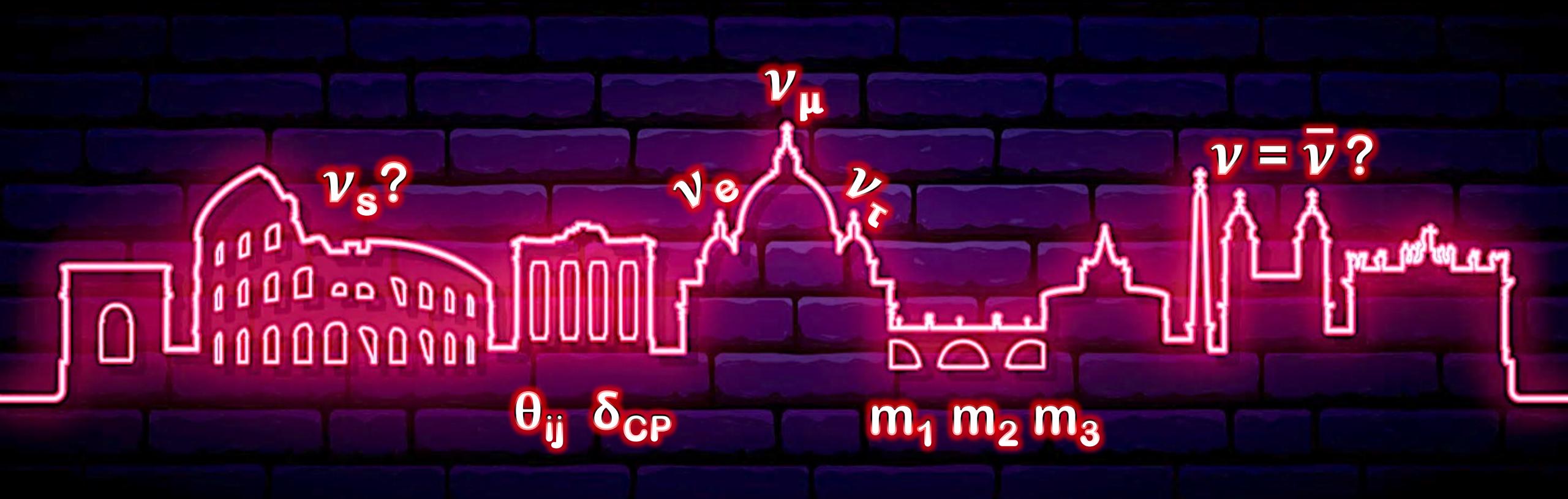


# NEUTRINOS: THE RISE OF A NEW PARADIGM



Elvio Lisi  
(INFN, Bari, Italy)

# PROLOGUE

Last-century questions about fundamental  $\nu$  properties (mass, spinorial d.o.f., families, charges):

How small is the neutrino mass?

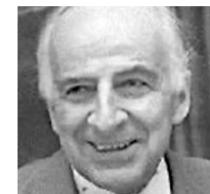
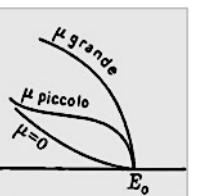
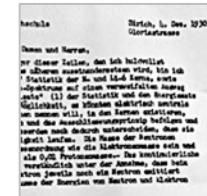
Pauli, Fermi, '30s

Is the neutrino its own antiparticle?

Majorana, '30s

Do  $\nu$  of different flavors mix among them?

Pontecorvo, Maki, Nakagawa, Sakata, '60s



P

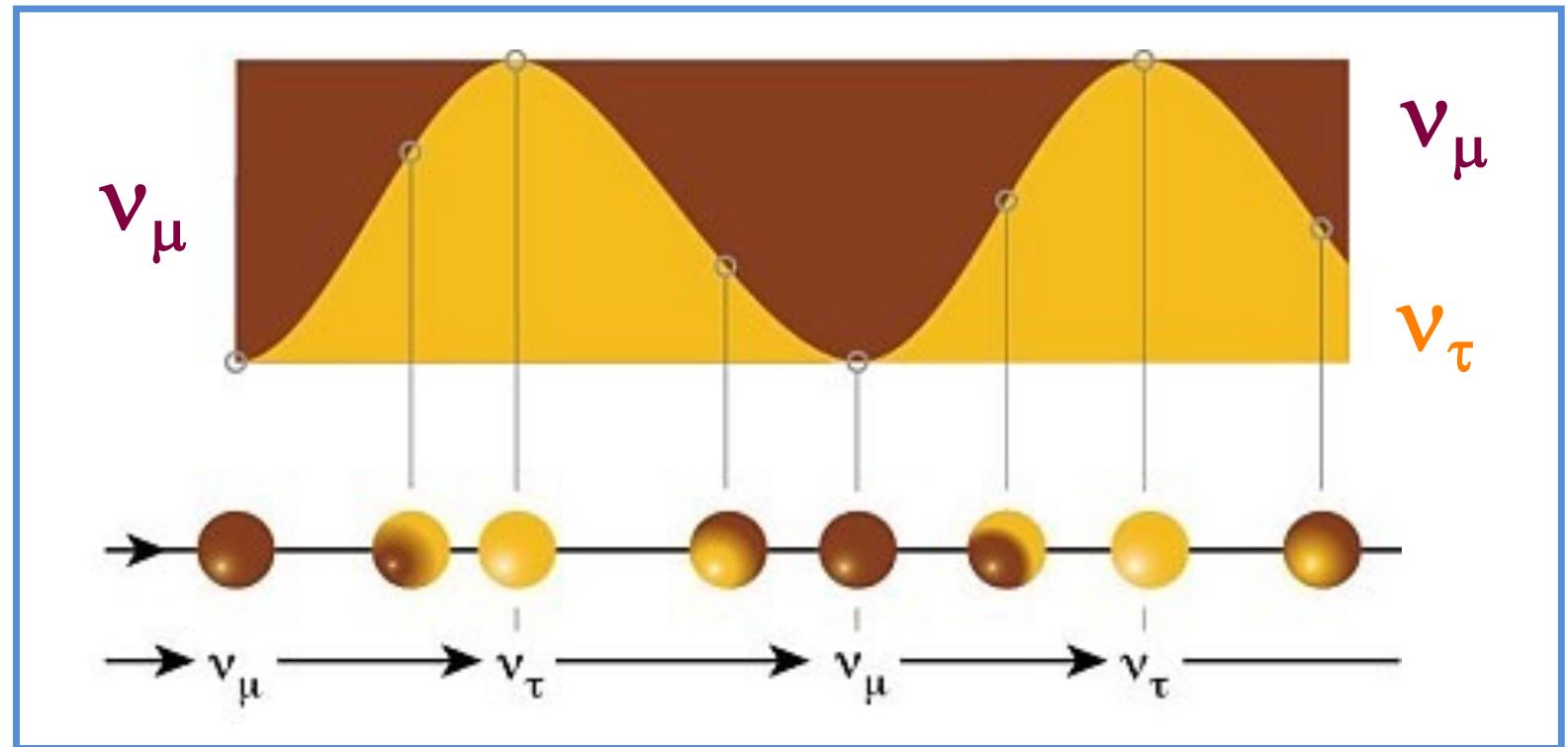
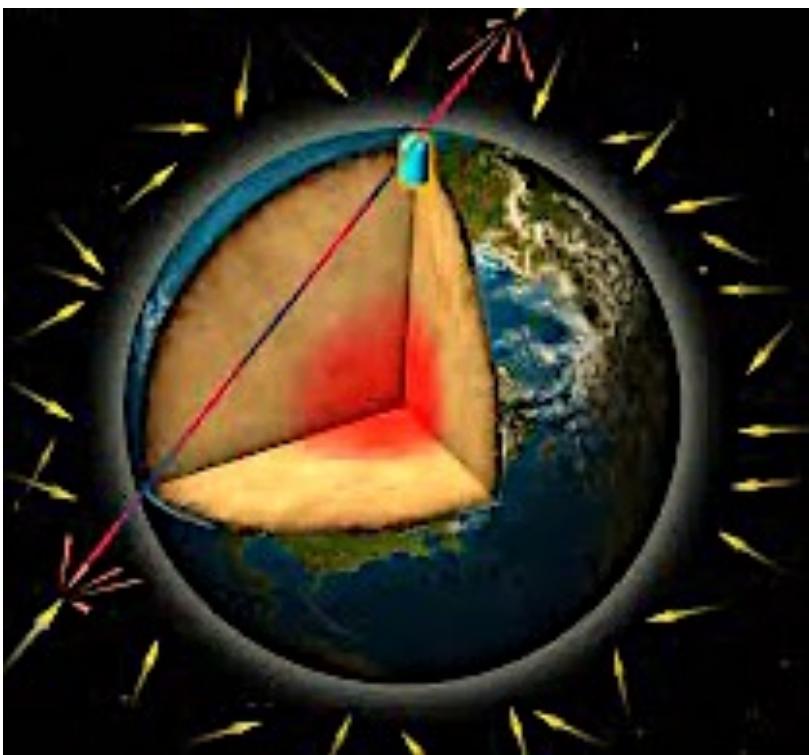
M

N

S

Short answers (assumptions) in the “minimal” Standard Model were: Zero, NO, NO (respectively)

1998 discovery of atmospheric  $\nu_\mu$ -flavor oscillations in Super-Kamiokande started a new paradigm!

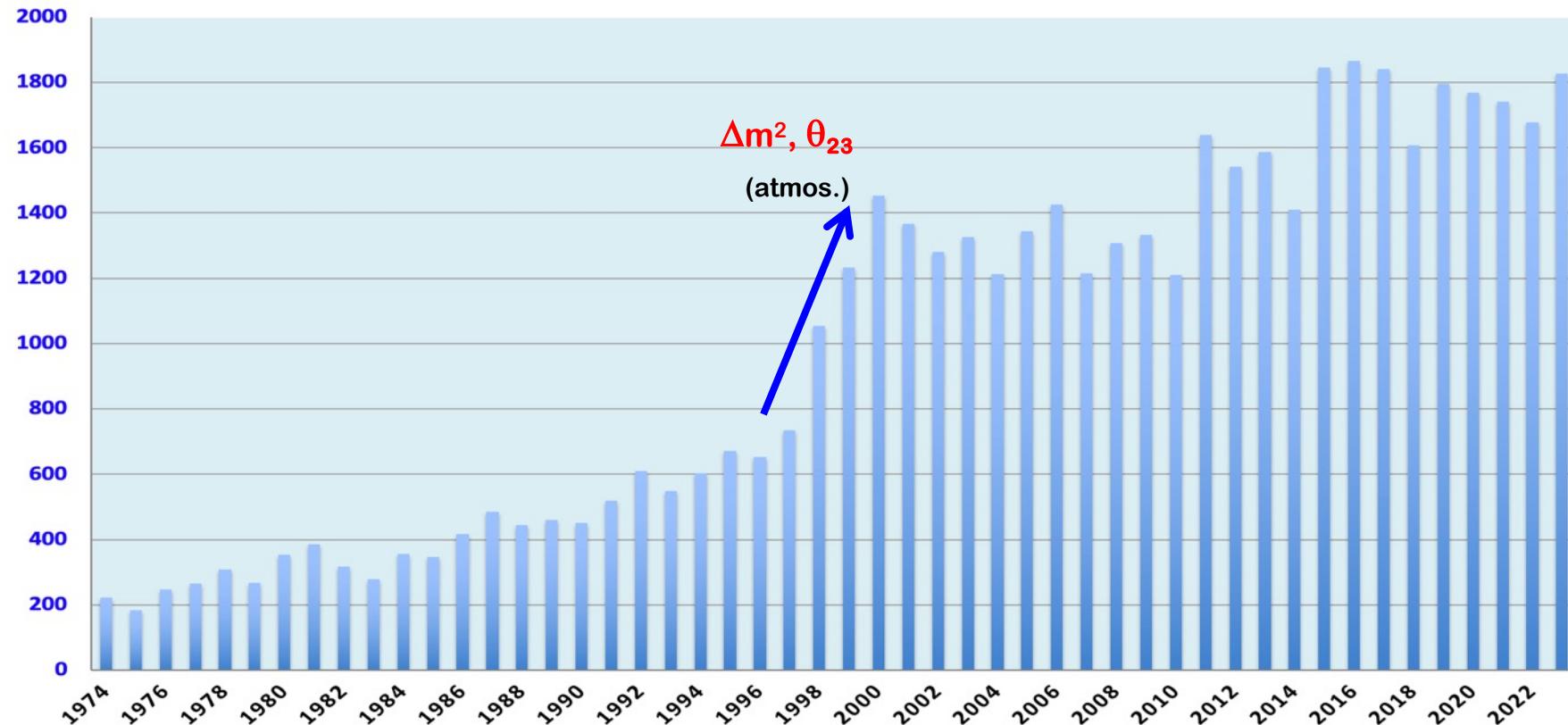


In  $2\nu$  approx.: Oscillation frequency set by mass<sup>2</sup> difference  $\Delta m^2$ ; amplitude set by mixing angle  $\theta$

[In a proper  $3\nu$  framework: further mass-mixing parameters]

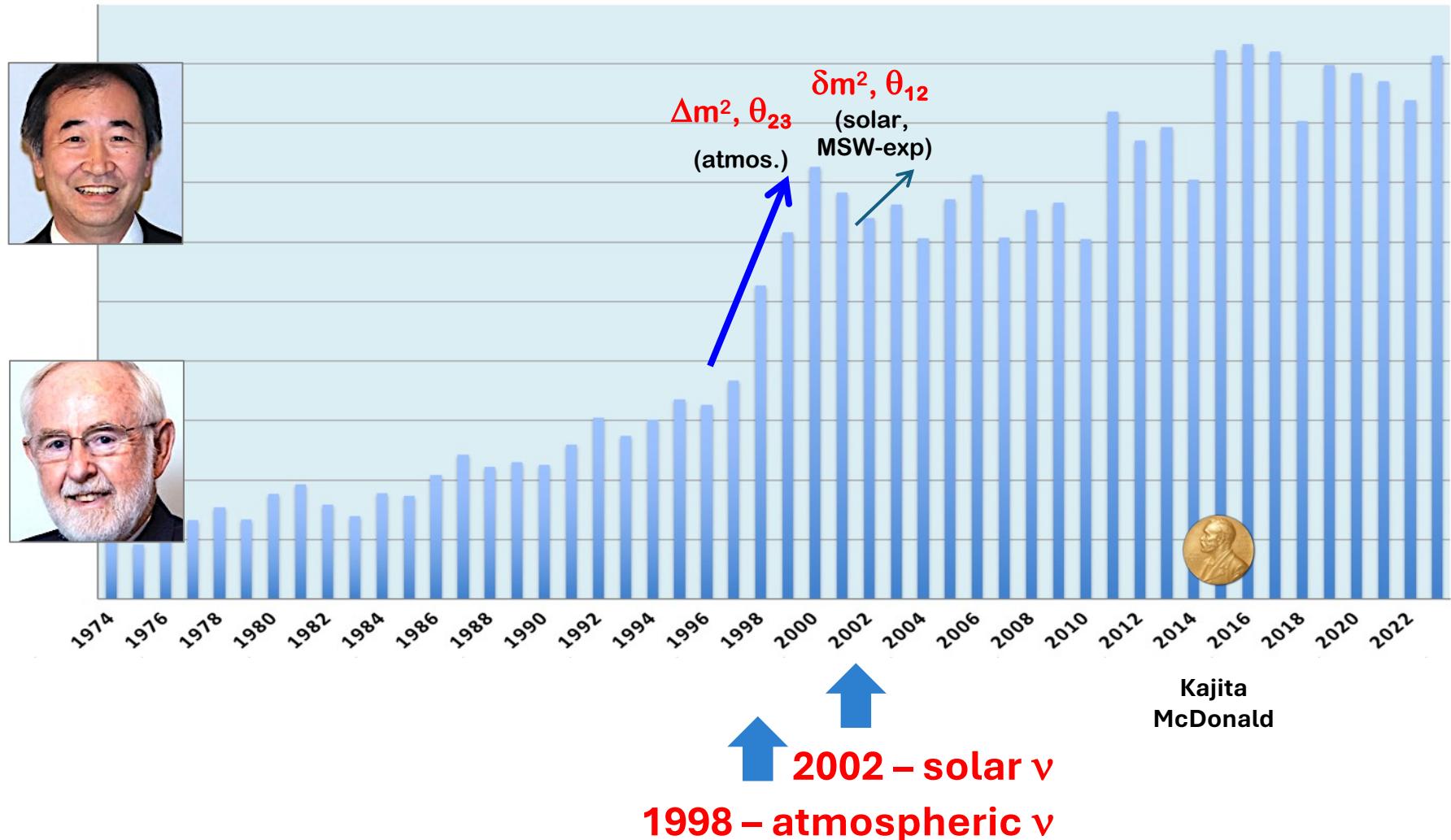
# Strong and long-lasting impact on the physics community (and beyond)

# papers with \*neutrino\* in the title, 50-yr trend from [INSPIRE](#) HEP

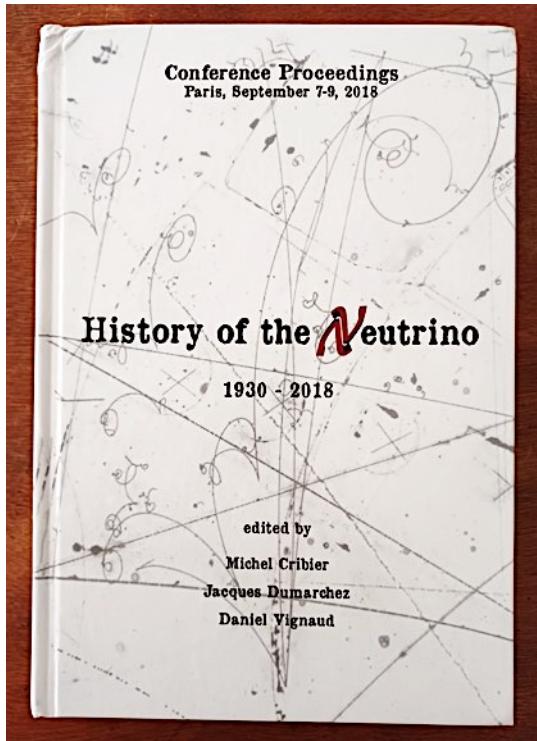


↑  
1998 – atmospheric ν

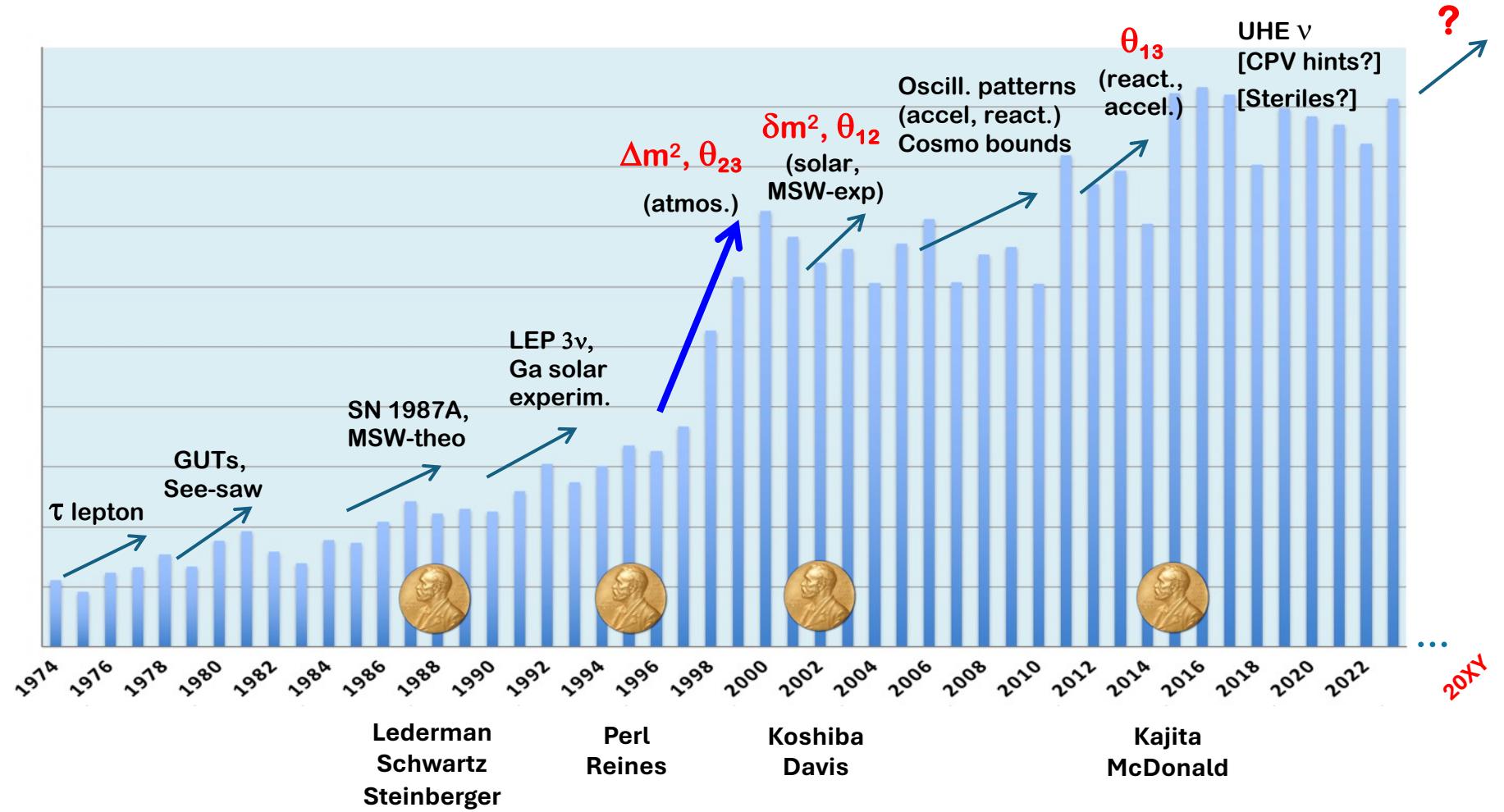
## ... followed by other crucial discoveries ...



... that are part of a long and exciting scientific path



[neutrino-history.in2p3.fr](http://neutrino-history.in2p3.fr)



# Where Are We ?



# Where Are We Going ?

# 3ν paradigm: oscillation parameters

Mixing matrix: CKM → PMNS (Pontecorvo-Maki-Nakagawa-Sakata)

$$U_{\alpha i} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & e^{i\alpha/2} & 0 \\ 0 & 0 & e^{i\beta/2} \end{bmatrix}$$

2-3 rotation

1-3 rotation  
+ CPV “Dirac” phase  
 $U(v) \rightarrow U^*(\bar{v})$

1-2 rotation

Extra CPV phases  
[if Majorana]  
not tested in oscillat.

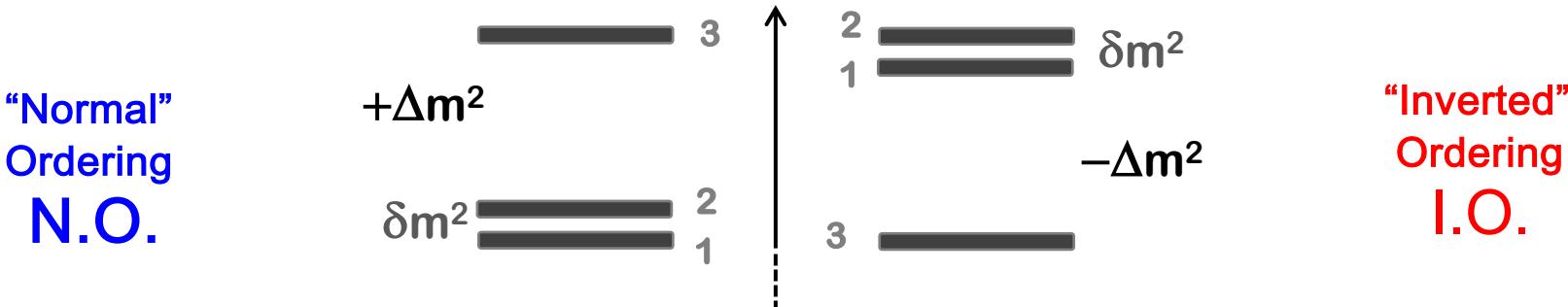
# 3ν paradigm: oscillation parameters

Mixing matrix: CKM → PMNS (Pontecorvo-Maki-Nakagawa-Sakata)

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2-3 rotation                    1-3 rotation  
 + CPV “Dirac” phase  
 $U(\nu) \rightarrow U^*(\bar{\nu})$                     1-2 rotation                    Extra CPV phases  
 [if Majorana]  
 not tested in oscillat.

Mass [squared] spectrum      ( $E \sim p + m^2/2E + \text{“MSW interaction energy”}$ )



$$\delta m^2 = \Delta m_{21}^2, \quad \Delta m^2 = (\Delta m_{32}^2 + \Delta m_{31}^2)/2$$

+ interaction energy in matter →  $\sim G_F \cdot E \cdot \text{density}$   
 (Mykheev-Smirnov-Wolfenstein – MSW effect)

## Sketchy 3v status

**5 knowns (robust):**

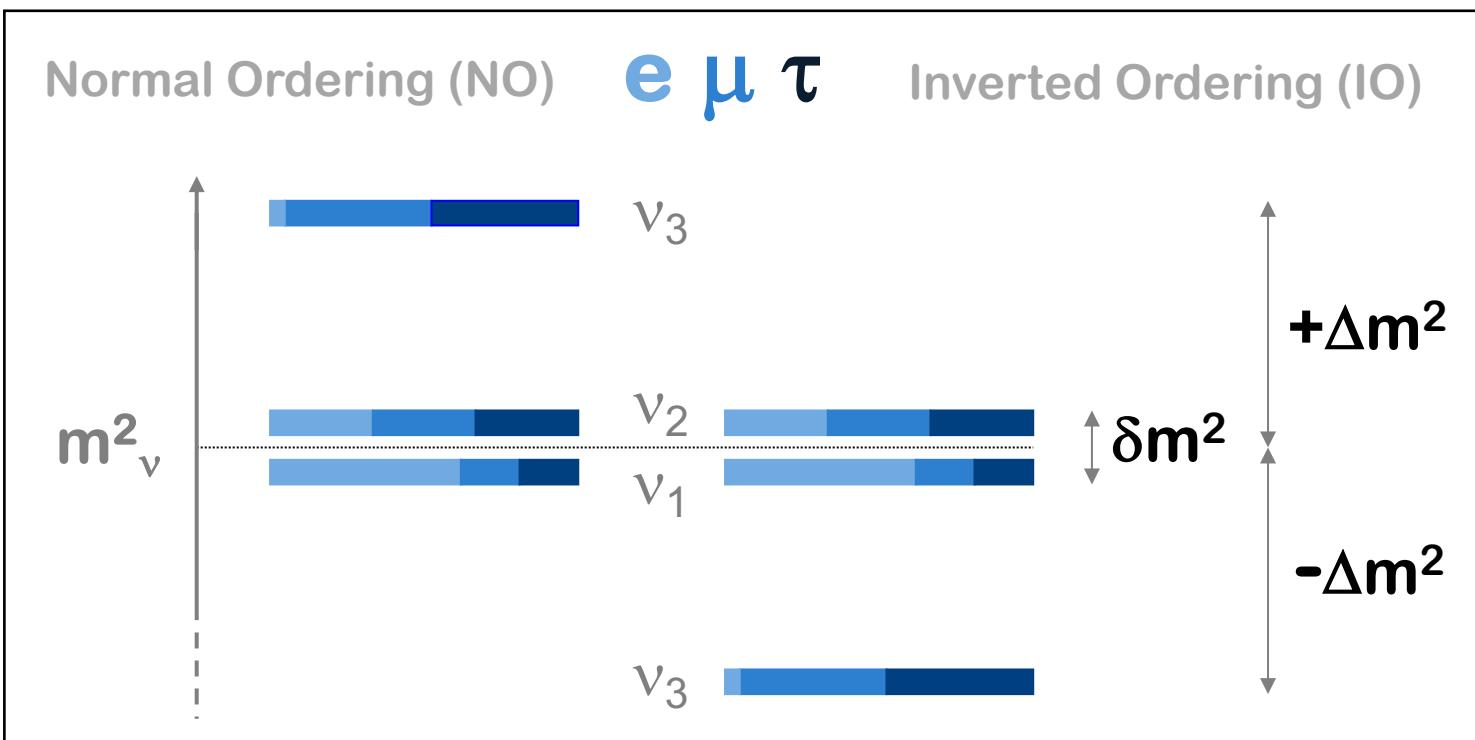
$$\begin{aligned}\delta m^2 &\sim 8 \times 10^{-5} \text{ eV}^2 \\ |\Delta m^2| &\sim 2 \times 10^{-3} \text{ eV}^2 \\ \sin^2 \theta_{12} &\sim 0.3 \\ \sin^2 \theta_{23} &\sim 0.5 \\ \sin^2 \theta_{13} &\sim 0.02\end{aligned}$$

*Oscillations*

*Non-oscillat.*

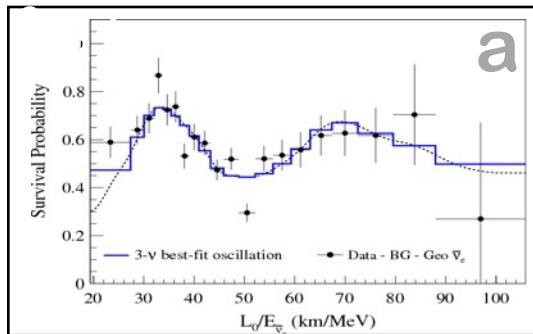
**5 unknowns:**

- $\delta$  CPV Dirac phase
- $\text{sign}(\Delta m^2) \rightarrow \text{NO/IO}$
- $\theta_{23}$  octant degeneracy
- absolute mass scale
- Dirac/Majorana nature

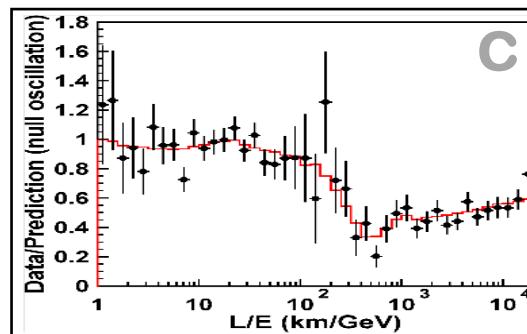


# 3ν oscillations probed by many experiments in different flavor channels...

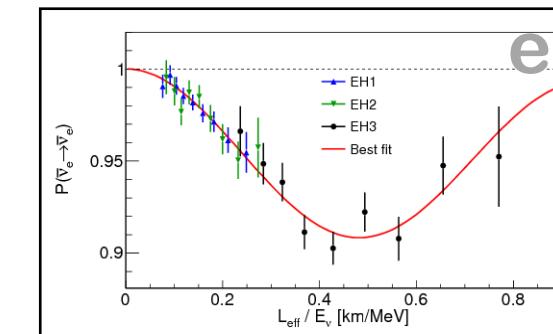
$e \rightarrow e$  (KamLAND, KL)



$\mu \rightarrow \mu$  (Atmospheric)

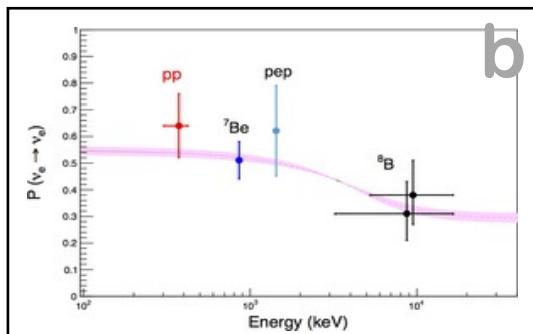


$e \rightarrow e$  (SBL Reac.)



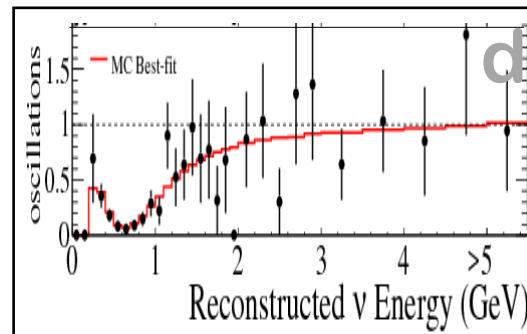
$e \rightarrow e$

(Solar)



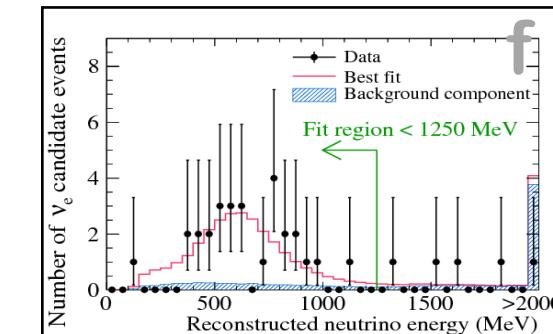
$\mu \rightarrow \mu$

(LBL Accel.)

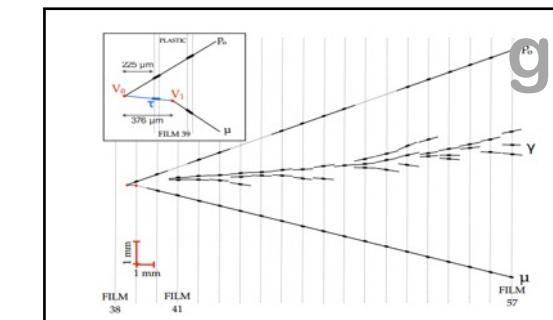


$\mu \rightarrow e$

(LBL Accel.)



$\mu \rightarrow \tau$  (OPERA, SK, DC)

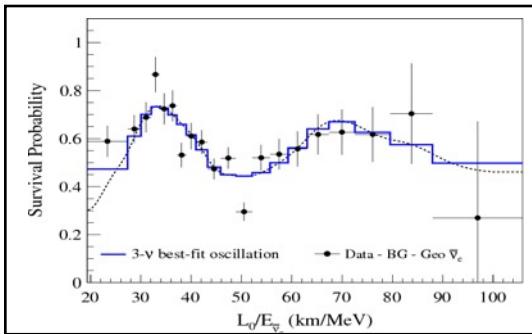


LBL = Long baseline (few × 100 km); SBL = short baseline (~1 km)

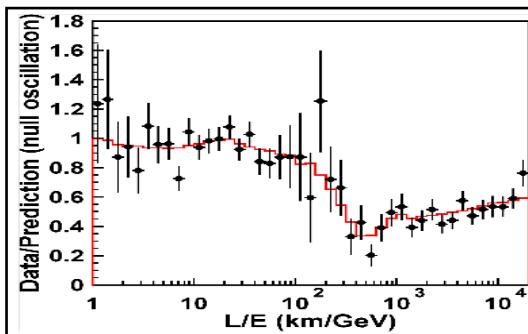
- (a) KamLAND reactor [plot]; (b) Borexino [plot], Homestake, Super-K, SAGE, GALLEX/GNO, SNO; (c) Super-K atmosph. [plot], DeepCore, MACRO, MINOS etc.; (d) T2K (plot), NOvA, MINOS, K2K LBL accel.; (e) Daya Bay [plot], RENO, Double Chooz SBL reactor; (f) T2K [plot], MINOS, NOvA LBL accel.; (g) OPERA [plot] LBL accel., Super-K and IC-CD atmospheric.

... with amplitude and frequency governed by 2 (or 3) leading parameters

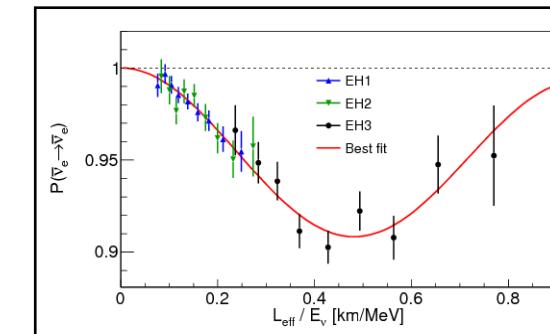
$e \rightarrow e$  ( $\delta m^2, \theta_{12}$ )



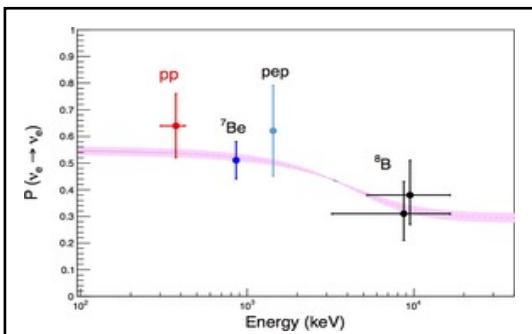
$\mu \rightarrow \mu$  ( $\Delta m^2, \theta_{23}$ )



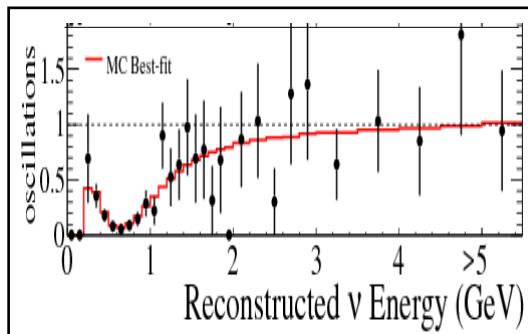
$e \rightarrow e$  ( $\Delta m^2, \theta_{13}$ )



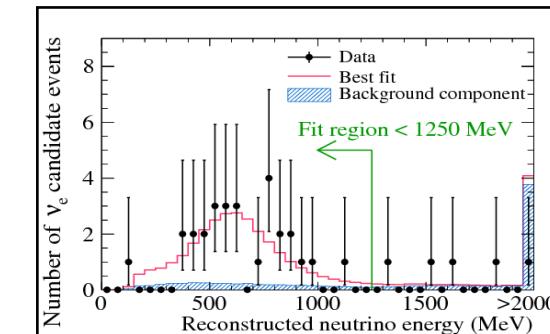
$e \rightarrow e$  ( $\delta m^2, \theta_{12}$ )



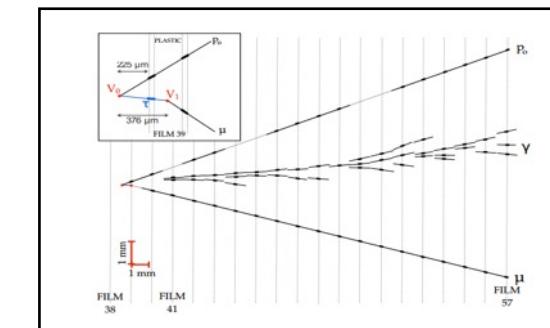
$\mu \rightarrow \mu$  ( $\Delta m^2, \theta_{23}$ )



$\mu \rightarrow e$  ( $\Delta m^2, \theta_{13}, \theta_{23}$ )



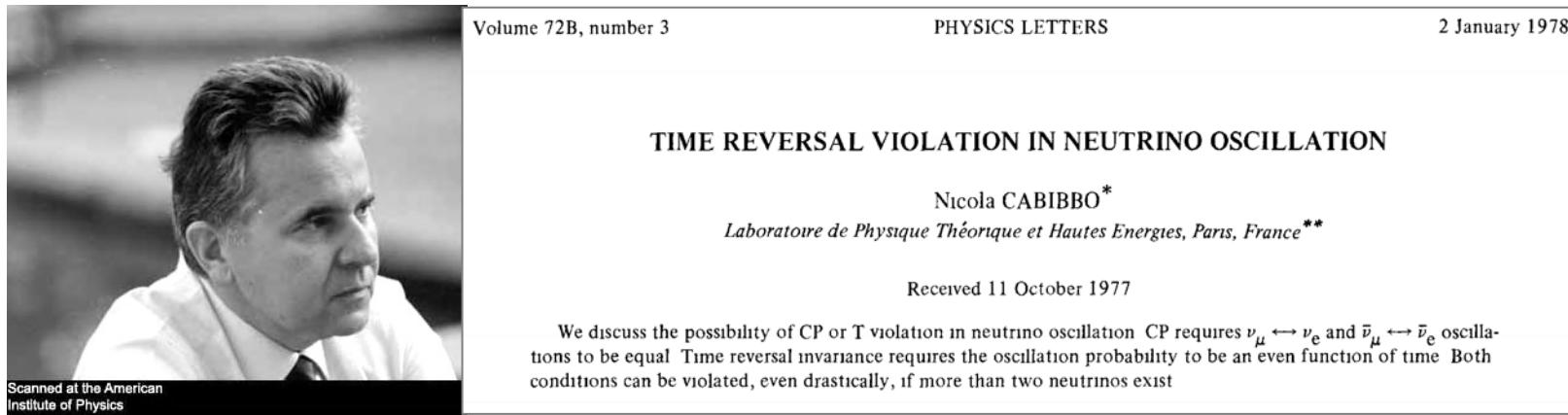
$\mu \rightarrow \tau$  ( $\Delta m^2, \theta_{23}$ )



5 param.'s known & (over)constrained  $\rightarrow$  consistency

Currently: focus on unknown par. & subleading effects,  
especially CPV via  $\nu_\mu \rightarrow \nu_e$  in LBL accel. and atmos. expts  
and NO/IO mass spectrum via reactor + accel + atmos.

# How do $\nu_\mu \rightarrow \nu_e$ appearance searches probe CPV?

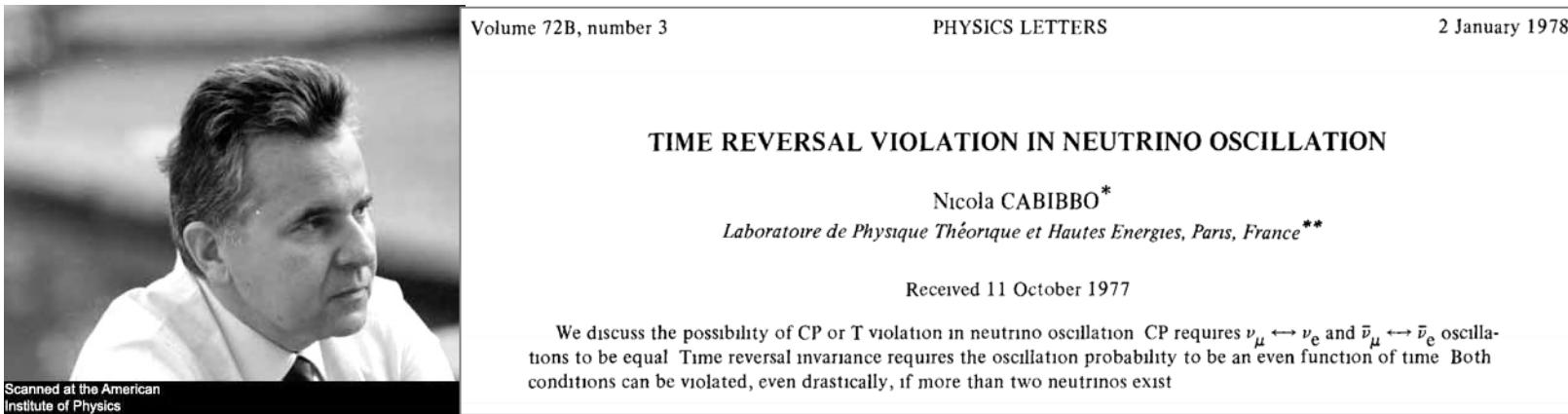


For two neutrinos, no CPV:

$$\stackrel{(-)}{\nu_e} =$$

$$\cos\theta_{12} \nu_1 + \sin\theta_{12} \nu_2$$

# How do $\nu_\mu \rightarrow \nu_e$ appearance searches probe CPV?



For two neutrinos, no CPV:

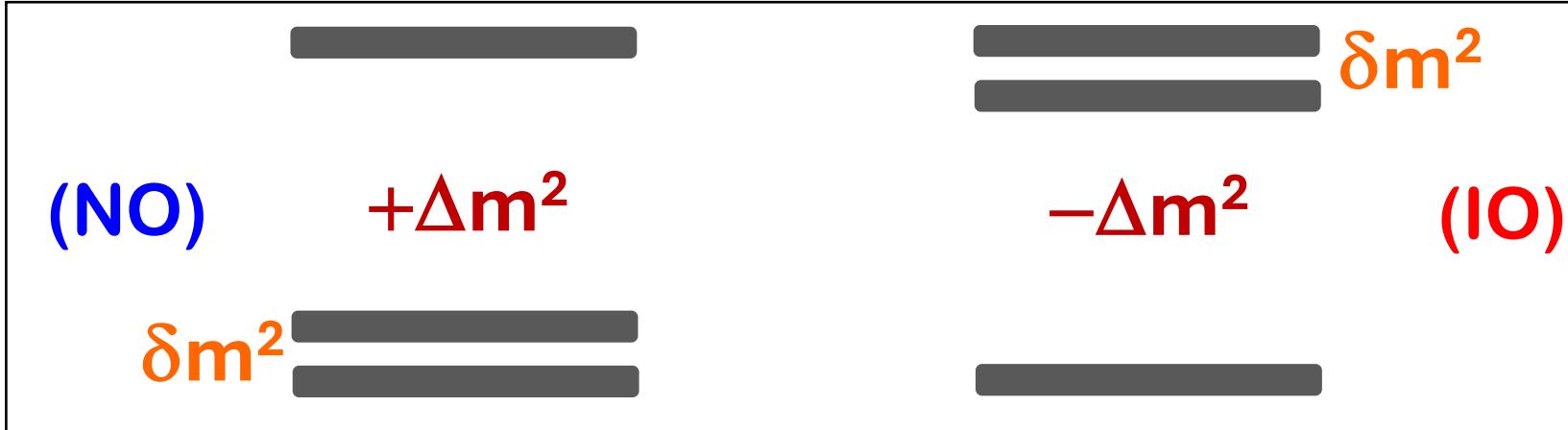
$$\stackrel{(-)}{\nu_e} = \cos\theta_{12} \nu_1 + \sin\theta_{12} \nu_2$$

For three neutrinos: new possible CPV phase  $\delta$ , tested via  $\nu / \bar{\nu}$

$$\stackrel{(-)}{\nu_e} = \cos\theta_{13} (\cos\theta_{12} \nu_1 + \sin\theta_{12} \nu_2) + e^{\pm i\delta} \sin\theta_{13} \nu_3$$

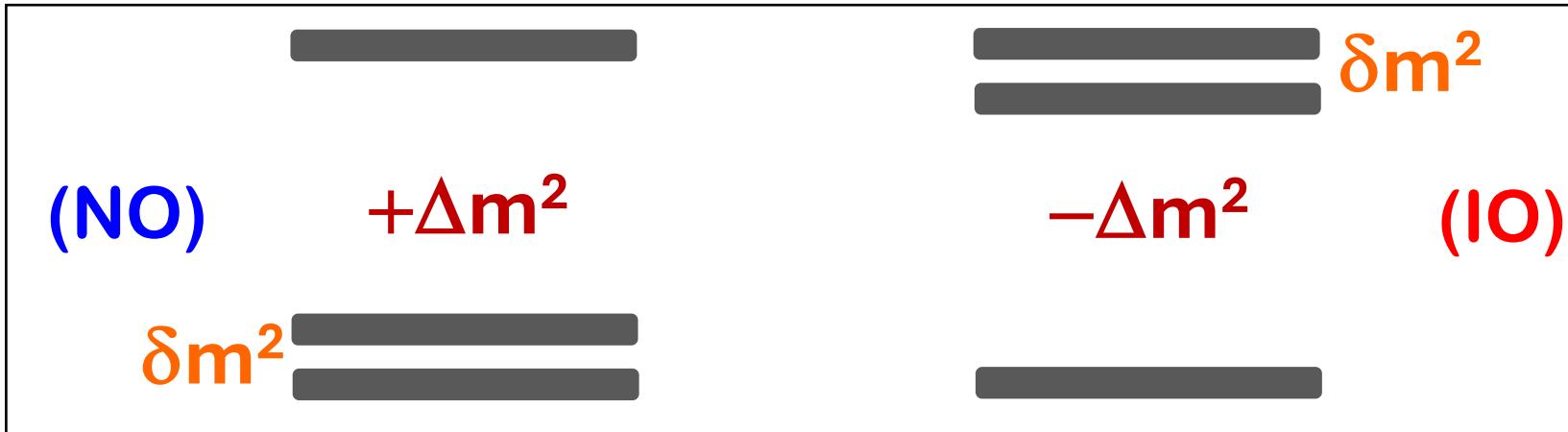
CPV is a genuine  $3\nu$  effect → all parameters (known+unknown) are involved/entangled  
 $\theta_{13}$  discovery at SBL reactors crucial! CPV currently tested in T2K, NOvA, atm. oscillations

## How do oscillation searches probe mass ordering?



Observe **interference effects** of oscill. driven by  $\pm\Delta m^2$  with oscill. driven by another quantity **Q** with known sign.

# How do oscillation searches probe mass ordering?



Observe **interference effects** of oscill. driven by  $\pm \Delta m^2$  with oscill. driven by another quantity  $Q$  with known sign. Options:

$$Q \sim \delta m^2$$

(medium-baseline reactors  $\rightarrow$  JUNO)

$$Q \sim G_F N_e E$$

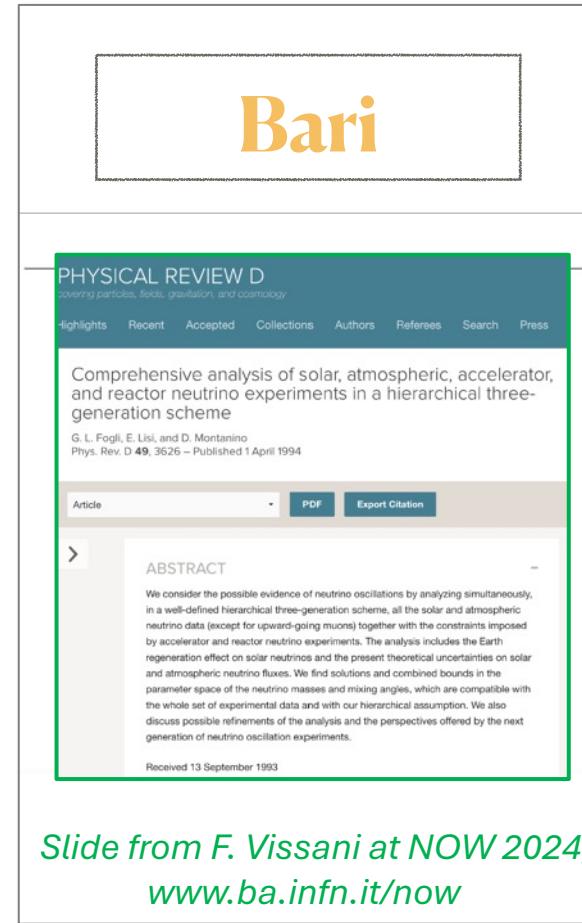
( $\nu$ -matter effects  $\rightarrow$  atm & LBL accel. expt.)

$$Q \sim G_F N_\nu E$$

( $\nu-\nu$  collective effects  $\rightarrow$  SN, rare & difficult!)

Additional handle: **complementarity** of different  $\Delta m^2$  data in NO/IO  
(should converge better in the true ordering than in the wrong one)

# Status of known and unknown 3ν oscillation parameters [Global analyses]



1994 global ν data analysis

# Status of known and unknown 3ν oscillation parameters [Global analyses]

## Valencia



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ELECTRONIC: June 29, 2020  
REVISED: November 27, 2020  
ACCEPTED: December 29, 2020  
PUBLISHED: February 5, 2021

### 2020 global reassessment of the neutrino oscillation picture

P.F. de Salas,<sup>a</sup> D.V. Forero,<sup>b</sup> S. Gariazzo,<sup>c,d</sup> P. Martínez-Miravila,<sup>e,f,g</sup> O. Mena,<sup>c</sup> C.A. Ternes,<sup>c,d</sup> M. Tortola,<sup>c</sup> and J.W.F. Valle<sup>c</sup>  
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<sup>b</sup>Universidad de Medellín, Carrera 87 N° 30-65, Medellín, Colombia  
<sup>c</sup>Instituto de Física Corpuscular, CSIC-Universitat de València, 46980 Paterna, Spain  
<sup>d</sup>INFN, Sezione di Torino, Via P. Giuria 1, I-10132 Torino, Italy  
<sup>e</sup>Dipartimento di Fisica Teorica, Universitat de València, 46100 Burjassot, Spain  
<sup>f</sup>E-mail: fernandez@fisika.su.se, davegas@udem.edu.co, gariazzo@infn.it, paramarit@ific.uv.es, omena@ific.uv.es, chetrite@ific.uv.es, marian@ific.uv.es, valle@ific.uv.es

**ABSTRACT:** We present an updated global fit of neutrino oscillation data in the simplest three-neutrino framework. In the present study we include up-to-date analyses from a number of experiments. Concerning the atmospheric and solar sectors, besides the data considered previously, we give updated analyses for the KamLAND and Sudbury Neutrino Observatory data. We have also included the latest electron neutrino mass data collected by the Daya Bay and RENO reactor experiments, and the long-baseline T2K and NOνA measurements, as reported in the Neutrino 2020 conference. All in all, these new analyses result in more accurate measurements of  $\theta_{13}$ ,  $\theta_{12}$ ,  $\Delta m^2_{31}$ , and  $(\Delta m^2)_{23}$ . The best fit value for the atmospheric angle  $\theta_{23}$  is in the second octant, but first octant solutions remain allowed at  $\sim 2.4\sigma$ . Regarding CP violation measurements, the preferred value of  $\delta$  we obtain is  $1.08^\circ$  ( $1.58\sigma$ ) for normal (inverted) neutrino mass ordering. The global analysis still prefers normal neutrino mass ordering with  $2.5\sigma$  statistical significance. This preference is milder than the one found in previous global analyses. These new results should be regarded as robust due to the agreement found between our Bayesian and frequentist approaches. Taking into account only oscillation data, there is a weak/moderate

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Article funded by SCOAP<sup>3</sup>.

[https://doi.org/10.1007/JHEP02\(2021\)071](https://doi.org/10.1007/JHEP02(2021)071)

## NuFit



Review

### NuFIT: Three-Flavour Global Analyses of Neutrino Oscillation Experiments

Maria Concepcion Gonzalez-Garcia<sup>1,2,3,\*</sup>, Michele Maltoni<sup>4,\*</sup> and Thomas Schwetz<sup>5,\*</sup>

- <sup>1</sup> Institut Catalana de Recerca i Estudis Avançats (ICREA), Pg. Lluís Companys 23, E-08010 Barcelona, Spain  
<sup>2</sup> Departament d'Estructura i Constituents de la Matèria, Universitat de Barcelona, 647 Diagonal, E-08028 Barcelona, Spain  
<sup>3</sup> C.N. Yang Institute for Theoretical Physics, SUNY at Stony Brook, Stony Brook, NY 11794-3840, USA  
<sup>4</sup> Instituto de Física Teórica UAM/CSIC, Calle de Nicolás Cabrera 13-15, Universidad Autónoma de Madrid, Cantoblanco, E-28049 Madrid, Spain  
<sup>5</sup> Institut für Astroteilchenphysik, Karlsruher Institut für Technologie (KIT), D-76021 Karlsruhe, Germany

\* Correspondence: concha@insti.physics.sunysb.edu (M.C.G.-G.); michele.maltoni@csic.es (M.M.); schwetz@kit.edu (T.S.)

**Abstract:** In this contribution, we summarise the determination of neutrino masses and mixing arising from global analysis of data from atmospheric, solar, reactor, and accelerator neutrino experiments performed in the framework of three-neutrino mixing and obtained in the context of the NuFIT collaboration. Apart from presenting the latest status as of autumn 2021, we discuss the evolution of global-fit results over the last 10 years, and mention various pending issues (and their resolution) that occurred during that period in the global analyses.

## Bari



PHYSICAL REVIEW D 104, 083031 (2021)

### Unfinished fabric of the three neutrino paradigm

Francesco Capozzi,<sup>1</sup> Eleonora Di Valentino,<sup>2</sup> Elvio Lisi,<sup>3</sup> Antonio Marrone,<sup>4,5</sup> Alessandro Melchiorri,<sup>3,6</sup> and Antonio Palazzo<sup>1,3</sup>

<sup>1</sup>Center for Neutrino Physics, Department of Physics, Virginia Tech, Blacksburg, Virginia 24061, USA  
<sup>2</sup>Institute for Particle Physics Phenomenology, Department of Physics, Durham University, Durham DH1 3LE, United Kingdom

<sup>3</sup>Istituto Nazionale di Fisica Nucleare, Sezione di Bari, Via Orabona 4, 70126 Bari, Italy

<sup>4</sup>Dipartimento Interateneo di Fisica "Michele Angelo Merlin," Via Amendola 173, 70126 Bari, Italy

<sup>5</sup>Istituto Nazionale di Fisica Nucleare, Sezione di Roma 1, P.le Aldo Moro 2, 00185 Rome, Italy

<sup>6</sup>Istituto Nazionale di Fisica Nucleare, Sezione di Roma 1, P.le Aldo Moro 2, 00185 Rome, Italy

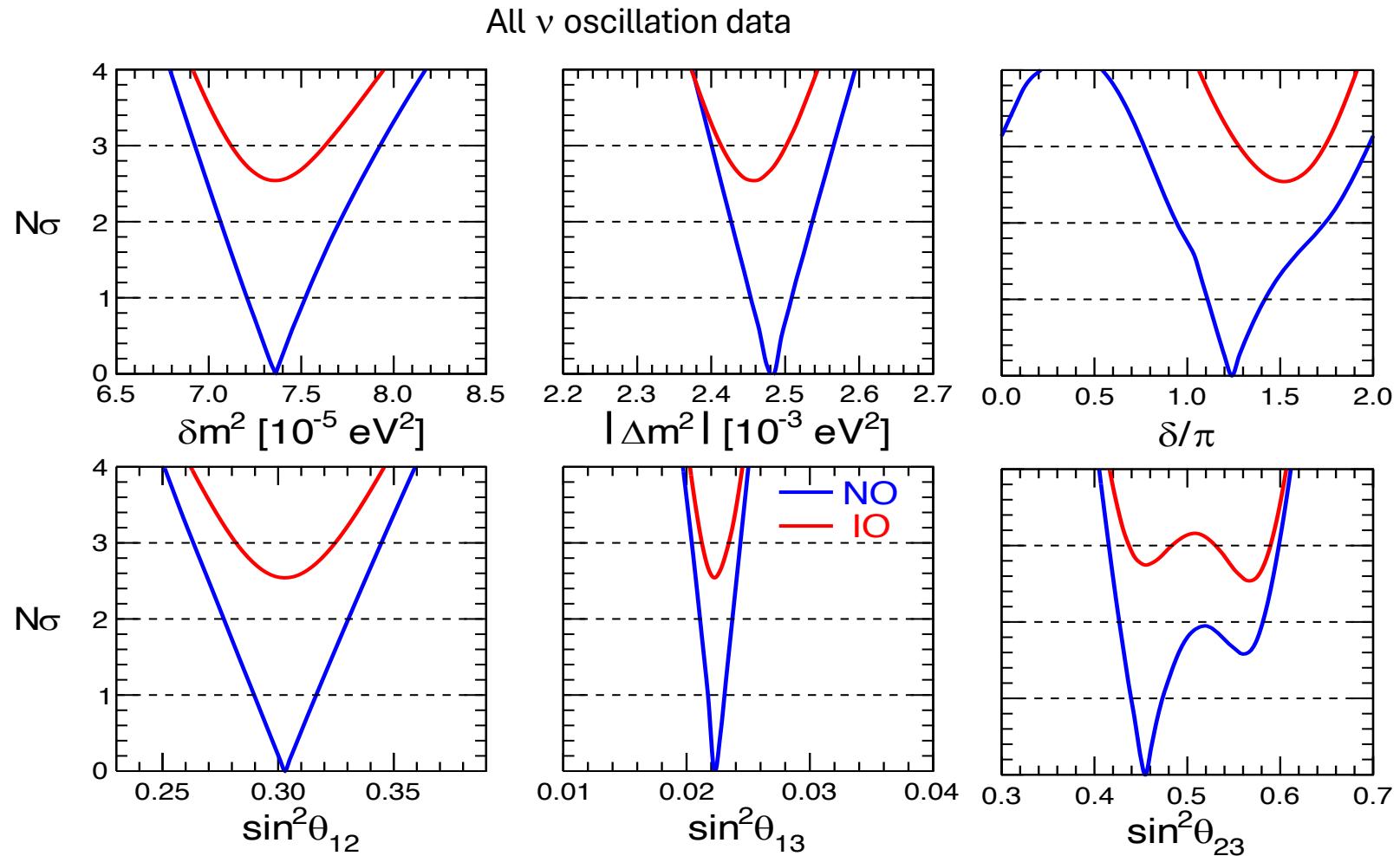
(Received 5 July 2021; accepted 24 September 2021; published 26 October 2021)

In the current 3ν paradigm, neutrino flavor oscillations probe three mixing angles ( $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$ ), one CP-violating phase  $\delta$ , and two independent differences between the squared masses  $m_i^2$ , that can be chosen as  $\delta m^2 = m_3 - m_1 > 0$  and  $\Delta m^2 = |m_3 - m_2|/2$ , where  $\text{sign}(\Delta m^2) = +(-)$  for normal (inverted) mass ordering. Absolute  $m_\nu$  masses can be probed by the effective mass  $m_\beta$  in beta decay, by the total mass  $\Sigma$  in cosmology and—if neutrinos are Majorana—by another effective mass  $m_{\beta\beta}$  in neutrinoless double beta decay. Within an updated global analysis of oscillation and nonoscillation data, we constrain these 3ν parameters, both separately and in selected pairs, and highlight the concordance or discordance among different constraints. Five oscillation parameters ( $\delta m^2$ ,  $|\Delta m^2|$ ,  $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$ ) are consistently measured, with an overall accuracy ranging from  $\sim 1\%$  for  $|\Delta m^2|$  to  $\sim 6\%$  for  $\sin^2 \theta_{13}$  (due to its persisting octant ambiguity). We find overall hints for normal ordering (at  $\sim 2.5\sigma$ ), as well as for  $\theta_{23} < \pi/4$  and for  $\sin \delta < 0$  (both at 90% C.L.), and discuss some tensions among different datasets. Concerning nonoscillation data, we include the recent KATRIN constraints on  $m_\beta$ , and we combine the latest  $^{76}\text{Ge}$  and  $^{136}\text{Xe}$  bounds on  $m_{\beta\beta}$ , accounting for nuclear matrix element covariances. We also discuss some variants related to cosmic microwave background (CMB) anisotropy and lensing data, which may affect cosmological constraints on  $\Sigma$  and hints on  $\text{sign}(\Delta m^2)$ . The default option, including all Planck results, irrespective of the so-called lensing anomaly, sets upper bounds on  $\Sigma$  at the level of  $\sim 10^{-1}$  eV, and further favors normal ordering up to  $\sim 3\sigma$ . An alternative option, that includes recent ACT results plus other independent results (from WMAP and selected Planck data) globally consistent with standard lensing, is insensitive to the ordering but prefers  $\Sigma \sim \text{few} \times 10^{-1}$  eV, with different implications for  $m_\beta$  and  $m_{\beta\beta}$  searches. In general, the unfinished fabric of the 3ν paradigm appears to be at the junction of diverse searches in particle and nuclear physics, astrophysics and cosmology, whose convergence will be crucial to achieve a convincing completion.

Slide from F. Vissani at NOW 2024,  
[www.ba.infn.it/now](http://www.ba.infn.it/now)

All being updated after expt results at Neutrino 2024 in Milan, [neutrino2024.org](http://neutrino2024.org)  
Only slight changes expected.

# Status of known and unknown 3ν oscillation parameters [Bari 2021 analysis]



# Status of known and unknown 3ν oscillation parameters [Bari 2021 analysis]

1 $\sigma$  error of known parameters

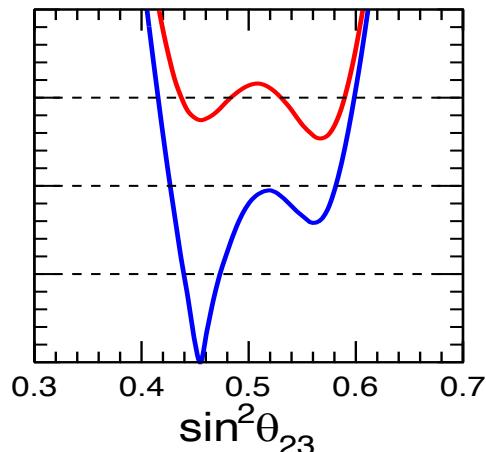
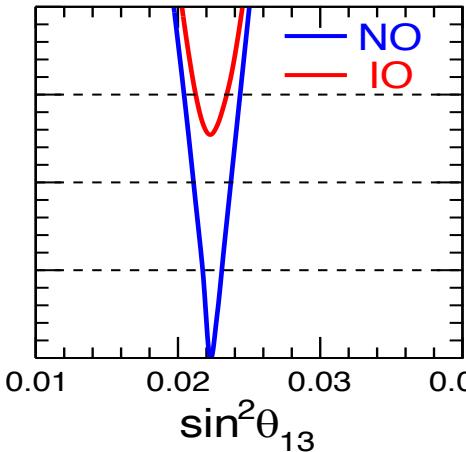
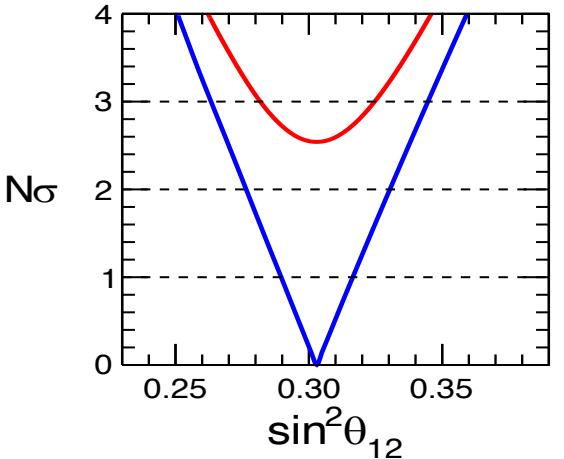
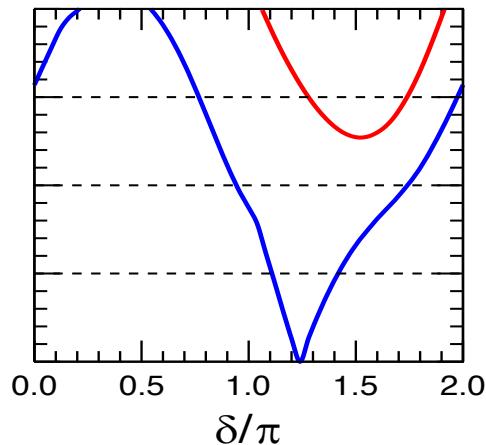
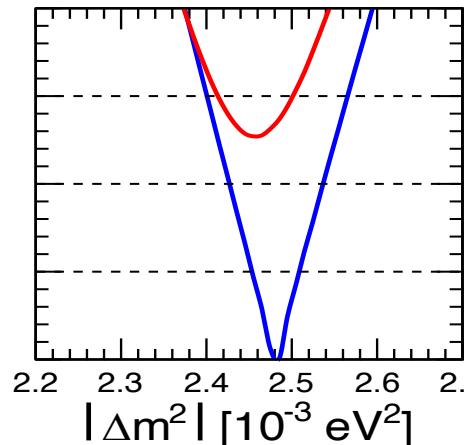
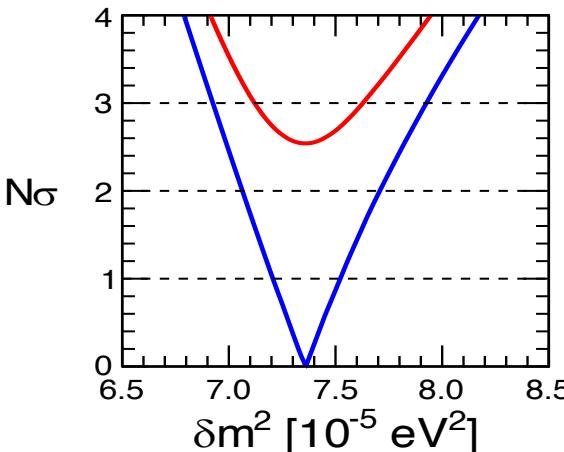
$ \Delta m^2 $	1.1%
$\delta m^2$	2.3%
$\theta_{13}$	3.0%
$\theta_{12}$	4.5%
$\theta_{23}$	~ 6%

↑  
*knowns*

3ν oscill.

→  
*unknowns*

All ν oscillation data



Hints on oscillation unknowns

(Hints might be weaker including ν 2024 data)

NO	~99% CL
$\sin\delta < 0$	~90% CL
$\theta_{23} < \pi/4$	~90% CL

# Status of known and unknown 3ν oscillation parameters [Bari 2021 analysis]

$1\sigma$  error of known parameters

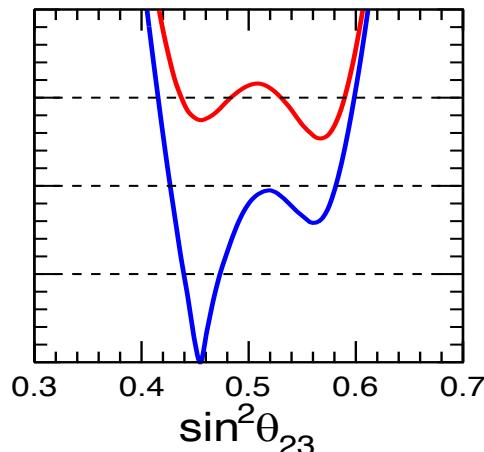
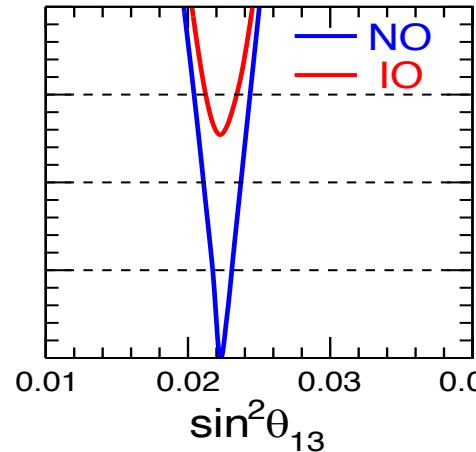
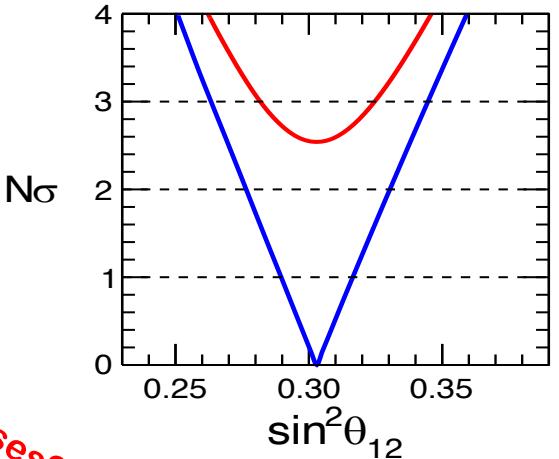
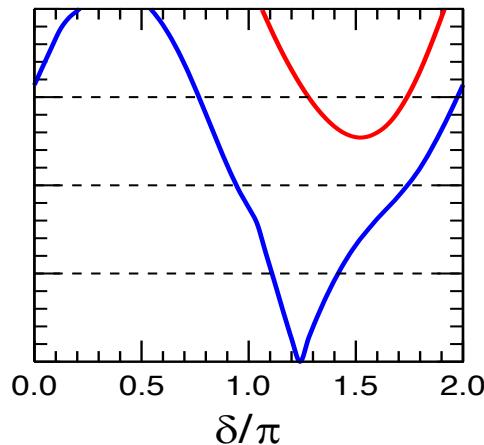
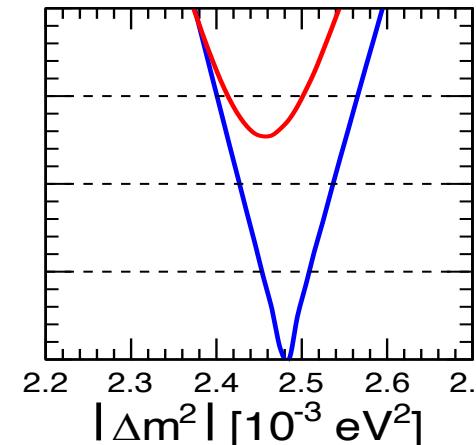
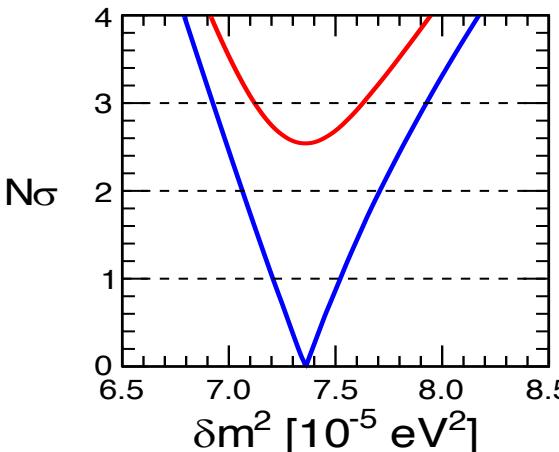
$ \Delta m^2 $	1.1%
$\delta m^2$	2.3%
$\theta_{13}$	3.0%
$\theta_{12}$	4.5%
$\theta_{23}$	~ 6%

precision  
(surprises?)

Frontiers

discovery

All ν oscillation data



Hints on oscillation unknowns

(Hints might be weaker including ν 2024 data)

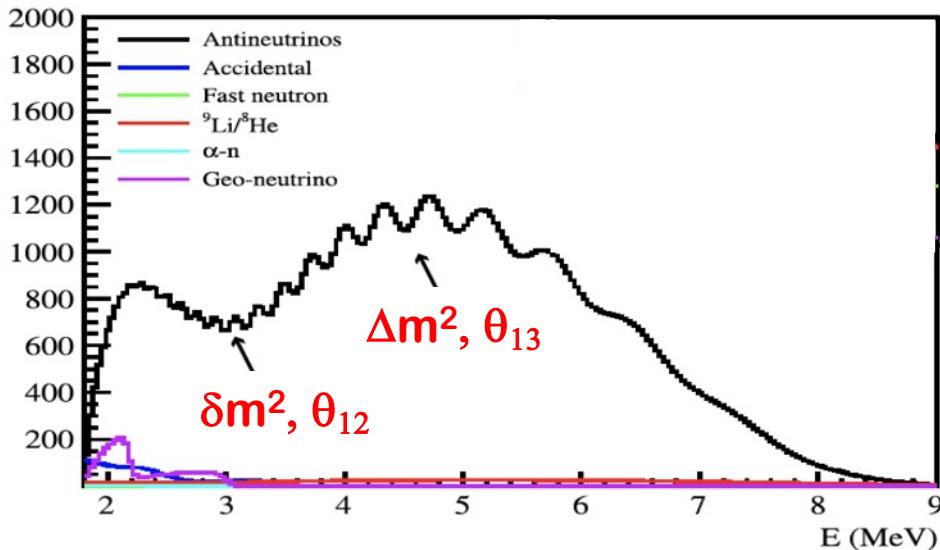
NO	~99% CL
$\sin\delta < 0$	~90% CL
$\theta_{23} < \pi/4$	~90% CL

## E.g., frontiers for the JUNO reactor experiment

At “medium” baseline  $\sim 50$  km, will probe two oscillations in  $\sim$ vacuum

Main discovery goal: distinguish NO vs IO at  $>3\sigma$  in 6y.

May become  $>5\sigma$  in combination with atmospheric data.

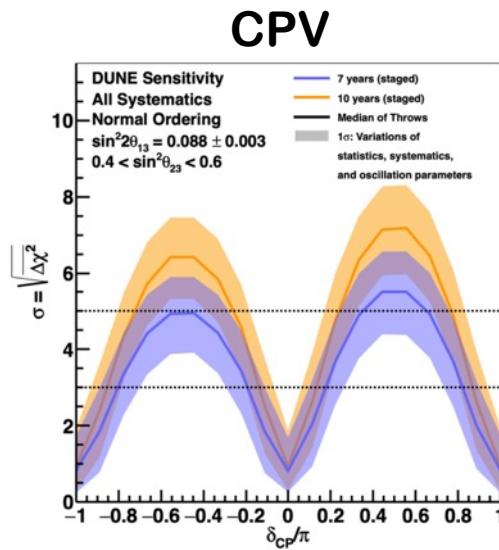


Significant better precision expected on 3 out of 4 oscillation parameters:

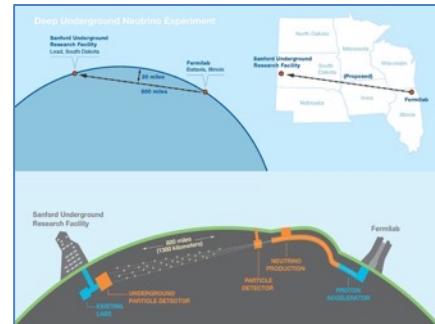
Parameter	1 $\sigma$ , now	JUNO in $\sim$ 6y
$\delta m^2$	2.3 %	0.4 %
$\sin^2 \theta_{12}$	4.4 %	0.5 %
$\Delta m^2$	1.1 %	0.2 %
$\sin^2 \theta_{13}$	3.0 %	comparable

# E.g., frontiers for DUNE LBL accelerator experiment

Disappearance + appearance, nu/antinu mode, matter effects at L~1300 km



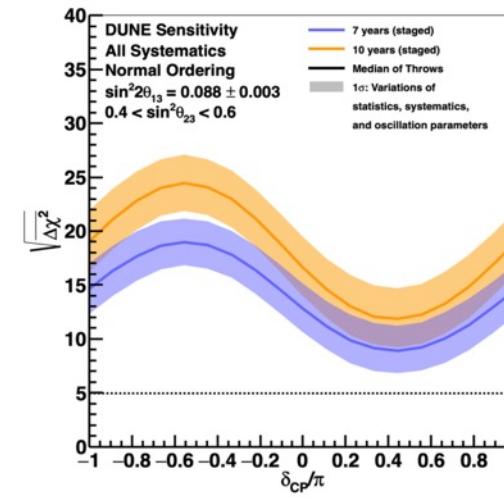
← Discovery goals →



Precision frontier



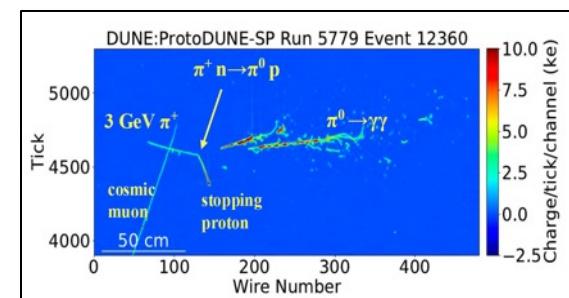
NO vs IO



Parameter	1σ, now	DUNE in ~10 y [2002.03005]
$\Delta m^2$	1.1 %	factor ~1/4 reduction
$\sin^2\theta_{23}$	~ 6 %	factor ~1/4 reduction
$\sin^2\theta_{13}$	3.0 %	comparable

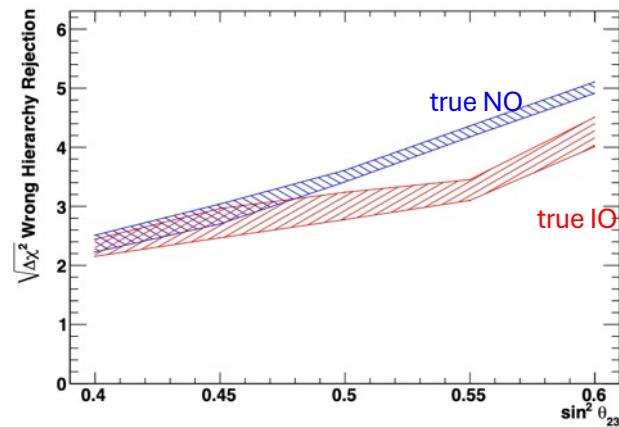
HK LBL: same ballpark. DUNE & HK will need precise cross sections!

Worldwide activity to better understand nuclear response to ν probes

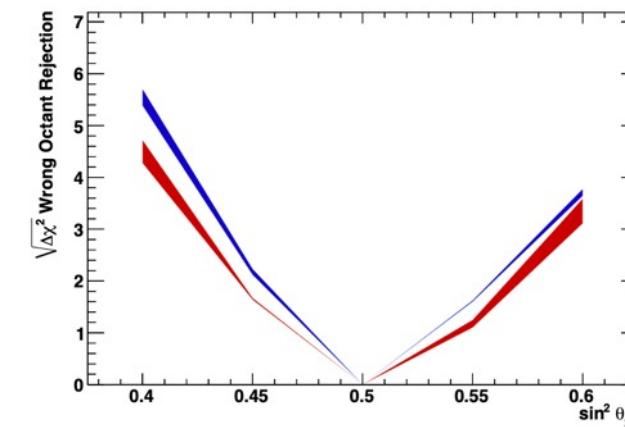


## E.g., frontiers for Hyper-Kamiokande atmospheric $\nu$ oscillation signal...

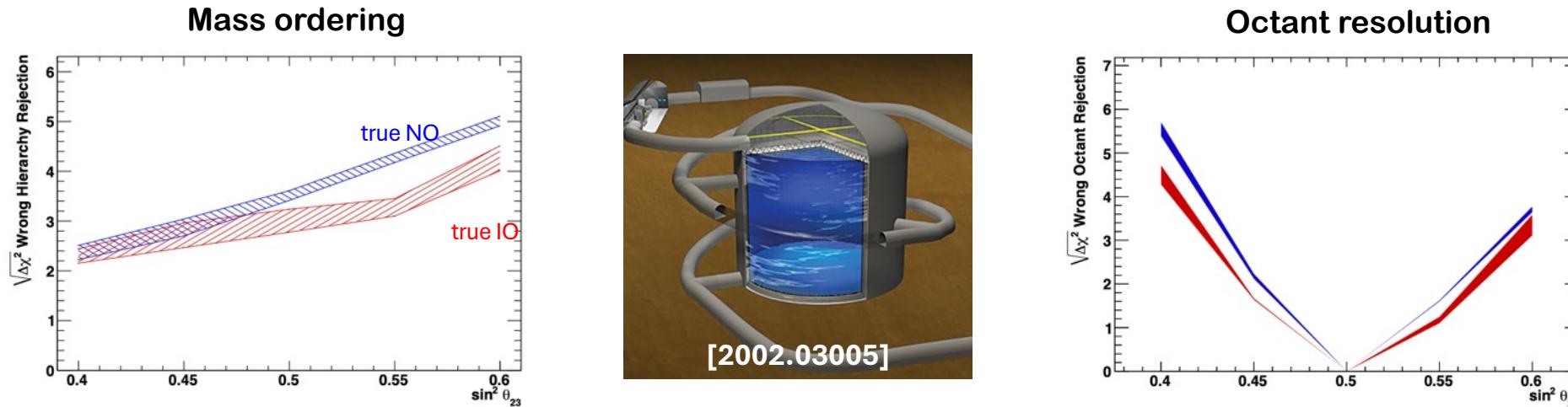
Mass ordering



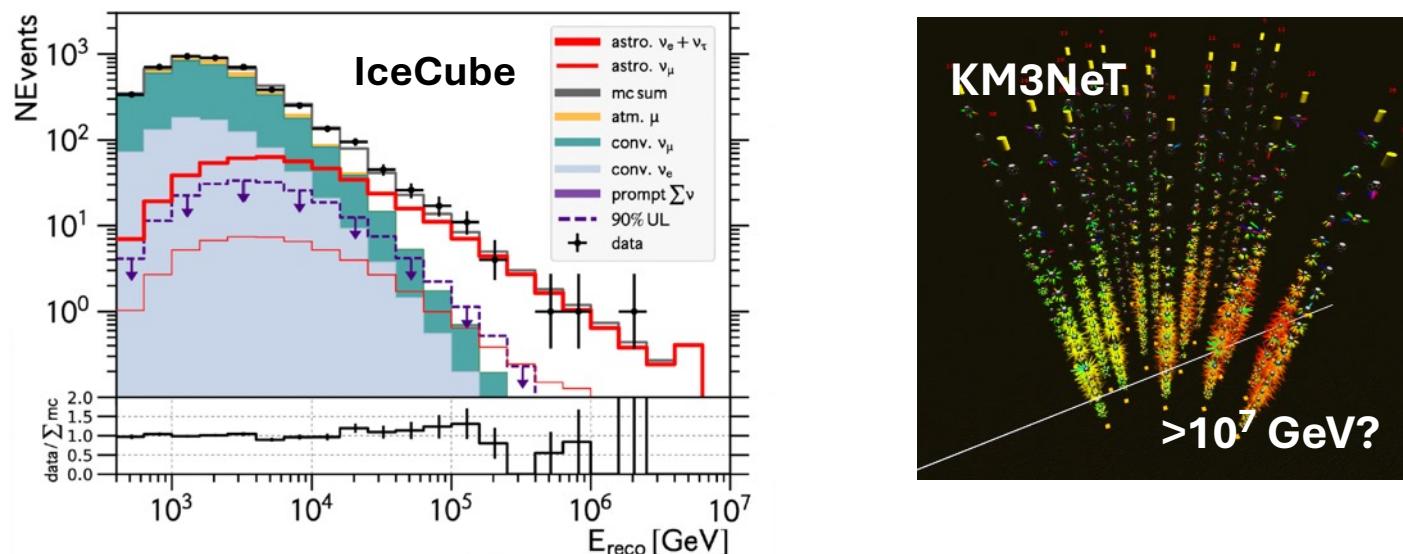
Octant resolution



## E.g., frontiers for Hyper-Kamiokande atmospheric $\nu$ oscillation signal...



... and atmospheric  $\nu$  as background for HE frontier of cosmic  $\nu$  detection



# Surprises beyond the $3\nu$ paradigm?

Advancing the precision and discovery frontiers in JUNO, DUNE, HK, IC, KM3NeT,... we might either confirm the paradigm, or find “anomalous” results beyond it, e.g.,

- new (sterile) neutrino states?
- nonstandard 4-fermion interactions (NSI)?
- violations of fundamental symmetries?
- ...

E.g., already in current data:

Saga of possible indications of sterile ( $\sim$ RH) neutrino state(s) at  $O(eV)$  scale  
NSI terms  $\sim \varepsilon_{\alpha\beta} G_F$  weakly preferred by SK solar data & by T2K vs NOvA tension  
[not reviewed herein]

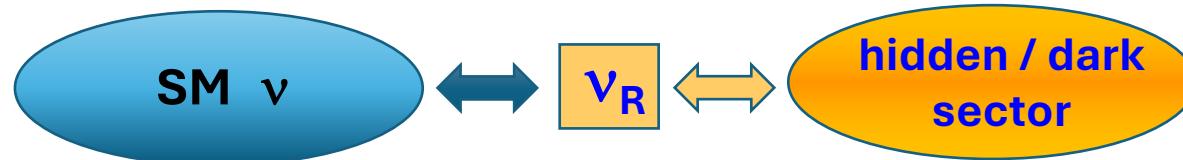
## Even if you do not believe such hints... → Stress-tests of the 3ν paradigm

Testing the resilience of the 3ν paradigm to **extra ν states** or to **new interactions**:  
Approach often involves PMNS unitarity violations or nonstandard FCNC, FDNC (NSI)



**Extra parameters may dilute hints of PMNS unknowns: CPV,  $\theta_{23}$  octant, ordering.**  
Rich phenomenology in (non)oscillation experiments + astrophysics & cosmology

Going further: consider both extra states and interactions: RH neutrinos as a **bridge** (“portal”) to weakly coupled **BSM physics** (“hidden” or “dark” sector), e.g. **DM**



Low-scale BSM “portal” scenarios can provide new stress tests of the 3ν paradigm  
(as well as of 3ν+1ν<sub>s</sub> models), e.g. via **modified neutrino dispersion relations**.

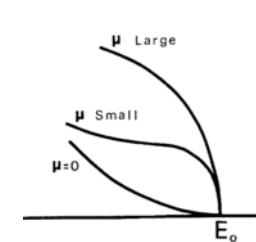
# Absolute neutrino mass observables: ( $m_\beta$ , $m_{\beta\beta}$ , $\Sigma$ )

Probe absolute neutrino masses in different ways

May provide extra handles to distinguish NO vs IO

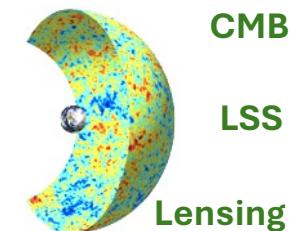
**$\beta$  decay (kinematics)** - Sensitive to the “effective electron neutrino mass”:

$$m_\beta = [c_{13}^2 c_{12}^2 m_1^2 + c_{13}^2 s_{12}^2 m_2^2 + s_{13}^2 m_3^2]^{\frac{1}{2}}$$



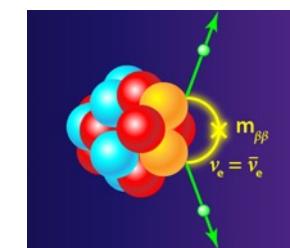
**Cosmology (gravity)** - Dominantly sensitive to sum of neutrino masses:

$$\Sigma = m_1 + m_2 + m_3$$



**$0\nu\beta\beta$  decay**: only if Majorana. “Effective Majorana mass” (+new CPV phases):

$$m_{\beta\beta} = |c_{13}^2 c_{12}^2 m_1 + c_{13}^2 s_{12}^2 m_2 e^{i\phi_2} + s_{13}^2 m_3 e^{i\phi_3}|$$



# A deep question: Are neutrinos their own antiparticles?

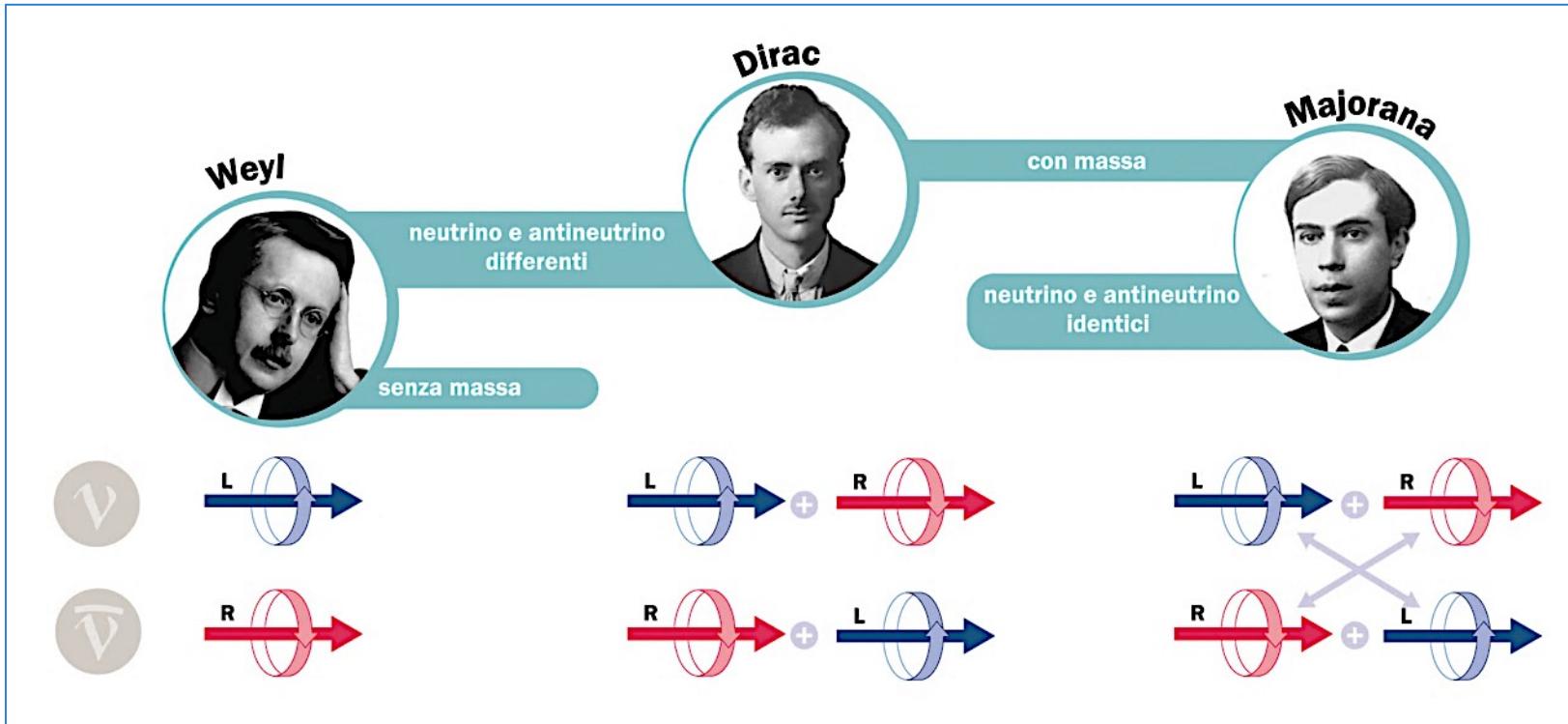


Image from Asimmetrie, Rivista dell'INFN, n. 29 (2020) - article by E.L.

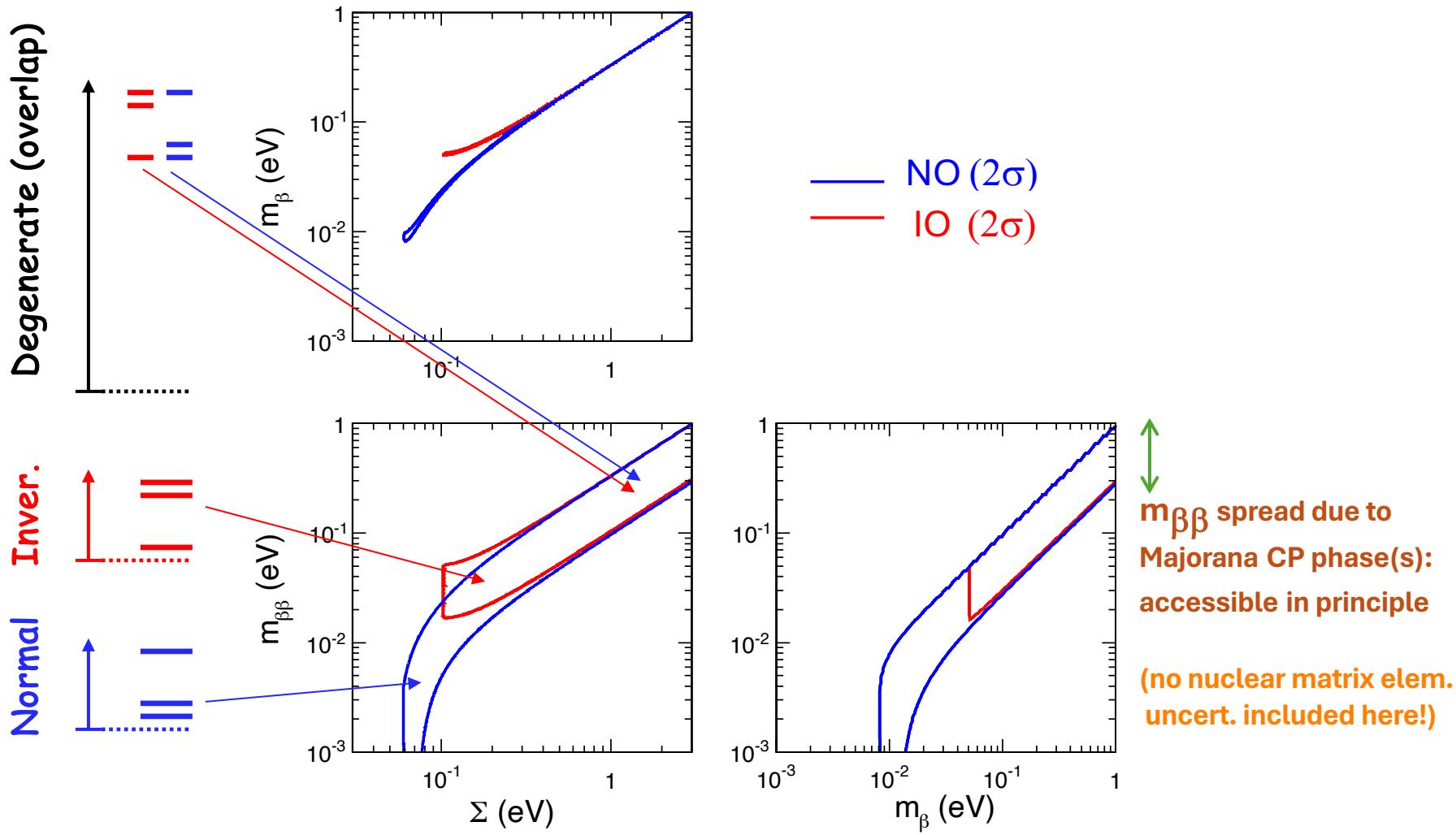
Massless Weyl  $\nu$  states  
are purely LH (nu) or  
RH (antinu)

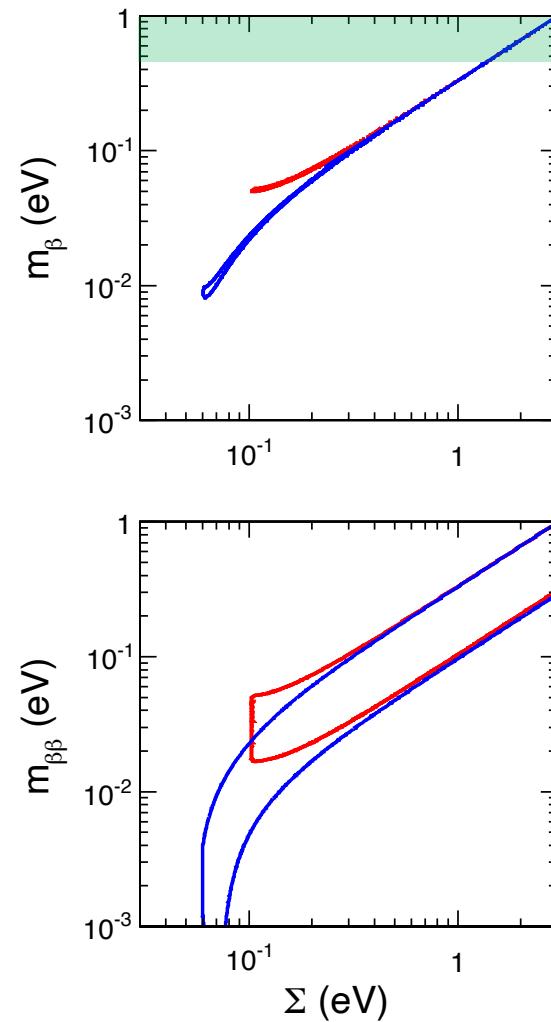
Massive Dirac  $\nu$ : both  
RH and LH components,  
different in nu vs antinu

Massive Majorana  $\nu$ : RH  
and LH components of nu  
& antinu might be paired!

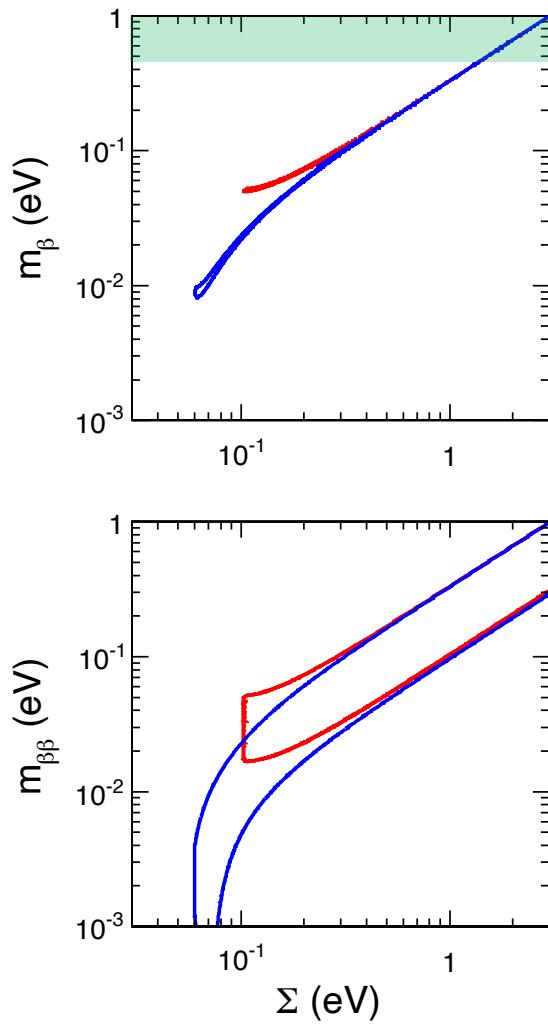
Standard Model: Higgs mechanisms → Dirac fermions. Majorana neutrinos → Beyond SM!

## ( $m_\beta$ , $m_{\beta\beta}$ , $\Sigma$ ) observables: bands allowed by oscillations in NO/IO



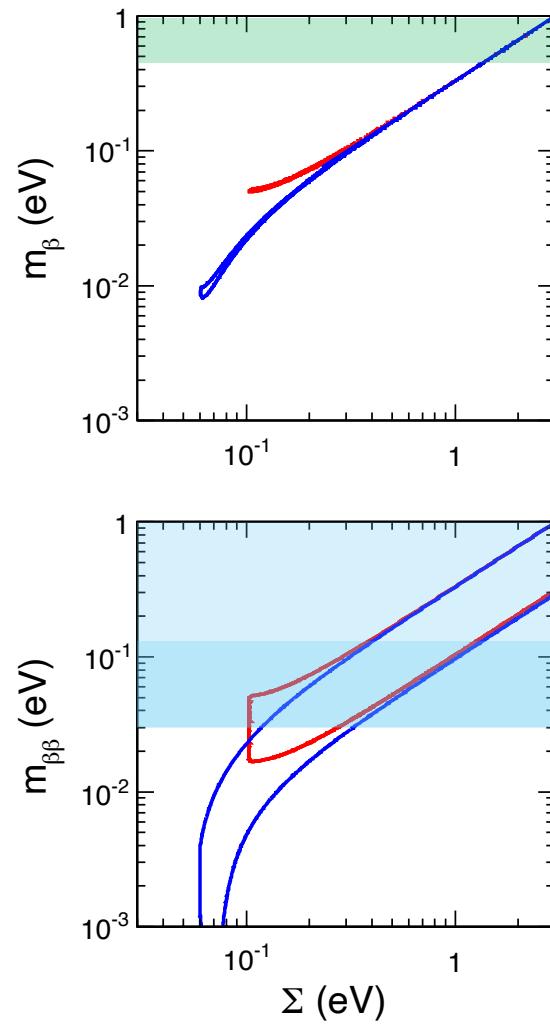


$\beta$ : KATRIN



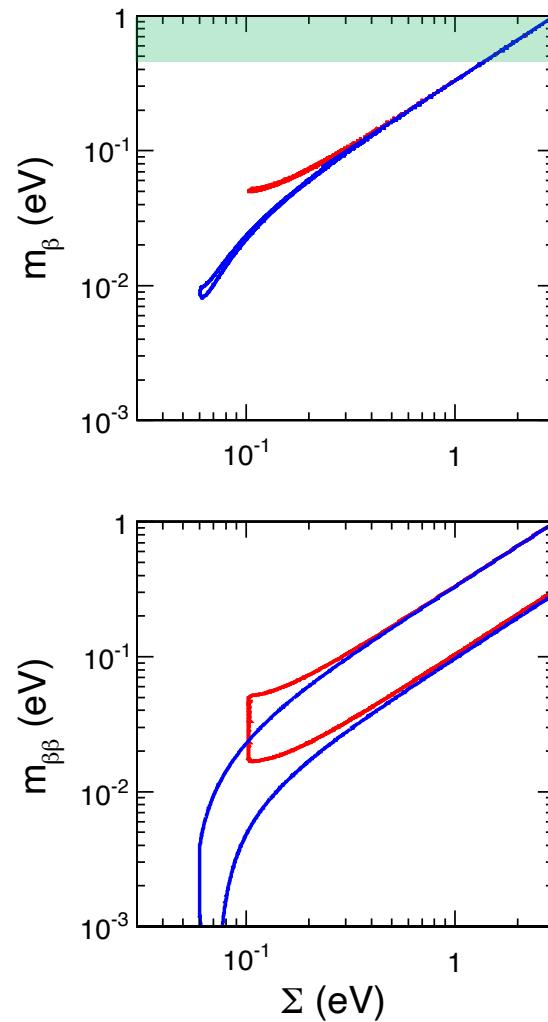
$0\nu\beta\beta$ : KL-Zen, Exo,  
GERDA, Cuore...

[spread: nuclear models]



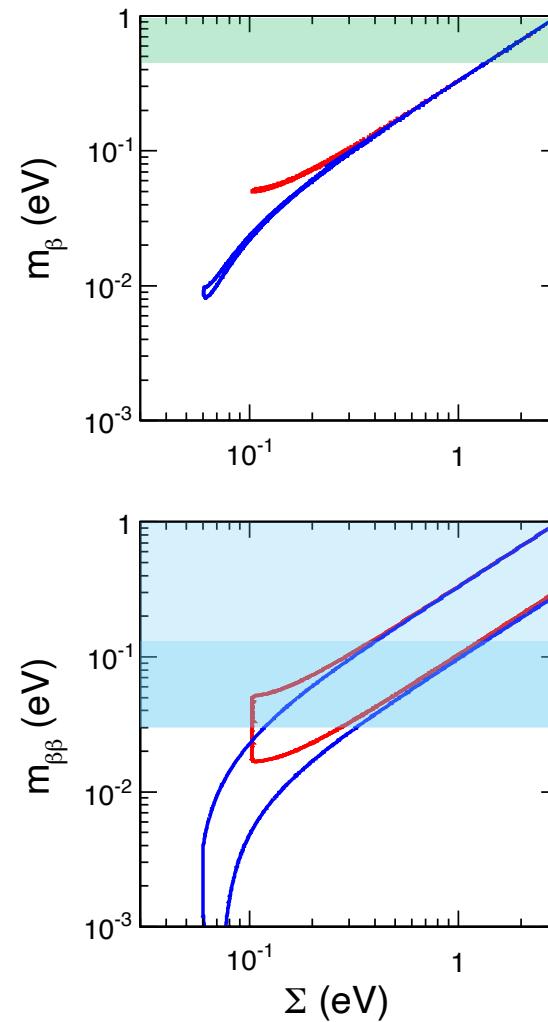
← Current upper bounds from  $0\nu\beta\beta$  decay

$\beta$ : KATRIN



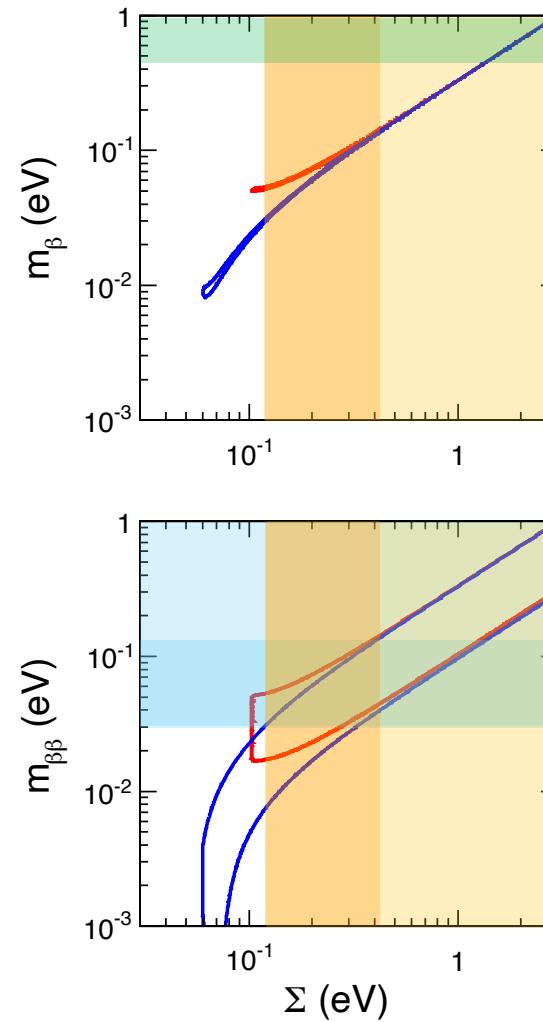
$0\nu\beta\beta$ : KL-Zen, Exo,  
GERDA, Cuore...

[spread: nuclear models]



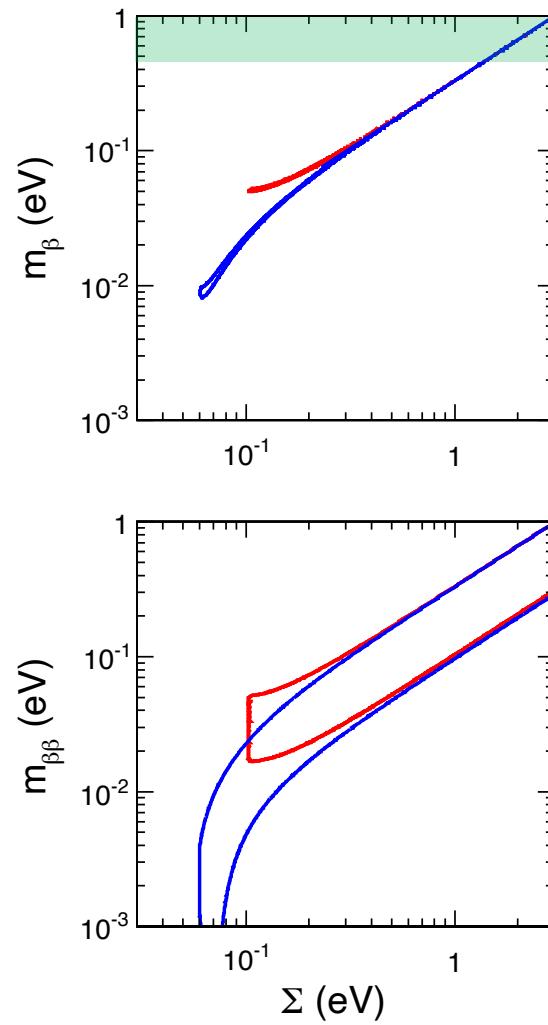
$\Sigma$ : Planck, BAO,  
lensing ...

[spread: cosmo models/data]

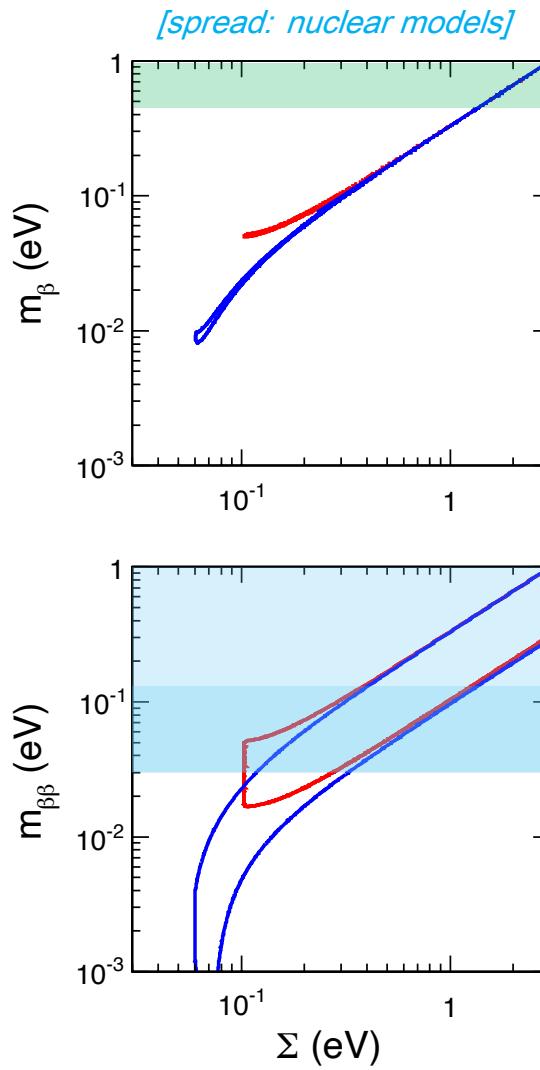


IO “under pressure” from cosmo data. But: lively debate after recent (too strong?) DESI constraints

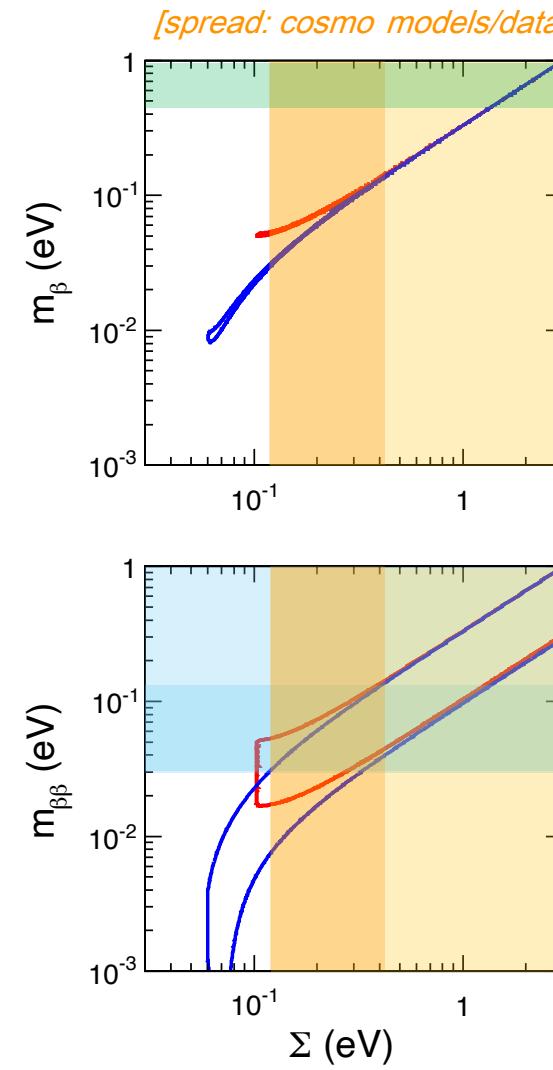
$\beta$ : KATRIN



$0\nu\beta\beta$ : KL-Zen, Exo,  
GERDA, Cuore...

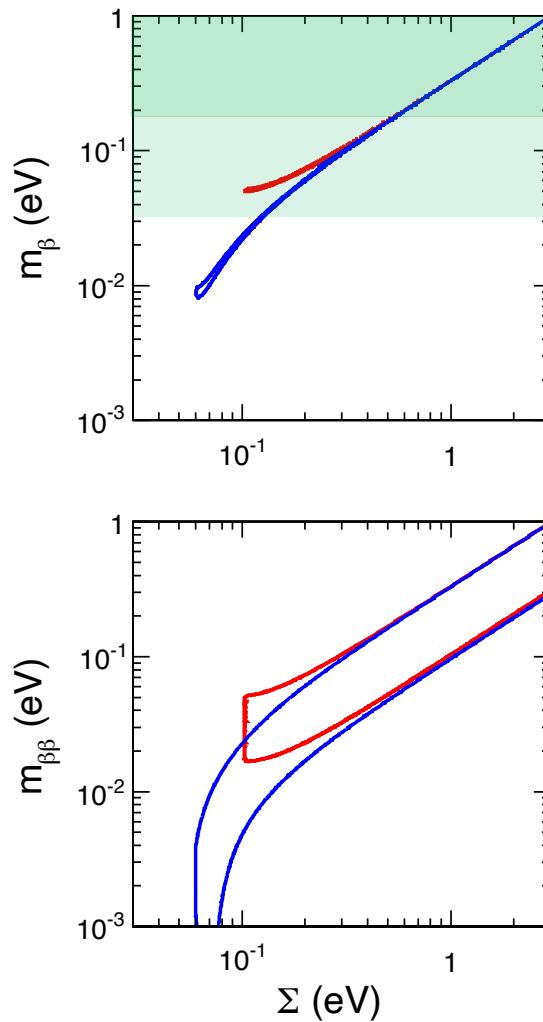


$\Sigma$ : Planck, BAO,  
lensing ...

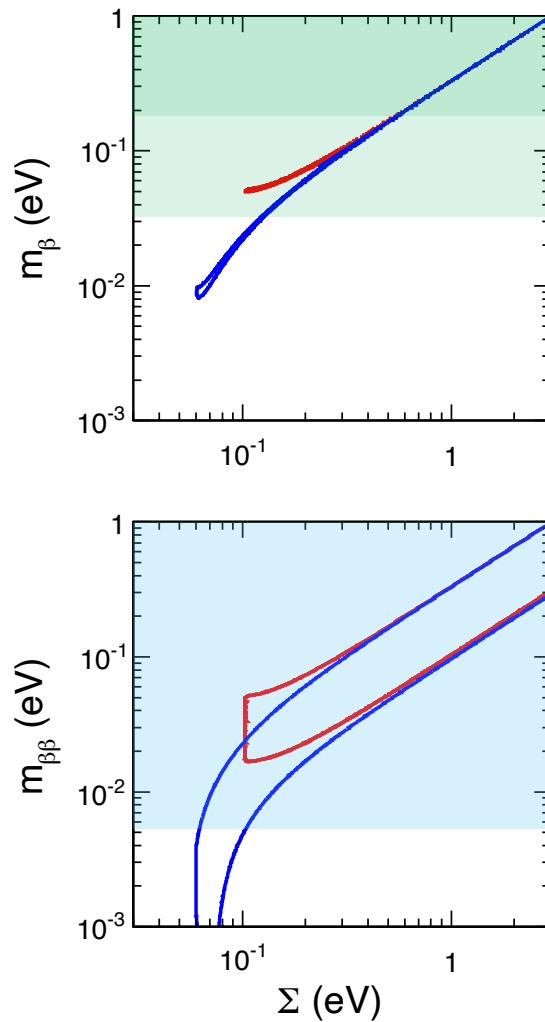


Frontiers for the next ~10-20 yrs →

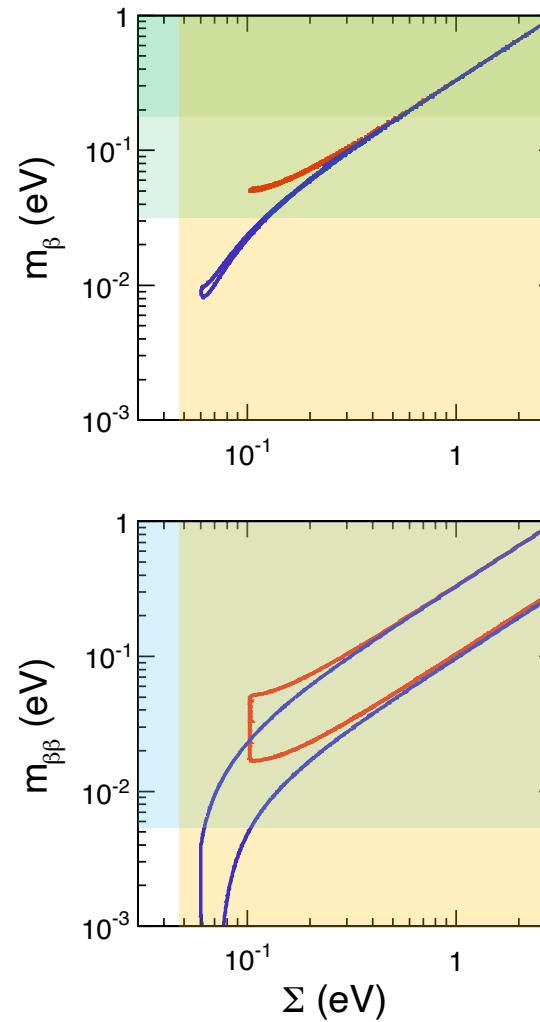
$\beta$ : ~0.2 eV (KATRIN)  
and hopefully below in  
 $\leftarrow$  **PROJECT 8**



   $0\nu\beta\beta$ : Well below IO limit  
@Ton scale (**LEGEND**, **NEXO**,  
**CUPID...**) w/ improved NME



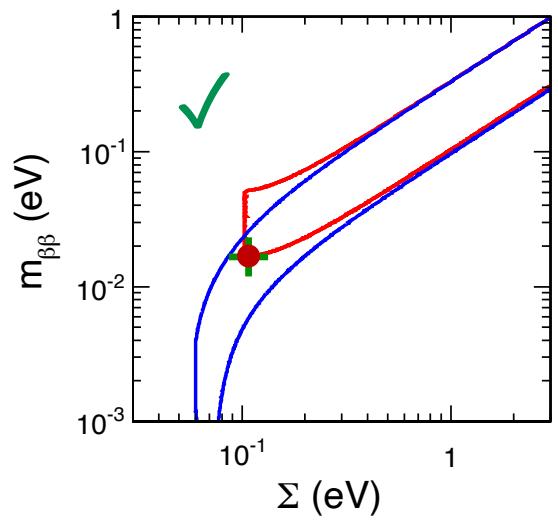
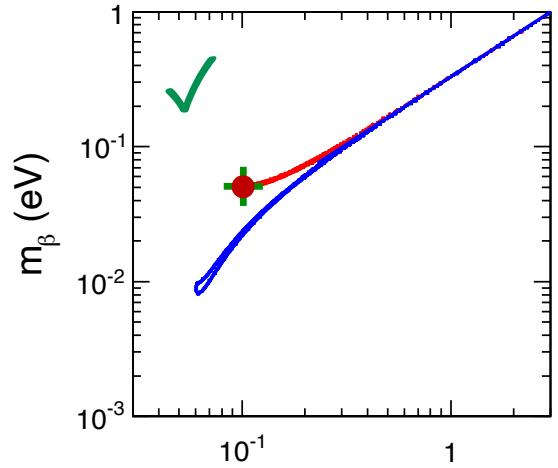
   $\Sigma$ : complete covering  
seems possible within a  
“robust” cosmo model



**Large phase space for possible signal discoveries. First claims about absolute  $\nu$  mass may come from cosmology, but laboratory detection via  $\beta$  ( $0\nu\beta\beta$ ) decay is mandatory !**

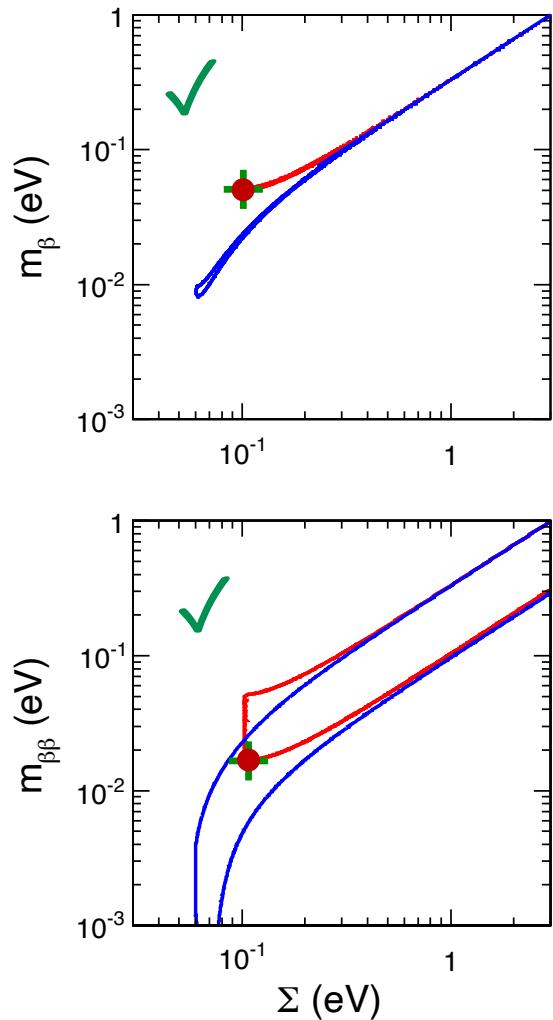
## Future data • dreams:

3ν convergence?

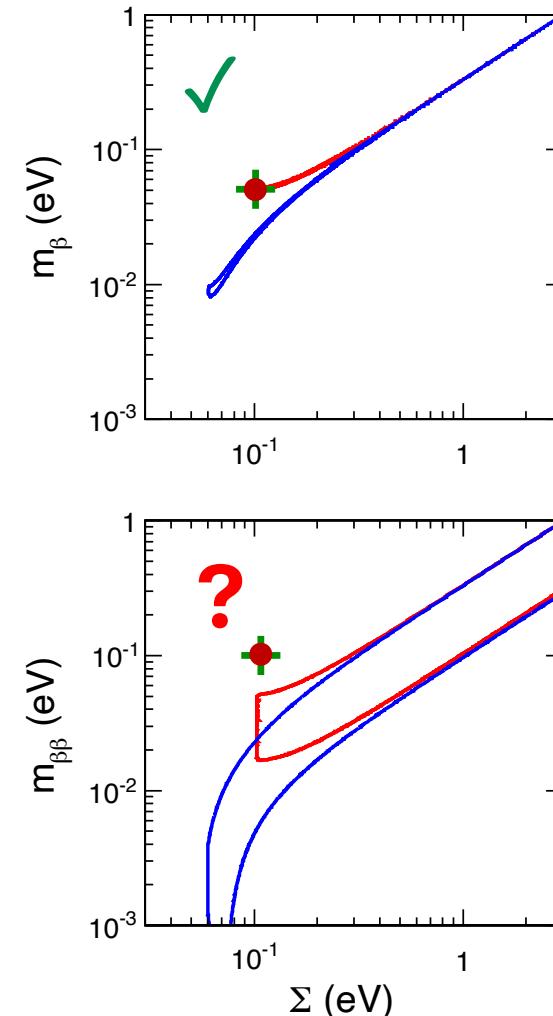


## Future data • dreams:

3ν convergence?

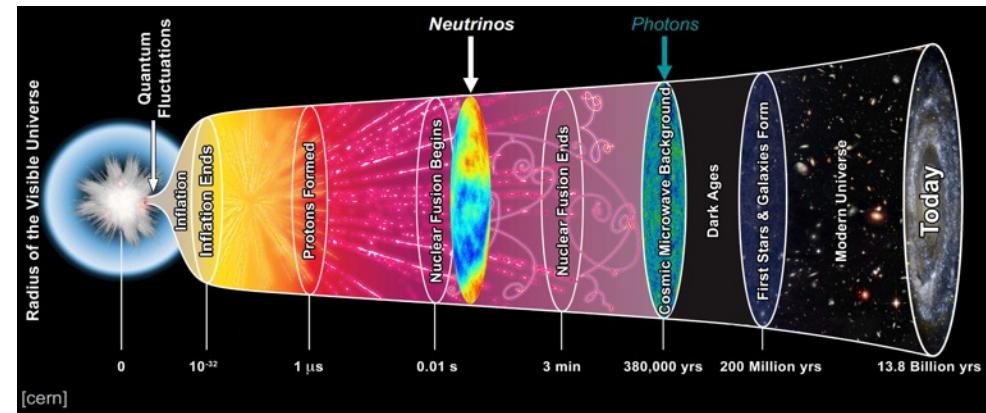
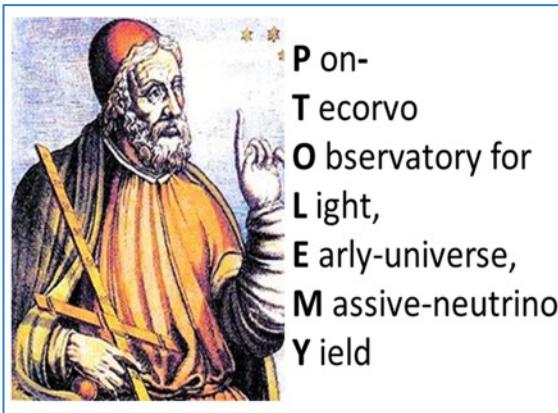


... or surprises?

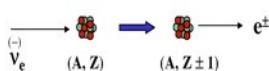
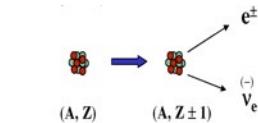


Lack of convergence might suggest new physics: e.g., **new cosmo model**, **nonstandard 0νββ ...**

...Far-future dreams ( $\beta$  + cosmo): Capture big-bang relic neutrinos as “cold” as  $T_\nu \sim O(10^{-4})$  eV

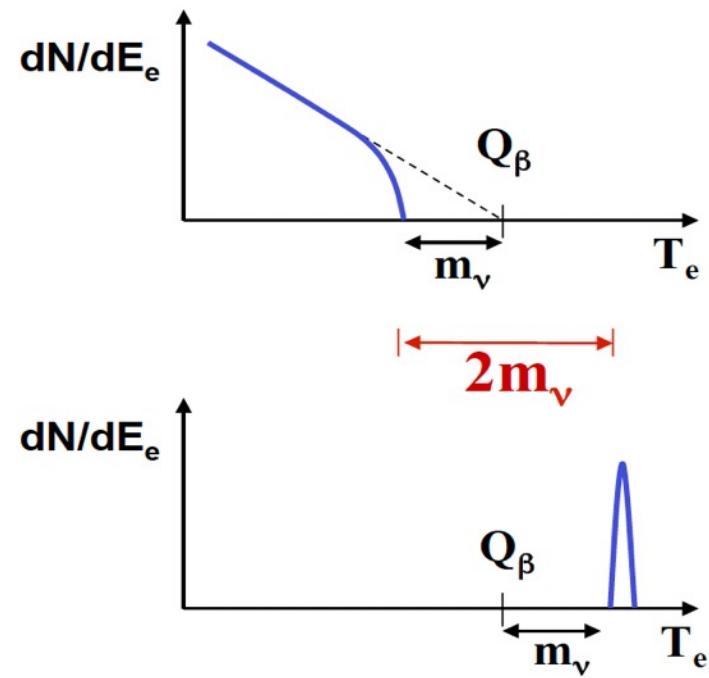


### Nuclear Beta decay

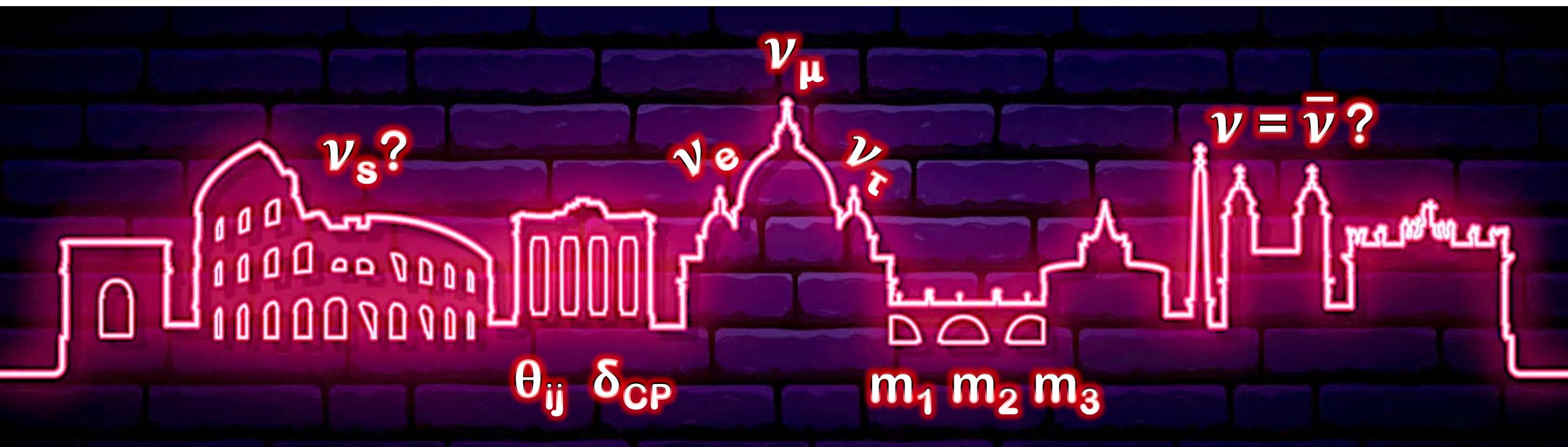


### Neutrino Capture on a Beta Decaying Nucleus

No-threshold reaction!

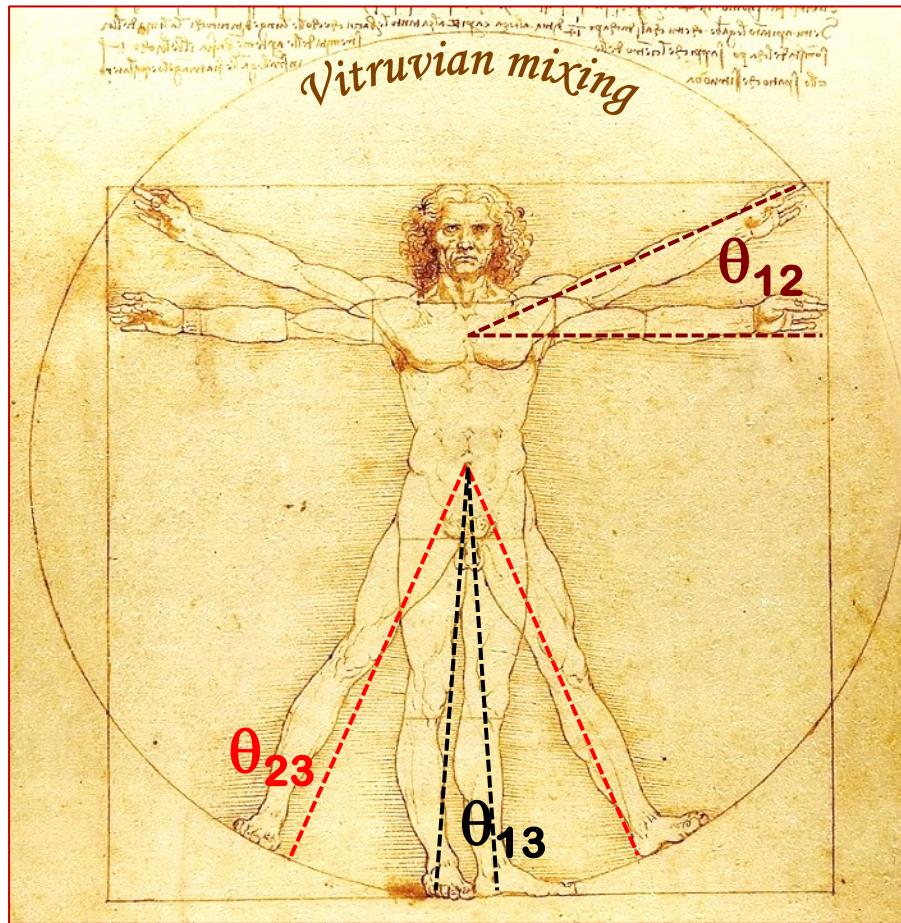


## The PMNS paradigm...



...in a wider context

The SM lacks an organizing principle for flavor (Yukawa's Y).  
Are the PMNS parameters “accidental”, or suggestive of a “pattern”?



“Anarchy” or elaborated “symmetries” of leptons (+quarks)?  
Many interesting ideas, but no obvious answer/guidance so far.



Subnuclear Physics: Past, Present and Future  
Pontifical Academy of Sciences, Scripta Varia 119, Vatican City 2014  
[www.pas.va/content/dam/accademia/pdf/sv119/sv119-altarelli.pdf](http://www.pas.va/content/dam/accademia/pdf/sv119/sv119-altarelli.pdf)

## THE MYSTERY OF NEUTRINO MIXING

GUIDO ALTARELLI

“ Finally, one could have imagined that neutrinos would bring a decisive boost towards the formulation of a comprehensive understanding of fermion masses and mixings. In reality it is frustrating that no real illumination was sparked on the problem of flavour. We can reproduce in many different ways the observations, in a wide range that goes from anarchy to discrete flavour symmetries) but we have not yet been able to single out a unique and convincing baseline for the understanding of fermion masses and mixings. ”

[But: the promising research line of modular symmetries just stemmed from Altarelli & Feruglio 2006 + Feruglio 2017...]

# Time-honored ideas still illuminating the path forward...

perspective

NATURE PHYSICS | VOL 14 | FEBRUARY 2018

## Symmetry and emergence

[Edward Witten, arXiv:1710.01791]

In a modern understanding of particle physics, global symmetries are approximate and gauge symmetries may be emergent. This view, which has echoes in condensed-matter physics, is supported by a variety of arguments from experiment and theory.

No reason for global symmetries to be exact, from different theoretical perspectives.

No global lepton number conservation

$$\mathcal{L}_1 = \frac{1}{M} H H L L$$

Majorana neutrinos

No global baryon number conservation

$$\mathcal{L}_2 = \frac{1}{M^2} Q Q Q L$$

Proton decay

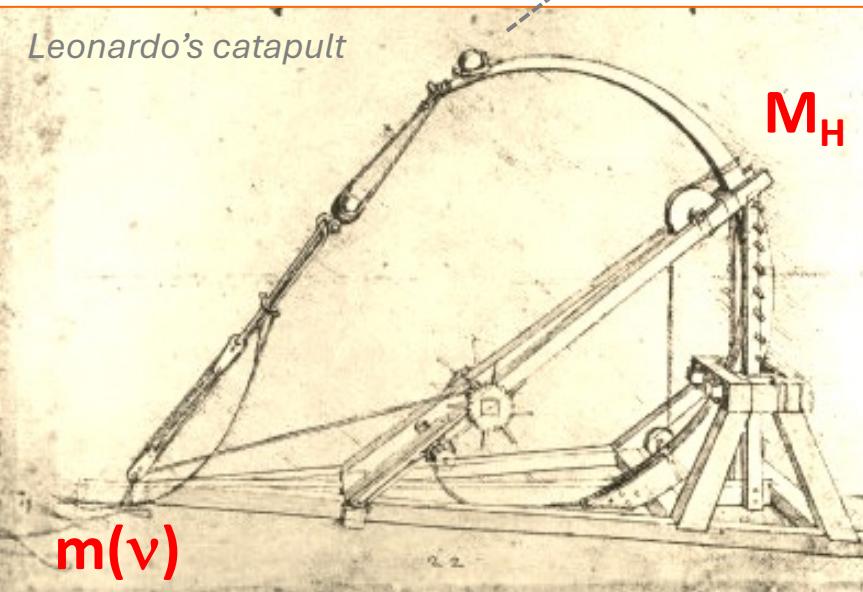
No a priori CP conservation in QCD

$$\frac{\theta}{32\pi^2} \epsilon^{\mu\nu\alpha\beta} \text{tr } F_{\mu\nu} F_{\alpha\beta}$$

Axion

General arguments not weakened by absence of new physics at the LHC scale

Neutrinos masses may offer  
a great opportunity to jump  
**beyond the EW framework**  
via see-saw ...



M ?

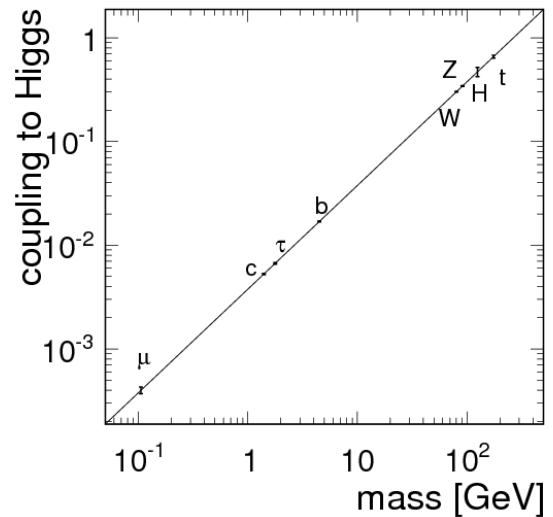


... and to address fundamental physics issues, such as:

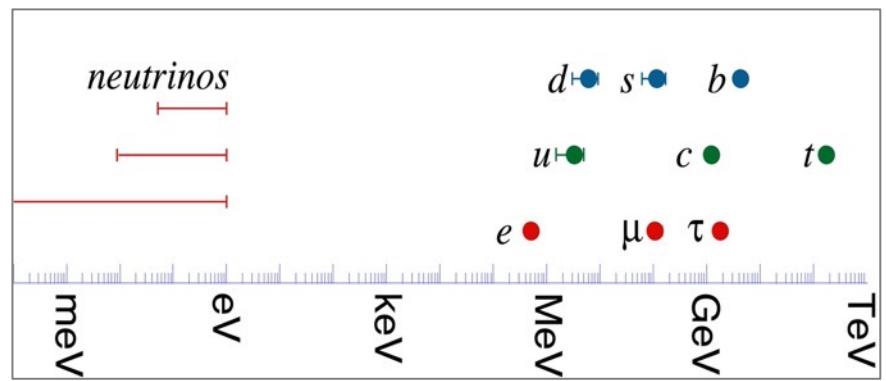
- new sources of CP violation at low and high energies
- lepton number violation and associated phenomena
- matter-antimatter asymmetry of the universe ...

# Bridging two physics programs in collider and $\nu$ physics

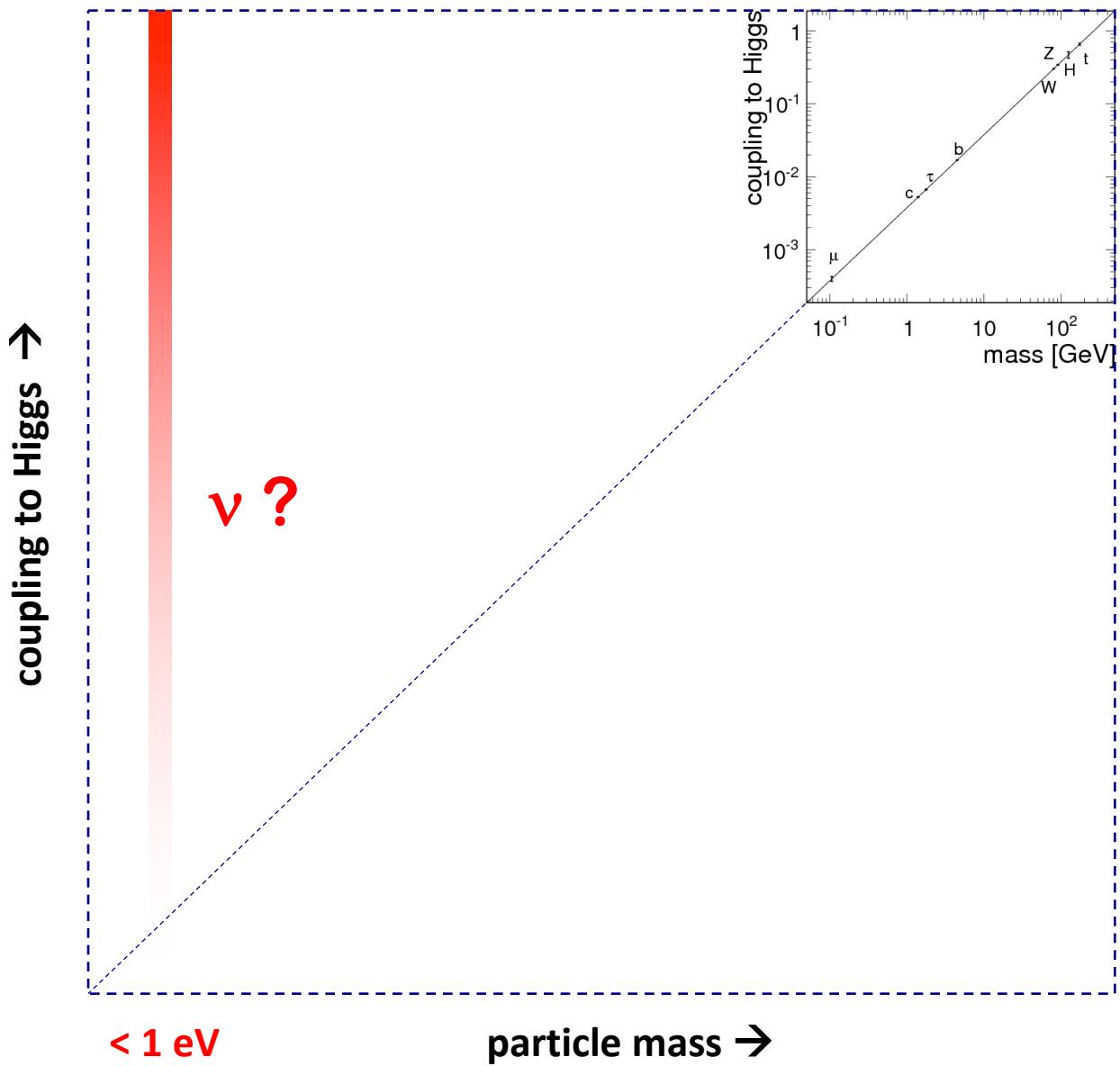
## 1. Test Higgs sector



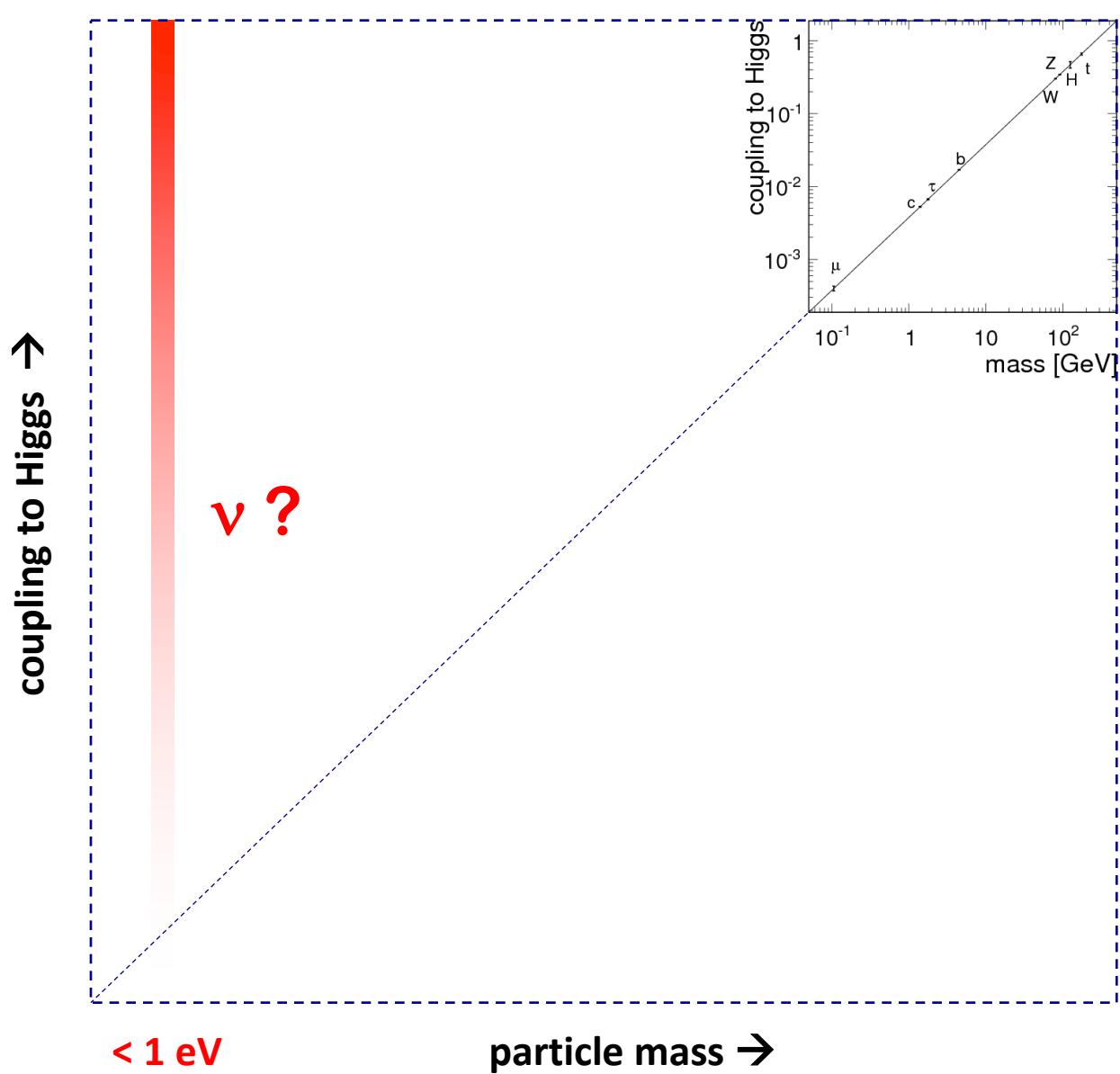
## 2. Find $\nu$ masses



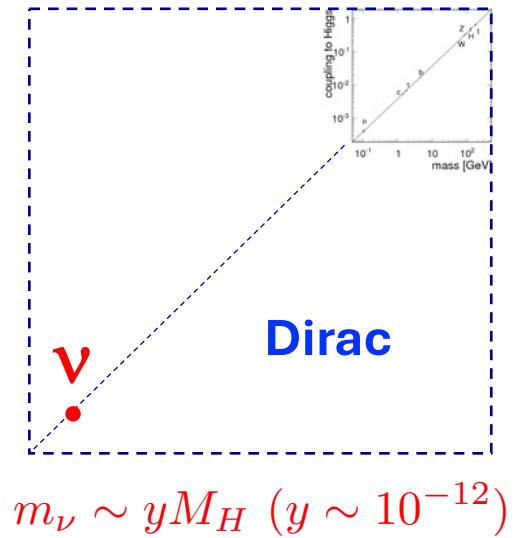
Why are the  $\nu$ 's so light? Where are they on this plot?



Why are the  $\nu$ 's so light? Where are they on this plot?



Neutrinos might just couple to the Higgs with tiny Yukawas...

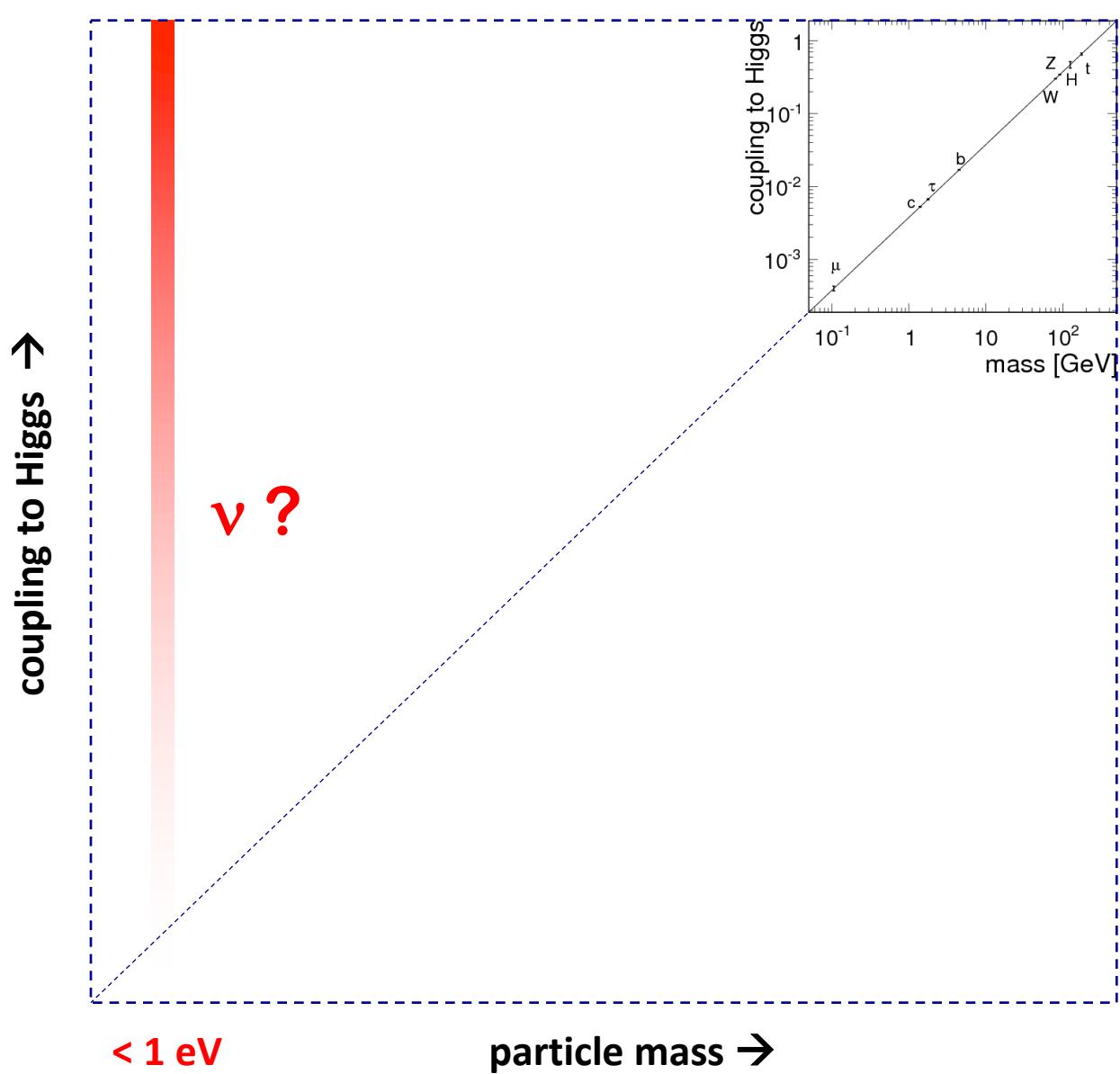


Dante, Inferno:

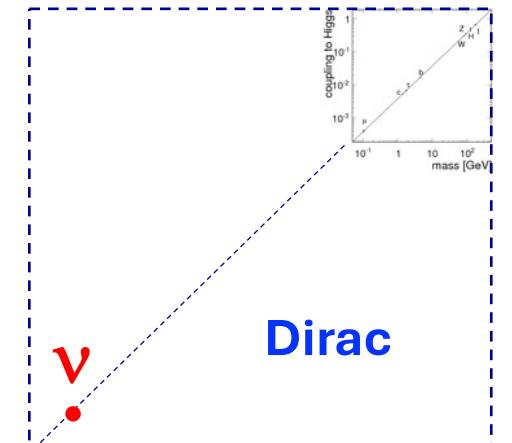
« ... Vuolsi così colà dove si puote  
ciò che si vuole, e più non dimandare »

«... It is so willed there where is power to do  
that which is willed; and farther ask not »

Why are the  $\nu$ 's so light? Where are they on this plot?

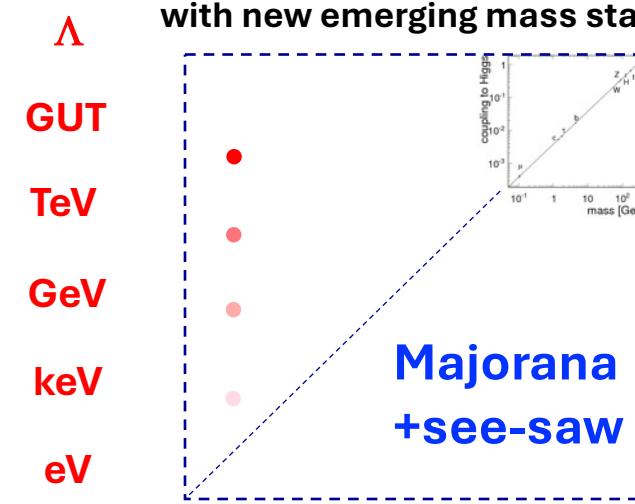


Neutrinos might just couple to the Higgs with tiny Yukawas...

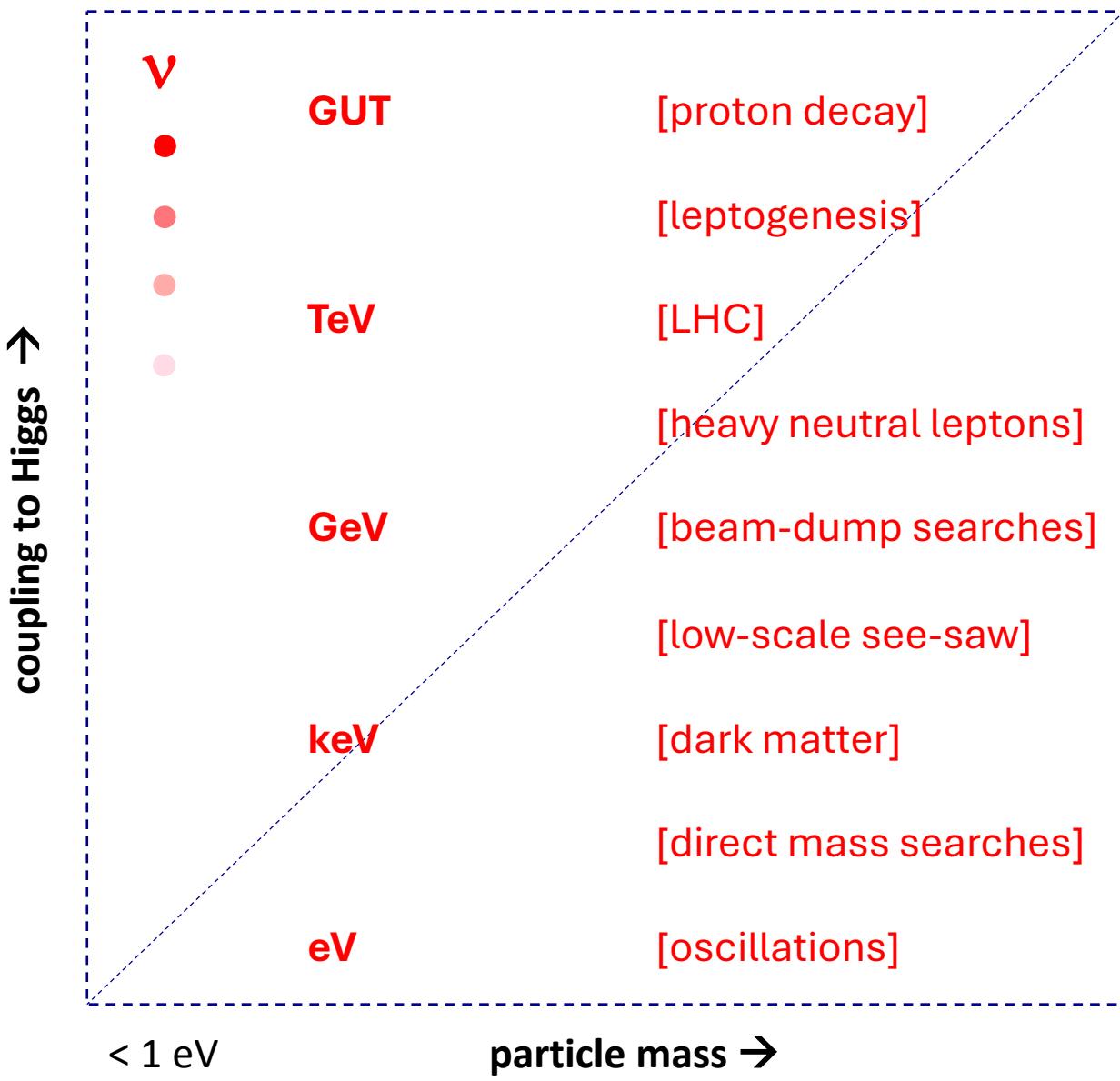


$$m_\nu \sim y M_H \quad (y \sim 10^{-12})$$

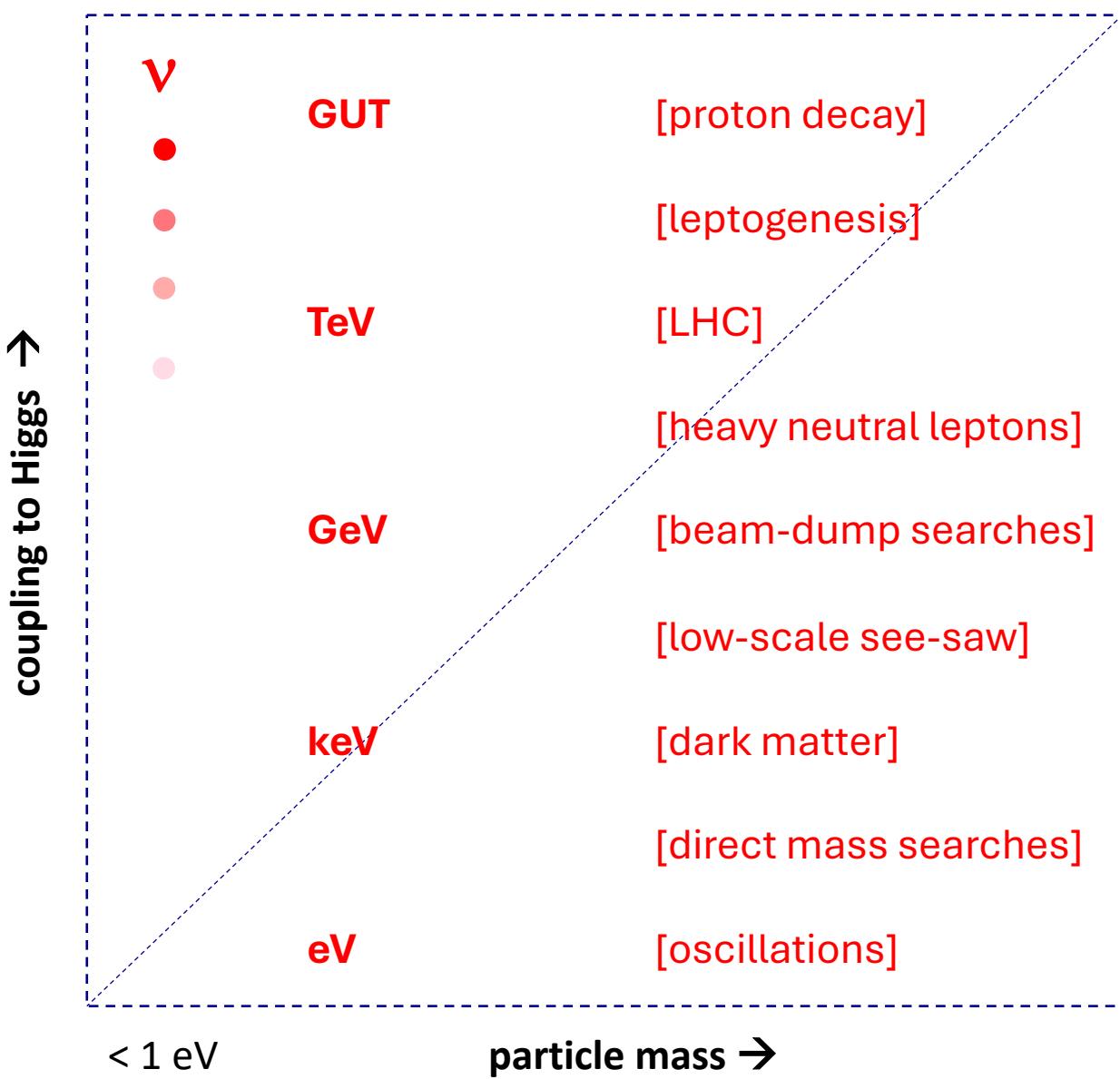
...or talk to other physics scale(s), with new emerging mass states...



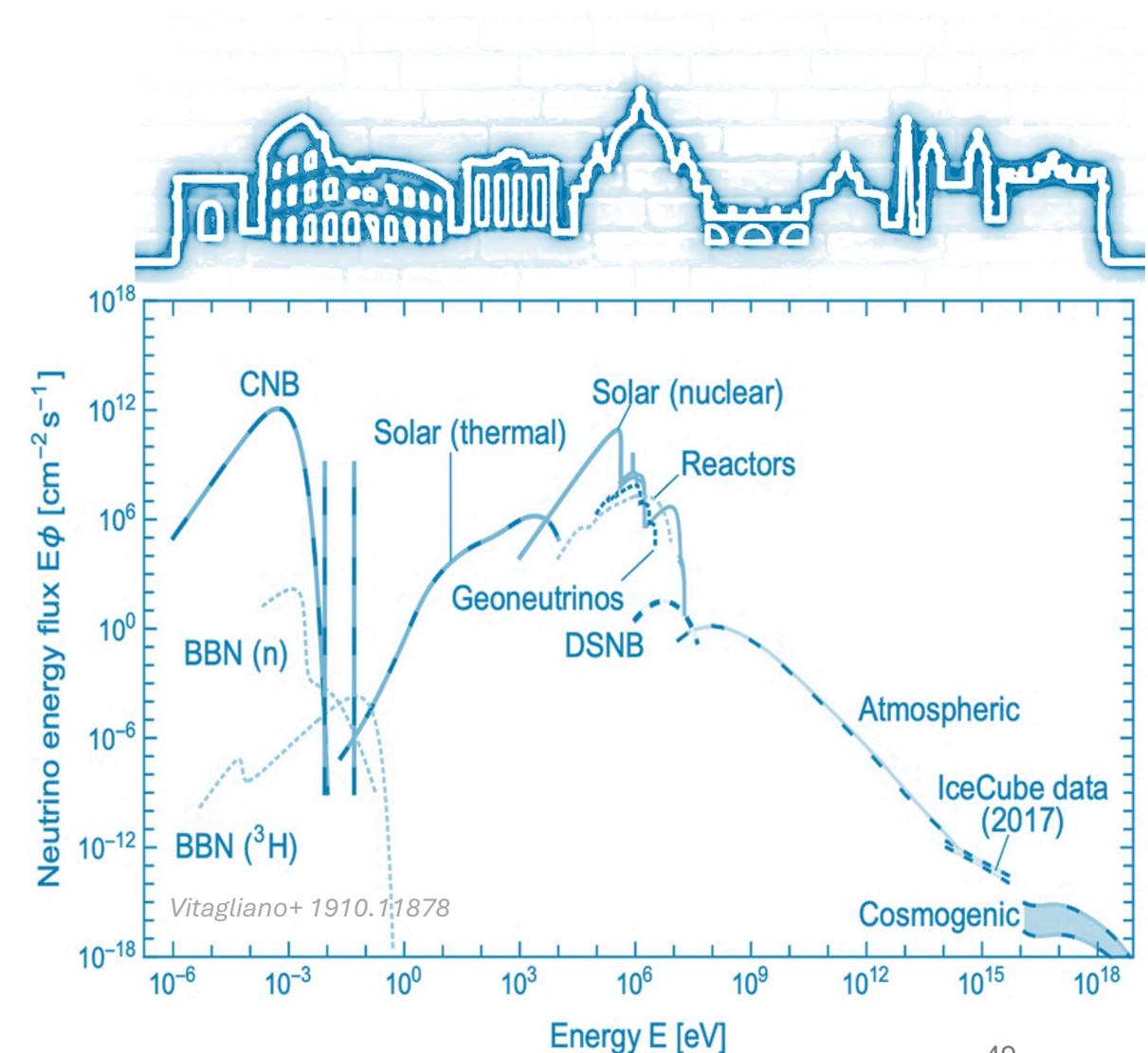
$$m_\nu \sim y M_H f \quad (f \sim M_H/\Lambda)$$



Vast landscape of mass scales ...



Vast landscape of mass scales ... and energies



# Epilogue: The rise of the 3v paradigm

## Foundations

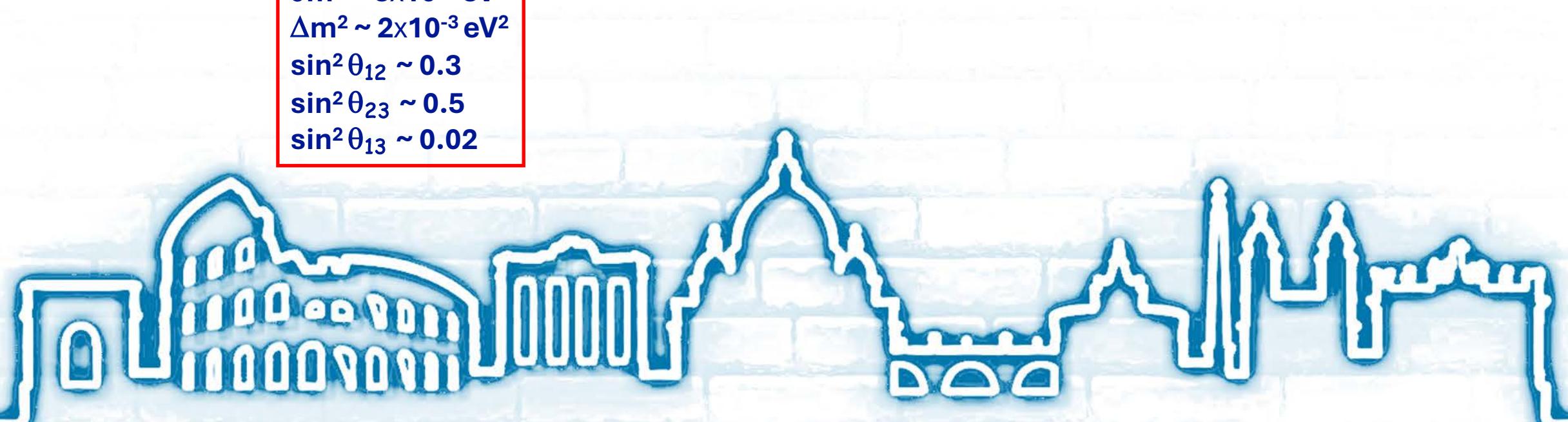
$$\delta m^2 \sim 8 \times 10^{-5} \text{ eV}^2$$

$$\Delta m^2 \sim 2 \times 10^{-3} \text{ eV}^2$$

$$\sin^2 \theta_{12} \sim 0.3$$

$$\sin^2 \theta_{23} \sim 0.5$$

$$\sin^2 \theta_{13} \sim 0.02$$



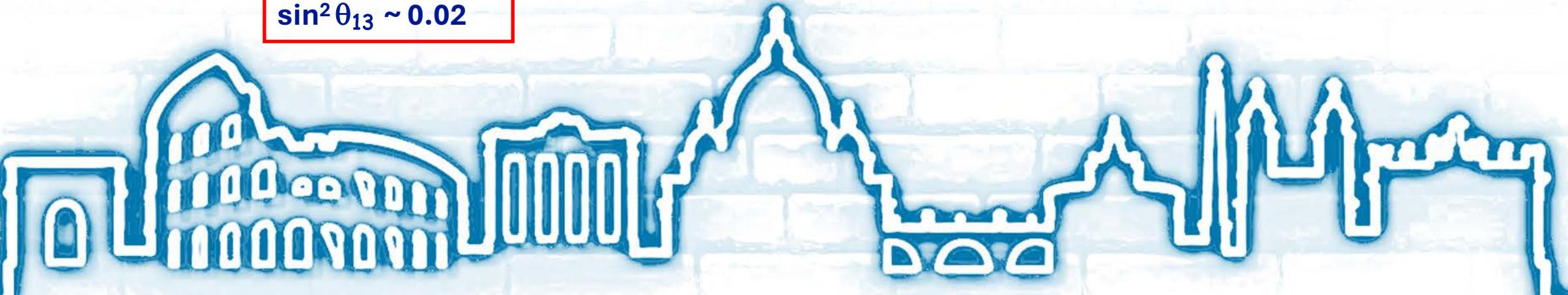
# Epilogue: The rise of the 3v paradigm

## Foundations

$\delta m^2 \sim 8 \times 10^{-5} \text{ eV}^2$   
 $\Delta m^2 \sim 2 \times 10^{-3} \text{ eV}^2$   
 $\sin^2 \theta_{12} \sim 0.3$   
 $\sin^2 \theta_{23} \sim 0.5$   
 $\sin^2 \theta_{13} \sim 0.02$

## Challenges

$\delta (\text{CP})$   
 $\text{sign}(\Delta m^2)$   
 $\text{octant}(\theta_{23})$   
absolute masses  
Dirac vs Majorana



# Epilogue: The rise of the 3v paradigm

## Foundations

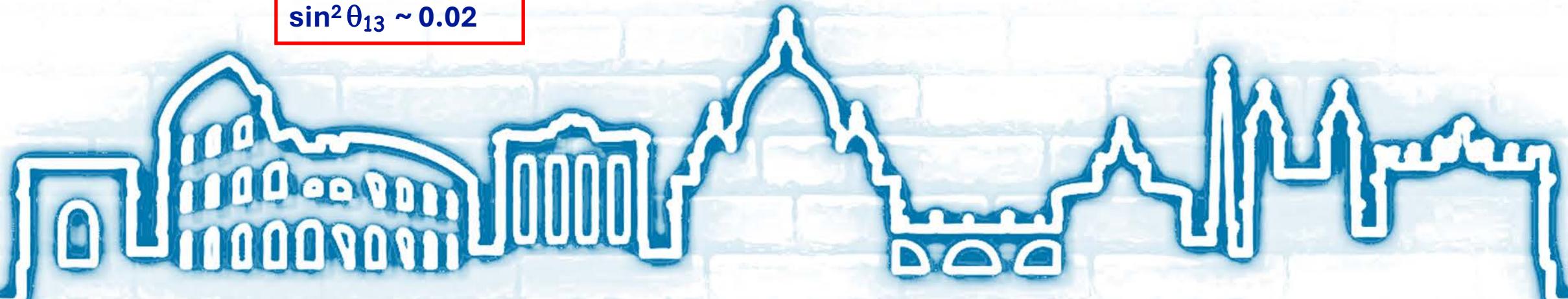
$\delta m^2 \sim 8 \times 10^{-5} \text{ eV}^2$   
 $\Delta m^2 \sim 2 \times 10^{-3} \text{ eV}^2$   
 $\sin^2 \theta_{12} \sim 0.3$   
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## Challenges

$\delta$  (CP)  
 $\text{sign}(\Delta m^2)$   
octant( $\theta_{23}$ )  
absolute masses  
Dirac vs Majorana

## A new paradigm?

new states  
new interactions  
new physics scales  
flavor structure  
origin of matter ...



# Thank you for your attention

## Foundations

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## Challenges

$\delta (\text{CP})$   
 $\text{sign}(\Delta m^2)$   
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**absolute masses**  
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**new states**  
**new interactions**  
**new physics scales**  
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**origin of matter ...**

