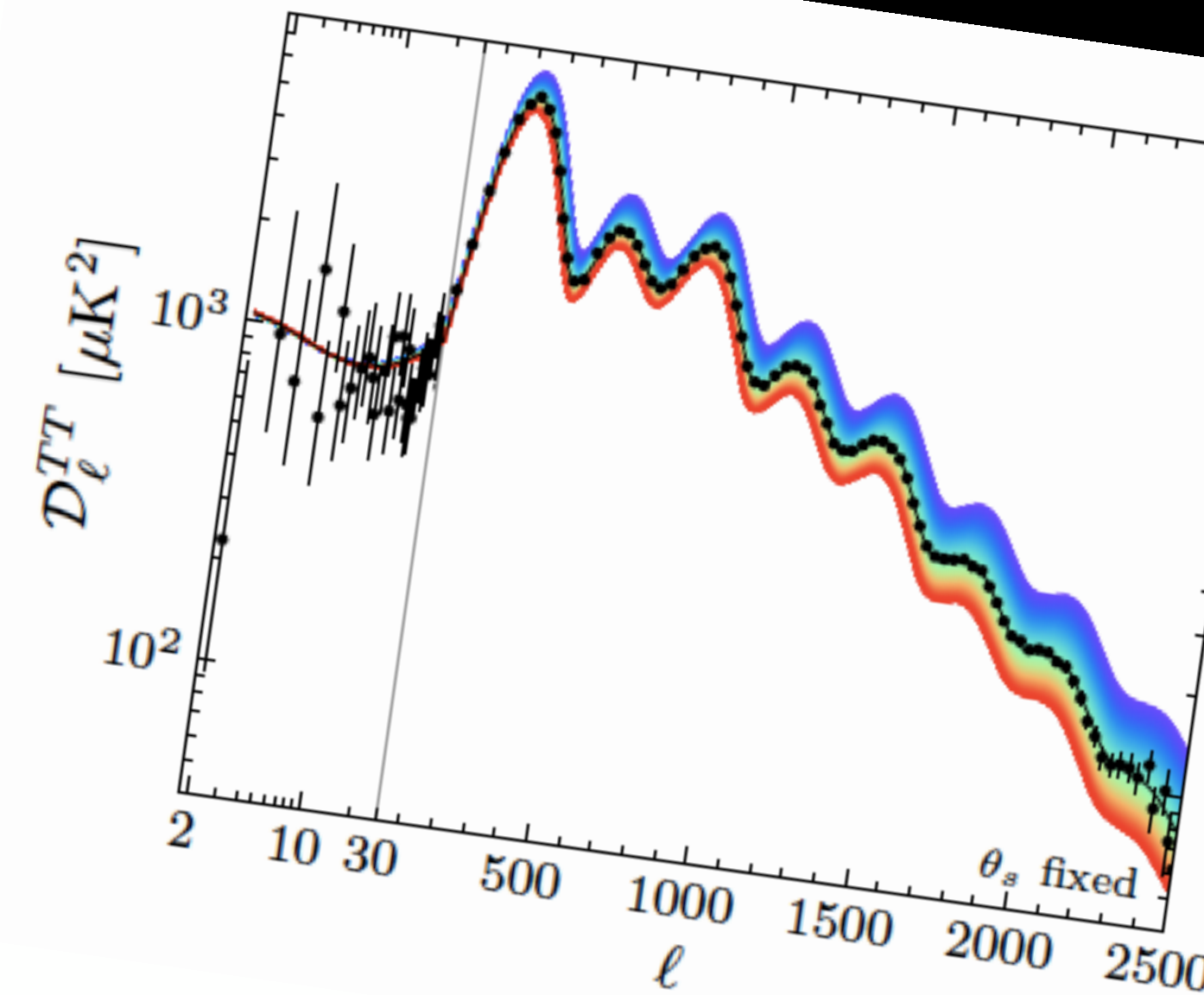


$0\nu\beta\beta$ Observation

Or look at extremely rare
decay processes
($0\nu\beta\beta$)

However, these all carry
model dependencies.

These are indirect methods



Cosmological Constraints

A better method would
hone into what it actually
means to have mass.

That means taking advantage of the dispersion
relation between **energy** and **momentum**.

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hone into what it actually
means to have mass.

$$E \stackrel{?}{=} p c$$

That means taking advantage of the dispersion
relation between **energy** and **momentum**.



First suggested by Francis Perrin in 1933

“On peut essayer de déduire de la forme des spectres continus d’émission une indication sur la valeur de cette masse inconnue...”

[One could attempt to deduce from the shape of the continuous emission spectra an indication of the value of this unknown mass...]



First suggested by Francis Perrin in 1933

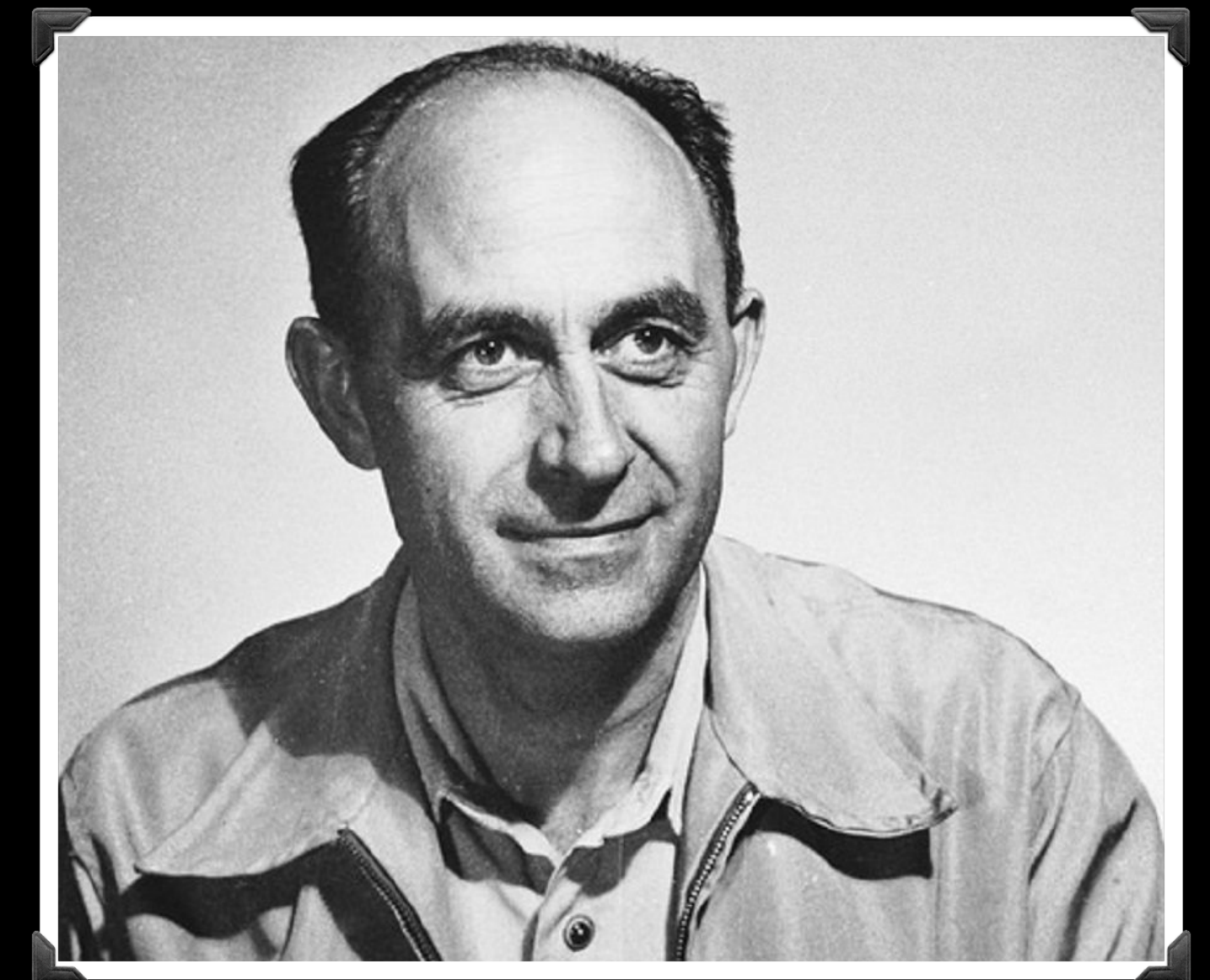
“On peut essayer de déduire de la forme des spectres continus d’émission une indication sur la valeur de cette masse inconnue...”

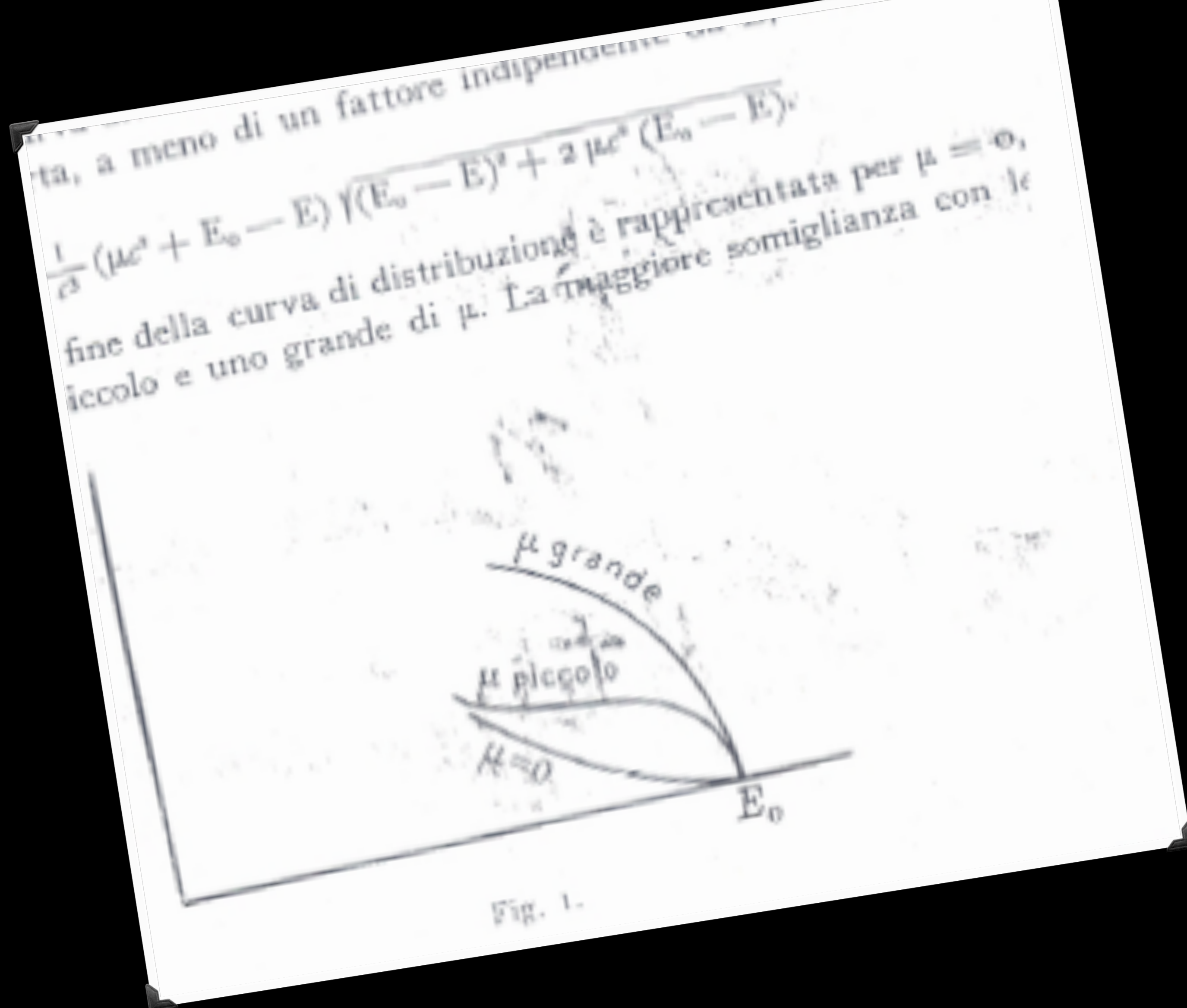
[One could attempt to deduce from the shape of the continuous emission spectra an indication of the value of this unknown mass...]

Enrico Fermi independently came to the same conclusion in his seminal 1934 paper on weak decay.

“Arriviamo così a concludere che la massa del neutrino è uguale a zero o, in ogni caso, piccola in confronto della massa dell’elettrone ($\sim$) ...”

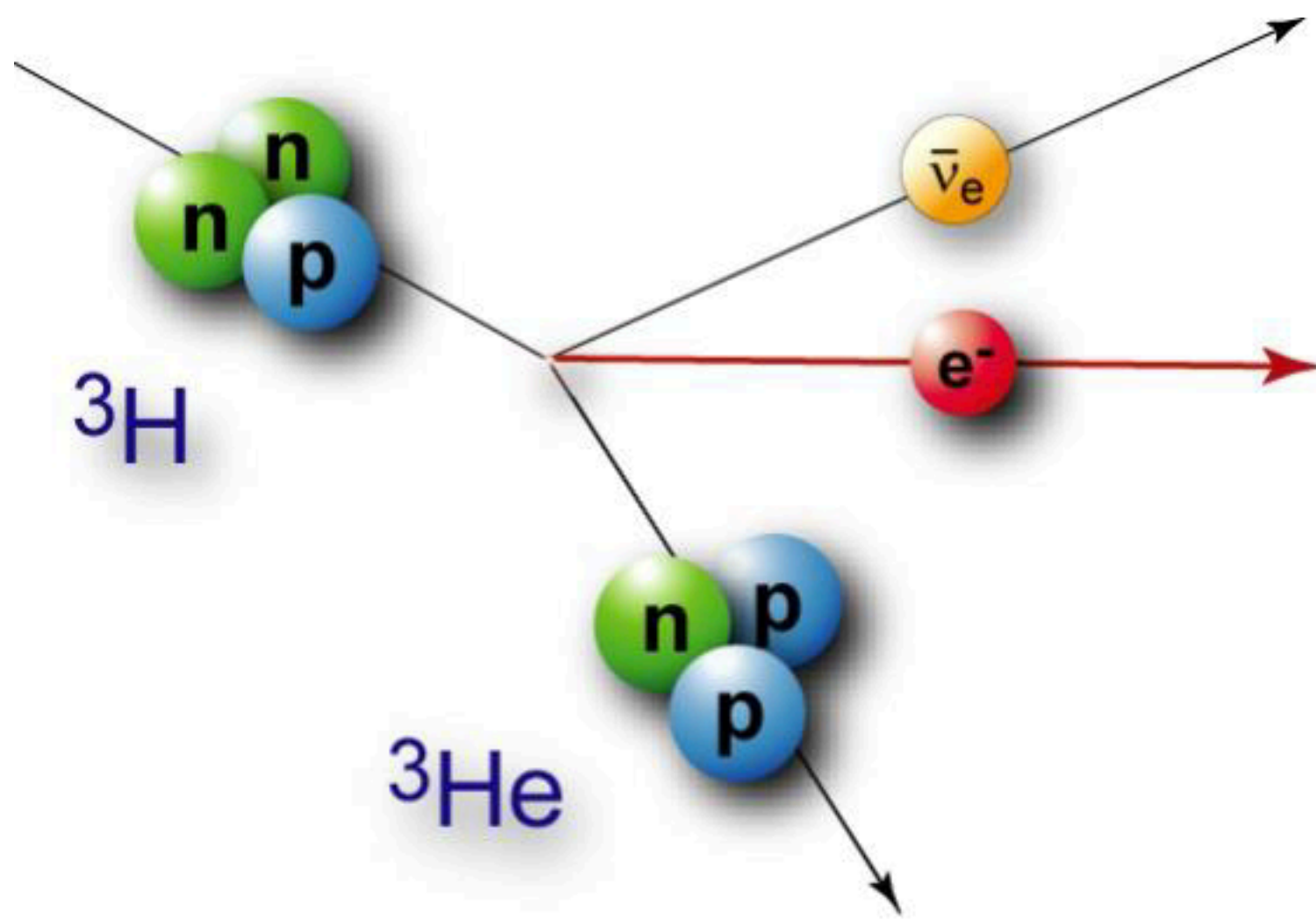
[We thus conclude that the mass of the neutrino is equal to zero or, in any case, small enough in comparison to the mass of the electron.]





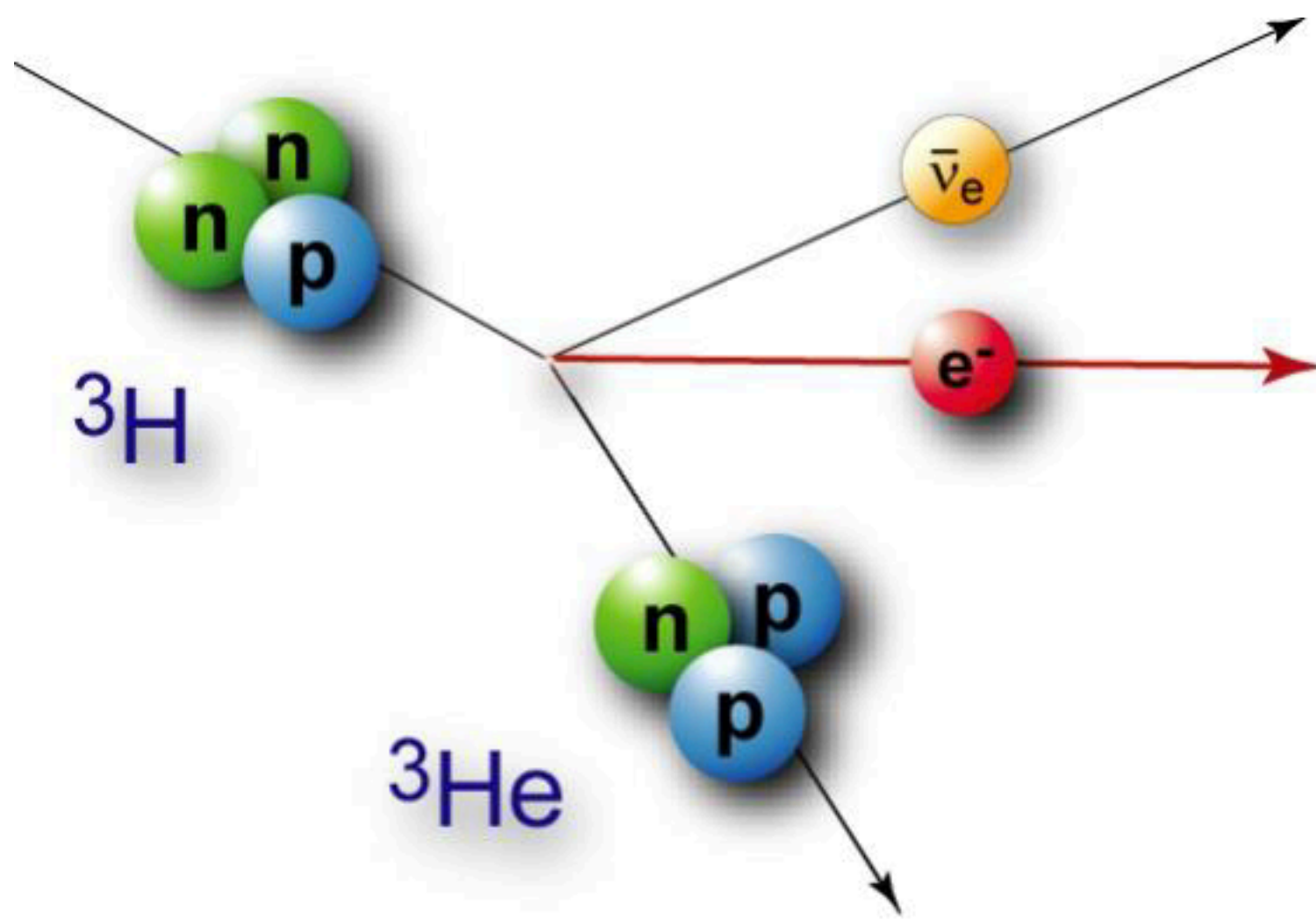
In his paper,
Fermi already sketches
out how to do this.

The Basic Idea...

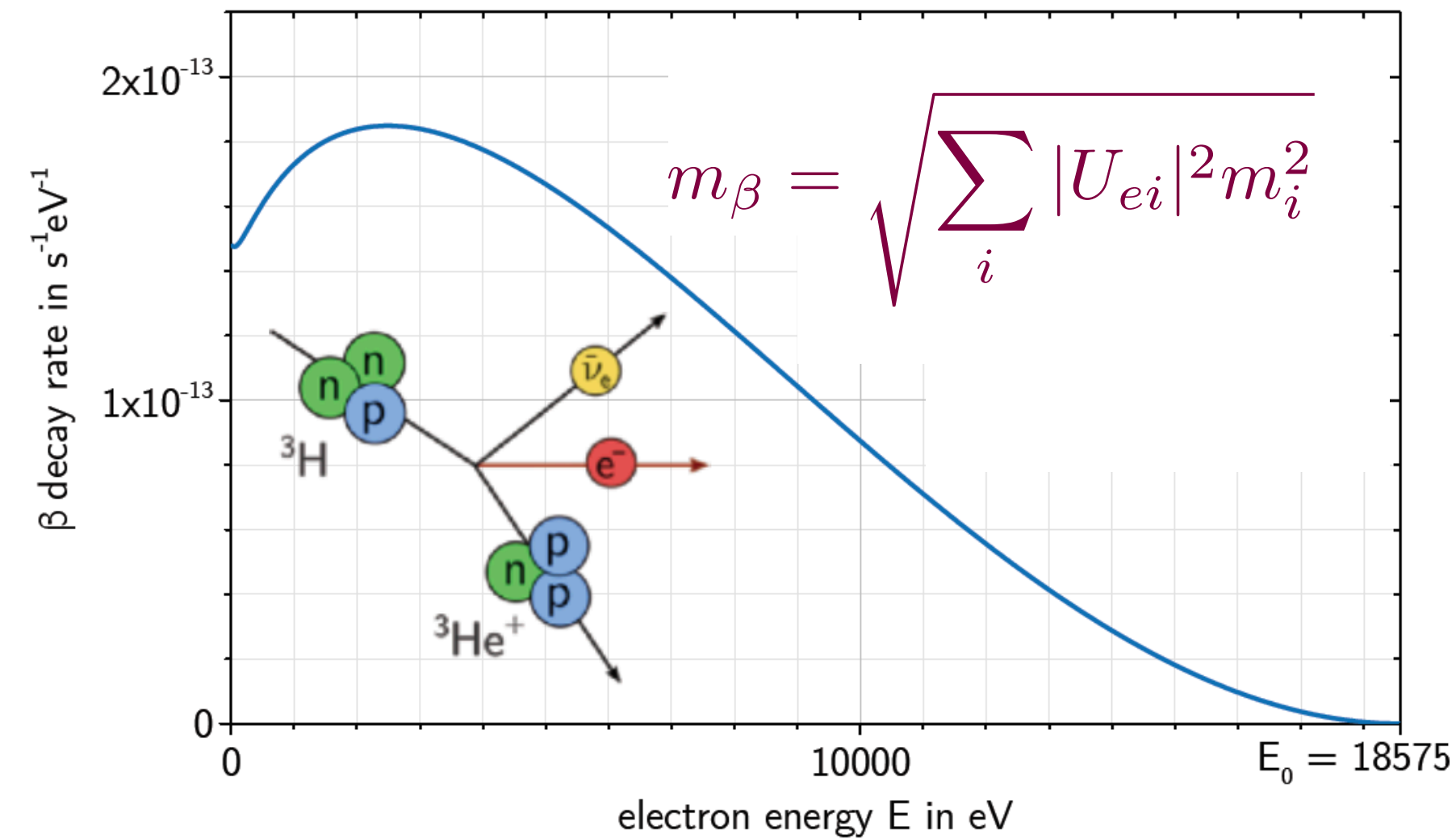


Start with a radioactive
isotope
(My favorite, tritium)

The Basic Idea...

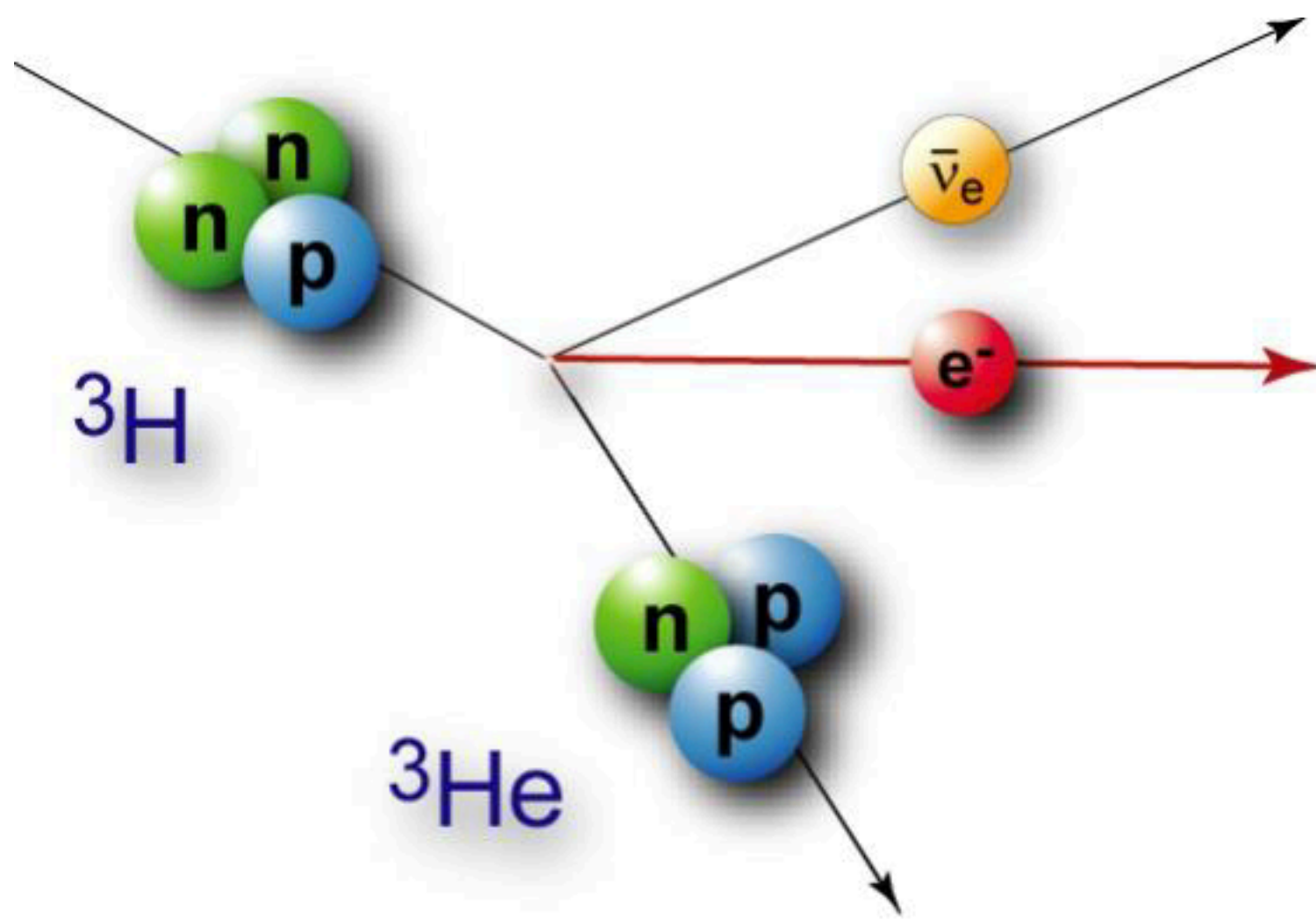


Start with a radioactive
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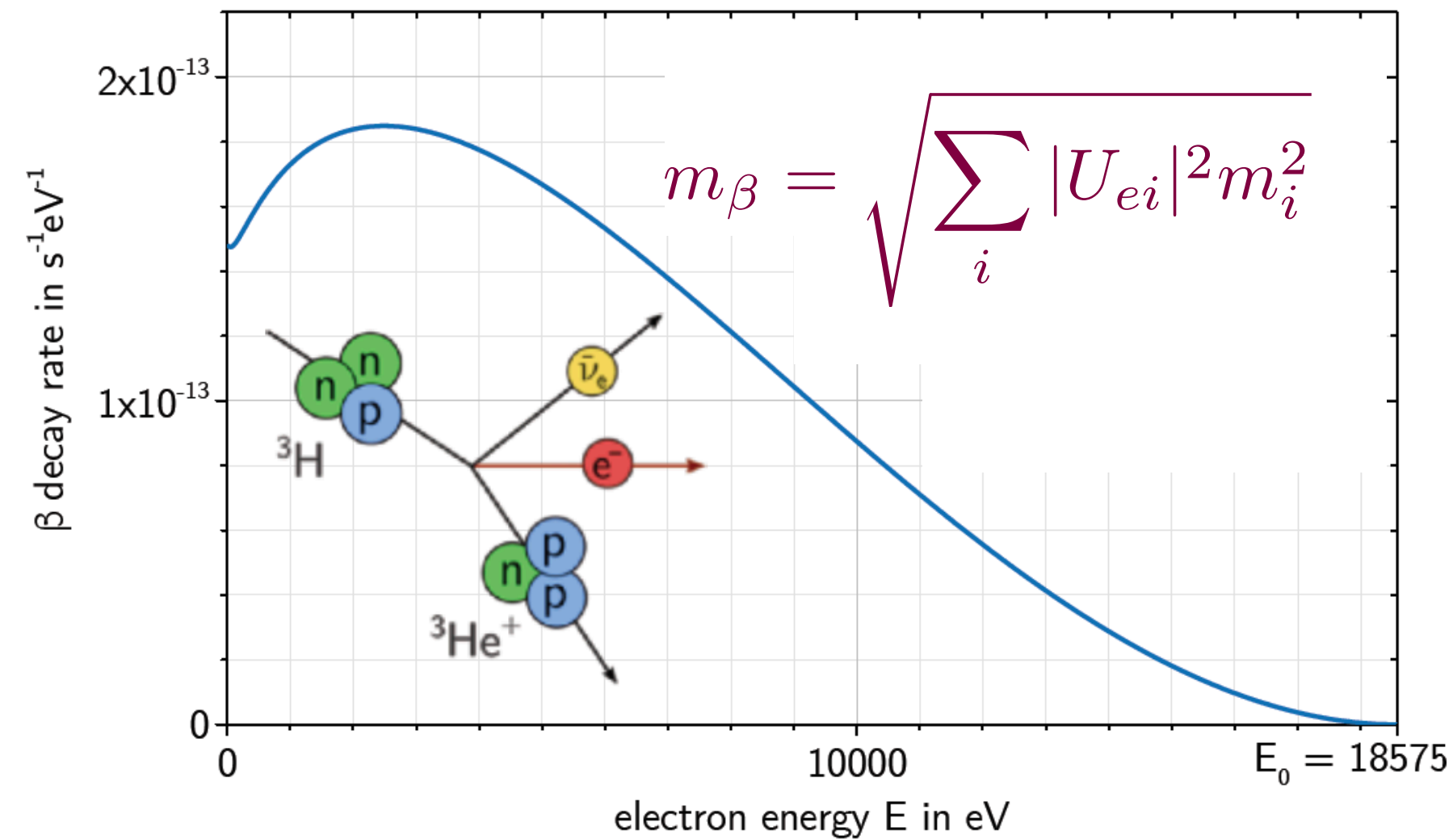


Then measure the outgoing
electron's energy

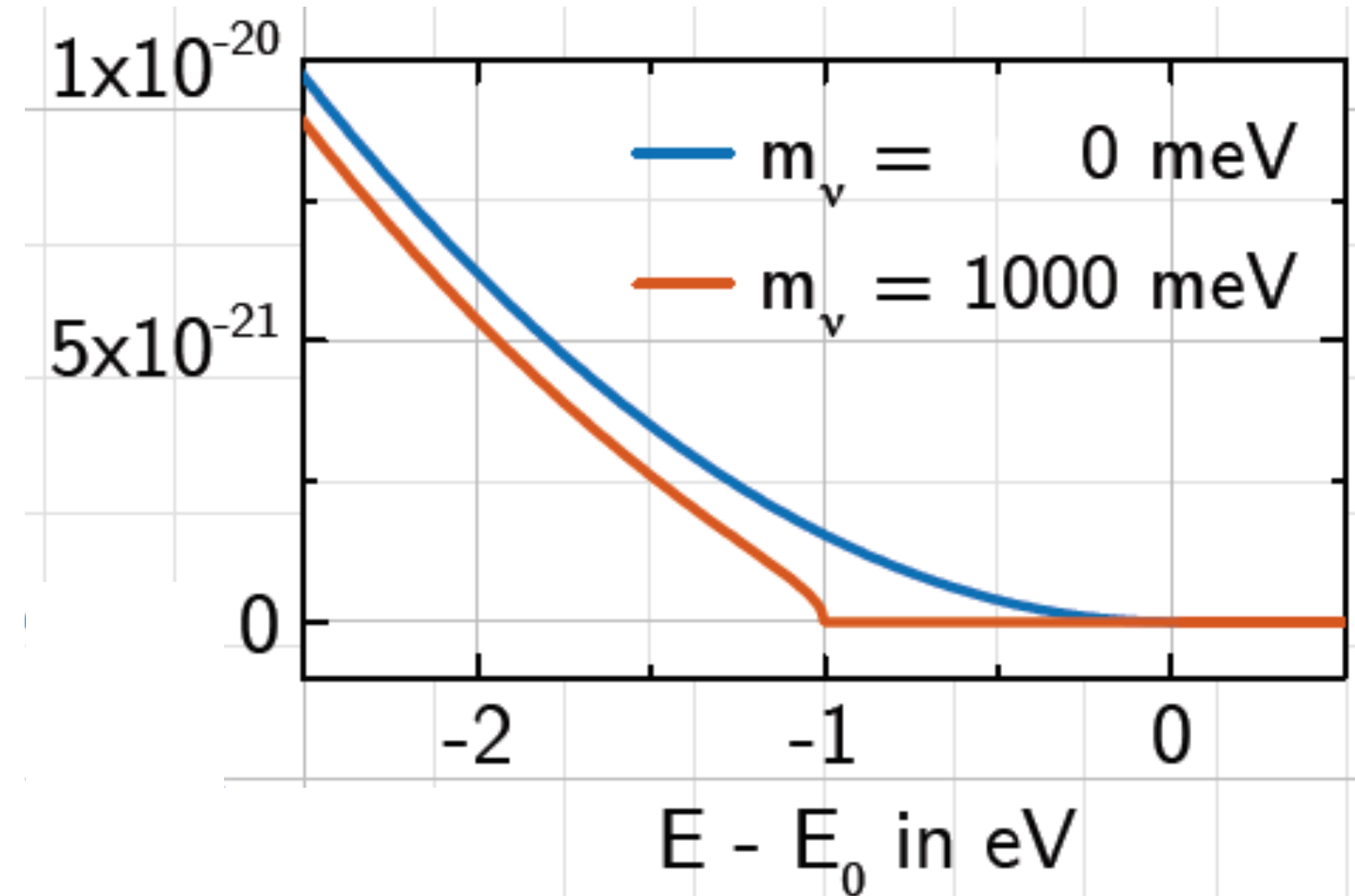
The Basic Idea...



Start with a radioactive isotope
(My favorite, tritium)



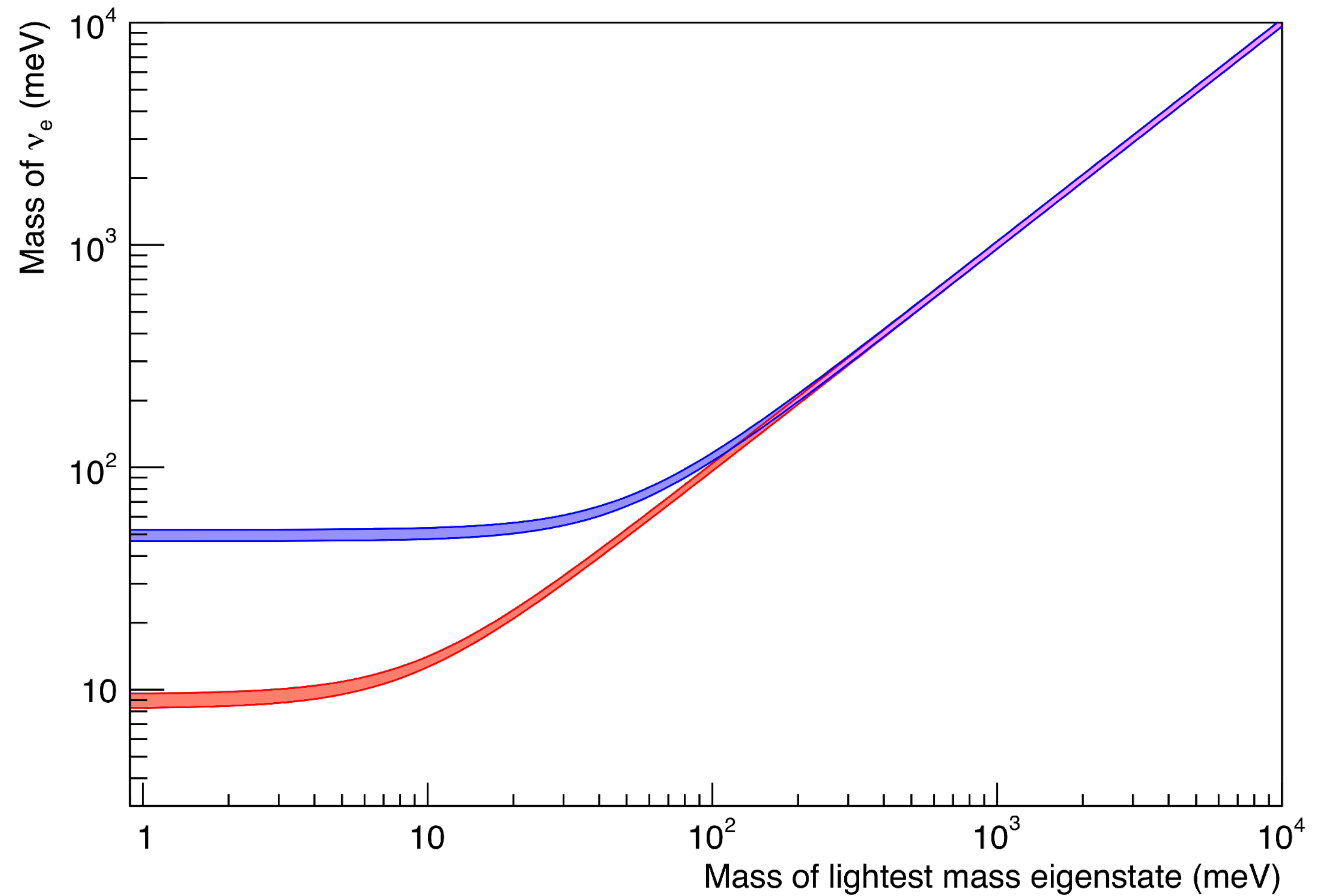
Then measure the outgoing electron's energy



Really zoom in at its maximum energy

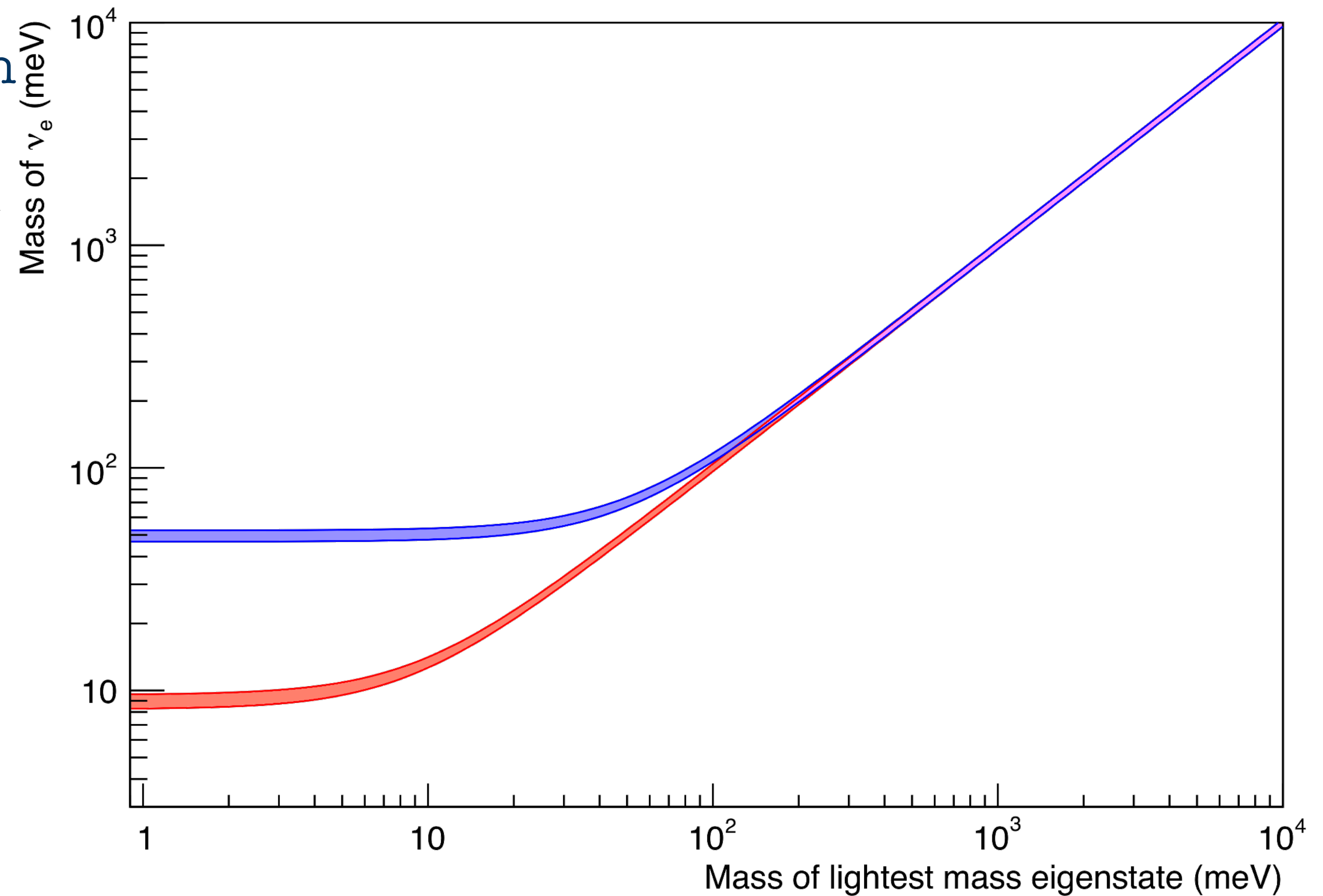
The information about the neutrino mass comes from the distortion of the decay energy spectrum.

We are going to see this graph a few times,
so it is worth a minute...



We are going to see this graph a few times,
so it is worth a minute...

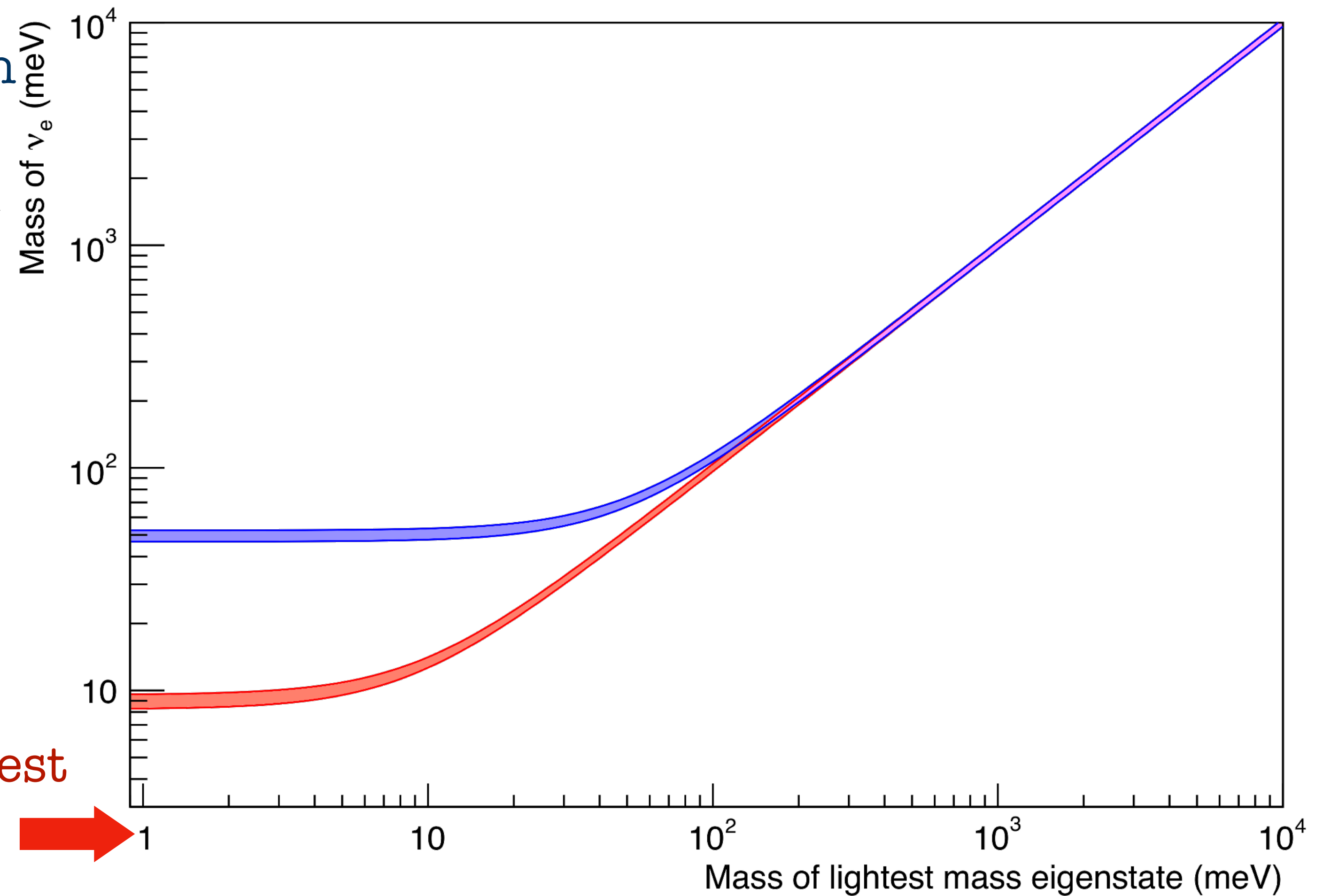
This shows the electron
neutrino mass
(what we measure) →



We are going to see this graph a few times,
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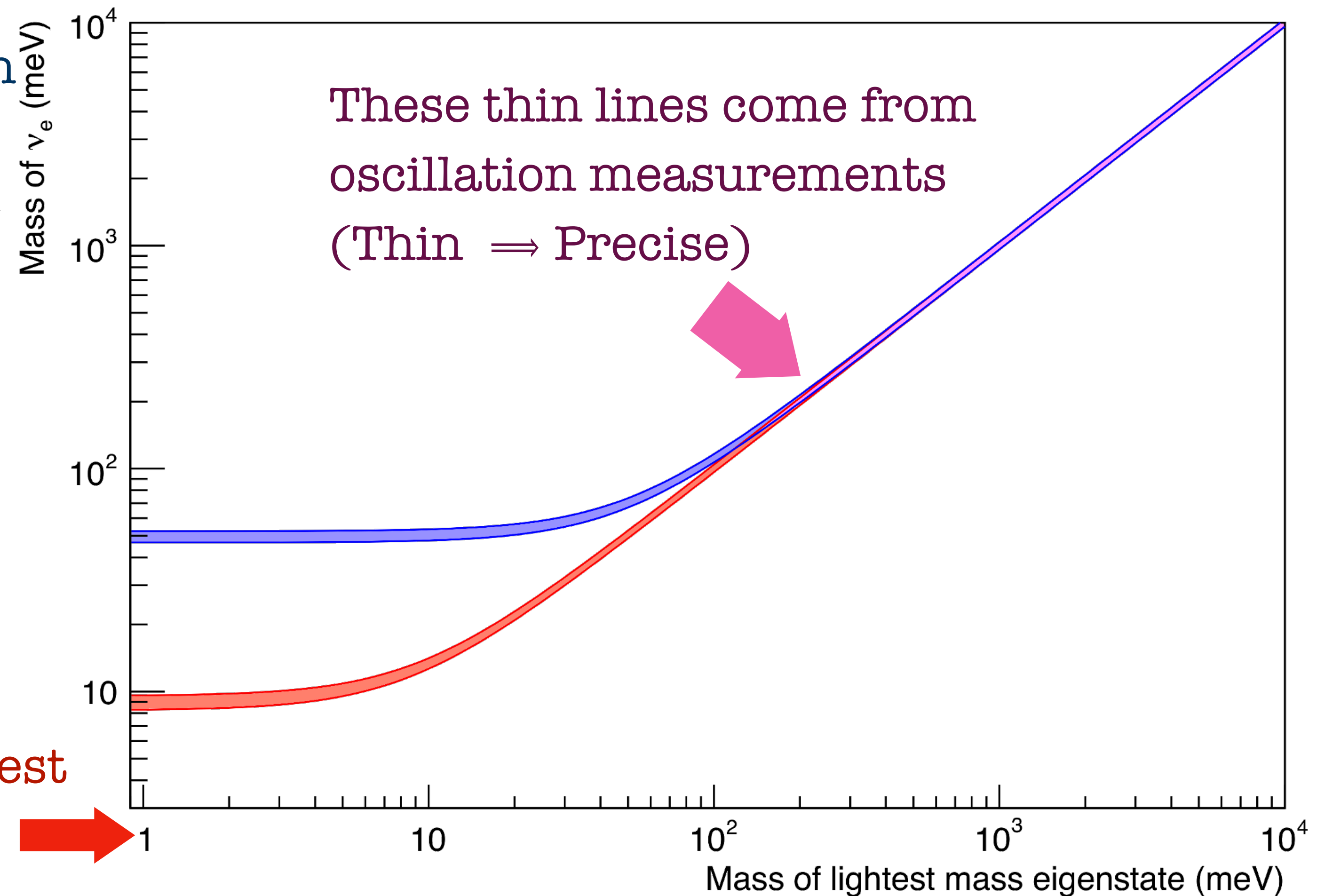
This shows the electron
neutrino mass
(what we measure) →

This shows the lightest
neutrino mass state →



We are going to see this graph a few times,
so it is worth a minute...

This shows the electron
neutrino mass
(what we measure) →



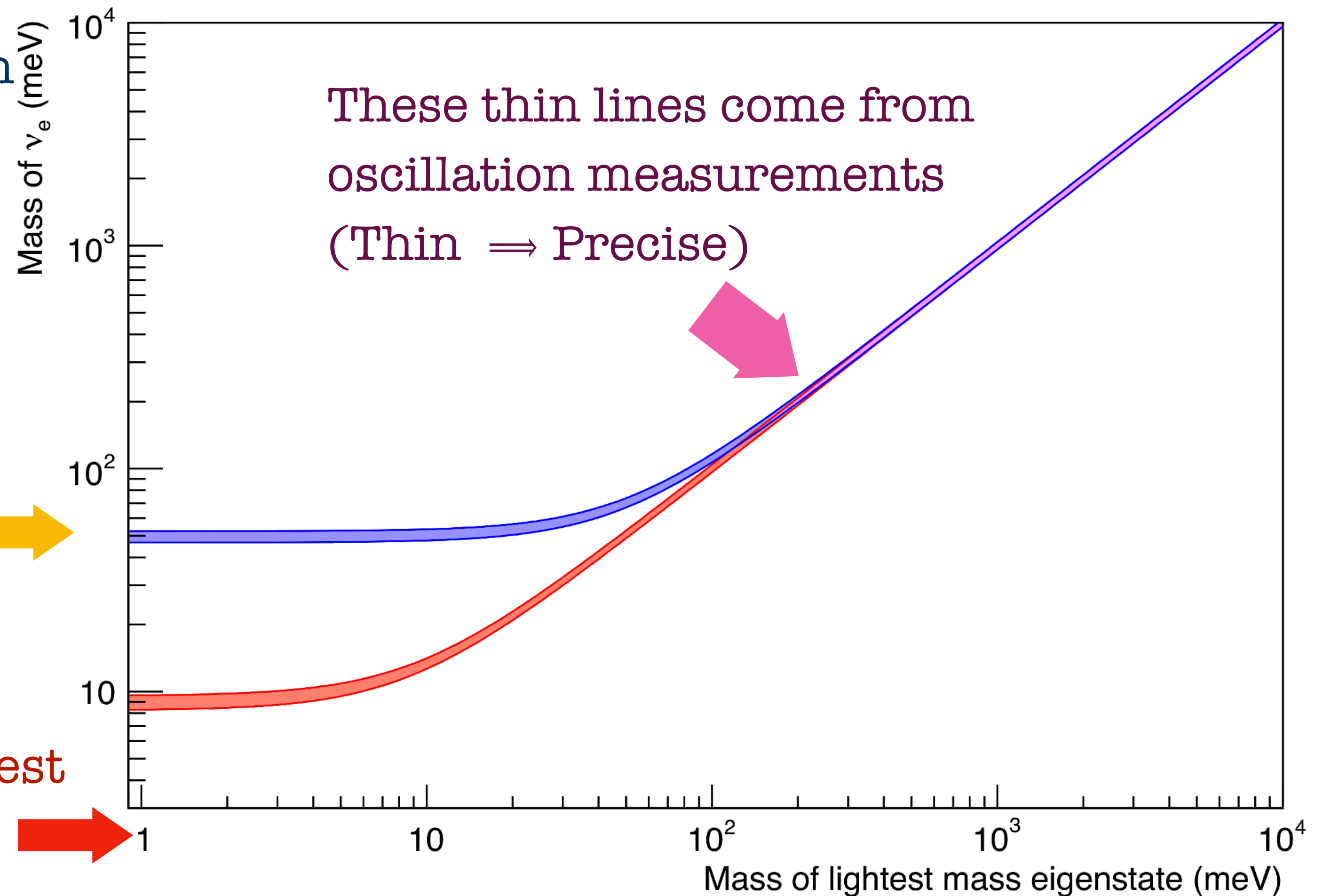
→ This shows the lightest
neutrino mass state

We are going to see this graph a few times,
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This shows the electron
neutrino mass
(what we measure) →

This split comes from
ambiguity in mass
ordering →

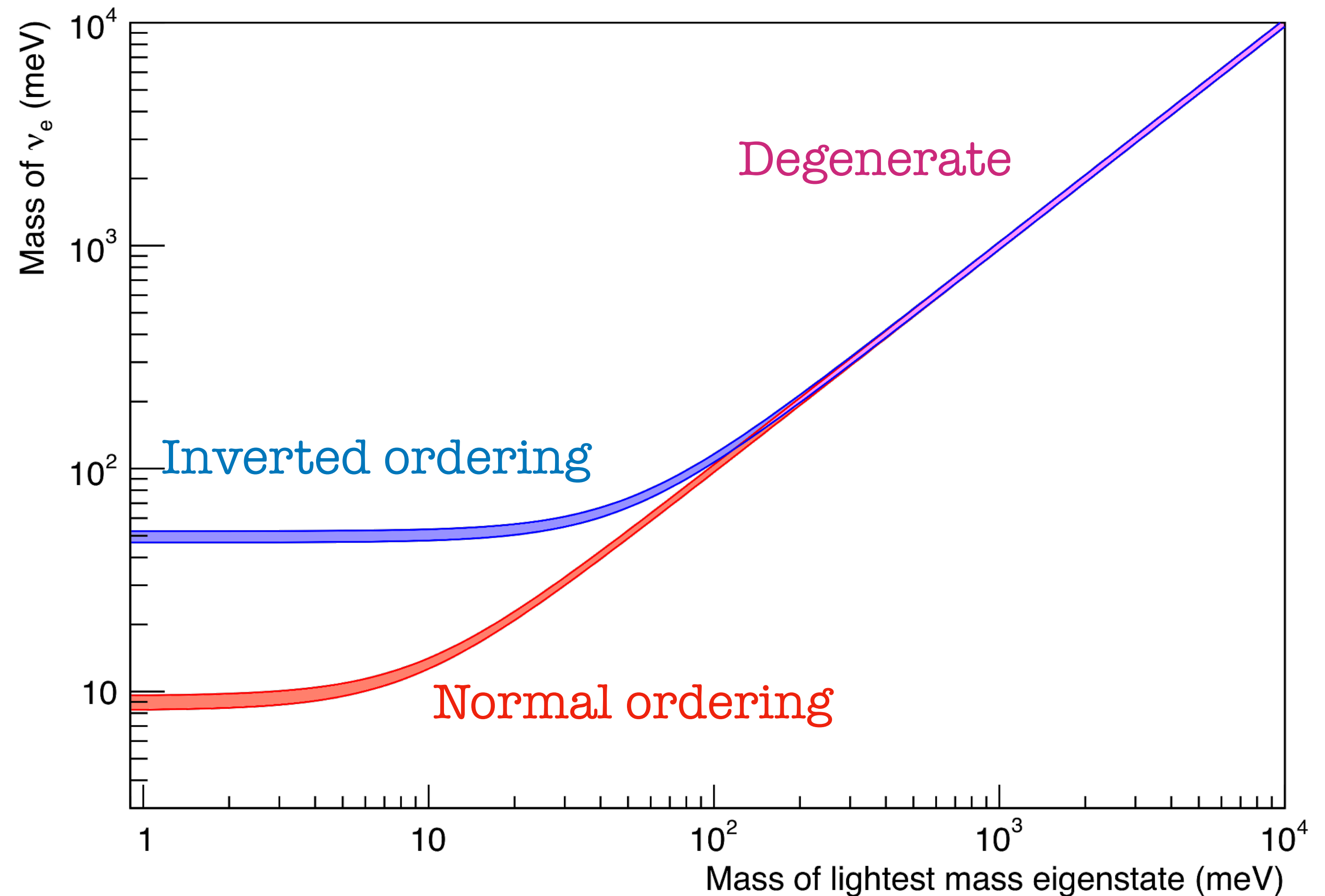
This shows the lightest
neutrino mass state →



We are going to see this graph a few times,
so it is worth a minute...

Since we don't know
which neutrino is
“lightest”, there is an
ordering ambiguity

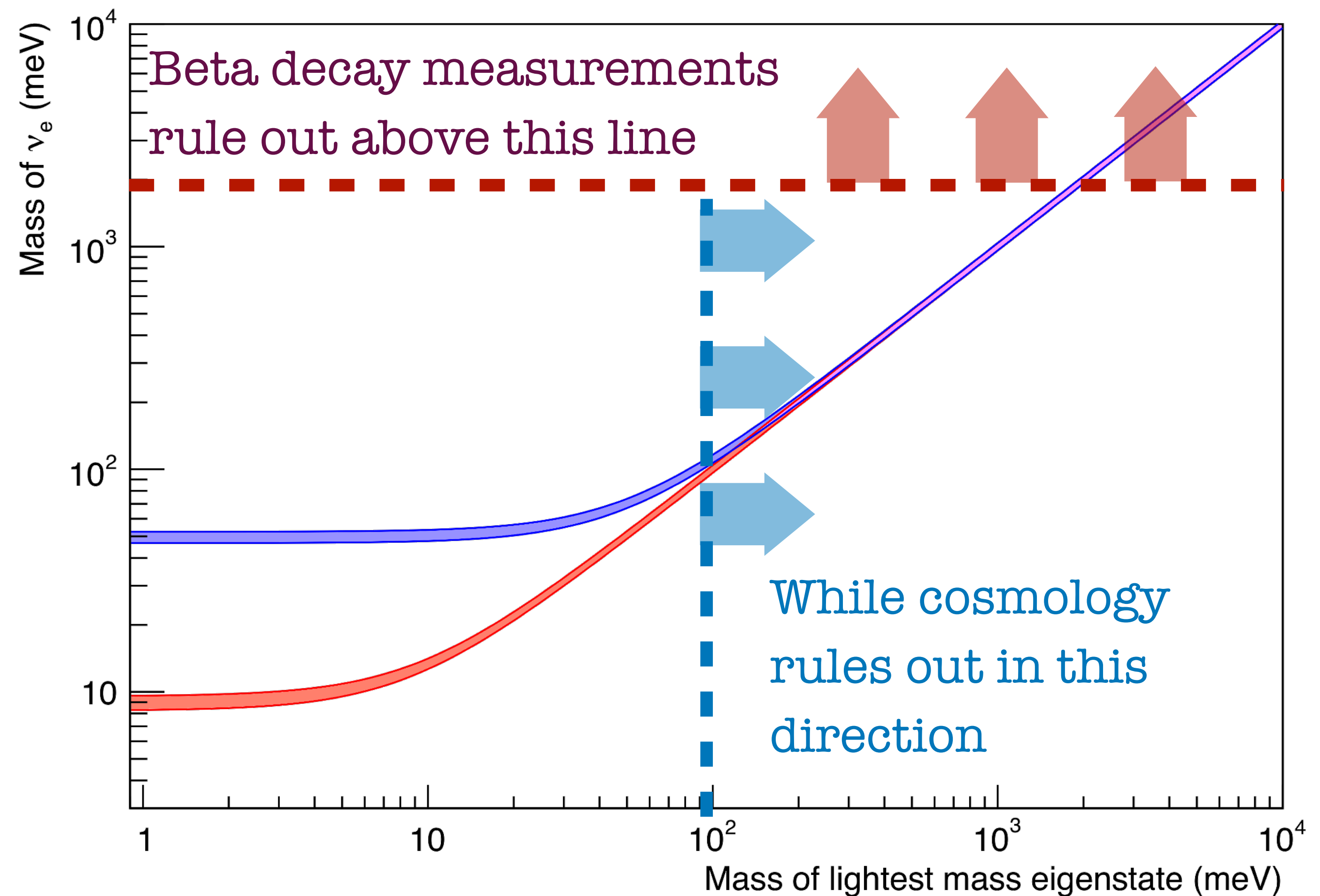
(Or they might be all
about the same)



We are going to see this graph a few times,
so it is worth a minute...

Beta decay
measurements
(& cosmology)
squeeze what's
allowed.

Note that there
exists a “floor” for
what beta decay can
measure.



The $\pi\sqrt{2}$ Magnetic Spectrometer

- Bergkvist constructs first tritium source experiment in Stockholm.
- Double focusing spectrometer; first to fully tackle energy resolution, energy loss and final states coherently.
- Achieved best limit of the time ($m_\nu < 55 \text{ eV}$).

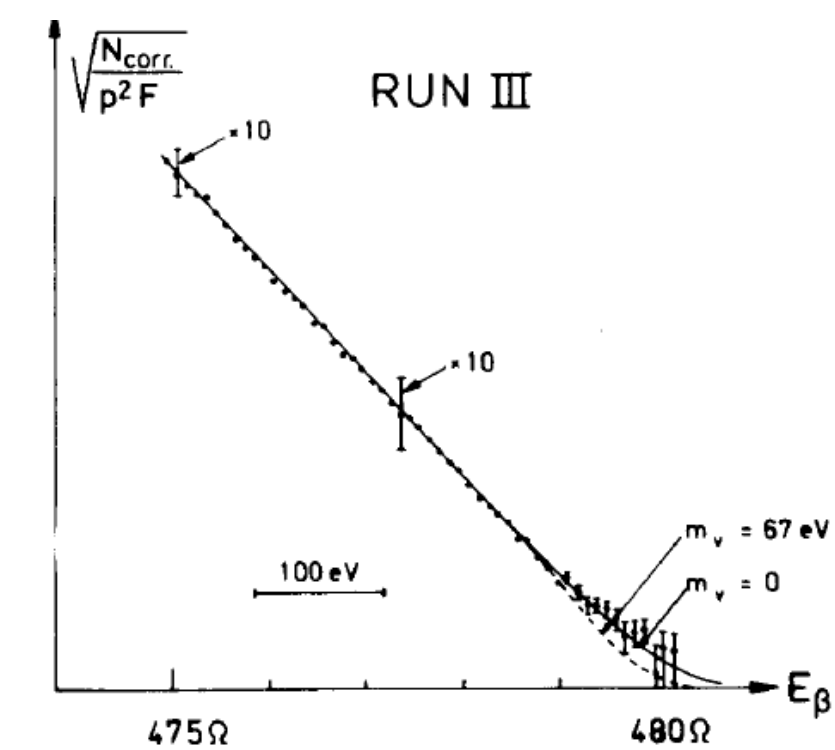


Fig. 20. Kurie plots of data from runs I–III. The data exhibited have been subjected to a very slight correction for distortion in the measured spectrum. The theoretical curves have been fitted to the data in the way discussed in connection with fig. 18.



Nuclear Physics B39 (1972) 317–370. North-Holland Publishing Company

A HIGH-LUMINOSITY, HIGH-RESOLUTION STUDY OF THE
END-POINT BEHAVIOUR OF THE TRITIUM β -SPECTRUM (I).
BASIC EXPERIMENTAL PROCEDURE AND ANALYSIS WITH
REGARD TO NEUTRINO MASS AND NEUTRINO DEGENERACY

Karl-Erik BERGKVIST

Research Institute for Physics, and University of Stockholm, Stockholm, Sweden

Received 23 September 1971
(Revised 13 December 1971)

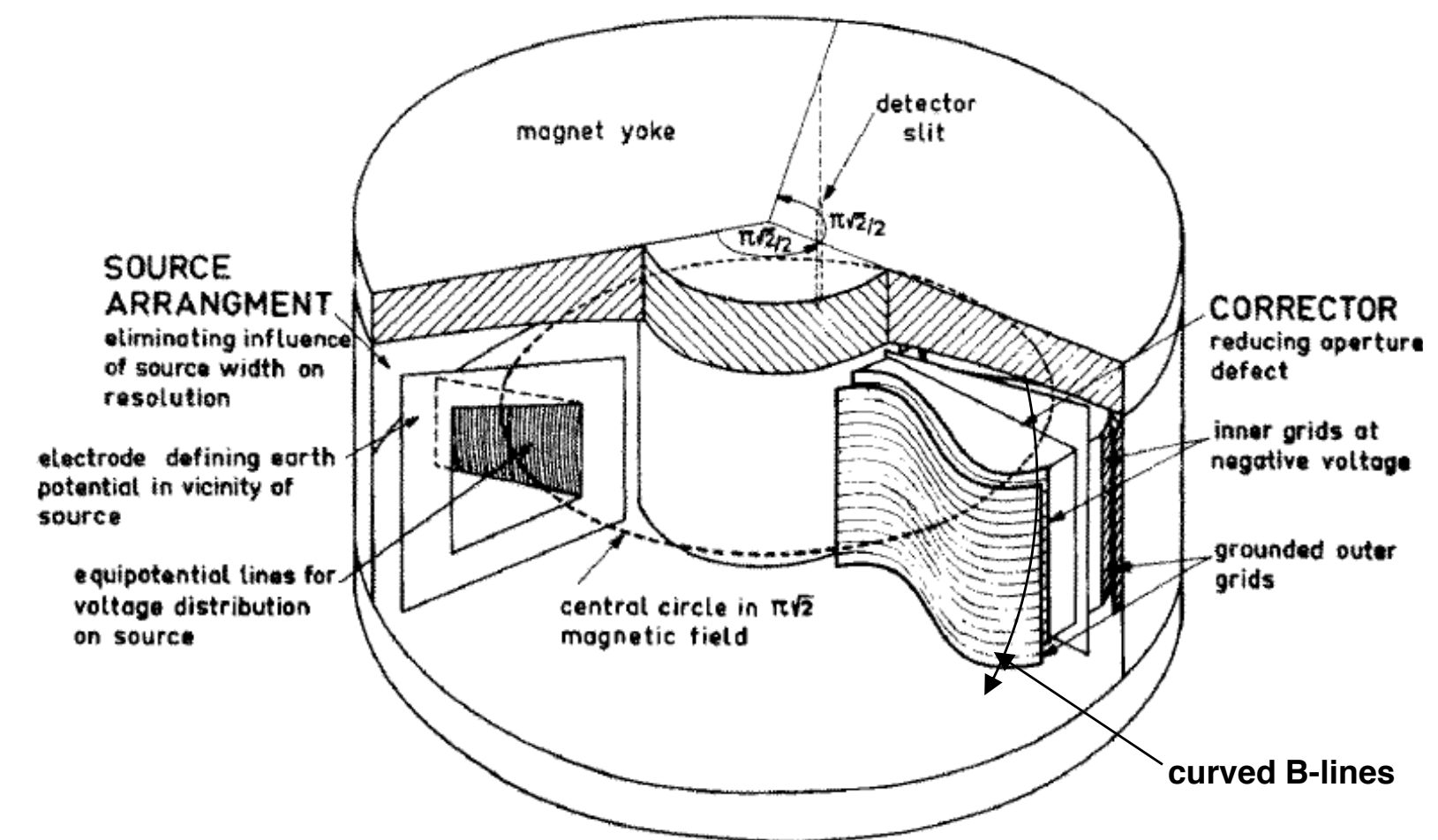
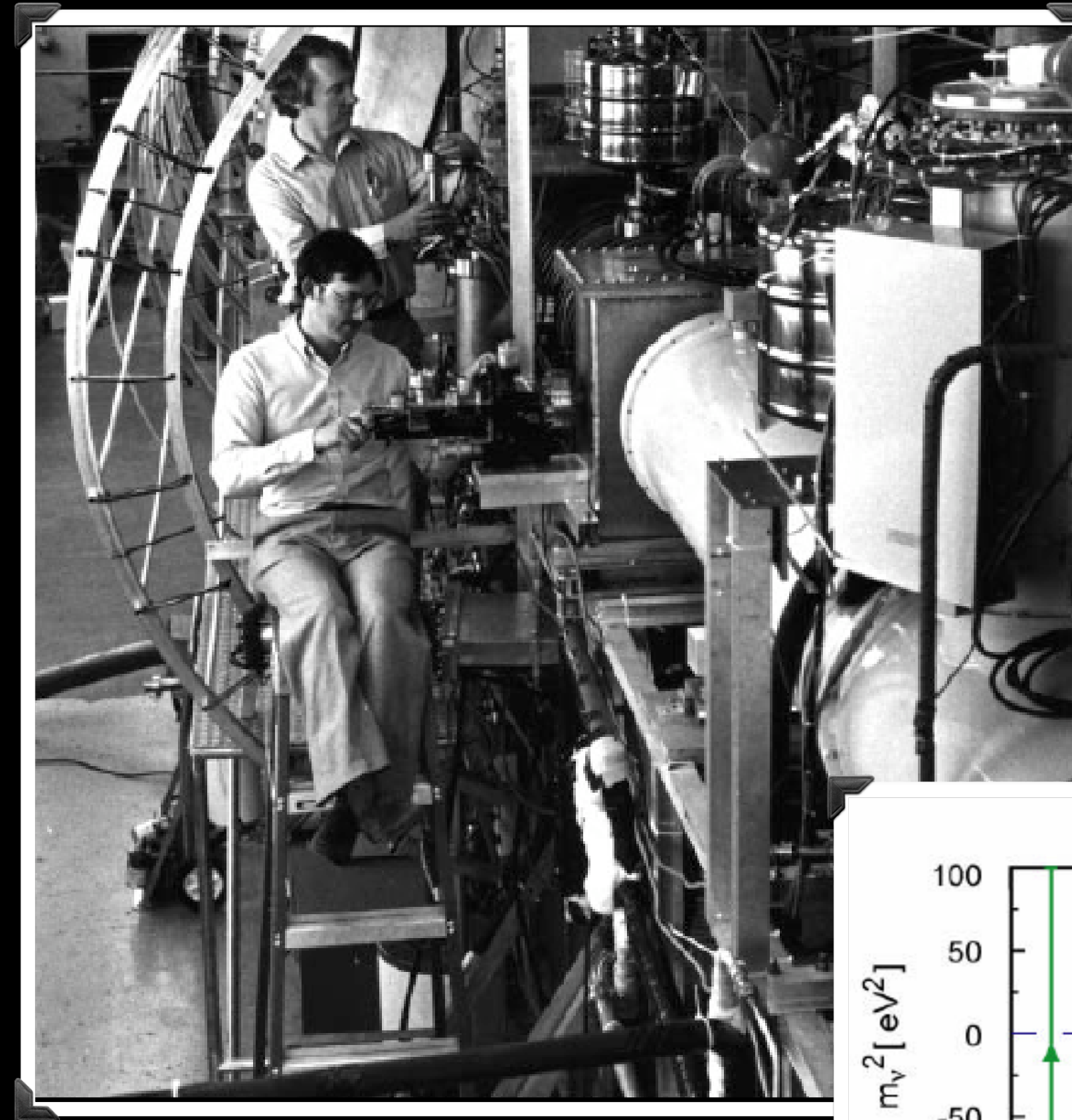
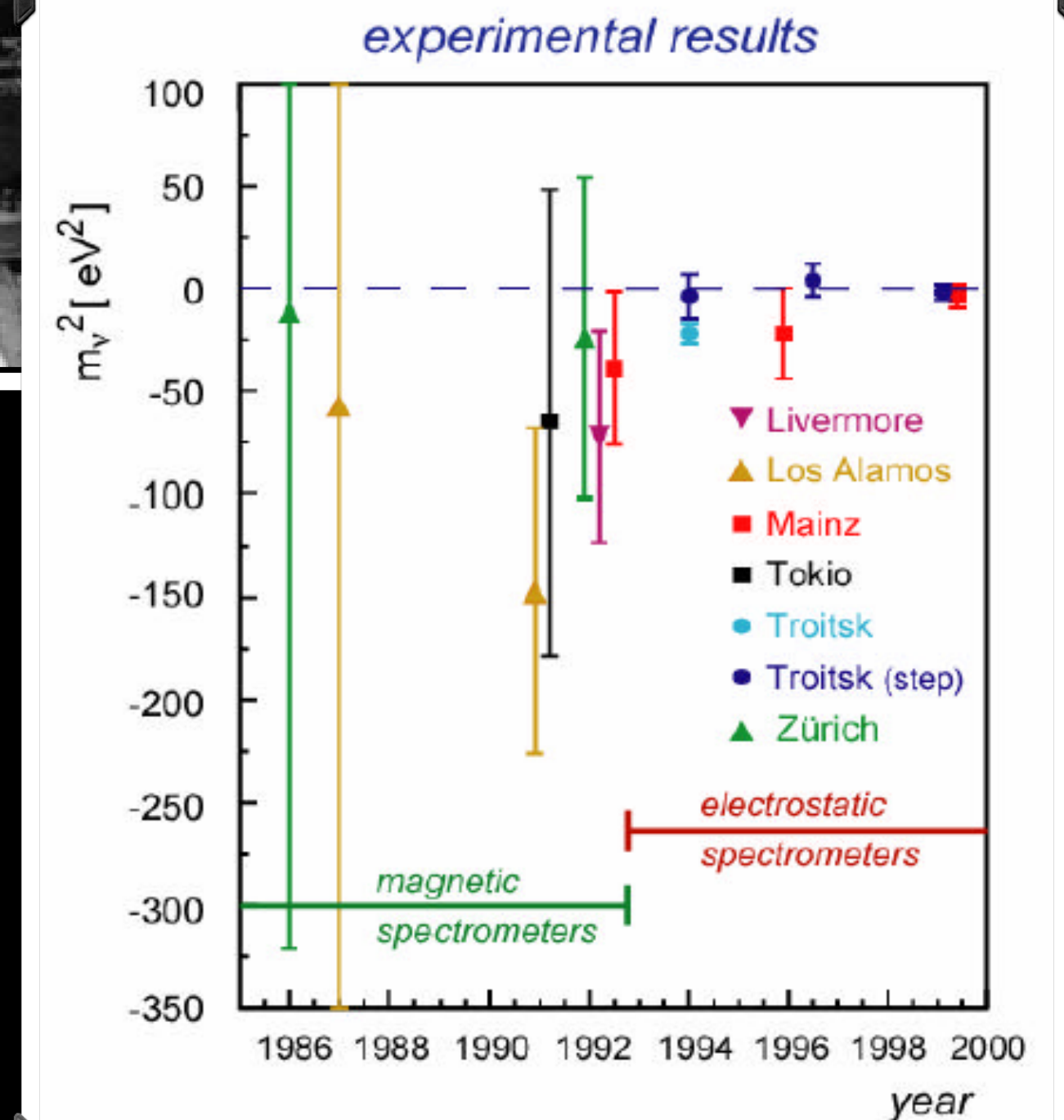


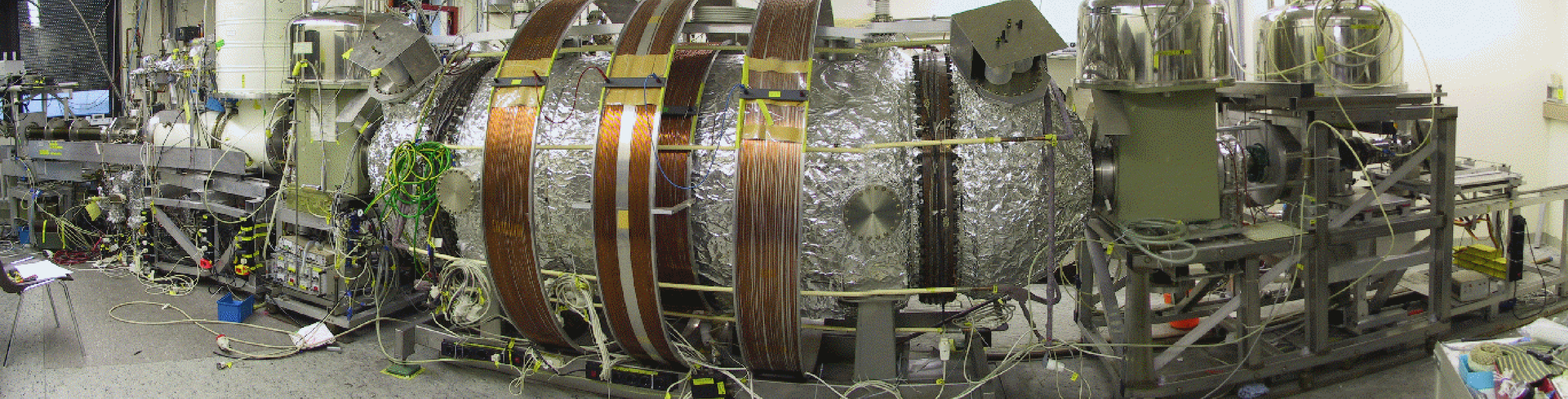
Fig. 3. Basic components of electrostatic-magnetic spectrometer employed in the present investigation of the end-point region of the tritium β -spectrum.

Los Alamos

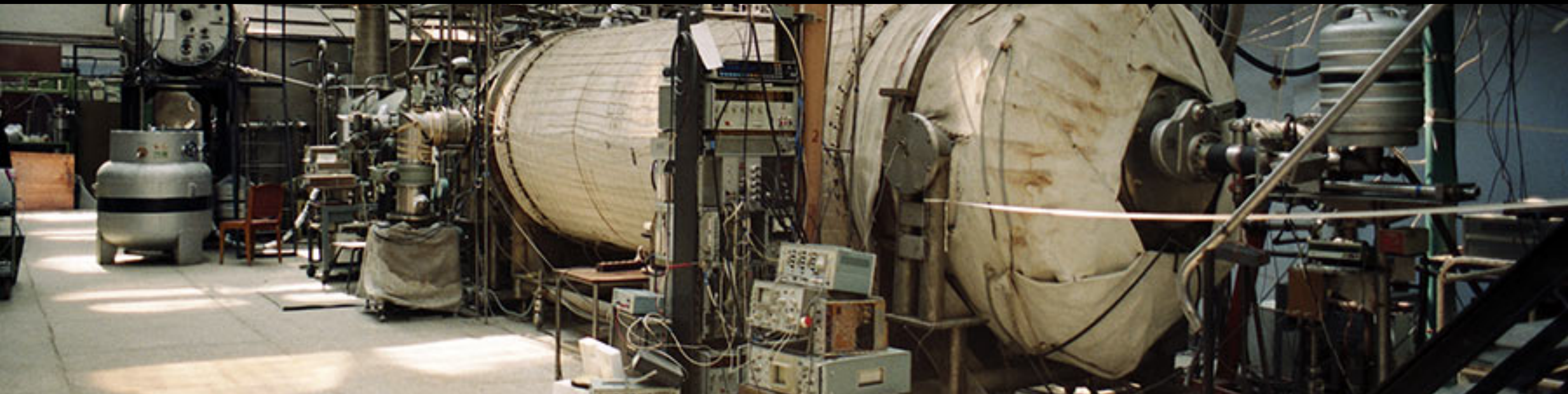


- Robertson, Bowles, Wilkerson and others at Los Alamos devise the first gaseous tritium source experiment to circumvent earlier issues seen with solid state sources.
- Their limit of 27 eV rules out a previous signal for neutrino mass. Sets stage for gaseous sources in future designs.





Mainz & Troitsk



${}^3\text{H}_2$
18.5 keV
 $\tau_{1/2}$ 12.3 yrs



${}^{163}\text{Ho}$
2.83 keV
 $\tau_{1/2}$ 4570 yrs



${}^{187}\text{Re}$
2.5 keV
 $\tau_{1/2}$ 4.5 Gyrs



${}^{115}\text{In}$
155 eV
 $\tau_{1/2}$ 4.4×10^{14} yrs



${}^{135}\text{Cs}$
440 eV
 $\tau_{1/2}$ 1.5×10^6 yrs

Choice of Sources

Isotope	Spin-Parity	Half-life	Specific Activity	Q_A	Branching ratio	Last eV	Source Mass
		y	Bq/g	eV			g
$^3\text{H}_2$	$\frac{1}{2}^+ \rightarrow \frac{1}{2}^+$	12.3	3.6×10^{14}	18591	0.57	2.9×10^{-13}	2.0×10^{-7}
^{115}In	$\frac{9}{2}^+ \rightarrow \frac{3}{2}^+$	4.4×10^{14}	0.26	147	1.2×10^{-6}	5.0×10^{-7}	7.5×10^7
^{135}Cs	$\frac{7}{2}^+ \rightarrow \frac{1}{2}^-$	1.5×10^6	6.8×10^7	440	$(0.04 - 16) \times 10^{-6}$	2.2×10^{-8}	0.4 - 217
^{187}Re	$\frac{5}{2}^+ \rightarrow \frac{1}{2}^-$	4.3×10^{10}	1.6×10^3	2470	1.0	1.2×10^{-10}	57
^{163}Ho	$\frac{7}{2}^- \rightarrow \frac{5}{2}^-$	4750	1.8×10^{10}	2858		$\sim 10^{-12}$	$\sim 1.0 \times 10^{-5}$

^{135}Cs and ^{115}In look attractive for their low endpoint and because decays can be tagged.
 But they suffer from minuscule branching ratios.

Other new ultra-low β/EC targets, such as ^{76}As and ^{155}Tb , currently under study.

Issues with ^{187}Re make it impractical.

Tritium and holmium are the top candidates of study for now.

Isotope	Spin-Parity	Half-life	Specific Activity	Q_A	Branching ratio	Last eV	Source Mass
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*Amount needed
to see 1 event
per day in last eV*

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But they suffer from minuscule branching ratios.

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Issues with ^{187}Re make it impractical.

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Calorimetric
(Cryogenic Bolometers)

Electromagnetic Filtering
(MAC-E Filters)



Frequency-Based
(Cyclotron Radiation Emission Spectroscopy)

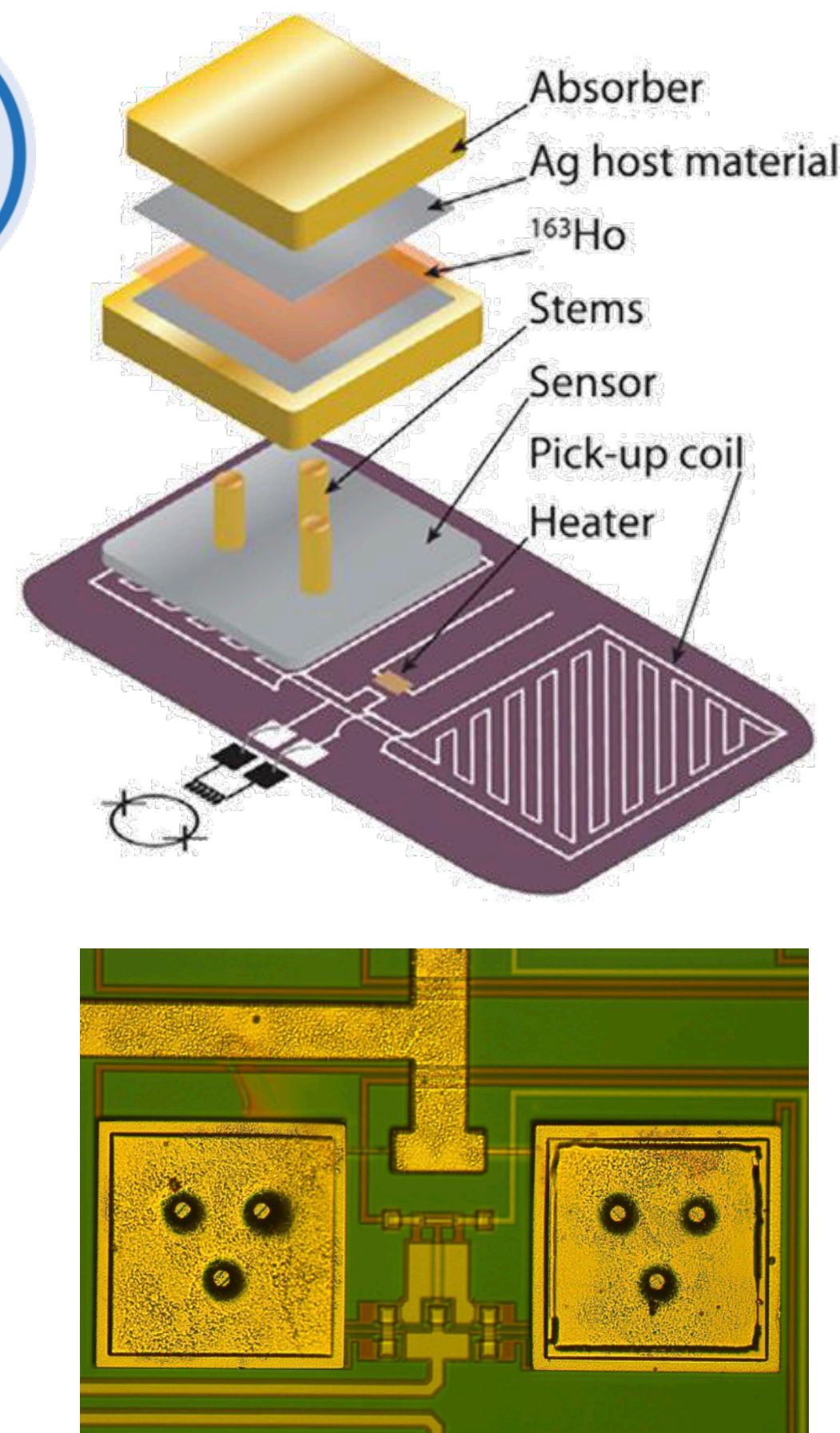
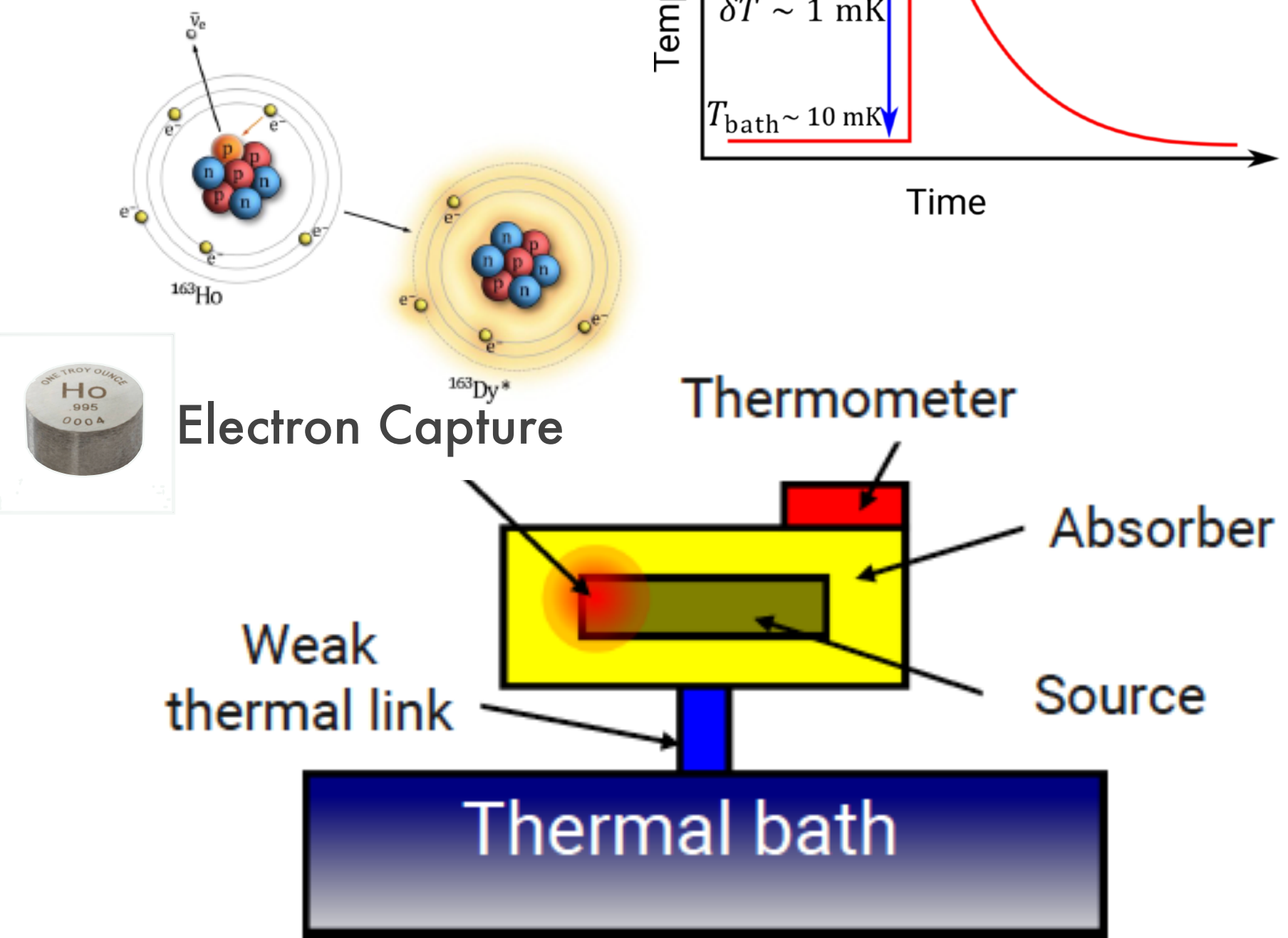




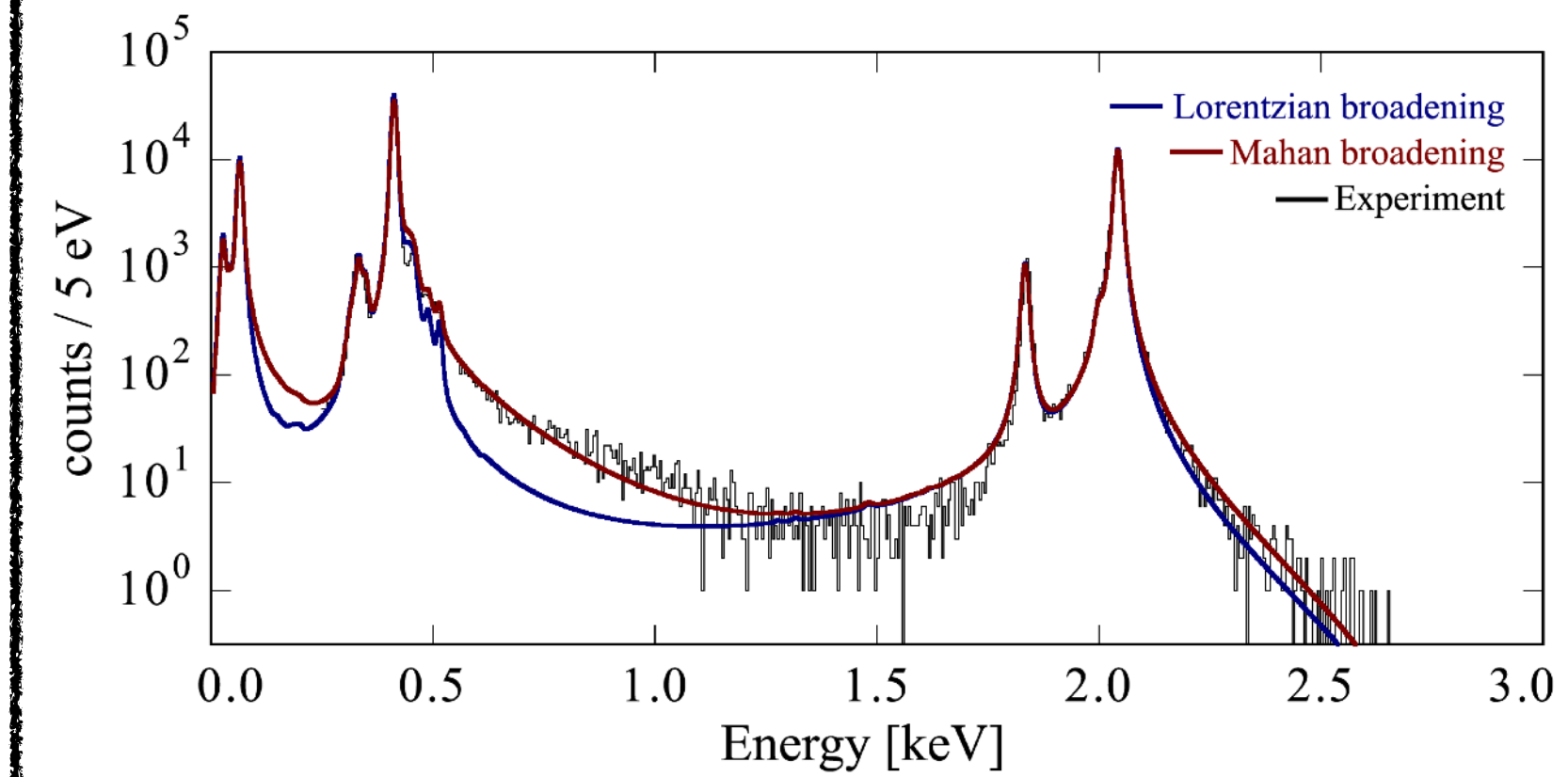
Calorimetric (Cryogenic Bolometers)



μ -calometer



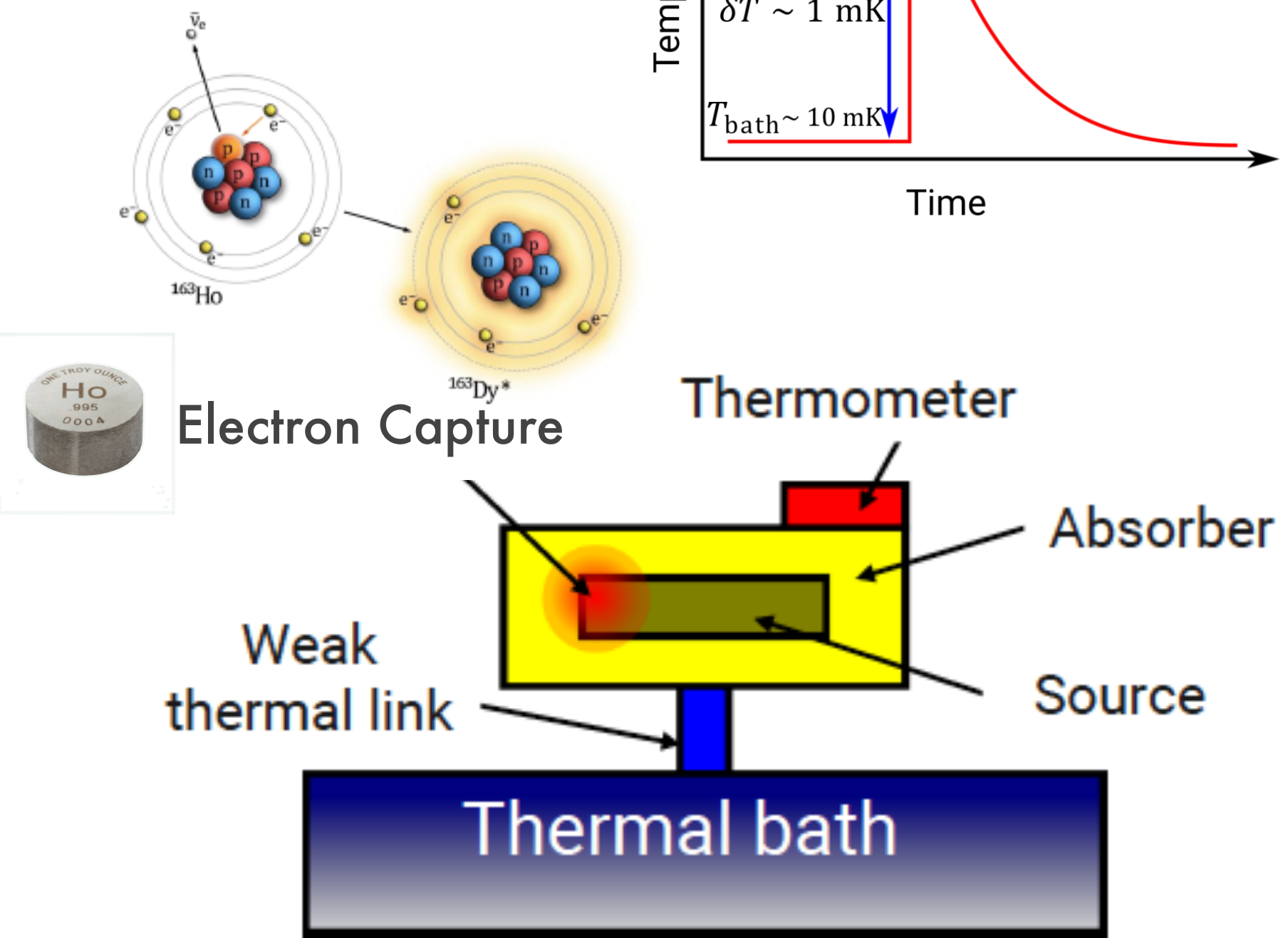
Energy Spectra



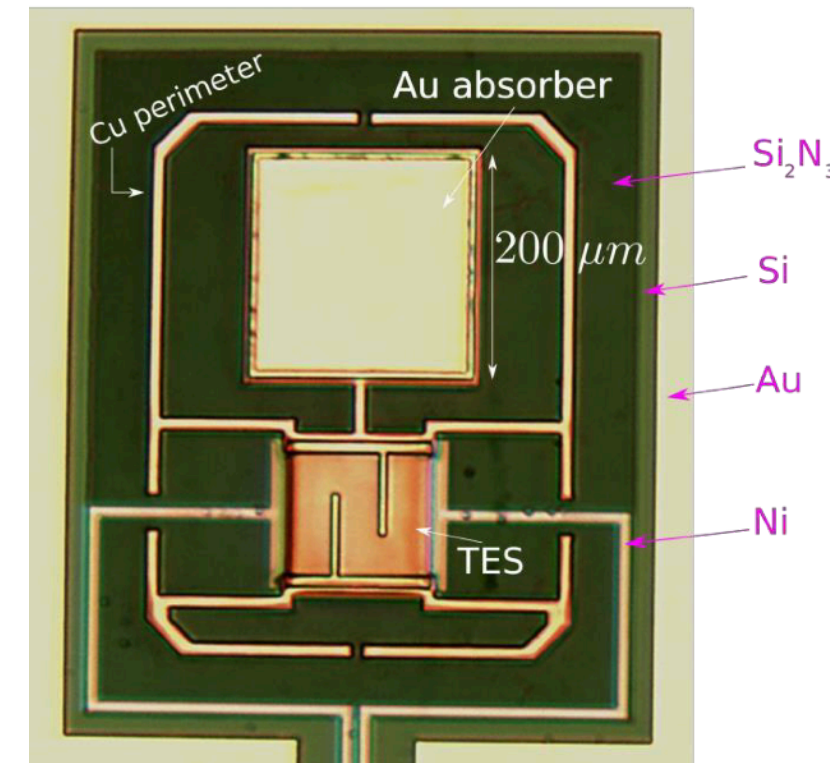
Using micro-calorimeters doped with radioactive material to measure endpoint.

(They use ^{163}Ho instead of tritium)

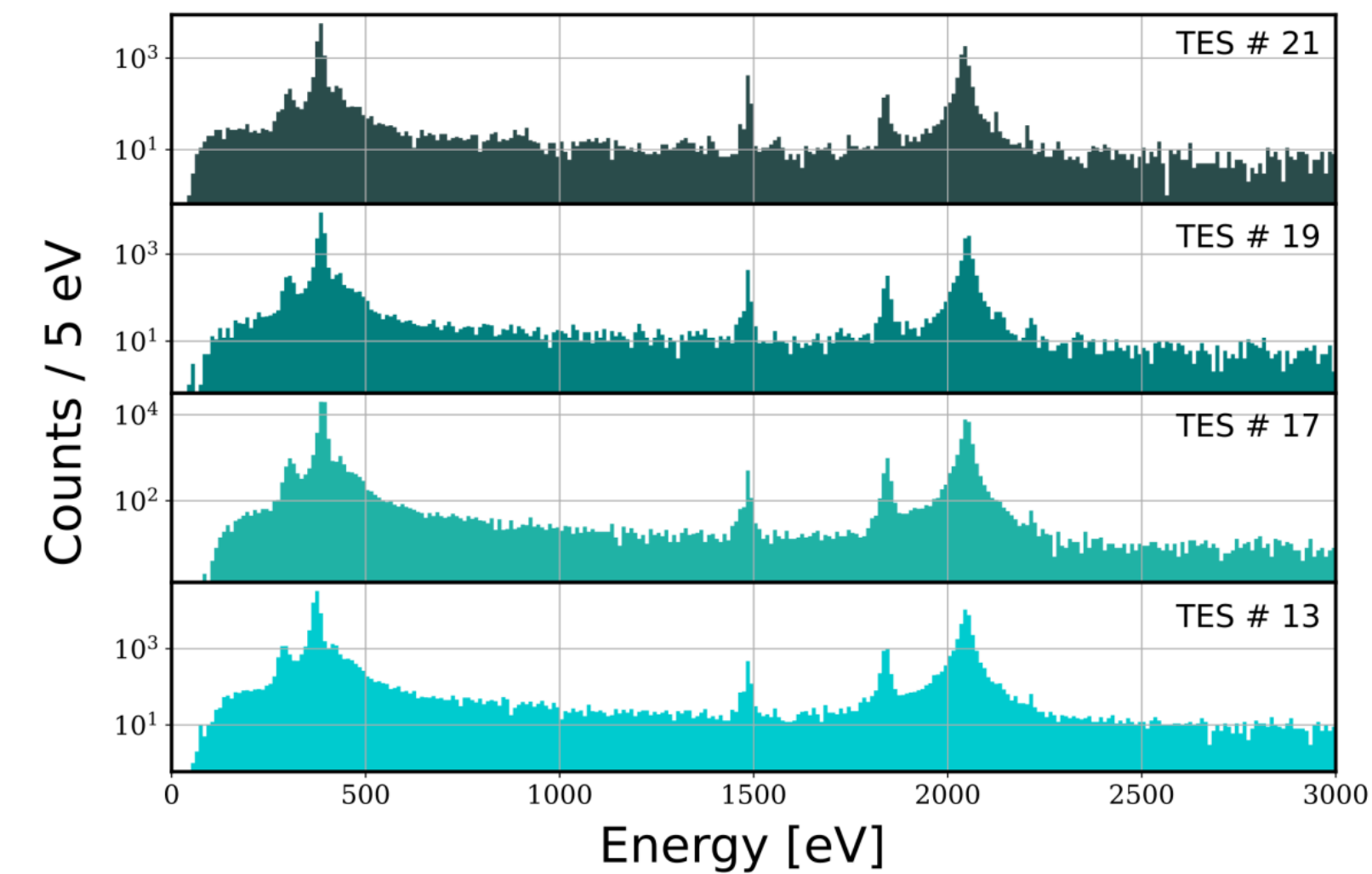
μ -calometer



HOLMES



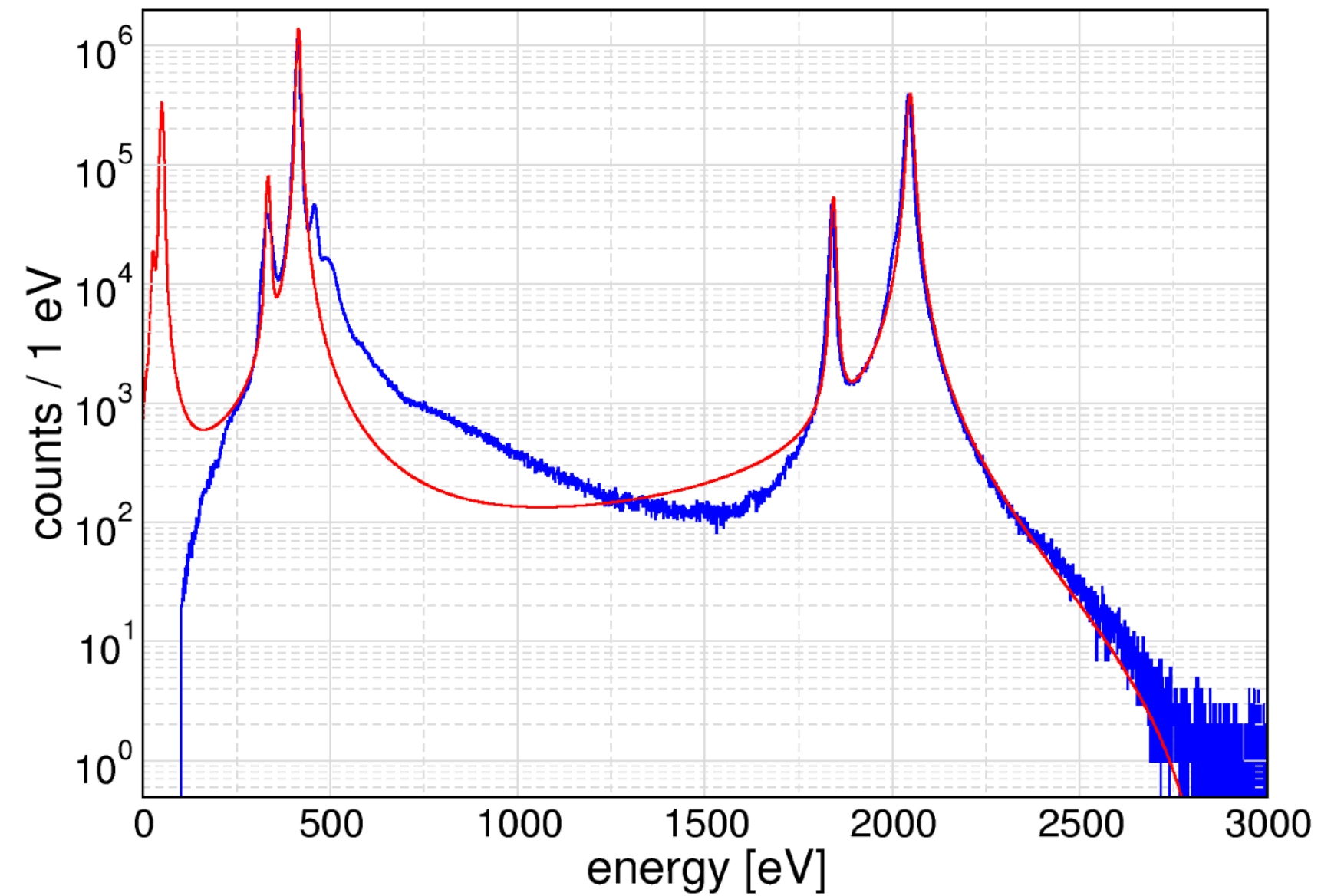
Energy Spectra



Using micro-calorimeters doped with radioactive material to measure endpoint.

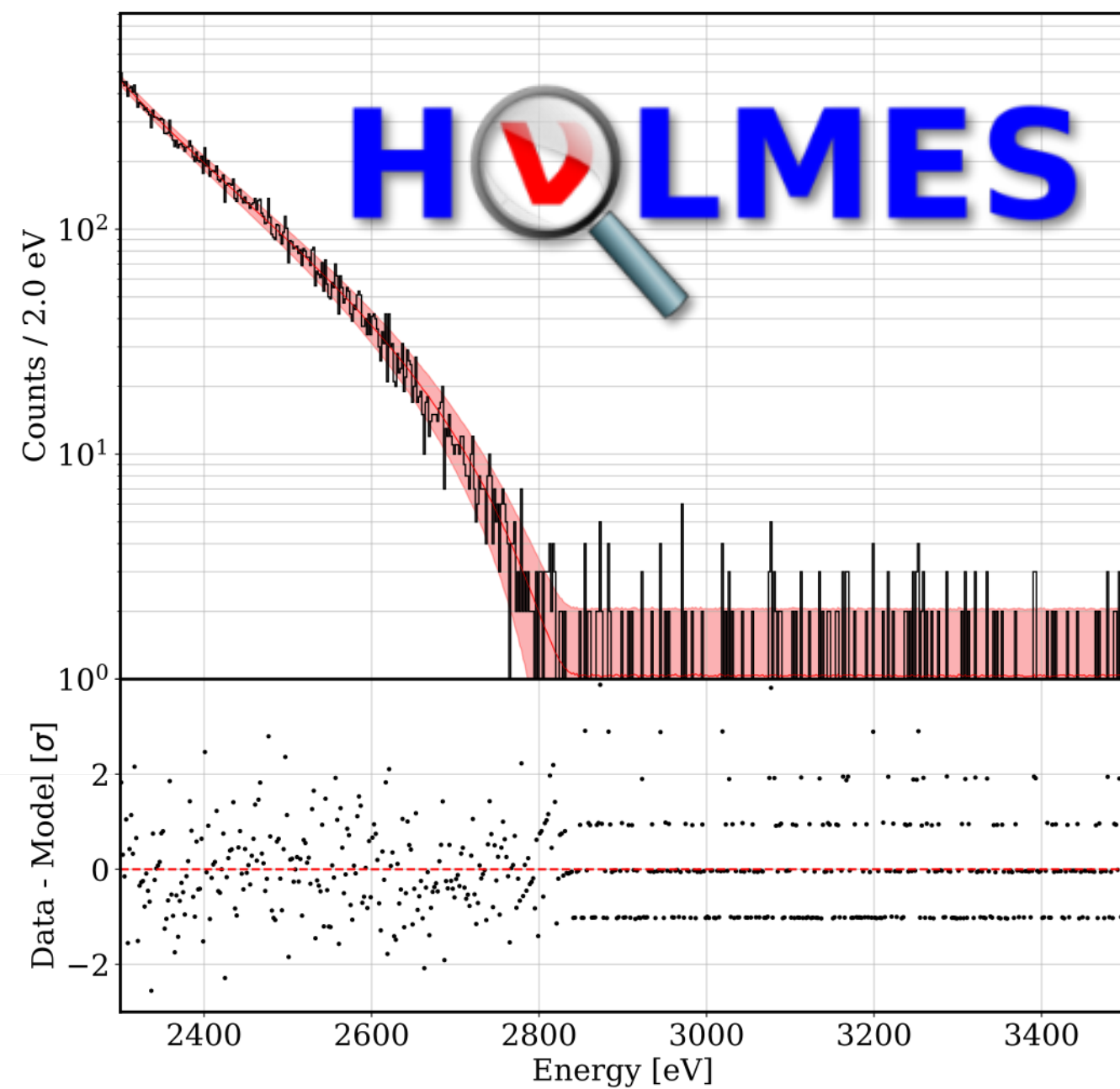
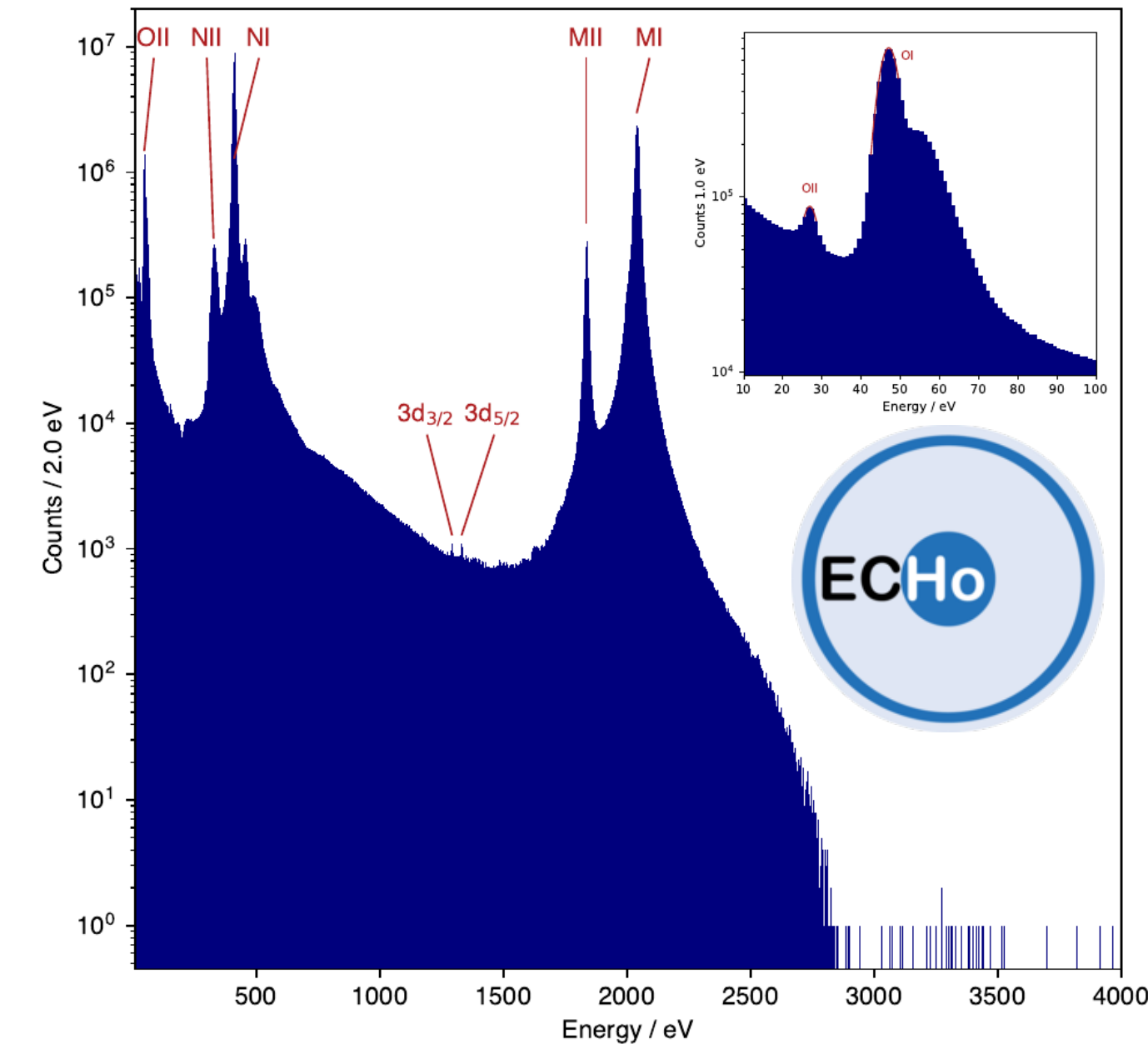
(They use ^{163}Ho instead of tritium)

New (!) results from Neutrino 2024:



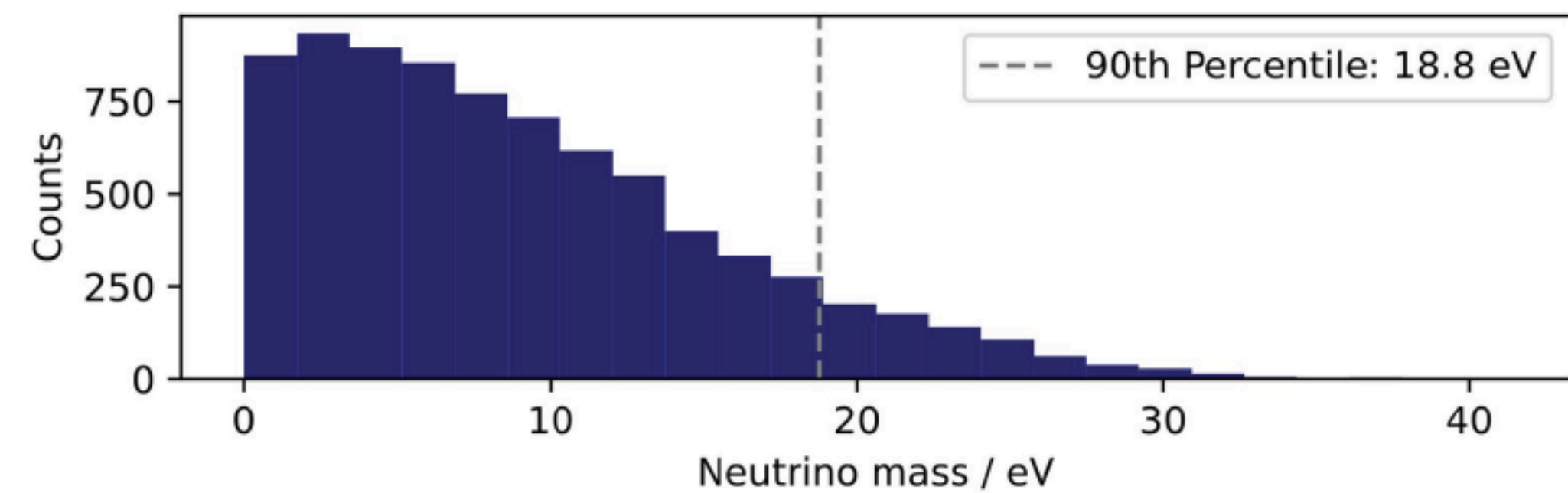
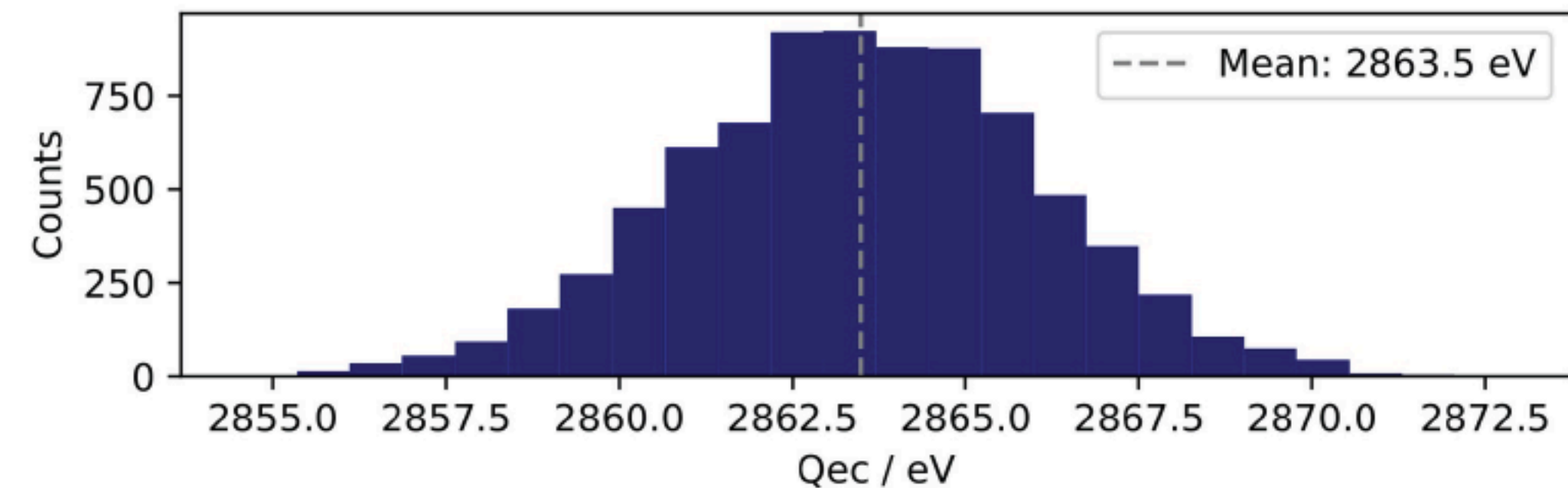
New (preliminary)
limits from ECHO:

$$m_{\beta} \leq 19 \text{ eV}$$



New (preliminary)
limits from HOLMES:

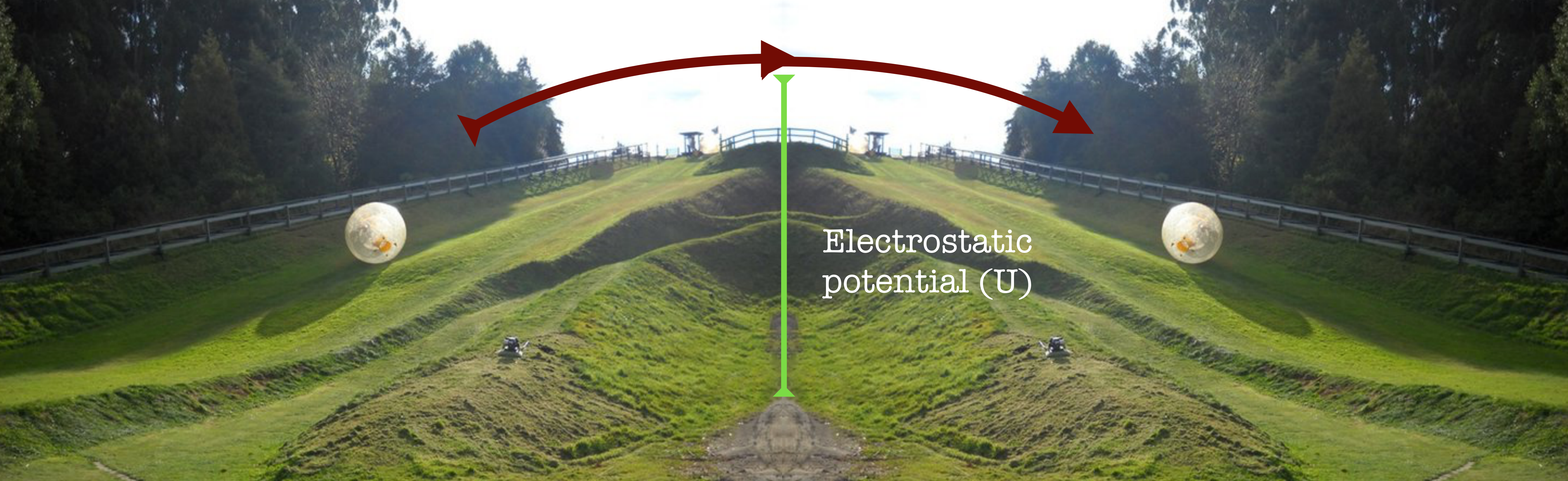
$$m_{\beta} \leq 28 \text{ eV}$$





Electromagnetic Filtering (MAC-E Filters)





High Magnetic
Field (B_s)

Low Field
 B_A

High Magnetic
Field (B_s)

Magnetic Adiabatic Collimation w/ Electrostatic Filtering

(only electrons with enough energy can overcome potential barrier)

Tritium Injection and
Retention System

Spectrometer

Electron
detector

$10^{11} \text{ e}^- / \text{second}$ μ_e μ_e μ_e μ_e μ_e $1 \text{ e}^- / \text{second}$

High Magnetic
Field (Bs)

Low Field
 B_A

High Magnetic
Field (Bs)

**Magnetic Adiabatic Collimation w/
Electrostatic Filtering**

(only electrons with enough energy can overcome potential barrier)

In order to get high energy resolution, you need a large ratio of magnetic fields.

But magnetic field lines are conserved, so the tighter you squeeze on one end, the larger they are on the other.

How much bigger?



This big.



A long journey in the making...

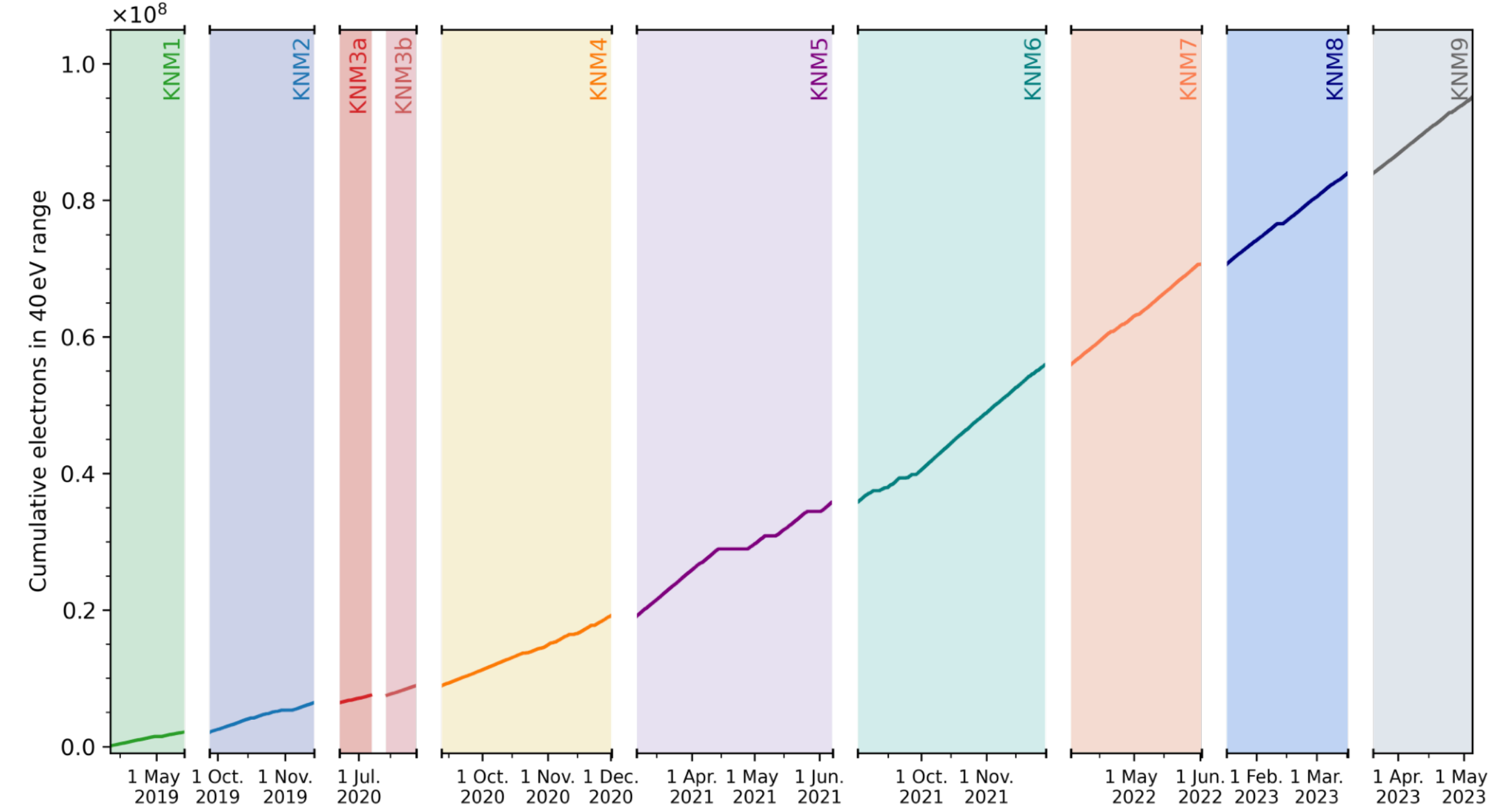


A long journey in the making...

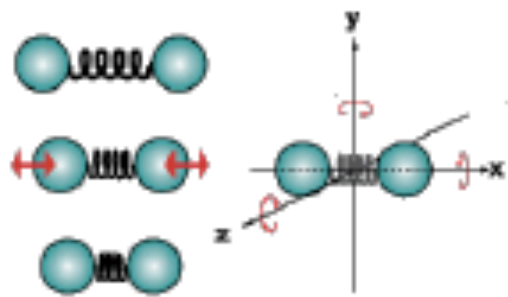
Data taking started
in May 2019 and
continues to this day.



Statistical
Uncertainty



Molecular Final States



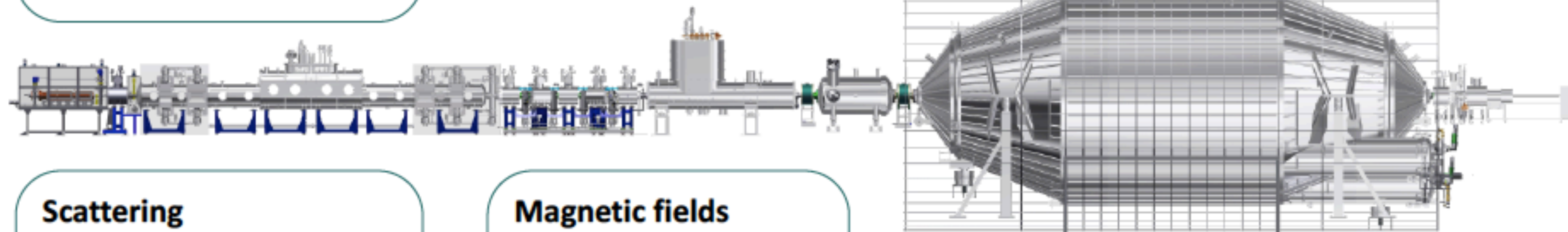
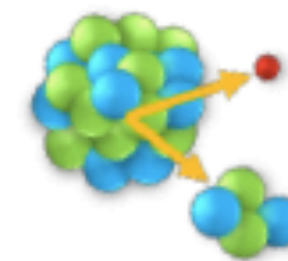
Potential Variation

- Plasma potential
- qU variations



Background:

- time correlation
- retarding potential dependence



Scattering

- energy loss
- column density



Magnetic fields

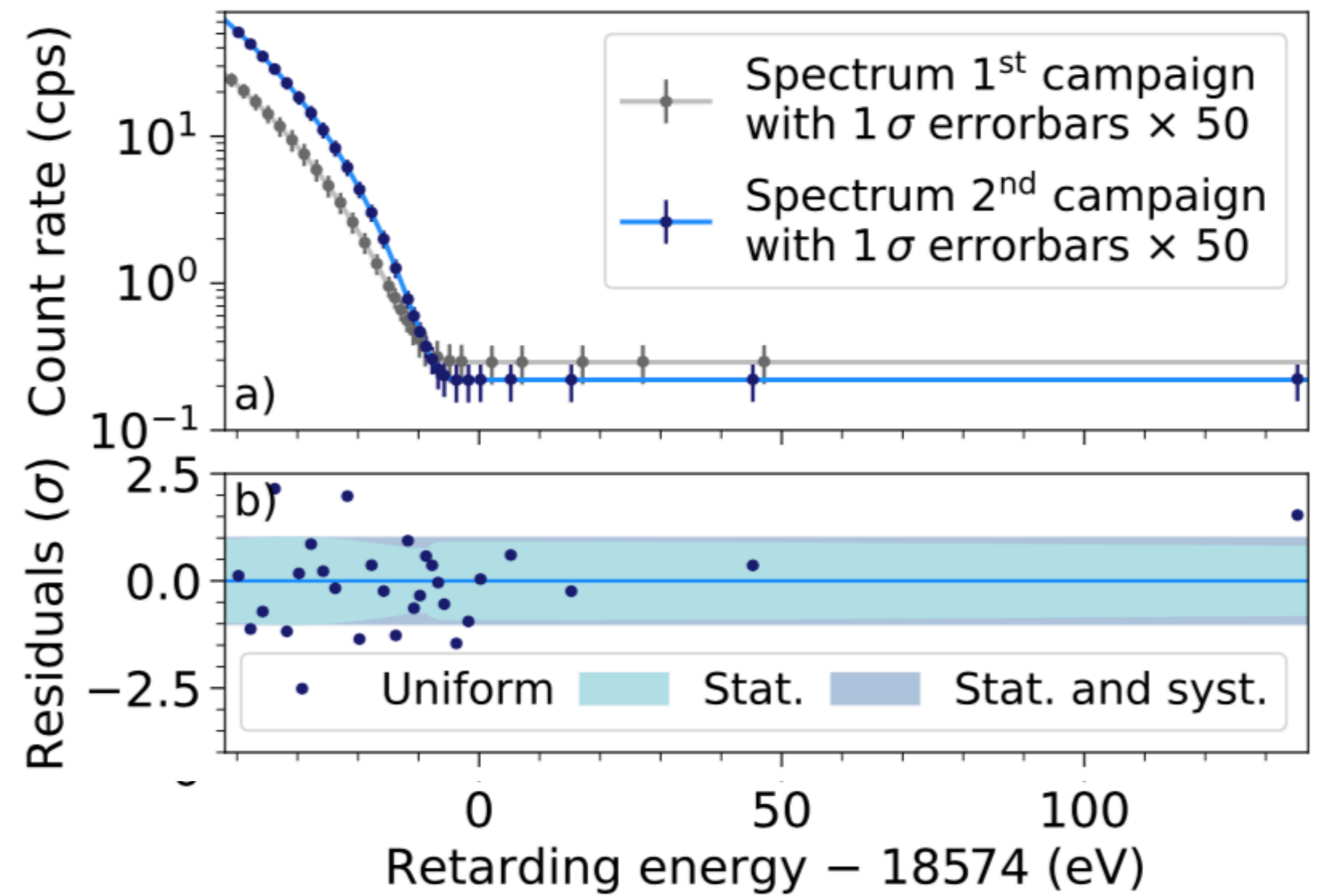
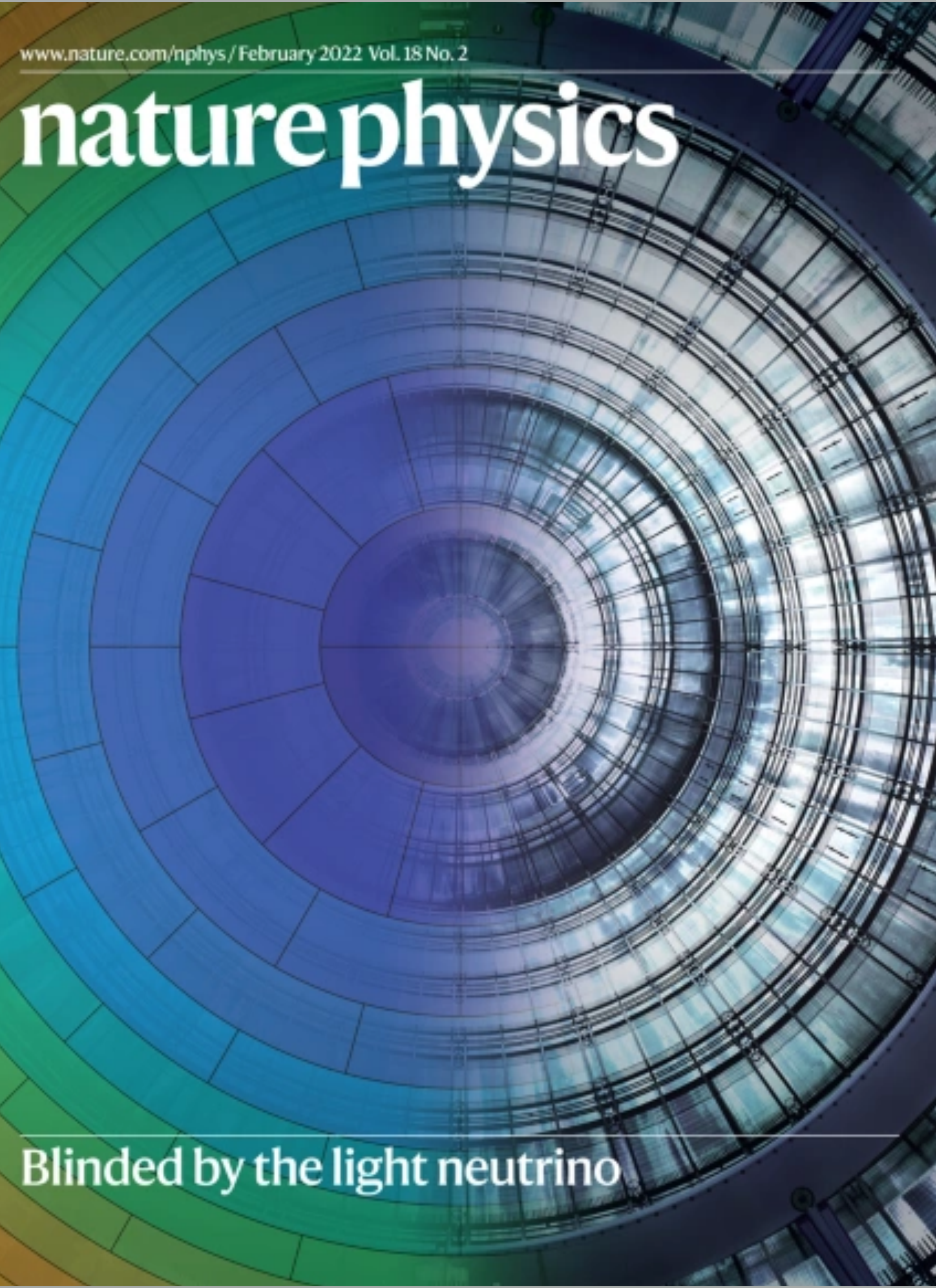
- source
- spectrometer
- detector



Data combination

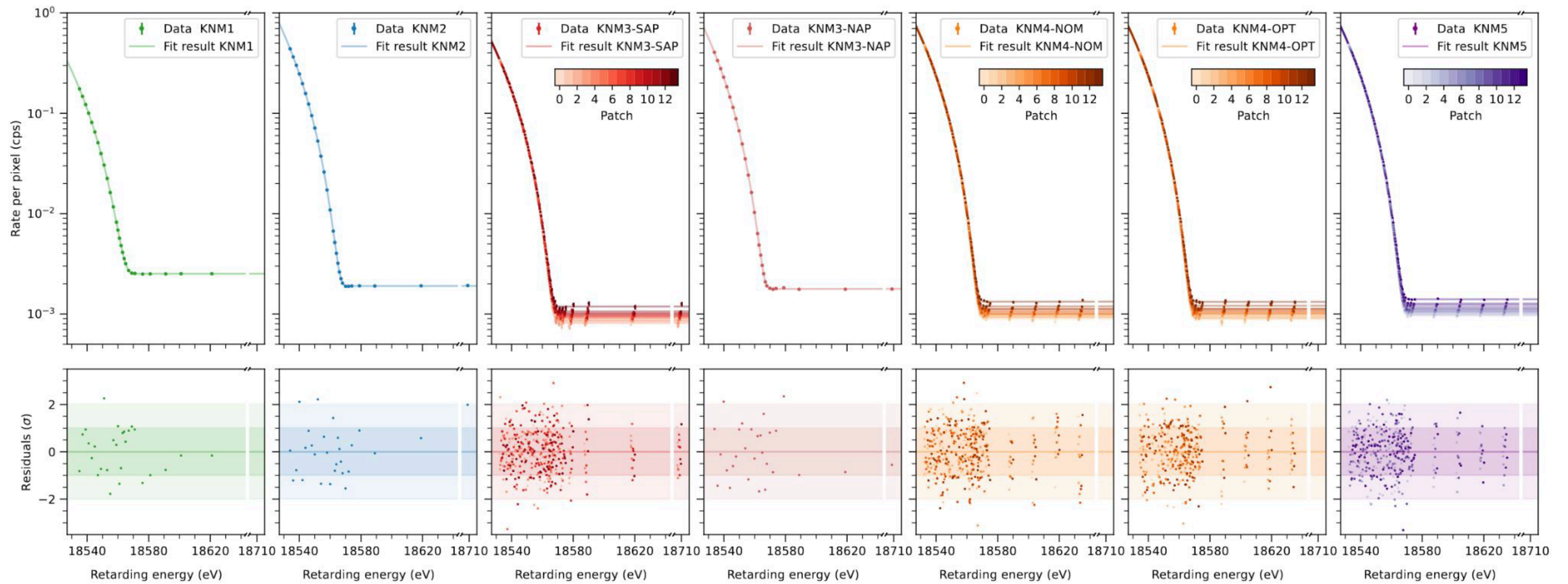


Experiment relies on
esquisite control of
many systematic
uncertainties.



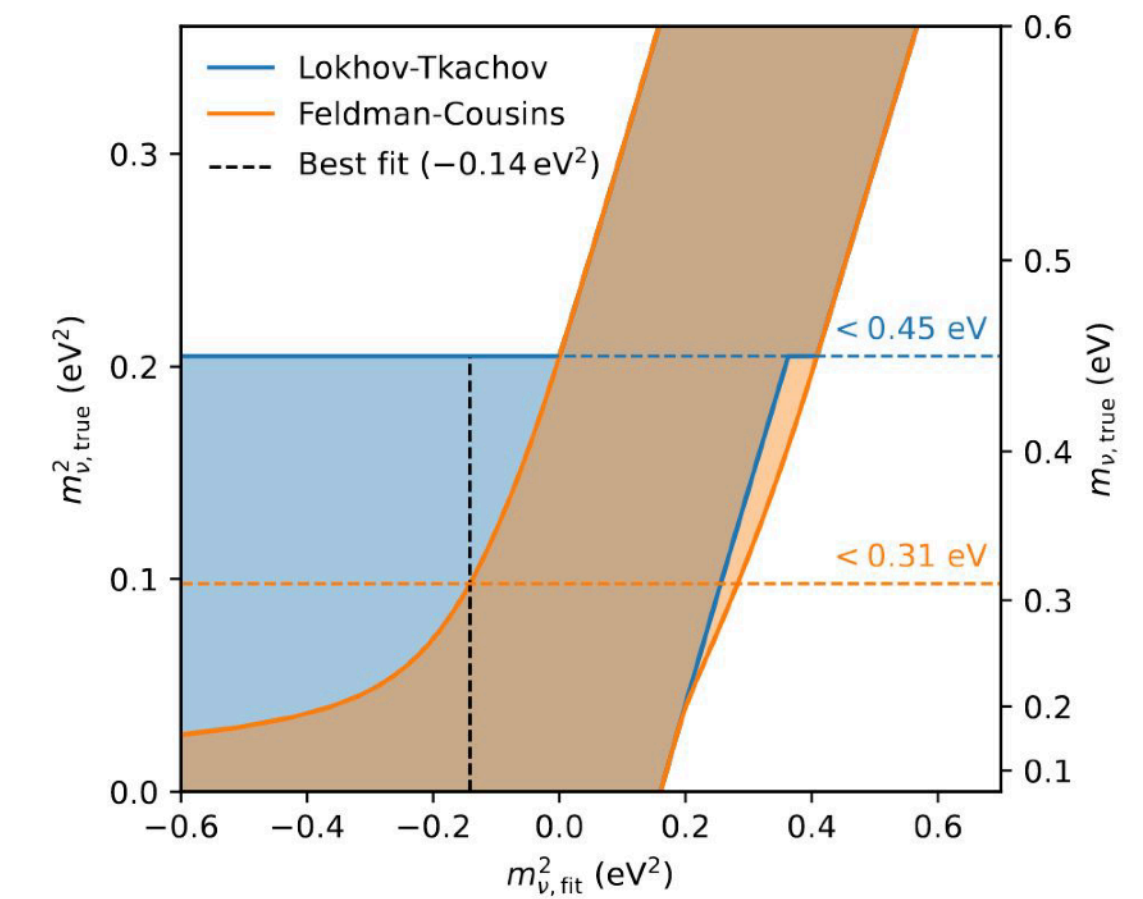
$$m_{\beta} \leq 0.8 \text{ eV}/c^2 \text{ (90\% CL)}$$

For the first time
the eV scale is broken!



New (!) results from Neutrino 2024:

$$m_{\beta} \leq 0.45 \text{ eV}/c^2 \quad (90\% \text{ C. L. })$$

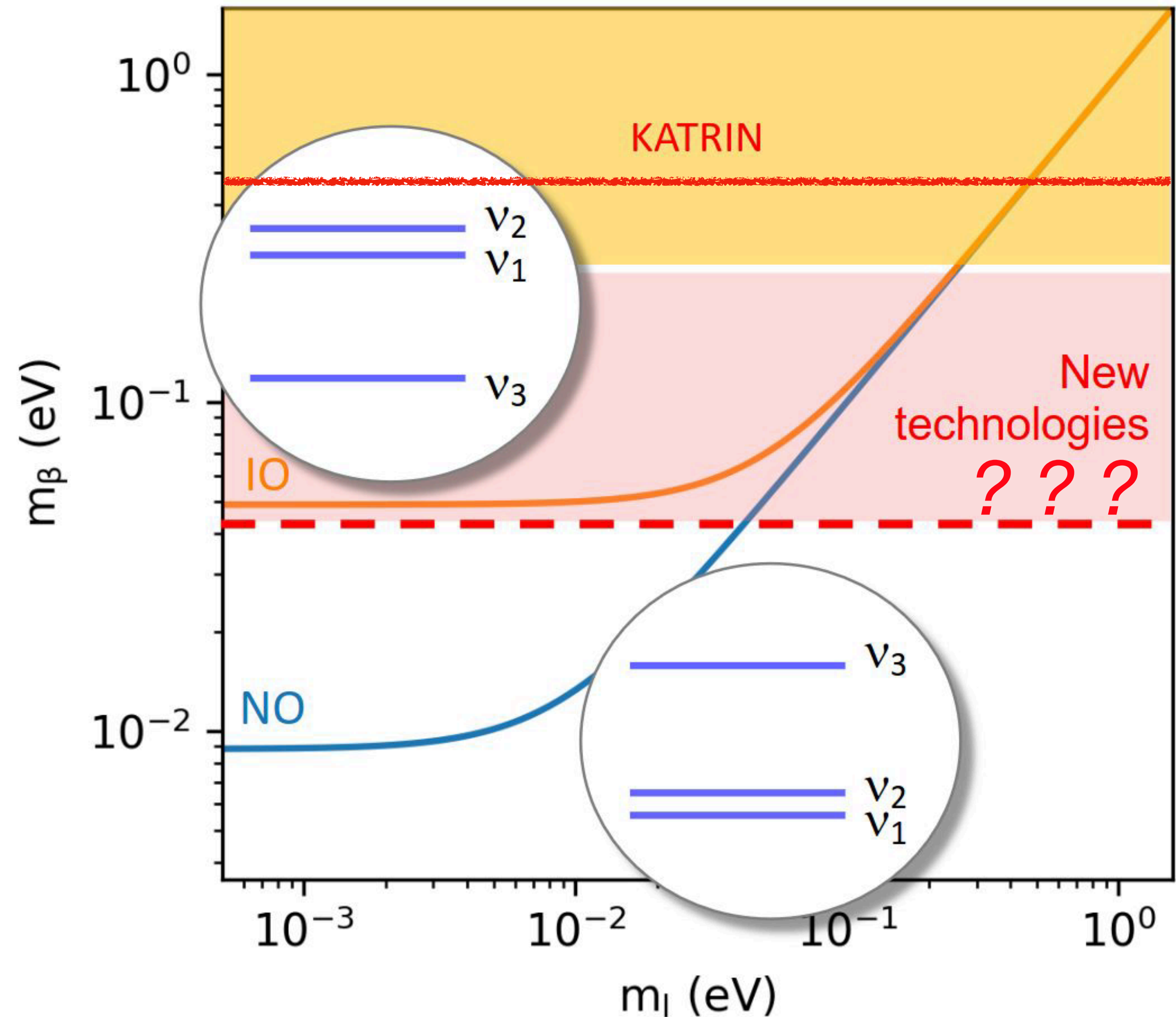


Remember that plot I showed you earlier?

KATRIN already limits
the electron neutrino
mass to $800 \text{ meV}/c^2$

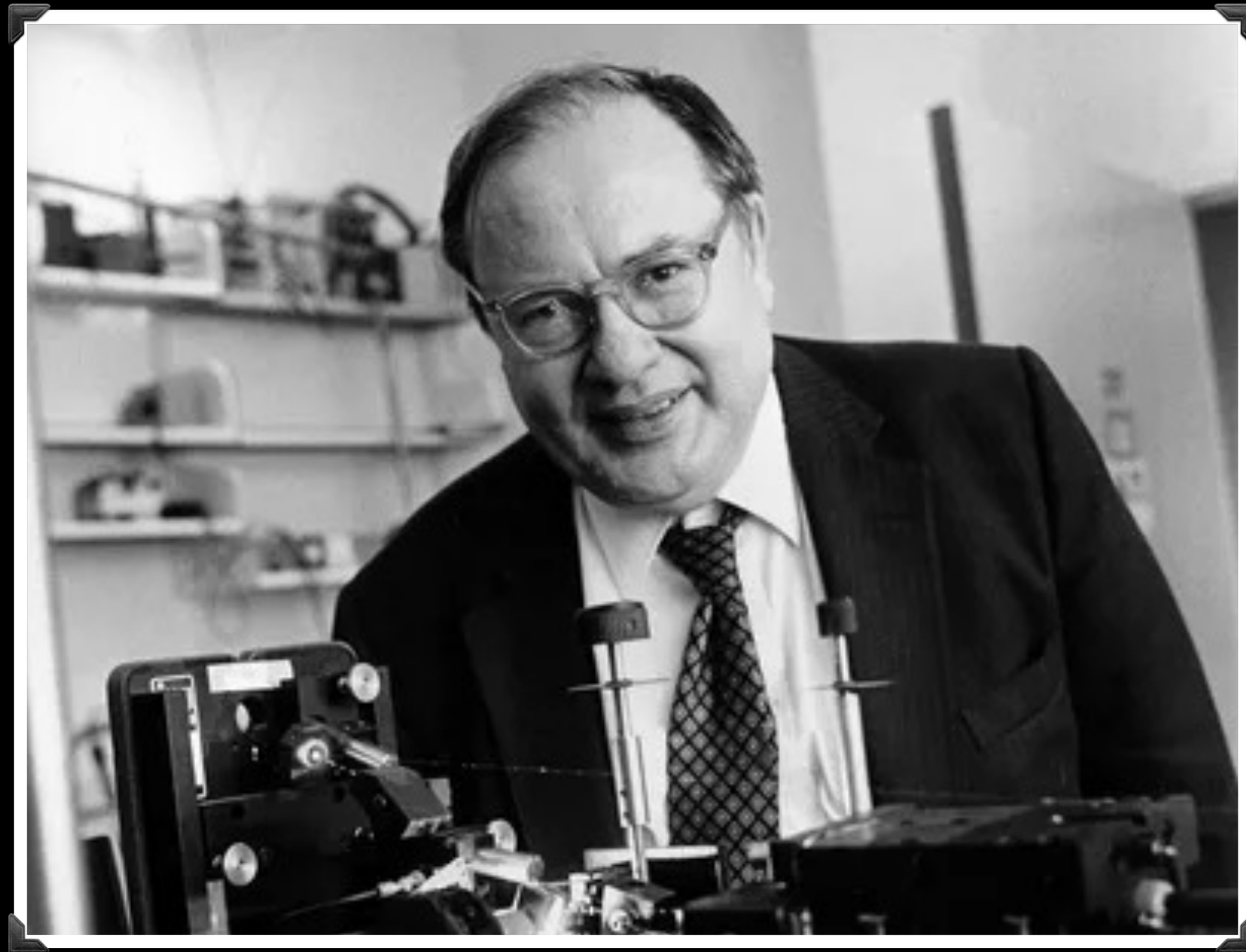
Will reach $\sim 300 \text{ meV}/c^2$
by 2025.

But what if it's lower?





Frequency-Based
(Cyclotron Radiation Emission
Spectroscopy)



Inspiration:

“Never measure anything but frequency!”

Arthur Schawlow, co-inventor of the laser
and 1981 Nobel Prize winner

Cyclotron Radiation Emission Spectroscopy (CRES)

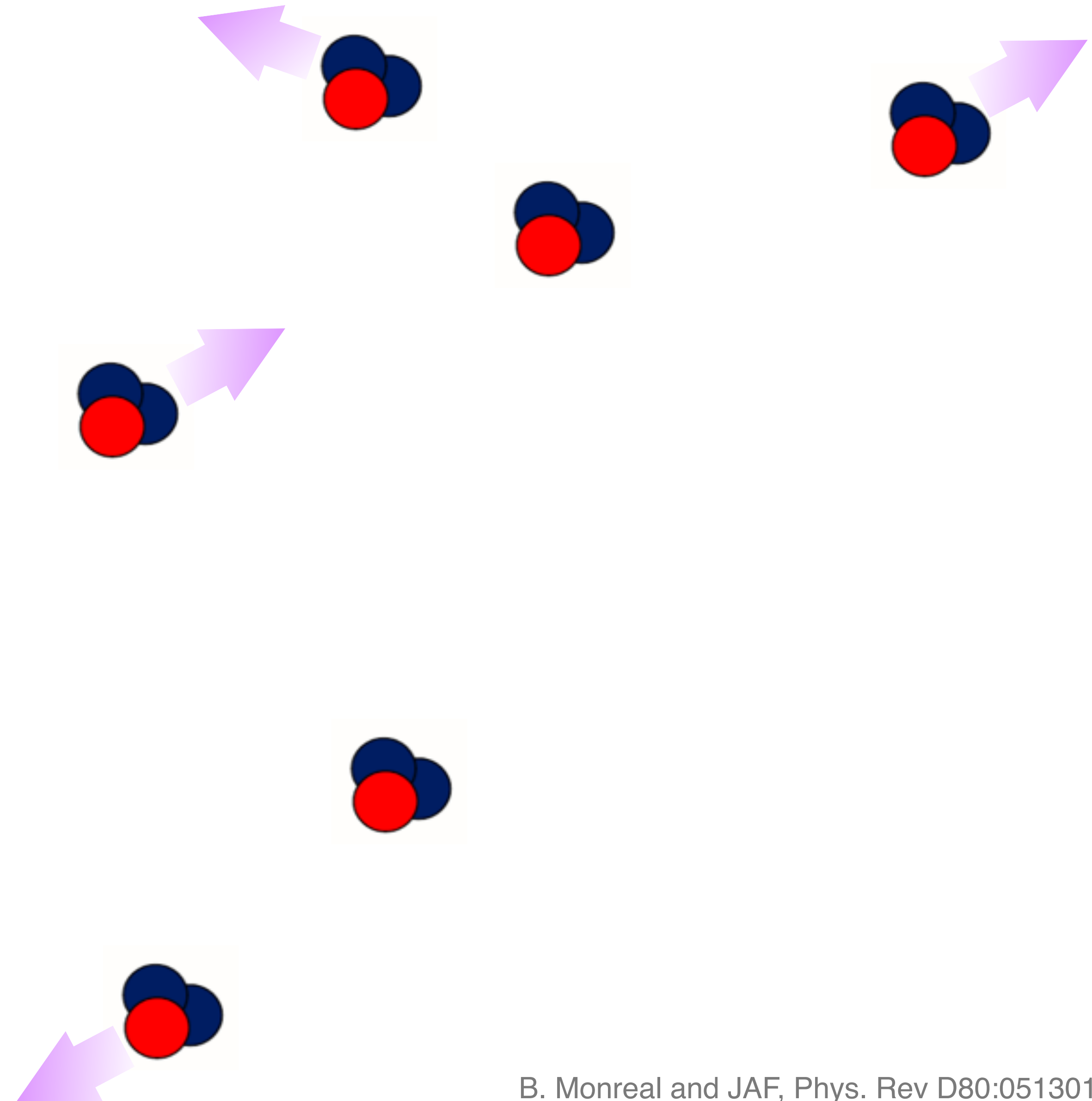
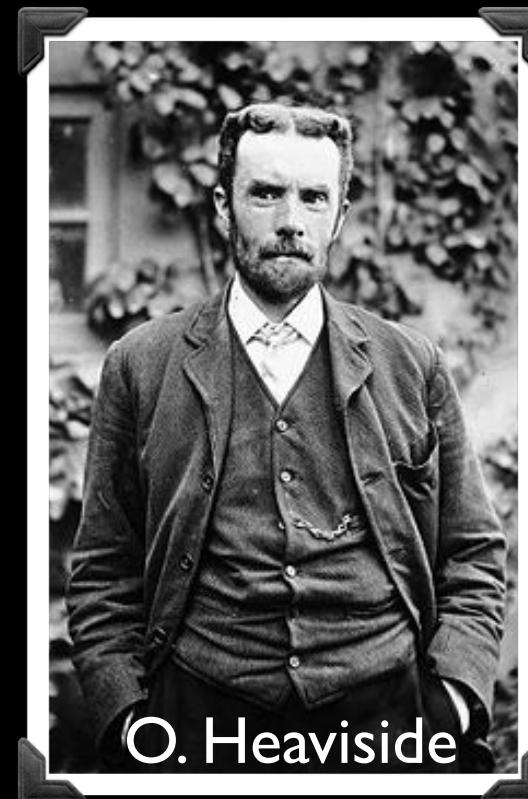
Use radiation from
cyclotron motion to
measure energy of electron.

Frequency of radiation



Kinetic energy

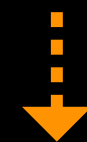
$$f_c = \frac{f_{c,0}}{\gamma} = \frac{1}{2\pi} \frac{eB}{m_e + E_{\text{kin}}/c^2}$$



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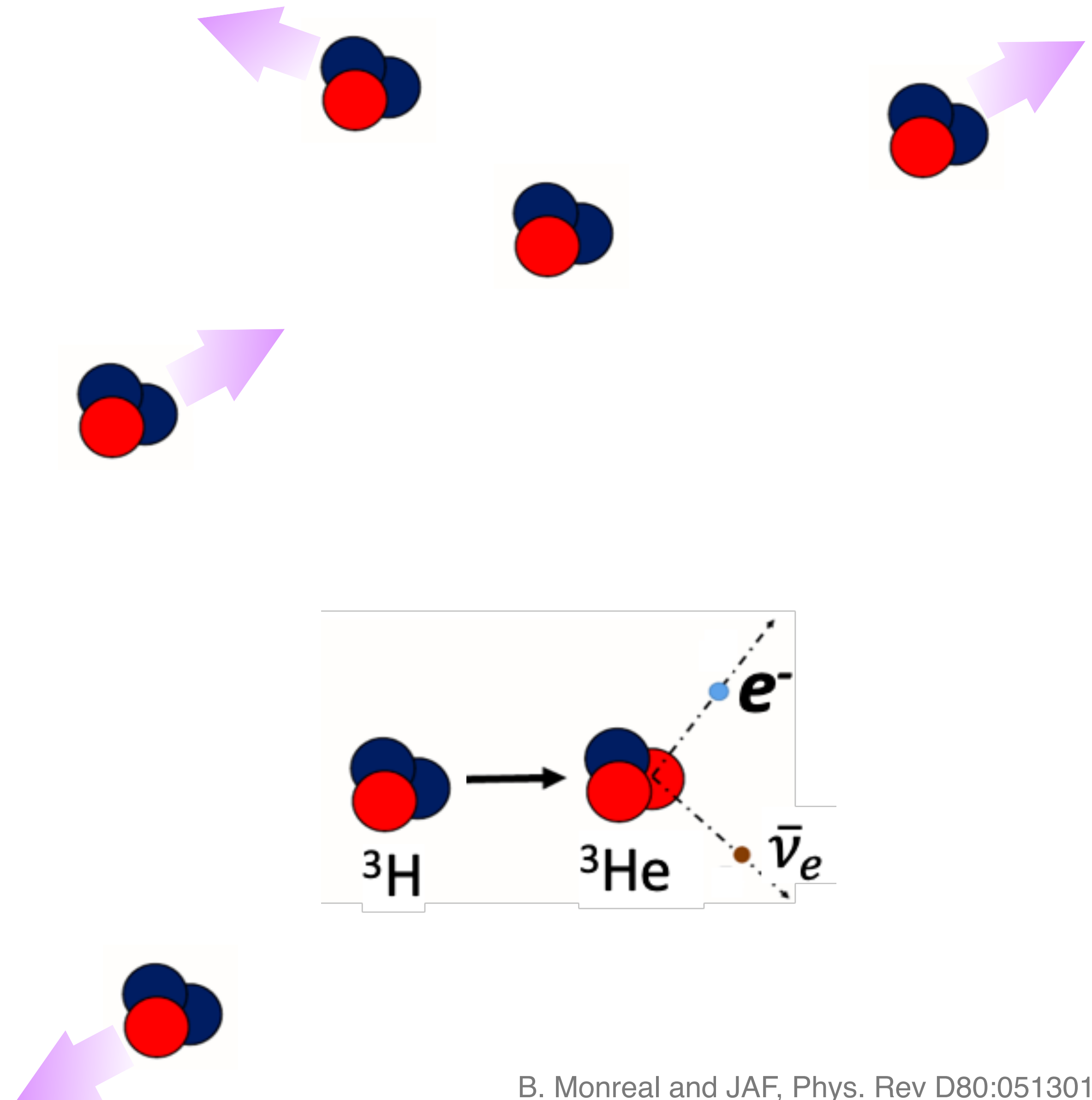
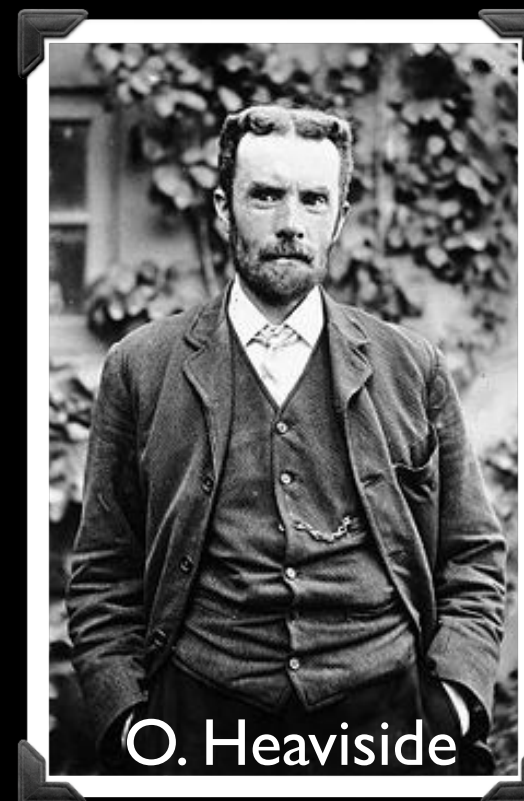
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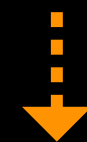
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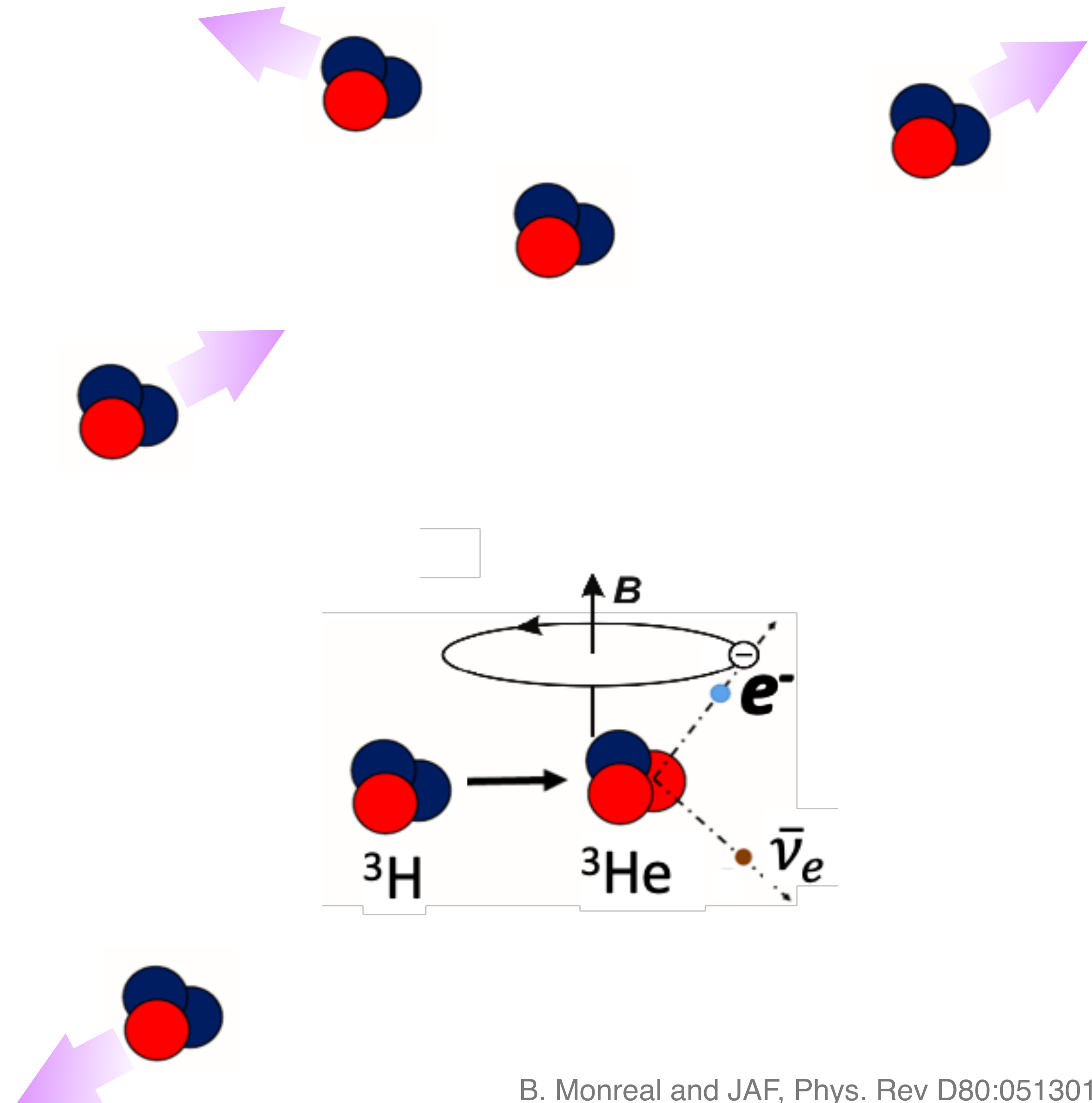
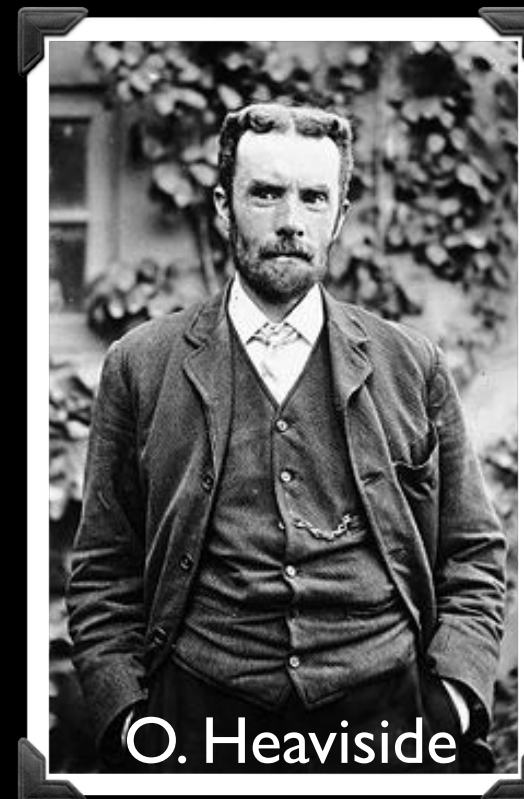
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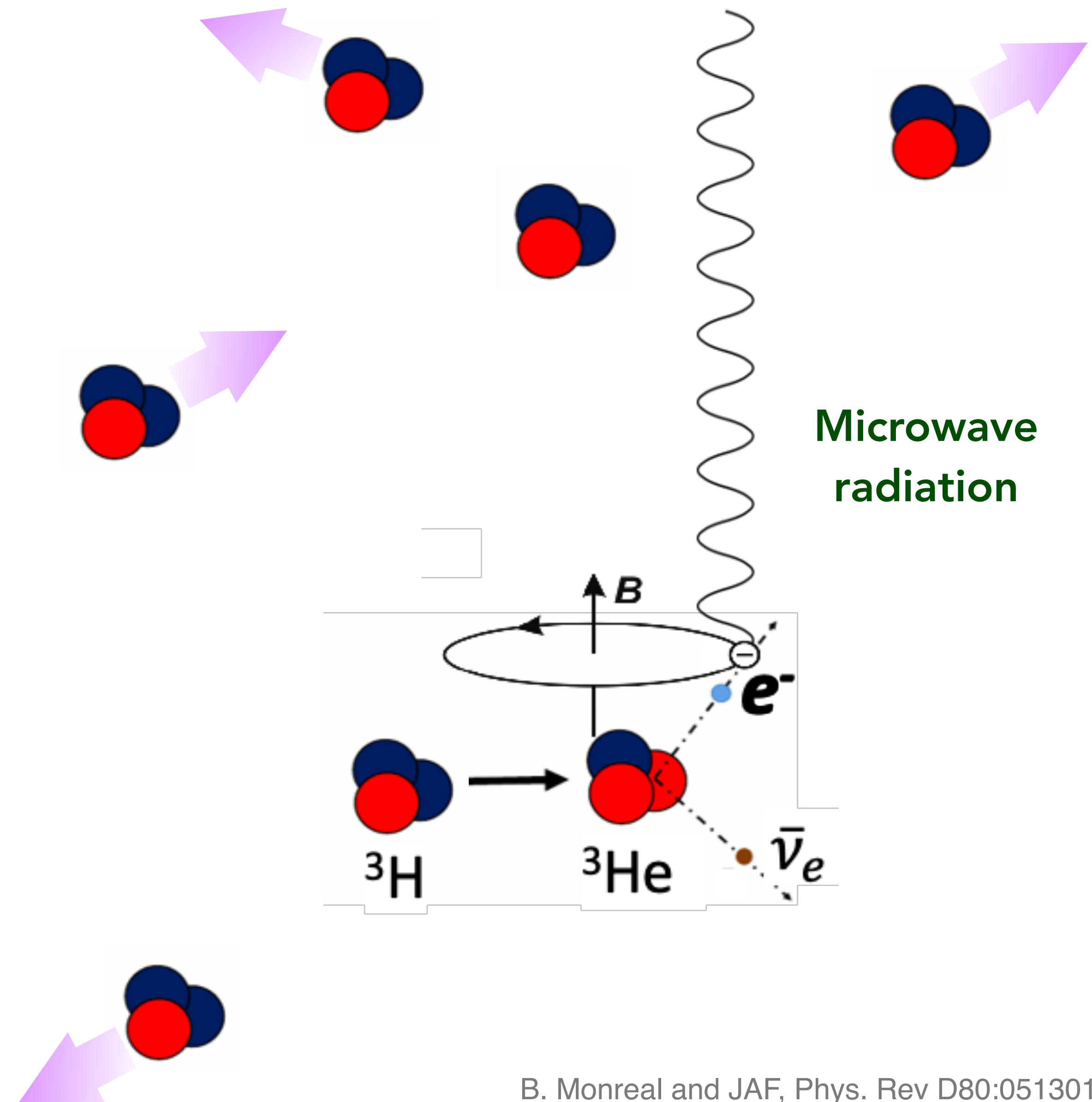
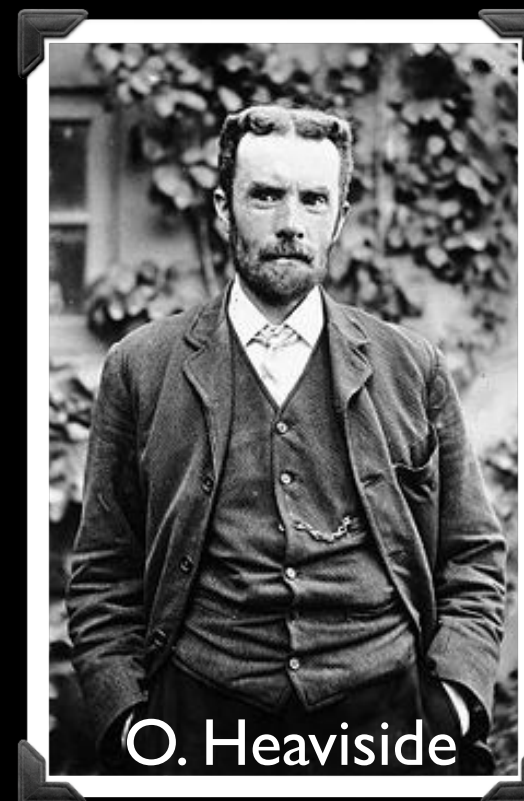
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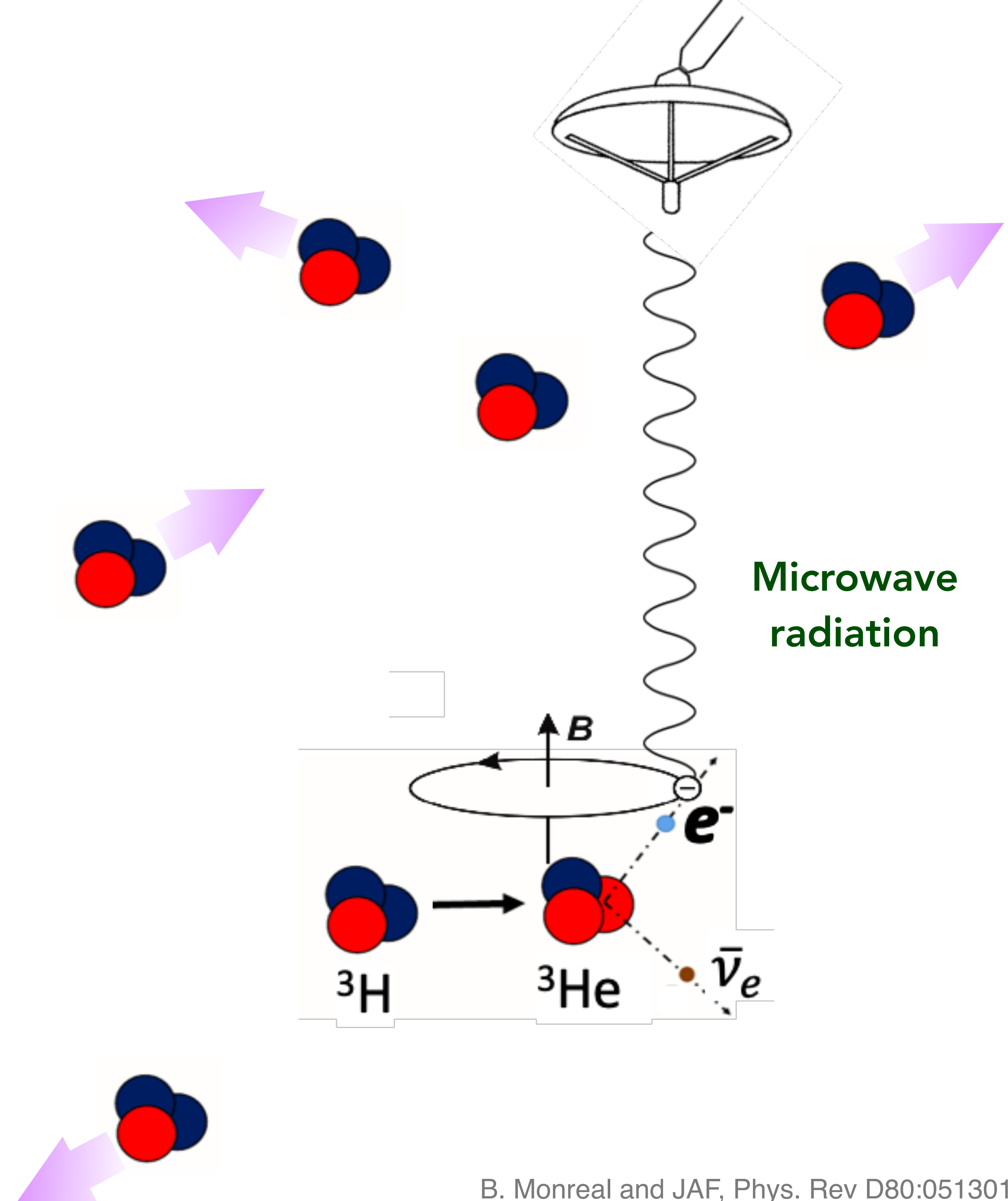
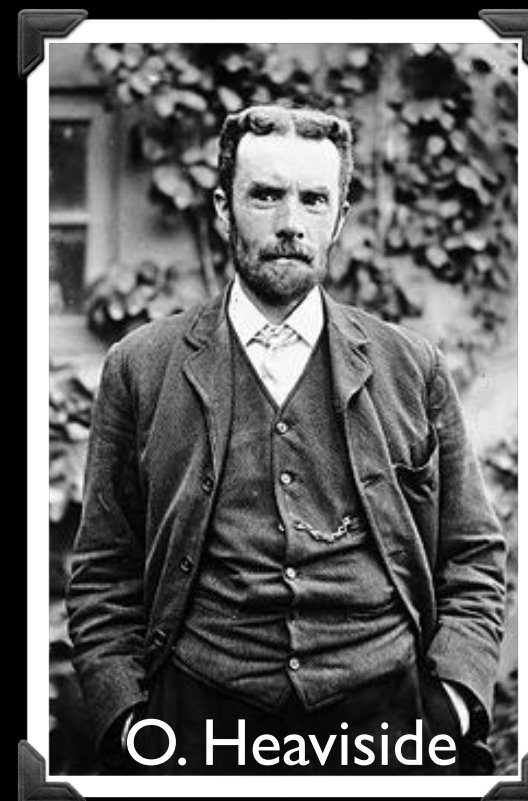
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Advantages of CREES

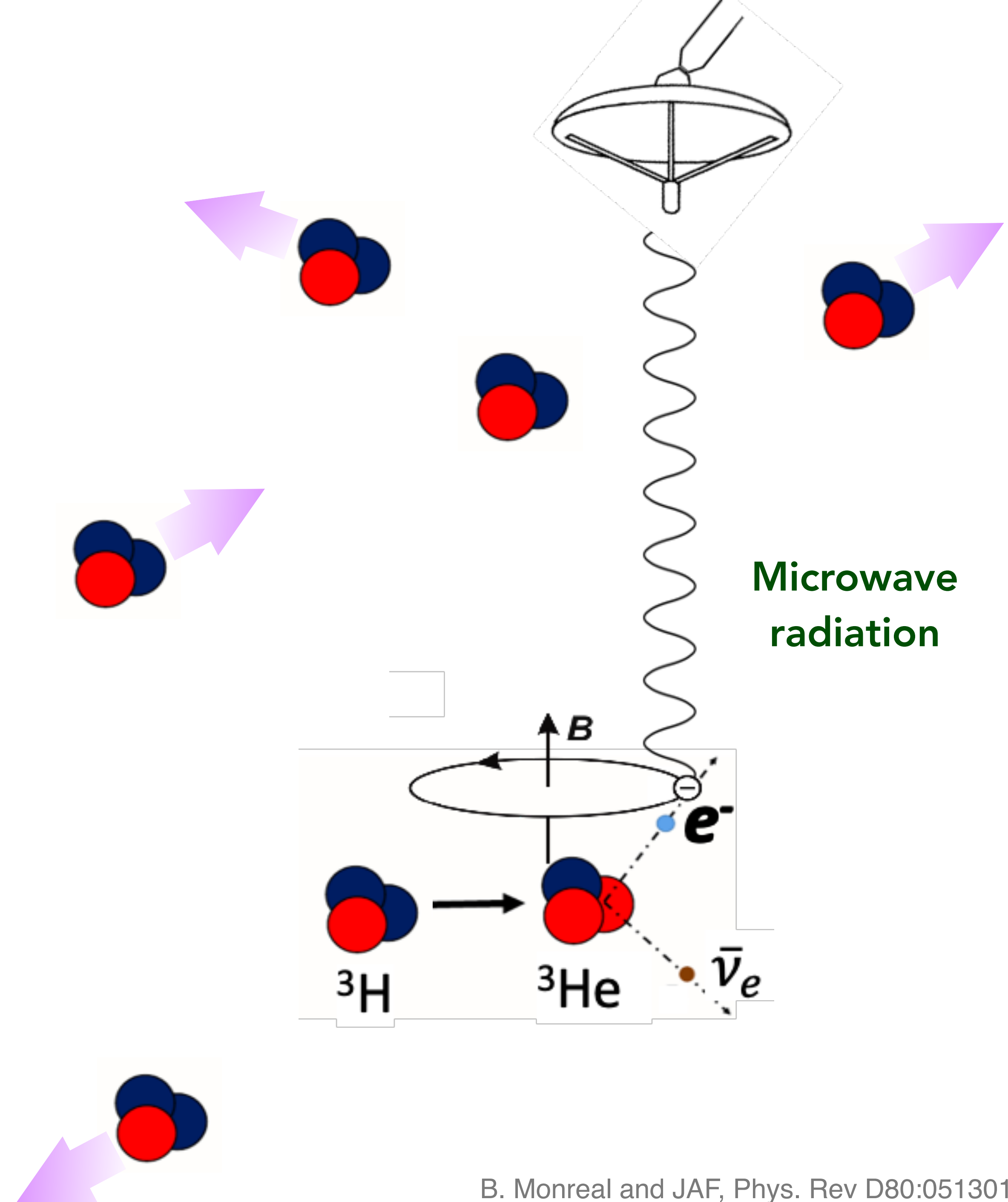
Frequency measurement

Transparency to microwave radiation

Differential spectrometer

Compatible with atomic tritium

Low background



Advantages of CREES

Frequency measurement

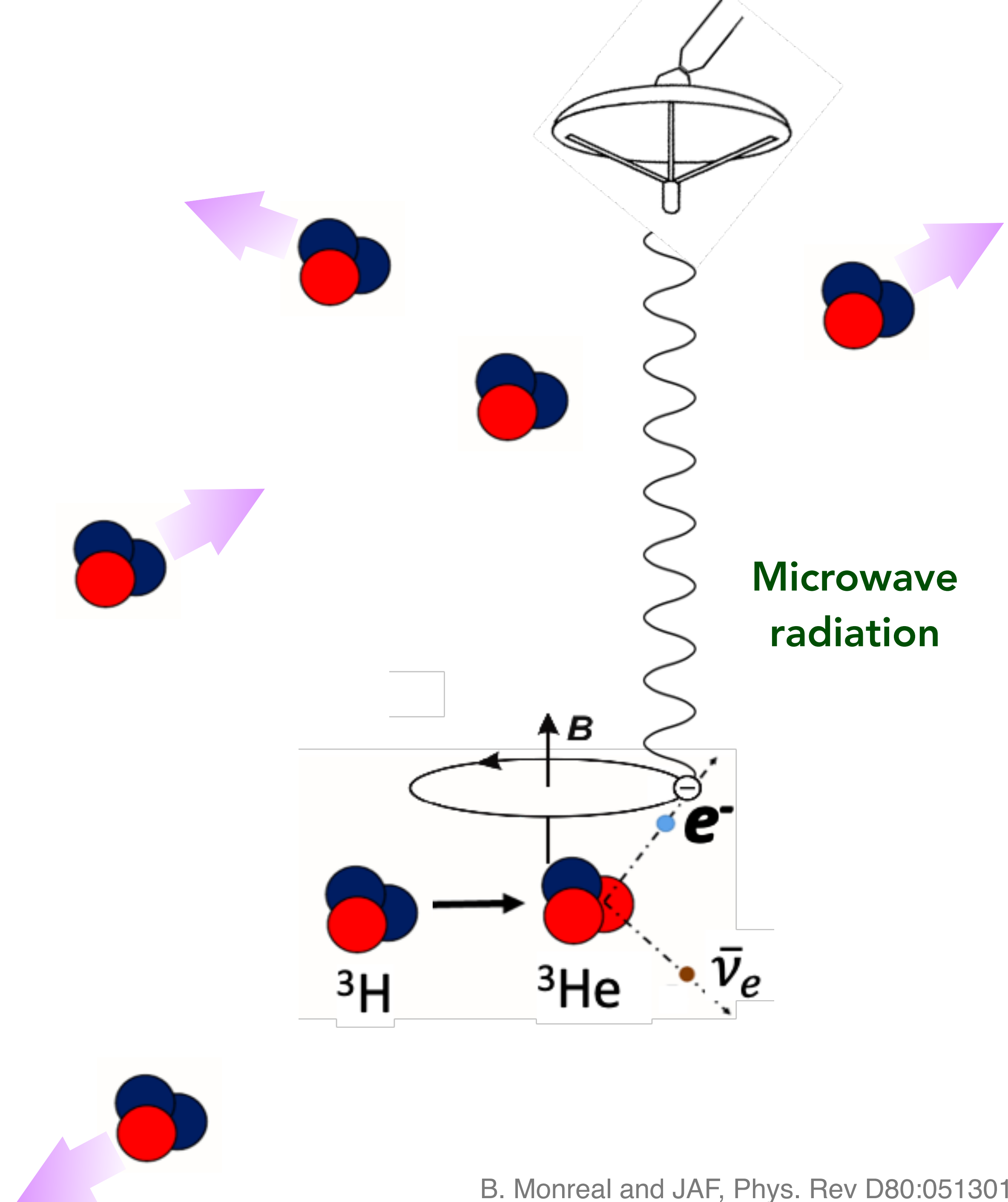


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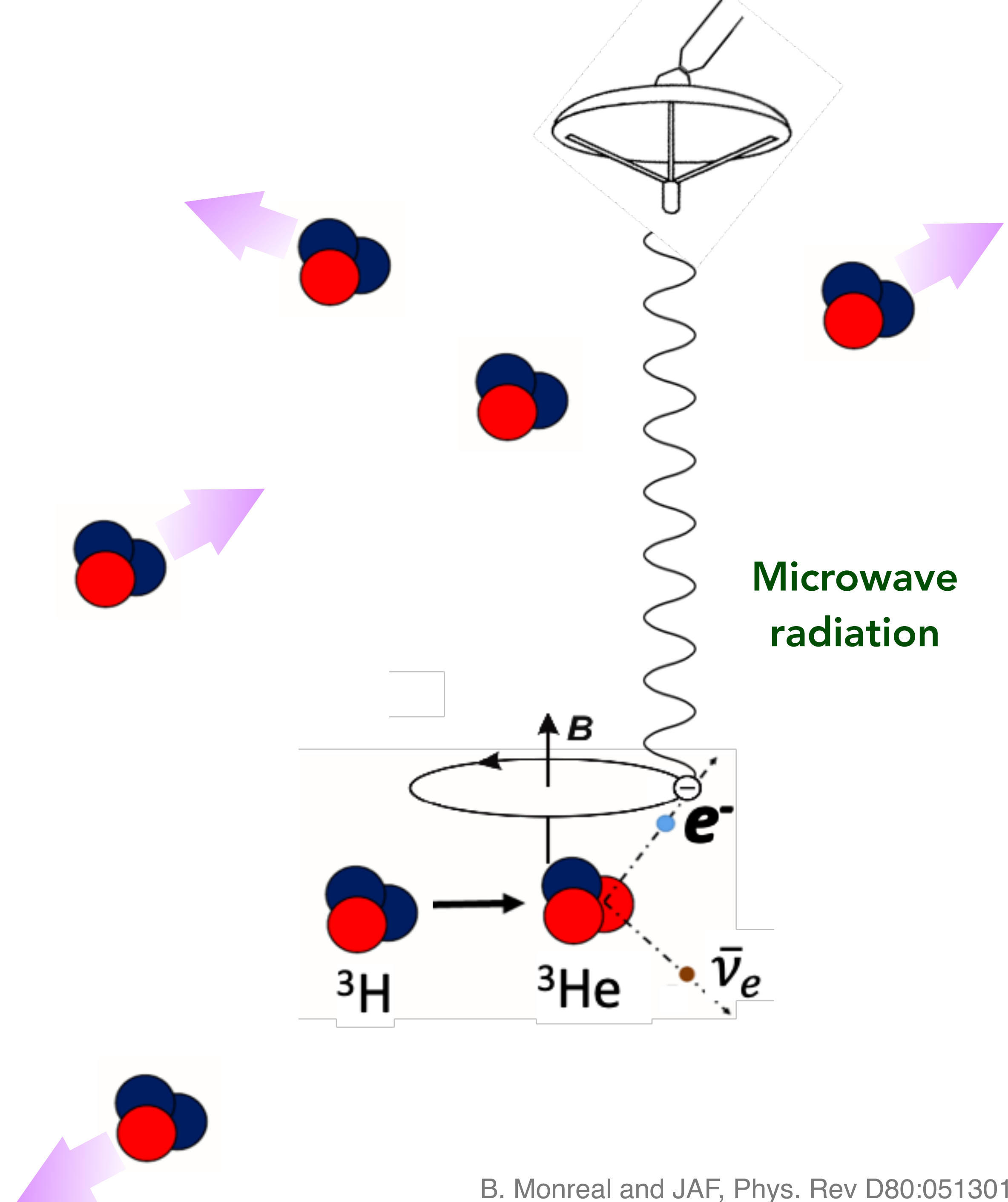
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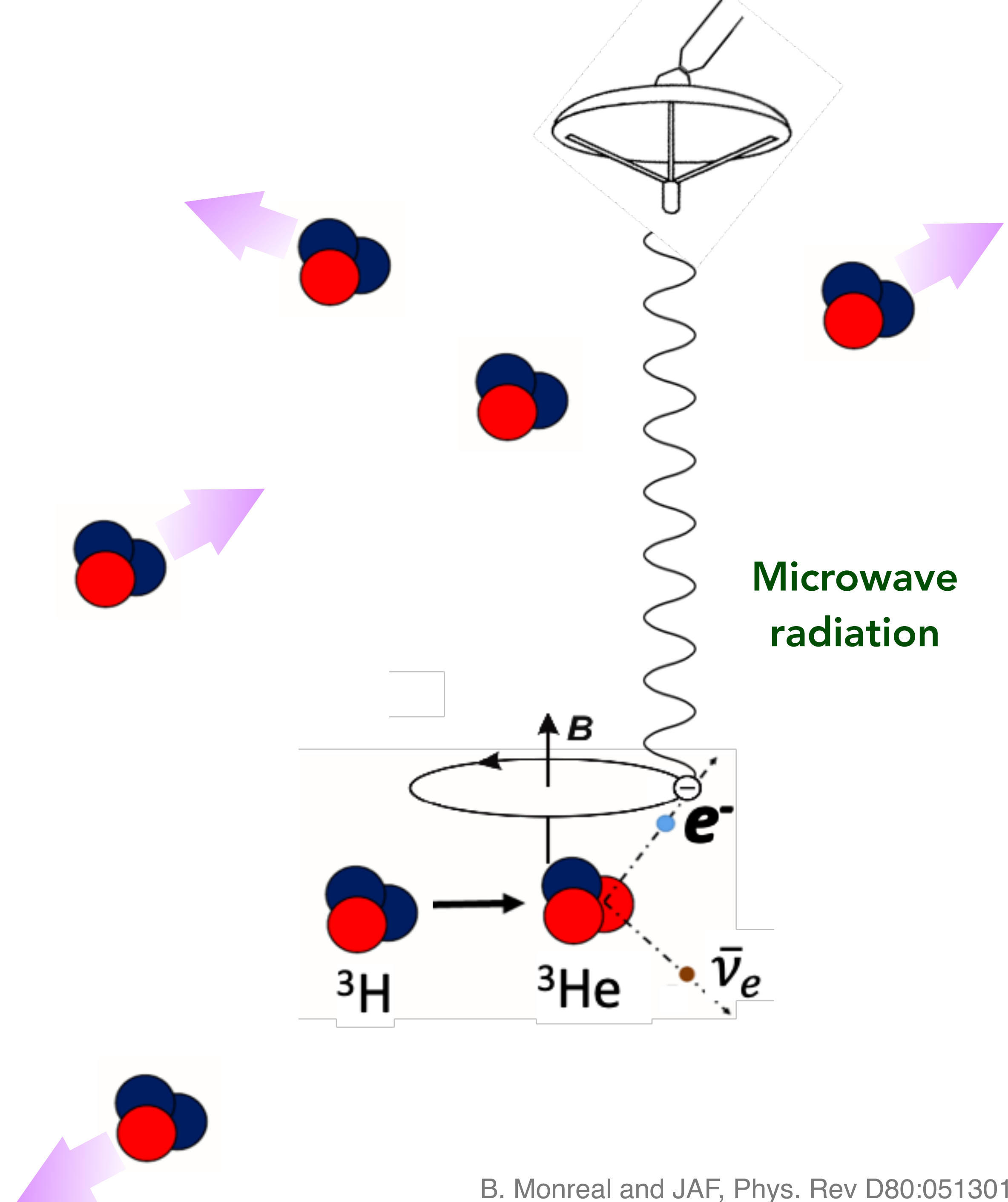
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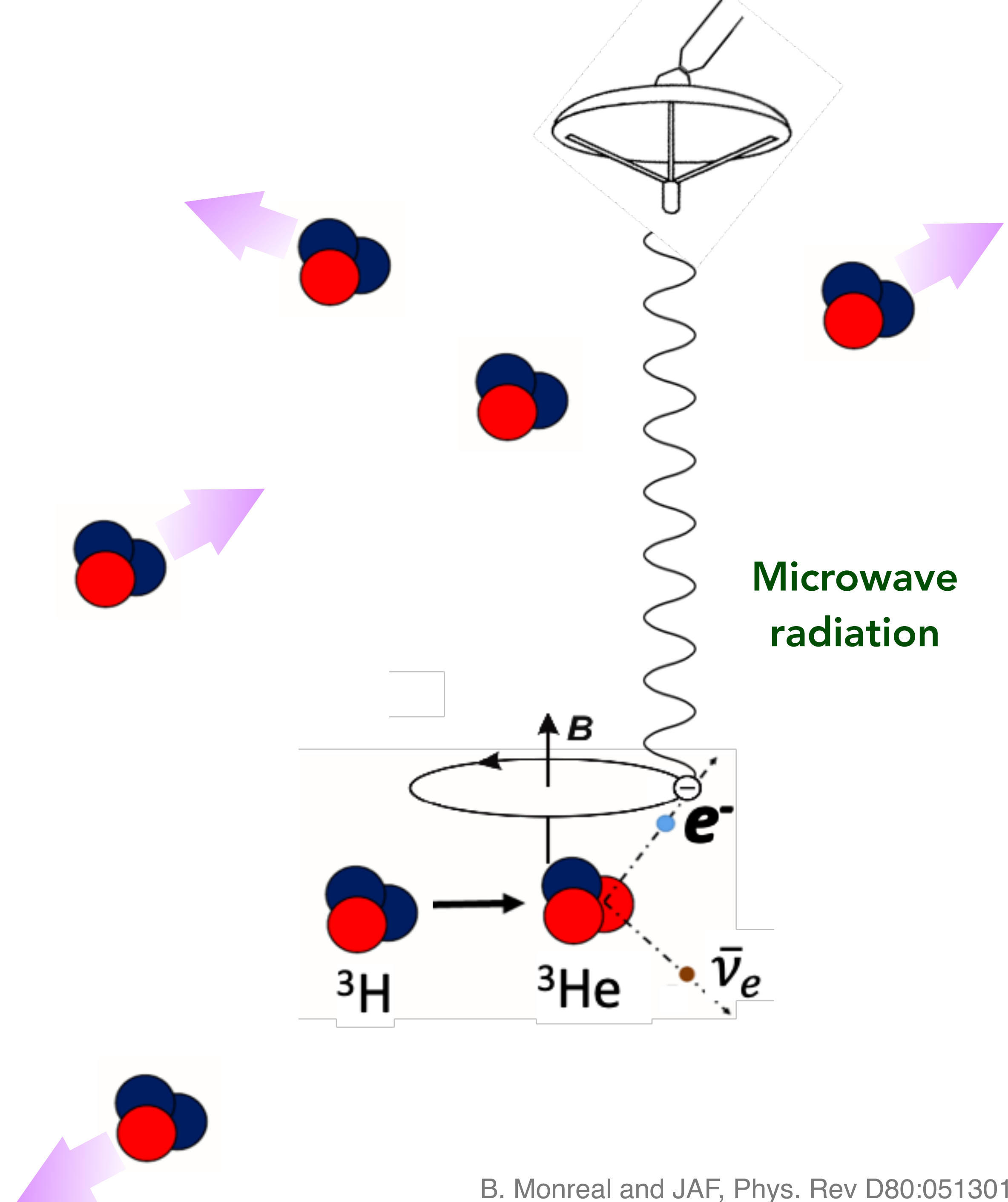
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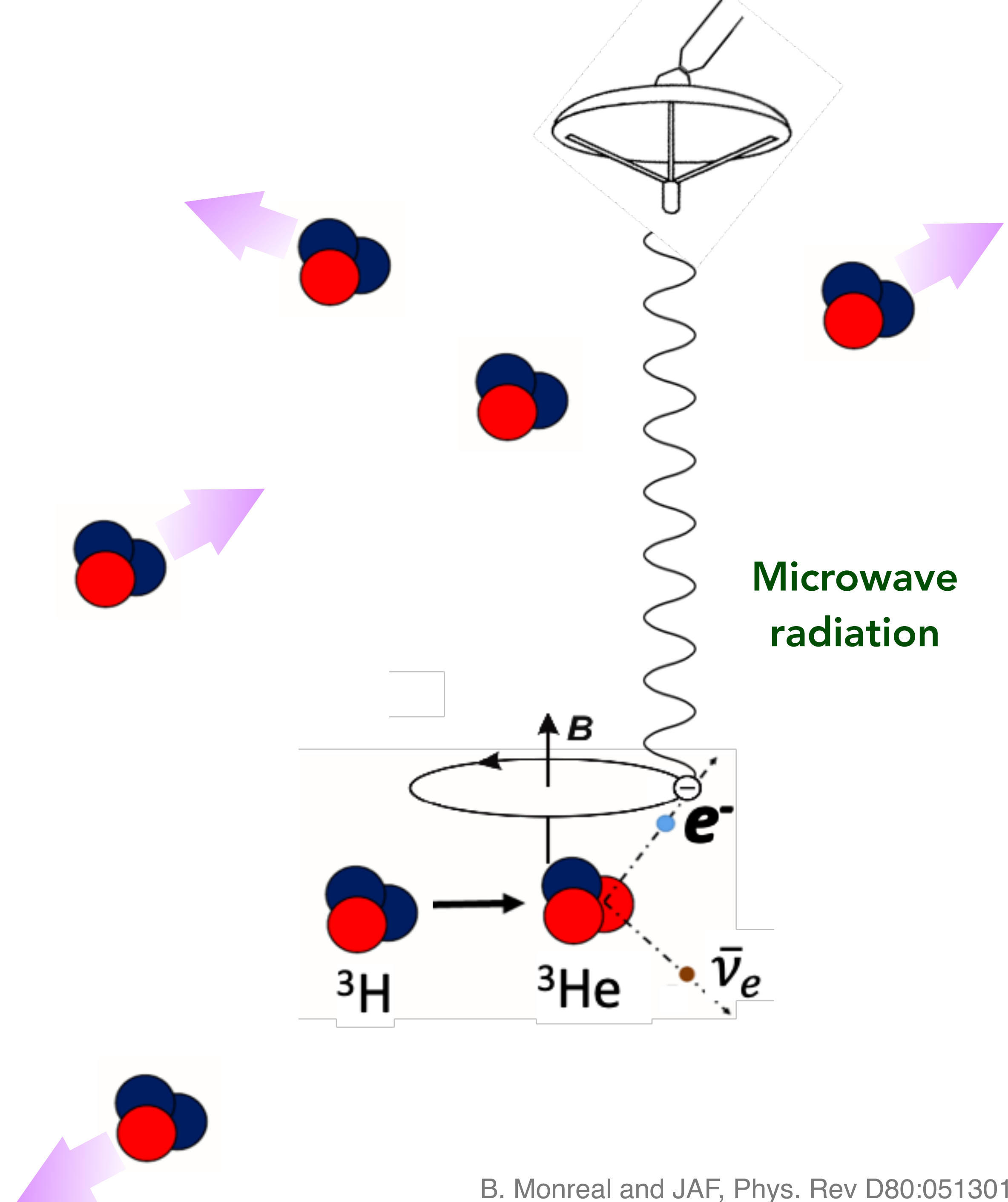
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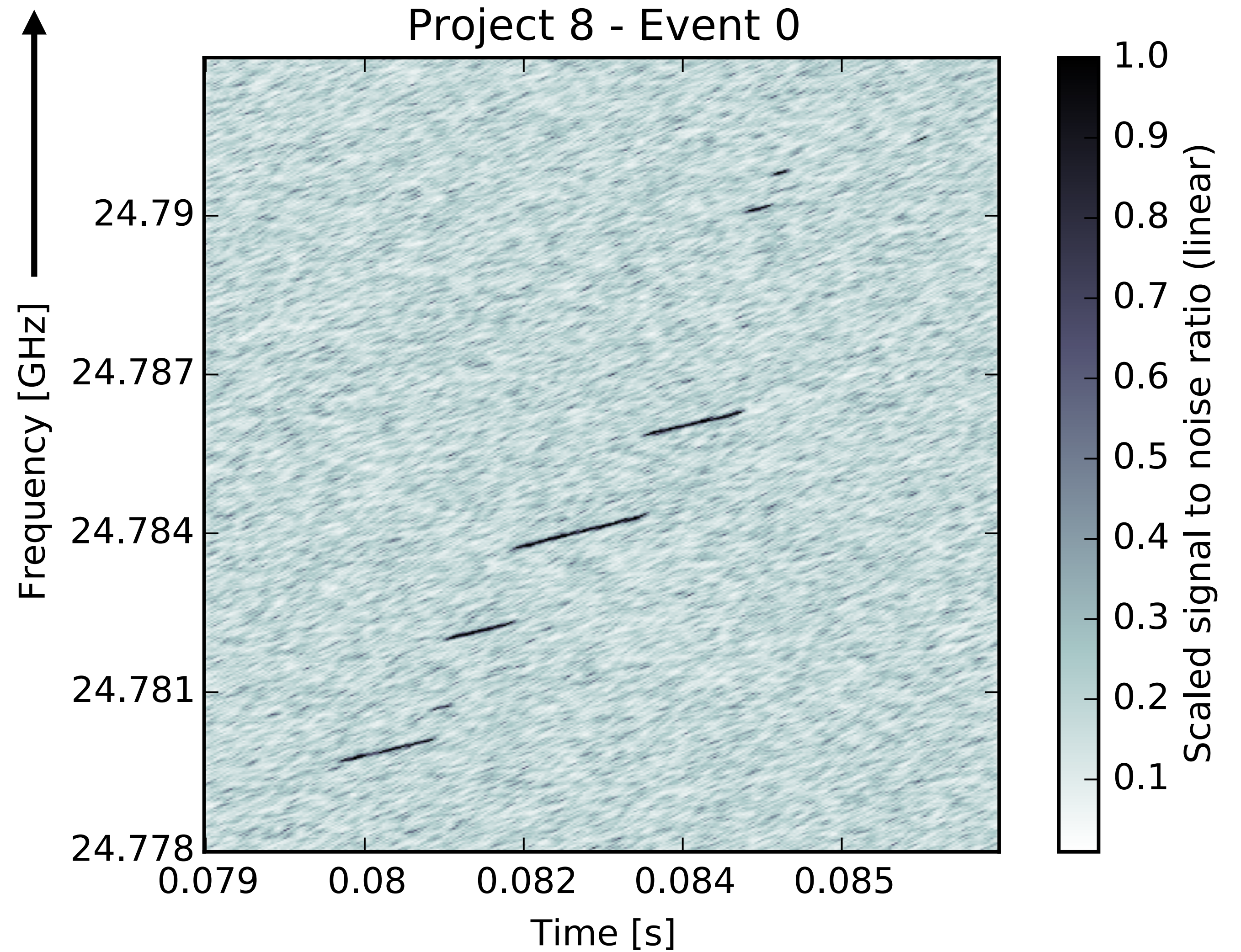




A moment to acknowledge the Project 8 collaboration...

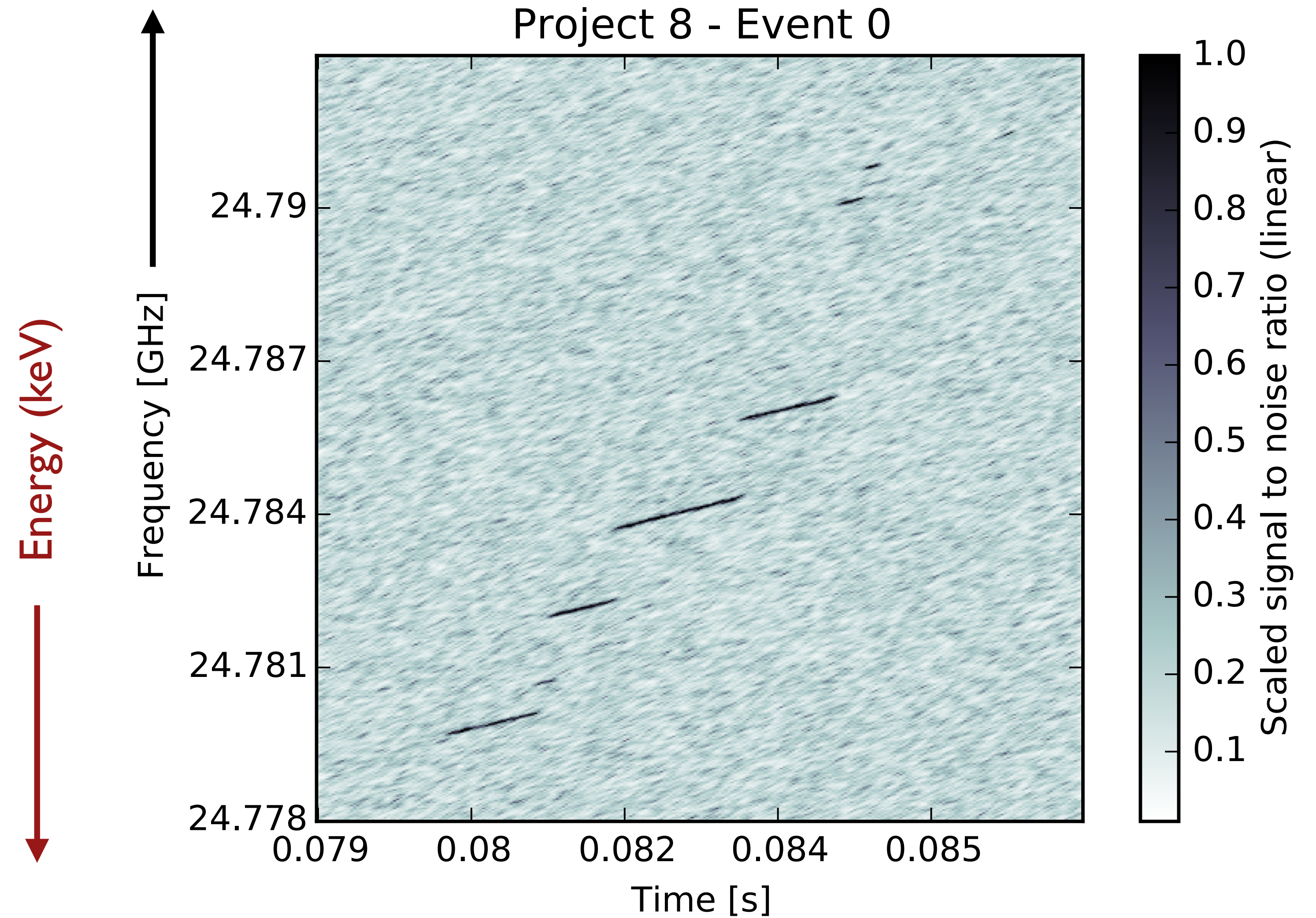
PROJECT 8

What does a
“typical” event
look like?



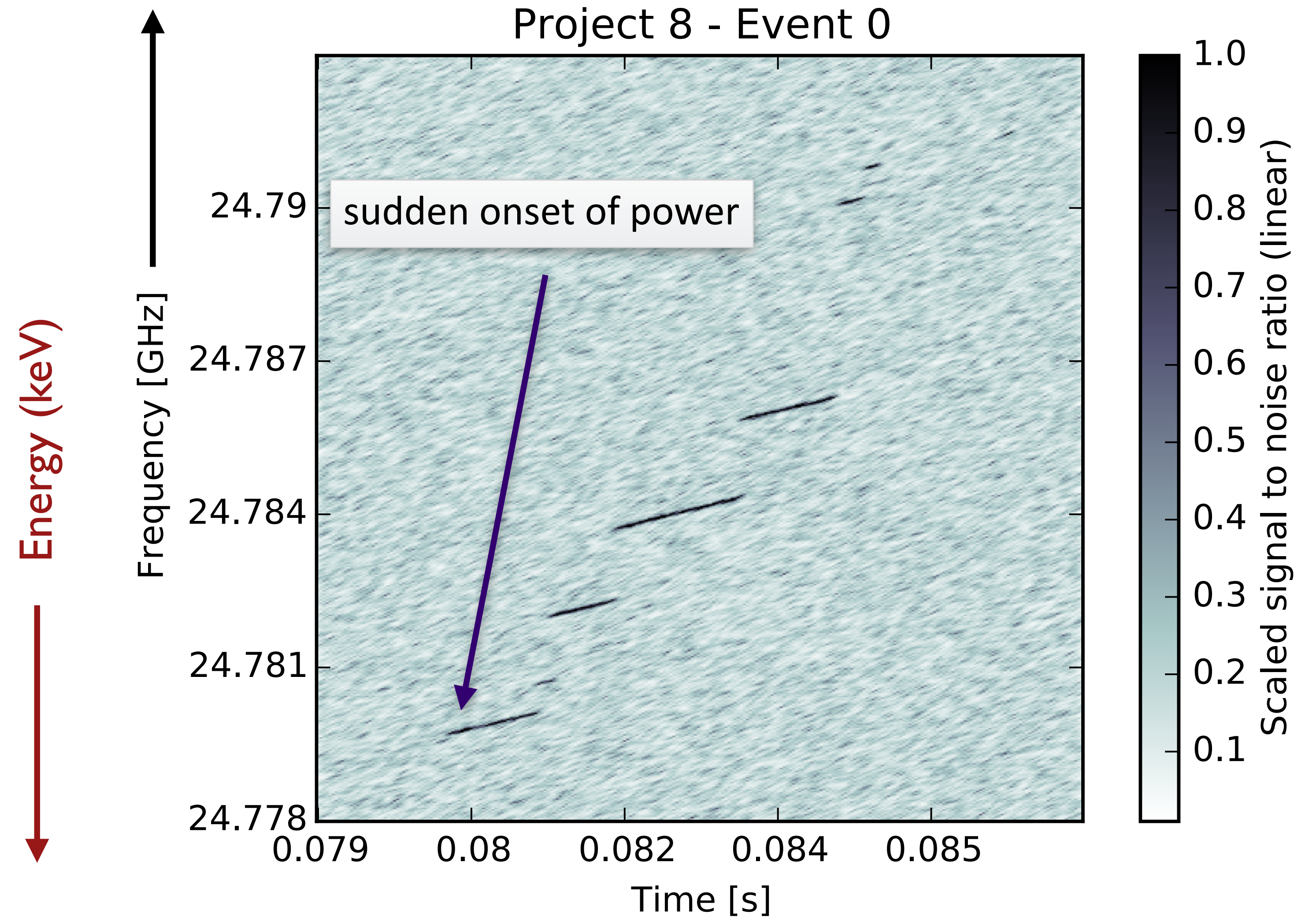
$$f_c = \frac{f_{c,0}}{\gamma} = \frac{1}{2\pi} \frac{eB}{m_e + E_{\text{kin}}/c^2}$$

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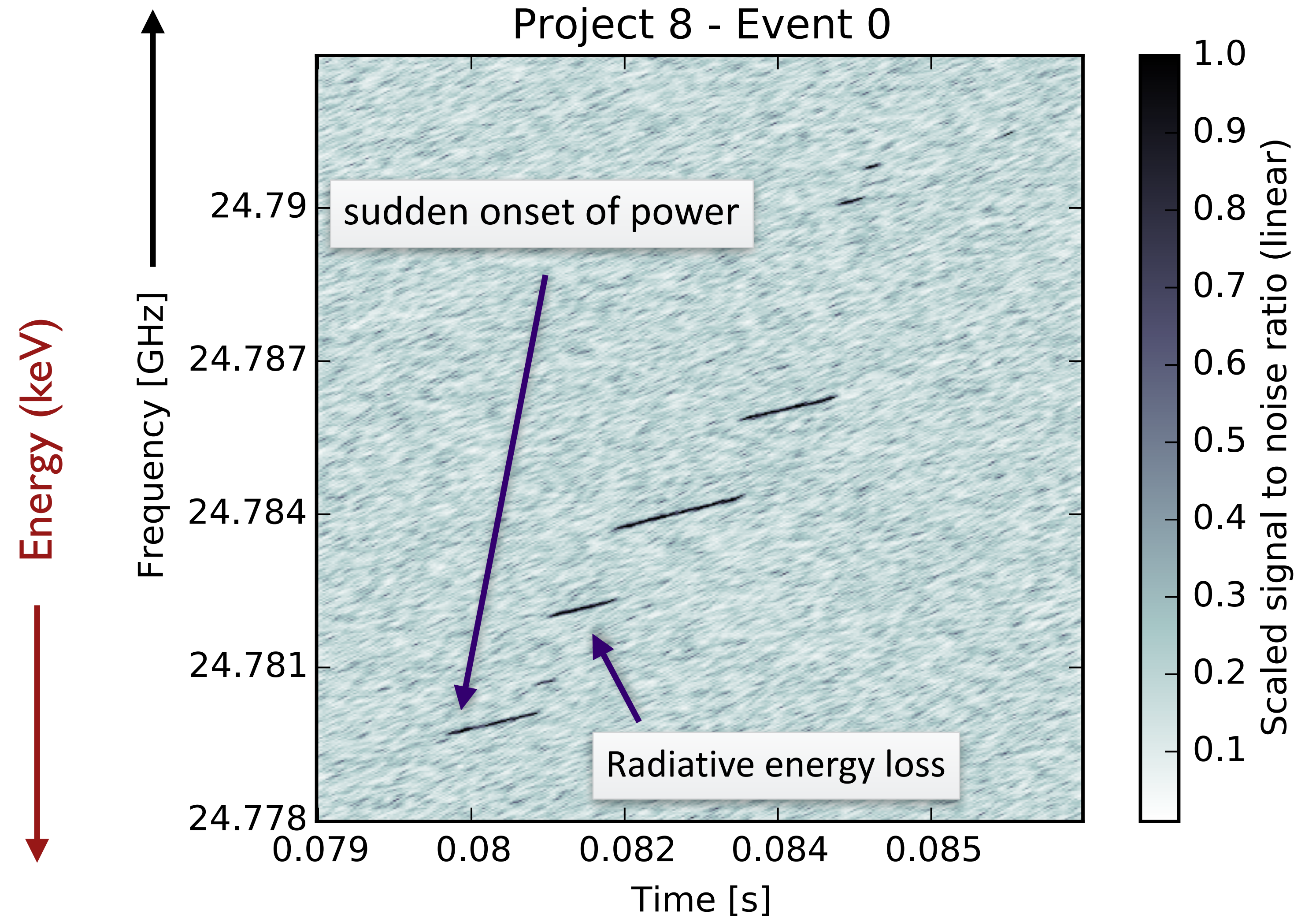
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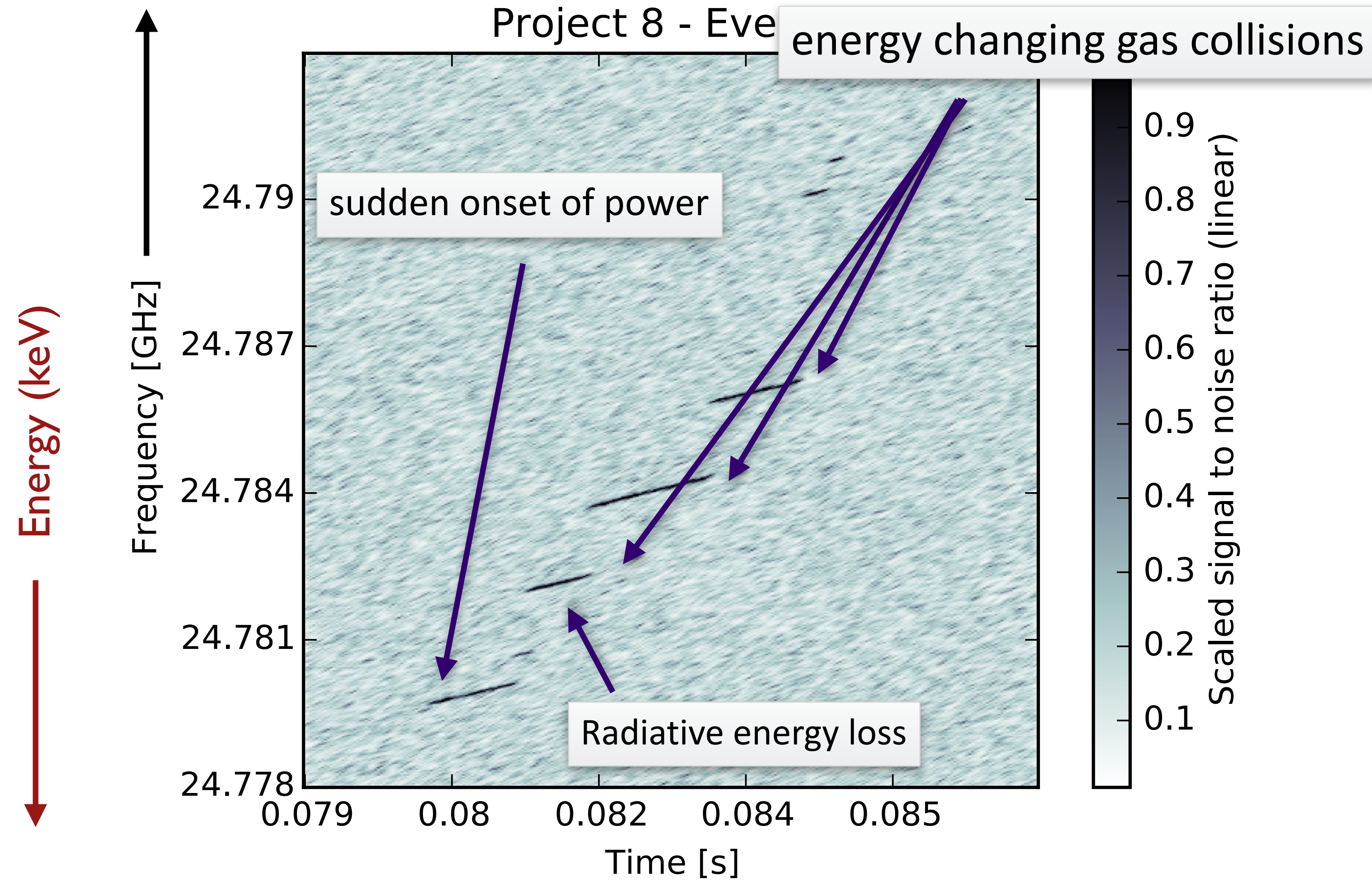
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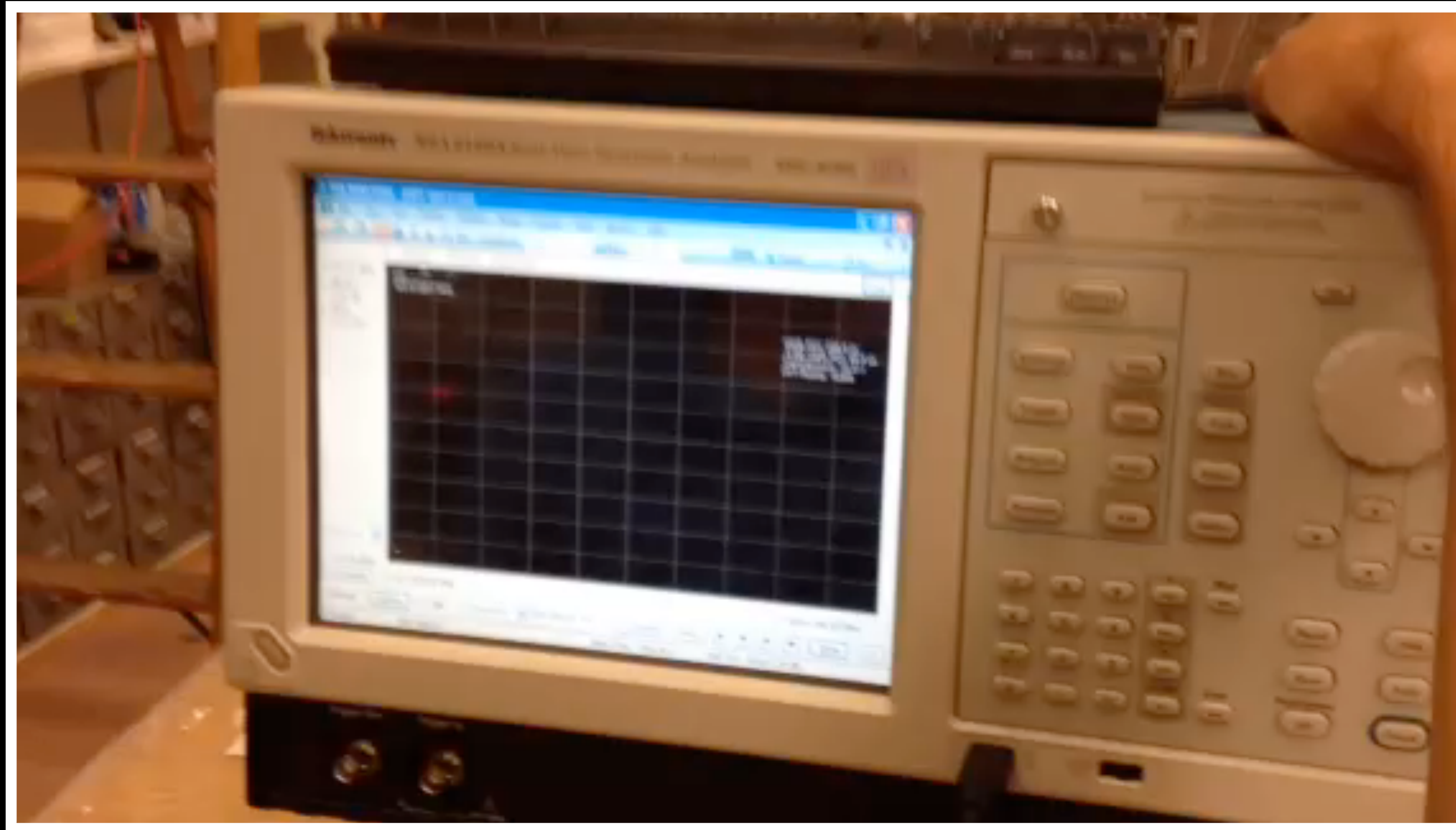
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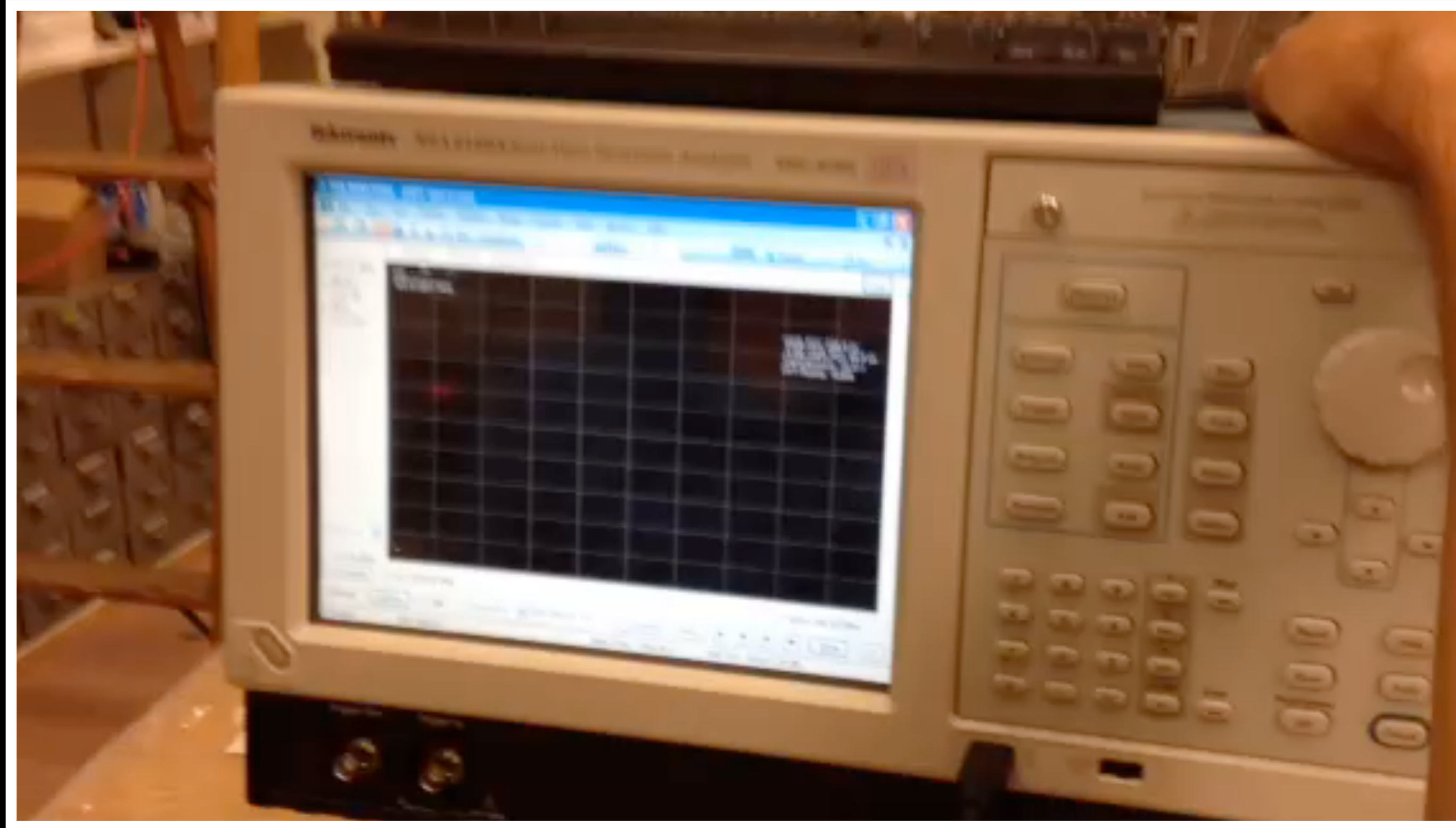
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Rare moments in physics



*Brent VanDevender as he watches 30.2 keV CRES electrons come in.

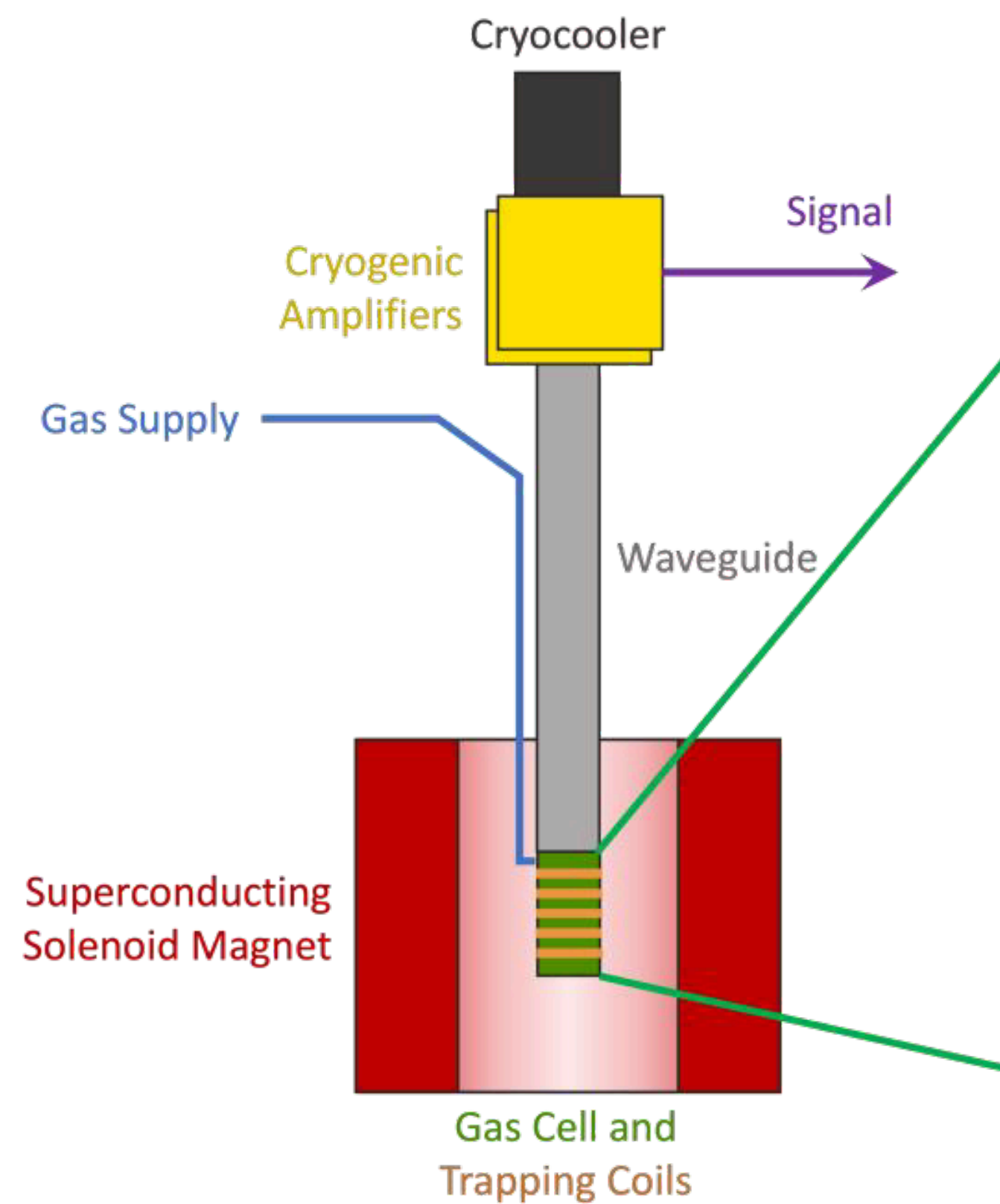
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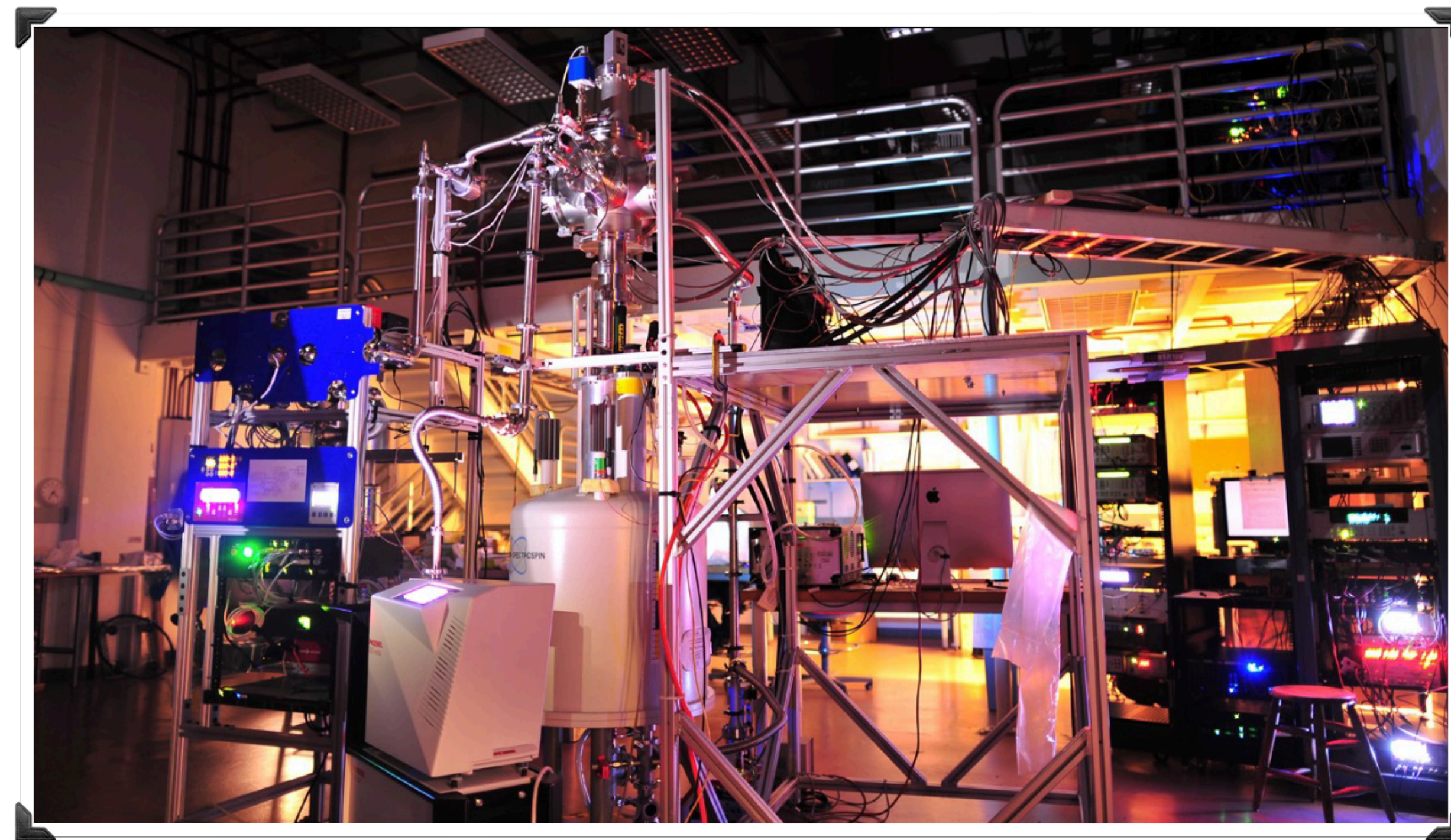
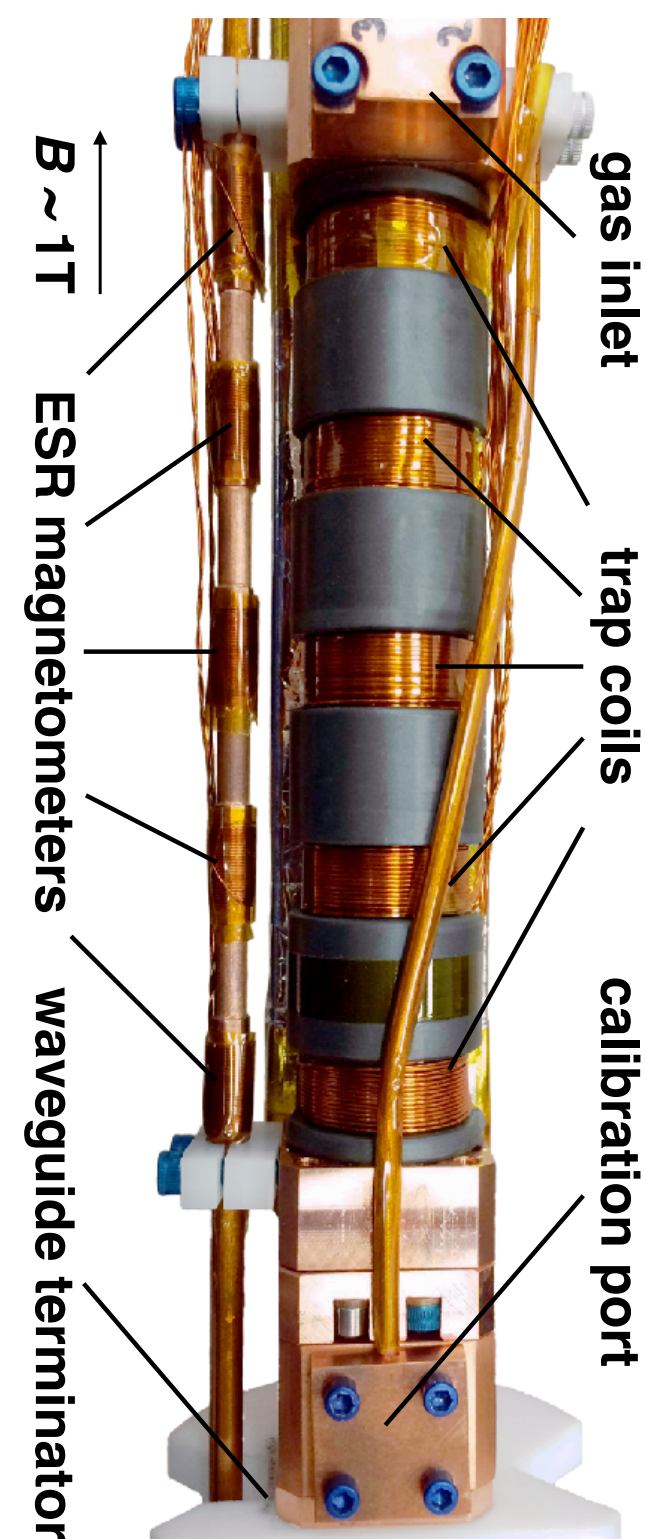
*Brent VanDevender as he watches 30.2 keV CRES electrons come in.

What does the apparatus look like?

Concept



Reality



How did we do?

Energy resolution on $^{83\text{m}}\text{Kr}$: 1.66 eV

(That's a frequency measurement
of 3 parts per million)

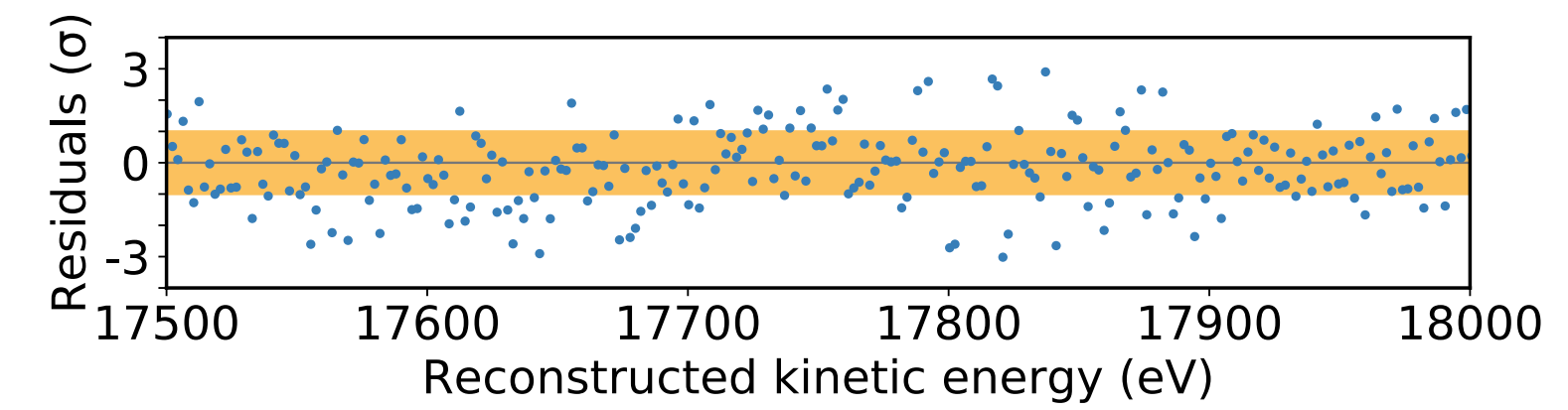
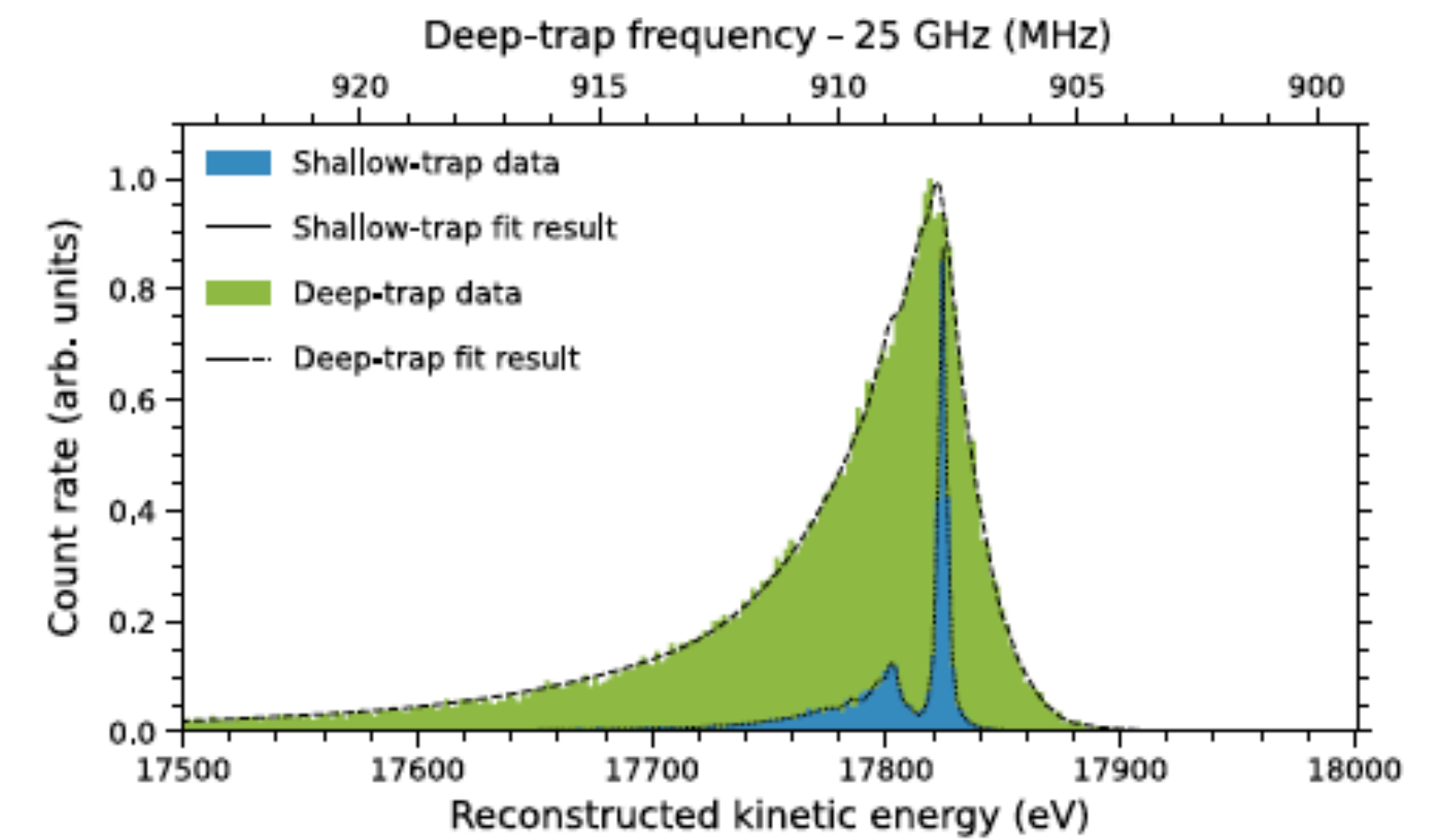
First CRES mass measurement:

$$m_\beta < 155 \text{ eV}$$

(And **no** background seen!)

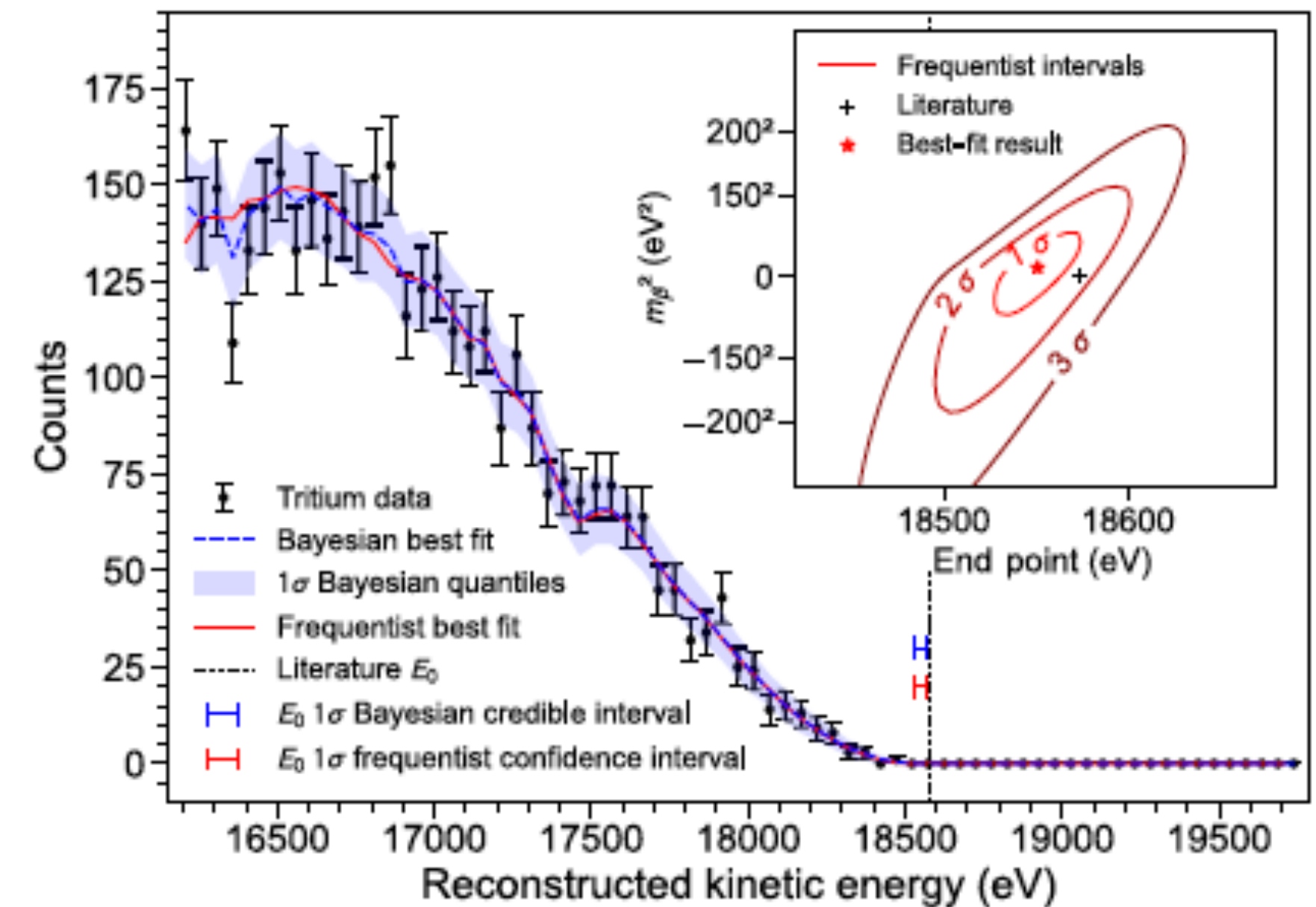
$^{83\text{m}}\text{Kr}$ Spectrum

Ashtari Esfahani et al
Phys. Rev. Lett. 131,
102502 (2023)



1st Tritium Spectrum!

Ashtari Esfahani et al.
arXiv 2303.12055
Accepted to PRC



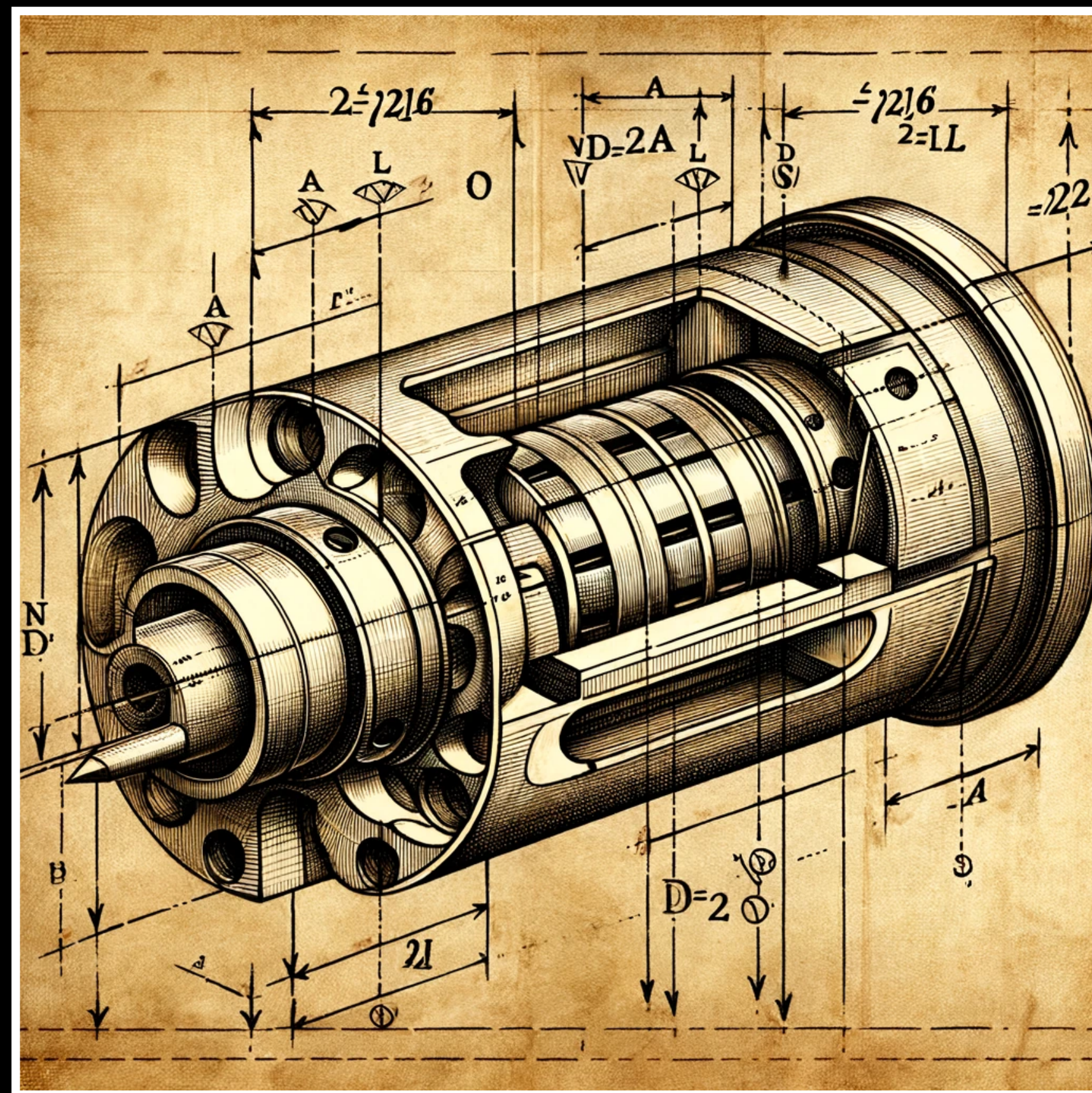


KATRIN



Project 8 Phase II
Spectrometer
(To scale)

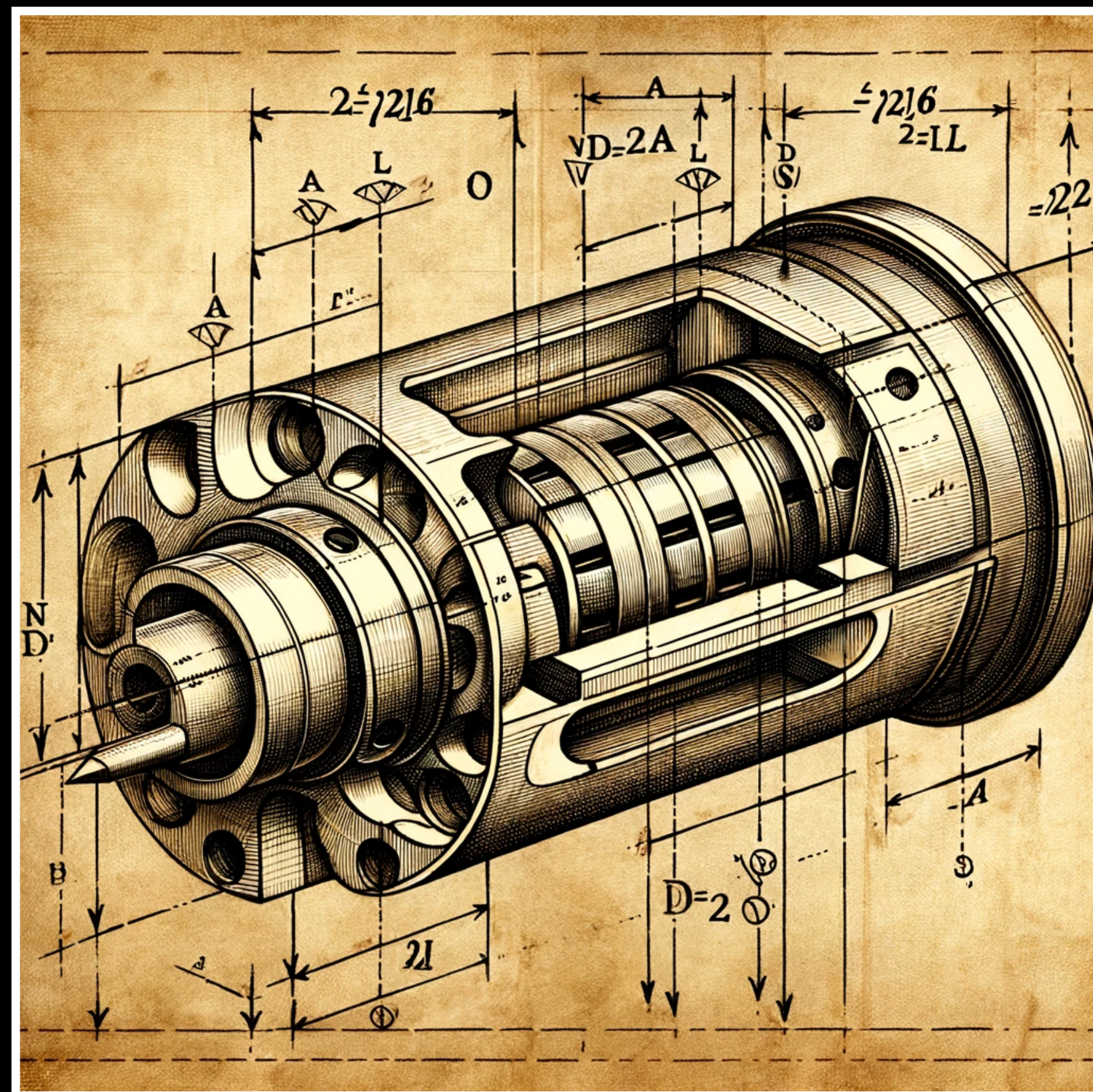
What next?



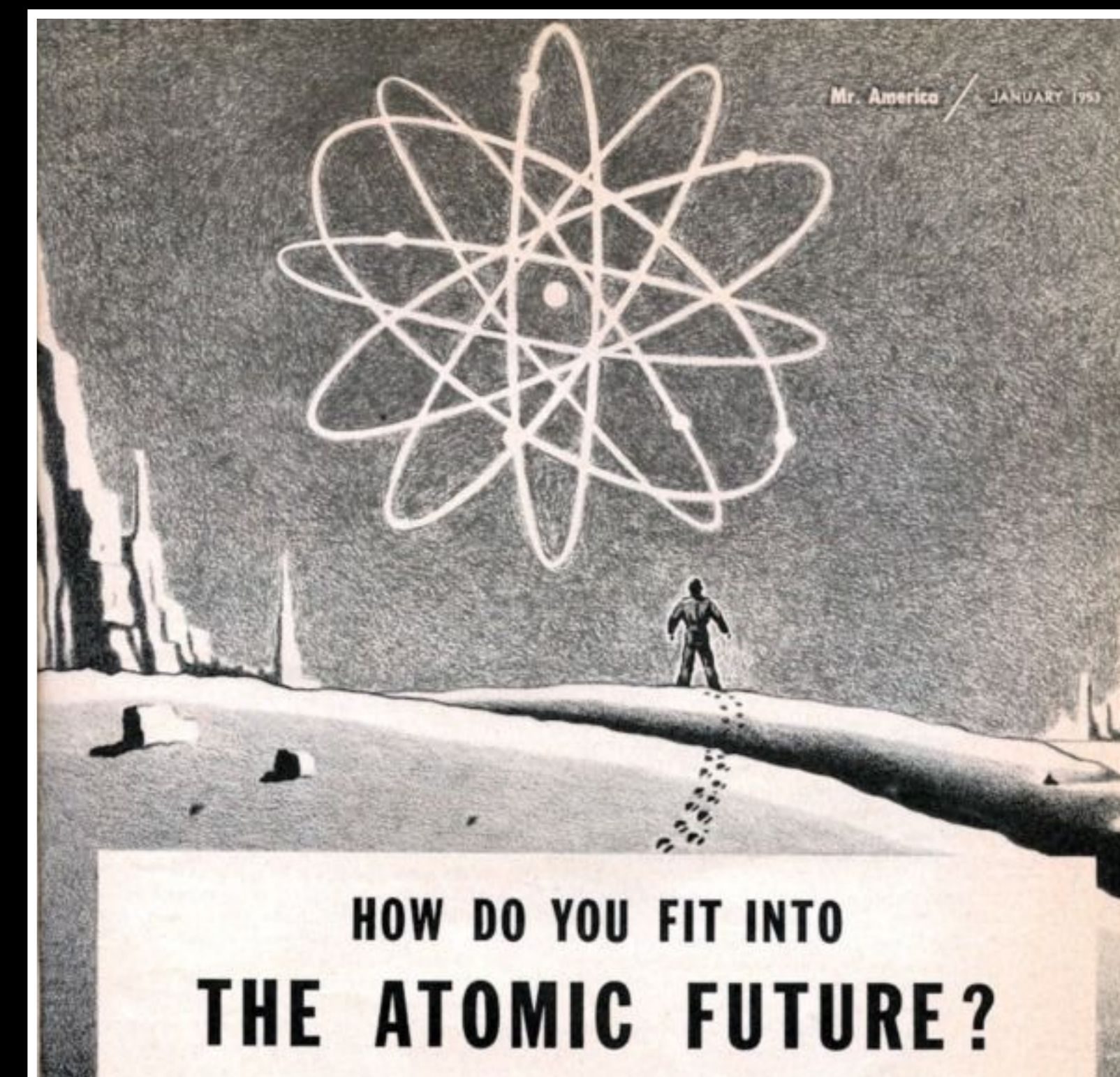
More* (!)

What next?

*ChatGPT's version of "large cavity"



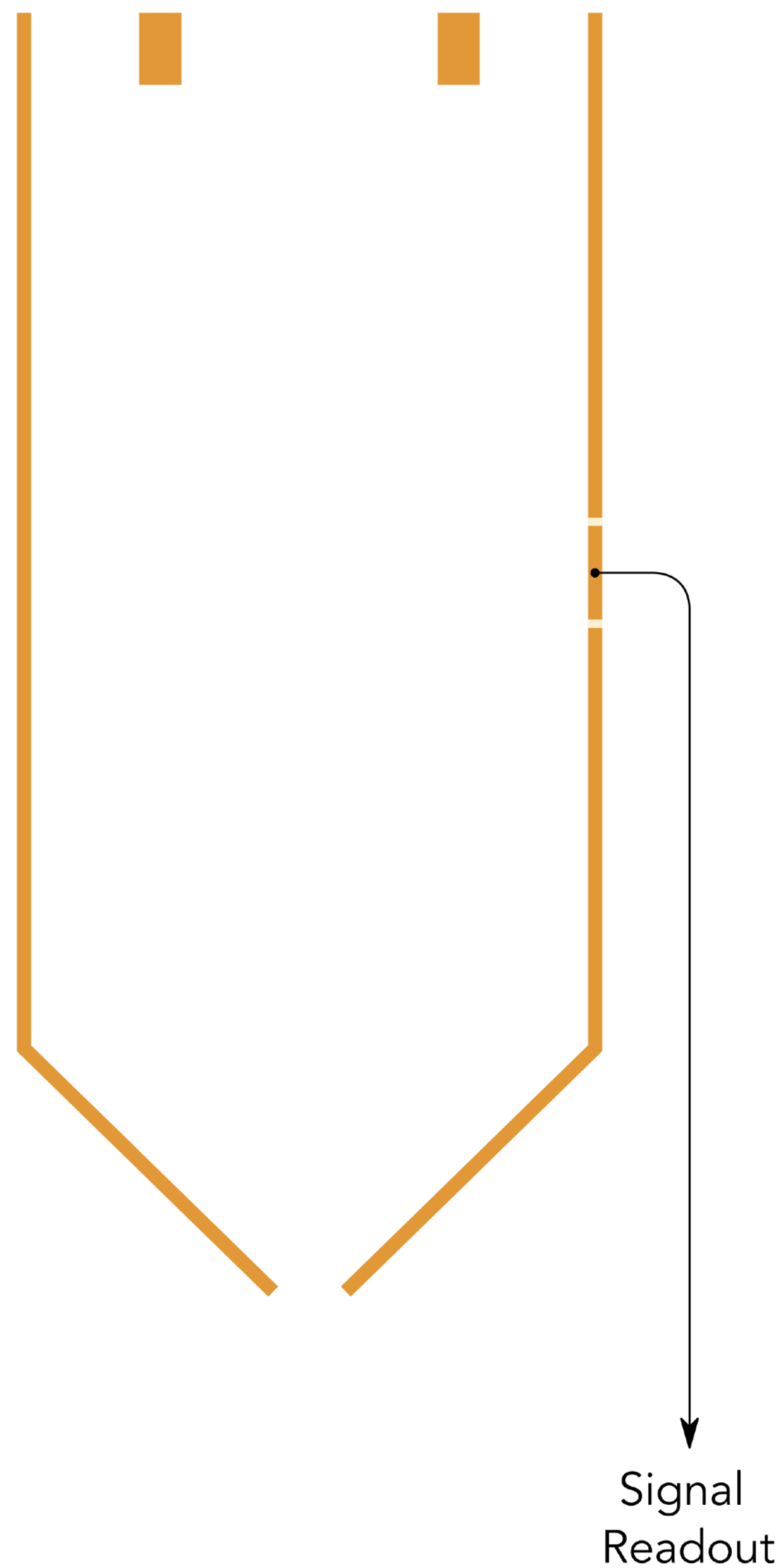
More* (!)



Atoms

What next?

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More (!)

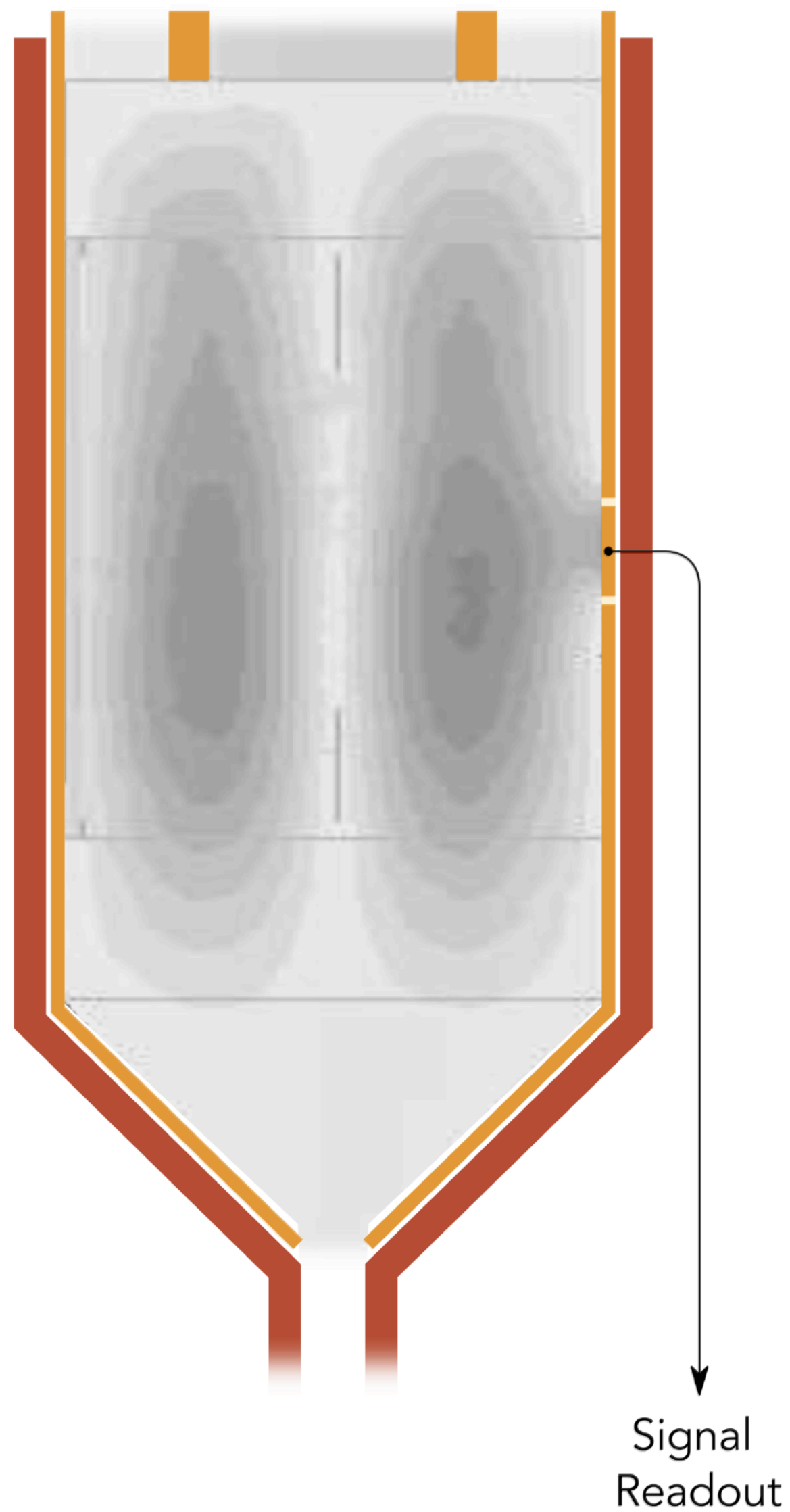
Greater volume and efficiency can be gain if we switch to low freq. cavities:

Open-ended cavity with appropriately loaded Q

Atom trapping magnet at cavity walls

Solenoid to provide CRES field

Pinch coils provide electron trapping



Greater volume and efficiency can be gain if we switch to low freq. cavities:

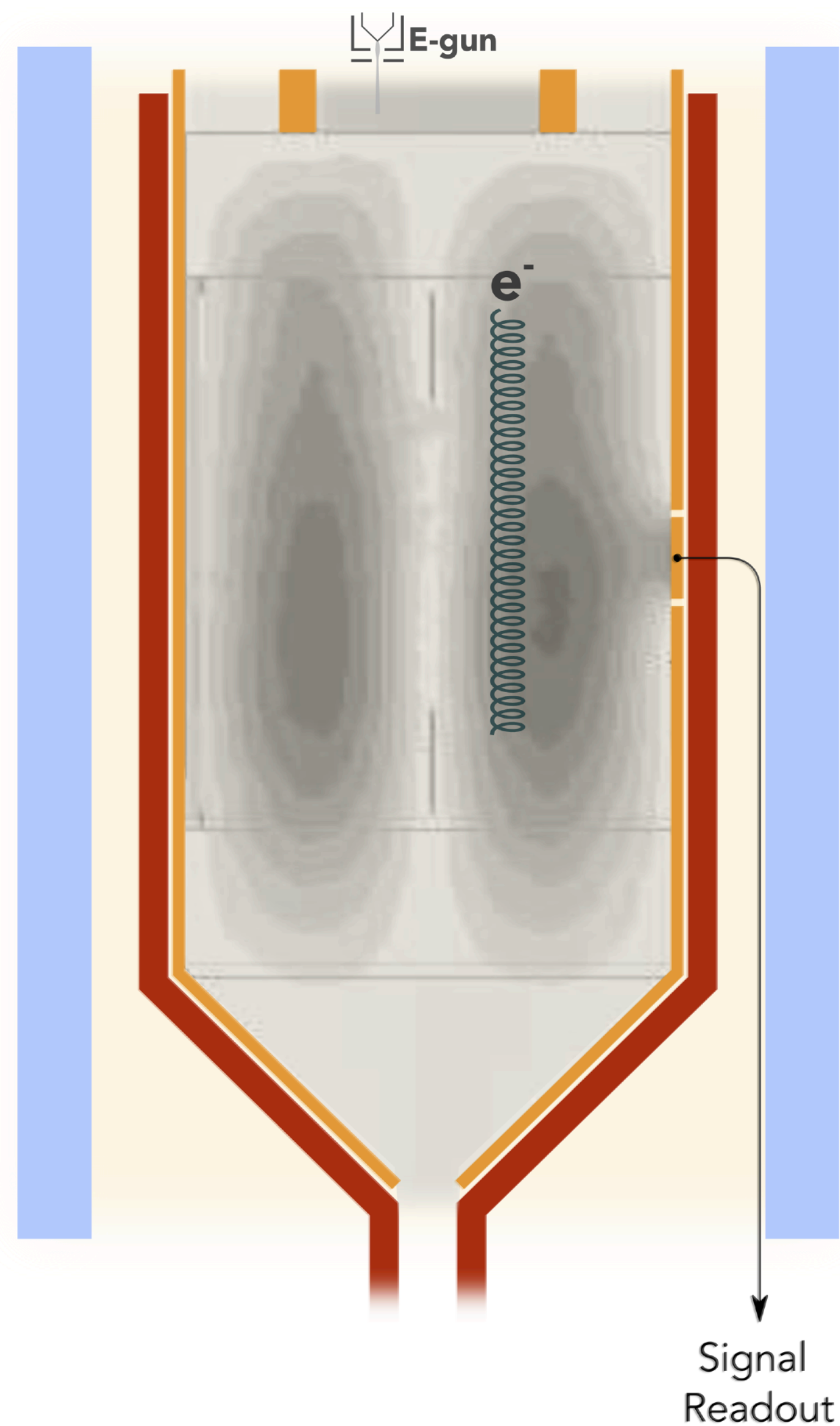
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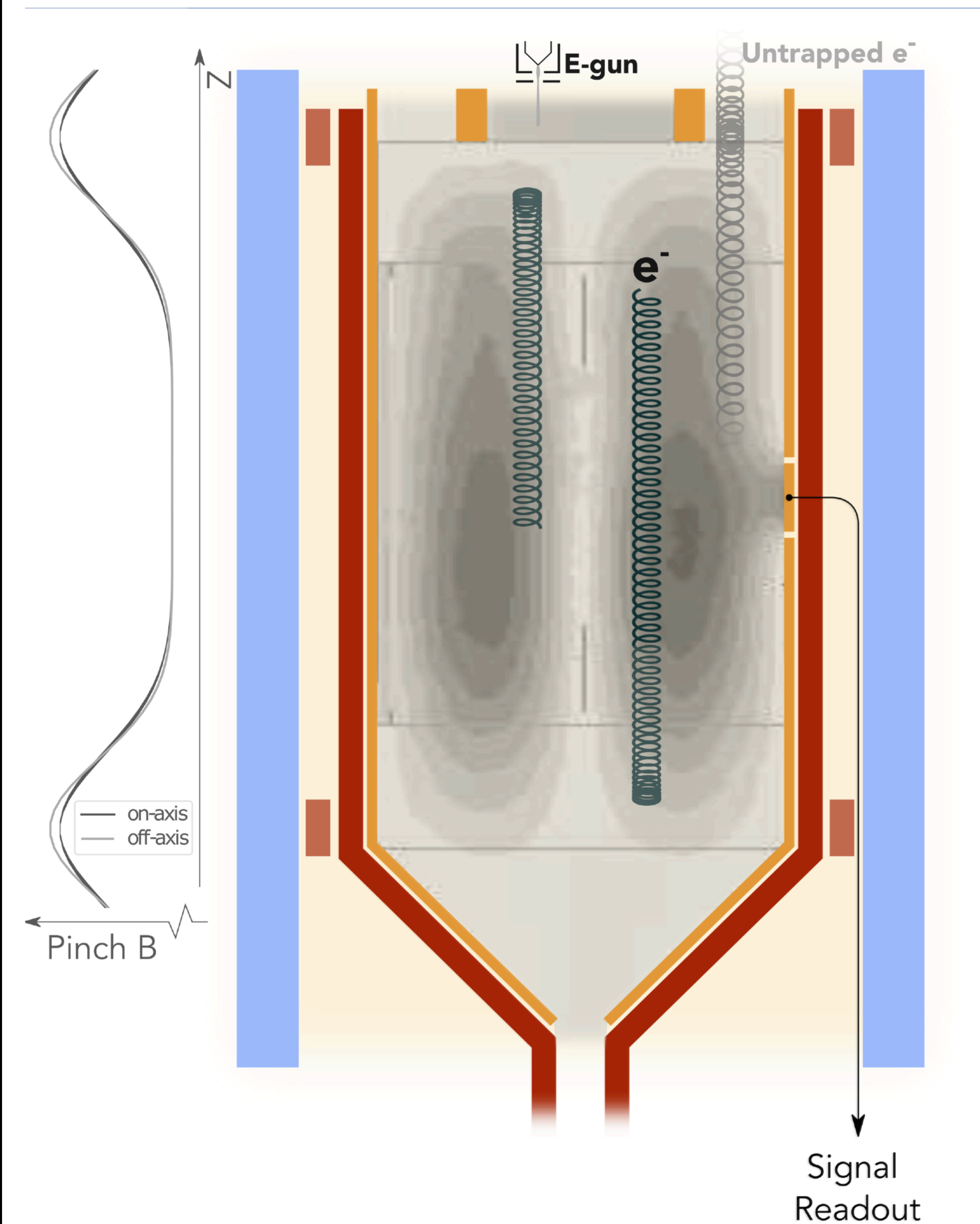
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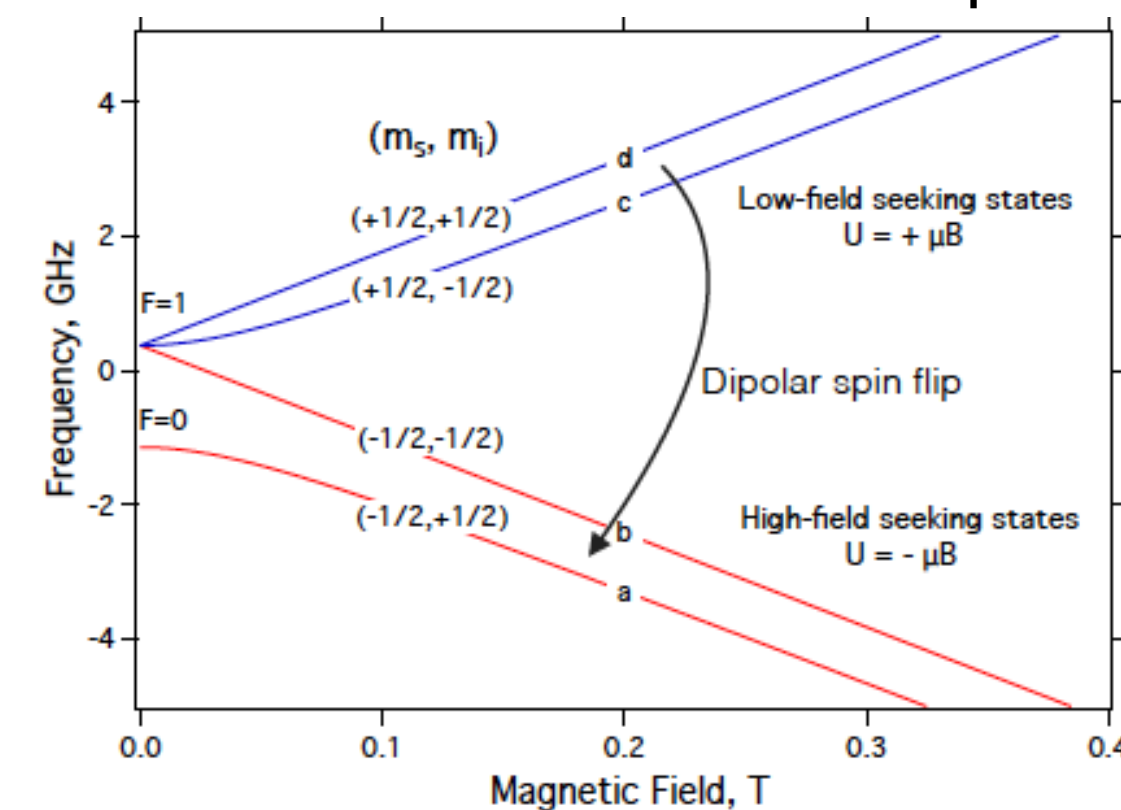
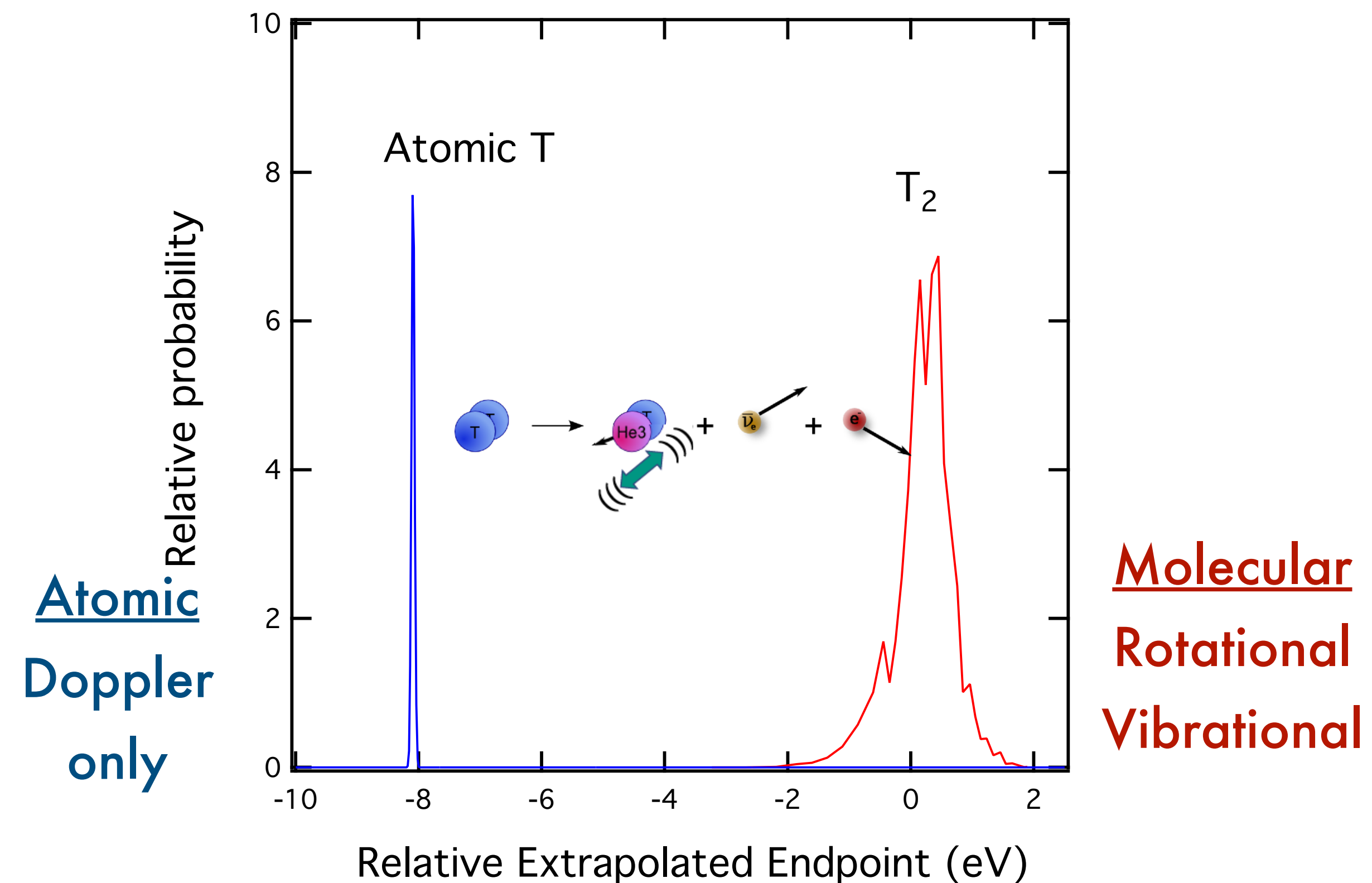
Pinch coils provide electron trapping

More (!)

The problem with molecular tritium...
molecules **VIBRATE** and rotate

This smears the energy of
the spectrum
(generates a potential
systematic uncertainty).

Need to magnetically trap
atomic tritium
(@ mK temperatures)



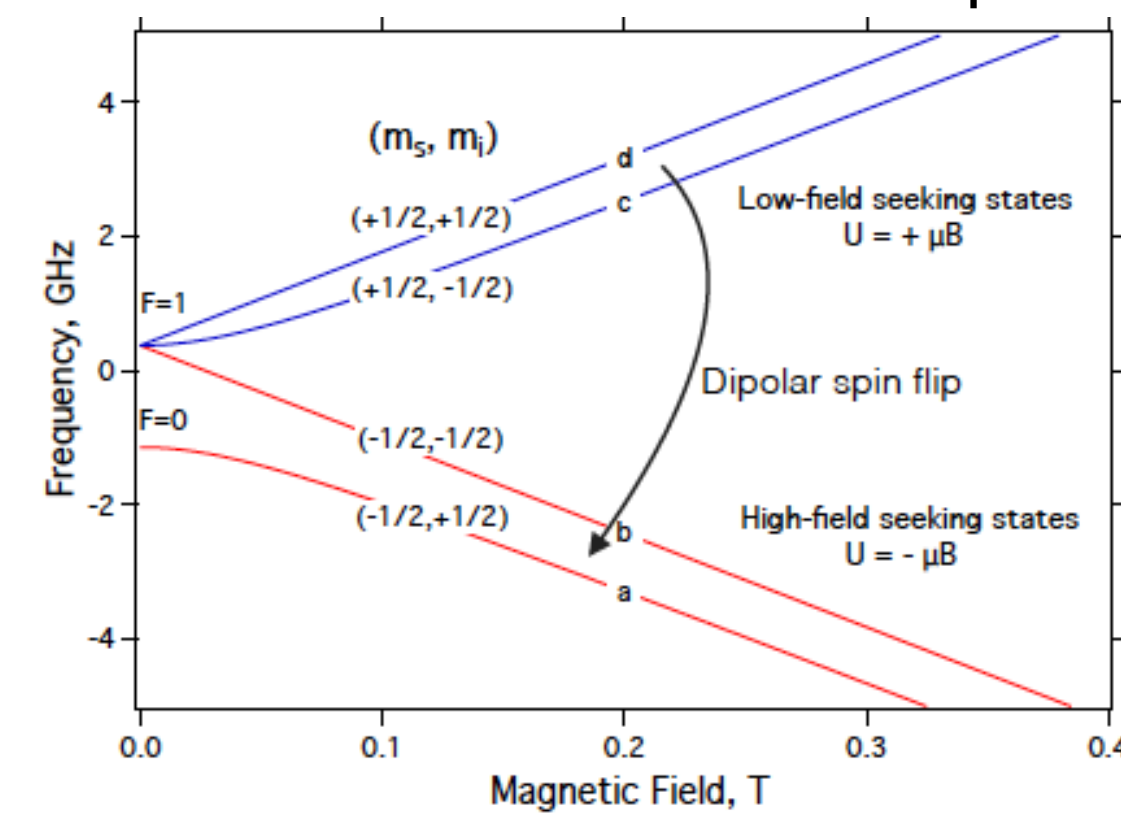
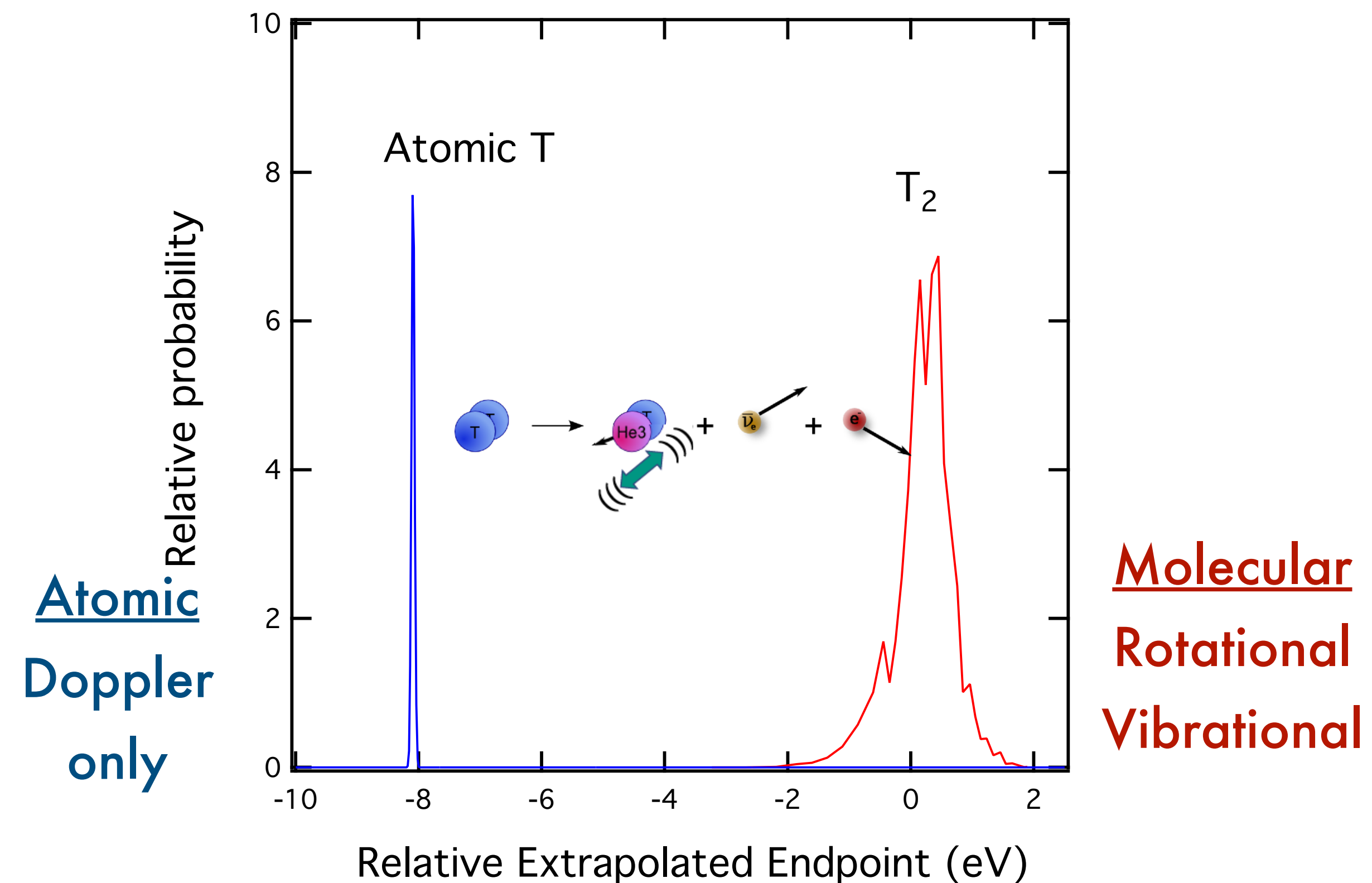
Magnetic confinement
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Atoms (!)

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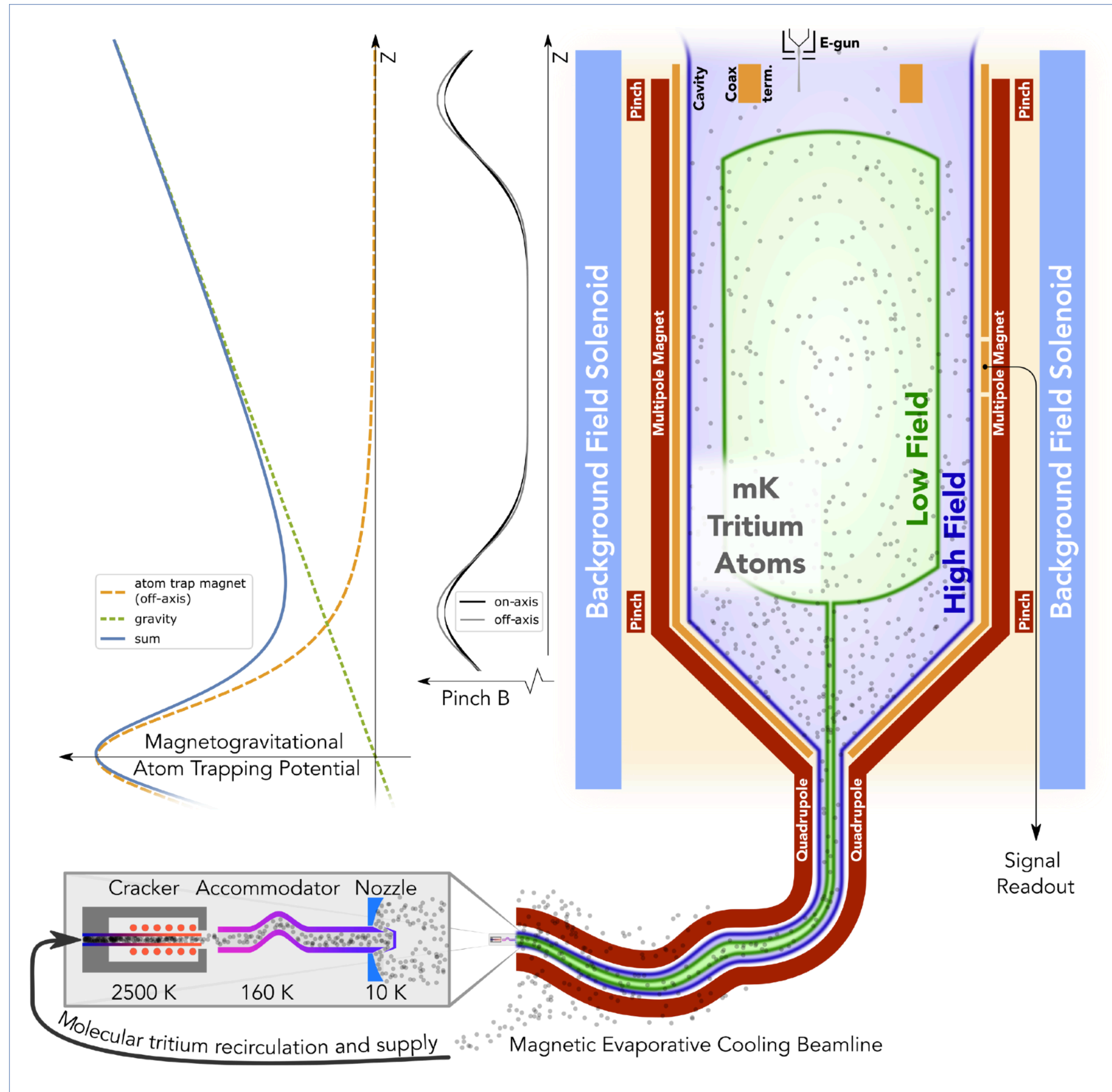
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Magnetic confinement
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Atoms (!)

Envisioned Phase III Experiment (Ask me for details)



PROJECT 8

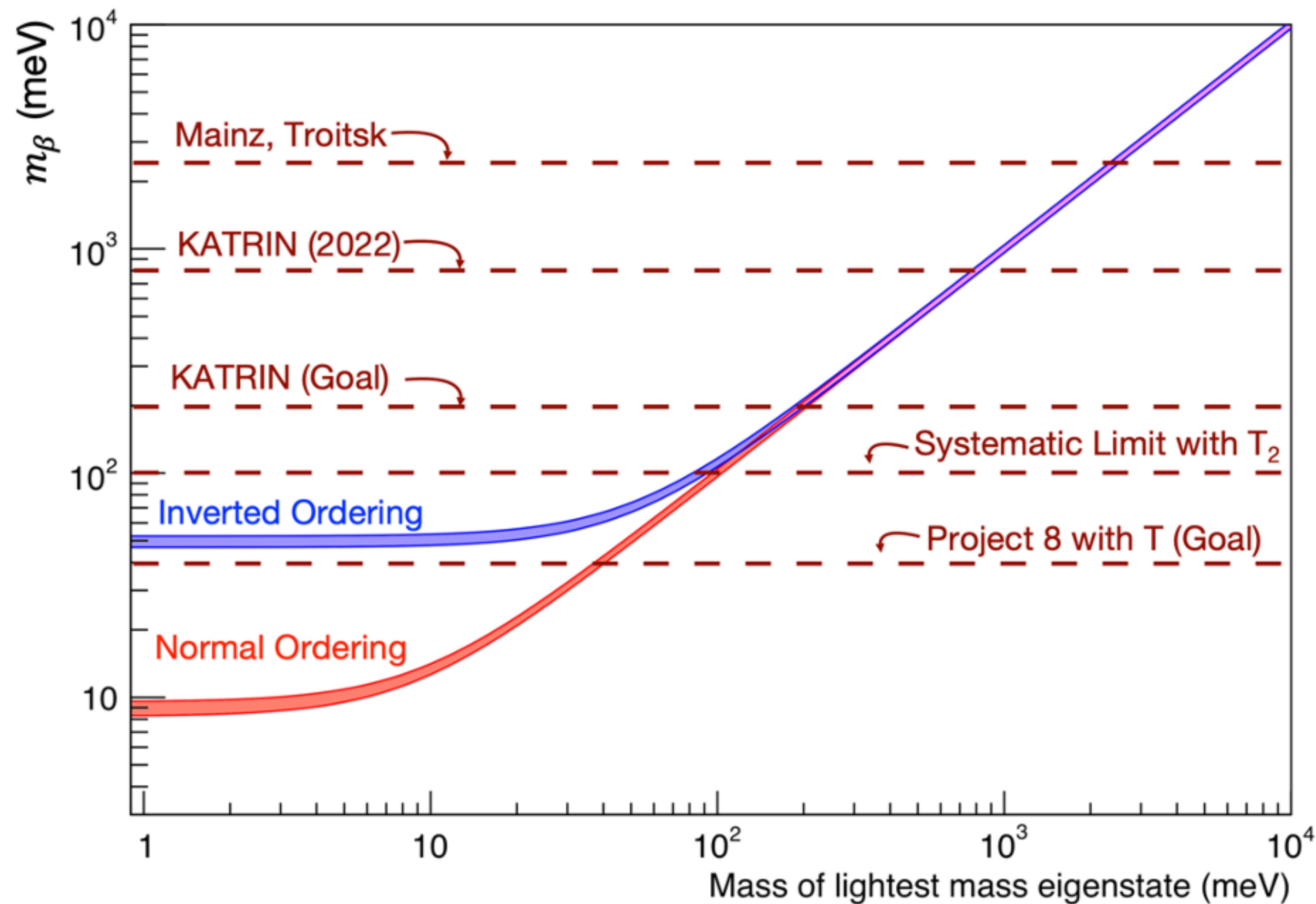
Goals:

Sensitivity to $m_\beta < 40 \text{ meV}/c^2$

Measure neutrino mass or
exclude inverted hierarchy

Simultaneous sensitivity to
active and sterile neutrinos

Towards the neutrino mass “floor”;
finally fulfilling Fermi’s prediction



PROJECT 8

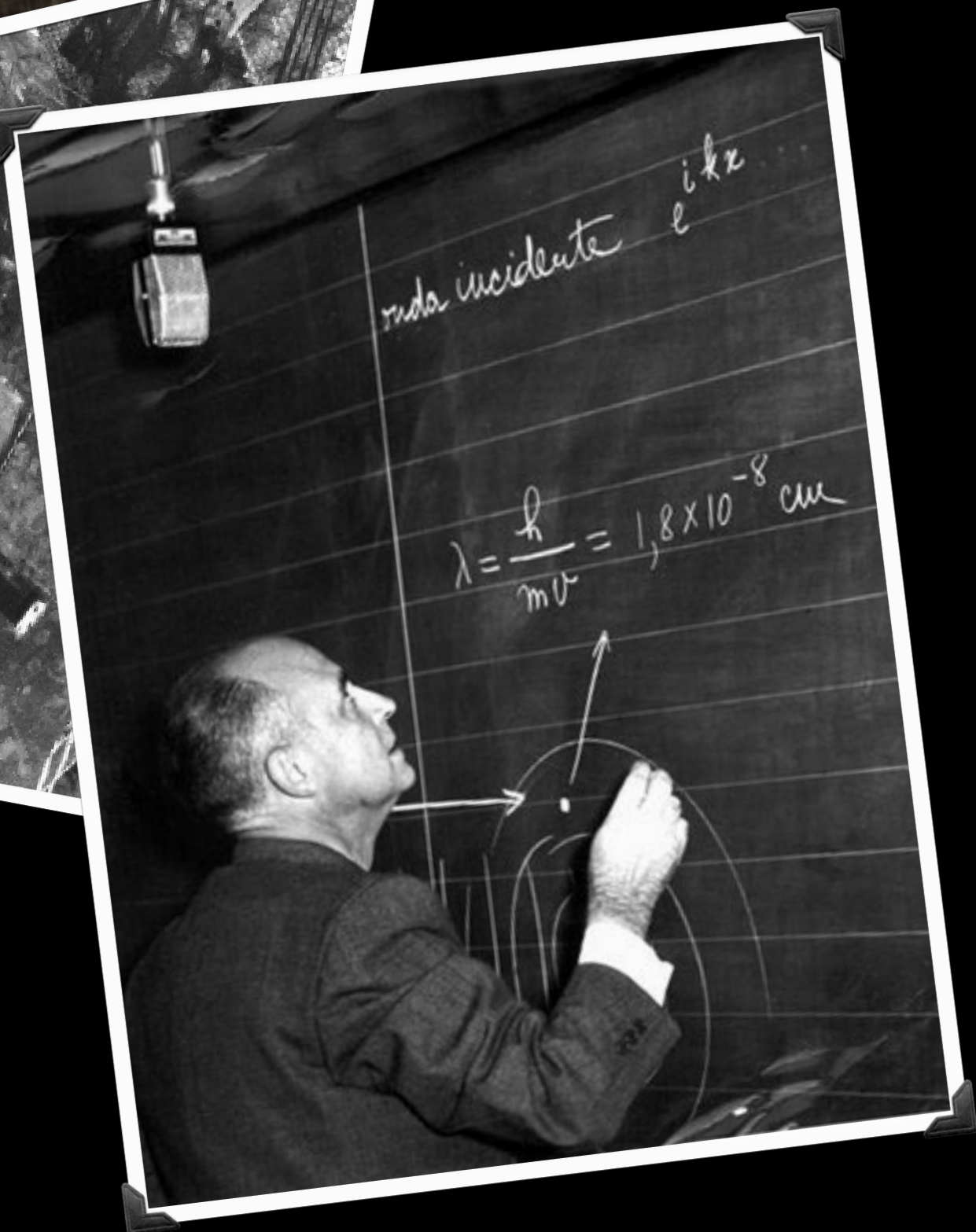
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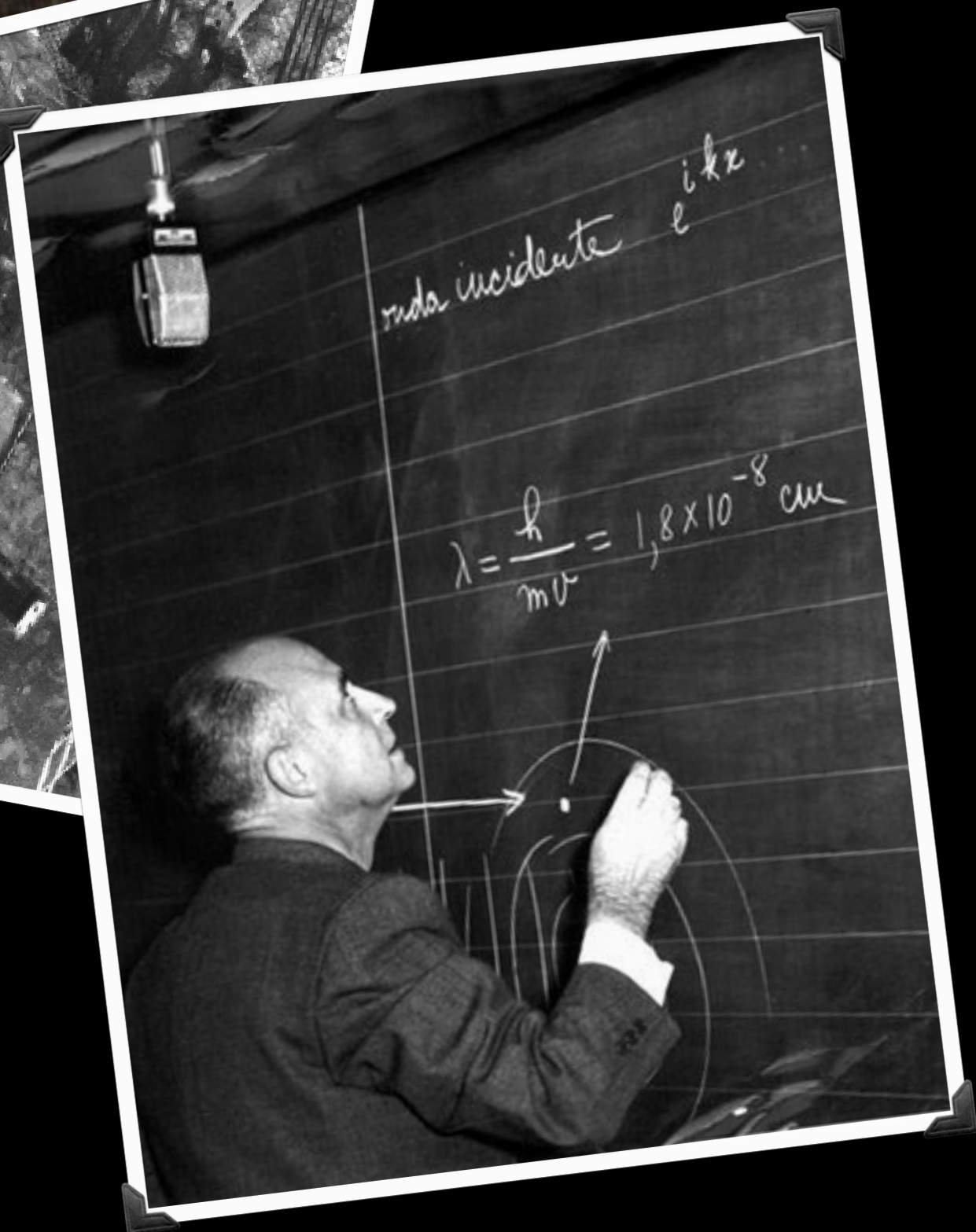
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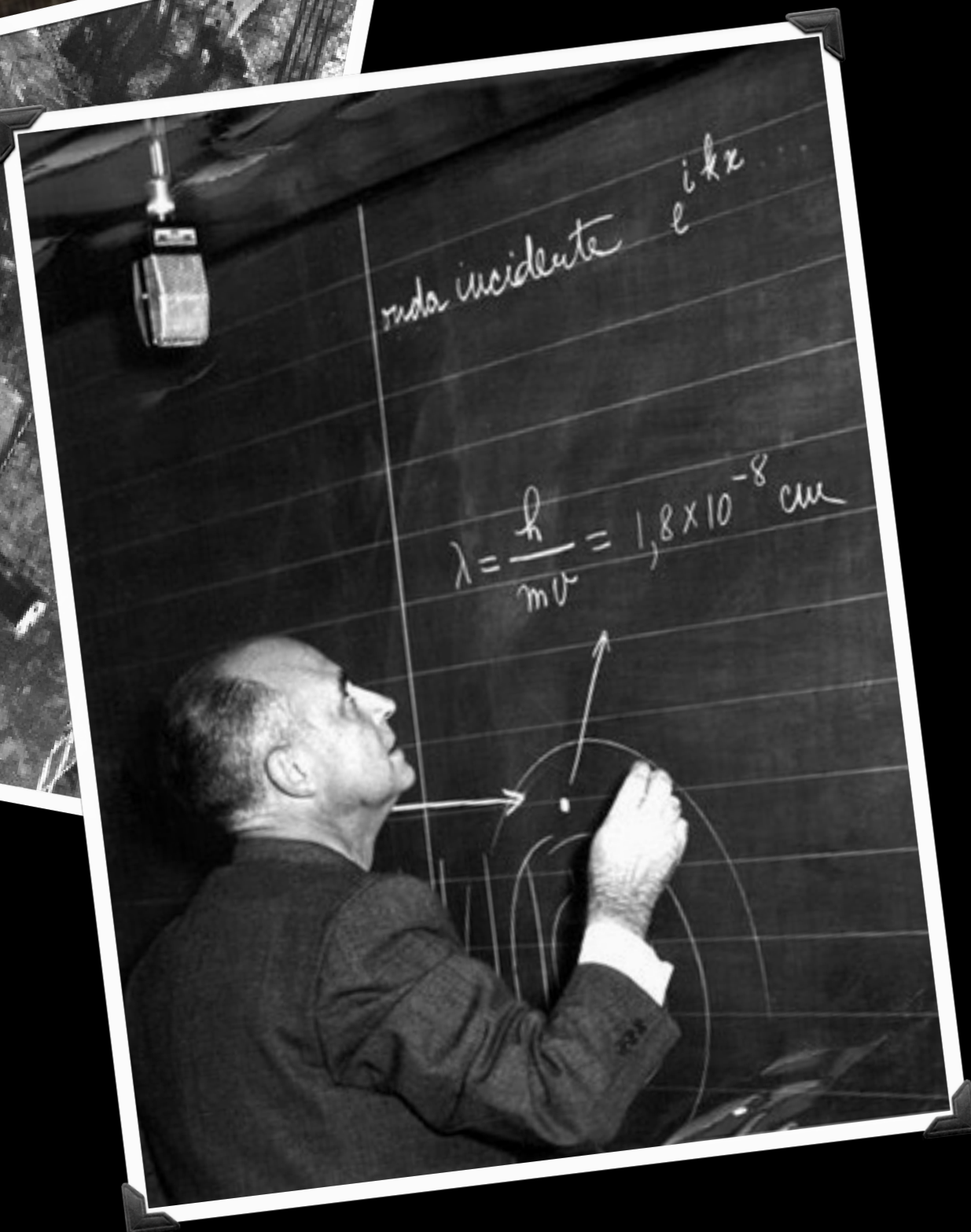


This is truly an exciting time to study
neutrinos.





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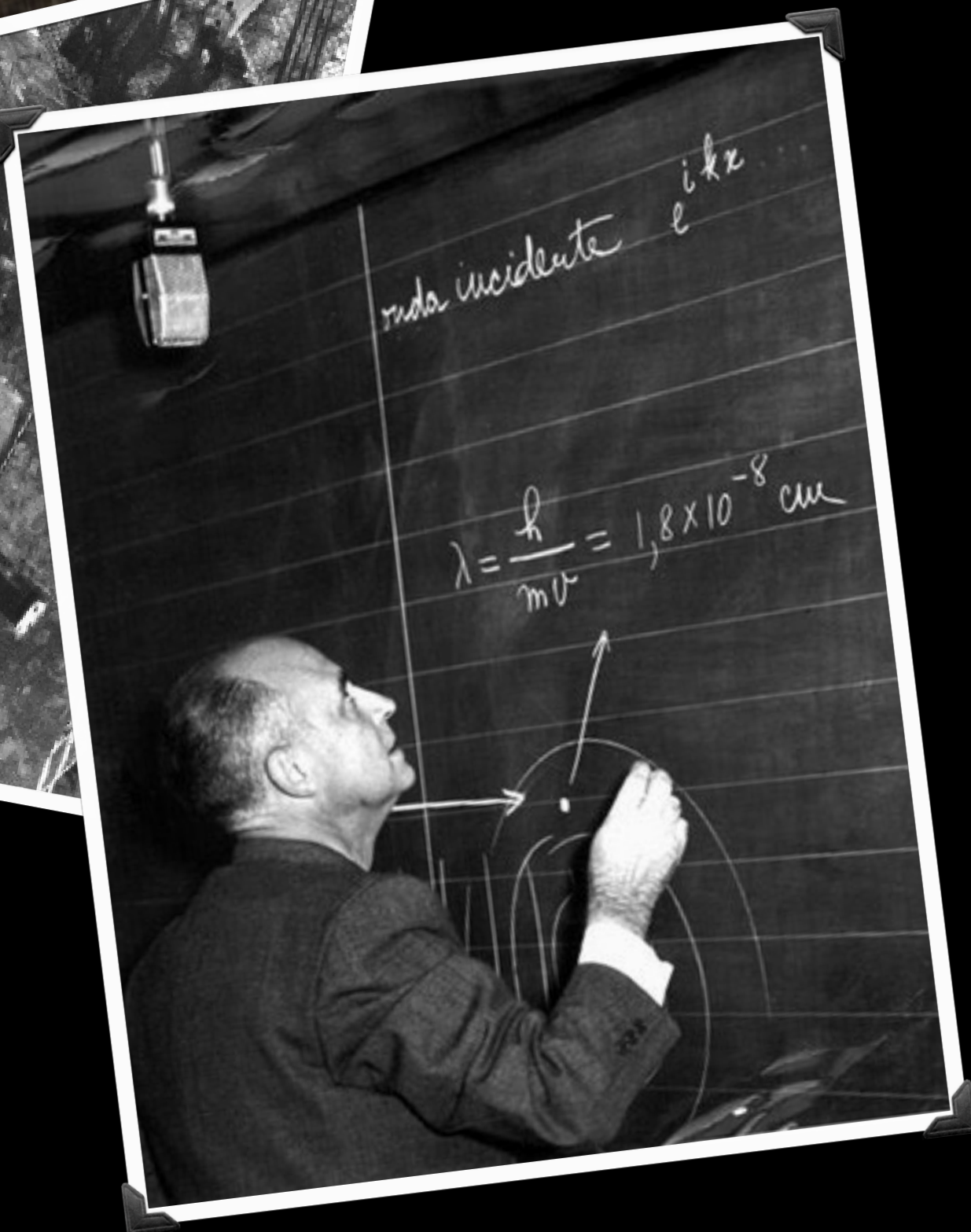
New experiments may finally answer
the question: “How much does a
neutrino weigh?”



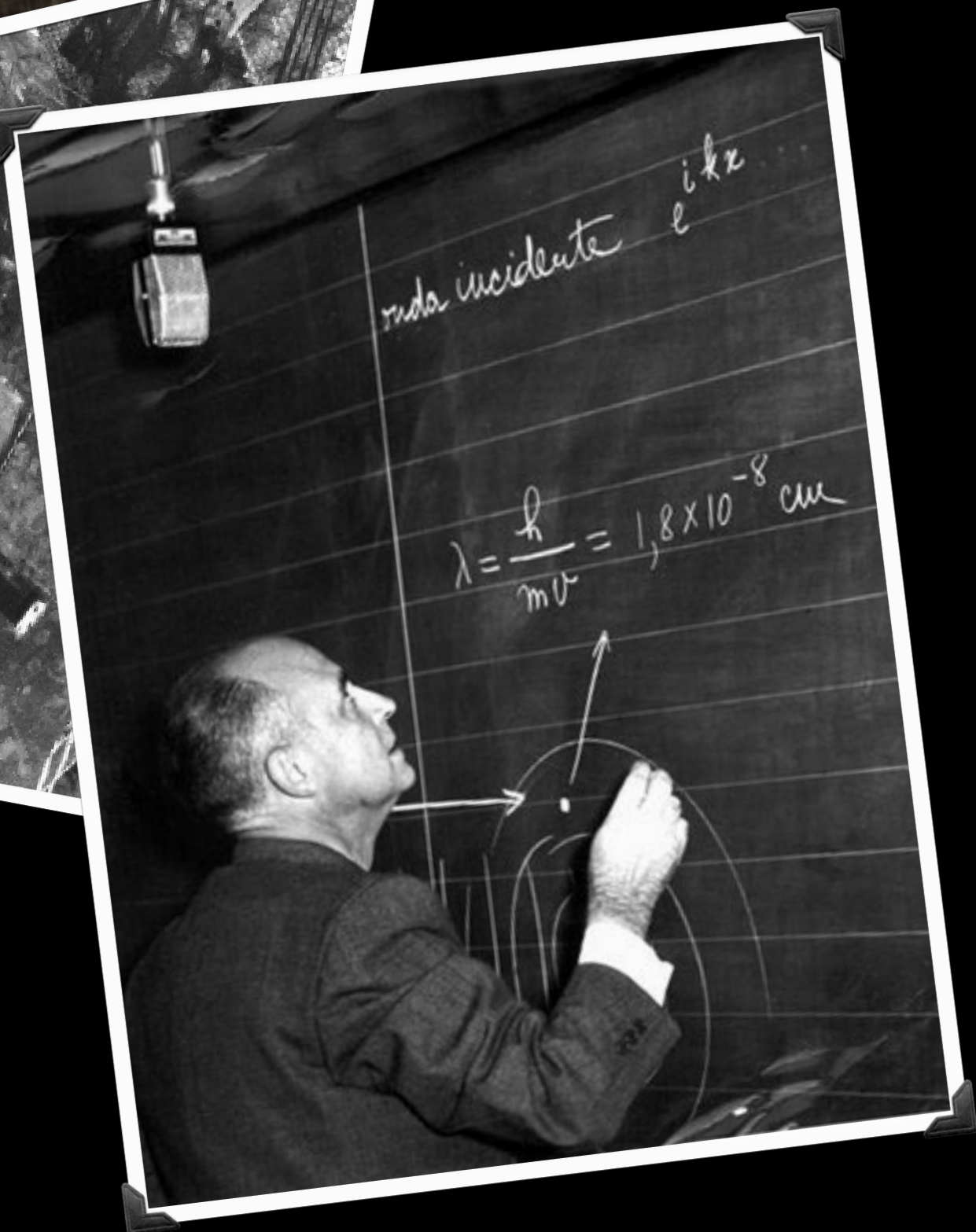
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New experiments may finally answer
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And fun new ways to learn cutting
edge techniques.



Thank you for your time.