

TESTING THE FAR UV WITH LOW-E AXION EXPERIMENTS^{*}

*: together with Prateek Agrawal (OXF), Michael Nee (Harvard)

based on: 2206.07053 + 2410.03820

COSMIC WISPERS WG1 MEETING

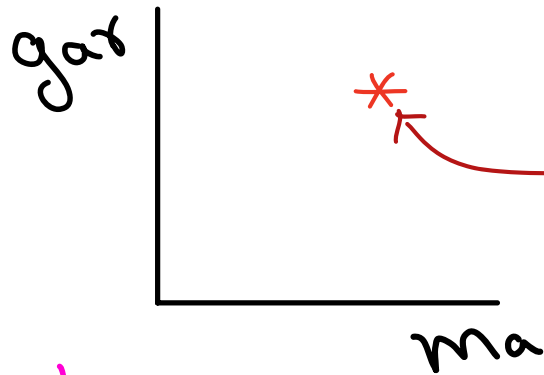


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WHY TOPOLOGICAL COUPLINGS?

Or... what can we learn with (g_{ax}, m_a) ?



Imagine we find
this tomorrow!

THIS TALK!
~~~~~

Dark matter? Strong CP?  
~~~~~ • ~~~~~

A) Is the SM unified in the UV?

B) Can we test / distinguish different
String theories at low-E?

AXION REVIEW

* **Axion**: periodic (compact) scalar with discrete shift-symmetry.

AKA axion-like particle (ALP)

NOT NECESSARILY COUPLED TO QCD

$$a \rightarrow a + 2\pi f_a$$

* **Interactions** shaped by shift-symmetry:

$$\frac{\partial_m a}{f_a} \bar{\psi} \gamma^m \psi ; \quad \frac{a}{f_a} F \tilde{F} ; \quad V(a) = -\Lambda^4 \cos(a/f_a)$$

* **Field theory language**: pNGB of (anomalous) symmetries

↳ $U(1)_{PQ}$ for QCD axion

$$[SU(3)_c]^2 \times U(1)_{PQ} = \mathcal{A}_{QCD}$$

2

↗ anomaly coefficient

WHY AXIONS?

- * Appear in BSM models & String Theory (i.e. AXIVERSE)
- * solve strong CP problem: QCD axion
- * Dark matter candidates
- * Dark energy, or even inflation (?)

Ex: QCD AXION

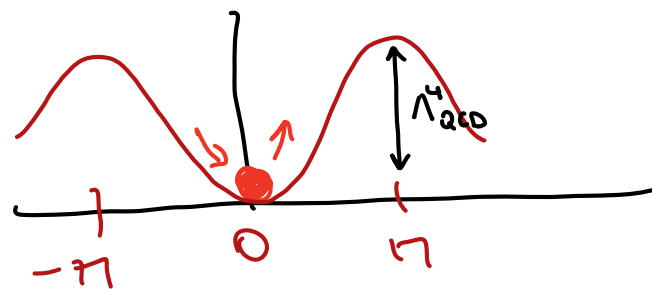
$$\theta_{\text{QCD}} G \tilde{G} \rightarrow \frac{a}{F_a} G \tilde{G}$$

* solves strong CP: $\langle a \rangle = 0$

$$* V(a) = \Lambda_{\text{QCD}}^4 (1 - \cos(\frac{a}{F_a})) \Rightarrow m_a \sim \frac{\Lambda_{\text{QCD}}^2}{F_a}$$

RELIC ABUNDANCE

$$\Omega_a h^2 \simeq 0.1 \left(\frac{F_a}{10^{12} \text{ GeV}} \right)^{7/6} \theta_i^2$$



WHY AXIONS - MOTIVATION

- * Appear in many BSM constructions
- * solve strong CP problem: QCD axion
- * Dark matter candidates
- * Dark energy, or even inflation (?)
- * Topological, **quantised couplings to gauge bosons**

$$\mathcal{L}_a = \frac{(\partial a)^2}{2} + \mathcal{A} \frac{a}{f_a} \frac{\alpha_{EM}}{8\pi} F\tilde{F} \rightsquigarrow \text{e.g. field strength of EM.}$$

(Canonically normalised)

↳ **QUANTISATION:**

Anomaly coefficient

$\mathcal{A} \in \mathbb{Z}$, an integer!

TOPOLOGICAL COUPLINGS TO GAUGE BOSONS

* Anomaly coeff. **unaffected** by **renormalization** [see anomaly matching]

$$A_{UV} = A_{IR}$$

directly probing the far UV!

Axion as 0-form gauge field
 F_a "depending" on scale but
 A "unaffected" by RGE

Photon as 1-form gauge field
 α_{EM} "running" but e^-
charge being "quantised"

ANALOGY

IDEA:

The axion-photon coupling is the BEST
motivated channel to learn about UV physics
otherwise INACCESSIBLE!

THE AXION-EXP LANDSCAPE

See WG4!

Haloscope: resonant cavity looking for axion DM

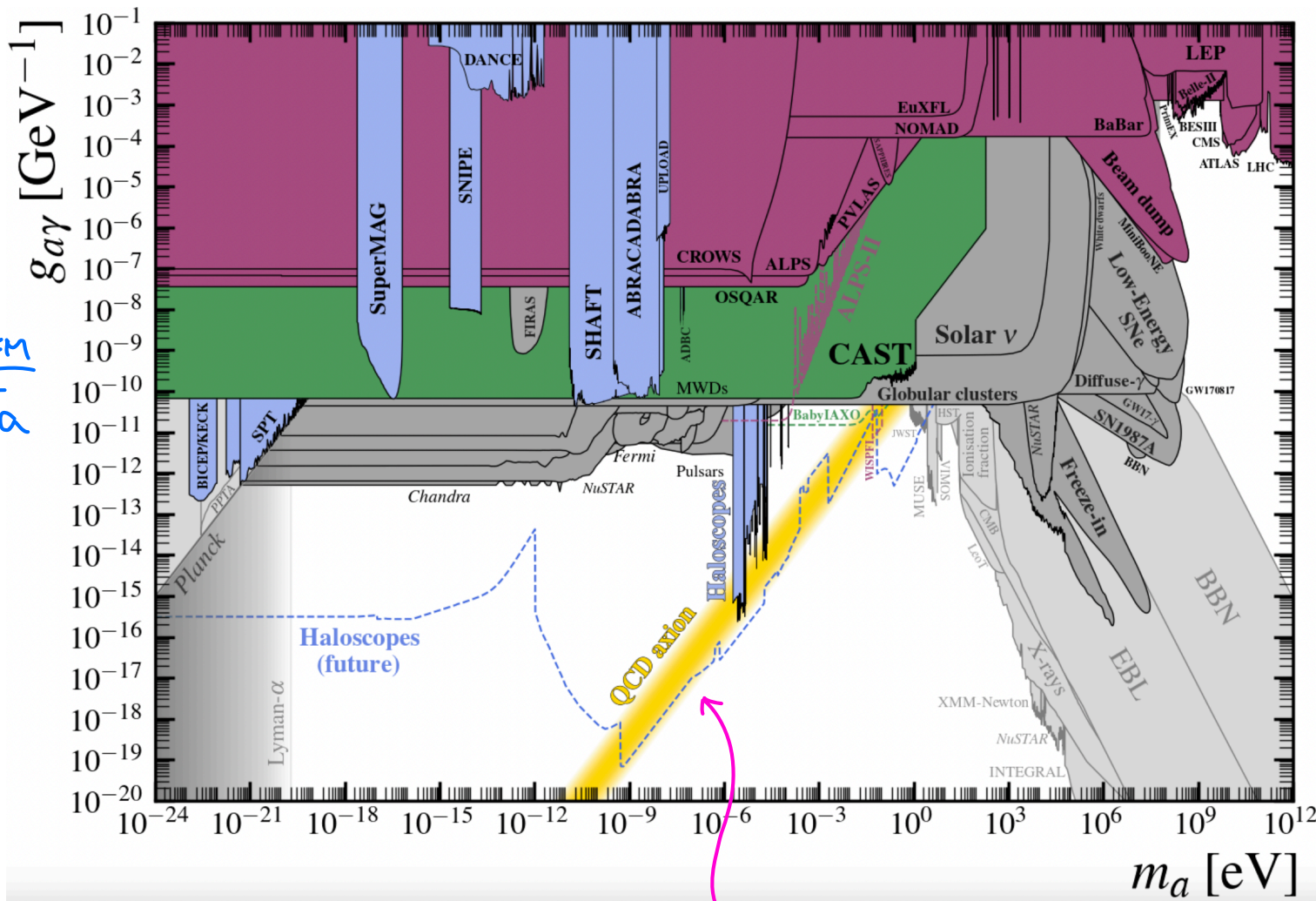
Helioscope: searches for solar axions.



Axion
Dark photon
Scalar/vector

THE AXION-PHOTON LANDSCAPE

many ongoing & planned searches: *lab.*, *astro.*, *cosmo.*



$g_{a\gamma} \sim A \frac{\alpha_{EM}}{f} \frac{1}{a}$

Ciaran Ó'Hare, Axionlimits

$\frac{g_{a\gamma}}{m_a} \sim \frac{\alpha_{EM}}{f_n m_n}$; QCD AXION PREDICTION

LET ME BE OPTIMISTIC!

gas

*



Let's assume we
discover an action
i.e. a point
(gas, ma)

GOAL OF THE TALK:

↳ What can we learn?

ma

APPLICATION 1

Is the SM unified in the UV?

UNIFIED
THEORY

$$G_{\text{GUT}} \xrightarrow{\text{SSB}} \underbrace{SU(3)}_{\text{quantum chromodyn.}} \times \underbrace{SU(2) \times U(1)}_{\text{electroweak interaction}}$$

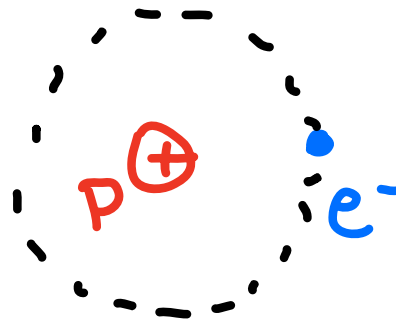
Examples
 $SU(5)$,
 $SO(10)$,
 E_6, \dots

"All SM generators come from non-abelian simple gauge group"

HINTS FOR UNIFICATION

* GUTs explain charge quantisation (integers of q_{e^-})

$$\frac{|Q_p + Q_{e^-}|}{q_{e^-}} < 10^{-21}$$



Why is the H atom neutral?

* Anomaly cancellation:

$$\text{e.g. } \text{Tr } Y^3 = 2\left(-\frac{1}{2}\right)^3 + 6\left(\frac{1}{6}\right)^3 + 3\left(-\frac{2}{3}\right)^3 + 3\left(\frac{1}{3}\right)^3 + 1^3 = 0$$

* Unification of couplings; $\sin^2 \theta_w$ & $\frac{m_b}{m_\tau}$

$$\sin^2 \theta_w = \frac{g'^2}{g^2 + g'^2} = \frac{3}{8}, \quad \frac{m_b}{m_\tau} \approx 3 \quad \text{at low } E$$

$$g' = \sqrt{\frac{5}{3}} g_1 \rightarrow$$

AXIONS AS PROBES OF UNIFICATION

[see: Agrawal, Nee, MR: 2206.07053]

Simple
UV gauge
group

$SU(5), SO(10) \dots$ ↗

$$G_{GUT} \xrightarrow{SSB} SU(3) \times SU(2) \times U(1)$$

Axions in GUTs studied since 80s
[Wise, Georgi, Glashow, '81; Nilles, Raby; '82]

* Topological, quantised couplings to gauge bosons:

$$\mathcal{L}_a = \frac{(\partial_\mu a)^2}{2} + \mathcal{A} \frac{a}{F_a} \frac{\kappa_{GUT}}{8\pi^2} G \tilde{G}_{GUT}$$

* Anomaly matching: $A_{UV} = A_{IR}$

* Gauge invariance of G_{GUT}

Strong constraints
for axion couplings!

↳ Based on topology: independent of SSB and physics @ intermediate scales

Axions AS PROBES OF UNIFICATION

* Starting point: $G_{GUT} \times \prod_i U(1)_{PQ_i}$

simple gauge group
e.g. $SU(5), SO(10) \dots$

Set of commuting, global unbroken symmetries

↳ Analogy: $U(1)_B$ and $U(1)_L$ with SM

anomalous wrt $SU(2)_{weak}$

$U(1)_{B-L}$ anomaly-free
 $U(1)_{B+L}$ ANOMALOUS!
applications for baryogenesis etc.

* After symmetry redefinition:

Important !!

$$G_{GUT} \times U(1)_{PQ} \times \prod_i^{non\ anom.} \tilde{U}(1)_i$$

Decoupled Goldstone bosons

$$A = 0$$

ONLY ONE AXION COUPLED THROUGH THE ANOMALY!

AXIONS AS PROBES OF UNIFICATION

[See: 2206.07053]

TOPOLOGY
+
GAUGE INVARIANCE

$$\mathcal{L}^{\text{IR}} = \frac{a}{f_a} \left[\alpha_{\text{em}} E F \tilde{F}_{\text{em}} + \alpha_s N_G G \tilde{G}_{\text{QCD}} \right]$$

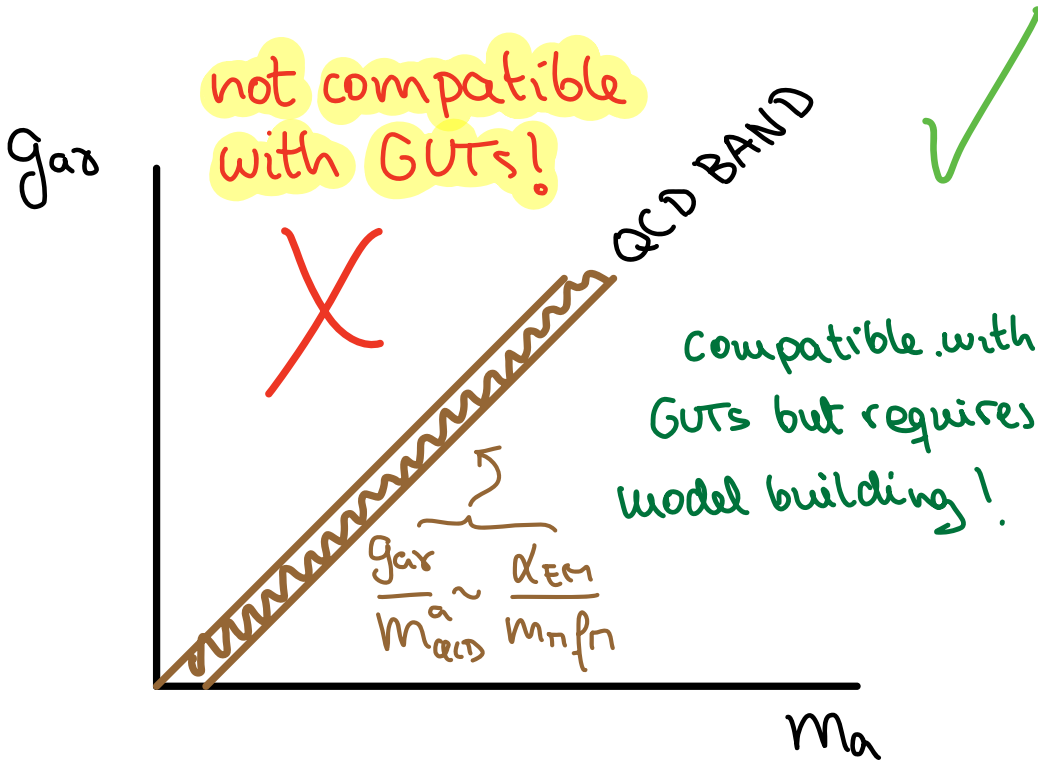
anomaly
coeff.

unavoidable QCD potential
 $V(a) \simeq -\Lambda_{\text{QCD}}^4 \cos(a/f_a)$

Single axion coupled to photons:
QCD axion (indep. of f_a)

RESULT:

$$\frac{g_{\text{ALP}}}{M_{\text{ALP}}} < \frac{g_{\text{QCD}}}{M_{\text{QCD}}^a} = \frac{\alpha_{\text{em}}}{M_{\text{Pl}} f_a}$$



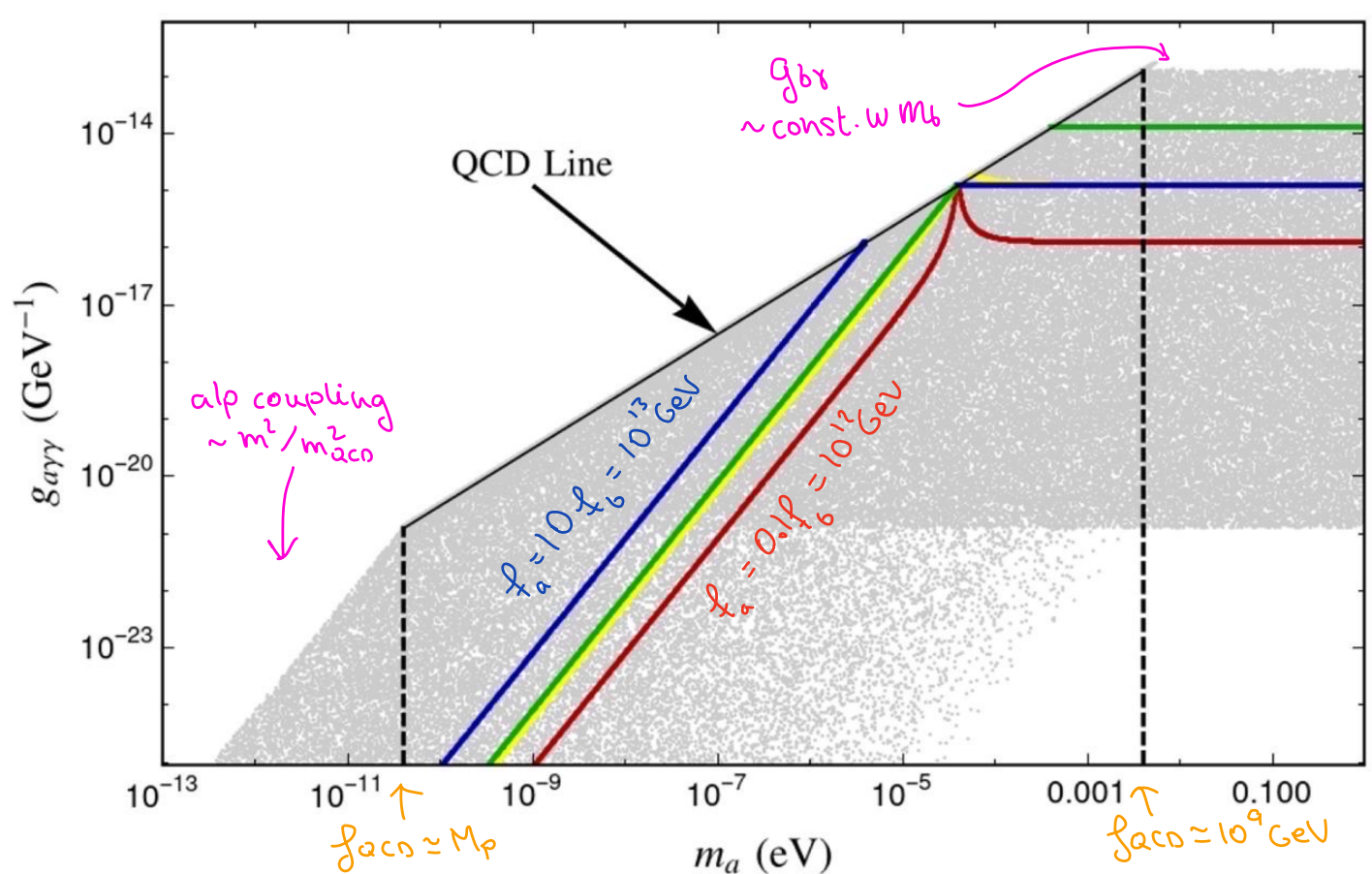
- * axion mixing
- * "charged axions" (pion-like fields)
- * Clockwork
- * Dark photon models
- * Extra dim. GUTs..

ALP-photon coupling via mixing

$$\mathcal{L} = \left(\frac{a}{f_a} + \frac{b}{f_b} \right) G\tilde{G} + \frac{1}{2} m_b^2 b^2$$

behaviour depends on m_b/m_{QCD} !

Generate sets of "points" $(a, g_{ax}) + (b, g_{bx})$



Ranges:

- $m_b = [10^{-11}, 1] \text{ eV}$
- $f_a, f_b = [10^9, 10^{18}] \text{ GeV}$

ADDITIONAL ALPs:

$\frac{g_{\gamma\text{ALP}}}{M_{\text{ALP}}}$ is always smaller than QCD axion $\frac{g_{\text{ax}}}{m_{\text{QCD}}}$
 [Does not depend on number of axions]

APPLICATION 2

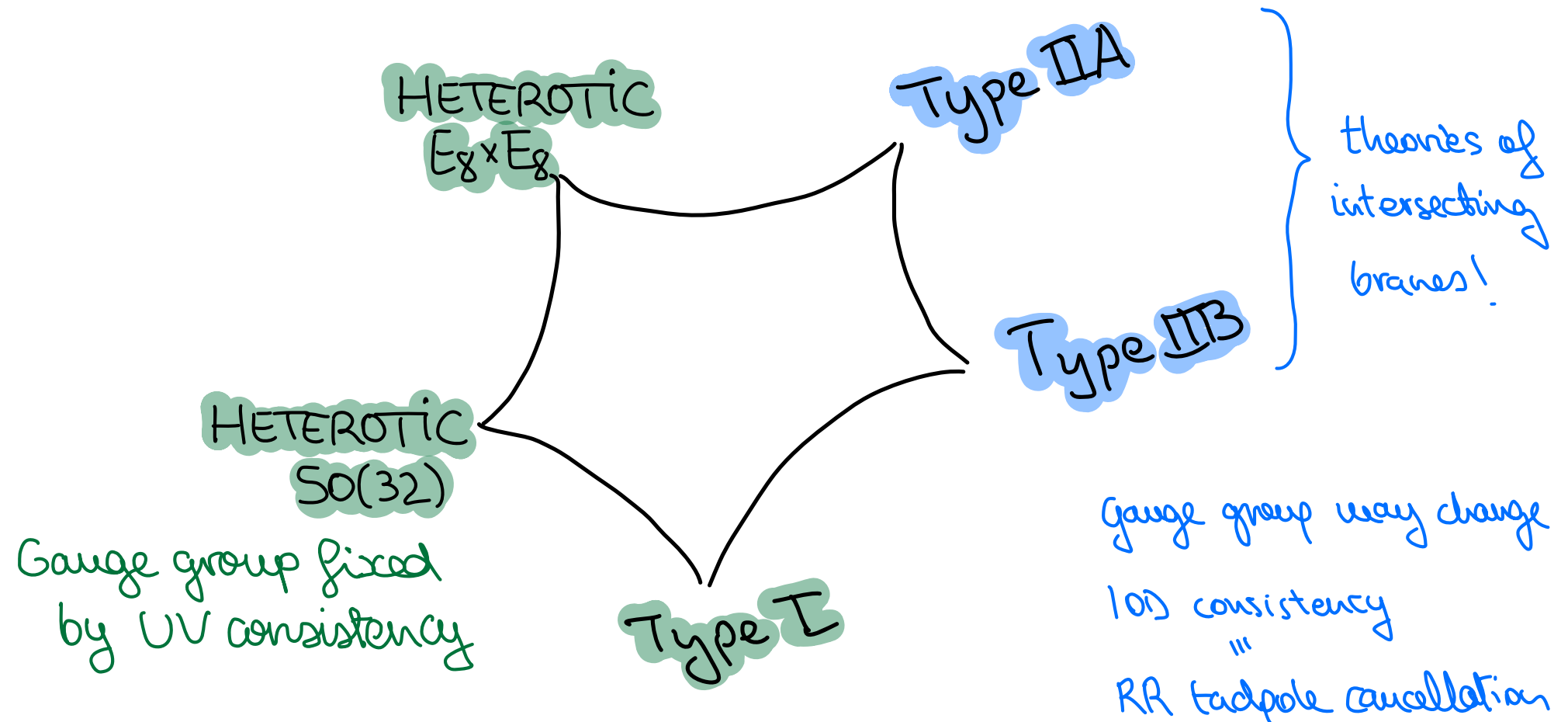
WHAT CAN AXIOMS SAY ABOUT
STRING THEORY ?

(or at least
some of them)

see: 2410.03820 w/ P. Agrawal & M. Nee

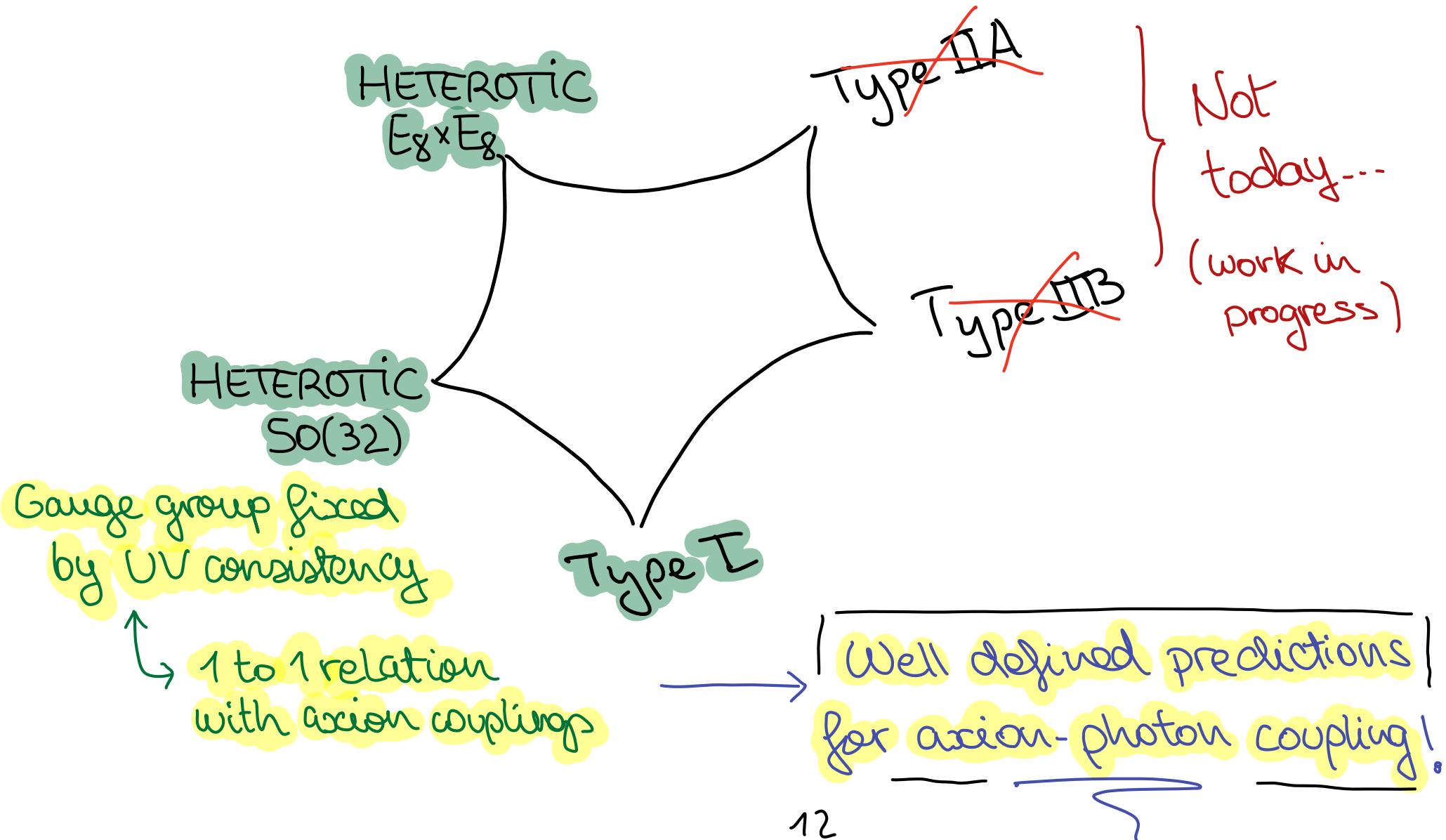
DIFFERENT STRING THEORIES

- ↳ Best understood framework unifying: GR + particle physics
- ↳ Allows understanding of some BH properties (e.g. entropy)
- ↳ Different ST related by network of dualities!



DIFFERENT STRING THEORIES

↳ I will restrict myself to Heterotic strings...



STRINGY AXIONS: KNOWN RESULTS...

[Many papers since Witten; Choi and Kim; et al in 80s]

* Multiple sources of axions in ST: B_2 , C_p , ... \sim gauge fields

"Axions from p -form fields wrapping p -cycles": $\theta_p = \int_{W_p} C_p$

* Appear in large number: $\#$ axions \sim "complexity" of compact space

STRING AXIVERSE! [Anvari et al., '09]

* Exponentially good PQ (moduli stabilisation might spoil this) **CAVEAT!** [Conlon, 0602233]

\hookrightarrow Nicely explained by higher-form symmetries! [Brennan, Hong; 2306.00912]

* F_a tends to be large! Observability? Overabundance?

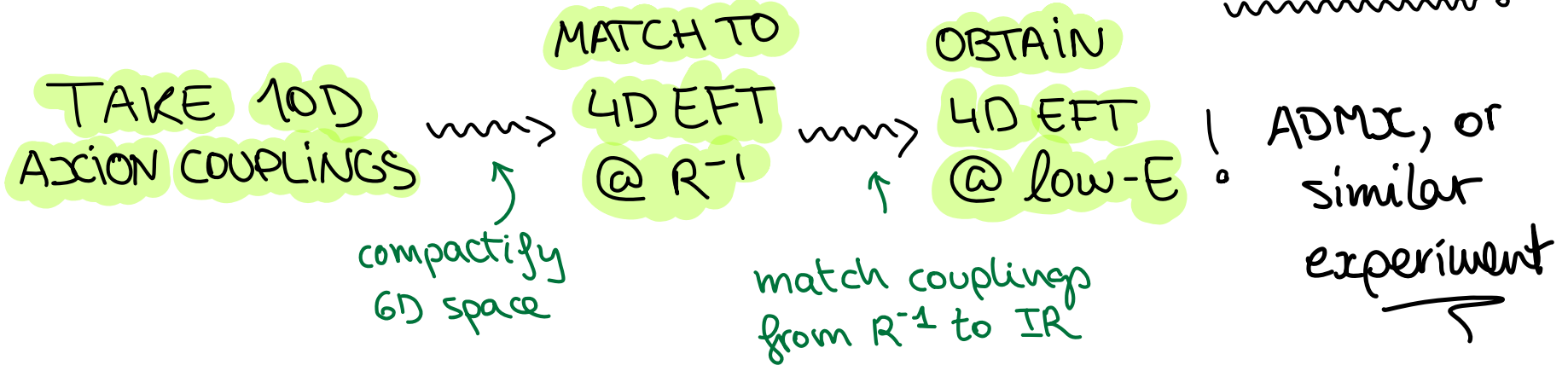
[Gendler et al, 2309.13145]

See however: { [Im et al. 1906.11851;
Choi et al. 1104.3274;
and others...

"axiverse statistics"

WHAT'S NEW HERE?

- i) UV consistency fixes UV gauge group in some ST
- ii) Axion couplings are topological in ST: fixed at 10D level!



↳ well defined axion predictions independent of details associated to: compactification & obtaining SM spectrum

In some ST: $g_{ax} / m_a < \frac{\alpha_{em}}{217} \frac{1}{f_{IR} m_{Pl}}$ holds!

HETEROTIC STRINGS

* CY and toroidal orbifold compactif.

* Theory of closed (super)strings in 10D*

metric + moduli

Massless states: $\left\{ \begin{array}{l} - g_{MN} \\ - A_{Mij}^{ij} \end{array} \right.$ B_{MN} , ϕ ← dilaton

Gauge boson + gauginos → "charged" matter

Source of axions!

EFFECTIVE ACTION:
 $\underline{S_{10}}$
 10D N=1
 SUGRA

* Green-Schwarz anomaly cancellation → $E_8 \times E_8$ or $SO(32)$

Crucial for axions!

Focus on
Axion coupling in 4d!

↳ e.g. $B \wedge \text{tr} F^2 \wedge \text{tr} F^2$

↳ $\int_{\Sigma_6} \{ \dots \} \rightarrow$

$a G\tilde{G}$

HETEROTIC STRINGS

Problem ?

UV simplicity vs IR complexity

$E_8 \times E_8$ in 10d
~~~~~

(I won't consider  $SO(32)$ , but results apply)

↳ Compactifying on Calabi-Yau or toroidal orbifold  
(compact spaces with different topological properties)

(discrete) Wilson lines

⇒ MANY 4D EFT become available!

Examples:  $SU(5)$ ,  $SO(10)$ ,  $E_6$ , trification, SM, etc...

# CONNECTING FAR UV TO IR

Energy scale

$M_p$

Fully fledged ST

10D SUGRA  
 $E_8 \times E_8$

4D EFT @  $R^{-1}$

↳  $E_6$ ,  $SO(10)$ ,  $SU(5) \times U(1)$   
 $SU(5)$ , Pati-Salam, SM-like ...

↳ chiral fermions depend on topology of compact space ...

LHC

EWSB

Axion Experiment

Strategy: match mixed anomalies of GLOBAL higher-form symmetries to 4d axion anomalies!

Independent of intermediate scale physics!

Results will only depend on SM embedding into  $E_8 \times E_8$

STRINGY ACTIONS:

HOW DO THEY

LOOK LIKE?  
?



# AXIONS IN HETEROTIC STRINGS

[see Suracek, Witten for a review]

↳  $B_2$ : 2-index antisym. tensor    ↳  $B_6 \equiv$  10d dual of  $B_2$

$$B_2 \rightarrow B_2 + dA_1$$

\* Model-independent axion (a):  
(MI)

$$a = \int_{\mathbb{X}_6} B_6$$

6-form integrated  
over 6d space  
↳ 0-form in 4d  
EFT

↳  $\mathbb{X}_6 \equiv$  6d compact space

\* Model-dependent axions ( $b_i$ ): zero modes of  $B_2$

wrapping 2-cycles ( $W_i$ )

(MD)

$$b_i = \int_{W_i} B_2$$

\* and decay const  
depends on compact  
space

\* Field theoretic axions:

$$\phi = \bar{\phi} e^{i c(x)}$$

↳ complex phase

↳ Relevant in scenarios with anomalous  $U(1)$ . Do not add new ingredients wrt MI, MD.

# MATCHING AXION COUPLINGS

\* Take 10d SUGRA action:

$$S_{10d} \supset \int_{\mathbb{X}_6} B_6 \wedge [\text{tr}_1 F^2 + \text{tr}_2 F^2] + \int_{\mathbb{X}_6} B_2 \wedge X_8^{(YM)}$$

Green-Schwarz mech.

↳ Contains:  
 $\text{tr}_i F^2 \text{tr}_j F^2, \dots$

MI axion couplings

MD axion couplings

(see Bianchi id.  $dH = \text{tr} F^2 - \text{tr} R^2$ )

e.g.  $K_i = \int B_2 \wedge \text{tr}_i F^2 \rightarrow$  quantised

\* Consistency of the 10d SUGRA gives couplings of  $B_2$  and  $B_6$  to gauge bosons.

Alternative: match higher form anomalies

$S_{10d} \longleftrightarrow$  Axion couplings @  $R^{-1}$  in 4d EFT

After dim. reduction...

# 4d AXION COUPLINGS

\* **MI axion couplings**:  $\mathcal{L}_{MI}^{4d} = a/f_a (\text{tr}_1 F^2 + \text{tr}_2 F^2)$  **Universally coupled to gauge bosons**

$\text{tr}_1 F \wedge F \equiv \text{tr}_1 F^2 = \sum_i \text{tr} F_i^2 \leftarrow$  unbroken 4d gauge groups from 1st  $E_8$

\* **MD axion couplings**:  $\mathcal{L}_{MD}^{4d} = \sum k_i^{(1)} b_i \text{tr}_1 F^2 + \sum k_i^{(2)} b_i \text{tr}_2 F^2$   
( $b_i$ )  $\uparrow$  depend on compact space  $\uparrow$   
CALCULABLE!

**CRUCIAL POINT: Only 2 linear comb.  $\Theta_1, \Theta_2$ !**

**MOST GENERAL  
AXION  
COUPLINGS**

$$\mathcal{L}_{4D} \supset \int_{M_4} \Theta_1 \text{tr}_1 F^2 + \int_{M_4} \Theta_2 \text{tr}_2 F^2$$

# EMBEDDING THE SM IN $E_8 \times E_8$

@  $R^{-1}$ :

$$L_{4D} \supset \underbrace{\int_{M_4} \theta_1 \text{tr}_1 F^2 + \int_{M_4} \theta_2 \text{tr}_2 F^2}_{\text{axion couplings at low-E only depend on how we embed the SM!}}$$

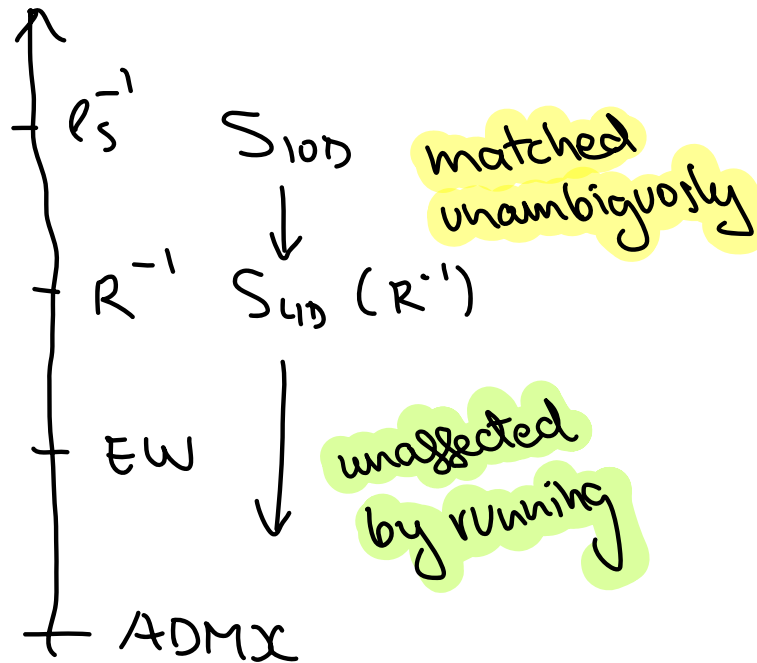
\*  $\theta_1, \theta_2$ : different linear combinations of  $a$  &  $b_i$ !

E.g.

$$\theta_1 = a + \sum K_i^{(n)} b_i$$

axion couplings at low-E only depend on how we embed the SM!

Energy



ONLY 2 OPTIONS!

i)  $E_8 \supset G \supset SM$ ; second  $E_8$  "untouched"

ii) SM non-trivially embedded in  $E_8 \times E_8$

# EMBEDDING THE SM IN $E_8 \times E_8$

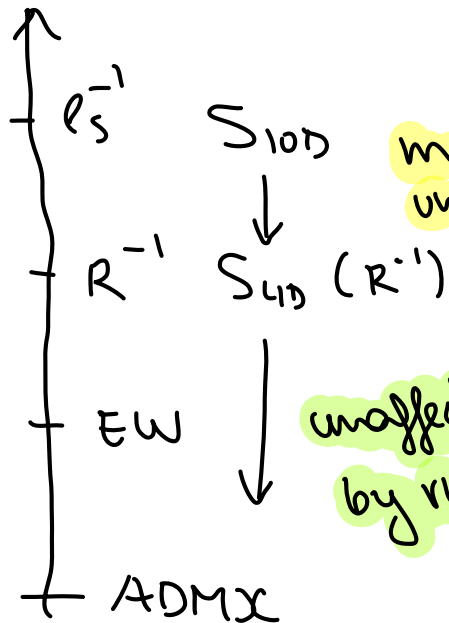
$$\mathcal{L}_{4D} \supset \int_{M_4} \theta_1 \text{tr}_1 F^2 + \int_{M_4} \theta_2 \text{tr}_2 F^2$$

The same result holds for  $SO(32)$

Hidden sector

$$\downarrow \text{tr}_1 F^2 = \{ \text{QCD} + \text{EW} + \text{Hypercharge} \}$$

Energy



matched unambiguously

unaffected by running

"VISIBLE AXION"

$$\theta_1 = a + \sum_i k_i^{(1)} b_i$$

Model independent axion

value of  $k_i^{(1)}$  depends on compact space

model dependent axions

# SM EMBEDDING IN A SINGLE $E_8$

∞ 4D EFT → @  $R^{-1}$  scale: ← SM from first  $E_8$

$$\mathcal{L} = \frac{\Theta_1}{8\pi} (\alpha_1 \tilde{B}\tilde{B} + \alpha_2 \tilde{W}\tilde{W} + \alpha_3 \tilde{G}\tilde{G}) + \frac{\Theta_2}{8\pi} H\tilde{H} + \sum_{\text{world-sheet}} V(b_i)$$

$$\Theta_1 = a + \sum_i k_i^{(1)} b_i$$

axions other than those in  $\Theta_1$ , only couple through mixing!

∞ 4D EFT → below EWSB scale:

$$\mathcal{L} = \frac{\Theta_1}{8\pi} \left[ \alpha_{\text{em}} \left( \frac{E}{N} - 1.92 \right) F\tilde{F} + G\tilde{G} \right] + V_{\text{eff}}(b_i)$$

source of axion mixing!

only QCD axion to leading order!

↳ Additional axions satisfy:

$$\frac{g_{\text{ax}}}{m_a} < \frac{\alpha_{\text{em}}}{M_{\text{pl}} f_n}$$

# SM EMBEDDING IN A SINGLE $E_8$

\* Find an axion with:  $g_{ar}/m_a > \alpha_{em}/m_{\eta} f_{\pi}$

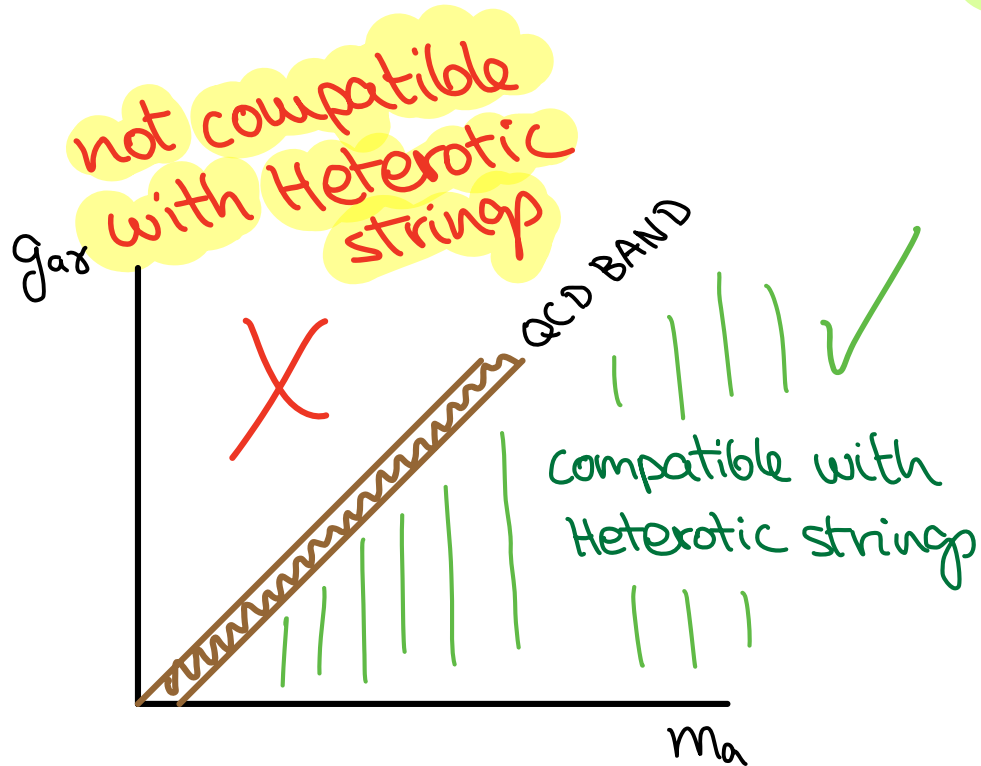
For example:

↳ Cosmic birefringence

$$m_a \sim 10^{-30} \text{ eV}, f_a \sim 10^{18} \text{ GeV}$$

↳ Ultralight axions coupled to photons

$$m_a \sim 10^{-20} \text{ eV}, f_a \sim 10^{16} \text{ GeV}$$



Rule out Heterotic Strings !!

INDEPENDENT OF THE DECAY CONSTANT!

(Embedding into a single  $E_8$ )

# NON-STANDARD SM EMBEDDING

See orbifold papers:

\* Font et al. '90

\* Ibáñez et al. '87

$$\mathcal{L}_{4D} \supset \int_{M_4} \theta_1 \text{tr}_1 F^2 + \int_{M_4} \theta_2 \text{tr}_2 F^2$$

QCD  $\rightarrow$  (part of) EM  
~ QCD axion ~ ALP

\* Take:  $E_8 \times E_8$

$$[SU(3) \times SU(2) \times U(1)^n] \times [U(1)^m \times G_n]$$

$SU(3)_c \times SU(2)_L \times U(1)_Y \times G_n^*$

No known "realistic" model with non-standard embedding?!

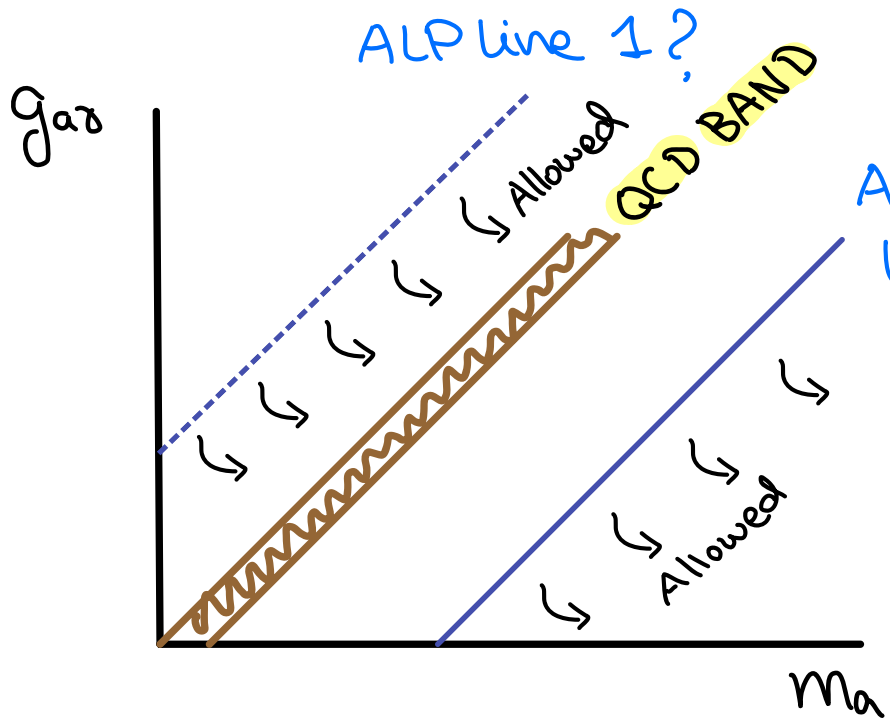
Instantons generate ALP potential!

$$\left. \begin{aligned} V(\theta_1) &\simeq -\Lambda_{\text{QCD}}^4 \cos \theta_1 \\ V(\theta_2) &\simeq -\Lambda_{\text{ALP}}^4 \cos \theta_2 \end{aligned} \right\} \rightarrow$$

$\Lambda_{\text{ALP}} \text{ vs } \Lambda_{\text{QCD}}?$



# WHAT'S THE "COST" OF THE ALP?



↳ "line" means:  $\frac{g_{ALP}}{M_{ALP}} \sim \frac{\alpha_{EM}}{\Lambda_{ALP}^2}$

\* ALP line 1 or 2?

$\Lambda_{QCD}$  vs  $\Lambda_{ALP}$

↳ Model dependent question!

\* Irreducible axion potential

$$V(\theta_{ALP}) \sim R^{-4} e^{-2\pi/\alpha_{GUT} \cos(\theta_{ALP})}$$

## MODEL INDEPENDENT IMPLICATIONS

i) Weak mixing angle is modified:  $\sin^2 \theta_w < 1/3$ !

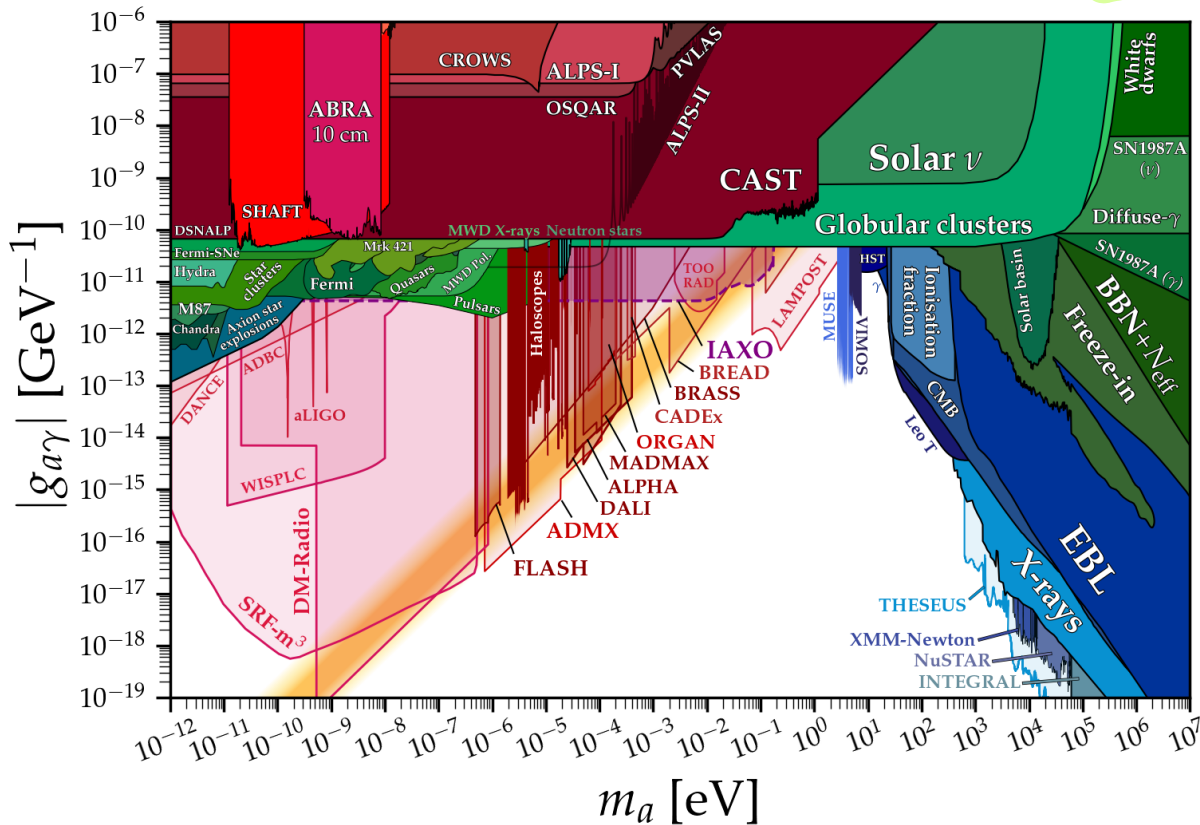
Standard GUT

$$\sin^2 \theta_w = 3/8$$

ii) Fractional charges? Possibly chiral!

# UV LESSONS FROM IR EXP

- 1) On top of Strong CP, Dark Matter, etc axions offer unpolluted UV information: GUTs, Heterotic strings, ... others?
- 2) Many experiments searching for axion-photon in near future, specially:  $g_{a\gamma} / m_a > \alpha_{em} / m_{np}$



3) We CANNOT confirm GUTs or Heterotic strings BUT axion searches offer NON-TRIVIAL TESTS of these theories