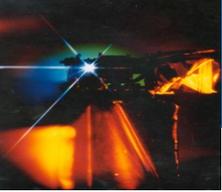


Soft X-Ray Reflectivity: from quasi-perfect mirrors to accelerator walls

Franz Schäfers
Institute for Nanometre
Optics and Technology
(INT)

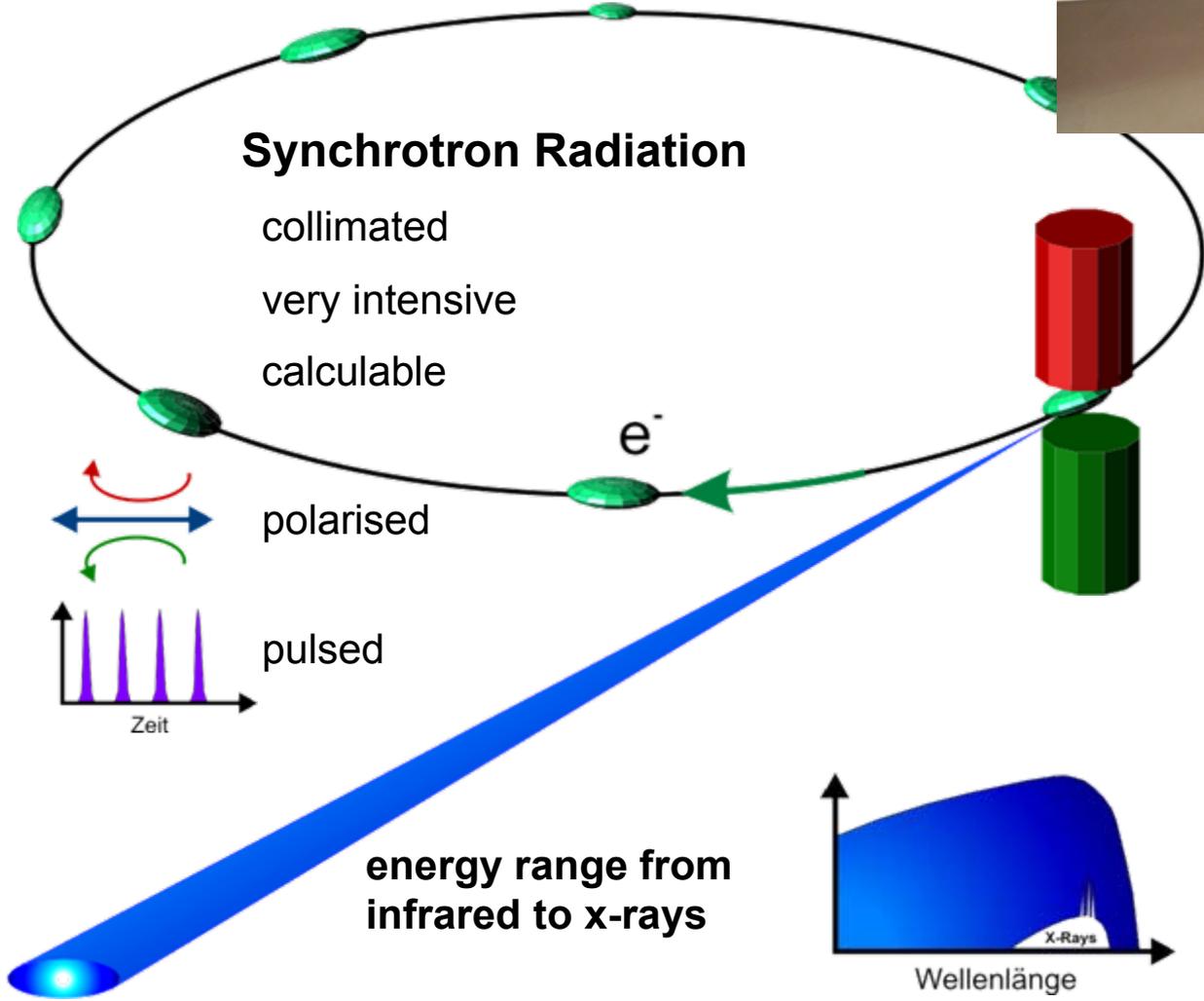
HZB Helmholtz
Zentrum Berlin





Synchrotron Radiation in all colours

Charged particles,
moving close to the speed of light
when deflected by **Magnets** irradiate a "special light":



BESSY-II

15 Dip-beamlines

~50 mrad

15 kW total power

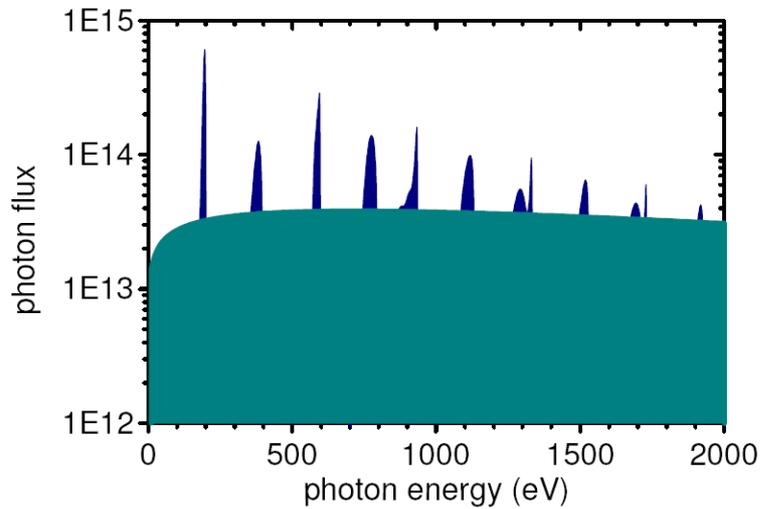
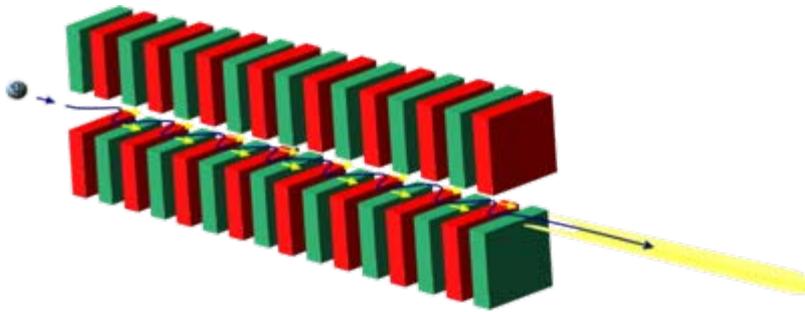
~ 1 % used



3rd Generation Light - our Product

Undulators and Wigglers

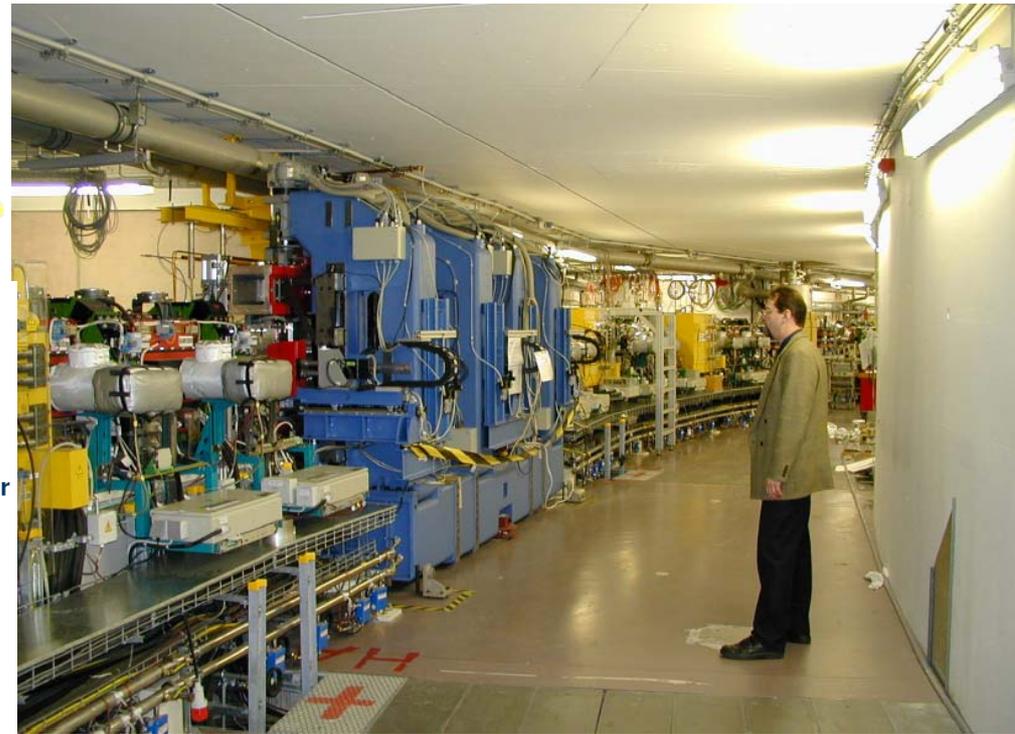
Devices with a periodic array of magnets (Undulators, Wigglers) implemented in the straight sections of the storage ring produce coherent, polarised radiation.



K=2

Undulator

Dipol



Photon-matter interaction (basic optics)

From mirrors to accelerator walls

Reflectometry

Experimental data at BESSY-II

Photon-matter interaction

1^{er} order processes:

- Photo-electric effect then:

radiative decay (photon)

non-radiative (electron)

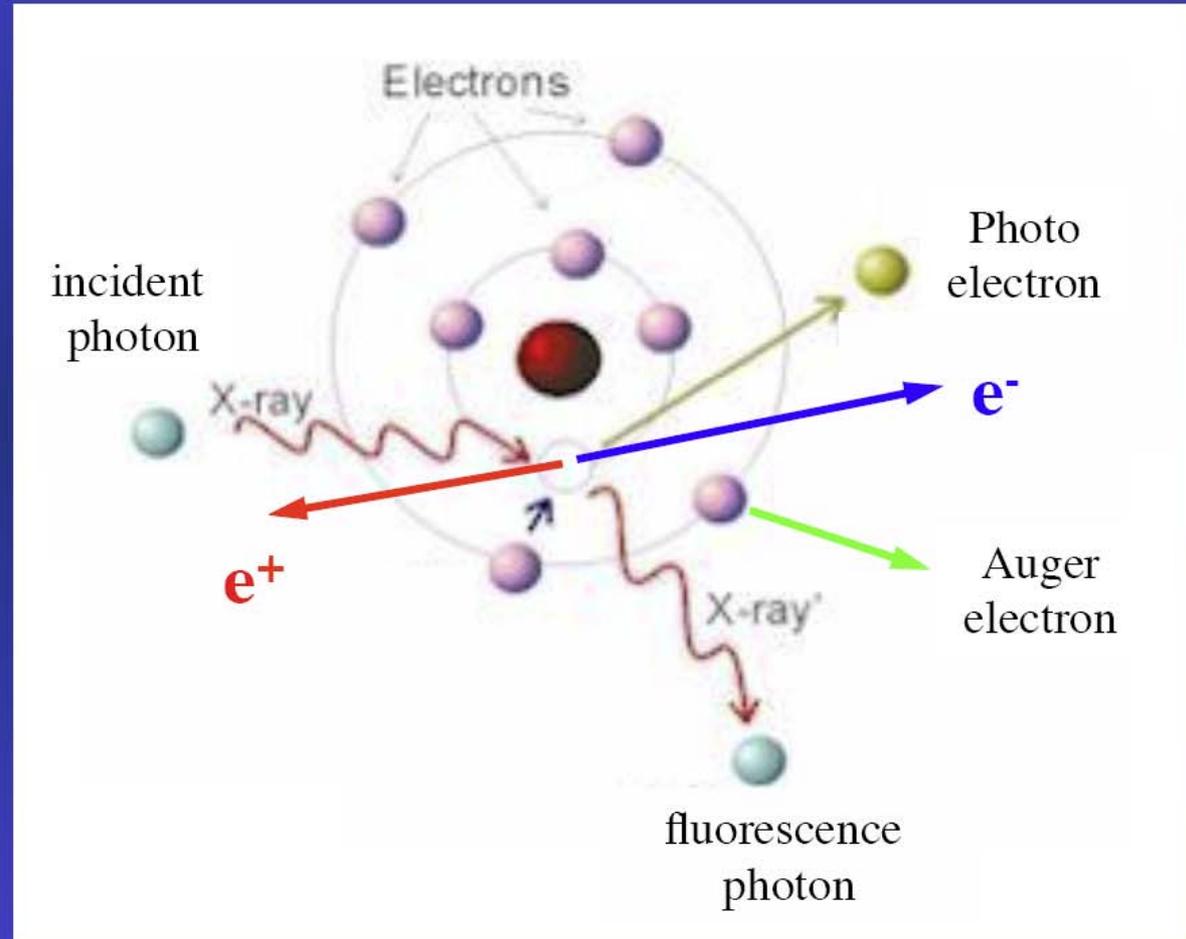
- Scattering

elastic ($E=E_0$)

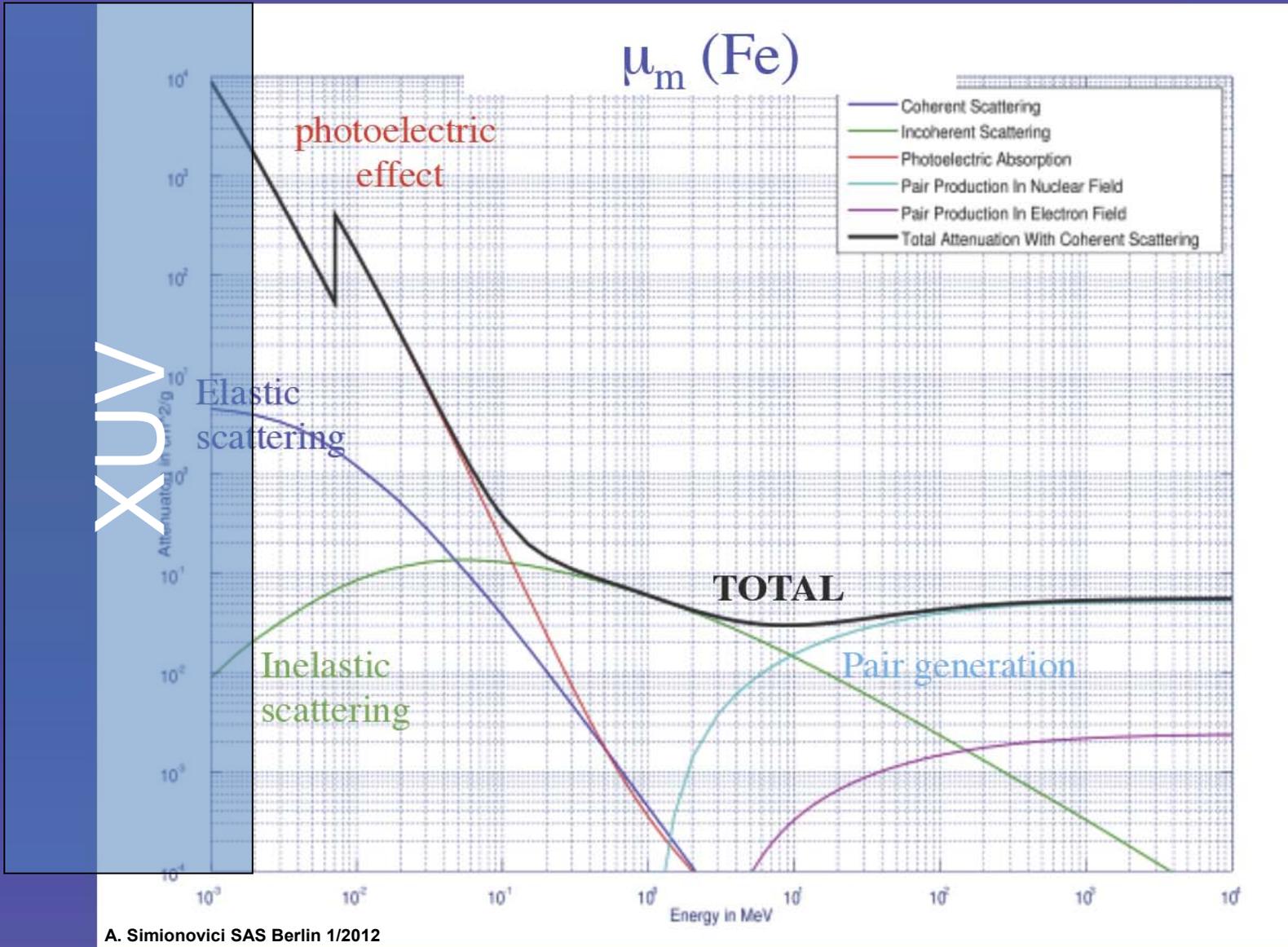
inelastic ($E<E_0$)

- Pair generation e^+e^-

($E > 2 \times 0.511 \text{ MeV}$)



Interaction processes



A. Simionovici SAS Berlin 1/2012



Augustin Fresnel
1788 - 1827

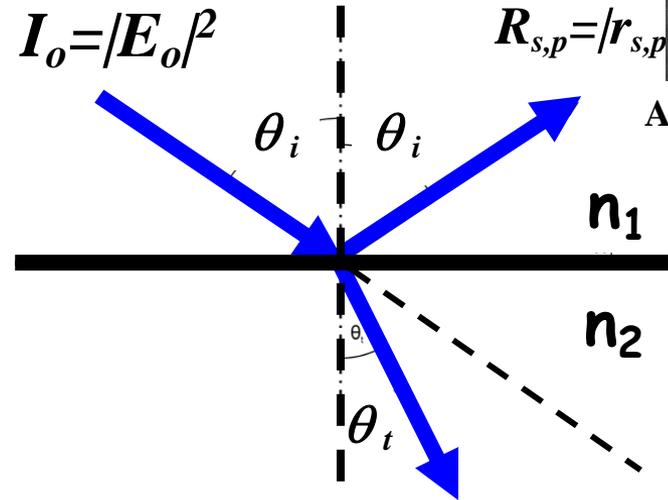
$$E_{s,p}(r,t) = E_{o,s,p} e^{i(\omega t - kr - \phi_{s,p})}$$

$$r_s = \frac{E_{rs}}{E_{is}} = \frac{n_1 \cdot \cos \theta_i - n_2 \cdot \cos \theta_t}{n_1 \cdot \cos \theta_i + n_2 \cdot \cos \theta_t}$$

$$t_s = \frac{E_{ts}}{E_{is}} = \frac{2n_1 \cdot \cos \theta_i}{n_1 \cdot \cos \theta_i + n_2 \cdot \cos \theta_t}$$

$$r_p = \frac{E_{rp}}{E_{ip}} = \frac{n_2 \cdot \cos \theta_i - n_1 \cdot \cos \theta_t}{n_1 \cdot \cos \theta_t + n_2 \cdot \cos \theta_i}$$

$$t_p = \frac{E_{tp}}{E_{ip}} = \frac{2n_1 \cdot \cos \theta_i}{n_1 \cdot \cos \theta_t + n_2 \cdot \cos \theta_i}$$



$$T_{s,p} = |t_{s,p}|^2$$

$$r_{s,p} = |r| e^{-i\delta_{s,p}}$$



Willebrord von Ruijen Snell
1580 - 1626

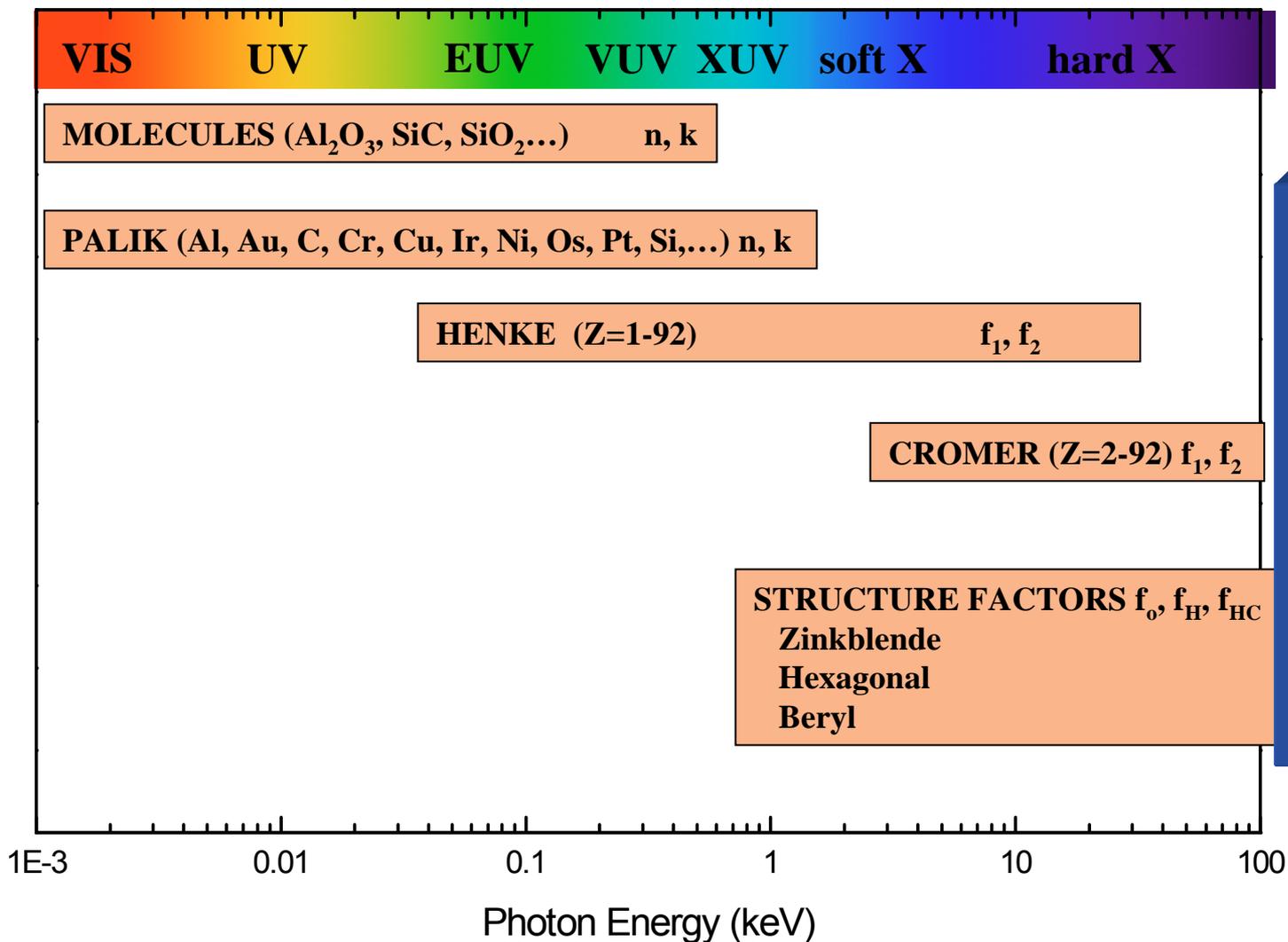
Snells law:

$$\sin \theta_i / \sin \theta_t = n_1 / n_2$$

Index of refraction:

$$n(\lambda) = 1 - \delta - ik$$

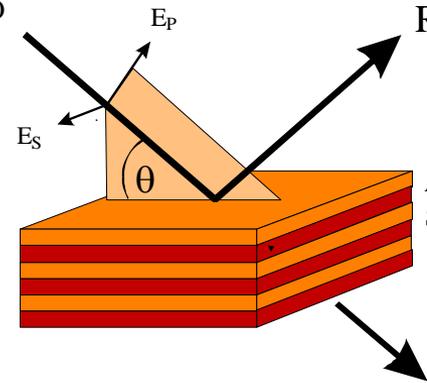
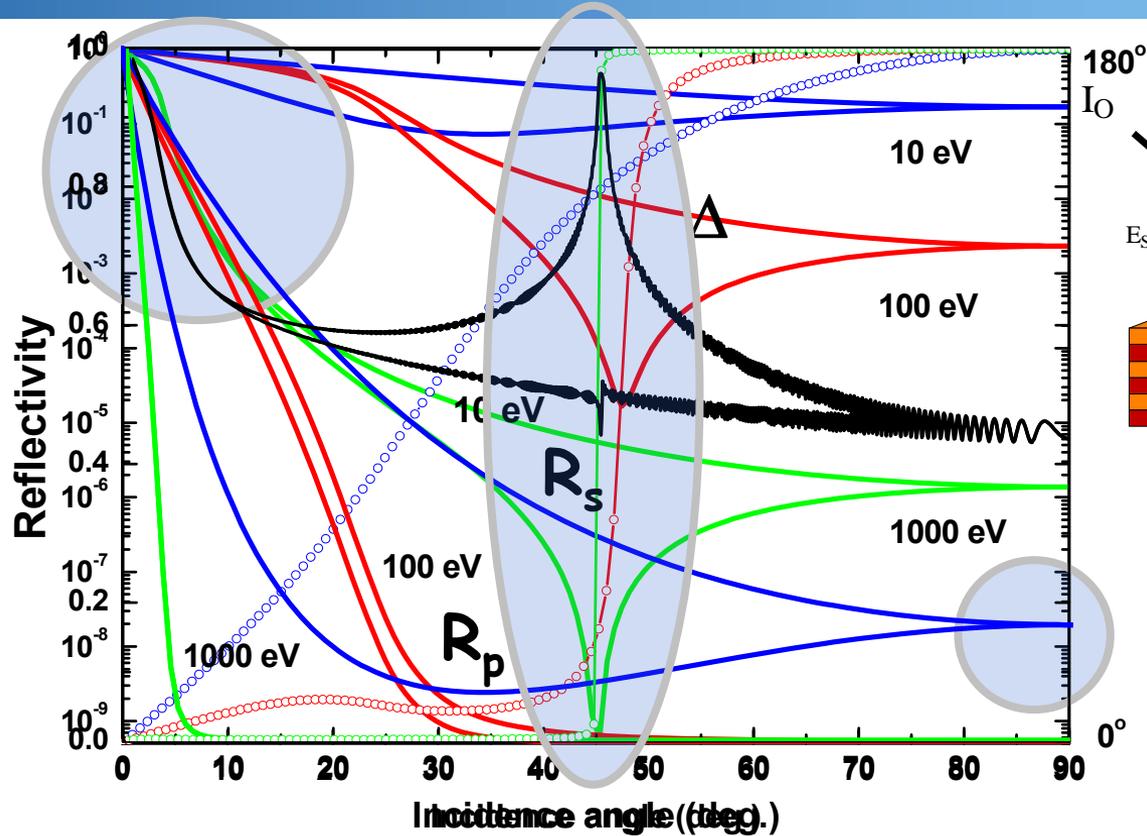
OPTICAL DATA TABLES



Calculation of

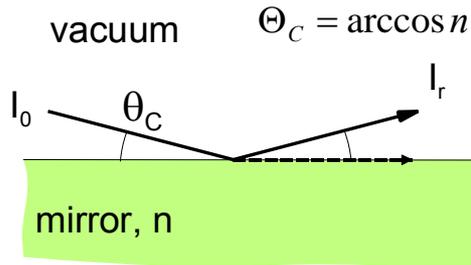
- Reflectivity
- Efficiency
- Transmission
- Rocking curves
- Photon Flux
- Resolving power
- Polarisation

Reflectometry for beginners: Fresnel Equations

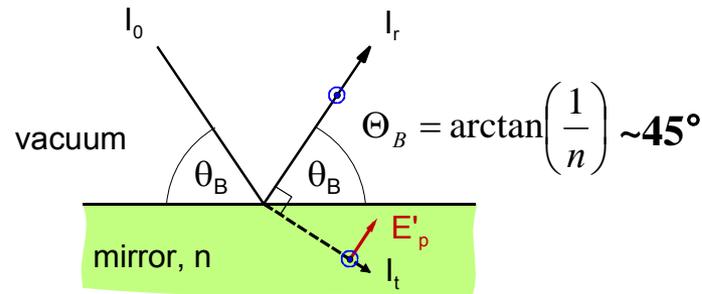


Multilayer
 $n\lambda = 2d\sin\theta$

Total external reflection



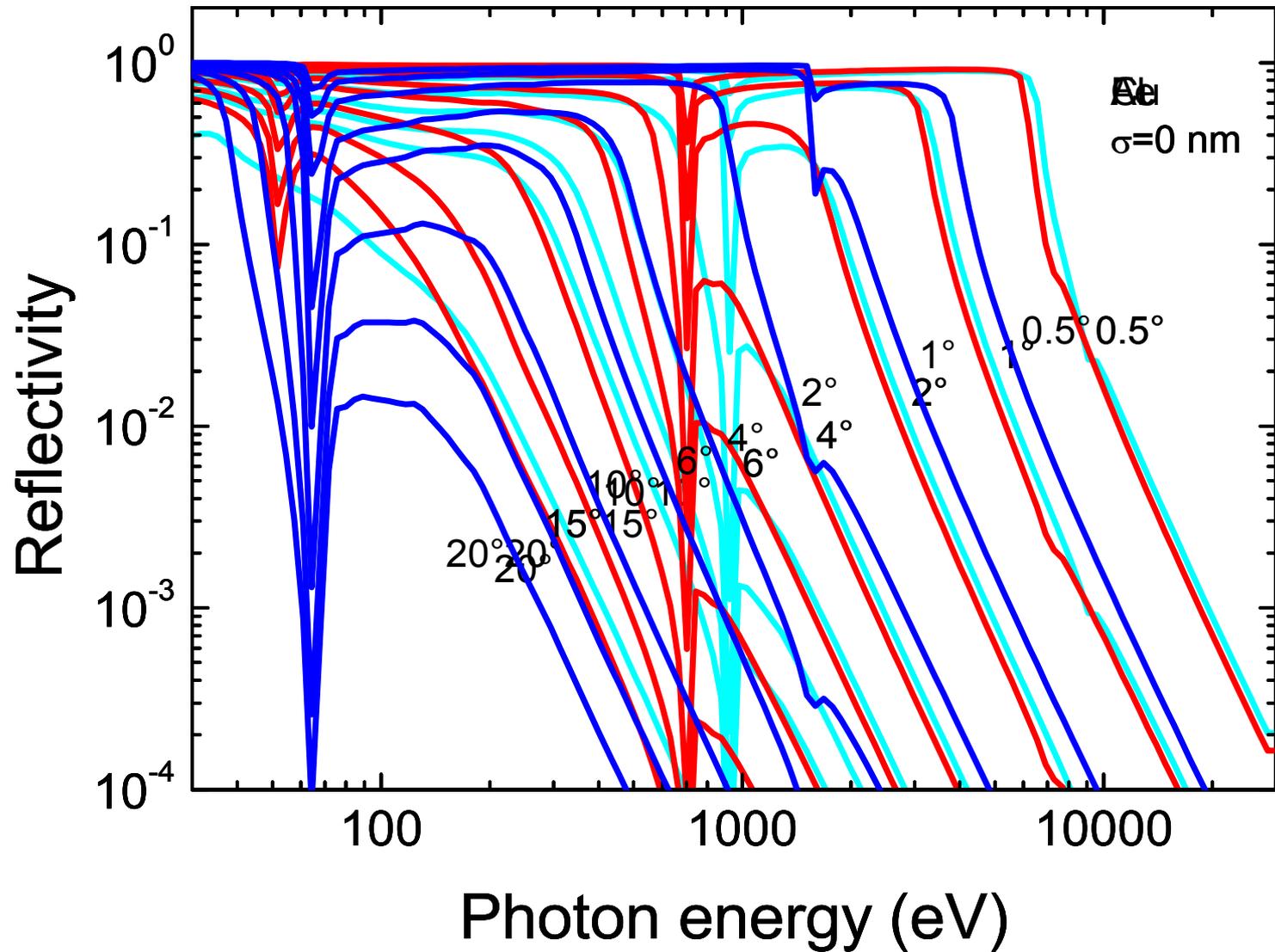
Brewster angle



Normal incidence

$$R_{s,p} = \frac{1}{4} (\delta^2 + \beta^2) \ll 1$$

Fresnel reflectivity Al, Cu, SS



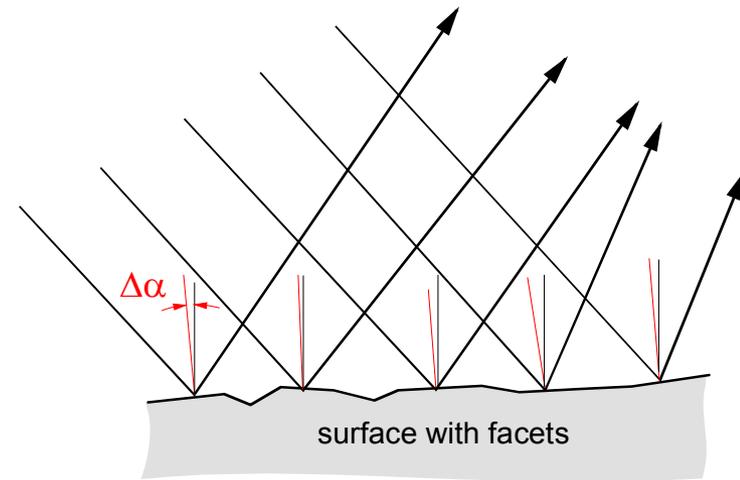
Photon matter interaction (basic optics)

From mirrors to accelerator walls

Reflectometry

Experimental data at BESSY-II

- Surface composed of facets with angle $\Delta\alpha_i$
- beams are deflected by $2\cdot\Delta\alpha_i$
- Slope error is the rms-value of the (gaussian) angular distribution of the surface facets $\Delta\alpha_i$
- Slope error gives rise to a blurring of the image

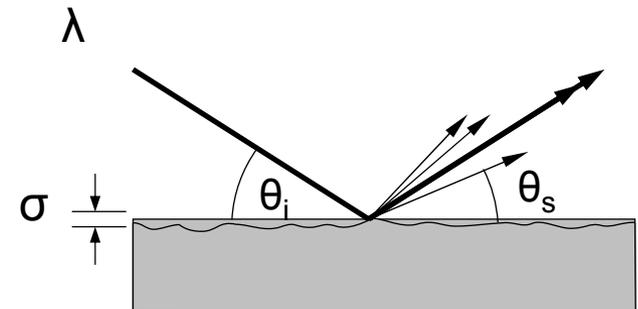


- Reduction of specular intensity
- Debye-Waller factor reduces reflectance R_0

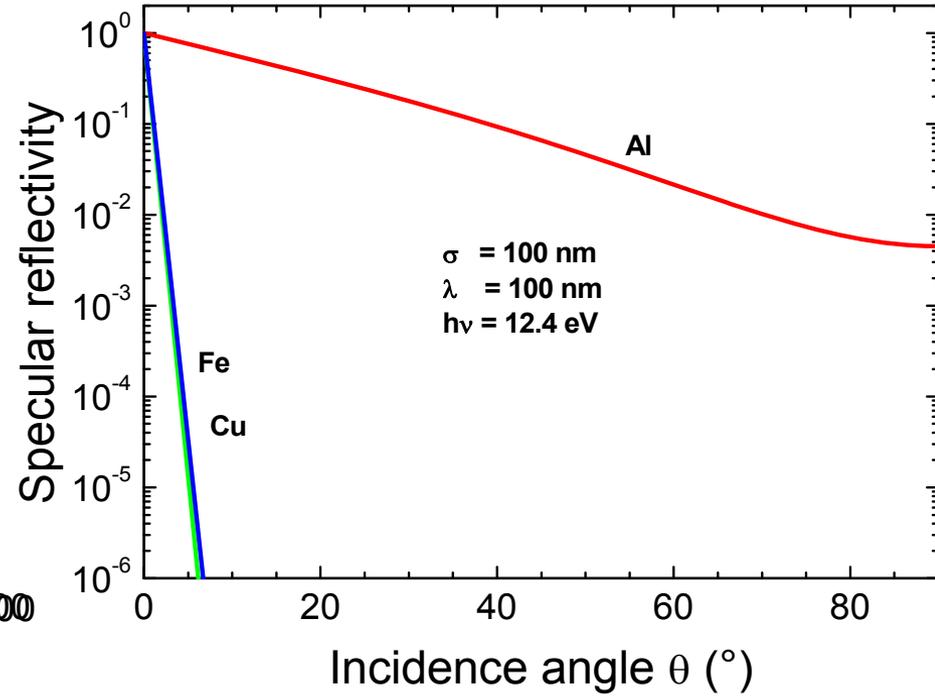
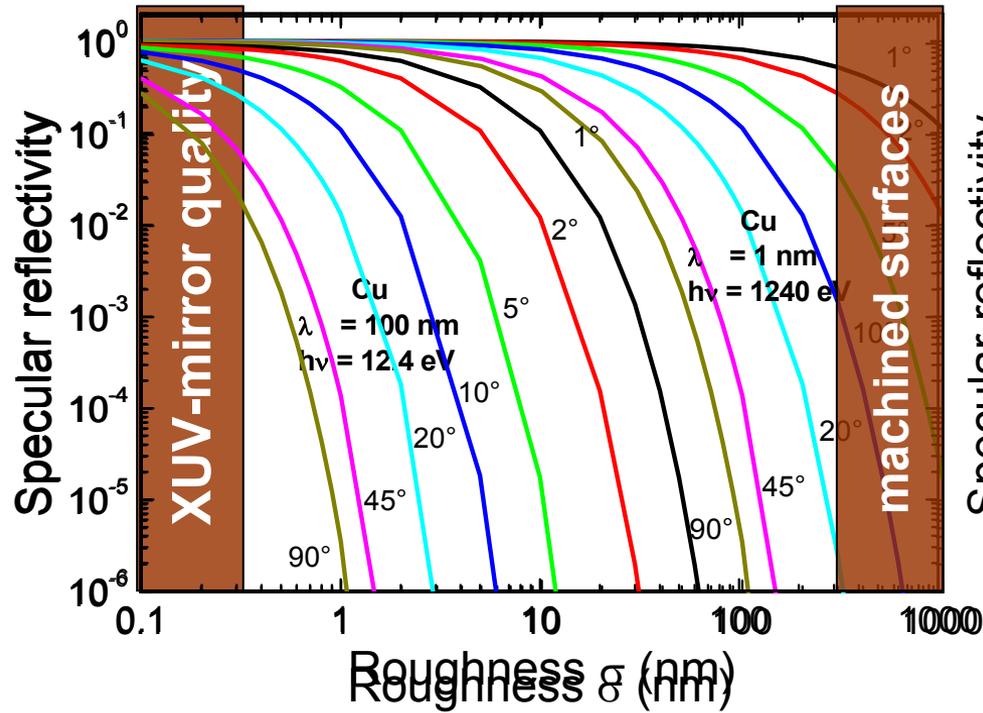
$$R = R_0 e^{-\left(\frac{4\pi\sigma \sin \theta_i}{\lambda}\right)^2}$$

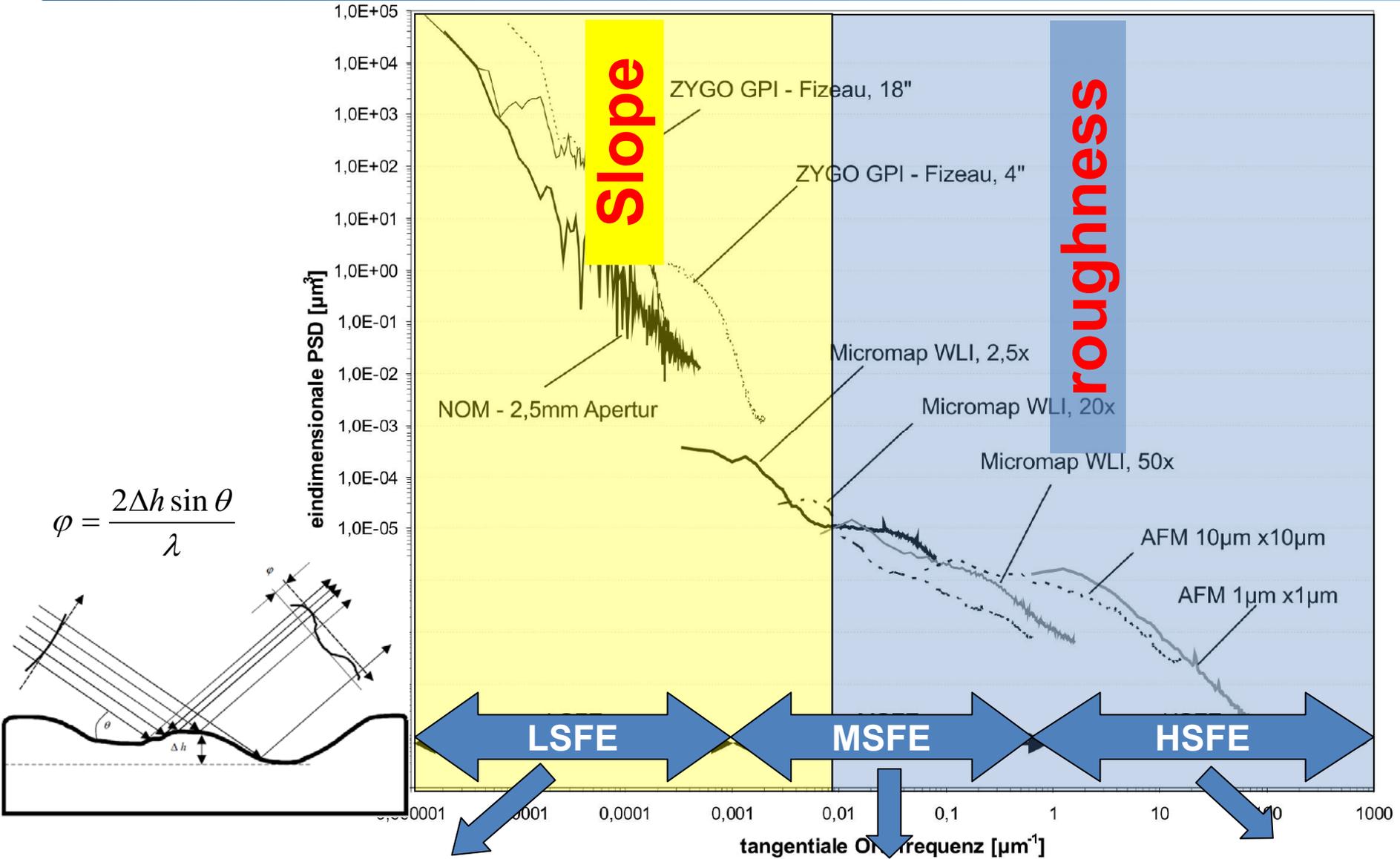
σ : rms value of the height deviation

Note that FWHM = $2.3\cdot\sigma$

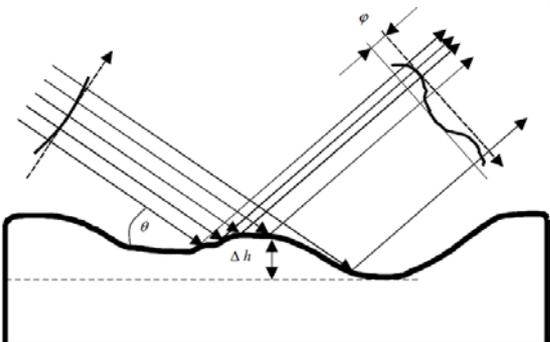


Debye Waller factor





$$\varphi = \frac{2\Delta h \sin \theta}{\lambda}$$

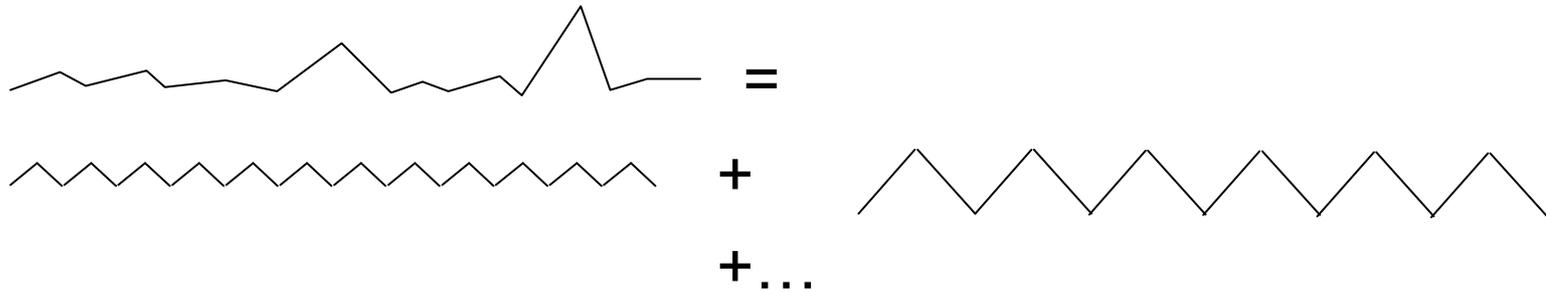


wavefront distortion

small angle scatter

wide angle scatter

Surface is sum over gratings



$$\frac{1}{P_0} \frac{dP}{d\omega} = \left(\frac{16\pi^2}{\lambda^4} \right) (R) (\sin^3 \theta_i) (PSD_{2D, 2 \text{ sided}}(\mathbf{f}))$$

Rayleigh scattering
,blue sky'
factor

Material
properties

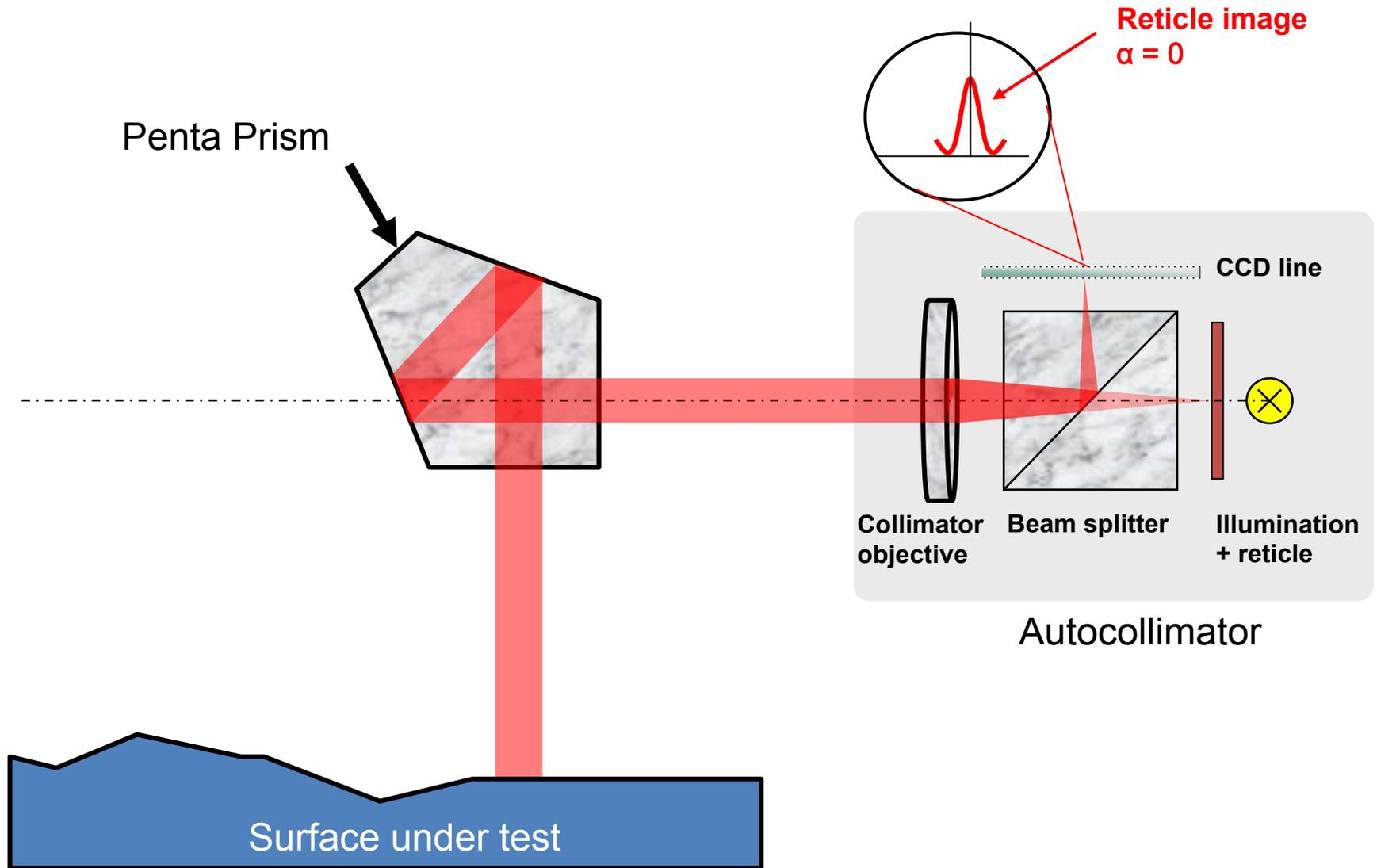
Grazing
incidence

Power spectral density

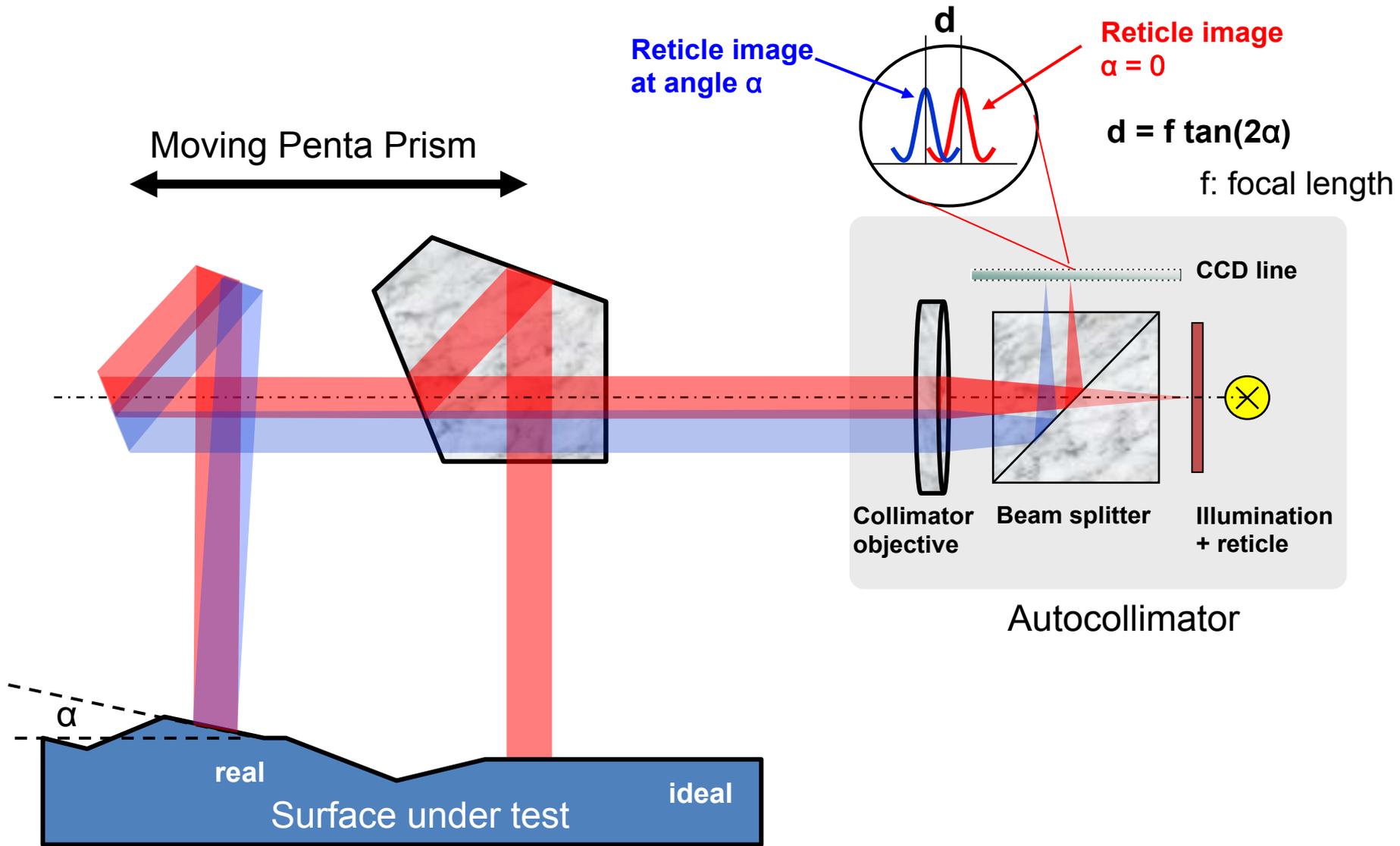
- Scattering goes up with energy of the light--fast
- Scattering goes down with higher incidence angle
- Valid in the 'smooth surface' limit!!!

Metrology at BESSY

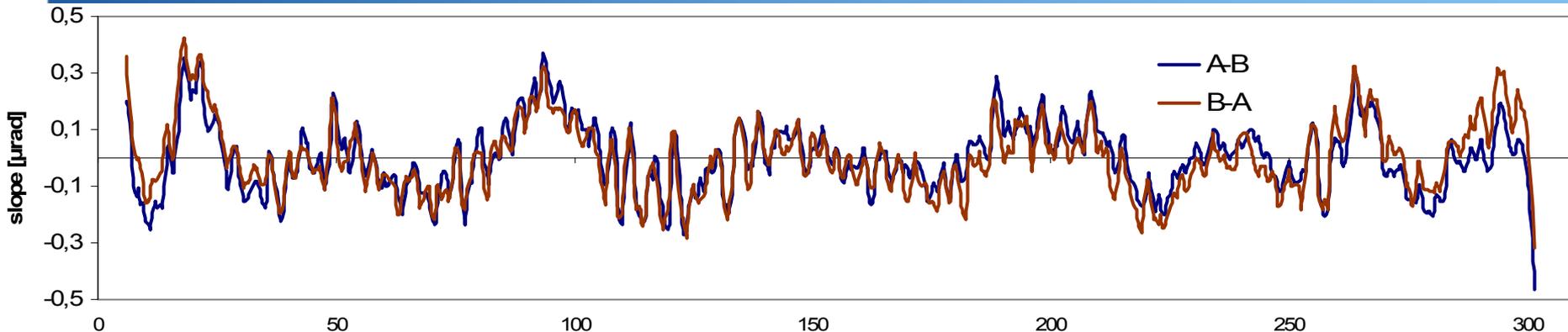
NOM - The Nanometer Optics Measuring Machine



Scanning Penta Prism – Set up



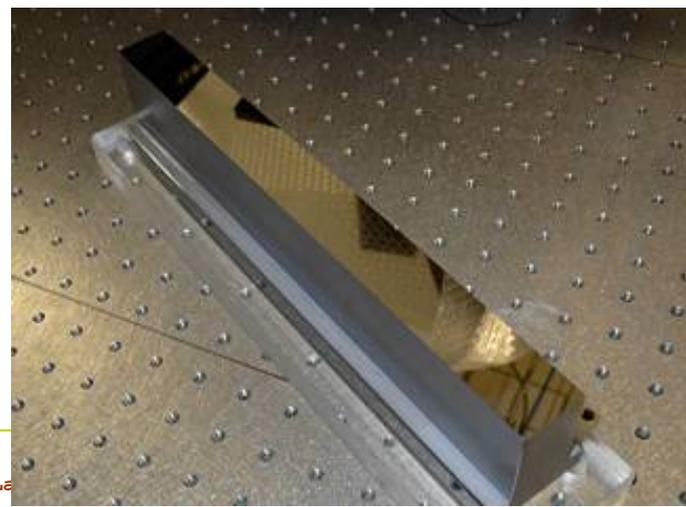
Mirror Requirements for today's X-Ray Optics



achieved:	BESSY-II	Plane mirrors	300 mm	0.1 μrad	(0.02“)
	LCLS	Refoc. mirror	350 mm	0.05 μrad	<1 nm rms
goal:	XFEL	Plane mirror	800 mm	0.05 μrad	(2 nm pv)
	XFEL	Refoc. mirror	800 mm	0.05 μrad	



the tip of the needle, not the head!

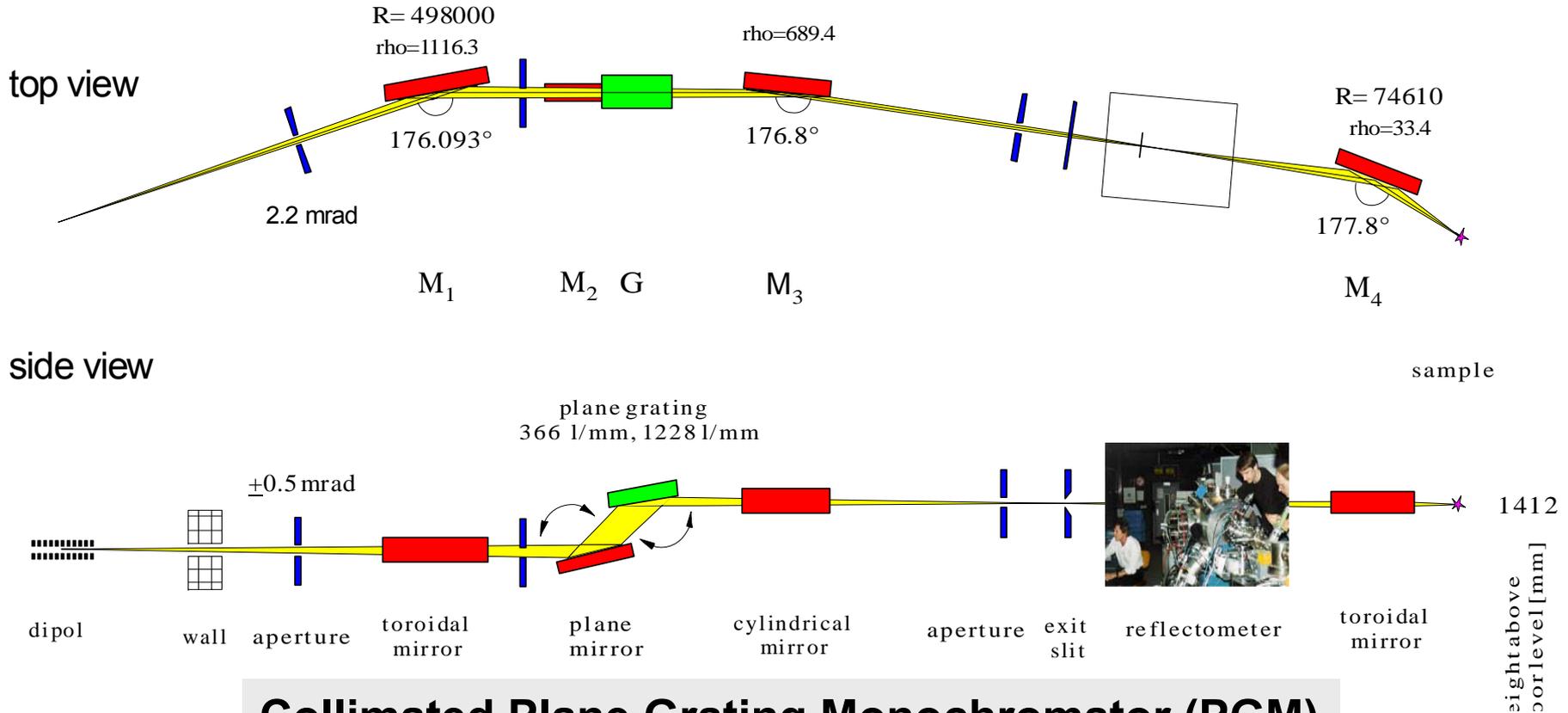


Photon matter interaction (basic optics)

From mirrors to accelerator walls

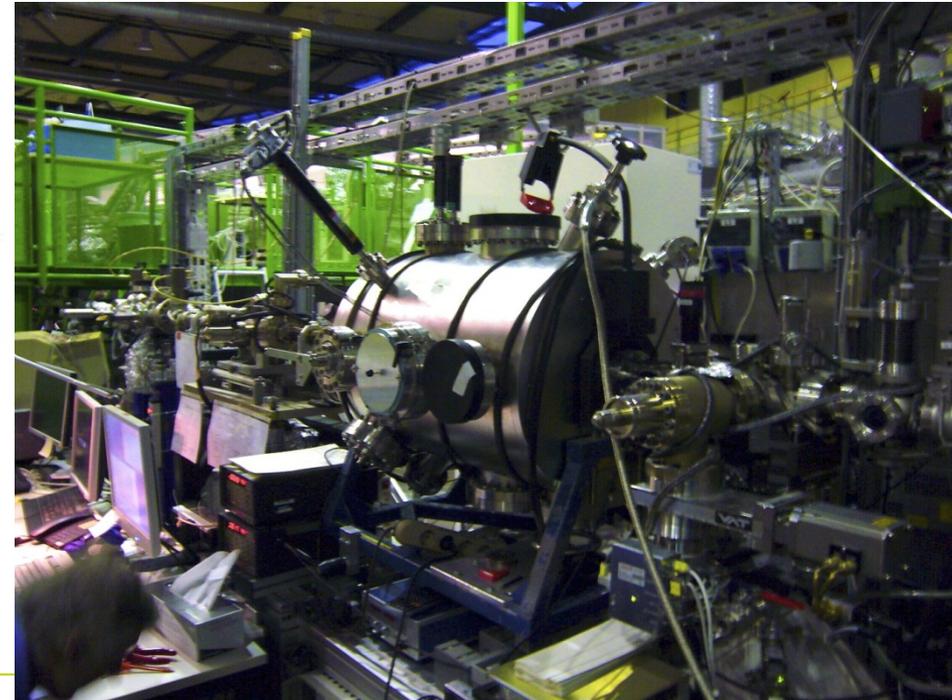
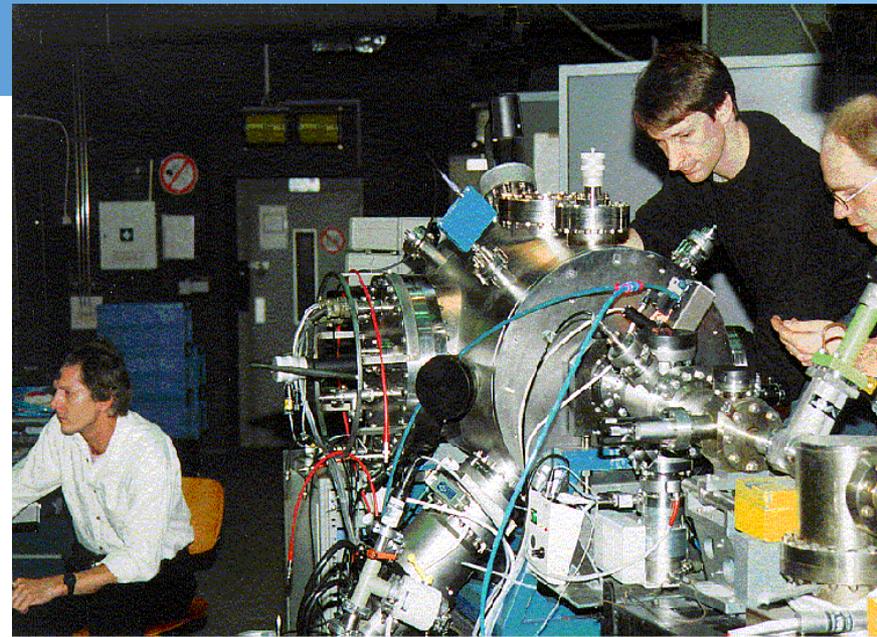
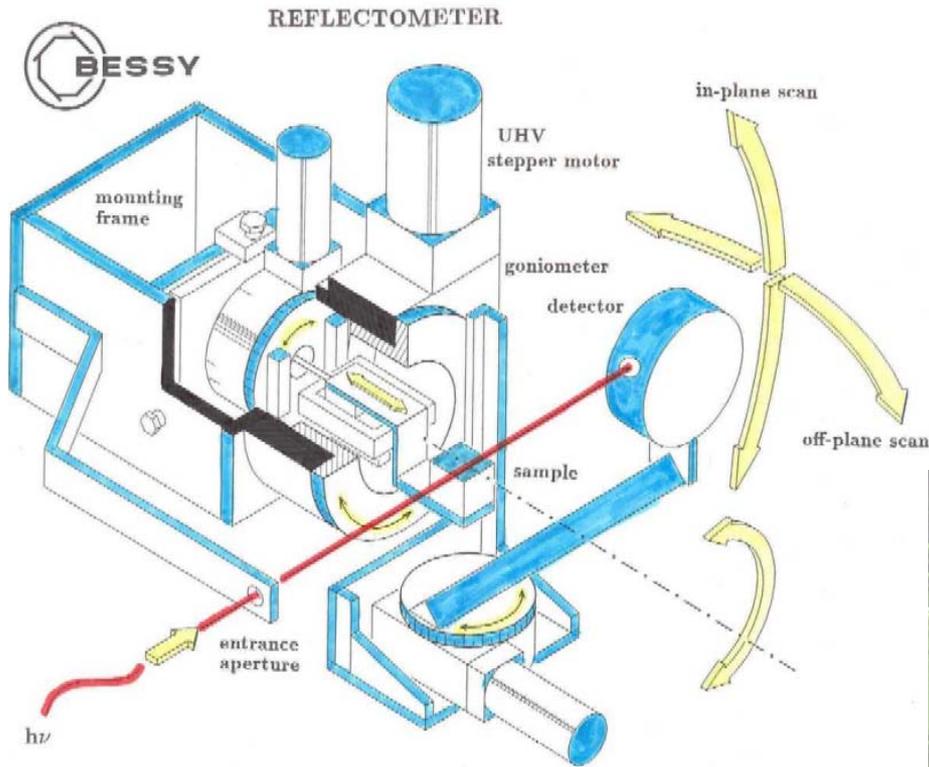
Reflectometry

Experimental data at BESSY-II



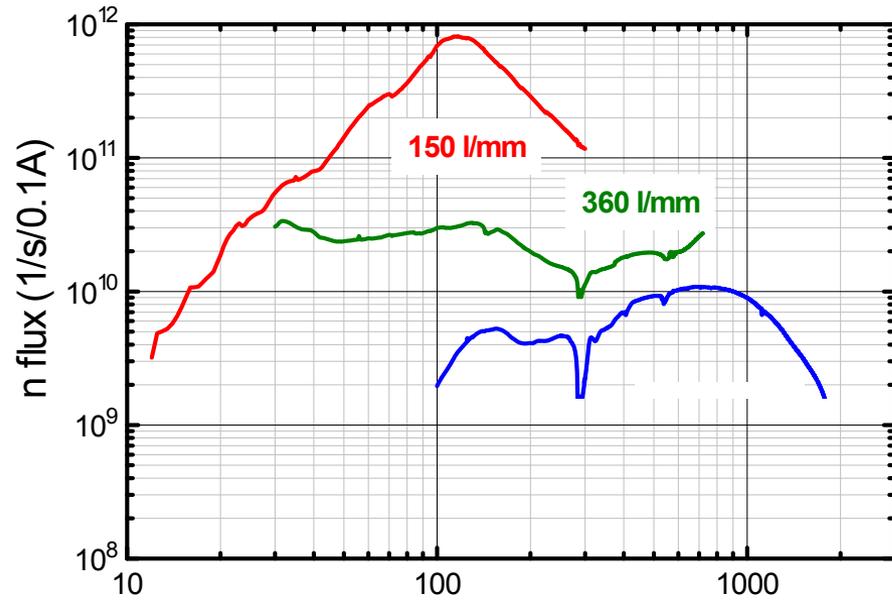
Collimated Plane Grating Monochromator (PGM)

- 10 - 2000 eV
- polarization linear/elliptical
- higher order light suppression
- low divergence

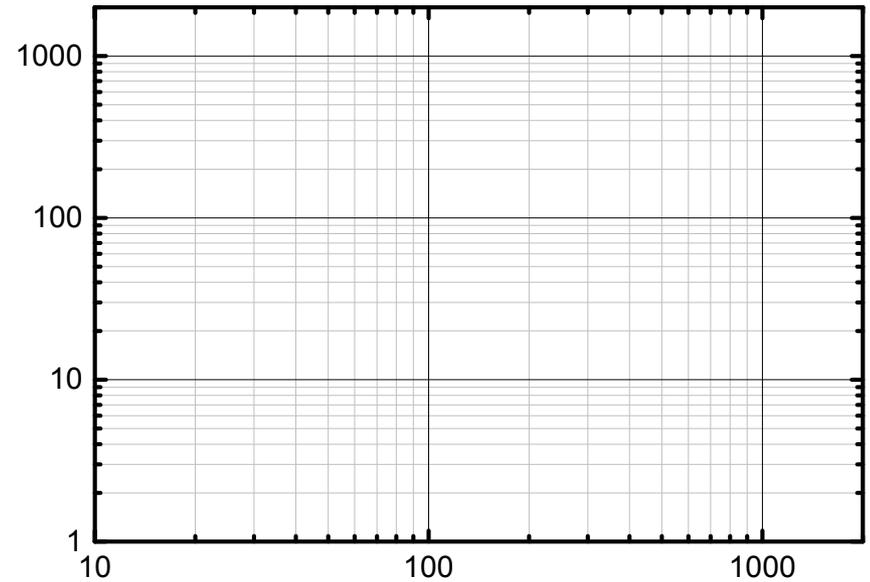


- 3 axis goniometer
- Vertical reflection (s-pol)
- In situ 'sample in-out': $R=I/I_0$
- Incidence angle $0^\circ - 87.5^\circ$

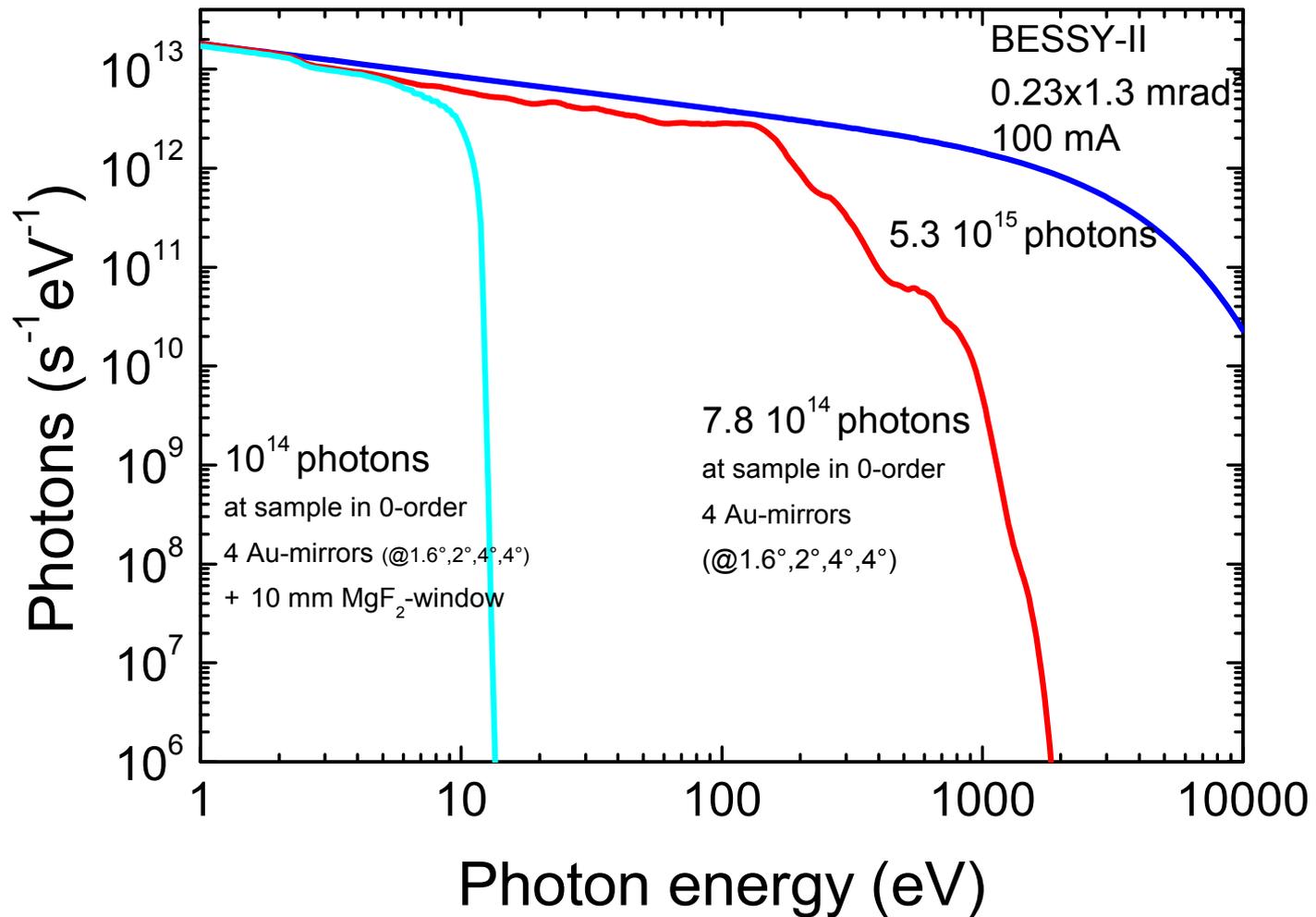
Photon flux



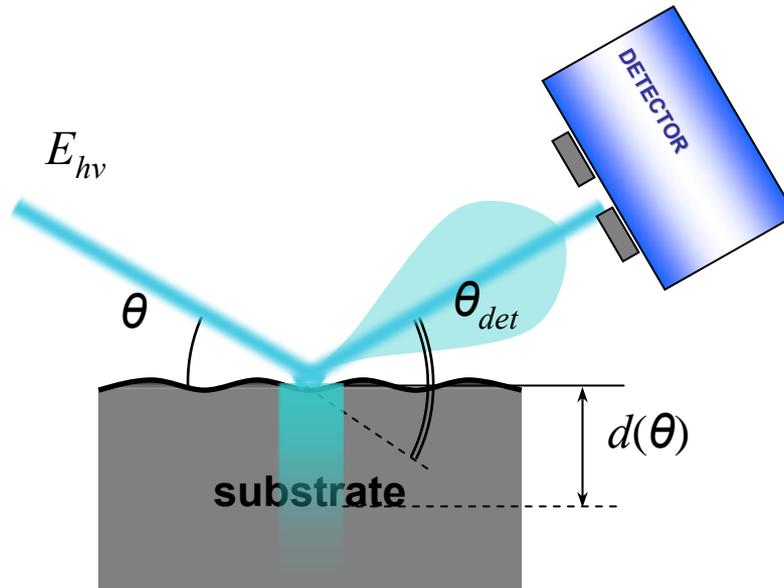
Energy resolution



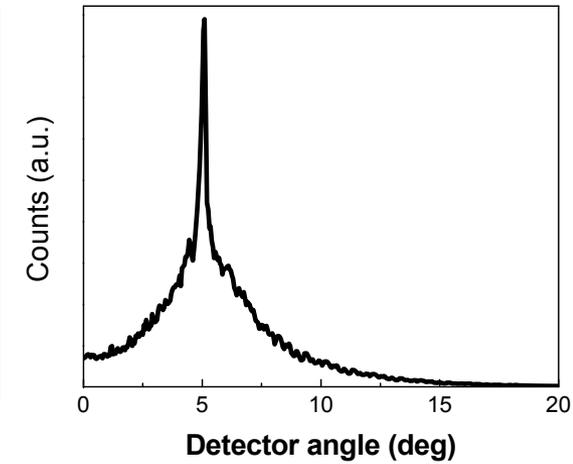
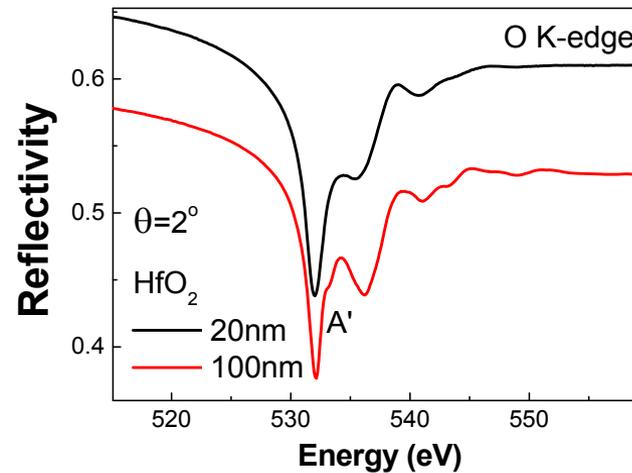
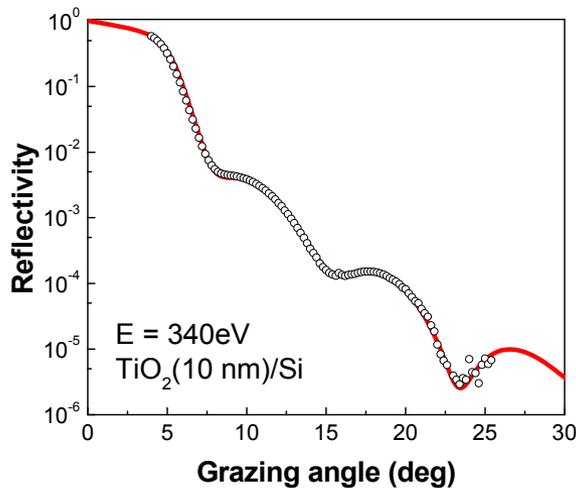
White light irradiation tests



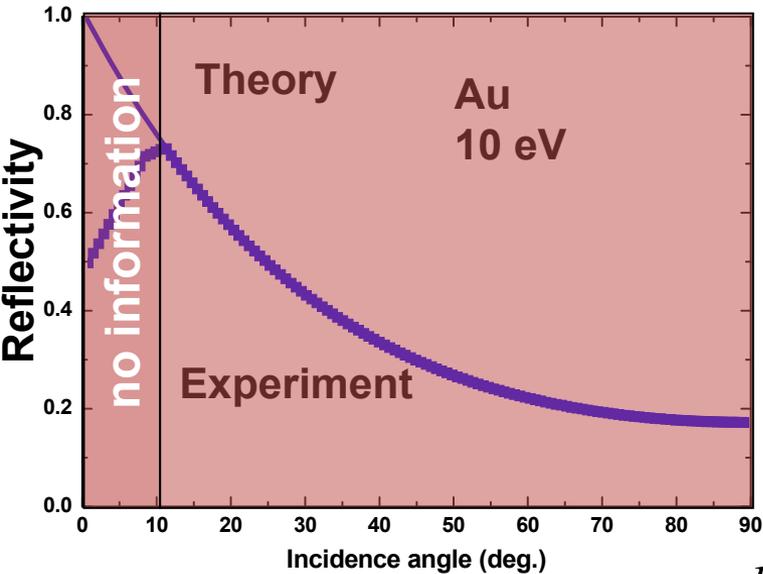
Reflectometry



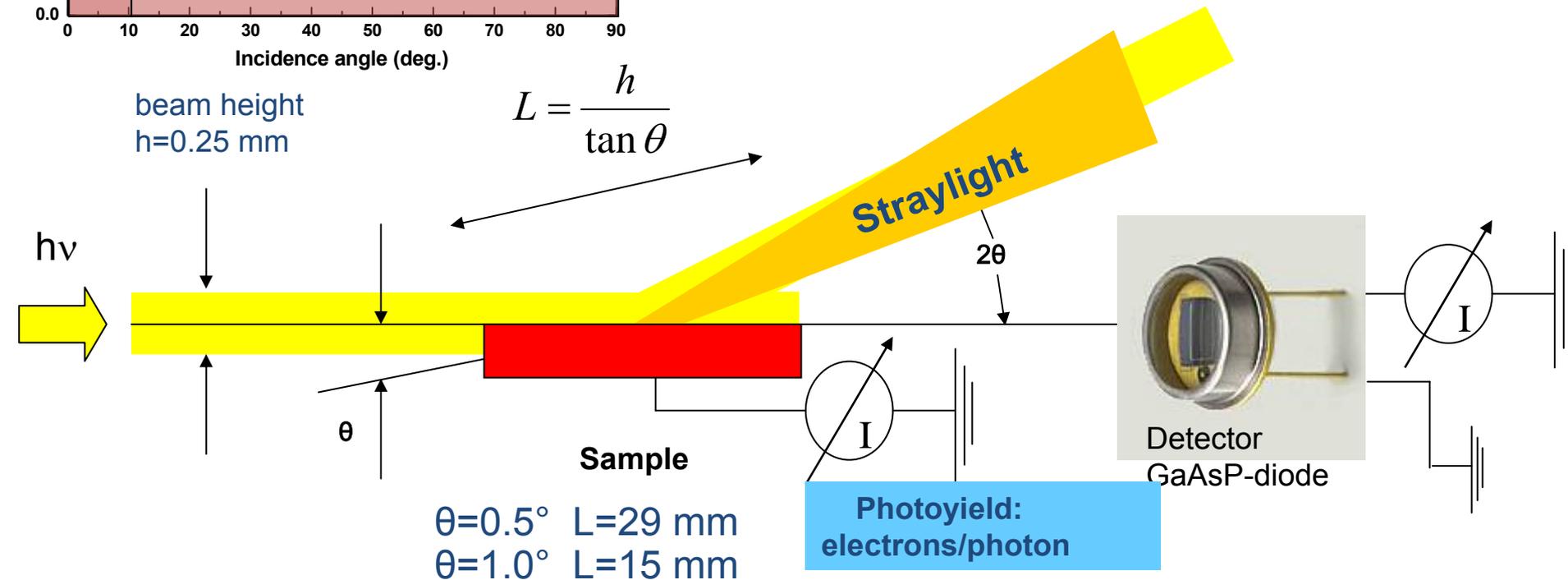
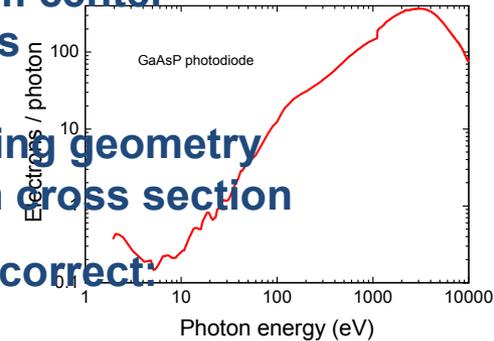
- Reflectivity
- surface roughness
- interface quality
- layer thickness
- chemical composition
- optical constants
- TEST DRIVE for Optics



Reflectometry facing reality



- θ -rotation axis not in beam center
- Sample not in rotation axis
- Both
- Sample too short for grazing geometry
- Detector larger than beam cross section
- Angular coupling $\theta - 2\theta$ incorrect
- problems at small angles
- Sample tilt: problems at large angles



Photon matter interaction (basic optics)

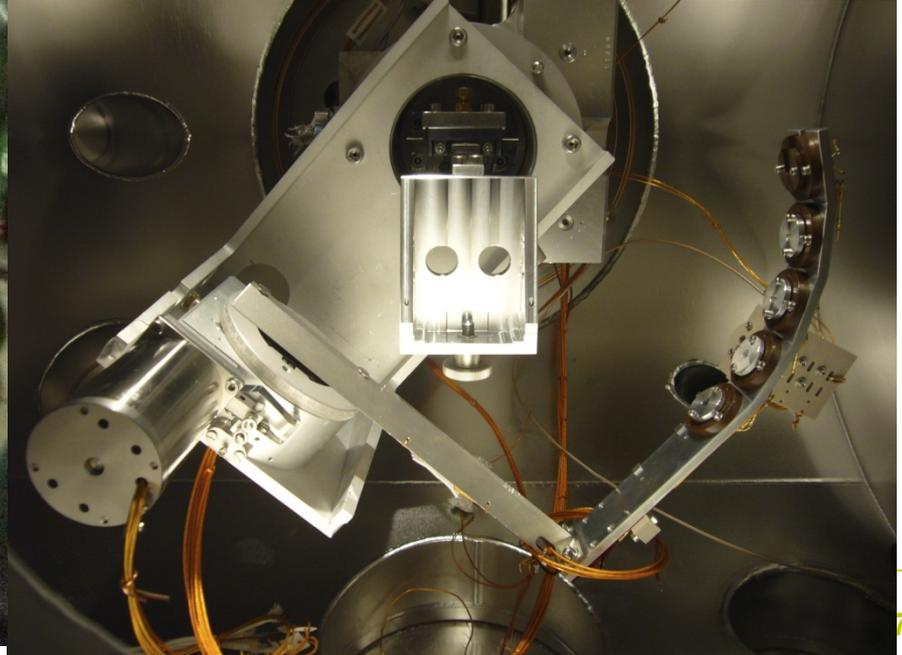
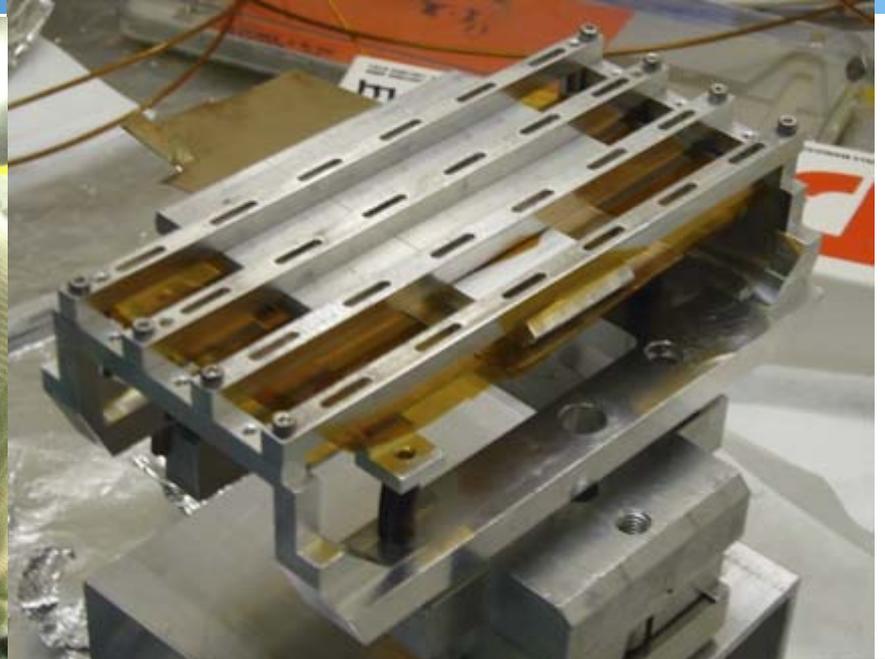
From mirrors to accelerator walls

Reflectometry

Experimental data at BESSY-II

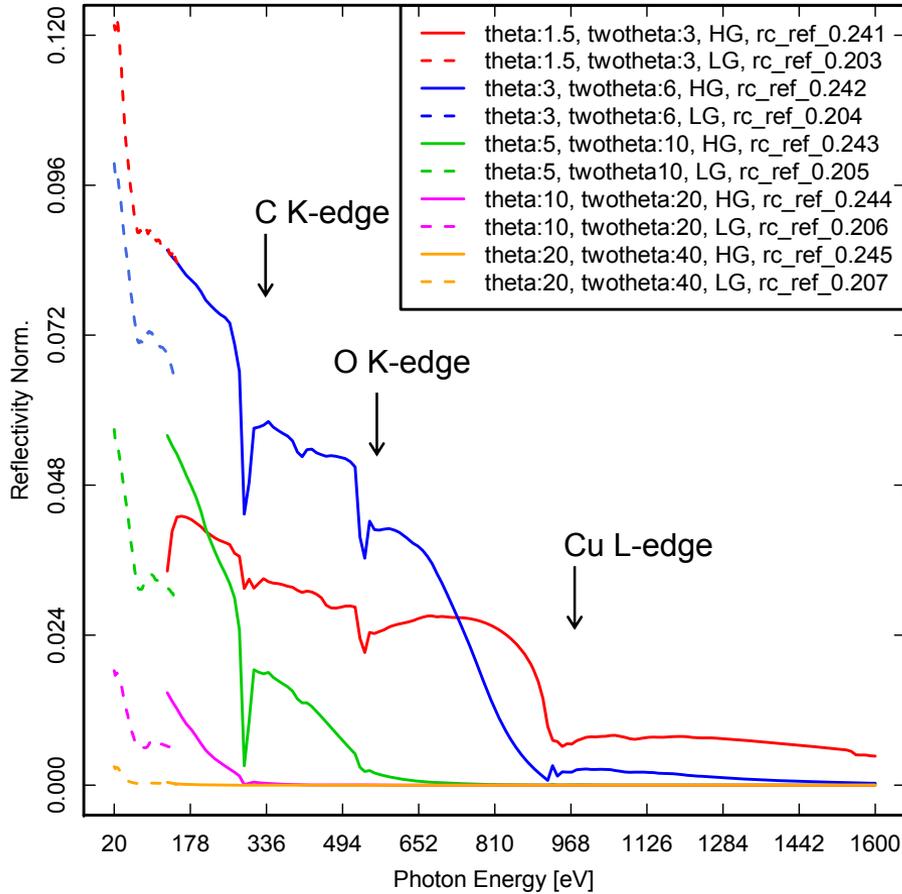
(Roberto, Takuya, Laura)

Samples and Sample holder

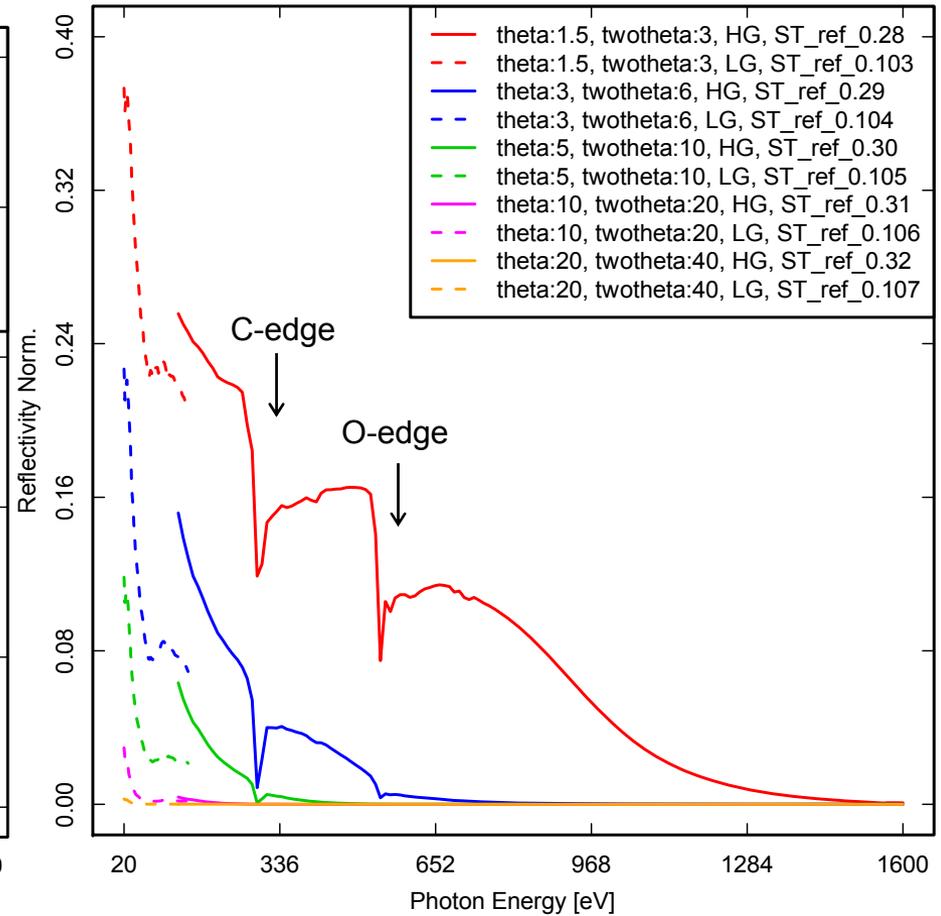


Energy dependence of Reflectivity

Photon Reflectivity vs Photon Energy (CESR Cu sample1)

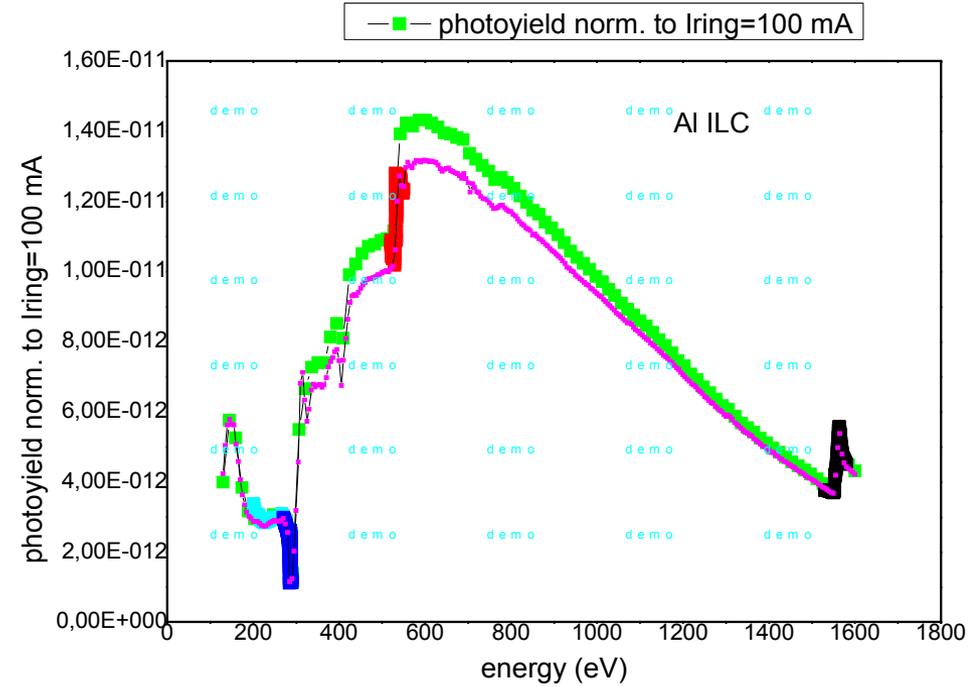
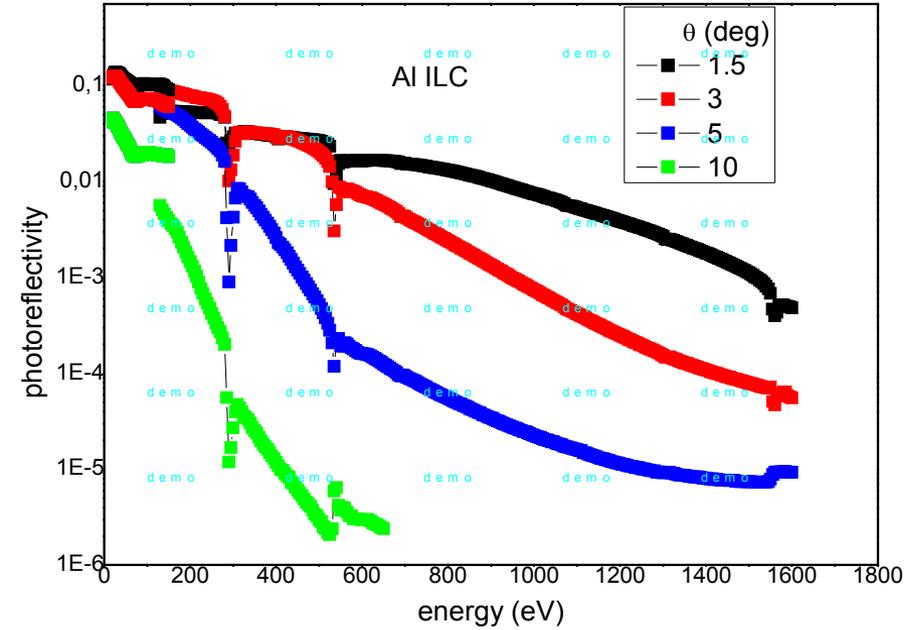


Reflectivity vs Photon Energy (APS Al flat sample)



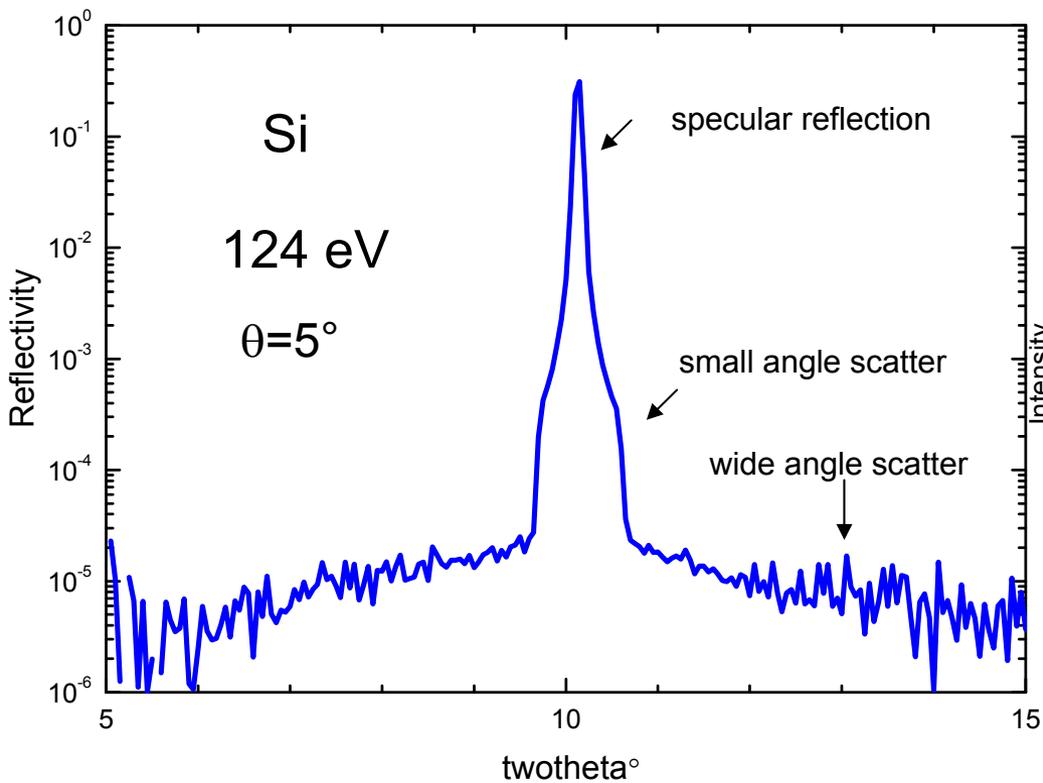
data from Takuya Ishibashi

AI (ILC) Specular reflectivity - Photoyield

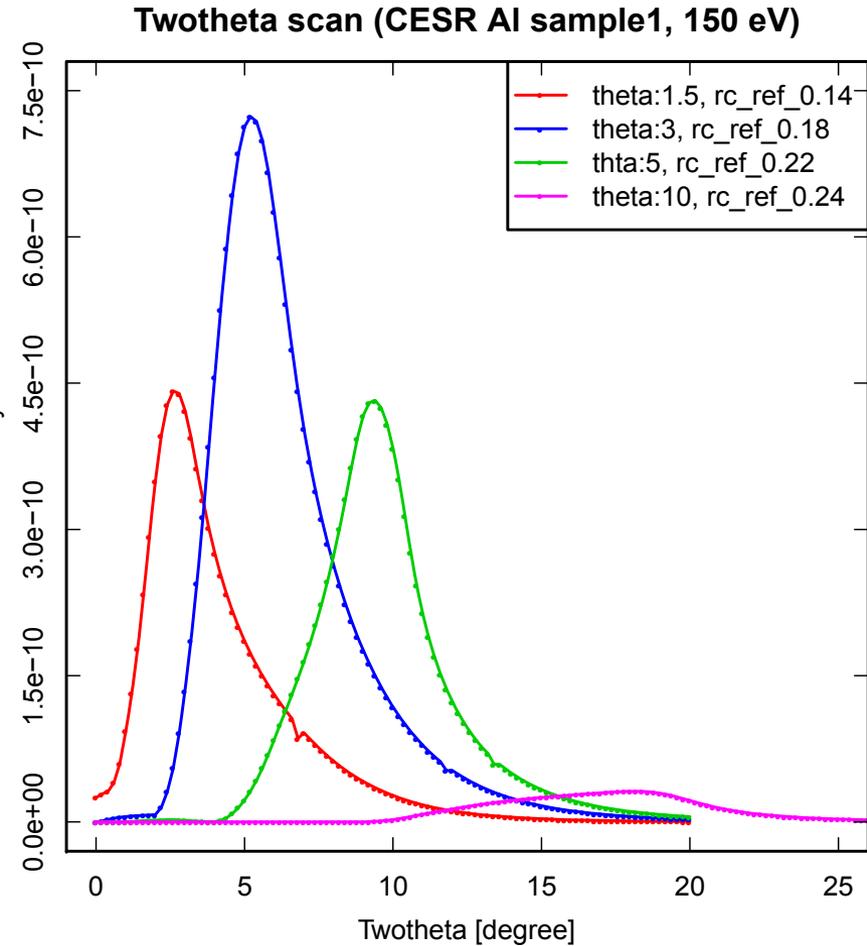


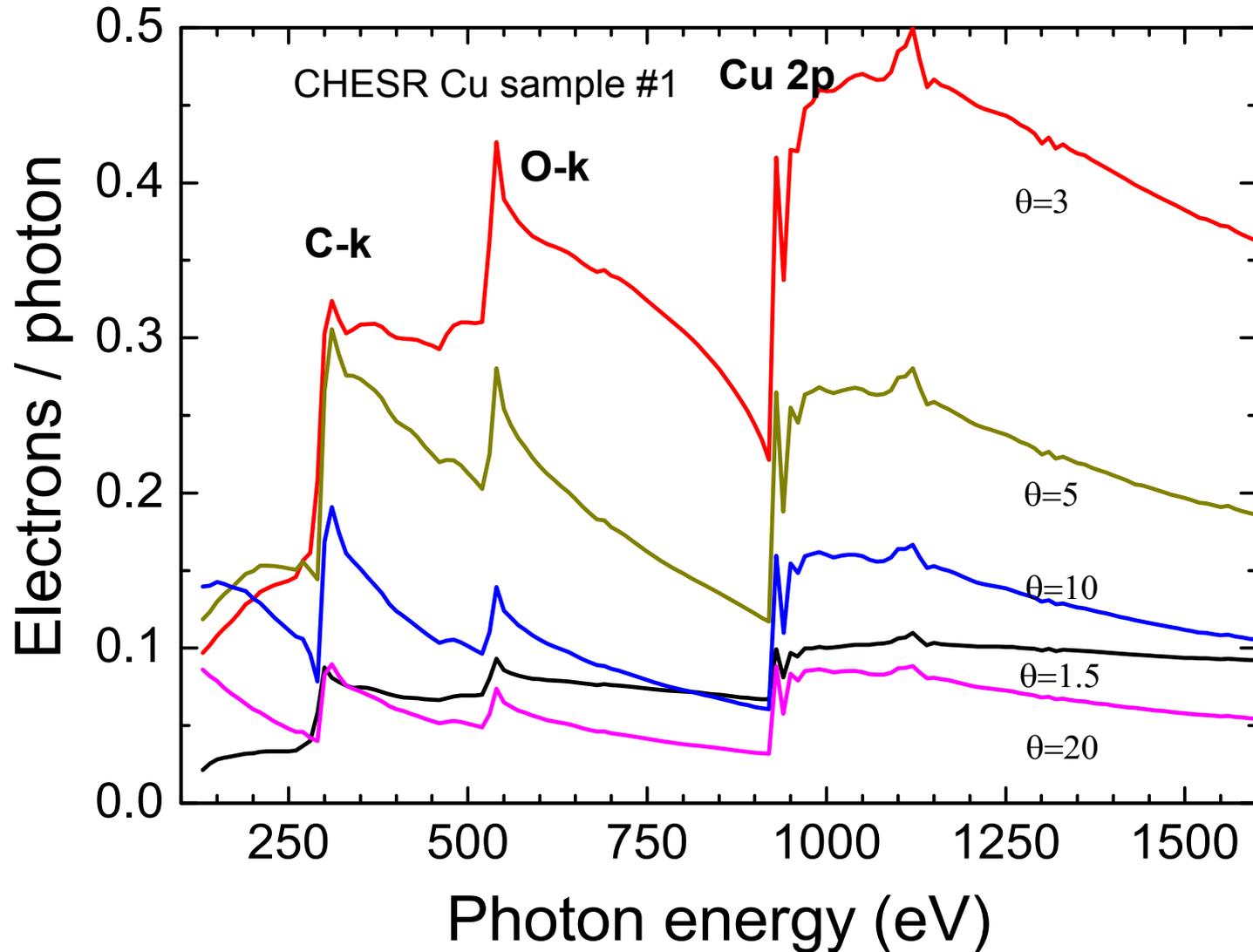
Straylight distribution (twotheta scans)

quasi-perfect mirror



accelerator wall





Conclusion

- **Reflectometry is a multi-dimensional experimental challenge: photon energy, angle, substrate, contamination, non-perfect surfaces (up to sandpaper quality)**
- **Reflectometry is a nice and indispensable tool for a quality check of optical elements**
- **It delivers information on the slope and roughness of the surface and the optical properties - at the design wavelength**
- **Scattering is difficult to be treated mathematically - just on a statistical basis**
- **Sample alignment is always a serious issue - a source of misinterpreting the measured data**
- **Nevertheless we've measured photon reflectivity and photoyield for some samples (Al, Cu, and SS) of vacuum chambers at BESSY II**
- **Photoyield data can be transformed quantitatively (electrons/photon)**
- **We need to analyze all the data (total 630 data sets) and see how it compares with theory**

Acknowledgement

Roberto Cimino; *LNF, INFN, Italy*



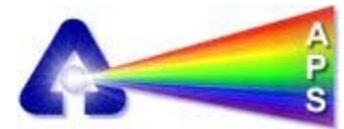
Takuya Ishibashi; *KEK Accelerator Laboratory, Japan*
LEPP, Cornell University



Sara Casalbuoni; *ANKA, KIT, Germany*



Laura Boon; *APS*



Silvio Küstner; *HZB, Institute for Nanometre Optics*

