

# QUANTUM GROUPS AS GLOBAL SYMMETRIES IN THE CONTINUUM

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Based on 2411.xxxxx with

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# SYMMETRIES IN QFT

Great handle on QFTs given by symmetries

- ↳ predictions at strong coupling

- ↳ conserved quantities, selection sectors, ...

- ↳ predictions about IR phases of theories

Question: what is the most general internal symmetry a QFT can have?

Classic answer:

symmetries act on local operators,  
are described by groups

Group structure:  $g^{-1} \cdot g = 1$ ,  $g_1 \cdot g_2 = g_3$

On correlation fcts:  $\langle g(\theta_1, \dots, \theta_N) \rangle = \langle \theta_1, \dots, \theta_N \rangle$

On the Hamiltonian:  $g H g^{-1} = H$

Can be discrete (e.g.  $\mathbb{Z}_2, S_N, \dots$ )  
or continuous ( $O(N), su(N), \dots$ )

New answer I: symmetries can be non-invertible

$$g \leadsto \not{A} g$$

$$g_1 \circ g_2 = g_3 + g_4 + \dots$$

See e.g. [Petkova, Zuber '00]  
[Chang et al '18]

Better described by fusion categories.

Example: kramers-Wanniers duality  
in 2d Ising CFT  $\mathcal{N}$

$$\mathcal{N} \cdot \mathcal{N} = 1 + \eta \rightarrow \mathbb{Z}_2 \text{ elements}$$

$$\mathcal{N} \cdot \sigma = 0 \rightarrow \text{spin field}$$

A lot known in  $d=2$ , also important in  $d>2$  (e.g. ABJ anomaly)

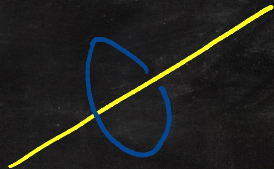


New answer II. Symmetries can act on non-local operators

[Gaiotto, Kapustin, Seiberg, Willett '14]

$p$ -form symmetry act on dimension  $p$  operators

- 0-form : acts on points
- 1-form : lines
- $\vdots$



E.g. • Polyakov center symmetry in  $SU(N)$  pure Yang-Mills is  $\mathbb{Z}_N^{(1)}$  symmetry

- Schwinger model ( $QED_{1+1}$ )  
w/ charge  $q \rightarrow \mathbb{Z}_q^{(1)}$

## THE QUESTION:

Is this all there is?

APPETIZER:

QUANTUM GROUPS ON  
THE LATTICE

[Pasquier, Saleur '90]

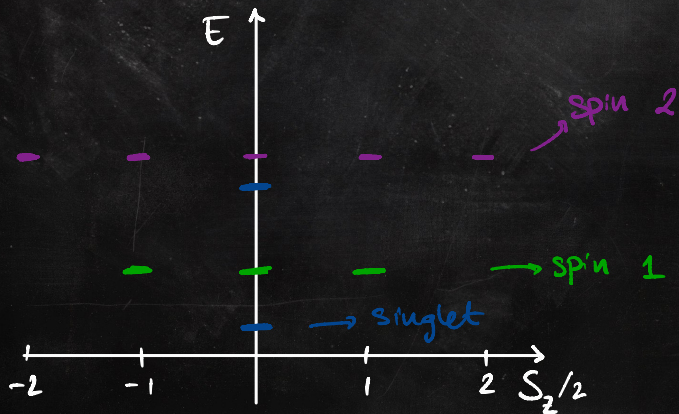
# HEISENBERG / XXX MODEL



Spin chain with spin  $\frac{1}{2}$  (with OBC)

$$H_{\text{XXX}} = \sum_{i=1}^{N-1} (\sigma_i^x \sigma_{i+1}^x + \sigma_i^y \sigma_{i+1}^y + \sigma_i^z \sigma_{i+1}^z)$$

Spectrum at finite  $N$



$SU(2)$  symmetry

Generators:

$$S^z = \sum_{i=1}^N \sigma_i^z$$

$$S^\pm = \sum_{i=1}^N \sigma_i^\pm$$

commute with  $H$ !

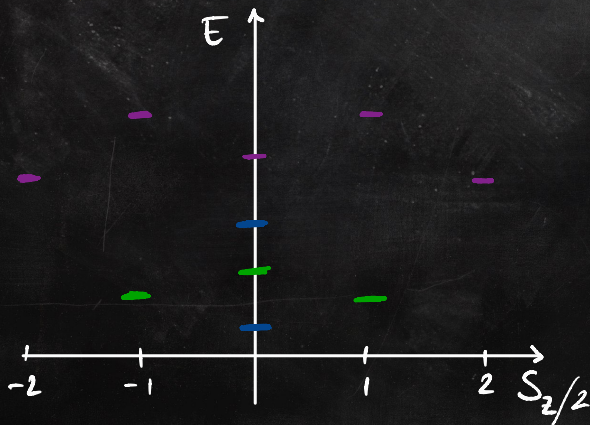


## XXZ MODEL

$$H_{XXZ} = \sum_{i=1}^{N-1} (\sigma_i^x \sigma_{i+1}^x + \sigma_i^y \sigma_{i+1}^y + \frac{q+q^{-1}}{2} \sigma_i^z \sigma_{i+1}^z)$$

$$q = e^{i\theta}, \theta \in \mathbb{R}$$

Spectrum ( $q \neq \pm 1$ )



Only  $U(1)$  symmetry  
left for  $q \neq \pm 1$

$$[H, S^z] = 0$$

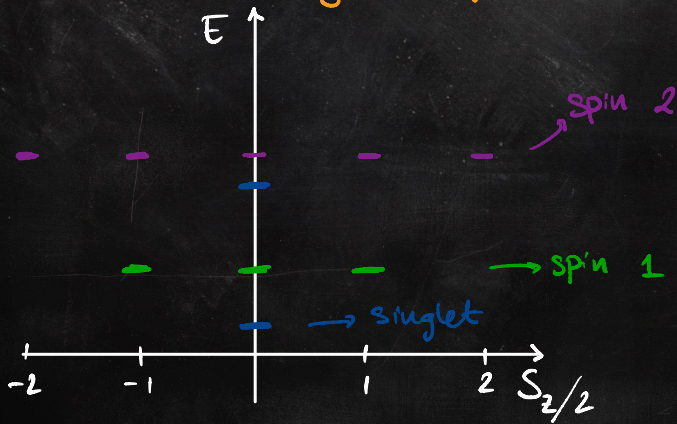
$$[H, S^\pm] \neq 0$$

Now add some imaginary surface terms

[Pasquier, Senechal '90]

$$H_{PS} = H_{XXZ} + \underbrace{\frac{q - q^{-1}}{2}}_{\hookrightarrow iR} (\sigma_1^z - \sigma_N^z)$$

Spectrum (generic  $q$ )



But: no  $SU(2)$

$$[H, S^\pm] \neq 0$$

only  $U(1)$

$$[H, S^z] = 0$$

Degeneracies look like  $su(2)$ , but there is no  $su(2)$ !

Degeneracies explained by

$$E = \sum_i q^{\sigma_z/2} \otimes \dots \otimes q^{\sigma_z/2} \otimes \sigma^+ \otimes q^{-\sigma_z/2} \otimes \dots \otimes q^{-\sigma_z/2}$$

$\hookrightarrow$  position  $i$

$F = \text{same with } \sigma^+ \rightarrow \sigma^-$

$$[H_{ps}, F] = [H_{ps}, E] = 0$$

$E$  and  $F$  do not satisfy  $su(2)$  algebra but together with the  $u(1)$  generator, they form the quantum group  $\mathcal{U}_q(sl_2)$

## Quantum group symmetries on the lattice

- \* 1+1 D spin chains
- \* 2D stat mech models (e.g. loop models)

In the continuum they appear more indirectly

- \* crossing kernel of Virasoro blocks in minimal models  $\rightarrow$  6j symbols of  $U_q(sl_2)$
- \* fusion rule for  $su(2)_k$  WZW models  $\rightarrow$  fusion rules of  $U_q(sl_2)$
- \* integrability: Yang-Baxter equation



## GLOBAL SYMMETRIES IN THE CONTINUUM?

the previous continuum examples are **not** global symmetries!

But the QG symmetric spin chain is critical, it flows to a CFT!

Are QGs a new type of symmetry in QFT?

Q: can I build a CFT with  $U_q(sl_2)$  as a global symmetry?

Q: what does it look like? How do I find it?

Q: related to generalized symmetries?

# TODAY'S PLAN

- ~~\* Motivation~~
- \*  $U_q(\mathfrak{sl}_2)$  basics
- \* CFTs with Quantum Group symmetry
- \* An example:  $xxz$  with non-local b.c.
- \* Connection with unitary theories
- \* Future directions

# $U_q(\mathfrak{sl}_2)$ BASICS

## Coproduct

Need to be able to act on multiple spins or operators. The coproduct  $\Delta$  allows that

$$sl_2: \Delta(X) = X \otimes 1 + 1 \otimes X \quad X = E, F, H$$

Needs to be compatible with the comm. rels.

$$\Delta([E, F]) = [\Delta(E), \Delta(F)]$$

$U_q(sl_2)$ : deformed comm. rels  $\rightarrow$  deformed coproducts  
Not a unique choice

$$\Delta(E) = E \otimes 1 + q^{-H} \otimes E$$

$$\Delta(F) = F \otimes q^H + 1 \otimes F$$

$$\Delta(H) = H \otimes 1 + 1 \otimes H \rightarrow \text{generates a "normal" } U(1).$$



## NOT A GROUP!

A quantum group is an algebra, not a group.

I cannot make group elements out of it!

Normally:  $X$  algebra generator  $\rightarrow \Delta(X) = X \otimes 1 + 1 \otimes X$

$g = e^{i\alpha X}$  group element  $\rightarrow \Delta(g) = g \otimes g$

$U_q(\mathfrak{sl}_2)$ : cannot build  $g$  w/ a nice coproduct

## REPRESENTATION THEORY

Two different cases

\*  $q$  not root of unity: looks like  $su(2)$   
spin -  $l$  irreps,  $2l+1$  dimensional

$$|l, m\rangle, \quad m = -l, -l+1, \dots, l$$

$$H|l, m\rangle = 2m|l, m\rangle$$

$$E|l, m\rangle = \# |l, m+1\rangle$$

$$E|l, l\rangle = 0$$

$$F|l, m\rangle = \# |l, m-1\rangle$$

$$F|l, -l\rangle = 0$$

\*  $q$  root of unity,  $q^{p+1} = \pm 1$ ,  $p \in \mathbb{Z}_{>0}$

$E^p = F^p = 0$ . More complicated

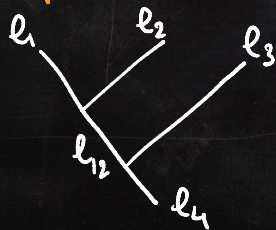
# QUANTUM CLEBSCH - GORDON COEFFICIENTS

Some objects play the same role as in  $su(2)$

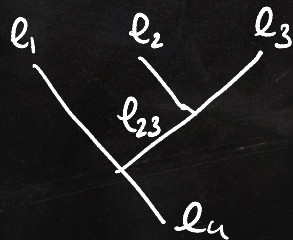
\* quantum Clebsch - Gordon coefficients

$$|l_1, m_1\rangle |l_2, m_2\rangle = \sum_{m} \begin{bmatrix} l_1 & l_2 & l \\ m_1 & m_2 & m \end{bmatrix}_q |l, m\rangle$$

\* quantum 6j symbols



$$= \sum_{l_{12}} \begin{Bmatrix} l_1 & l_2 & l_{12} \\ l_3 & l_4 & l_{23} \end{Bmatrix}_q$$



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CFTs with  
QUANTUM GROUP  
SYMMETRIES

# OPERATORS IN A CFT

Work in 2D, generic  $q = e^{i\theta}$ ,  $\theta \in \mathbb{R}$

Internal symmetry: •  $\Theta$  transform under  $U_q(\mathfrak{sl}_2)$

$$\bullet [U_q(\mathfrak{sl}_2), \text{Virasoro}] = 0$$

$$\Theta_{\ell}^m(x) \begin{array}{l} \xrightarrow{\text{charge}} u(1) \text{ charge, } |m| \leq \ell \\ \xrightarrow{\text{spin}} \ell \text{ rep.} \end{array}$$

weights  $(h, \bar{h})$

$$E \Theta_{\ell}^m = \# \Theta_{\ell}^{m+1}$$

$$F \Theta_{\ell}^m = \# \Theta_{\ell}^{m-1}$$

# OPERATORS ARE NOT MUTUALLY LOCAL!

local operators:  $\langle \Theta_1(x) \Theta_2(y) \rangle = \pm \langle \Theta_2(y) \Theta_1(x) \rangle$   
↳ boson vs. fermions

Symmetry  $\rightarrow$  Ward identity

$$\Theta_{\pm} \equiv \Theta^{\pm \frac{1}{2}}_{\frac{1}{2}}$$

$$F \otimes q^H + 1 \otimes F$$

$$\langle \Delta(F) \Theta_+(x) \Theta_+(y) \rangle = 0$$

$$\leadsto q \underbrace{\langle \Theta_-(x) \Theta_+(y) \rangle + \langle \Theta_+(x) \Theta_-(y) \rangle}_{(-1)^{2S} \langle \Theta_-(y) \Theta_+(x) \rangle \text{ from rotation}} = 0$$

$$\Rightarrow \langle \Theta_+(x) \Theta_-(y) \rangle = -q (-1)^{2S} \overset{\text{spacetime spin}}{\langle \Theta_-(y) \Theta_+(x) \rangle}$$

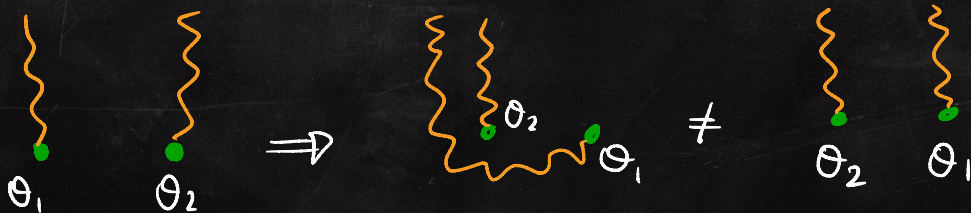
$$\langle \theta_+(x) \theta_-(y) \rangle = -q(-1)^{2s} \langle \theta_-(y) \theta_+(x) \rangle$$

↳ either  $s \neq \mathbb{Z}/2$

↳ or  $[\theta_+, \theta_-] \neq 0$

In both cases, operator not mutually local.

Simplest modification: operators are attached to lines!





Lines are topological:

can be moved freely as long as they  
do not cross other operators.

$U_q(\mathfrak{sl}_2)$  object:  $R$ -matrix, swaps two operators

$$R \cdot \begin{array}{c} \text{wavy line} \\ \bullet \\ \theta_2 \end{array} \begin{array}{c} \text{wavy line} \\ \bullet \\ \theta_1 \end{array} = \begin{array}{c} \text{wavy line} \\ \text{wavy line} \\ \bullet \quad \bullet \\ \theta_2 \quad \theta_1 \end{array}$$

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AN EXAMPLE:

NON-LOCAL B.C. in  $XXZ$

Simpler to study spin chains with  
translational invariance

but: I don't know any  $U_q(sl_2)$  system  
w/ periodic boundary conditions

Closest thing:  $XXZ_q$ . Deformation of  $XXZ$   
spin chain w/ non-local interactions.

[Grosse, Pelluc, Prester, Roschuster '94]

...

Not unitary, not a problem in Euclidean space.



Critical and integrable: CFT spectrum known.

Parametrize  $q = \exp\left(i\pi \frac{\mu}{\mu+1}\right) \quad \mu \in \mathbb{R}_+$

central charge  $c = 1 - \frac{6}{\mu(\mu+1)}$

Operators  $W_{r,s}^m \rightarrow \begin{matrix} \text{u(1) label} \\ \text{kac labels} \end{matrix}$

↳ Virasoro weights:  $(h_{r,s}, h_{r_1}) \rightarrow \begin{matrix} \text{spin not} \\ \text{integer} \end{matrix}$

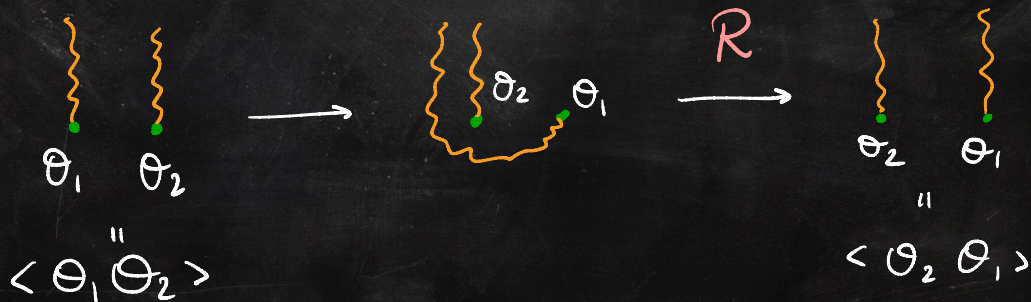
↳  $U_q(\mathfrak{sl}_2)$  quantum numbers  $\ell = \frac{s-1}{2}, m$ .

Not known: OPE coefficients /  $n \geq 3$  point functions

# EVERYTHING MAKES SENSE!

- check I:

existence of lines and  $U_q(sl_2)$  properties  
imply relation between spacetime spin  $s = h - \bar{h}$   
and  $U_q(sl_2)$  spin  $l$



✓ Satisfied by all operators

- Check II:  
is the theory crossing symmetric?

Need to find the OPE coefficients of the theory

$$\Theta_1 \cdot \Theta_2 = \sum_k \lambda_{12k} \Theta_k$$

Crossing symmetry:

$$\begin{aligned}
 \langle \underbrace{\Theta_1(0) \Theta_2(z)}_{\text{orange}} \underbrace{\Theta_3(1) \Theta_4(\infty)}_{\text{green}} \rangle &= \sum_j \lambda_{12j} \lambda_{34j} \mathcal{F}_j^{(s)}(z) \mathcal{F}_j^{(s)}(\bar{z}) \\
 &= \sum_k \lambda_{23k} \lambda_{14k} \mathcal{F}_k^{(t)}(z) \mathcal{F}_k^{(t)}(\bar{z})
 \end{aligned}$$

Two methods of fixing OPE coeffs

A. Degeneracy of operators

[Belavin, Polyakov, Zamolodchikov '84]

$$W_{r,s} \mapsto (h_{r,s}, h_{r,s}) \quad \text{with } r, s \in \mathbb{N}$$

$$\mapsto \langle D_{r,s} W_{r,s} \dots \rangle = 0$$

$\hookrightarrow$  differential operator of degree  $r, s$

Solve BPZ diff. eq for 4 point function;

get Virasoro blocks;

impose crossing symmetry  $\rightarrow$  fix OPE coeffs



## B. Coulomb Gas approach

Get correlation functions from larger auxiliary theory

$$\langle \Theta_1 \dots \Theta_n \rangle_{c=1} = \int \prod_{i=1}^n dw_i \langle \Theta_1 \dots \Theta_n V_1(w_1) \dots V_n(w_n) \rangle_{c=1}$$

Originally from [Dotsenko, Fateev '84]

modified to include quantum groups.

In both approaches, find that theory is well defined (i.e. crossing symmetric). ✓

CONNECTION WITH  
UNITARY THEORIES

$U_q(\mathfrak{sl}_2)$  appears in many 2d CFTs,  
but not as a global symmetry.

E.g. Minimal models

$$\begin{aligned} \langle \underbrace{\theta_1(0) \theta_2(z)}_{\text{orange}} \underbrace{\theta_3(1) \theta_4(\infty)}_{\text{orange}} \rangle &= \sum_j \lambda_{12j} \lambda_{34j} F_j^{(s)}(z) F_j^{(s)}(\bar{z}) \\ &= \sum_k \lambda_{23k} \lambda_{14k} F_k^{(t)}(z) F_k^{(t)}(\bar{z}) \end{aligned}$$

$$F_k^{(t)}(z) = \sum_j F_{kj} F_j^{(s)}(z)$$

↳ crossing / fusion kernel

known that [Furlan, Ganchev, Petkova '90]

$$\overline{F}_{jk} = \left( \begin{array}{c} \text{ratio of ops} \\ \text{coefficients} \end{array} \right) \cdot U_q(\mathfrak{sl}_2) \text{ 6J symbols}$$

Why do  $U_q(\mathfrak{sl}_2)$  6J symbols appear?

$XXZ_q$  gives an explanation!



## Crossing kernel explained

→ Crossing symmetry of  $XXZ_q$  can be written exactly as the crossing kernel for (some) operators

$$F_k^{(+)} = \sum_j ( \dots ) \underbrace{ \{ \dots \}_q }_{\text{6j symbol}} F_j^{(-)}$$

→ Virasoro blocks only care about scaling dimensions, same for  $XXZ_q$  and minimal models

→  $U_q(sl_2)$  appears in minimal models because the  $XXZ_q$  CFT exists

→ Coulomb gas explains the same for all other operators.

## $U_q(sl_2)$ as hidden symmetry in minimal models

$$q = \exp(i\pi \frac{\mu}{\mu+1}) \Rightarrow c = 1 - \frac{6}{\mu(\mu+1)}$$

for  $\mu \in \mathbb{N}$ , recover  $c$  of minimal models  $M_{\mu, \mu+1}$

Here  $XXZ_q$  develops a **subsector** which is  
a (twist of) **minimal model**

E.g.  $\mu=3 \leadsto \mathbb{Z}_{XXZ_q} \supset \mathbb{Z}_{\text{fermionic Ising}}$

known for the lattice [Grosse et al '94]

checked that the same happens for the CFT

FUTURE DIRECTIONS

## To sum up

CFTs with  $U_q(sl_2)$  symmetry exist

- \*  $U_q(sl_2)$  mixes local and non-local (defect ending) operators

- \* One such example arises from the xxz spin chain with non-local boundary conditions

- \* this theory is non-unitary

- \* contains unitary and local subsectors in some cases  
explains appearance of  $U_q(sl_2)$  in unitary theory



## OPEN QUESTIONS

- Any relations to non-invertible symmetries?  
Claims in the literature [Saleur, Read '07]

↳ can I build a group-like element  
 $\Delta(g) = g \otimes g$  in the cfr?

- Unitary theory?
- Generalizations to  $U_q(\mathfrak{sl}_{N>2})$ ?
- Higher dimensions: line operators in  $d=3$   
in non-topological theories