



12<sup>th</sup> Pisa Meeting on Advanced Detectors  
La Biodola, Isola d'Elba (Italy) May 20 - 26, 2012

# Performance and Upgrade Plans for the CMS Detector

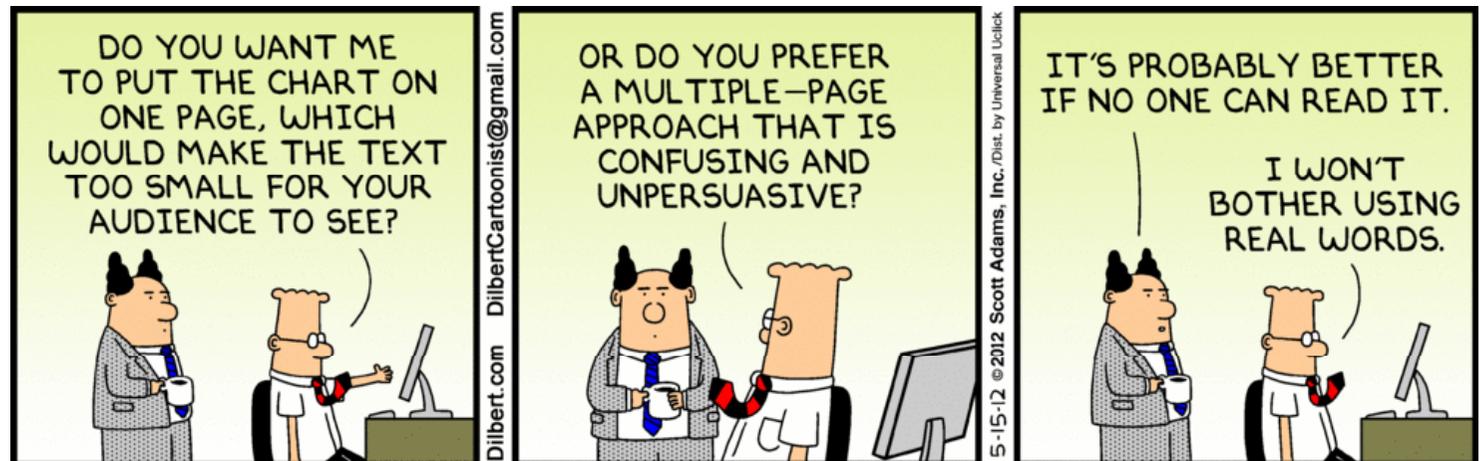
**Marco Meschini**  
**INFN Firenze, Italy**





# Outline

- CMS Detector
- LHC and CMS run 2011 summary, 2012 highlights, luminosity, data taking
- Subsystems status (not exhaustive)
- Hints on detector performance and progress
- General view of the upgrades:
  - Long Shutdown 1
  - Phase 1
  - Phase 2





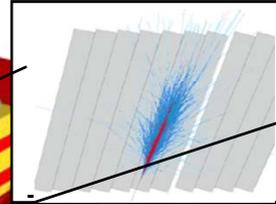
# The CMS detector

12.5kt mass  
21.6m long  
14.6m diam.

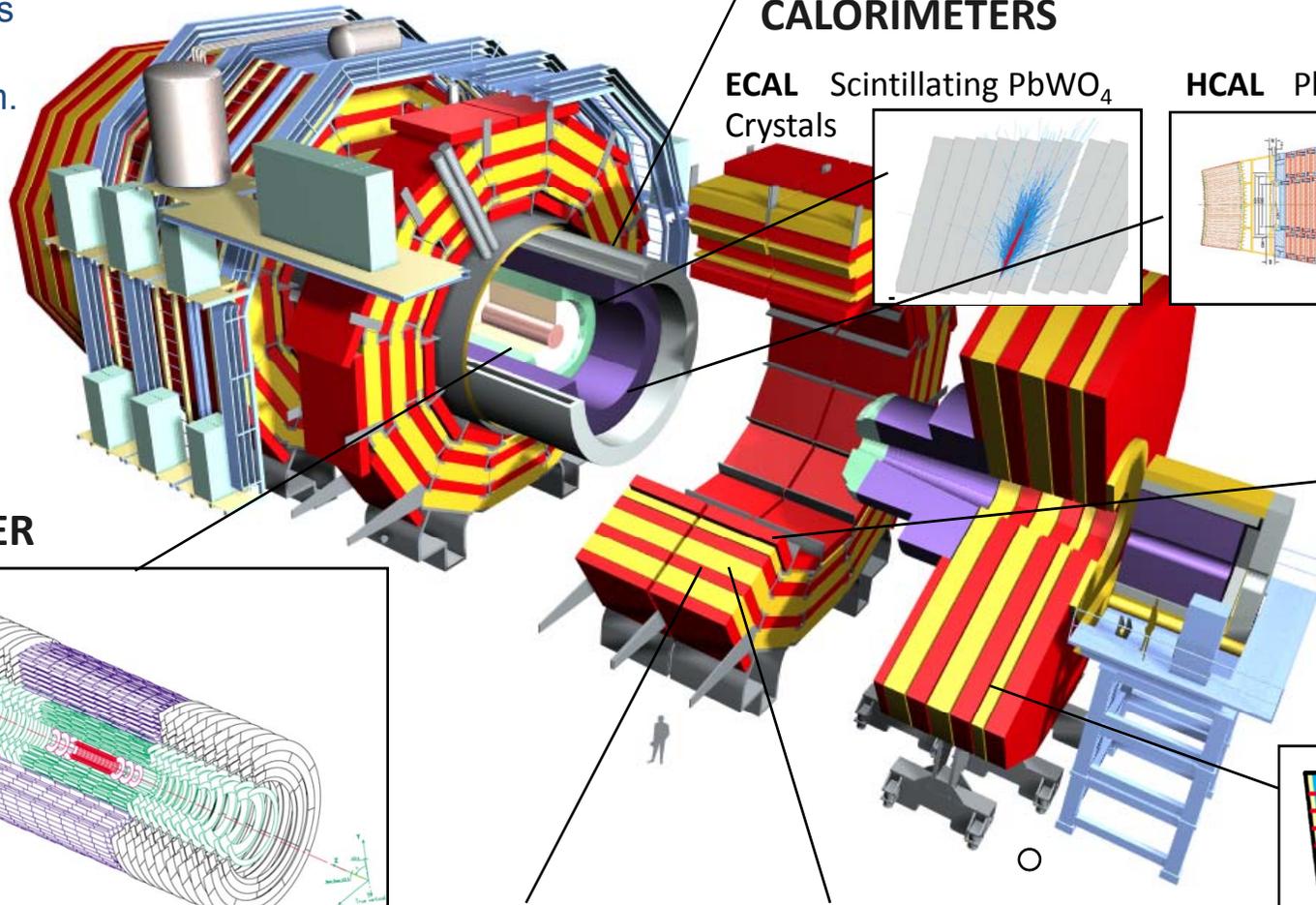
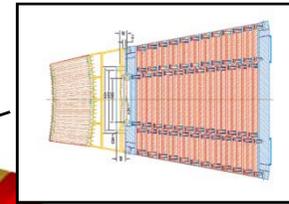
**SUPERCONDUCTING  
COIL 3.8T field**

**CALORIMETERS**

**ECAL** Scintillating  $\text{PbWO}_4$  Crystals



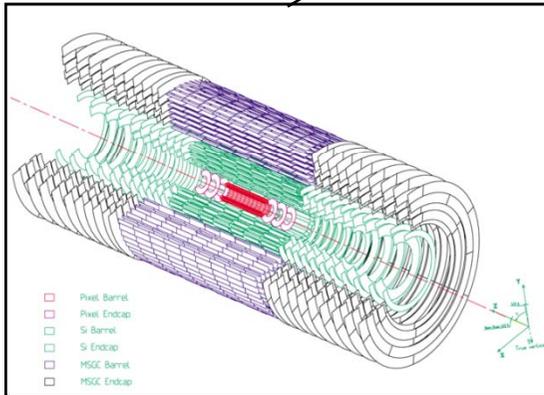
**HCAL** Plastic scintillator  
brass sandwich



**IRON YOKE**

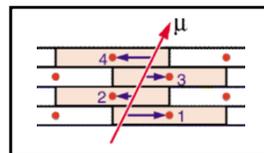
**MUON  
ENDCAPS**

**TRACKER**

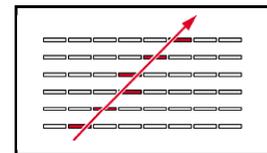


Silicon Microstrips, 10 barrel layers,  
3+9 fw disks, 9.3M strips  
Pixels 3 barrel layers, 2 fw disks,  
66M channels

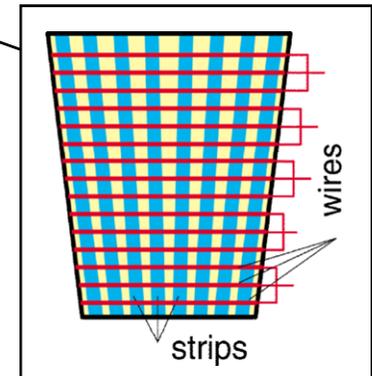
**MUON BARREL**



Drift Tube  
Chambers (**DT**)



Resistive Plate  
Chambers (**RPC**)



Cathode Strip Chambers (**CSC**)  
Resistive Plate Chambers (**RPC**)



# CMS in a Cake-slice

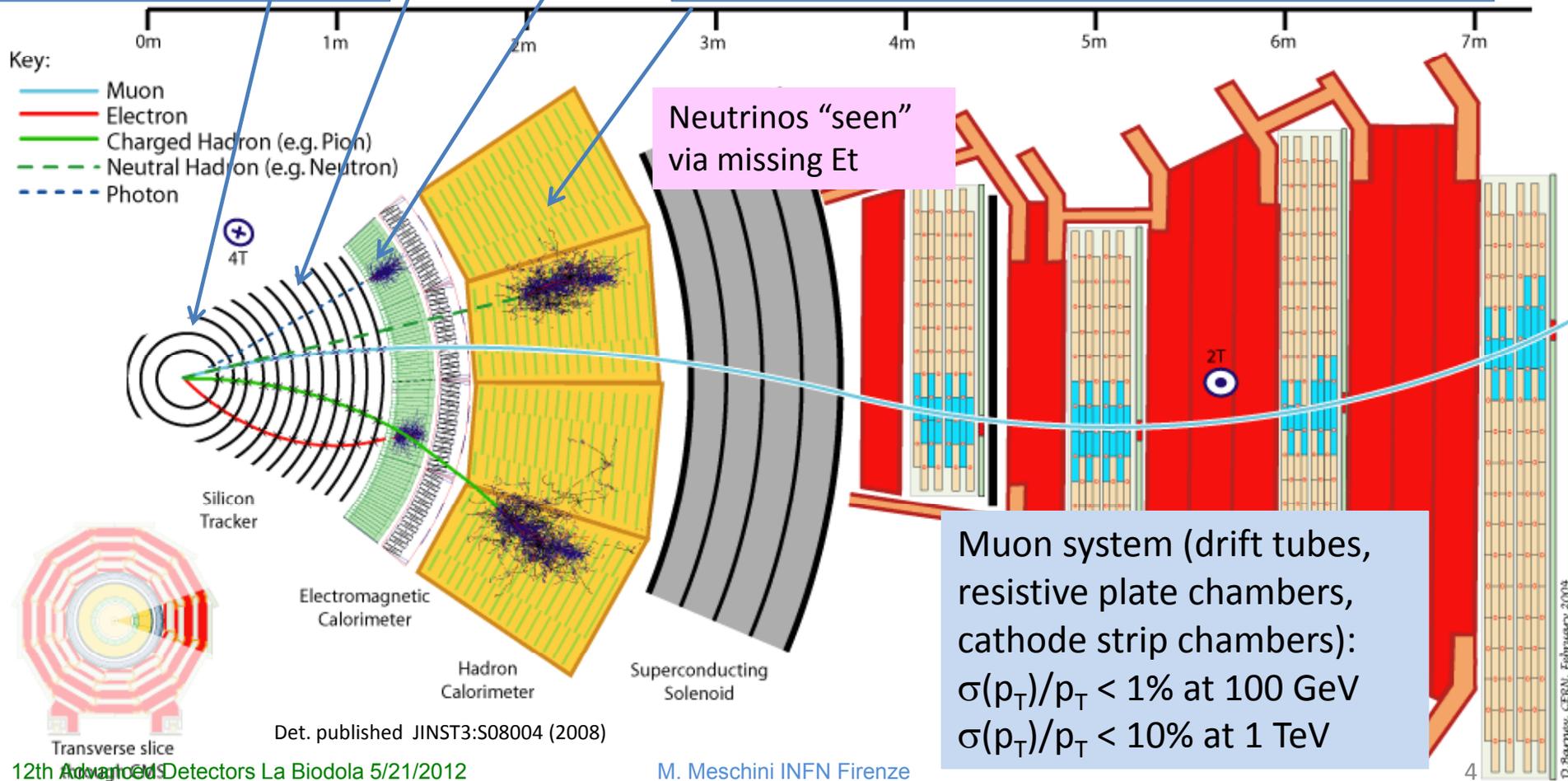


Silicon tracker:  
 $\sigma(p_T)/p_T \sim 15\%$  at 1 TeV

EM Calorimeter (lead tungstate crystals):  
 $\sigma(E)/E \sim 3\%/\sqrt{(E) \text{ [GeV]}} \oplus 0.3\%$

Silicon pixel detector:  
 $\sim 20\mu\text{m}$  hit resolution

Hadron calorimeter (brass + scintillator):  
 $\sigma(E_T)/E_T \sim 100\%/\sqrt{(E_T) \text{ [GeV]}} \oplus 5\%$





# LHC Startup 2012

## Changes from 2011 → 2012

- $\sqrt{s} = 7 \text{ TeV}$  in 2011 →  $\sqrt{s} = 8 \text{ TeV}$  in 2012
- $\beta^* = 1\text{m}$  in 2011 →  $\beta^* = 0.6\text{m}$  in 2012
  - Higher instantaneous luminosity → more interactions per crossing → higher pileup
- 50ns bunch spacing in 2011 → as in 2012
- Maximum instantaneous luminosity was  $3.5 \times 10^{33}/\text{cm}^2/\text{s}$  in 2011 →  $5.6 \times 10^{33}/\text{cm}^2/\text{s}$  in 2012
  - reached on April 20<sup>th</sup>, fill 2536 with 1380 bunches, after a wonderful, steep rise.
    - Technical Stop 23-27 Apr., then a bit slow restart
    - 1380 bunches foreseen (again) on May 1<sup>st</sup>, reached on May 10<sup>th</sup>
- Now  $\sim 1.3 \times 10^{11}$  protons/bunch, goal is  $\sim 1.6 \times 10^{11}$

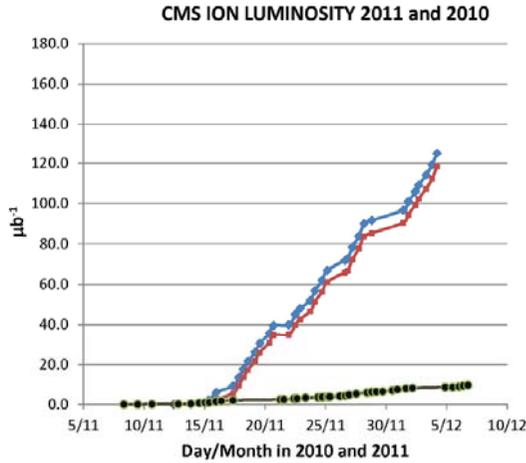
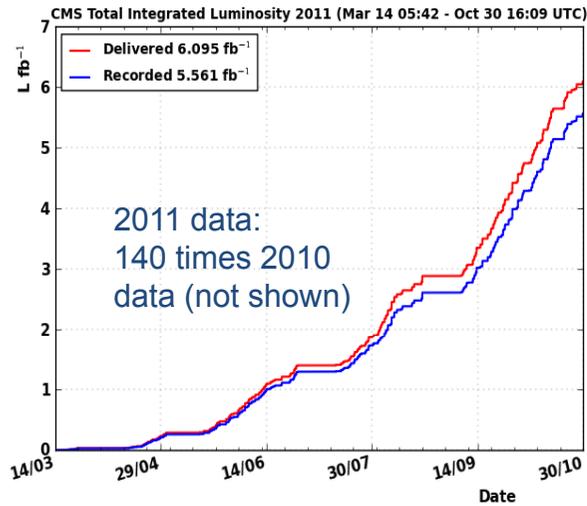
Last minute update: record lumi  $6.05 \times 10^{33}/\text{cm}^2/\text{s}$  on May 19



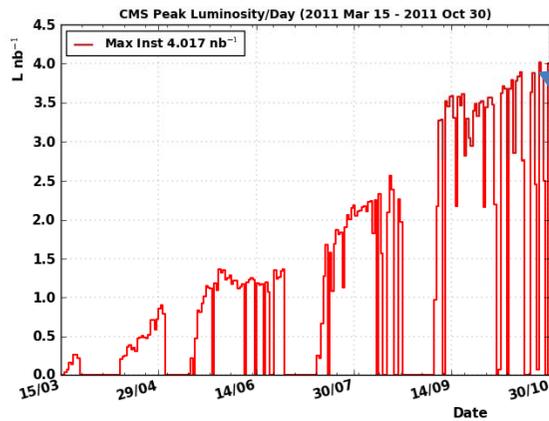
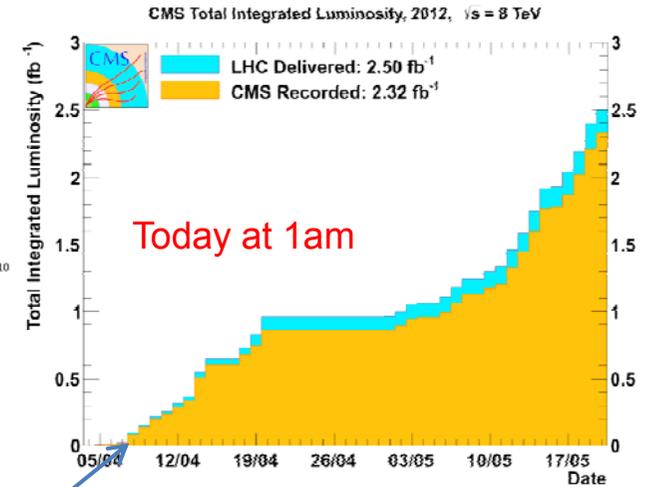
# CMS Luminosity 2011 & 2012

In 2011  $6.1\text{fb}^{-1}$  delivered by LHC and  $5.6\text{fb}^{-1}$  recorded by CMS. A  $\sim 7\%$  correction has been applied after end of 2011 run, and a new method to calculate lumi published in CMS-PAS-SMP-12-008.

## 2011



## 2012

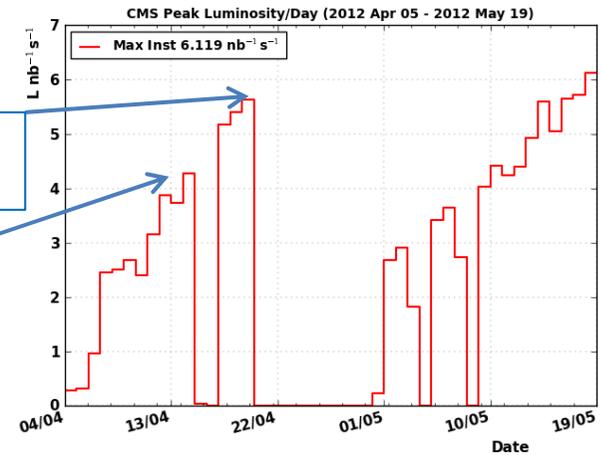


CMS recommissioned on Apr 8

Two wks to reach reach Max Instantaneous Lumi

One wk to reach same Inst. Lumi as Max 2011

**Excellent LHC 2012 Startup Performance**

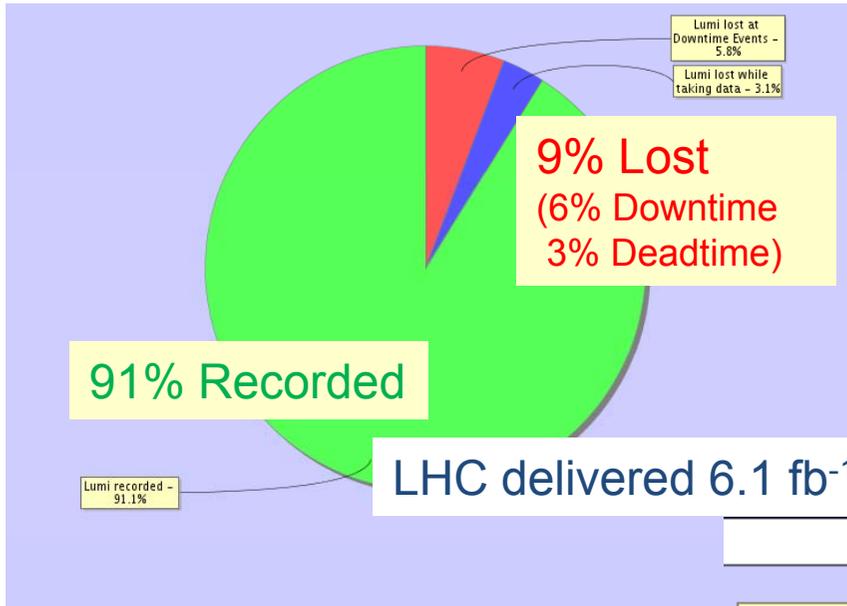




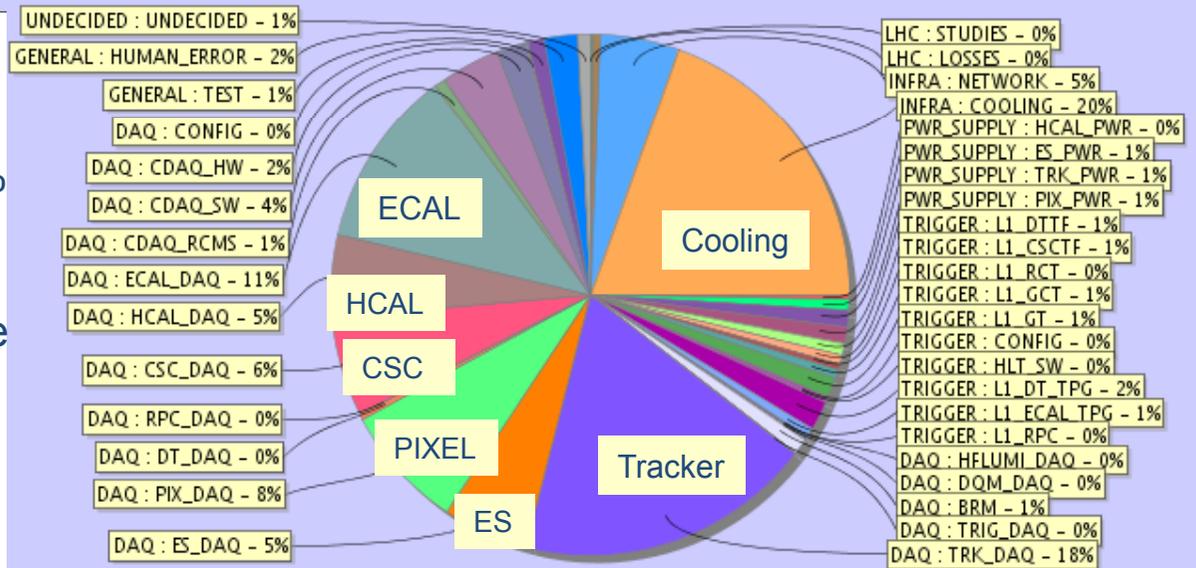
# CMS 2011 Data Taking Efficiency

## Sources of Downtime

- Largest downtime single source: a cooling failure affecting 2 fills (August)
- Other Downtime sources: Detector/DAQ, Special runs, Trigger, Power
- Single Event Upsets (affecting Pixel, ECAL, CSC, HCAL): addressed during winter stop to prevent problems in 2012



## Lumi lost by categories



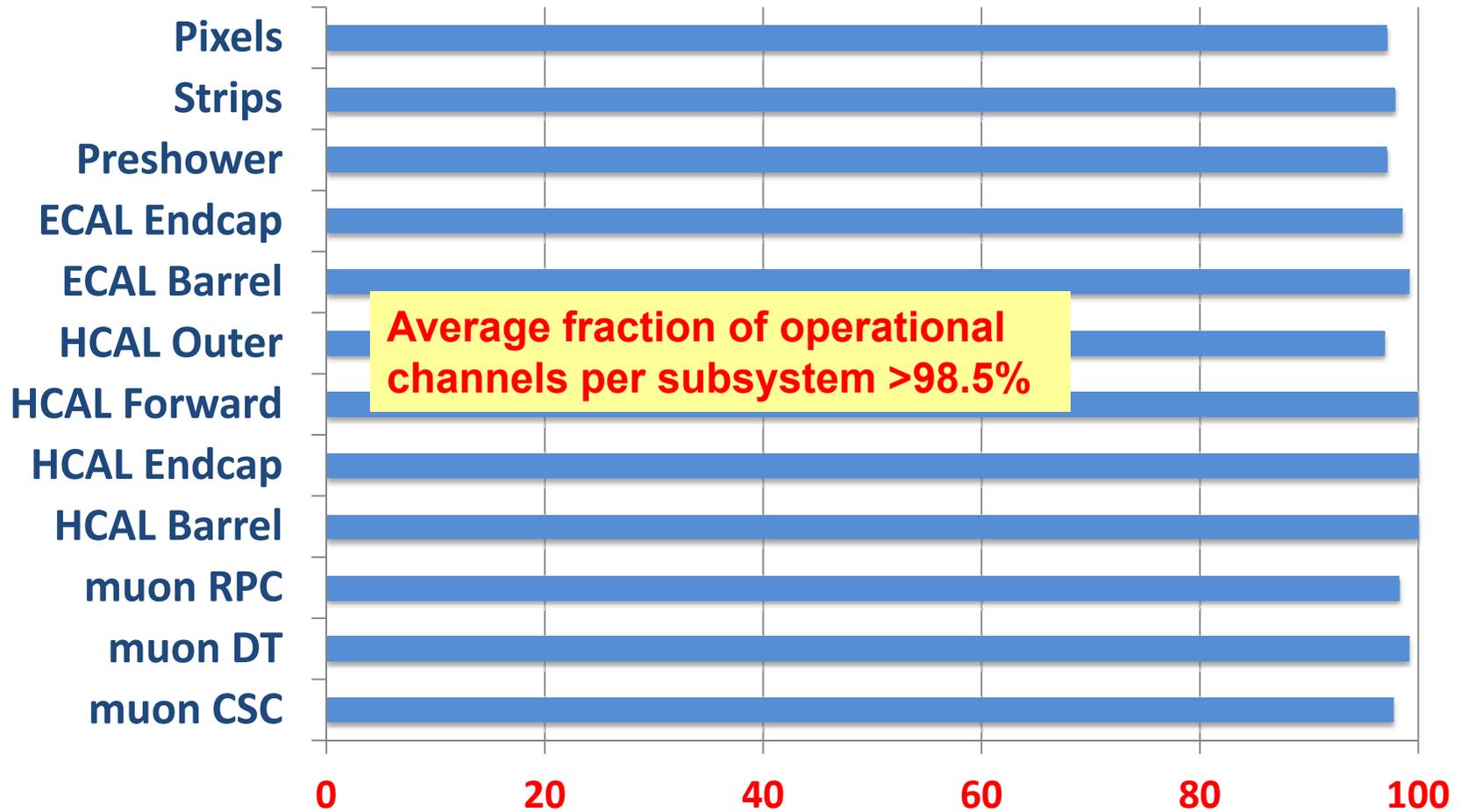
## Sources of Deadtime

- Trigger rules ~0.7%
- Partition control sub-detectors ~0.5%
- HLT (High Level Trigger) at start of runs ~0.5%
- Short stops not counted as downtime

Efficiency is increasing in 2012:  
92.3% global, 94% last week



# CMS Detector Operational Status April 2012



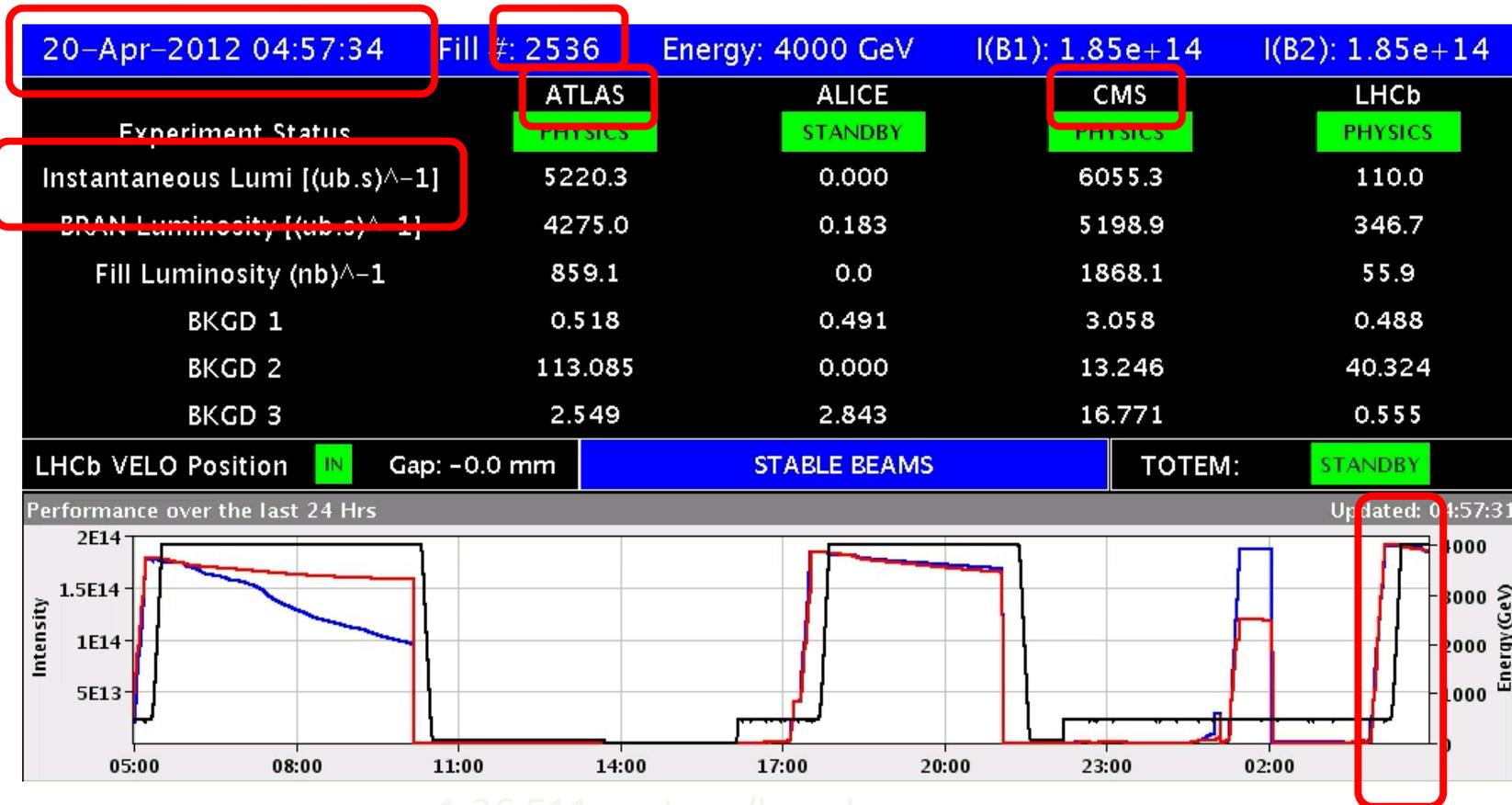
Pixel Tracker	Strip Tracker	ECAL Barrel	ECAL Endcaps	HCAL Barrel	HCAL Endcaps	HCAL Forward	HCAL Outer	Muon DT	Muon CSC	Muon RPC
97.1%	97.75%	99.16%	98.54%	99.92%	99.96%	99.88%	96.88%	99.1%	97.67%	98.2%



# A Snapshot from the “Text Book” Fill #2536

yesterday!

Average CMS/ATLAS Lumi  $5.6e33 \text{ cm}^{-2}\text{s}^{-1}$  : highest Inst. Lumi up to ~~now!~~



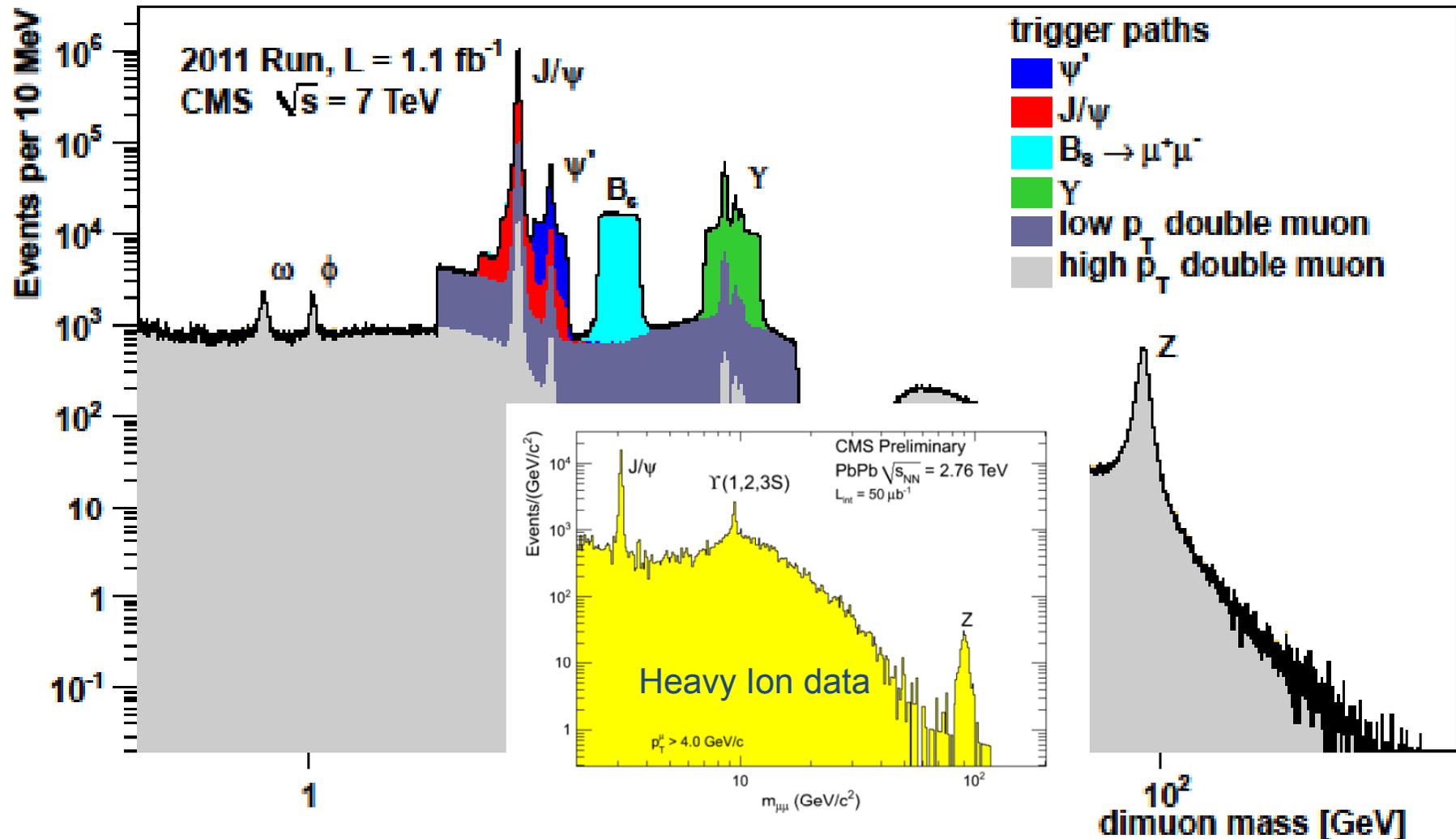
Remark: not clear why this fill was more “bright” than others after Technical Stop with same current → Now understood? Leftovers settings from TS; more work to do on emittance

Fill 2536



# Triggering on di-muons in 2011

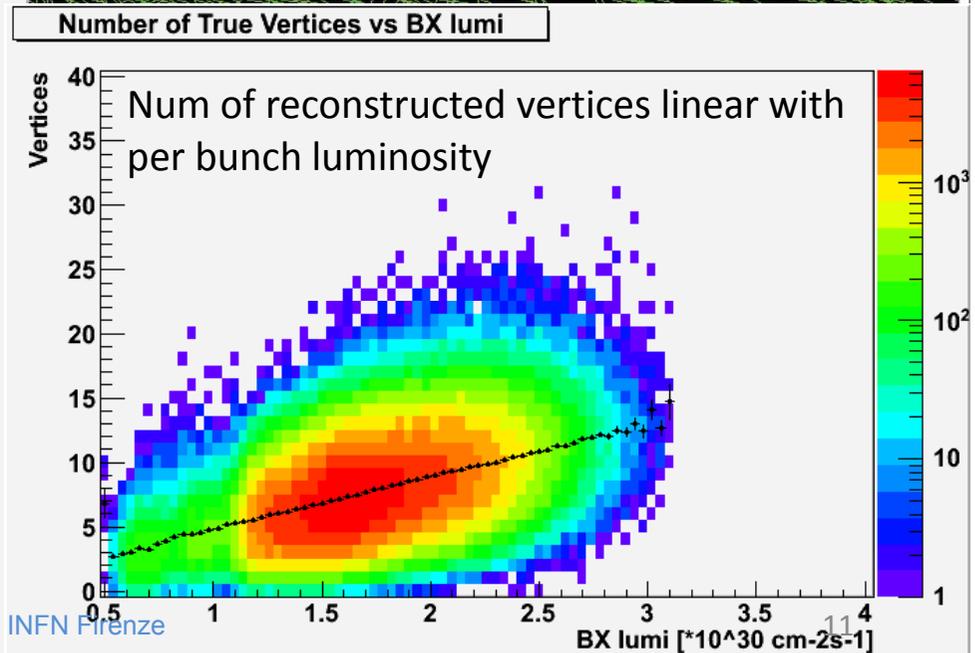
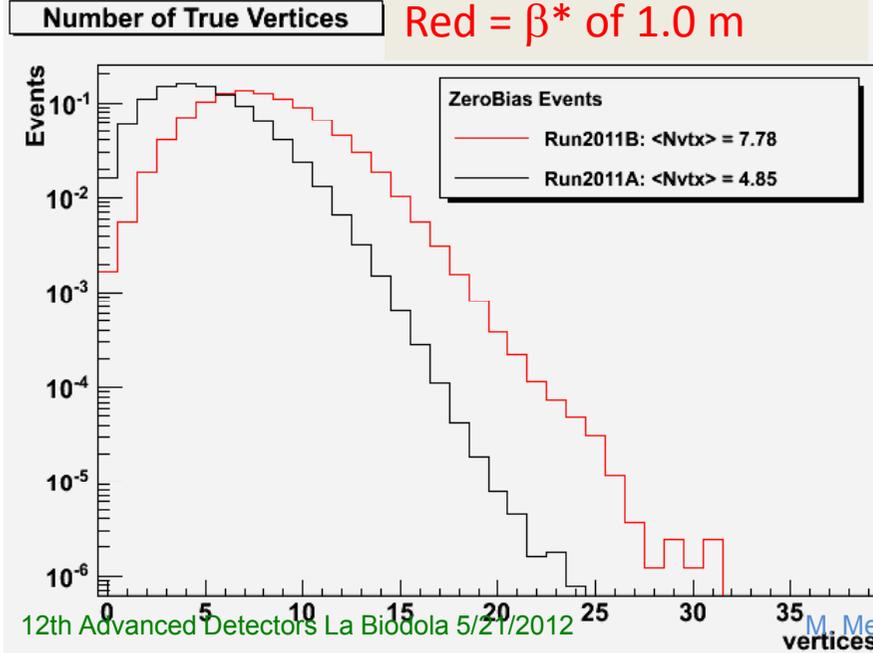
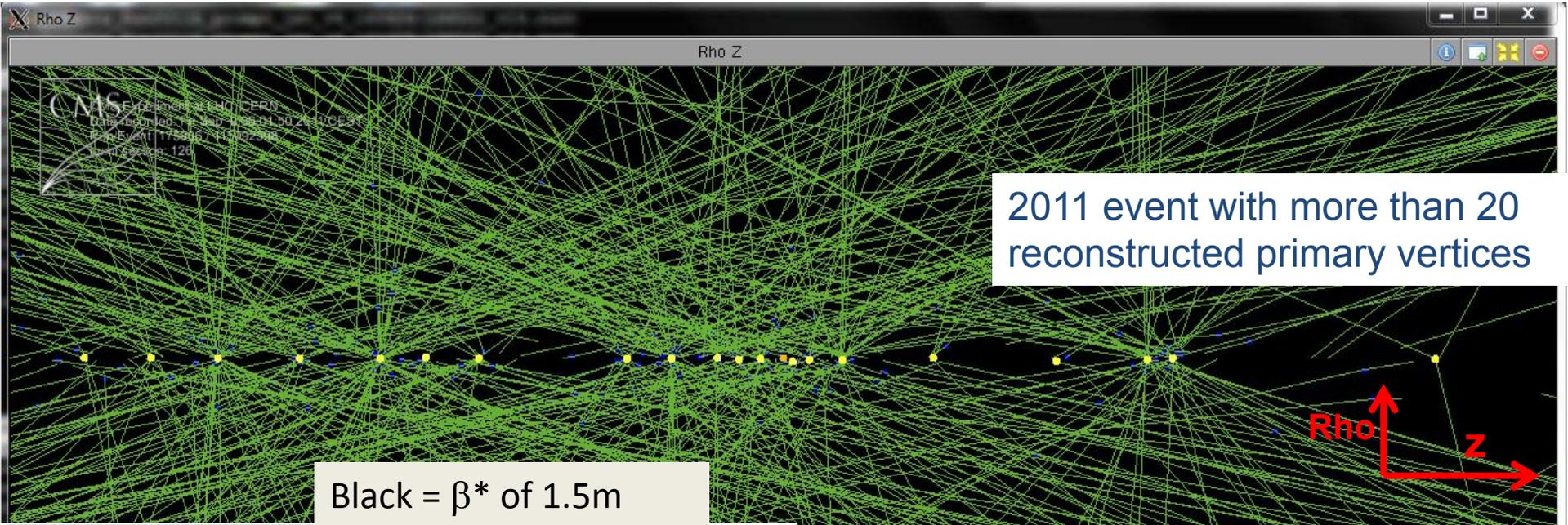
Dimuon triggers are fundamental for Searches, Heavy Flavors (and used also for calibrations)



**Dimuon mass distribution obtained from overlapping several trigger paths**



# “The Challenge”: Tracking at High Pile Up





# Trigger at high PU

2011 Level 1 trigger running at ~80 kHz

– Total deadtime <3%

• High level trigger output rate **300-400 Hz**

– Challenge is to keep thresholds low as PU increases

• Work ongoing on integrating offline advances (e.g. particle flow, noise rejection) into HLT → **almost done**

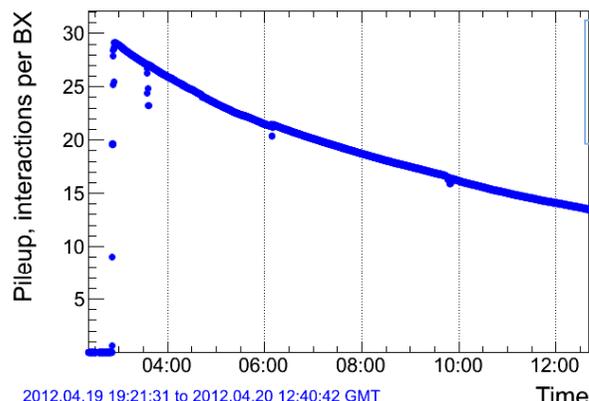
– Some PU dependence

• This slope is ~typical (some are better, some are worse)

• Menu for Lumi 5e33 deployed Oct 2011

• Menu for Lumi 7e33 deployed mid-May

Fill 2536 CMS Pileup Monitor

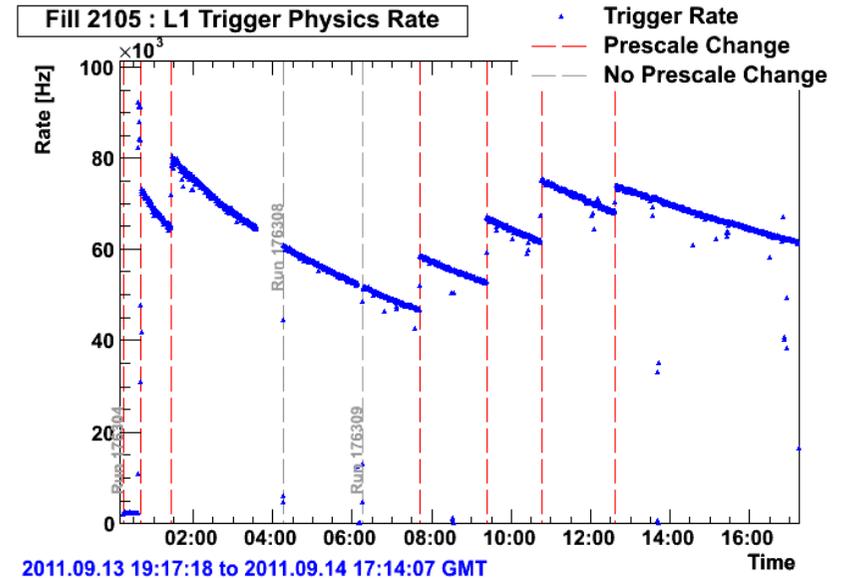


2012.04.19 19:21:31 to 2012.04.20 12:40:42 GMT  
12th Advanced Detectors La Biodola 5/21/2012

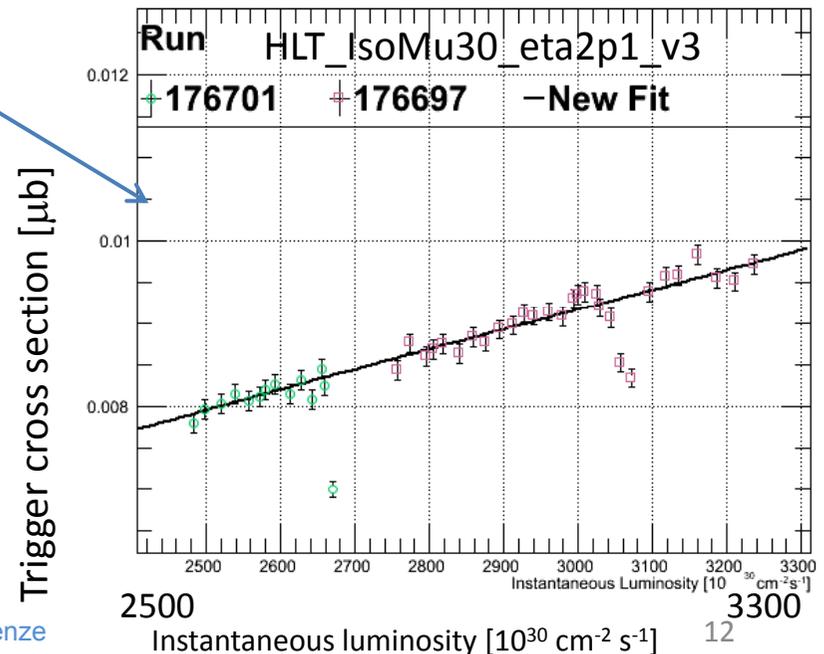
Pileup Monitor in 2012 for Fill 2536

Adapted from J. Thompson

M. Meschini INFN Firenze

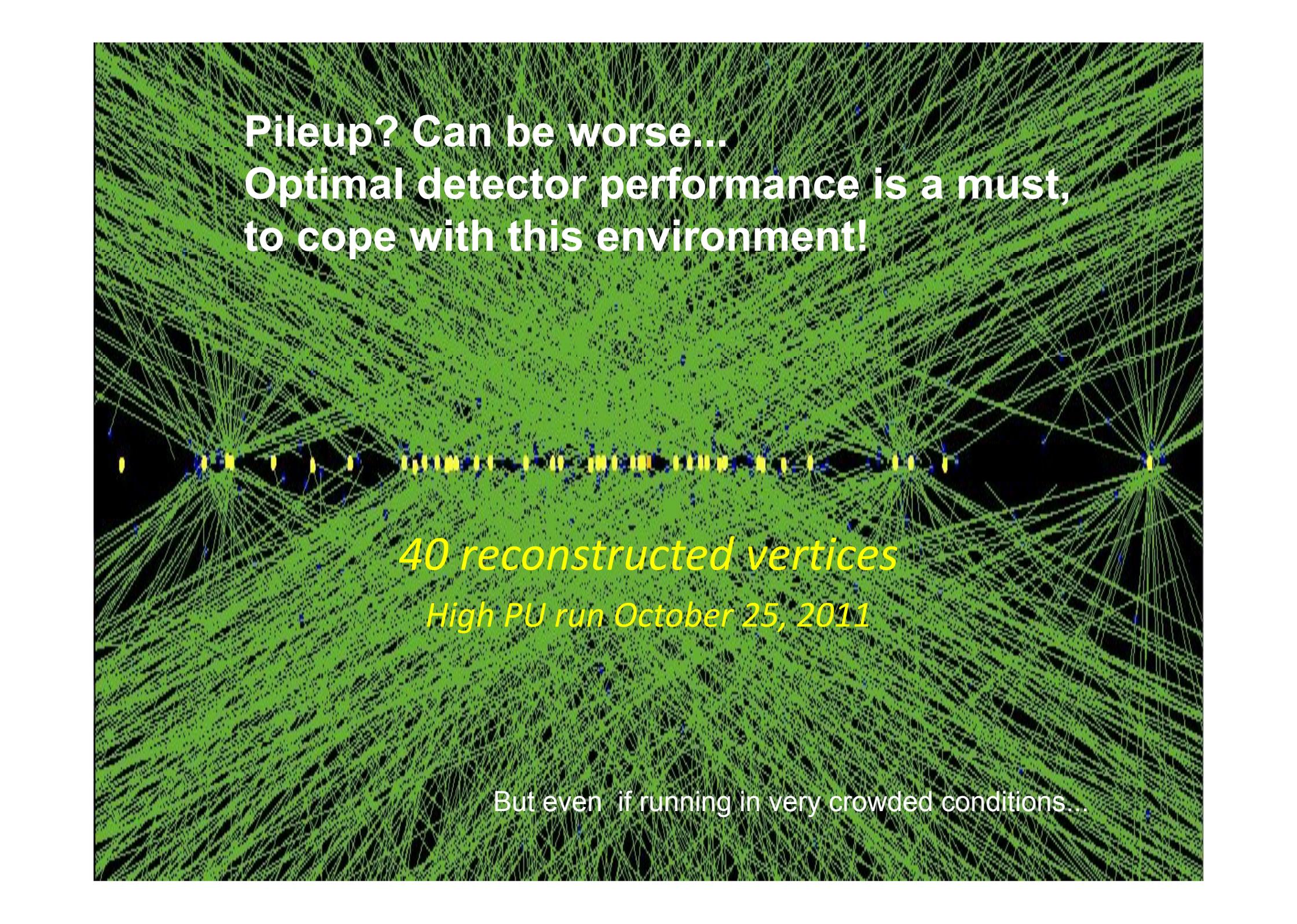


2011.09.13 19:17:18 to 2011.09.14 17:14:07 GMT



Trigger cross section [μb]

Instantaneous luminosity [10<sup>30</sup> cm<sup>-2</sup> s<sup>-1</sup>]  
2500 3300



**Pileup? Can be worse...**  
**Optimal detector performance is a must,  
to cope with this environment!**

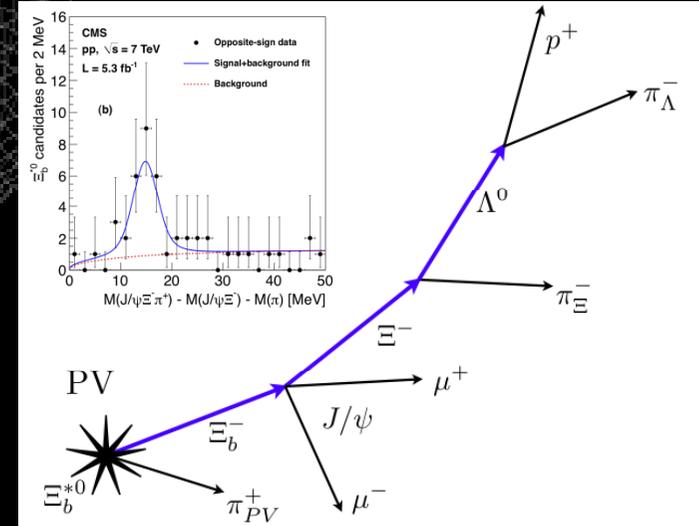
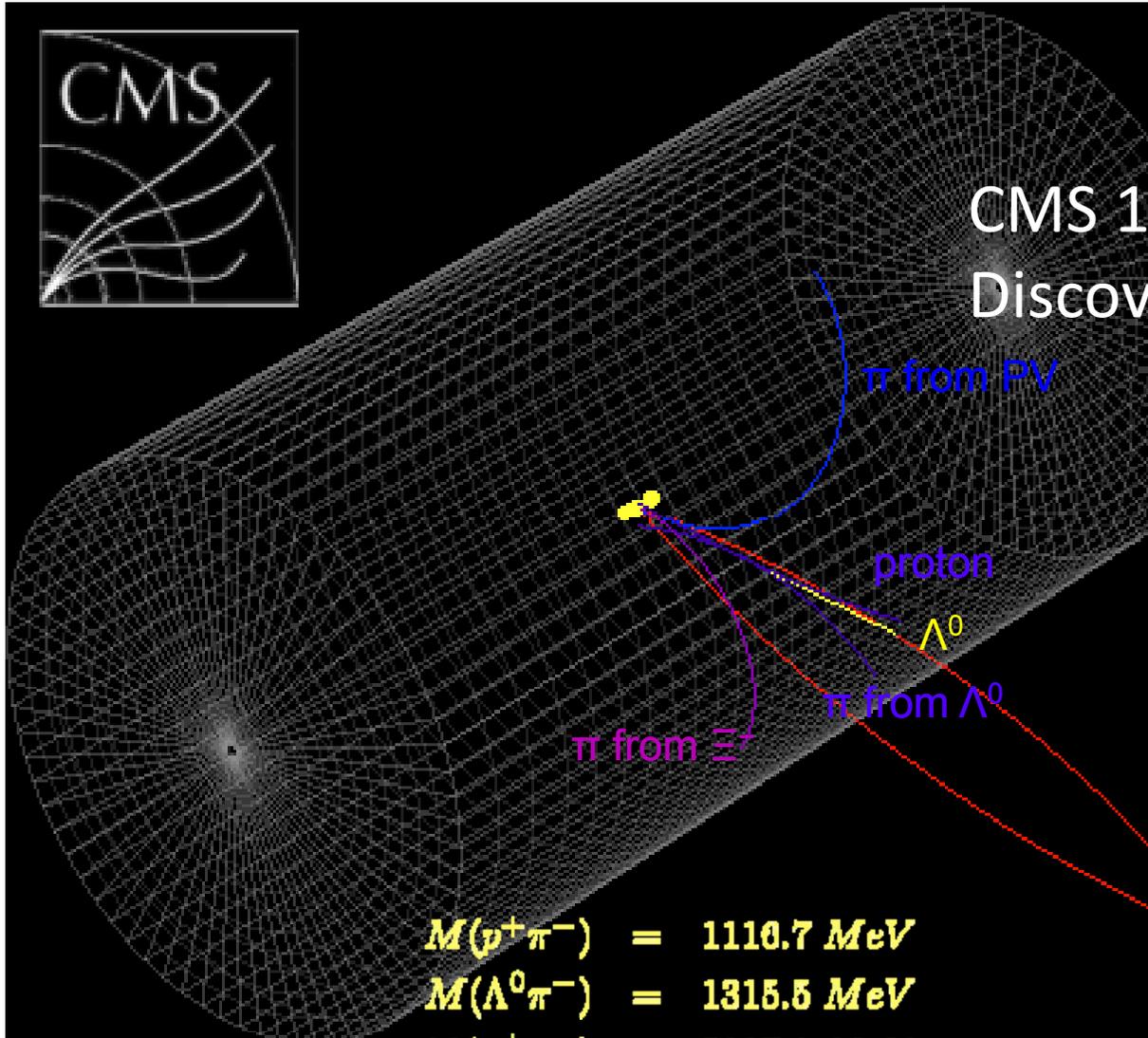
*40 reconstructed vertices*  
*High PU run October 25, 2011*

But even if running in very crowded conditions...



CMS Experiment at LHC, CERN  
 Data recorded: Thu Oct 13 05:38:12 2011 CEST  
 Run/Event: 178421 / 533709680

# CMS 1<sup>st</sup> New Particle Discovery



$$\begin{aligned}
 M(p^+\pi^-) &= 1110.7 \text{ MeV} \\
 M(\Lambda^0\pi^-) &= 1315.5 \text{ MeV} \\
 M(\mu^+\mu^-) &= 3117.1 \text{ MeV} \\
 M(J/\psi\Xi^-) &= 5787.8 \text{ MeV} \\
 Q(J/\psi\Xi^-\pi^+) &= 15.7 \text{ MeV}
 \end{aligned}$$

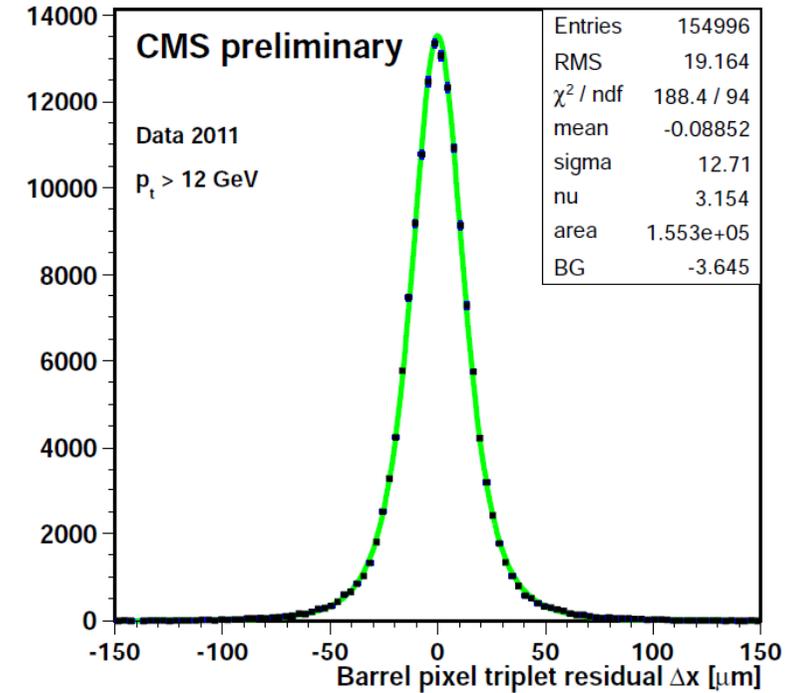
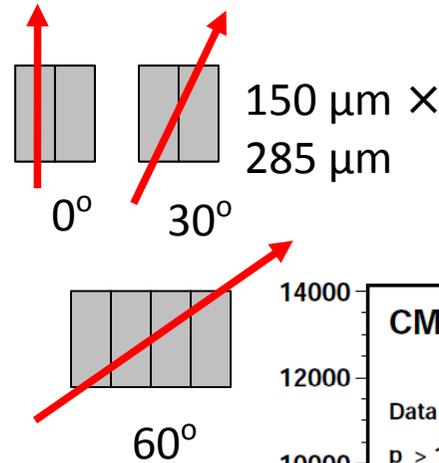
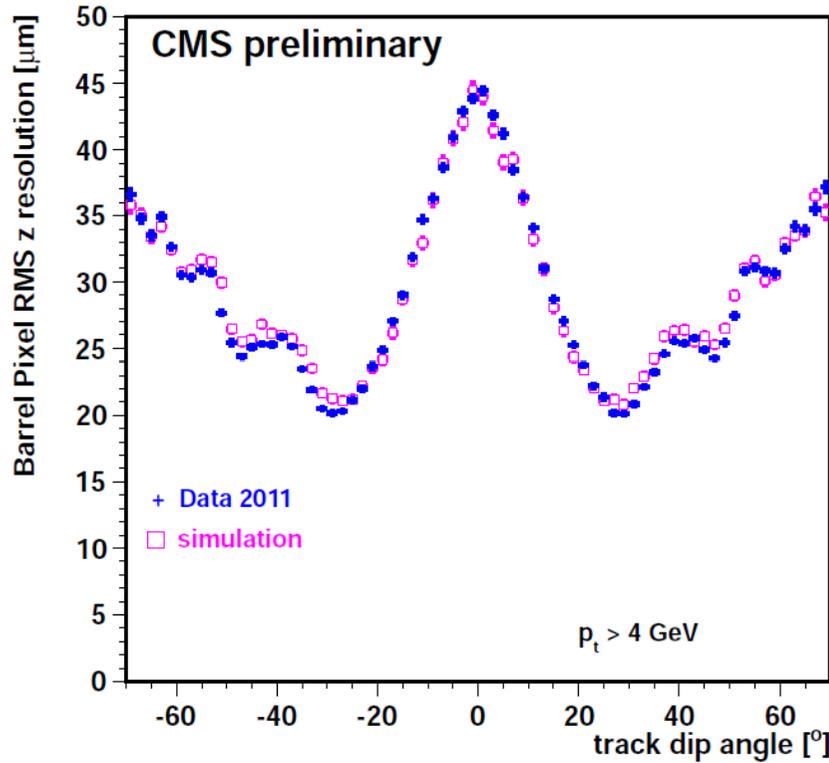


muons



# Pixel Detector resolution

## Dip angle dependence of z resolution



Barrel pixel triplet  $r\phi$  residuals at high  $p_t$

- dip angle:
  - $\lambda = \pi/2 - \theta$ .
- optimal resolution at  $\lambda = \pm 30^\circ$ :
  - sharing between neighboring pixels

Pixels are a fundamental building block for CMS. Heavily used also by HLT: seeding, vertex reconstruction, b\_tag, high pile up combinatorial reduction



# Tracker Status

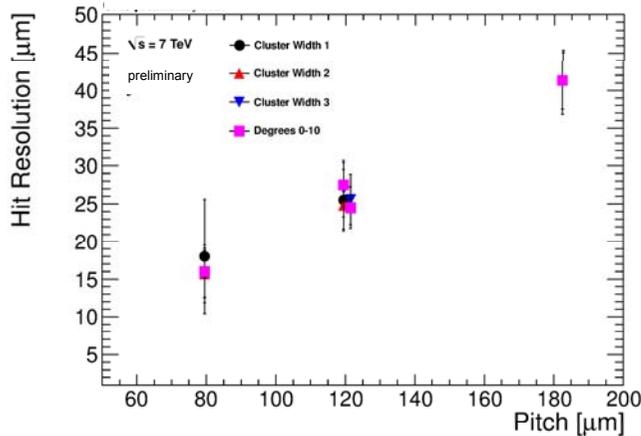
Masked Channels Map 2011 Run, preliminary

## More than 97% Good Channels

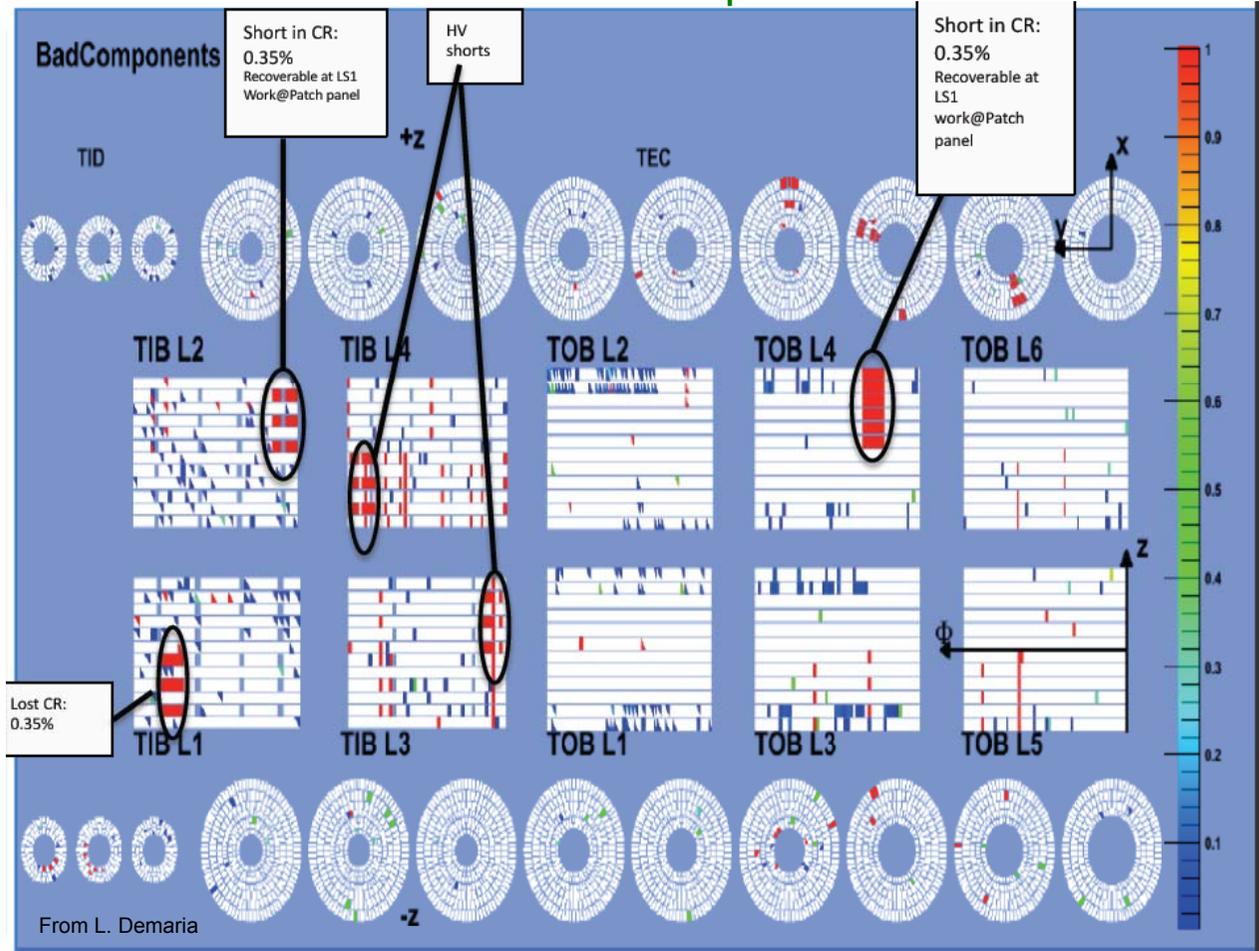
- Offline SiStrip Bad Component calibration runs automatically, about 0.1% channels are masked
- Offline Analysis performed for every run

- 3 CRs not working, 1% loss
- HV problems, 0.8% loss
- CCU/Fibres/other 0.4% loss (CR Ctrl Ring CCU Communication Ctrl Unit)

## Silicon Strip Resolution



Hit resolution vs Strip Pitch



120s to configure the TK in DAQ (was 160s in 2010)  
 437 Front End Drivers (70% CMS)

Some Control Rings can be recovered in LS1, up to 1% channels possible

$$dE/dx$$

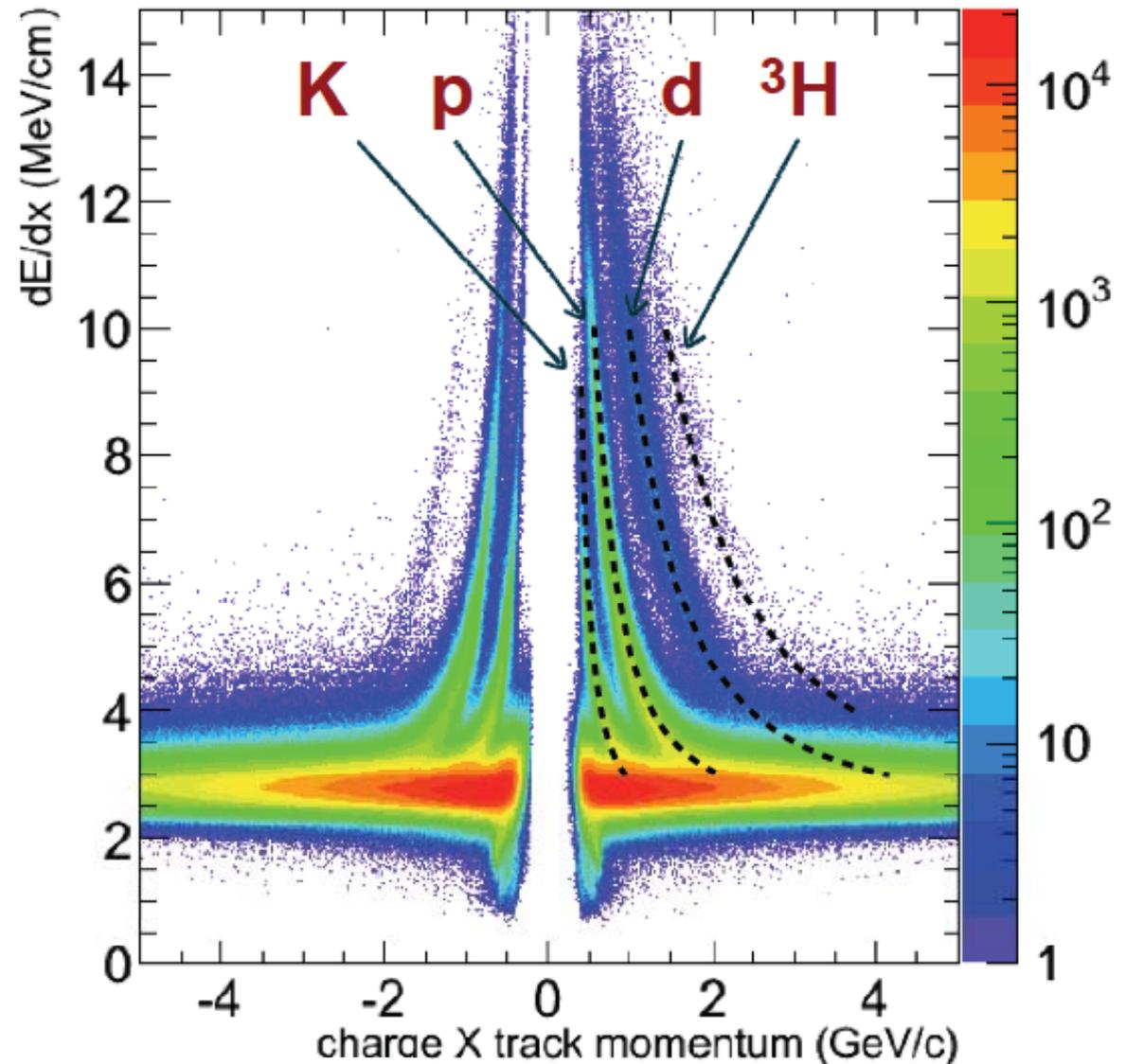
CMS preliminary 2011

Analog readout; many independent measurements (in total more than  $1/2$ cm of silicon)

Charge asymmetry is appreciable when plotting  $dE/dx$  vs.  $q \times p$ .

$$\frac{dE}{dx} = K \frac{m^2}{p^2} + C$$

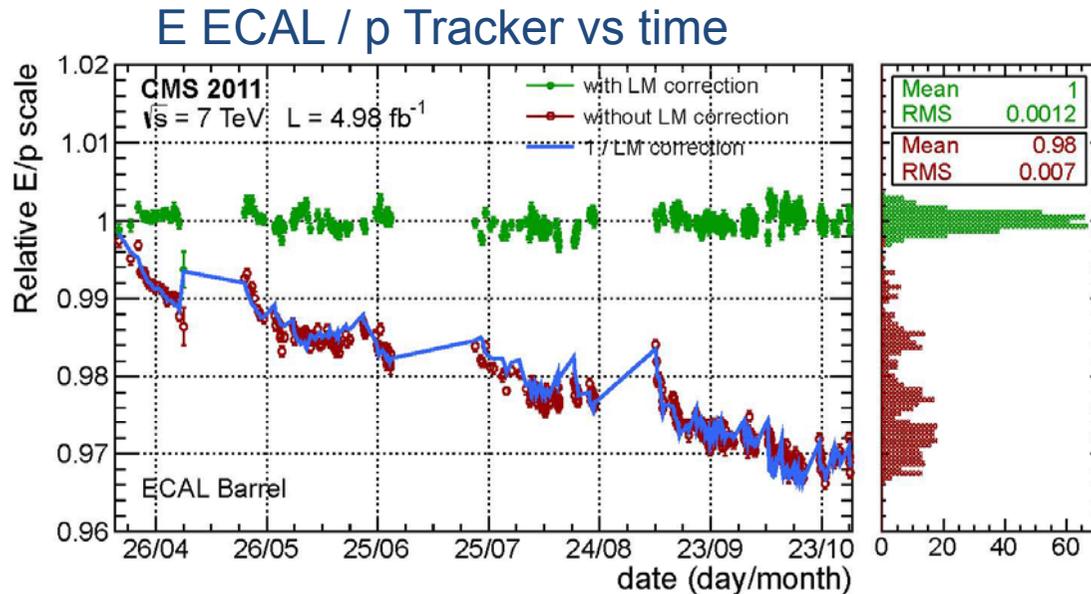
(Lines drawn by hand to guide the eye!)



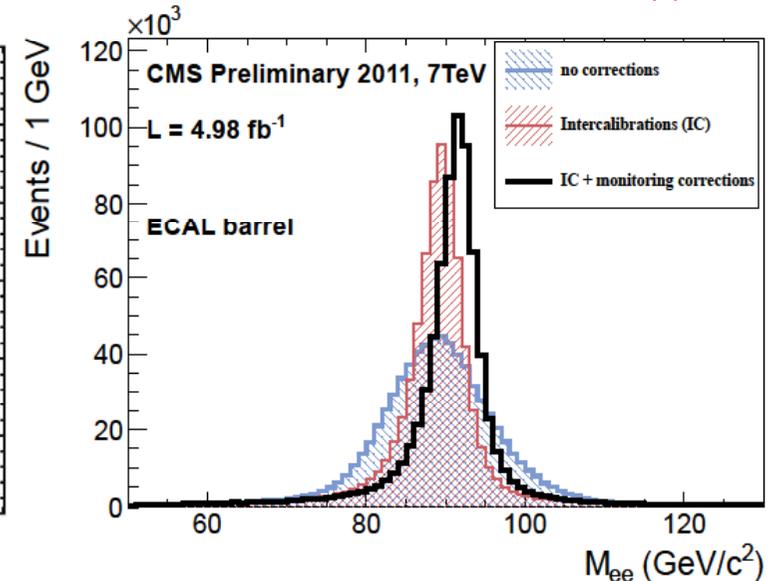


# Very Latest Results on ECAL Calibration

Single electron energy scale (E/p) stability in the ECAL barrel measured using  $W \rightarrow ev$  events



Overall effect of single channel inter-calibration and transparency corrections on the  $Z \rightarrow ee$  invariant mass in the ECAL barrel (\*)



Stable energy scale throughout 2011 run after applying laser corrections:

- Barrel: average signal loss ~ 2.5%, RMS stability after corrections 0.13%
- Endcap: average signal loss ~ 10%, RMS stability after corrections 0.45% (not shown)

Good energy resolution with preliminary energy calibration for 2011:

- Instrumental resolution (obtained from  $Z \rightarrow e+e-$  invariant mass with ECAL energies and electron track directions): **1.0 GeV in ECAL Barrel (\*\*)**

(\*) The plot includes only electrons with low energy loss through bremsstrahlung in the CMS tracker

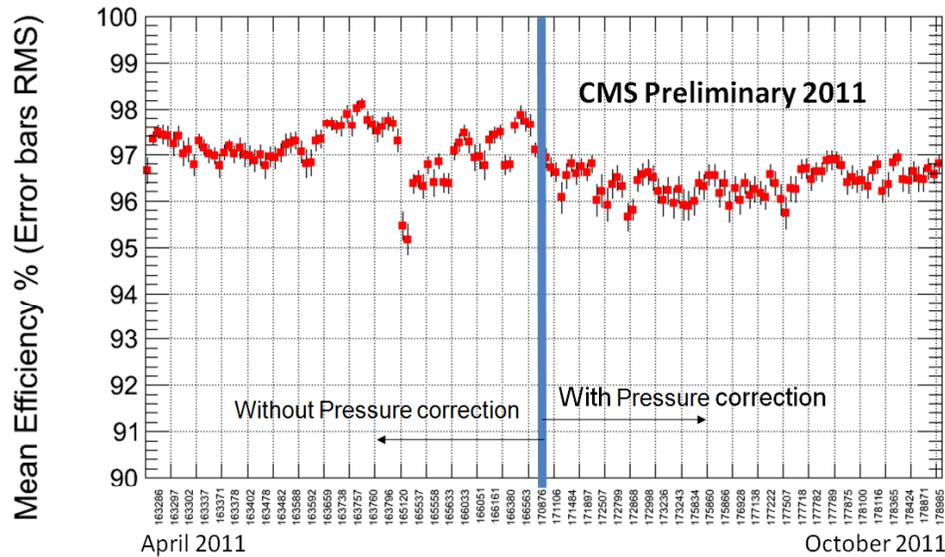
(\*\*) Width of Crystal Ball function convoluted to the  $Z \rightarrow ee$  Breit-Wigner shape



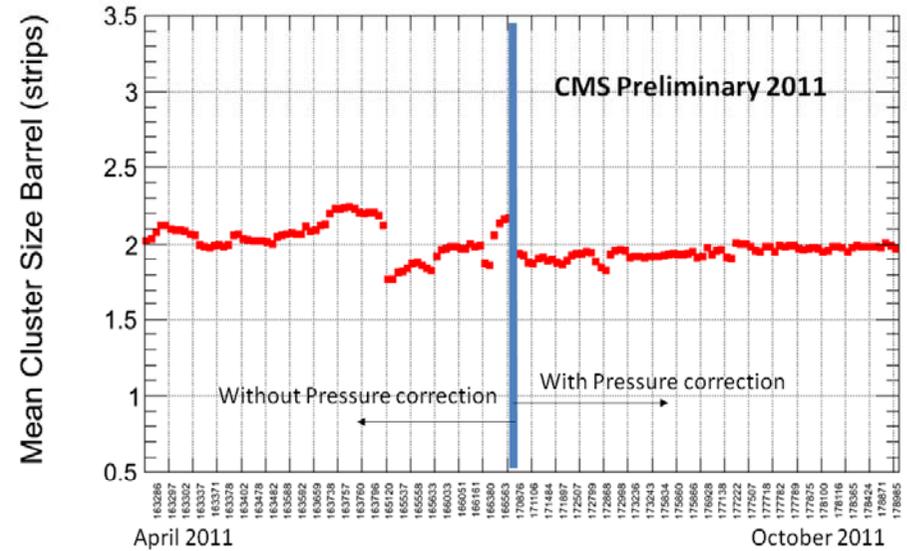
# RPC Status

HV working point corrected for atmospheric pressure

## RPC Barrel Efficiency History Plot



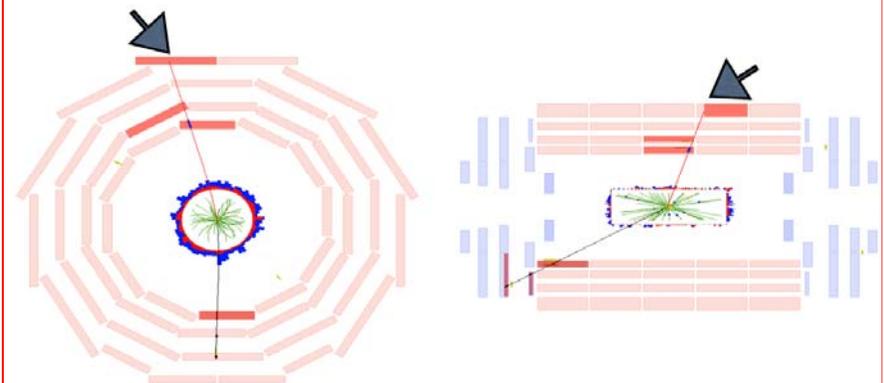
## RPC Barrel Cluster Size History Plot



- Data Loss for RPC 19 pb<sup>-1</sup> – 0.37%
- Overall Operating Channels 98.4%
- Average Efficiency 95%
- Average Cluster Size < 2
- Average Noise rate ( $3 \cdot 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ ) 1.3 Hz/cm<sup>2</sup>
- Max Noise rate ( $3 \cdot 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ ) RE-2/2/C < 7 Hz/cm<sup>2</sup>
- Average intrinsic noise (no-beam) ~ 0.1 Hz/cm<sup>2</sup>
- Average current (no-beam) ~ 1  $\mu\text{A}$
- Average current (with beam) ~ 1.5  $\mu\text{A}$
- Temperature < 21.5 °C

### Example of muon recovered by RPCs

Run 173406, Event 57918504,  $m = 90.900 \text{ GeV}$



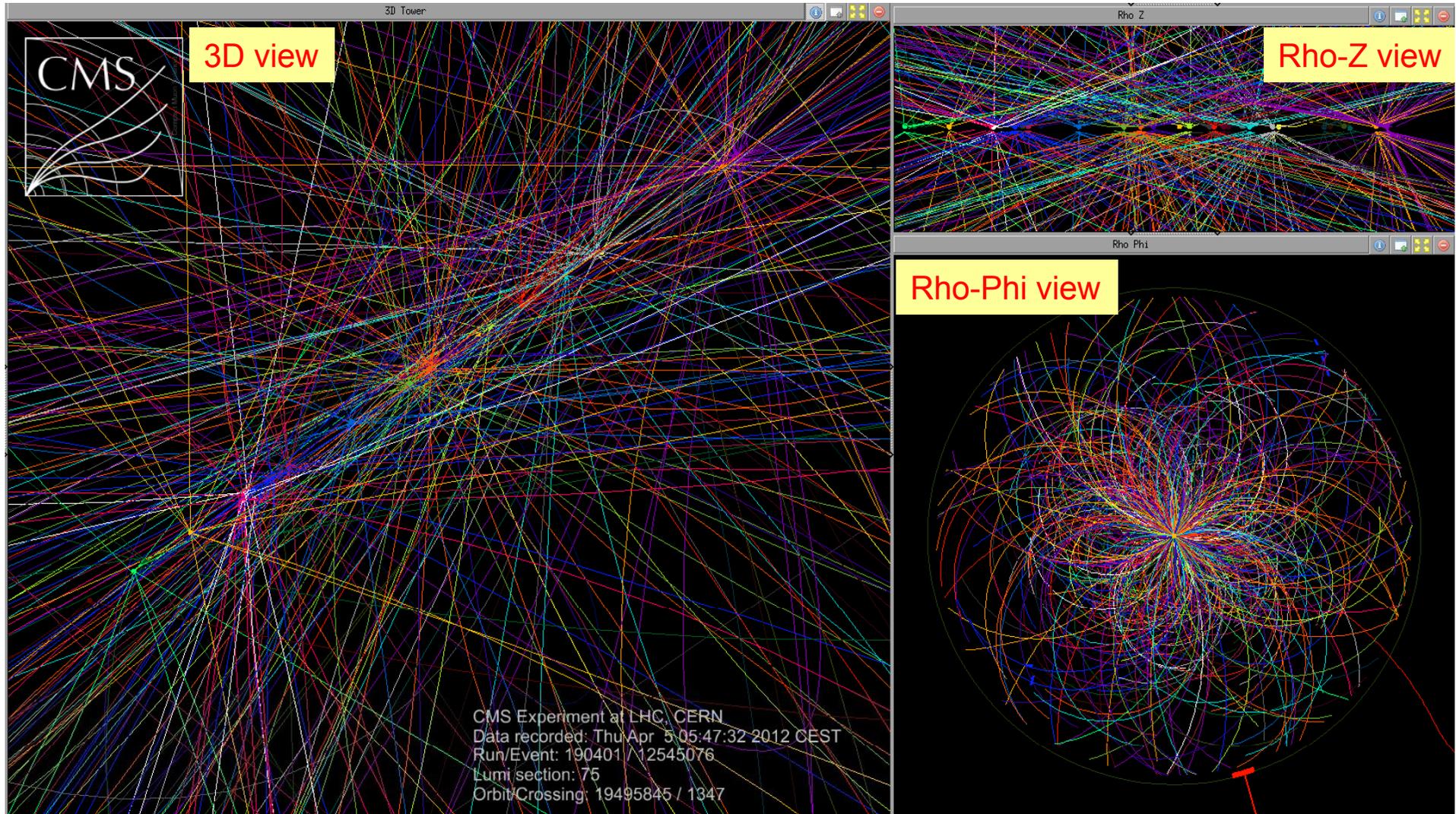
The red track will fail in reconstruction when the RPC hits are removed in the track fitting

1 DT segment + 2 RPC hits



# This is not a Simulation

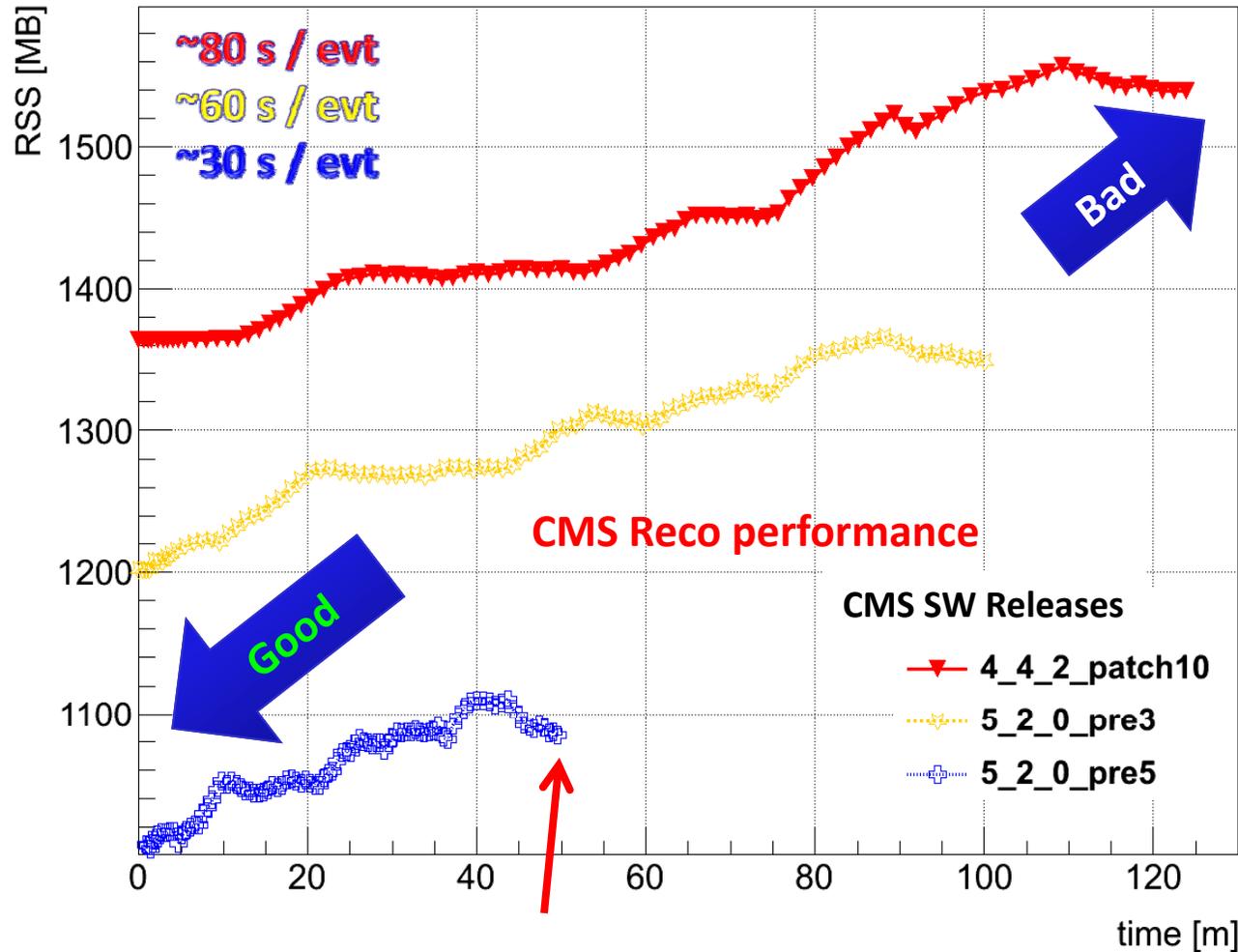
Just to give you the feeling, this is a real 2012 event (and not the highest lumi): heavy CPU load  
We need to do something now to continue to reconstruct “dense” events with increasing pileup





# High Pileup Mitigation Working Group Reconstruction Performance Evolution

Reconstruction: CPU perf - Memory Curves (HighPileUpHPF 100 Evts)



- 50 % reduction in memory footprint
- A factor 2.5 gained in reconstruction time
- Physics performance unchanged

## Technical Improvements

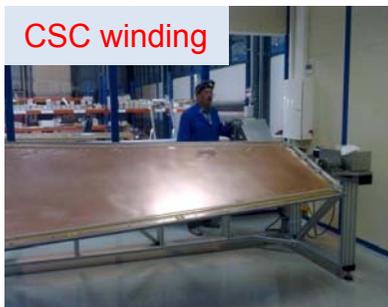
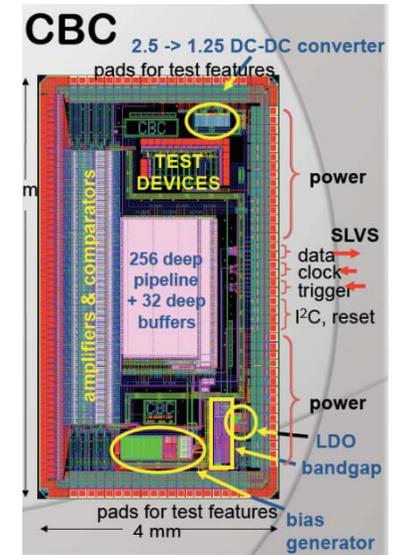
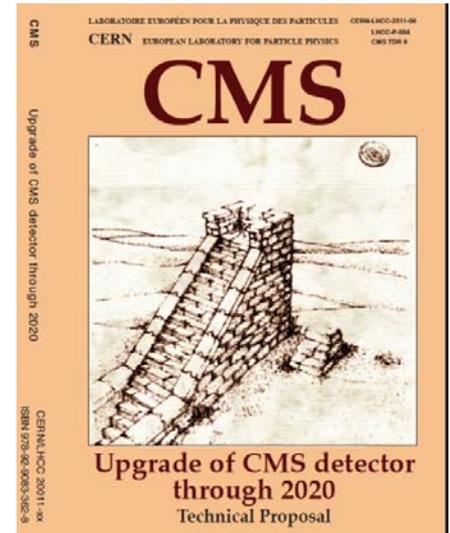
Compiler, ROOT, JEMalloc  
Vectorization, Devirtualization

Algorithmic (mainly tracking)  
Seed filtering (extended to pairs) and seed combinations, Merging strategies, Loopers identification, Strip Template & cluster splitting, K2Tree template class



# CMS Upgrades

- Phase 1 Upgrade Technical proposal through 2020: published June 2011, LHCC-P-004
- TDR (Technical Design Report) for Pixel, HCAL, will come by end summer 2012, Trigger 6 months later
- Upgrades goals: cope with lumi increase, solve issues spotted during operation, maximize efficiency, Physics output and discovery potential
- Phase 2 Technical proposal foreseen in 2014: detector design depends (also) on physics results
  - Simulation and R&D in many areas already ongoing since years
- Make best use of 2011-12 physics results and LS1 experience to define new detectors for Phase 2



**PLEASE NOTE:** more specialistic and detailed presentations on CMS Upgrades at this conference:

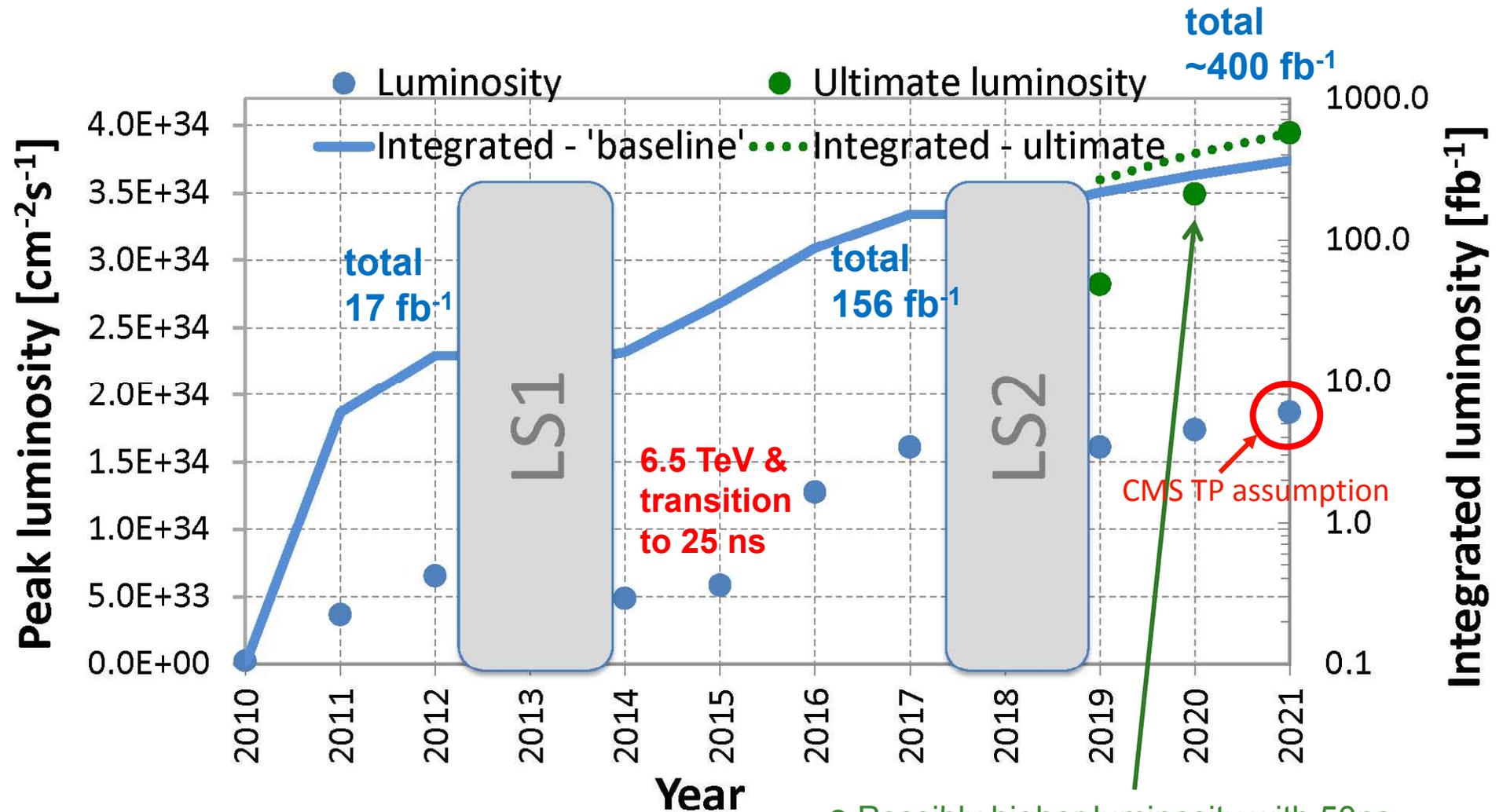
-M. Jeitler Upgrade of the CMS Level-1 Trigger

-A. Sharma Upgrade plans for CMS Calorimeters and Muon system

-G. Bolla The upgrades of the CMS tracker: status and plans



# A 10-Year Luminosity Scenario



LS1(2) = First (Second) Long Shutdown

● Possibly higher luminosity with 50ns bunch spacing after injection upgrade, but then pileup up to 200!



# CMS Upgrade Plan in a Nutshell

Shutdown	System/Det	Action	Result	Physics
LS1 2013-2014	Muon (ME42,ME11)	RPC and CSC (Complex YB4 installation) New electronics	Improved $\mu$ trigger and reconstruction ( $1.1 <  \eta  < 1.8$ , $2.1 <  \eta  < 2.4$ )	W acceptance WH, $H^\pm \rightarrow \tau\nu$
LS1 2013-2014	Hadron Outer	Replace HPDs with SiPMs to reduce noise	Single $\mu$ trigger Tails of very high $p_T$ jets	Muons from $\tau$ Z/H $\rightarrow \tau\tau \rightarrow \mu X$
LS1 2013-2014	Hadron Forward	Install new PMT to reduce window hits	Forward jet tagging Improved MET	Vector-boson fusion H
LS1 2013-2014	Beam Pipe	Install new beam pipe 45mm outer diameter	Easier pixel detector installation	b-tagging
LS2 2018	New Pixel system (LS 1.5?)	Low mass, 4 Layers, 3 Disks with new ROC	Reduced dead time Improved b-tag.	H $\rightarrow bb$ , SUSY decay chains
LS2 2018	HCAL Barrel and Endcap  $\mu$ TCA trigger	Replace HPDs with SiPMs for longitudinal segmentation New electronics	Reduced pileup effects Improved MET Improved $\tau$ , e, $\gamma$ clustering and isolation	SUSY H $\rightarrow \tau\tau$ H $\rightarrow ZZ \rightarrow ll\tau\tau$
LS3 2022 onwards	TRACKER Trigger Endcap Calorim.	Replace tracker Replace trigger Upgr. EC Calorimetry	Maintain performance at HL-LHC Luminosity L1 Tracking trigger	Uncharted waters? Ph. 1 discoveries?

\* LS1  $\rightarrow$  CMS ready for beam on Sep 1st 2014

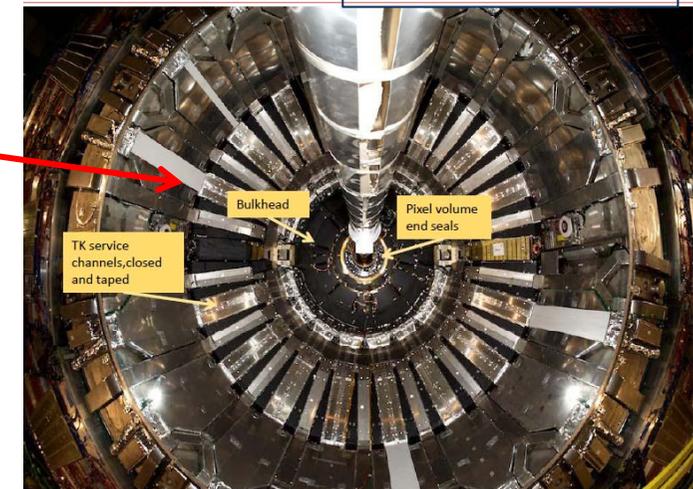
Adapted from G. Tonelli



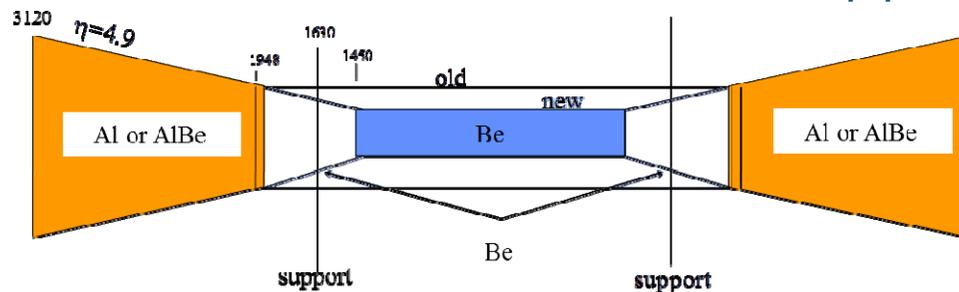
# LS1: Maintenance and New Goals

- LS1 (presently foreseen date **Nov 2012-Sept 2014**) is approaching fast!
- **Beyond standard maintenance tasks, by the end of LS1, CMS should have reached the following objectives:**
  - **Complete muon upgrade** tasks requiring shutdown (Endcap disk 4 construction, 4th muon endcap stations CSC and RPC, barrel electronics consolidation)
  - **Complete 1<sup>st</sup> stage of HCAL** photo-transducers consolidation
  - Install **45mm** outer diameter **beampipe**
  - Piping and **demonstrators** for 4-layer **pixel cooling**
  - Barrel-endcap seal revision for **colder Tracker operation**
  - Tracker cooling fluid capable to run at **-25°C**
  - **N2/dry-air** system upgrade for colder Tracker operation

Present Humidity  
Barriers outside TK



## New CMS beampipe





# Muon Upgrade Plan

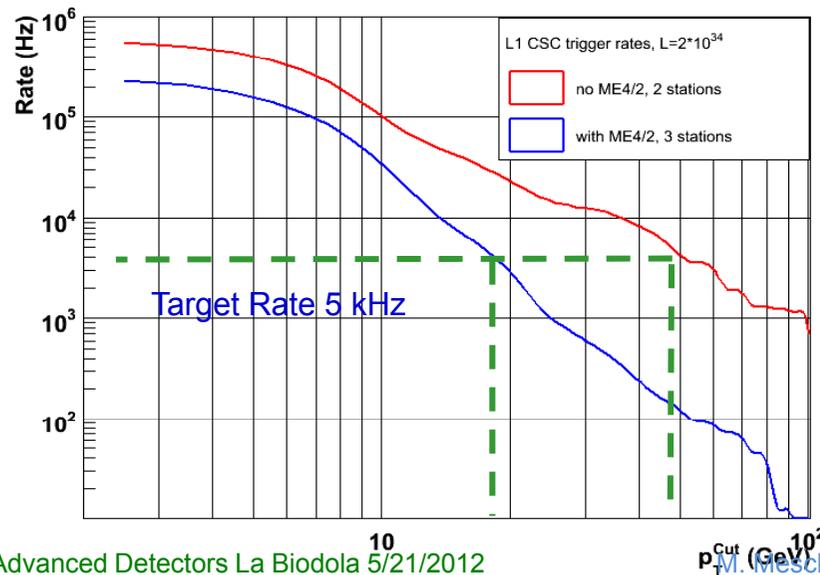
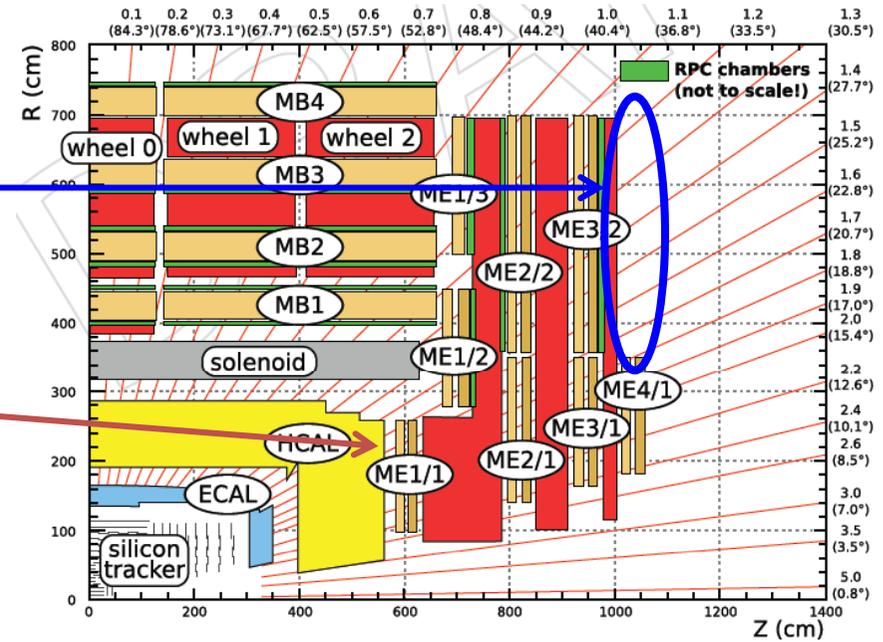
LS1 : Trigger performance, install more robust electronics

CSC end RPC ME4/2 ( $1.25 < |\eta| < 1.8$ )

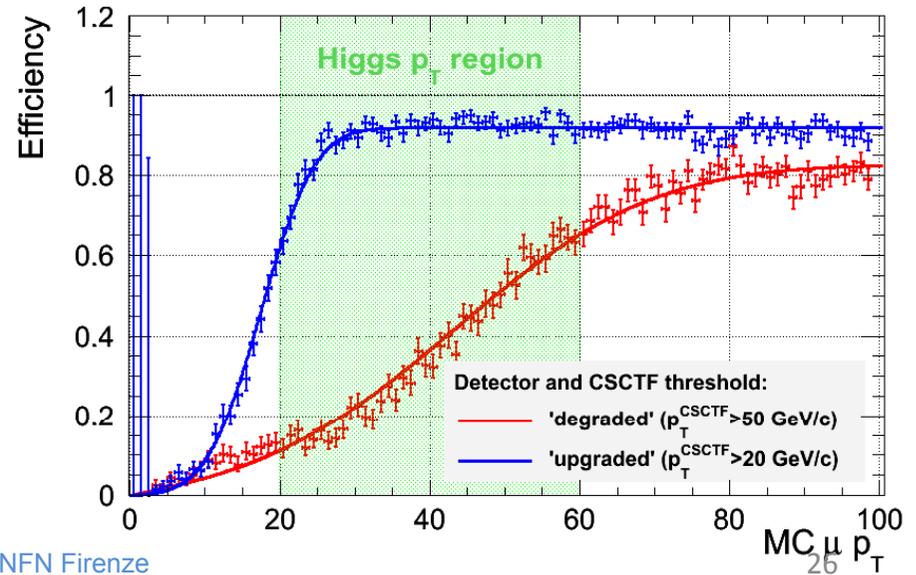
More hits : better Pt resolution, better efficiency, lower rates

CSC M1/1 ( $2.1 < |\eta| < 2.4$ ) new digital boards and trigger cards : higher strip granularity

More track segments, improved Pt assignment, new algorithms



L1Mu Efficiency for Degraded and Upgraded Detector at High Luminosity

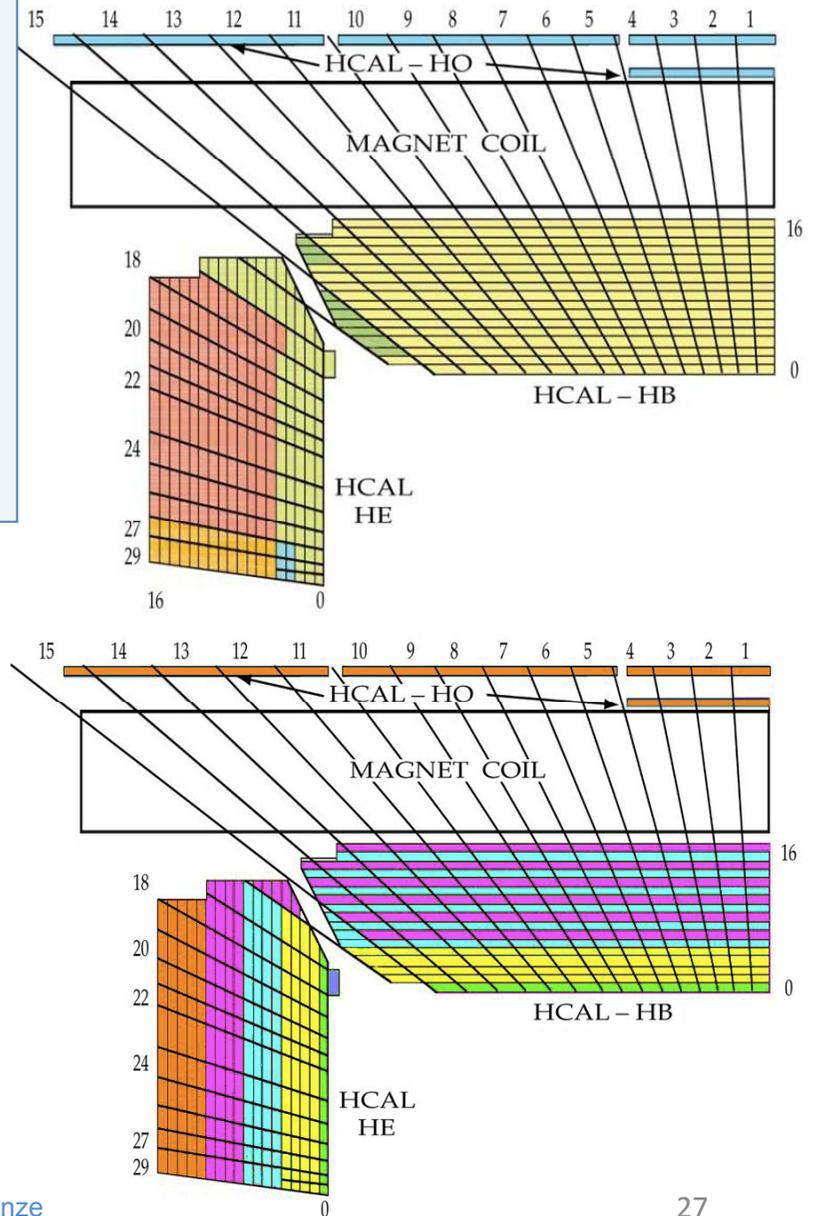
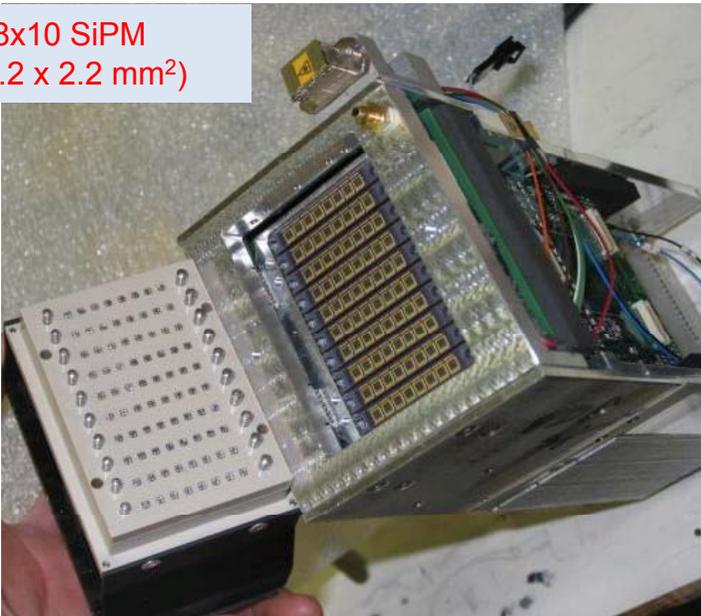




# HCAL Upgrade LS1 and Phase 1 Plans

- Replace HPDs with SiPMs in HO (LS1) then in HE/HB
- Increased granularity : depth segmentation (in HE/HB) (LS2)
- Higher gain (reduced noise effects, pulse shape usage)
- Avoid discharges, better isolation and particle ID, improved calibration
- New PMT (multi anode) for HF (LS1) 32 already in place
- Avoid background from PMT windows
- New 8 bit multi-scale ADC and 6 bit rising edge TDC
- Anomalous signal rejection
- New OL (GBT) and back-end electronics
- Increased bandwidth
- Trigger granularity improved

Regular 8x10 SiPM arrays (2.2 x 2.2 mm<sup>2</sup>)





# Pixels Phase 1 Upgrade Plan

New ROC chip

Avoid 15% data loss in the innermost barrel pixel layer at  $2 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$  and 25ns crossings

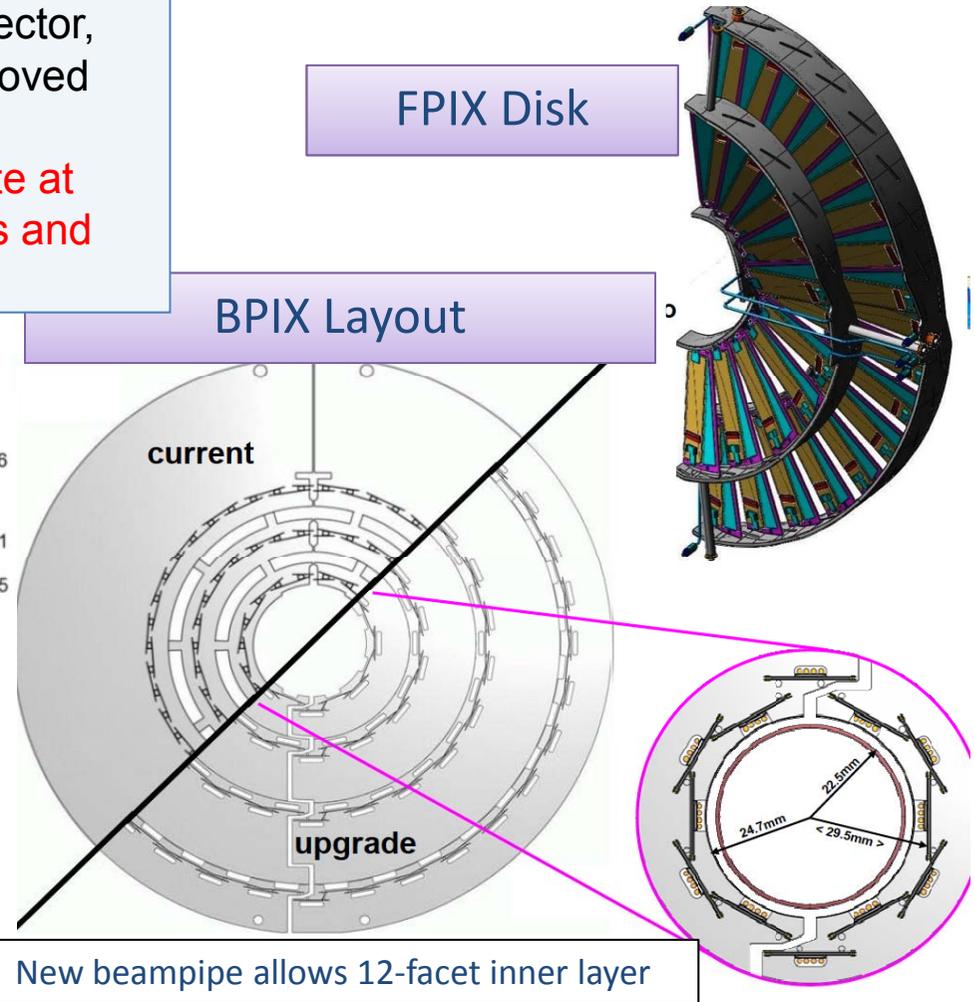
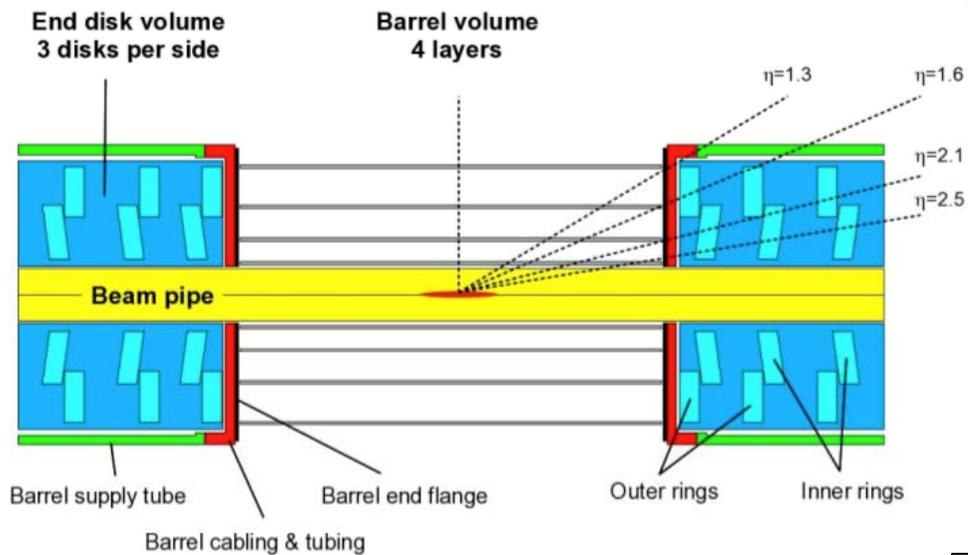
4 layers (smaller inner radius) in barrel, 3 disks in end caps  $\rightarrow$  1 layer and 1 disk more than present detector, new cabling, powering and cooling systems, improved material budget and less multiple scattering

Improved tracking efficiency and reduced fake rate at higher pile-up, improved IP and vertex resolutions and  $b$ \_tagging efficiency

Foreseen to be ready by late 2016, it can be installed in a 5 months stop

FPIX Disk

BPIX Layout



New beampipe allows 12-facet inner layer



# Strip Tracker Phase 2 Upgrade Plan

New Tracker with higher granularity and radiation hardness

**Maintain tracking performance at higher multiplicity**

Trigger capabilities at L1

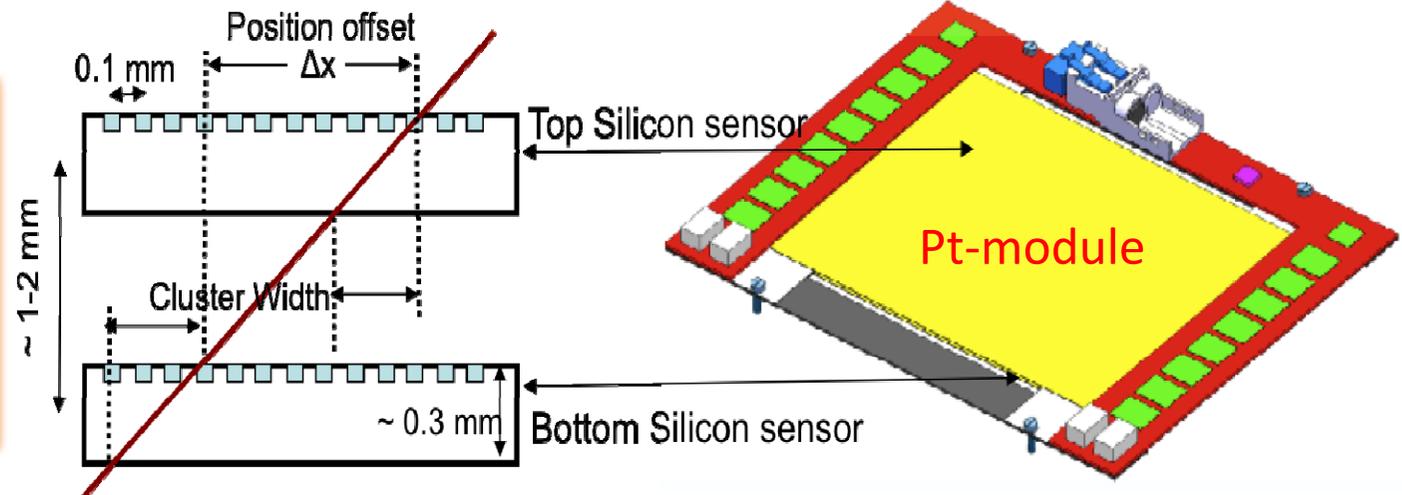
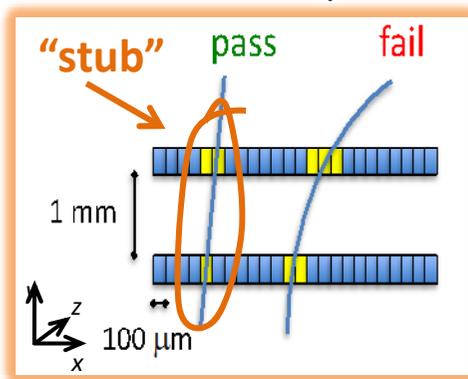
**Maintain trigger performance**

- Precise transverse momentum measurement of muons for sharp threshold  $\sim 10\text{-}20\text{ GeV}/c$
- Matching and isolation for electron and tau identification and veto for photons.
- Association at IP (vertices) to reduce rate of accidentals due to pile-up.

**Improved Material Budget**

New cooling (bi-phase CO<sub>2</sub>) and powering (DC/DC) schemes

## General Concept



Trigger capability concept based on

- Pt modules, 2 strip sensors or 1 strip and 1 macropixel sensor coupled to same FE chip to reduce data bandwidth
- Hardware track reconstruction at back-end for L1 global trigger (in 6.4  $\mu\text{s}$ )

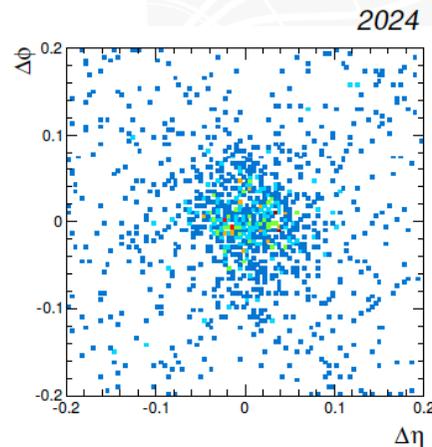
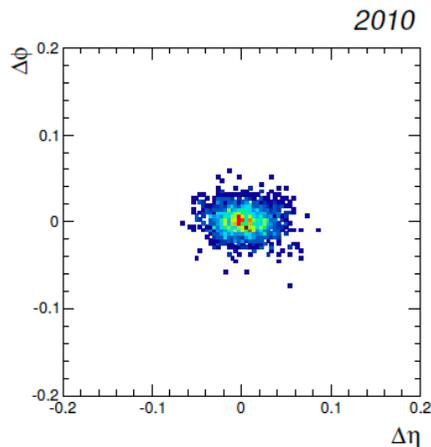


# Forward Calorimetry Phase 2 Upgrade Plan

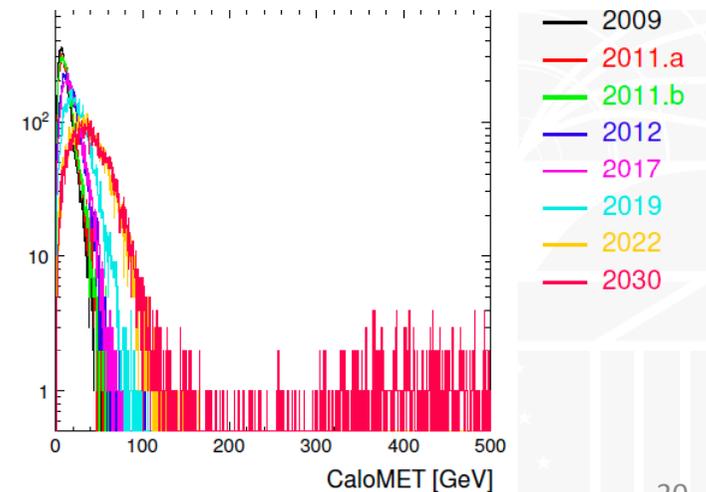
Investigate future calorimetry in the forward region for ECAL and HCAL ( $\sim$  up to  $\eta = 5$ )  
**Improve performance for VB fusion/scattering, MultiTeV SUSY, heavy Z'**  
Evaluate long term performance of current detectors (HF, EE, HE)  
Find radiation hard technologies for calorimetry materials and photo-detectors up to  $3000\text{fb}^{-1}$   
Propose a detector configuration based on performance simulation, optimize longitudinal and transverse segmentation, E/H value

Very preliminary

Position resolution for 80 GeV jets at  $\eta = 2.5$  Before and after irradiation



Met from  $Z \rightarrow ee$  events





## Upgrade Comments

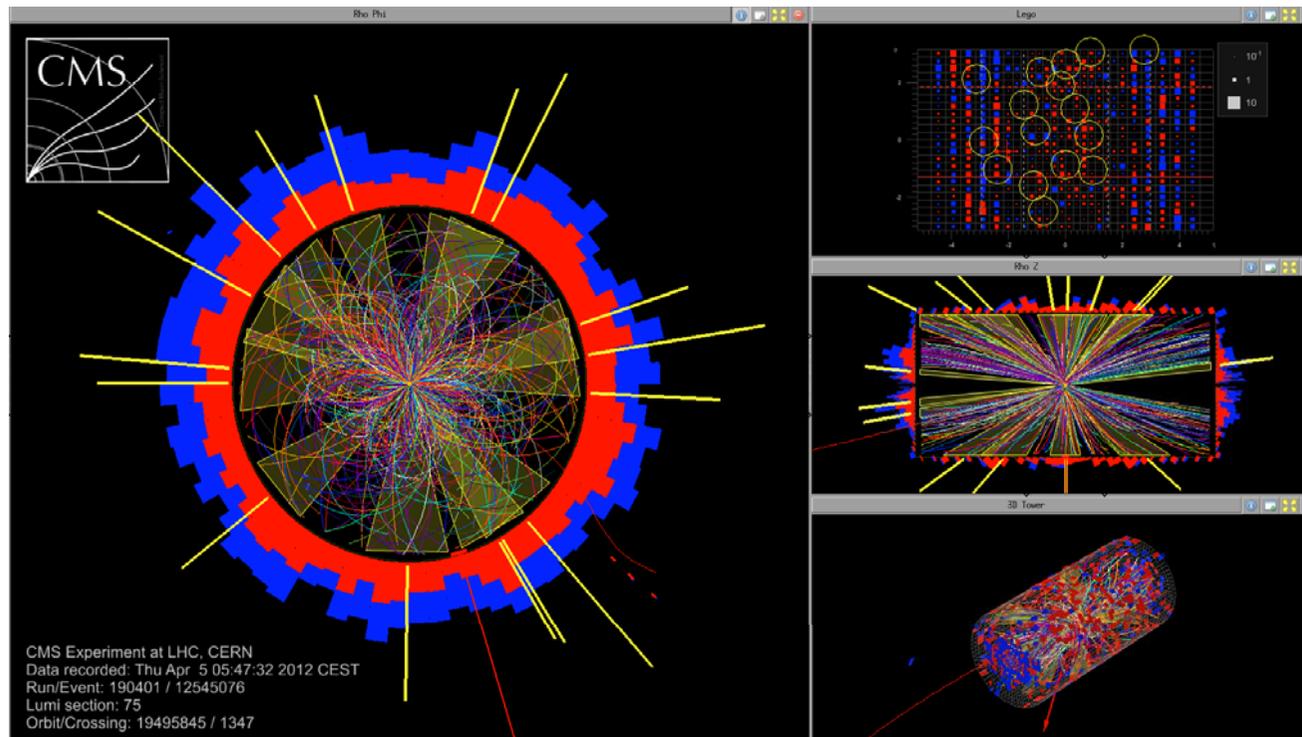
- The CMS upgrades are a multi-year project: they are already ongoing
- **LS1 projects are on track, but the LS1 schedule is extremely tight**
- Option for an intermediate LS1.5 (~5 months) of interest to both CMS and LHC remains, to be reviewed as circumstances develop
  - **LS1.5 new pixel detector installation**
  - **LS1.5 would allow HCAL endcap photodetectors to be replaced earlier (in parallel with pixel upgrade), barrel PD to LS2 (not compatible with pixel installation)**
- CMS is developing a strategy to adapt to possible changes in LHC projections and plans. More news after LS1 startup
- Phase 2 R&D needs continuing support in both manpower and funds to be successful



# Conclusions

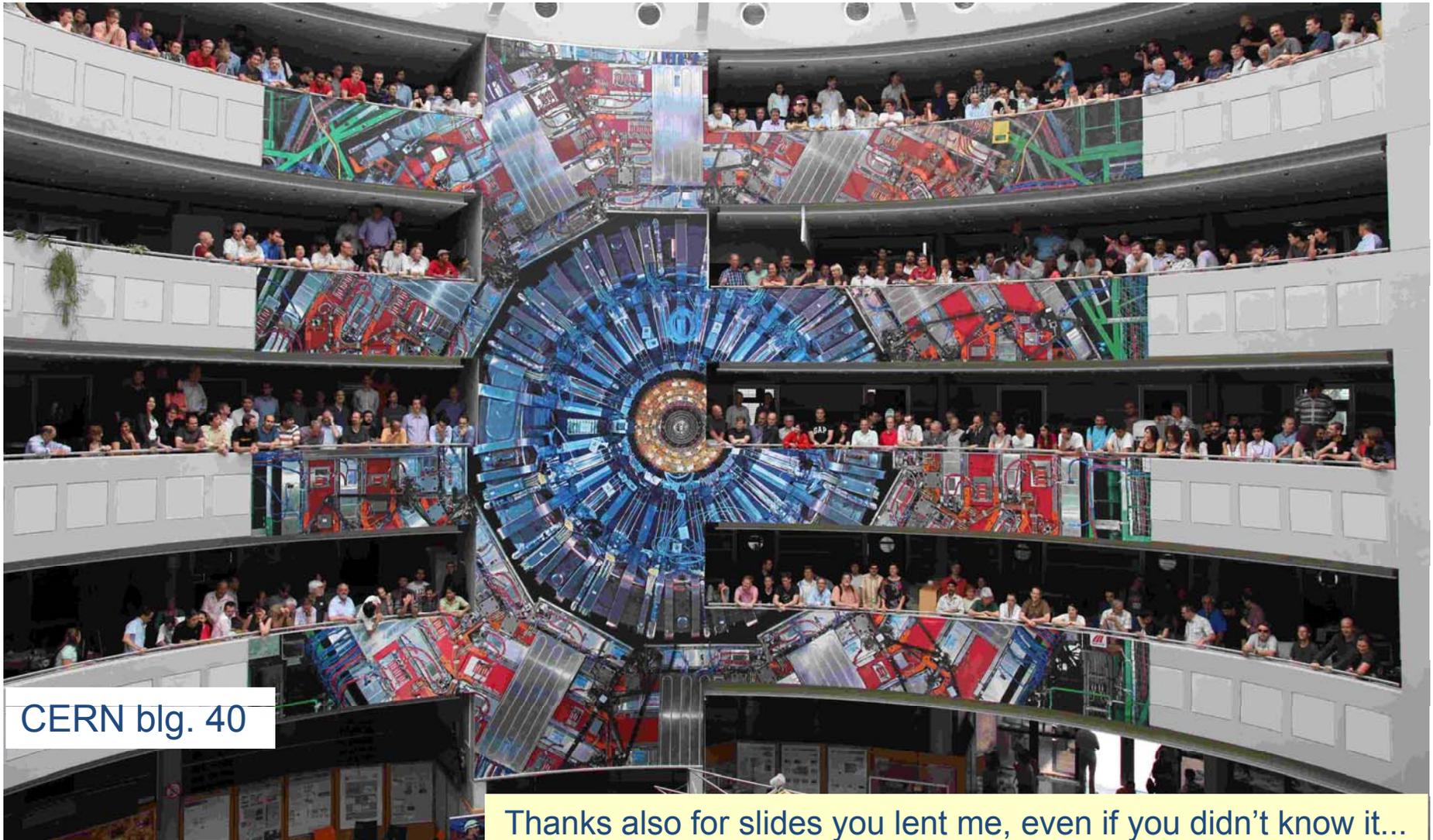
- The 2011 run has been exciting
- The 2012 is promising to be even better
- The Long Shutdown 1 is knocking at the door
- CMS is performing extremely well
- A lot of work to do on all fronts: run, maintenance, upgrade, physics...

No time to rest!





# Thanks You All Folks!



CERN blg. 40

Thanks also for slides you lent me, even if you didn't know it...

**Many thanks to all La Biodola Meeting Organizers!**