

# Branes in the Superconformal Index

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SISSA

Ongoing work [Aharony, Benini, OM]



How the macroscopic (semi-classical gravity) description arises from the microscopics (dual QFT)

- Example: SUSY black hole entropy from the dual CFT.  
[Benini, Hristov, Zaffaroni], [Benini & Milan], [Choi, Kim, Kim, Nahmgoong],  
[Cabo-Bizet, Cassani, Martelli, Murthy], ...
- Compute grand-canonical partition function.
  - CFT: count states, trace over Hilbert space.
  - Gravity: sum over Euclidean saddles.

# Motivation – Holography, microstate counting and beyond

How the macroscopic (semi-classical gravity) description arises from the microscopics (dual QFT)

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- Compute grand-canonical partition function.
  - CFT: count states, trace over Hilbert space.
  - Gravity: sum over Euclidean saddles.
- Many more saddles: black holes, orbifolds, wrapped branes. What's their origin? How are they related to one another?

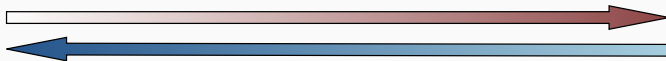
# Holography

Concentrate on a specific duality:

$N = 4$   $SU(N)$   
super Yang – Mills

$$\lambda = g_{YM}^2 N$$

$$G_N \sim 1/N^2$$



Type IIB superstring theory  
on  $AdS_5 \times S^5$

$$\frac{\ell_{string}}{\ell_{AdS}}$$

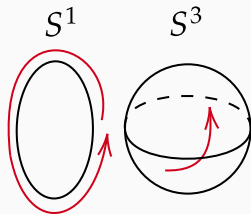
(but parts of the story apply to other theories, other dimensions, and other observables.)

# $\mathcal{N} = 4$ $SU(N)$ Super Yang Mills

We will study the partition function of the theory on  $S^1 \times S^3$ .

## Symmetries:

- $SO(6)_R$  R-symmetry. Charges:  $R_{1,2,3}$  ( $\Delta_{1,2,3}$ ).
- $SO(4)$  isometries. Angular momenta:  $J_{1,2}$  ( $\tau, \sigma$ ).



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If we tune the chemical potentials correctly,

$$\tau + \sigma - \sum_a \Delta_a = \pm 1$$

then the partition function is the superconformal index [Hosseini, Hristov, Zaffaroni], [Cabo-Bizet, Cassani, Martelli, Murthy], [Choi, Kim, Kim, Nahmgoong]

$$Z(\beta, \tau, \sigma, \Delta_{1,2,3}) = \mathcal{I}(\tau, \sigma, \Delta_1, \Delta_2)$$

# How do we compute it? Gravity

On the gravity side, in the semi-classical limit  $G_N \sim \frac{1}{N^2} \rightarrow 0$ ,

$$\mathcal{Z}_{grav} = \sum_{b \in \text{bulks}} e^{-N^2 I_b} \left[ a_0 + \frac{a_1}{N^2} + \dots \right. \\ \left. + \sum_{Dp \in \text{branes}} e^{-N I_{Dp}} \left( b_0 + \frac{b_1}{N^2} + \dots \right) \right],$$

the sum is over all bulk geometries whose boundary conditions are the same as the background for the CFT,  $\{\beta, \tau, \Delta_a\}$ .

## How do we compute it? QFT

Integrating out all the modes besides the holonomies of the gauge field,  $u_i = \oint_{S^1} A^i$ , [Kinney, Maldacena, Minwalla, Raju]

$$\mathcal{I} \propto \int_0^1 \left( \prod_{i=1}^{N-1} du_i \right) \frac{\prod_{a=1}^3 \prod_{i \neq j}^N \tilde{\Gamma}(\Delta_a + u_{ij}; \tau, \tau)}{\prod_{i \neq j}^N \tilde{\Gamma}(u_{ij}; \tau, \tau)}.$$



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There are two ways to take a thermodynamic limit:

1. Large  $N$ .

- Bethe Ansatz (applies also at finite  $N$ ) [Benini, Milan], [Closset, Kim, Willett], ...
- Saddle point [Choi, Jeong, Kim, Lee]

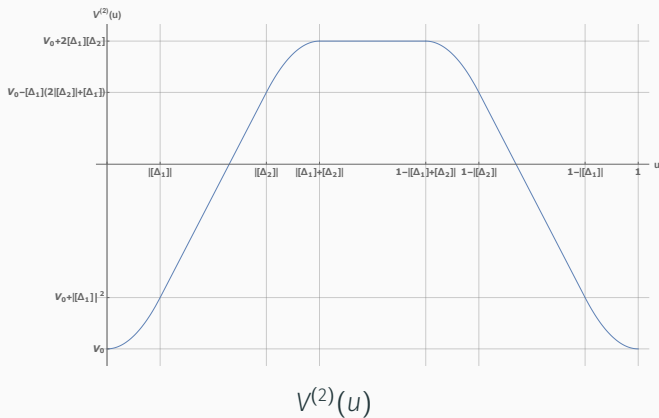
2. “Cardy limit”:  $\tau, \sigma \rightarrow 0$ ,  $\Delta_{1,2} \in \mathbb{R}$ . Favors large charges.

[Choi, Kim, Kim, Nahmgoong], [Murthy, Arabi Ardehali], [Komargodski, Cassani], ...

# The Cardy limit

Use modular properties of the integrand to bring it to the form

$$\mathcal{I} = \frac{1}{N!} \int_0^{2\pi} \left( \prod_{i=1}^{N-1} du_i \right) \exp \left[ -\frac{\pi i}{\tau^2} \sum_{i,j=1}^N v^{(2)}(u_{ij}) + O(e^{-1/\tau}) \right]$$



# The black hole saddle

One extrema happens when all the holonomies are at the same point.

- There are  $N$  such saddle points, for  $u_i = \frac{\mathbb{Z}}{N}$ .
- They give together [Honda], ..., [Cassani, Komargodski], [Murthy, Arabi Ardasheli]

$$N \exp \left[ -\pi i (N^2 - 1) \frac{\Delta_1 \Delta_2 \Delta_3}{\tau^2} + O(e^{-1/\tau}) \right], \quad \Delta_3 = 2\tau - \Delta_1 - \Delta_2 - 1$$

## Some Bethe Ansatz result

[Benini & Milan], ..., [Aharony, Benini, OM, Milan], [OM]

In fact, the Bethe Ansatz gives a large  $N$  formula that keeps track of the  $O(e^{-1/\tau})$  terms:

$$\mathcal{I} = N \frac{e^{\frac{\pi i}{12}}}{\tau \mathcal{I}_{U(1)}} e^{-\pi i N^2 \frac{\Delta_1 \Delta_2 \Delta_3}{\tau^2}} \prod_{k=1}^{\infty} \left[ \frac{1 - \tilde{q}^k}{(1 - \tilde{y}_1^k)(1 - \tilde{y}_2^k)(1 - \tilde{y}_3^k)} \right]^2 \times \left( 1 + O\left(e^{2\pi i N \frac{\Delta_a}{\tau}}\right) \right),$$

where  $\tilde{q} = e^{-2\pi i/\tau}$ ,  $\tilde{y}_a = e^{2\pi i \Delta_a/\tau}$ .

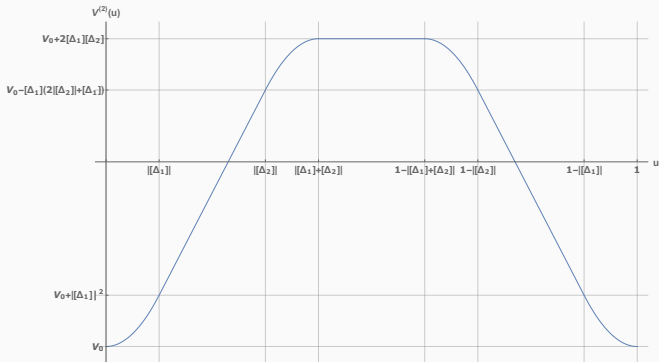
Gravity interpretation: on-shell action, 1-loop, wrapped branes, and the  $\log(N)$  terms (coming from  $B_2 \wedge dC_2 \wedge F_5$  topological term).

# Branes?

But there are other saddles: pop out one eigenvalue to the plateau in the middle. Contributes additional

$$\exp \left[ -2\pi i (N - 1) \frac{\Delta_1 \Delta_2}{\tau^2} \right]$$

Exciting a (different) brane!



## Many branes?

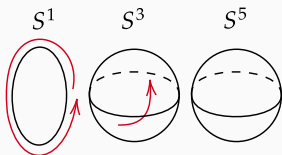
One can also move  $N/2$  of the eigenvalues to the middle, contributing:

$$\frac{N}{2} \exp \left[ -\pi i \frac{N^2 (2\Delta_1)(2\Delta_2)(4\tau - 2\Delta_1 - 2\Delta_2 - 1)}{(2\tau)^2} \right]$$

# A black hole

So what kinds of bulks are there?

There's a (complex) supersymmetric, Euclidean black hole solution in  $AdS_5 \times S^5$ . The boundary thermal cycle shrinks to zero at the horizon.



Its on-shell action is [Cabo-Bizet, Cassani, Martelli, Murthy]

$$I_b = \pi i N^2 \frac{\Delta_1 \Delta_2 \Delta_3}{\tau^2}$$

Exactly as the Cardy limit saddle!

# What about D-branes?

[Aharony, Benini, OM, Milan]

The index is independent of  $\lambda \propto \left(\frac{\ell_s}{\ell_{AdS}}\right)^4 \implies$  only D3-branes can appear.

So far, we had found two types of Euclidean SUSY D3-branes, located at the horizon and wrapping:

	AdS <sub>5</sub>	S <sup>5</sup>	Action $I_{D3}$
“Giant Graviton”-like	S <sup>1</sup>	S <sup>3</sup>	$2\pi i N \frac{\Delta_a}{\tau}$
“Dual Giant Graviton”-like	S <sup>3</sup>	S <sup>1</sup>	$-2\pi i N \frac{\Delta_a \Delta_b}{\tau^2}$

Exactly matching the exponential corrections, and the action for moving an eigenvalue!



# Orbifolds

[Aharony, Benini, OM, Milan]

Start from a black hole with boundary conditions

$$\tilde{\beta} = m\beta, \quad \tilde{\tau} = m\tau, \quad \tilde{\Delta}_a = m\Delta_a + S_a, \quad S_a \in \mathbb{Z}, \quad 2\tilde{\tau} - \sum_a \tilde{\Delta}_a = 1$$

With  $\phi_{1,2,3}$  angular coordinates on  $S^5$ ,  $t_E$  on  $S^1_\beta$ , orbifold by

$$(t_E, \phi_1, \phi_2, \phi_3) \sim (t_E + \frac{\tilde{\beta}}{m}, \phi_1 + \frac{2\pi S_1}{m}, \phi_2 + \frac{2\pi S_2}{m}, \phi_3 + \frac{2\pi S_3}{m})$$

The boundary conditions are now  $\{\beta, \tau, \Delta_a\}$ .



Locally, the solution is the same as before the orbifolding. However, it reduced the volume of the  $S^5$  by a factor of  $m$ , and the on-shell action becomes

$$I_b = \pi i \frac{N^2}{m} \frac{\tilde{\Delta}_1 \tilde{\Delta}_2 \tilde{\Delta}_3}{\tilde{r}^2}, \quad \tilde{\Delta}_3 = 2\tilde{r} - \tilde{\Delta}_1 - \tilde{\Delta}_2 - 1,$$

For  $m = 2$ : exactly the solution where we moved half the eigenvalues!

## What's the dominant phase?

$$Z_{BH} \propto e^{-\pi i N^2 \frac{\Delta_1 \Delta_2 \Delta_3}{\tau^2}}, \quad Z_{m=2} \propto e^{-\pi i \frac{N^2}{2} \frac{\tilde{\Delta}_1 \tilde{\Delta}_2 \tilde{\Delta}_3}{\tilde{\tau}^2}}$$

Consider  $-1 < \Delta_{1,2} < 0$ .

For  $\Re(\tau) > 0$  the black hole dominates.

For  $\Re(\tau) < 0$  the orbifold dominates.

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Moreover, the DGG branes on top of the BH gives

$$Z_{BH+DGG} = Z_{BH} e^{-2\pi i \frac{\Delta_a \Delta_b}{\tau^2}}$$

suppressed when  $\Re(\tau) > 0$ , become unstable at  $\Re(\tau) < 0$ !  
Suggesting the condensation of these branes end at the orbifold?

## Quantization of charge

$$\mathcal{I}(\beta, \tau, \sigma, \Delta_{1,2}) = \text{Tr} \left[ e^{-\beta\{Q, \bar{Q}\} + 2\pi i(\tau J_1 + \sigma J_2 + \frac{1}{2} \sum_{a=1}^3 \Delta_a R_a)} \right]$$

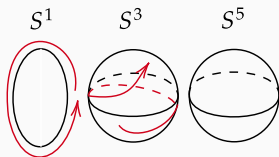
The charges are quantized, and so the index is periodic in  $\tau$ ,  $\Delta$ .  
However, the black hole contributes as

$$\exp \left[ \pi i N^2 \frac{\Delta_1 \Delta_2 \Delta_3}{\tau^2} \right]$$

which is not periodic. How does gravity restore the periodicity  
(and know about quantization of the charges?)

## Other black holes

Some other combinations of the thermal cycle and the hopf fiber of the  $S^3$  can close in the bulk [Aharony, Benini, OM, Milan]



with on-shell actions are

$$I_b = \pi i N^2 \frac{\Delta_1 \Delta_2 \Delta_3}{(\tau + r)^2}, \quad r \in \mathbb{Z}$$

From the bulk perspective, this restores the periodicity in  $\tau$ . These correspond (in 1-to-1 fashion) to contributions from the Bethe Ansatz.

# Summary

- There are many gravitational saddles.
- Summing over saddles is needed to reproduce discreteness of charges.
- We can find their origin as saddles of the  $\mathcal{I}$ .
- Moving one holonomy – exciting a brane.
- When these branes become unstable, they “nucleate” into another gravitational solution.

## Things I glossed over

1. Periodicity in  $\Delta$  comes from stability of 1-loop, other branes.
2. Different regimes of the chemical potentials.
3. Branes in the Bethe ansatz – moving one eigenvalue from Hong-Liu configurations. Tractable at large  $N$ . Part of a continuum.
4. Moving  $xN$  holonomies – gravity interpretation?



Thank you!

## Beyond the action: a topological contribution

Type IIB SUGRA contains a topological term,

$$\int B_2 \wedge dC_2 \wedge F_5 = N \int_{\text{AdS}_5} B_2 \wedge dC_2$$

since  $\int_{S^5} F_5 = N$ . In the black hole topology, there are  $N$  distinct flat  $B_2$  solutions with the same on-shell action [Witten], [Aharony, Witten]. The contribution to  $Z$  is actually

$$Ne^{-I_b}$$

This is the bulk manifestation of the breaking of the center  $\mathbb{Z}_N^{(1)}$  symmetry.

## Orbifolds and center symmetry

In the orbifold the volume of  $S^5$  had shrunk by a factor of  $m$ .

In order for the flux  $\int_{S^5} F_5 \in \mathbb{Z}$ , we need to have  $m|N$ .

Topological action is  $\frac{N}{m} \int B_2 \wedge dC_2 \implies$  center symmetry breaking  $\mathbb{Z}_N^{(1)} \rightarrow \mathbb{Z}_m^{(1)}$ .

## Some comments

- Similar results from Bethe Ansatz (large  $N$ ).
  - DGG branes come from continuum solutions at large  $N$ .  
Can argue for their action.
  - Continuum behaves like the flat direction of Cardy limit.
- Similar saddles appear in other  $\mathcal{N} = 1$  SCFTs.
- The orbifolds can be constructed for more general bulks of the form  $AdS_5 \times X_5$ , for some Sasaki-Einstein manifold  $X_5$  which is a  $U(1)$  fibration.
- Should apply other observables, such as expectation values for defects [Chen, Heydeman, Wang, Zhang].

# Summary

In the Cardy limit (or at large  $N$ ) many different gravitational saddles emerge from the QFT (black holes, orbifolds).

Giant gravitons – exponential corrections around eigenvalue configurations.

Dual giant gravitons – moving single eigenvalue. Nucleation leads to other gravitational saddles.

Phase diagram might have regions with partial breaking of center symmetry (orbifolds)!

Bulks for moving arbitrary fraction of eigenvalues?

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- $\mathbb{Z}_N^{(1)}$  center symmetry (1-form symmetry).

The partition function is:

$$Z(\beta, \Omega_i, \Phi_a) = \text{Tr} \left( e^{-\beta H + \sum_i \beta \Omega_i J_i + \frac{1}{2} \sum_a \beta \Phi_a R_a} \right)$$

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Charges are quantized! Periodic in  $\tau, \sigma, \Delta_{1,2,3}$ .



## The Cardy limit

The integrand is invariant under integer shifts of the  $\Delta$ 's. When all the  $\Delta$ 's are in case 1 (or 2), we can use modular properties of the integrand to bring it to the form

$$Z = \frac{1}{N!} \int_0^{2\pi} \left( \prod_{i=1}^{N-1} du_i \right) e^{-\frac{\pi i}{2\tau^2} \sum_{i,j=1}^N V^{(2)}(u_{ij}) - \frac{\pi i}{2\tau} \sum_{i,j=1}^N V^{(1)}(u_{ij}) + O(e^{-1/\tau})}$$

If we wouldn't have shifted the  $\Delta$ 's, the  $O(e^{-1/\tau})$  corrections inside the exponent would not have been suppressed!