

Physics-constrained GAN for amplitude extraction

Glòria Montaña Faiget

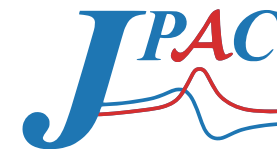
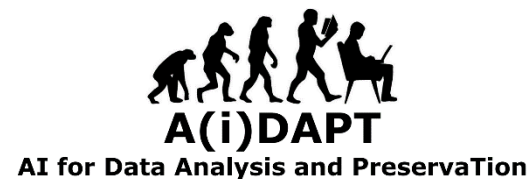
Jefferson Lab

In collaboration with M. Battaglieri, A. Pilloni, Y. Li and others

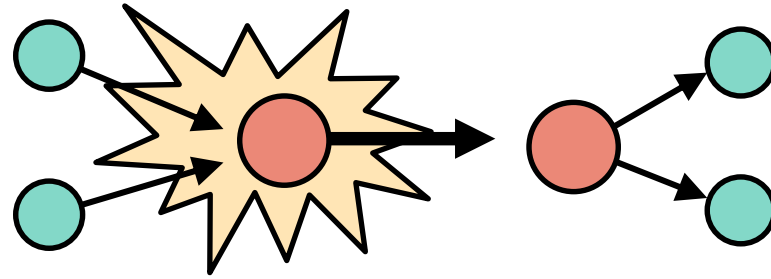
Digital Twins for Nuclear and Particle Physics – NPTwins 2024

Museo Diocesano di Genova

December 16-18, 2024



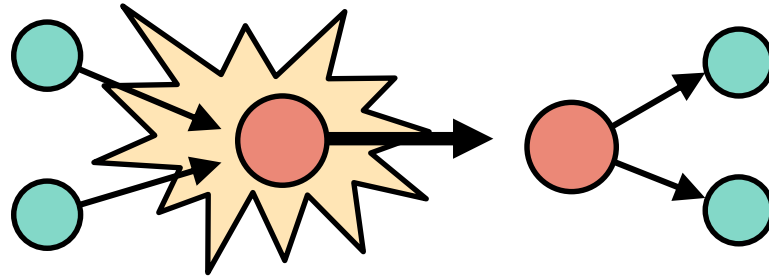
MOTIVATION



The **scattering amplitude** \mathcal{A} is the fundamental quantity of interest in hadron reactions:

- ⊗ Encodes the underlying dynamics of the interaction
- ⊗ Crucial for understanding resonance production, decays...
- ⊗ Is a complex quantity: magnitude + phase

MOTIVATION



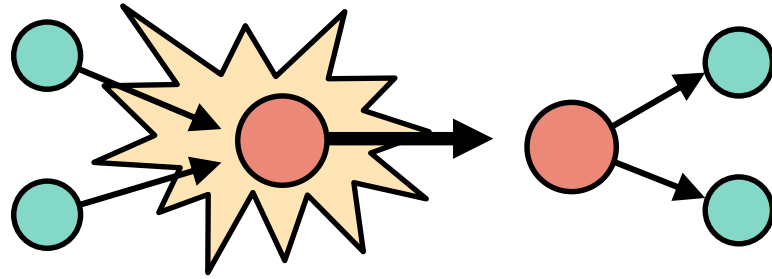
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The **cross section** σ is an experimentally observable quantity:

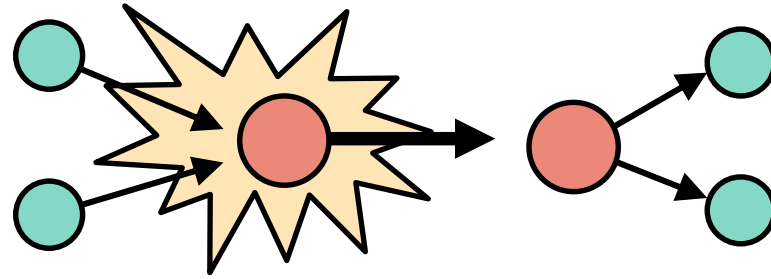
- ✔ Related to $|\mathcal{A}|^2$
- ✔ The information about the phase is lost

MOTIVATION

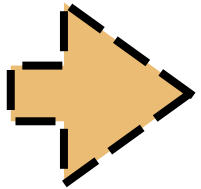


The reconstruction of the amplitude from the differential cross section is hard, even for the simplest elastic $2 \rightarrow 2$ scattering.

MOTIVATION

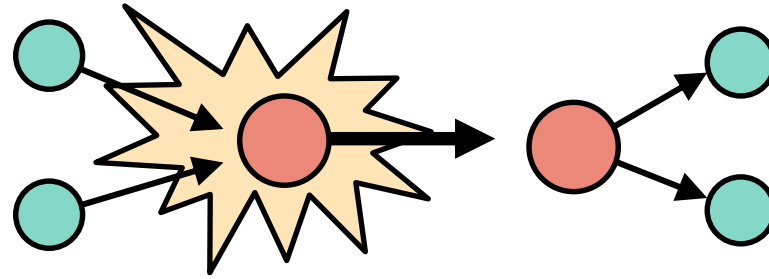


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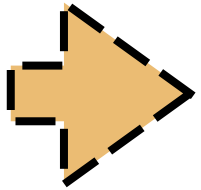


Can we use modern machine-learning techniques to recover the scattering amplitude from experimental data of cross sections?

MOTIVATION



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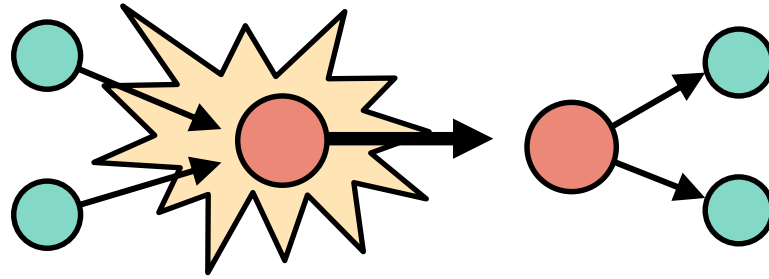


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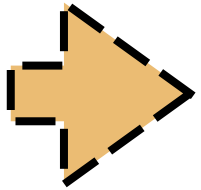
Why physics-constrained **GANs**?

- 🔍 Learn distributions and patterns of the (pseudo) data
- 🔍 Incorporate physics constraints

MOTIVATION



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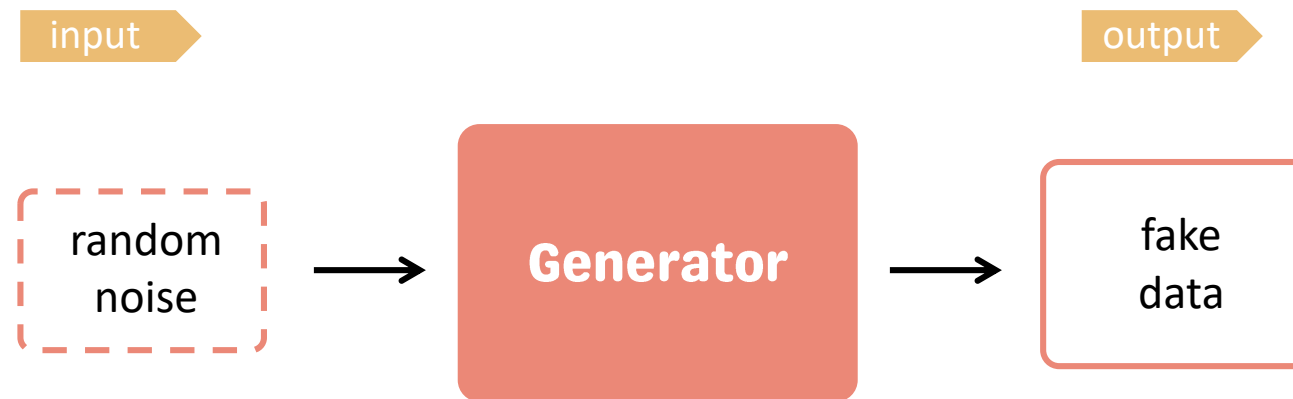
- 🔍 Learn distributions and patterns of the (pseudo) data
- 🔍 Incorporate physics constraints

The modulus and phase of the scattering amplitude are related by the **unitarity relation**.

INTRODUCTION TO GANs

Two neural networks:

- ✘ The **generator** needs to capture the data distribution



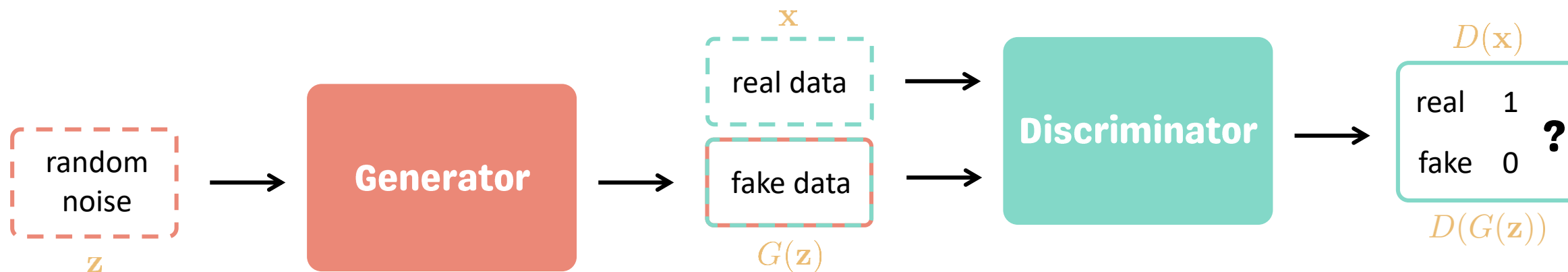
INTRODUCTION TO GANs

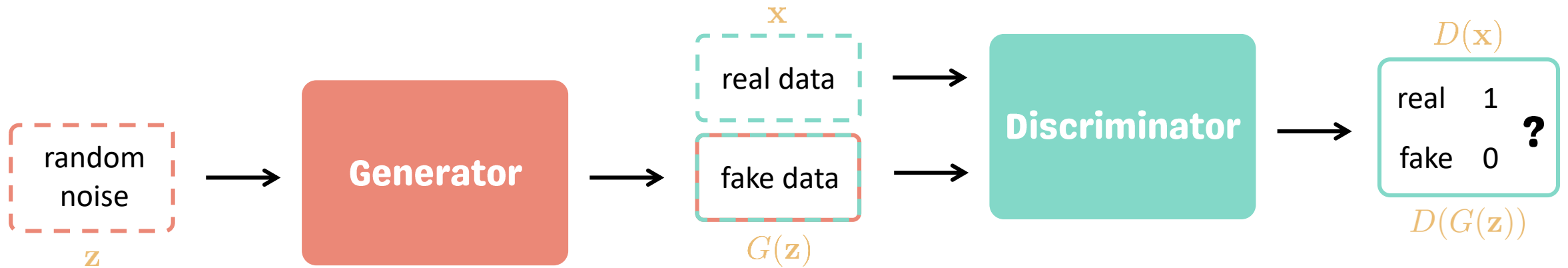
Two neural networks:

- ✗ The **generator** needs to capture the data distribution
- ✗ The **discriminator** estimates the probability that a sample comes from the training data rather than from the generator



INTRODUCTION TO GANs



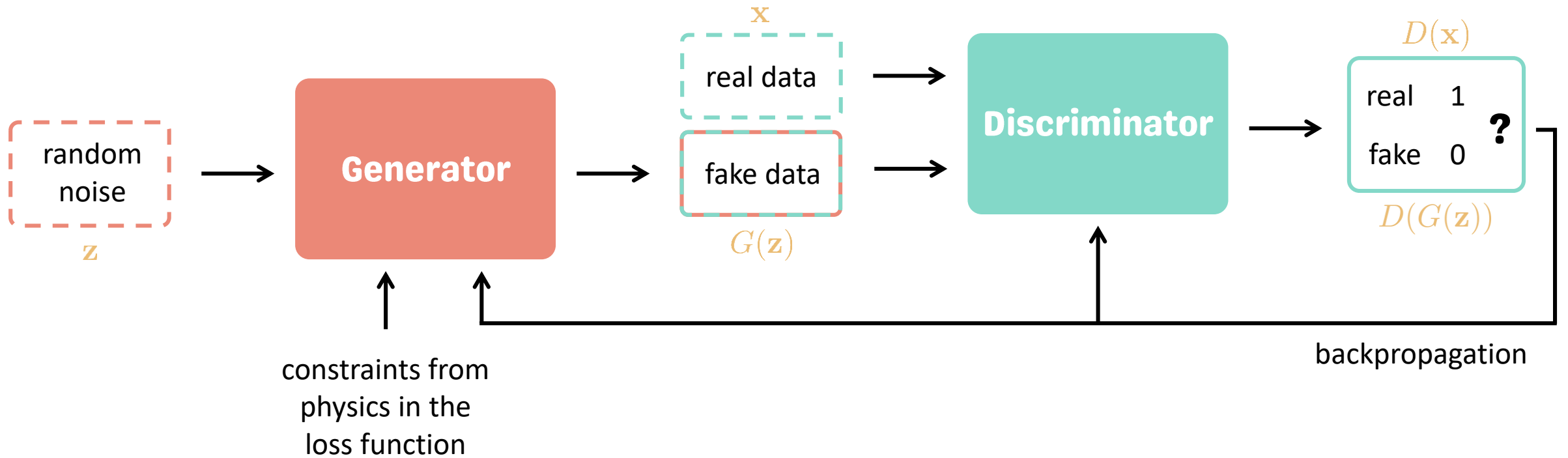


GAN Minmax Game

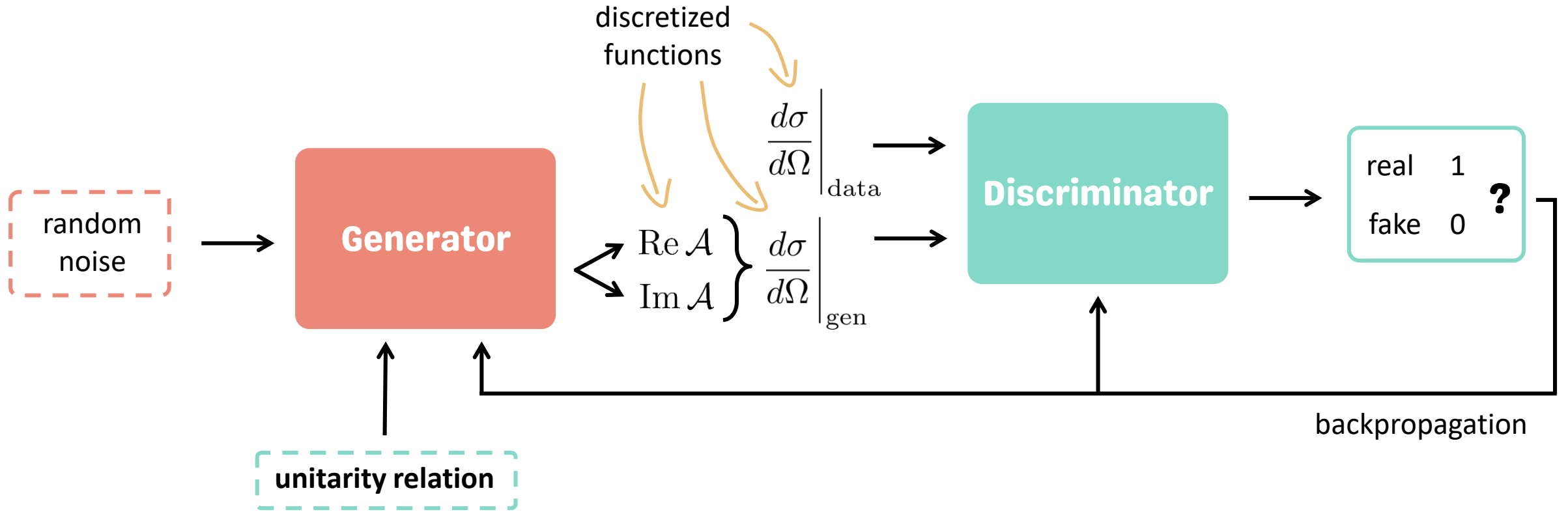
$$\min_G \max_D V(D, G) = \underbrace{\mathbb{E}_{\mathbf{x} \sim p_{\text{data}}(\mathbf{x})} [\log D(\mathbf{x})]}_{\text{real data}} + \underbrace{\mathbb{E}_{\mathbf{z} \sim p_z(\mathbf{z})} [\log(1 - D(G(\mathbf{z})))]}_{\text{fake data}}$$

G minimizes	↓	N/A	D classifies as 0
D maximizes	↑	D classifies as 1	D classifies as 0

INTRODUCTION TO GANs



INTRODUCTION TO GANs



PHYSICS PROBLEM

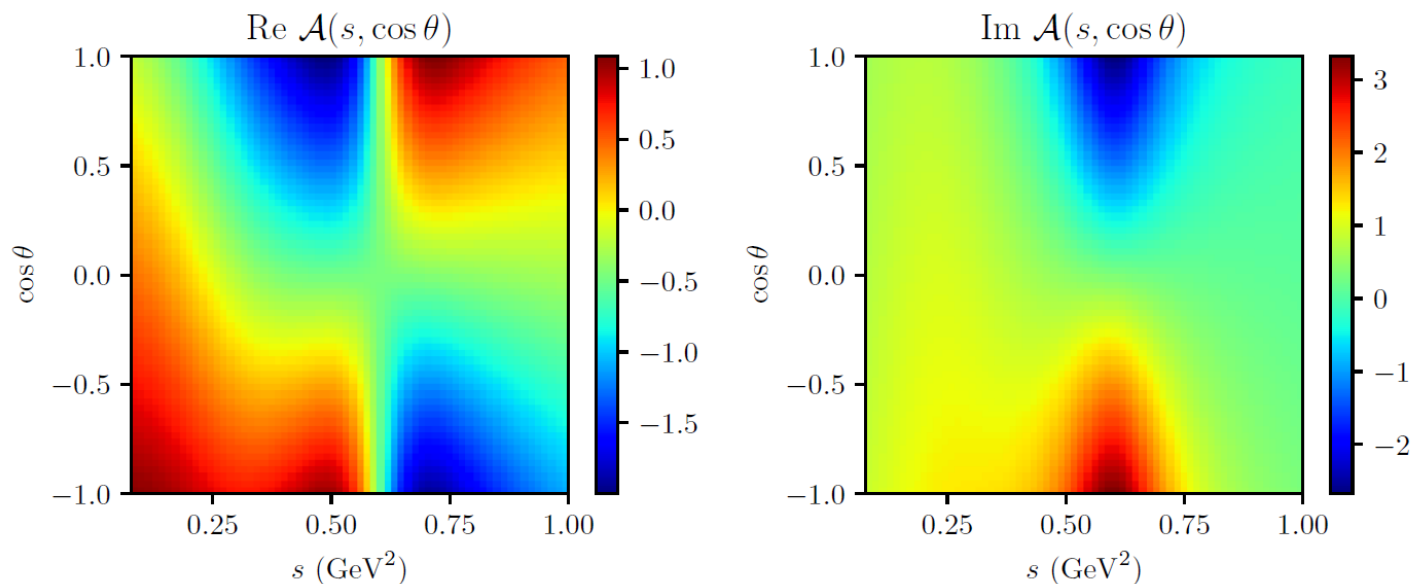
Elastic scattering $\pi^+\pi^- \rightarrow \pi^+\pi^-$

→ dominated by $f_0(500)$ and $\rho(770)$ resonances

$$\mathcal{A}(s, \cos \theta) = \sum_{\ell=0}^n (2\ell + 1) f_{\ell}(s) P_{\ell}(\cos \theta)$$

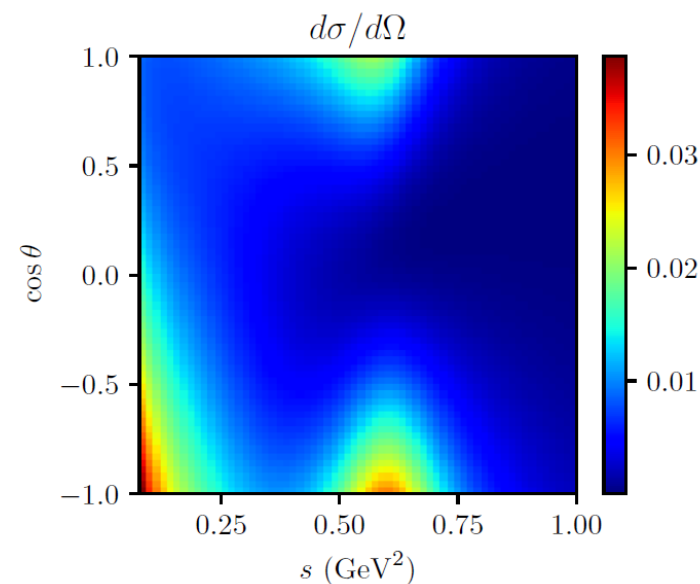
Partial-wave decomposition of the amplitude truncated to $n = 1$ and Breit-Wigner type partial waves:

$$\mathcal{A}(s, \cos \theta) = f_0(s) + 3f_1(s) \cos \theta \quad f_{\ell} = \frac{m_{\ell} \Gamma_{\ell}}{m_{\ell}^2 - s - im_{\ell} \Gamma_{\ell}}$$



Differential cross section

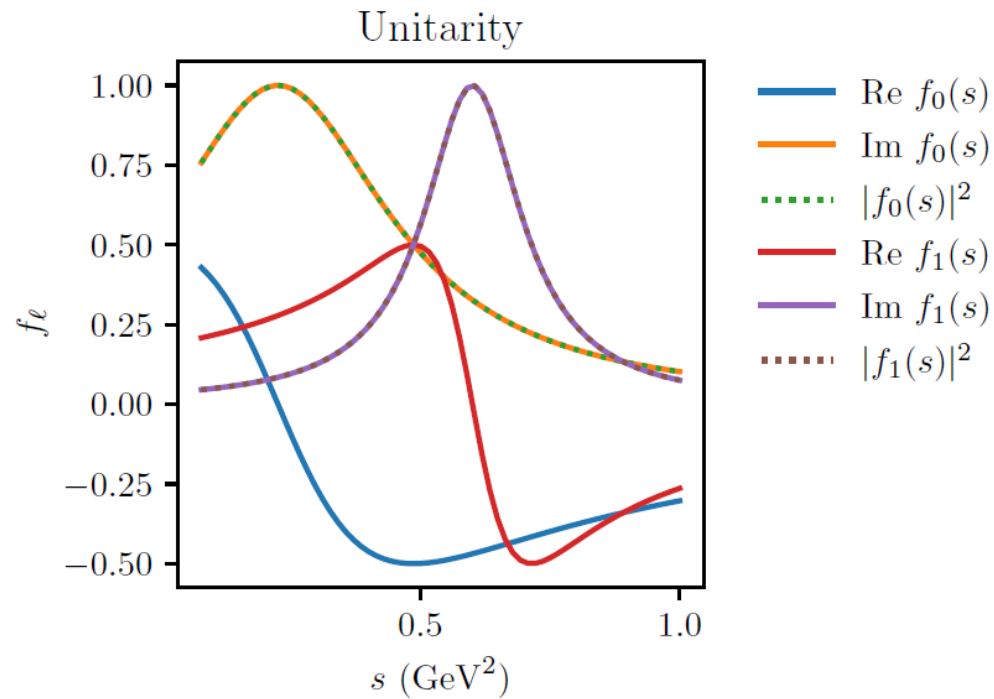
$$\frac{d\sigma}{d\Omega} = \frac{1}{64\pi^2} \frac{1}{s} |\mathcal{A}(s, \cos \theta)|^2$$



PHYSICS PROBLEM

Unitarity of the partial waves

$$\text{Im } f_\ell(s) = |f_\ell(s)|^2$$

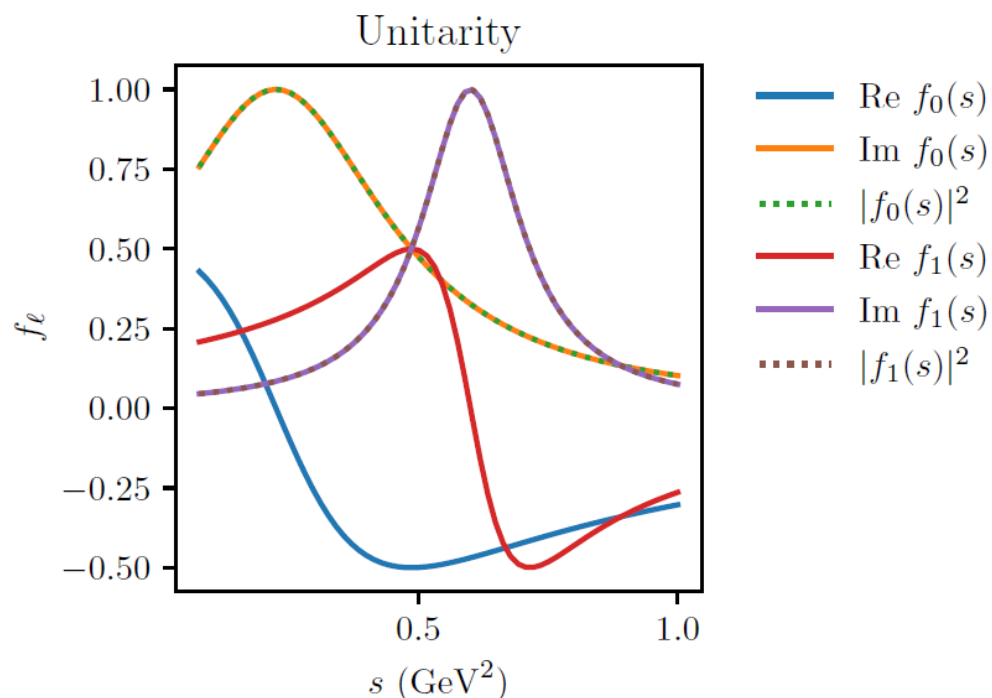


$$f_\ell(s) = \frac{1}{2} \int_{-1}^{+1} dz P_\ell(z) \mathcal{A}(s, z)$$

PHYSICS PROBLEM

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$$f_\ell(s) = \frac{1}{2} \int_{-1}^{+1} dz P_\ell(z) \mathcal{A}(s, z)$$

Integral unitarity relation for the full amplitude

$$\text{Im } \mathcal{A}(s, z) = \frac{1}{4\pi} \int_0^{2\pi} d\phi \int_{-1}^{+1} dz' \mathcal{A}(s, z') \mathcal{A}^*(s, z'')$$

$$z'' = zz' + \sqrt{1-z^2} \sqrt{1-z'^2} \cos \phi$$

or, equivalently

$$\sin \Phi(s, z) = \int_0^{2\pi} d\phi \int_{-1}^{+1} dz' \frac{|\mathcal{A}(s, z')| |\mathcal{A}(s, z'')|}{4\pi |\mathcal{A}(s, z)|} \times \cos [\Phi(s, z') - \Phi(s, z'')]$$

Phase ambiguity: $\mathcal{A}(s, z) \rightarrow -\mathcal{A}^*(s, z)$
 $\Phi(s, z) \rightarrow \pi - \Phi(s, z)$

IMPLEMENTATION DETAILS

🔍 GAN architecture:

Generator

Layer Type	Input Dimensions	Output Dimensions	Activation/Other Details
Fully Connected	(batch_size, noise_dim)	(batch_size, 4 × 4 × 1024)	BatchNorm, LeakyReLU (0.2)
Reshape	(batch_size, 4 × 4 × 1024)	(batch_size, 4, 64, 64)	Reshapes tensor
ConvTranspose2d (1)	(batch_size, 4, 64, 64)	(batch_size, 64, 64, 64)	BatchNorm, LeakyReLU (0.2), Kernel=17
ConvTranspose2d (2)	(batch_size, 64, 64, 64)	(batch_size, 64, 64, 64)	BatchNorm, LeakyReLU (0.2), Kernel=17
ConvTranspose2d (3)	(batch_size, 64, 64, 64)	(batch_size, 64, 64, 64)	BatchNorm, LeakyReLU (0.2), Kernel=17
ConvTranspose2d (4)	(batch_size, 64, 64, 64)	(batch_size, 64, 64, 64)	BatchNorm, LeakyReLU (0.2), Kernel=17
Conv2d (Final)	(batch_size, 64, 64, 64)	(batch_size, 2, 64, 64)	Produces 2-channel image output

Lambda

Layer Type	Input Dimensions	Output Dimensions	Transformation Details
Lambda	(batch_size, 2, 64, 64)	(batch_size, 1, 64, 64)	Maps generator output to discriminator input

Discriminator

Layer Type	Input Dimensions	Output Dimensions	Activation/Other Details
Conv2d (1)	(batch_size, 1, 64, 64)	(batch_size, 64, 32, 32)	LeakyReLU (0.2), Dropout (0.3), Kernel=4
Conv2d (2)	(batch_size, 64, 32, 32)	(batch_size, 128, 16, 16)	BatchNorm, LeakyReLU (0.2), Dropout (0.3)
Flatten	(batch_size, 128, 16, 16)	(batch_size, 128 × 16 × 16)	Flattens for FC layers
Fully Connected (1)	(batch_size, 128 × 16 × 16)	(batch_size, 64)	LeakyReLU (0.2), Dropout (0.3)
Fully Connected (2)	(batch_size, 64)	(batch_size, 1)	Outputs real/fake score

IMPLEMENTATION DETAILS

🔍 GAN architecture:

Generator

Layer Type	Input Dimensions	Output Dimensions	Activation/Other Details
Fully Connected	(batch_size, noise_dim)	(batch_size, $4 \times 4 \times 1024$)	BatchNorm, LeakyReLU (0.2)
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Too complex?
Too simple?

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Fully Connected (1)	(batch_size, $128 \times 16 \times 16$)	(batch_size, 64)	LeakyReLU (0.2), Dropout (0.3)
Fully Connected (2)	(batch_size, 64)	(batch_size, 1)	Outputs real/fake score

IMPLEMENTATION DETAILS

☞ Loss Functions:

MSE Loss Measure the mean squared error between the target and output.

$$\text{MSE} = \frac{1}{N} \sum_{i=1}^N (\text{output}_i - \text{target}_i)^2$$

Unitarity Loss Enforce unitarity by comparing the modulus squared of the integral of the scattering amplitudes over angular variables to the imaginary part.

$$\mathcal{L}_u = \frac{1}{N \cdot N_s \cdot N_z} \sum_{i=1}^N \sum_{j=1}^{N_s} \sum_{k=1}^{N_z} \left(|\text{Im } \mathcal{A}(s, z) - \text{Re } \mathcal{I}(s, z)| + |\text{Im } \mathcal{I}(s, z)| \right)$$

$$\text{with } \mathcal{I}(s, z) = \frac{1}{4\pi} \int_{-1}^1 dz' \int_0^{2\pi} d\phi (\mathcal{A}(s, z') \mathcal{A}(s, z''(z, z', \phi)))$$

Integral approximator: Simpson's rule

Integral sampling points: $[\cos \theta \times \phi] = 64 \times 10$

IMPLEMENTATION DETAILS

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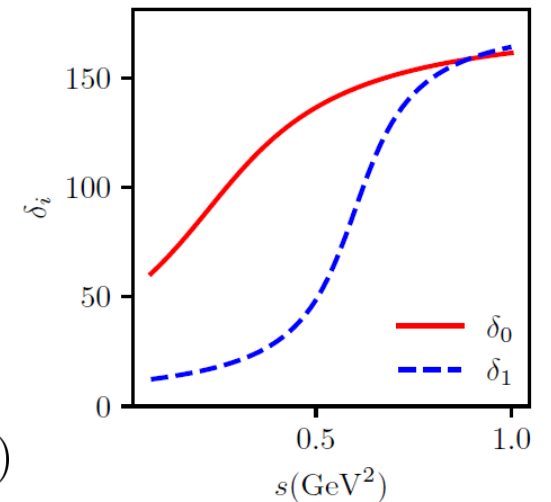
d0 Loss Ensure the positive derivative of the f_0 phase shift.

d1 Loss Ensure the positive derivative of the f_1 phase shift.

$$\mathcal{L}_{D_\ell} = \frac{1}{N} \sum_{i=1}^N \log (\max (0, -\Delta \delta_\ell(s)) + 1),$$

$$\delta_\ell = \text{atan} \left(\frac{\text{Im } f_\ell(s)}{\text{Re } f_\ell(s)} \right)$$

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Integral approximator: Simpson's rule

Integral sampling points: $[\cos \theta \times \phi] = 64 \times 10$

Better way to constrain the phase?

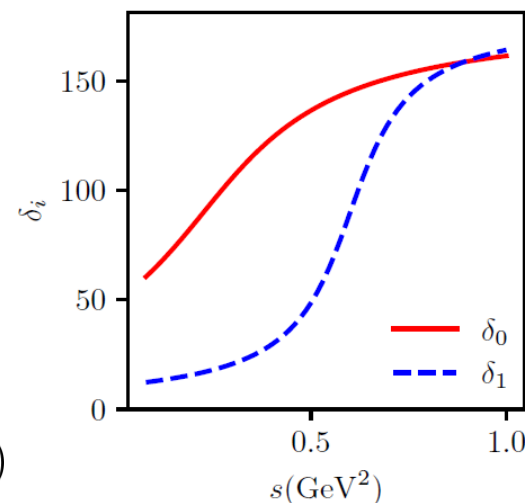
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$$f_\ell(s) = \frac{1}{2} \int_{-1}^{+1} dz P_\ell(z) \mathcal{A}(s, z)$$



IMPLEMENTATION DETAILS

🔍 Other hyperparameters:

Generator Optimizer	Adam Learning rate: 0.0001
Discriminator Optimizer	Adam Learning rate: 0.00001
Batch Size	256
Training Size	40×256
Input Noise Dimension	100
Epochs	Total: 200 (with stopping if convergence achieved)
Weights for Generator Losses	[MSE, unitarity, d0, d1] = [1,1,10,10]
Device	GPU

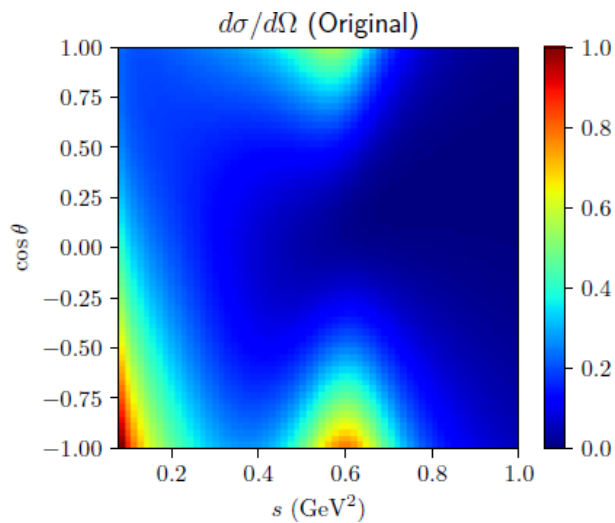
IMPLEMENTATION DETAILS

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How to optimize?

TRAINING DATASET

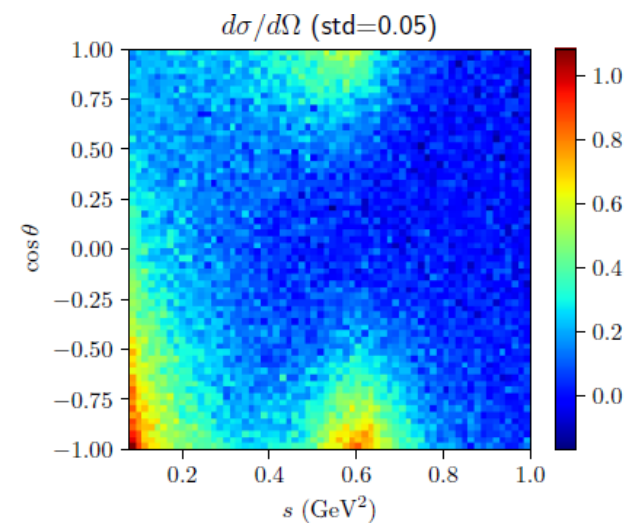
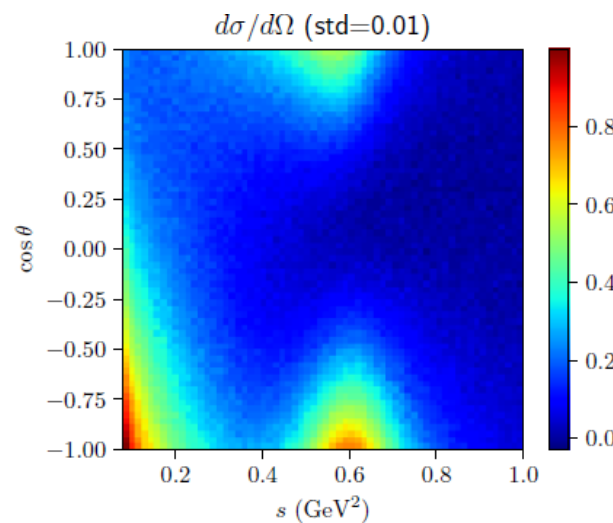
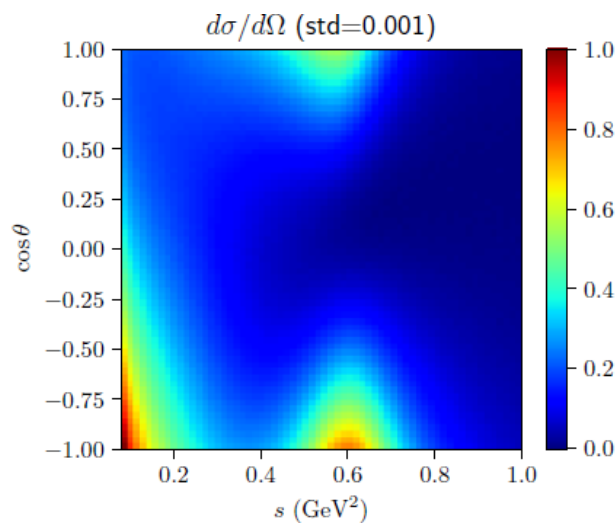


Normalized differential cross section discretized in grid:

$$64 \times 64, s \in [(2m_\pi)^2, 1 \text{ GeV}^2], \cos \theta \in [-1, 1]$$

Training samples with additional gaussian noise:

$$40 \times \text{BATCH_SIZE} = 40 \times 256 \text{ samples}$$



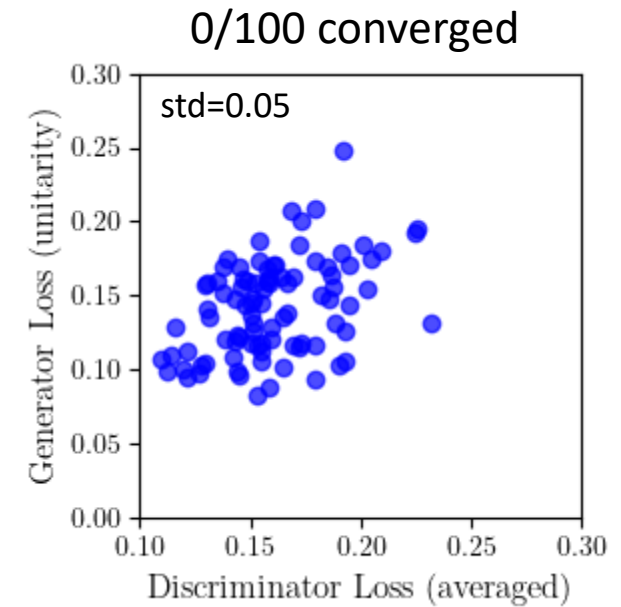
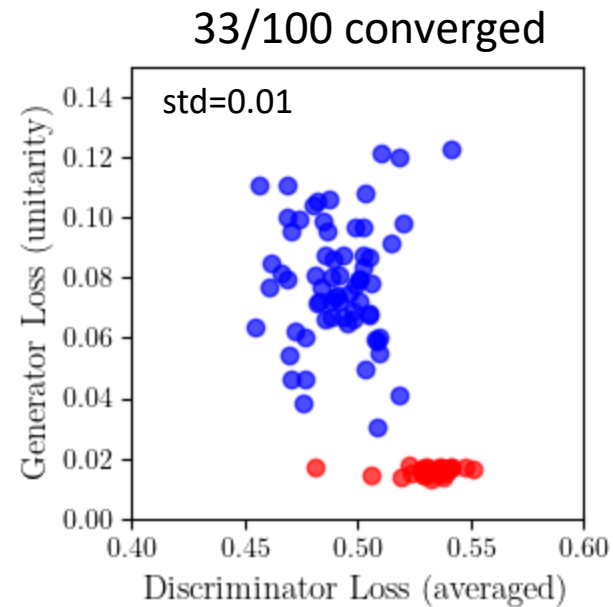
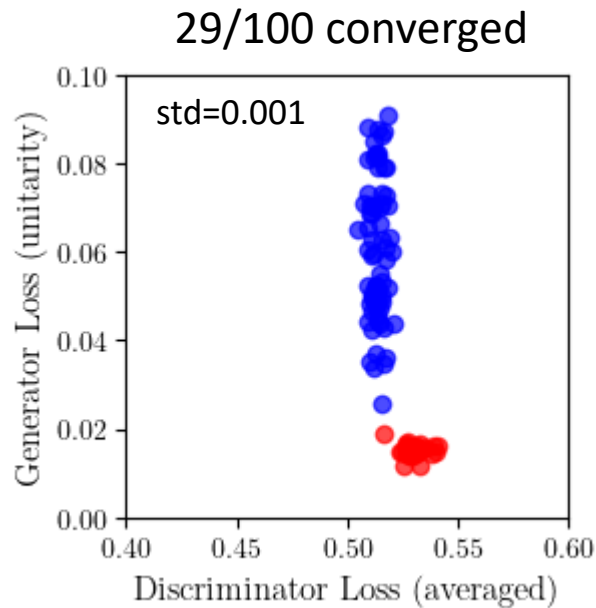
PRELIMINARY RESULTS

☒ Trained 100 GANs for 200 epochs:

Stop training if unitarity loss is smaller than 0.02 and changes less than 0.01 and for 10 consecutive epochs:

$$\mathcal{L}_u < 0.02$$

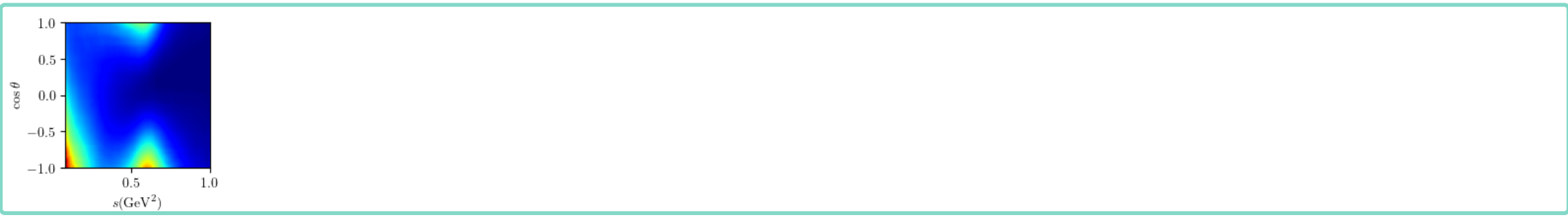
$$\mathcal{L}_{u,n} - \mathcal{L}_{u,n-1} < 0.01$$



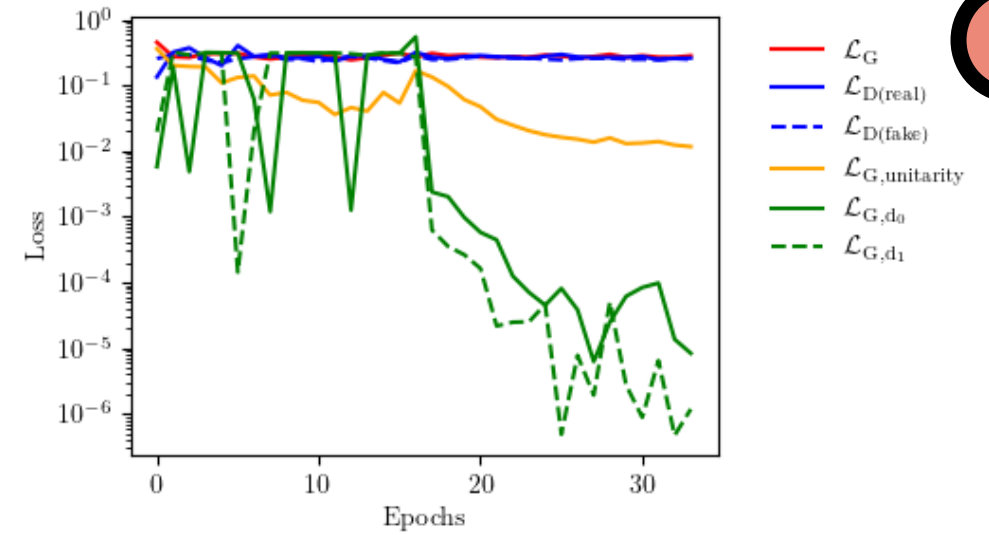
PRELIMINARY RESULTS

☉ Example of converged GAN with $\text{std}=0.01$:

model ("true" without noise)



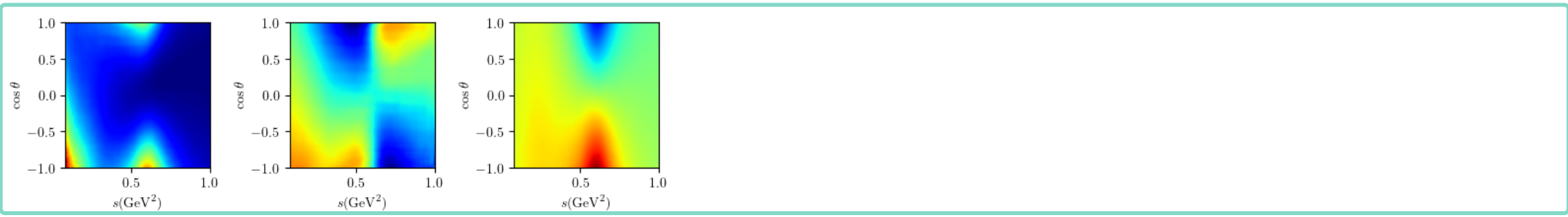
generated ("fake")



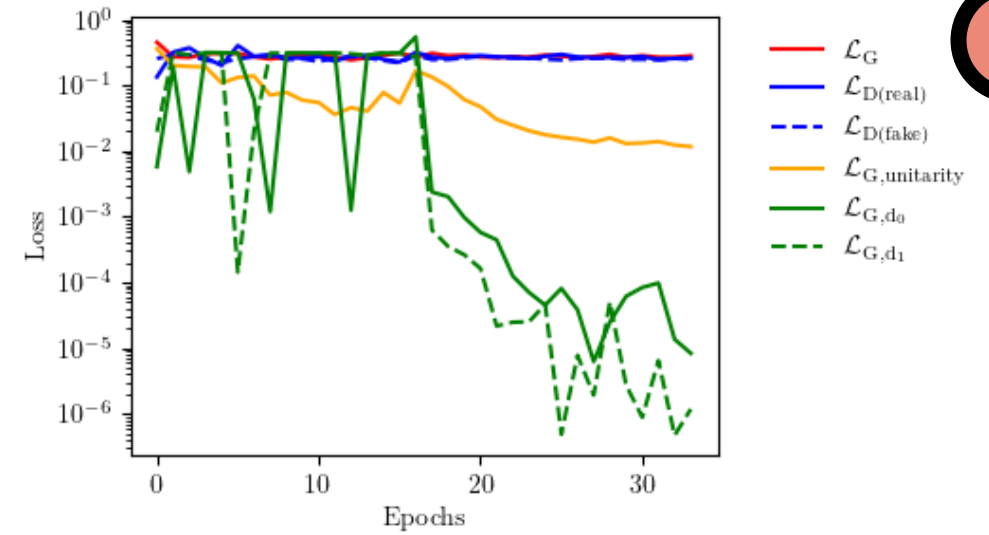
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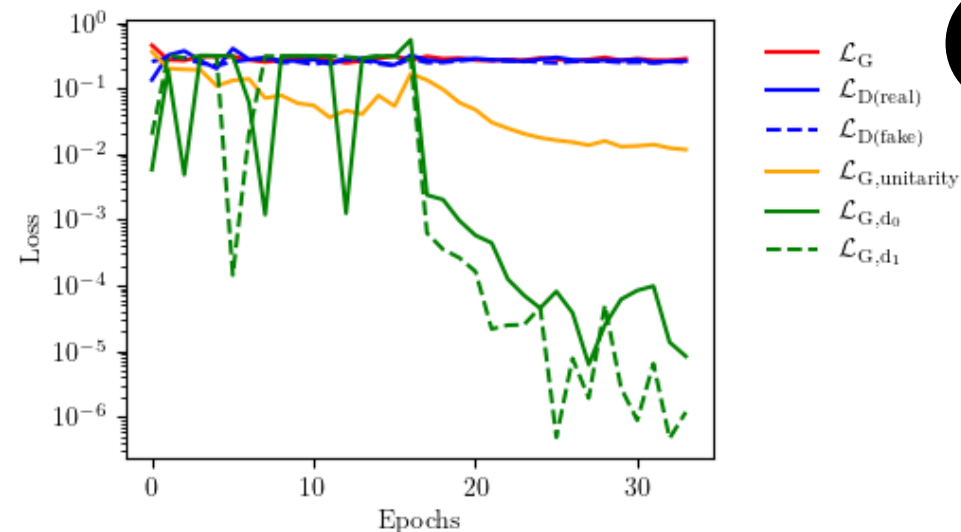


generated ("fake")

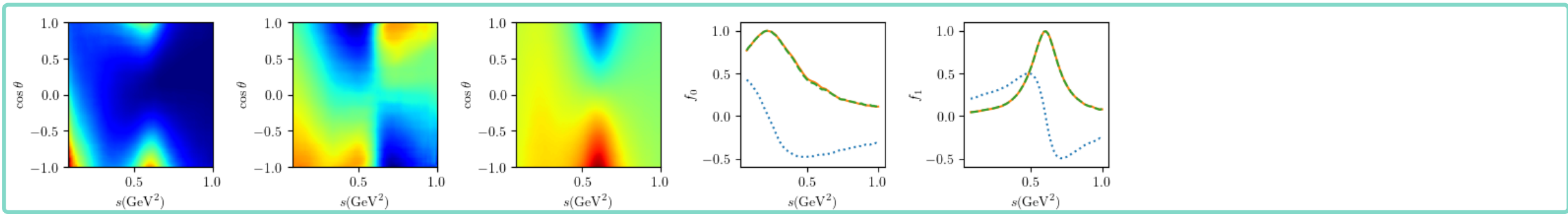
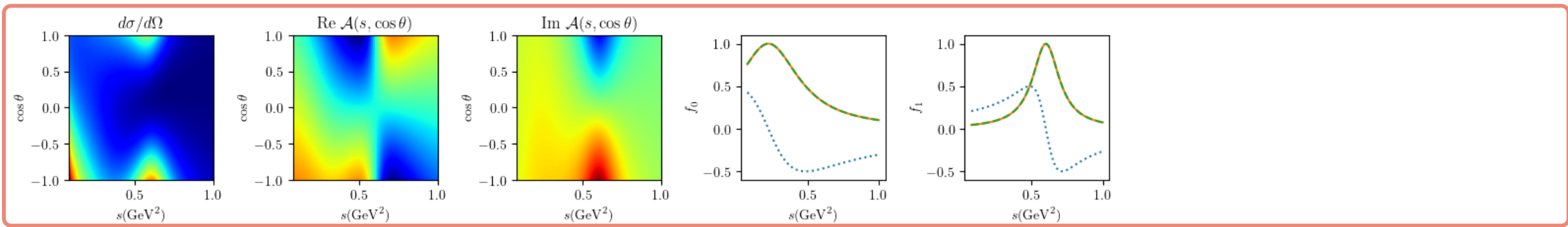


PRELIMINARY RESULTS

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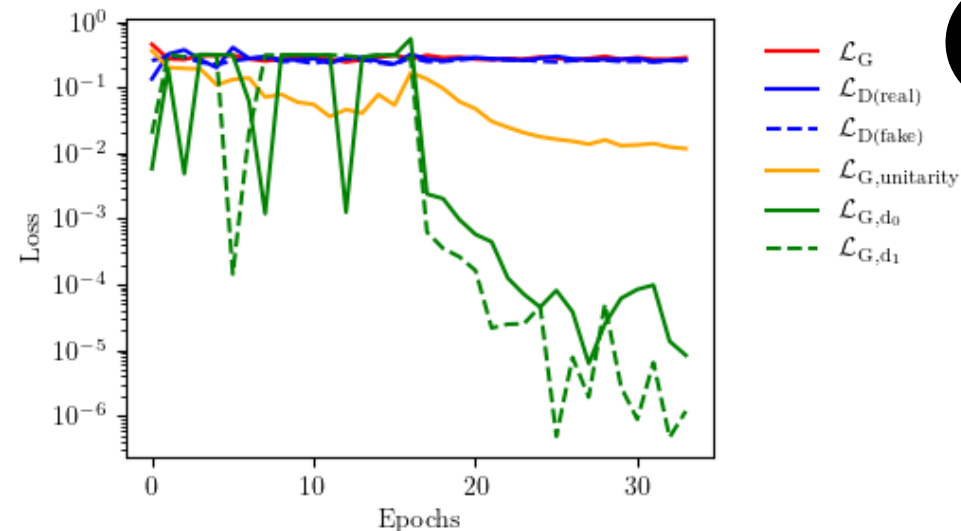
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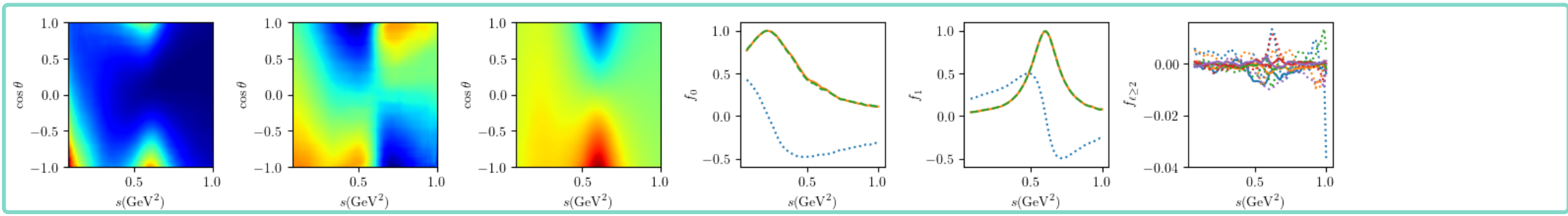
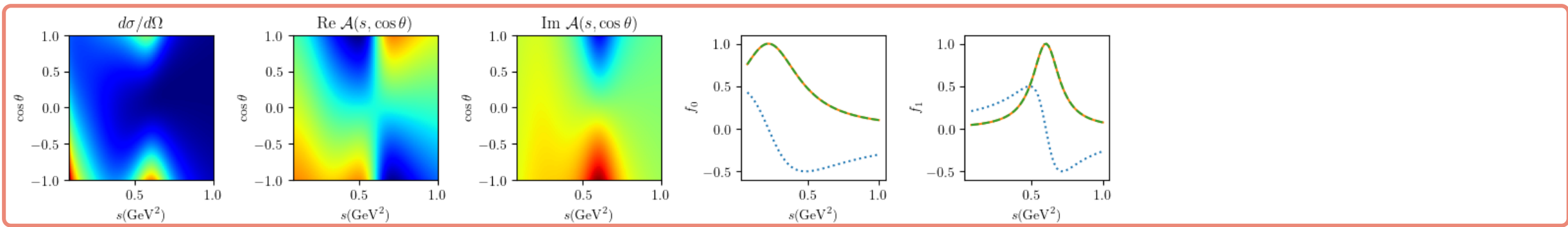
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PRELIMINARY RESULTS

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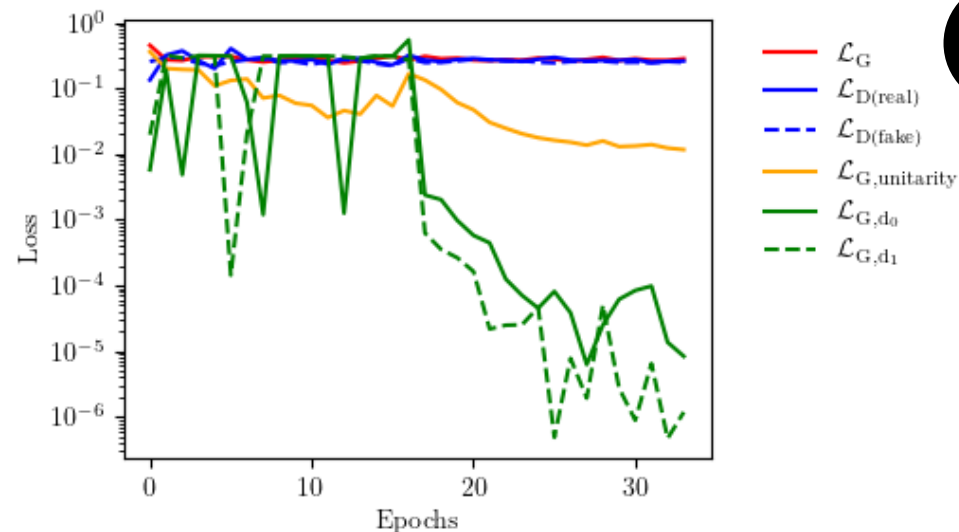
model ("true" without noise)



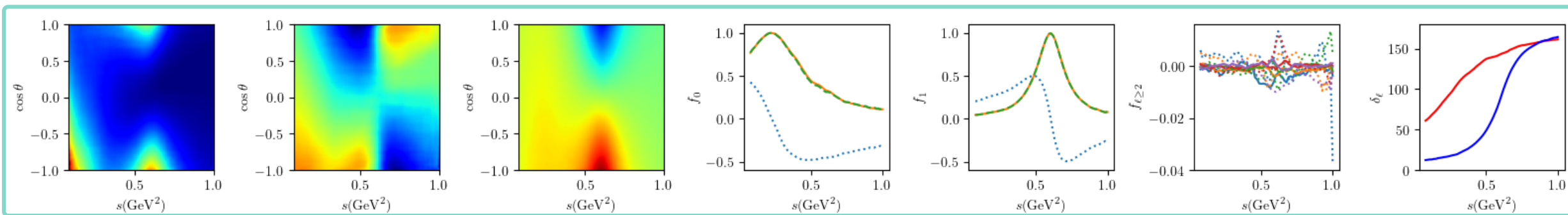
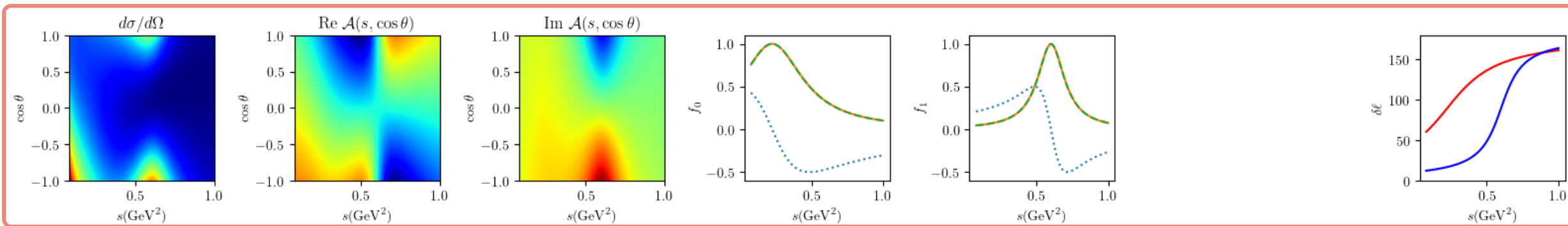
generated ("fake")

PRELIMINARY RESULTS

☉ Example of converged GAN with std=0.01:



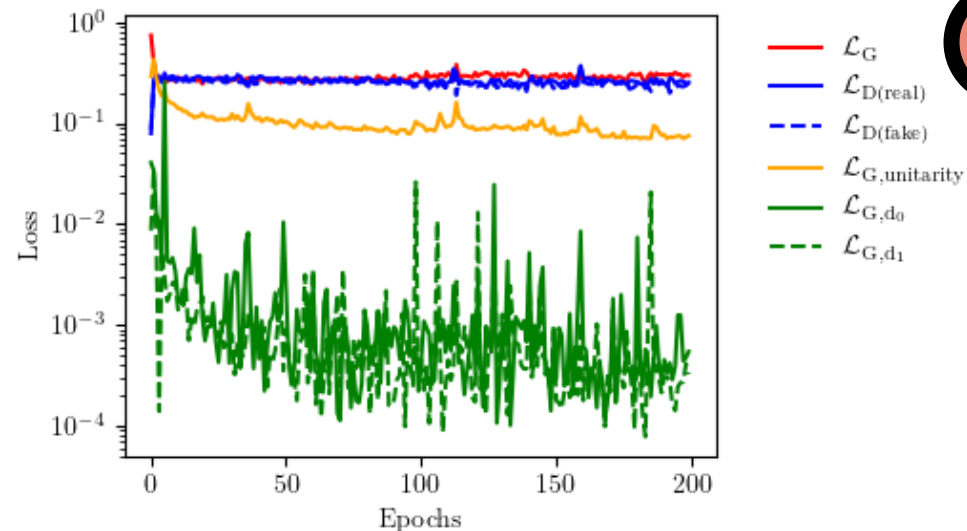
model ("true" without noise)



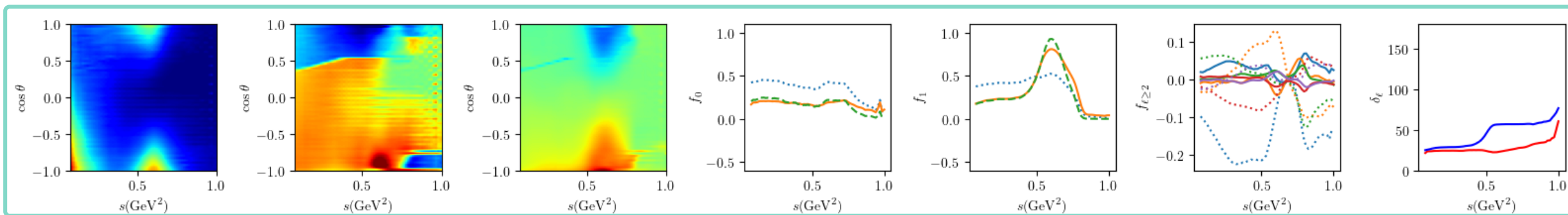
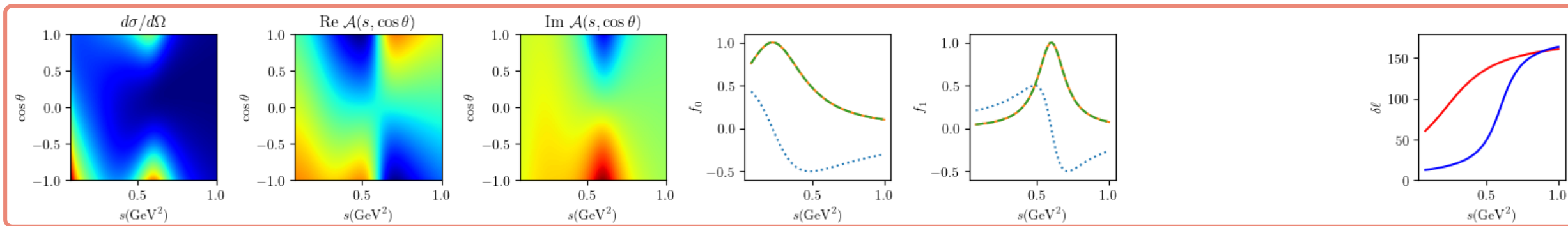
generated ("fake")

PRELIMINARY RESULTS

☒ Example of non-converged GAN with std=0.01:



model ("true" without noise)

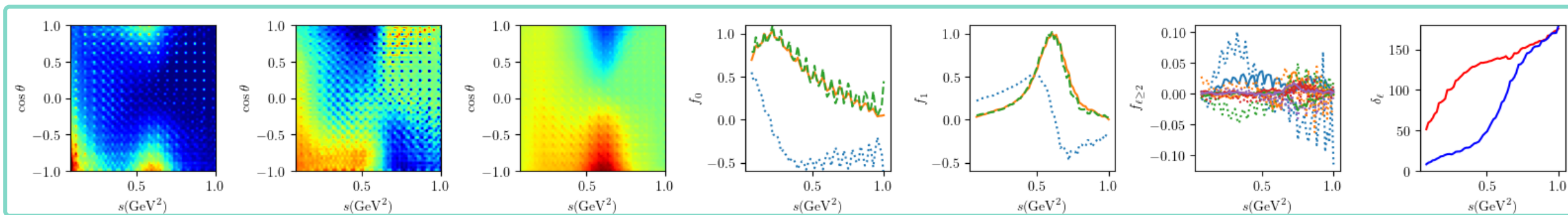
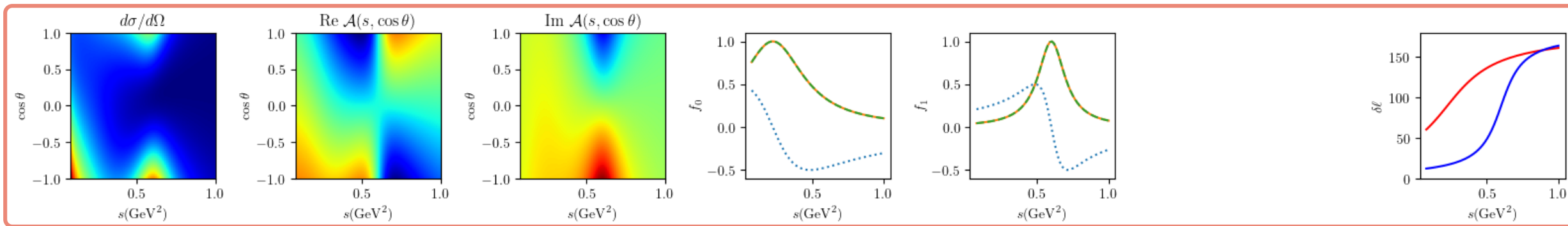


generated ("fake")

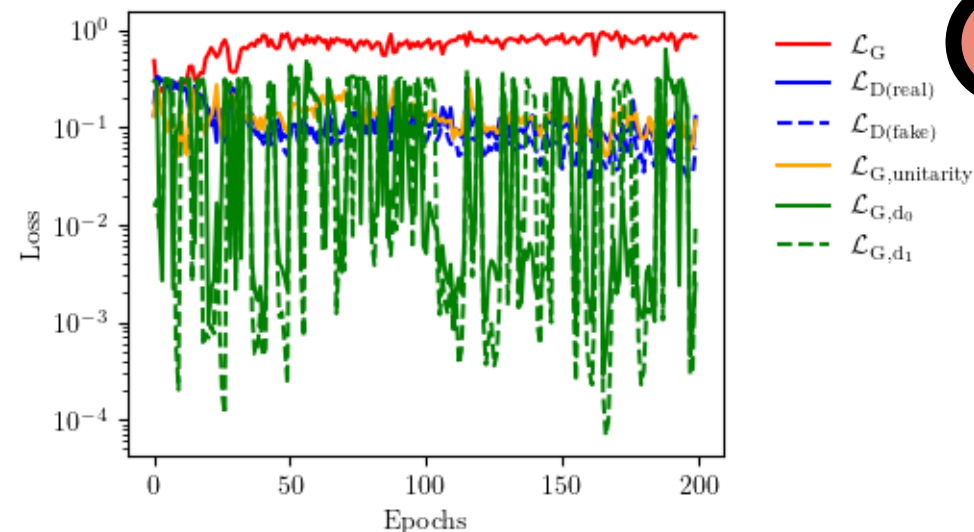
PRELIMINARY RESULTS

☒ Example of a “not too bad” non-converged GAN with std=0.05:

model (“true” without noise)



generated (“fake”)



CONCLUSIONS & OUTLOOK

🔍 Current achievements:

We developed a physics-constrained GAN to extract complex amplitudes from cross-section data. The unitarity loss together with constraints on the phase allows us to recover the amplitude.

🔍 What's next?

Optimize the GAN architecture and the hyperparameters.

Explore additional/alternative physics-informed constraints to further stabilize the GAN training.

Perform a quantitative analysis and error estimation.

🔍 Future directions:

Extension to the event level using, e.g. normalizing flows.

Extension to more complicated processes and generalization of the physics constraints.

Preliminary status, but the results of using physics-constrained GANs to extract amplitudes from cross sections employing physics constraints are promising.