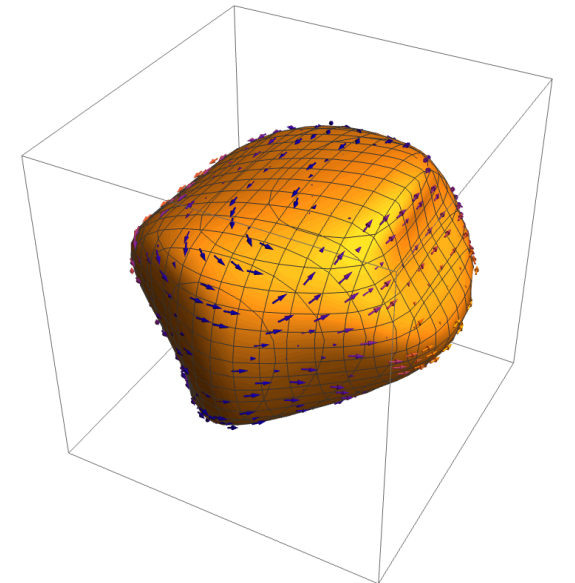
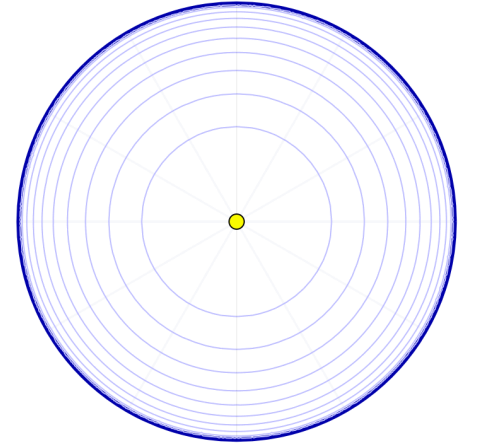
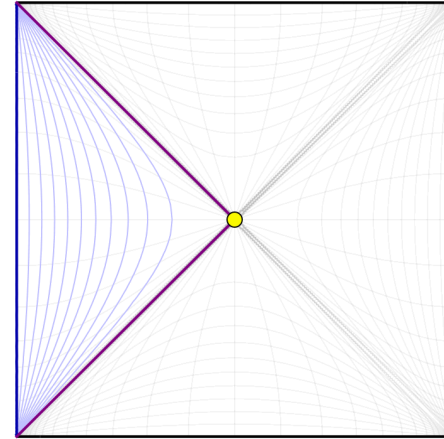


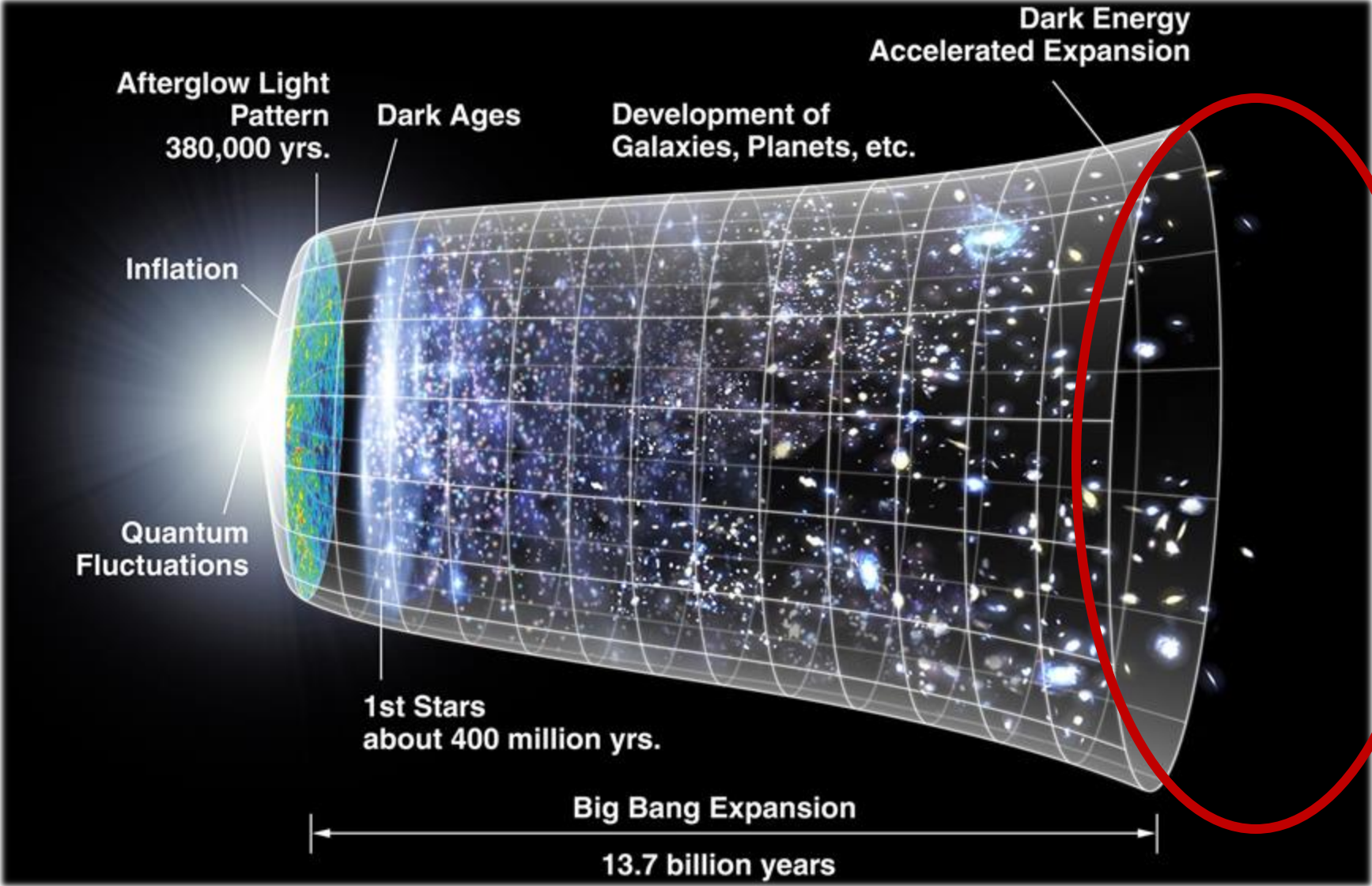
De Sitter horizon edge partition functions

Y. T. Albert Law

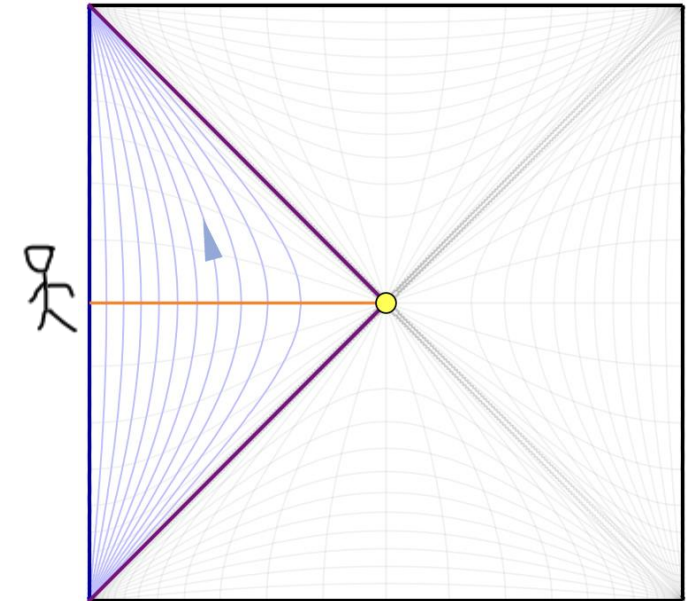
Based on 2501.17912

+ work with D. Anninos, F. Denef, Z. Sun, K. Parmentier, M. Grewal, A. Ball, G. Wong





Extrapolating the current Λ CDM model, our observable universe will become indistinguishable from a static patch of dS_4



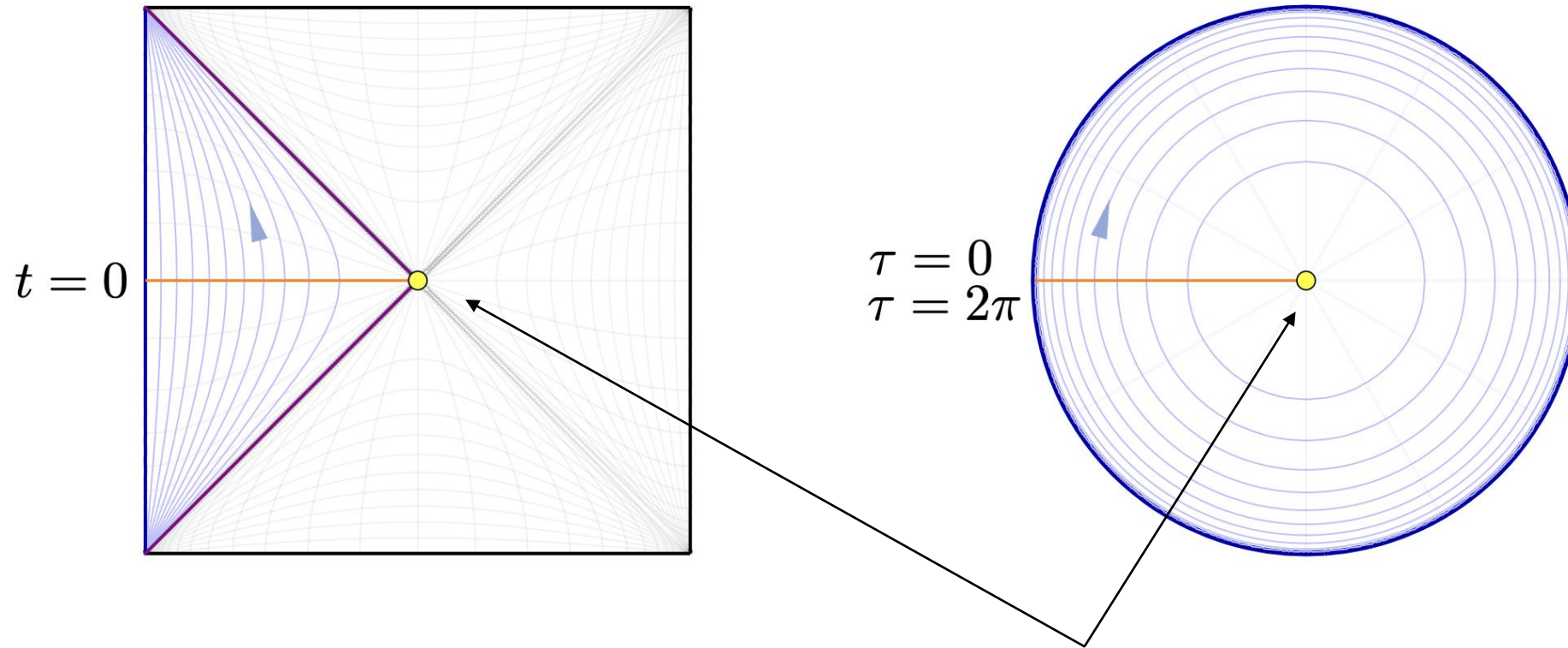
Semiclassically, the de Sitter horizon has an entropy

$$S = \underbrace{\frac{A}{4G_N}}_{\text{Gibbons-Hawking}} + \underbrace{\# \log \frac{A}{4G_N} + \text{finite}}_{\text{1-loop}} + \underbrace{\dots}_{\text{Higher-loops + non-pert.}}$$

Quantum corrections provide precision tests for candidate microscopic models

[Anninos, Denef, AL, Sun 20] [AL 20] [David, Mukherjee 21] [Anninos, Harris 21] [Anninos, Bautista, Mühlmann 21] [Anninos, Mühlmann 21]
[Grewal, Parmentier 21] [Mühlmann 22] [Bobev, Hertog, Hong, Karlsson, Reys 22] [Castro, Coman, Fliss, Zukowski 23] [Bourne, Castro, Fliss 24]
[Bandaru 24] [Shyam 21] [Coleman, Mazenc, Shyam, Silverstein, Soni, Torroba, Yang 21]

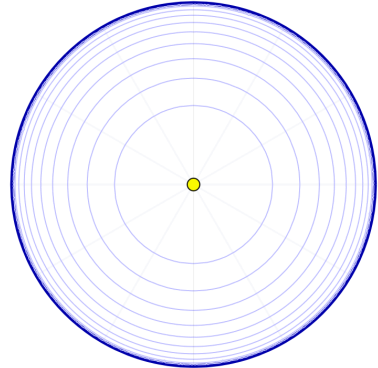
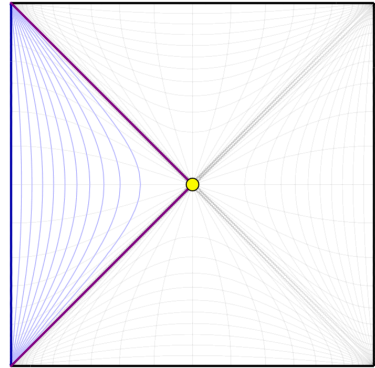
**We considered free fields on a dS_{d+1} static patch
in both Lorentzian and Euclidean signatures [Anninos, Denef, AL, Sun 20]**



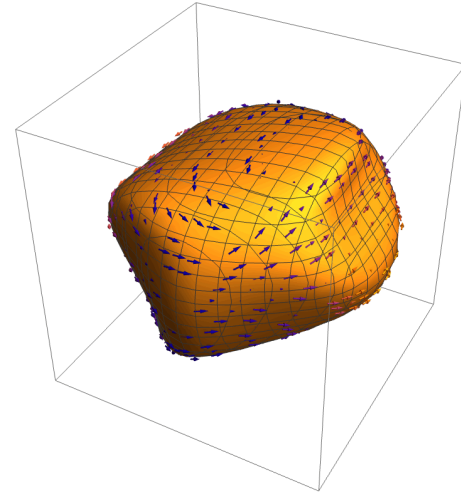
and we found a curious feature related to the horizon (“edge”)

**I'll review these calculations and report some progress
in understanding these ``edge'' degrees of freedom**

Plan of the talk



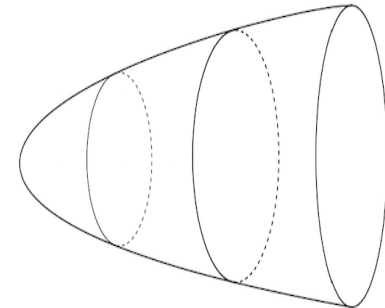
1-loop dS: Lorentzian vs Euclidean



Case study: linearized gravity

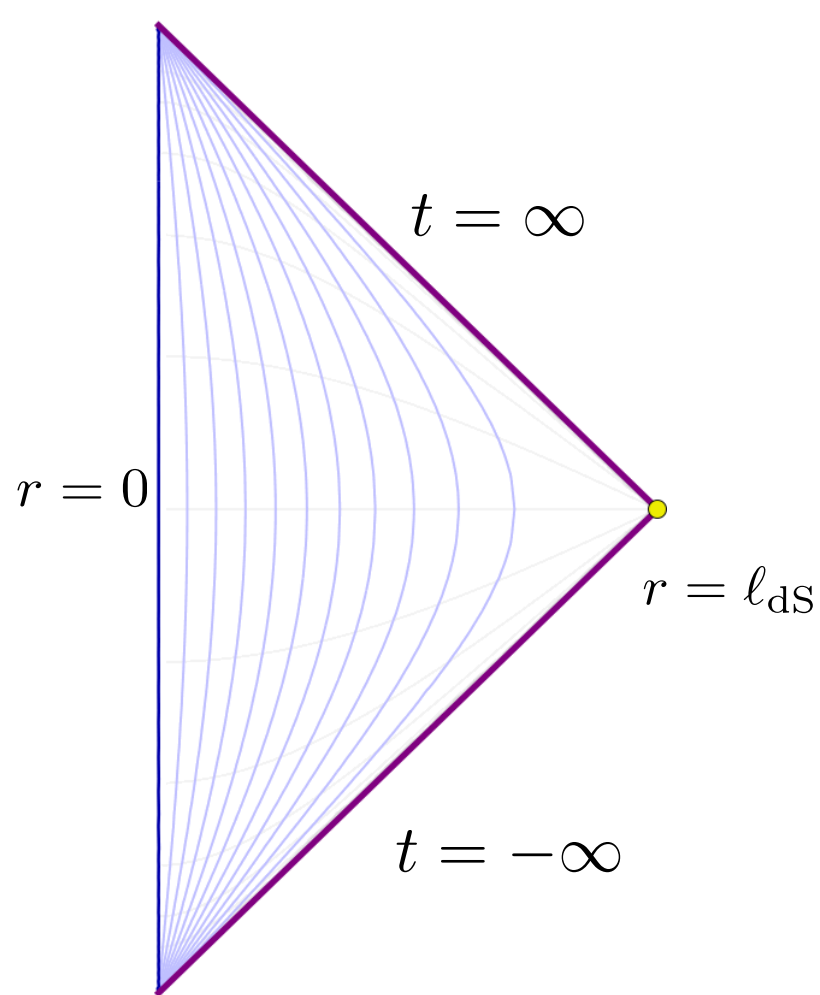
$$\phi_{\mu_1 \cdots \mu_s}$$

Remark 1: Higher-spin



Remark 2: Black holes

We first consider a free field on a dS_{d+1} static patch



round S^{d-1}
↓

$$ds^2 = -(\ell_{\text{dS}}^2 - r^2) dt^2 + \frac{dr^2}{1 - \frac{r^2}{\ell_{\text{dS}}^2}} + r^2 d\Omega^2$$

$$0 \leq r < \ell_{\text{dS}}$$

It is natural to study the ideal gas thermal canonical partition function

$$\log Z_{\text{bulk}}(\beta) \stackrel{?}{\equiv} \log \text{Tr} e^{-\beta \hat{H}} \stackrel{?}{=} - \int_0^\infty d\omega \rho(\omega) \log \left(e^{\frac{\beta\omega}{2}} - e^{-\frac{\beta\omega}{2}} \right)$$

Boson
↓

↑
Density of normal mode/single-particle energy spectrum

↑
Continuous

$$\implies \rho(\omega) = \infty ??$$

We make sense of the single-particle density of states using the Harish-Chandra character of the dS group $SO(1, d + 1)$

[Anninos, Denef, AL, Sun 20]

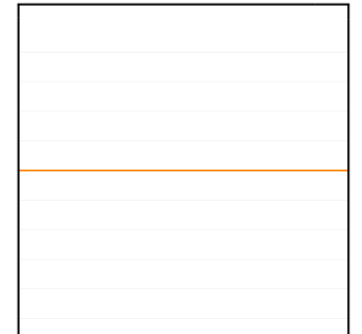
$$\chi_{[\Delta, \mathbf{s}]}(t) = \text{tr}_{[\Delta, \mathbf{s}]} e^{-iHt}$$

$\mathfrak{so}(1, 1)$ -weight
(\sim mass)

spin

dS boost

Can be computed as a trace over the **global** Hilbert space



See [Sun 21] for a review on the representation theory of $SO(1, d + 1)$

Characters for massive fields in dS_{d+1}

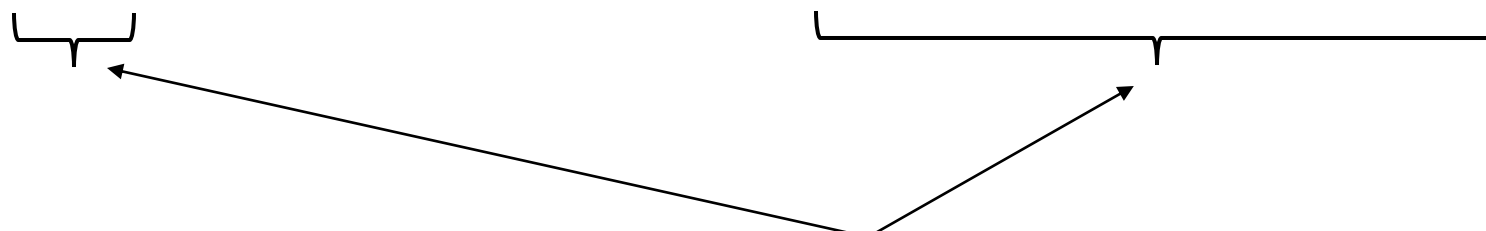
Scalar $\chi(t) = \frac{e^{-\Delta t} + e^{-\bar{\Delta} t}}{|1 - e^{-t}|^d}$ $\bar{\Delta} \equiv d - \Delta$

p-form

$$\binom{d}{p} \frac{e^{-\Delta t} + e^{-\bar{\Delta} t}}{|1 - e^{-t}|^d}$$

rank-s totally symmetric tensor

$$\frac{2s + d - 2}{d - 2} \binom{s + d - 3}{d - 3} \frac{e^{-\Delta t} + e^{-\bar{\Delta} t}}{|1 - e^{-t}|^d}$$



Number of physical polarizations

Character for massless spin-2

$$\chi(t) = \left[\frac{(d+2)(d-1)}{2} \frac{e^{-dt} + 1}{|1 - e^{-t}|^d} - d \frac{e^{-(d+1)t} + e^t}{|1 - e^{-t}|^d} \right]_+$$



Number of polarizations



Ghost

Notation: $\left[\sum_k c_k q^k \right]_+ \equiv \sum_{k < 0} (-c_k) q^{-k} + \sum_{k > 0} c_k q^k = \sum_k c_k q^k - c_0 - \sum_{k < 0} c_k (q^k + q^{-k})$

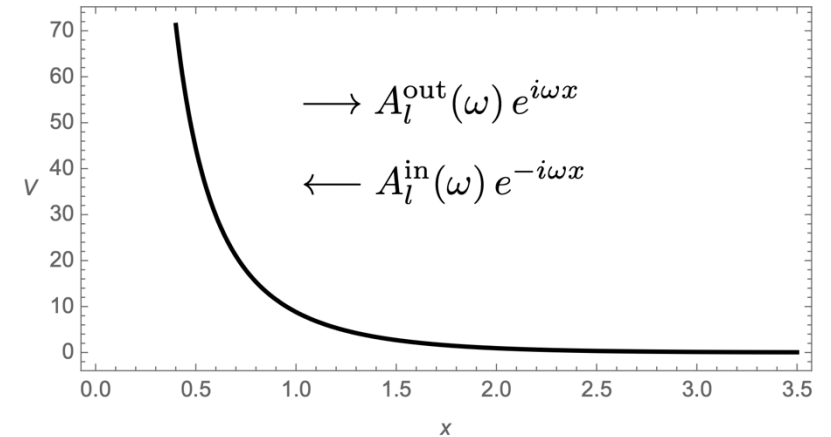
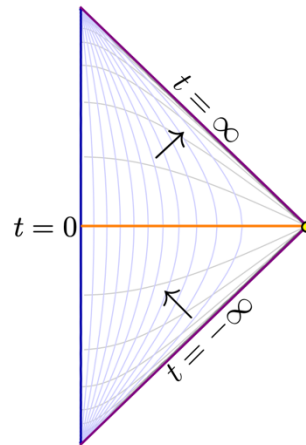
Key observation: The Fourier transform

$$\tilde{\rho}(\omega) = \int_{-\infty}^{\infty} \frac{dt}{2\pi} e^{-i\omega t} \chi(t)$$

can be interpreted as a single-particle density of states

Can be understood in terms of **scattering phases** as a **relative/renormalized** DOS

[AL, Parmentier 22]



We define a “quasicanonical” partition function uniformly for **any** particles or $SO(1, d + 1)$ -UIRs

$$\begin{aligned}\log Z_{\text{bulk}}(\beta) &\equiv - \int_0^\infty d\omega \tilde{\rho}(\omega) \log \left(e^{\frac{\beta\omega}{2}} - e^{-\frac{\beta\omega}{2}} \right) \\ &= \int_0^\infty \frac{dt}{2t} \frac{1 + e^{-\frac{2\pi}{\beta}t}}{1 - e^{-\frac{2\pi}{\beta}t}} \chi(t)\end{aligned}$$

at **any** inverse temperature β

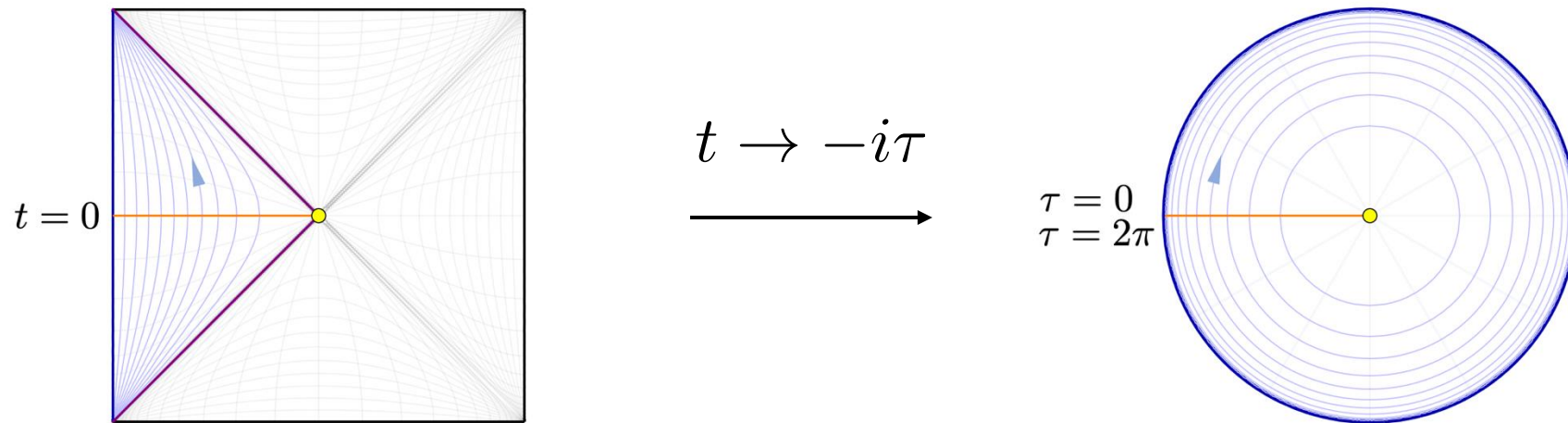
Thermodynamic quantities in gravitational systems were proposed to be computed by a Euclidean path integral

[Gibbons, Hawking 76]

Euclidean gravitational path integral with $\Lambda > 0$

$$\mathcal{Z} = \int \mathcal{D}g \mathcal{D}\Phi e^{-S[g, \Phi]} = e^{\frac{A}{4G}} \underbrace{(\det(L) + \dots)}_{\text{e.g. scalar: } \det(-\nabla_0^2 + m^2)^{-\frac{1}{2}}} + \dots$$

The leading saddle is a round sphere, which is the Euclideanized static patch



1-loop partition function for gravitons on S^{d+1}

Polchinski's phase

$+\# \log \frac{A}{4G_N} + \dots$ Excluding the zero modes

$$Z_{\text{PI}} = \frac{i^{d+3}}{\text{Vol}(SO(d+2))_c} \left(\frac{8\pi G_N d(d+2)}{\text{Vol}(S^{d-1})} \right)^{\frac{\dim SO(d+2)}{2}} \frac{\det'_{-1} | -\nabla_1^2 - d |^{\frac{1}{2}}}{\det'_{-1} | -\nabla_2^2 + 2 |^{\frac{1}{2}}}$$

Zero modes in the gauge group division

Longitudinal modes

Comparing with $Z_{\text{bulk}}(\beta)$, we found

Scalar $Z_{\text{PI}} = Z_{\text{bulk}}(\beta = 2\pi)$

Spin $s \geq 1$ $Z_{\text{PI}} = Z_{\text{bulk}}(\beta = 2\pi) Z_{\text{edge}}$



“Edge”: looks like d.o.f. on S^{d-1}

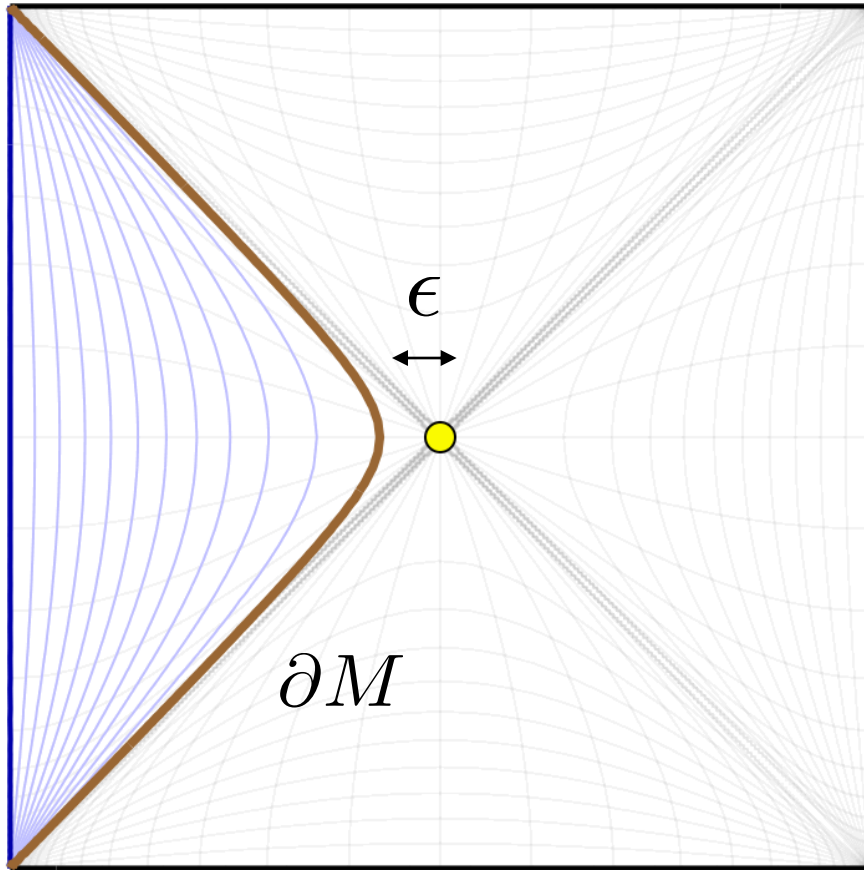
Z_{edge} for Maxwell, Yang-Mills, and p-form gauge theories

$$Z_{\text{edge}}^{\text{U}(1)}(S^{d+1}) = \frac{1}{Z_{\text{cpt}}(S^{d-1})} \quad [\text{Fukelman, Sempe, Silva 23}] [\text{Ball, AL, Wong 24}]$$

$$Z_{\text{edge}}^{\text{YM,1-loop}}(S^{d+1}) = \frac{1}{Z_{\text{SM}}^{\text{1-loop}}(S^{d-1})}$$

$$Z_{\text{edge}}^{\text{p-form}}(S^{d+1}) = \frac{1}{Z_{\text{PI}}^{(\text{p}-1)\text{-form}}(S^{d-1})} \quad [\text{Mukherjee 23}] [\text{Ball, AL 24}]$$

Edge modes in Maxwell [Ball, AL, Wong 24]



Dynamical edge mode boundary condition

$$A_t|_{\partial M} = 0 = n^\mu F_{\mu i}|_{\partial M}$$

$$A_i = \tilde{A}_i + \partial_i \alpha$$

$$E_i = \tilde{E}_i + \partial_i \beta$$

We showed that Z_{edge} is a thermal trace over the edge mode Hilbert space

This has been generalized to p-form gauge theories [Ball, AL 24]

Brief recap

- We made sense of ideal gas thermal partition functions in **Lorentzian** dS static patch

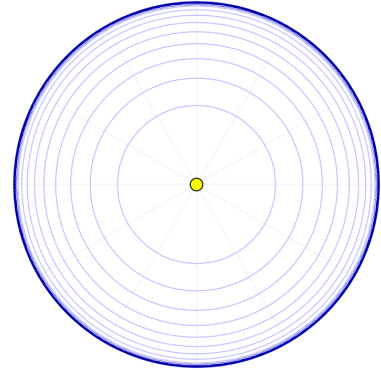
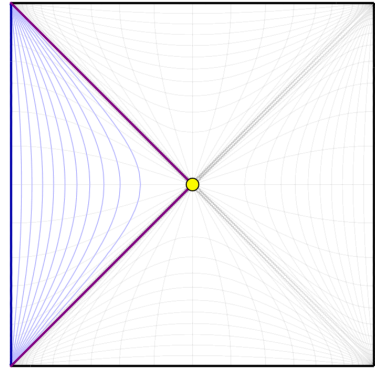
$$\log Z_{\text{bulk}}(\beta) \equiv \int_0^\infty \frac{dt}{2t} \frac{1 + e^{-\frac{2\pi}{\beta}t}}{1 - e^{-\frac{2\pi}{\beta}t}} \chi(t)$$

- Comparing with 1-loop **Euclidean** sphere partition functions, we found

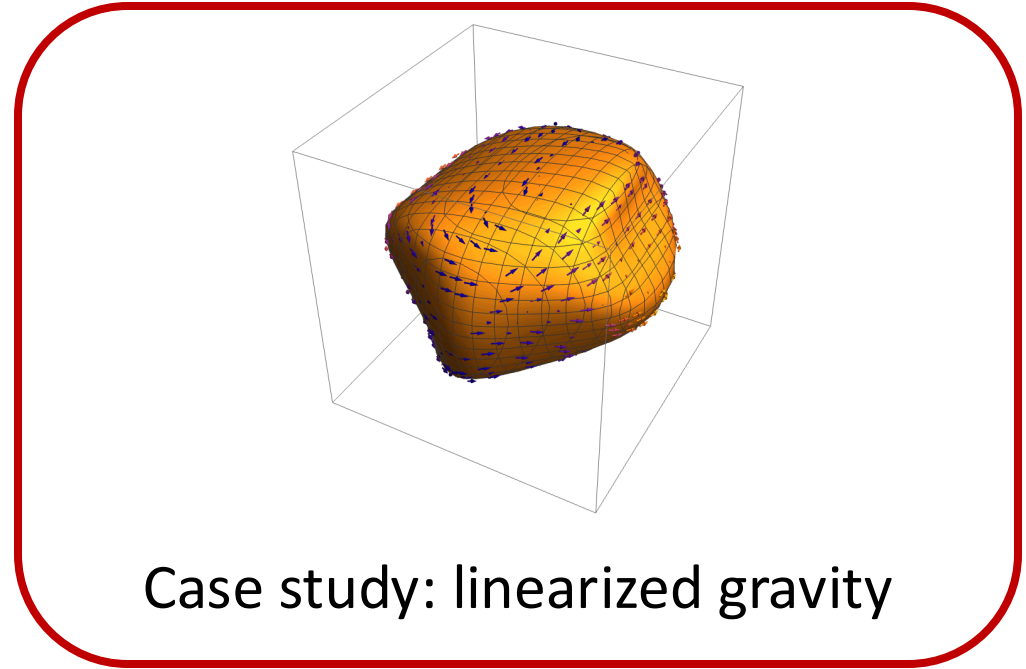
$$Z_{\text{PI}} = \begin{cases} Z_{\text{bulk}}(\beta = 2\pi) & , \quad s = 0 \\ Z_{\text{bulk}}(\beta = 2\pi) Z_{\text{edge}} & , \quad s \geq 1 \end{cases}$$

- For Maxwell and p-form gauge theories, Z_{edge} have been shown to be captured by **“edge modes”** residing on the stretched horizon

What about gravity?



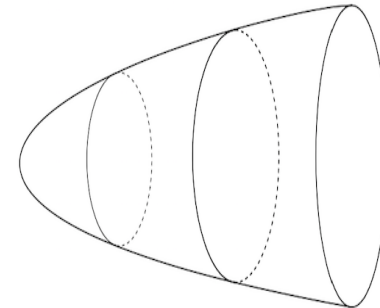
1-loop dS: Lorentzian vs Euclidean



Case study: linearized gravity

$$\phi_{\mu_1 \cdots \mu_s}$$

Remark 1: Higher-spin



Remark 2: Black holes

Z_{edge} for linearized gravity

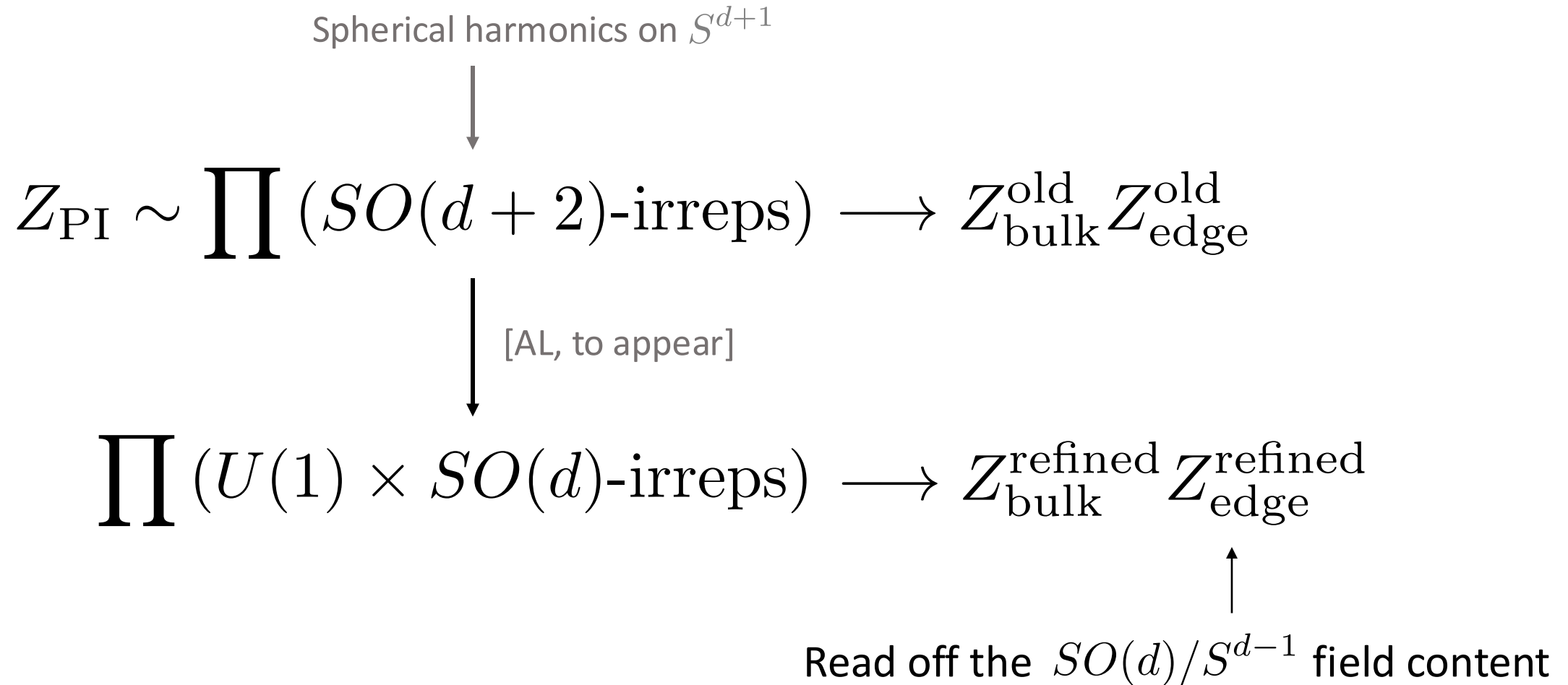
$$\log Z_{\text{edge}} = \log \frac{i^{d+3}}{\text{Vol}(SO(d+2))_c} \left(\frac{32\pi^3 G_N}{\text{Vol}(S^{d-1}) \ell_{\text{dS}}^{d-1}} \right)^{\frac{\dim SO(d+2)}{2}} - \int_0^\infty \frac{dt}{2t} \frac{1+e^{-t}}{1-e^{-t}} \left[(d+2) \frac{e^{-(d-1)t} + e^t}{(1-e^{-t})^{d-2}} - \frac{e^{-dt} + e^{2t}}{(1-e^{-t})^{d-2}} \right]_+$$

This may have an edge mode interpretation, but it is not clear at this point.

Q: what is the $SO(d)$ or S^{d-1} field content of Z_{edge} ?

Notation: $\left[\sum_k c_k q^k \right]_+ \equiv \sum_{k < 0} (-c_k) q^{-k} + \sum_{k > 0} c_k q^k = \sum_k c_k q^k - c_0 - \sum_{k < 0} c_k (q^k + q^{-k})$

To analyze the $SO(d)/S^{d-1}$ field content, we unpack the sphere by applying the $SO(d+2) \rightarrow U(1) \times SO(d)$ branching rule



For gravity, the main result is the more refined formula

$$Z_{\text{edge}} = Z_{\text{edge}}^{\text{det}} Z_{\text{edge}}^{\text{non-det}}$$

$$Z_{\text{edge}}^{\text{det}} = \underbrace{\det'_{-1} |-\nabla_1^2 - (d-2)|^{\frac{1}{2}}}_{\text{vector}} \underbrace{\det' |-\nabla_0^2 - (d-1)| \det' (-\nabla_0^2)^{\frac{1}{2}}}_{\text{scalars}}$$

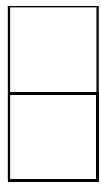
$$Z_{\text{edge}}^{\text{non-det}} = \frac{i^{d+3}}{\text{Vol}(SO(d+2))_c} \left(\frac{16\pi^2 G_N}{\text{Vol}(S^{d-1})} \right)^{\frac{\dim SO(d+2)}{2}} d^{\frac{\dim SO(d)+2d}{2}} (d-2)^{\frac{1}{2}}$$

Z_{edge} and shift symmetries

[Bonifacio, Hinterbichler, Joyce, Rosen 18] [Bonifacio, Hinterbichler, Johnson, Joyce 19]

Field	χ	ϕ^a	A_μ
multiplicity	1	2	1
Mass ²	0	$-(d-1)$	$-2(d-2)$
Shift symmetry	$\chi \rightarrow \chi + c$	$\phi^a \rightarrow \phi^a + Y_1$	$A_\mu \rightarrow A_\mu + Y_{1,\mu}$

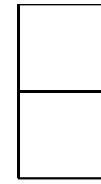
KV on S^{d+1}



$SO(d+2)$

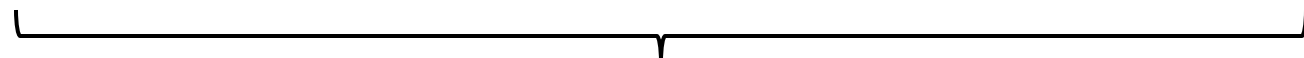


CKV on S^{d-1}



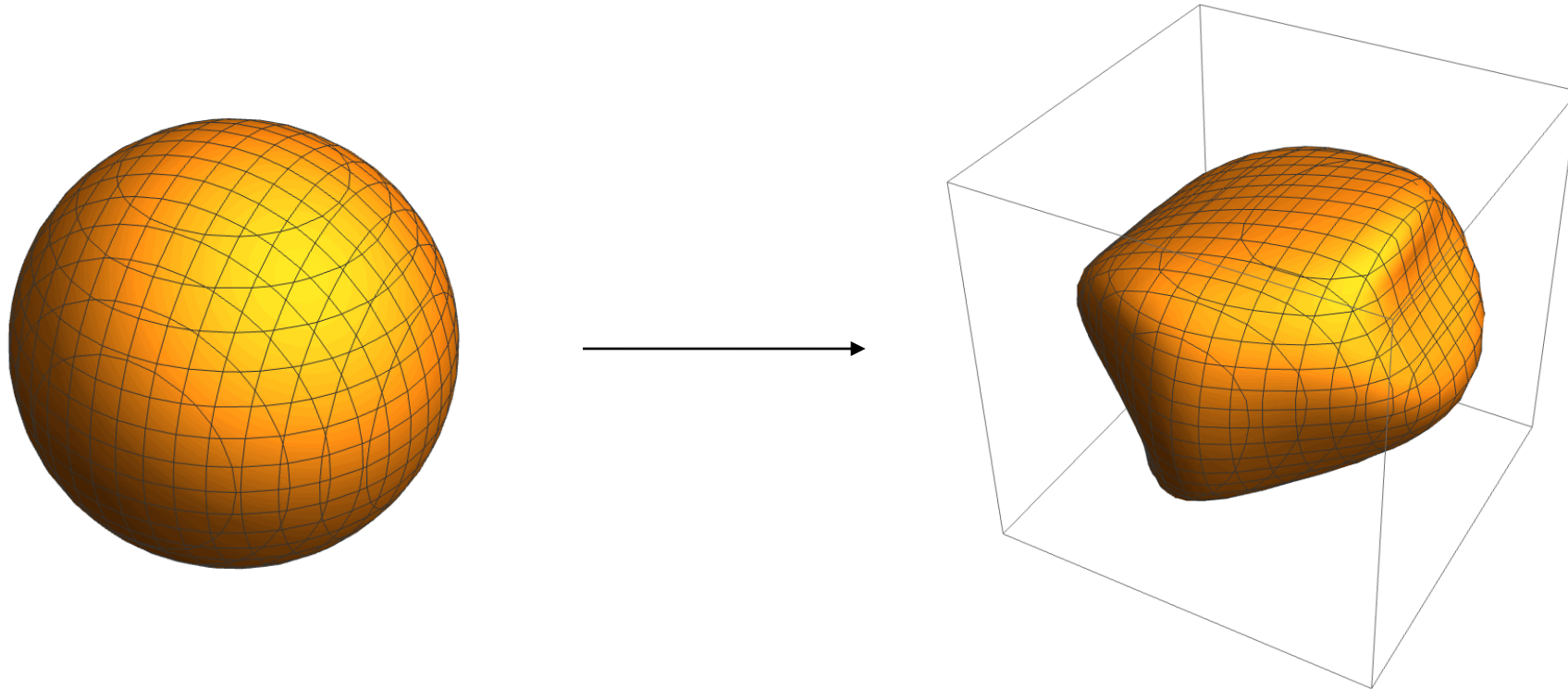
$SO(d)$

KV on S^{d-1}



What is a possible interpretation?

The two tachyonic scalars ϕ^a are known to describe small deformations of a spherical brane embedded in some ambient space



We are then led to consider a S^{d-1} brane embedded in a rigid round S^{d+1}

Recall a round S^{d+1} can be described as a hypersurface in \mathbb{R}^{d+2}

$$S^{d+1} : (X^1)^2 + (X^2)^2 + \dots + (X^{d+2})^2 = 1$$

An interior S^{d-1} brane can be described by the (non-unique) parametrization

$$X^i(x) = \sqrt{1 - \phi^a(x)\phi^a(x)} \Omega^i(x) , \quad X^a(x) = \phi^a(x)$$

↑
Rigid round $S^{d-1} : \Omega^2 = 1$

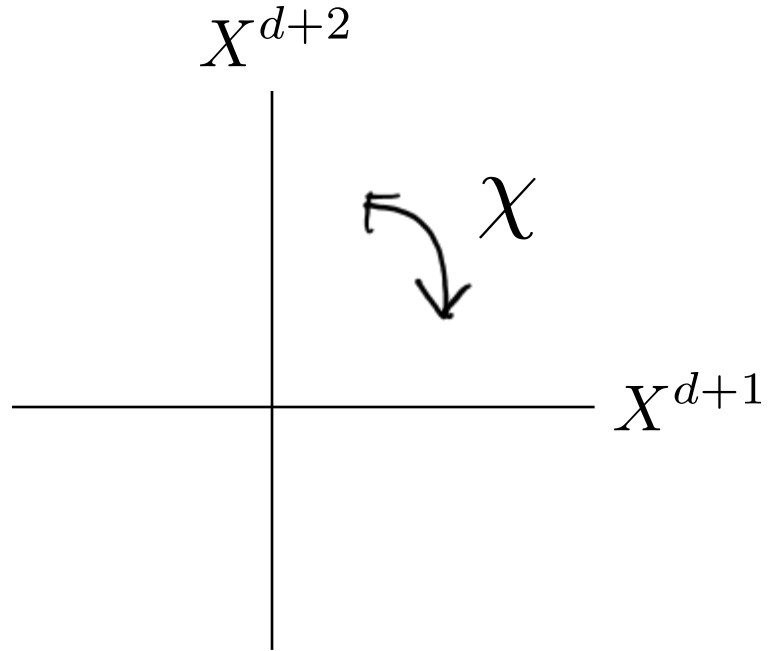
With the induced metric $G_{\mu\nu}[\phi^a] \equiv \delta_{AB}\partial_\mu X^A\partial_\nu X^B$,

we write down the simplest worldvolume action for the S^{d-1} brane

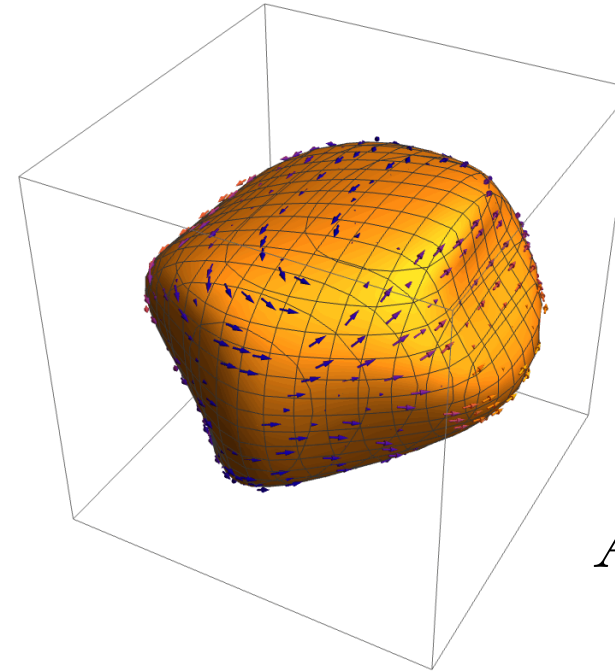
$$S^{\text{brane}}[\phi^a] = \frac{1}{8\pi G_N} \int_{S^{d-1}} d^{d-1}x \sqrt{G[\phi^a]}$$
$$\approx \frac{1}{8\pi G_N} \int_{S^{d-1}} \sqrt{\bar{g}} d^{d-1}x \left(1 + \frac{1}{2} \partial_\mu \phi^a \partial^\mu \phi^a - \frac{d-1}{2} \phi^a \phi^a + \dots \right)$$

The deformations always **decrease** the size of the brane

χ and A_μ have natural geometric interpretations too



Can write $S[A]$ in terms of $\mathcal{L}_A \bar{g}_{\mu\nu}$



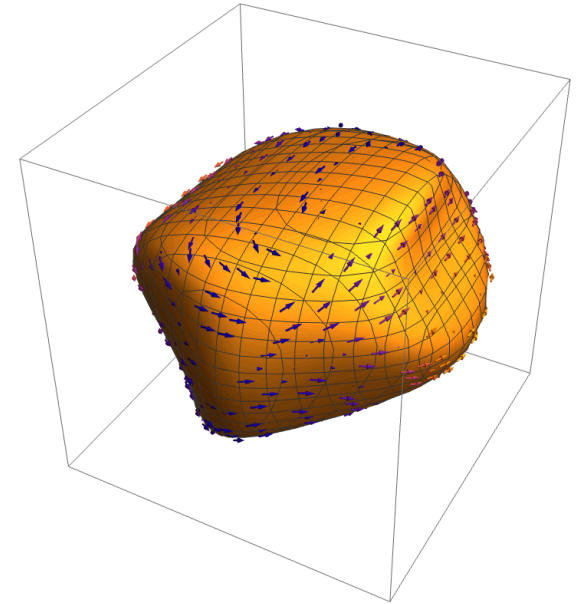
Round S^{d-1} metric

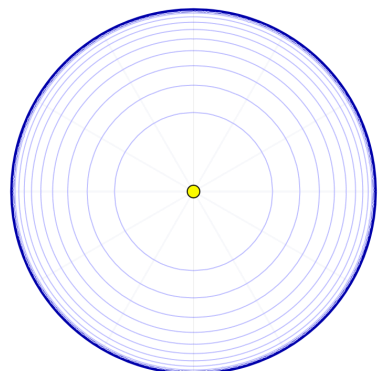
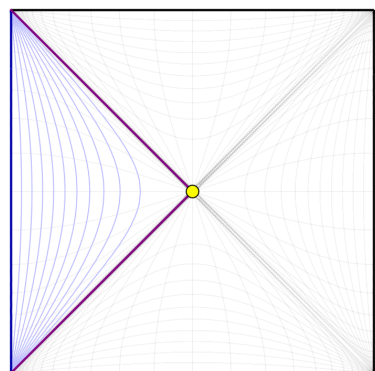
$A_\mu = \text{diffeos on } S^{d-1}$

An interesting problem: incorporate these into S^{brane} to fully nonlinearize $SO(d+2)$

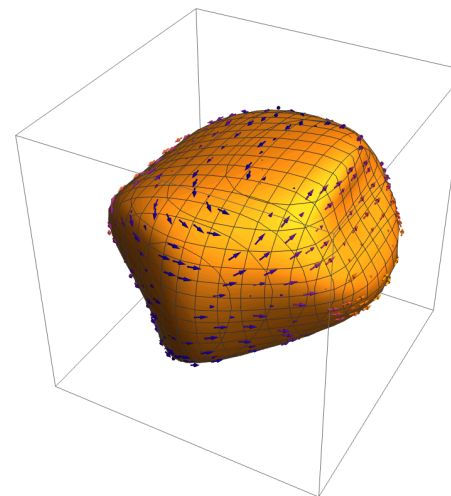
Brief recap

- Applying the $SO(d + 2) \rightarrow U(1) \times SO(d)$ branching rule, we identified the $SO(d)$ or S^{d-1} field content for Z_{edge} of linearized gravity on S^{d+1}
- The field content suggests that Z_{edge} describes a S^{d-1} brane embedded in an ambient round S^{d+1}





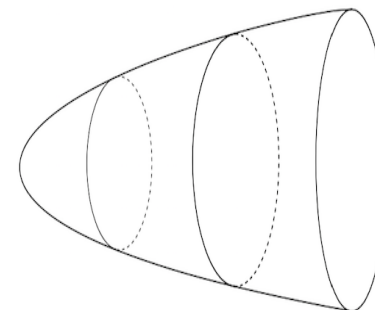
1-loop dS: Lorentzian vs Euclidean



Case study: linearized gravity

$$\phi_{\mu_1 \cdots \mu_s}$$

Remark 1: Higher-spin



Remark 2: Black holes

Massless higher-spin gauge fields

$$\phi_{\mu_1 \cdots \mu_s} \rightarrow \phi_{\mu_1 \cdots \mu_s} + \nabla_{(\mu_1} \xi_{\mu_2 \cdots \mu_s)}$$

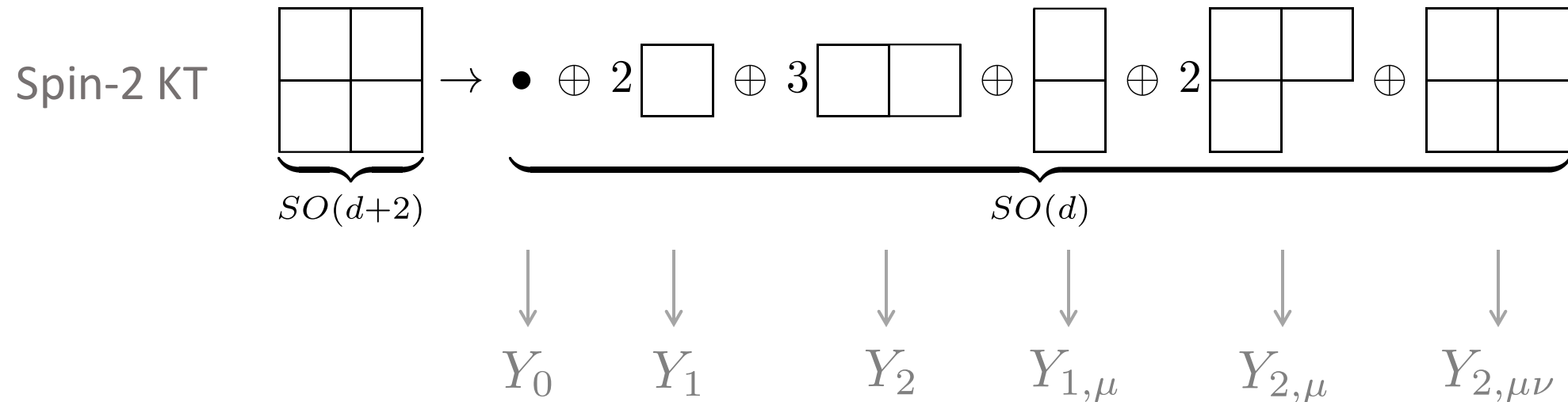
The **global** part corresponds to higher-spin symmetries generated by **spin-(s-1) Killing tensors**

$$\nabla_{(\mu_1} \bar{\xi}_{\mu_2 \cdots \mu_s)} = 0$$

We have studied their Z_{PI} and found their Z_{edge} too [Anninos, Deneff, AL, Sun 20] [AL 20]

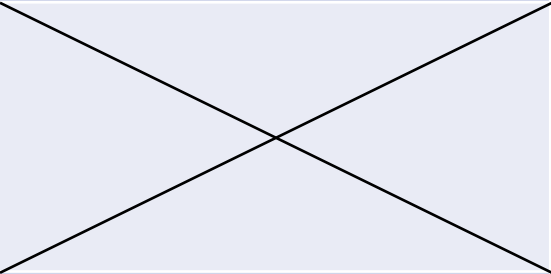
We can **guess** the field content of Z_{edge} by demanding the **nonlinear realization** of the **global HS symmetries**

e.g. $s=3$



Including all (generically tachyonic) fields invariant under these shift symmetries recover (the kinematic part of) Z_{edge} found in [Anninos, Denef, AL, Sun 20] !

We have a wealth of structures that invite further investigation!

	p-form	Spin-s totally symmetric tensor	Mixed-symmetry tensor ($d > 3$)
Massive	Massive (p-1)-form	Massive spin $\leq s-1$??
Partially massless		Massive + shift-symmetric spin $\leq s-1$??
Massless	Massless (p-1)-form	Shift-symmetric spin $\leq s-1$??

In the case of dS_4 , the edge theory lives on S^2

For higher-spin gauge fields, the edge theory consists of fields with integer Δ

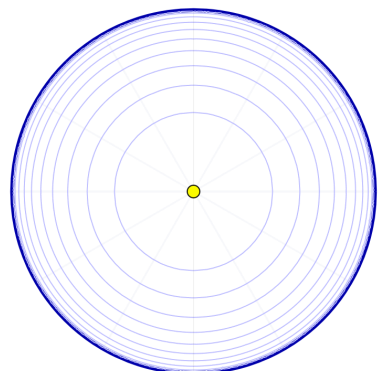
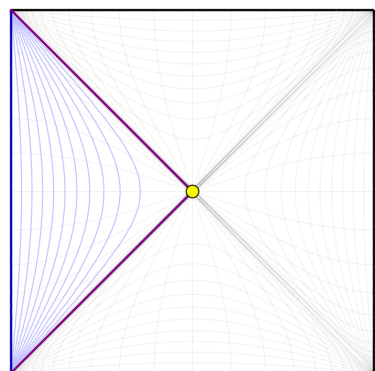
Discrete series representation of $SO(1, 2)$

[Anninos, Anous, Pethybridge, Şengör 23]

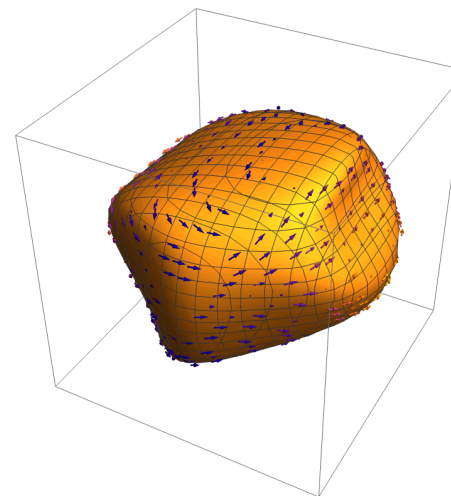
E.g. gravity: the edge theory consists of one $\Delta = 1$ and three $\Delta = 2$

Appear in the bosonic part of dS_2 supergravity

[Anninos, Benetti Genolini, Mühlmann 23]



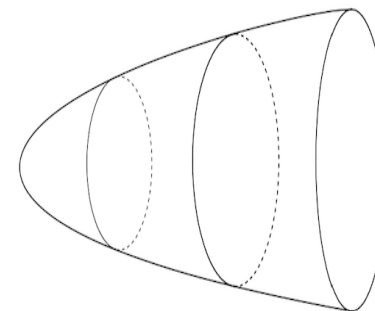
1-loop dS: Lorentzian vs Euclidean



Case study: linearized gravity

$$\phi_{\mu_1 \cdots \mu_s}$$

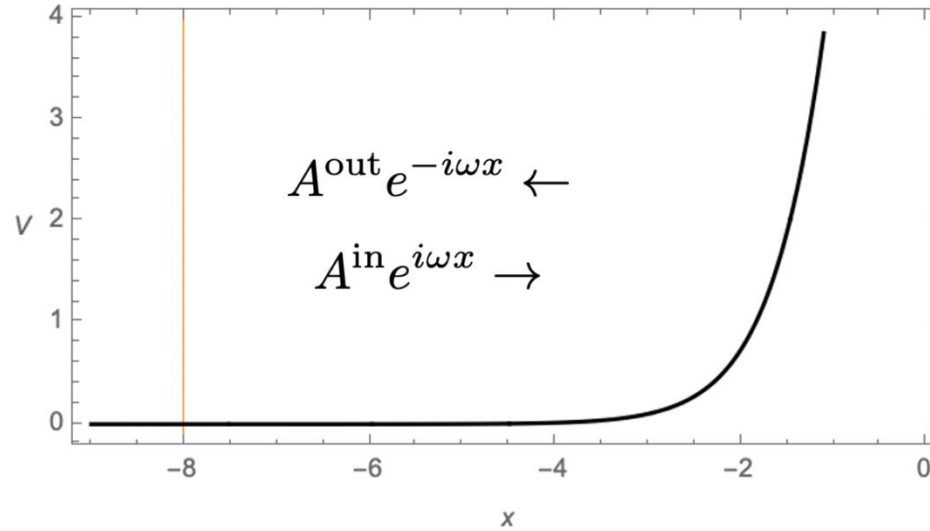
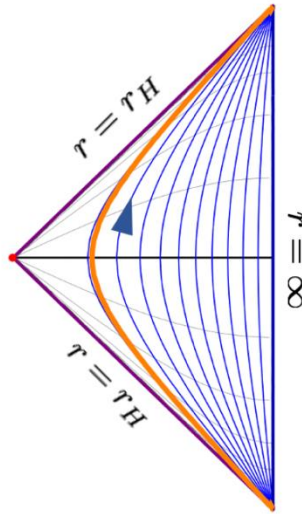
Remark 1: Higher-spin



Remark 2: Black holes

The issue of continuous spectrum for free fields is not new [’t Hooft 84]

E.g. static BTZ



$$S(\omega) \equiv \frac{A^{\text{out}}(\omega)}{A^{\text{in}}(\omega)}$$

QNMs = scattering poles

Regulated DOS

$$\rho^R(\omega) = \frac{R}{\pi} + \frac{1}{2\pi i} \log S(\omega) + O\left(\frac{1}{R}\right)$$

↑
Brick wall/Weyl term $\rightarrow \infty$ as $R \rightarrow \infty$

Can define a **relative/renormalized DOS** in terms of **scattering phases**

Krein-Friedel-Lloyd formula

$$\tilde{\rho}(\omega) = \frac{1}{2\pi i} \partial_\omega \log \frac{S(\omega)}{\bar{S}(\omega)} \quad \leftarrow \text{Reference scattering phase}$$

For an appropriate reference, we have a quasicanonical partition function

$$\log Z_{\text{bulk}}(\beta) \equiv \int_0^\infty \frac{dt}{2t} \frac{1 + e^{-\frac{2\pi}{\beta}t}}{1 - e^{-\frac{2\pi}{\beta}t}} \chi(t)$$

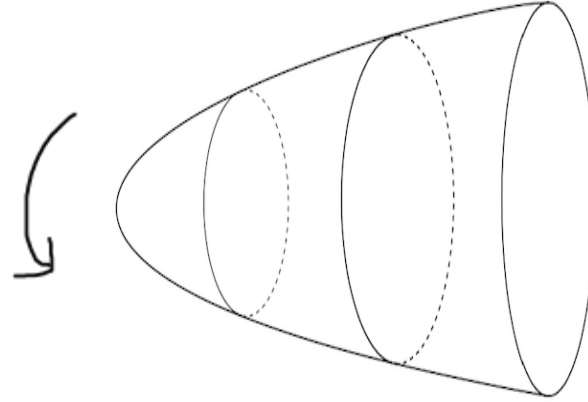
$$\chi(t) = \sum_z N_z e^{-izt}$$

QNM

degeneracy

We compared this with the 1-loop Euclidean black hole partition functions

$$\tau \simeq \tau + \beta_H$$



Generally,

$$\text{Scalar} \quad Z_{\text{PI}} = Z_{\text{bulk}}(\beta = \beta_H)$$

Equivalent to the QNM formula by [Denef, Hartnoll, Sachdev 09], argued based on analyticity argument around the origin

On the other hand,

$$\text{Spin } s \geq 1 \quad Z_{\text{PI}} = \overbrace{Z_{\text{bulk}}(\beta = \beta_H)}^{\text{Naïve DHS}} Z_{\text{edge}}$$

From the DHS point of view, it is related to QNMs that **do not have good smoothness properties** under analytic continuation

[Castro, Keeler, Szepietowski 17] [Keeler, Martin, Svesko 18, 19] [Grewal, AL, Parmentier 22]

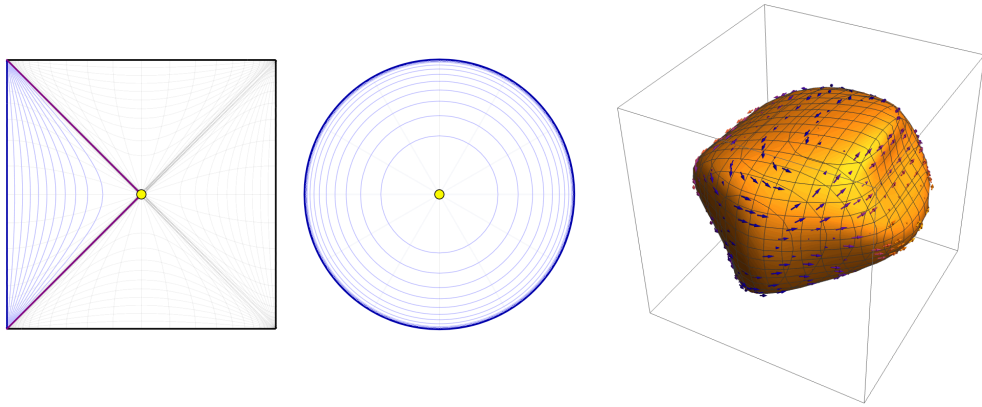
Additional comment: [Near-extremal BTZ](#)

Z_{edge} is crucial for the $T^{3/2}$ correction of the [graviton](#) partition function [Kapec, AL, Toldo 24]

and seems to be related to the “Schwarzian modes” [Kolanowski, Marolf, Rakic, Rangamani, Turiac 24]

Summary

The discrepancy of **Lorentzian** and **Euclidean** calculations of 1-loop dS thermodynamics reveals **co-dimension-2** degrees of freedom



	p-form	Spin-s totally symmetric
Massive	Massive (p-1)-form	Massive spin $\leq s-1$
Massless	Massless (p-1)-form	Shift-symmetric spin $\leq s-1$

Future directions:

1. Connection with literature on gravitational edge modes
2. Edge modes as Goldstones?
3. $Z_{\text{edge}}^{\text{BH}}$ vs $Z_{\text{edge}}^{\text{dS}}$?

