

# Goofy Symmetries

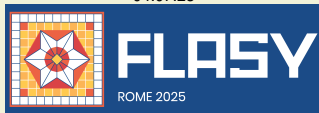
Andreas Trautner

based on:

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COMPETE  
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2020

fct

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para a Ciência  
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# Outline

- The original GOOFy transformation
- Definition of Goofy transformations / *regular vs. goofy*
- RG stability of regular / goofy transformations in general
- Additional goofy transformations in 2HDM
- Applications of goofy transformations
- Conclusions



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This is a very young topic, all input is welcome and important.  
Goofy transformations are new, understanding them for yourself may be rewarding.

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[Langenscheidt], [Wikipedia]

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e.g. 2012: YOLO, ..., 2020: lost, 2021: cringe, ..., 2023: goofy

# The first Goofy transformation



2HDM: Scalar doublets  $\Phi_{a=1,2}(x)$  in  $(\mathbf{2}, -1/2)$  of  $SU(2)_L \times U(1)_Y$ .

The invariant scalar potential conventionally written as

$$\begin{aligned} m_{11,22}, \lambda_{1,2,3,4} &\in \mathbb{R} \\ m_{12}, \lambda_{5,6,7} &\in \mathbb{C} \end{aligned}$$

$$\begin{aligned} V(\Phi^*, \Phi) = & m_{11}^2 \Phi_1^\dagger \Phi_1 + m_{22}^2 \Phi_2^\dagger \Phi_2 + \lambda_1 (\Phi_1^\dagger \Phi_1)^2 + \lambda_2 (\Phi_2^\dagger \Phi_2)^2 + \lambda_3 (\Phi_1^\dagger \Phi_1) (\Phi_2^\dagger \Phi_2) + \lambda_4 (\Phi_1^\dagger \Phi_2) (\Phi_2^\dagger \Phi_1) \\ & - \left\{ m_{12}^2 \Phi_1^\dagger \Phi_2 \right\} + \left\{ \lambda_5 (\Phi_1^\dagger \Phi_2)^2 + [\lambda_6 (\Phi_1^\dagger \Phi_1) + \lambda_7 (\Phi_2^\dagger \Phi_2)] \Phi_1^\dagger \Phi_2 \right\} + \text{h.c.} \end{aligned}$$

Community agrees, all possible (exact global) symmetries of 2HDM are known:

[Ivanov '06, '07], [Ferreira, Haber, Maniatis, Nachtmann, Silva '11], [Deshpande, Ma '78], [Ginzburg, Krawczyk '05], [Nishi '11], [Pilaftsis '12], ...

$$CP1, \quad \mathbb{Z}_2, \quad U(1), \quad CP2, \quad CP3, \quad SU(2).$$

However, **FGOO**  $\equiv$  [Ferreira, Grzadkowski, OGREID, OSLAND '23] found the relations

$$m_{11}^2 = -m_{22}^2, \quad \lambda_1 = \lambda_2, \quad \lambda_6 = -\lambda_7.$$

- These are renormalization group (RG) stable *to all orders*.
- Do **not** correspond to any of the known *regular* 2HDM symmetries.

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Consider canonical (gauge-)kinetic terms

$$\mathcal{K} = (D_\mu \Phi_1)^\dagger (D^\mu \Phi_1) + (D_\mu \Phi_2)^\dagger (D^\mu \Phi_2),$$

Applied to the (gauge-)kinetic terms the transformation maps

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If imposed as an exact symmetry this would enforce  $\mathcal{K} = 0$ .

$\Rightarrow$  This transformation cannot be an *exact* symmetry in a *dynamical* theory,  $\mathcal{K} \neq 0$ .

But how can relations (1) then be RG stable?



# Definition of Goofy transformations

**Any** theory:

$$\mathcal{L}[\phi] = \mathcal{K}_{(kin.)}[\phi] - \mathcal{V}_{(pot.)}[\phi] .$$

- How come, when talking about symmetry transformations, we usually only consider constraining the potential  $\mathcal{V}[\phi]$ ?
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**Defining criterion:**

***Regular*** transformations  
act trivially on kinetic term.



***Goofy*** transformations  
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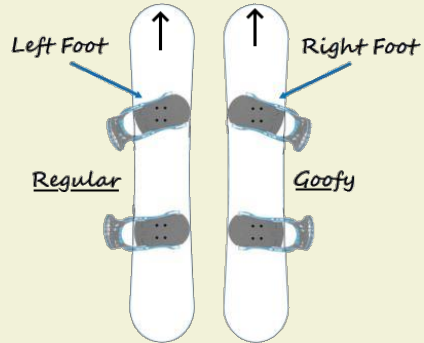
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Crucial: **Transformation**  $\neq$  **Symmetry**.

Transformations (regular/goofy) can be physically important, even if explicitly broken.

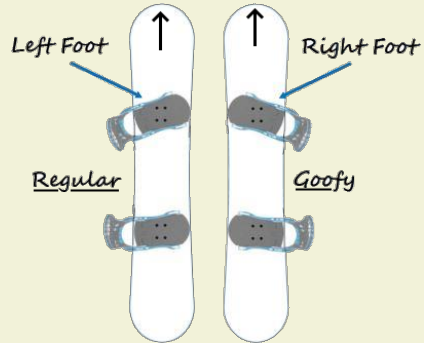
# Regular vs. Goofy

in Surfing, Skateboarding, Snowboarding, ...



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## RG stability of Goofy parameter relations

There are parameter relations derived from a transformation acting on  $V(\Phi^*, \Phi)$ .

Central question:

How can these parameter relations be RG stable, even if the corresponding transformation is explicitly broken by the (gauge-)kinetic terms  $\mathcal{K}$ ?

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Preserved symmetry  $\iff$  RG stable parameter relations.

This is a folk wisdom, based on 't Hooft's technical naturalness argument: [ 't Hooft '79]

$$\beta_g \equiv \frac{d g}{d \mu} \propto g \quad \textbf{iff} \quad g \rightarrow 0 \text{ enhances the symmetry.}$$

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We will present a general (non-perturbative) formal argument in [De Boer, AT to appear].

It is based on the fact that *prospective* symmetries can be viewed as (linear) maps in the parameter space of a theory because they act as *outer automorphisms*.

[Fallbacher, AT '15]

In short: Couplings transform covariantly  $\Rightarrow$   $\beta$ -functions trafo covariantly.

Symmetries of  $\beta$ -functions  $\geq$  symmetries of theory.

[De Boer, AT to appear]



# Computing RG fixed points

[AT '25], [De Boer, AT to appear]

Consider theory with fields  $\phi_a, \phi_{a=1,\dots,N}^* \in \mathbb{C}$  and couplings  $\lambda_{i=1,\dots,K}$ .

If there is a transformation that acts on the fields as  $(A, B \text{ unitary})$

$$T : \quad \vec{\phi} \mapsto A\vec{\phi}, \quad \vec{\phi}^* \mapsto B^*\vec{\phi}^*, \quad (A = B: \text{regular}, A \neq B: \text{goofy})$$

that can *equivalently* be represented as a mapping in the space of couplings<sup>\*</sup>

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**then** the full coupled system of (non-linear) beta functions

$$\beta_{\vec{\lambda}} \equiv \mu \frac{d\vec{\lambda}}{d\mu} = \vec{f}(\lambda_1, \lambda_2, \dots),$$

transforms covariantly, and **in the same irreps as the couplings themselves**.

This poses non-perturbative, all-order constraints on  $\beta_{\vec{\lambda}}$  of the form  $\mathcal{O}\beta_{\vec{\lambda}} = \vec{f}\Big|_{\vec{\lambda} \rightarrow \mathcal{O}\vec{\lambda}}$ .

## Computing RG fixed points

- The existence of such transformations\* imposes strong all order exact constraints on system of  $\beta$  functions.

Namely, the covariant  $\beta$  functions are spanned by covariant combination of couplings.

- This argument does not require such transformations to be conserved, the mere existence is enough.
- This argument holds at the non-perturbative level.
- The more of such possible transformations exist, the more restricted is overall system of  $\beta$  functions.
- **If** the transformations are *imposed* to be conserved as symmetries
  - $\implies$  Non-trivially transforming covariant combination of  $\lambda_i$ 's must vanish,
  - $\implies$  Beta functions of nontrivially transforming  $\lambda_i$ 's are forced to  $\beta_{\lambda_i} = 0$ .

This is the completed version of 't Hooft's argument.

[AT '25], [De Boer, AT to appear]

\*All *outer automorphism* transformations are of this kind.

## Example for a regular transformation in 2HDM

- *In absence of other symmetries*, most general  $SU(2)$  Higgs-basis change is outer automorphism  $\Phi' = U\Phi$ ,  $\Phi'^* = U^*\Phi^*$ . Couplings transform covariantly.  
see e.g. [Ferreira, Haber, et al. '10], [AT '18], [Bednyakov '18],...

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- E.g. the following combination transforms as  $SU(2)$  triplet (vector)

$$\vec{\Lambda} := \text{const.} \times \left( \text{Re}(\lambda_6 + \lambda_7), -\text{Im}(\lambda_6 + \lambda_7), \frac{1}{2}(\lambda_1 - \lambda_2) \right)^T.$$

Our argument then implies that

explicitly known to six loops [Bednyakov '18, '24]

$$\beta_{\vec{\Lambda}} \propto \vec{\Lambda}.$$

- This is because contributions from other vectors do not contribute to  $\beta_{\Lambda}$ :
    - $\vec{M}(m_{11}^2, m_{22}^2, m_{12}^2)$  wrong mass dimension. (our argument for scaling outer automorphism)
    - $\mathbf{5}$ -plet  $\Lambda(\lambda_3, \lambda_4, \dots)$ ,  $\mathbf{3} \subset (\mathbf{5} \otimes \mathbf{5} \otimes \dots)$ , but these contractions vanish for single  $\mathbf{5}$ .
- ⇒ This shows  $\vec{\Lambda} = 0$  is RG fixed point to all orders in scalar+gauge corrections.

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- ⇒ This shows  $\vec{\Lambda} = 0$  is RG fixed point to all orders in scalar+gauge corrections.
- Fixed point  $\vec{\Lambda} = 0$ , implied by any trafo that requires  $\lambda_1 = \lambda_2$  and  $\lambda_6 = -\lambda_7$ .
  - E.g.  $CP2$  implies  $\vec{\Lambda} = 0$ . And this survives **soft** breaking ( $m_{11}^2 \neq \pm m_{22}^2$ ).

# What is different for Goofy transformations?



- Most general basis for (gauge-)kinetic terms

$$\mathcal{K} = (D_\mu \Phi_i)^\dagger K_{ij} (D^\mu \Phi_j) \quad \text{with} \quad K_{ij} = K_{ji}^* .$$

- Wave function renormalization coeffs.  $K_{ij}$  trafo *covariantly* under goofy trafos.
- In view of RGEs,  $K_{ij}$  should be treated exactly like couplings of the potential.
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- Starting from canonical kinetic terms  $K_{ij} = \delta_{ij}$ , goofy transformations

$$\Phi \mapsto A\Phi, \quad \Phi^\dagger \mapsto \Phi^\dagger B^\dagger. \quad \text{Here, } (B^\dagger A) \text{ is unitary + must be hermitean.}$$

$\Rightarrow$  There exists a basis where effect of the most general transformation on (gauge-)kinetic terms is given by

$$\mathcal{K} \mapsto \kappa_1 (D_\mu \Phi_1)^\dagger (D^\mu \Phi_1) + \kappa_2 (D_\mu \Phi_2)^\dagger (D^\mu \Phi_2) \quad \text{with} \quad \kappa_1 = \pm 1, \kappa_2 = \pm 1 .$$

$\hookrightarrow$  Can use std. RGEs + propagator / gauge vertex counting to **restore** covariants  $\kappa_i$ .



## Back to original question: RG stability of goofy parameter relations

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- Consider e.g.  $\beta_{\lambda_1 - \lambda_2}$ . Under FGOO trafo, must trafo like  $(\lambda_1 - \lambda_2)$ , i.e. as a  $1'$ .
- Other  $1'$  covariants are  $(\lambda_6 + \lambda_7)$  and  $\kappa_1, \kappa_2$ . ( $m_{11}^2 + m_{22}^2$  has wrong mass dimension)

⇒ Beta function  $\beta_{\lambda_1 - \lambda_2}$  to all orders(!) *can only* be given by

$n + m = \text{odd}$

$$\beta_{\lambda_1 - \lambda_2} = (\lambda_1 - \lambda_2) f_+(\lambda_i) + (\lambda_6 + \lambda_7) g_+(\lambda_i) + \kappa_1^n \kappa_2^m h_+(\lambda_i),$$

where  $f_+, g_+, h_+$  are functions of all  $\lambda_i$  that transform trivially.

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$n + m = \text{odd}$

$$\beta_{\lambda_1 - \lambda_2} = (\lambda_1 - \lambda_2) f_+(\lambda_i) + (\lambda_6 + \lambda_7) g_+(\lambda_i) + \kappa_1^n \kappa_2^m h_+(\lambda_i),$$

where  $f_+, g_+, h_+$  are functions of all  $\lambda_i$  that transform trivially.

- Turns out for 2HDM:

*The global sign of the kinetic term does not enter the  $\beta$  functions  $\Leftrightarrow h_+ = 0$ .*

- Analogous arguments hold for  $\beta_{m_{11}^2 + m_{22}^2}$  and  $\beta_{\lambda_6 + \lambda_7}$ .
- This shows that **if** FGOO relation is imposed, it is **not** violated in the RG flow.

↪ Goofy symmetries are *explicitly* broken by  $\mathcal{K} \neq 0$ , but this breaking is **soft**!



# New goofy transformations in 2HDM



[AT '25]

Explicit action of flavor-/CP-type global-sign-flipping **Goofy** transformations

$$\vec{\Phi} \equiv (\Phi_1, \Phi_2, \Phi_1^*, \Phi_2^*)^T, \quad \vec{\Phi} \mapsto \begin{pmatrix} S & \mathbf{0} \\ \mathbf{0} & -S^* \end{pmatrix} \vec{\Phi}, \quad \text{or} \quad \vec{\Phi} \mapsto \begin{pmatrix} \mathbf{0} & X \\ -X^* & \mathbf{0} \end{pmatrix} \vec{\Phi}.$$



Goofy trafo.	parameter relations								accidental regular sym.	
	$m_{11}^2$	$m_{22}^2$	$m_{12}^2$	$\lambda_1$	$\lambda_2$	$\lambda_3$	$\lambda_4$	$\lambda_5$	$\lambda_6$	$\lambda_7$
$\mathcal{P}_G (\equiv 1 \oplus -1)$	0	0	0							
$\mathbb{Z}_{2,G} (\equiv \sigma_3 \oplus -\sigma_3)$	0	0							0	0
$\text{CP1}_G$	0	0	$-m_{12}^{2*}$					$\lambda_5^*$	$\lambda_6^*$	$\lambda_7^*$
$\text{CP2}_G (\text{FGOO})$		$-m_{11}^2$		$\lambda_1$						$-\lambda_6$
$\text{U(1)}_G$	0	0	0					0	0	0
$\text{CP3}_G$	0	0	0	$\lambda_1$				$\lambda_1 - \lambda_3 - \lambda_4$	0	0
$\text{SU(2)}_G$	0	0	0	$\lambda_1$		$\lambda_1 - \lambda_3$		0	0	0
$\text{CP2}_G^{\text{soft}}$				$\lambda_1$						$-\lambda_6$

Parameter relations for goofy transformations, RG stable to all orders in scalar and gauge quantum corrections.

These are *genuinely new* goofy transformations. FGOO discussed:  $\text{CP2}_G$  + all regular.

# Applications of Goofy transformations

- An entirely new class of possible transformations and associated RG stable fixed points have been missed so far in *all* QFTs, all models, . . .
- Bare scalar mass terms  $\phi^\dagger \phi$  explicitly break some goofy transformations (non-zero mass gaps are possible).  
⇒ Goofy symmetries can be instrumental in solving EW hierarchy problem.
- Relative-kinetic-term-sign-flipping goofy trafos are **not** RG stable but expose RG sensitivity to *generation dependent* sign flips → connection to flavor.
- Goofy transformations can constrain non-canonical kinetic terms.  
In particular, the non-trivial Kähler potential of SUSY theories. ⇒ Possibility to remove a major roadblock for predictivity of many classes of flavor models (discrete symmetries, modular symmetries, . . .)

[Chen, Fallbacher, (Omura), Ratz, Staudt '12,'13], [Chen, Ramos-Sánchez, Ratz '19]

## “Dynamical classicalization” and a request

- If goofy relations are imposed only on  $V$ , the “couplings” of the kinetic terms (WFR)  $K_{ij}$  still run under RG.
  - In this case, vanishing kinetic term(s) are RG fixed points (symmetry is enhanced there).
  - Approaching these points in RG flow, the theory approaches a regime where one or more of the fields become non-propagating  
“quasi-classical background” or “auxiliary” fields.
- ⇒ RG evolution *dynamically* approaches a quasi-classical regime!
- To fully explore this regime, and systematically explore the all-order constraints on WFR (anomalous dimensions), RGEs should be formulated starting from the most general possible basis.
- ↪ Could track effect of goofy trafos on  $K_{ij}$  and get all-order constraints on WFR (anomalous dimensions), just as for the other couplings.

Working exclusively in the canonical basis one is blind to both of these effects!

# Conclusions

- *Goofy* transformations by definition do not leave invariant the kinetic terms.
- Explicit breaking of goofy trafos in kinetic terms can be soft, and parameter relations enforced by goofy trafos can be stable to all orders in RG evolution.
- Symmetry of  $\beta$  functions  $\geq$  symmetry of action. [AT '25], [De Boer, AT to appear]
- It is *mandatory* to include goofy transformations to understand all (partial) RG fixed points [RG fixed hyperplanes] of *any* QFT. [AT '25], [De Boer, AT to appear]
- Many of the most important puzzles in our theoretical understanding of Nature may be related to goofy transformations (e.g. EW hierarchy, flavor, . . . )
- Parameter regions of exact goofy symmetry are points where a QFT dynamically approaches a quasi-classical regime for some of the fields.
- There are many goofy avenues worth exploring...  
... I cannot think about QFT *without* goofy transformations anymore.



# Thank You!



Image credits: PNGaaa.com, Walt Disney "Hawaiian Holiday" 1937

# Backup slides



## 2HDM – Regular and global-sign-flipping goofy

Explicit action of global **regular** flavor- and CP-type transformations:

[AT '25]

$$\vec{\Phi} \equiv (\Phi_1, \Phi_2, \Phi_1^*, \Phi_2^*)^T, \quad \vec{\Phi} \mapsto \begin{pmatrix} S & \mathbf{0} \\ \mathbf{0} & S^* \end{pmatrix} \vec{\Phi}, \quad \text{or} \quad \vec{\Phi} \mapsto \begin{pmatrix} \mathbf{0} & X \\ X^* & \mathbf{0} \end{pmatrix} \vec{\Phi}.$$

Flavor- and CP-type **Goofy versions** of these transformations:

$$\vec{\Phi} \mapsto \begin{pmatrix} S & \mathbf{0} \\ \mathbf{0} & -S^* \end{pmatrix} \vec{\Phi}, \quad \text{or} \quad \vec{\Phi} \mapsto \begin{pmatrix} \mathbf{0} & X \\ -X^* & \mathbf{0} \end{pmatrix} \vec{\Phi}.$$

Note: all of these are “global-sign-flipping goofy transformations”  $\mathcal{K} \mapsto -\mathcal{K}$ .

Explicit choices for matrix generators of 2HDM transformations

$(\xi, \psi, \theta \in \mathbb{R})$

$$\mathbb{Z}_{2,(G)} : \quad S = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \equiv \sigma_3,$$

$$\text{CP}1_{(G)} : \quad X = \mathbb{1}_2,$$

$$\text{U}(1)_{(G)} : \quad S = \begin{pmatrix} e^{-i\xi} & 0 \\ 0 & e^{i\xi} \end{pmatrix},$$

$$\text{CP}2_{(G)} : \quad X = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \equiv \varepsilon,$$

$$\text{SU}(2)_{(G)} : \quad S = \begin{pmatrix} e^{-i\xi} \cos \theta & -e^{-i\psi} \sin \theta \\ e^{i\psi} \sin \theta & e^{i\xi} \cos \theta \end{pmatrix},$$

$$\text{CP}3_{(G)} : \quad X = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}.$$

## 2HDM – Relative-sign-flipping goofy transformations

We can also construct transformations that act with  $\kappa_1 = -\kappa_2 = \pm 1$ .

Action of goofy transformations with relative sign flip of the 2HDM kinetic terms in flavor- or CP-type subvariants:

$$\vec{\Phi} \mapsto \begin{pmatrix} A & \mathbf{0} \\ \mathbf{0} & B^* \end{pmatrix} \vec{\Phi}, \quad \text{or} \quad \vec{\Phi} \mapsto \begin{pmatrix} \mathbf{0} & C \\ D^* & \mathbf{0} \end{pmatrix} \vec{\Phi}.$$

With examples of explicit matrix generators given by

$$\mathbb{Z}_{2,G}^- : \quad A = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad B^* = \mathbb{1}, \quad C = D^* = 0,$$

$$\text{CP}4_G^- : \quad A = B^* = 0, \quad C = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad D^* = \mathbb{1}.$$

$$\mathbb{Z}_{4,G}^- : \quad A = B^* = \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix}, \quad C = D^* = 0.$$

It turns out that none of these transformations is radiatively stable.

Reason: *The relative sign of the kinetic terms does enter the  $\beta$  functions of the 2HDM.*

## Goofy transformations 101 – Goofy basis change

Consider multiplet of complex scalars  $\phi_{a=1,\dots,N} \in \mathbb{C}$  and hermitean conjugate fields  $\phi_a^\dagger$ .

Standard “canonical” kinetic term

$$\mathcal{K}[\phi, \phi^\dagger] = \partial_\mu \phi^\dagger \partial^\mu \phi = \partial_\mu \phi_a^\dagger \delta^{ab} \partial^\mu \phi_b .$$

Field space metric  $\kappa^{ab} \phi_a^\dagger \phi_b$  is only unity,  $\kappa^{ab} = \delta^{ab}$ , in the **canonical basis**. In any other basis  $\kappa^{ab} \neq \delta^{ab}$ . Recall that  $\phi$  and  $\phi^\dagger$  are independent degrees of freedom. In particular, we can always do a general *passive field redefinition*

$$\phi' \equiv V \phi , \quad \phi'^\dagger \equiv \phi^\dagger U^\dagger .$$

Regular field redefinition:  $U = V$ , Goofy redefinition:  $U \neq V$ .

The kinetic term then reads

$$\mathcal{K}[\phi, \phi^\dagger] = \mathcal{K}[V^\dagger \phi', \phi'^\dagger U] = \partial_\mu \phi'^\dagger (UV^\dagger) \partial^\mu \phi' , \quad \Rightarrow \quad \kappa = UV^\dagger .$$

This is nothing else than rotating the kinetic term **to** / **from** a non-canonical basis.

Note: this discussion holds for any term  $(\phi^\dagger \phi)_{10}$ , (gauge-covariant) derivatives play no role here.

## Goofy transformations 101 – Goofy basis change

We actually do this all the time in going to the canonical basis in the first place!  
In the most general basis, the kinetic terms are

$$\mathcal{K} = \kappa^{ab} (\partial_\mu \phi_a)^\dagger (\partial^\mu \phi_b) \quad \text{with} \quad \kappa^{ab} = \kappa^{ba*} .$$

Wave function renormalization coefficients  $\kappa^{ab} \equiv$  “couplings” of kinetic term.  
Any hermitean matrix  $\kappa$  can be written as

$$\kappa = U^\dagger \begin{pmatrix} k_1 & 0 & & \\ 0 & k_2 & & \\ & & \ddots & \\ & & & \ddots \end{pmatrix} U, \quad (U \text{ unitary, eigenvalues } k_a \in \mathbb{R})$$

$U$ 's can be absorbed in  $\phi^\dagger$ ,  $\phi$  by a *regular* field redefinition.

Canonical diagonal entries are obtained by subsequent rescaling (no sum)

$$\phi_a = \sqrt{k_a} \phi'_a, \quad \text{and} \quad \phi_a^\dagger = \sqrt{k_a} \phi'^{\dagger}_a .$$

Note: if any one of the  $k_a < 0$ , this “rescaling” is a *goofy* transformation.

# Goofy transformations 101 – Active goofy transformations

Goofy transformations as *active* transformations (we assume unitary  $A$ , and  $B$ )

$$\phi \mapsto A\phi, \quad \phi^\dagger \mapsto \phi^\dagger B^\dagger.$$

For canonical kinetic term this corresponds to a mapping

$$\phi_a^\dagger \delta^{ab} \phi_b \mapsto \phi_a^\dagger (B^\dagger A)^{ab} \phi_b.$$

For the action to stay real valued,  $(B^\dagger A)$  is required to be hermitean and unitary. Therefore,  $(B^\dagger A)^2 = \mathbb{1}$  is of order 2. This means there is a basis in which

$$\sum_a \partial_\mu \phi_a^\dagger \partial^\mu \phi_a \quad \mapsto \quad \sum_a \kappa_a \partial_\mu \phi_a^\dagger \partial^\mu \phi_a \quad \text{with} \quad \kappa_a = \pm 1 \quad (\text{uncorrelated signs}).$$

In this basis goofy transformation corresponds to pure sign flips of kinetic terms.