Energy conditions, singularities and exotic spacetimes

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Eleni-Alexandra Kontou SIGRAV International School 20 February 2025



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Outline

Energy conditions

Classical energy conditions Quantum energy inequalities

Singularities

The classical theorems Semiclassical singularity theorems

Exotic spacetimes

Conclusions and questions

Energy conditions

Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
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What are energy conditions?

Restrictions on contractions of the stress-energy tensor that encode "physical" properties of matter such as the positivity of energy.

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What are energy conditions?

Restrictions on contractions of the stress-energy tensor that encode "physical" properties of matter such as the positivity of energy.

- Weak energy condition: WEC
- Strong energy condition: SEC
- Dominant energy condition: DEC
- Null energy condition: NEC

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Name	Physical	Geometric	Perfect fluid
WEC	$T_{\mu u}U^{\mu}U^{ u}\geq 0$	$G_{\mu u}U^{\mu}U^{ u}\geq 0$	$ ho \geq {\sf 0} {\sf and} \ ho + P \geq {\sf 0}$
SEC	$\left(T_{\mu\nu}-\frac{Tg_{\mu\nu}}{n-2}\right)U^{\mu}U^{\nu}\geq 0$	$R_{\mu u}U^{\mu}U^{ u}\geq 0$	$ ho+P\geq 0$ and $(n-3) ho+(n-1)P\geq 0$
DEC	$T_{\mu u}U^{\mu}\xi^{ u}\geq 0$	$G_{\mu u}U^{\mu}\xi^{ u}\geq 0$	$ ho \ge P $
NEC	$\mathcal{T}_{\mu u}\ell^\mu\ell^ u\geq 0$	$R_{\mu u}\ell^\mu\ell^ u\geq 0$	$ ho + P \ge 0$

 U^{μ} and ξ^{μ} : co-oriented timelike vectors, ℓ^{μ} : null vector $\mathbb{B} \to \mathbb{A} \cong \mathbb{A} \oplus \mathbb{A} \oplus \mathbb{A}$

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Visualize perfect fluid energy conditions



Energy conditions	Singularities 000000000000000000000000000000000000	Exotic spacetimes	Conclusions and questions
Classical energy conditions			
Connections			
DEC			
		SEC	
	NEC	-	

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Why do we need energy conditions?

$$G_{\mu\nu} = 8\pi G T_{\mu\nu}$$

Einstein tensor: spacetime geometry

Energy-momentum tensor: matter

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Why do we need energy conditions?

$$G_{\mu\nu} = 8\pi G T_{\mu\nu}$$

Einstein tensor: spacetime geometry

Energy-momentum tensor: matter

Solving Einstein's equations in reverse: you can have any kind of spacetime you want with the right kind of matter.

Why do we need energy conditions?

Questions that arise:

- Does our spacetime have singularities? Can they be naked?
- Are wormholes, superluminal communication and closed timelike curves allowed?

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The minimally coupled scalar field

Classical gravitational action integral

$$S = \int d^n x \sqrt{-g} \left[\frac{R}{16\pi G_N} + \frac{1}{2} (\nabla \phi)^2 - V(\phi) \right]$$

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Field equation

$$\left(\Box_g + m^2\right)\phi = 0$$
.

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$$\left(\Box_g + m^2\right)\phi = 0$$

For $V(\phi) = m^2 \phi^2/2$ the stress-energy tensor is

$$T_{\mu
u} = (
abla_{\mu}\phi)(
abla_{
u}\phi) - rac{1}{2}g_{\mu
u}(m^2\phi^2 - (
abla\phi)^2)$$

Energy conditions for ϕ

$$T_{\mu
u} = (
abla_\mu\phi)(
abla_
u\phi) - rac{1}{2}g_{\mu
u}(m^2\phi^2 - (
abla\phi)^2)$$

- DEC: For all co-oriented timelike vectors U^μ, ξ^ν the tensor U^μξ^ν + ξ^μU^ν − (U^αξ_α)g^{μν} is positive definite as U^αξ_α < 0.</p>
- WEC and NEC: They hold as DEC holds.
- SEC:

$$U^{\mu}U^{\nu}\left(T_{\mu\nu}-rac{T}{n-2}g_{\mu
u}
ight)=(U^{\mu}
abla_{\mu}\phi)^{2}-rac{1}{n-2}m^{2}\phi^{2}$$

only obeyed it m = 0, but it can be violated when m > 0.

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Violation of classical energy conditions in QFT

Positivity of the energy density is in general incompatible with quantum field theory.

Violation of classical energy conditions in QFT

Positivity of the energy density is in general incompatible with quantum field theory.

Sketch of the theorem

Let A any local operator that has zero expectation value in the vacuum state $\langle \Omega | A | \Omega \rangle = 0$. Then if A is positive we can write $||A^{1/2}\Omega||^2 = \langle \Omega | A | \Omega \rangle = 0$. So $A\Omega = 0$ and then A is identically zero. So A cannot be positive. [Epstein, Glaser, Jaffe, 1965]

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 \Rightarrow There is a nonzero probability for both positive and negative measurement values, so the spectrum of *A* extends into the negative half-line.

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Quantization and renormalization

We follow algebraic quantization and our main object of interest is the two point function,

$$W_{\psi}(x,x') \equiv \langle \phi(x)\phi(x')
angle_{\psi},$$

where ψ is a quantum state of interest. The class of states we consider in this paper are the *Hadamard states* whose two point-functions have well-known singularity structures.

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The two-point function is divergent in QFT. As we know the singularity structure of the states we can renormalize the stress-tensor by subtracting these singularities.

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We renormalize the stress-energy tensor following the prescription of Hollands and Wald [Hollands, Wald, 2001].

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Quantization and renormalization

First let's define the point-split stress-energy operator

$$\mathcal{T}^{\rm split}_{\mu\nu'}(x,x') = \nabla^{(x)}_{\mu} \otimes \nabla^{(x')}_{\nu'} - \frac{1}{2} g_{\mu\nu'}(x,x') g^{\lambda\rho'}(x,x') \nabla^{(x)}_{\lambda} \otimes \nabla^{(x')}_{\rho'} + \frac{1}{2} m^2 g_{\mu\nu'}(x,x') \mathbb{1} \otimes \mathbb{1} ,$$

where $g_{\mu\nu'}(x, x')$ is the parallel propagator. Then we can define

$$\langle T_{\mu\nu}^{\mathsf{fin}} \rangle_{\psi}(x) = \lim_{x' \to x} g_{\nu}^{\nu'}(x, x') T_{\mu\nu'}^{\mathsf{split}} \circ (\overbrace{W_{\psi} - H_{(k)})(x, x')}^{\mathsf{smooth}},$$

Hadamard parametrix: a bi-distribution that encodes the singularity structure of the two-point function of Hadamard states

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Quantization and renormalization

Coincident limit

 $[B](x') = \lim_{x \to x'} B(x, x').$

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Quantization and renormalization

Coincident limit

$$[B](x') = \lim_{x \to x'} B(x, x').$$

The difference between two Hadamard states ψ and ψ_0 is smooth at the coincident limit $x\to x'$

$$\langle T_{\mu\nu}^{\rm ren} \rangle_{\psi} - \langle T_{\mu\nu}^{\rm ren} \rangle_{\psi_0} = \left[\left[g_{\nu}^{\ \nu'} T_{\mu\nu'}^{\rm split} \circ (W_{\psi} - W_{\psi_0}) \right] \right] \, ,$$

where

$$\langle T_{\mu\nu}^{\rm ren} \rangle_{\psi}(x) = \langle T_{\mu\nu}^{\rm fin} \rangle_{\psi} - \underbrace{\mathcal{Q}(x)g_{\mu\nu}(x) + \mathcal{C}_{\mu\nu}(x)}_{\varphi}.$$

Renormalization freedom

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Quantization and renormalization

Coincident limit

$$[B](x') = \lim_{x \to x'} B(x, x').$$

The difference between two Hadamard states ψ and ψ_0 is smooth at the coincident limit $x\to x'$

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where

$$\langle T_{\mu\nu}^{\rm ren} \rangle_{\psi}(x) = \langle T_{\mu\nu}^{\rm fin} \rangle_{\psi} - \underbrace{Q(x)g_{\mu\nu}(x) + C_{\mu\nu}(x)}_{\text{Renormalization freedom}}.$$

Massless Minkowski vacuum

$$\langle : T_{\mu\nu} : \rangle_{\psi} := \left[\left[g_{
u}^{\
u'} \ T^{\mathsf{split}}_{\mu
u'} \circ \left(W_{\psi} - W_{\Omega}
ight)
ight] \, .$$

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What are quantum energy inequalities?

We can't have non-negativity of $\langle T^{\rm ren}_{\mu\nu} \rangle_{\psi}(x)$ at every spacetime point.

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What are quantum energy inequalities?

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Quantum energy inequalities (QEIs) introduce a restriction on the possible magnitude and duration of any negative energy densities or fluxes within a quantum field theory.

What are quantum energy inequalities?

We can't have non-negativity of $\langle T_{\mu\nu}^{\text{ren}} \rangle_{\psi}(x)$ at every spacetime point.

Quantum energy inequalities (QEIs) introduce a restriction on the possible magnitude and duration of any negative energy densities or fluxes within a quantum field theory.

If ρ is some contraction of the stress-energy tensor

$$\langle
ho(f)
angle_{\Psi} \geq - \langle \mathcal{Q}(f)
angle_{\Psi},$$

$$\langle
ho(f)
angle_{\Psi} - \langle
ho(f)
angle_{\Psi_0} \geq - \langle \mathcal{Q}_{\Psi_0}(f)
angle_{\Psi} \, .$$

f: non-negative smearing function on spacetime

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Derivation of a quantum energy inequality

Average over a timelike geodesic:

$$\langle
ho \circ \gamma
angle_{\psi}(f^2) = \int_{-\infty}^{\infty} \mathrm{d}t f^2(t) \langle
ho
angle_{\psi}(\gamma(t)) \, .$$

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Derivation of a quantum energy inequality

Average over a timelike geodesic:

$$\langle \rho \circ \gamma \rangle_{\psi}(f^2) = \int_{-\infty}^{\infty} \mathrm{d}t f^2(t) \langle \rho \rangle_{\psi}(\gamma(t)) \, .$$

Define

$$ho^{ ext{split}}(t,t') = \left(h^{\mu}h^{
u}\mathcal{T}^{ ext{split}}_{\mu
u}\phi\otimes\phi)
ight)(\gamma(t),\gamma(t'))\,.$$

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$$\langle \rho \circ \gamma \rangle_{\psi}(f^2) = \int_{-\infty}^{\infty} \mathrm{d}t f^2(t) \langle \rho \rangle_{\psi}(\gamma(t)) \, .$$

Define

$$\rho^{\rm split}(t,t') = \left(h^{\mu}h^{\nu}T^{\rm split}_{\mu\nu}\phi\otimes\phi)\right)\left(\gamma(t),\gamma(t')\right).$$

Then

$$\langle :
ho :^{\mathrm{split}}_{\psi_0}
angle_\psi \; (t,t') = \langle
ho^{\mathrm{split}}(t,t')
angle_\psi - \langle
ho^{\mathrm{split}}(t,t')
angle_{\psi_0} \, ,$$

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Derivation of a quantum energy inequality

$$\begin{split} \langle :\rho :_{\psi_0} \circ \gamma \rangle_{\psi}(f^2) &= \int_{-\infty}^{\infty} \mathrm{d}t \, \mathrm{d}t' \, f(t) f(t') \delta(t-t') \langle :\rho :_{\psi_0}^{\mathrm{split}} \rangle_{\psi} \, (t,t') \\ &= \int_{0}^{\infty} \frac{\mathrm{d}\alpha}{\pi} \int_{-\infty}^{\infty} \mathrm{d}t \, \mathrm{d}t' \, f(t) f(t') e^{i\alpha(t-t')} \langle :\rho :_{\psi_0}^{\mathrm{split}} \rangle_{\psi} \, (t,t') \\ &= \int_{0}^{\infty} \frac{\mathrm{d}\alpha}{\pi} \int_{-\infty}^{\infty} \mathrm{d}t \, \mathrm{d}t' \, \langle \rho^{\mathrm{split}}(t,t') \rangle_{\psi} \overline{f_{\alpha}(t)} f_{\alpha}(t') \\ &- \int_{0}^{\infty} \frac{\mathrm{d}\alpha}{\pi} \int_{-\infty}^{\infty} \mathrm{d}t \, \mathrm{d}t' \, \langle \rho^{\mathrm{split}}(t,t') \rangle_{\psi_0} \overline{f_{\alpha}(t)} f_{\alpha}(t') \, , \end{split}$$

where $f_{\alpha}(t) = f(t)e^{i\alpha t}$.

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Derivation of a quantum energy inequality

$$\langle :\rho :_{\psi_0} \circ \gamma \rangle_{\psi}(f^2) = \int_{-\infty}^{\infty} \mathrm{d}t \, \mathrm{d}t' f(t) f(t') \delta(t-t') \langle :\rho :_{\psi_0}^{\mathrm{split}} \rangle_{\psi} (t,t')$$

$$= \int_{0}^{\infty} \frac{\mathrm{d}\alpha}{\pi} \int_{-\infty}^{\infty} \mathrm{d}t \, \mathrm{d}t' f(t) f(t') e^{i\alpha(t-t')} \langle :\rho :_{\psi_0}^{\mathrm{split}} \rangle_{\psi} (t,t')$$

$$= \underbrace{\int_{0}^{\infty} \frac{\mathrm{d}\alpha}{\pi} \int_{-\infty}^{\infty} \mathrm{d}t \, \mathrm{d}t' \langle \rho^{\mathrm{split}}(t,t') \rangle_{\psi} \overline{f_{\alpha}(t)} f_{\alpha}(t') }_{-\int_{0}^{\infty} \frac{\mathrm{d}\alpha}{\pi} \int_{-\infty}^{\infty} \mathrm{d}t \, \mathrm{d}t' \langle \rho^{\mathrm{split}}(t,t') \rangle_{\psi_0} \overline{f_{\alpha}(t)} f_{\alpha}(t') ,$$

where $f_{\alpha}(t) = f(t)e^{i\alpha t}$.

Assumptions for $\rho^{\rm split}$

- Symmetric
- Of positive type

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Derivation of a quantum energy inequality

$$\langle :
ho :_{\psi_0} \circ \gamma
angle_{\psi}(f^2) \geq - \int_0^\infty rac{\mathrm{d}lpha}{\pi} \int_{-\infty}^\infty \mathrm{d}t \; \mathrm{d}t' \; \langle
ho^{\mathrm{split}}(t,t')
angle_{\psi_0} \overline{f_lpha(t)} f_lpha(t') \, ,$$

[Fewster, 2000]

$$\langle :
ho : \circ \gamma
angle_{\psi}(f^2) \geq - \int_0^\infty \frac{\mathrm{d}lpha}{\pi} \left[(f \otimes f)(\gamma \otimes \gamma)^* (\mathcal{Q} \otimes \mathcal{Q} | \tilde{H}_k)
ight]^\wedge (-lpha, lpha) \,,$$

[Fewster, Smith, 2007]

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Derivation of a quantum energy inequality

$$\langle : \rho :_{\psi_0} \circ \gamma \rangle_{\psi}(f^2) \ge - \int_0^\infty \frac{\mathrm{d}lpha}{\pi} \int_{-\infty}^\infty \mathrm{d}t \; \mathrm{d}t' \; \langle \rho^{\mathrm{split}}(t,t') \rangle_{\psi_0} \overline{f_{\alpha}(t)} f_{\alpha}(t') \, ,$$

[Fewster, 2000]

$$\langle : \rho : \circ \gamma \rangle_{\psi}(f^2) \geq - \int_0^\infty \frac{\mathrm{d}\alpha}{\pi} \left[(f \otimes f)(\gamma \otimes \gamma)^* (Q \otimes Q \ \tilde{H}_k) \right]^\wedge (-\alpha, \alpha),$$

[Fewster, Smith, 2007]

But what are these lower bounds?
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Examples of QEIs

For minimally coupled scalars on Minkowski spacetime

$$\int dt \, f^2 \langle : T_{\mu
u} U^\mu U^
u :
angle_\psi \geq -rac{1}{16\pi^2} \int f^{\prime\prime}(t)^2 dt$$

Examples of QEIs

For minimally coupled scalars on Minkowski spacetime

$$\frac{1}{\tau}\int dt\,f^2\langle:T_{\mu\nu}\,U^\mu\,U^\nu:\rangle_\psi\geq-\frac{\mathcal{C}}{\tau^4}$$

[Ford, Roman, 1995], [Fewster, Eveson, 1998]



Examples of QEIs

For minimally coupled scalars on spacetimes with small curvature

$$\begin{split} \int_{\gamma} dt \, f(t)^2 \langle T_{\mu\nu}^{\mathsf{ren}} U^{\mu} U^{\nu} \rangle_{\psi}(t,0) & \geq & -\frac{1}{16\pi^2} \bigg\{ I_1 + \frac{5}{6} R_{\mathsf{max}} J_2 \\ & + & R_{\mathsf{max}}^{\prime\prime} \left[\frac{23}{30} J_3 + \left(\frac{43}{40} + 16\pi^2 (24|\textbf{\textit{a}}| + 11|\textbf{\textit{b}}|) \right) J_4 \right] \\ & + & R_{\mathsf{max}}^{\prime\prime\prime} \left[\frac{163\pi + 14}{96\pi} J_5 + \frac{7(2\pi + 1)}{192\pi} (4J_6 + J_7) \right] \bigg\} \,. \end{split}$$

[E-AK, Olum, 2015] The curvature is considered bounded in the following sense

$$|R_{ab}| \leq R_{\max} \qquad |R_{ab,cd}| \leq R_{\max}^{\prime\prime} \qquad |R_{ab,cde}| \leq R_{\max}^{\prime\prime\prime}.$$

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Examples of **QEIs**

Other derivations:

- State-dependent bounds for the non-minimally coupled field [Fewster, Osterbrink, 2000], [Fewster, E-AK, 2018]
- Results on Maxwell, Proca and Dirac fields [Fewster, Pfenning, 2003], [Fewster, Mistry, 2003]
- Results for two-dimensional CTFs [Fewster, Hollands, 2005]
- Two-dimensional integrable interacting QFTs [Bostelmann, Cadamuro, Mandrysch, 2023]

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Null QEIs

Can we have similar bounds for null geodesics?

Singularities

Exotic spacetimes

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Quantum energy inequalities

Null QEIs

Can we have similar bounds for null geodesics?

The counterexample

Considered a sequence of vacuum-plus-two-particle states in which the three-momenta of excited modes are unbounded and become more and more parallel to the spatial part of the null vector ℓ^{μ} . [Fewster, Roman, 2002]

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SNEC

Idea

In quantum field theory there is often an ultraviolet cutoff $\ell_{\rm UV}$ which restricts the three-momenta. We can write ${\cal G}_N \lessapprox \ell_{\rm UV}^2$.

SNEC

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[Freivogel, Krommydas, 2018] Smeared null energy condition (SNEC) for the minimally coupled scalar field in four dimensional Minkowski spacetime:

$$\int d\lambda \langle : T_{\mu\nu} : \ell^{\mu} \ell^{\nu} \rangle_{\psi} f^{2} \geq -\frac{4B}{G_{N}} \|f'\|^{2}$$

SNEC

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What is *B*?

Numerical constant that expresses the scale of the UV cutoff

SNEC

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What is *B*?

Numerical constant that expresses the scale of the UV cutoff

- $\blacktriangleright~\ell_{\rm UV}\approx$ Planck length \rightarrow B order 1 \rightarrow A lot of negative energy allowed
- ▶ $\ell_{\rm UV} \gg$ Planck length $\rightarrow B$ small \rightarrow A little negative energy allowed

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DSNEC

SNEC bound dependent on the cutoff. Can we do better? Integrate over two null directions!

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DSNEC

SNEC bound dependent on the cutoff. Can we do better? Integrate over two null directions!



$$\int d^2 x^{\pm} f^2(x^{\pm}) \langle T_{--} \rangle_{\psi} \geq - \frac{\mathcal{N}}{(\delta^+)^{n/2-1} (\delta^-)^{n/2+1}}$$

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DSNEC

SNEC bound dependent on the cutoff. Can we do better? Integrate over two null directions!

$$\delta^*$$
 $\int d^2 x^{\pm} f^2(x^{\pm}) \langle T_{--} \rangle_{\psi} \geq - rac{\mathcal{N}}{(\delta^+)^{n/2-1} (\delta^-)^{n/2+1}}$

- ▶ *N*: in general depends on number of dimensions, mass and curvature(*)
- ▶ The bound diverges for $\delta^{\pm} \rightarrow 0$
- $\blacktriangleright\,$ There are no theory-dependent parameters as the $\ell_{\rm UV}$
- Derivations for non-minimally coupled fields and large N CFTs [Fliss, Freivogel, E-AK, Pardo-Santos, 2023, 2024]

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The average null energy condition

If we take the limit $\delta^+ \to 0$ and $\delta^- \to \infty$ while holding $\delta^+ \delta^- \equiv \alpha^2$ fixed we get the averaged null energy condition (ANEC):

$$\int_{-\infty}^{\infty} dx^{-} \langle T_{--} \rangle_{\psi} \geq 0 \, .$$

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$$\int_{-\infty}^{\infty} dx^{-} \langle T_{--} \rangle_{\psi} \geq 0 \, .$$

Semiclassical proofs of ANEC:

- [Flanagan, Wald, 1996] studied spacetimes perturbatively close to Minkowski and provided the first proof of ANEC incorporating backreaction.
- [Faulkner et al.,2016] have argued that the ANEC can be derived directly using modular Hamiltonians for a range of interacting QFTs on Minkowski spacetime.
- Using a variation of and argument developed in [Fewster, Olum, Pfenning, 2006], [E-AK, Olum, 2015] proved the achronal ANEC in spacetimes with small curvature

Learn more

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- Kontou, Eleni-Alexandra, and Ko Sanders. "Energy conditions in general relativity and quantum field theory." (2020) ArXiv: 2003.01815
- Fewster, Christopher J. "Lectures on quantum energy inequalities." (2012) ArXiv:1208.5399

Exotic spacetimes

Conclusions and questions 000

Singularities

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What is a singularity?

 Intuitive definition: A "place" where the curvature diverges.

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- Problems: Except in highly symmetrical cases (e.g Schwarzschild) we cannot represent the singularity as "place" since the metric is not defined there. The divergence of curvature scalars doesn't cover all singularity cases.



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Definition

A spacetime is singular if it possesses at least one incomplete geodesic.

Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
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Singularity theorems structure

1. The initial or boundary condition

There exists a trapped surface (null geodesics) or a spatial slice with negative expansion (timelike goedesics)

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Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
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The classical theorems			

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Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
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Singularity theorems structure

2. The energy condition

Restriction on the stress-energy tensor expressing "physical" properties of matter.

Null geodesics: Geometric form of the NEC \rightarrow Null Convergence

Condition $R_{\mu\nu}\ell^{\mu}\ell^{\nu} \geq 0$

Timelike geodesics: Geometric form of the (SEC) \rightarrow Timelike

Convergence Condition $R_{\mu\nu}U^{\mu}U^{\nu} \ge 0$

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3. Causality condition

There is a Cauchy surface: spacelike hypersurface which intersects causal geodesics once and only once

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Proof structure

- 1. Initial condition: Geodesics start focusing
- 2. Energy condition: Focusing continues
- 3. Causality condition: No focal points
- \Rightarrow Geodesic incompleteness

Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
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Focal points



Timelike geodesic normal to spacelike hypersurface S

Singularities

Exotic spacetimes

The classical theorems

Focal points



Timelike geodesic normal to spacelike hypersurface S

Focal point

A geodesic issuing normally from a spacelike hypersurface S and is continued past a focal point no longer locally extremizes length.

Singularities

Exotic spacetimes

The classical theorems

Focal points



Timelike geodesic normal to spacelike hypersurface S

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A geodesic issuing normally from a spacelike hypersurface S and is continued past a focal point no longer locally extremizes length.



- N: focal point
- AN equal to A'N
- ► ANB longer than A'CB

Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
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The election theorem			
The classical theorems			

Problem

Maximise proper time among timelike curves joining surface S to point q.

Energy conditions	Singularities	Exotic spacetimes 000000000000000	Conclusions and questions
The classical theorems			

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Maximise proper time among timelike curves joining surface S to point q.

Consider an 1-parameter family of smooth curves $\gamma_s: [0, \tau] \to M$

Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
The classical theorems			

Problem

Maximise proper time among timelike curves joining surface S to point q.

Consider an 1-parameter family of smooth curves $\gamma_s: [0, \tau]
ightarrow M$

$$U^{\mu} = rac{\partial \gamma^{\mu}_{s}}{\partial t} , \qquad V^{\mu} = rac{\partial \gamma^{\mu}_{s}}{\partial s}$$



$$L[\gamma] = \int_0^t |\dot{\gamma}(t)| \, dt, \qquad |\dot{\gamma}(t)| = \sqrt{g_{\mu\nu} \dot{\gamma}^{\mu} \dot{\gamma}^{\nu}}$$

Energy conditions	Singularities	Exotic spacetimes 000000000000000	Conclusions and questions
The classical theorems			

The first variation of length

$$\left.\frac{dL[\gamma_s]}{ds}\right|_{s=0} = 0$$

The index form

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The second variation of length (index form)

$$I[V] = \left. \frac{d^2 L[\gamma_s]}{ds^2} \right|_{s=0}$$

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Whether γ is, or is not, a local maximum of the length functional, amounts to the absence, or presence, of a focal point.

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Whether γ is, or is not, a local maximum of the length functional, amounts to the absence, or presence, of a focal point.

Focal point test

 $I[V] \ge 0$ for some $V^{\mu} \Longrightarrow \exists$ focal point in (0, t]
Hawking's singularity theorem

Pick a V: Let e_i with i = 1, 2, ..., n be an orthonormal basis of $T_{\gamma(0)}S$, with $e_0^{\mu} = U^{\mu}$. Then, take f a smooth function with f(0) = 1 and f(t) = 0, so that $V_i = fe_i$ and sum over i

$$\sum_{i=1}^{n} I[fe_i] = -\int_0^t \left((n-1)\dot{f}^2 - f^2 R_{\mu\nu} U^{\mu} U^{\nu} \right) \, dt - \underbrace{\mathcal{K}}_{Expansion} |_{\gamma(0)} \ge 0$$

Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
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Hawking's singularity theorem

Condition for the formation of a focal point

$$\int_0^t \left((n-1)\dot{f}^2 - f^2 R_{\mu\nu} U^{\mu} U^{\nu} \right) \, dt \leq -K$$

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Hawking's singularity theorem

Condition for the formation of a focal point

$$\int_0^t \left((n-1)\dot{f}^2 - \overbrace{f^2 R_{\mu\nu}}^+ U^\mu U^\nu \right) dt \le -K$$

- Timelike convergence condition $R_{\mu\nu}U^{\mu}U^{\nu} \ge 0$
- Choose a function $f(t) = 1 t/\tau$

Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
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Hawking's singularity theorem

Condition for the formation of a focal point

$$\underbrace{\int_{0}^{\tau} ((n-1)\dot{f}^{2} - \widetilde{f^{2}R_{\mu\nu}U^{\mu}U^{\nu}}) dt}_{+} \leq \underbrace{K}_{-K}^{|\kappa|}$$

- Timelike convergence condition $R_{\mu\nu}U^{\mu}U^{\nu} \ge 0$
- Choose a function $f(t) = 1 t/\tau$
- ▶ Negative expansion K < 0
- \Rightarrow Focal point for $au \geq (n-1)/|K|$

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The causality condition implies the timelike geodesics have no focal points and thus they have length at most τ .

Penrose's singularity theorem

Differences between timelike and null case

- Action integral instead of length
- Co-dimension 2 hypersurface instead of Cauchy surface
- Mean normal curvature instead of expansion
- ▶ The affine parameter is fixed by requiring $K^{\mu}d\gamma_{\mu}/d\lambda = 1$ on S

Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
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Penrose's singularity theorem

$$\underbrace{\int_{0}^{\ell} \left((n-2)f^{\prime 2} - \underbrace{f^{2}R_{\mu\nu}\ell^{\mu}\ell^{\nu}}^{+} \right) d\lambda}_{= -(n-2)K}$$

- Null convergence condition $R_{\mu\nu}\ell^{\mu}\ell^{\nu} \ge 0$
- Choose a function $f(\lambda) = 1 \lambda/\ell$
- ► Trapped surface *K* < 0
- \Rightarrow Focal point for $\ell \geq 1/|{\it K}|$

Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
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Penrose's singularity theorem

$$\underbrace{\int_{0}^{\ell} ((n-2)f'^{2} - f^{2}R_{\mu\nu}\ell^{\mu}\ell^{\nu}) d\lambda}_{+} \leq \underbrace{(n-2)|K|}_{-(n-2)K}$$

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Energy conditions	Singularities	Exotic spacetimes 000000000000000	Conclusions and questions
Semiclassical singularity theorems			

- Quantum gravity: is believed that it will lead to a resolution of singularities
- Semiclassical gravity: are singularities predicted in that context?

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Strategy

Step 1: Replace the pointwise condition by an average one and prove the theorem

$$\int_{\gamma} f^2 R_{\mu\nu} U^{\mu} U^{\nu} \geq -({\sf bound})$$

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$$\int_{\gamma} f^2 \langle : T_{\mu\nu} : U^{\mu} U^{\nu} \rangle_{\psi} \geq -(\text{bound})$$

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Step 3: Use the semiclassical Einstein equation

$$8\pi G_N \langle :T_{\mu\nu} : U^{\mu} U^{\nu} \rangle_{\psi} = R_{\mu\nu} U^{\mu} U^{\nu}$$

Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
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Singularity theorems with weaker conditions

Theorem [Fewster, E-AK, 2019]

1. Energy condition

$$\int_0^\tau f^2 R_{\mu\nu} U^{\mu} U^{\nu} dt \ge -Q_m \|f^{(m)}\|^2 - Q_0 \|f\|^2$$

and Scenario 1: $R_{\mu\nu}U^{\mu}U^{\nu} \ge \rho_0$ for $[0, \tau_0]$: Timelike convergence condition obeyed after we measure Kor Scenario 2: $R_{\mu\nu}U^{\mu}U^{\nu} < -\rho_0$ for $[-\tau_0, 0]$: timelike convergence condition violated before we measure K



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- 2. Initial condition: $K \leq -\nu(Q_m, Q_0, \tau_0, \tau, \rho_0)$
- 3. Causality condition: There exists a Cauchy surface.
- \Rightarrow The spacetime is timelike geodesically incomplete.

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Comments

- Same for Penrose's theorem
- ► Still classical! Condition only *inspired* by QEIs

Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
Semiclassical singularity theorems			

Idea of the proof

Condition for the formation of a focal point

$$\int_0^\tau \left((n-1)\dot{f}^2 - f^2 R_{\mu\nu} U^{\mu} U^{\nu} \right) dt \le -K, f(0) = 1, f(\tau) = 0$$

Energy condition

$$\int_0^\tau f^2 R_{\mu\nu} U^{\mu} U^{\nu} dt \ge -Q_m \|f^{(m)}\|^2 - Q_0 \|f\|^2, f(0) = f(\tau) = 0$$

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Energy condition

$$\int_0^\tau (\phi f)^2 R_{\mu\nu} U^{\mu} U^{\nu} dt \ge -Q_m \| (\phi f)^{(m)} \|^2 - Q_0 \| (\phi f) \|^2, (\phi f)(0) = (\phi f)(\tau) = 0$$



Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
Semiclassical singularity theorems			
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Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
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• Optimize for
$$m = 1$$

$$K \leq -
u(Q_m, Q_0, au_0, au,
ho_0)$$

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The problems (timelike)

What we need A QEI for the SEC with a bound depending only on the smearing function.

The problems (timelike)

What we need

A QEI for the SEC with a bound depending only on the smearing function.

Effective energy density

$$\rho_U = T_{\mu\nu} \left(U^{\mu} U^{\nu} - \frac{g^{\mu\nu}}{n-2} \right)$$

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[Fewster, E-AK, 2019] Quantum strong energy inequality for the minimally coupled scalar field in *n*-dimensional Minkowski spacetime:

$$\int dt \langle :\rho_U : \rangle_\psi f^2(t) \geq -\hbar \frac{\pi \mathcal{S}_{2m-2}}{2m(2\pi)^{2m}} \|f^{(m)}(t)\|^2 - \frac{M^2}{n-2} \boxed{\langle :\Phi^2 : \circ \gamma \rangle_\psi} f(t)^2$$

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Problems

- State dependence
- Only valid for Minkowski

Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
Semiclassical singularity theorems			

Solutions (timelike)

State dependence: pick a class of states where

$$\langle : \Phi^2 : \circ \gamma \rangle_{\psi} \Big| \le \phi_{\max}^2 \,,$$

Only valid for Minkowski: Series of functions with compact support

$$f^2 = \sum_{i=1}^n (f\phi_n)^2$$



Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
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Solutions (timelike)

$$\int_{\gamma} \langle :\rho_{U} : \rangle_{\psi} f^{2} dt \geq -Q_{m} |||f|||^{2} - Q_{0} ||f||^{2}$$
$$|||f|||^{2} \equiv \sum_{j}^{m} c_{j}(T_{0}, \tau) ||f^{(j)}||^{2}, \quad Q_{m} = \frac{\hbar S_{2m-2}}{(2\pi)^{2m-2}}, \quad \text{and} \quad Q_{0} = \frac{4\pi \mathcal{M}^{2} \phi_{\max}^{2}}{m-1}$$

- ► T₀: Curvature scale
- \blacktriangleright τ : Maximum time for singularity

c

- M: Mass of the field
- ▶ *m*: *n*/2
- ϕ_{\max}^2 : Maximum field value

The problems (null)

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The problems (null)

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Smeared null energy condition (SNEC) for the minimally coupled scalar field in four dimensional Minkowski spacetime [Freivogel, Krommydas, 2018]

$$\int d\lambda \langle : T_{\mu
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angle_{\psi} f^2(\lambda) \geq -rac{4B}{G_N} \|f'\|^2$$

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- No current generalization to curved spacetimes
- \blacktriangleright $\ell_{\rm UV}$ cutoff dependent on the theory

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Solutions?

Could we have a singularity theorem with DSNEC?

Timelike semiclassical singularity theorem

Assumptions:

- Minimally coupled scalar quantum field
- Bounded field value \(\phi_{max}\)
- Geodesic with controlled curvature
- Semiclassical Einstein equation

 $8\pi G_N \langle :\rho_U : \rangle = R_{\mu\nu} U^{\mu} U^{\nu}$

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 \Rightarrow 1. Energy condition

$$\int dt \, f^2 R_{\mu\nu} U^{\mu} U^{\nu} \geq -Q_m |||f|||^2 - Q_0 ||f||^2$$

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and Scenario 1: $R_{\mu\nu}U^{\mu}U^{\nu} \ge 0$ holds for $t \in [0, \tau_0]$

2. The initial extrinsic curvature of S satisfies

$$K \leq -\nu(M, \phi_{\max}, T_0, \tau_0, \tau)$$

- 3. There exists a Cauchy surface.
- \Rightarrow The spacetime is timelike geodesically incomplete.

Energy conditions	Singularities	Exotic spacetimes 000000000000000	Conclusions and questions
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Cosmological application

▶ We use the ACDM model and data from [PLANCK, 2018]: $\Omega_{m0} = 0.31$ and $\Omega_{\Lambda 0} = 0.69$

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We want to estimate: $\nu(M, \phi_{\max}, T_0, \tau_0, \tau)$

Parameters

M (the mass of the field), ϕ_{\max} (the maximum magnitude of the scalar field), T_0 (timescale for valid Minkowski QEI at *S*), τ (timescale for singularity) and τ_0 (timescale that the SEC is assumed).

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Parameters

M (the mass of the field), ϕ_{max} (the maximum magnitude of the scalar field), T_0 (timescale for valid Minkowski QEI at *S*), τ (timescale for singularity) and τ_0 (timescale that the SEC is assumed).

Parameter ϕ_{\max} is determined using the square root of the Wick square in a Minkowski spacetime KMS state of a temperature that is 1% of the reduced Compton temperature $T_{Compton}$ which defines a scale beyond which the model cannot be trusted.

$$\phi_{\max}^2 \sim 10^{-2} \frac{c^4}{G_N} (M \ell_{\rm Pl})^2 K_1(100)$$

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Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
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Cosmological application

Particle	optimal $ au$ in s	$ u_* \text{ in } s^{-1} $	minT ₀ in s
Pion	$2.02 imes10^{20}$	$3.57 imes10^{-20}$	$1.05 imes10^{-10}$
Proton	$4.16 imes10^{18}$	$1.73 imes10^{-18}$	$1.51 imes10^{11}$
Higgs	2.33×10^{14}	$3.09 imes10^{-14}$	$1.14 imes10^{-13}$

and $\tau_0 \approx T_0/2$.
Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
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and $\tau_0 \approx T_0/2$.

The SEC was last satisfied when $t_* = 2.41 \times 10^{17}$ s, $H_* = 3.14 \times 10^{-18} \text{ s}^{-1}$

Null semiclassical singularity theorem

Assumptions:

- Minimally coupled scalar quantum field
- Dominant negative term is the Minkowski one
- Semiclassical Einstein equation

$$8\pi G_N \langle :T_{\mu\nu}:\ell^{\mu}\ell^{\nu}\rangle_{\psi} = R_{\mu\nu}\ell^{\mu}\ell^{\nu}$$

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 \Rightarrow 1. Energy condition

$$\int d\lambda f^2 R_{\mu\nu} \ell^{\mu} \ell^{\nu} \ge -Q_1 ||f'||^2, \quad Q_1 = 32\pi B$$

Null semiclassical singularity theorem

Theorem [Freivogel, E-AK, Krommydas, 2020]

1. Energy condition

$$\int d\lambda f^2 R_{\mu\nu} \ell^{\mu} \ell^{\nu} \geq -Q_1 ||f'||^2, \quad Q_1 = 32\pi B$$

and Scenario 2: $R_{\mu\nu}\ell^{\mu}\ell^{\nu} \leq 0$ holds for $\lambda \in [-\ell_0, 0]$

2. The mean normal curvature of P satisfies

$$K \leq -\nu(B, \ell_0, \ell)$$

3. There exists a non-compact Cauchy surface. \Rightarrow The spacetime is null geodesically incomplete.

Energy conditions	Singularities	Exotic spacetimes 000000000000000	Conclusions and questions
Semiclassical singularity theorems			

Black hole application

Toy model of evaporating black holes



Affine distance \rightarrow Coordinate distance

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$$\ell \to yR_s$$

▶ $\ell_0 \rightarrow xR_s$

Strategy: compare K of Schwarzschild geometry to $\nu(B, \ell_0, \ell)$ from theorem

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Learn more

- Senovilla, José MM. "Singularity theorems and their consequences." ArXiv: 1801.04912 (1998)
- O'Neill, B. "Semi-Riemannian geometry with applications to relativity." Pure and Applied Mathematics/Academic Press, Inc (1983)
- Fewster, Christopher, and Kontou Eleni-Alexandra, "Singularity theorems with weakened energy conditions" In preparation (2025)

Exotic spacetimes

Exotic spacetimes

Conclusions and questions 000

Wormholes and energy conditions



Wormholes and energy conditions

- Wormholes violate pointwise energy conditions [Tipler, 1977]
- [Morris, Thorne, Yurtsever, 1988] showed that the wormhole's stress-energy tensor must violate the average weak energy condition
- [Friedman, Schleich, Witt, 1995] proved that ANEC violation is required to constrain topology changes

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Constructing and sustaining an (asymptotically flat) wormhole requires ANEC violation

- 1. Violations of the ANEC on chronal null geodesics.
- 2. Violations of the non-self consistent ANEC, meaning that it doesn't satisfy the (classical or semiclassical) Einstein equation.
- 3. Violations of the order of Planck scale.

Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions

1. A quantum scalar field in a Schwarzschild spacetime around a black hole [Visser, 1996], [Levi, Ori, 2016]



2. Conformal transformation [Visser, 1994]

$$\begin{split} \tilde{g}_{\mu
u} &= \Omega^2 g_{\mu
u}\,, \ \tilde{T}^{\mu}_{
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u}\ln\Omega)\,, \end{split}$$

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If $T_{\mu\nu}$ obeys the ANEC, for ANEC violation with ${ ilde{T}}^{\mu}_{\
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$$8\alpha \ln \Omega \underbrace{\int_{\gamma} d\lambda \, Z^{\mu}_{\ \nu} \ell_{\mu} \ell^{\nu}}_{J_{\gamma}} > \int_{\gamma} d\lambda \, T^{\mu}_{\ \nu} \ell_{\mu} \ell^{\nu} \,,$$

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For a scalar field $\alpha = 1/(2880\pi^2)$ so:

- $J_{\gamma} < 0$: enormous contraction
- J_{γ} > 0: enormous dilation

 \Rightarrow Consistency with the (semiclassical) Einstein equation cannot be imposed.

3. Violations that occur of the order of Planck length: outside the scope of semiclassical gravity.

[Flanagan, Wald, 1996]: Calculated the ANEC integral in a perturbative way. Non-negative if the integral is transversly smeared over a few Planck lengths:

$$S({f x}) \propto rac{1}{1+rac{{f x}^4}{\Lambda_T}}$$

 Λ_T : Length of the order of a few Planck lengths

The self-consistent achronal ANEC

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Wormholes?

- 1. Not asymptotic flatness
- 2. Not in the realm of semiclassical gravity
- 3. Wormholes that don't lead to causality violations? Long wormholes!

The idea of long wormholes

AANEC obeyed in semiclassical gravity: are there wormholes that don't have complete achronal null geodesics?

The idea of long wormholes

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- 'Long': it takes longer to go through the wormhole than through the ambient space.

The idea of long wormholes

- AANEC obeyed in semiclassical gravity: are there wormholes that don't have complete achronal null geodesics?
- 'Long': it takes longer to go through the wormhole than through the ambient space.
- Those wormholes need a source of negative energy and the ANEC should be violated on the chronal null geodesics.
- [Maldacena, Milekhin, Popov, 2018] Idea: In the presence of the magnetic field, a massless charged fermion gives rise to q two-dimensional fields. The corresponding two dimensional field moves on the spatial circle and gives rise to a negative Casimir-like vacuum energy.

Exotic spacetimes

Conclusions and questions

The wormhole of Maldacena, Milekhin and Popov



[Maldacena, Milekhin, Popov, 2018] It only uses matter predicted from the standard model and not any speculative particles: it is a solution of an Einstein–Maxwell theory with charged massless fermions

Energy conditions	Singularities	Exotic spacetimes	Conclusions and questions
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The wormhole of Maldacena, Milekhin and Popov

1. The mouths are described by the magnetically charged black hole solutions:

$$ds^{2} = -\left(1 - \frac{2MG_{N}}{r} + \frac{r_{e}^{2}}{r^{2}}\right)dt^{2} + \left(1 - \frac{2MG_{N}}{r} + \frac{r_{e}^{2}}{r^{2}}\right)^{-1}dr^{2} + d\Omega_{2}^{2},$$

where $r_e^2 = \pi q^2 \ell_{\rm pl}^2/g^2$ and d is the distance between the two mouths

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$$ds^2 = r_e^2 \left[-(
ho^2 + 1) d au^2 + rac{d
ho^2}{
ho^2 + 1} + d\Omega_2^2
ight] \, ,$$

The matching conditions are

$$\tau = \frac{t}{\ell}, \quad \rho = \frac{\ell(r - r_e)}{r_e^2}, \quad \text{with } 1 \ll \rho, \quad \frac{r - r_e}{r_e} \ll 1, \quad 1 \ll \frac{\ell}{r_e},$$

where ℓ is the length of the wormhole

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3. Asymptotically flat region

Singularities

Exotic spacetimes

Conclusions and questions 000

Length of the wormhole

Maximum achronal segment:

$$\Delta \rho \big|_{WH} < \Delta \rho \big|_{OUT}$$
 .

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The maximum value of d is found by minimizing the energy of the wormhole: $d=\pi\ell/2.35,$ so $\rho_0=4.13$

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$$\begin{split} \Delta \rho \big|_{WH} &= \int_{-\rho_0/2}^{\rho_0/2} \frac{d\rho}{1+\rho^2} = 2 \arctan\left(\rho_0/2\right). \\ \Delta \rho \big|_{OUT} &= \int_{-\infty}^{-\rho_0/2} \frac{d\rho}{1+\rho^2} + \frac{d}{\ell} + \int_{\rho_0/2}^{\infty} \frac{d\rho}{1+\rho^2} = \pi - 2 \arctan\left(\rho_0/2\right) + \frac{d}{\ell}. \end{split}$$

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The maximum value of *d* is found by minimizing the energy of the wormhole: $d = \pi \ell/2.35$, so $\rho_0 = 4.13$ The stress-energy tensor inside the wormhole is

$$\langle T_{tt} \rangle = -\frac{q}{12\pi^2 r_e^2 \ell^2} A,$$

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SNEC constraints

The coordinate ρ is an affine parameter so SNEC becomes

$$\int_0^\infty d\rho f(\rho)^2 \frac{2\ell^2}{(1+\rho^2)^2} \langle T_{tt} \rangle_\omega \geq -\frac{4B}{G_N} \int_0^\infty d\rho f'(\rho)^2 \,.$$

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For $f(\rho)$ a normalized Gaussian function of width σ

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Setting $\sigma = \rho_0 =$ 4.13,

$$Bq \gtrapprox 1.3 imes 10^{-2}$$
 .

If $B = 1/32\pi^2$, we need $q \leq 1$ to saturate SNEC. But $q \gg 1$ so that the wormhole is stabilized [Freivogel, E-AK, Krommydas, 2020]

Exotic spacetimes

Conclusions and questions

DSNEC constraints

Flat metric

$$ds^2 = -r_e^2 ds^- ds^+\,,$$

where $s^- = \tau - \rho$ and $s^+ = \tau + \rho$ for $\rho + 1 \approx 1$.
Exotic spacetimes

Conclusions and questions 000

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$$\int \frac{d^2 s^{\pm}}{\delta^+ \delta^-} f(s^{\pm})^2 \langle T_{tt} \rangle_{\omega} \geq -\frac{8}{81\pi^2 \delta^+ (\delta^-)^3} \left(\int ds^+ f_+''(s^+)^2\right)^{1/4} \left(\int ds^- f_-''(s^-)^2\right)^{3/4}$$

Exotic spacetimes

Conclusions and questions 000

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We have $\delta^- = \ell$ and $\delta^+ = r_e$, the AdS_2 radius. To violate the DSNEC we need $q \gtrsim r_e/\ell$. As $r_e \ll \ell$ the DSNEC is easily violated.

Exotic spacetimes

Conclusions and questions 000

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We have $\delta^- = \ell$ and $\delta^+ = r_e$, the AdS_2 radius. To violate the DSNEC we need $q \gtrsim r_e/\ell$. As $r_e \ll \ell$ the DSNEC is easily violated. Difference between the SNEC and the DNEC

$$\langle T_{--} \rangle_{\text{SNEC}} \geq -\frac{4B}{\ell_{\text{pl}}^2 \ell^2}, \qquad \langle T_{--} \rangle_{\text{DSNEC}} \geq -\frac{N}{\ell^3 r_e}.$$

[E-AK, 2024]

Learn more

- Visser, Matt. "Lorentzian wormholes. from Einstein to Hawking." Woodbury (1995)
- Kontou, Eleni-Alexandra. "Wormhole restrictions from quantum energy inequalities." ArXiv: 2405.05963 (2024)

Conclusions and questions

General relativity does not provide any constraints on the type of spacetimes allowed, creating the need for energy conditions.

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- Classical energy conditions are violated in QFT but average restrictions still hold.
- Singularities are generally predicted in the context of semiclassical gravity.
- The self-consistent achronal averaged null energy condition seems to be fundamental in semiclassical gravity and it is sufficient to rule out causality violations.

Are QEIs fundamental? What is their form independently of the kind of field?

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- Are there meaningful constraints to long wormholes?