



Asymmetries in rare three-body charm decays with electrons at LHCb

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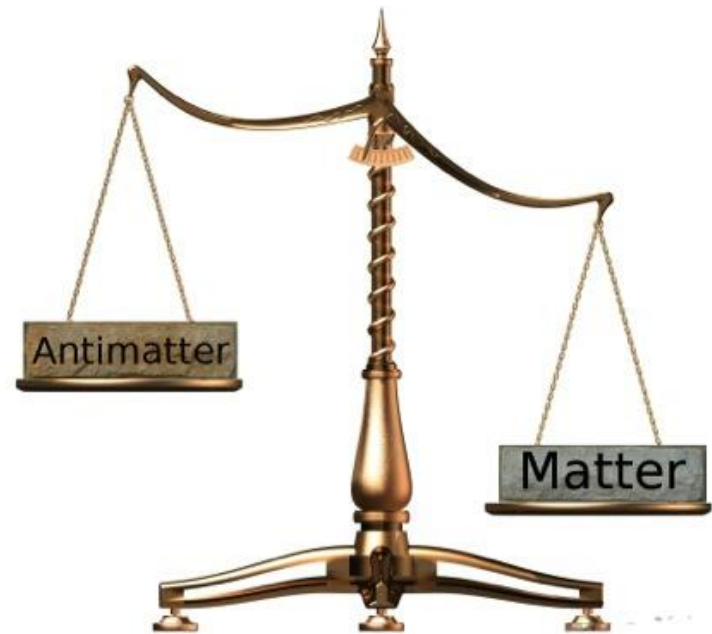
Supervised by: Dr. Dominik S. Mitzel, Dr. Serena Maccolini

Matter-antimatter asymmetry

The huge **imbalance** between matter and antimatter in the universe (**CP asymmetry**) is not explained by the standard model for particle interactions (**SM**)

New Physics (**NP**) may be related to **new sources of CP violation**.

CP and forward-backward (**FB**) **asymmetries** for many decay processes can be used as **null tests** for the SM .



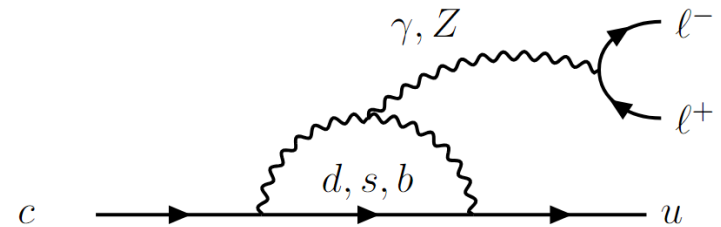
$c \rightarrow u$ transitions and D decays

Flavor-changing neutral currents only happen via loop diagrams in SM.

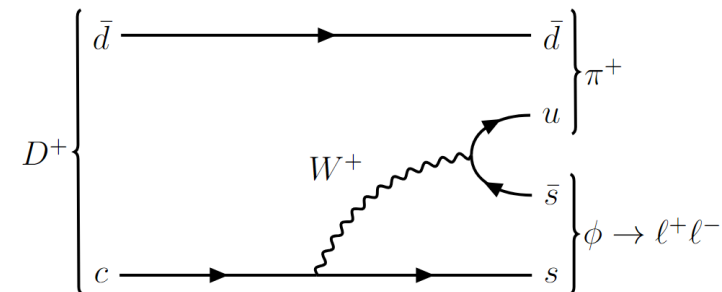
$c \rightarrow u$ transitions extremely suppressed due to:

- CKM elements ($\lambda_i = V_{ci}^* V_{ui}$, $\xi_b = \lambda_b / \lambda_s$)
- GIM mechanism ($f_i \sim (m_i / 4\pi m_W)$, $f_s - f_d \sim 0$)

Branching fraction of $D^+ \rightarrow \pi^+ e^+ e^-$ is dominated by intermediate resonances such as $D^+ \rightarrow \pi^+ (\phi \rightarrow e^+ e^-)$.



$$A_{c \rightarrow u} \propto \lambda_s [(f_s - f_d) + \xi_b (f_b - f_s)]$$



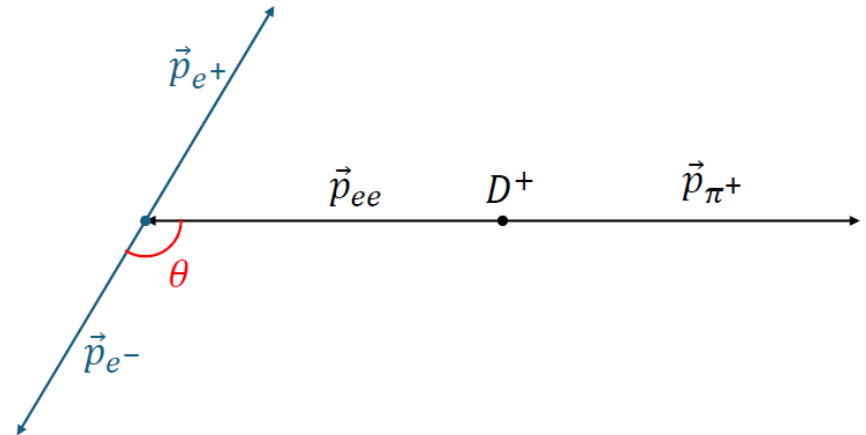
What is A_{FB} ?

A_{FB} is a **parity** asymmetry.

Acceptance corrections needed.

A_{FB} is measured separately for **both D^+ and D^-** .

The two measurements can then be **combined**.



Strategy

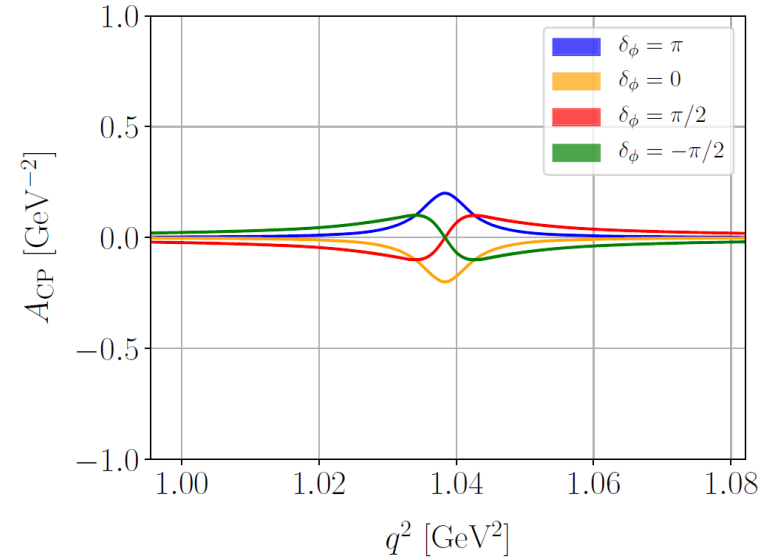
NP could induce **non-zero asymmetries**:

- $A_{CP}^{raw} = \frac{N(D^+) - N(D^-)}{N(D^+) + N(D^-)}$
- $A_{FB} = \frac{N(\cos\theta > 0) - N(\cos\theta < 0)}{N(\cos\theta > 0) + N(\cos\theta < 0)}$

A_{CP} depends on the **strong phase difference** between NP and resonance.

The **integrated** A_{CP} may **cancel**.

Measurement is performed in **two bins** of dilepton mass in order to be **sensible to this effect**.



[<https://link.springer.com/article/10.1140/epjc/s10052-020-7621-7>]

CP asymmetry

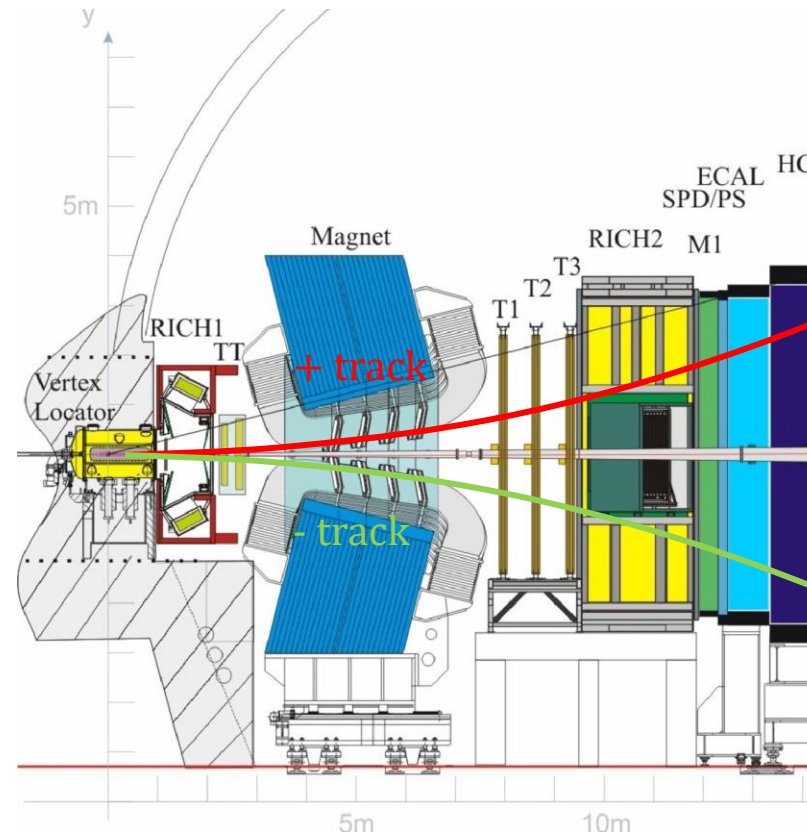
It is possible to extract A_{CP}^{raw} from data, but there are **nuisance asymmetries**.

$$A_{CP}^{raw} = A_{CP} + A_{det}(\pi) + A_{prod}(D)$$

Nuisance asymmetries are extracted with a **control channel** ($D^+ \rightarrow \pi^+ K_S$) with **negligible A_{CP}** .

$$A_{CP}^{raw}(D^+ \rightarrow \pi^+ K_S) = A_{det}(\pi) + A_{prod}(D)$$

$$A_{CP}(D^+ \rightarrow \pi^+ e^+ e^-) = A_{CP}^{raw}(D^+ \rightarrow \pi^+ e^+ e^-) - A_{CP}^{raw}(D^+ \rightarrow \pi^+ K_S)$$

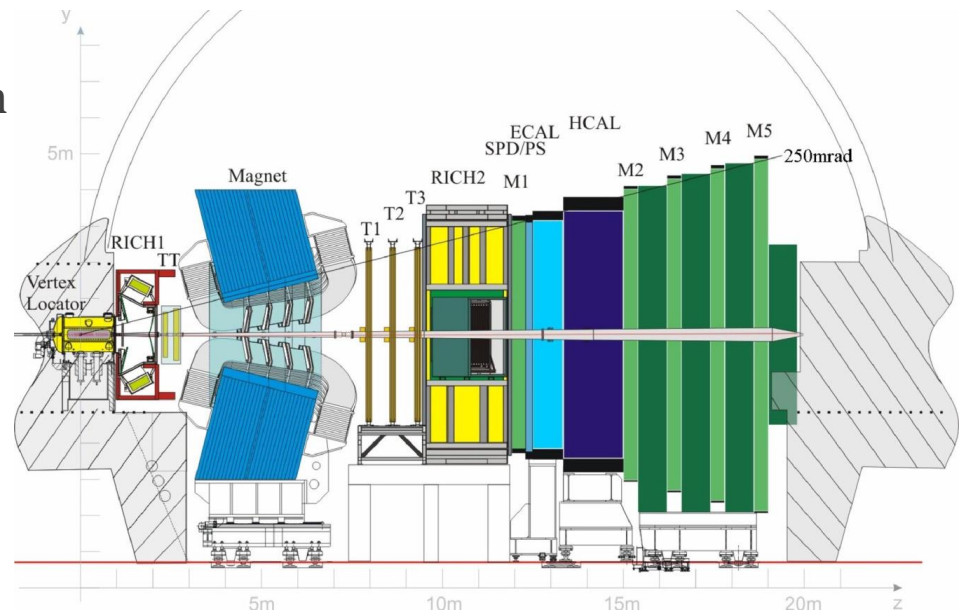


Data

Data used for the analysis has been collected during **Run 2** from 2015 to 2018 at **LHCb**.

The LHCb detector is **designed** for **indirect searches** for NP in decays of **heavy flavored hadrons** (c , b).

The analysis requires good **vertex reconstruction**, **momentum resolution** and particle identification (**PID**).



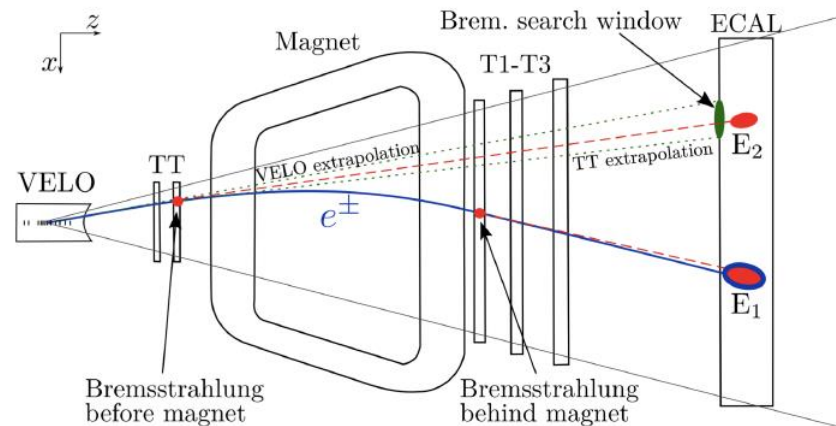
Electrons at LHCb

Electrons emits **bremsstrahlung** photons.

This leads to **complications** during **energy reconstruction**.

Bremsstrahlung reconstruction in the **calorimeter** is flawed:

1. Resolution effects
2. Non-reconstructed photons
3. Random photons

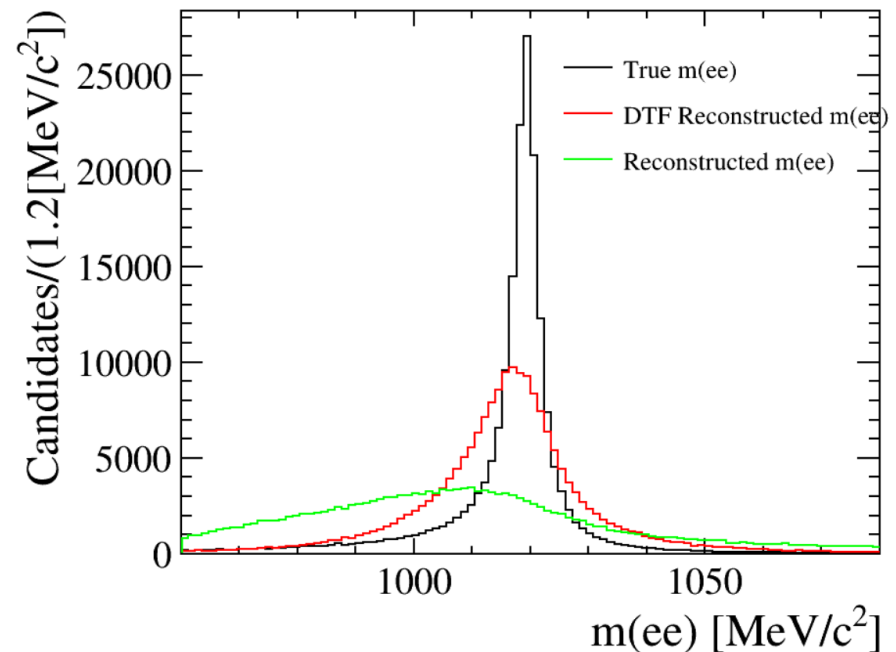


Dilepton-mass reconstruction

Reconstructed dilepton mass distribution **doesn't** represent correctly the one of the **true** $m(ee)$.

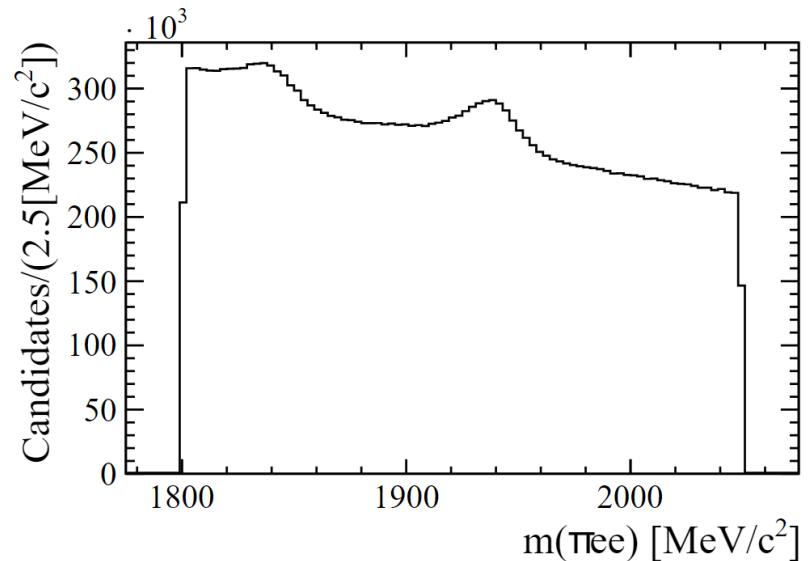
Decay Tree Fitter variables use additional constraints and are in **better agreement** with the true distribution.

The **resolution** of the DTF $m(ee)$ is $\sim 18 \text{ MeV}/c^2$.



Analysis overview

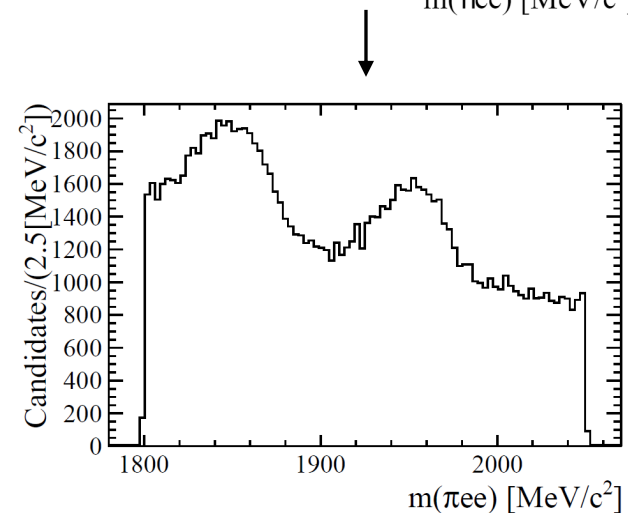
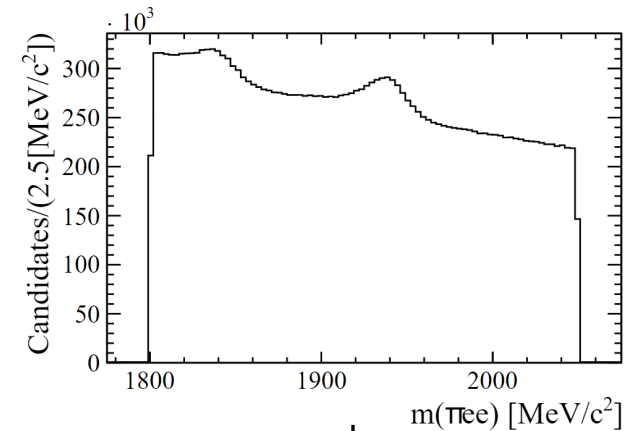
1. Preselection
2. Multivariate analysis
3. Dataset components and cut optimization
4. Efficiency correction
5. Fit and results
6. Nuisance asymmetries



Preselection → MVA → Cut opt. → Eff. correction → Fit → Nuisance asym.

Preselection

- Impact Parameter for **secondaries rejection**:
IP_PV < 0.06 mm
- Particle Identification (**PID**) for pion and electrons: ProbNNpi > 0.2, ProbNNe > 0.1
(ProbNNe to be optimised)
- **Ghost tracks rejection**:
TRACK_GhostProb < 0.2
- **Combinatorial background rejection**:
LTIME > 0 (for bkg can be negative, not for signal).



Preselection → MVA → Cut opt. → Eff. correction → Fit → Nuisance asym.

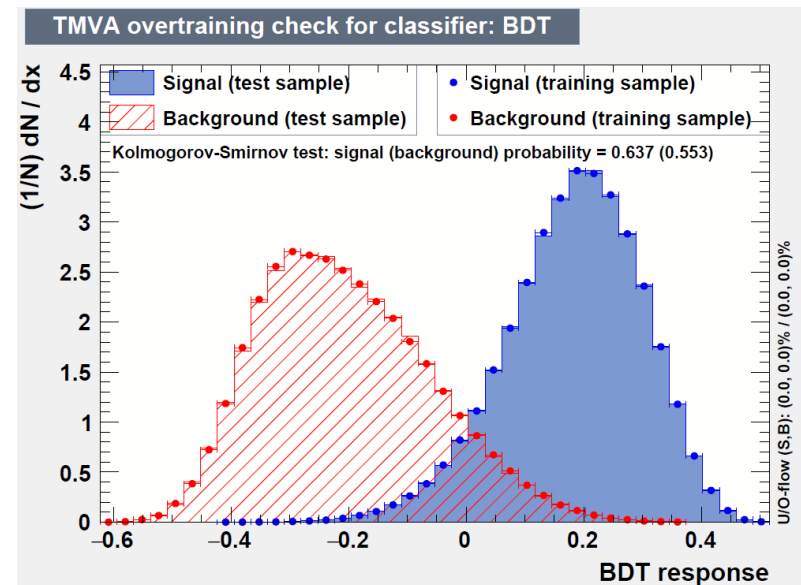
Multivariate analysis

Wrong Sign data used as combinatorial background sample. All final state particles have **same charge** so it can't be signal.

MC used as **signal sample** (both D and D_s).

The chosen MVA algorithm is a **Boosted Decision tree**.

Kinematical, topological and isolation variables with **different distributions** for the two samples are chosen for the BDT.



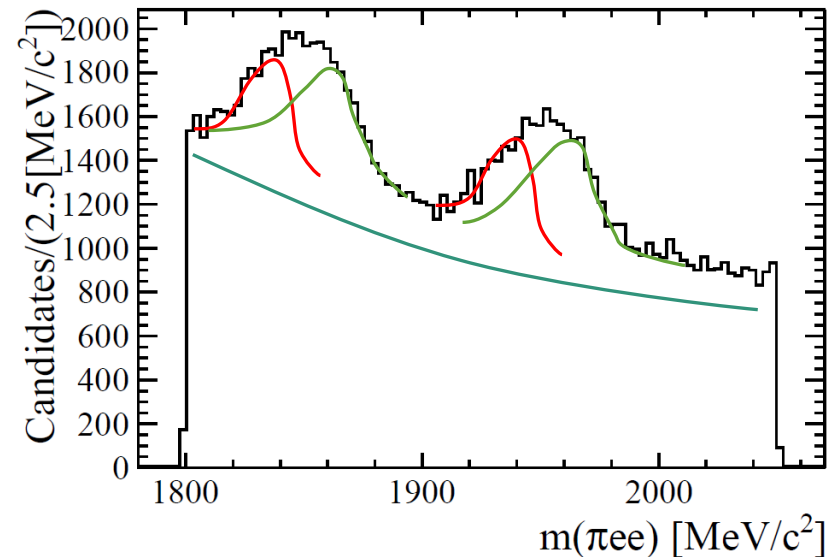
Preselection → MVA → **Cut opt.** → Eff. correction → Fit → Nuisance asym.

Components of the dataset

Data can be described by 5 components:

- The **signal** components of the D and the D_s
- The **misID** components of the D and the D_s ($D^+ \rightarrow \pi^+ \pi^+ \pi^-$)
- The **combinatorial background** component

The signal and misID components **distributions** are obtained from **MC**.
Combinatorial background distribution is obtained from **WS data sample**.



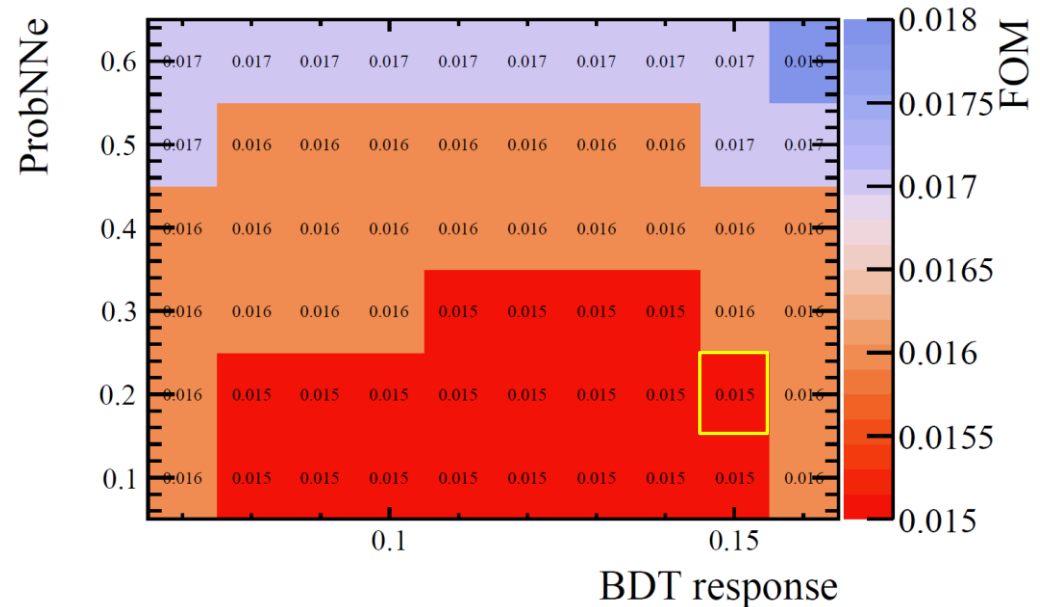
Preselection → MVA → **Cut opt.** → Eff. correction → Fit → Nuisance asym.

Data-driven 2D cut optimization

Fake asymmetry implemented to choose **best cuts** for **BDT response** and **probNNe**.

The **asymmetry uncertainty** is chosen to be the **figure of merit**.

Best cuts are BDT response > 0.15 and ProbNNe > 0.2 .



Preselection → MVA → Cut opt. → **Eff. correction** → Fit → Nuisance asym.

Efficiency correction

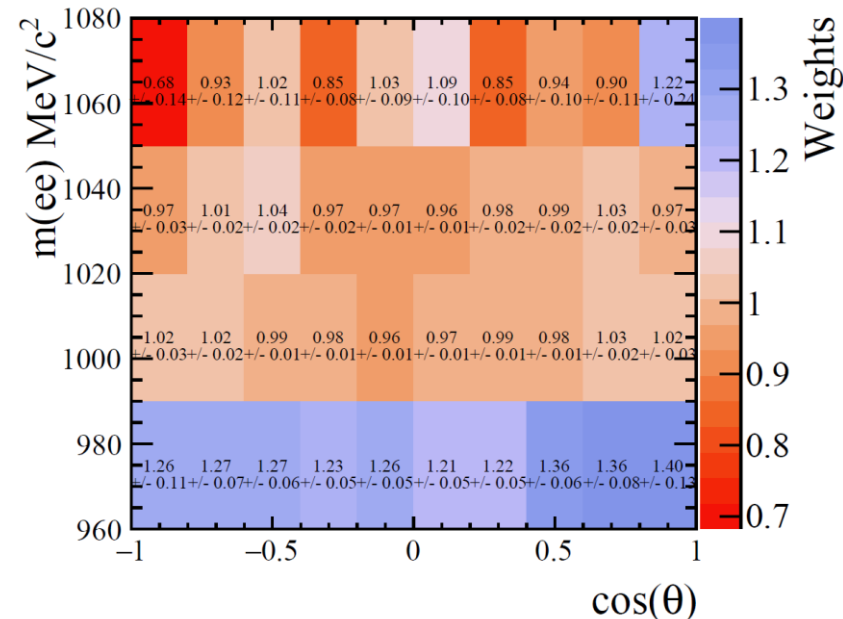
Reconstruction **efficiency not uniform over** $(m(ee), \cos\theta)$ phase space.

Efficiency correction weights calculated using a **generated sample** with no **detector reconstruction** applied.

$$w(m(ee), \cos\theta) = \frac{f_{generated}(m(ee), \cos\theta)}{f_{selected}(m(ee), \cos\theta)}$$

Weights are mapped in a **binned phase space**.

The **binning** on the map is chosen accordingly to the DTF resolution.



Preselection → MVA → Cut opt. → Eff. correction → **Fit** → Nuisance asym.

Fit results

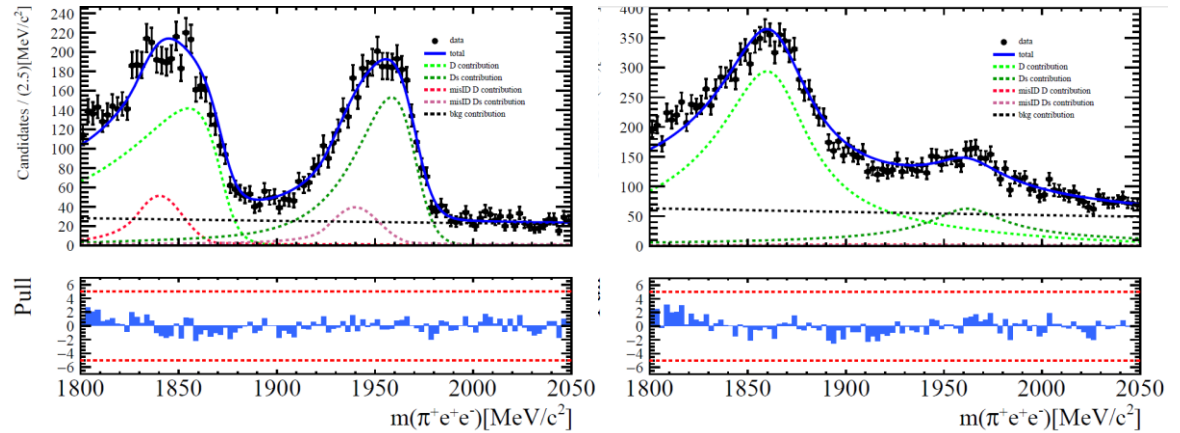
Data can be also divided into **brem categories** (mis-ID events are negligible in brem1).

Asymmetries are extracted by **simultaneously fitting the dataset** split by charge sign and sign of $\cos\theta$.

The values are **blinded** according to LHCb regulations.

low- $m(ee) \in [960-1020] \text{ MeV}/c^2$

high- $m(ee) \in [1020-1080] \text{ MeV}/c^2$

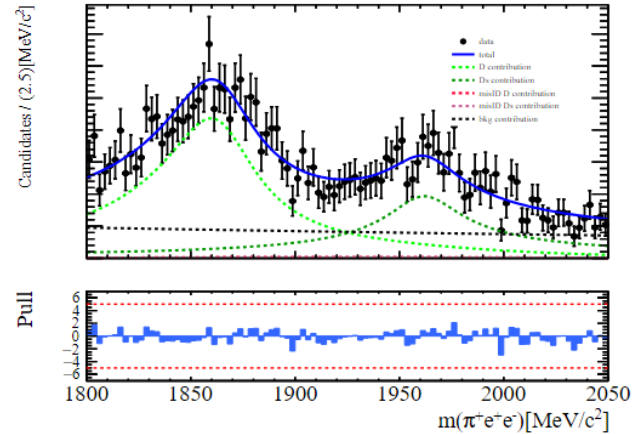
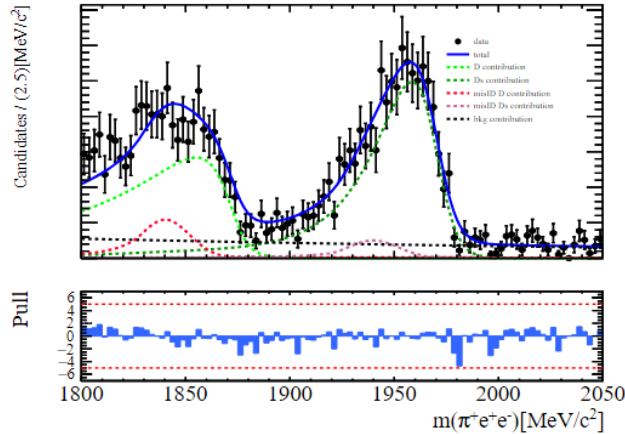


	low- $m(ee)$	high- $m(ee)$
A_{CP}^{raw}	$x \pm 0.021$	$x \pm 0.025$
$A_{FB}^{D^+}$	$x \pm 0.026$	$x \pm 0.036$
$A_{FB}^{D^-}$	$x \pm 0.029$	$x \pm 0.034$

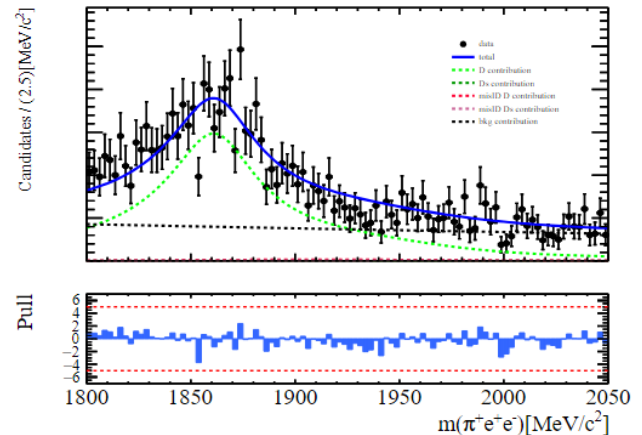
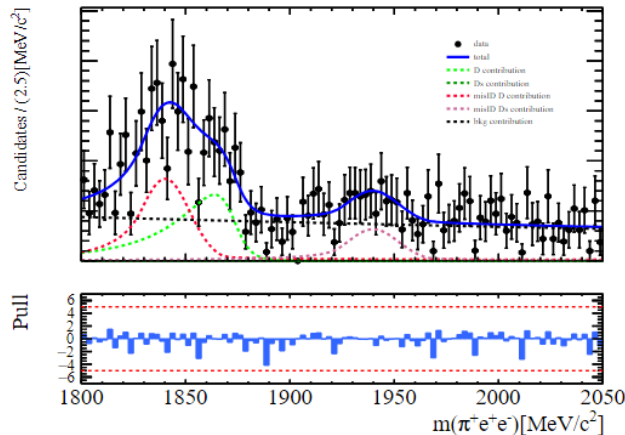
Preselection → MVA → Cut opt. → Eff. correction → **Fit** → Nuisance asym.

Fits projections

low- $m(ee)$



high- $m(ee)$



Preselection → MVA → Cut opt. → Eff. correction → Fit → **Nuisance asym.**

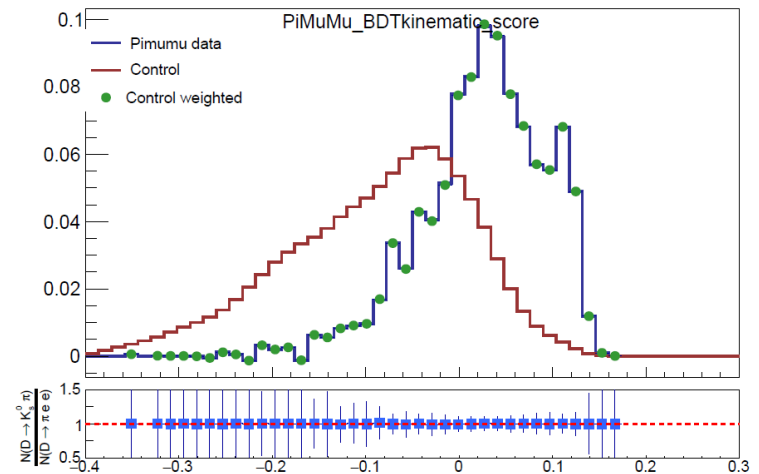
Nuisance asymmetry

Nuisance asymmetries are extracted from the control channel $D^+ \rightarrow \pi^+ K_S$.

The kinematics of the control channel **need to be reweighted** according to the kinematics of D and π from $D^+ \rightarrow \pi^+ e^+ e^-$.

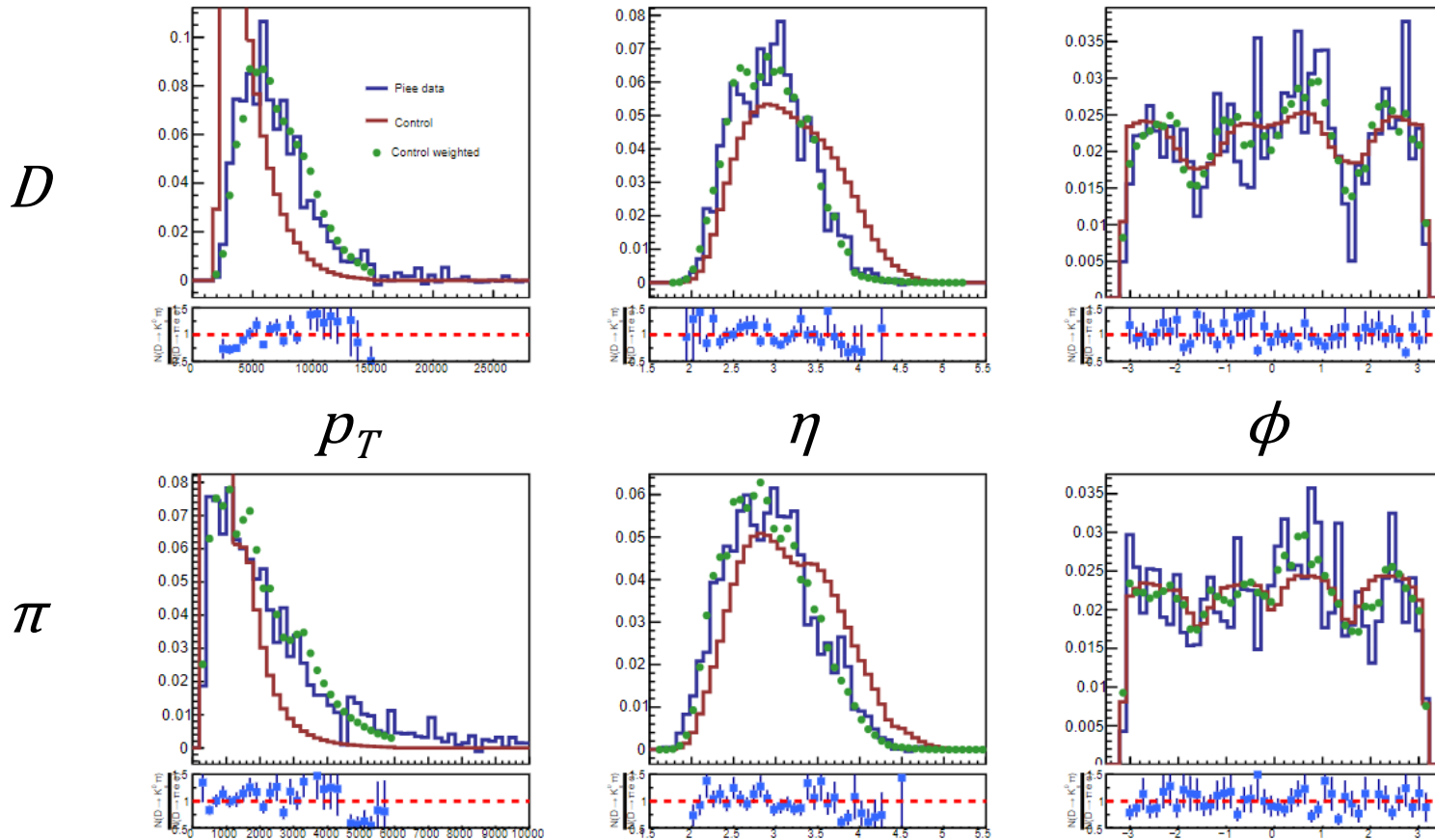
Control and signal datasets have to be **background subtracted**.

The **kinematical variables** are then used in a **BDT** that is used for the **reweighting**.



Preselection \rightarrow MVA \rightarrow Cut opt. \rightarrow Eff. correction \rightarrow Fit \rightarrow Nuisance asym.

Nuisance asymmetry



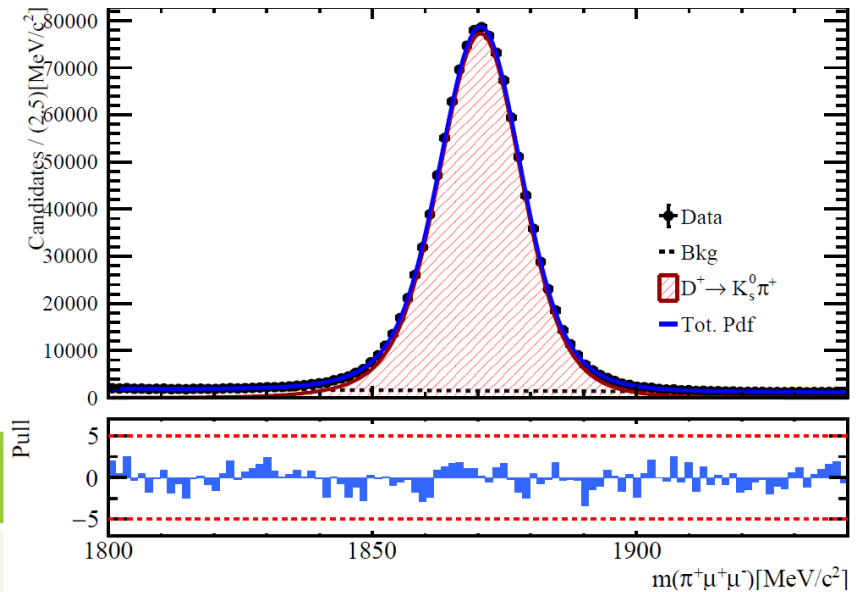
Preselection → MVA → Cut opt. → Eff. correction → Fit → **Nuisance asym.**

Nuisance asymmetry

Nuisance asymmetries are extracted by fitting the control channel.

The uncertainties are negligible if compared to the ones of the signal sample.

	low- $m(ee)$	high- $m(ee)$
A_{CP}^{raw}	$(-3.81 \pm 0.55) \cdot 10^{-3}$	$(-3.74 \pm 0.70) \cdot 10^{-3}$



Conclusions

The objective of this analysis is to measure for the **first time** A_{CP} and A_{FB} for $D^+ \rightarrow \pi^+ e^+ e^-$ across the ϕ resonance region. Those observables can be used as **null tests** for the SM as they could indicate to NP effects.

The **sensitivities** reached to this analysis amount to $\sim 2\%$ for A_{CP} and $\sim 3\%$ for A_{FB} .

The **results** are **blinded** and the evaluations of **systematic uncertainties** is yet to be performed. Source of systematics:

- Invariant mass shape description
- Efficiency correction uncertainties
- Imperfect kinematical reweighting
- Secondary contamination

The impact of those effects is expected to be **sub-leading** in respect to the statistical uncertainties.

Summary

- Flavor physics introduction and charmed meson decays
- Asymmetries
- Data and electron reconstruction
- Preselection
- Multivariate analysis
- Cut optimization
- Efficiency correction
- Fit Results
- Nuisance asymmetry

Backup

BDT Variables

BDT variables

CONEPTASYM (I1 & I2)

TRKISOBDT (h & D)

VTXISOBDT

AMAXDOCA

ENDVERTEX_CHI2

ETA

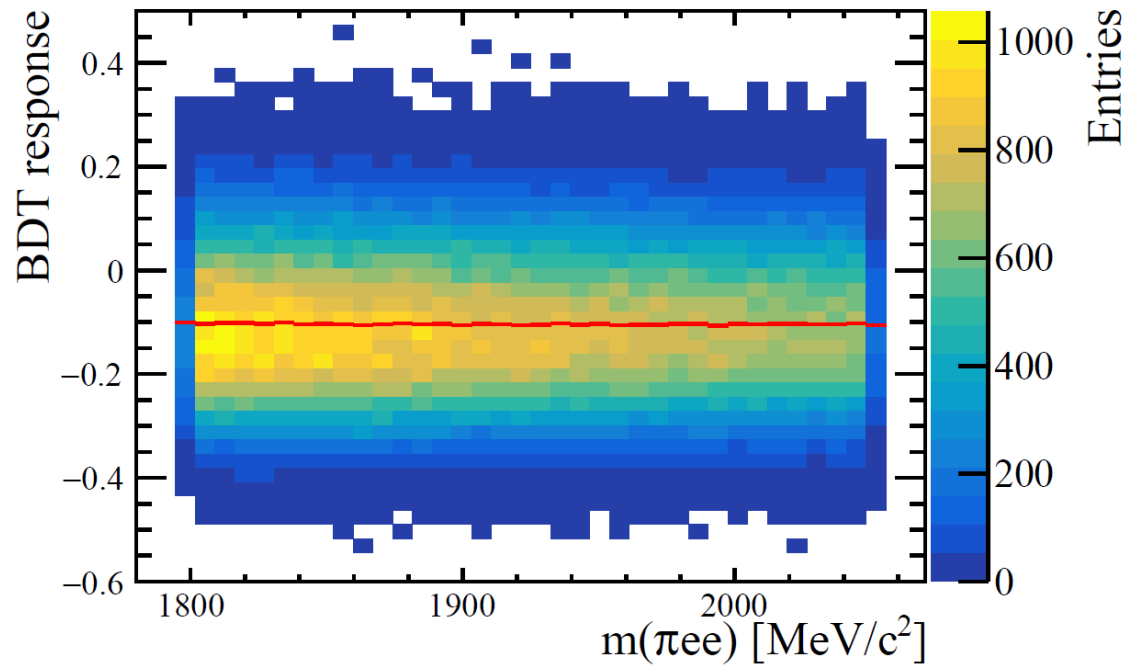
BPVDIRA

BPVLTIME

VTXISONUMVTX

VTXISODCHI2MASSTWOTRACK

BDT vs mass



Signal mass-models

