

How to lose information with black holes: an update

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COST Action 23115



info loss paradox/problem



Description of the process f(w)/w

$$|\psi\rangle\langle\psi|=
ho$$

"Hawking superscattering operator"

Black hole evaporates (completely?) via the Hawking process within a finite time. If the correlations[§] between the inside and outside of the black hole are not restored during the evaporation process, then by the time that the black hole has evaporated, an initial pure state will have evolved to a mixed state, i.e., ``information'' will have been lost. Wald, Living Rev. Rel. 4, 6 (2001).

§ or worse --- entanglement

Dealing with the problem: exorcism, denial, acceptance, embrace



outline









Paradoxes: logic & ingredients

What the black holes are and what they should have

Implementation & consequences in spherical symmetry

Observations



Making of the paradox



motivation: a view from the foundations of QM



Ingredients of a paradox (general):

.. Classical ideas/assumptions/results

2. Quantum features/results

3. Combine and try to obtain probability distributions that satisfy all of (1) & (2)

Examples:

- EPR: Bell-CHSH; KS, wave-particle
- BH info loss, firewall

Ingredients (BH):

[2] Event horizon[4] (pre-)Hawking radiation

Hayward, arXiv:gr-qc/0504037 Visser, PoS BHs, GRandStrings 2008 001, arXiv:0901.4365v3

resolve or aggravate

1966: "*event horizon*. . . is the boundary of the region from which particles or photons can escape to infinity. . . a black hole is a region. . . from which particles or photons cannot escape"

1976: "Because part of the information about the state of the system is lost down the hole, the final situation is represented by a density matrix rather than a pure quantum state"

1997: "Whereas Stephen Hawking and Kip Thorne firmly believe that information swallowed by a black hole is forever *hidden from the outside universe*, and can never be revealed even as the black hole evaporates and completely disappears"

2004: "Thus the total path integral is unitary and information is not lost in the formation and evaporation of black holes. The way the information gets out seems to be that a true *event horizon never forms,* just an apparent horizon"

The radical solution: no event horizon, no paradox.

No! Marolf, Rep. Prog. Phys. **80** (2017) 092001.

So what? Unruh and Wald, Rep. Prog. Phys. **80** (2017) 092002.

Raju, Phys. Rep. **943**, 1 (2022). *Recent views* Almheiri et al, Rev. Mod. Phys. **93**, 035002 (2021) [1] Finite time of formation & evaporation (distant observers) Purpose: enable mortal observers to discuss; "in" and "out" setting

[2] Event horizon forms @ finite time of a distant observer Purpose: observer-independent splitting

[3] "No drama at the horizon" [=weak cosmological censorship] Purpose: standard (semiclassical) physics applies (most of the time)

[4] (nearly thermal) Hawking-like radiation Purpose: generate high-entropy reduced state





Existence of black holes as a math question

black holes defined

[35]

VII. On the Means of discovering the Distance, Magnitude, &c. of the Fixed Stars, in confequence of the Diminution of the Velocity of their Light, in case such a Diminution should be found to take place in any of them, and such other Data should be procured from Observations, as would be farther necessary for that Purpose. By the Rev. John Michell, B. D. F. R. S. In a Letter to Henry Cavendish, Esq. F. R. S. and A. S.

Read November 27, 1783.

42 Mr. MICHELL on the Means of discovering the

16. Hence, according to article 10, if the femi-diameter of a fphære of the fame denfity with the fun were to exceed that of the fun in the proportion of 500 to 1, a body falling from an infinite height towards it, would have acquired at its furface a greater velocity than that of light, and confequently, fuppofing light to be attracted by the fame force in proportion to its vis inertiæ, with other bodies, all light emitted from fuch a body would be made to return towards it, by its own proper gravity. astronomy

The many definitions of a black hole

Erik Curiel 31,2,3

Although black holes are objects of central importance across many fields of physics, there is no agreed upon definition for them, a fact that does not seem to be widely recognized. Physicists in different fields conceive of and reason about them in radically different, and often conflicting, ways. All those ways, however, seem sound in the relevant contexts. After examining and comparing many of the definitions used in practice, I consider the problems that the lack of a universally accepted definition leads to, and discuss whether one is in fact needed for progress in the physics of black holes. I conclude that, within reasonable bounds, the profusion of different definitions is in fact a virtue, making the investigation of black holes possible and fruitful in all the many different kinds of problems about them that physicists consider, although one must take care in trying to translate results between fields.



If names be not correct, language is not in accordance with the truth of things. If language be not in accordance with the truth of things, affairs cannot be carried on to success.



black holes

 $\theta < 0$

r=0

apparent horizon

~~~~~~~~~~

*Event horizon* is a teleological concept It is not locally observable

Apparent horizon is related to  $R_{\hat{\theta}\hat{\phi}\hat{\theta}\hat{\phi}}$ which is quasilocally measurable

Classical GR: (a) The spacetime evolution is deterministic from the IC (b) NEC is satisfied then  $R_{\mu\nu}k^{\mu}k^{\nu} \ge 0$ a closed trapped surface cannot be seen from the outside of BH.

#### Hawking radiation:



# ultra-compact objects

#### the zoo of models & physical black holes





[1] Perpetual ongoing collapse, with an asymptotic horizon  $\epsilon \to 0$  (a)  $t \to \infty$ [2] Formation of a transient or an asymptotic object, where the compactness reaches a minimum at some finite asymptotic [=distant observer] time  $\epsilon \to \epsilon_{\min}$ [3] Formation of a  $\Phi$ BH with the apparent horizon in finite distant observer's time  $\epsilon(t_{\rm f}) = 0$ 

Mann, Murk, DRT, Int J Mod Phys D **31**, 2230015 (2022)

V. P. Frolov, arXiv:1411.6981 (2014)

UCO: has a photosphere BH: has a horizon MBH: has an event horizon ΦBH: has a trapped region ECO: non-BH UCO Why ECOs are called exotic? **Buchdal's theorem**  $\epsilon > 1/8$ 

> Exotic matter
>  Modified
>  Semiclassical
>  Quantum gravity

Cardoso and Pani, Nat. Astron. 1, 586 (2017)

1. The classical spacetime structure is still meaningful and is described by a metric  $g_{\mu\nu}$ .

2. Classical concepts, such as trajectory, event horizon or singularity can be used.

3. The metric is modified by quantum effects. The resulting curvature satisfies the semiclassical self-consistent equation

$$R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = 8\pi \left\langle \hat{T}_{\mu\nu} \right\rangle_{\omega} + E_{\mu\nu}$$

4. Dynamics of the collapsing matter is still described classically using the self-consistent metric: the total EMT

**not assumed**: global structure, singularity, types of fields, quantum state, presence of Hawking radiation

#### <mark>[2]</mark> [4]

#### **Physical BH**

(i) a light-trapping region forms at a
finite time of a distant observer
(ii) curvature scalars [contractions of
the Riemann tensor] are finite on the
boundary of the trapped region
(iii) consistent: quantum energy
inequalities are not obviously violated



# motivation for (i)

Sperical symmetry (Asymptotic flatness) Event horizon forms at finite time Evaporation of BH Regularity of the metric outside BH

AH froms @ finite time t

Mann, Murk, DRT Phys Rev D **105**, 124032 (2022)









# Implications



### **ΦBH: the process**

- Use Schwarzschild coordinates to extract the info from divergencies
- □ Pick the nice form of the Einstein equations. Demand existence of real solutions
- Use null coordinates to help classification

### **ΦBH (and white holes): the properties**

- □ Finite infall time (according to a distant Bob)
- Zero angular momentum BH cannot grow & WH cannot shrink
- Collapse of a massive thin shell takes a finite time (according to Bob), but...
- Outer apparent horizon is always **timelike**
- Null energy condition is violated [in the vicinity of the outer horizon]
- □ Surface gravity generalisations: Kodama=good, peeling=bad
- Inner apparent horizon is timelike or null. The NEC is satisfied
- Parts of the popular RBH models do not work.
- Usual proofs of instability of RBH do not apply









#### physical black holes assumptions

(1-4)  $R_{\mu\nu} - \frac{1}{2} R g_{\mu\nu} = 8\pi \langle \hat{T}_{\mu\nu} \rangle_{a} + E_{\mu\nu}$ 

presence of Hawking radiation

#### ФВН

i. light-trapping region forms at a finite time of a distant observer (Bob)ii. curvature scalars [contractions of the Riemann tensor] are finite on the boundary of the trapped region

### $ds^{2} = -e^{2h}fdt^{2} + f^{-1}dr^{2} + r^{2}d\Omega_{2}$ spherical

$$\begin{split} l_{\text{out}}^{\mu} &= \left(e^{-h}, f, 0, 0\right) \\ \theta^{(l)} &= l_{\text{out};\mu}^{\mu} - \kappa = \frac{2f}{r} \end{split} \qquad r_g(t) = 2M\left(t, r_g(t)\right) \end{split}$$

**not assumed**: type of the metric theory, global

structure, singularity, types of fields, quantum state,

(\*) Collapsing matter + excitations are the total EMT

Apparent horizon at the Schwarzschild radius: the largest root of f=0

#### Logic

use "bad" coordinates to express (i)
require "self-renormalisation" to have (ii)

Curvature scalars  

$$T := T^{\mu}_{\ \mu}$$
  
 $\mathfrak{T} = T^{\mu\nu}T_{\mu\nu}$ 

useful  

$$\tau_t := e^{-2h} T_{tt}$$

$$\tau_t^r := e^{-h} T_t^r$$

$$\tau^r := T^{rr}$$

#### **ΦBH** structure

$$ds^{2} = -e^{2h} f dt^{2} + f^{-1} dr^{2} + r^{2} d\Omega$$

$$\Box \text{ circumference: } 2\pi r$$

$$\Box \text{ physical time at infinity: } t$$

$$f = 1 - 2M(t, r)/r$$

$$2M(t, r) \equiv C(t, r)$$
MS invariant mass

Schwarzschild radius  $\max r_g = C(t, r_g)$ 

Curvature scalars  

$$T := T^{\mu}_{\mu}$$

$$\mathfrak{T} = T^{\mu\nu}T_{\mu\nu}$$

$$C = r_g(t) + W(t, r)$$

 $\mathbf{Z}_{\gamma}$ 



$$\lim_{r \to r_g} \tau_t = \lim_{r \to r_g} \tau^r = -\Upsilon^2 \qquad k = 0$$

#### metrics

1. The limiting form (close apparent horizon) of dynamical metrics is almost uniquely defined (both *k*=0 and *k*=1).

$$C = r_g - 4\sqrt{\pi r_g^3} \Upsilon \sqrt{x} + \dots \quad h = -\frac{1}{2} \ln \frac{x}{\xi} + \dots \checkmark k = 0$$
$$k = 1 \checkmark$$

$$x := r - r_g$$

(dynamical BH/WoH; more static options exist)

$$C = r - c_{32}x^{3/2} + \dots \qquad h = -\frac{3}{2}\ln\frac{x}{\xi} + \dots$$

2. BH parameters are related via evaporation rate

$$\frac{dr_g}{dt} = -4\sqrt{\pi r_g \xi}\Upsilon$$



- □ No static k=0 solutions (their static limits belong to k=1)
- □ Vaidya metrics are k=0 solutions
- □ Reissner-Nordström, STU, static RBH are examples of k=1 solutions:  $C = r_g + 8\pi r_g^2 \rho_g x + ...$
- $\Box$  Popular dynamic RBH models are k=0 solutions

#### metrics

3. Most convenient coordinates are retarded  $(u_{,r})$  for white holes and advanced  $(v_{+},r)$  for black holes

$$ds^{2} = -e^{2h_{+}} f dv^{2} + e^{h_{+}} dv dr + r^{2} d\Omega_{2}$$
$$dt = e^{-h} \left( e^{h_{\pm}} dv_{\pm} \mp f^{-1} dr \right) = -e^{2h_{-}} f du^{2} + e^{h_{-}} du dr + r^{2} d\Omega_{2}$$
$$2M(t,r) \equiv C(t,r) \equiv C_{-}(u(t,r),r) \equiv \dots$$

E.g, in (v,r) the metric is regular at  $r_g \equiv r_+$  for  $r'_g < 0$  and singular for  $r'_g > 0$ 

$$\begin{aligned} \partial_v C_+ &= 8\pi r^2 e^{h_+} (\theta_v + f \theta_{vr}) & \theta_v \coloneqq e^{-2h_+} \Theta_{vv} = \tau_t, \\ \partial_r C_+ &= -8\pi r^2 \theta_{vr}, & \theta_{vr} \coloneqq e^{-h_+} \Theta_{vr} = \left(\tau_t^r - \tau_t\right) / f, \\ \partial_r h_+ &= 4\pi r \theta_r. & \theta_r \coloneqq \Theta_{rr} = \left(\tau^r + \tau_t - 2\tau_t^r\right) / f^2 \end{aligned}$$

$$r'_g < 0 \triangleright (v,r)$$
  
 $\theta_{in} < 0, \theta_{out} < 0$   
BH solutions

$$\begin{aligned} r'_g &> 0 \triangleright (\mathcal{U}, r) \\ \theta_{in} &> 0, \theta_{out} > 0 \\ \text{WH solutions} \end{aligned}$$

#### consequences

#### metric

4. Apparent horizon/ Anti-trapping horizon: timelike membrane 5. Finite infall time (and red-shift).

For the ingoing null rays

$$\frac{dt}{dr}\Big|_{r_g} = \frac{1}{e^h f}\Big|_{r_g} = \frac{1}{\left|r'_g\right|}$$

 DRT, Phys. Rev. D 100, 124025 (2019) Murk and DRT, Phys. Rev. D 103, 064082 (2021)

6. Energy density, pressure flux for a static observer are divergent (k=0)/finite (k=1) on the horizon

Energy momentum (k=0)  
$$T_{\hat{a}\hat{b}} = -\frac{\Upsilon^{2}(t)}{f} \begin{pmatrix} 1 & \pm 1 \\ \pm 1 & 1 \end{pmatrix}$$

6½.The usual example: outgoing coordinates, Vaidya metric with C'<0 cannot describe the near-horizon region (with a finite formation time t)

$$C_{+}(v,r) = r_{+}(v) + w_{1}(v)y + \mathcal{O}(y^{2})$$
  
$$h_{+}(v,r) = \chi_{1}(v)y + \mathcal{O}(y^{2}),$$

Bardeen, Phys. Rev. Lett. 46, 382 (1981)...



#### consequences



Solutions of the Einstein equations exist: the NEC **must** be violated

| $\mathrm{sgn}(T_{tt})$ | $\operatorname{sgn}(T_t^{\ r})$ | Time-evolution of<br>Vaidya mass function | Black/<br>White hole | NEC<br>violation |
|------------------------|---------------------------------|-------------------------------------------|----------------------|------------------|
| _                      | _                               | C'(v) < 0                                 | В                    | 1                |
| _                      | +                               | C'(u) > 0                                 | W                    | ✓                |
|                        |                                 |                                           |                      | ×××              |

Baccetti, Murk, Mann, and DRT, Phys. Rev. D 100, 064054 (2019)





Levi and Ori, Phys. Rev. Lett. **117**, 231101 (2016)

# BH formation

A PBH forms as k=1 solution and then evolves as (evaporating) k=0 solution

$$C(v,r) = \Delta(v) + r_*(v) + \sum w_i(v)(r - r_*)$$

min gap *C*-*r r* of min *C*-*r* 

Hence up to formation of the first marginally trapped surface  $w_1=1$ 

At the formation:  $\Delta(v_{\rm f})=0, r_{\rm +}(v_{\rm f})=r_{\rm *}(v_{\rm f})$ 

 $r_{0}(0)$ 

 $r_g(t_s)$ 

After formation:  $\Delta = 0$ , but  $r_+(v)$  is not @ min



Murk and DRT, Phys. Rev. D **104**, 064048 (2021) Dahal, Simovic, Soranidis and DRT, Phys. Rev. D **108**, 104014 (2023).



# Surface gravity

Surface gravity κ is:
(a) inaffinity of null geodesics on the horizon
(b) and the peeling off properties of null geodesics near the horizon

Interpretation: the force per unit mass as measured at infinity, to keep the observer stationary just outside the horizon (c) Stationary Killing horizon: (a)=(b)=(c) Schwarzschild:  $\kappa = 1/4M = 1/2C$ 

Surface gravity plays a key role in BH thermo NE

NEC is true

O<sup>th</sup> law: surface gravity is constant on the horizon

$$dM = \frac{\kappa}{8\pi} dA + \omega_H dJ$$

NEC is false

$$T = \frac{\kappa}{2\pi} \frac{\hbar c^3}{Gk_B}$$

Surface gravity plays a key role in the Hawking radiation

# surface gravity

#### @ outer apparent horizon

#### Peeling surface gravity

$$\kappa_{\text{peel}} = \frac{e^{h(t,r)}}{r} \left( 1 - \partial_r C(t,r) \right) \bigg|_{r=r_g}$$

- Vanzo, Acquaviva, and Di Criscienzo,
   Class. Quant. Grav. 28, 183001 (2011).
- Cropp, Liberati, Visser,
   Class. Quant. Grav. 30, 125001 (2013)

$$\kappa_{
m peel}=0,\infty^*$$
 both *k*=0,1 solutions

#### Kodama surface gravity

$$\kappa_{\rm K} = \frac{1}{2} \left( \frac{C(v,r)}{r^2} - \frac{\partial_r C(v,r)}{r} \right) \bigg|_{r=r_g \equiv r_+}$$

Hayward,
 Class. Quant. Grav. 15, 3147 (1996).

$$\kappa_{\rm K} = 0$$

$$\kappa_{\rm K} = 0$$
for *k*=1 solution [@ formation!]

 $\kappa_{\rm K} \leq 1/2r_+$  for k=0 (0<w\_1<1) solution

Mann, Murk and DRT, Phys. Rev. D **105**, 124032 (2022)

#### universality of BH dynamics

□ If we want the 1<sup>st</sup> law with AH, then the metric is ``close'' to Vaidya

$$\kappa_{\rm K} = \frac{1}{2r_{+}} \Longrightarrow w_1 = 0$$

$$dM = \frac{\kappa}{8\pi} dA$$

□ If Page's law is universal (=the same in the three coordinate systems)



$$\Upsilon(t) = \sqrt{\frac{\Gamma_{+}(1-w_{1})}{8\pi r_{+}^{2}}}$$

$$\xi(t) = \frac{r_{g}\Gamma^{2}}{2\Gamma_{+}}$$

$$\chi = \frac{\sqrt{\alpha}}{2r_{g}^{2}}$$

$$\zeta = \frac{\alpha}{2r_{g}}$$

$$\chi = \frac{\sqrt{\alpha}}{2r_{g}}$$

$$\chi = \frac{\sqrt{\alpha}}{2r_{g}}$$

$$\chi = \frac{\sqrt{\alpha}}{\sqrt{f}} \sim \sqrt{\alpha}$$



ΦBH in FRLW

# Schwarzschild coordinates [static patch of the de Sitter spacetime]

Einstein equations

$$\partial_r C = 8\pi r^2 \tau_t / f_{+} \Lambda r^2$$
$$\partial_t C = 8\pi r^2 e^h \tau_t^r,$$
$$\partial_r h = 4\pi r \left(\tau_t + \tau^r\right) / f^2$$

Example: de Sitter in static coordinates

$$ds^{2} = -\left(1 - H^{2}r^{2}\right)F^{2}dt^{2} + \frac{1}{1 - H^{2}r^{2}}dr^{2} + r^{2}d\Omega_{2}$$

$$H \coloneqq \frac{\dot{a}}{a} \qquad \Lambda = 3H^2$$

Dahal, Maharana, Simovic, Soranidis and DRT, Phys. Rev. D **110**, 044032 (2024).



Example: Vaydia-dS

Mallett, Phys. Rev. D **31**, 416 (1985) Phys. Rev. D **33**, 2201 (1986).



# Connecting to observations

# observational signatures





cosmological coupling q

Farrah, Croker, Zevin, Tarle *et al*., Astrophys. J. Lett. **944**, L31 (2023).

$$q = 3 \Longrightarrow p = -\rho$$



\* distant observer is in the asymptotically de Sitter region but still far from the cosmological horizon

$$d\tau_{\rm comov} \approx dt_{\rm stat}$$

\* From the definitions

$$\frac{dr_g}{da} = qa = \frac{\dot{r}_g}{\dot{a}} = \frac{\dot{r}_g}{aH}$$

DMSST, Phys. Rev D 110, 044032 (2024)

#### meaning

IF the observations are correct, then ABH are not PBH



# ultra-compact objects

#### how to distinguish



#### **OBSERVATIONS?**

Light ring: Schwarzschild vs ECO

Giddings and Psaltis, Phys. Rev. D **97**, 084035 (2018)





# ECO vs BH

#### **OBSERVATIONS?**

#### will be there a smoking gun?



## ECO vs MBH vs ΦBH

#### will be there a smoking gun?

The main problem: we know/parameterize the near-horizon geometry, but need more

Link the near & far regions via Padé-like expansion

Rezzolla and A. Zhidenko, Phys. Rev. D **90**, 084009 (2014).

#### **Trial**: *k*=0 static metrics

*Idea*: combine near ( $\Phi$ BH) and far (observations+ theory) for each of the metric

functions expansions into a single approximant.

*Example*: *n*=3 approximation can accommodate 5 near and far coefficients

$$f_{3}(r) = 1 - \frac{1}{1 + G_{1}x + \frac{F_{2}x}{1 + G_{2}x + \frac{F_{3}x}{1 + G_{3}x}}}, \qquad f_{r_{g}} = \sum_{k \ge 1} \alpha_{k} \frac{x^{k}}{r_{r_{g}}^{k}}$$

$$f_{3}(r) = \frac{A_{3}r^{3} + A_{2}r^{2} + A_{1}r + A_{0}}{A_{3}r^{3} + B_{2}r^{2} + B_{1}r + B_{0}}, \qquad f_{\infty} = 1 - \sum_{k \ge 1} \beta_{k}$$

Maharana, Soranidis, Simovic, DRT, Phys. Rev. D **111**, 104063 (2025). Simovic and DRT, Phys. Rev. D **110**, 084025 (2024).

> (Tested on Reissner-Nordström, Bardeen, hayward BH)



### ECO vs MBH vs ΦBH

#### Padé poles



FIG. 1. Parameter space  $(\alpha_1, \alpha_2)$  covered by the two-point Padé approximations  $f_{S3}$  (blue) and the approximations with n = 4 (orange) and n = 5 (yellow) of Ref. [15]. In all expansions we set  $\beta_1 = 1$ . For the expansions n = 4 and n = 5 we take the Schwarzschild values of the higher order coefficients,  $\alpha_3 = 1, \alpha_4 = -1$ . Poles in the respective function f develop on the interval  $r \in [r_q, \infty)$  for coefficients lying in the shaded region.



FIG. 3. Domains of validity for the lowest order M fraction (yellow) and RZ (red) approximations of the Bardeen metric. Poles are present for the respective metrics in the shaded region.  $\beta_1$  is fixed to its Bardeen value.

#### DIFFICULTIES



Agreement 10<sup>-4</sup> to 10<sup>-3</sup> with the most precise results for the Schwarzschild, Bardeen, RN quasi-normal mode frequencies [when *n*=3 parameters are matched]
 Location of the light rings matches using only α<sub>1</sub> and α<sub>2</sub>

*Example* (the worst): Bardeen

$$f(r) = 1 - \frac{2Mr^2}{(q^2 + r^2)^{3/2}} \qquad f(r) = 1 - \frac{r^2(q^2 + r_g^2)^{3/2}}{r_g^2(q^2 + r^2)^{3/2}}$$
original
rewritten

$$\rho_{\rm B} = \frac{3}{2} + \frac{7q^2}{12r_g^2} + \mathcal{O}(q^4)$$

exact

 $\rho_3 = \frac{3}{2} + \frac{3q^2}{4r_g^2} + \mathcal{O}(q^4)$ 

approximate

# Summary

BH require as exotic matter as wormholes & ECOs Some of the popular models of regular BH are wrong **Ο** Once a spherical ΦBH is formed, it stops growing □ Finite redshift (but very big) Collapse (for distant observers) happens in finite time, but... possibly not yet It is thennot clear that info loss paradox can be formulated

Observations: cosmo, QNM, light rings...

https://www.mq.edu.au/research/phd-and-research-degrees/how-to-apply/scholarship-opportunities/scholarship-search/international-scholarship-round



