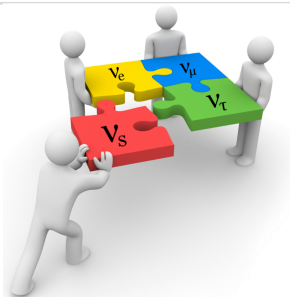


# Determination of neutrino mass and search for sterile neutrino with the KATRIN experiment

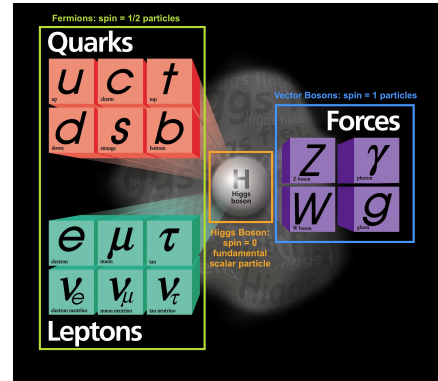
Seminar, INFN Sezione Di Cagliari | May 22, 2024

**Shailaja Mohanty** (shailaja.mohanty@kit.edu) for the KATRIN collaboration  
Institute for Astroparticle Physics |



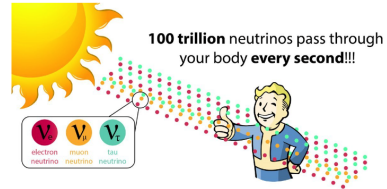
# The "Standard Model" & Neutrinos

- The SM explains how the building blocks of matter interact via 4 fundamental forces
  - Our quest to understand radioactivity took **hundred years** and culminated in the discovery of **Higgs boson**
- 
- Neutrinos are fundamental particles that do not feel the strong force (no color) or electromagnetic force (no charge)
  - Interact via weak force
  - In SM: Neutrinos are massless, light-speed travelers and always left-handed (opposite for anti-neutrinos)



# More about Neutrinos

- Remarkable penetrative ability: Require a light year ( $\sim 10^{13}$  km) of lead to have a 50% chance of stopping.
- Abundant presence: Outnumber atoms in the universe, with relic neutrinos  $\sim 3 \times 10^8$  per cubic meter
- Indications of importance: Their sheer abundance suggests significant roles in cosmology and particle physics
- Unique weak interactions: Only engage in left-handed weak interactions
- Could be Majorana or Dirac fermions

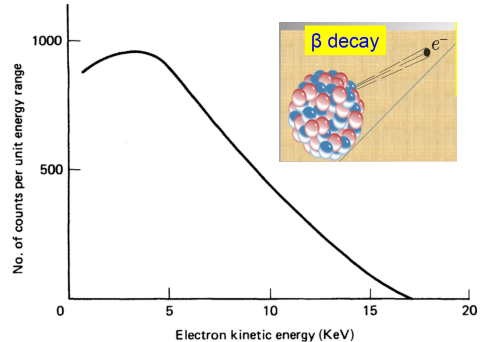


Neutrinos from the Sun



# Neutrinos are known since 1930

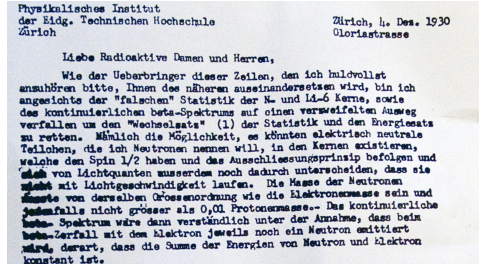
■ For a two-body  $\beta$ -decay, the energy spectrum must be discrete. This is not the case! **Energy-momentum not conserved in  $\beta$  decays?**



$\beta$  decay spectrum of  ${}^3_1\text{H} \rightarrow {}^3_2\text{He} + e^-$  (Source: Lewis, G. M. (1970) Neutrinos, Wykeham, London, p. 30.)

# Neutrinos are known since 1930

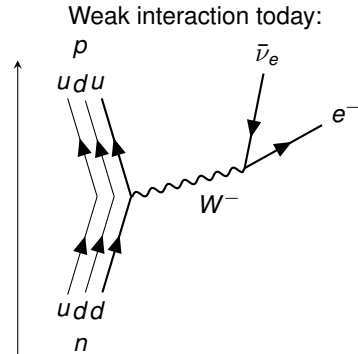
- For a two-body  $\beta$ -decay, the energy spectrum must be discrete. This is not the case! **Energy-momentum not conserved in  $\beta$  decays?**
- Pauli did not believe energy-momentum conservation was violated and proposed a desperate remedy: **"Neutron: A new invisible neutral particle"**
  - Neutron (later coined "Neutrino") could carry the missing energy and have angular momentum 1/2
  - **Endpoint & shape** of  $\beta$  spectrum depend on neutrino mass



Source: Pauli letter collection, letter to Lise Meitner 4 December 1930,  
<https://cds.cern.ch/record/83282/export/hm?ln=en>

# Neutrinos are known since 1930

- E. Fermi's Three-Body Decay Model:  
Inspired by Chadwick's experiments and Pauli's theory, Fermi proposed  $\beta$ -decay as a single-vertex three-body decay:  $n \rightarrow p + e^- + \bar{\nu}_e$
- Successful **theory of weak interactions**
- From 1968 to 1998, evidence showed that **neutrinos can oscillate** among different types, implying mass. *History of neutrinos*
- Discovery of neutrino mass challenged fundamental assumptions in particle physics & cosmology



# Significance of $\nu$ mass

In particle physics:

- Nature of  $\nu$ : Dirac or Majorana?
- $\nu$  masses are at least 500,000 times lighter than electrons, less than 0.8 eV. What is the reason of smaller mass? **Sea-saw mechanism: Type-I & Type-II, etc**
- Possible connection to generation of matter - antimatter asymmetry **Leptogenesis**

Three Generations of Matter (Fermions)

	I	II	III	
mass→	2.4 MeV	1.27 GeV	171.2 GeV	0
charge→	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0
spin→	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
name→	u up	c charm	t top	$\gamma$ photon
Quarks	4.8 MeV	104 MeV	4.2 GeV	0
	$-\frac{1}{3}$	$-\frac{1}{3}$	$-\frac{1}{3}$	0
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
	d down	s strange	b bottom	g gluon
Leptons	<2.2 eV	<0.17 MeV	<15.5 MeV	91.2 GeV <sup>0</sup>
	0	0	0	0
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
	$\nu_e$ electron neutrino	$\nu_\mu$ muon neutrino	$\nu_\tau$ tau neutrino	Z <sup>0</sup> weak force
	0.511 MeV	105.7 MeV	1.777 GeV	80.4 GeV <sup>±</sup>
	-1	-1	-1	$\pm 1$
	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1
	e electron	$\mu$ muon	$\tau$ tau	W <sup>±</sup> weak force

Bosons (Forces)

Source: PBS NOVA/Fermilab/Office of Science/US Dept of Energy

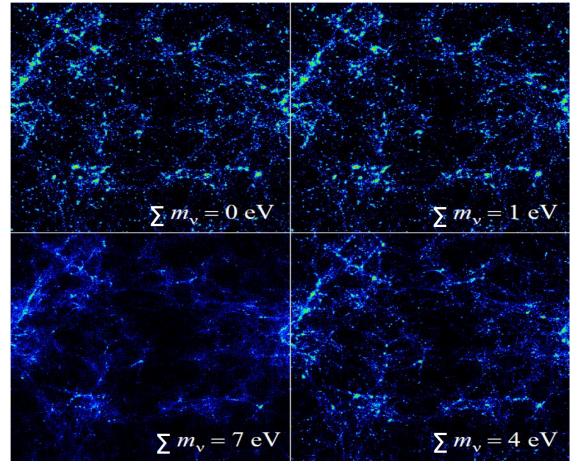
# Significance of $\nu$ mass

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- Possible connection to generation of matter - antimatter asymmetry **Leptogenesis**

## In cosmology:

- Significant abundance of mass carrying  $\nu$ s can influence structure formation
- With finite masses, cosmological neutrinos become part of the total matter field and contribute to its smoothing

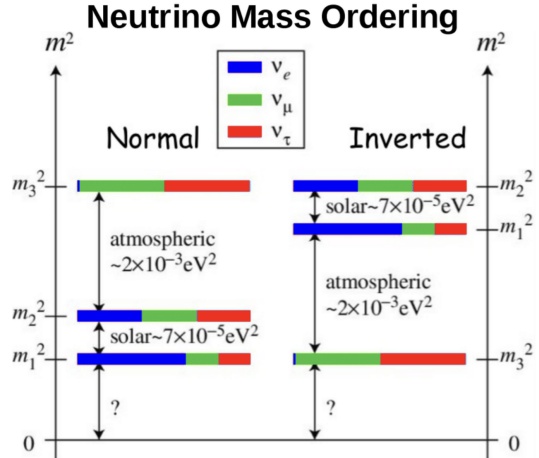


simulation Chung-Pei Ma 1996



# Open questions

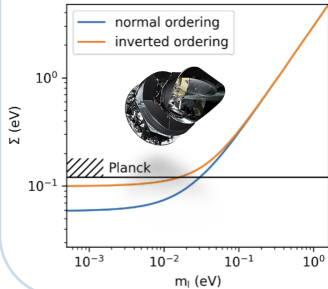
- **Absolute mass scale:** minimum  $m_\nu$
- What is the **neutrino mass ordering**, normal or inverted?
- Are neutrinos **Majorana** type particles, and if so, what new physics lies behind this fact?
- Is there **leptonic CP violation**?
- Are there more than 3 known flavors i.e. **Sterile neutrinos**?
- Can neutrinos explain the **matter-antimatter asymmetry** in the Universe?



# Measurement of $\nu$ mass(es): complementary approaches

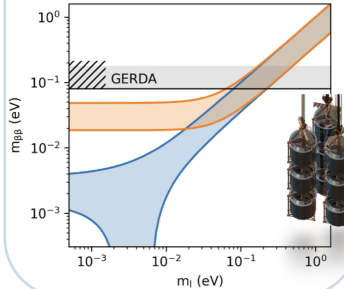
## Cosmology

$$\Sigma = \sum_i m_i^2$$



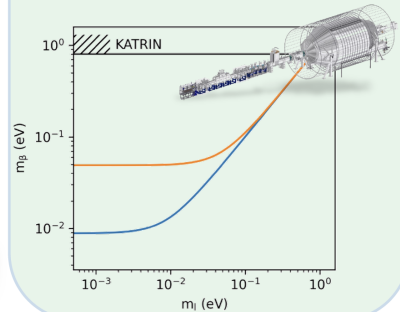
## Neutrinoless $\beta\beta$ decay

$$m_{\beta\beta} = \sum_i U_{ei}^2 \cdot m_i$$



## $\beta$ -decay kinematics

$$m_{\nu/\beta}^2 = \sum_i |U_{ei}|^2 \cdot m_i^2$$



# Direct kinematic $\nu$ mass measurement

- ✓ Measurement of electron  $\beta$  spectrum:

$$\frac{d\Gamma}{dE} = C p(E + m_e)(E_0 - E)\sqrt{(E_0 - E)^2 - m_\nu^2} F(Z + 1, E)\Theta(E_0 - E - m_\nu)S(E)$$

- ✓ Based on kinematics & energy conservation
- ✓ Incoherent sum of neutrino mass:  $m_\nu^2 = \sum_{i=1}^3 |U_{ei}|^2 m_i^2$
- ✓ Suitable isotopes:

- **Tritium**

- $E_0 = 18.6$  keV,  $T_{1/2} = 12.3$  y
    - $S(E) = 1$  (super-allowed)

- **Rhenium**

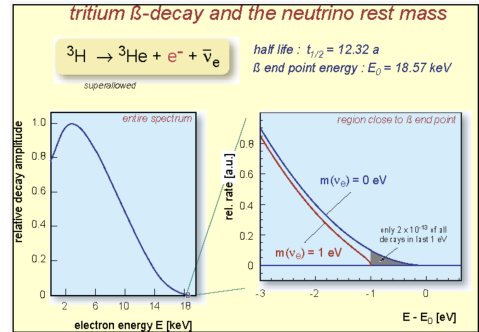
- $E_0 = 2.47$  keV,  $T_{1/2} = 43.2$  Gy

- **Alternative approach: Holmium (EC decay)**

- $Q_{EC} \approx 2.5$  keV,  $T_{1/2} = 4570$  y

Model independent:

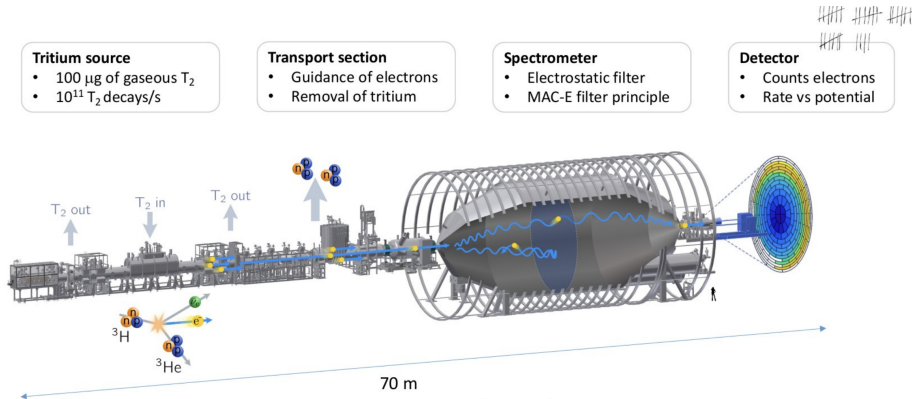
- Independent of cosmological model and neutrino nature



Source: <https://web.physics.utah.edu/~jui/5110/y2009m03d09/KATRIN.htm>

# The KATRIN experiment in a nutshell

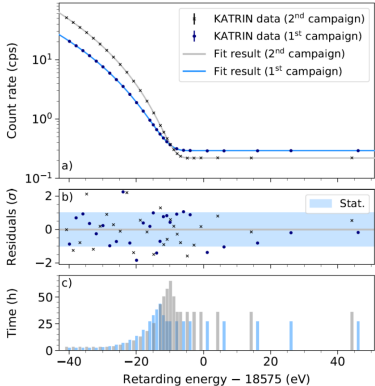
- Kinematics-based neutrino mass experiment  
(with sensitivity better than 0.3 eV (90% CL) after 1000 days of measurement time)
- Current result:  $m_\beta < 0.8$  eV (90%) CL, (*Nature Phys.* 18 (2022) 2, 160-166)



# $\nu$ mass analysis

Measurement strategy:

- **Scan points:**  $\sim 30$  HV set points
- **Scan interval:**  $E_0 - 40$  eV to  $E_0 + 135$  eV
- **Scan time:**  $\sim 3$  hours



- **Model**  $N_{\text{model}}(qU, \Theta)$  is fitted to the measured integral spectrum  $N_{\text{exp}}(qU)$  :

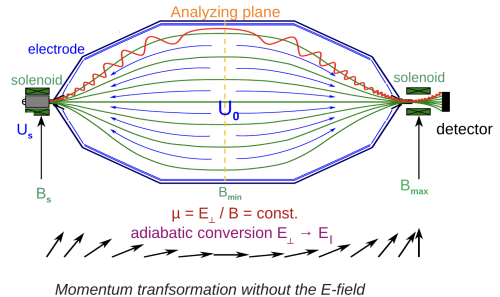
$$N_{\text{model}}(qU, \Theta) = A \cdot \int R_{\beta}(E, \Theta) \cdot f(E, qU) + Bg$$

- **4 model parameters:**
  - A - Signal amplitude
  - $E_0$  - effective endpoint energy
  - $m_{\nu}^2$  - effective mass of electron anti-neutrino
  - Bg - Background rate
- **3-tiered blind analysis**
  - Freeze analysis on MC data
  - Blinded Model: Modified molecular final state distribution
  - Two different analysis teams: different strategies and codes

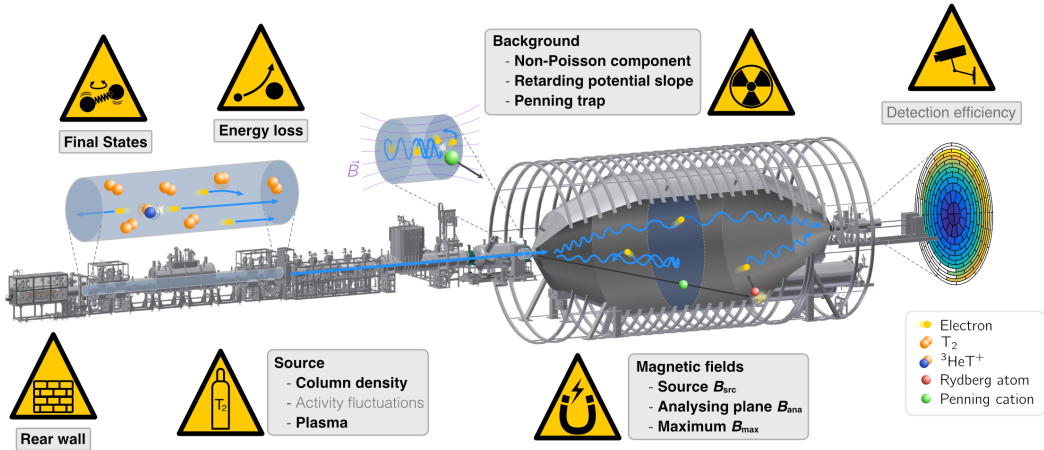
# MAC-E Filter: High resolution $\beta$ -spectroscopy

- **Adiabatic transport:**  $\mu = \frac{E_{\perp}}{B} = \text{const.}$
- **Magnetic field reduction:**  $B$  drops by  $2 \cdot 10^4$  from solenoid to analyzing plane:  $E_{\perp} \rightarrow E_{\parallel}$
- **Retardation potential:** Only electrons with  $E_{\parallel} > eU_0$  can pass the retardation potential
- **Energy resolution:**  $\Delta E = E_{\perp, \text{max}, \text{start}} \cdot \frac{B_{\text{min}}}{B_{\text{max}}} < 1 \text{ eV}$

Magnetic Adiabatic Collimation & Electrostatic Filter:



# Sources of systematic uncertainties



Source: L. Köllenberger

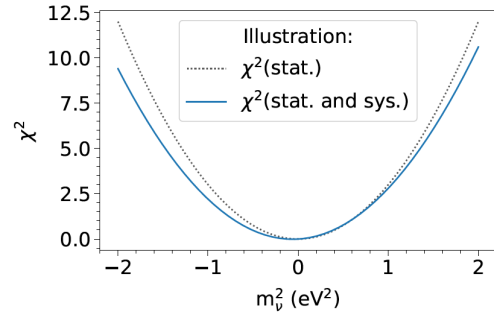
# Systematic error propagation via Pull term approach

- Adding additional free parameters ( $\theta_i$ )
- Constraining parameters with a penalty term
- Adding pull terms widens the  $\chi^2$  distribution:

$$\chi^2(m^2, E_0, \text{Sig}, \text{Bg}, \theta_1, \dots) + \frac{(\theta_1 - \hat{\theta}_1)^2}{\sigma_{\theta_1}^2} + \dots$$

In the combined analysis of data across campaigns:

- Pull term as multivariate normal distribution
- Treatment of correlations between campaigns and segments
- $\sim 300$  fit parameters and  $\sim 100$  correlations





# Published $\nu$ mass results

## First campaign (spring 2019):

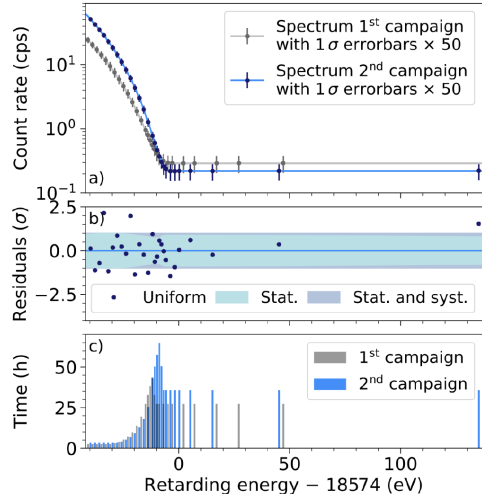
- Total statistics: 2 million events
- Best fit:  $m_\nu^2 = -1.0^{+0.9}_{-1.1} \text{ eV}^2$  (stat. dom.)
- Limit:  $m_\nu < 1.1 \text{ eV}$  (90% CL)

## Second campaign (autumn 2019):

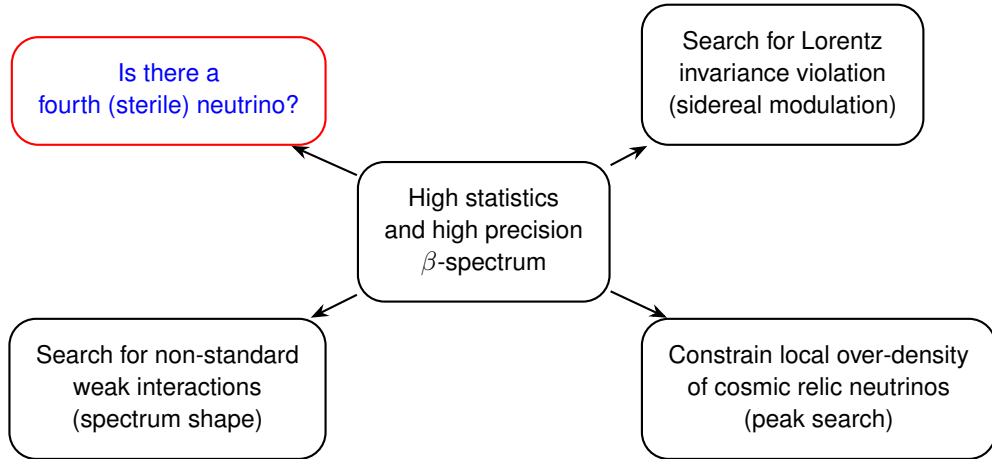
- Total statistics: 4.3 million events
- Best fit:  $m_\nu^2 = 0.26^{+0.34}_{-0.34} \text{ eV}^2$  (stat. dom.)
- Limit:  $m_\nu < 0.9 \text{ eV}$  (90% CL)

**Combined result:** Upper limit  $m_\nu < 0.8 \text{ eV}$  (90% CL)

(*Nature Phys.* 18 (2022) 2, 160-166)

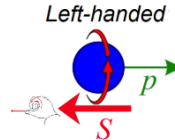
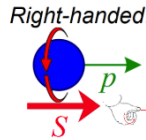


# KATRIN goals: Beyond Neutrino Mass



# Neutrinos are only "half of the particle"

	2.4 MeV $\frac{2}{3}$ Left <b>u</b> up Right	1.27 GeV $\frac{2}{3}$ Left <b>c</b> charm Right	171.2 GeV $\frac{2}{3}$ Left <b>t</b> top Right
Quarks	4.8 MeV $-\frac{1}{3}$ Left <b>d</b> down Right	104 MeV $-\frac{1}{3}$ Left <b>s</b> strange Right	4.2 GeV $-\frac{1}{3}$ Left <b>b</b> bottom Right
	<0.0001 eV 0 Left <b><math>\nu_e</math></b> electron neutrino Right	$\sim 0.01$ eV 0 Left <b><math>\nu_\mu</math></b> muon neutrino Right	$\sim 0.04$ eV 0 Left <b><math>\nu_\tau</math></b> tau neutrino Right
Leptons	0.511 MeV -1 Left <b>e</b> electron Right	105.7 MeV -1 Left <b><math>\mu</math></b> muon Right	1.777 GeV -1 Left <b><math>\tau</math></b> tau Right



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	<0.0001 eV 0 Left <b><math>\nu_e</math></b> electron neutrino Right	$\sim \text{keV}$ 0 Left <b><math>N_1</math></b> sterile neutrino Right	$\sim 0.01$ eV 0 Left <b><math>\nu_\mu</math></b> muon neutrino Right
		$\sim \text{GeV}$ 0 Left <b><math>N_2</math></b> sterile neutrino Right	$\sim 0.04$ eV 0 Left <b><math>\nu_\tau</math></b> tau neutrino Right
			$\sim \text{GeV}$ 0 Left <b><math>N_3</math></b> sterile neutrino Right
Leptons	0.511 MeV -1 Left <b>e</b> electron Right	105.7 MeV -1 Left <b><math>\mu</math></b> muon Right	1.777 GeV -1 Left <b><math>\tau</math></b> tau Right

Source: Based on nuMSM model by Boyarsky et al.

# Non-standard or Sterile Neutrino

Sterile neutrino = SM neutral singlet fermion

- Existence could be revealed through effects of mass and mixing with active neutrinos (neutrino oscillations,  $\beta$ -decay,  $0\nu\beta\beta$ -decay)

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- *Theoretical motivation:*

DM exists  $\implies$  uncharged particles under SM gauge group  
 $\implies$  singlet fermions

- Singlet fermions naturally appear in the dark sector
- Members of dark sector could mix with active neutrinos via neutrino portal coupling
- Sterile neutrinos can live at any mass scales: GeV, keV,

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eV

- *Experimental hints for eV scale :*
  - *Appearance* LSND ( $3\sigma$ ) and MiniBooNE ( $4.8\sigma$ ) excess observations  
 Explained by  $(\nu_\mu \rightarrow \nu_s \rightarrow \nu_e)$
  - *Disappearance* SAGE and GALLEX: Gallium anomaly ( $2.9\sigma$  deficit)  
 Explained by  $\nu_e \rightarrow \nu_s$
  - The Gallium anomaly reaffirmed by BEST experiment  
[Phys. Rev. Lett. 128, 232501 \(2022\)](#)

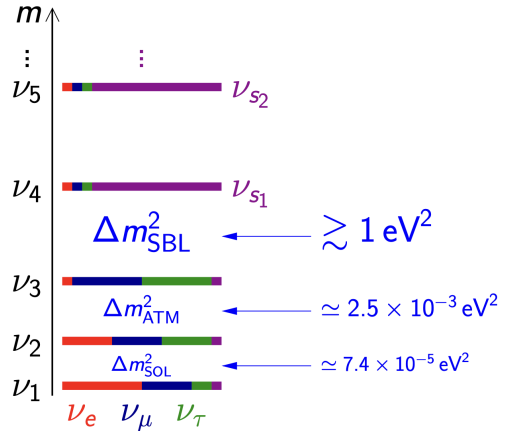
# Interpretation



- SBL anomalies could be explained by an additional neutrino flavor ( $\nu_s$ )
- There must be at least one additional mass squared difference,
 

$3\nu + 1$  framework

 $\Delta m_{SBL}^2 \approx (1 - 2) \text{ eV}^2$
- Allowed by solar, atmospheric and long baseline experiments, achieved with  $|U_{e4}|^2 \ll 1$



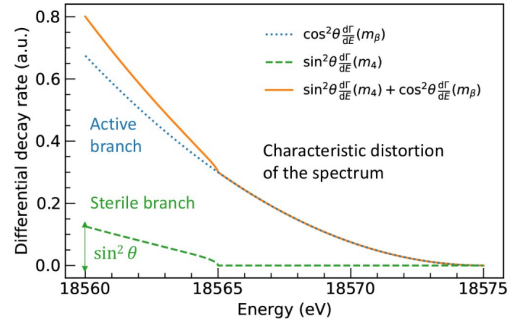
# Sterile neutrino in $\beta$ -decay

## ■ Differential decay rate:

$$\begin{aligned}
 R_\beta(E, m_\nu^2, m_4^2, |U_{e4}|^2) &= \underbrace{(1 - |U_{e4}|^2) \cdot R_\beta(E, m_\nu^2)}_{\text{Active branch}} + \underbrace{|U_{e4}|^2 \cdot R_\beta(E, m_4^2)}_{\text{Sterile branch}} \\
 &= \cos^2 \theta \cdot R_\beta(E, m_\nu^2) + \sin^2 \theta \cdot R_\beta(E, m_4^2)
 \end{aligned}$$

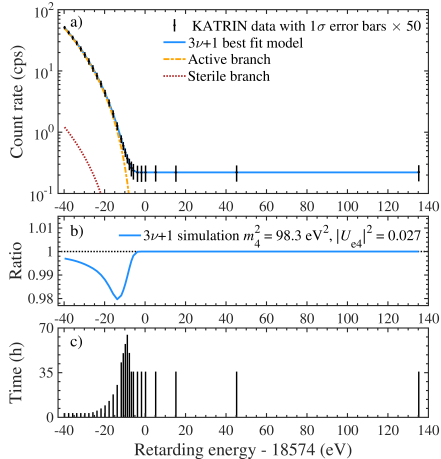
## ■ A kink at $E_0 - m_4$

$$m_\nu^2 = \sum_{k=1}^3 |U_{ek}|^2 m_k^2 \xrightarrow{3+1} \sum_{k=1}^3 \frac{|U_{ek}|^2}{1 - |U_{e4}|^2} m_k^2$$





# Sterile Signal in $\beta$ -decay Spectrum



- **model**  $N_{\text{model}}(qU, \Theta)$  is fitted to the measured integral spectrum  $N_{\text{exp}}(qU)$ :

$$N_{\text{model}}(qU, \Theta) = A \cdot \int R_{\beta}(E, \Theta) \cdot f(E, qU) + Bg$$

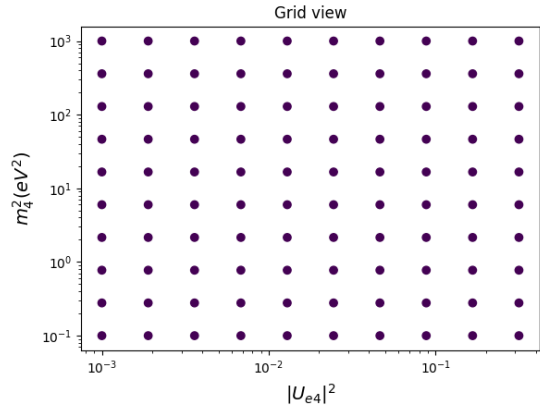
- 6 model parameters:
  - A - Signal amplitude
  - $E_0$  - effective endpoint energy
  - $m^2$  - effective mass of electron anti-neutrino
  - Bg - Background rate
  - $m_4^2$  - sterile neutrino mass
  - $|U_{e4}|^2$  - sterile neutrino mixing

# Dataset and Analysis Strategy

- Data selection and combination, active neutrino model configuration are the same as for the active neutrino mass analysis.
- Unblinding procedure [Phys. Rev. D 105, \(2022\)](#)
  - Code validation on Monte Carlo twins
  - Tritium spectrum, model, systematics treatment and budget (pull term approach) same as active neutrino mass analysis
  - **Two independent analysis teams with independent codes:**
    - KaFit (exact model evaluation)
    - Netrium (use neural nets for swift model interpolation)

# Analysis method for sterile neutrino search

- Extend Tritium  $\beta$ - spectrum model to 3+1 framework
- **Grid Scan:**  $50 \times 50$   $[\log(|U_{e4}|^2), \log(m_4^2)]$  plane
- Contours are drawn at  $\Delta\chi^2 = \chi^2 - \chi_{BF}^2 = 5.99$  (95% CL, 2 dof)
- Energy range:  $[E_0 - 40, E_0 + 135]$  eV
- Sensitive to  $m_4^2 \leq 1600$  eV<sup>2</sup> and  $|U_{e4}|^2 \leq 0.5$
- Two complementing analyses
  - **Case-I - Fixed neutrino mass:**  
 $m_\nu^2 = 0$  ( $m_{1,2,3} \ll m_4$ )
  - **Case-II - Free neutrino mass:**  
 $m_\nu^2$  as nuisance parameter



# Data collection status

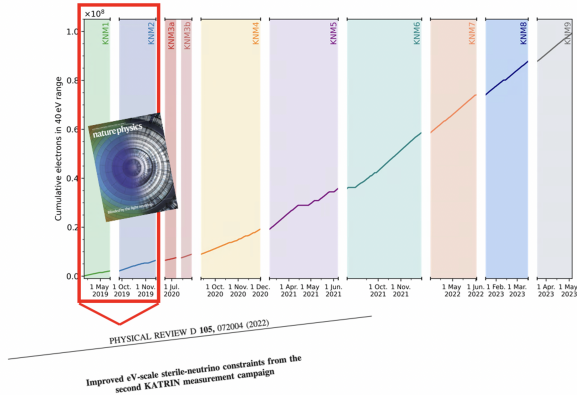


Table: KATRIN Neutrino Mass Measurement Campaigns (KNM)

Campaign	Time (hrs)	$\rho d\sigma$ ( $\text{m}^{-2}$ )	Bg (mcps)
KNM1	522	$1.11 \times 10^{21}$	370
KNM2	294	$4.23 \times 10^{21}$	278
KNM3a	220	$2.08 \times 10^{21}$	137
KNM3b	224	$3.75 \times 10^{21}$	258
KNM4	1267	$3.77 \times 10^{21}$	150
KNM5	1232	$3.78 \times 10^{21}$	160

- KNM1, KNM2, KNM3b operated in Nominal Analyzing Plane (NAP) mode

# Results from First Two Science Runs

- $5.24 \times 10^6$  electrons for 40 eV below  $E_0$ ,  
1265 hours of data

## Best fit:

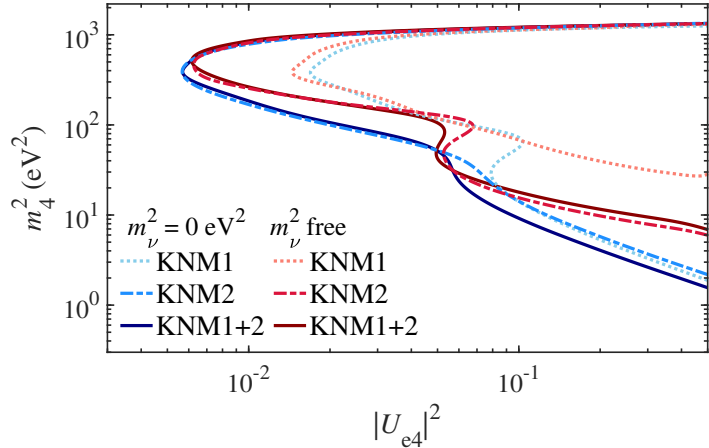
- $m_4^2 = 59.9 \text{ eV}^2$ ,  $|U_{e4}|^2 = 0.011$ ,  
 $m_\nu^2 = 0.0 \text{ eV}^2$
- $\Delta\chi_{null}^2 = 0.66$

- Active neutrino mass set free

## Best fit:

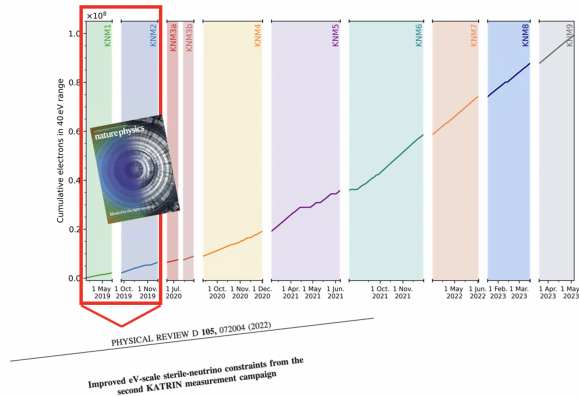
- $m_4^2 = 87.4 \text{ eV}^2$ ,  $|U_{e4}|^2 = 0.019$ ,  
 $m_\nu^2 = 0.57 \text{ eV}^2$
- $\Delta\chi_{null}^2 = 1.69$

- Signal-to-background ratio of up to 235



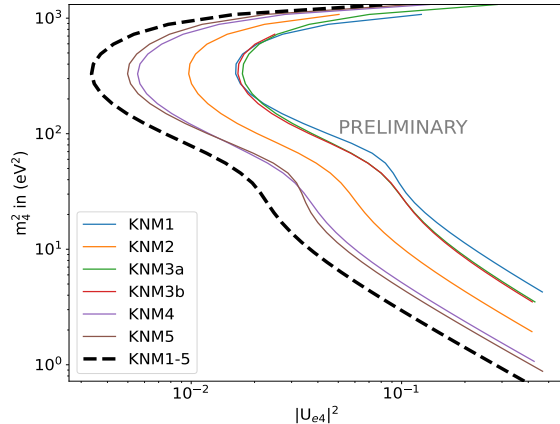
# Data collection status

- Significant experimental development: Shifted Analyzing Plane (SAP) background reduction method  
*Lokhov et al., EPJ C 82 (2022) 3, 258*



# Sensitivity Results From Five Science Runs

- **Case-I:**  $m_\nu^2 = 0 \text{ eV}^2$
  - 40 eV fit range,  $|U_{e4}|^2 \in [0, 0.5]$
  - Stat. only + all systematics 95% CL
  - Gain in overall sensitivity with increased statistics
- S. Mohanty, PoS EPS- HEP2023 (2024)*

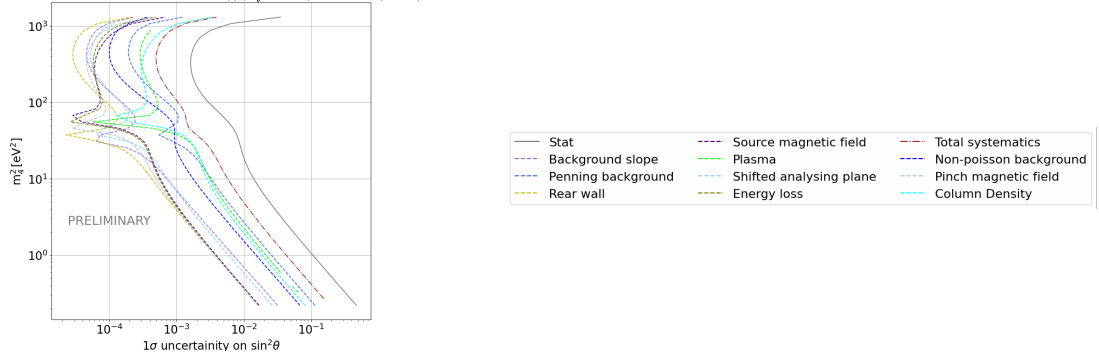


Campaign	KNM1	KNM2	KNM3a	KNM3b	KNM4	KNM5	KNM1-5
No. of signal electrons ( $\times 10^6$ )	2.0	4.3	1.1	1.4	10.2	16.8	35.8

# Impact of Systematics

- Calculating 68% CL uncertainty on  $|U_{e4}|^2$ :  $\sigma_{syst} = \sqrt{\sigma_{Stat+Syst}^2 - \sigma_{Stat}^2}$
- Statistically dominated uncertainties
- Largest systematic contribution: Penning Bg (low  $m_4^2$ ), Column Density (high  $m_4^2$ )

Raster contours for KNM-1-2-3-4-5 - Case(I) ( $m_\nu^2 = 0 \text{ eV}^2$ ) at 68% C.L. (1 DOF)



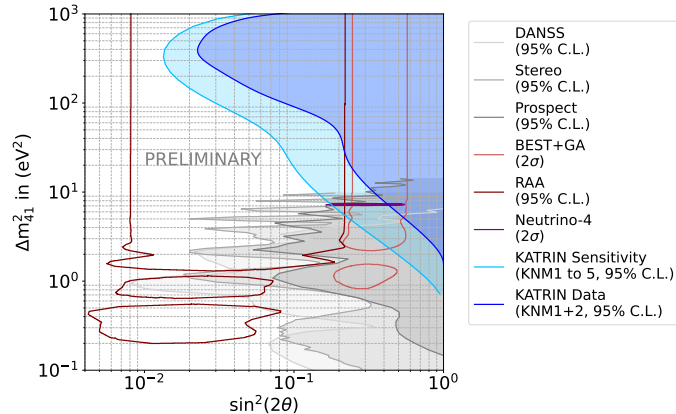


# Sensitivity comparison to other experimental results

- Translation of parameters:

$$\sin^2(2\theta) = 4|U_{e4}|^2(1 - |U_{e4}|^2)$$

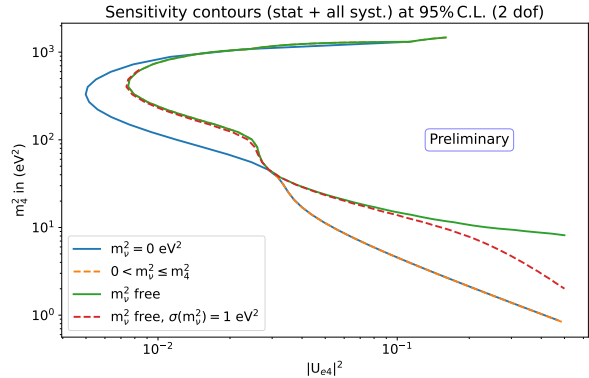
- Large  $\Delta m_{41}^2$  solutions of RAA and BEST+GA anomalies excluded
- Current KATRIN data extends exclusion bounds from SBL oscillation experiments for  $\Delta m_{41}^2 \geq 10 \text{ eV}^2$
- Probing large parameter space for light sterile neutrino anomalies
- Expected KNM1-5 sensitivity yields improved constraints in the sterile parameter space



# Impact of active neutrino on sterile neutrino search

Possible treatments for  $m_\nu^2$ : Extension of Case-II

- **Free  $m_\nu^2$**   
Correlation between  $m_4^2$  and  $m_\nu^2$ .
- **Pull term using  $0 \pm 1 \text{ eV}^2$**   
Intermediate sensitivity between two extremes (fixed and free)
- **$m_4^2 > m_\nu^2 \geq 0$** : Limit  $m_\nu^2$  by mass of right-handed neutrino  
Reasonable option of optimizing sensitivity in addition to free  $m_\nu^2$  case



# Summary

- High precision measurement of Tritium spectrum near the endpoint with KATRIN
- New physics beyond the SM can include sterile neutrinos at all mass scales
- KATRIN uniquely addresses SBL anomalies via spectral shape analysis

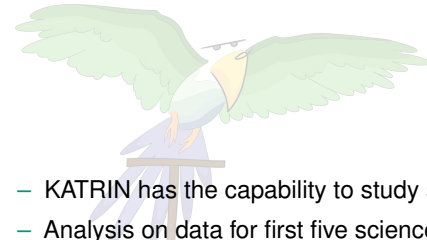
# Summary

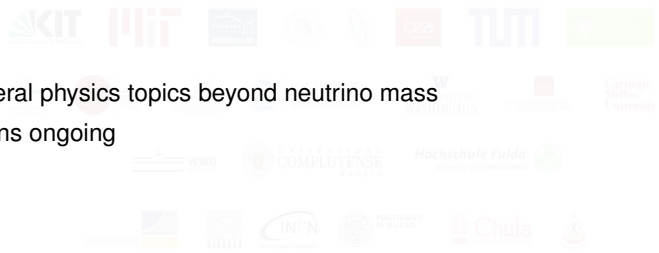
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- KATRIN uniquely addresses SBL anomalies via spectral shape analysis
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  - Published groundbreaking sub-eV neutrino mass limit:  $m_\nu < 0.8$  eV
  - No significant sterile-neutrino signal was observed, and improved exclusion limits were achieved compared to complementary experiments

# Summary

- High precision measurement of Tritium spectrum near the endpoint with KATRIN
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- Results from first two science runs (KNM1 + KNM2):
  - Published groundbreaking sub-eV neutrino mass limit:  $m_\nu < 0.8$  eV
  - No significant sterile-neutrino signal was observed, and improved exclusion limits were achieved compared to complementary experiments
- Sterile neutrino sensitivity projection for five science runs (KNM1...5):
  - Sensitivity dominated by statistical uncertainties
  - Reasonable option for optimized sensitivity  $m_4^2 > m_\nu^2 \geq 0$

# Outlook

- 
- KATRIN has the capability to study several physics topics beyond neutrino mass
  - Analysis on data for first five science runs ongoing
  - Stay tuned for upcoming release!





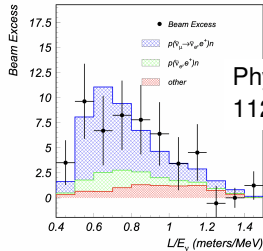
# Thank You

# Backups

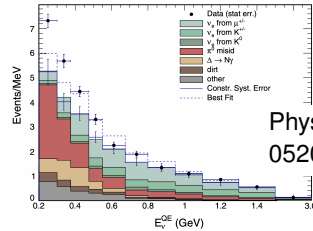


# Experimental hints

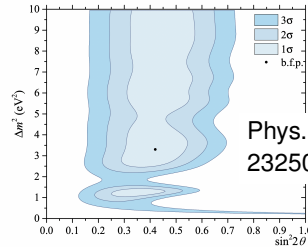
- *Appearance* LSND ( $3\sigma$ ) and MiniBooNE ( $4.8\sigma$ ) excess observations. Explained by  $(\nu_\mu \rightarrow \nu_s \rightarrow \nu_e)$
- *Disappearance* SAGE and GALLEX: Gallium anomaly ( $2.9\sigma$  deficit). Explained by  $\nu_e \rightarrow \nu_s$
- The Gallium anomaly reaffirmed by BEST experiment



Phys. Rev. D, Vol. 64,  
112007 (2001)

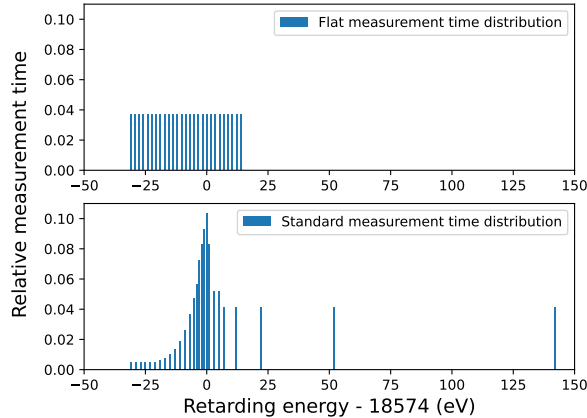


Phys. Rev. D 103,  
052002 (2021)

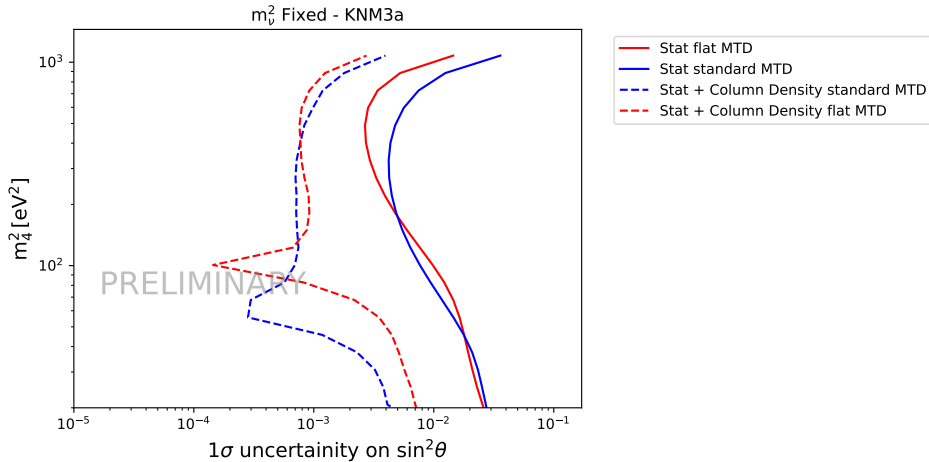


Phys. Rev. Lett. 128,  
232501 (2022)

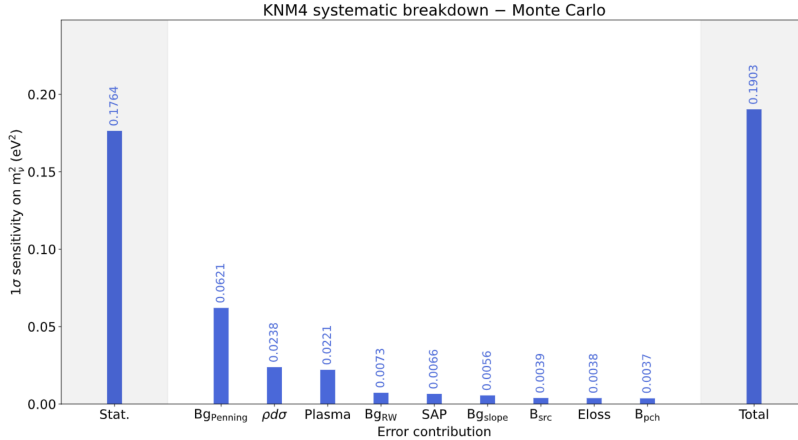
# Measurement time distribution - Standard vs Flat



# Raster scan on different measured time distributions



# Monte Carlo breakdown



# Active neutrino correlation with sterile neutrino

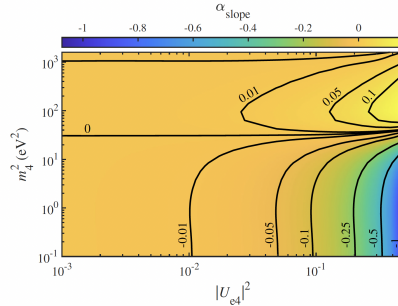
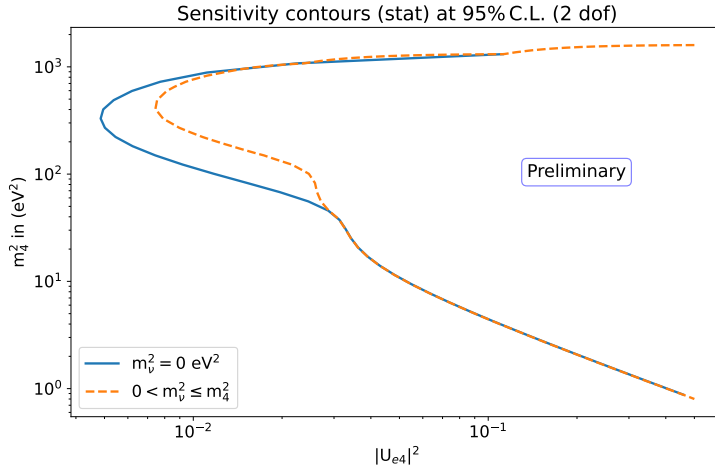


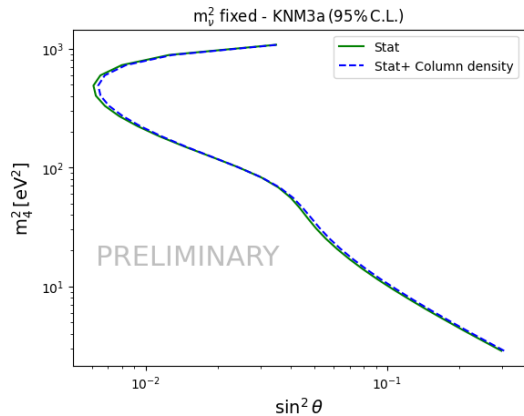
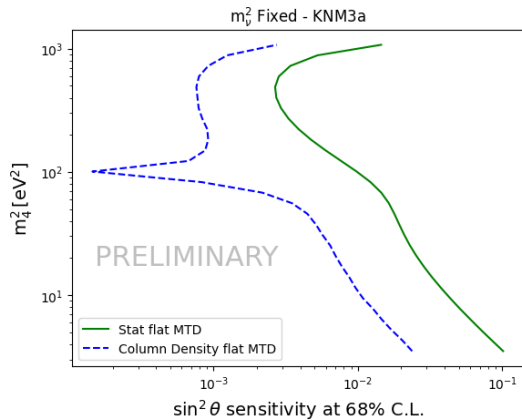
FIG. 4. The correlation between active and sterile neutrino mass is approximately a linear slope  $m_\nu^2 = \alpha_{\text{slope}} \cdot m_4^2 + \text{const}$  for various values of  $m_4^2$  and  $|U_{e4}|^2$  by analyzing simulated spectra. The gradient indicates the magnitude of  $\alpha_{\text{slope}}$ . For small mixing  $|U_{e4}|^2 < 0.01$ , we observe small slope values  $|\alpha_{\text{slope}}| < 0.01$ . For larger mixing, we find a strong negative correlation for small  $m_4^2 \lesssim 30 \text{ eV}^2$  and a weaker positive correlation for larger  $m_4^2$ .

$$m_\nu^2 = 0 \text{ vs } m_4^2 > m_\nu^2 \geq 0$$



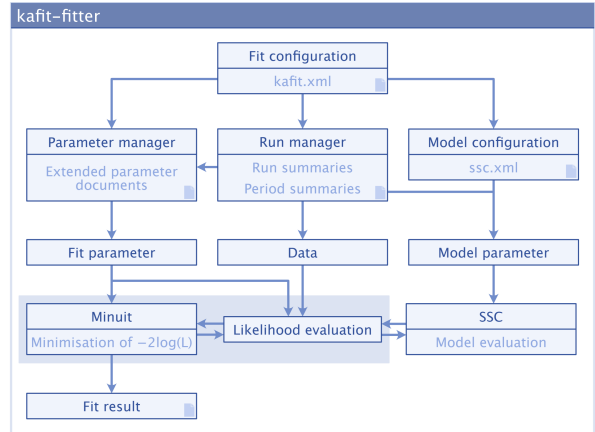
# Impact of Measured Time Distribution

- Objective: To investigate spikes in the raster contours.



# Schematic overview of KaFit

- C++ based fitting framework used to analyse measured KATRIN data and simulated data
- Applicable for Frequentist (based on MINUIT class of ROOT) and Bayesian analysis
- Minimisation is performed with MINUIT by minimising the  $-2\log(L)$

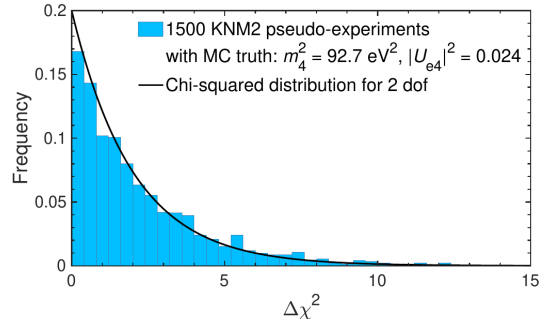




# Testing applicability of Wilks' Theorem

## Previously done

- Generate  $\mathcal{O}(10^3)$  twins with statistical fluctuations for particular choice of MC truth
- Perform fitting for sterile parameter values on a grid and for MC truth for each sample ( $m_\nu^2 = 0$ )
- Evaluate  $\Delta\chi^2 = \chi_{\text{MC truth}}^2 - \chi_{\text{best fit}}^2$  for each sample
- Compare distribution of  $\Delta\chi^2$  values to  $\chi^2$ -distribution with 2 dof



(c) KNM2, MC truth:  $m_4^2 = 92.7 \text{ eV}^2$  and  $|U_{e4}|^2 = 0.024$

**Figure:** Taken from H.9 of Schlüter, L. (2022). Neutrino-Mass Analysis with sub-eV Sensitivity and Search for Light Sterile Neutrinos with the KATRIN Experiment. PhD Thesis, TU München, Garching bei München.

# Brief history

- Late 19th Century: Discovery of radioactivity
- 1914: Chadwick observes continuous electron spectrum in  $\beta$ -decay, challenging assumptions of monoenergetic emissions
- 1930: Bohr suggests questioning conservation of energy and momentum in light of continuous  $\beta$ -decay spectrum.
- 1930: Pauli proposes neutrino as a solution to the continuous spectrum problem in  $\beta$  decay, maintaining energy conservation
- 1934: Fermi develops theory of  $\beta$ -decay , introducing the term "neutrino" and incorporating it into decay processes.
- Fermi's theory remains valid for low-energy  $\beta$  emitters like tritium, influencing modern understanding and experimental approaches.

# Sterile neutrino result

Analysis case	Dataset	$m_4^2$	$ U_{e4} ^2$	$m_\nu^2$	$\chi^2_{\min}/\text{dof}$	$p$	$\Delta\chi^2_{\text{null}}$	Significance	$\hat{p}$
I	KNM1	77.5 eV <sup>2</sup>	0.031	Fixed	21.4/22	0.50	1.43	51.0%	...
	KNM2	0.28 eV <sup>2</sup>	1.0	Fixed	27.5/23	0.24	0.74	31.0%	...
	KNM1 + 2	59.9 eV <sup>2</sup>	0.011	Fixed	50.4/47	0.34	0.66	28.1%	0.47
II	KNM1	21.8 eV <sup>2</sup>	0.155	-5.3 eV <sup>2</sup>	19.9/21	0.53	1.30	47.9%	...
	KNM2	98.3 eV <sup>2</sup>	0.027	1.1 eV <sup>2</sup>	25.0/22	0.30	2.49	71.2%	...
	KNM1 + 2	87.4 eV <sup>2</sup>	0.019	0.57 eV <sup>2</sup>	49.5/46	0.34	1.69	57.1%	0.20