

# Dielectric wakefield acceleration: application to linear colliders

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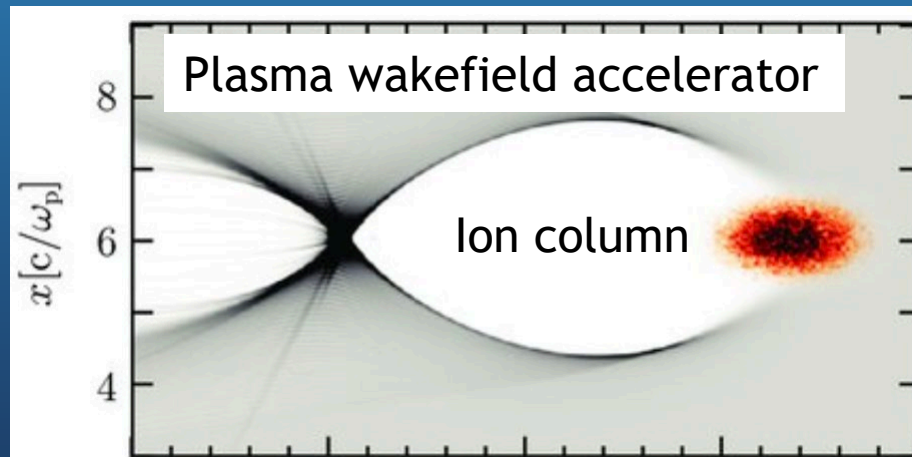
UCLA Dept. of Physics and Astronomy

EuPRAXIA-PP Meeting

Elba - September 24, 2024

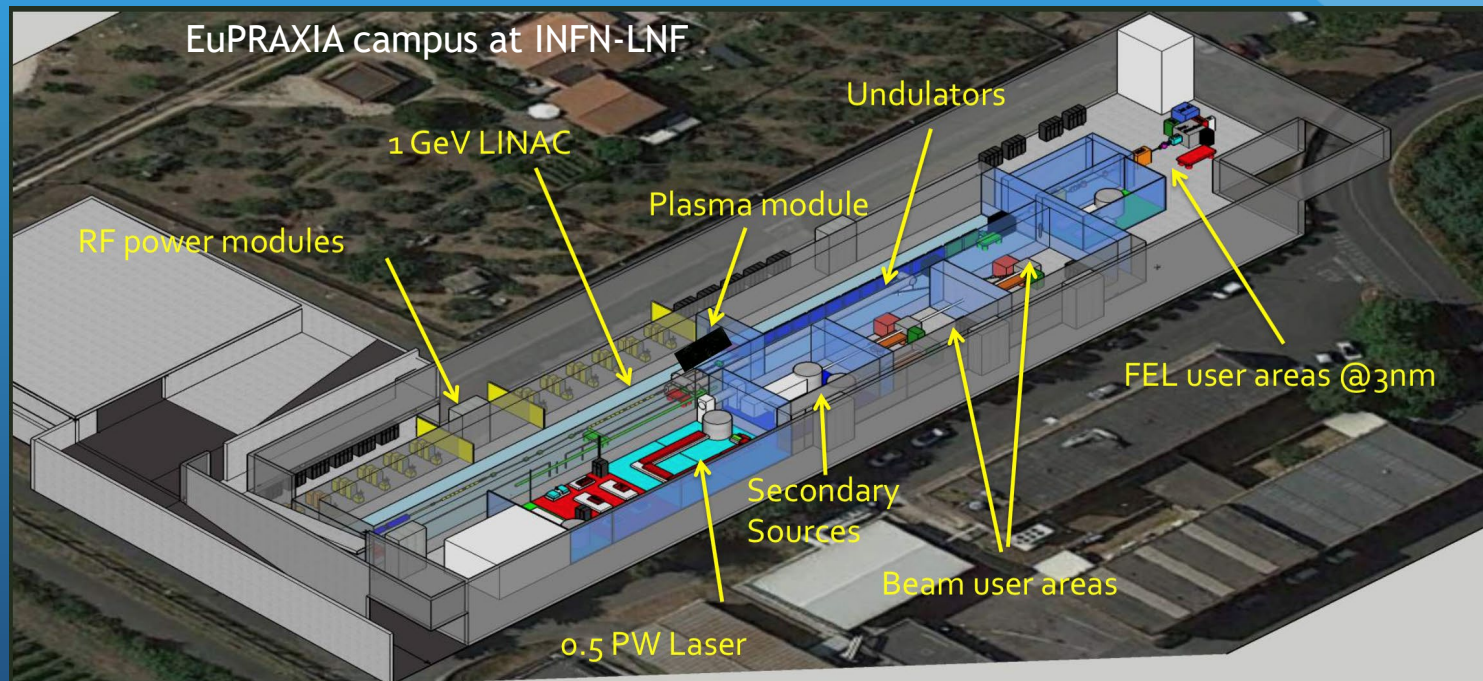
# Wakefield accelerators are now key candidates for TeV colliders

- Beam (or laser) driven
  - Any slow wave medium supports wave,  $v_{\phi} \sim c$
- Short wavelength goes hand in hand with high gradient
- Emerging technology - need a “stepping stone” to future application



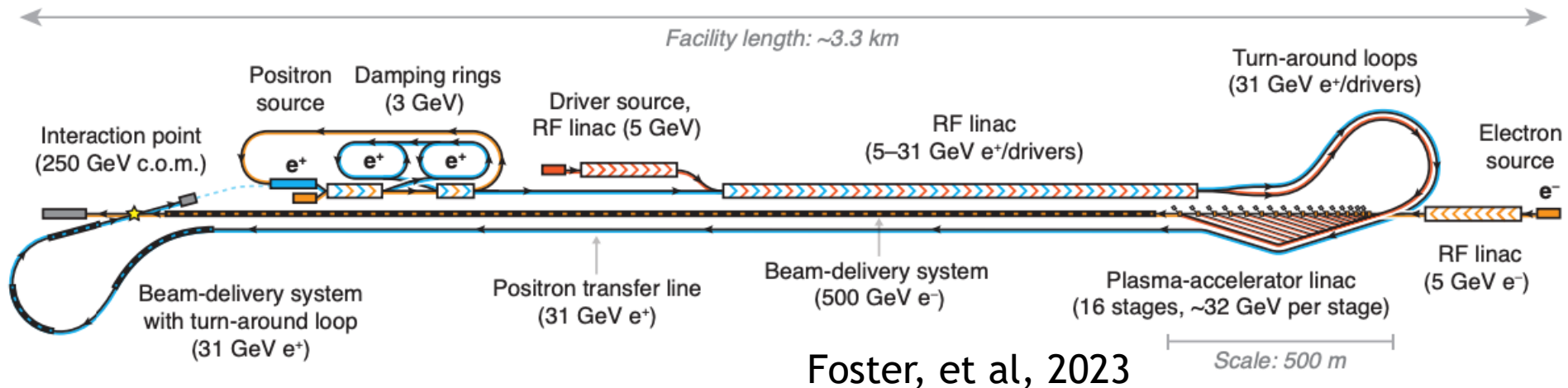
# PWFA-based FEL as stepping stone

- *EuPRAXIA* plasma accelerator-based FEL, first dedicated facility
- Test bed for fundamental science challenges of PWFA in application



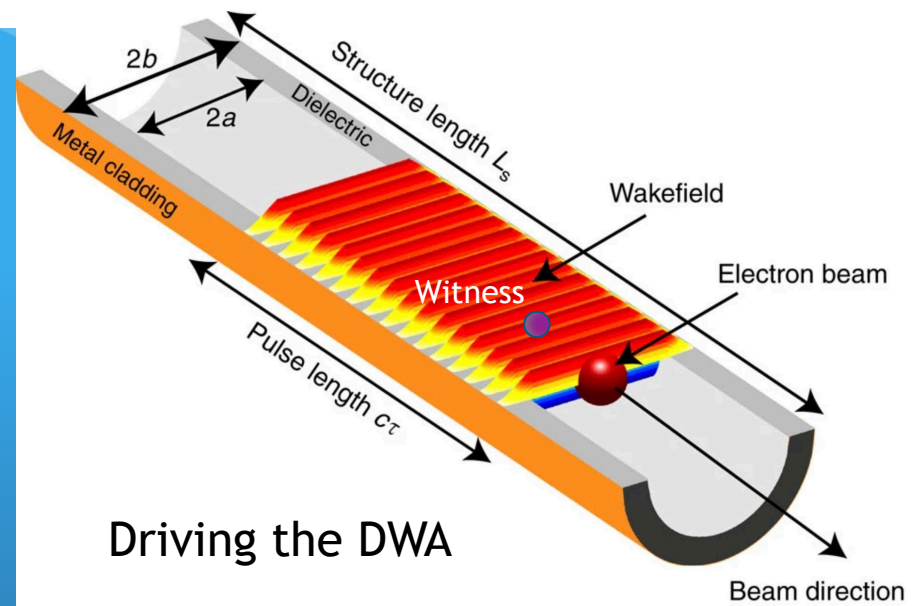
Good news for light sources. Is this enough for the HEP community?

# Hybrid, asymmetric linear Higgs Factory (HALHF)



- Higgs factories are an urgent issue in HEP
- Avoids positron issue in PWFA via use of RF linac
- Asymmetric design minimizes footprint, adapts current detectors
  - 31 GeV  $e^+$  on 500 GeV  $e^-$
- *Examine use of DWA on the  $e^+$  side (or both). Symmetric collider?*

# Dielectric wakefield acceleration: overview



- “Traditional” DWA capillary tube is scaled THz linac
  - Diverse, and more elaborate, structures possible
  - Coherent Cerenkov emission into TW guide mode
  - *Agnostic to charge*
- *THz operation possible*
  - Single bunch or resonant operation (sub-psec driver)
  - Unique THz source (reach nearly 1 Joule)
  - Over GV/m before breakdown (with caveats)

# Dielectric loaded waveguide modes

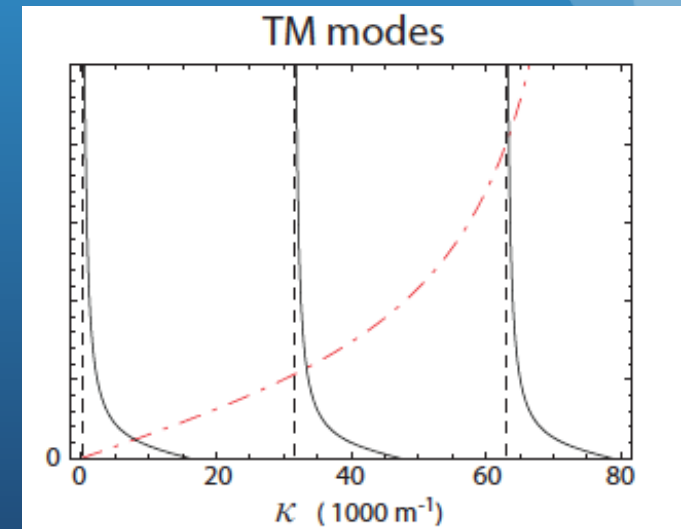
- Accelerating modes  $TM_{0n}$  in hollow capillary within tube
- Simple application of dielectric and metal boundary conditions
- Dispersion relation in the two regions:

$$k_{\rho} \cong k_z \times \begin{cases} 0, & \text{in vacuum tube} \\ \sqrt{\epsilon-1}, & \text{in dielectric} \end{cases}$$

$$k_z \cong \omega / c, \quad \beta \rightarrow 1.$$

- Frequencies are sol'ns of Wronskian transcendental equation:

$$J_1(k_{\rho,n}b)N_0(k_{\rho,n}a) - J_0(k_{\rho,n}a)N_1(k_{\rho,n}b) = 0$$

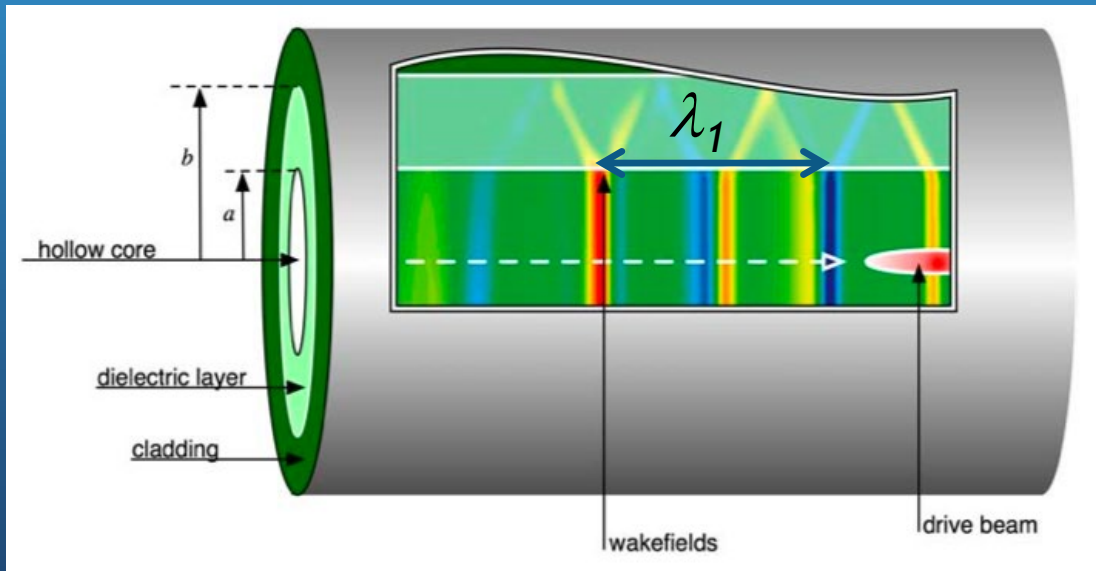


Note:  $k_{zn} \sim k_{z1} \times 1, 3, 5, \dots$

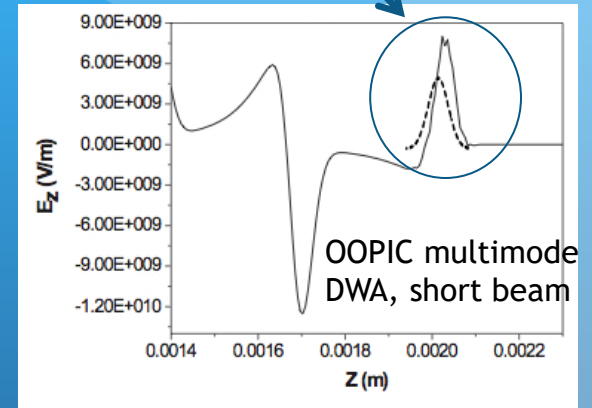
# Quasi-optical model: $\lambda$

- Geometrically, the accelerating modes in

$$\lambda_n \approx \frac{4(b-a)}{n} \sqrt{\epsilon-1}, \quad n=1,3,5\dots$$



Note, multi-mode wake follows  $I(\zeta)$



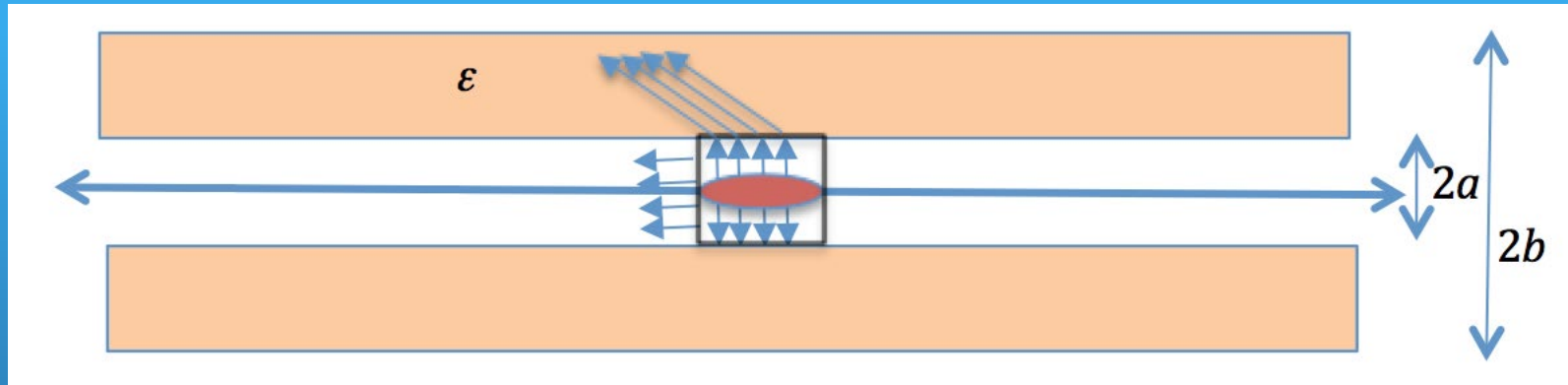
Fundamental is determined by bounce of Cerenkov radiation twice between  $b$  and  $a$

Angle given by Cerenkov:

$$\tan \theta_c = \sqrt{\epsilon-1}, \quad n=1,3,5\dots$$

$$\tan \theta_c = k_p / k_z \text{ checks}$$

# Quasi-optical energy loss



- Gauss' law in pill-box, plus Cerenkov condition, yields *decelerating* field coupling

See HW

$$eE_{z,dec} \approx \frac{-4N_b r_e m_e c^2}{\left[ a \sqrt{\frac{8\pi}{\epsilon-1} \epsilon \sigma_z} + a \right]}$$

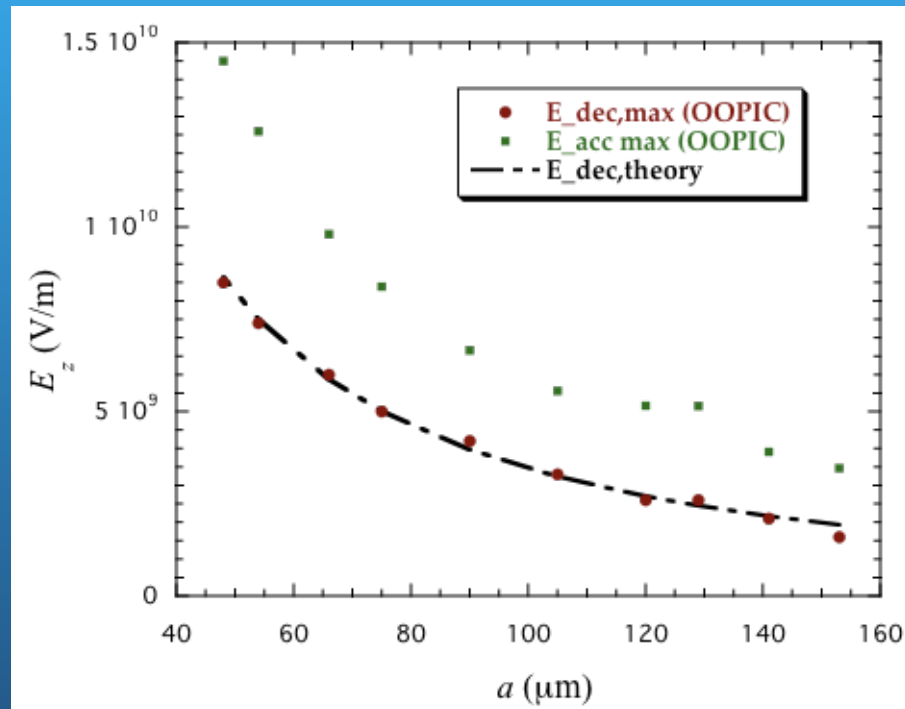
High quality beam needed, small  $\sigma_z$  and  $a$  (emittance)

- With  $a \propto \lambda \propto \sigma_z$  we recover Cerenkov scaling

$$eE_{z,dec} \propto \frac{N_b}{\sigma_z^2}$$

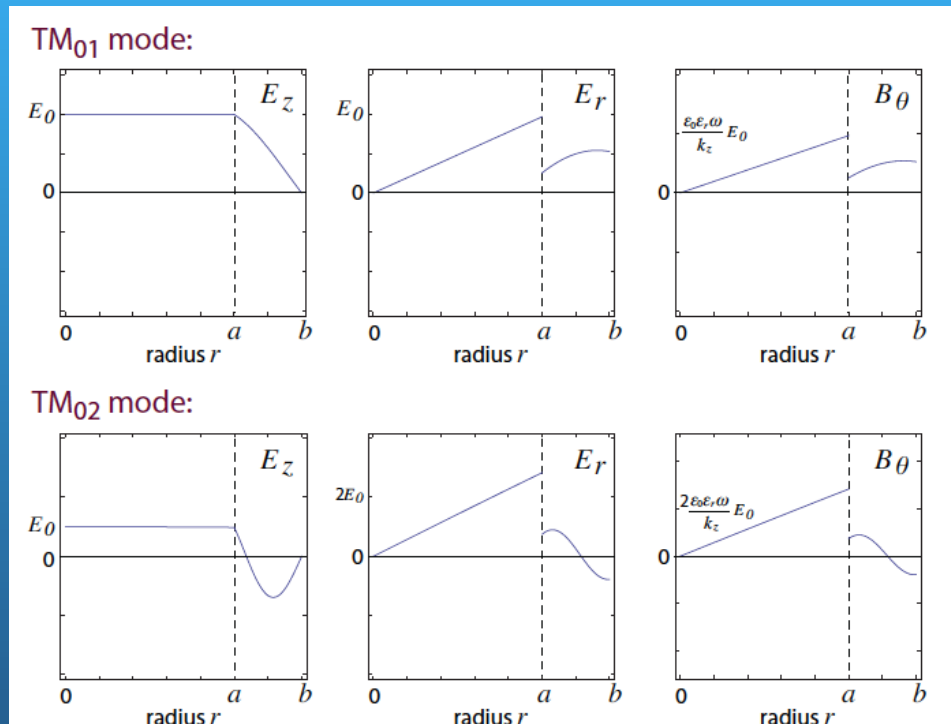


# Benchmark to simulation



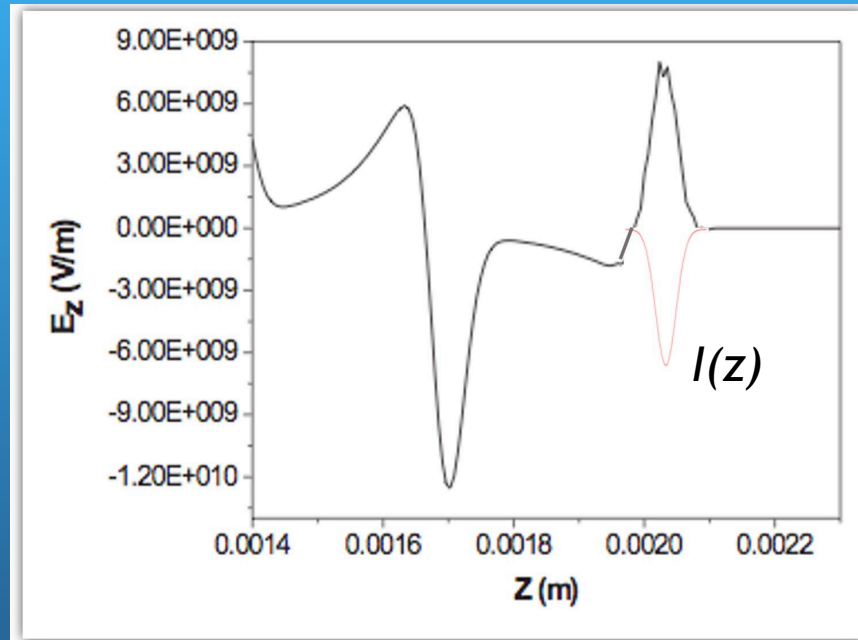
- Excellent agreement with 2D PIC codes
- Quick estimates of operating conditions available

# Axisymmetric DWA Mode profiles, full wave picture



- Transverse  $E_z$  variation ( $k_\rho \neq 0$ ) exists only in dielectric
- Panofsky-Wenzel adhered to; *no net monopole focusing*
- Dipole coupling to HEM mode strong. Other multipoles?

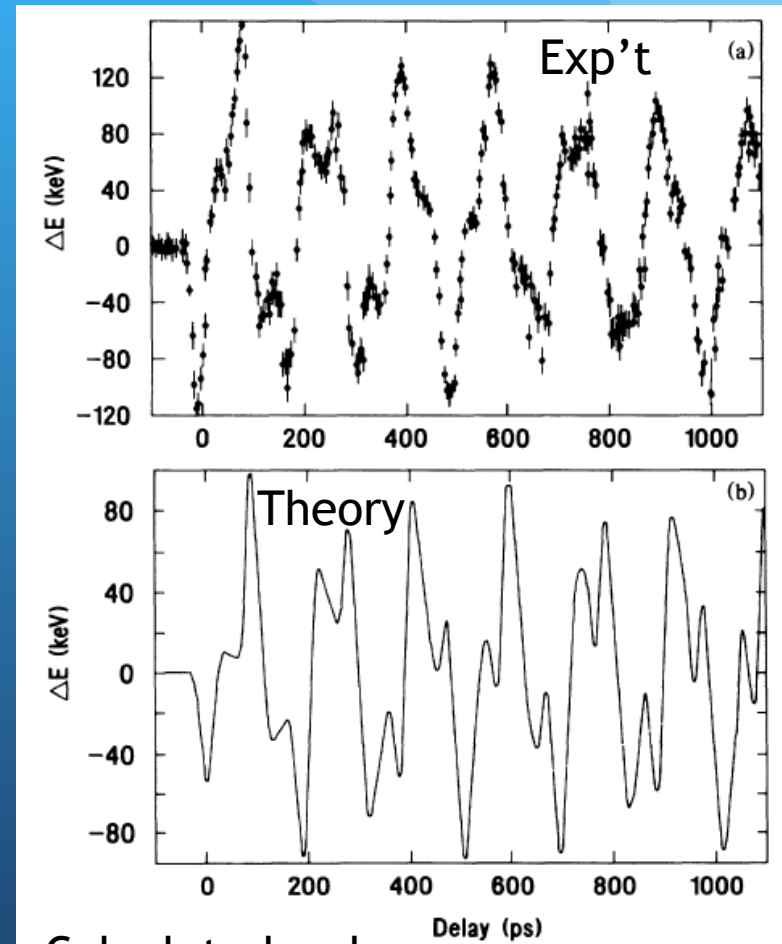
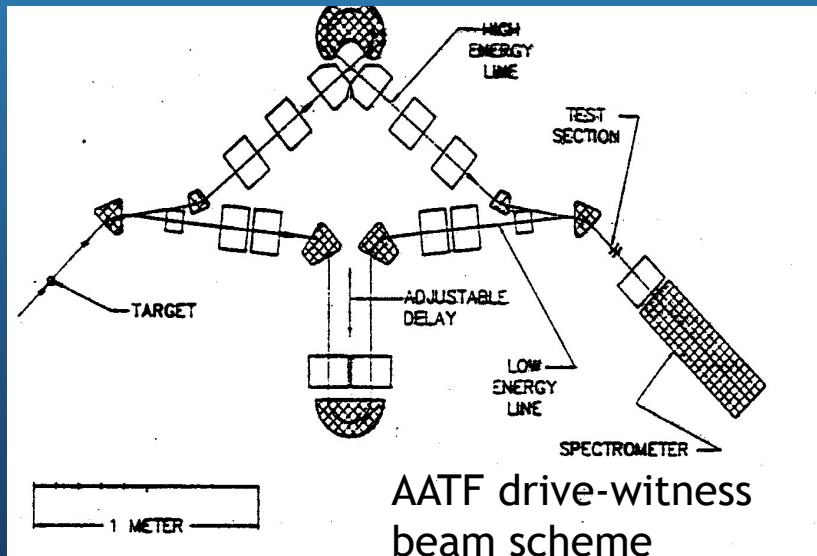
# Multi-mode DWA gives “surprising” wake



- Single mode akin to linear PWFA -  $E_z$  is convolution of  $I$
- Longitudinal wake follows form of drive current in multi-mode
  - Exploit when we look at focusing/defocusing effects

# DWA experiments: origin story

- Fundamental (GHz), harmonics observed with drive-scanning witness beam at Argonne (1988)
- Same facility as PWFA POP expt
- Less than 1 MeV/m gradient
- High charge; large  $a$ ,  $\sigma_z=1$  cm
  - Cerenkov scaling not exploited

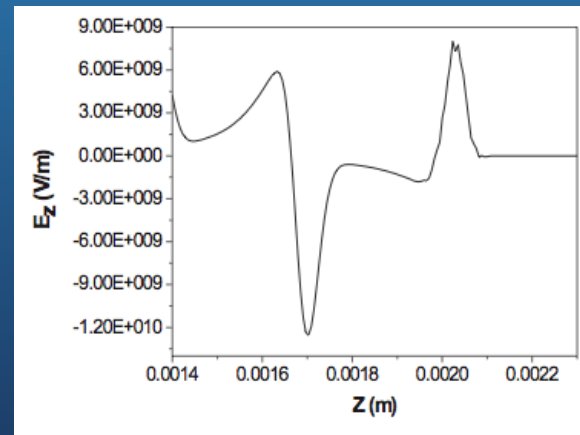
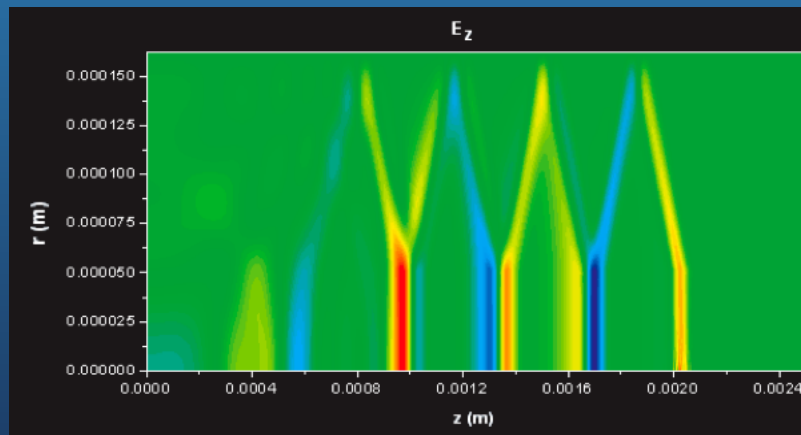


Calculated wakes

# SLAC FFTB gives ultra-high gradient opportunity

- Excellent beam for DWA: 3 nC,  $\sigma_z \sim 20 \mu\text{m}$  (65 fs),  $\sigma_x \sim 20 \mu\text{m}$ ,  $U=28.5 \text{ GeV}$ ,  $a=50\text{-}100 \mu\text{m}$ ,  $\varepsilon=3.8$
- New frontier in DWA, to the breakdown frontier
  - Quasi-optical estimate of decelerating field  $eE_{z,dec} \cong 7.9 \text{ GeV/m}$
  - Corresponds to (multi-mode) OOPIC simulations

$$eE_{z,dec} \cong 7.9 \text{ GeV/m}, \quad eE_{z,acc} \cong 12 \text{ GeV/m!}$$



# T-481 @ SLAC: exploring limits of dielectric breakdown in ps/THz regime

1<sup>st</sup> DWA with ultra-short, high Q beams

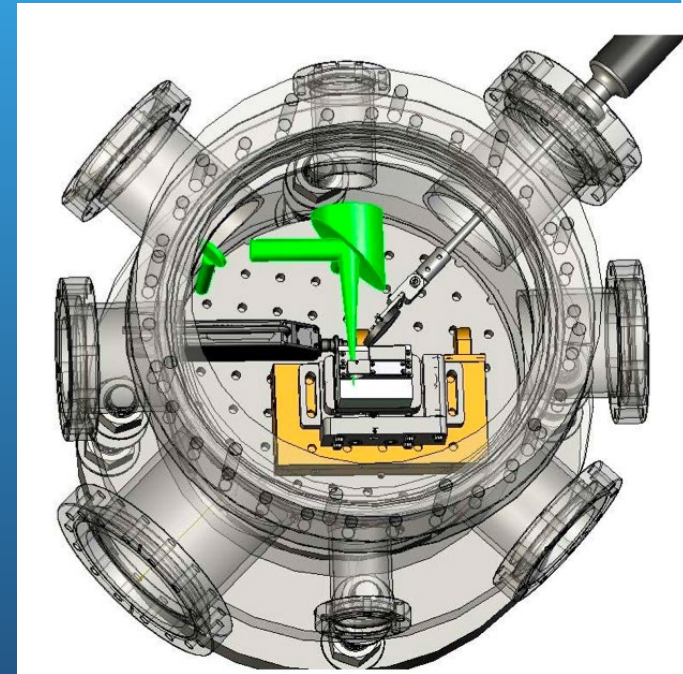
Leveraged off E167 PWFA at FFTB

- Excellent beam 3 nC,  $\sigma_z \geq 20 \mu\text{m}$ , 28.5 GeV

Goal: THz breakdown studies

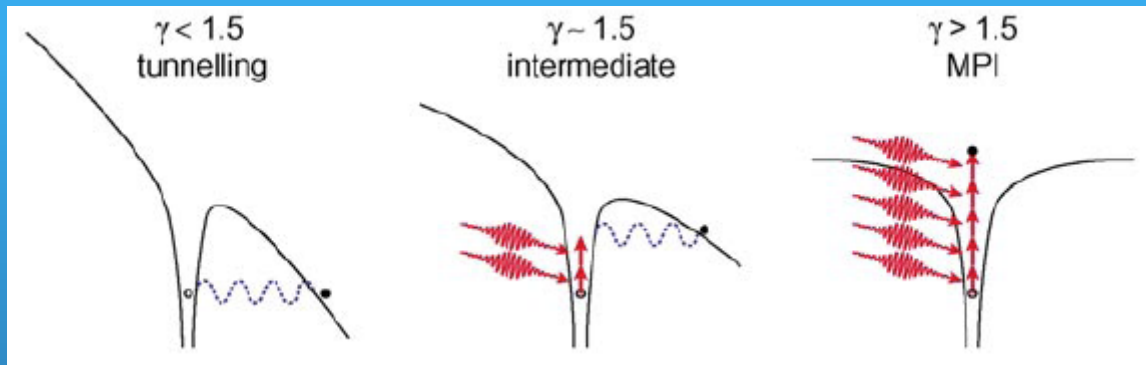
- Al-clad fused SiO<sub>2</sub> fibers
  - ID 100/200  $\mu\text{m}$ , OD 325  $\mu\text{m}$ ,  $L=1 \text{ cm}$
- Avalanche (MPI) v. tunneling ionization

Prediction of  $E_z = 12 \text{ GV/m}$  *much higher than optical-IR limit (DLA)*



“Octopus” chamber  
DWA holders, CCR collecting  
horn and transport, optical  
inspection

# Breakdown in optical-to-mid-IR

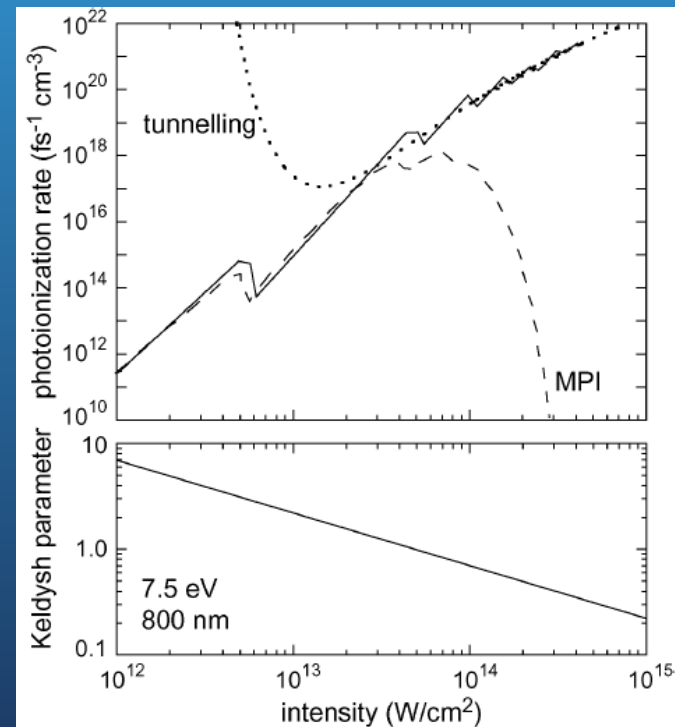


Large distortion of electronic states pre-breakdown

- Tunneling and multi-photon ionization present
- Controlled by Keldysh parameter (unified theory of MPI/tunneling)

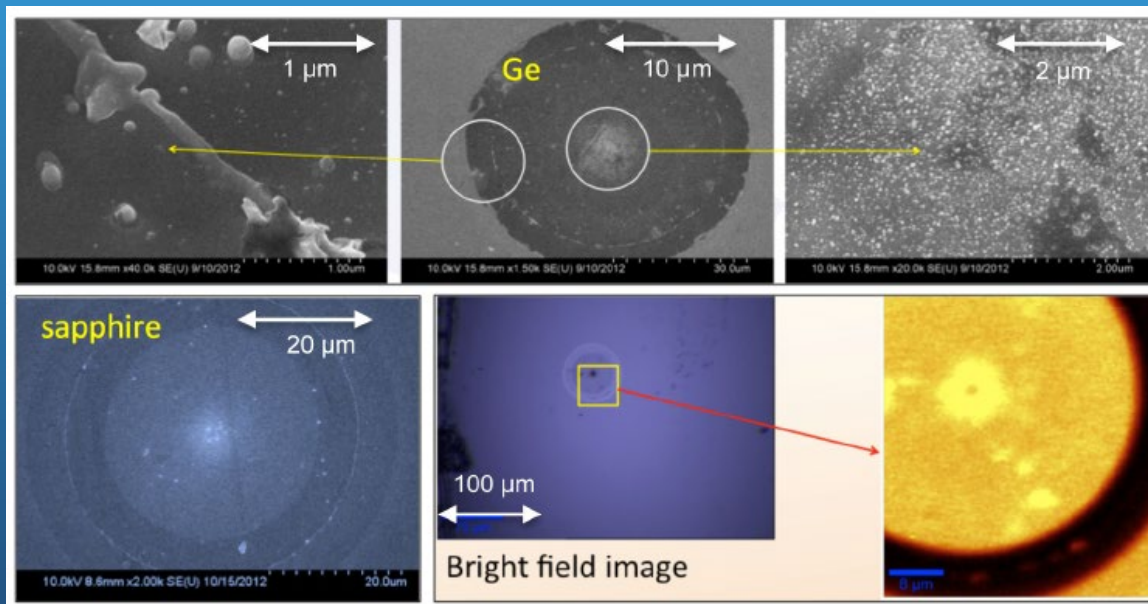
$$\gamma = \frac{\omega}{e} \left[ \frac{mcn\epsilon_0 E_g}{I} \right]^{1/2}$$

Optical-IR ionization dominated by MPI  $\gamma \sim 3$



# Mid-IR laser results emphasize MPI elimination when $h\nu \ll E_{gap}$

- 5  $\mu\text{m}$  (60 THz) light, 5 ps FWHM illumination
- Relevant to DWA (long pulse)



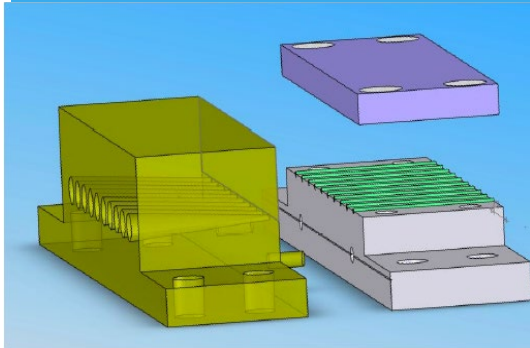
Si threshold: 400 MV/m!  
Sapphire: 2 GV/m

High ratio of bandgap to photon energy promising

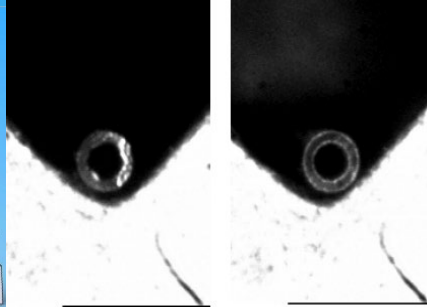
Material	Germanium	Silicon	Sapphire
Band Gap (eV)	0.67	1.1	8.7(e)/8.8(o)/9.9 direct
Peak Fluence [ $\text{J}/\text{cm}^2$ ]	$0.44 \pm 0.04$	$0.58 \pm 0.04$	$14.0 \pm 0.6$
Damage Threshold [ $\text{J}/\text{cm}^2$ ]	0.22	0.29	7.0



# T481 Methods and Results

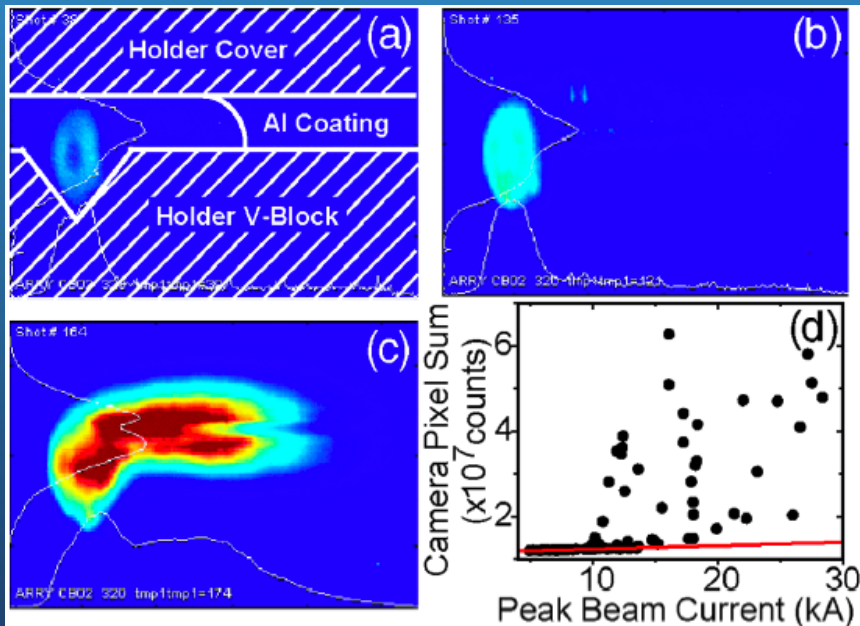


CAD rendering of the capillary tube mounting



Fiber viewed end on with a microscope. Unpolished at left and polished at right.

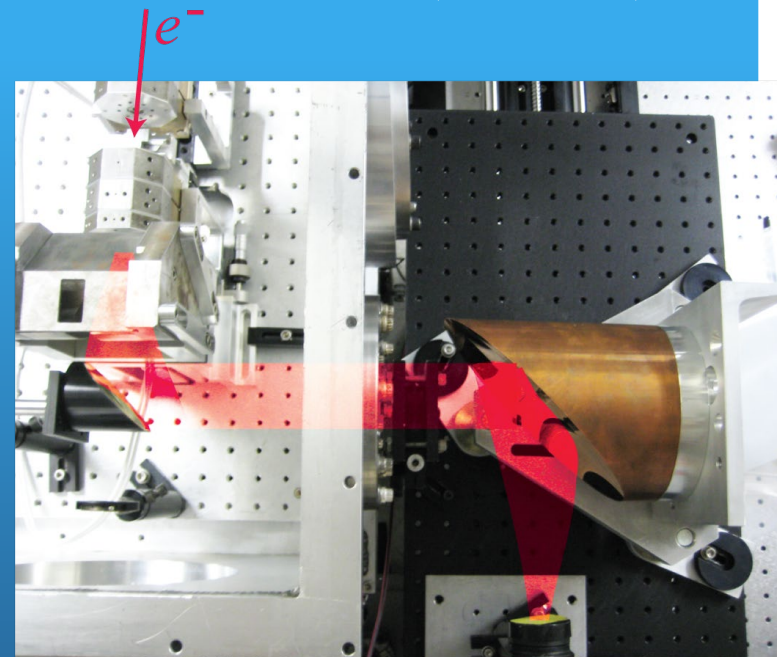
- ◆ Multiple tube assemblies
- ◆ Scanning of bunch lengths for wake amplitude variation
- ◆ *Vaporization of Al cladding... dielectric cladding needed*
- ◆ Observed breakdown threshold (field from simulations)
- ◆ Correlations to post-mortem inspection
- ◆ 5.5 GV/m deceleration field (sim.)
- ◆ 13.8 GV/m surface field!



M. Thompson, et al., *PRL* 100, 214801 (2008)

# Coherent Cerenkov Radiation (CCR)

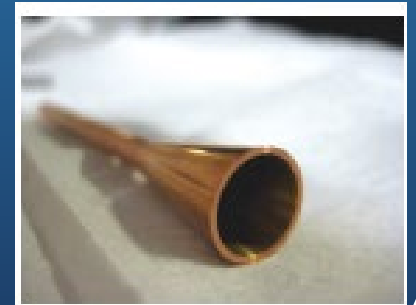
- For direct mode/field measurement - on to CCR
- FFTB closed 2006, FACET appears 2010
- Use UCLA Neptune for CCR
- Chicane-compress to  $200\ \mu\text{m}$ ,  $0.3\ \text{nC}$  beam focused with PMQs:  $\sigma_r \sim 100\ \mu\text{m}$  ( $a=250\ \mu\text{m}$ )
- *Single-mode* operation
  - Two tubes, different  $b$ , THz frequencies



A. Cook, et al., *Phys. Rev. Lett.*  
103, 095003 (2009)



DWA tube with  
CCR launcher



# Physics of narrow band CCR production

- CCR train created at  $v_{\phi} \sim c$
- CCR propagates at  $v_g \sim c$
- Length of pulse in DWA

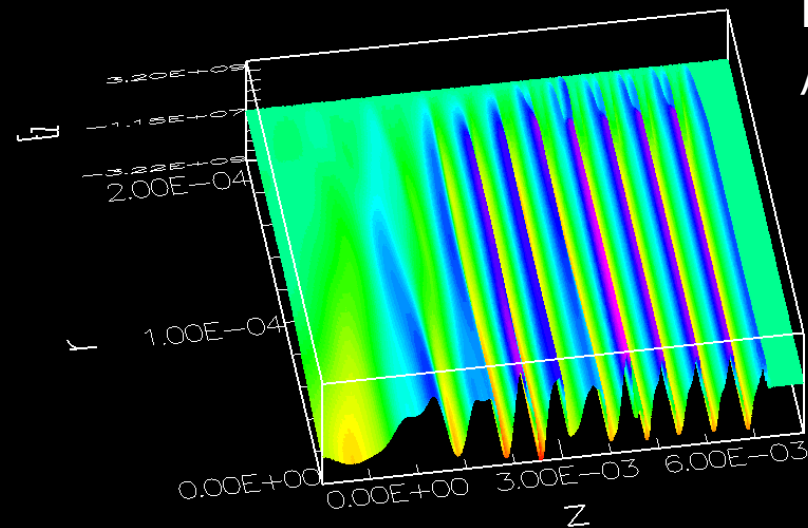
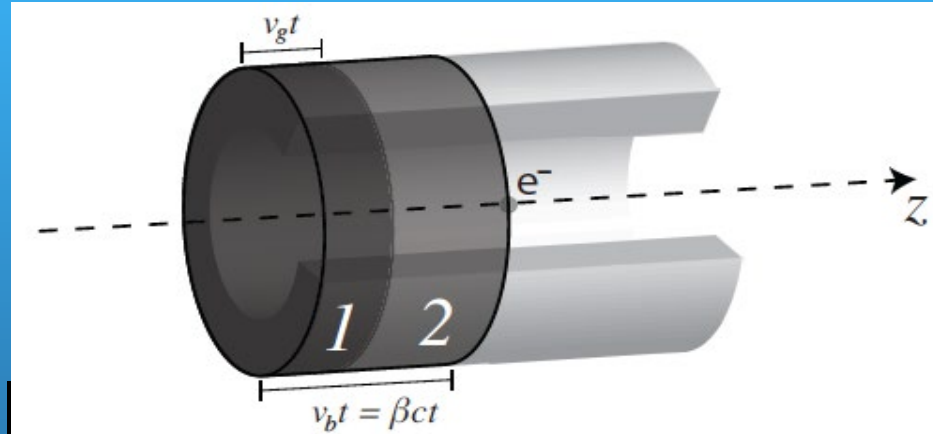
$$L_{DWA} = L(1 - \beta_g)$$

- Taking into account time to empty DWA, CCR wave train has length

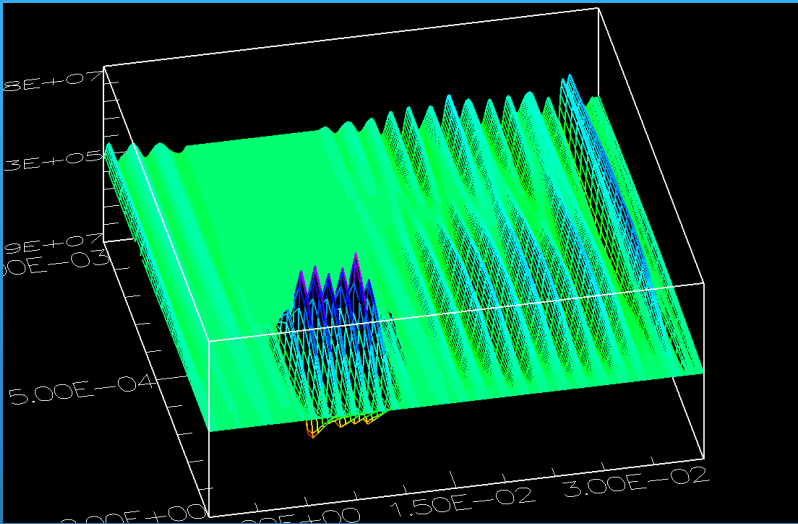
$$L_{CCR} = L \frac{(1 - \beta_g)}{\beta_g}$$

- Very narrow BW for apps!

$$BW = \frac{\beta_g}{1 - \beta_g} \left( \frac{L}{\lambda} \right)$$

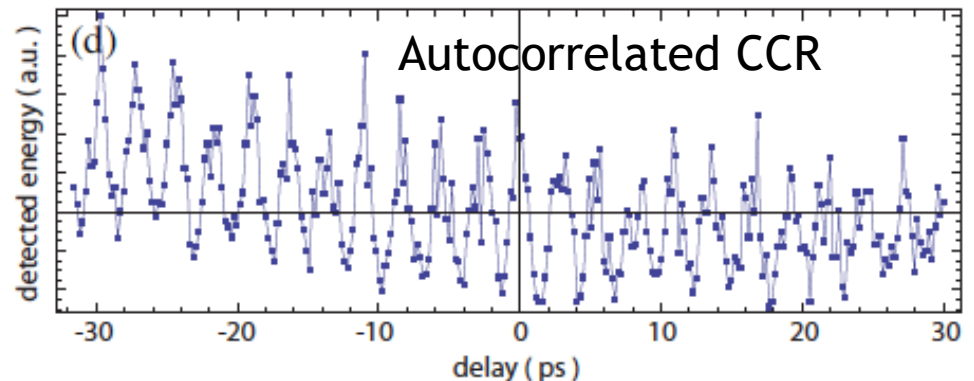
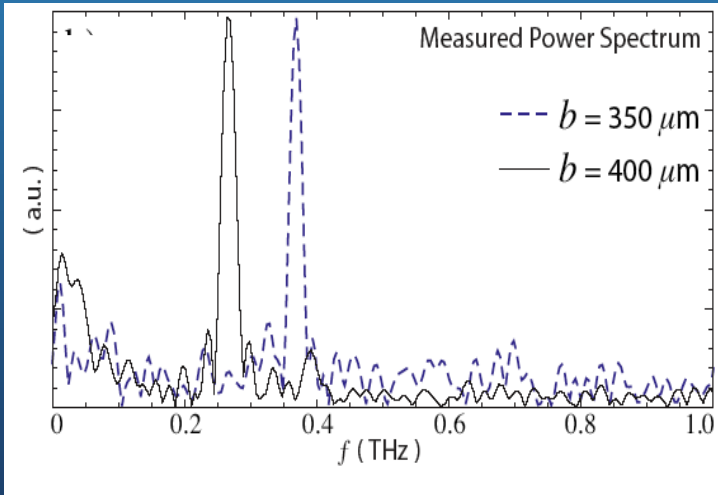


# Narrow band, *low-loss* THz produced



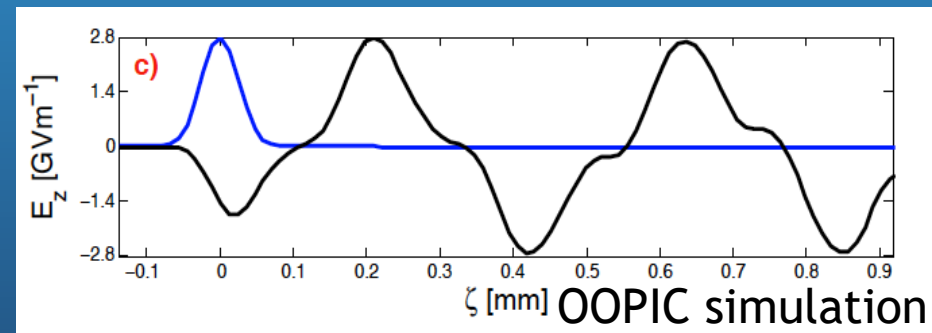
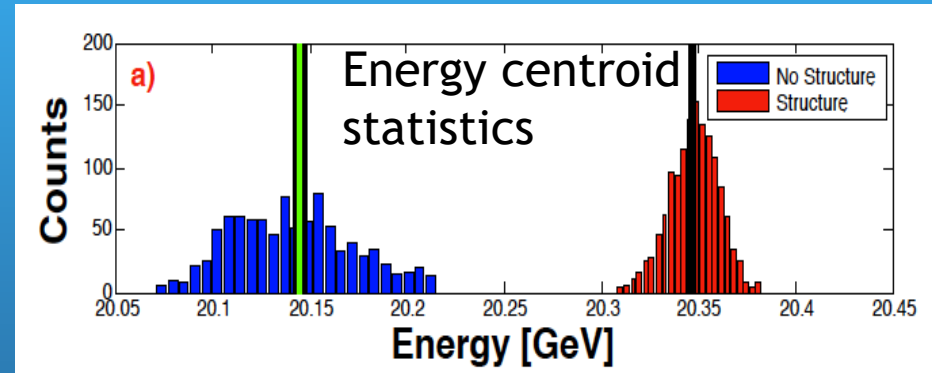
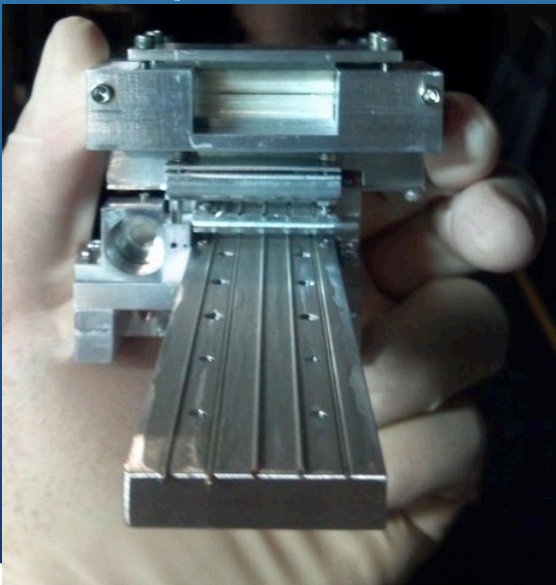
Impedance matching reduces strong reflection

- Optimized launcher employed
- BW measurement limited
- Negligible damping observed
  - Valid at  $\sim$ MV/m fields
  - Revisit at GV/m...
- 10  $\mu$ J collected ( $\sim$ 50% transport efficiency)



# Pushing the frontier in DWA gradients: E201 at SLAC FACET

- Recover FFTB capabilities
- 3 nC,  $\sim 30 \times 30$   $\mu\text{m}$  beams
- 15 cm long structures
- $> 2$  GeV/m deceleration
  - 2.8 GV/m peak wake
- *0.9 J deposited in CCR*



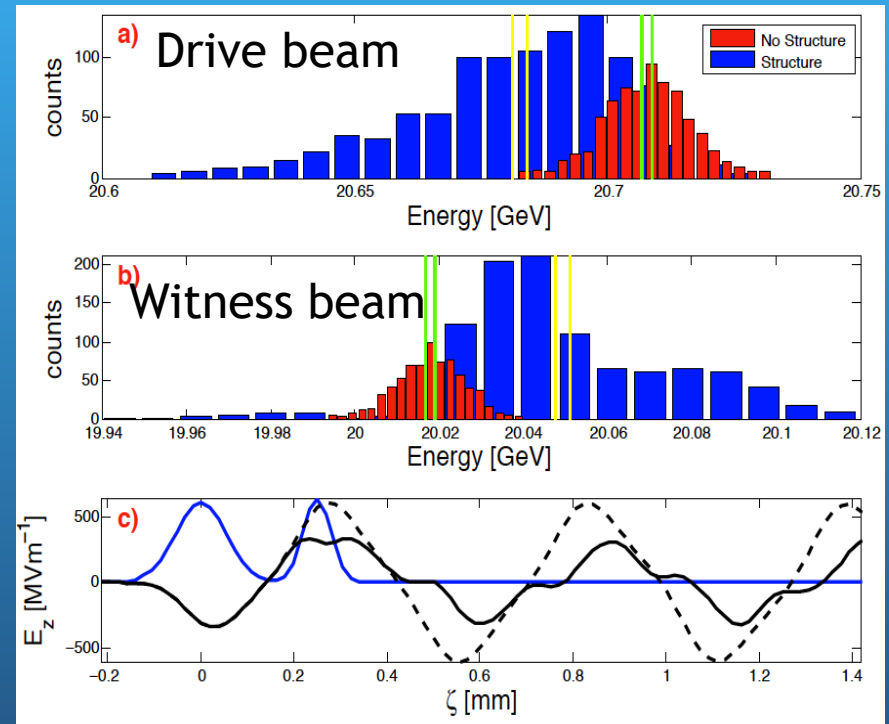
Energy changed by over 300 MeV in 15 cm

SiO<sub>2</sub> 300  $\mu\text{m}$  ID, 400  $\mu\text{m}$  OD tubes

# Acceleration with “witness” beam

- Shared charge between drive (1.6 nC) and witness (0.9 nC)
- 10 cm structures
- Lower gradients (640 MV/m)
- Loaded gradient 320 MV/m
- Efficiency of energy transfer to witness measured at 76%!
  - Consistent with gradient measurement

$$\eta = 1 - \frac{U_{EM,Load}}{U_{EM,wave}} \propto 1 - \frac{E_{Load}^2}{E_{wave}^2} = 0.75$$

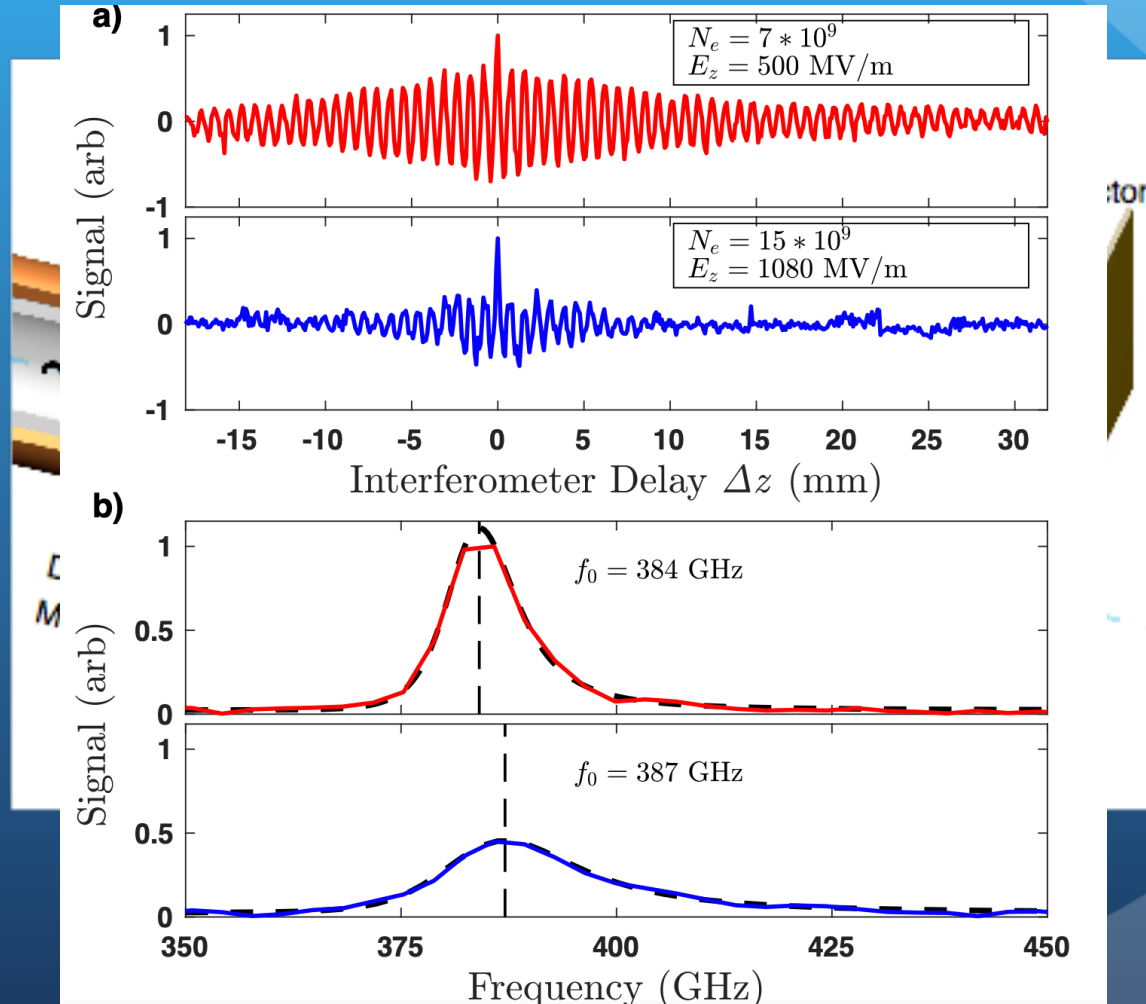


Theoretical prediction for wakefields

See B.O'Shea, *et al.*

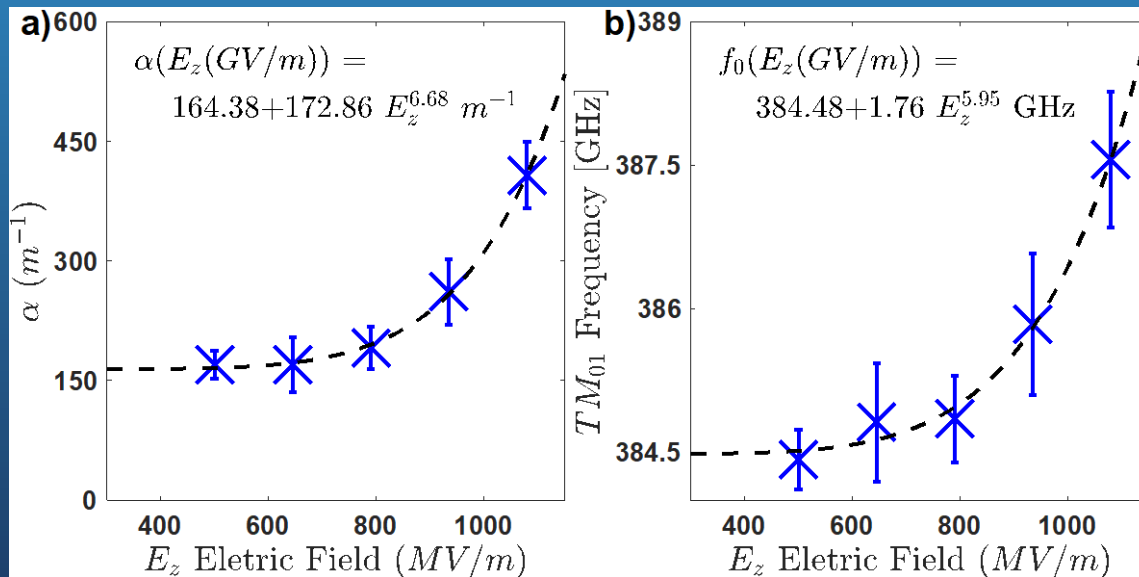
# Discovery of high-field damping

- CCR measurements at FACET show anomaly at  $>1$  GV/m



# Physical mechanism: high-field induced conductivity

- Systematic study yields onset of high field effects above **800 MV/m** acceleration gradient
  - Ponderomotive energy at THz  $\sim E^2 \lambda^2$  above keV,
  - impact ionization efficiencies
- Higher band-gap material offers improvement, ...



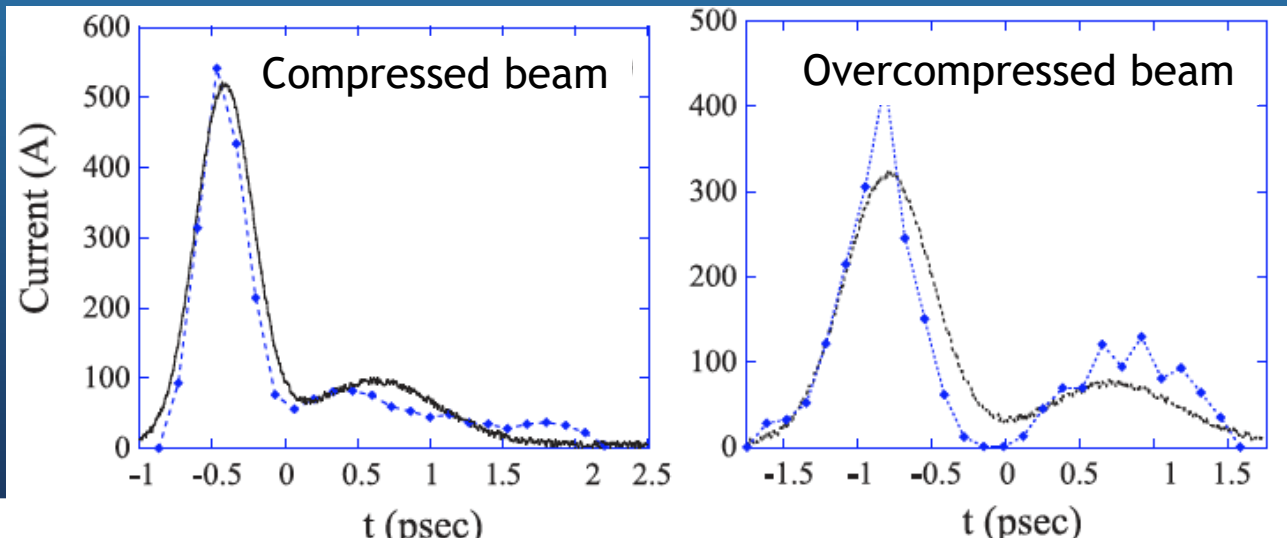


# Temporal analysis of THz autocorrelation

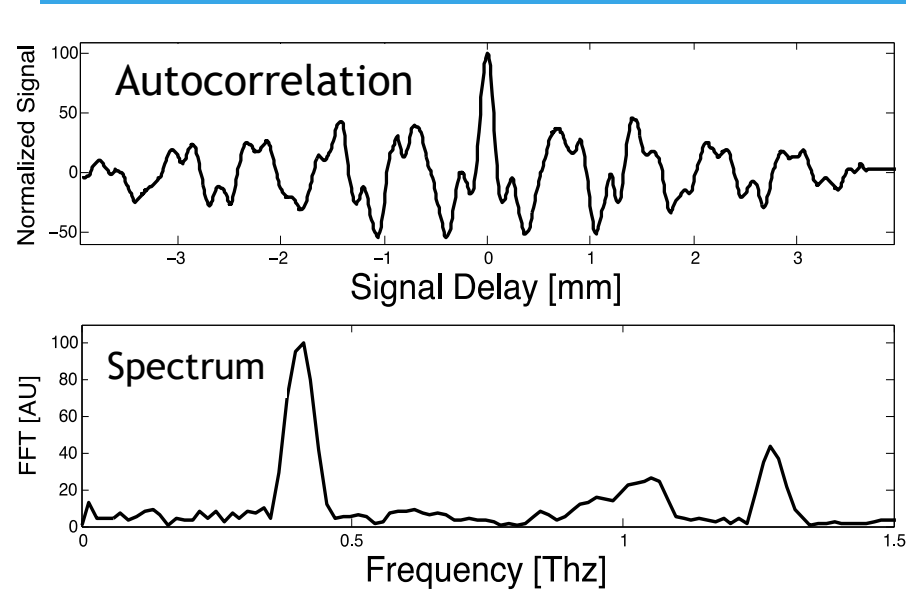
- With appropriate knowledge of spectrum from autocorrelation, one can retrieve phase
- Robust Kramers-Kronig algorithm uses minimal phase assumption

$$\psi(\omega) = -\frac{2\omega}{\pi} P \int_0^{\infty} dx \frac{\ln[\rho(x)/\rho(\omega)]}{x^2 - \omega^2}$$

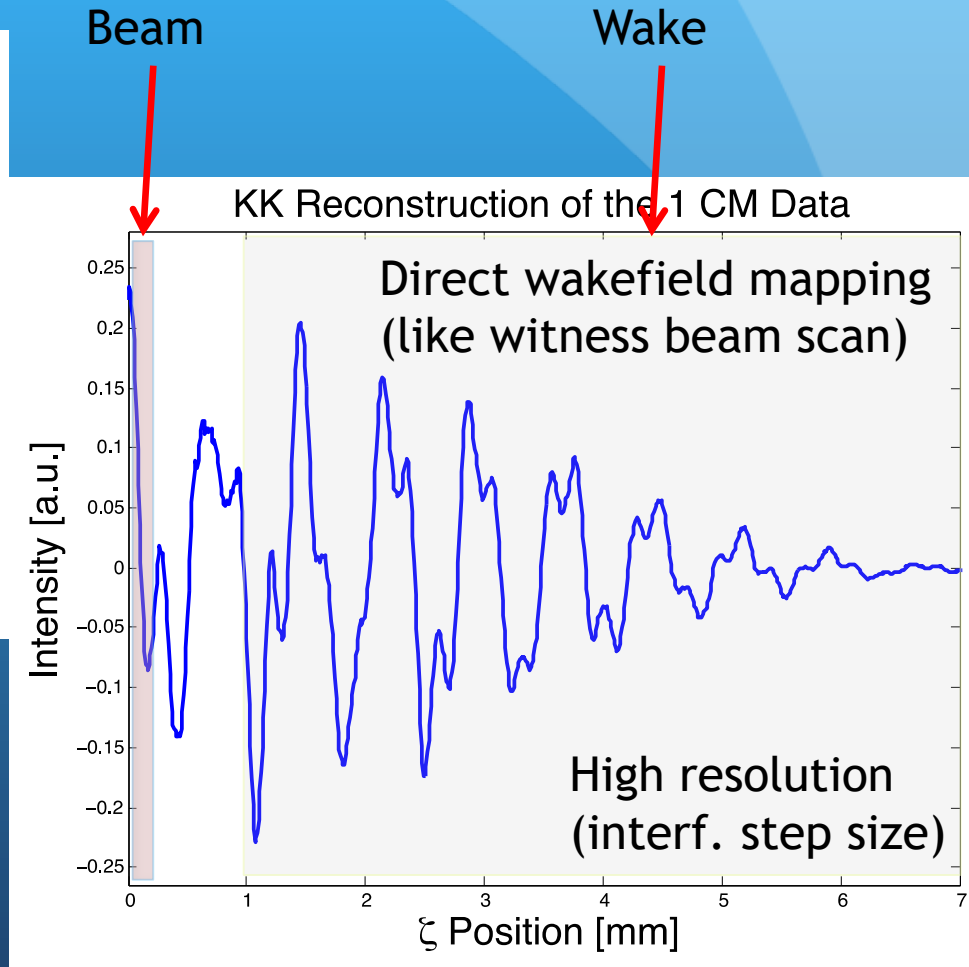
- Measured CTR and start-to-end simulated signal compared in bunch compression expt.



# Coherent THz-based wakefield map



- $TM_{01}$  (400GHz), and  $TM_{02}$  (1.2 THz) visible
- 1 cm structure should produce THz pulse >2 cm
- *Strong damping seen directly in time domain*

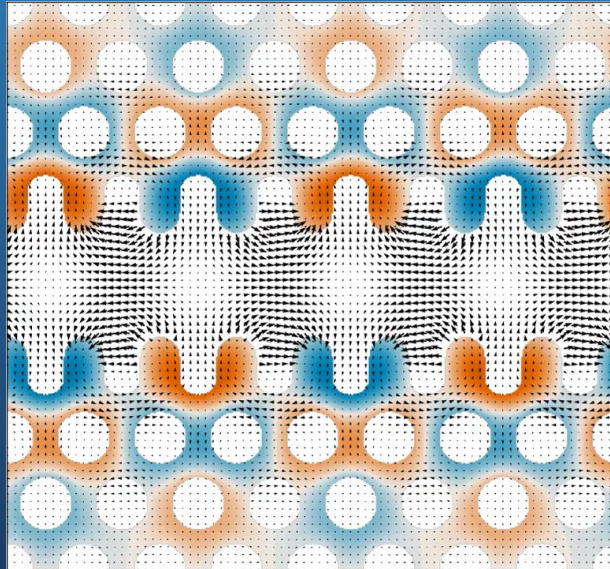


Key diagnostic for DWA in use

# Lowering E-field inside of dielectric

- Dielectric boundary parallel to  $z$  produces worst case, tangential  $E$  is continuous – maximized
- Shield with modulated boundary, support mode with *normal* entry of field lines. Diminish  $E$  by  $\epsilon^{-1}$

Note not only modulation, but photonic confinement, Cartesian symmetry...



# Cartesian symmetry in DWA

- Slab symmetry lowers longitudinal, wakes

$$E_{z,n} = E_{0,n} e^{-[x^2/w_{x,n}^2(\xi)] - ik[x^2/R_n(\xi)]} \exp[ik_n \xi + \psi_n(z)]$$

$$E_0 \sim \sigma_x^{-1}$$

- Slab symmetry mitigates transverse wakes

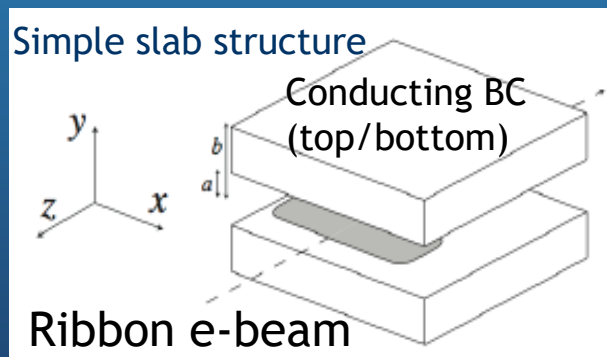
$$F_{x,n} \equiv qW_{x,n} = q(E_{x,n} - B_{y,n})$$

$$= iE_0 \frac{2x}{k_n w_{x,n}^2(\xi)} e^{-x^2/2\sigma_x^2} \exp[ik_n \xi + \psi(z)],$$

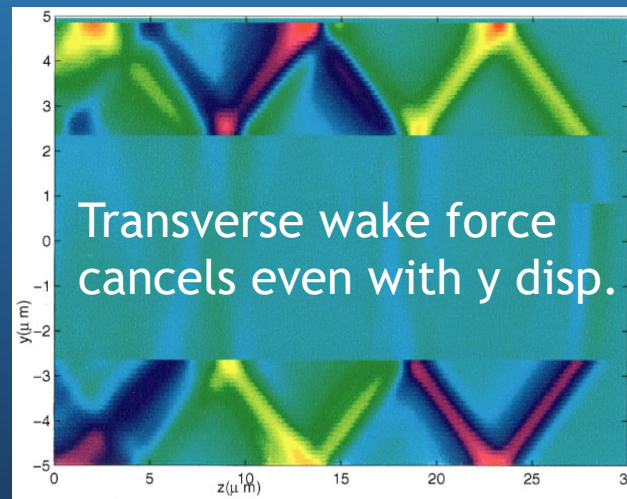
$$\sim \sigma_x^{-2}$$

Faster  
than  $E_z$

- Permits *higher Q flat* beam acceleration
- Higher power at shorter  $\lambda$

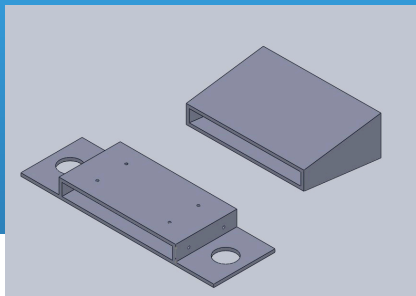


Slab symmetry

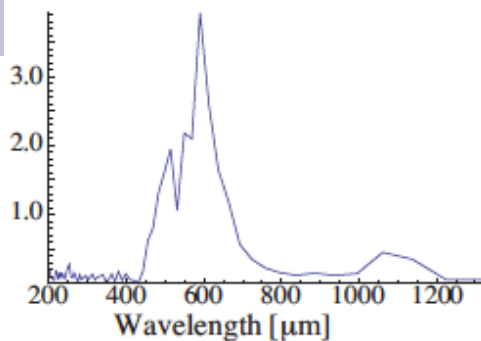
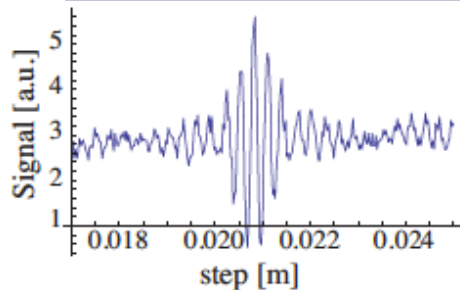


# Wakefields in slab structures: results

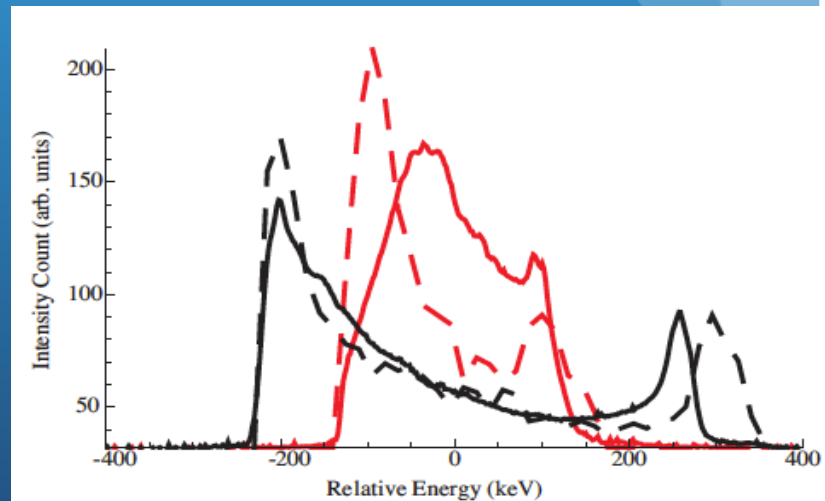
- 1<sup>st</sup> observation of *slab-symmetric* dielectric structure wakes @ATF
  - Key for DLAs and DWAs: mitigates wakes, space-charge, beam loading
  - Novel modes; Longitudinal Section Mode (unconfined in x)



CCR slab launcher



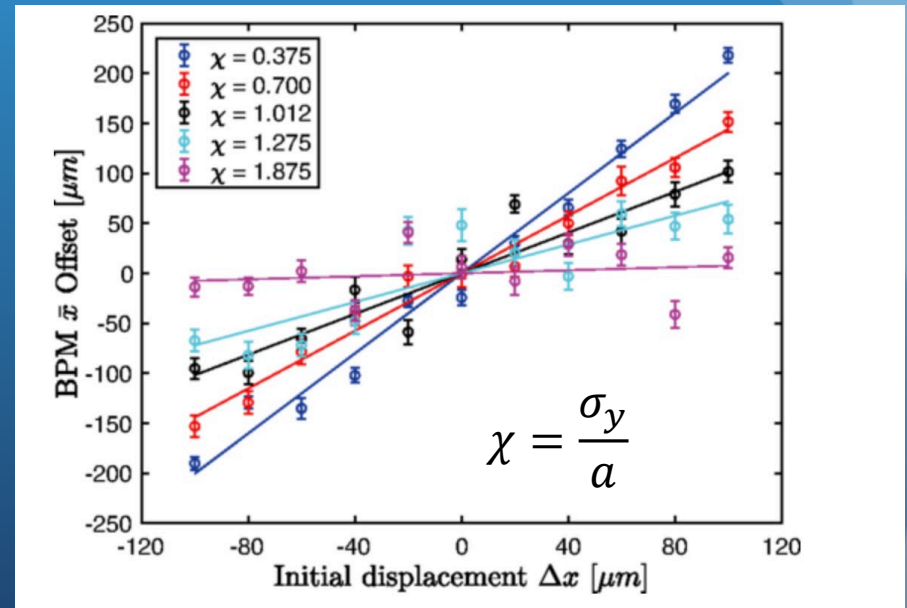
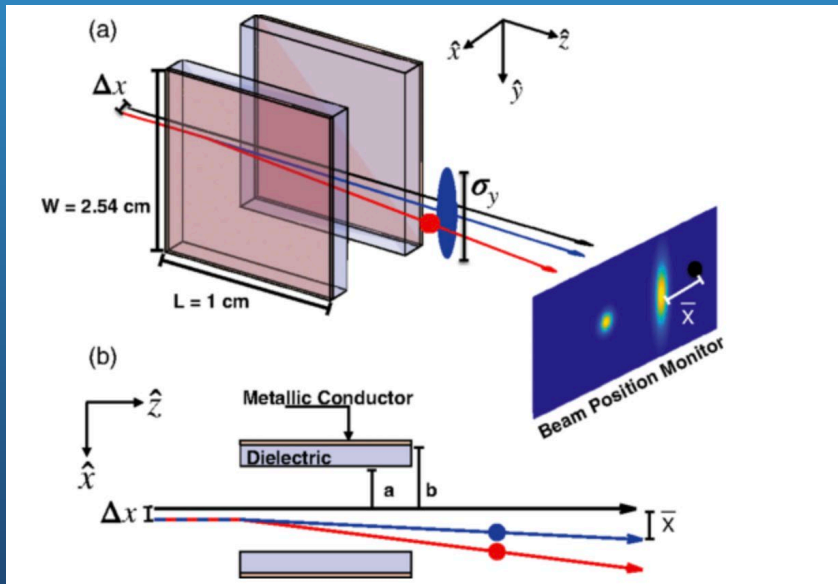
CCR signal and FFT



Observed (solid) and simulated (dashed) momentum spectra

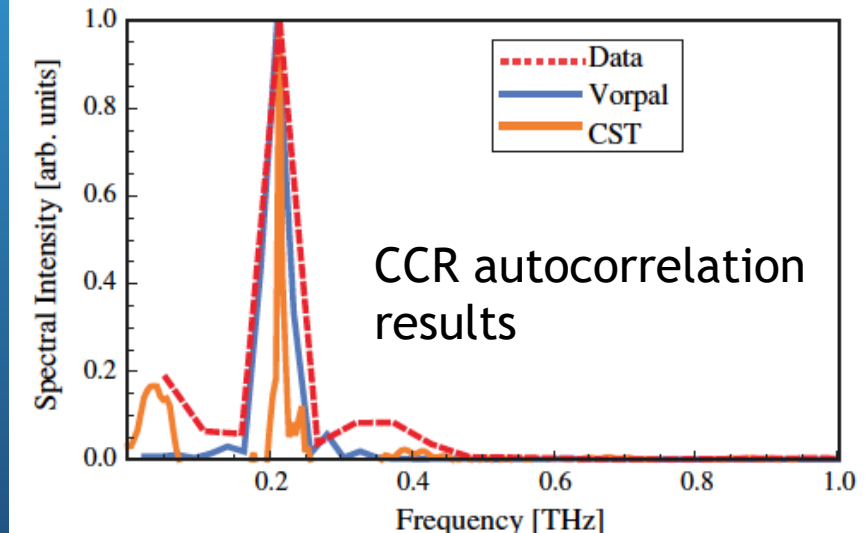
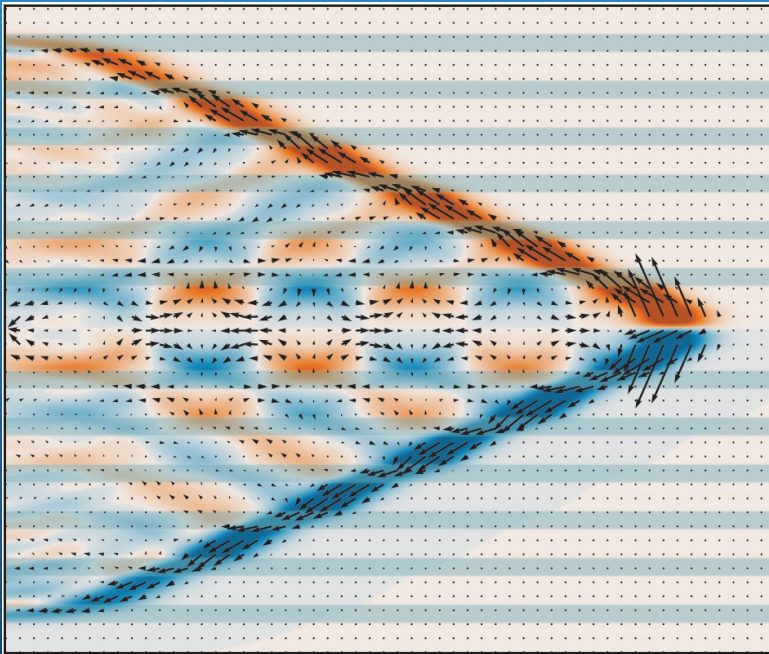
# Diminished transverse kick with flat beams in slab structures

- Narrow gap (250  $\mu\text{m}$ ) slab structure used at FACET
- Beam tuned to have high aspect ratio
- Structure displaced in  $x$  (gap dim.) and spectrum, wake-field kick observed



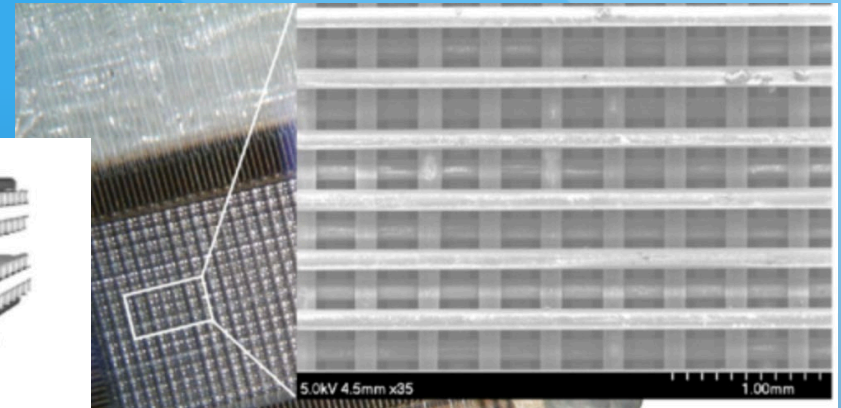
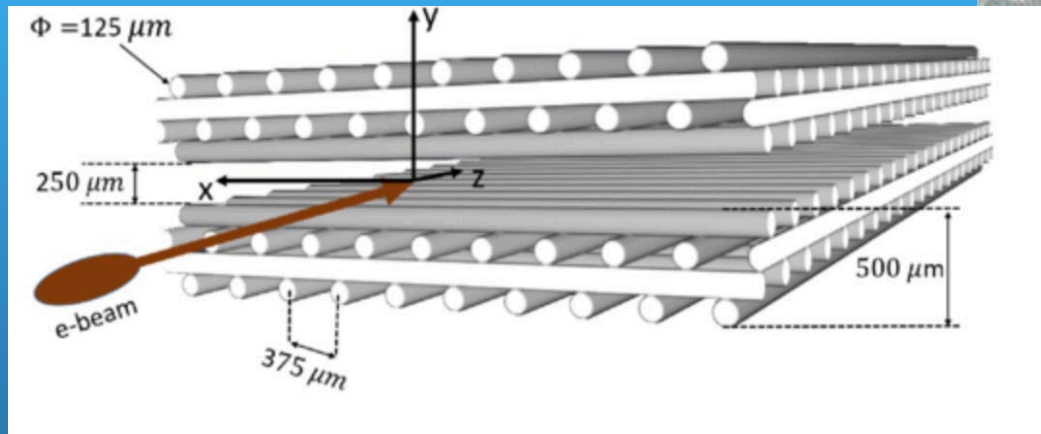
# Bragg slab wakefield experiment demonstrates confinement w/o metal

Simple 2D photonic structure (1<sup>st</sup> of its kind) with 3D effects observed  
Quartz (also matching layer) + ZTA (<loss)

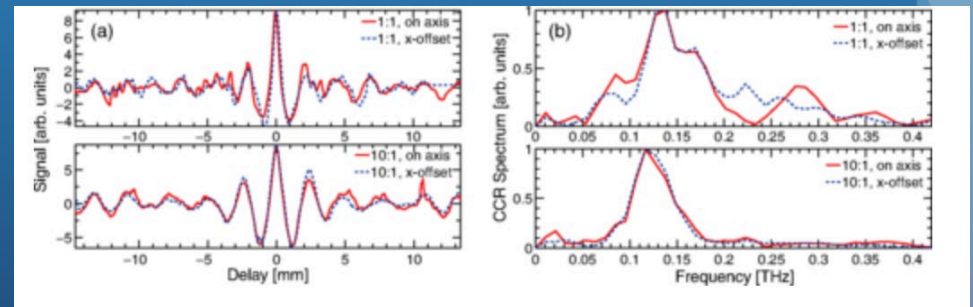


G. Andonian, et. al *Phys. Rev. Lett.* 113, 26480 ( 2014)

# 3D Photonic DWA Structure: Woodpile



- Similar construction with the Bragg. Uses 3D photonic lattice, termed “woodpile”
- THz wakefield experiment carried out at BNL-ATF
  - 125 micron OD rod structure

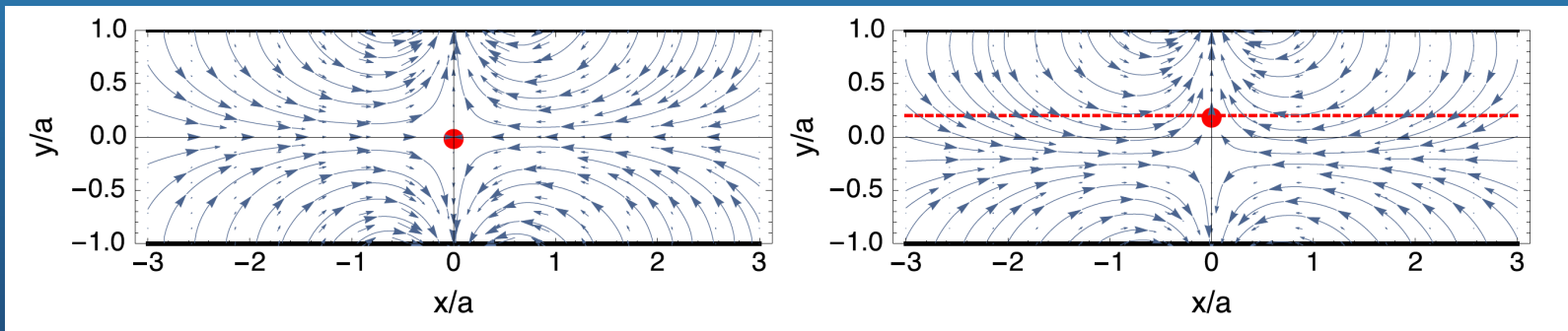


Rich Fourier spectrum of modes



# Slab structures support new modes

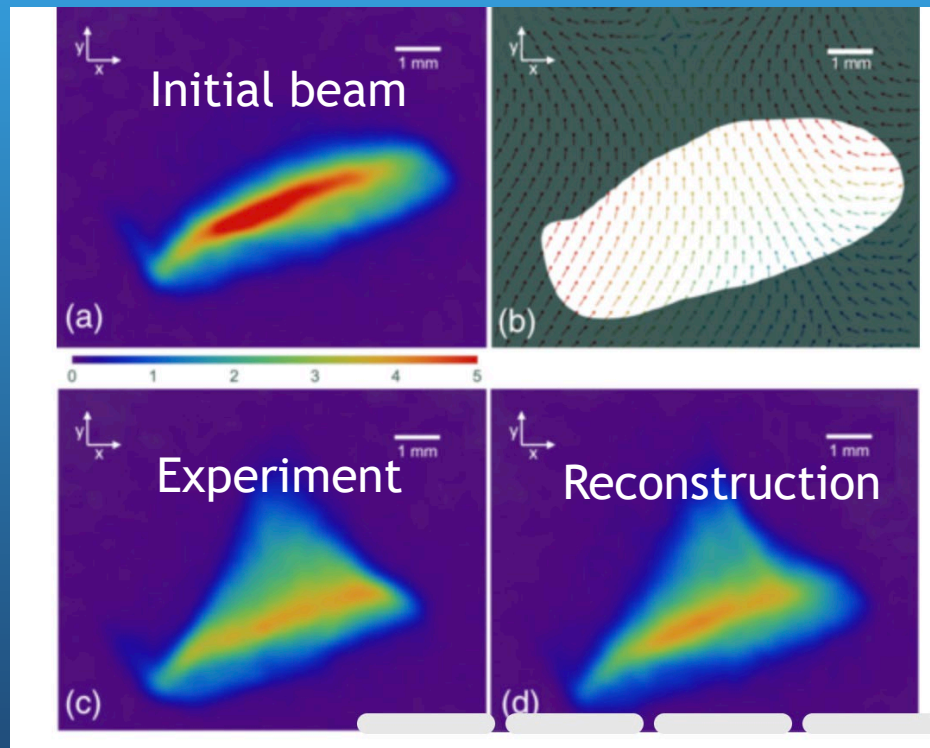
- Predictions of Baturin, et al., allow new modes to be easily identified
  - Dipole, quadrupole, *skew* quadrupole
  - Panofsky-Wenzel states that transverse wakes grow in  $t$
  - Strong focusing instabilities induced in wide dimension



- Unified, simple model of multipoles (for pencil beam)

# Skew wakefields observed at AWA

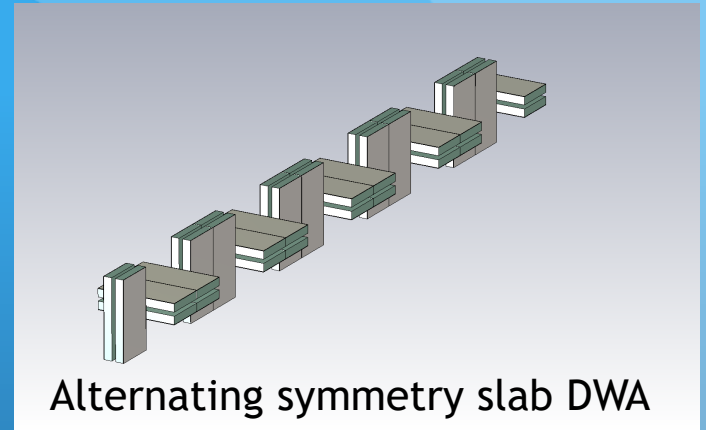
- Flat beams with tilts in slab structures
- New reconstruction of *transverse* wakes from images



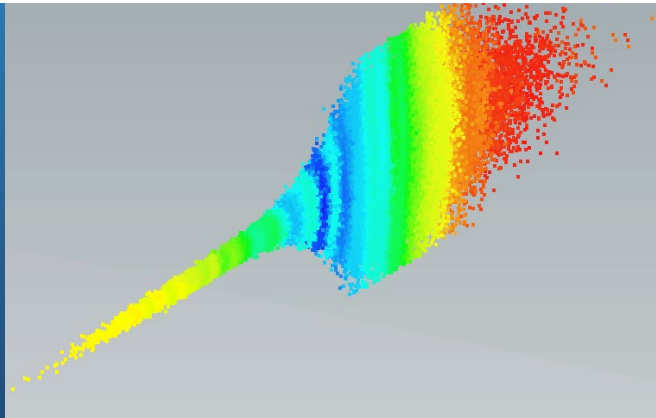
W. Lynn, et al., Phys. Rev. Lett. 132, 165001 (2024)

# Vice to a virtue: alternating symmetry slab DWA

- Use pencil beam to excite strong time-dependent, alternating gradient focusing fields

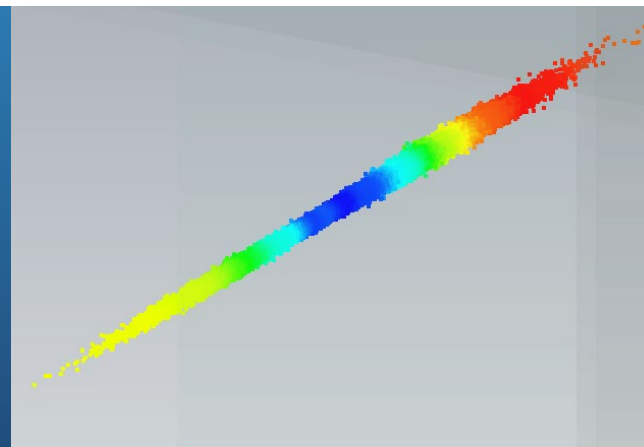


Final transverse spatial distribution (simple slab)



Quadrupole instability grows head to tail

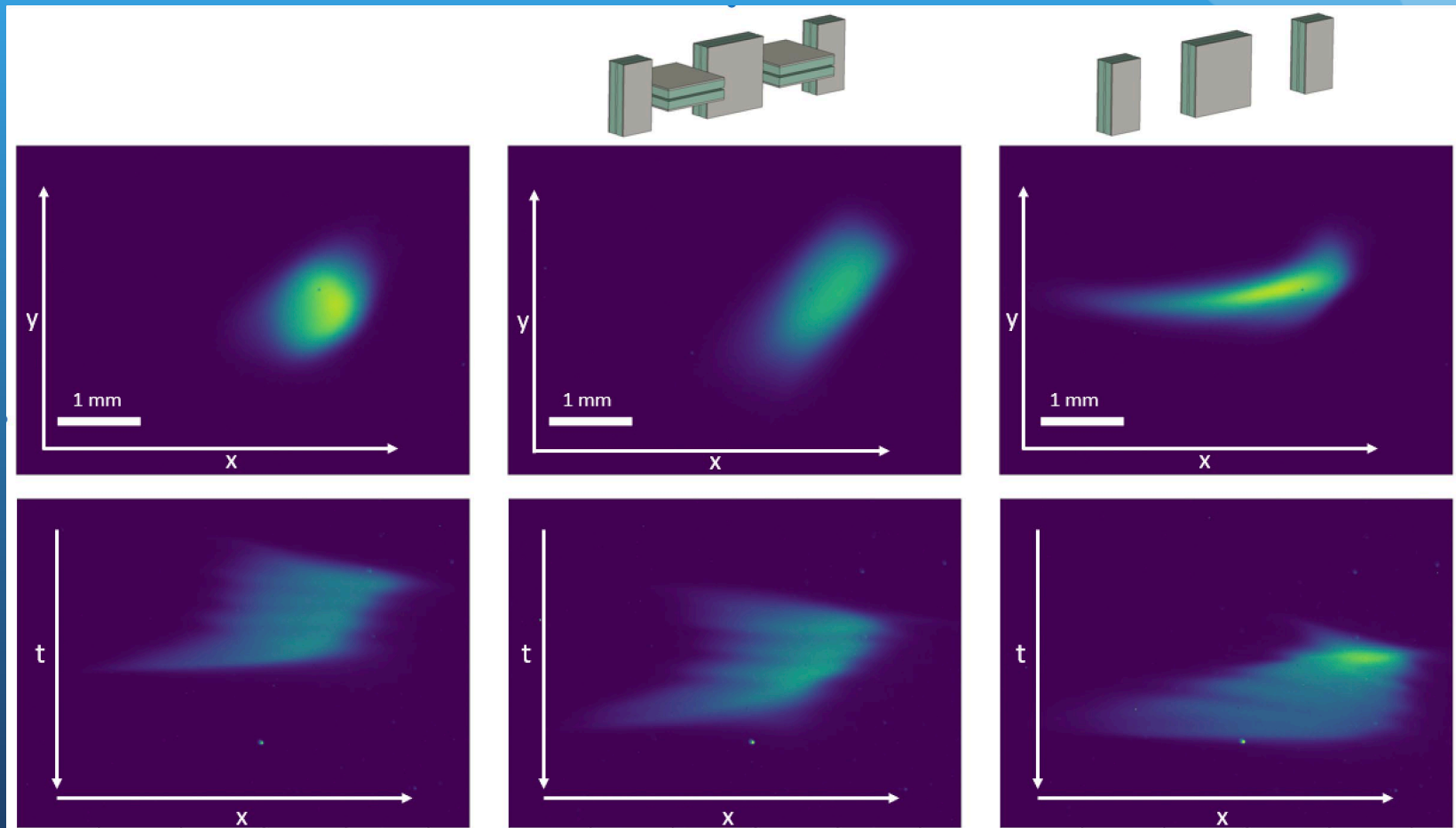
Final transverse spatial distribution propagation in alternating slabs



Second order stability in simulation

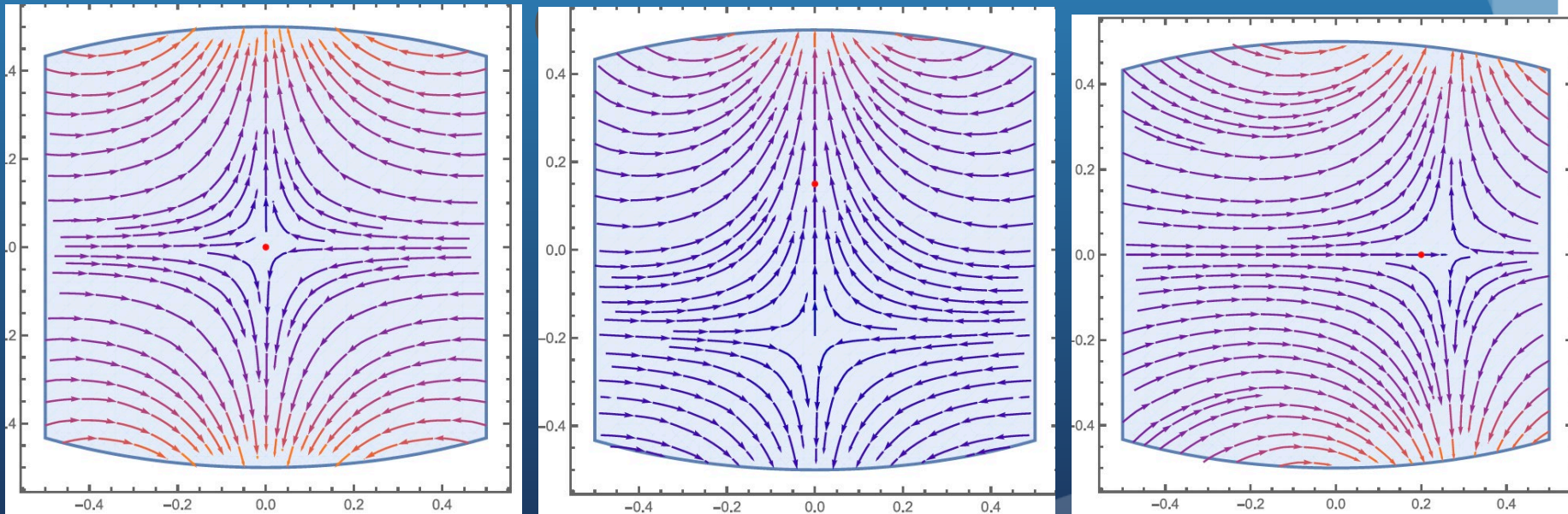
# Current experimental testing at AWA

- New results now under analysis - a preliminary peek



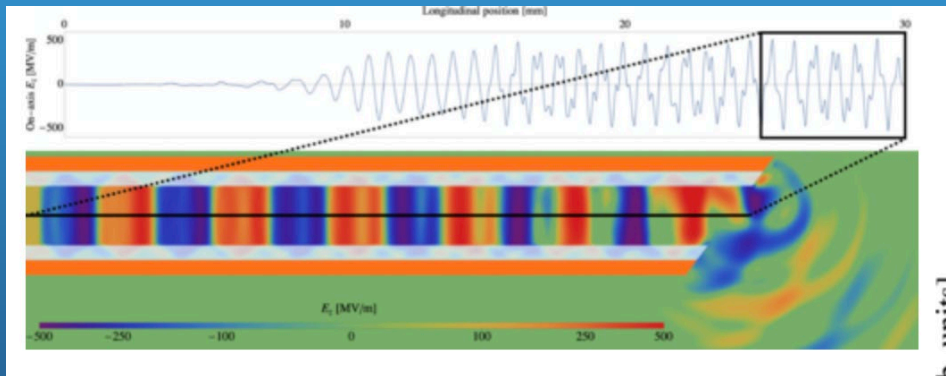
# What to do with this? Fix BBU

- Provide time dependent second order focusing - stability
- Obtain a *new path* to BNS damping C. Li, et al., PRSTAB 17, 091302 (2014)
  - Overcome  $\sim 300$  MeV/m limit identified a decade ago
  - Must have a defined origin in both dimensions (elliptical)
- For flexibility one may consider two-beam noncollinear
  - Stabilize both drive and witness systems Phys. Rev. ST

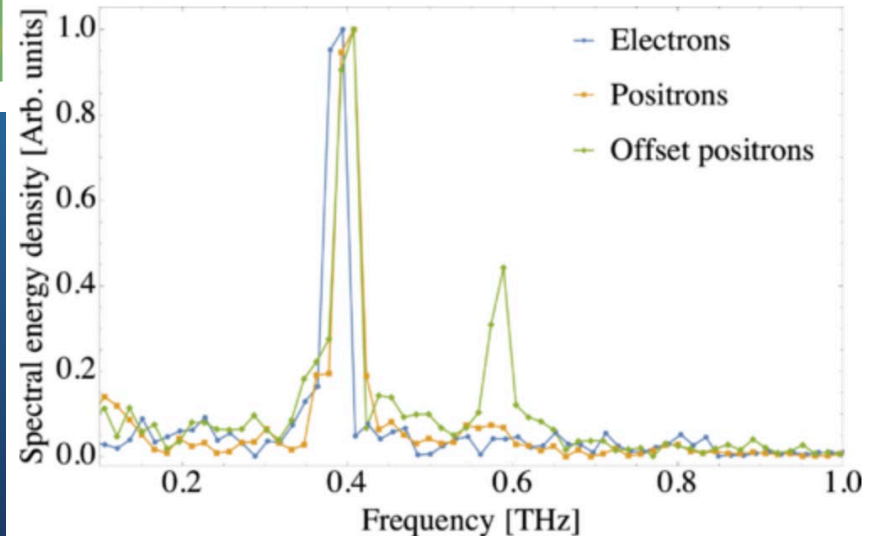


# What about *positron* wakes?

- Moderately high field tests at FACET (500 MV/m accel)
  - Field emission into gap from positron space charge?
- Probe with difference in CCR signal between  $e^+$  and  $e^-$



- No dependence on species



N. Majernik, et al., Phys. Rev. Research 4, 023065 (2022)

# Discussion and outlook

- Dielectric wakefield acceleration provides a path to near 1 GeV/m acceleration for electrons and positrons
- Much is known of the various structures, physical effects and experimental methods
- For a near-term Higgs factory, one may address the problem of positron stability
- New insight into focusing and BBU control
- Wide parameter space for collider design
- There is much to do - EuPRAXIA may play a role
  - Infrastructure for DWA directly overlaps with PWFA>