

# Recent results on QCD thermodynamics from lattice simulations

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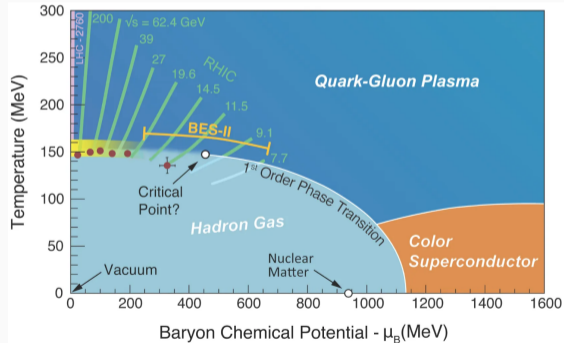
Istituto Nazionale di Fisica Nucleare

# The phase diagram of QCD

What do we know about QCD thermodynamics at finite  $T, \mu_B$ ?

From a combination of approaches (experiment, models, first principle calculations, ...), *we are pretty sure* of some things, and *suspect* others.

- ★ Ordinary nuclear matter at  $T \simeq 0, \mu_B \simeq 922$  MeV
- ★ Smooth crossover for  $\mu_B = 0$  at  $T \simeq 155 - 160$  MeV
- ★ Transition line at finite  $\mu_B$  is known to some precision (+ freeze-out extraction)
- ★ Perturbative regime is under control: high  $T, \mu_B$
- ★ Compact astronomical objects at low- $T$ , high- $\mu_B$
- ★ Critical point? Exotic phases?



# Lattice formulation of QCD

In a nutshell, lattice QCD amounts to calculating path integrals like

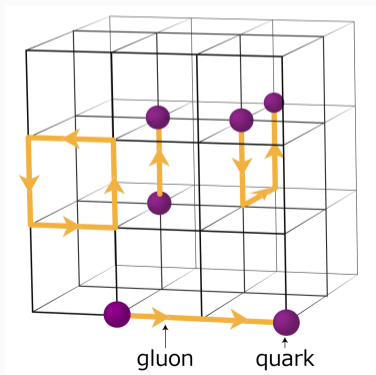
$$\mathcal{Z}[A, \bar{\psi}, \psi] = \int \mathcal{D}A_\mu^a(x) \mathcal{D}\bar{\psi}(x) \mathcal{D}\psi(x) e^{-\int d^4x \mathcal{L}_E[A, \bar{\psi}, \psi]}$$

by defining the theory on a discretized 3+1d lattice with  $N_s^3 \times N_\tau$  sites. This allows us to reduce the (otherwise infinite) dimensionality of the problem.

- The quark fields  $\bar{\psi}, \psi$  are defined on the lattice sites, the gauge fields  $A_\mu$  are defined on the lattice links as  $U_\mu = \exp[iaA_\mu]$
- Now, one can calculate a *finite* number of integrals to evaluate expressions of the like:

$$Z[U, \bar{\psi}, \psi] = \int \mathcal{D}U \mathcal{D}\bar{\psi} \mathcal{D}\psi e^{-S_G[U, \bar{\psi}, \psi] - S_F[U, \bar{\psi}, \psi]}$$

where  $S_G$  and  $S_F$  are the gauge (gluonic) and fermionic actions



# The equation of state of QCD at $\mu_B = 0$

- A crucial input to modeling of heavy-ion collisions (hydro)
- Known at  $\mu_B = 0$  to high precision for a few years now (continuum limit, physical quark masses)  $\rightarrow$  Agreement between different calculations

From grandcanonical partition function  $\mathcal{Z}$

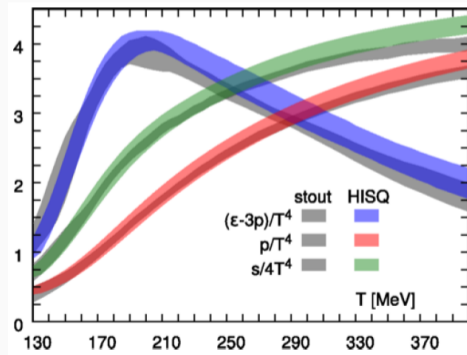
★ **Pressure:**  $p = -k_B T \frac{\partial \ln \mathcal{Z}}{\partial V}$

★ **Entropy density:**  $s = \left( \frac{\partial p}{\partial T} \right)_{\mu_i}$

★ **Charge densities:**  $n_i = \left( \frac{\partial p}{\partial \mu_i} \right)_{T, \mu_{j \neq i}}$

★ **Energy density:**  $\epsilon = Ts - p + \sum_i \mu_i n_i$

★ More (**Fluctuations**, etc...)



# Finite density: the sign/complex action problem

Euclidean path integrals on the lattice are calculated with Monte Carlo methods using importance sampling, interpreting the factor  $\det M[U] e^{-S_G[U]}$  as the Boltzmann weight for the configuration  $U$

$$\begin{aligned} Z(V, T, \mu) &= \int \mathcal{D}U \mathcal{D}\psi \mathcal{D}\bar{\psi} e^{-S_F(U, \psi, \bar{\psi}) - S_G(U)} \\ &= \int \mathcal{D}U \det M(U) e^{-S_G(U)} \end{aligned}$$

- When there is particle-antiparticle-symmetry, i.e.  $\mu = 0$ ,  $\det M(U)$  is real
- For real chemical potential ( $\mu^2 > 0$ ),  $\det M(U)$  becomes complex (**complex action problem**) and has wildly oscillating phase (**sign problem**)
- Then, it cannot serve as a statistical weight, importance sampling cannot be used, and simulations are unfeasible
- For *purely imaginary* chemical potential ( $\mu^2 < 0$ )  $\rightarrow \det M(U)$  is real again, simulations can be made!

# Lattice investigations of the phase diagram

## I. QCD transition temperature

Study of the volume and  $\mu_B$  dependence of  $T_c$

Borsányi, PP *et al*, 2405.12320

## II. Search for critical point with baryon fluctuations

Large-statistics study of Lee-Yang edge singularities

Adam, PP *et al*, coming soon

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# Deconfinement and chiral symmetry restoration

The QCD transition is characterized by the spontaneous breaking of two approximate symmetries (SSB)

- **Chiral symmetry restoration:**  $SU(2) \times SU(2)$  symmetry (exact for  $m_q \rightarrow 0$ ). Order parameter is **chiral condensate**

$$\langle \bar{\psi}\psi \rangle = \frac{T}{V} \frac{\partial \log \mathcal{Z}}{\partial m_{ud}}$$

Symmetric phase  $\langle \bar{\psi}\psi \rangle = 0$  at high-T, and SSB  $\langle \bar{\psi}\psi \rangle \neq 0$  at low-T

- **Deconfinement:**  $\mathbb{Z}(3)$  center symmetry (exact for  $m_q \rightarrow \infty$ ). Order parameter is **Polyakov loop**

$$P(\vec{x}) = \prod_{x_4=0}^{N_\tau-1} U_4(\vec{x}, x_4) \sim e^{-F/T}$$

Symmetric phase  $P = 0$  ( $F \rightarrow \infty$ ) at low-T and SSB  $P = 1$  ( $F \rightarrow 0$ ) at high-T



# A study of $T_c$

We wish to study the dependence of  $T_c$  on the volume  $V$  and the chemical potential  $\mu_B$

We employ our 4stout staggered action (**Bellwied, PRD 114505 (2015)**) with:

- $N_f = 2 + 1 + 1$  with physical quark masses
- Simulations at  $\mu_B = 0$  on  $N_\tau = 12$  lattices with  $N_s = 20, 24, 28, 32, 40, 48, 64$
- Simulations at imaginary chemical potential with  $\text{Im}(\mu_B) = i\frac{\pi}{8}n$  with  $n = 0, 3, 4, 5, 6, 6.5, 7$ , on  $N_\tau = 12$  lattices with  $N_s = 32, 40, 48$
- We consider the strangeness neutral case  $\langle n_s \rangle = 0$  relevant for heavy ion physics

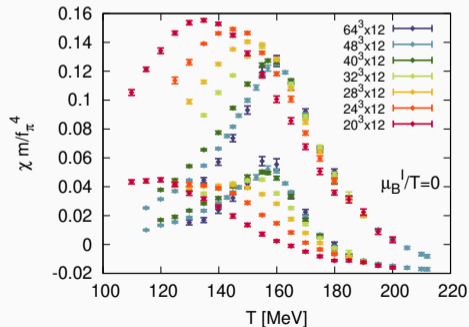
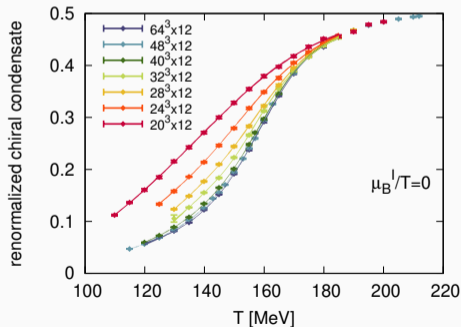
We investigate the behaviour of  $T_c$  related to both chiral symmetry restoration and deconfinement, looking for analogies and differences

# A study of $T_c$ : chiral symmetry

Besides  $\langle \bar{\psi}\psi \rangle$ , we look at the chiral susceptibility, as well as its disconnected part:

$$\chi = \frac{T}{V} \frac{\partial^2 \log \mathcal{Z}}{\partial m_{ud}^2} \quad \chi_{disc} = \frac{T}{V} \frac{\partial^2 \log \mathcal{Z}}{\partial m_u \partial m_d} \Big|_{m_u=m_d}$$

An estimate of  $T_c$  is obtained from **i.**  $\langle \bar{\psi}\psi \rangle = \text{const}$  or **ii.** the peak of  $\chi$



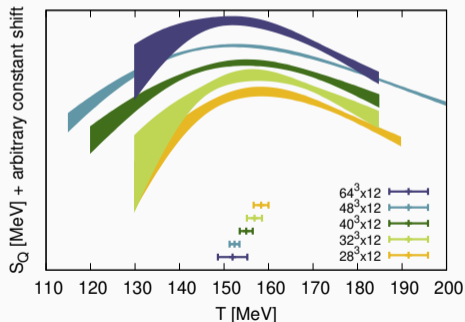
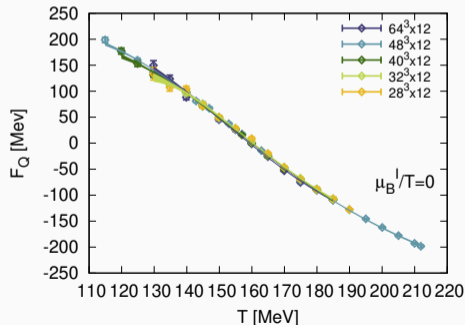
Volume effects are small at high- $T$ , large at small- $T$ , and  $T_c$  goes up with  $V$

# A study of $T_c$ : deconfinement

Starting from the Polyakov loop  $P \sim e^{-F/T}$ , we study the static quark free energy  $F_Q$  and its derivative  $S_Q = -\frac{\partial F_Q}{\partial T}$ :

$$F_Q = -T \log \left( \frac{1}{\Lambda} \sum_{\vec{x}} |\langle P(\vec{x}) \rangle_T| \right) + T_0 \log \left( \frac{1}{\Lambda} \sum_{\vec{x}} |\langle P(\vec{x}) \rangle_{T_0}| \right)$$

An estimate of  $T_c$  is obtained from **i.**  $F_Q = \text{const}$  or **ii.** the peak of  $S_Q$

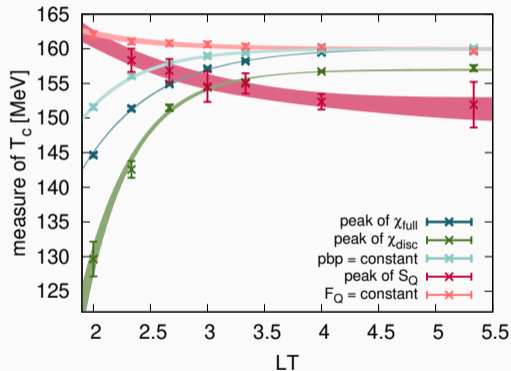


Volume effects much milder than for chiral observables, and  $T_c$  goes down with  $V$

# Measures of $T_c$ vs $V$

Combining the estimates of  $T_c$  from different observables and volumes we can draw some conclusions:

- Chiral transition  $T_c$  estimates have larger  $V$ -dependence and decrease with the volume
- Deconfinement  $T_c$  estimates have milder  $V$ -dependence and increase with the volume
- The spread is  $\sim 10$  MeV for  $LT > 2.5$
- Clear ordering  $T_c^x > T_c^{S_Q}$  appears above  $LT = 3$

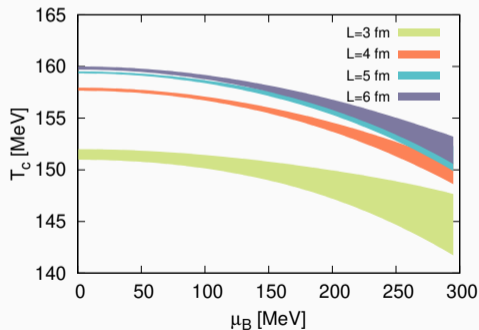
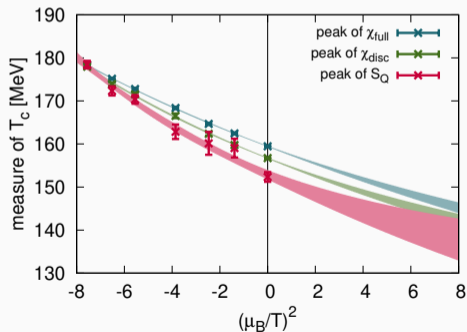


This suggests that studies of  $T_c$  can be performed on lattice with smaller volumes based on deconfinement-related observables (ongoing work)

# Measures of $T_c$ vs $\mu_B$

Exploiting simulations at imaginary  $\mu_B$  (no sign problem), we can study the  $\mu_B$  dependence of  $T_c$  too, and extrapolate to real  $\mu_B$  (here at  $LT = 4$ )

We find a similar slope in  $T(\mu_B)$  at  $\mu_B = 0$ , although the ordering disappears at larger imaginary  $\mu_B$  (sensitivity to Roberge-Weiss transition?)



→ transition line  $T(\mu_B)$  at different physical volumes (here from peak of  $\chi$ )

# To summarize

- We looked at chiral observables  $\langle \bar{\psi}\psi \rangle$ ,  $\chi$ , and deconfinement-related observables  $F_Q$ ,  $S_Q$  to study volume and chemical potential dependence
- Chiral symmetry restoration and deconfinement in the thermodynamic limit take place roughly at the same temperature
- However, the dependence on the volume is quite different: large volume effects for chiral symmetry restoration, much milder for deconfinement; and in opposite directions
- Similar dependence on the chemical potential (at large volume), with almost parallel transition lines  $T(\mu_B)$

# Lattice investigations of the phase diagram

## QCD transition temperature

Study of the volume and  $\mu_B$  dependence of  $T_c$

Adam, PP *et al*, 2015, 1509.00024

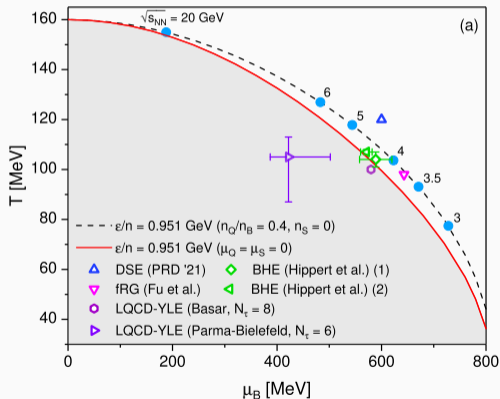
## II. Search for critical point with baryon fluctuations

Large-statistics study of Lee-Yang edge singularities

Adam, PP *et al*, coming soon

# The QCD critical point

The crossover is “expected” to turn first order at larger  $\mu_B$ , and the critical point would be in the same universality class as the 3D Ising model



Vovchenko, 2408.06473

→ recent estimates from different methods seem to “converge”



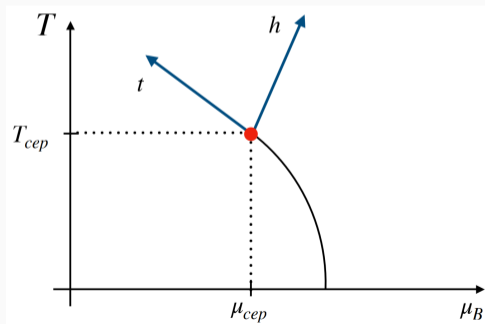
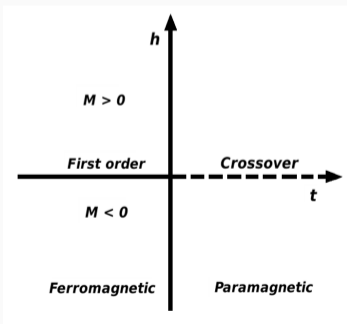
# Critical point and universality

In the Ising model, scaling fields are the reduced temperature  $t = \frac{T-T_c}{T_c}$  and the magnetic field  $h$ . They can be mapped onto QCD coordinates as:

$$t = A_t \Delta T + B_t \Delta \mu_B$$

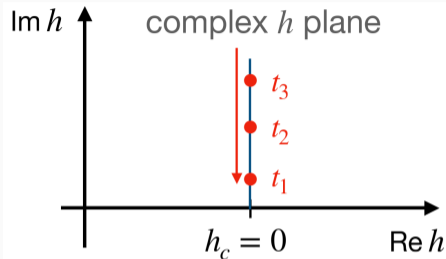
$$h = A_h \Delta T + B_h \Delta \mu_B$$

with  $\Delta T = T - T_c$ ,  $\Delta \mu_B = \mu_B - \mu_{BC}$ .



# Critical point from Lee-Yang edge singularities

- The partition function of a thermodynamic system has in general complex zeroes called Lee- Yang zeroes
- When the critical point is approached, these zeroes approach the real  $\mu_B$  axis
- Zeroes of  $\mathcal{Z}$  are singularities of the free energy  $f \sim \log \mathcal{Z}$ , and they accumulate at the so-called Lee-Yang edge (LYE) singularities



- The idea is to estimate the (complex) location of these singularities, then extrapolate to the critical point exploiting universality:

$$\text{Im}(\mu_{LY})(T) = A(T - T_c)^{\beta\delta}$$

- There are important caveats, mostly the assumption that we are in the scaling regime
- We will see that such an analysis suffers from large systematics

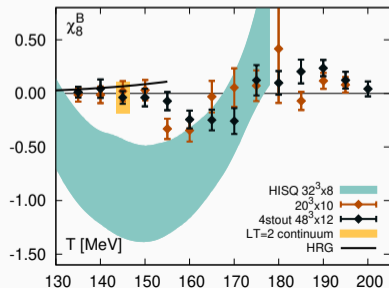
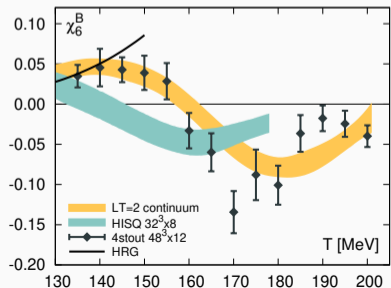
# Starting point: fluctuations

Derivatives of the free energy wrt the associated chemical potentials:

$$\chi_{ijk}^{BQS}(T, \mu_B, \mu_Q, \mu_S) = \frac{1}{VT^3} \frac{\partial^{i+j+k} \ln Z(T, \mu_B, \mu_Q, \mu_S)}{\partial (\mu_B/T)^i \partial (\mu_Q/T)^j \partial (\mu_S/T)^k}$$

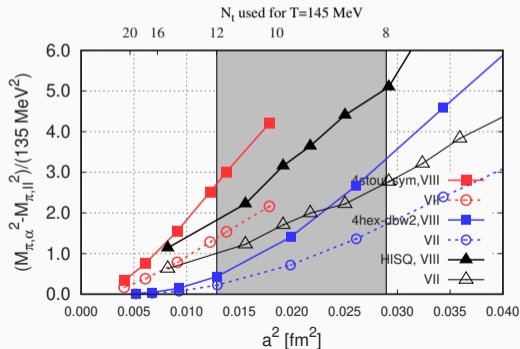
- promising signatures of critical point, provide a connection to experiment
- Taylor coefficients of equation of state  $\rightarrow$  basis for expansions/extrapolations

Orders 6,8 have never been continuum extrapolated only recently, in a small volume



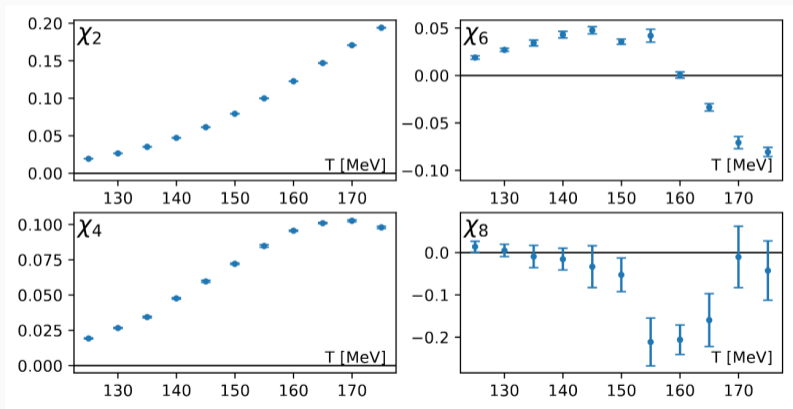
# New 4HEX action

- New staggered action, with  $N_f = 2 + 1$  and physical quark masses
- Four steps of HEX smearing, DBW2 gauge action
- The new action has much improved taste breaking over other discretizations  $\rightarrow$  much better handle on pion physics and interaction-heavy observables



# Our study

- Simulated the temperature range  $T = 125 - 175$  MeV on a  $16^3 \times 8$  lattice
- Very large statistics ensemble ( $\sim 500000$  configurations per temperature)



We want to carry out a study of LYE singularities with proper *systematic* uncertainties

# Our strategy

- Model the  $\mu_B$  dependence of the free energy (pressure), and search for singularities. We will use a Padé model (in  $\mu_B^2$  b/c of charge conjugation symmetry):

$$\text{Pade}[n, m](\mu_B^2) = \frac{P_n(\mu_B^2)}{1 + Q_m(\mu_B^2)} = \frac{\sum_{i=0}^n a_n \mu_B^{2i}}{1 + \sum_{j=1}^m b_n \mu_B^{2j}}$$

**Systematics # 1:** repeat the procedure for related quantities ( $\chi_1^B, \chi_2^B$ ), also singular!

- Model the approach to the critical point:

$$\text{Im}(\mu_{LY})^{1/\beta\delta} = A(T - T_c)$$

**Systematics # 2:** repeat the procedure for equivalent functional forms:

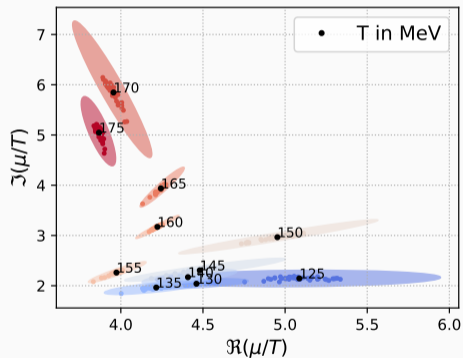
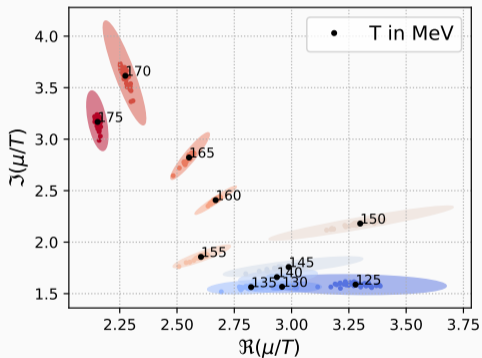
$$\text{Im}(\mu_{LY}/T)^{1/\beta\delta} \quad \text{Im}(\mu_{LY}^2)^{1/\beta\delta} \quad \text{Im}((\mu_{LY}/T)^2)^{1/\beta\delta}$$

- **Systematics # 3:** vary the fit range in  $T$

**Note:** we do not fit, but fix  $a_n, b_n$  directly from the  $\chi_n^B$

# LYE estimates

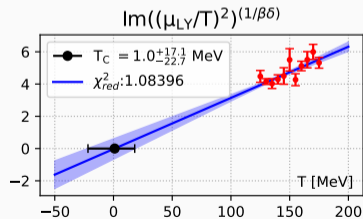
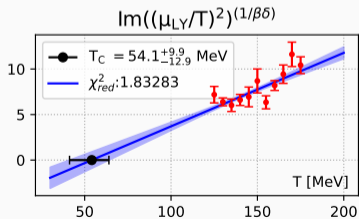
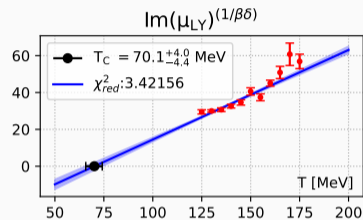
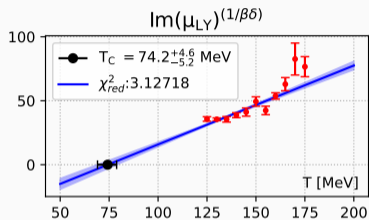
Estimates for the LYE singularities from  $\chi_2$  (left) and  $p$  (right)



The spreads reflect the statistical errors only

# Systematics

Estimates for  $T_c$  from  $\chi_2$  (left) and  $p$  (right)

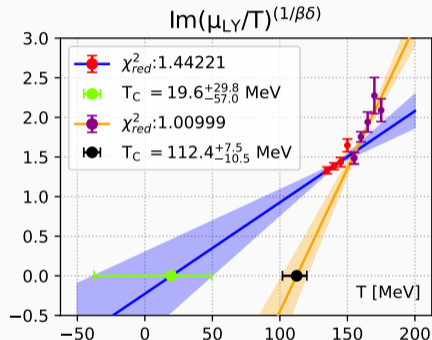
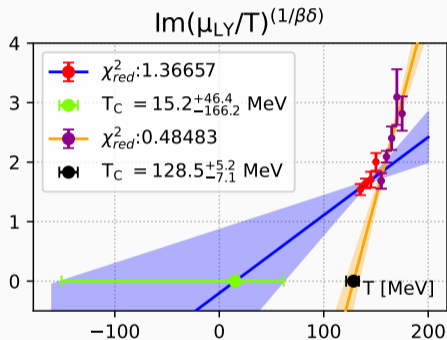


We can notice quite some variability changing the observable and the ansatz



# Systematics

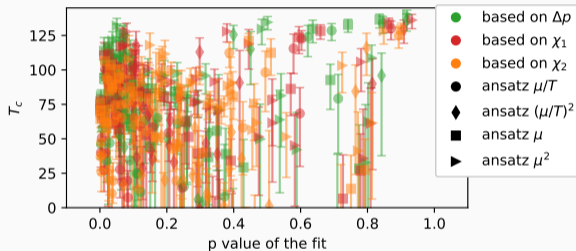
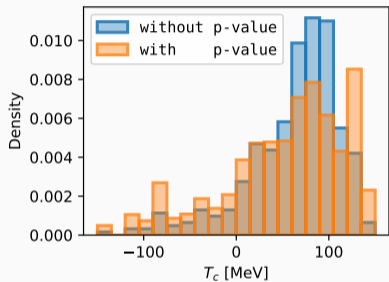
Dependence on fit range for  $T_c$  estimate from  $\chi_2$  (left) and  $p$  (right)



Very large dependence on the fitted range, but *a priori* we can't know where the scaling ansatz is valid!

# Systematics: wrap up

Putting together the  $3 \times 4 \times 36 = 432$  analyses:



When considering the systematic errors, it is extremely hard to make any predictions on the location of the CP.

Results that do not include systematic uncertainties should be taken with extreme care

# Summary

The mapping of the phase diagram of QCD continues!

- Looking at chiral and deconfinement-related observables we determined the dependence of the transition temperature on the volume and the chemical potential
- Much smaller volume effects for deconfinement than for chiral symmetry restoration, but similar  $\mu_B$  dependence
- Results in a small volume  $LT = 2$  with a new, improved action, with large statistics to search for the critical point
- Thorough analysis of LYE singularities with *systematic errors*. The conclusion is no conclusion

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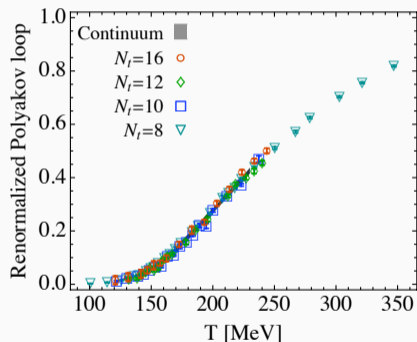
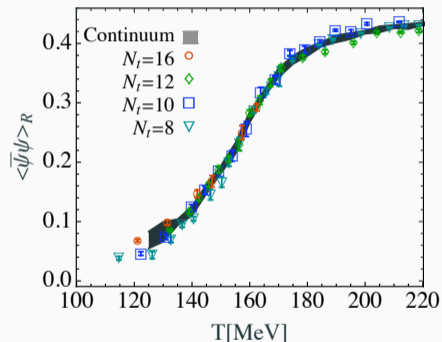
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**GRAZIE!**

**BACKUP**

# Confinement and chiral symmetry breaking

At low temperature and density, quarks and gluons are **confined** inside hadrons. The approximate **chiral symmetry** of QCD is **spontaneously broken**

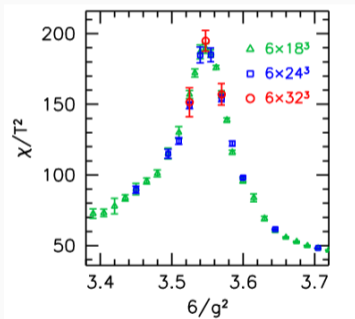


At large temperature and/or density, a **deconfined medium** is formed, with quasi-free quarks and gluons, with **effectively restored chiral symmetry**

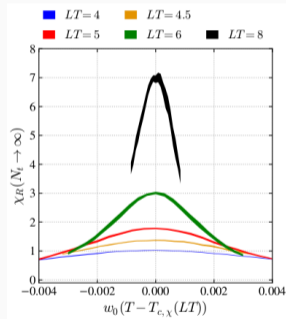
# The QCD transition: crossover vs. first order

On the lattice we study the volume scaling of certain quantities to determine the order of the transition

**Left:** physical masses



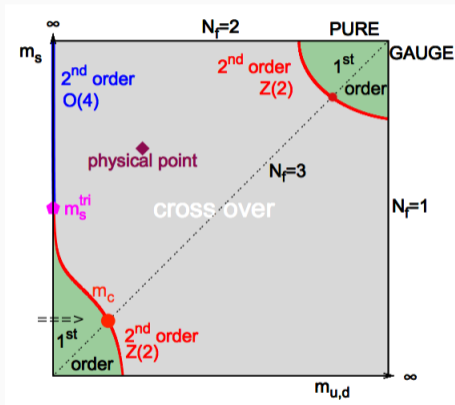
**Right:** infinite masses (pure gauge)



- For a crossover (left), the peak height is independent of the volume
- For a first order transition, it scales linearly with the volume

# The QCD transition: Columbia plot

As a function of the light (u,d) and strange quark masses, the order of the transition changes

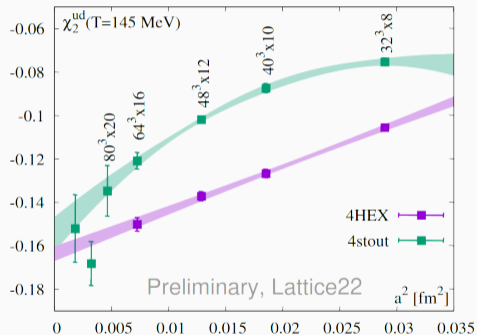
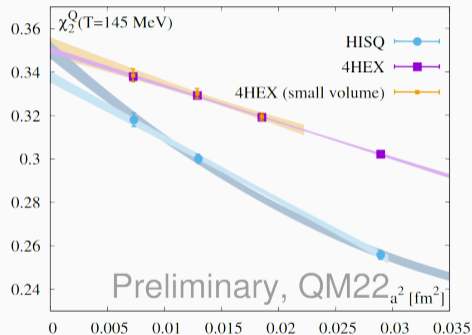


- At the physical point  $m_s/m_{ud} \simeq 27$ , the transition is a smooth crossover!
- In the heavy-quark limit (pure gauge), the transition is first order



# Continuum extrapolation with 4HEX action

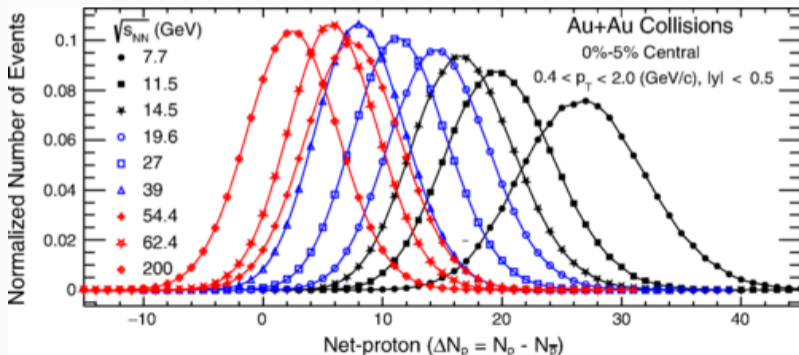
Smaller taste breaking  $\rightarrow$  smaller discretization effects  $\rightarrow$  cleaner continuum limit



Bellwied+ '15; Bollweg+ '21; Borsanyi, PP+ 'QM '22

# Heavy-ion collisions: event-by-event fluctuations

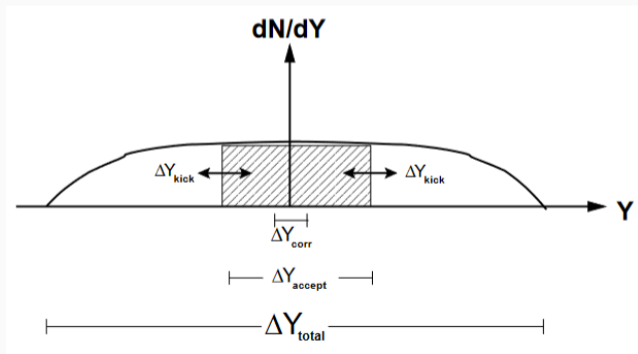
- Conserved charges in QCD are all quark numbers  
→ B (baryon number), Q (electric charge), S (strangeness)
- Weak effects are not considered (time's too short)
- Charm is ignored (might not thermalize)
- Conserved charges are conserved only on average in experiment



# Fluctuations of conserved charges

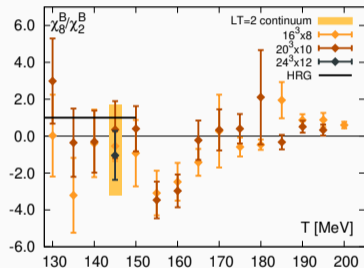
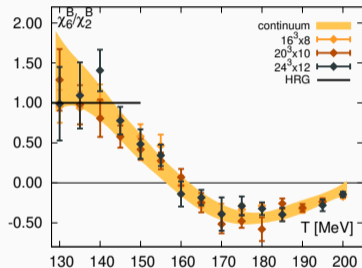
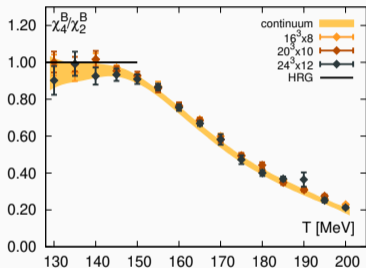
## How can CONSERVED CHARGES fluctuate?

- If we could measure ALL particles in a collision, they would not
- If we look at a small enough subsystem, fluctuations occur and become meaningful



# High-order baryon fluctuations

Continuum extrapolation of baryon fluctuation ratios  $B_4/B_2$ ,  $B_6/B_2$ ,  $B_8/B_2$  (only at  $T = 145$  MeV)



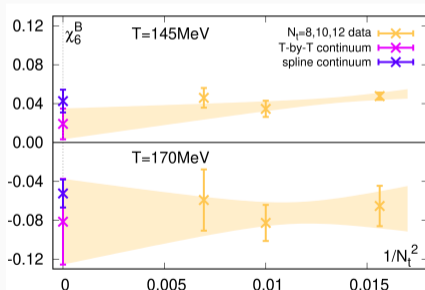
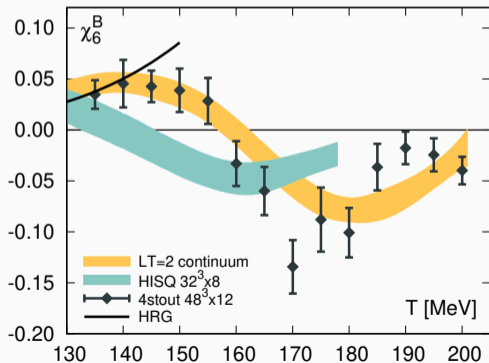
Small discretization effects, the continuum limit is very clean  
These are the first ever continuum  $\mathcal{O}(\mu_B^6)$  and  $\mathcal{O}(\mu_B^8)$  results

**Borsanyi, PP+ '23**

# High-order baryon fluctuations: comparisons

Continuum extrapolated  $\chi_6^B$  with same ansatz, compared to non-continuum results:

- small finite volume effects (especially at low T)
- **not** small cutoff effects in existing  $N_\tau = 8$  data (sign is opposite, slope is opposite)

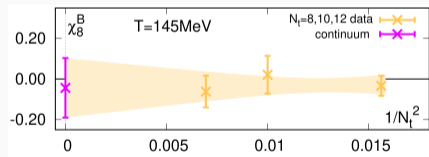
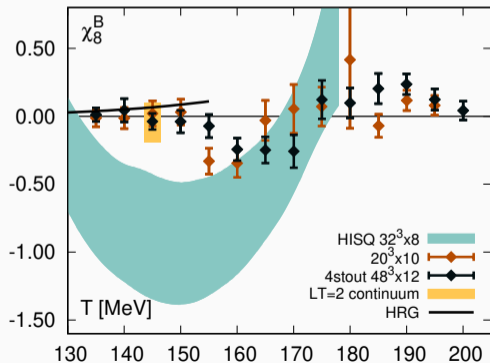


**4stout:** Borsanyi+ '18; **HISQ:** Bollweg+ '22; **4HEX (new):** Borsanyi, PP+ '23

# High-order baryon fluctuations: comparisons

Continuum extrapolated  $\chi_8^B$  at  $T = 145$  MeV only, compared to non-continuum results:

- again, small finite volume effects (especially at low  $T$ )
- cutoff effects way out of control in existing  $N_\tau = 8$

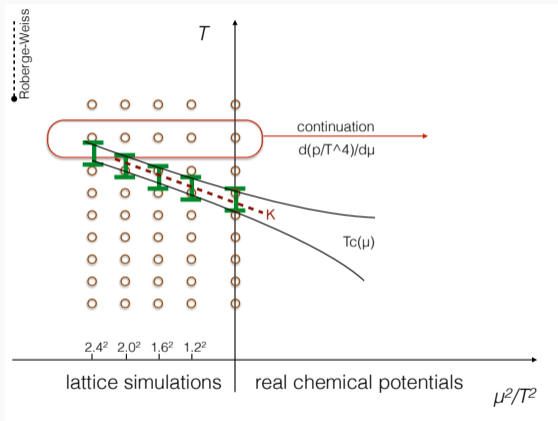


4stout: Borsanyi+ '18; HISQ: Bollweg+ '22; 4HEX (new): Borsanyi, PP+ '23

# Simulations at imaginary chemical potential

- While for real chemical potential ( $\mu^2 > 0$ )  $\det M(U)$  is complex, for **imaginary** chemical potential ( $\mu^2 < 0$ )  $\det M(U)$  is real
- We perform simulations at imaginary chemical potentials:

$$\hat{\mu}_B = i \frac{j\pi}{8} \quad j = 0, 1, 2, \dots$$



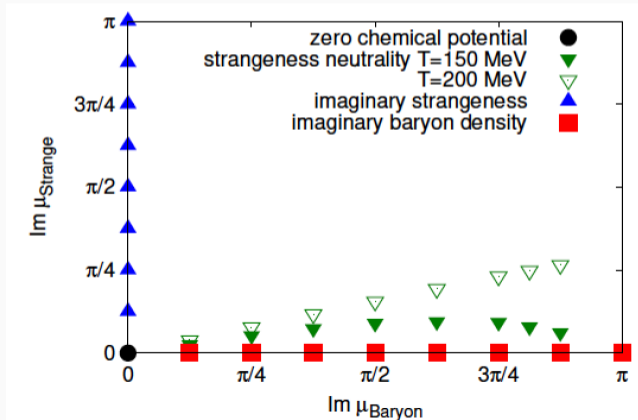
We then analytically continue to  $\mu^2 > 0$  by means of suitable extrapolation schemes

# Simulations at imaginary chemical potential

Strangeness neutrality (or not)

Set the chemical potentials for heavy-ion collisions scenario, or simpler setup:

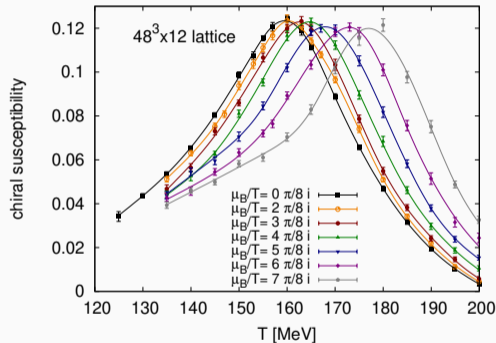
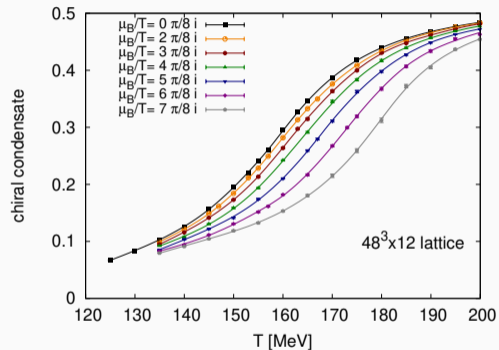
$$\langle n_S \rangle = 0 \quad \langle n_Q \rangle = 0.4 \langle n_B \rangle \quad \text{or} \quad \mu_Q = \mu_S = 0$$





# Chiral observables at imaginary $\mu_B$

Chiral condensate and chiral susceptibility at imaginary chemical potential



- From here, we could determine the inflection point of  $\langle \bar{\psi}\psi \rangle(T)$  and the peak of  $\chi(T)$  (this was done in e.g., [Bellwied:2015rza])
- However, we are after great precision, and the complicated shapes of these curves are an obstacle

# Lattice QCD at finite $\mu_B$ - Taylor coefficients

cane

- Fluctuations of baryon number are the Taylor expansion coefficients of the pressure

$$\chi_{ijk}^{BQS}(T) = \left. \frac{\partial^{i+j+k} p/T^4}{\partial \hat{\mu}_B^i \partial \hat{\mu}_Q^j \partial \hat{\mu}_S^k} \right|_{\vec{\mu}=0}$$

- Signal extraction is increasingly difficult with higher orders, especially in the transition region
- Higher order coefficients present a more complicated structure

