The Strong CP problem and instantonic symmetries

Eduardo García-Valdecasas

9th December 2024, Meeting of the WG1 of the COST Action Cosmic WISPers. Online



Based on 2402.00117 with Daniel Aloni, Matt Reece and Motoo Suzuki.

- Higher form global symmetries.
- Definition of (-1)-form U(1) symmetry and properties.

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 - Spontaneously broken (-1)-form U(1) symmetry in SU(N) Yang-Mills and QCD.
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 - Spontaneously broken (-1)-form U(1) symmetry in SU(N) Yang-Mills and QCD.
 - A necessary condition for the Strong CP Problem.
- 4. A different look at Strong CP problem solutions.
 - Known solutions by gauging (-1)-form U(1) symmetry.
 - (Failed) attempts of a new solution using explicit breaking of the (-1)-form U(1).

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· The Goldstone boson is the photon,

$$\langle 0|j_{e,\mu\nu}^{(2)}|\lambda,p\rangle = (\lambda_{\mu}p_{\nu} - \lambda_{\nu}p_{\mu})e^{ipx}$$

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The case of p = -1-form symmetry is a bit degenerate. Let us adopt the following working definition.

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• Our point of view: (-1)-form U(1) symmetries are features of QFT's that share some properties with higher form symmetries.

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7. **Spontaneous Breaking**. Charged operator takes a vev.

$$\langle W_q[\gamma_p] \rangle \neq 0$$

The conserved current acts on the vacuum creating massless Goldstone,

$$\langle 0|j_{\mu}(x)|p\rangle = p_{\mu}e^{ipx}$$
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 - \implies In this talk: explore this possibility.

Motivation to study (-1)-form symmetries

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- In Quantum Gravity they have been conjectured to be absent, linking it with the absence of free parameters in Quantum Gravity (McNamara & Vafa, 2020).

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Spontaneously broken (-1)-form U(1) symmetries

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• 2d Maxwell has $U(1)_e^{(1)} \times U(1)_m^{(-1)}$. $U(1)_e^{(1)}$ is not SSB.

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Is there a sense in which $U(1)_m^{(-1)}$ is spontaneously broken?

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$$\phi(t) = \int_0^{2\pi R} dx A_1(x,t) \quad \to \quad S = \int dt \left[\frac{1}{4\pi e^2 R} \dot{\phi}^2 + \frac{\theta}{2\pi} \dot{\phi} \right], \qquad \phi \sim \phi + 2\pi$$

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Solved by eigenstates $\phi_l = e^{il\phi}$ with energy,

$$E_l = \pi e^2 R \left(l - \frac{\theta}{2\pi} \right)^2$$

Excited states (not drawn): adding 2 probe particles. Classically confined.



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We conclude that the gauged (-1)-form U(1) symmetry is in the Higgs phase. We interpret this to mean that the global (-1)-form U(1) symmetry of 2d Maxwell is spontaneously broken.

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 $\mathcal{X} \neq 0$ related to "masslessness" of $A_{\mu}(x)$

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- Then, X ≠ 0 signals an emergent IR description in terms of a C_{d-1} gauge field (Kogut & Susskind, 1975; Luscher, 1978).
- C_{d-1} is the electromagnetic dual of the "Goldstone" (-1)-form gauge field of the spontaneously broken (-1)-form symmetry.

A different look at the Strong CP Problem

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- Non-zero topological susceptibility. Introduce $K_1 = \star C_3$ such that $\partial^{\mu} K_{\mu} = \frac{1}{16\pi^2} \operatorname{tr} \left(F_{\mu\nu} \tilde{F}^{\mu\nu} \right)$,

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- In fact, at large N the IR of SU(N) YM can be described using an effective theory in terms of F₄ = dC₃ (Di Vechia, Veneziano, Shifman, Gabadadze, Dvali),

$$\mathcal{L} = -\frac{1}{2\mathcal{X}}F_4 \wedge \star F_4 + \frac{1}{2\pi}\theta F_4$$

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Away from large N, or in physical QCD $U(1)^{(-1)}$ is still spontaneously broken but the effective theory in terms of C_3 takes a more complicated form. Generically,

$$\mathcal{L} = -\frac{1}{2}|F_4|^2 + \frac{1}{2\pi}\theta F_4 + \mathbf{K}(F_4)$$

Reformulating the Strong CP problem

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A necessary condition for Quantum Chromodynamics to have a Strong CP problem is that the global instantonic (-1)-form U(1) symmetry is spontaneously broken.

 \implies If we prevent this phenomenon by either gauging or explicitly breaking the (-1)-form U(1) symmetry, the Strong CP problem is avoided.

A different look at Strong CP Problem solutions

Solving the problem with an axion
The axion solution to the Strong CP problem boils down to introducing a compact scalar $\phi(x)$ with the following coupling,

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It is now apparent that this field gauges the instantonic (-1)-form U(1) symmetry. In other words,

$$f^2d \star d\theta = \frac{1}{8\pi^2} \mathrm{tr}(F \wedge F)$$

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The Strong CP problem is avoided by gauging the (-1)-form U(1) symmetry.

Solving the problem with massless fermions

The Strong CP problem is automatically solved if a massless quark ψ is postulated. The chiral symmetry is anomalous and a would-be chiral transformation $\psi \rightarrow e^{i\alpha\gamma_5}\psi$ is a ψ field redefinition that shifts $\theta \rightarrow \theta + \alpha$. Now θ can be absorbed in a field redefinition and is unphysical.

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The instantonic current becomes exact \implies The instantonic symmetry is gauged.

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Solving the (2d) problem with a non-compact gauge group

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• Related formulations of this solution exist in QCD but they are more involved.

Exploring new solutions to the Strong CP Problem

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$$S = \int -\frac{1}{2e^2} (F - B_e) \wedge \star (F - B_e) + \frac{1}{2\pi} \theta (F - B_e)$$

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- · Open question. Are there other anomalies that can be used to solve the problem?

 $SU(5) \rightarrow SU(3) \times SU(2) \times U(1)$

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- Are emergent (-1)-form U(1) symmetries exact?
- If they are not, we could potentially solve the Strong CP problem for free with GUT models.

Toy Model: Higgsing $SU(2) \rightarrow U(1)$ in *d* dimensions. The IR has an emergent $U(1)_m^{d-3}$ symmetry.

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We expect this lesson to generalize, implying that the Strong CP problem can't be solved in this way.

- A firmer footing for (-1)-form symmetries. Perhaps using the SymTFT. Or Holography?
- Are (-1)-form symmetries matched under dualities?
- Goldstone Theorem?
- Better understanding of explicit breaking.
- Breaking by monopoles in GUT theories. Extensive study in 2408.00067.
- · Application to other axion-like fields in particle physics. In particular axion monodromy.

Thanks!
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