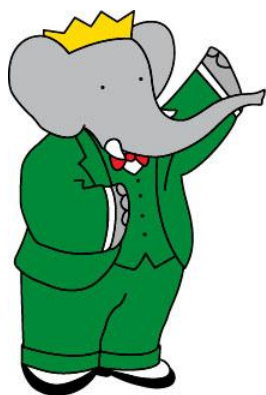


# CHARGED LNV/LFV AND NEW PHYSICS

## SEARCHES AT THE B FACTORIES

Kevin Flood

California Institute of Technology



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# Overview

❖  $B^+ \rightarrow K^-/\pi^- l^+ l^+$  ( $ll = ee, \mu\mu$ ) (Babar)



❖  $B^+ \rightarrow D^- l^+ l^+$  ( $l = e, \mu$ ) (Belle, 2011)

❖  $B^+ \rightarrow K^+/\pi^+ \tau^\pm (e, \mu)^\mp$  (Babar)



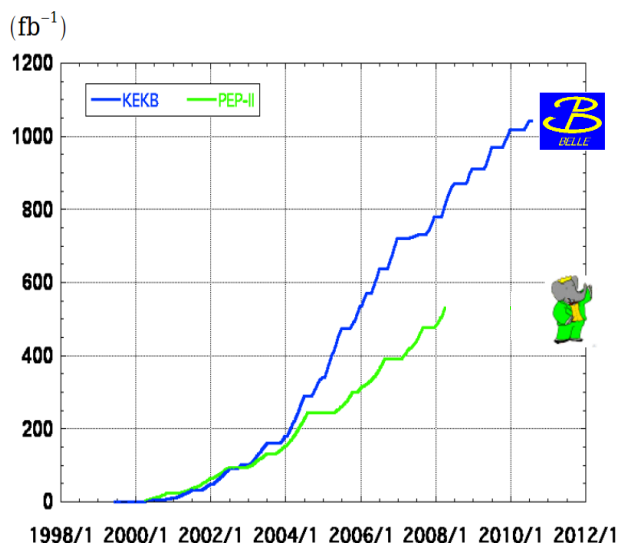
❖ Search for Dark Higgs (Babar)



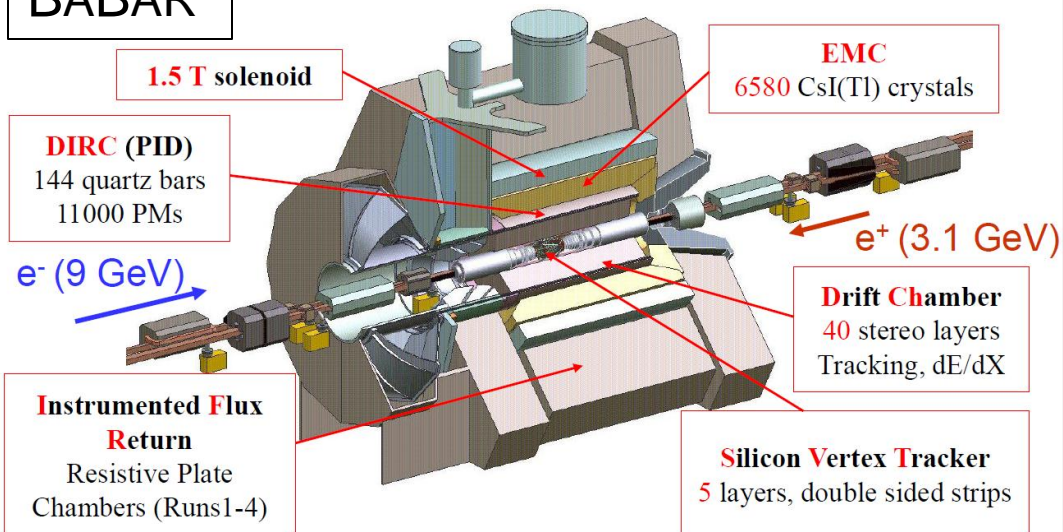
\* Charge conjugation assumed everywhere except as noted.

# BABAR and Belle Detectors

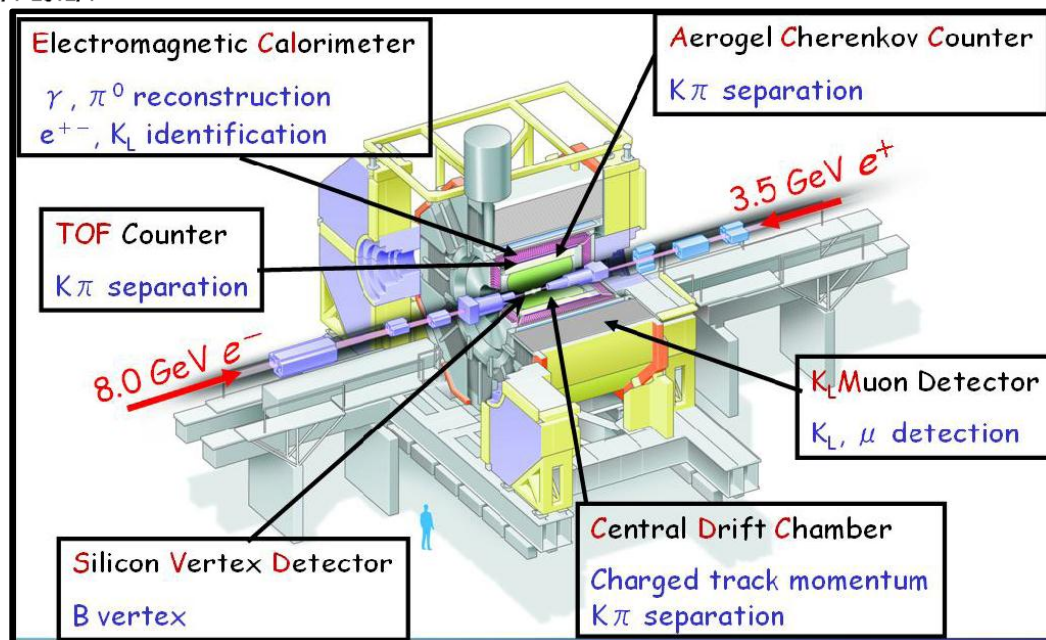
## Integrated luminosity of B factories



## BABAR



## Belle



# LEPTON NUMBER VIOLATION

$$B^+ \rightarrow h^- l^+ l^+$$

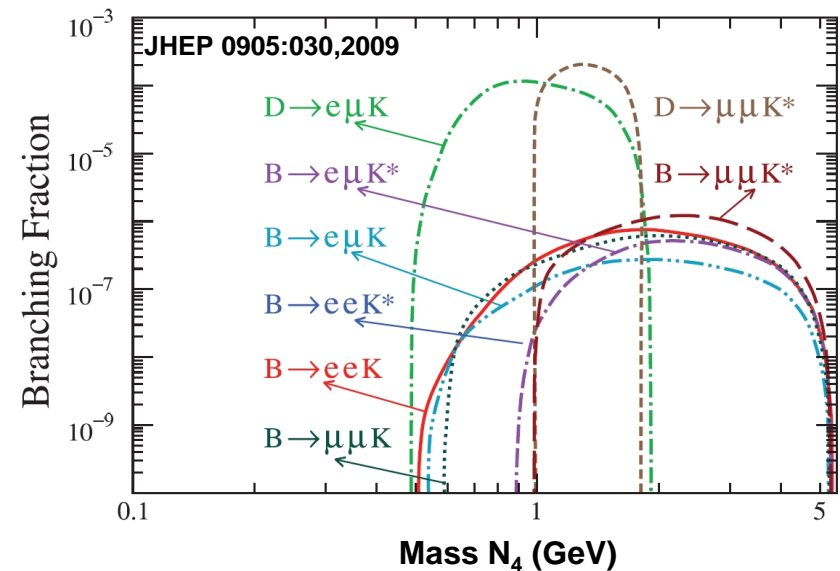
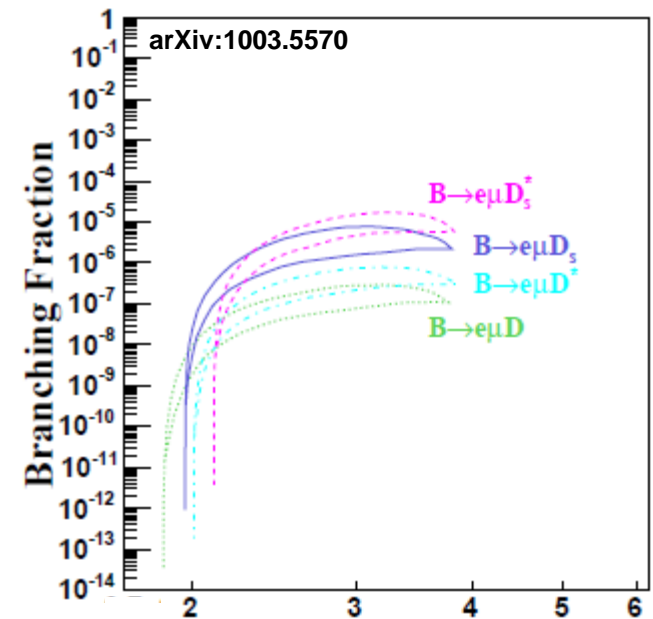
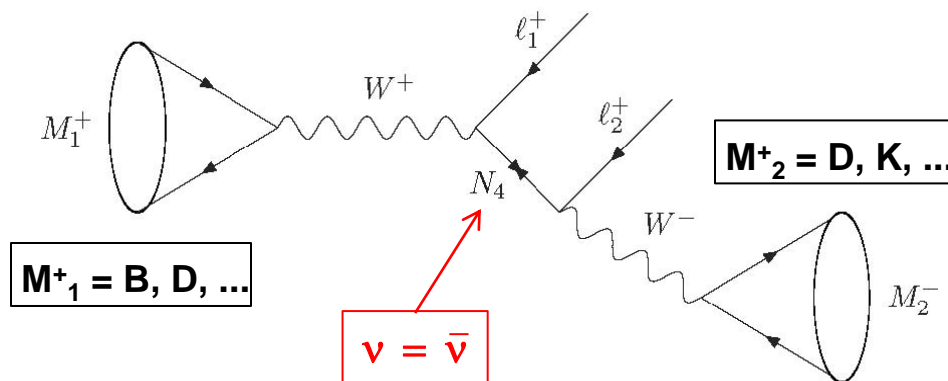
# Lepton number violation

- ⇒ Lepton number is **conserved in the SM at low energies**, but
  - ⇒ Neutrinos have mass, so  $L_e, L_\mu, L_\tau$  can be trivially violated, but  $\Sigma L$  is conserved
  - ⇒ Chiral anomalies can violate  $\Sigma L$

- ⇒ Many **theories beyond the SM predict LNV**, e.g.
  - ⇒ left-right symmetric gauge theories, SO(10) SUSY, R-parity violating models, ...

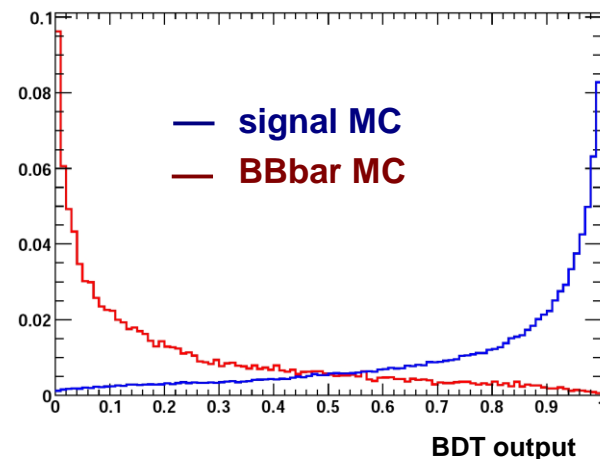
- ⇒ Majorana neutrinos are an example via see-saw mechanism

- ⇒ **Can probe existence of heavy Majorana neutrino in LNV processes  $M_1^+ \rightarrow l^+ l^+ M_2^-$  at B-factories**



## Analysis overview

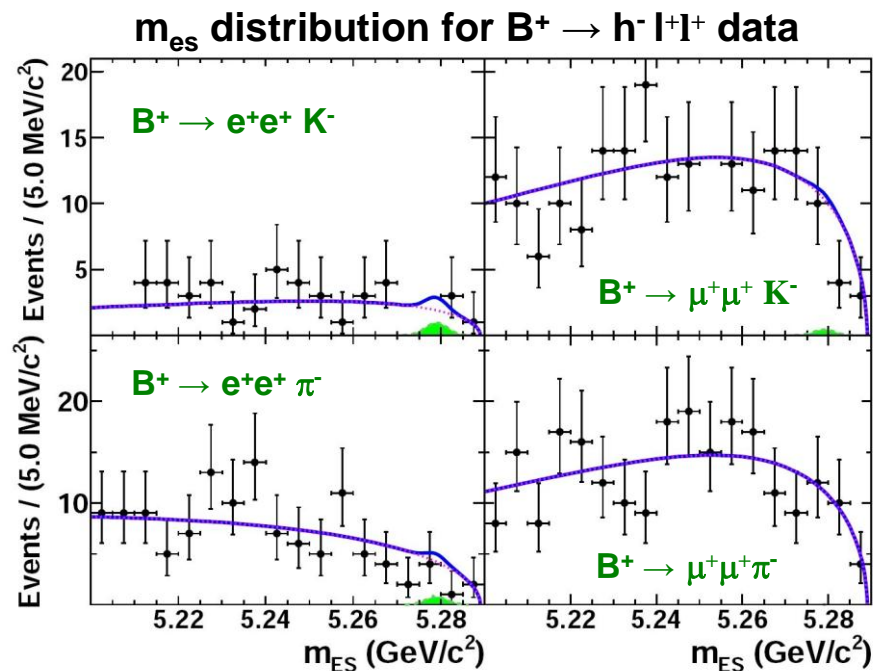
- ⇒ Select two leptons and one hadron to form a B meson
- ⇒ Apply particle identification for leptons and hadron
- ⇒ Boosted Decision Trees (BDT) trained to suppress  $e^+e^- \rightarrow c\bar{c}$  and  $e^+e^- \rightarrow B\bar{B}$  background
- ⇒ Combine BDT outputs in a likelihood ratio R



## Signal extraction

- ⇒ Unbinned maximum likelihood fit for signal and combinatoric background
- ⇒ Fit R and  $m_{es} = (E_{beam}^{*2} - p_B^{*2})^{1/2}$
- ⇒ Signal  $m_{es}$  parameters taken from  $B \rightarrow J/\psi h$  data

**No signal is  
observed**



## Upper limits (90% CL)

$$\text{BF}(B^+ \rightarrow e^+ e^+ \pi^-) < 2.3 \times 10^{-8}$$

$$\text{BF}(B^+ \rightarrow e^+ e^+ K^-) < 3.0 \times 10^{-8}$$

$$\text{BF}(B^+ \rightarrow \mu^+ \mu^+ \pi^-) < 10.7 \times 10^{-8}$$

$$\text{BF}(B^+ \rightarrow \mu^+ \mu^+ K^-) < 6.7 \times 10^{-8}$$

## Current limits for B decays

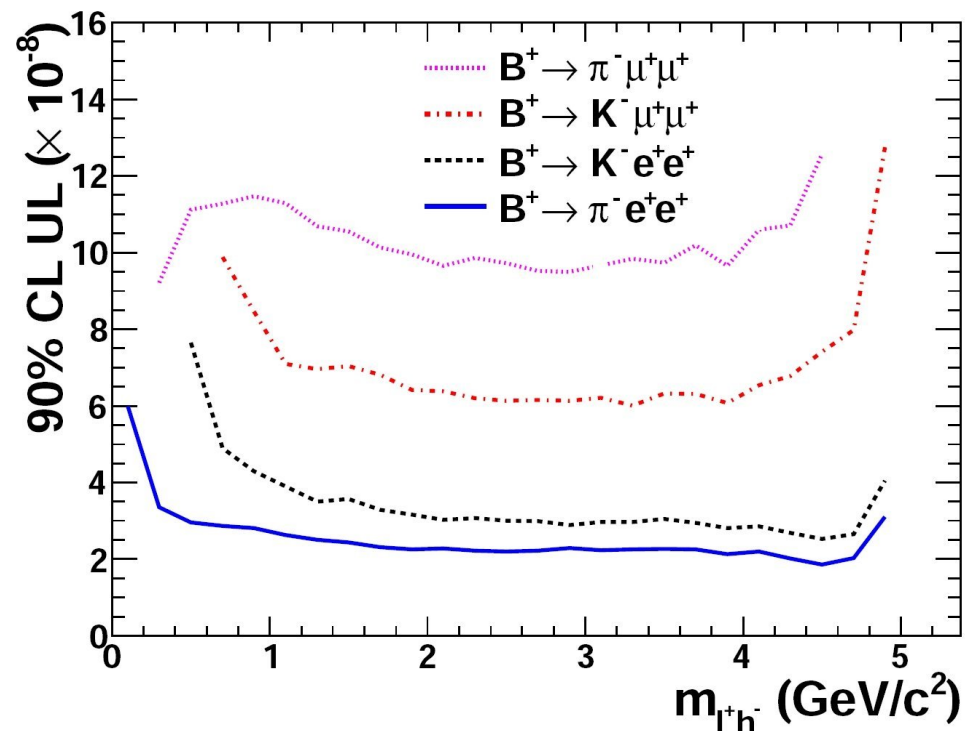
$$\text{CLEO}^1: \text{BF}(B^+ \rightarrow h^- l^+ l^+) < (1.0 - 8.3) \times 10^{-6}$$

$$\text{LHCb}^2: \text{BF}(B^+ \rightarrow X^- \mu^+ \mu^+) < 1.3 \times 10^{-8} - 2.6 \times 10^{-6}$$

$$h = \pi, K^{(*)}, \rho$$

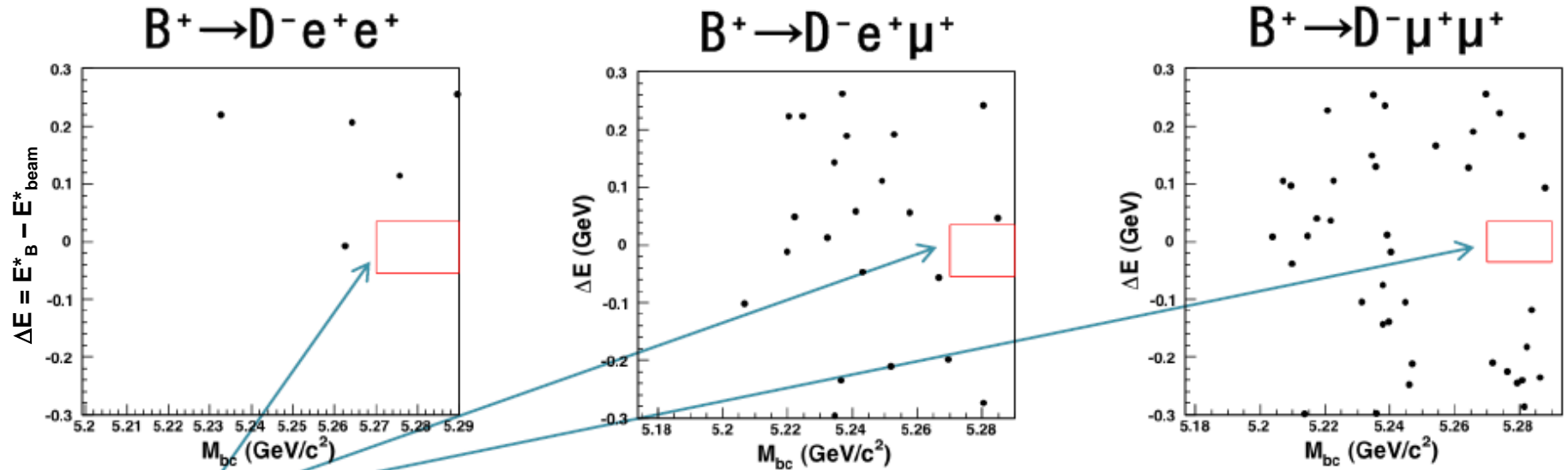
$$X^- = D^{(*)-}, D_s^-, \pi^-, D^0 \pi^-; \text{ with } 41 \text{ pb}^{-1}$$

Upper limit as a function of  $l^+ h^-$  mass  
("Majorana neutrino mass")



1. PRD 65, 111102 (2002)      2. arXiv:1201.5600





**Blinding box**

- ⇒ Likelihood event selection using missing energy, event shape parameters, doca-z of lepton pair,  $\cos\theta_B^*$
- ⇒ Reconstructs  $D^- \rightarrow K^+ \pi^- \pi^-$
- ⇒ Backgrounds from kinematic sidebands
- ⇒ Cut and count in signal region (blinding box)

Mode	$\epsilon$ [%]	$N_{\text{obs}}$	$N_{\text{exp}}^{\text{bkg}}$	U.L. [ $10^{-6}$ ]
$B^+ \rightarrow D^- e^+ e^+$	1.2	0	$0.18 \pm 0.13$	$< 2.6$
$B^+ \rightarrow D^- e^+ \mu^+$	1.3	0	$0.83 \pm 0.29$	$< 1.8$
$B^+ \rightarrow D^- \mu^+ \mu^+$	1.9	0	$1.44 \pm 0.43$	$< 1.0$



# LEPTON FLAVOR VIOLATION

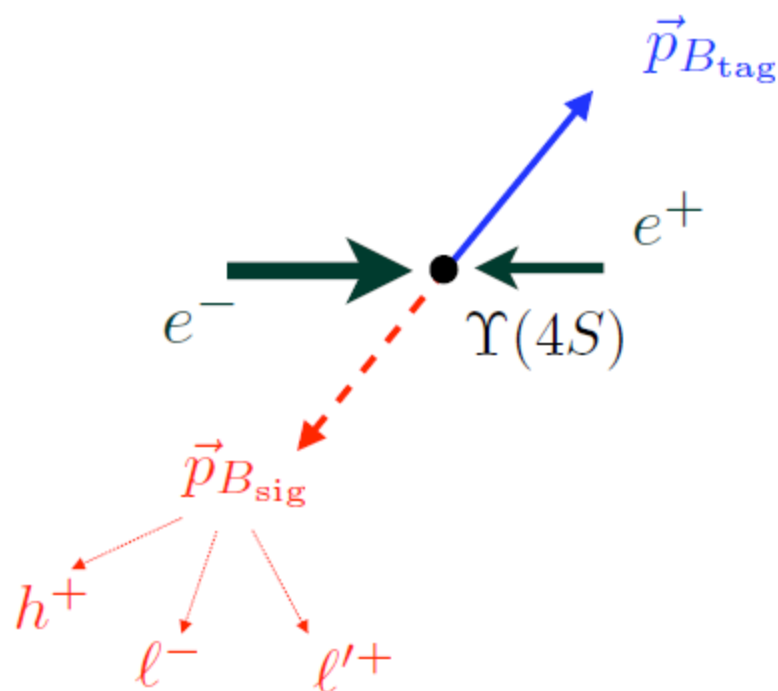
$$B^+ \rightarrow K^+/\pi^+ \tau l \quad (l = e, \mu)$$

# Lepton Flavor Violation - $B^+ \rightarrow h^+ \tau l$ ( $l = e, \mu$ ) - analysis overview

## Study four channels

$$B^+ \rightarrow \pi^+ \tau e \quad B^+ \rightarrow \pi^+ \tau \mu$$

$$B^+ \rightarrow K^+ \tau e \quad B^+ \rightarrow K^+ \tau \mu$$



## Single-prong $\tau$ decays

$$\tau \rightarrow e \nu \bar{\nu}$$

$$\tau \rightarrow \mu \nu \bar{\nu}$$

$$\tau \rightarrow \pi^+ (n\pi^0) \nu$$

**Btag is fully reconstructed in hadronic final states**

$$\Rightarrow B^- \rightarrow D^{(*)0} X^-$$

$$\Rightarrow X^- = n_1 \pi^- + n_2 K^- + n_3 K_S + n_4 \pi^0$$

**Reconstruct tau mass using**

$\Rightarrow$  tag B, beam energy and signal-side lepton, hadron

$$\Rightarrow \mathbf{p}_\tau = \mathbf{p}_B - \mathbf{p}_h - \mathbf{p}_l$$

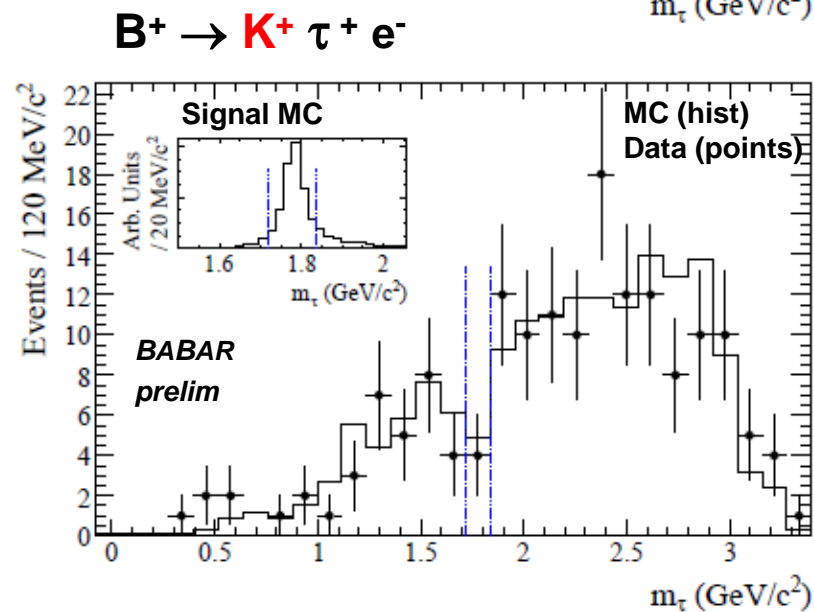
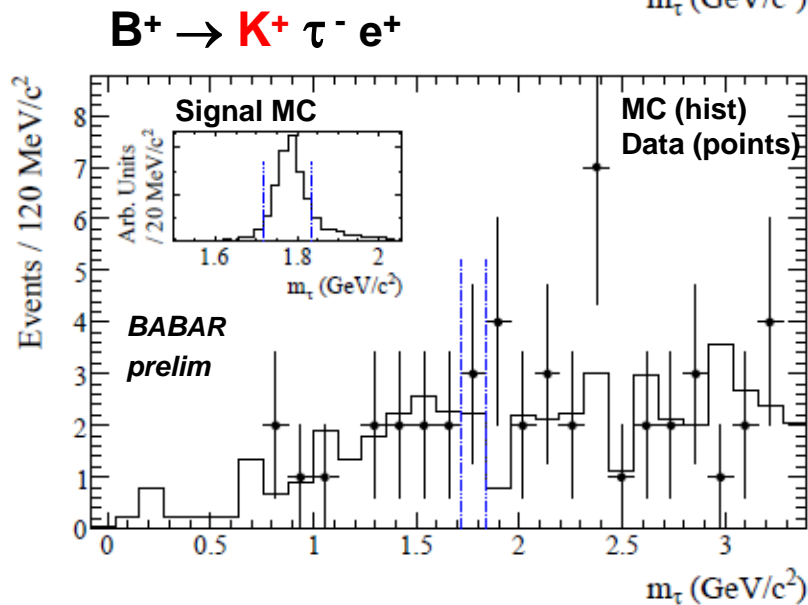
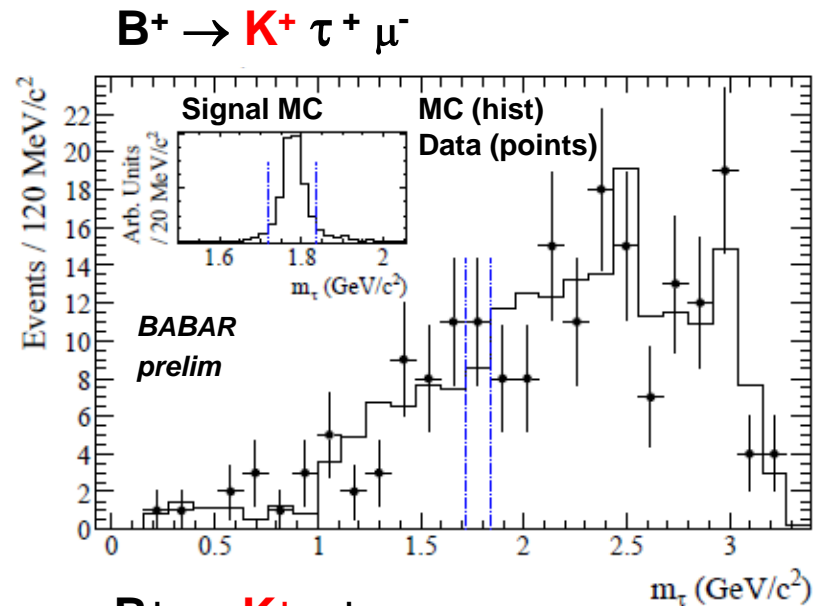
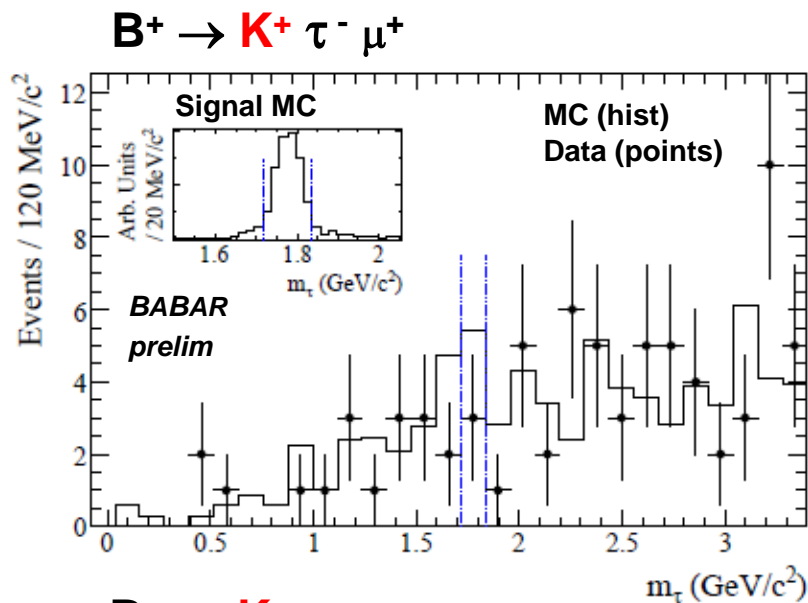
$$\Rightarrow E_\tau = E_{\text{beam}} - p_h - p_l$$

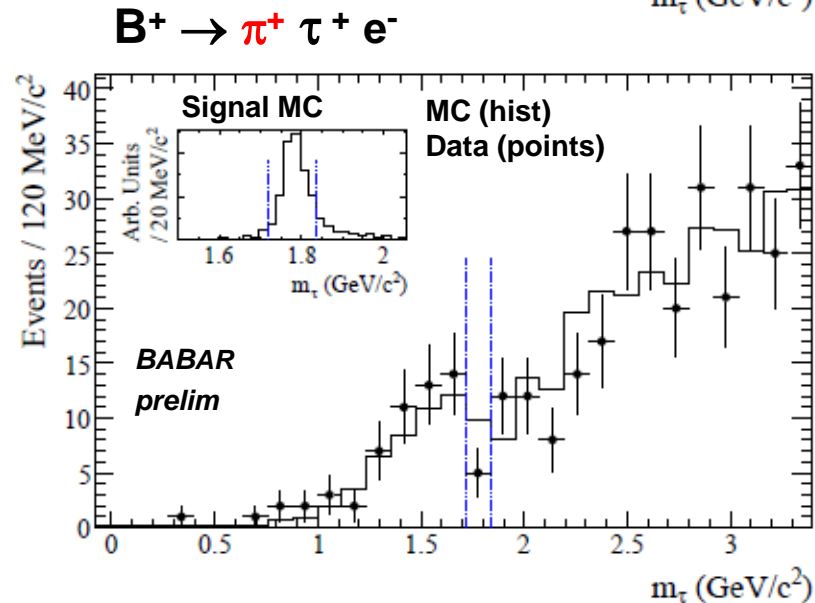
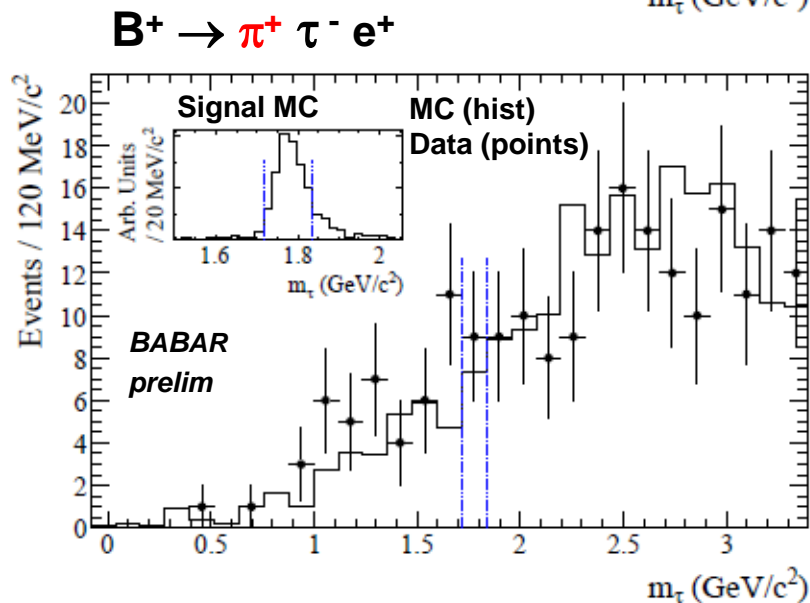
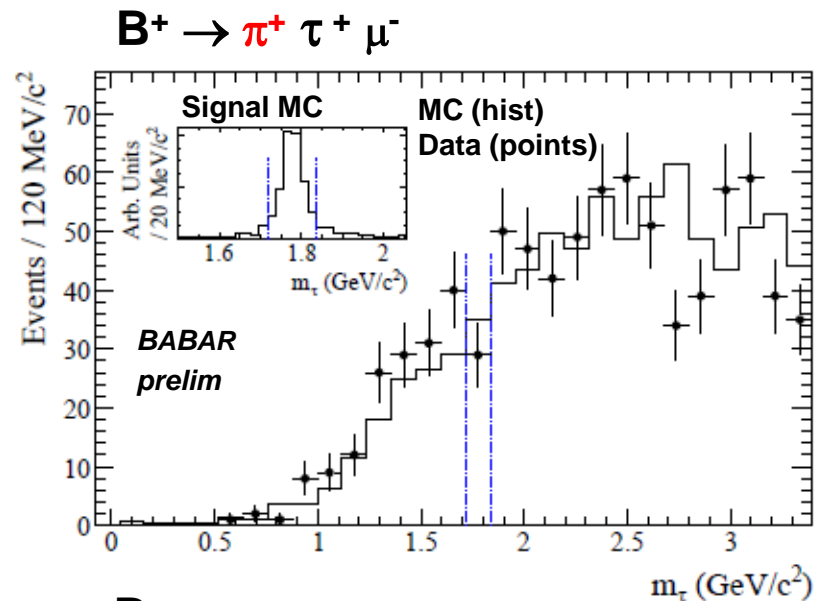
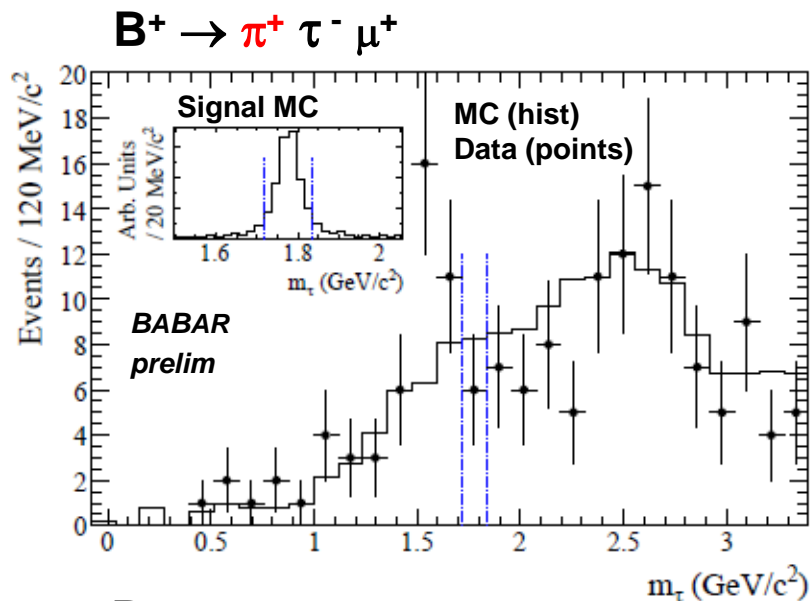
**Charmonium di-lepton mass regions vetoed**

**Signal region is  $M_\tau \pm 60$  MeV**

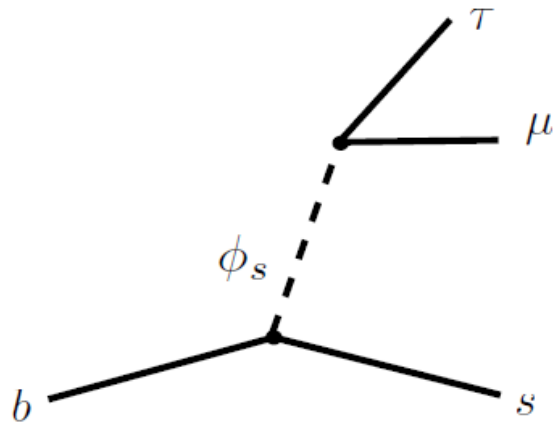
**Background estimated from mass sidebands**

**D semileptonic backgrounds contribute more to  $\tau^+$**





$$\Delta\mathcal{L} = \Delta\mathcal{L}_{\tau\mu}^{(6)} = \sum_{j,\alpha,\beta} \frac{\mathcal{C}_{\alpha\beta}^j}{\Lambda^2} (\bar{\mu} \Gamma_j \tau) (\bar{q}^\alpha \Gamma_j q^\beta) + \text{H.c.} ; \quad \Gamma_j = (S, P, V, A)$$



BF U.L. (90% CL) \*

$$B^+ \rightarrow K^+ \tau \mu < 4.8 \times 10^{-5}$$

$$B^+ \rightarrow K^+ \tau e < 3.0 \times 10^{-5}$$

$$B^+ \rightarrow \pi^+ \tau \mu < 7.2 \times 10^{-5}$$

$$B^+ \rightarrow \pi^+ \tau e < 7.5 \times 10^{-5}$$

LFV Energy Scale from  
Prev. Results (90% CL)

$\bar{b}d$	2.2 TeV ( $B \rightarrow \pi \mu \tau$ )
$\bar{b}s$	2.6 TeV ( $B \rightarrow K \mu \tau$ )

PRD 66, 053002 (2002)

LFV Energy  
Scale from

BABAR Results  
(90% CL)

$B^+ \rightarrow K^+ \tau l$

**$\Lambda > 15 \text{ TeV}$**

$B^+ \rightarrow \pi^+ \tau l$

**$\Lambda > 11 \text{ TeV}$**

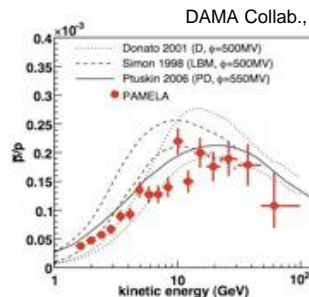
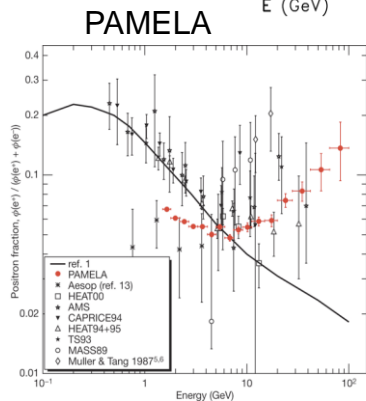
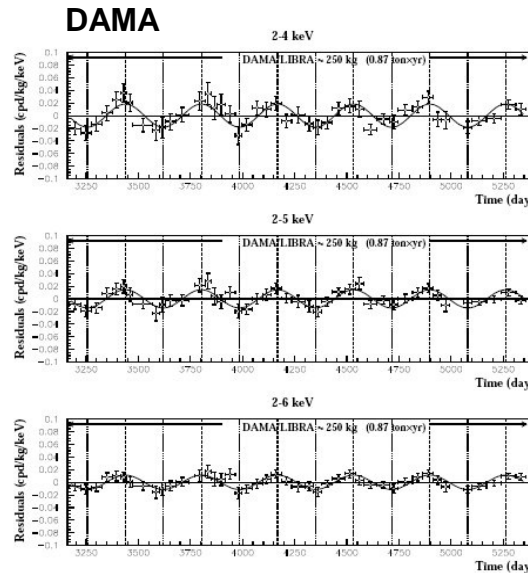
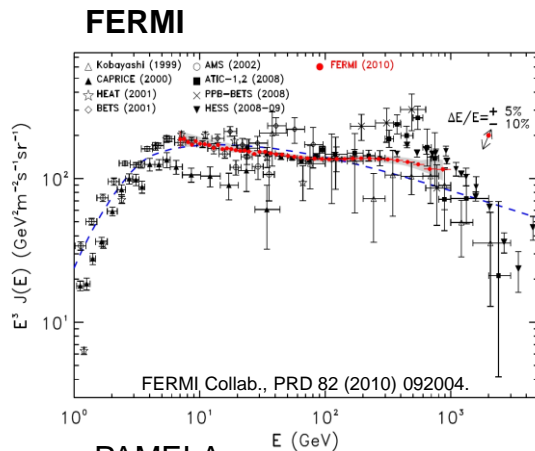
\* Assumes  $\text{BF}(B^+ \rightarrow h^+ \tau^- l^+) = \text{BF}(B^+ \rightarrow h^+ \tau^+ l^-)$

# **DARK FORCES**

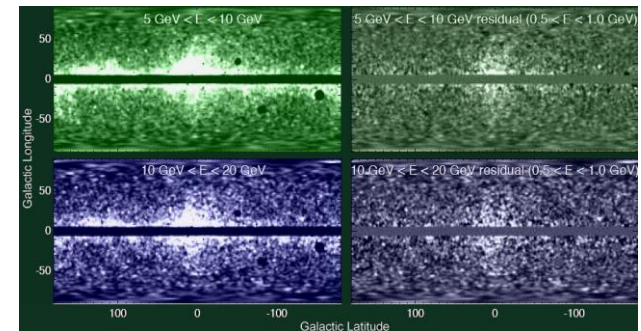
# Dark sector in a nutshell

Models introducing a **new 'dark' force** mediated by a **new gauge boson** with a mass around a **GeV** have been proposed to explain the observations of PAMELA, FERMI, DAMA/LIBRA, CREST,...

**WIMP-like dark matter** particles can **annihilate into pairs of dark bosons**, which subsequently decays to lepton pairs (protons are kinematically forbidden).



## FERMI HAZE



Dobler et al., Astrophys.J.717

- Excess of electrons / positrons
- Few / no antiprotons
- Large annihilation cross section



# Hidden sector and dark forces

- ⇒ New dark sector with a  $U(1)_D$  gauge group
- ⇒ New gauge boson: **dark photon  $A'$  with  $O(\text{GeV})$  mass**

Standard  
Model

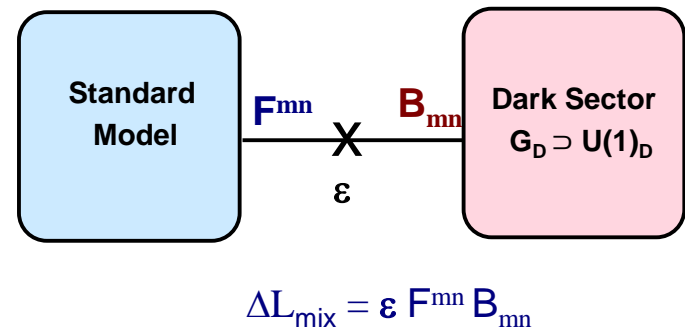
Dark Sector  
 $G_D \supset U(1)_D$

# Hidden sector and dark forces

- ⇒ New dark sector with a  $U(1)_D$  gauge group
- ⇒ New gauge boson: **dark photon  $A'$  with  $O(\text{GeV})$  mass**
- ⇒ Interaction with the SM is via **kinetic mixing**

$$\varepsilon F^{mn} B_{mn}$$

with a **mixing strength  $\varepsilon$** .



# Hidden sector and dark forces

- ⇒ New dark sector with a  $U(1)_D$  gauge group
- ⇒ New gauge boson: **dark photon  $A'$  with  $O(\text{GeV})$  mass**

- ⇒ Interaction with the SM is via **kinetic mixing**

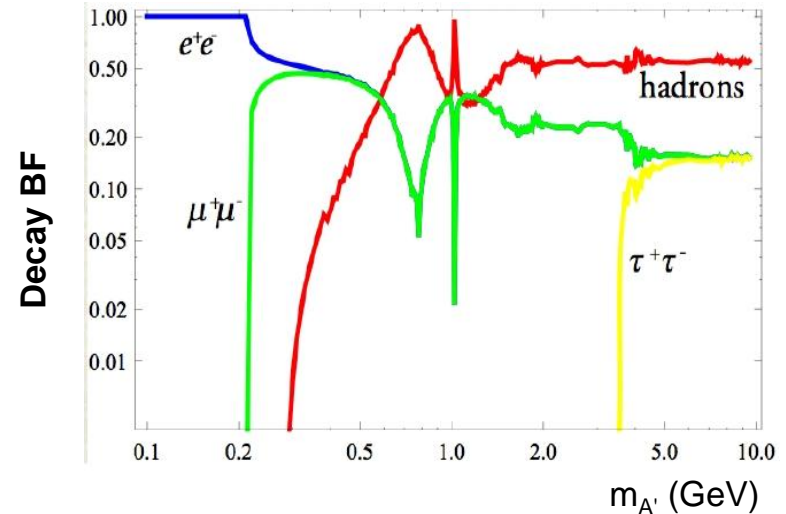
$$\varepsilon F^{\mu\nu} B_{\mu\nu}$$

with a **mixing strength  $\varepsilon$** .

- ⇒ The dark photon acquires a charge  $\varepsilon e$ , and the **coupling of the dark photon to SM fermions** is characterized by  $\alpha' = \alpha \varepsilon^2$

*PRD 79, 115008, 2009*

**dark photon branching fraction**



# Hidden sector and dark forces

- ⇒ New dark sector with a  $U(1)_D$  gauge group
- ⇒ New gauge boson: **dark photon  $A'$  with  $O(\text{GeV})$  mass**

- ⇒ Interaction with the SM is via **kinetic mixing**

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with a **mixing strength  $\varepsilon$** .

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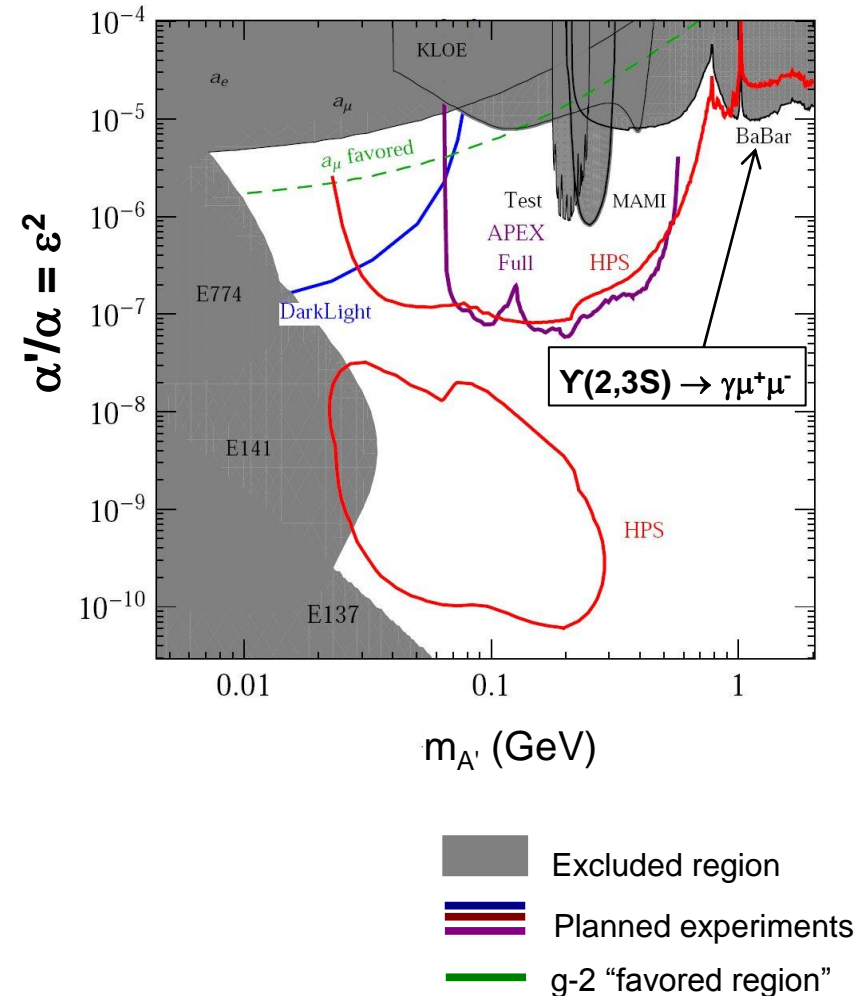
- ⇒ A dark photon can be readily produced in

$$e^+e^- \rightarrow \gamma A', A' \rightarrow f \bar{f}$$

The limits on  $e^+e^- \rightarrow Y(2S,3S) \rightarrow \gamma \mu^+ \mu^-$  can be reinterpreted as limits on dark photon production.

*J.D. Bjorken et al., PRD 80 (2009) 075018*

## Constraints on $\alpha'/\alpha = \varepsilon^2$



# Dark Higgs boson

⇒ Dark boson mass is generated via the Higgs mechanism, **adding a dark Higgs boson ( $h'$ ) to the theory.**

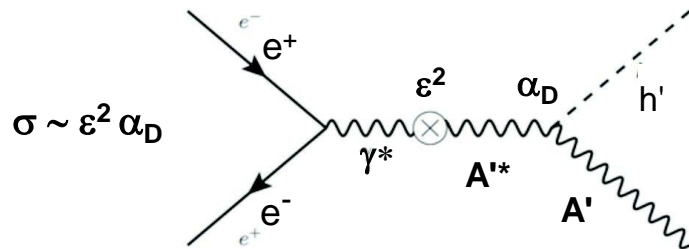
⇒ A **minimal scenario** has a **single dark photon and a single dark Higgs boson**. Very well motivated theoretically.

⇒ The **dark Higgs mass** could be at **the GeV scale**.

⇒ The **Higgs'-strahlung process**

$$e^+e^- \rightarrow A'^* \rightarrow h' A', h' \rightarrow A' A'$$

is very interesting, as it is **only suppressed by  $\epsilon^2$**  and is expected to have a **very small background**.



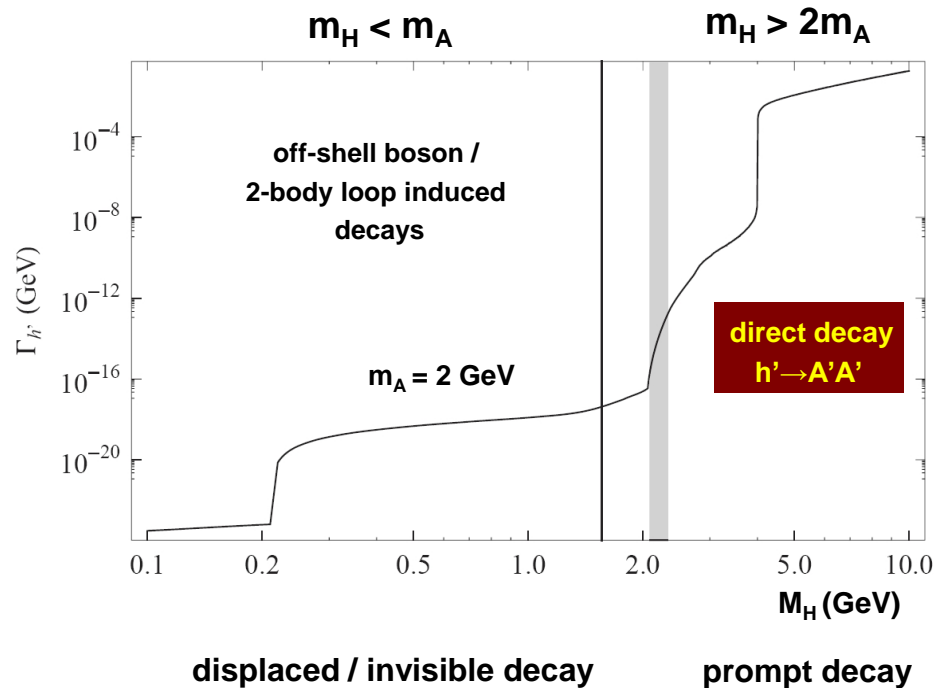
$$\alpha_D = g_D^2 / 4\pi$$

$g_D$  is the dark sector gauge coupling

*B. Batell et al., PRD 79 (2009) 115008*

*R. Essig et al., PRD 80 (2009) 015003*

## Higgs decay topology



## Fully reconstructed

$e^+e^- \rightarrow h' A', h' \rightarrow A' A'$   
 with  $A' \rightarrow e^+e^-, \mu^+\mu^-, \pi^+\pi^-$

### Fully reconstructed signal

⇒ Three dark photons fully reconstructed

### Modes included

- ⇒  $e^+e^- \rightarrow (l^+l^-) (l^+l^-) (l^+l^-)$   $l=e,\mu$
- ⇒  $e^+e^- \rightarrow (l^+l^-) (l^+l^-) (\pi^+\pi^-)$
- ⇒  $e^+e^- \rightarrow (l^+l^-) (\pi^+\pi^-) (\pi^+\pi^-)$

### Selection

- ⇒ 6 tracks with an invariant mass  $m_{\text{tot}} > 0.95 \sqrt{s}$
- ⇒ apply lepton particle identification
- ⇒ cosine helicity angle of  $A' \rightarrow e^+e^-$  candidates  $< 0.9$
- ⇒ three dark photon candidates have similar mass

## Partially reconstructed

$e^+e^- \rightarrow h' A_1', h' \rightarrow A_2' A_3'$   
 with  $A_{1,2}' \rightarrow e^+e^-, \mu^+\mu^-, A_3' \rightarrow X$

### Partially reconstructed signal

- ⇒ In the high mass region ( $m_A > 1.2 \text{ GeV}$ ), the decay of the dark photon is dominated by  $A' \rightarrow q\bar{q}$
- ⇒ Reconstruct 2  $A'$  decaying to leptons and 1  $A'$  to  $q\bar{q}$
- ⇒ Reconstruct four-momentum  $P_3 = P_{ee} - P_1 - P_2$

### Modes included

- ⇒  $e^+e^- \rightarrow (l^+l^-) (\mu^+\mu^-) + X$  where  $X$  is not  $l^+l^- / \pi^+\pi^-$

### Selection

- ⇒ 4 or more tracks
- ⇒ apply particle identification for  $A' \rightarrow l^+l^-$  decays
- ⇒ cosine helicity angle of  $A' \rightarrow e^+e^-$  candidates  $< 0.9$
- ⇒ three dark photon candidates have similar mass

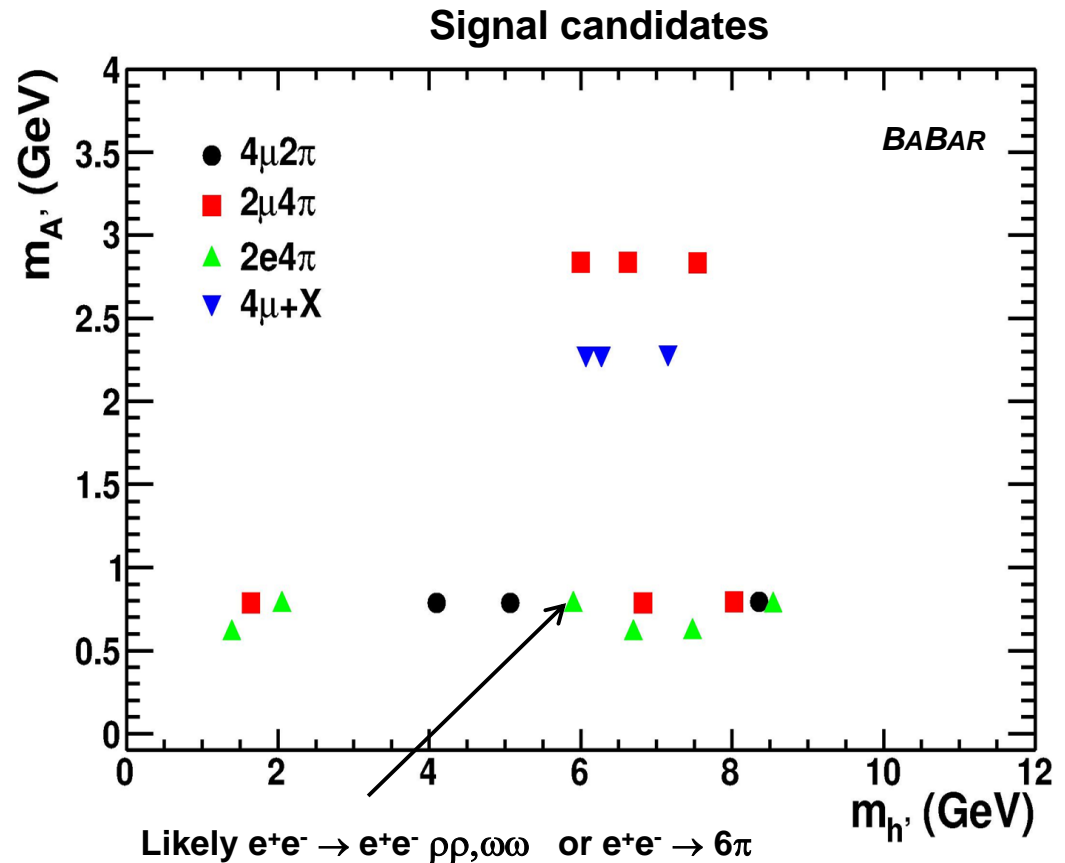
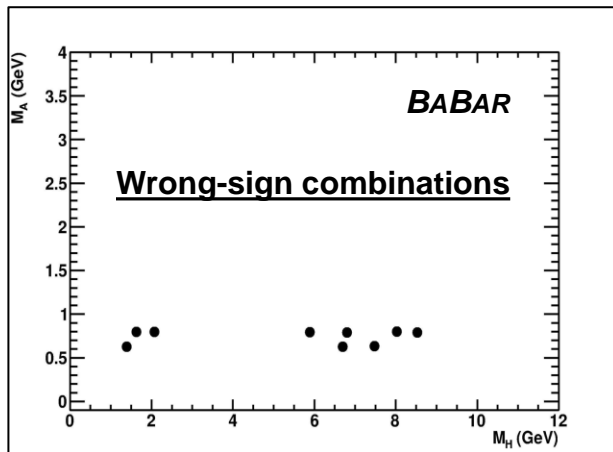
⇒ **Six events** are selected from the full BABAR dataset ( $517 \text{ fb}^{-1}$ )

⇒ **Three entries for each event**, corresponding to the three possible assignments of the  $h \rightarrow A'A'$  decay

⇒ **Estimate background from**

- **wrong-sign combinations**, e.g.  

$$e^+e^- \rightarrow (e^+e^+) (e^-e^-) (\mu^+\mu^-)$$
- **sidebands from final sample**
- rate for 6 leptons  $\sim 100\times$  rate for  $4\pi+2l$  above 1.5 GeV



**No events with 6 leptons, consistent with the pure background hypothesis**



Limit on the cross section  $e^+e^- \rightarrow h' A'$ ,  $h' \rightarrow A' A'$   
in the regime  $m_{h'} > 2 m_{A'}$

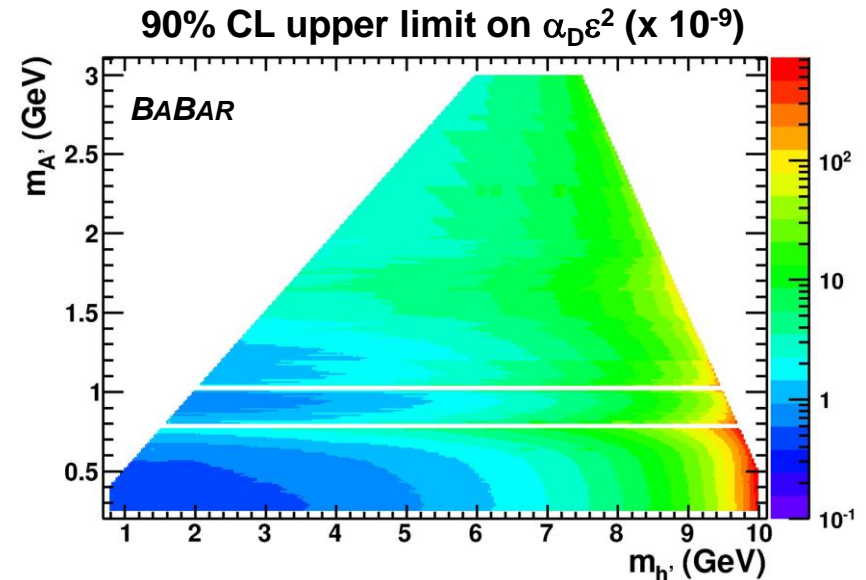
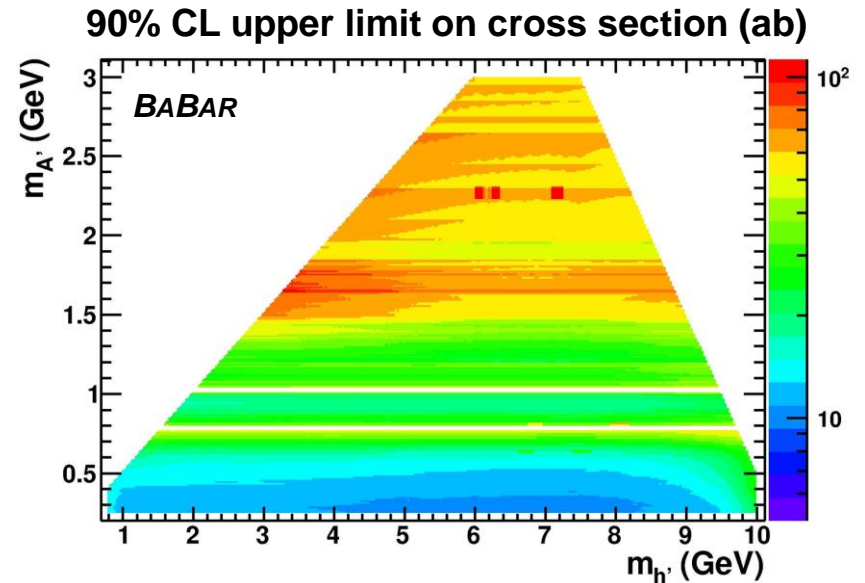
- ⇒ Scan the  $m_{h'}$  vs  $m_{A'}$  plane, Bayesian limit with uniform prior in cross-section
- ⇒ Conservative approach, treat every event as signal candidate (hot spots in bi-dimensional plot)
- ⇒ Cross section limits from 10 to ~100 ab

Extract limits<sup>1</sup> on the product  $\alpha_D \varepsilon^2$

- ⇒ Limits on couplings down to a few  $\times 10^{-10}$

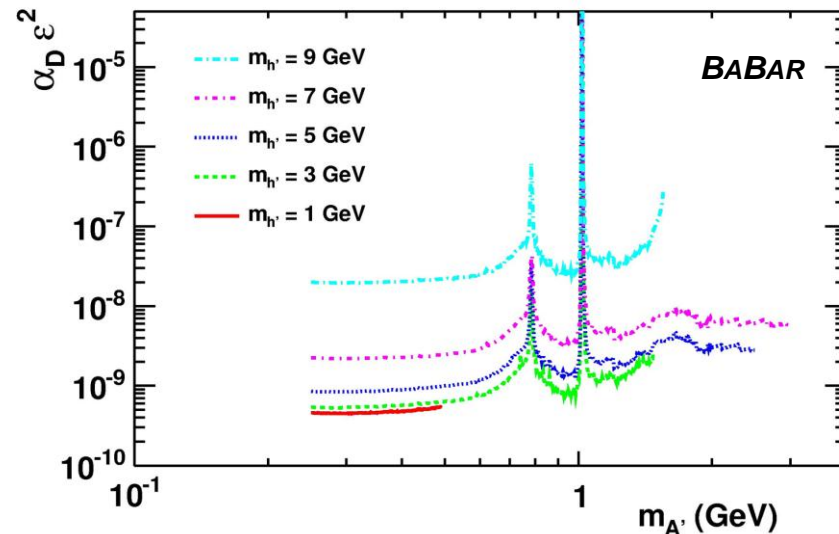
$$\alpha_D = g_D^2 / 4\pi$$

$g_D$  is the dark sector gauge coupling

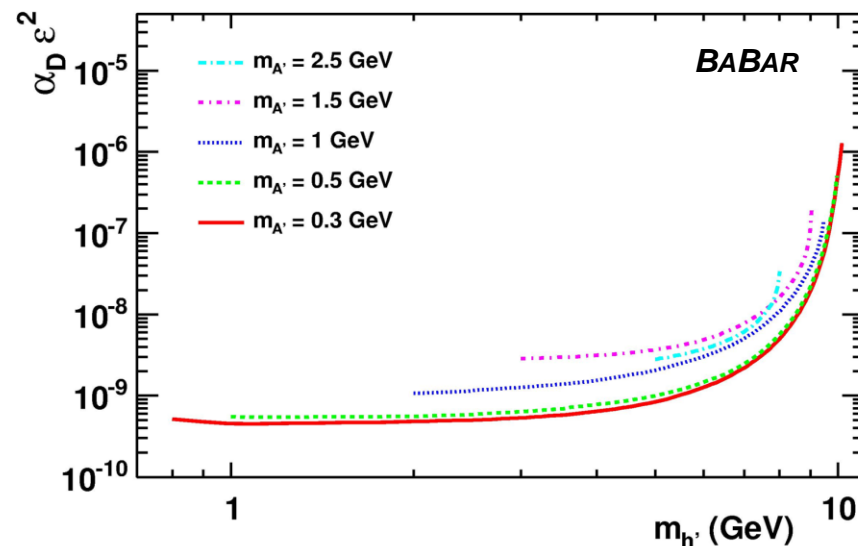


1. B. Batell, M. Pospelov and A. Ritz, Phys.Rev.D79:115008,2009.

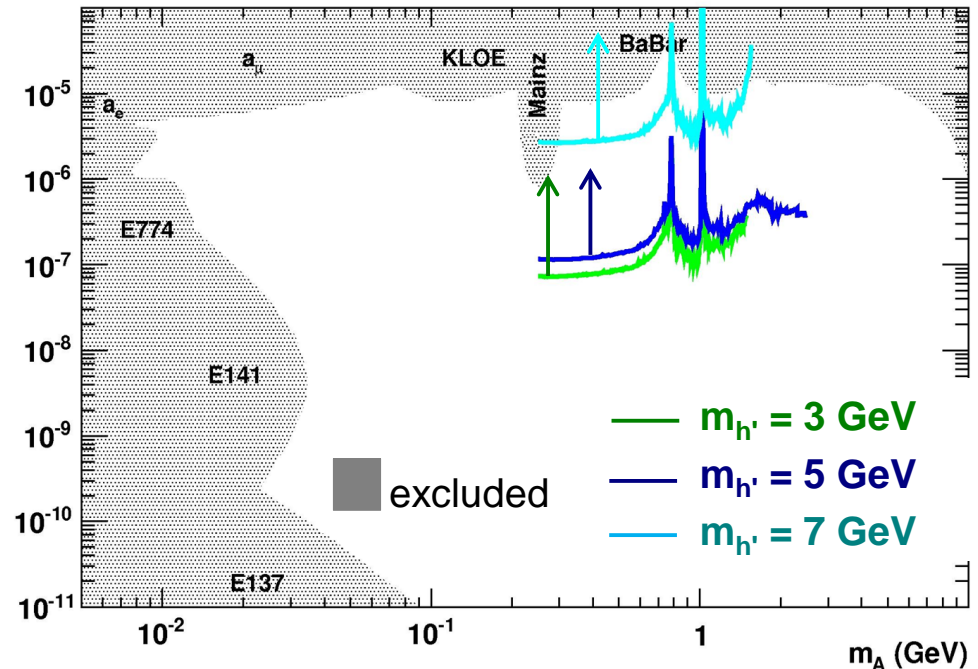
90% CL upper limit on  $\alpha_D \varepsilon^2$  vs  $m_{A'}$



90% CL upper limit on  $\alpha_D \varepsilon^2$  vs  $m_{h'}$



Limit on  $\varepsilon^2 = \alpha'/\alpha$  for various Higgs mass (assuming  $\alpha_D = \alpha_{em}$ )



Substantial improvement over existing limits for  $m_{h'} < 5 - 7$  GeV if light dark Higgs boson exists

# Summary

- The B-Factories are well-suited to the study of Lepton Number Violation and Lepton Flavor Violation in a wide variety of initial and final states
- Large datasets of B, D and tau decays, excellent lepton identification and kinematic handles from fully reconstructed decays and/or hermiticity have helped in setting LFV and LNV limits approaching  $10^{-8}$ , competitive (at least for the moment) with LHCb, and complementary to dedicated experiments
- In the little-to-no backgrounds regime currently seen in many of the searches, the  $\sim 50\text{-}75 \text{ ab}^{-1}$  luminosities projected for the future Belle-II and Super-B “super” flavor factories will allow additional sensitivity up to two orders of magnitude beyond the current limits