

Interpreting the output of dose maps predicted by a Unet with the γ -index analysis

next-AIM workshop on XAI techniques for medical data analysis

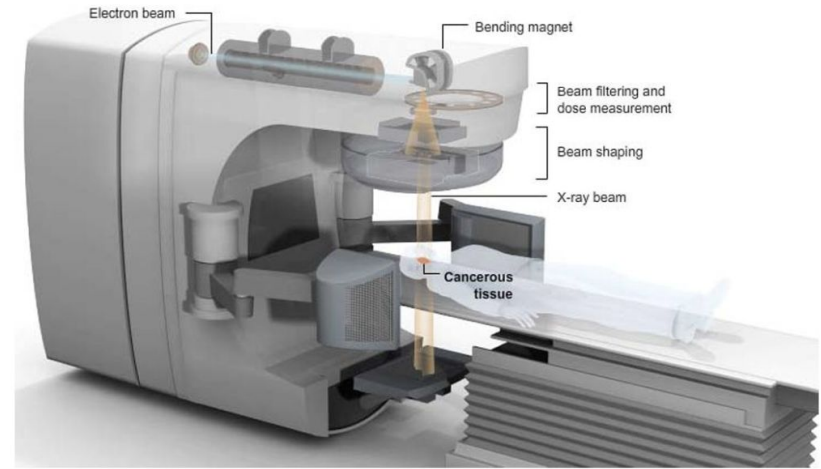
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16th-18th October 2024, Bari



What is Radiotherapy?

RT consists of the medical **treatment of tumors**, by exposing the affected tissues to **ionizing radiation** (e.g., X-rays, e-)



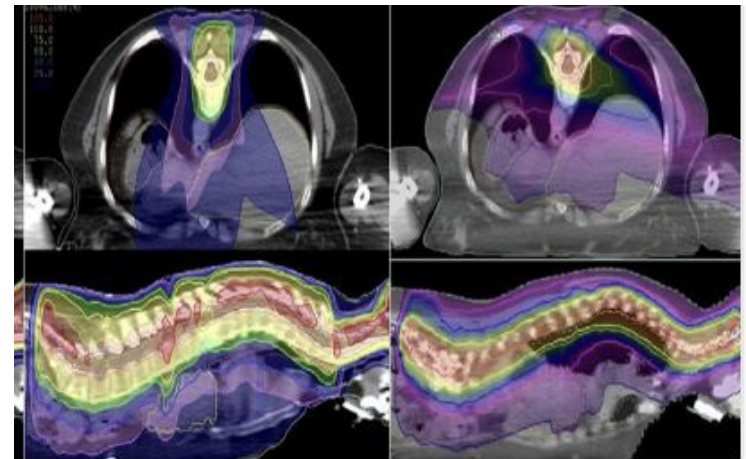
RT has advanced, but it requires sophisticated systems to **ensure correct dose administration**.



Errors caused by **machinery malfunctioning**, in patient **positioning** or changes in **anatomy** must be detected to prevent accidents and treatment errors.

IMRT

VMAT



Major accidents in RT



Equipment malfunction

- Accelerator software problems (USA and Canada)
- Incorrect repair of accelerator (Spain)
- Accelerator interlock failure (Poland)



Calibration of RT equipment

- Incorrect decay data (USA)
- Miscalibration of beam (Costa Rica)



Errors in treatment planning

- Erroneous use of TPS (UK)
- Computer file not updated (USA)
- Errors in TPS data entry (Panama)



IAEA

International Atomic Energy Agency

Better technologies are needed to **verify treatment quality.**



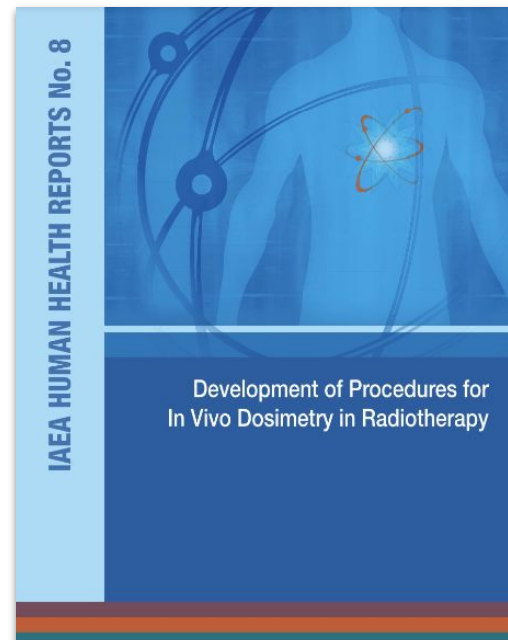
In-Vivo Dosimetry: a possible solution

IVD is a **direct method for measuring radiation doses** in cancer patients undergoing RT.

Purpose: ensure treatment is executed as prescribed.

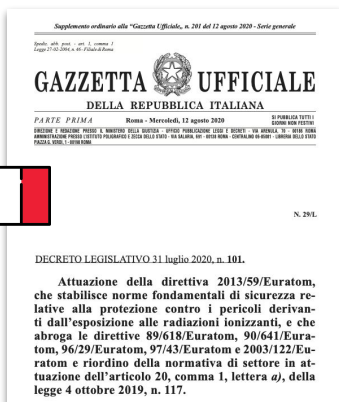
Safety & Compliance:

- Acts as a safety measure for dose delivery.
- Meets patient **radiation protection standards** set by **national regulatory bodies**.

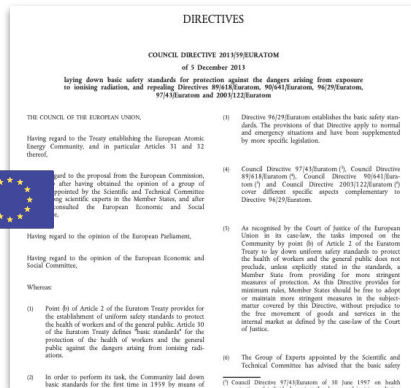


In vivo dosimetry involves the measurement of radiation doses to patients during their radiation treatment in order to ensure that the treatments are carried out as they were intended. For many years, it has been common practice to use in vivo dosimetry to check doses to organs at risk (e.g. skin, eye or rectum). The primary goal of in vivo dosimetry, however, is **quality assurance (QA) of the radiotherapy process**. It is considered an important part of quality management of a radiotherapy department. Following recommendations by the World Health Organization (WHO), the International Commission on Radiological Protection (ICRP), the IAEA [1–3] and other bodies [4–6], the use of in vivo dosimetry has become more widespread.

In vivo dosimetry is used for the overall verification of the chain of treatment preparation and delivery. As such, it measures the radiation dose to the patient, which can be affected by many variables in the overall **radiotherapy process**. The global results of measurements of patient doses provide the information necessary for assessment of the accuracy and precision in dose planning and delivery for a specific treatment site, or by a given radiotherapy machine. In vivo dosimetry can also be used for the estimation of uncertainties in radiation treatment at a given institution.

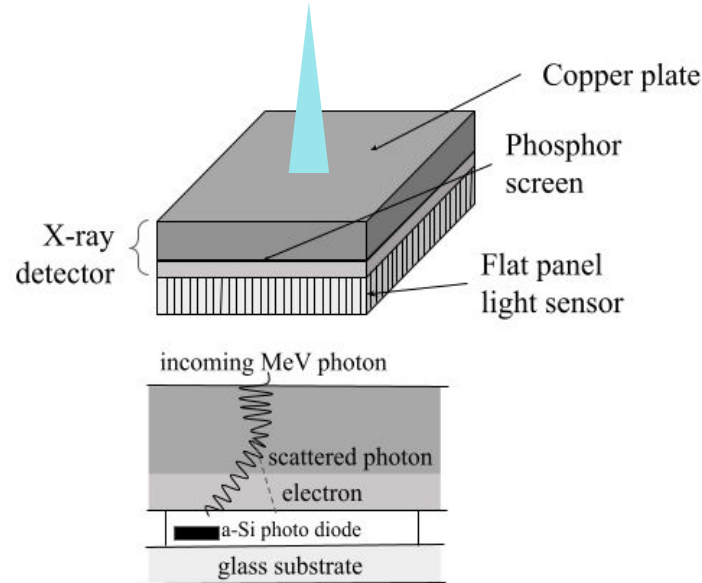
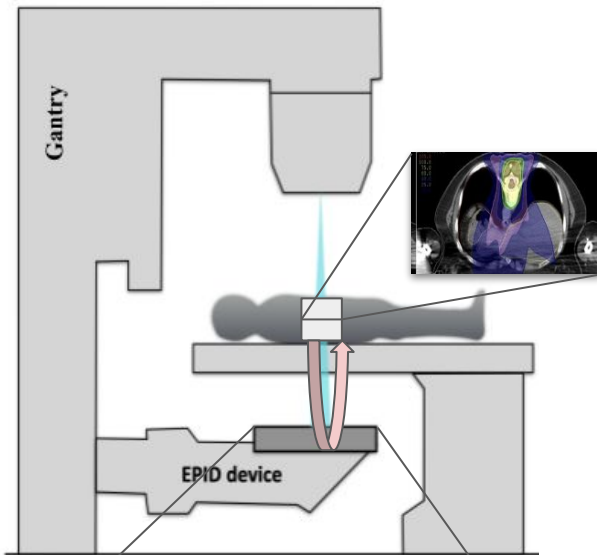


DECRETO LEGISLATIVO 31 luglio 2020, n. 101



COUNCIL DIRECTIVE 2013/59/EURATOM

Electronic Portal Imaging Device for IVD



Advantages

- 1) High **resolution**
- 2) **rapid** image capture
- 3) long-term **stability**

Limitations

Current methods are based on **physical models** and MC simulations:



time-consuming

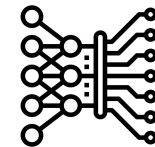
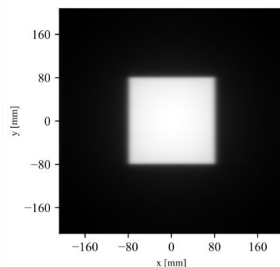


complex



limited clinical applicability

EPIDs detectors capture **X-ray fluence** on a pixel-based surface to produce **2D digital images**



Opportunity for DL

Use DL models to **simplify EPID dose reconstruction** without traditional physical models.

Can AI be explored to address this issues?

Phys. Med. Biol. 66 (2021) 235011

<https://doi.org/10.1088/1361-6560/ac3b66>

Physics in Medicine & Biology



PAPER

Deep learning-based 3D *in vivo* dose reconstruction with an electronic portal imaging device for magnetic resonance-linear accelerators: a proof of concept study

Yongbao Li^{1,2,3}, Fan Xiao^{2,3}, Biaoshui Liu¹, Mengke Qi¹, Xingyu Lu², Jiajun Cai², Linghong Zhou^{2,4} and Ting Song^{2,4*}

¹ Department of Radiation Oncology, Sun Yat-sen University Cancer Center, State Key Laboratory of Oncology in South China, Collaborative Innovation Center for Cancer Medicine, Guangzhou, People's Republic of China

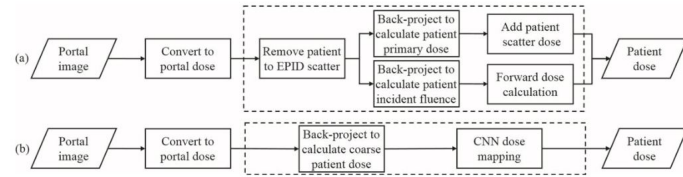
² School of Biomedical Engineering, Southern Medical University, Guangzhou, People's Republic of China

³ These authors contributed to the work equally and should be regarded as co-first authors.

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Keywords: *in vivo* dose reconstruction, MR-LINAC, deep learning, EPID



<https://doi.org/10.1088/1361-6560/ac3b66>

STATE-OF-THE-ART

- only **few publications**
- mainly on **simulated data**
- only **U-net** architecture explored
- only one **loss function** for training phase explored (MSE)

RESEARCH Open Access

A feasibility study for *in vivo* treatment verification of IMRT using Monte Carlo dose calculation and deep learning-based modelling of EPID detector response

Jun Zhang^{1*}, Zhibiao Cheng¹, Ziting Fan¹, Qilin Zhang², Xile Zhang², Ruijie Yang² and Junhai Wen^{1*}

Abstract

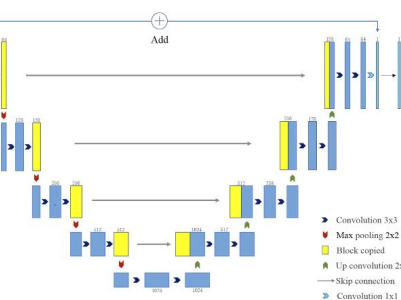
Background: This paper describes the development of a predicted electronic portal imaging device (EPID) transmission image (TI) using Monte Carlo (MC) and deep learning (DL). The measured and predicted TI were compared for two-dimensional *in vivo* radiotherapy treatment verification.

Methods: The plan CT was pre-processed and combined with solid water and then imported into PRIMM. The MC method was used to calculate the dose distribution of the combined CT. The **U-net neural network-based deep learning model** was trained to predict EPID TI based on the dose distribution of solid water calculated by PRIMM. The predicted TI was compared with the measured TI for two-dimensional *in vivo* treatment verification.

Results: The EPID TI of 1500 IMRT fields were acquired, among which 1200, 150, and 150 fields were used as the training set, the validation set, and the test set, respectively. A comparison of the predicted and measured TI was carried out using **global gamma analysis** of 3%/3 mm and 2%/2 mm (5% threshold) to validate the model's accuracy. The gamma pass rates were greater than 96.7% and 92.3%, and the mean gamma values were 0.21 and 0.32, respectively.

Conclusions: Our method facilitates the modelling process more easily and increases the calculation accuracy when using the MC algorithm to simulate the EPID response, and has potential to be used for *in vivo* treatment verification in the clinic.

Keywords: Monte Carlo, PRIMM, Deep learning, EPID, *In vivo* verification



<https://doi.org/10.1186/s13014-022-01999-3>



Original paper

Towards real-time EPID-based 3D *in vivo* dosimetry for IMRT with Deep Neural Networks: A feasibility study

Juliana Cristina Martins^{1,2}, Joscha Maier³, Chiara Gianoli⁴, Sebastian Neppel^{1,2}, Georgedes⁵, Abdulaziz Alhazmi^{1,2}, Stella Velozo^{1,2}, Michael Reiner², Claus Belka¹, Marc Kachelrieß^{1,2}, Katia Parodi^{1*}

¹ Department of Medical Physics, Institute of Physics, Ludwig-Maximilians-Universität München, Am Coulombwall 1, Garching B, München, 85746, Germany

² German Cancer Research Center (DKFZ), Im Neuenheimer Feld 286, Heidelberg, 69126, Germany

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ARTICLE INFO

Keywords:

EPID

In vivo dosimetry

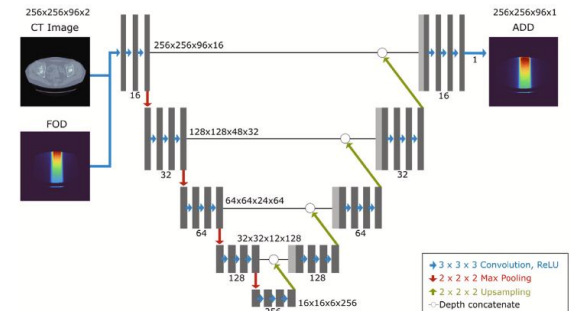
Deep Neural Networks

Monte Carlo

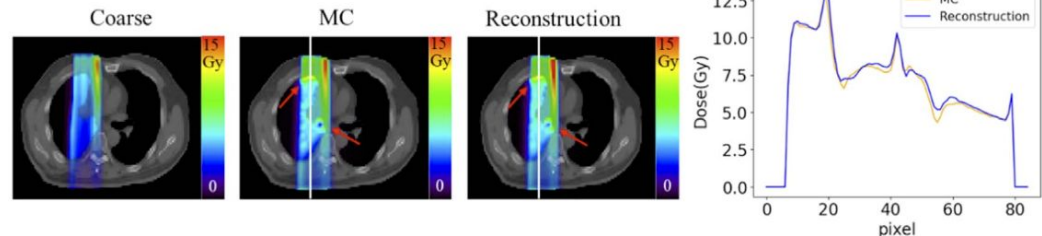
Radiotherapy

ABSTRACT

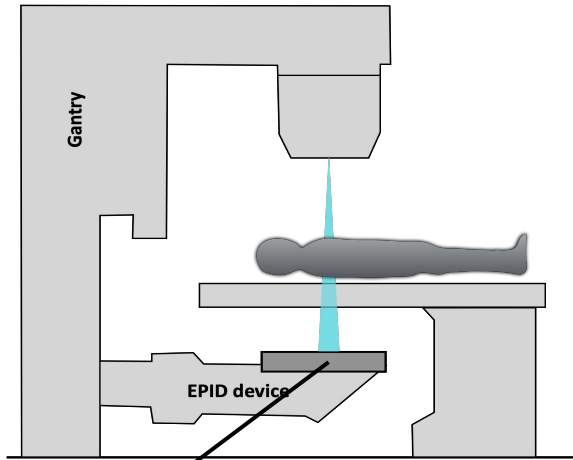
We investigate the potential of the Deep Dose Estimate (DDE) neural network to predict 3D dose distributions inside patients with Monte Carlo (MC) accuracy, based on transmitted EPID signals and patient CTs. The network was trained using an input patient CTs and first-order dose approximation (FOD). Accurate dose distributions (ADD) simulated with MC were given as training targets. 83 pelvic CTs were used to simulate ADDs and respective EPID signals for multileaf collimator (MLC) plans (gantry at 90°). TDDs were produced as backprojections from the EPID signals. 581 ADD-FOD sets were produced and divided into training and test sets. An additional dataset simulated with gantry at 90° (lateral set) was used for evaluating the performance of the DDE in different beam directions. The quality of the FODs and DDE predicted dose distributions (DDDs) with respect to ADDs, from the test and lateral sets, was evaluated with gamma analysis (3%/2 mm). The passing rates between FODs and ADDs were as low as 46%, while for DDEs the passing rates were above 97% for the test set. Noteworthy improvements were also observed for the lateral set. The high passing rates for DDEs indicate that the DDE is able to convert FODs into ADDs. Moreover, the trained DDE predicts the dose inside a patient CT within 0.6 MUs (0.6%) (EPID), in contrast to 1.4 MUs (1.4%) (lateral), and *in vivo* dose distributions due to clinical patient translation can be obtained within seconds, with 30% dose accuracy, potentially paving the way towards real-time EPID-based *in vivo* dosimetry.



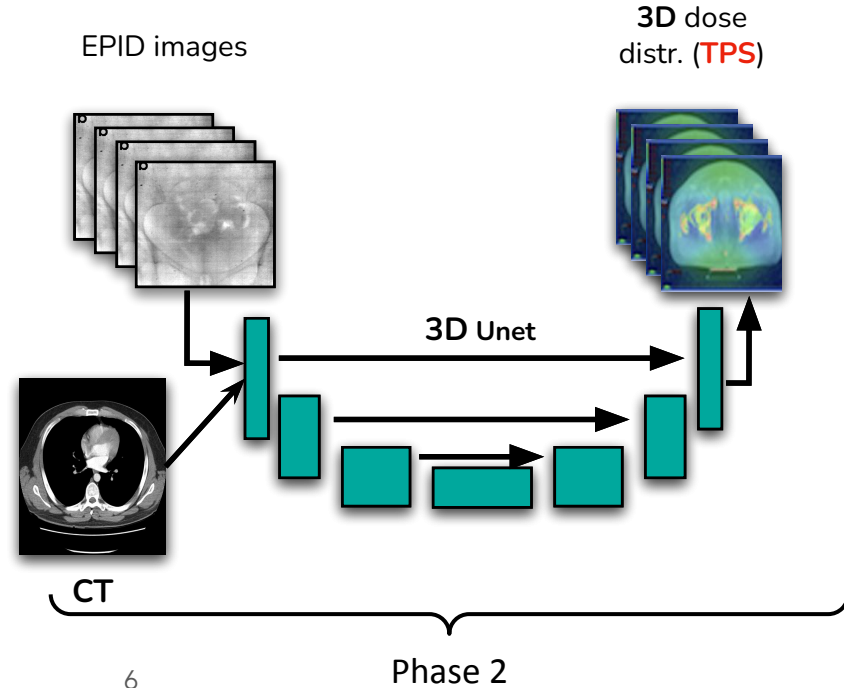
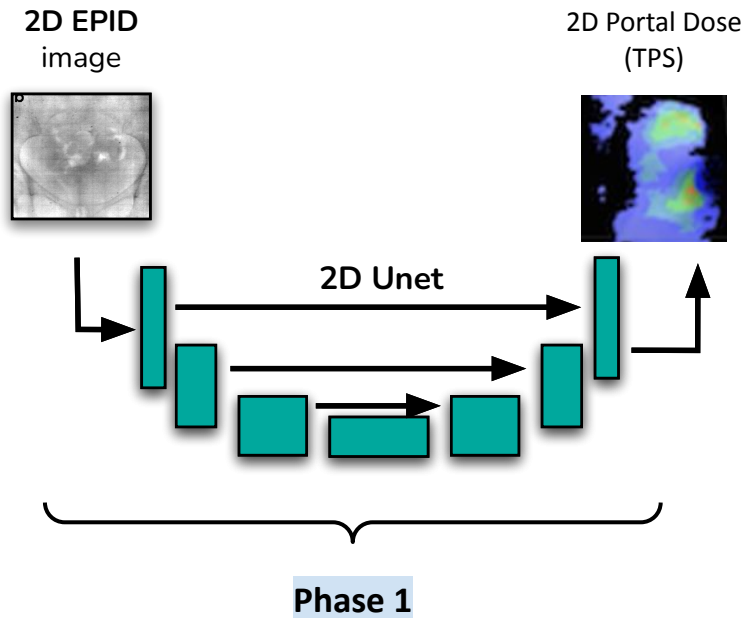
[https://www.physicamedica.com/article/S1120-1797\(23\)00175-8/abstract](https://www.physicamedica.com/article/S1120-1797(23)00175-8/abstract)



Goal of the Ph.D. project



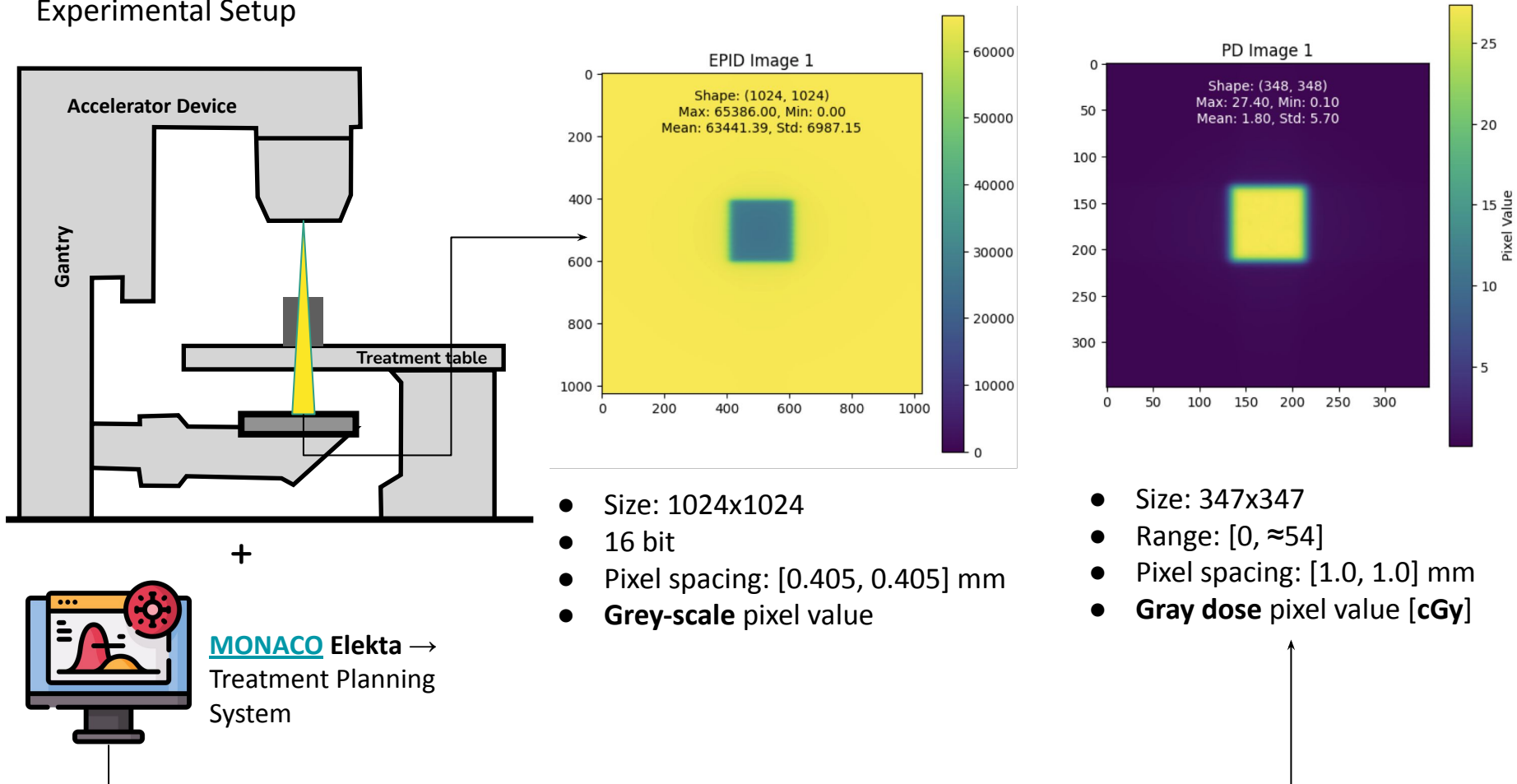
The main purpose of this Ph.D. project is to develop a **multi-input DL-based 3D in-vivo dose reconstruction framework** based on **real EPID images** acquired during dose delivery.



Data exploration

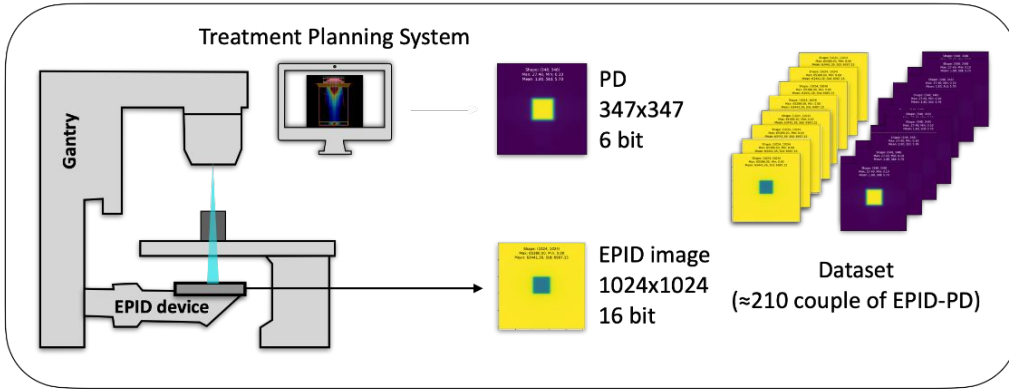
We collected **210 pairs** of EPID-PD images from various phantoms representing different material densities (lung, solid water, titanium, and bone), along with corresponding simulated dose images).

Experimental Setup



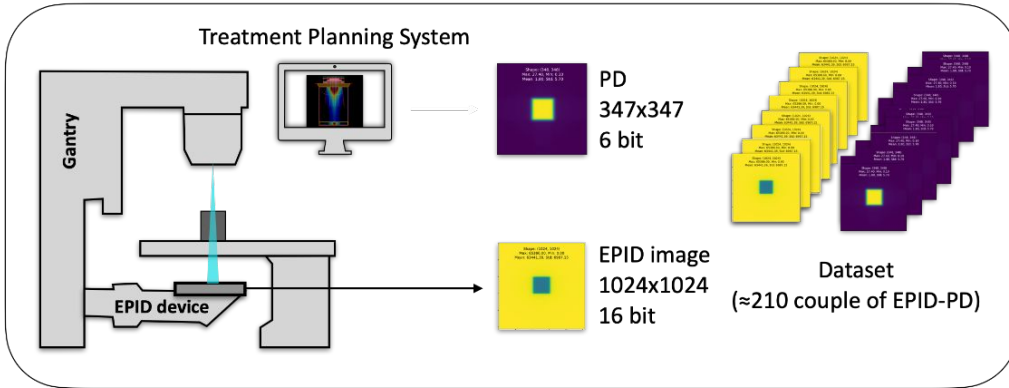
Pipeline of the analysis

(1) Data collection

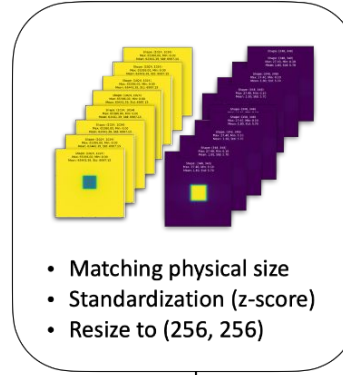


Pipeline of the analysis

(1) Data collection

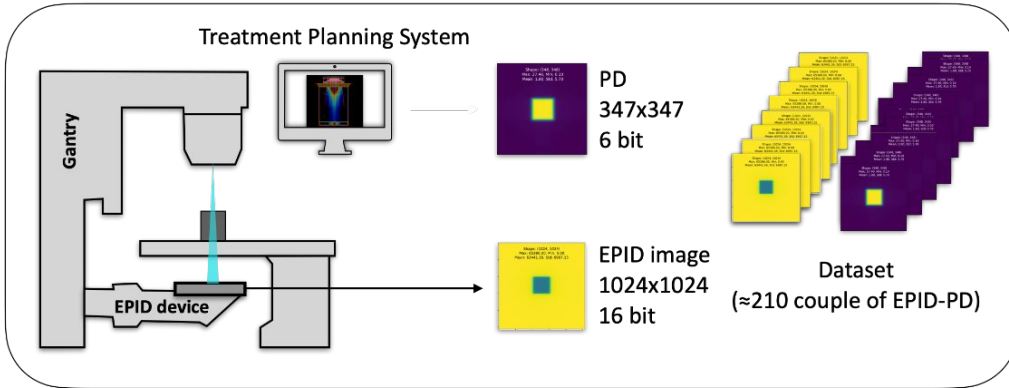


(2) Preprocessing

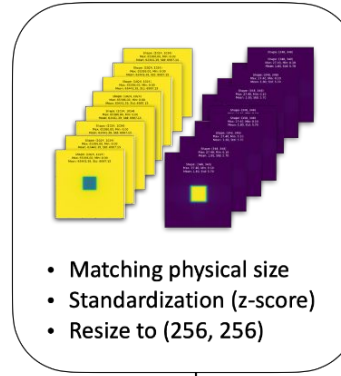


Pipeline of the analysis

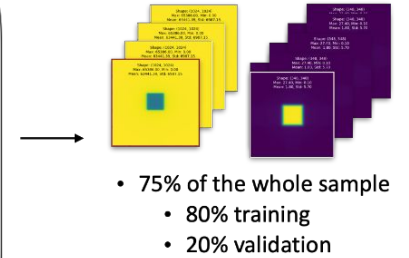
(1) Data collection



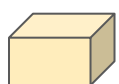
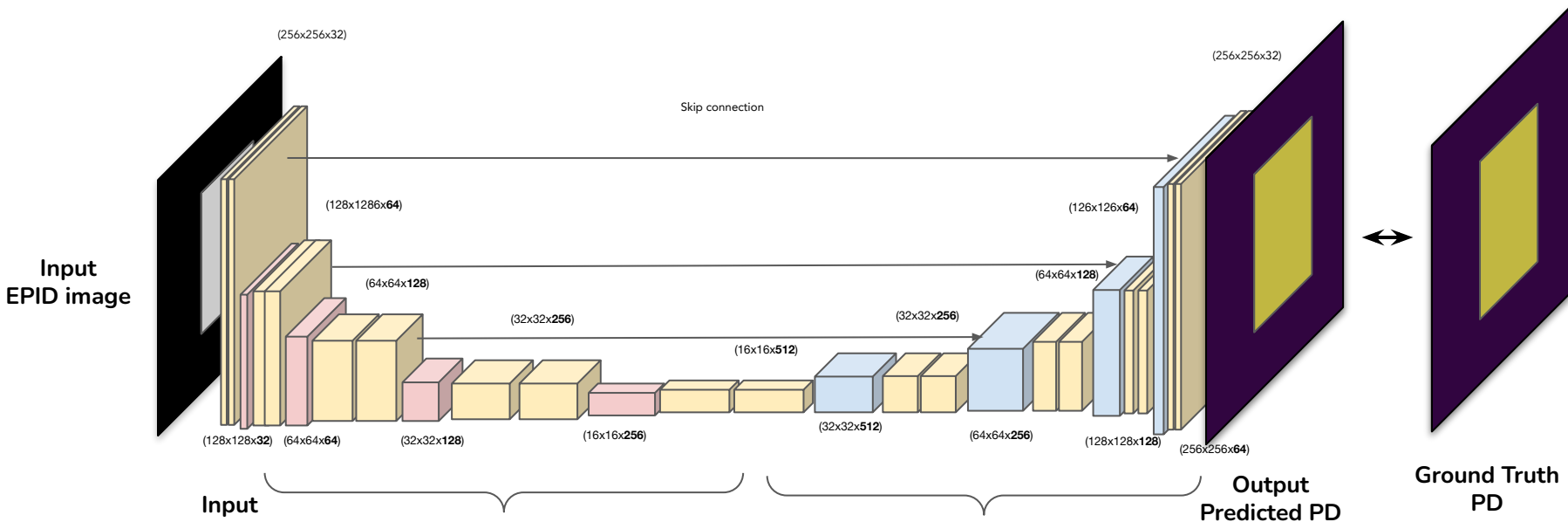
(2) Preprocessing



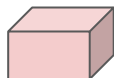
(3) Data partition



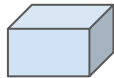
Unet architecture



Con2D + Relu

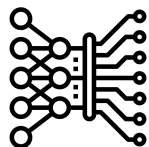


MaxPooling2D



ConTranspose2D

$$MSE = \frac{1}{N} \sum_{i=1}^N (Dose_i - Dose_{i,pred})^2$$



Model Architecture

U-net designed to map EPID images to PD distributions.



Optimization

Loss function: Mean Square Error (MSE)
| Optimizer: Adam | Batch size of 8, 200 epochs.

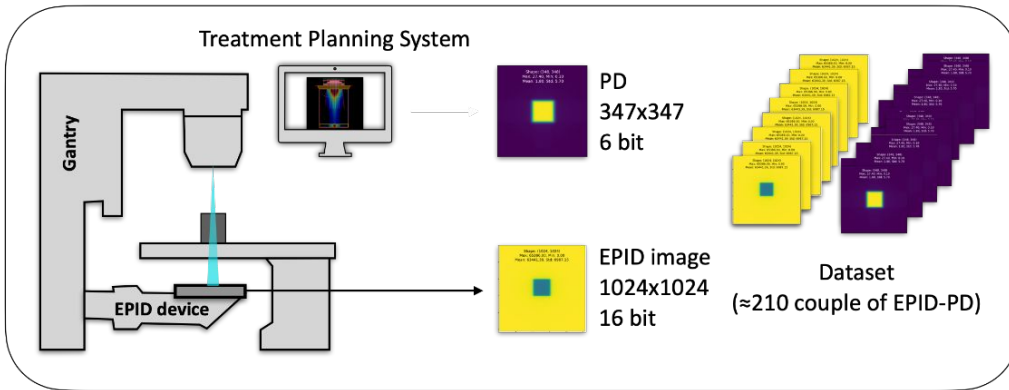


Evaluation Metric

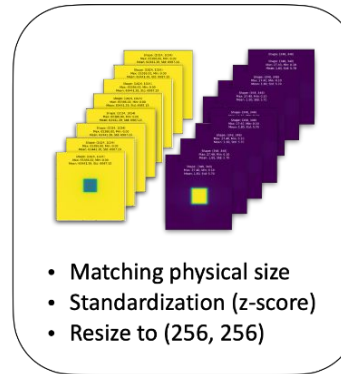
Mean Absolute Error (MAE)
between model predictions and true PD images.

Pipeline of the analysis

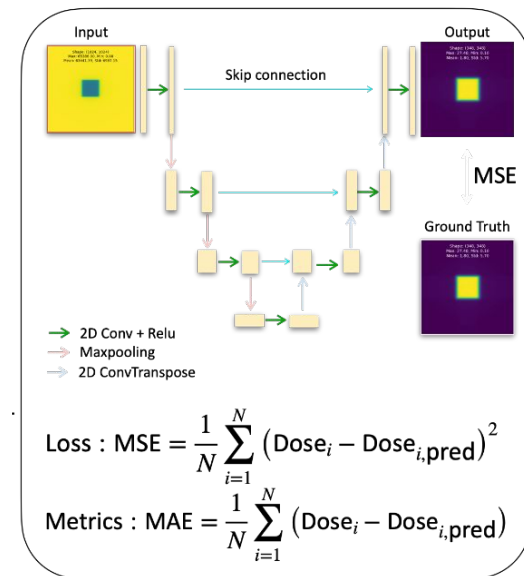
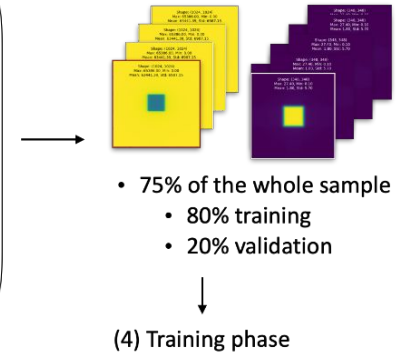
(1) Data collection



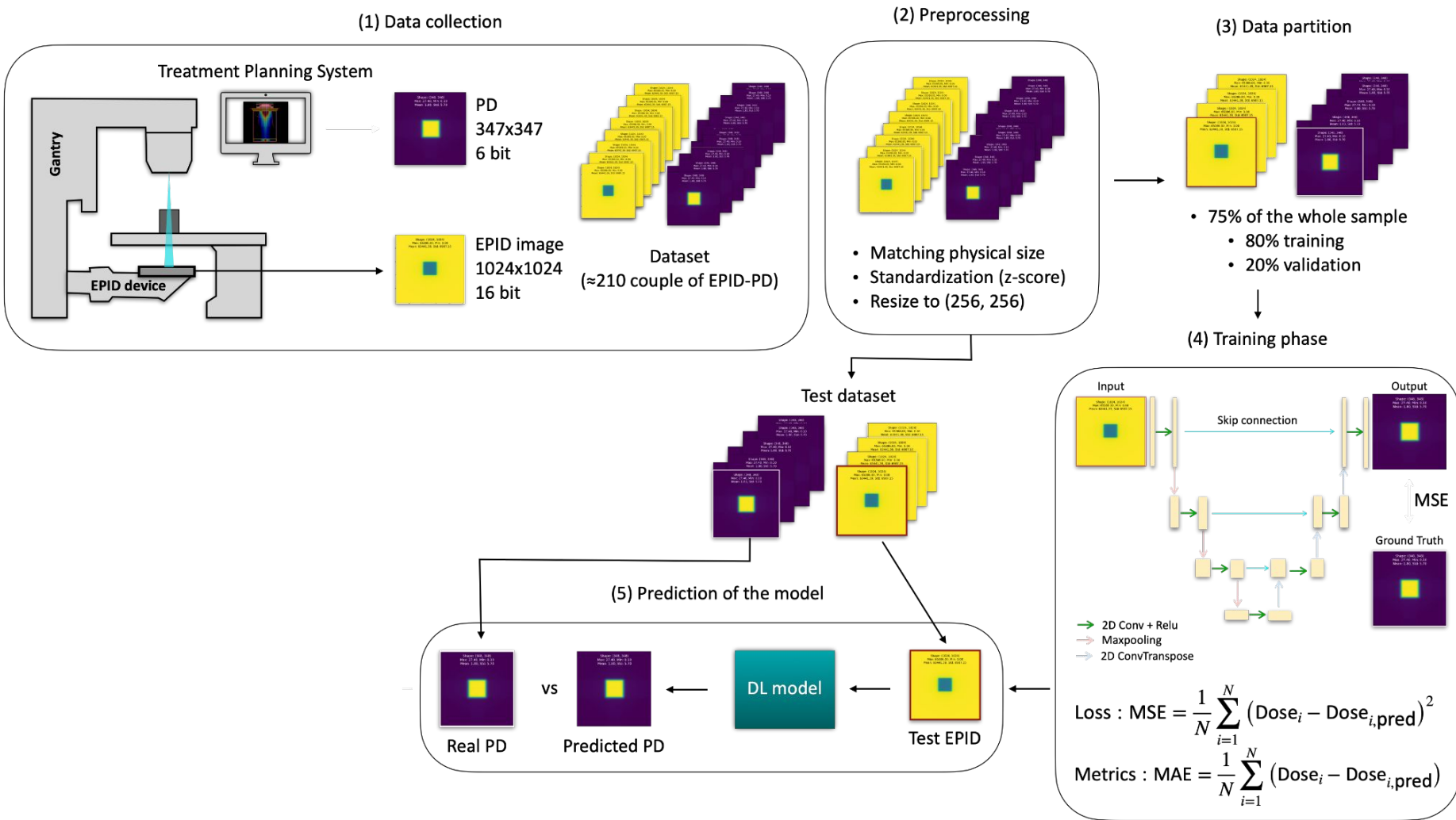
(2) Preprocessing



(3) Data partition

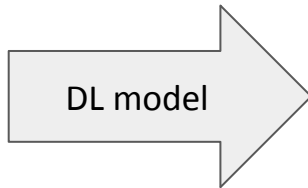


Pipeline of the analysis

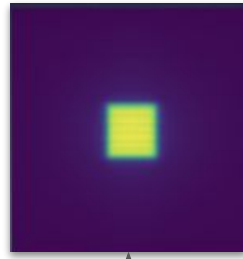


How to evaluate the accuracy of the reconstruction?

Input: EPID image

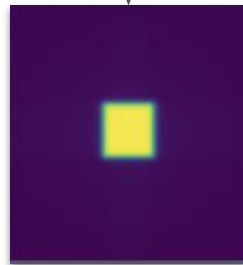


Output: predicted PD



VS

Ground truth:
real PD



γ -index analysis

- The agreement between simulated and reconstructed doses is assessed by **comparing both dose and position**.
- The **γ -index** merges **dose difference** and **distance to agreement (DTA)** into one metric.
- Points with **$\gamma > 1$ fail the test**.
- A plane is **clinically acceptable** if over **95% of points have $\gamma \leq 1$** .

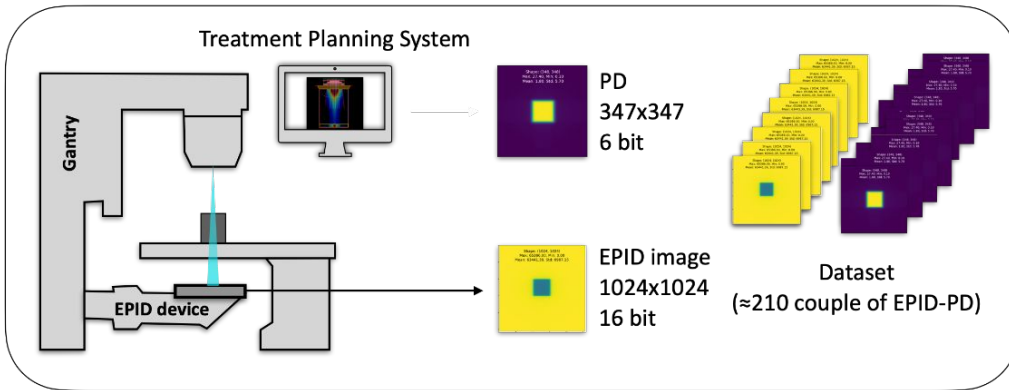
$$\Gamma(\mathbf{r}_{\text{real}}, \mathbf{r}_{\text{pred}}) = \sqrt{\frac{\Delta \mathbf{r}^2(\mathbf{r}_{\text{real}}, \mathbf{r}_{\text{pred}})}{\delta r^2} + \frac{\Delta D^2(\mathbf{r}_{\text{real}}, \mathbf{r}_{\text{pred}})}{\delta D^2}}$$

$$\gamma(\mathbf{r}_{\text{real}}, \mathbf{r}_{\text{pred}}) = \min\{\Gamma(\mathbf{r}_{\text{real}}, \mathbf{r}_{\text{pred}})\} \forall \mathbf{r}_{\text{real}}$$

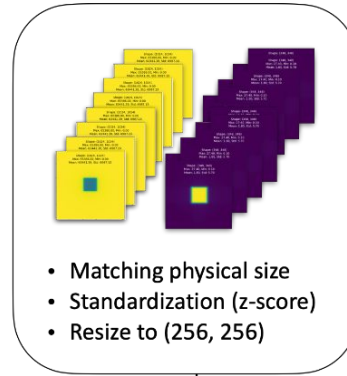
$$\gamma \text{-passing rate} = \frac{\#\gamma < 1}{\#\text{tot}\gamma}$$

Pipeline of the analysis

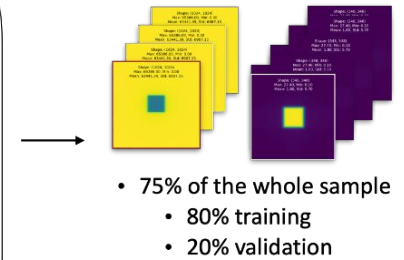
(1) Data collection



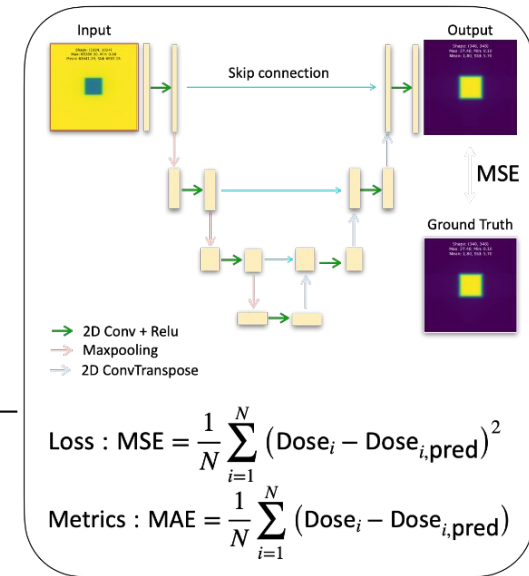
(2) Preprocessing



(3) Data partition



(4) Training phase

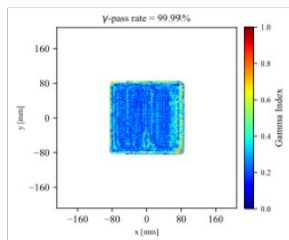


(6) Evaluation of the performance

$$\Gamma(r_{real}, r_{pred}) = \sqrt{\frac{\Delta r^2(r_{real}, r_{pred})}{\delta r^2} + \frac{\Delta D^2(r_{real}, r_{pred})}{\delta D^2}}$$

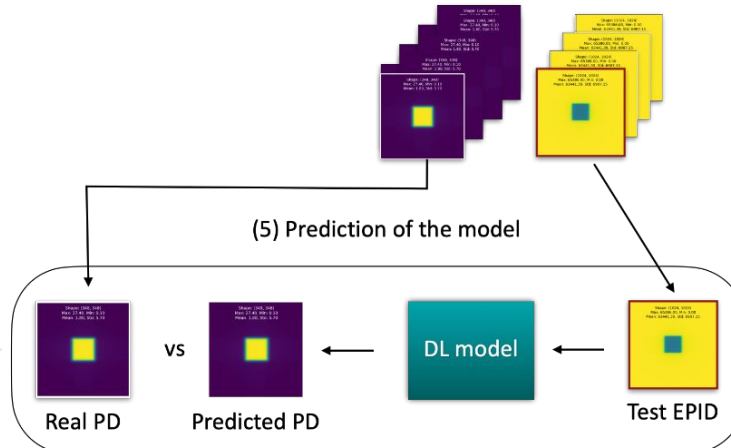
$$\gamma(r_{real}, r_{pred}) = \min\{\Gamma(r_{real}, r_{pred})\} \forall r_{real}$$

$$\gamma\text{-pass rate} = \frac{\#\gamma < 1}{\#tot\gamma}$$

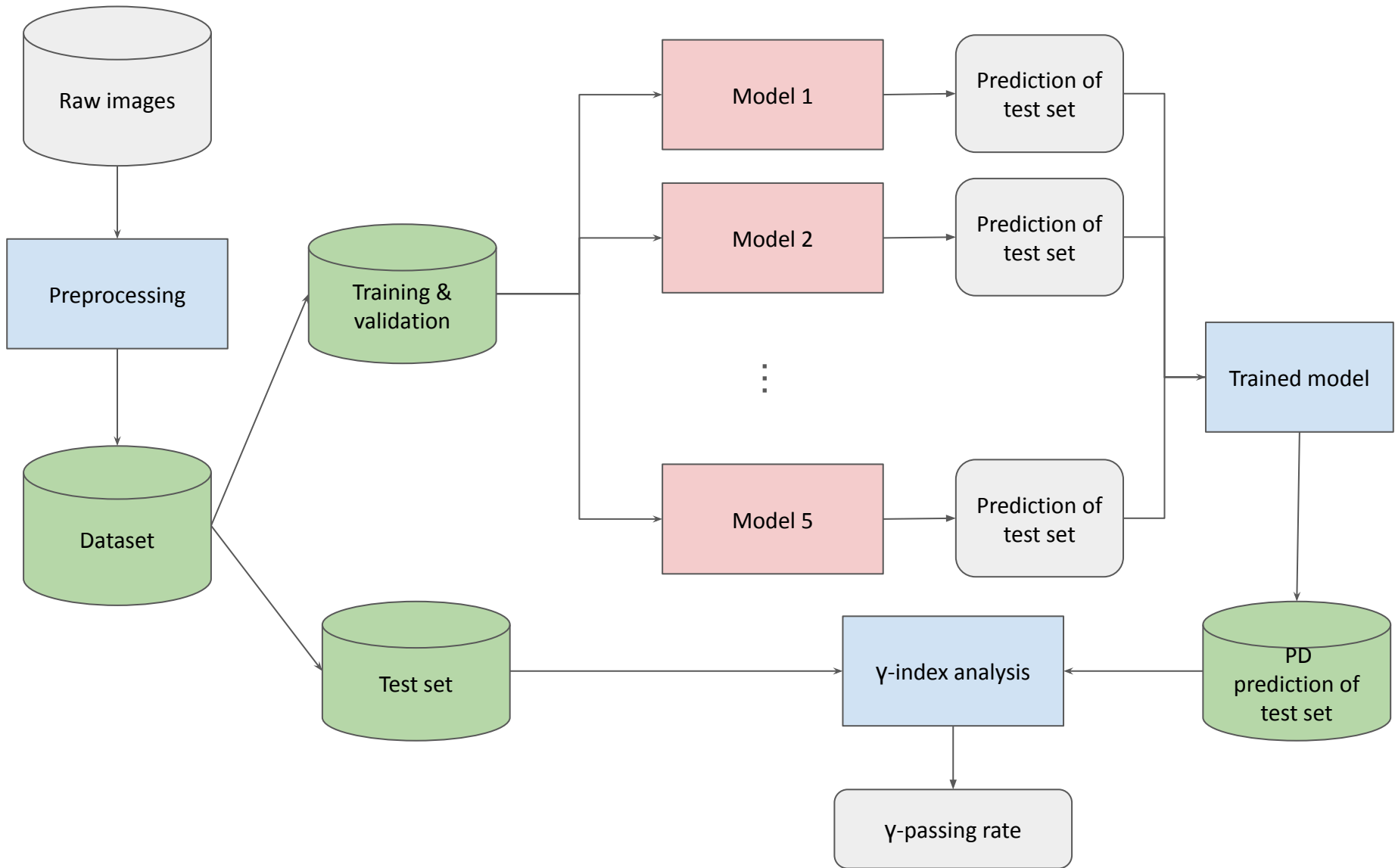


Test dataset

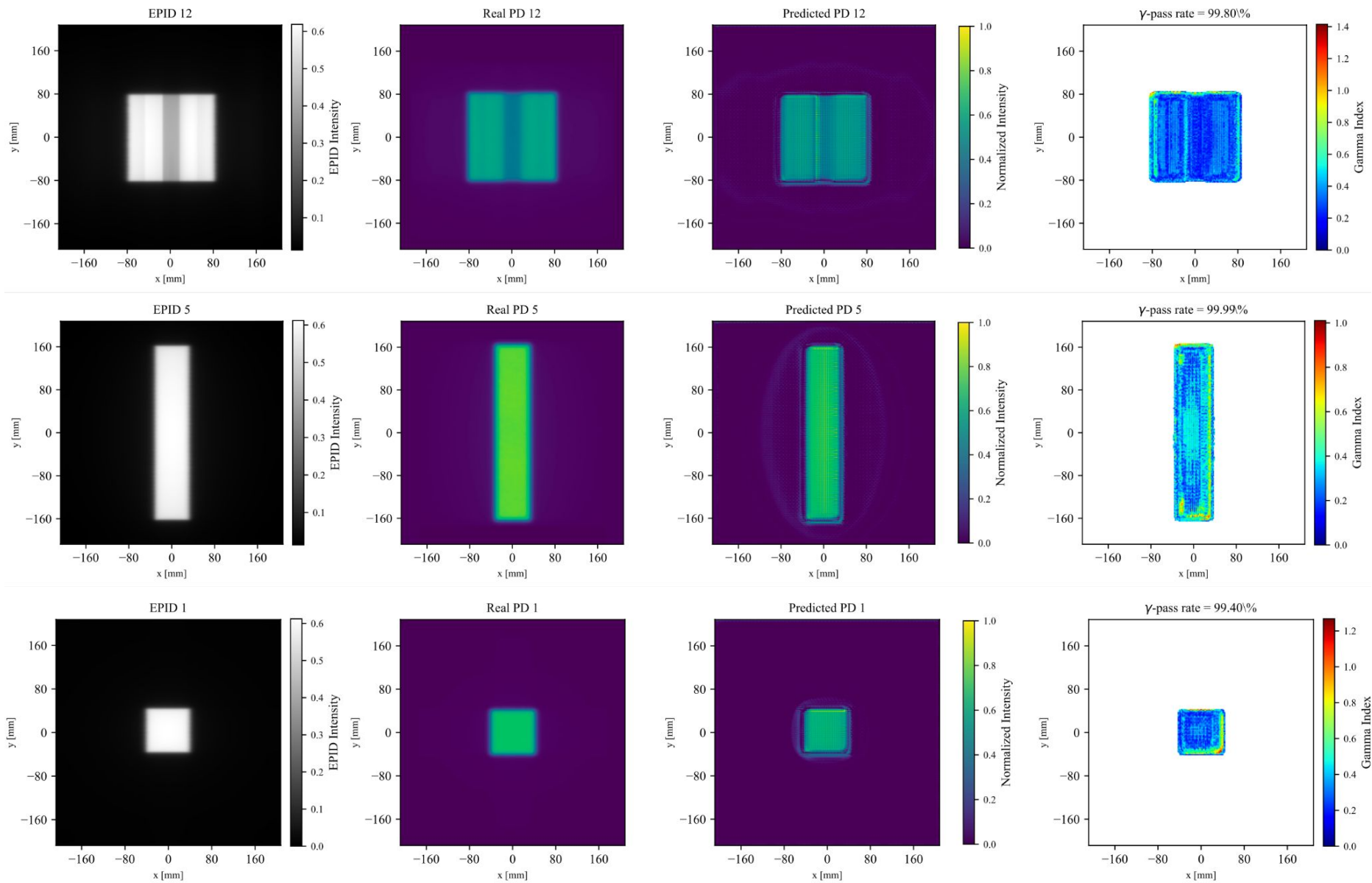
(5) Prediction of the model



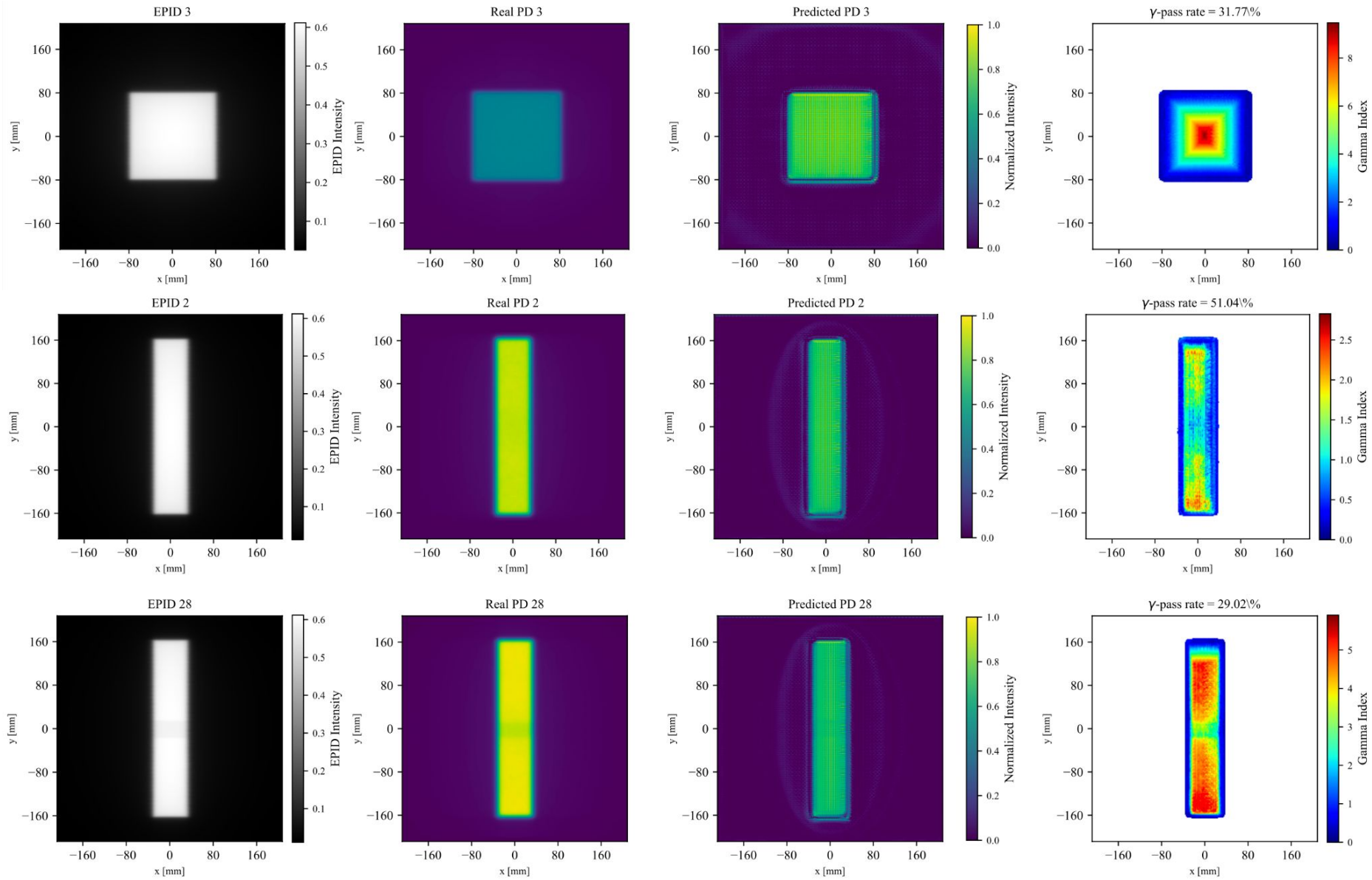
Pipeline of the analysis



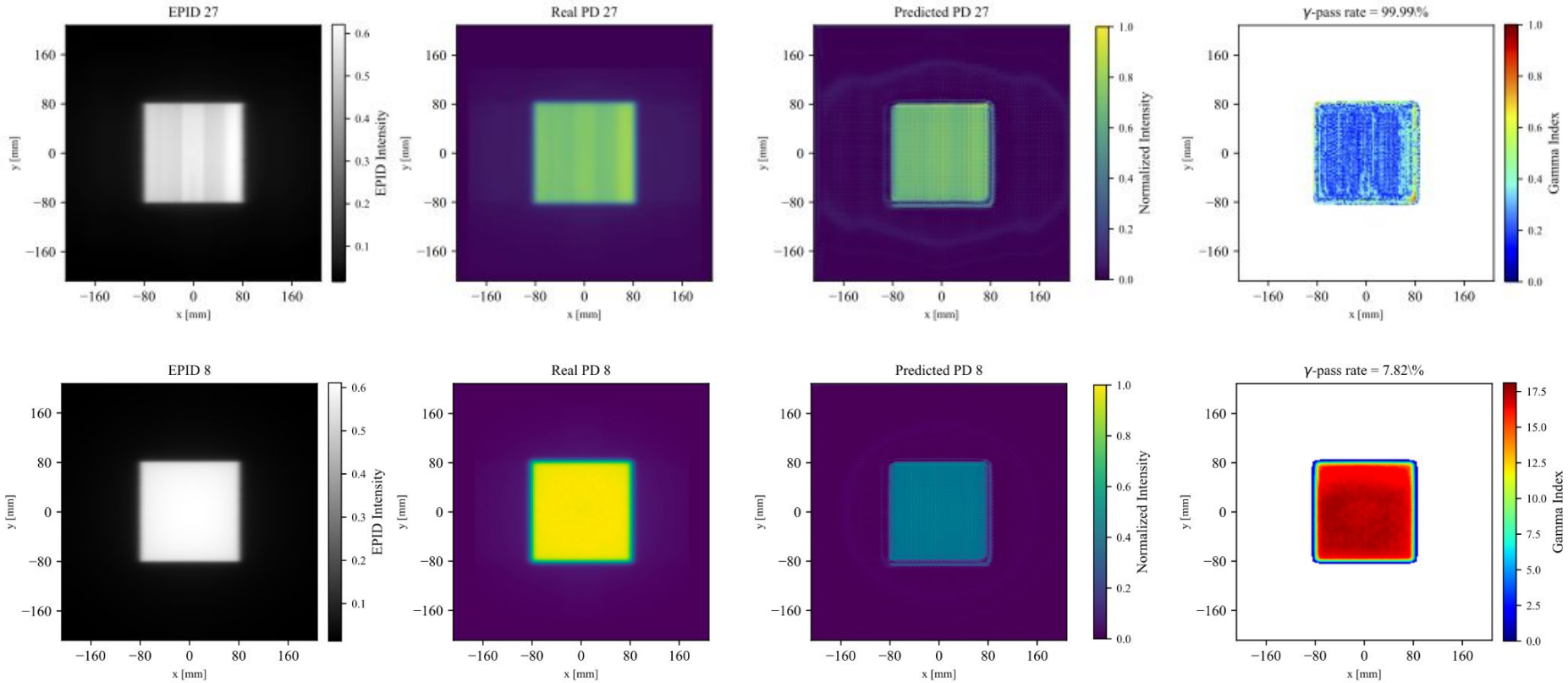
Preliminary results



Preliminary results



worse and best cases



Best-Case Scenarios
y-passing rate $\geq 99\%$ in some test samples.



Overall Performance
Average y-passing rate of **$(81.50 \pm 4.45)\%$** across all test samples.



The model predicts the PD in **under 1 second**, significantly **faster than TPS** ($\approx 20\text{-}30$ min)



Improvements
Dataset and DL model | **Preprocessing phase** (normalization)

Thank you for the attention!

Questions?

- **Contacts:**

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- **My office**

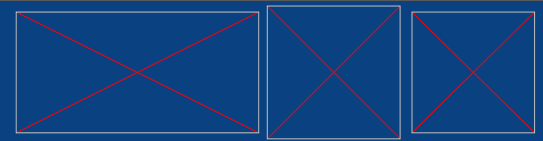
Largo Bruno Pontecorvo, 3/Building C, 56127 Pisa PI, Room 142 (first floor)

Acknowledgments

InTrEPID: In vivo 3D dosimetry in radiotherapy Treatments with EPID. Research partly funded by MUR PRIN 2022CWXR8K - CUP I53D23000520006.

ARTEMIS: Artificial Intelligence in RadioTherapy with EPID Monitoring System funded by INFN.

Backup slides



W. Elmpt et al.

“A literature review of electronic portal imaging for radiotherapy dosimetry”
2008



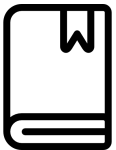
B. Mijnheer et al.

“In vivo dosimetry in external beam radiotherapy”
2013



I. Olaciregui-Ruiz et al.

“In vivo dosimetry in external beam photon radiotherapy: Requirements and future directions for research, development, and clinical practice”
2020



J. Martins et al.

“Towards real-time EPID-based 3D in vivo dosimetry for IMRT with Deep Neural Networks: A feasibility study”
2023



J. Zhang et al.

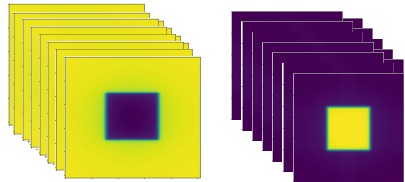
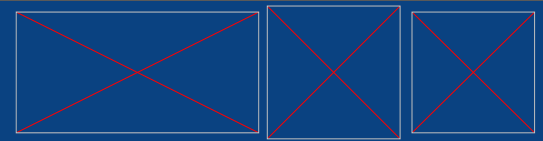
“A feasibility study for in vivo treatment verification of IMRT using Monte Carlo dose calculation and deep learning-based modelling of EPID detector response”
2022



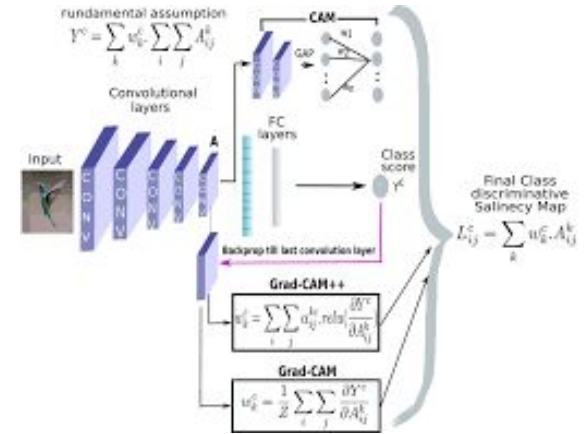
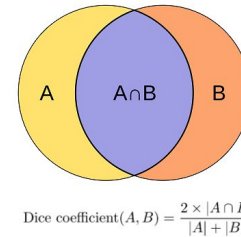
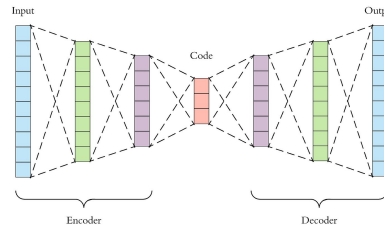
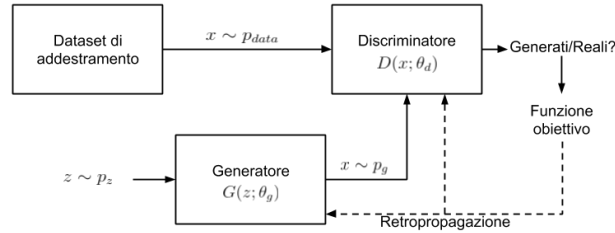
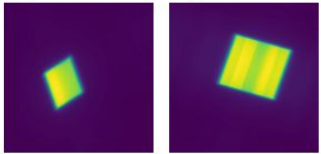
F. Chan et al.

“Integration of AI and Machine Learning in Radiotherapy QA”
2020

Improvements

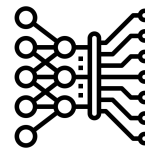


Augmented EPID Augmented EPID



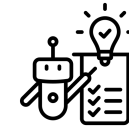
Dataset

- Correction of artefacts
- Data size
- Heterogeneity
- Data augmentation



DL model

- Transfer learning
- Different architectures
- Custom loss function



Explainability

- Transparency
- Saliency map
- GradCAM

Timeline of the project, and next steps

Phase	Task	2nd Year	2nd Year	3rd Year	3rd Year
I	Data Generation				
I.I	Data acquisition on phantoms	Red	Red	Red	Red
I.II	TPS simulation	Yellow	Yellow	Yellow	Yellow
II	DL models				
II.I	2D DL model	Green	Green	Green	Green
II.II	3D DL model			Pink	Pink
III	PhD Thesis Compilation			Blue	Blue

IOP Publishing *Phys. Med. Biol.* 69 (2024) 065011 <https://doi.org/10.1088/1361-6560/ad2a99>

Physics in Medicine & Biology

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Institute of Physics and Engineering in Medicine

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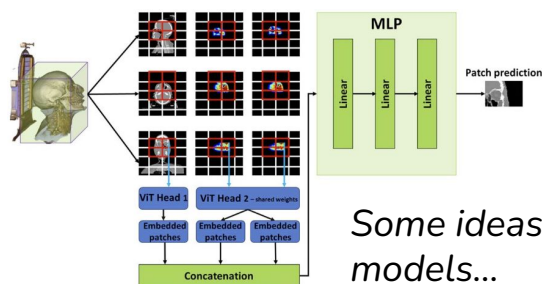
PAPER

OPEN ACCESS

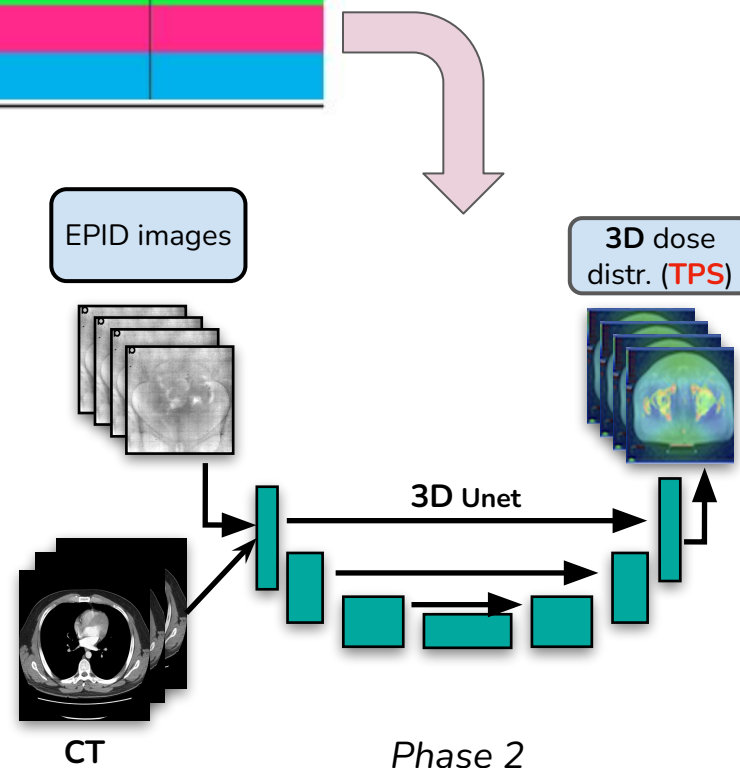
RECEIVED 26 September 2023
REVISED 8 February 2024
ACCEPTED FOR PUBLICATION 19 February 2024
PUBLISHED

Synthetic CT imaging for PET monitoring in proton therapy: a simulation study

Martina Moglioni^{1,2}, Pietro Carra^{1,2,*}, Silvia Arezzini¹, Nicola Belcari^{1,2}, Davide Bersani¹, Andrea Berti^{1,2}, Maria Giuseppina Bisogni^{1,2}, Marco Calderisi¹, Ilaria Ceppa¹, Piergiorgio Cerello¹, Mario Ciocca³, Veronica Ferrero⁴, Elisa Fiorina⁴, Aafke Christine Kraan¹, Enrico Mazzoni¹, Matteo Morrocchi^{1,2}, Francesco Pennazio⁴, Alessandra Retico⁴, Valeria Rosso^{1,2}, Francesca Sbolgi¹, Viviana Vitolo³ and Giancarlo Sportelli^{1,2}



Some ideas regarding possible models...





Study Overview: We converted the measured EPID response into actual PD using a DL network and compared it with the simulated PD calculated by TPS.



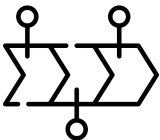
Gamma-Analysis: A standard γ -analysis of **3%/3mm** was performed on the PD predicted by the DL network, with a mean γ -pass rate of **$(81.50 \pm 4.45)\%$** .



Summary: The DL-based approach for EPID dose reconstruction shows promise for clinical use, with high accuracy in some cases.

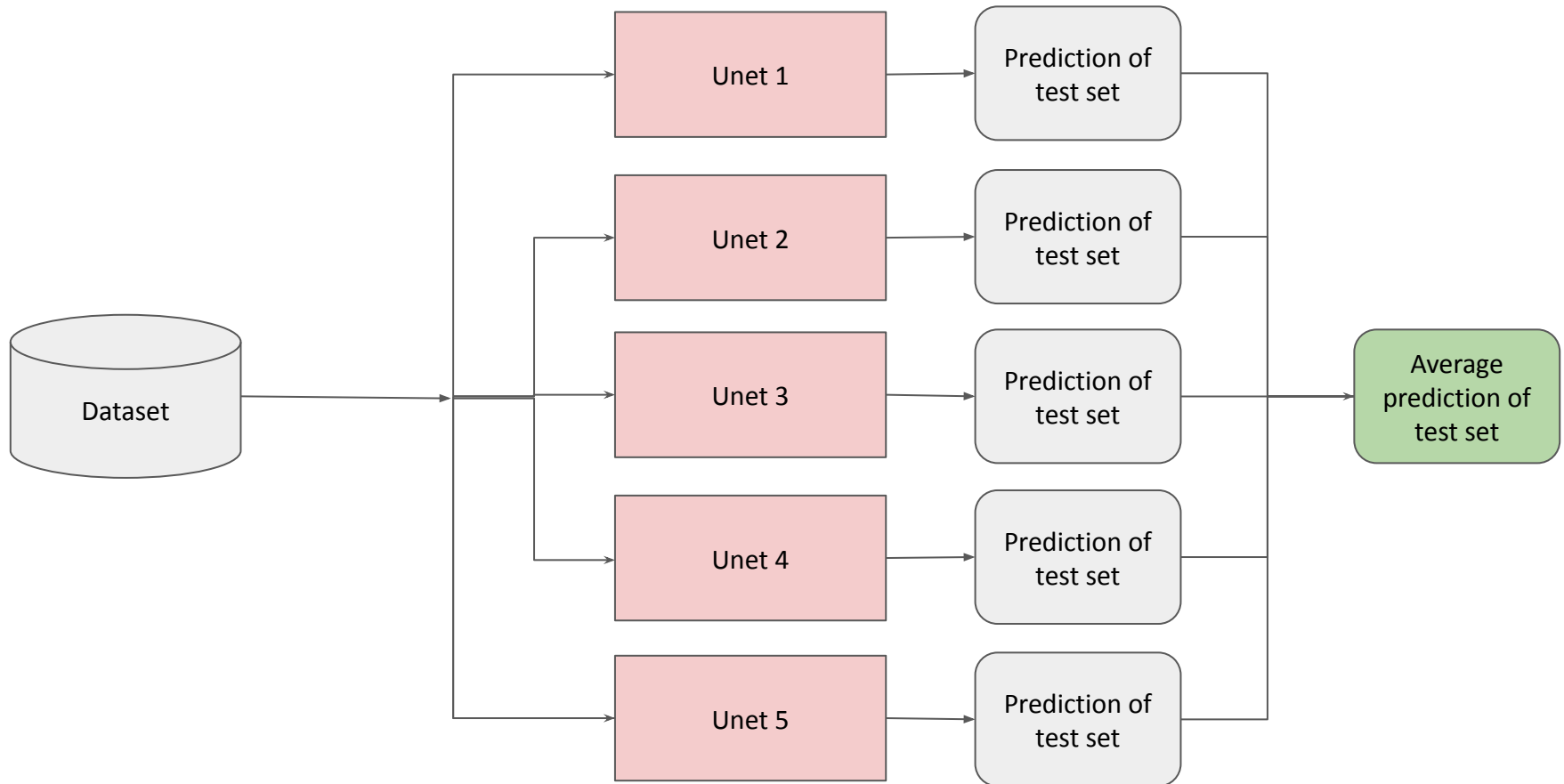


Key Challenges: Predicting dose distribution for **complex phantom** configurations remains a significant challenge.



Next Steps: Expand the **dataset**, improve the model's **accuracy** in difficult cases, and explore potential applications in clinical workflows.

Cross validation & ensemble learning

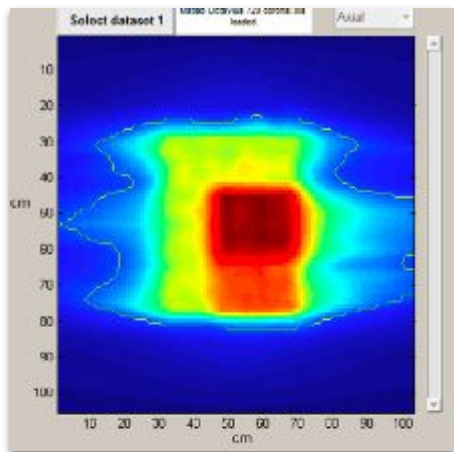


- CV is employed to ensure the model is **robust** and **generalizes well** to unseen data.
- Evaluate the performance on different subsets of the dataset, reducing the **risk of overfitting** and providing a more reliable estimate of its ability to predict new images.

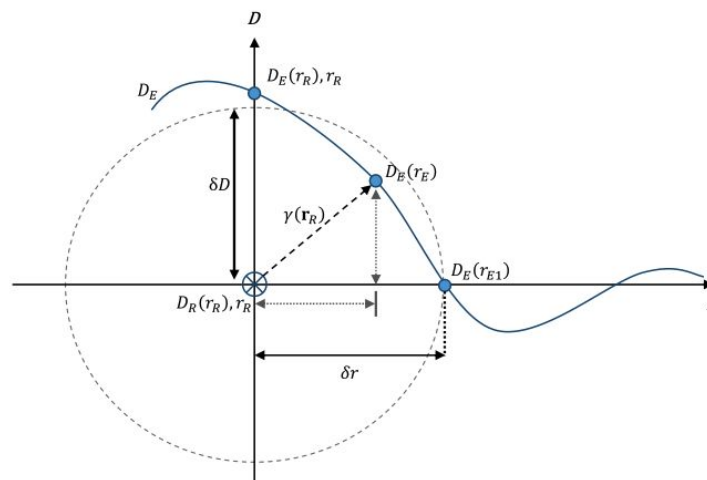
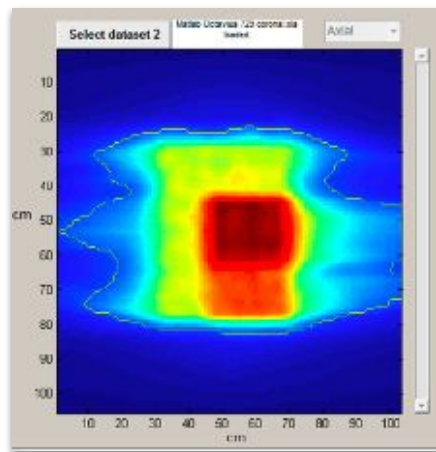
How to evaluate the accuracy of the reconstruction?

Real

Reconstructed



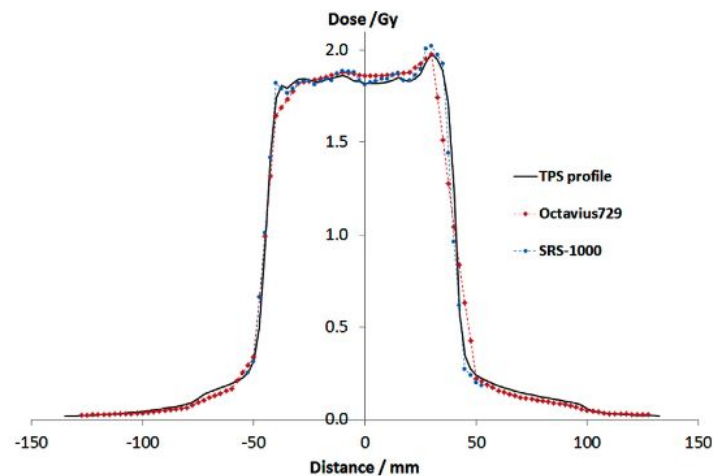
VS



- The agreement between simulated and reconstructed doses is assessed by **comparing both dose and position**.
- The **γ -index** merges **dose difference** and **distance to agreement (DTA)** into one metric.
- The γ -test creates a 2D space with dose difference (ΔD) and DTA (Δr) as axes.
- Points with **$\gamma > 1$ fail the test**.
- A plane is **clinically acceptable** if over **90-95% of points have $\gamma \leq 1$** .

$$\Gamma = \sqrt{\left(\frac{\text{Distance-to-Point}}{\text{Distance-Criterion}}\right)^2 + \left(\frac{\text{Dose-difference}}{\text{Dose-Criterion}}\right)^2}$$

$$\gamma(x, y) = \min[\Gamma(x, y)]$$



Limited Data Availability → influence on the results' quality and reliability, limiting the developed model's generalizability.

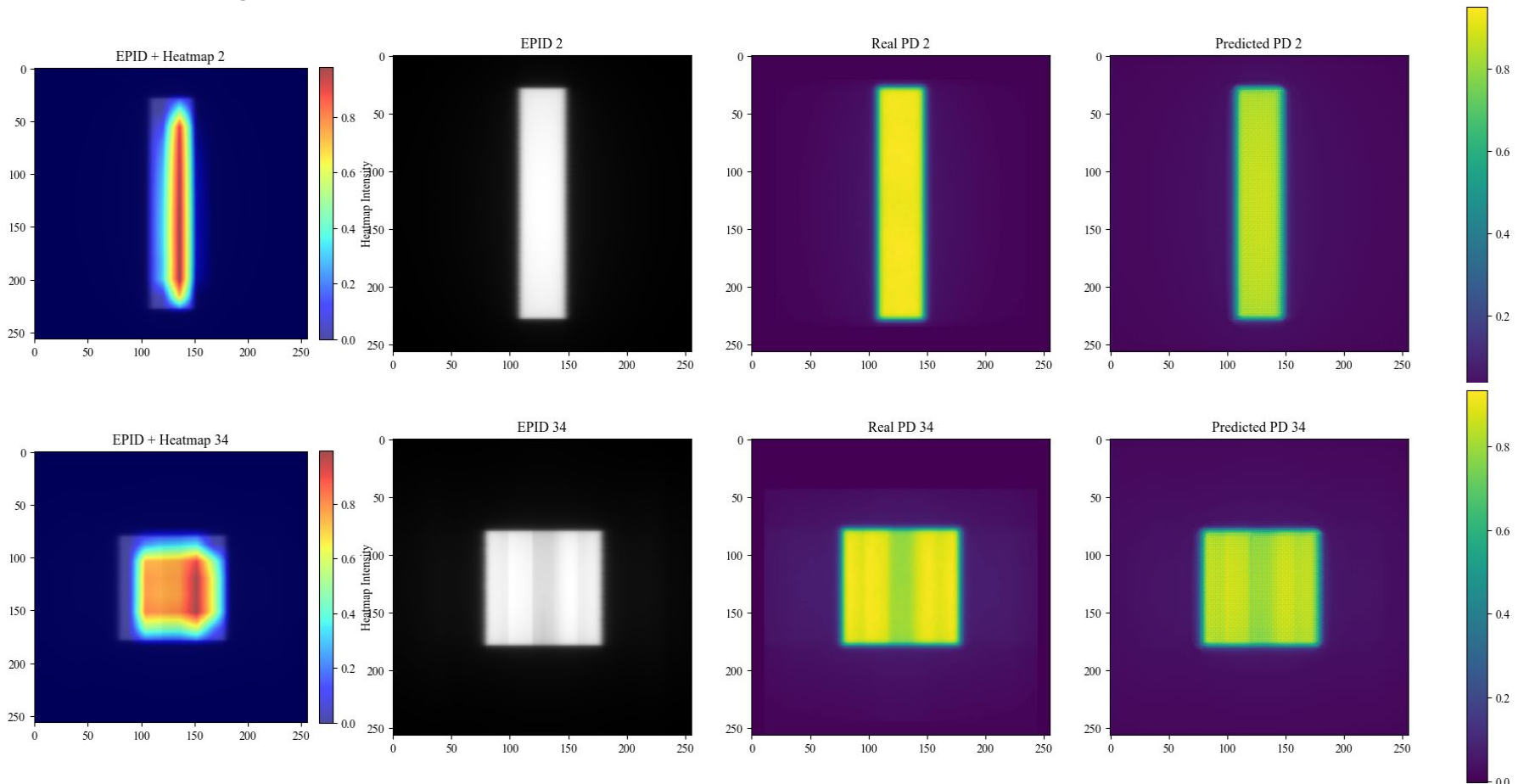
Data Coming from a single Center → introducing a potential bias in the results.

Complexity of the problem → at the moment it is not possible to predict with certainty the level of accuracy that will be able to be achieved in the dose reconstruction on the 3D problem.

Evaluation of the Model Performance → Using metrics such as MAE or γ -index for model evaluation may not be the best choice.

Explainability → Developing an extremely complex algorithm could reduce its explainability. Finding a trade-off between performance and explainability will be required.

Visualizing what unet learn...



Heatmaps produced with [Grad-CAM](#) are a powerful tool for improving the **transparency** of the model, by helping to understand the model's decision pathway.

The screenshot shows the GitHub repository page for 'InTrEPID'. At the top, there's a navigation bar with 'main' selected and a '+ -' button. Below that, a commit summary for 'demo for preprocessing' by 'lorenzo marini' is shown. A table lists the repository's files and folders with their last commit details. The 'README.md' file is expanded, showing the project title 'InTrEPID', a description, and a 'Table of Contents' with links to various sections like 'Introduction', 'Aim of the project', etc.

Name	Last commit	Last update
analysis	demo for preprocessing	4 months ago
data	readme file of the data	4 months ago
docs	added contributing file	4 months ago
results	added some figures for readme file	4 months ago
LICENSE	Add LICENSE	10 months ago
README.md	work on readme	4 months ago



<https://baltig.infn.it/lomarini/intrepid>

The screenshot shows the InTrEPID_ARTEMIS file explorer interface. It displays a list of files and folders in a table format. The top section shows a directory view with files like 'data', 'notebook', 'verbali_meeting', etc. The bottom section shows a list of Jupyter notebooks (ipynb files) such as 'Predict_UNet.ipynb', 'Gamma_Analysis.ipynb', etc., with their respective owners and last modification dates.

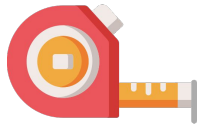
Nome	Proprietario	Ultima ...	↑	Dimensioni f	:
data	io	2 nov 2023		—	:
notebook	io	2 nov 2023		—	:
verbali_meeting	io	2 nov 2023		—	:
presentazioni	io	2 nov 2023		—	:
bibliografia	io	2 nov 2023		—	:
poster	io	10 apr 2024		—	:
abstract	io	10 apr 2024		—	:
paper	io	19 lug 2024		—	:
.DS_Store	io	2 nov 2023		6 kB	:

Nome	Proprietario	Ultima modifica	↑	Dimensioni f	:
Portal_Dose_Organizzato_tagliato	carlotta.mozzi	23 lug 2024 carlotta.mozzi		—	:
EPID_Organizzato	carlotta.mozzi	23 lug 2024 carlotta.mozzi		—	:
Portal_Dose_Organizzato	carlotta.mozzi	23 lug 2024 carlotta.mozzi		—	:

Predict_UNet.ipynb	io	17 set 2024 io		17 kB	:
Gamma_Analysis.ipynb	io	17 set 2024 io		344 kB	:
XAI.ipynb	io	17 set 2024 io		324 byte	:
Train_UNet	io	18 set 2024 io		479 kB	:



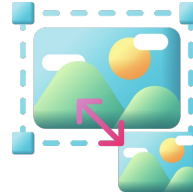
InTrEPID_ARTEMIS



(1)

Matching of physical size and images centering

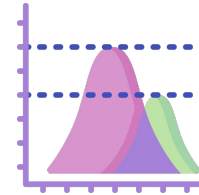
Do the images have the same dimensions and have the same physical position?



(2)

Image resizing (256x256)

A trade-off between resolution and computing capacity



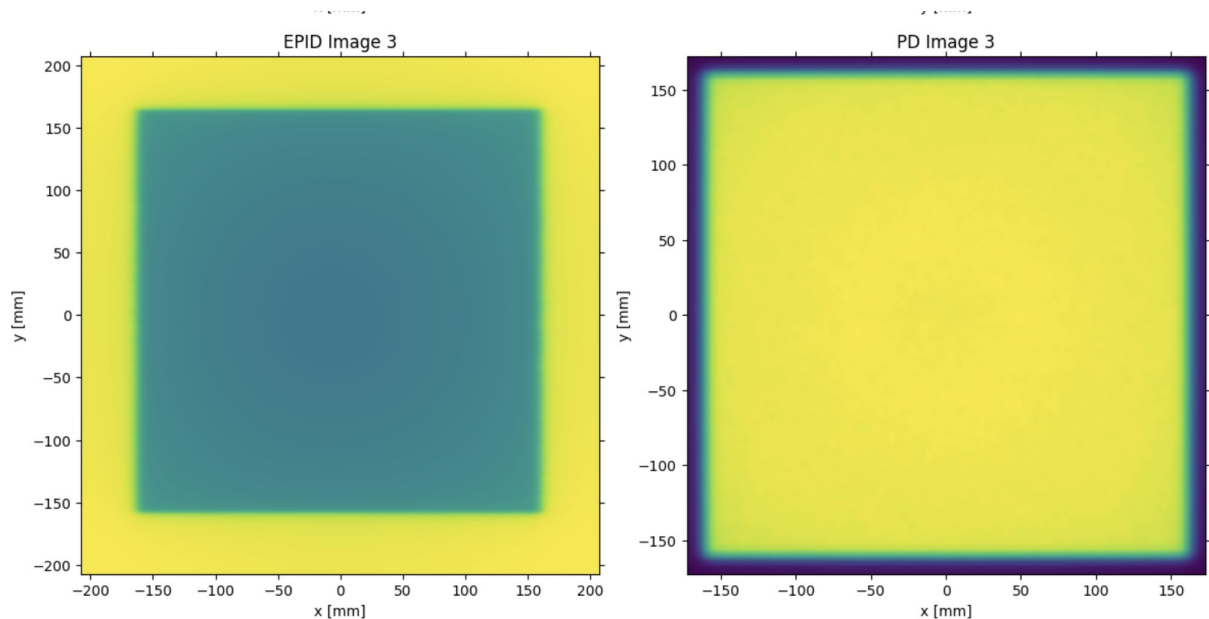
(3)

Pixel scaling → normalization

It can help the training phase of the NN

1) Matching of physical size

Do the images have the same dimensions?

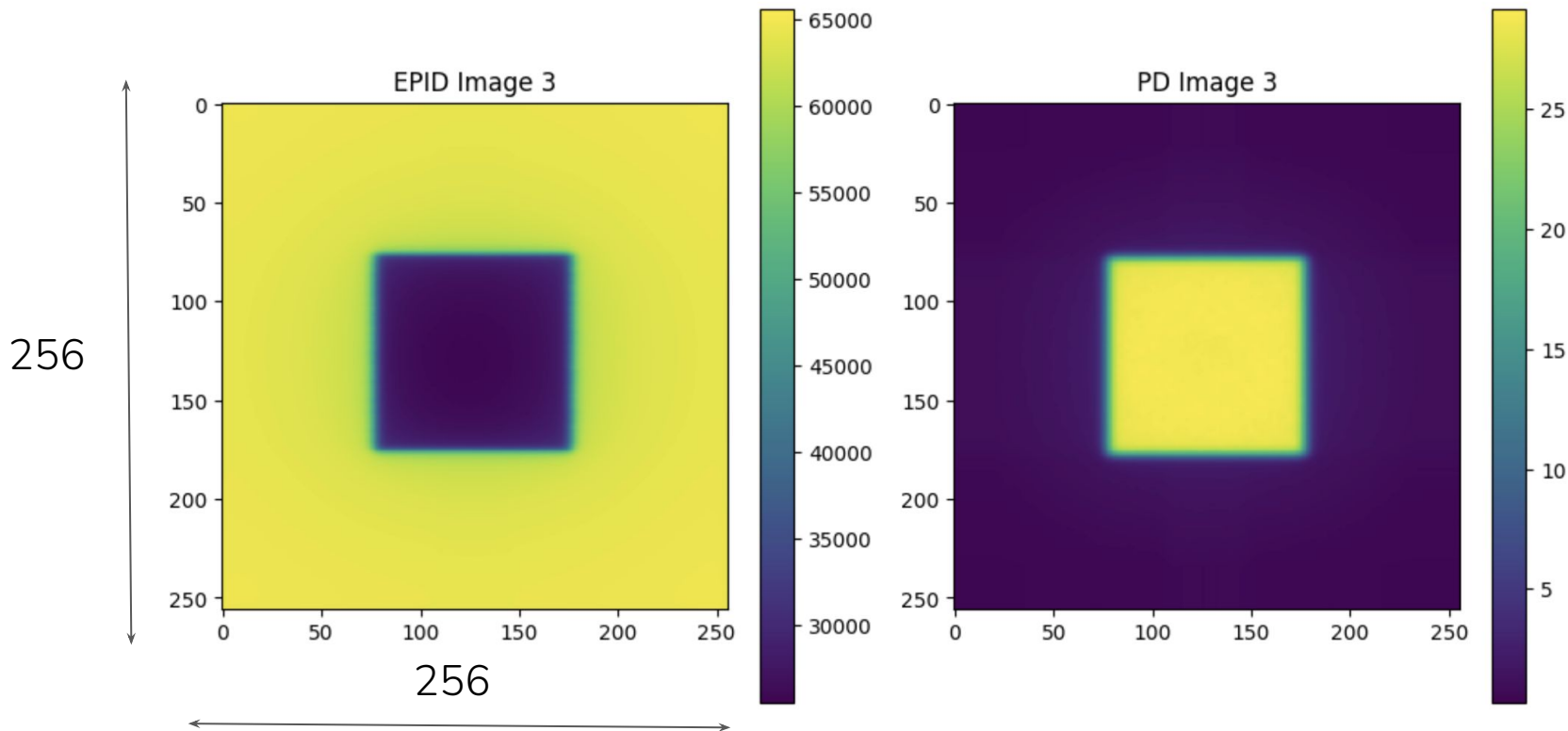


- Total dimensions are not the same
- Signals are not always perfectly centered

Is it better cut the EPID images or add background pixels to PDs?

2) Image resizing → (256x256)

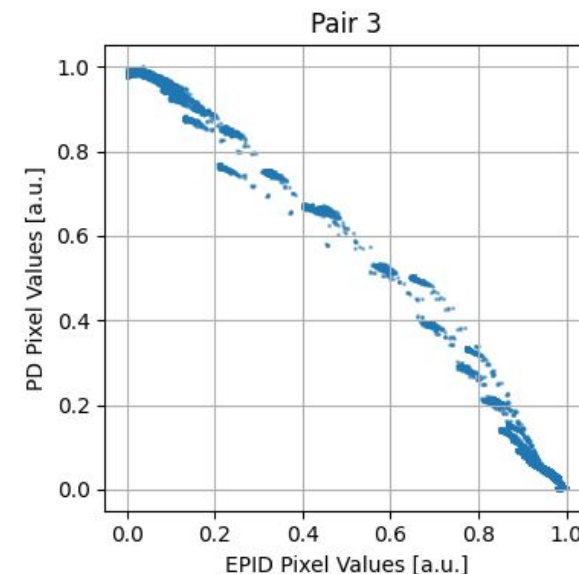
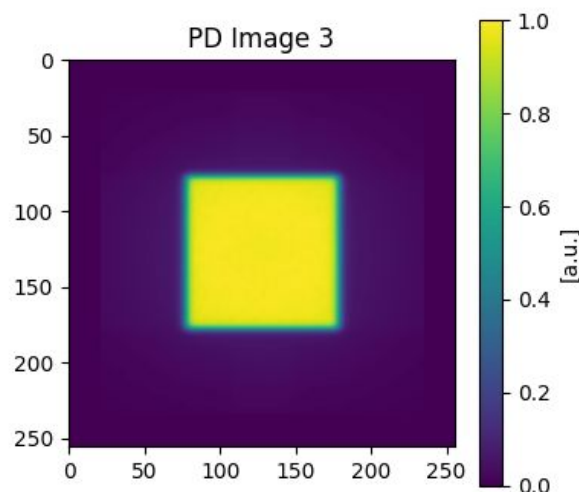
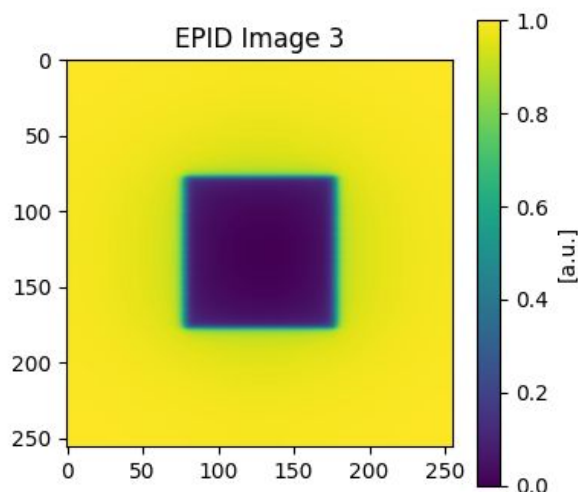
A trade-off between resolution and computing capacity



- **Image resizing** (using [OpenCV](#), Python) refers to the scaling of images.
- Reduces the number of pixels, speeding up NN training and reducing model complexity (**Training efficiency**).
- Lowers computational and memory requirements by decreasing image size.

3) Pixel scaling

It can help the training phase of the network



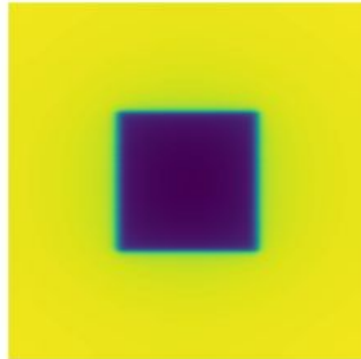
$$\text{EPID}_i \rightarrow \frac{\text{EPID}_i}{\max(\text{EPID or CV})}$$

$$\text{PD}_i \rightarrow \frac{\text{PD}_i}{\max(\text{PD or CV})}$$

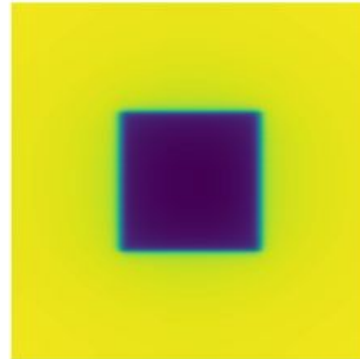
- Normalization is the process of converting an actual range of values which a numerical pixel can take, into a **standard range of values**, typically in the interval **[0, 1]**.
- **Why do we normalize?** It is not a strict requirement. However, in practice, it can lead to an **increased speed of learning** (Gradient descent, weight updates and numerical overflow)

EPID normalization factor

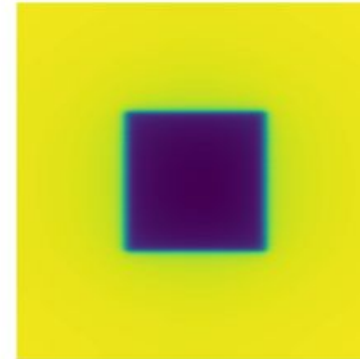
50 MU - Image



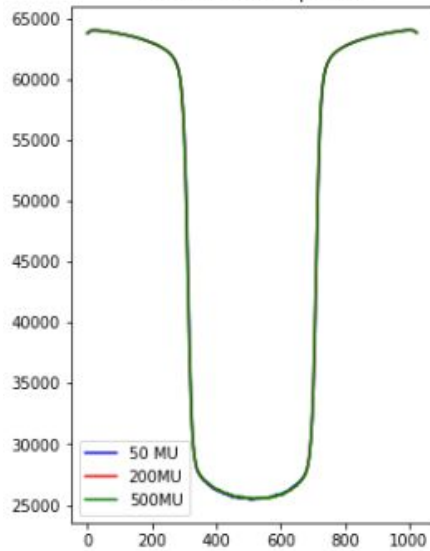
200MU - Image



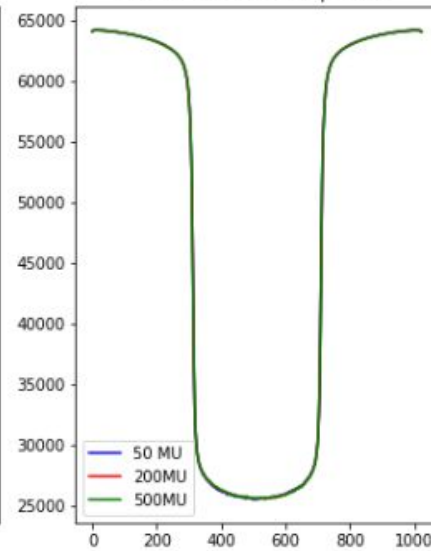
500MU - Image



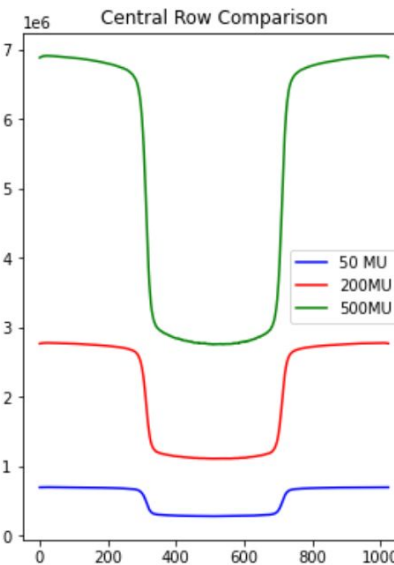
Central Row Comparison



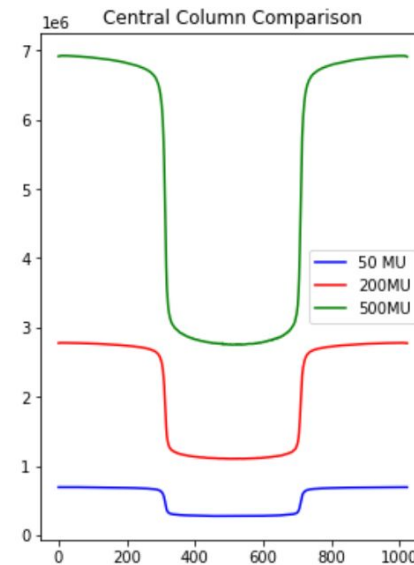
Central Column Comparison



Central Row Comparison



Central Column Comparison



Divided by normalization factor
(tag 21x1002 DCOM file)

